Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: D.I.C.E FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 1910 E. KIMBERLY RD. Room/suite

City or town, state, and ZIP code: DAVENPORT, IA 52807

A Employer identification number: 68-0488318

B Telephone number

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 783,326.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	160,500.			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,993.	1,993.	1,993.	STATEMENT 1
	4 Dividends and interest from securities	14,802.	14,802.	14,802.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	99.			
	b Gross sales price for all assets on line 6a 362,242.				
	7 Capital gain net income (from Part IV, line 2)		99.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	177,394.	16,894.	16,795.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	114.	114.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	2,027.	2,027.	0.	0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	2,141.	2,141.	0.	0.
	25 Contributions, gifts, grants paid	5,975.			5,975.
	26 **Total expenses and disbursements.** Add lines 24 and 25	8,116.	2,141.	0.	5,975.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	169,278.			
	b **Net investment income** (if negative, enter -0-)		14,753.		
	c **Adjusted net income** (if negative, enter -0-)			16,795.	

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16340503 099932 FOUN8318DAV 2009.03051 D.I.C.E FOUNDATION FOUN8311

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	10,125.	9,257.	9,257.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	5,005.	40,021.	39,734.
	b Investments - corporate stock STMT 6	307,840.	546,446.	576,920.
	c Investments - corporate bonds STMT 7	30,347.	41,067.	42,253.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	250,964.	133,206.	115,162.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	604,281.	769,997.	783,326.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	604,281.	769,997.	
	30 **Total net assets or fund balances**	604,281.	769,997.	
	31 **Total liabilities and net assets/fund balances**	604,281.	769,997.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	604,281.
2 Enter amount from Part I, line 27a	2	169,278.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	773,559.
5 Decreases not included in line 2 (itemize) ▶ NET ASSET ADJUSTMENT	5	3,562.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	769,997.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH - SEE ATTACHED	P	VARIOUS	VARIOUS
b	MERRILL LYNCH - SEE ATTACHED	P	VARIOUS	VARIOUS
c	MERRILL LYNCH - SEE ATTACHED	P	VARIOUS	VARIOUS
d	MERRILL LYNCH - SEE ATTACHED	P	VARIOUS	VARIOUS
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	96,924.		78,861.	18,063.
b	724.		869.	-145.
c	124,155.		91,759.	32,396.
d	140,439.		190,654.	-50,215.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				18,063.
b				-145.
c				32,396.
d				-50,215.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	99.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	17,918.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,950.	550,486.	.003542
2007	2,475.	425,773.	.005813
2006	0.	307,755.	.000000
2005	161,782.	181,141.	.893127
2004	22,832.	399,451.	.057158

2 **Total** of line 1, column (d)	2	.959640
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.191928
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	628,629.
5 Multiply line 4 by line 3	5	120,652.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	148.
7 Add lines 5 and 6	7	120,800.
8 Enter qualifying distributions from Part XII, line 4	8	5,975.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	295.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	295.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	295.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	295.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X

Website address ▶ N/A

14 The books are in care of ▶ MICHAEL DUFFY Telephone no. ▶ 563-359-3200

Located at ▶ 1910 E KIMBERLY RD, DAVENPORT, IA ZIP+4 ▶ 52807

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL L. DUFFY 7400 JERSEY RIDGE ROAD DAVENPORT, IA 52807	PRESIDENT 1.00	0.	0.	0.
LINDA R. DUFFY 7400 JERSEY RIDGE ROAD DAVENPORT, IA 52807	VICE PRESIDENT, SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services	▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	627,727.
b	Average of monthly cash balances	1b	10,475.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	638,202.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	638,202.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,573.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	628,629.
6	**Minimum investment return.** Enter 5% of line 5	6	31,431.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,431.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a 295.		
b	Income tax for 2009. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	295.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,136.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,136.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,136.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,975.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,975.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,975.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				31,136.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005 75,457.				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	75,457.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 5,975.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				5,975.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	25,161.			25,161.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	50,296.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	50,296.			
10 Analysis of line 9:				
a Excess from 2005 50,296.				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED		PUBLIC	UNRESTRICTED	5,975.
Total ▶ 3a				5,975.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,993.	
4 Dividends and interest from securities			14	14,802.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	99.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		16,894.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 16,894.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5/10/10 — Title President

Paid Preparer's Use Only

Preparer's signature		Date 5/6/10	Check if self-employed ☐ — Preparer's identifying number P00529881
Firm's name (or yours if self-employed), address, and ZIP code	DELOITTE TAX LLP 101 WEST SECOND STREET DAVENPORT, IA 52801		EIN 86-1065772 Phone no. 563-322-4415

Form 990-PF (2009)

