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Form **990-EZ****Short Form
Return of Organization Exempt From Income Tax**

OMB No 1545-1150

2009**Open to Public
Inspection**Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)
▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form.
▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2009 calendar year, or tax year beginning , 2009, and ending

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending	Please use IRS label or print or type See Specific Instructions.	C Name of organization		D Employer identification number
		New Mexico Geological Society Foundation, Inc		68-0574310
		Number and street (or P.O. box, if mail is not delivered to street address) Room/suite		E Telephone number
		801 Leroy Place		575-835-5420
City or town, state or country, and ZIP + 4		F Group Exemption Number . . . ▶		
Socorro, NM 87801				

• **Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).**

G Accounting method ☒ Cash ☐ Accrual
Other (specify) ▶

I Website: ▶

H Check ☒ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

J Tax-exempt status (check only one) - ☒ 501(c) (3) (insert no) 4947(a)(1) or 527

K Check ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts. If \$500,000 or more, file Form 990 instead of Form 990-EZ . . . ▶ \$ 274,206

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I)

Revenue	1 Contributions, gifts, grants, and similar amounts received	1	
	2 Program service revenue including government fees and contracts	2	
	3 Membership dues and assessments	3	
	4 Investment income	4	14,824
	5 a Gross amount from sale of assets other than inventory Sch I 5a 221,676		
	b Less cost or other basis and sales expenses 5b 258,972		
	c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) 5c (37,296)		
	6 Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here . . . ▶ ☐		
	a Gross revenue (not including \$ of contributions reported on line 1) 6a		
	b Less direct expenses other than fundraising expenses 6b		
c Net income or (loss) from special events and activities (Subtract line 6b from line 6a) 6c			
7 a Gross sales of inventory, less returns and allowances 7a			
b Less cost of goods sold 7b			
c Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a) 7c			
8 Other revenue (describe ▶ Burlington Royalties) 8 37,706			
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶ 9 15,234			
Expenses	10 Grants and similar amounts paid (attach schedule) 10 56,566		
	11 Benefits paid to or for members 11		
	12 Salaries, other compensation, and employee benefits 12		
	13 Professional fees and other payments to independent contractors 13 1,087		
	14 Occupancy, rent, utilities, and maintenance 14		
	15 Printing, publications, postage, and shipping 15 1,200		
	16 Other expenses (describe ▶ Sch II) 16 17,795		
	17 Total expenses. Add lines 10 through 16 ▶ 17 76,648		
Net Assets	18 Excess or (deficit) for the year (Subtract line 17 from line 9) 18 (61,414)		
	19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return) 19 834,050		
	20 Other changes in net assets or fund balances (attach explanation) 20		
	21 Net assets or fund balances at end of year. Combine lines 18 through 20 ▶ 21 772,636		

Part II Balance Sheets. If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ

(See the instructions for Part II.)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	834,050	22 772,636
23 Land and buildings		23
24 Other assets (describe ▶)		24
25 Total assets	834,050	25 772,636
26 Total liabilities (describe ▶)		26
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	834,050	27 772,636

219

Expenses

(Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)

28 Operated exclusively for the benefit of, to perform the functions of,
and to carry out the purpose of the NM Geological Society. Awards
and scholarships to Geology Students.

28a

56, 566

(Grants \$ _____) If this amount includes foreign grants, check here

29a

(Grants \$) If this amount includes foreign grants, check here ►

30a

31 Other program services (attach schedule)

(Grants \$) If this amount includes foreign grants, check here ►

31a

32 Total program service expenses (add lines 28a through 31a) ▶

32

56,566

(a) Name and address

Virgil Lueth
801 Leroy Place
Socorro, NM 87801

President
1

(c) Compensation
(If not paid,
enter -0-.)

(d) Contributions to employee benefit plans & deferred compensation

(e) Expense account and other allowances

John Shomaker
John Shomaker & Associates, Inc.
2703-D Broadbent Parkway NE
Albuquerque, NM 87107

Vice-Pres
1

0

0

0

Laura Crossey
Dept. of Earth & Planetary Sciences
MSC03 2040
University of New Mexico
Albuquerque, NM 87131-0001

Treasurer
1

0

0

0

Tim Lawton
Dept. of Geological Sciences
MSC 3 AB
New Mexico State University
Las Cruces, NM 88003

Secretary
1

0

0

0

Barry Kues
Dept of Earth & Planetary Sciences
MSC03 2040
University of New Mexico
Albuquerque, NM 87131-0001

Society Liaison
1

0

0

0

Part V Other Information (Note the statement requirements in the instructions for Part V)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33	X
34 Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes	34	X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T		
a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	35a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	35b	X
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36	X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ 37a None		
b Did the organization file Form 1120-POL for this year?	37b	X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	38a	X
b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b		
39 Section 501(c)(7) organizations. Enter		
a Initiation fees and capital contributions included on line 9 39a		
b Gross receipts, included on line 9, for public use of club facilities 39b		
40a Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under section 4911 ▶ None , section 4912 ▶ None , section 4955 ▶ None		
b Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I 40b		X
c Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ None		
d Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax on line 40c reimbursed by the organization ▶ None		
e All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T 40e		X
41 List the states with which a copy of this return is filed ▶ New Mexico		
42a The organization's books are in care of ▶ Maureen Wilks Telephone no ▶ 575-835-3522 Located at ▶ 801 Leroy Place; Socorro, NM 87801 ZIP + 4 ▶ 87801		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 42b		X
If "Yes," enter the name of the foreign country ▶		
See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts .		
c At any time during the calendar year, did the organization maintain an office outside of the U S ? 42c		X
If "Yes," enter the name of the foreign country.▶		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here. ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43		
44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ 44		X
45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ 45		X

Part VI Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only. All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

- 46** Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I **46** ☐ Yes ☒ No
- 47** Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II **47** ☐ Yes ☒ No
- 48** Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E **48** ☐ Yes ☒ No
- 49a** Did the organization make any transfers to an exempt non-charitable related organization? **49a** ☐ Yes ☒ No
- b** If "Yes," was the related organization a section 527 organization? **49b** ☐ Yes ☒ No
- 50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None"

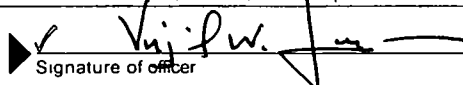
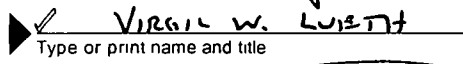
(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
None				

f Total number of other employees paid over \$100,000 ▶

- 51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None"

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
None		

d Total number of other independent contractors receiving over \$100,000 ▶

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	 Signature of officer		12 MAY 2010 Date	
Paid Preparer's Use Only	 Type or print name and title			
	Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (See instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4	5-11-10	☐	585-84-3555
	Beckham & Penner, PC PO Box 179, Socorro, NM 87801		☐	EIN ▶ 85-0272961 Phone no ▶ 575-835-1091

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Form 990-EZ (2009)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

New Mexico Geological Society Foundation, Inc

Employer identification number

68-0574310

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☐ Type I
 - b ☐ Type II
 - c ☒ Type III - Functionally integrated
 - d ☐ Type III - Other
- e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____
 - (ii) A family member of a person described in (i) above? _____
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above? _____

	Yes	No
11g(i)		X
11g(ii)		X
11g(iii)		X

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the US?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
NM Geological Society, Inc	23-7024819	Line 9; 501c(3)	X		X		X		10,316
Total									10,316

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2009

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3.						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		☐
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		☐
17a 10%-facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		☐
b 10%-facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		☐
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support. (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support. (Add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b, or Part III, line 12. Provide any other additional information. See instructions.

