Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE SHUMAKER FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): c/o Kimberly S. Zellmer, 11150 Overbrook Road — Room/suite: Ste. 350

City or town, state, and ZIP code: Leawood, KS 66211-2239

A Employer identification number: 65-6406193

B Telephone number (see page 10 of the instructions): 913-236-6868

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 15,468,433

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

SCANNED MAY 24 2010

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	533,025			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	440	440		
	4	Dividends and interest from securities	442,659	442,659		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-63,757			
	b	Gross sales price for all assets on line 6a 2,766,878				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	7,455	0		
	12	**Total.** Add lines 1 through 11	919,822	443,099		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	45,833	11,458		34,375
	14	Other employee salaries and wages	42,146	10,536		31,610
	15	Pension plans, employee benefits	8,735	2,184		6,551
	16a	Legal fees (attach schedule)	2,417	704		492
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	54,035	54,035		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion	778	0		
	20	Occupancy	6,300	3,150		3,150
	21	Travel, conferences, and meetings	4,435	443		3,992
	22	Printing and publications	203	0		203
	23	Other expenses (attach schedule)	5,132	359		1,856
	24	**Total operating and administrative expenses.** Add lines 13 through 23	170,014	82,869		82,229
	25	Contributions, gifts, grants paid	680,088			680,088
	26	**Total expenses and disbursements.** Add lines 24 and 25	850,102	82,869		762,317
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	69,720			
	b	**Net investment income** (if negative, enter -0-)		360,230		
	c	**Adjusted net income** (if negative, enter -0-)				

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10

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	357,206	207,646	207,646
3	Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	1,118,812	1,053,138	1,064,678
b	Investments—corporate stock (attach schedule)	9,660,348	9,867,451	9,408,903
c	Investments—corporate bonds (attach schedule)	4,210,539	4,391,124	4,417,013
11	Investments—land, buildings, and equipment. basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	526,626	424,132	370,193
14	Land, buildings, and equipment basis ▶ 4,899			
	Less. accumulated depreciation (attach schedule) ▶ 2,344	2,795	2,555	
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	15,876,326	15,946,046	15,468,433
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	15,876,326	15,946.046	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	15,876,326	15,946,046	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	15,876,326	15,946,046	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,876,326
2	Enter amount from Part I, line 27a	2	69,720
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	15,946,046
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	15,946,046

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE STATEMENT ATTACHED			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-63,757
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	867,181	15,607,050	0.0555634
2007	803,108	17,809,311	0.0450948
2006	794,228	16,793,376	0.0472941
2005	253,604	15,820,083	0.0160305
2004	289,730	13,668,258	0.0211973

2	**Total** of line 1, column (d)	2	0.1851801
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.037036
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	13,066,790
5	Multiply line 4 by line 3	5	483,942
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,602
7	Add lines 5 and 6	7	487,544
8	Enter qualifying distributions from Part XII, line 4	8	762,317

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	3,602	00
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	3,602	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,602	00
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a — 5,086 00			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7	5,086	00
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,484	00
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax ▶** 1,484 00 **Refunded ▶**	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ Kansas			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	✓	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ www.shumakerfamilyfoundation.org			
14	The books are in care of ▶ Judy Wright Telephone no. ▶ 785/856-7505			
	Located at ▶ 2500 W. 6th Street, Ste. H, Lawrence, KS ZIP+4 ▶ 66049			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		✓
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE SCHEDULE ATTACHED				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Stepp & Rothwell, Inc 7300 College Blvd, Ste. 150, Overland Park, KS 66210	Investment Management	54,035
Total number of others receiving over $50,000 for professional services . . . . ▶		54,035

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,669,577
b	Average of monthly cash balances	1b	393,648
c	Fair market value of all other assets (see page 24 of the instructions)	1c	202,552
d	**Total** (add lines 1a, b, and c)	1d	13,265,776
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	13,265,776
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see page 25 of the instructions)	4	198,987
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,066,790
6	**Minimum investment return.** Enter 5% of line 5	6	653,339

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	653,339
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,602		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,602
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	649,737
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	649,737
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	649,737

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	762,317
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	762,317
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	3,602
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	758,715