923622 02-02-10

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH ACCOUNT	1,682.
MERRILL LYNCH ORIGINAL ISSUE DISCOUNT	206.
MERRILL LYNCH US TREASURY INTEREST	105.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,993.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
EDWARD JONES ACCOUNT	5,540.	0.	5,540.
MERRILL LYNCH ACCOUNT	9,262.	0.	9,262.
TOTAL TO FM 990-PF, PART I, LN 4	14,802.	0.	14,802.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	114.	114.	0.	0.
TO FORM 990-PF, PG 1, LN 18	114.	114.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEES & COMMISSIONS	1,751.	1,751.	0.	0.
ACCRUED INTEREST EXPENSE	276.	276.	0.	0.
TO FORM 990-PF, PG 1, LN 23	2,027.	2,027.	0.	0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH INVESTMENT ACCOUNT	X		40,021.	39,734.
TOTAL U.S. GOVERNMENT OBLIGATIONS			40,021.	39,734.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			40,021.	39,734.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH INVESTMENT ACCOUNT	546,446.	576,920.
TOTAL TO FORM 990-PF, PART II, LINE 10B	546,446.	576,920.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH INVESTMENT ACCOUNT	41,067.	42,253.
TOTAL TO FORM 990-PF, PART II, LINE 10C	41,067.	42,253.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH INVESTMENT ACCOUNT	COST	133,206.	115,162.
TOTAL TO FORM 990-PF, PART II, LINE 13		133,206.	115,162.

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
CVS CAREMARK CORP	31.0000	12/02/09	12/16/09			984.87	951 70	33.17
CATERPILLAR INC DEL	3.0000	05/21/09	12/01/09			178.89	109.94	68.95
	3.0000	08/24/09	12/01/09			178.89	138.87	40.02
	2 0000	11/23/09	12/01/09			119.27	118.12	1.15
	2 0000	02/23/09	12/01/09			119 26	53.99	65.27
	100.0000	04/08/09	12/01/09			5,963 00	3,045 00	2,918 00
		Security Subtotal				6,559.31	3,465.92	3,093.39
CISCO SYSTEMS INC COM	100 0000	04/08/09	12/01/09			2,367 21	1,781 00	586.21
CONTINENTAL AIRLS CL B	200 0000	12/02/09	12/16/09			3,515.91	3,060 00	455 91
DEVON ENERGY CORP NEW	1.0000	04/01/09	12/01/09			68.65	43.51	25.14
DEERE CO	28.0000	12/26/08	12/01/09			1,538.13	1,063.25	474.88
	250.0000	12/26/08	12/07/09			13,557.15	9,493 30	4,063.85
	50.0000	12/26/08	12/14/09			2,659.93	1,898 66	761.27
	100 0000	12/26/08	12/22/09			5,556 86	3,797.32	1,759 54
		Security Subtotal				23,312.07	16,252.53	7,059.54

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
EXXON MOBIL CORP COM	1.0000	09/11/09	12/01/09			75.78	70.82	4.96
FPL GROUP INC	1.0000	12/16/08	12/01/09			52.46	46 20	6.26
	1 0000	03/17/09	12/01/09			52 45	47 18	5 27
		Security Subtotal				**104.91**	**93.38**	**11.53**
ISHARES MSCI TAIWAN	33.0000	01/05/09	12/01/09			411.93	254 10	157.83
ISHARES FTSE XINHUA HK	3.0000	06/29/09	12/29/09			126.27	115.68	10.59
	100.0000	04/08/09	12/29/09			4,208 90	3,104 00	1,104.90
		Security Subtotal				**4,335.17**	**3,219.68**	**1,115.49**
GENERAL MILLS	1.0000	11/03/09	12/01/09			68.62	65 70	2.92
	1.0000	02/03/09	12/01/09			68.61	59 69	8.92
	2.0000	05/04/09	12/01/09			137.22	102.26	34 96
	1.0000	08/04/09	12/01/09			68 61	58.34	10.27
		Security Subtotal				**343.06**	**285.99**	**57.07**
JOHNSON AND JOHNSON COM	1 0000	03/11/09	12/03/09			64 08	48.02	16 06
	1.0000	09/09/09	12/03/09			64.09	60.85	3.24
		Security Subtotal				**128.17**	**108.87**	**19.30**
NUVASIVE INC	50.0000	12/01/09	12/29/09			1,631 46	1,509.49	121.97
NEWS CORP CL B	2.0000	10/16/08	05/06/09			20.82	17 52	3.30
	2.0000	04/16/09	05/06/09			20 82	17.60	3.22
		Security Subtotal				**41.64**	**35.12**	**6.52**
RESEARCH IN MOTION LTD	100.0000	04/08/09	12/16/09			6,474.10	6,240 00	234.10
	50.0000	12/01/09	12/16/09			3,237 06	2,942 00	295 06
		Security Subtotal				**9,711.16**	**9,182.00**	**529.16**
STRYKER CORP	1.0000	02/02/09	12/01/09			50.85	41.67	9.18