[illegible]

New Mexico Geological Society Foundation, Inc
Attachment to Form 990-EZ / Year 2009
EIN #68-0574310

Schedule I: Form 990-EZ, Page I, Part 1, Line 5c, Gain or Loss
Please see attached for detail

Securities	Various	Date		Sales Price	Cost or Basis	Gain/ Loss
		Acquired	Sold			
TRM/DEL #*****352		Various	Various	29,957	41,568	(11,611)
LAZ #*****442		Various	Various	49,076	64,421	(15,345)
NWQ #*****347		Various	Various	18,670	17,374	1,296
WEN #*****366		Various	Various	46,044	58,681	(12,637)
EAM-HQTAX #*****371		Various	Various	77,929	76,928	1,002
Totals				221,676	258,972	(37,296)

Schedule II Form 990-EZ, Page 1, Line 16 - Other Expenses

Bank & Brokerage Charges	\$ 13,007
Insurance	919
Taxes	\$ 3,382
Severance Tax on Royalties	477
Foreign Tax withheld on Dividends	10
Other Taxes & Licenses	<u>3,869</u>
Total Other Expenses	<u>\$ 17,795</u>

TRM/DEL

Realized Capital Gains/Losses

2009

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS								
46120E602/INTUITIVE SURGICAL								
2.00	02/24/09	08/10/09		460.93	199.25	0.00	261.68	0.00
2.00	03/02/09	09/21/09		497.53	174.00	0.00	323.53	0.00
2.00	02/24/09	09/21/09		497.53	199.24	0.00	298.29	0.00
SECURITY TOTAL:				1,455.99	572.49	0.00	883.50	0.00
552953101/MGM MIRAGE								
10.00	03/11/08	02/04/09		66.27	598.76	0.00	(532.49)	0.00
15.00	06/03/08	02/04/09		99.40	701.87	0.00	(602.47)	0.00
22.00	07/28/08	02/04/09		145.78	606.49	0.00	(460.71)	0.00
SECURITY TOTAL:				311.45	1,907.12	0.00	(1,595.67)	0.00
760975102/RESEARCH IN MOTION LIMITED								
3.00	10/09/08	03/10/09		111.48	176.70	0.00	(65.22)	0.00
92826C839/VISA INCORPORATED COM CLASS A								
4.00	03/27/08	02/20/09		223.20	255.38	0.00	(32.18)	0.00
G7945J104/SEAGATE TECHNOLOGY								
32.00	10/29/08	02/02/09		125.11	208.64	0.00	(83.53)	0.00
SUBTOTAL SHORT TERM GAIN LOSS				2,227.23	3,120.33	0.00	(893.10)	0.00
LONG TERM GAIN LOSS								
018490102/ALLERGAN INCORPORATED								
7.00	07/21/04	03/26/09		343.55	257.21	0.00	86.34	0.00
6.00	07/21/04	05/06/09		282.22	220.47	0.00	61.75	0.00
SECURITY TOTAL:				625.77	477.68	0.00	148.09	0.00
037833100/APPLE INCORPORATED								
6.00	10/24/07	02/20/09		541.28	1,097.57	0.00	(556.29)	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
037833100/APPLE INCORPORATED (Con't)							
1.00	10/29/07	10/21/09	207.44	185.35	0.00	22.09	0.00
2.00	10/24/07	10/21/09	414.88	365.86	0.00	49.02	0.00
1.00	10/29/07	11/20/09	199.98	185.34	0.00	14.64	0.00
SECURITY TOTAL:				1,834.12	0.00	(470.54)	0.00
12572Q105/CME GROUP INCORPORATED							
2.00	11/10/04	02/05/09	354.31	392.83	0.00	(38.52)	0.00
2.00	04/04/05	05/14/09	577.02	373.86	0.00	203.16	0.00
1.00	04/04/05	07/06/09	296.33	186.93	0.00	109.40	0.00
SECURITY TOTAL:				953.62	0.00	274.04	0.00
228227104/CROWN CASTLE INTERNATIONAL							
19.00	06/27/07	04/23/09	421.89	653.28	0.00	(231.39)	0.00
278642103/EBAY INCORPORATED							
10.00	04/04/05	03/02/09	106.18	372.97	0.00	(266.79)	0.00
23.00	02/18/05	03/02/09	244.22	975.85	0.00	(731.63)	0.00
36.00	11/01/05	03/02/09	382.26	1,443.24	0.00	(1,060.98)	0.00
4.00	11/01/05	03/06/09	42.04	160.36	0.00	(118.32)	0.00
12.00	03/10/06	03/06/09	126.12	455.21	0.00	(329.09)	0.00
14.00	03/16/06	03/06/09	147.14	545.58	0.00	(398.44)	0.00
65.00	07/17/06	03/06/09	683.14	1,718.73	0.00	(1,035.59)	0.00
SECURITY TOTAL:				5,671.94	0.00	(3,940.84)	0.00
368710406/GENENTECH INCORPORATED COM NEW							
2.00	07/21/04	02/05/09	163.80	99.48	0.00	64.32	0.00
5.00	07/21/04	02/20/09	425.11	248.70	0.00	176.41	0.00
6.00	07/21/04	02/20/09	509.21	298.44	0.00	210.77	0.00
4.00	09/04/07	03/27/09	380.00	306.72	0.00	73.28	0.00
12.00	08/10/07	03/27/09	1,140.00	861.93	0.00	278.07	0.00