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				649,737
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			673,946	
b	Total for prior years: 20___,20___,20___		0		
3	Excess distributions carryover, if any, to 2009.				
a	From 2004				
b	From 2005				
c	From 2006				
d	From 2007				
e	From 2008				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 762,317				
a	Applied to 2008, but not more than line 2a			673,946	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2009 distributable amount				88,371
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				561,366
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9:				
a	Excess from 2005				
b	Excess from 2006				
c	Excess from 2007				
d	Excess from 2008				
e	Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities			N/A		
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ⅔ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

Paul K. Shumaker (deceased) and Dianne C. Shumaker

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

Judy Wright, Executive Director, Shumaker Family Foundation, 2500 W 6th, Ste. H, Lawrence, KS 66049; tel. (785) 856-7505

b The form in which applications should be submitted and information and materials they should include.

Grant application form and information required is provided on website (www.shumakerfamilyfoundation.org)

c Any submission deadlines.

Submission deadlines are listed on website.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Restrictions and limitations are listed on website.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE SCHEDULE ATTACHED				
Total ▶ 3a				$680,088.
b *Approved for future payment*				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 23 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	$440	
4 Dividends and interest from securities			14	$442,659	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	$0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				$443,099	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 443,099

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Dianne C Shumaker — 5-11-10 — Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Kimberly S. Zellmer	5/11/2010	☑	

Firm's name (or yours if self-employed), address, and ZIP code			
	Kimberly S. Zellmer, Zellmer Law Firm, LLC	EIN ▶	20-8241638
	11150 Overbrook Road, Ste. 350, Leawood, KS 66211	Phone no.	913/236-6868

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization	**Employer identification number**
THE SHUMAKER FAMILY FOUNDATION	65 : 6406193

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ....

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE SHUMAKER FAMILY FOUNDATION	65 : 6406193

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Paul K. Shumaker IRA c/o Charles Schwab, Custodian 211 Main St, San Francisco, CA 94105	$ 533,025	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART I, line 1 (a) CONTRIBUTIONS, GIFTS, GRANTS RECEIVED

Contributors	Type of Contribution	Amount
Paul K. Shumaker IRA Charles Schwab & Co, Custodian 211 Main Street San Francisco, CA 94105	Cash	$533,025

PART I, line 3(a)and(b) INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS

Source	Amount
Bank of America	$ 14
Charles Schwab	$ 426
Total to 990-PF, Part I, line 3	$ 440

PART I, line 4(a)and(b) DIVIDENDS & INTEREST FROM SECURITIES

Source		(a) Revenue per Books	(b) Net investment income
Charles Schwab	DIVIDENDS	$ 279,192	$ 279,192
Acct No. 8121-8280	INTEREST	$ 148,978	$ 148,978
	CAPITAL GAIN DIST.	$ 5,090	$ 5,090
	OID	$ 9,399	$ 9,399
Total to 990-PF, Part I, line 4		$ 442,659	$ 442,659

PART I, line 11 OTHER INCOME

Source	(a) Revenue per Books	(b) Net investment income
2008 Tax Refund from 990PF	7,455	0

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

Part I, line 15 PENSION PLANS, EMPLOYEE BENEFITS

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Employer Payroll Taxes	8,735	2,184	0	6,551

Part I, line 16a LEGAL FEES

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Zellmer Law Firm, LLC	2,417	704	0	492

Part I, line 16c OTHER PROFESSIONAL FEES

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Mgt. Fees to Stepp & Rothwell	54,035	54,035	0	0

Part I, line 23 OTHER EXPENSES

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Dues/Membership Fees	1,647	0	0	0
Liability Insurance	325	0	0	0
Office supplies	813	0	0	0
Postage/Delivery	42	0	0	0
Staff Development	243	0	0	0
Telephone/Internet	1,040	104	0	936
Paychex/Payroll Fees	1,022	255	0	920
Total	5,132	359	0	1,856

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART II BALANCE SHEETS
Line 2 Savings and temporary cash investments

	(b) Book value	(c) Fair Market Value
Charles Schwab account	155,105	155,105
Bank of America account	52,541	52,541
TOTAL	**207,646**	**207,646**

PART II BALANCE SHEETS
Line 10a Investments-U.S. and state government obligations