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TRACTOR SUPPLY CO	100 0000	12/02/09	12/08/09			5,019.87	4,761.00	258.87
	100 0000	12/02/09	12/08/09			5,019 87	4,761 00	258 87
		Security Subtotal				**10,039.74**	**9,522.00**	**517.74**
US BANCORP (NEW)	1000.0000	12/26/08	12/02/09			24,329.37	23,949.90	379 47
	31.0000	01/16/09	12/02/09			754 22	610 57	143.65
		Security Subtotal				**25,083.59**	**24,560.47**	**523.12**
XCEL ENERGY INC	3.0000	10/21/08	09/09/09			56 73	53 47	3 26
	3.0000	01/21/09	09/09/09			56 73	54 60	2.13
	3.0000	04/21/09	09/09/09			56 73	55.24	1 49
		Security Subtotal				**170.19**	**163.31**	**6.88**
YINGLI GREEN ENERGY HLDG	250 0000	04/08/09	12/07/09			3,907.40	1,657.50	2,249.90
WELLS FARGO & CO NEW DEL	1.0000	04/08/09	12/01/09			28 09	15.22	12.87
	3.0000	12/02/08	12/01/09			84.24	72.58	11 66
	7 0000	03/03/09	12/01/09			196.56	81.57	114.99
	101 0000	04/08/09	12/22/09			2,715 08	1,537 96	1,177 12
		Security Subtotal				**3,023.97**	**1,707.33**	**1,316.64**
BLACKROCK GLOBAL ALLOC C	33 0000	12/16/08	12/02/09			564.30	444.84	119 46
	1 0000	12/17/08	12/02/09			17.10	13.99	3 11
	1.0000	07/24/09	12/02/09			17 12	15 34	1 78
		Security Subtotal				**598.52**	**474.17**	**124.35**
FRANKLIN INC FD CL A	30 0000	05/05/09	12/03/09			60.90	49.80	11.10
	1.0000	05/06/09	12/03/09			2.03	1.72	0 31
	29.0000	06/03/09	12/03/09			58.87	51 04	7.83
	29.0000	07/06/09	12/03/09			58 87	51 91	6 96
	27.0000	08/05/09	12/03/09			54 81	51.57	3 24
	1.0000	08/06/09	12/03/09			2.03	1 92	0.11
	27.0000	09/03/09	12/03/09			54 81	51 30	3.51
	27.0000	10/05/09	12/03/09			54.81	52.92	1.89
	27.0000	11/04/09	12/03/09			54.81	52.92	1 89

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FRANKLIN INC FD CL A	1.0000	11/05/09	12/03/09			2.05	1.98	0.07
	26.7310	12/03/09	12/10/09			54 26	53.72	0.54
		Security Subtotal				**458.25**	**420.80**	**37.45**
		Short Term Capital Gains Subtotal				**96,923.81**	**78,861.36**	**18,062.45**
SHORT TERM CAPITAL LOSSES								
ARCHER DANIELS MIDLD	1 0000	03/13/09	05/06/09			23 26	28 30	(5.04)
CVS CAREMARK CORP	1.0000	05/05/09	12/16/09			31.76	33.98	(2.22)
	1 0000	11/04/09	12/16/09			31.76	35 85	(4.09)
		Security Subtotal				**63.52**	**69.83**	**(6.31)**
EXXON MOBIL CORP COM	1.0000	12/11/08	09/09/09			70 18	80.25	(10.07)
	1.0000	06/11/09	09/09/09			70.19	74.13	(3 94)
		Security Subtotal				**140.37**	**154.38**	**(14.01)**
FPL GROUP INC	1.0000	06/16/09	12/01/09			52.46	56.86	(4.40)
	1.0000	09/16/09	12/01/09			52.47	54.34	(1 87)
		Security Subtotal				**104.93**	**111.20**	**(6.27)**
PROCTER & GAMBLE CO	2.0000	05/16/08	04/08/09			96 92	133.46	(36.54)
	1.0000	08/18/08	04/08/09			48.46	71.32	(22.86)
	1.0000	11/17/08	04/08/09			48.46	63.47	(15.01)
	2.0000	02/18/09	04/08/09			96.93	100.07	(3.14)
		Security Subtotal				**290.77**	**368.32**	**(77.55)**
XCEL ENERGY INC	3.0000	07/21/09	09/09/09			56.74	56 89	(0.15)

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COHEN & STER INTL RLTY C	5.0000	06/30/08	04/08/09			37.20	66.85	(29.65)
	1 0000	07/01/08	04/08/09			7.46	13 17	(5.71)
		Security Subtotal				**44.66**	**80.02**	**(35.36)**
		Short Term Capital Losses Subtotal				**724.25**	**868.94**	**(144.69)**
NET SHORT TERM CAPITAL GAIN (LOSS)								**17,917.76**
LONG TERM CAPITAL GAINS								
HOUSEHOLD FIN COR 5.87% 0	6000.0000§	11/17/06	02/03/09	(4.12)(A)	(104 76)(A)	6,000 00	6,000.00(C)	0 00
FEDERAL FARM CREDIT BANK	5000.0000§	11/19/07	03/04/09	(5.05)(A)	(35.74)(A)	5,000.00	5,000.00(C)	0.00
APACHE CORP	50 0000	02/03/04	12/01/09			4,862.87	1,930.01	2,932.86
ARCHER DANIELS MIDLD	50 0000	06/23/04	05/06/09			1,162.50	823.00	339.50
	1.0000	12/13/04	05/06/09			23.25	21 60	1.65
	1.0000	06/10/05	05/06/09			23.25	21.11	2.14
		Security Subtotal				**1,209.00**	**865.71**	**343.29**
CVS CAREMARK CORP	83.0000	06/15/04	12/16/09			2,636.84	1,662.01	974.83
	84.0000	08/02/05	12/16/09			2,668.61	2,235.50	433 11
		Security Subtotal				**5,305.45**	**3,897.51**	**1,407.94**
CATERPILLAR INC DEL	30.0000	10/01/04	12/01/09			1,788.88	1,209.90	578.98
	50.0000	10/26/04	12/01/09			2,981.47	1,979.50	1,001.97
	70.0000	01/27/05	12/01/09			4,174.06	3,041.85	1,132.21
	1.0000	11/22/05	12/01/09			59.62	57.71	1.91
	2.0000	11/21/08	12/01/09			119 26	66.92	52 34
		Security Subtotal				**9,123.29**	**6,355.88**	**2,767.41**