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2009									
Realized Capital Gains/Losses (continued)									
CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost	
LONG TERM GAIN LOSS									
368710406/GENENTECH INCORPORATED COM NEW (Con't)									
	14.00	11/16/04	03/27/09	1,330.00	687.40	0.00	642.60	0.00	
	18.00	07/21/04	03/27/09	1,710.00	895.32	0.00	814.68	0.00	
SECURITY TOTAL:				5,658.12	3,397.99	0.00	2,260.13	0.00	
38259P508/GOOGLE INCORPORATED CLASS A									
	1.00	02/07/06	02/20/09	347.99	366.44	0.00	(18.45)	0.00	
	1.00	02/07/06	03/10/09	303.48	366.44	0.00	(62.96)	0.00	
	1.00	02/07/06	03/17/09	324.53	366.44	0.00	(41.91)	0.00	
	1.00	02/07/06	10/16/09	554.68	366.43	0.00	188.25	0.00	
SECURITY TOTAL:				1,530.68	1,465.75	0.00	64.93	0.00	
45865V100/INTERCONTINENTALEXCHANGE									
	5.00	10/19/06	04/23/09	410.35	404.40	0.00	5.95	0.00	
	3.00	10/19/06	05/01/09	264.93	242.64	0.00	22.29	0.00	
	2.00	10/19/06	05/06/09	199.99	161.76	0.00	38.23	0.00	
	3.00	10/19/06	05/14/09	303.49	242.63	0.00	60.86	0.00	
	2.00	10/24/06	06/30/09	234.14	166.53	0.00	67.61	0.00	
	2.00	10/24/06	07/06/09	221.13	166.54	0.00	54.59	0.00	
SECURITY TOTAL:				1,634.03	1,384.50	0.00	249.53	0.00	
461202103/INTUIT									
	25.00	08/24/04	03/02/09	558.53	515.62	0.00	42.91	0.00	
552953101/MGM MIRAGE									
	24.00	11/01/05	02/04/09	159.04	870.24	0.00	(711.20)	0.00	
	25.00	10/01/04	02/04/09	165.66	620.12	0.00	(454.46)	0.00	
SECURITY TOTAL:				324.70	1,490.36	0.00	(1,165.66)	0.00	
57636Q104/MASTERCARD INCORPORATED CLASS									
	5.00	12/14/07	01/07/09	762.52	1,094.23	0.00	(331.71)	0.00	

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
57636Q104/MASTERCARD INCORPORATED CLASS (Con't)							
2.00	12/20/07	01/26/09	248.07	413.08	0.00	(165.01)	0.00
2.00	12/14/07	01/26/09	248.07	437.69	0.00	(189.62)	0.00
3.00	12/18/07	01/26/09	372.10	604.14	0.00	(232.04)	0.00
1.00	12/20/07	10/28/09	223.23	206.54	0.00	16.69	0.00
1.00	02/05/08	10/29/09	227.91	214.92	0.00	12.99	0.00
2.00	01/16/08	10/29/09	455.82	355.83	0.00	99.99	0.00
SECURITY TOTAL:				3,326.43	0.00	(788.71)	0.00
74005P104/PRAXAIR INCORPORATED							
7.00	07/21/04	03/02/09	385.74	279.72	0.00	106.02	0.00
4.00	07/21/04	05/01/09	292.67	159.84	0.00	132.83	0.00
1.00	07/21/04	10/30/09	81.83	39.96	0.00	41.87	0.00
SECURITY TOTAL:				479.52	0.00	280.72	0.00
742718109/PROCTER & GAMBLE COMPANY							
9.00	07/21/04	08/10/09	466.27	378.00	0.00	88.27	0.00
747525103/QUALCOMM INCORPORATED							
40.00	07/21/04	02/05/09	1,394.12	1,405.58	0.00	(11.46)	0.00
8.00	07/21/04	02/20/09	273.05	281.12	0.00	(8.07)	0.00
11.00	07/21/04	11/06/09	480.01	386.53	0.00	93.48	0.00
SECURITY TOTAL:				2,073.23	0.00	73.95	0.00
760975102/RESEARCH IN MOTION LIMITED							
4.00	11/16/06	02/17/09	181.83	179.22	0.00	2.61	0.00
7.00	11/16/06	02/19/09	294.34	313.64	0.00	(19.30)	0.00
9.00	11/16/06	02/20/09	353.89	403.25	0.00	(49.36)	0.00
2.00	11/16/06	03/02/09	75.30	89.61	0.00	(14.31)	0.00
7.00	12/26/06	03/02/09	263.55	297.26	0.00	(33.71)	0.00
3.00	08/20/07	03/10/09	111.48	232.35	0.00	(120.87)	0.00



2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS								
760975102/RESEARCH IN MOTION LIMITED (Con't)	14.00	12/26/06	03/10/09	520.23	594.53	0.00	(74.30)	0.00
SECURITY TOTAL:				1,800.62	2,109.86	0.00	(309.24)	0.00
88076W103/TERADATA CORPORATION DEL	9.00	10/12/07	11/06/09	255.52	247.36	0.00	8.16	0.00
91324P102/UNITEDHEALTH GROUP	17.00	08/18/06	07/07/09	420.79	840.04	0.00	(419.25)	0.00
	21.00	11/01/05	07/07/09	519.80	1,222.62	0.00	(702.82)	0.00
	14.00	08/18/06	07/24/09	376.00	691.80	0.00	(315.80)	0.00
SECURITY TOTAL:				1,316.59	2,754.46	0.00	(1,437.87)	0.00
92343E102/VERISIGN INCORPORATED	13.00	05/16/08	05/27/09	306.06	521.46	0.00	(215.40)	0.00
	10.00	05/16/08	06/02/09	236.46	401.12	0.00	(164.66)	0.00
SECURITY TOTAL:				542.52	922.58	0.00	(380.06)	0.00
92826C839/VISA INCORPORATED COM CLASS A	4.00	03/27/08	07/23/09	268.61	255.38	0.00	13.23	0.00
	4.00	03/27/08	10/29/09	311.20	255.38	0.00	55.82	0.00
SECURITY TOTAL:				579.81	510.76	0.00	69.05	0.00
931422109/WALGREEN COMPANY	14.00	07/21/04	10/29/09	528.52	517.86	0.00	10.66	0.00
948626106/WEIGHT WATCHERS INTERNATIONAL	14.00	07/21/04	10/02/09	367.42	562.80	0.00	(195.38)	0.00
	16.00	07/21/04	11/12/09	422.24	643.20	0.00	(220.96)	0.00
SECURITY TOTAL:				789.66	1,206.00	0.00	(416.34)	0.00
G7945J104/SEAGATE TECHNOLOGY	131.00	03/23/06	01/26/09	432.30	3,153.50	0.00	(2,721.20)	0.00

