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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation MILTON AND TAMAR MALTZ FAMILY FOUNDATION		A Employer identification number 65-0164300
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number 2167813010
	City or town, state, and ZIP code CLEVELAND, OH 44113		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 59,959,323. (Part I, column (d) must be on cash basis.)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	8,155,958.		N/A	
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	193.	193.		STATEMENT 1
4	Dividends and interest from securities	1,410,617.	1,410,617.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-103,906.			
b	Gross sales price for all assets on line 6a	14,041,737.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10	Gross sales less returns and allowances				
c	Gross profit or (loss)	1,470,832.	1,470,832.		STATEMENT 3
11	Other income				
12	Total. Add lines 1 through 11	10,933,694.	2,881,642.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 4	13,836.	13,836.		0.
c	Other professional fees STMT 5	158,698.	158,698.		0.
17	Interest				
18	Taxes STMT 6	40,200.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 7	76,868.	76,868.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	289,602.	249,402.		0.
25	Contributions, gifts, grants paid	2,436,818.			2,436,818.
26	Total expenses and disbursements. Add lines 24 and 25	2,726,420.	249,402.		2,436,818.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	8,207,274.			
b	Net investment income (if negative, enter -0-)		2,632,240.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing	58,039.	17,961.	17,961.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 8	611,186.	1,133,273.	1,140,482.
	b	Investments - corporate stock STMT 9	8,799,439.	11,097,999.	10,499,485.
	c	Investments - corporate bonds STMT 10	22,288,381.	25,013,574.	25,687,959.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	18,891,001.	21,636,120.	22,588,756.
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶ RECEIVABLES)	79,525.	24,680.	24,680.
	16	Total assets (to be completed by all filers)	50,727,571.	58,923,607.	59,959,323.
	17	Accounts payable and accrued expenses	25,074.	13,836.	
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	25,074.	13,836.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,000,000.	1,000,000.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds	49,702,497.	57,909,771.		
30	Total net assets or fund balances	50,702,497.	58,909,771.		
31	Total liabilities and net assets/fund balances	50,727,571.	58,923,607.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	50,702,497.
2	Enter amount from Part I, line 27a	2	8,207,274.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	58,909,771.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	58,909,771.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 14,041,737.		14,145,643.	-103,906.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-103,906.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-103,906.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	826,200.	48,994,064.	.016863
2007	1,626,488.	41,143,990.	.039532
2006	1,328,784.	35,077,635.	.037881
2005	664,000.	21,547,844.	.030815
2004	430,000.	13,496,186.	.031861

2 Total of line 1, column (d)	2	.156952
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031390
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	50,298,815.
5 Multiply line 4 by line 3	5	1,578,880.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	26,322.
7 Add lines 5 and 6	7	1,605,202.
8 Enter qualifying distributions from Part XII, line 4	8	2,436,818.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	26,322.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	26,322.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	26,322.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	34,679.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	34,679.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,357.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 8,357. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ OH, FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **WWW.MALTZFOUNDATION.ORG**

14 The books are in care of **DAVID MALTZ** Telephone no. **216-781-3010**
 Located at **1660 W. 2ND STREET, SUITE 800, CLEVELAND, OH** ZIP+4 **44113**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	51,020,088.
b	Average of monthly cash balances	1b	44,699.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	51,064,787.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	51,064,787.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	765,972.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,298,815.
6	Minimum investment return. Enter 5% of line 5	6	2,514,941.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,514,941.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	26,322.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	26,322.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,488,619.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,488,619.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,488,619.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,436,818.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,436,818.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	26,322.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,410,496.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,488,619.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			2,428,941.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: $\blacktriangleright$ \$ 2,436,818.				
a Applied to 2008, but not more than line 2a			2,428,941.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				7,877.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				2,480,742.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SCHEDULE FOR DETAIL				2,436,818.
Total			3a	2,436,818.
b Approved for future payment NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here


