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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ALICE BUSCH GRONEWALDT FOUNDATION INC	**A Employer identification number** 65-0212289
	Number and street (or P O box number if mail is not delivered to street address) Room/suite C/O CALDWELL PACETTI 250 SOUTH AUST	**B** Telephone number (see page 10 of the instructions) (561) 655-0620
	City or town, state, and ZIP code WEST PALM BEACH, FL 33401	**C** If exemption application is pending, check here ▶ ☐ **D 1.** Foreign organizations, check here ▶ ☐ **2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 8,696,051

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	122,519	122,519		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a ________				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	55,761	55,761		
	12	**Total.** Add lines 1 through 11	178,280	178,280		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	39,699			
	b	Accounting fees (attach schedule)	6,364			
	c	Other professional fees (attach schedule)	16,273	6,116		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	3,820	320		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	32,495	32,434		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	98,651	38,870		0
	25	Contributions, gifts, grants paid	481,250			481,250
	26	**Total expenses and disbursements.** Add lines 24 and 25	579,901	38,870		481,250
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-401,621			
	b	**Net investment income** (if negative, enter -0-)		139,410		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	99,201	14,588	14,588
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	983,743	740,566	805,405
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,741,259	8,342,306	7,876,058
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	9,824,203	9,097,460	8,696,051
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	8,565,703	8,138,960	
	25	Temporarily restricted	1,258,500	958,500	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	9,824,203	9,097,460	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	9,824,203	9,097,460	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,824,203
2	Enter amount from Part I, line 27a	2	-401,621
3	Other increases not included in line 2 (itemize) ▶ ______	3	
4	Add lines 1, 2, and 3	4	9,422,582
5	Decreases not included in line 2 (itemize) ▶ ______	5	325,122
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	9,097,460

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-316,769
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	703,948	10,296,944	0 068365
2007	724,151	12,367,795	0 058551
2006	709,385	11,515,770	0 061601
2005	682,969	10,911,396	0 062592
2004	575,610	10,538,862	0 054618

2	**Total** of line 1, column (d).	2	0 305727
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 061145
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	7,774,454
5	Multiply line 4 by line 3.	5	475,369
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,394
7	Add lines 5 and 6.	7	476,763
8	Enter qualifying distributions from Part XII, line 4.	8	481,250

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,394
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,394
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,394
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a — 2,090		
b	Exempt foreign organizations—tax withheld at source — 6b —		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 2,000		
d	Backup withholding erroneously withheld — 6d —		
7	Total credits and payments Add lines 6a through 6d	7	4,090
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,696
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 2,696 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CALDWELL & PACETTI Telephone no ▶(561) 655-0620

Located at ▶250 SOUTH AUSTRAILIAN AVE BEACH FL ZIP+4 ▶33480

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ **Yes** ☑ **No**

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here

▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data TableSee Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	7,818,809
b	Average of monthly cash balances	1b	74,038
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	7,892,847
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,892,847
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	118,393
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,774,454
6	**Minimum investment return.** Enter 5% of line 5	6	388,723

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	388,723
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,394		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,394
3	Distributable amount before adjustments Subtract line 2c from line 1			3	387,329
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	387,329
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	387,329

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	481,250
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	481,250
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,394
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	479,856

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					387,329
2 Undistributed income, if any, as of the end of 2008					
a Enter amount for 2008 only.				13	
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2009					
a From 2004.	58,313				
b From 2005.	148,684				
c From 2006.	159,692				
d From 2007.	152,013				
e From 2008.	191,818				
f **Total** of lines 3a through e.		710,520			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 481,250					
a Applied to 2008, but not more than line 2a				13	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .					
d Applied to 2009 distributable amount.					387,329
e Remaining amount distributed out of corpus		93,908			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		804,428			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .					
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		58,313			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		746,115			
10 Analysis of line 9					
a Excess from 2005. . . .	148,684				
b Excess from 2006. . . .	159,692				
c Excess from 2007. . . .	152,013				
d Excess from 2008. . . .	191,818				
e Excess from 2009. . . .	93,908				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NOT APPLICABLE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ANDREW REGAN
599 LEXINGTON AVE
NEW YORK, NY 10022

b The form in which applications should be submitted and information and materials they should include