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CISCO SYSTEMS INC COM	25.0000	06/15/04	12/01/09			591.80	589.75	2 05
	50 0000	10/01/04	12/01/09			1,183 60	931.95	251 65
	100.0000	11/10/04	12/01/09			2,367.21	1,857 00	510 21
		Security Subtotal				**4,142.61**	**3,378.70**	**763.91**
DEVON ENERGY CORP NEW	100.0000	03/16/05	12/01/09			6,865.82	4,665 00	2,200 82
	50 0000	12/13/05	12/01/09			3,431 93	3,394 00	37.93
	1 0000	10/02/06	12/01/09			68 64	63.10	5 54
		Security Subtotal				**10,366.39**	**8,122.10**	**2,244.29**
DEERE CO	100 0000	08/11/04	12/01/09			5,493 24	3,021 00	2,472 24
	100.0000	03/17/05	12/01/09			5,493.25	3,399.50	2,093.75
	2.0000	05/03/05	12/01/09			109 86	62.17	47 69
	2 0000	11/02/05	12/01/09			109 86	61.25	48 61
	2.0000	05/02/06	12/01/09			109 86	89.50	20.36
	2.0000	11/02/06	12/01/09			109 86	84.00	25 86
	3 0000	05/02/07	12/01/09			164.79	110.10	54 69
	3.0000	11/04/08	12/01/09			164 79	122.40	42 39
		Security Subtotal				**11,755.51**	**6,949.92**	**4,805.59**
E M C CORPORATION MASS	197.0000	12/22/05	04/08/09			2,382 88	2,203.00	179 88
EXXON MOBIL CORP COM	50 0000	09/17/04	09/09/09			3,508 78	2,421 00	1,087 78
	50 0000	03/16/05	09/09/09			3,508 78	3,000 00	508.78
	1.0000	12/12/05	09/09/09			70.17	58.80	11.37
	1 0000	03/13/06	09/09/09			70.17	59.67	10 50
	1 0000	09/12/06	09/09/09			70 17	65 14	5 03
		Security Subtotal				**7,228.07**	**5,604.61**	**1,623.46**
FPL GROUP INC	101.0000	01/05/04	12/01/09			5,297 73	3,264 00	2,033.73
	1 0000	03/16/05	12/01/09			52 45	40 82	11.63
	1.0000	06/16/05	12/01/09			52 45	40.99	11.46
	1 0000	09/16/05	12/01/09			52.45	46 00	6 45
	1.0000	12/16/05	12/01/09			52 45	42.54	9.91

D.I.C.E FOUNDATION

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FPL GROUP INC	1.0000	03/16/06	12/01/09			52.45	40.99	11.46
	1.0000	06/16/06	12/01/09			52.45	41.00	11.45
	1.0000	09/18/06	12/01/09			52.45	44.67	7.78
		Security Subtotal				**5,664.88**	**3,561.01**	**2,103.87**
FAIRPOINT COMMUNICATIONS	1.0000	02/04/08	09/09/09			0.54	0.35	0.19
ISHARES MSCI TAIWAN	400.0000	12/13/05	12/01/09			4,992.91	4,784.00	208.91
ISHARES FTSE XINHUA HK	256.0000	03/16/05	12/01/09			11,496.89	4,790.62	6,706.27
	44.0000	03/16/05	12/29/09			1,851.91	823.39	1,028.52
	3.0000	12/29/06	12/29/09			126.26	111.94	14.32
		Security Subtotal				**13,475.06**	**5,725.95**	**7,749.11**
GENERAL MILLS	1.0000	08/02/06	12/01/09			68.60	52.00	16.60
	1.0000	11/02/06	12/01/09			68.61	56.66	11.95
	75.0000	03/03/04	12/01/09			5,145.61	3,517.50	1,628.11
	1.0000	05/02/07	12/01/09			68.61	60.20	8.41
	1.0000	08/02/07	12/01/09			68.61	56.26	12.35
	1.0000	11/02/07	12/01/09			68.61	56.76	11.85
	1.0000	02/04/08	12/01/09			68.61	55.91	12.70
	1.0000	05/02/08	12/01/09			68.61	61.76	6.85
	1.0000	08/04/08	12/01/09			68.61	64.10	4.51
	1.0000	11/04/08	12/01/09			68.61	68.07	0.54
	1.0000	05/03/05	12/01/09			68.60	49.28	19.32
	60.0000	06/07/05	12/01/09			4,116.50	3,056.40	1,060.10
	1.0000	08/02/05	12/01/09			68.60	47.45	21.15
	1.0000	11/02/05	12/01/09			68.60	48.60	20.00
	1.0000	02/02/06	12/01/09			68.61	48.85	19.76
	1.0000	05/02/06	12/01/09			68.61	50.15	18.46
		Security Subtotal				**10,222.61**	**7,349.95**	**2,872.66**