Signature of officer or trustee

5/15/10
Date

Treasurer
Title

**Paid
Preparer's
Use Only**

Preparer's
signature

Firm's name (or yours
if self-employed).
address, and ZIP code

10. *[Signature]*

RSM MCGLADREY, INC.
1001 LAKESIDE AVE., SUITE 1400
CLEVELAND, OH 44114-1152

Date _____

☐ Check if self-employeePreparer's identifying number
P00100436

Employed	☐	100
EIN	41-1944416	

Phone no. (216) 523-1900

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

MILTON AND TAMAR MALTZ FAMILY FOUNDATION

65-0164300

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

MILTON AND TAMAR MALTZ FAMILY FOUNDATION

65-0164300

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DANIEL MALTZ 5500 MILITARY TRAIL #22-367 JUPITER, FL 33458	\$ 2,236,751.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	DAVID MALTZ 5500 MILITARY TRAIL #22-367 JUPITER, FL 33458	\$ 2,117,091.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	JULIE KONIGSBERG 5500 MILITARY TRAIL #22-367 JUPITER, FL 33458	\$ 2,299,469.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
4	MILTON S MALTZ 5500 MILITARY TRAIL #22-367 JUPITER, FL 33458	\$ 1,502,647.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

**THE MILTON AND TAMAR MALTZ FAMILY FOUNDATION
2009 Grants**

<u>Recipient Name and Address</u>	<u>Relationship to Substantial Contributor and Foundation Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Anti-Defamation League 50 Public Square, Suite 1904 Cleveland, OH 44113	None, Public Charity	Support for Ohio, Kentucky, Allegheny Region	\$50,000
The ALS Association 162 West 56th Street, Suite 405 New York, NY 10019	None, Public Charity	15th Annual Lou Gehrig Sports Award Benefit	\$1,000
American Friends of Israel Philharmonic Orchestra 122 East 42 nd Street, Suite 4507 New York, NY 10168	None, Public Charity	Annual Support	\$50,000
Animal Balance Upper Fort Mason Center Quarters 35 San Francisco, CA 94123	None, Public Charity	Unrestricted Support	\$50,000
Arthritis Foundation – Northeast Ohio Chapter 4630 Richmond Road, Suite 240 Cleveland, Ohio 44128	None, Public Charity	Support for Medical Research	\$5,000
Bernard Axelrad Scholarship Fund 12340 Santa Monica Boulevard, Suite 212 Los Angeles, CA 90025	None, Public Charity	Scholarship Support	\$25,000
Big Brothers Big Sisters of New York City, Inc. 223 East 30th Street New York, NY 10016	None, Public Charity	2009 Sidewalks of New York Dinner	\$1,000
Breakthrough Leadership Institute P.O. Box 42934 Tucson, AZ 85733	None; Public Charity	Start-up Support	\$1,500
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106	None; Public Charity	Support for "Got City Game"	\$10,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, OH 44106	None; Public Charity	Support for the creation of a Lesbian Gay Bisexual Transgender Center	\$70,000
Citizens Academy 1827 Ansel Road Cleveland, OH 44106	None; Public Charity	Annual Support	\$5,000
Cleveland Arts Prize PO Box 21126 Cleveland, OH 44121	None; Public Charity	Annual Support	\$1,000
Cleveland Clinic Foundation 9500 Euclid Avenue Cleveland, OH 44195	None, Public Charity	Taussig Cancer Institute's Scott Hamilton CARES Initiative	\$5,000
Cleveland Clinic Foundation Glickman Urological and Kidney Institute 9500 Euclid Avenue/Q10 Cleveland, OH 44195	None, Public Charity	Support for Prostate Cancer Research Initiatives	\$1,000,000
Cleveland Film Society 2510 Market Avenue Cleveland, OH 44113-3434	None, Public Charity	2010 Cleveland International Film Festival	\$10,000
Cleveland Foodbank 15500 South Waterloo Road Cleveland, OH 44110	None; Public Charity	Fresh Produce Initiative	\$250,000