2009 Realized Capital Gains/Losses (continued)									
CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost	
LONG TERM GAIN LOSS									
G7945J104/SEAGATE TECHNOLOGY (Con't)									
	12.00	05/09/06	02/02/09	46.92	318.36	0.00	(271.44)	0.00	
	14.00	12/13/06	02/02/09	54.73	361.85	0.00	(307.12)	0.00	
	30.00	06/20/06	02/02/09	117.29	632.48	0.00	(515.19)	0.00	
	32.00	01/25/08	02/02/09	125.11	677.78	0.00	(552.67)	0.00	
	39.00	06/02/06	02/02/09	152.48	932.45	0.00	(779.97)	0.00	
SECURITY TOTAL:				928.83	6,076.42	0.00	(5,147.59)	0.00	
SUBTOTAL LONG TERM GAIN LOSS				27,729.54	38,447.34	0.00	(10,717.80)	0.00	
GRAND TOTAL GAIN/LOSS				29,956.77	41,567.67	0.00	(11,610.90)	0.00	



Reference Description

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Realized Capital Gains/Losses

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
018805101/ALLIANZ SE SP ADR 1/10 SH							
10.00	10/14/08	01/16/09	86.32	117.09	0.00	(30.77)	0.00
74.00	03/25/08	01/16/09	638.77	1,388.90	0.00	(750.13)	0.00
41.00	03/17/09	12/03/09	519.69	323.33	0.00	196.36	0.00
SECURITY TOTAL:			1,244.78	1,829.32	0.00	(584.54)	0.00
05565A202/BNP PARIBAS SPONSORED ADR							
24.00	03/13/09	04/09/09	558.98	453.30	0.00	105.68	0.00
05964H105/BANCO SANTANDER SA							
109.00	10/14/08	01/20/09	759.51	1,561.96	0.00	(802.45)	0.00
06738E204/BARCLAYS PLC							
11.00	03/27/09	05/14/09	170.55	105.65	0.00	64.90	0.00
294821608/ERICSSON L M TEL COMPANY ADR B							
41.00	09/25/08	04/15/09	368.40	427.25	0.00	(58.85)	0.00
48.00	09/25/08	04/30/09	423.24	500.19	0.00	(76.95)	0.00
SECURITY TOTAL:			791.64	927.44	0.00	(135.80)	0.00
654902204/NOKIA CORPORATION SPONSORED							
52.00	02/04/09	09/29/09	765.30	663.18	0.00	102.12	0.00
756255105/RECKITT BENCKISER GROUP PLC							
104.00	02/20/09	08/06/09	949.68	821.03	0.00	128.65	0.00
14.00	02/20/09	10/27/09	138.43	110.52	0.00	27.91	0.00
111.00	03/02/09	10/27/09	1,097.59	842.49	0.00	255.10	0.00
SECURITY TOTAL:			2,185.70	1,774.04	0.00	411.66	0.00
780259206/ROYAL DUTCH SHELL PLC							
7.00	10/31/08	04/30/09	322.31	378.43	0.00	(56.12)	0.00

Realized Capital Gains/Losses (continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS								
87971M202/TELUS CORPORATION NON-VTG SHS	7.00	02/26/09	07/02/09	180.57	176.41	0.00	4.16	0.00
92857W209/VODAFONE GROUP PLC NEW	23.00	06/05/08	04/24/09	412.11	710.93	0.00	(298.82)	0.00
D18190898/DEUTSCHE BANK AG	18.00	06/25/09	10/19/09	1,504.71	1,089.80	0.00	414.91	0.00
SUBTOTAL SHORT TERM GAIN LOSS				8,896.16	9,670.46	0.00	(774.30)	0.00
LONG TERM GAIN LOSS								
00687A107/ADIDAS AG	15.00	01/22/08	05/05/09	256.65	431.06	0.00	(174.41)	0.00
	34.00	11/09/06	05/05/09	581.74	829.77	0.00	(248.03)	0.00
SECURITY TOTAL:				838.39	1,260.83	0.00	(422.44)	0.00
018805101/ALLIANZ SE SP ADR 1/10 SH	54.00	10/14/08	11/11/09	670.83	632.27	0.00	38.56	0.00
	113.00	11/04/08	11/11/09	1,403.78	1,011.13	0.00	392.65	0.00
	12.00	11/04/08	12/03/09	152.11	107.38	0.00	44.73	0.00
SECURITY TOTAL:				2,226.72	1,750.78	0.00	475.94	0.00
05523R107/BAE SYSTEMS PLC SPONSORED ADR	6.00	04/02/08	06/26/09	136.10	238.25	0.00	(102.15)	0.00
	39.00	03/25/08	06/26/09	884.63	1,496.99	0.00	(612.36)	0.00
	11.00	04/16/08	07/02/09	234.01	407.58	0.00	(173.57)	0.00
	34.00	04/02/08	07/02/09	723.29	1,350.05	0.00	(626.76)	0.00
SECURITY TOTAL:				1,978.03	3,492.87	0.00	(1,514.84)	0.00
05964H105/BANCO SANTANDER SA	85.00	10/23/07	01/20/09	592.27	1,714.05	0.00	(1,121.78)	0.00



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Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
25243Q205/DIAGEO P L C SPON ADR NEW							
7.00	07/21/04	02/12/09	353.54	352.80	0.00	0.74	0.00
17.00	07/21/04	03/19/09	730.53	856.80	0.00	(126.27)	0.00
5.00	11/15/04	03/26/09	219.42	278.50	0.00	(59.08)	0.00
15.00	07/21/04	03/26/09	658.25	756.00	0.00	(97.75)	0.00
SECURITY TOTAL:			1,961.74	2,244.10	0.00	(282.36)	0.00
26874R108/ENI S P A SPONSORED ADR							
13.00	07/21/04	03/06/09	412.63	529.88	0.00	(117.25)	0.00
268780103/E ON AG SPONSORED ADR							
13.00	12/19/07	07/09/09	424.16	892.78	0.00	(468.62)	0.00
16.00	11/15/07	07/09/09	522.04	1,053.44	0.00	(531.40)	0.00
6.00	02/15/08	09/18/09	254.14	382.29	0.00	(128.15)	0.00
12.00	12/19/07	09/18/09	508.28	824.10	0.00	(315.82)	0.00
2.00	02/15/08	10/05/09	81.02	127.43	0.00	(46.41)	0.00
13.00	03/12/08	10/05/09	526.65	835.78	0.00	(309.13)	0.00
15.00	05/09/08	10/05/09	607.67	990.02	0.00	(382.35)	0.00
SECURITY TOTAL:			2,923.96	5,105.84	0.00	(2,181.88)	0.00
294821608/ERICSSON L M TEL COMPANY ADR B							
63.00	07/10/07	04/15/09	566.07	1,339.48	0.00	(773.41)	0.00
12.00	09/25/08	11/06/09	122.97	125.05	0.00	(2.08)	0.00
91.00	10/14/08	11/06/09	932.56	651.30	0.00	281.26	0.00
SECURITY TOTAL:			1,621.60	2,115.83	0.00	(494.23)	0.00
36160B105/GDF SUEZ SPONS ADR							
15.50	09/20/06	07/09/09	532.99	719.67	0.00	(186.68)	0.00
21.50	07/24/06	07/09/09	739.32	911.22	0.00	(171.90)	0.00
3.86	09/19/07	09/18/09	175.01	227.18	0.00	(52.17)	0.00
13.14	09/20/06	09/18/09	595.06	609.93	0.00	(14.87)	0.00