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
JOHNSON AND JOHNSON COM	50.0000	04/27/04	12/03/09			3,203 92	2,727.00	476 92
	1.0000	06/14/06	12/03/09			64 08	61 23	2 85
	1.0000	03/14/07	12/03/09			64 08	60.96	3.12
		Security Subtotal				**3,332.08**	**2,849.19**	**482.89**
STRYKER CORP	50.0000	10/15/04	12/01/09			2,542.43	2,067.00	475.43
WELLS FARGO & CO NEW DEL	3.0000	03/02/06	12/01/09			84 23	64 42	19.81
	2.0000	06/03/08	12/01/09			56 16	54 63	1 53
		Security Subtotal				**140.39**	**119.05**	**21.34**
HNDRSN GLB TECH FD CL C	1130.0000	03/28/08	12/01/09			16,407.62	14,995.10	1,412.52
		Long Term Capital Gains Subtotal				**124,154.59**	**91,759.04**	**32,395.55**
LONG TERM CAPITAL LOSSES								
CD BK OF AMERICA FMR LAS	4000 0000	03/15/05	12/14/09			3,640.00	4,000.00	(360.00)
APACHE CORP	1.0000	11/26/07	12/01/09			97 26	101.59	(4 33)
ARCHER DANIELS MIDLD	1.0000	09/11/06	05/06/09			23 25	39 00	(15 75)
	1.0000	03/12/08	05/06/09			23 25	44 81	(21 56)
		Security Subtotal				**46.50**	**83.81**	**(37.31)**
CATERPILLAR INC DEL	1.0000	02/22/06	12/01/09			59.62	72 70	(13.08)
	1.0000	08/22/06	12/01/09			59.62	68.42	(8.80)
	1.0000	11/21/06	12/01/09			59.62	60.55	(0.93)
	1.0000	05/22/07	12/01/09			59.62	74.85	(15 23)
	1.0000	02/21/08	12/01/09			59.62	71.70	(12.08)
	1.0000	05/21/08	12/01/09			59 63	84.19	(24.56)
	1 0000	08/21/08	12/01/09			59.63	68 48	(8.85)
	1.0000	08/21/07	12/01/09			59.62	74 31	(14.69)
		Security Subtotal				**476.98**	**575.20**	**(98.22)**

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DEVON ENERGY CORP NEW	1.0000	07/02/07	12/01/09			68.63	78.29	(9 66)
	1.0000	10/01/08	12/01/09			68 64	89.55	(20.91)
		Security Subtotal				**137.27**	**167.84**	**(30.57)**
DEERE CO	1.0000	11/02/07	12/01/09			54.93	151.59	(96.66)
	200.0000	03/28/08	12/01/09			10,986.53	16,302.00	(5,315.47)
	1.0000	05/02/08	12/01/09			54.93	85.60	(30.67)
	1.0000	08/04/08	12/01/09			54.93	67.56	(12.63)
		Security Subtotal				**11,151.32**	**16,606.75**	**(5,455.43)**
EXXON MOBIL CORP COM	1.0000	06/12/07	09/09/09			70.18	82.76	(12.58)
	1 0000	12/11/07	09/09/09			70.18	92 40	(22.22)
	1.0000	06/11/08	09/09/09			70.17	88 47	(18.30)
		Security Subtotal				**210.53**	**263.63**	**(53.10)**
FPL GROUP INC	1 0000	12/16/04	12/01/09			52 45	74.81	(22.36)
	1.0000	12/18/06	12/01/09			52 45	55.14	(2 69)
	1.0000	06/18/07	12/01/09			52 45	61.74	(9.29)
	1 0000	09/18/07	12/01/09			52.45	61 87	(9.42)
	1 0000	03/18/08	12/01/09			52.45	62.92	(10.47)
	1.0000	06/17/08	12/01/09			52.46	67.52	(15.06)
	1.0000	09/16/08	12/01/09			52.46	53 26	(0 80)
		Security Subtotal				**367.17**	**437.26**	**(70.09)**
FAIRPOINT COMMUNICATIONS	2.0000	12/13/05	09/09/09			1 06	20.61	(19.55)
ISHARES MSCI TAIWAN	8.0000	01/03/07	12/01/09			99 85	119.04	(19 19)
	11 0000	01/07/08	12/01/09			137 30	154.22	(16 92)
		Security Subtotal				**237.15**	**273.26**	**(36.11)**
ISHARES TRUST DOW JONES	200.0000	12/13/05	09/09/09			8,036.83	12,524.00	(4,487.17)
	1.0000	06/29/06	09/09/09			40.18	62.49	(22 31)
	2.0000	10/03/06	09/09/09			80.36	133 05	(52 69)
	2.0000	12/28/06	09/09/09			80.36	142.84	(62.48)
	1.0000	03/30/07	09/09/09			40.18	71 67	(31.49)