**THE MILTON AND TAMAR MALTZ FAMILY FOUNDATION
2009 Grants**

<u>Recipient Name and Address</u>	<u>Relationship to Substantial Contributor and Foundation Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Cleveland Institute of Music 11021 East Boulevard Cleveland, OH 44106-1705	None, Public Charity	Annual Support	\$1,500
Cleveland Jewish News Foundation 23880 Commerce Park, Suite 1 Beachwood, OH 44122	None, Public Charity	45th Anniversary Tribute Dinner	\$1,518
Cleveland Museum of Art 11150 East Boulevard Cleveland, OH 44106	None, Public Charity	Annual Support	\$25,000
Cleveland Museum of Art 11150 East Boulevard Cleveland, OH 44106	None, Public Charity	President's Circle	\$3,000
Cleveland Orchestra 11001 Euclid Avenue Cleveland, OH 44106	None, Public Charity	Annual Support	\$5,000
Cleveland Play House 8500 Euclid Avenue Cleveland, OH 44106	None, Public Charity	Annual Support	\$25,000
Cleveland Sight Center 1909 East 101 st Street PO Box 1988 Cleveland, OH 44106	None, Public Charity	Annual Support	\$5,000
Cleveland Zoological Society 3900 Wildlife Way Cleveland, OH 44109	None, Public Charity	Support for African Elephant Crossing	\$120,000
Dian Fossey Gorilla Fund 800 Cherokee Avenue, SE Atlanta, GA 30315-1440	None, Public Charity	Support for mobile anti-poaching patrol units in Rwanda and Congo	\$180,000
Diversity Center of NE Ohio 3645 Warrensville Center Rd., Suite 320 Cleveland, OH 44122	None, Public Charity	55 th Humanitarian Awards Dinner	\$10,000
Dobama Theatre 2490 Lee Boulevard, Suite 325 Cleveland Heights, OH 44118	None; Public Charity	Capital Support	\$15,000
Frontier Recreation Program, Inc. 2550 Lander Road Pepper Pike, OH 44124	None; Public Charity	Scholarships for Minority Campers	\$4,000
Heights Hill Mental Health Service CAB 25 Flatbush Avenue, 3rd Floor Brooklyn, NY 11217	None, Public Charity	Rainbow Heights Club	\$40,000
Hopewell 139 Bell Street, Suite 206 Chagrin Falls, OH 44022	None, Public Charity	Annual Support	\$5,000
Jewish Federation of Palm Beach County 4601 Community Drive West Palm Beach, FL 33417-2760	None, Public Charity	2009 Annual Campaign	\$12,000
Jewish Family & Children's Service 4301 East Fifth Street Tucson, AZ 85711-2005	None, Public Charity	Annual Support	\$45,000

THE MILTON AND TAMAR MALTZ FAMILY FOUNDATION
2009 Grants

<u>Recipient Name and Address</u>	<u>Relationship to Substantial Contributor and Foundation Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Jewish Family Service Association 3659 South Green Road, Suite 322 Beachwood, Ohio 44122	None, Public Charity	Annual Support	\$1,000
Musical Arts Society 13715 Shaker Blvd., #18 Cleveland, OH 44120	None, Public Charity	Scholarship Support	\$1,000
NAMI 2107 Wilson Boulevard, Suite 300 Arlington, VA 22201-3042	None; Public Charity	Annual Support	\$2,000
NARSAD 60 Cutter Mill Road, Suite 404 Great Neck, NY 11021	None; Public Charity	2009 NY Awards Dinner	\$10,000
National Council of Jewish Women Cleveland Section 26055 Emery Road Warrensville Heights, OH 44128	None, Public Charity	Support for Technological Infrastructure	\$25,000
National MS Society Northeast Ohio Office 6155 Rockside Road, Suite 202 Independence, OH 44131	None; Public Charity	Support for Medical Research	\$20,000
Nature Center at Shaker Lakes 2600 South Park Blvd Cleveland, OH 44120	None; Public Charity	Annual Support	\$5,000
The Negev Foundation 2121 South Green Road, Suite 210 Cleveland, OH 44121	None; Public Charity	Support for Agricultural Research	\$100,000
Ohio Grantmakers Forum 37 West Broad Street, Suite 800 Columbus, OH 43215-4198	None; Public Charity	General Support	\$300
ORT America Cleveland Region 24100 Chagrin Blvd., Suite 300 Beachwood, OH 44122	None; Public Charity	Cleveland Region's 38 th Annual Brunch	\$3,500
Palm Beach County Cultural Council 1555 Palm Beach Lakes Blvd., Suite 300 West Palm Beach, FL 33401	None; Public Charity	Annual Support	\$1,000
Palm Beach County Cultural Council 1555 Palm Beach Lakes Blvd., Suite 300 West Palm Beach, FL 33401	None, Public Charity	2010 Muse Awards	\$5,000
PLAN of NE Ohio 5010 Mayfield Road, Suite 304 Lyndhurst, OH 44124	None; Public Charity	2009 Annual Challenge Campaign	\$12,000
PLAN of NE Ohio 5010 Mayfield Road, Suite 304 Lyndhurst, OH 44124	None, Public Charity	Operating Support	\$100,000
Shoes and Clothes for Kids 4614 Prospect Avenue, Suite 511 Cleveland, OH 44103	None; Public Charity	Annual Support	\$1,000