Realized Capital Gains/Losses (continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS								
36160B105/GDF SUEZ SPONS ADR (Con't)								
	8.00	10/14/08	10/22/09	358.02	360.00	0.00	(1.98)	0.00
	20.00	09/19/07	10/22/09	895.04	1,176.03	0.00	(280.99)	0.00
SECURITY TOTAL:				3,295.44	4,004.03	0.00	(708.59)	0.00
399449107/GROUPE DANONE SPONSORED ADR								
	9.00	11/14/07	03/20/09	81.87	154.28	0.00	(72.41)	0.00
	85.00	01/16/08	03/20/09	773.21	1,455.37	0.00	(682.16)	0.00
SECURITY TOTAL:				855.08	1,609.65	0.00	(754.57)	0.00
404280406/HSBC HLDGS PLC								
	19.00	11/04/05	01/14/09	794.87	1,510.31	0.00	(715.44)	0.00
423012202/HEINEKEN N V								
	5.00	07/21/04	10/12/09	109.75	79.62	0.00	30.13	0.00
	36.00	11/15/04	10/12/09	790.19	576.90	0.00	213.29	0.00
SECURITY TOTAL:				899.94	656.52	0.00	243.42	0.00
453142101/IMPERIAL TOBACCO GROUP PLC								
	12.00	11/04/05	07/02/09	616.13	702.24	0.00	(86.11)	0.00
641069406/NESTLE S A SPONSORED ADR								
	7.50	11/15/04	02/13/09	242.10	196.20	0.00	45.90	0.00
	17.50	07/21/04	02/13/09	564.90	462.70	0.00	102.20	0.00
	17.00	11/15/04	08/11/09	688.30	444.72	0.00	243.58	0.00
SECURITY TOTAL:				1,495.30	1,103.62	0.00	391.68	0.00
654902204/NOKIA CORPORATION SPONSORED								
	41.00	11/04/05	07/16/09	550.04	710.12	0.00	(160.08)	0.00
	70.00	07/21/04	07/16/09	939.10	848.40	0.00	90.70	0.00
	9.00	11/04/05	09/29/09	132.46	155.88	0.00	(23.42)	0.00
	14.00	05/09/08	09/29/09	206.04	404.96	0.00	(198.92)	0.00
SECURITY TOTAL:				1,827.64	2,119.36	0.00	(291.72)	0.00



Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS								
66987V109/NOVARTIS A G SPONSORED ADR								
15.00	07/21/04	03/20/09	560.46	682.95	0.00	0.00	(122.49)	0.00
10.00	11/15/04	04/20/09	357.65	496.80	0.00	0.00	(139.15)	0.00
15.00	02/02/06	04/20/09	536.48	830.40	0.00	0.00	(293.92)	0.00
20.00	07/21/04	04/20/09	715.30	910.60	0.00	0.00	(195.30)	0.00
SECURITY TOTAL:				2,169.89	2,920.75	0.00	(750.86)	0.00
74435K204/PRUDENTIAL PLC								
7.00	08/03/07	01/14/09	71.15	203.31	0.00	0.00	(132.16)	0.00
12.00	07/20/07	01/14/09	121.96	361.55	0.00	0.00	(239.59)	0.00
18.00	08/03/07	02/24/09	139.31	522.78	0.00	0.00	(383.47)	0.00
18.00	12/11/07	02/24/09	139.31	533.86	0.00	0.00	(394.55)	0.00
25.00	09/28/07	02/24/09	193.49	770.17	0.00	0.00	(576.68)	0.00
13.00	12/11/07	04/07/09	134.48	385.56	0.00	0.00	(251.08)	0.00
31.00	10/14/08	11/03/09	560.24	491.22	0.00	0.00	69.02	0.00
35.00	12/11/07	11/03/09	632.52	1,038.06	0.00	0.00	(405.54)	0.00
SECURITY TOTAL:				1,992.46	4,306.51	0.00	(2,314.05)	0.00
771195104/ROCHE HLDG LIMITED SPONSORED								
28.00	04/19/07	06/17/09	920.50	1,340.46	0.00	0.00	(419.96)	0.00
2.00	04/19/07	09/09/09	78.35	95.75	0.00	0.00	(17.40)	0.00
34.00	05/02/07	09/09/09	1,331.96	1,602.16	0.00	0.00	(270.20)	0.00
SECURITY TOTAL:				2,330.81	3,038.37	0.00	(707.56)	0.00
780259206/ROYAL DUTCH SHELL PLC								
3.00	07/05/07	03/19/09	139.04	248.85	0.00	0.00	(109.81)	0.00
5.00	11/15/04	03/19/09	231.74	278.20	0.00	0.00	(46.46)	0.00
20.00	07/21/04	03/19/09	926.96	1,029.40	0.00	0.00	(102.44)	0.00
13.00	07/05/07	04/30/09	598.57	1,078.36	0.00	0.00	(479.79)	0.00
SECURITY TOTAL:				1,896.31	2,634.81	0.00	(738.50)	0.00

Realized Capital Gains/Losses (continued)