D.I.C.E FOUNDATION

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES TRUST DOW JONES	2.0000	07/06/07	09/09/09			80.36	145.93	(65 57)
	2.0000	10/02/07	09/09/09			80.37	140 98	(60 61)
	2.0000	01/07/08	09/09/09			80.37	125.13	(44.76)
	38 0000	03/28/08	09/09/09			1,527.03	2,226 29	(699 26)
		Security Subtotal				**10,046.04**	**15,572.38**	**(5,526.34)**
IDEARC INC	7.0000	12/13/05	12/01/09			0.02	169.42	(169 40)
JOHNSON AND JOHNSON COM	1.0000	09/14/05	12/03/09			64.07	64.49	(0 42)
	1.0000	12/12/07	12/03/09			64 08	68.09	(4.01)
	1 0000	09/10/08	12/03/09			64 07	71.94	(7 87)
		Security Subtotal				**192.22**	**204.52**	**(12.30)**
NEWS CORP CL B	100.0000	08/04/04	05/06/09			1,040.67	1,637.00	(596.33)
	1.0000	10/19/06	05/06/09			10.40	21.83	(11.43)
	1.0000	10/18/07	05/06/09			10.41	23.69	(13 28)
	125 0000	12/21/04	05/06/09			1,300.85	2,393.75	(1,092.90)
	1.0000	10/20/05	05/06/09			10 40	15.59	(5.19)
		Security Subtotal				**2,372.73**	**4,091.86**	**(1,719.13)**
PROCTER & GAMBLE CO	1.0000	11/16/05	04/08/09			48.45	56.22	(7.77)
	1 0000	11/16/06	04/08/09			48.45	63.28	(14.83)
	1.0000	02/16/07	04/08/09			48.46	64.94	(16.48)
	1.0000	05/16/07	04/08/09			48.46	62.47	(14 01)
	1.0000	08/16/06	04/08/09			48 46	60.37	(11.91)
	1.0000	08/16/07	04/08/09			48.46	63 67	(15.21)
	1.0000	11/16/07	04/08/09			48.46	72.44	(23 98)
	1 0000	02/19/08	04/08/09			48.46	66 46	(18 00)
	100.0000	12/13/05	04/08/09			4,845.85	5,843.00	(997.15)
	1.0000	02/16/06	04/08/09			48.45	60.02	(11.57)
	1.0000	05/16/06	04/08/09			48.45	55.65	(7.20)
	50 0000	06/23/04	04/08/09			2,422 91	2,798.50	(375.59)
	50.0000	12/21/04	04/08/09			2,422 91	2,803.00	(380 09)
	1.0000	05/17/05	04/08/09			48 45	55 10	(6 65)
		Security Subtotal				**10,224.68**	**12,125.12**	**(1,900.44)**

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
REPUBLIC SERVICES INC	1.0000	04/18/05	04/08/09			13 95	33.02	(19.07)
XCEL ENERGY INC	200.0000	06/07/05	09/09/09			3,782 00	3,810 00	(28 00)
	2 0000	07/21/06	09/09/09			37 82	39.75	(1.93)
	2.0000	10/23/06	09/09/09			37 82	43 76	(5.94)
	2 0000	01/23/07	09/09/09			37.81	46 06	(8.25)
	2.0000	04/23/07	09/09/09			37.82	49.34	(11.52)
	2 0000	07/23/07	09/09/09			37.82	42.26	(4.44)
	2.0000	10/23/07	09/09/09			37 82	42.68	(4.86)
	3 0000	01/23/08	09/09/09			56.73	61.74	(5.01)
	2 0000	04/22/08	09/09/09			37.82	41.60	(3 78)
	2.0000	07/22/08	09/09/09			37.82	40 15	(2.33)
		Security Subtotal				**4,141.28**	**4,217.34**	**(76.06)**
WELLS FARGO & CO NEW DEL	200 0000	04/12/05	12/01/09			5,615.85	6,077.00	(461.15)
	2.0000	09/02/05	12/01/09			56.15	60.00	(3.85)
	2.0000	12/02/05	12/01/09			56.15	62.93	(6.78)
	2 0000	03/02/07	12/01/09			56.16	69.21	(13.05)
	1.0000	06/04/07	12/01/09			28.08	36.26	(8.18)
	2.0000	09/05/07	12/01/09			56.16	72.43	(16.27)
	2 0000	12/04/07	12/01/09			56.16	62.97	(6.81)
	3.0000	03/04/08	12/01/09			84 24	85.45	(1 21)
	3 0000	09/03/08	12/01/09			84.24	93.41	(9.17)
	1.0000	06/02/06	12/01/09			28 08	67 89	(39.81)
	1 0000	09/05/06	12/01/09			28 08	34.85	(6.77)
	2.0000	12/04/06	12/01/09			56.16	70.92	(14 76)
		Security Subtotal				**6,205.51**	**6,793.32**	**(587.81)**
BLACKROCK LG CAP GRWTH C	1926.2430	03/20/07	12/14/09			16,238.22	19,994.40	(3,756.18)
	57 0000	12/17/07	12/14/09			480.50	596.79	(116.29)
	1 0000	12/18/07	12/14/09			8.43	10.34	(1.91)
	1.0000	07/21/08	12/14/09			8 45	8.96	(0 51)
		Security Subtotal				**16,735.60**	**20,610.49**	**(3,874.89)**