**THE MILTON AND TAMAR MALTZ FAMILY FOUNDATION
2009 Grants**

<u>Recipient Name and Address</u>	<u>Relationship to Substantial Contributor and Foundation Status of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Shoes and Clothes for Kids 4614 Prospect Avenue, Suite 511 Cleveland, OH 44103	None, Public Charity	Annual Support	\$9,000
Laura & Alvin Siegal College of Judaic Studies 26500 Shaker Boulevard Cleveland, OH 44122	None, Public Charity	Annual Support	\$12,000
Laura & Alvin Siegal College of Judaic Studies 26500 Shaker Boulevard Cleveland, OH 44122	None, Public Charity	2009 Scholar's Gala	\$2,500
The Temple-Tifereth Israel 26000 Shaker Boulevard Beachwood, OH 44122	None, Public Charity	Annual Support	\$5,000
United States Holocaust Memorial Museum 100 Raoul Wallenberg Place, SW Washington, D.C 20024-2126	None; Public Charity	Unrestricted Support	\$50,000
University of Michigan 128 Tyler, East Quad 701 East University Ann Arbor, MI 48109-1245	None, Public Charity	Support for Studio Art at Residential College	\$10,000
University of Michigan Comprehensive Cancer Center 301 E. Liberty, Suite 130 Ann Arbor, MI 48104-2251	None; Public Charity	Newman Adrenal Cancer Research Fund	\$25,000
Western Reserve Historical Society 10825 East Boulevard Cleveland, OH 44106	None; Public Charity	Annual Support	\$5,000
TOTAL 2009 AWARDS			\$2,436,818

MILTON AND TAMAR MALTZ FAMILY FOUNDATION

Part IV Capital Gains and Losses for Taxable Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SILCHESTER INTL INV K-1	P		
b	SILCHESTER INTL INV K-1	P		
c	40,000 SH AMERICAN EXPRESS CO SR UNSECURED NOTES	P	06/01/09	11/18/09
d	60,000 SH DOW CHEM CO NT	P	06/01/09	11/18/09
e	50,000 SH JPMORGAN CHASE & CO NT	P	06/01/09	09/02/09
f	BNY - PER ATTACHED SCHEDULE (4 ACCTS)	P	VARIOUS	VARIOUS
g	BNY - PER ATTACHED SCHEDULE (4 ACCTS)	P	VARIOUS	VARIOUS
h	KARPUS INVESTMENT MGMT - PER ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
i	KARPUS INVESTMENT MGMT - PER ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
j	KARPUS INVESTMENT MGMT - PER ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
k	KARPUS INVESTMENT MGMT - PER ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
l	RAMIUS MULTI-STRATEGY FUND, LTD	P		
m	PIMCO GMA	P		
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		19,514.	-19,514.
b		220,036.	-220,036.
c	45,479.	41,490.	3,989.
d	67,603.	61,294.	6,309.
e	52,257.	49,948.	2,309.
f	696,422.	722,698.	-26,276.
g	1,269,502.	1,937,764.	-668,262.
h	4,769,053.	4,094,525.	674,528.
i	1,077,487.	1,593,753.	-516,266.
j	5,825,173.	5,262,991.	562,182.
k	175,081.	141,630.	33,451.
l	24,749.		24,749.
m	22,786.		22,786.
n	16,145.		16,145.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-19,514.
b			-220,036.
c			3,989.
d			6,309.
e			2,309.
f			-26,276.
g			-668,262.
h			674,528.
i			-516,266.
j			562,182.
k			33,451.
l			24,749.
m			22,786.
n			16,145.
o			