	(b) Book value	(c) Fair Market Value
U.S. Treasuries:		
100,000 US INFLATION INDEX Non-callable 01/15/2012 3.375%	$129,989	$130,207
100,000 US INFLATION INDEX Non-callable 07/15/2012 3.00%	$125,296	$129,264
50,000 US INFLATION INDEX Non-callable 07/15/2013 1.875%	$ 56,413	$ 61,985
Government Agency Bonds:		
50,000 FEDERAL HOME LN Non-callable 05/12/2014 6.045%	$ 53,088	$ 56,749
50,000 FEDERAL NATL MTG Non-callable 12/15/2010 6.08%	$ 54,423	$ 52,655
50,000 FEDERAL NATL MTG Non-callable 09/25/2012 6.47%	$ 54,890	$ 56,078
70,000 FEDERAL NATL MTG Non-callable 12/10/2015 10.35%	$100,755	$ 95,943
Taxable Municipal Bonds:		
150,000 COON RAPIDS MINN 02/01/2015 5.30%	$148,752	$153,012
100,000 NORTHGATE SCH DIST, PA 08/15/2019	$ 52,493	$ 52,402
Mortgage Pools:		
250,000 FNMA PL #746019 12/01/2033 5.50%	$ 92,754	$ 92,629

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART II BALANCE SHEETS
Line 10a Investments-U.S. and state government obligations (continued)

	(b) Book value	(c) Fair Market Value
Mortgage Pools:		
120,000 GNMA II #004116 4/20/38 6.5%	$66,592	$ 65,536
250,000 GNMA PL #619340 01/15/34 5.5%	$117,693	$118,218
TOTAL GOVERNMENT	**$1,053,138**	**$1,064,678**

PART II BALANCE SHEETS

Line 10b Investments-corporate stock

	(b) Book value	(c) Fair Market Value
Stock Mutual Funds:		
8,920.7790 ARTIO INTL EQUITY	$300,644	$251,923
17,252.8640 ARTISAN INTL FUND	$355,236	$356,444
18,467.5180 ARTISAN INTL SMALL CAP VAL	$383,652	$321,335
15,198.7250 ARTISAN INTL VALUE FUND	$324,109	$351,091
20,274.6290 ARTISAN MIDCAP FUND	$550,668	$529,722
43,553.2350 ARTISAN MIDCAP VALUE	$703,826	$783,087
21,324.7490 ARTISAN SMALL CAP FUND	$312,336	$291,083
19,264.6700 ARTISAN SMALL CAP VALUE FD	$237,954	$276,063
39,415.0750 BUFFALO MID CAP FUND	$493,358	$536,045
6,581.7920 COHEN & STEERS INTL REALTY	$ 92,425	$ 69,175
4,440.7640 COHEN & STEERS REALTY	$262,938	$208,982
9,396.3010 COLUMBIA EMERGING MKTS	$131,260	$103,171
22,908.8800 COLUMBIA SELECT SMALL CAP	$367,519	$312,706
6,587.3250 COLUMBIA VALUE & RESTRUCT.	$270,478	$281,487
12,358.2750 FAIRHOLME FUND	$375,000	$371,860
10,156.5790 IVY GLOBAL NATURAL RES.	$274,605	$190,639
14,291.8910 LONGLEAF PARTNERS SMALL CAP	$346,863	$311,134
19,910.6070 LOOMIS SAYLES SMALL CAP GR	$276,337	$251,073
52,343.2110 MUTUAL BEACON FUND	$771,560	$601,423
15,150.9930 OSTERWEIS FUND	$369,558	$368,775
6,327.1800 OSTERWEIS FUND	$118,105	$154,004
4,450.9280 PARADIGM VALUE FUND	$190,277	$190,277
49,602.9710 RS MID CAP OPPORTUNITIES	$556,184	$446,427
24,968.6140 T ROWE PRICE MID CAP VALUE	$509,398	$517,350
16,280.7870 T ROWE PRICE NEW ASIA	$167,961	$262,772
3,957.6710 T ROWE PRICE NEW ERA FUND	$174,841	$172,673

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART II BALANCE SHEETS
Line 10b Investments-corporate stock (continued)