NOT APPLICABLE

c Any submission deadlines

NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				481,250
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				958,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	122,519	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	55,761	
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				178,280	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 178,280

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2010-11-11	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

		Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Preparer's Signature	KENNETH MILLER	2010-11-11		
Firm's name (or yours if self-employed), address, and ZIP code	LMI SERVICES INC 115 EAST 69TH STREET NEW YORK, NY 10021		EIN Phone no	

Additional Data

Software ID: 09000048
Software Version: US04CV000TY2009
EIN: 65-0212289
Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
From Sanford Bernstein	P	2009-01-01	2009-12-31
Capital Gain Distributions	P	2008-01-01	2009-12-31
JP Morgan Core Bond Fund	P	2009-11-30	2009-12-30
JP Morgan Core Bond Fund	P	2002-09-17	2009-06-12
JP Morgan Core Bond Fund	P	2002-09-17	2009-07-22
JP Morgan Core Bond Fund	P	2002-09-17	2009-08-04
JP Morgan Core Bond Fund	P	2002-09-17	2009-08-17
JP Morgan Core Bond Fund	P	2002-09-17	2009-12-30
JP Morgan Core Bond Fund	P	2002-09-17	2009-01-21
HHT I LLC - STCG	P	2009-01-01	2009-12-31
HHT I LLC - LTCG	P	2009-01-01	2009-12-31
ABG Partners - STCG	P	2009-01-01	2009-12-31
ABG Partners - LTCG	P	2009-01-01	2009-12-31
ABG Partners - Sec 1231	P	2009-01-01	2009-12-31
ABG Partners - Sec 1256	P	2009-01-01	2009-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
460,220	0	746,674	-286,454
229	0	0	229
4,747	0	4,697	50
40,000	0	41,011	-1,011
50,000	0	50,460	-460
50,000	0	50,496	-496
80,000	0	79,917	83
25,253	0	24,968	285
170,000	0	174,687	-4,687
22,284	0	0	22,284
0	0	43,110	-43,110
325	0	0	325
0	0	3,753	-3,753
0	0	55	-55
1	0	0	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
0	0	0	-286,454
0	0	0	229
0	0	0	50
0	0	0	-1,011
0	0	0	-460
0	0	0	-496
0	0	0	83
0	0	0	285
0	0	0	-4,687
0	0	0	22,284
0	0	0	-43,110
0	0	0	325
0	0	0	-3,753
0	0	0	-55
0	0	0	1