2	Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } ...	2	-103,906.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAM FDN/ALL OTHER

2009 Form 1099

Account Number

Page 6

Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return.

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	552.53	08/29/08	01/01/09	\$552.53	\$551.65	\$0.88
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	212.3	08/29/08	01/01/09	\$212.30	\$212.92	\$-0.62
GE COML MTG CORP	36828QAB2	125.24	08/29/08	01/01/09	\$125.24	\$125.86	\$-0.62
FEDERAL HOME LN MTG CORP	3128X5WT1	20000	01/14/08	01/09/09	\$20,000.00	\$20,244.44	\$-244.44
UNITED STATES TREAS NTS	912828FF2	5000	06/05/08	01/13/09	\$6,066.78	\$5,425.09	\$641.69
UNITED STATES TREAS NTS	912828ED8	5000	03/12/08	01/20/09	\$5,286.31	\$5,223.89	\$62.42
SBC COMMUNICATIONS INC	78387GAK9	10000	01/20/09	01/30/09	\$10,477.30	\$10,503.11	\$-25.81
UNITED STATES TREAS NTS	912828JH4	5000	09/03/08	01/30/09	\$5,497.64	\$5,119.64	\$378.00
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	942.58	08/29/08	02/01/09	\$942.58	\$941.07	\$1.51
GE COML MTG CORP	36828QAB2	125.87	08/29/08	02/01/09	\$125.87	\$126.49	\$-0.62
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	213.26	08/29/08	02/01/09	\$213.26	\$213.88	\$-0.62
UNITED STATES TREAS NTS	912828EN6	25000	06/10/08	02/23/09	\$28,472.56	\$26,065.44	\$2,407.12
UNITED STATES TREAS NTS	912828EN6	5000	07/30/08	02/23/09	\$5,694.51	\$5,235.10	\$459.41
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	387.16	08/29/08	03/01/09	\$387.16	\$388.27	\$-1.11