Stock Mutual Funds:	(b) Book value	(c) Fair Market Value
7,712.3010 THIRD AVE REAL ESTATE	$132,433	$157,794
7,063.1470 WASATCH INTL GROWTH FUND	$114,647	$106,230
9,940.6510 WILLIAM BLAIR INTL GROWTH	$264,671	$188,375
43,704.1960 WILLIAM BLAIR MIDCAP	$438,608	$445,783
TOTAL CORPORATE STOCK	**$9,867,451**	**$9,408,903**

PART II BALANCE SHEETS

Line 10b Investments-corporate bonds

Corporate Bonds:	(b) Book value	(c) Fair Market Value
100,000 BEAR STEARNS CO. Non-callable 11/15/2014 5.70%	$102,255	$109,354
60,000 DEAN WITTER DISC Non-callable 01/01/2016 6.75%	$ 66,379	$ 63,210
75,000 GTE NORTH INC 06/01/2010 6.30%	$ 76,318	$ 76,590
100,000 GOLDMAN SACHS 01/15/15 5.125%	$ 98,472	$105,102
100,000 HERTZ CORP Non-callable 06/01/2012 7.625%	$102,085	$100,000
50,000 INTL BK RECON & DEVELOP Non-callable 11/25/2013 5.5%	$ 53,182	$ 54,240
50,000 ITALY REPUBLIC Non-callable 06/15/2012 5.625%	$ 53,670	$ 53,144
100,000 LILLY ELI & CO Non-callable 01/01/2016 6.57%	$113,857	$111,011
100,000 MORGAN STANLEY 01/21/11 5.05%	$100,239	$103,709

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART II BALANCE SHEETS
Line 10b Investments-corporate bonds (continued)

	(b) Book value	(c) Fair Market Value
50,000 PPG INDUSTRIES INC. Non-callable 11/01/2017 6.875%	$ 54,900	$ 54,142
200,000 SG Structured Prod. 05/27/10 0%	$200,025	$199,340
100,000 VERIZON NEW JERSEY Non-callable 01/17/2012 5.875%	$105,957	$106,739
60,000 WACHOVIA 10/15/11 5.3%	$ 59,515	$ 63,760
100,000 WYETH Non-callable 03/15/2013 5.5%	$100,125	$108,576
	$1,286,979	$1,308,917
Bond Funds:		
7,775.0160 AMERICAN CENT INFLAT ADJ	$ 82,212	$ 89,413
9,433.9620 BUFFALO HIGH YIELD FUND	$100,000	$100,283
5,484.7670 CALAMOS CONVERTIBLE FUND	$ 78,151	$103,059
32,187.8040 LOOMIS SAYLES BOND CL I	$429,480	$429,385
23,513.3090 PIMCO EMERGING MKTS	$260,219	$242,657
18,709.6420 PIMCO FOREIGN BOND FUND	$192,189	$187,096
50,360.7290 PIMCO HIGH YIELD FUND	$469,605	$443,174
60,632.8130 PIMCO INVEST GRADE CORP BD	$610,105	$662,717
27,858.7540 SCHWAB S-T BOND MKT FD	$250,171	$249,057
9,911.8930 T ROWE PRICE INTL BOND FD	$100,554	$ 97,830
29,647.4930 TCW TOTAL RETURN I CLASS	$280,126	$293,807
4,906.2100 TCW TOTAL RETURN N CLASS	$ 51,313	$ 50,289
13,333 NEUBERGER BERMAN HI YLD STR	$200,020	$159,329
	$3,104,145	$3,108,096
TOTAL CORPORATE BONDS	**$4,391,124**	**$4,417,013**

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART II BALANCE SHEETS

Line 13 Investments-Other

	(b) Book value	(c) Fair Market Value
4,800 ISHARES GSCI COMMODITY INDEX	$202,185	$152,736
UNIT Trusts:		
153 FT UNIT 753 ADVISORS DISCIPLINED INC TR SER 2003-11	$121,922	$113,647
Certificates of Deposit:		
MERRILL LYNCH USA % DUE 08/31/10	$100,025	$103,810
TOTAL OTHER INVESTMENTS	**$424,132**	**$370,193**