CUSIP/Security Description		Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
Quantity								
LONG TERM GAIN LOSS								
82929R304/SINGAPORE TELECOMMUNICATNS								
31.00		08/07/07	11/05/09	636.51	703.16	0.00	(66.65)	0.00
52.00		12/19/06	11/05/09	1,067.70	1,107.66	0.00	(39.96)	0.00
SECURITY TOTAL:				1,704.21	1,810.82	0.00	(106.61)	0.00
87971M202/TELUS CORPORATION NON-VTG SHS								
16.00		01/22/08	05/06/09	394.53	629.17	0.00	(234.64)	0.00
35.00		01/02/08	05/06/09	863.03	1,702.38	0.00	(839.35)	0.00
7.00		02/27/08	07/02/09	180.57	325.74	0.00	(145.17)	0.00
9.00		01/22/08	07/02/09	232.16	353.91	0.00	(121.75)	0.00
19.00		05/23/08	07/02/09	490.12	893.25	0.00	(403.13)	0.00
SECURITY TOTAL:				2,160.41	3,904.45	0.00	(1,744.04)	0.00
89151E109/TOTAL S A SPONSORED ADR								
11.00		07/21/04	06/17/09	595.17	527.12	0.00	68.05	0.00
904767704/UNILEVER PLC SPON ADR NEW								
24.00		11/15/04	11/19/09	710.07	484.80	0.00	225.27	0.00
36.00		07/21/04	11/19/09	1,065.10	749.40	0.00	315.70	0.00
SECURITY TOTAL:				1,775.17	1,234.20	0.00	540.97	0.00
92857W209/VODAFONE GROUP PLC NEW								
48.00		11/04/05	01/08/09	1,018.59	1,438.35	0.00	(419.76)	0.00
28.00		11/04/05	04/24/09	501.69	839.04	0.00	(337.35)	0.00
SECURITY TOTAL:				1,520.28	2,277.39	0.00	(757.11)	0.00
98982M107/ZURICH FINL SVCS								
20.00		12/12/07	11/18/09	458.19	606.05	0.00	(147.86)	0.00
54.00		09/12/07	11/18/09	1,237.10	1,570.46	0.00	(333.36)	0.00
SECURITY TOTAL:				1,695.29	2,176.51	0.00	(481.22)	0.00
SUBTOTAL LONG TERM GAIN LOSS				40,179.74	54,750.84	0.00	(14,571.10)	0.00



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Realized Capital Gains/Losses (continued)

CUSIP/Security Description		Date Acquired	Date Sold	Sale Proceeds	Original Cost		Adj/Avg Cost		Gain/Loss	
Quantity					Basis	Basis	Orig. Cost	Adj/Avg Cost	Gain/Loss	Adj/Avg Cost
GRAND TOTAL GAIN/LOSS				49,075.90	64,421.30	0.00	0.00	(15,345.40)	✓	0.00

Realized Capital Gains/Losses

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
00846U101/AGILENT TECHNOLOGIES							
12.00	12/19/08	08/31/09	307.33	197.73	0.00	109.60	0.00
10.00	12/19/08	09/30/09	278.78	164.78	0.00	114.00	0.00
10.00	12/19/08	10/08/09	275.51	164.78	0.00	110.73	0.00
43.00	12/19/08	10/09/09	1,180.43	708.53	0.00	471.90	0.00
SECURITY TOTAL:				1,235.82	0.00	806.23	0.00
035128206/ANGLOGOLD ASHANTI LIMITED							
6.00	04/11/08	03/06/09	185.41	208.10	0.00	(22.69)	0.00
20030N200/COMCAST CORPORATION NEW CLASS							
18.00	08/19/09	12/21/09	298.52	251.02	0.00	47.50	0.00
912909108/UNITED STATES STEEL							
12.00	09/18/08	06/29/09	447.15	1,079.58	0.00	(632.43)	0.00
SUBTOTAL SHORT TERM GAIN LOSS				2,774.52	0.00	198.61	0.00
LONG TERM GAIN LOSS							
00846U101/AGILENT TECHNOLOGIES							
1.00	03/16/07	08/31/09	25.61	31.68	0.00	(6.07)	0.00
7.00	11/17/04	08/31/09	179.27	153.15	0.00	26.12	0.00
25.00	03/15/07	08/31/09	640.26	791.75	0.00	(151.49)	0.00
SECURITY TOTAL:				976.58	0.00	(131.44)	0.00
02209S103/ALTRIA GROUP INCORPORATED							
58.00	07/21/04	01/30/09	979.94	655.98	0.00	323.96	0.00
035128206/ANGLOGOLD ASHANTI LIMITED							
13.00	04/11/08	06/02/09	546.79	450.89	0.00	95.90	0.00
5.00	04/11/08	09/11/09	215.69	173.42	0.00	42.27	0.00



2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
035128206/ANGLOGOLD ASHANTI LIMITED(Con't)	12.00	04/11/08 09/14/09	515.99	416.21	0.00	99.78	0.00
SECURITY TOTAL:			1,278.47	1,040.52	0.00	237.95	0.00
20030N200/COMCAST CORPORATION NEW CLASS	30.00	01/26/06 10/05/09	436.15	548.00	0.00	(111.85)	0.00
	6.00	11/26/07 10/07/09	88.21	114.79	0.00	(26.58)	0.00
	19.00	01/26/06 10/07/09	279.35	347.07	0.00	(67.72)	0.00
	16.00	11/26/07 10/08/09	238.85	306.12	0.00	(67.27)	0.00
	29.00	11/26/07 12/21/09	480.95	554.83	0.00	(73.88)	0.00
SECURITY TOTAL:			1,523.51	1,870.81	0.00	(347.30)	0.00
26875P101/EOG RES INCORPORATED	5.00	11/12/08 11/16/09	451.32	382.47	0.00	68.85	0.00
	12.00	11/12/08 11/24/09	1,056.94	917.92	0.00	139.02	0.00
SECURITY TOTAL:			1,508.26	1,300.39	0.00	207.87	0.00
452308109/ILLINOIS TOOL WKS INCORPORATED	36.00	02/28/08 04/15/09	1,125.08	1,789.47	0.00	(664.39)	0.00
46625H100/JPMORGAN CHASE & COMPANY	5.00	07/21/04 08/31/09	217.12	187.60	0.00	29.52	0.00
50075N104/KRAFT FOODS INCORPORATED CLASS	15.00	07/21/04 09/14/09	391.49	251.61	0.00	139.88	0.00
	25.00	07/21/04 09/15/09	652.09	419.34	0.00	232.75	0.00
SECURITY TOTAL:			1,043.58	670.95	0.00	372.63	0.00
544147101/LORILLARD INCORPORATED	2.50	10/17/07 03/25/09	162.30	176.70	0.00	(14.40)	0.00
	4.50	11/09/07 03/25/09	292.13	294.98	0.00	(2.85)	0.00
	20.00	11/09/07 07/06/09	1,398.00	1,311.04	0.00	86.96	0.00
SECURITY TOTAL:			1,852.43	1,782.72	0.00	69.71	0.00