BNY MELLON
WEALTH MANAGEMENT

2009 Form 1099

Account Number

MILTON TAMAR MALTZ FAM FDN/ALL OTHER

Page 7

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
GE COML MTG CORP	36828QAB2	164.47	08/29/08	03/01/09	\$164.47	\$165.28	\$-0.81
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	1465.39	08/29/08	03/01/09	\$1,465.39	\$1,463.05	\$2.34
GE COML MTG CORP	36828QAB2	127.35	08/29/08	04/01/09	\$127.35	\$127.98	\$-0.63
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	216.03	08/29/08	04/01/09	\$216.03	\$216.65	\$-0.62
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	1544.78	08/29/08	04/01/09	\$1,544.78	\$1,542.31	\$2.47
FED NATL MTG ASSN 4.0% 8/18/11	31398ATD4	40000	11/17/08	04/24/09	\$40,408.00	\$40,147.97	\$260.03
HYDRO-QUEBEC DEB SER IF	448814ED1	10000	07/30/08	04/29/09	\$11,497.50	\$11,289.02	\$208.48
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	1480.53	08/29/08	05/01/09	\$1,480.53	\$1,478.16	\$2.37
GE COML MTG CORP	36828QAB2	140.6	08/29/08	05/01/09	\$140.60	\$141.29	\$-0.69
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	354.35	08/29/08	05/01/09	\$354.35	\$355.36	\$-1.01
UNITED STATES TREAS NTS	912828BR0	15000	06/23/08	05/01/09	\$16,553.86	\$15,383.13	\$1,170.73
UNITED STATES TREAS NTS	912828BR0	5000	05/07/08	05/01/09	\$5,517.95	\$5,219.13	\$298.82
UNITED STATES TREAS NTS	912828BH2	5000	06/05/08	05/20/09	\$5,500.96	\$5,190.69	\$310.27
UNITED STATES TREAS NTS	912828FF2	10000	06/05/08	05/26/09	\$11,307.77	\$10,812.87	\$494.90
UNITED STATES TREAS NTS	912828FF2	5000	06/05/08	05/27/09	\$5,657.60	\$5,406.01	\$251.59
TIME WARNER CABLE INC 8.75% 2/14/19	88732JAP3	5000	11/13/08	05/27/09	\$5,682.95	\$4,925.92	\$737.03
CD 2005-C1 COMMERCIAL MTG TR	12513EAA2	227.97	08/29/08	06/01/09	\$227.97	\$228.61	\$-0.64
GE COML MTG CORP	36828QAB2	128.71	08/29/08	06/01/09	\$128.71	\$129.34	\$-0.63
FEDERAL HOME LN MTG CORP REMIC TR	31396GG70	1337.65	08/29/08	06/01/09	\$1,337.65	\$1,335.51	\$2.14
UNITED STATES TREAS NTS	912828EN6	5000	07/30/08	06/22/09	\$5,392.56	\$5,224.88	\$167.68
HYDRO-QUEBEC	448814EK5	5000	04/29/09	06/24/09	\$5,733.75	\$5,810.46	\$-76.71
Total short term gain/loss:					\$204,474.77	\$196,970.47	\$7,504.30

Report on Form 1040, Schedule D, line 1, Column d, e and f



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAM FDN/ALL OTHER

2009 Form 1099

Account Number

Page 8

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
UNITED STATES TREAS NTs	912828ED8	25000	11/27/07	01/20/09	\$26,431.56	\$25,536.23	\$895.33
UNITED STATES TREAS 4.25% 11/15/17	912828HH6	5000	12/17/07	01/30/09	\$5,568.34	\$5,020.44	\$547.90
BANC AMER COML MTG INC	05947UVK1	485.04	09/30/04	02/01/09	\$485.04	\$487.46	\$-2.42
FEDERAL FARM CR BKS CONS	31331XBQ4	50000	12/18/07	02/19/09	\$51,385.00	\$50,608.33	\$776.67
UNITED STATES TREAS 4.25% 11/15/17	912828HH6	10000	12/17/07	02/20/09	\$11,215.59	\$10,040.65	\$1,174.94
BRITISH SKY BROADCASTING GROUP	111013AB4	20000	11/08/07	02/23/09	\$20,000.00	\$20,000.00	\$0.00
AMERICAN GEN FIN CORP M/T/N	02635PRT2	9000	02/19/04	02/26/09	\$4,050.00	\$9,428.99	\$-5,378.99
AMERICAN GEN FIN CORP M/T/N	02635PRT2	3000	11/20/02	02/26/09	\$1,350.00	\$2,941.76	\$-1,591.76
AMERICAN GEN FIN CORP M/T/N	02635PRT2	18000	07/28/05	02/26/09	\$8,100.00	\$18,448.57	\$-10,348.57
BANC AMER COML MTG INC	05947UVK1	458.19	09/30/04	03/01/09	\$458.19	\$460.48	\$-2.29
MERRILL LYNCH & CO INC MTN V/R	59018YTA9	11000	02/22/04	03/02/09	\$11,000.00	\$11,000.00	\$0.00
UNITED STATES TREAS 4.25% 11/15/17	912828HH6	10000	12/17/07	03/17/09	\$11,075.74	\$10,040.41	\$1,035.33
BANC AMER COML MTG INC	05947UVK1	342.11	09/30/04	04/01/09	\$342.11	\$343.81	\$-1.70
CATERPILLAR FINL SVCS CORP	14911RAH2	5000	10/20/05	04/14/09	\$5,054.50	\$4,968.77	\$85.73
BANC AMER COML MTG INC	05947UVK1	49.04	09/30/04	05/01/09	\$49.04	\$49.28	\$-0.24
UNITED PARCEL SVC INC	911312AG1	5000	01/10/08	05/12/09	\$5,264.95	\$4,981.87	\$283.08
CIT GROUP INC	125581CT3	30000	02/06/07	05/20/09	\$19,500.00	\$29,982.01	\$-10,482.01
CIT GROUP INC	125581CT3	3000	02/12/07	05/20/09	\$1,950.00	\$2,993.13	\$-1,043.13
DEVON FING CORP U L C	25179SAC4	10000	12/05/07	05/20/09	\$10,763.20	\$10,568.19	\$195.01
DEVON FING CORP U L C	25179SAC4	10000	12/05/07	05/26/09	\$10,771.50	\$10,566.26	\$205.24
HARTFORD FINL SVCS GROUP INC	416515AT1	30000	03/07/07	05/27/09	\$24,342.60	\$30,023.03	\$-5,680.43
BANC AMER COML MTG INC	05947UVK1	786.29	09/30/04	06/01/09	\$786.29	\$790.20	\$-3.91
UNITED STATES TREAS 4.25% 11/15/17	912828HH6	5000	12/17/07	06/03/09	\$5,324.59	\$5,019.77	\$304.82
DAIMLERCHRSUSLER AUTO TR 2006-C	233875AC7	332.76	09/26/06	06/08/09	\$332.76	\$332.70	\$0.06
FEDERAL FARM CR BKS CONS	31331XWL2	20000	03/25/08	06/15/09	\$20,740.00	\$20,506.92	\$233.08
Total long term gain/loss:	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$256,341.00	\$285,139.26	\$-28,798.26