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

Part IV CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

(a) Property Sold	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(g) Basis	(h)&(l) Gain/Loss
1a 13,655.396 ARTIO TOTAL RETURN	P	Various	02/03/09	169,550	183,378	-13,828
b 100,000 BANK OF AMERICA CORP 01/15/2013 4.875%	P	08/19/03	02/03/09	95,075	98,355	-3,280
c 100,000 CITIGROUP 11/21/17 6.125%	P	06/17/08	02/03/09	88,175	98,039	-9,864
d 100,000 COUNTRYWIDE HME 07/15/2009 5.625%	P	05/16/06	02/04/09	99,630	100,413	-783
e DISCOVER BANK 5.15 DUE 09/21/09	P	03/13/07	09/21/09	100,000	100,000	0
f 12,206.33 FAIRHOLME FUND	P	Various	12/22/09	369,558	259,609	109,949
g 100,000 INTL LEASE FIN 11/01/10	P	08/07/07	10/21/09	93,900	98,945	-5,045
h 13,889.554 KEELEY SMALL CAP VALUE	P	Various	07/29/09	237,650	330,171	-92,521
i 100,000 MERRILL LYNCH & CO 07/15/2018 6.50%	P	08/18/03	02/04/09	85,275	107,458	-22,183
j 15,440.50 OSTERWEIS FUND	P	Various	12/22/09	375,000	288,745	86,255
k 25,890.886 PIMCO FLOATING INCOME	P	Various	02/03/09	184,552	264,863	-80,311
l 12,321.764 UMB SCOUT INTL FUND	P	Various	12/22/09	355,236	266,661	88,575

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

Part IV CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME (continued)

(a) Property Sold	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(g) Basis	(h)&(l) Gain/Loss
m 73,000 SG STRUCTURED 04/29/09	P	04/26/05	04/29/09	73,000	73,012	-12
n 11,273.475 T ROW PRICE MIDCAP VALUE	P	Various	02/03/09	150,000	252,866	-102,866
o 12,472.28 TFS MARKET NEUTRAL FUND	P	Various	12/22/09	190,277	183,762	6,515
p 4,945.598 THIRD AVE REAL ESTATE	P	Various	12/22/09	100,000	124,358	-24,358
				$2,766,878		
2 Net Capital Loss						-$63,757
3 Net short-term capital gain/loss						

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART VIII OFFICERS, DIRECTORS, TRUSTEES, FOUNDATION MANAGERS

Line 1 Trustees, Foundation Manager and Compensation

(a) Name and Address	(b) Title/Average Hours Per Week	(c) Compensation	(d) Contrib. to Plans	(e) Expense account
Paul K. Shumaker 2500 W. 6th St, Ste. H Lawrence, KS 66049 (Deceased 07/05/2009)	Trustee/ part-time	0	0	0
Dianne C. Shumaker 2500 W. 6th St, Ste. H Lawrence, KS 66049	Trustee/ part-time	0	0	0
Eric A. Shumaker 2500 W. 6th St, Ste. H Lawrence, KS 66049	Managing Trustee/ part-time	$22,917	0	0
Megan I. Shumaker 2500 W. 6th St, Ste. H Lawrence, KS 66049	Managing Trustee/ part-time	$22,917	0	0
Judy Wright 2500 W. 6th St, Ste. H Lawrence, KS 66049	Executive Director/ part-time	$42,146	0	0

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART XV, LINE 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Recipient	Foundation Status	Purpose	Amount
Bridging the Gap, Inc. 435 Westport Road Kansas City, MO 64171	public charity	General support for organization environmental programs	$25,000
Arts in Prison, Inc. 1333 S. 27th Street Kansas City, KS 66106	public charity	General support	$30,000
Jackson County CASA 625 E. 26th Street Kansas City, MO 64108	public charity	General support	$30,000
Jellybean Conspiracy 2201 W. 50th Street Westwood Hills, KS 66205	public charity	Expand programs to national audience	$10,000
Kansas City Ballet 1616 Broadway Kansas City, MO 64108-1208	public charity	Ballet classes for elementary schools	$11,303
University of Kansas Center for Research, Inc. 2385 Irving Hill Road Lawrence, KS 66045-7563	public charity	Spirituality in Social Work program	$48,675
Van Go Mobile Arts P.O. Box 153 Lawrence, KS 66044	public charity	Social service art-centered program for at risk teens	$15,000
YouthFriends UpLink 1800 Baltimore, Ste. 400 Kansas City, MO 64108	public charity	Mentoring program for Kansas City, Kansas, high school students	$50,000