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
594918104/MICROSOFT CORPORATION							
10.00	02/02/05	10/23/09	283.37	263.35	0.00	20.02	0.00
25.00	02/02/05	10/26/09	722.83	658.37	0.00	64.46	0.00
3.00	06/27/05	11/05/09	85.69	75.50	0.00	10.19	0.00
31.00	02/02/05	11/05/09	885.44	816.39	0.00	69.05	0.00
28.00	06/27/05	12/16/09	845.35	704.65	0.00	140.70	0.00
SECURITY TOTAL:			2,822.68	2,518.26	0.00	304.42	0.00
655044105/NOBLE ENERGY INCORPORATED							
7.00	12/16/04	12/24/09	509.90	206.98	0.00	302.92	0.00
10.00	02/02/05	12/24/09	728.44	298.66	0.00	429.78	0.00
SECURITY TOTAL:			1,238.34	505.64	0.00	732.70	0.00
755111507/RAYTHEON COMPANY COM NEW							
10.00	07/21/04	12/22/09	524.63	334.50	0.00	190.13	0.00
912909108/UNITED STATES STEEL							
13.00	09/25/06	06/29/09	484.42	706.45	0.00	(222.03)	0.00
949746101/WELLS FARGO & COMPANY NEW							
9.00	07/21/04	08/24/09	253.69	260.05	0.00	(6.36)	0.00
SUBTOTAL LONG TERM GAIN LOSS			15,697.29	14,599.92	0.00	1,097.37	0.00
GRAND TOTAL GAIN/LOSS			18,670.42	17,374.44	0.00	1,295.98 ✓	0.00



Realized Capital Gains/Losses

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
153527205/CENTRAL GARDEN & PET COMPANY							
260.00	09/24/08	03/05/09	1,713.39	1,349.40	0.00	363.99	0.00
200340107/COMERICA INCORPORATED							
140.00	03/03/09	04/23/09	2,893.68	2,057.69	0.00	835.99	0.00
311642102/FARO TECHNOLOGIES INCORPORATED							
160.00	10/16/08	07/29/09	2,544.87	2,931.28	0.00	(386.41)	0.00
315405100/FERRO CORPORATION							
680.00	03/11/09	08/05/09	3,832.25	1,428.75	0.00	2,403.50	0.00
41457P106/HARRIS STRATEX NTRKS							
40.00	03/31/09	07/21/09	252.39	149.52	0.00	102.87	0.00
130.00	09/24/08	07/21/09	820.25	999.48	0.00	(179.23)	0.00
SECURITY TOTAL:			1,072.64	1,149.00	0.00	(76.36)	0.00
486587108/KAYDON CORPORATION							
90.00	08/04/08	02/18/09	2,352.18	4,265.89	0.00	(1,913.71)	0.00
564563104/MANTECH INTERNATIONAL							
40.00	02/18/09	05/07/09	1,484.73	2,332.20	0.00	(847.47)	0.00
574795100/MASIMO CORPORATION							
95.00	02/10/09	05/20/09	2,162.22	2,595.79	0.00	(433.57)	0.00
820280105/SHAW GROUP INCORPORATED							
90.00	05/20/09	10/19/09	2,582.77	2,615.32	0.00	(32.55)	0.00
SUBTOTAL SHORT TERM GAIN LOSS			20,638.73	20,725.32	0.00	(86.59)	0.00
LONG TERM GAIN LOSS							
01988P108/ALLSCRIPT MISYS HEALTCA SOLNS							

Realized Capital Gains/Losses (continued)

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
2009								
153527106/CENTRAL GARDEN & PET COMPANY								
70.00	04/19/05	01/22/09		560.17	954.02	0.00	(393.85)	0.00
70.00	04/13/05	01/22/09		560.17	970.81	0.00	(410.64)	0.00
85.00	04/18/05	01/22/09		680.20	1,121.54	0.00	(441.34)	0.00
SECURITY TOTAL:				1,800.54	3,046.37	0.00	(1,245.83)	0.00
153527205/CENTRAL GARDEN & PET COMPANY								
95.00	07/21/04	03/03/09		620.18	997.08	0.00	(376.90)	0.00
190.00	07/21/04	03/05/09		1,252.09	1,949.82	0.00	(697.73)	0.00
29481V108/ERESEARCHTECHNOLOGY								
192.00	09/24/08	11/12/09		1,217.74	2,102.34	0.00	(884.60)	0.00
15.00	09/25/08	11/13/09		94.60	170.54	0.00	(75.94)	0.00
127.00	09/24/08	11/13/09		800.95	1,390.61	0.00	(589.66)	0.00
46.00	09/25/08	11/16/09		289.56	522.98	0.00	(233.42)	0.00
SECURITY TOTAL:				2,402.85	4,186.47	0.00	(1,783.62)	0.00
315405100/FERRO CORPORATION								
65.00	03/22/05	08/05/09		366.32	1,233.38	0.00	(867.06)	0.00
130.00	03/21/05	08/05/09		732.64	2,451.74	0.00	(1,719.10)	0.00
SECURITY TOTAL:				1,098.96	3,685.12	0.00	(2,586.16)	0.00
41457P106/HARRIS STRATEX NTRKS								
67.00	08/16/07	07/21/09		422.74	1,299.12	0.00	(876.38)	0.00
163.00	08/17/07	07/21/09		1,028.47	3,234.78	0.00	(2,206.31)	0.00
SECURITY TOTAL:				1,451.21	4,533.90	0.00	(3,082.69)	0.00
422704106/HECLA MANAGED COMPANY								
205.00	11/06/06	10/20/09		967.59	1,393.32	0.00	(425.73)	0.00
501889208/LKQ CORPORATION								
36.00	12/18/06	03/06/09		437.76	418.94	0.00	18.82	0.00
274.00	12/19/06	03/06/09		3,331.87	3,191.32	0.00	140.55	0.00
SECURITY TOTAL:				3,769.63	3,610.26	0.00	159.37	0.00



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Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
740215108/PRECISION DRILLING TR							
10.07	05/01/06	08/25/09	58.18	153.11	0.00	(94.93)	0.00
24.62	05/02/06	08/25/09	142.26	435.10	0.00	(292.84)	0.00
52.30	05/01/06	08/25/09	302.17	795.11	0.00	(492.94)	0.00
SECURITY TOTAL:			502.61	1,383.32	0.00	(880.71)	0.00
740215116/PRECISION DRILLING TR							
10.07	05/01/06	05/19/09	3.62	0.01	0.00	3.61	0.00
24.62	05/02/06	05/19/09	8.86	0.01	0.00	8.85	0.00
52.30	05/01/06	05/19/09	18.82	0.01	0.00	18.81	0.00
SECURITY TOTAL:			31.30	0.03	0.00	31.27	0.00
74439H108/PSYCHIATRIC SOLUTIONS							
60.00	02/07/06	02/10/09	1,652.48	1,950.00	0.00	(297.52)	0.00
70.00	02/06/06	02/10/09	1,927.89	2,292.55	0.00	(364.66)	0.00
SECURITY TOTAL:			3,580.37	4,242.55	0.00	(662.18)	0.00
88632Q103/TIBCO SOFTWARE INCORPORATED							
520.00	03/02/05	07/14/09	3,875.77	3,687.48	0.00	188.29	0.00
902104108/II VI INCORPORATED							
47.00	04/14/08	12/10/09	1,371.75	1,803.89	0.00	(432.14)	0.00
15.00	05/06/08	12/11/09	438.38	554.30	0.00	(115.92)	0.00
43.00	04/14/08	12/11/09	1,256.69	1,650.37	0.00	(393.68)	0.00
SECURITY TOTAL:			3,066.82	4,008.56	0.00	(941.74)	0.00
92275P307/VENOCO INCORPORATED							
65.00	07/06/07	10/20/09	985.22	1,231.26	0.00	(246.04)	0.00
SUBTOTAL LONG TERM GAIN LOSS			25,405.14	37,955.54	0.00	(12,550.40)	0.00
GRAND TOTAL GAIN/LOSS			46,043.87	58,680.86	0.00	(12,636.99)	0.00