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAMILY FDN/EAGLE

2009 Form 1099

Account Number

Page 4

Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return.

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
AMERICAN EXPRESS COMPANY	025816109	600	03/26/08	03/11/09	\$7,144.58	\$27,396.00	\$-20,251.42
ECOLAB INC COM	278865100	400	11/12/08	03/11/09	\$12,376.13	\$12,200.00	\$176.13
ECOLAB INC COM	278865100	700	07/10/08	03/11/09	\$21,658.22	\$30,336.25	\$-8,678.03
YAHOO INC	984332106	800	12/01/08	03/11/09	\$10,560.02	\$8,706.16	\$1,853.86
GENERAL ELECTRIC CO	369604103	1600	06/12/08	03/11/09	\$13,617.04	\$47,253.44	\$-33,636.40
NEWS CORP	65248E104	400	02/13/09	03/11/09	\$2,215.99	\$2,606.80	\$-390.81
NEWS CORP	65248E104	3000	02/04/09	03/11/09	\$16,619.90	\$20,097.60	\$-3,477.70
GENERAL ELECTRIC CO	369604103	100	06/13/08	03/11/09	\$851.07	\$2,888.42	\$-2,037.35
Total short term gain/loss:	Report on Form 1040, Schedule D, line 1, Column d, e and f				\$85,042.95	\$151,484.67	\$-66,441.72

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
BAKER HUGHES INC	057224107	400	01/08/07	01/02/09	\$13,475.96	\$27,372.00	\$-13,896.04