D.I.C.E FOUNDATION

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMERN CAP WLD GR INC C	263 8130	12/19/05	04/08/09			6,423.84	9,966 85	(3,543.01)
	10.0000	12/21/05	04/08/09			243.49	360.60	(117.11)
	1.0000	12/21/05	04/08/09			24.35	36.06	(11 71)
	1.0000	12/22/05	04/08/09			24.35	36 34	(11 99)
	1 0000	03/21/06	04/08/09			24 35	38 10	(13.75)
	1 0000	06/20/06	04/08/09			24.35	36 59	(12.24)
	1.0000	06/21/06	04/08/09			24.35	36.99	(12.64)
	12.0000	12/21/06	04/08/09			292.20	495.84	(203 64)
	1.0000	12/21/06	04/08/09			24.35	41.32	(16.97)
	1 0000	12/22/06	04/08/09			24 35	41 02	(16.67)
	200 0000	03/20/07	04/08/09			4,870.02	8,323.99	(3,453.97)
	400.7270	03/20/07	10/05/09			12,851.30	16,678.25	(3,826 95)
	1.0000	03/23/07	10/05/09			32 06	42.41	(10 35)
	6.0000	06/18/07	10/05/09			192.42	275.22	(82 80)
	2.0000	09/24/07	10/05/09			64 14	94.02	(29.88)
	1.0000	09/25/07	10/05/09			32 07	47 05	(14.98)
	1.0000	12/18/07	10/05/09			32.07	42.90	(10 83)
	56 0000	12/18/07	10/05/09			1,795 94	2,402.39	(606 45)
	11.8100	12/18/07	12/10/09			396.80	506 65	(109 85)
	4.0000	12/18/07	12/10/09			134.40	171.60	(37 20)
	1.0000	12/19/07	12/10/09			33.60	43.16	(9.56)
	1.0000	12/19/07	12/10/09			33 60	43.16	(9 56)
	2.0000	03/24/08	12/10/09			67.20	79.40	(12.20)
	1.0000	03/25/08	12/10/09			33.60	40.77	(7 17)
	128.0000	03/28/08	12/10/09			4,300 82	5,176.31	(875.49)
		Security Subtotal				**32,000.02**	**45,056.99**	**(13,056.97)**
HNDRSN GLB TECH FD CL C	3.0000	12/10/07	12/01/09			43.56	50.22	(6.66)
	2.0000	12/10/07	12/01/09			29.04	33.48	(4 44)
	1.0000	12/11/07	12/01/09			14.51	16.34	(1.83)
	377.8290	11/26/07	12/01/09			5,486 07	6,007.47	(521.40)
		Security Subtotal				**5,573.18**	**6,107.51**	**(534.33)**

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COHEN & STER INTL RLTY C	347.3390	11/17/06	04/08/09			2,584 19	5,995 07	(3,410 88)
	1.0000	01/02/08	04/08/09			7.44	15.89	(8.45)
	1 0000	12/22/06	04/08/09			7 43	18.01	(10.58)
	7 0000	12/22/06	04/08/09			52 08	126.07	(73 99)
	1.0000	12/26/06	04/08/09			7.44	18.04	(10.60)
	211 0000	03/13/07	04/08/09			1,569 84	3,992.11	(2,422.27)
	201 0000	05/21/07	04/08/09			1,495.44	3,985.83	(2,490.39)
	1 0000	05/24/07	04/08/09			7.44	19 65	(12 21)
	3.0000	06/29/07	04/08/09			22.32	55 83	(33 51)
	1 0000	07/02/07	04/08/09			7 44	18 67	(11 23)
	2 0000	09/28/07	04/08/09			14.88	38 18	(23 30)
	3.0000	09/28/07	04/08/09			22.32	57 27	(34 95)
	1.0000	10/01/07	04/08/09			7 44	19 26	(11 82)
	14.0000	12/31/07	04/08/09			104 16	225.82	(121 66)
	7 0000	12/31/07	04/08/09			52 08	112.91	(60.83)
	32.0000	12/31/07	04/08/09			238 08	516 16	(278 08)
		Security Subtotal				**6,200.02**	**15,214.77**	**(9,014.75)**
CALAMOS GROWTH FD CL C	190.9360	12/19/05	09/09/09			6,944.33	10,026 03	(3,081 70)
	7 0000	11/16/06	09/09/09			254 59	358 75	(104.16)
	28.0000	11/16/07	09/09/09			1,018.37	1,485.96	(467.59)
		Security Subtotal				**8,217.29**	**11,870.74**	**(3,653.45)**
BLACKROCK GLOBAL ALLOC C	806 7860	04/25/08	12/02/09			13,796.03	15,022.35	(1,226.32)
	2 0000	07/18/08	12/02/09			34.19	35 34	(1 15)
		Security Subtotal				**13,830.22**	**15,057.69**	**(1,227.47)**
FRANKLIN INC FD CL A	2016.1710	03/16/05	12/03/09			4,092.81	5,000 10	(907.29)
	2083.0000	10/08/07	12/03/09			4,228 49	5,999.04	(1,770.55)
		Security Subtotal				**8,321.30**	**10,999.14**	**(2,677.84)**
		Long Term Capital Losses Subtotal				**140,439.30**	**190,654.26**	**(50,214.96)**
NET LONG TERM CAPITAL GAIN (LOSS)								**(17,819.41)**