Realized Capital Gains/Losses

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
2003NAL5/COMCAST CORPORATION							
1000.00	05/07/09	11/20/09	1,086.44	1,010.60	0.00	75.84	0.00
31396JSK2/FHLMC REMIC SERIES R006							
5000.00	06/04/09	10/29/09	2,202.96	2,177.07	0.00	25.89	0.00
337738AF5/FISERV, INC.							
2000.00	10/31/08	03/09/09	1,956.94	1,777.88	0.00	179.06	0.00
337932AB3/FIRSTENERGY CORP.							
1000.00	05/07/09	09/02/09	1,092.50	1,046.12	0.00	46.38	0.00
1000.00	05/07/09	09/08/09	1,092.50	1,046.12	0.00	46.38	0.00
SECURITY TOTAL:				2,092.24	0.00	92.76	0.00
45687AAB8/INGERSOLL-RAND GLOBAL HOLDING							
2000.00	08/12/08	03/19/09	1,944.80	1,999.14	0.00	(54.34)	0.00
46625HHB9/JPMORGAN CHASE & CO.							
2000.00	04/21/08	02/19/09	1,967.42	1,993.66	0.00	(26.24)	0.00
1000.00	07/28/09	10/06/09	1,057.21	1,038.17	0.00	19.04	0.00
SECURITY TOTAL:				3,031.83	0.00	(7.20)	0.00
594918AC8/MICROSOFT CORPORATION							
2000.00	05/12/09	08/06/09	2,006.56	2,006.96	0.00	(0.40)	0.00
629491AA9/NYSE EURONEXT.							
3000.00	05/22/08	02/11/09	2,978.52	2,979.84	0.00	(1.32)	0.00
767201AE6/RIO TINTO FIN USA LTD							
1000.00	06/24/08	04/08/09	920.00	996.25	0.00	(76.25)	0.00
816851AJ8/SEMPRA ENERGY							
2000.00	09/19/08	04/01/09	1,858.16	1,897.84	0.00	(39.68)	0.00

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
893830AS8/TRANSOCEAN SEDCO FOREX INC.							
1000.00	07/17/08	05/11/09	1,002.89	999.18	0.00	3.71	0.00
912828EW6/UNITED STATES TREASURY NOTES							
1000.00	05/15/08	01/14/09	1,183.13	1,058.79	0.00	124.34	0.00
912828HC7/UNITED STATES TREASURY NOTES							
2000.00	07/15/09	10/14/09	2,156.17	2,156.64	0.00	(0.47)	0.00
912828JH4/UNITED STATES TREASURY NOTES							
2000.00	10/06/08	06/02/09	2,062.50	2,091.33	0.00	(28.83)	0.00
5000.00	10/06/08	07/15/09	5,183.40	5,228.32	0.00	(44.92)	0.00
2000.00	10/06/08	09/16/09	2,085.54	2,091.33	0.00	(5.79)	0.00
SECURITY TOTAL:			9,331.44	9,410.98	0.00	(79.54)	0.00
SUBTOTAL SHORT TERM GAIN LOSS			33,837.64	33,595.24	0.00	242.40	0.00
LONG TERM GAIN LOSS							
037411AS4/APACHE CORPORATION							
2000.00	11/07/07	09/24/09	2,166.48	2,019.22	0.00	147.26	0.00
060505AG9/BANK OF AMERICA CORPORATION							
2000.00	09/27/05	02/04/09	1,987.70	2,246.62	0.00	(258.92)	0.00
06406HB8/THE BANK OF NEW YORK							
2000.00	12/13/07	02/20/09	2,036.76	1,984.22	0.00	52.54	0.00
08464AT8/BERKSHIRE HATHAWAY FINANCE COR							
3000.00	01/26/07	10/21/09	3,239.64	2,887.20	0.00	352.44	0.00
20825UAB0/CONOCO FUNDING COMPANY							
3000.00	04/22/05	01/07/09	3,189.33	3,291.24	0.00	(101.91)	0.00



Realized Capital Gains/Losses (continued)

2009	Realized Capital Gains/Losses (continued)						
CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
251563CG5/DEUTSCHE MTG SECS 2004-1 10000.00	08/06/04	07/20/09	2,889.10	3,083.11	0.00	(194.01)	0.00
31396JSK2/FHLMC REMIC SERIES R006 7000.00	07/25/07	10/29/09	3,084.14	2,933.30	0.00	150.84	0.00
31396NPF7/FHLMC REMIC SERIES R007 9000.00	04/12/07	09/15/09	3,268.54	3,170.57	0.00	97.97	0.00
31397KPG0/FHLMC REMIC SERIES R014 5000.00	10/16/07	12/03/09	1,810.56	1,757.42	0.00	53.14	0.00
36828QQA7/GE CAP CMBS 2005-C4 6000.00	05/05/06	04/29/09	5,640.00	5,942.81	0.00	(302.81)	0.00
494368BB8/KIMBERLY-CLARK CORPORATION 3000.00	08/17/07	09/16/09	3,389.94	3,060.48	0.00	329.46	0.00
532457BB3/ELI LILLY AND COMPANY 3000.00	03/08/07	12/16/09	3,226.53	2,997.90	0.00	228.63	0.00
565849AF3/MARATHON OIL CORPORATION 2000.00	03/12/08	05/12/09	1,930.10	1,995.84	0.00	(65.74)	0.00
68402LAE4/ORACLE CORPORATION 3000.00	06/06/06	06/30/09	3,138.96	2,920.02	0.00	218.94	0.00
91159HGJ3/U.S. BANCORP 3000.00	06/05/08	07/08/09	3,094.02	3,042.57	0.00	51.45	0.00
SUBTOTAL LONG TERM GAIN LOSS			44,091.80	43,332.52	0.00	759.28	0.00
GRAND TOTAL GAIN/LOSS			77,929.44	76,927.76	0.00	1,001.68	0.00