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART XV, LINE 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR(continued)

Recipient	Foundation Status	Purpose	Amount
Rose Brooks Center P.O. Box 320599 Kansas City, MO 64132-0599	public charity	Program for victims of domestic violence	$50,000
UMKC Conservatory of Music 5227 Holmes Kansas City, MO 64110-2229	public charity	Concerts and music workshops for under-privileged children	$32,000
United Way of Greater St. Joseph P.O. Box 188 St. Joseph, MO 64502	public charity	Success By 6 Program	$10,000
Metropolitan Lutheran Ministry 3031 Holmes Kansas City, MO 64109	public charity	Emergency assistance Fund	$8,000
Bridge of Hope National 311 National Road Exton, PA 19341	public charity	Program for homeless women with children	$10,000
Newhouse P.O. Box 240019 Kansas City, MO 64124	public charity	Services for children at battered women's shelter	$47,200
Kansas Big Brothers Big Sisters 1525 W. 6th, Ste. A Lawrence, KS 66044	public charity	Mentoring program	$15,000

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART XV, LINE 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR(continued)

Recipient	Foundation Status	Purpose	Amount
House of Menuha 801 East 77th Street Kansas City, Missouri 64131	public charity	Scholarships for low income women to attend programs	$4,600
Nelson-Atkins Museum of Art 4525 Oak Street Kansas City, MO 64111	public charity	Art education	$25,000
On Earth Peace 500 Main Street New Windsor, MD 21776	public charity	General support	$12,500
German Wirehaired Pointer Rescue, Inc. 1408 Salem Lane Olathe KS, 66062	public charity	Support of animal rescue shelter	$9,500
Power U Center for Social Change 164 NW 20th Street, #104 Miami, FL 33127	public charity	General support	$15,000
Leadership Council on Child Abuse & Interpersonal Violence P.O. Box 6815 Baltimore, MD 21285-6815	public charity	General support	$25,000
Spencer Museum of Art 1301 Mississippi Street Lawrence, KS 66045	public charity	Funds for development of self-guided tour of museum	$12,900
Metropolitan Community College 3200 Broadway Kansas City, MO 64111	public charity	Purchase of electronic mannequins for health science labs	$25,000

The Shumaker Family Foundation
2009 Form 990-PF
EIN 65-6406193

PART XV, LINE 3a GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR(continued)

Recipient	Foundation Status	Purpose	Amount
Nature's Voice Our Choice Carlyle Crescent Center 1940 Duke Street, Suite 200 Alexandria VA 22314	public charity	General support	$33,010
Cross-Lines Community Outreach, Inc. 736 Shawnee Avenue Kansas City, KS 66105	public charity	Programing for low income clients; Funds for additional Counselor	$50,000
SEE (Social and Environmental Entrepreneurs) Innovation 68 Morningside Dr. Asheville, NC 28806	public charity	Dream of a Nation project to raise public awareness of social & environmental issues	$40,000
Kansas City Friends of Alvin Ailey 1714 East 18th Street Kansas City, MO 64108	public charity	General support	$100
Sonje Ayiti Organiz., Inc. P.O. Box 83 Grayson, GA 30017	public charity	General support	$100
Cincinnati Zoo 3400 Vine Street Cincinnati, OH 45220	public charity	Polar bear breeding project	$35,000
City of Greensburg,KS 239 S. Main Greensburg, KS 67054	political subdivision	Relief fund for recovery from tornado	$200

TOTAL GRANTS AND CONTRIBUTIONS PAID IN 2009 $680,088

2009 Depreciation and Amortization Worksheet
Shumaker Family Foundation

FORM 990-PF

Description of Property	Date Placed in Service			Method	Life	Cost or Other Basis	Bus./ Invest. Use %	Sec 179 Deduct. & Special Allow.	Accum. Depreciation	Basis for Depreciation	Current Year Deduction
OFFICE FURNITURE	08	28	06	SL	7.00	1,977	100%		1,128	1,977	282
CONFERENCE TABLE/CHAIRS	08	13	06	SL	7.00	1,551	100%		888	1,551	222
COMPUTER	04	29	10	SL	5.00	960	100%		0	960	192
SCANNER	09	28	06	SL	5.00	411	100%		328	411	82
TOTAL									2,344	4,899	778