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LOUIS B HAGER JR 7 RAILROAD AVE ONEONTA, NY 13820	President 0	0	0	0
MARY THOMAS 4108 CRICKET LANE DURHAM, NC 27707	Director 0	0	0	0
ALICE HOLBROOK 12 MEAD POINT DRIVE GREENWICH, CT 06830	Director 0	0	0	0
ANDREW REGAN 599 LEXINGTON AVE NEW YORK, NY 10022	Director/Secy 0	0	0	0
MANLEY CALDWELL 250 SOUTH AUSTRALIAN AVE WEST PALM BEACH, FL 33401	Asst Secy 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NYS HISTORICAL SOCIETY170 CENTRAL PRK WEST NEW YORK, NY 10024		NA	Indian Village	5,000
HILL CENTER3200 PICKETT ROAD DURHAM, NC 27705		NA	The Building Fund	5,000
MT VERNON LADIES ASSOCPO BOX 110 MOUNT VERNON, VA 22121		NA	Pledge Payment	10,000
BASSETT HOSPITALONE ATWELL ROAD COOPERSTOWN, NY 13326		NA	Pledge Payment	15,000
TRINITY SCHOOL4011 PICKETT ROAD DURHAM, NC 27705		NA	Pledge Payment	20,000
ROSARIAN ACADEMY807 NORTH FLAGLER DR WEST PALM BEACH, FL 33401		NA	The Sister JeanScholarship	12,000
HYDE HALL1527 CO HWY 31 COOPERSTWON, NY 13326		NA	The Gala	1,000
NEW YORK STATE HISTORICAL SOCIETY170 CENTRAL PRK WEST NEW YORK, NY 10024		NA	General Fund	2,500
HOOSAC SCHOOLPO BOX 9 HOOSICK, NY 12089		NA	Tennis CourtRepairs	6,250
HYDE HALL1527 CO HWY 31 COOPERSTOWN, NY 13326		NA	Bombay Bash Gift	1,000
COLLEGE OF ONEONTA FOUNDATION108 RAVINE PARKWAY ONEONTA, NY 13820		NA	Walleye Stocking Project	10,000
HILLTOPPER ATHLETIC FDN1906 COLLEGE HEIGHTS BLVD BOWLING GREEN, KY 42101		NA	Tennis Porgram	2,500
HOOSAC SCHOOLPO BOX 9 HOOSICK, NY 12089		NA	Tennis CourtRepairs	5,000
MILLBROOK SCHOOL131 MILLBROOK SCHOOL RD MILLBROOK, NY 12545		NA	Pledge Payment	50,000
DUKE UNIVERSITY700 W MAIN ST DURHAM, NC 27708		NA	The Iron Dukes	32,845
Total . . . . ▶ **3a**				481,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PRESERVATION LEAGUE OF NY44 CENTRAL AVE ALBANY, NY 12206		NA	General Fund	5,000
PALM BEACH ACADEMY1220A 10TH ST LAKE PARK, FL 33403		NA	General Fund	1,000
HYDE HALL1527 CO HWY 31 COOPERSTOWN, NY 13326		NA	General Fund	30,000
HISTORICAL SOCIETY OF GREENWICH STRICKLAND RD, CT 06807		NA	General Fund	10,000
FAMILY CENTER INC366 SOMERVILLE AVENUE SOMMERVILLE, MA 02143		NA	General Fund	10,000
PALM BEACH INFECTIOUSDISEASE INSTITUTE N FLAGLER DR, FL 33401		NA	General Fund	10,000
TRINITY SCHOOL4011 PICKETT ROAD DURHAM, NC 27705		NA	Tennis Courts	5,000
RONALD MCDONALD HOUSE506 ALEXANDER AVE DURHAM, NC 27705		NA	General Fund	1,500
HARTWICK COLLEGEPO BOX 4020 ONEONTA, NY 13820		NA	Golisano HallPledge	10,000
ST LAWRENCE UNIVERSITY27 ROMODA DR CANTON, NY 13617		NA	St Lawrence Fund	10,000
OCAA FOR THE LAKE CHALLENGE NA NA, NY 10021		NA	Pledge Payment	30,000
BASSET HOSPITALONE ATWELL ROAD COOPERSTWON, NY 13326		NA	Cardiovascular ServicesPledge	70,000
PALM BEACH ZOO1301 SUMMIT BLVD WEST PALM BEACH, FL 33405		NA	Animal WellnessCenter	5,000
PALM BEACH YOUTH FOR CHRIST 800 NORTHPOINT PKWY 202 WEST PAM BEACH, FL 33407		NA	General Fund	5,345
HEATH EVANS FOUNDATION1128 ROYAL PALM BEACH BLVD ROYAL PALM BEACH, FL 33411		NA	General Fund	10,000
Total . . . . ▶ **3a**				481,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GREENWICH COUNTRY DAY SCHOOL401 OLD CHURCH RD GREENWICH, CT 06830		NA	Pledge Payment	50,000
BROOKWOOD SCHOOL1 BROOKWOOD RD MANCHESTER, MA 01944		NA	Road WorkGeneral Fund	5,500
GREENWICH COUNTRY DAY SCHOOL401 OLD CHURCH RD GREENWICH, CT 06830		NA	General Fund	5,000
MILLBROOK SCHOOL131 MILLBROOK SCHOOL RD MILLBROOK, NY 12545		NA	Pledge Payment	15,000
THE HILL CENTER3200 PICKETT ROAD DURHAM, NC 27705		NA	The Auction	3,000
FRIENDS OF MUKHANYO50016 BROGDEN CHAPEL HILL, NC 27517		NA	General Fund	2,000
WINSTON SALEM FOUNDATION860 WEST 5TH ST WINSTONSALEM, NC 27101		NA	The Roaring GapFund	1,500
REFORMED UNIVERSITY1700 NORTH BROWN RD LAWRENCEVILLE, GA 30043		NA	FellowshipGift	2,000
HOPE FOR WARRIORS1335 SUITE E WESTERN BLVD JACKSONVILLE, NC 28546		NA	General Fund	4,000
DUKE UNIVERSITYDUKE UNIVERSITY DURHAM, NC 27708		NA	AthleticsDepartment	2,310
Total . . . . ▶ **3a**				481,250