D.I.C.E FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TOTAL CAPITAL GAINS AND LOSSES						**362,241.95**	**362,143.60**	**98.35**
TOTAL REPORTABLE GROSS PROCEEDS						**362,383.01**		
DIFFERENCE						**(141.06) ***		

Note: Capital gains and losses in this statement are not reported to the IRS.
* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received)

§ - Bonds purchased at a premium show amortization.

(A) - Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and may include original issue discount, and/or premium or market discount adjustments, if applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization.

(C) - The cost basis reflects adjustments for amortized and/or accreted amounts.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	18,062.45	(144.69)	32,395.55	(50,214.96)

EMA Fiscal Statement

D I C E FOUNDATION

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
01/20/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		0 50	
02/04/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
02/05/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.00	
03/04/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1 00	
04/17/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		0.50	
05/04/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
05/07/09	Journal Entry		FEE REVERSAL/WAIVED FEE			100 00
05/13/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.00	
06/03/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.00	
07/17/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		0.50	
08/04/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
08/13/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.00	
09/03/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.00	
10/19/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		0.40	
10/23/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		0.10	
11/04/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
11/13/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
12/03/09	CertIF fee		RGNL B HLDRS TR DEP RCPT		1.50	
			Net Total		**17.00**	**100.00**
			Fees			
10/05/09			EMA ANNUAL FEE		150 00	
			Net Total		**150.00**	

SUMMARY OF CHECKING ACTIVITY

Date Cleared	Date Written	Check Number	Payee	Amount
01/05/09	12/26/08	00001031	ST BENEDICTS MONASTERY	100 00
01/05/09	12/26/08	00001032	ST BONIFACE CH PIPE ORGAN	200.00
01/12/09	12/26/08	00001034	COTTER HS	100 00
01/07/09	N/A	00001030#	ST SCHOLASTICA	100 00

EMA Fiscal Statement

D I C E FOUNDATION

SUMMARY OF CHECKING ACTIVITY

Date Cleared	Date Written	Check Number	Payee	Amount
01/14/09	12/28/08	00001033	ST NORBERT COLLEGE	100 00
01/15/09	12/28/08	00001035	USO	550.00
01/08/09	12/28/08	00001036	WM KECK CTR FOR NEUROSCIENCE	275.00
01/02/09	12/28/08	00001037	BIRTHRIGHT	100.00
01/05/09	12/28/08	00001038	BUONICONTI FUND TO CURE PARALY	100 00
01/12/09	12/28/08	00001039	APLASTIC ANEMIA & MDS INTL FND	100 00
01/14/09	N/A	00001040#	Fenwick High Sch	100 00
01/05/09	12/28/08	00001041	ST PAUL THE APOSTLE CH	150.00
01/16/09	12/28/08	00001043	ST PAUL THE APOSTLE	175.00
01/05/09	12/28/08	00001044	WOMENS CHOICE CTR	200.00
01/08/09	12/28/08	00001045	FISHER HOUSE FOUNDATION	100 00
01/07/09	12/28/08	00001047	WQPT	100 00
01/07/09	12/28/08	00001048	LUDWIG VON MISES INST	100.00
01/30/09	12/28/08	00001049	NOTRE DAME RIGHT TO LIFE	100 00
01/05/09	12/28/08	00001050	HUMAN LIFE INTERNATIONAL	250.00
01/14/09	N/A	00001051#	Christopher Reev	100 00
01/14/09	N/A	00001053#	Christopher Reev	200.00
01/07/09	12/28/08	00001054	THE CATO INST	200.00
01/09/09	12/28/08	00001056	ALLIANCE FOR CATHOLIC EDUCATIO	275.00
01/14/09	N/A	00001057#	Christopher Reev	450.00
01/02/09	12/28/08	00001059	MAKE A WISH FDN	100.00
12/29/09	12/25/09	00001208	ST PAULS SCHOOL	1,000.00
12/29/09	12/25/09	00001223	ST PAUL'S SCHOOL	100.00
12/31/09	12/25/09	00001225	APLASTIC ANEMIA & MDS INT FDN	100.00
12/31/09	12/25/09	00001229	FRIENDLY HOUSE	450.00
		Total Checking Activity		5,975.00

Check converted to ACH debit by payee

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Price	Cost Basis	Gain or (Loss)
6,000.0000	HOUSEHOLD FIN COR 5.87% 09	11/17/06	02/03/09	6,000.00	6,000.00	0.00
5,000.0000	FEDERAL FARM CREDIT BANK	11/19/07	03/04/09	5,000.00	5,000 00	0.00