Form 990PF Part XV Line 3b - Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
b *Approved for future payment*				
GREENWICH COUNTRY DAY401 OLD CHURCH ROAD GREENWICH,CT 06830		na	Capital Campaign	150,000
MILBROOK SCHOOL131 MILLBROOK SCHOOLD ROAD MILLBROOK,NY 12545		na	Math & ScienceCenter	200,000
BASSET HOSPITALONE ATWELL ROAD COOPERSTOWN,NY 13326		na	General Operating	13,500
				0
OCCANA NA,NY 10021		NA	Lake Challenge	90,000
ST LAWRENCE UNIVERSITY23 ROMODA DRIVE CANTON,NY 13617		NA	St LawrenceFund	20,000
BASSETT HOSPITALONE ATWELL ROAD COOPERTOWN,NY 13326		NA	CardiovascularServices	280,000
BASSETT HOSPITALONE ATWELL ROAD COOPERSTOWN,NY 13326		NA	Cardiac RehabProgram	25,000
BASSETT HOSPITALONE ATWELL ROAD COOPERSTOWN,NY 13326		NA	Birthing Center	40,000
HARTWICK COLLEGEPO BOX 4020 ONEONTA,NY 13820		NA	Golisano Hall	30,000
				0
MT VERNON LADIES ASSOCPO BOX 110 MOUNT VERNON,VA 22121		NA	General Operating	30,000
TRINITY SCHOOL4011 PICKETT ROAD DURHAM,NC 27705		NA	Capital Campaign	80,000
Total . . . . ▶ **3b**				958,500

TY 2009 Accounting Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Landmark Mgt Assoc Inc Accounting and tax preparation	6,364			

TY 2009 General Explanation Attachment

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Identifier	Return Reference	Explanation
Question 8b Part VII - A		THE FOUNDATION DOES NOT FURNISH A COPY OF FORM 990PF TO THE ATTORNEY GENERAL OF FLORIDA BECAUSE IT IS NOT REQUIRED TO FOR THIS STATE

TY 2009 Investments Corporate Stock Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Stocks Managed by Sanford Bernstein	740,566	805,405

TY 2009 Investments - Other Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
William Blair International Fund		976,332	872,331
ABG Partners		228,269	272,210
HHT I LLC		4,266,058	4,003,362
JP Morgan Core Bond Fund		1,231,346	1,244,294
SSGA S&P 500 Index Fund		1,640,301	1,483,861

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TY 2009 Legal Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Shearman & Sterling Various legal services	36,286			
Cadwell & Pacetti Various legal services	3,413			

TY 2009 Other Decreases Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Amount
Excess capital losses	316,769
Excess Invest. Exp over Invest Income - ABG	8,353

TY 2009 Other Expenses Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Custodian Fees	2,598	2,598		
Filing Fees	61			
HHT I LLC - Investment Exp	27,603	27,603		
ABG Prts - Investment Exp	2,233	2,233		

TY 2009 Other Income Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Gross Income - HHT I LLC	53,432	53,432	
Class action proceeds	96	96	
Gross Income - ABG Prts	2,233	2,233	

TY 2009 Other Professional Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Alliance Capital Management Investment advisory fees	6,116	6,116		
Landmark Management, Inc Investment advisory fees	3,530			
Clearbrook Investment advisory fees	6,627			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491316004110

TY 2009 Taxes Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Tax	3,500			
Foreign taxes paid on dividends	320	320		