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAMILY FDN/EAGLE

2009 Form 1099

Account Number

Page 5

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
BAKER HUGHES INC	057224107	400	02/01/05	01/02/09	\$13,475.96	\$17,489.24	\$-4,013.28
WILLIS GROUP HOLDGS LTD	G96655108	300	01/04/07	03/11/09	\$5,942.25	\$12,137.61	\$-6,195.36
WILLIS GROUP HOLDGS LTD	G96655108	1000	02/01/05	03/11/09	\$19,807.48	\$38,980.50	\$-19,173.02
ALLEGHANY CORP DEL	017175100	50	01/08/07	03/11/09	\$13,419.82	\$17,558.36	\$-4,138.54
ALTERA CORP	021441100	1300	04/06/06	03/11/09	\$21,732.49	\$27,495.00	\$-5,762.51
ALTERA CORP	021441100	1600	02/02/05	03/11/09	\$26,747.69	\$30,828.96	\$-4,081.27
AMERICAN EXPRESS COMPANY	025816109	700	02/08/08	03/11/09	\$8,335.34	\$30,830.66	\$-22,495.32
BORG WARNER INC	099724106	1200	03/13/06	03/11/09	\$20,039.88	\$33,506.46	\$-13,466.58
CIMAREX ENERGY CO	171798101	1000	01/08/07	03/11/09	\$17,458.90	\$36,670.00	\$-19,211.10
COCA-COLA CO USD	191216100	1100	03/24/06	03/11/09	\$43,610.68	\$47,002.01	\$-3,391.33
COCA-COLA CO USD	191216100	500	03/15/06	03/11/09	\$19,823.04	\$21,357.50	\$-1,534.46
COCA-COLA CO USD	191216100	200	02/07/06	03/11/09	\$7,929.21	\$8,262.80	\$-333.59
COMCAST CORP NEW	20030N200	1100	02/01/05	03/11/09	\$12,693.04	\$23,014.27	\$-10,321.23
COSTCO WHSL CORP NEW	22160K105	100	03/15/06	03/11/09	\$3,968.46	\$5,461.77	\$-1,493.31
COSTCO WHSL CORP NEW	22160K105	100	09/01/05	03/11/09	\$3,968.46	\$4,309.00	\$-340.54
COSTCO WHSL CORP NEW	22160K105	300	07/08/05	03/11/09	\$11,905.37	\$13,606.50	\$-1,701.13
DISCOVERY COMMUNAIONS-A	25470F104	295	09/01/05	03/11/09	\$4,515.39	\$4,409.77	\$105.62
DISCOVERY COMMUNAIONS-A	25470F104	205	07/26/05	03/11/09	\$3,137.81	\$3,096.69	\$41.12
DISCOVERY COMMUNICATIONS-C	25470F302	195	09/01/05	03/11/09	\$2,726.96	\$2,489.74	\$237.22
DISCOVERY COMMUNICATIONS-C	25470F302	205	07/26/05	03/11/09	\$2,866.80	\$2,644.99	\$221.81
DRESSER-RAND GROUP INC	261608103	200	08/09/07	03/11/09	\$4,107.89	\$6,769.26	\$-2,661.37
GENERAL ELECTRIC CO	369604103	200	03/27/07	03/11/09	\$1,702.13	\$7,156.50	\$-5,454.37
GENERAL ELECTRIC CO	369604103	1400	01/04/07	03/11/09	\$11,914.91	\$53,081.56	\$-41,166.65
GENERAL ELECTRIC CO	369604103	1400	10/13/06	03/11/09	\$11,914.91	\$49,805.00	\$-37,890.09
GENERAL ELECTRIC CO	369604103	500	06/28/06	03/11/09	\$4,255.33	\$16,525.40	\$-12,270.07



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAMILY FDN/EAGLE

2009 Form 1099

Account Number

Page 6

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
GENERAL ELECTRIC CO	369604103	400	06/27/06	03/11/09	\$3,404.26	\$13,207.00	\$-9,802.74
L-3 COMMUNICATIONS HLDGS INC	502424104	200	02/03/05	03/11/09	\$11,779.35	\$14,207.28	\$-2,427.93
LIBERTY MEDIA CORP NEW	53071M500	820	02/01/05	03/11/09	\$14,367.22	\$12,435.00	\$1,932.22
LIBERTY MEDIA CORP NEW	53071M500	580	01/08/07	03/11/09	\$10,162.18	\$12,191.73	\$-2,029.55
LIBERTY MEDIA CORP NEW	53071M500	1200	03/14/06	03/11/09	\$21,025.20	\$18,197.55	\$2,827.65
MICROSOFT CORP COM	594918104	100	04/21/05	03/11/09	\$1,672.72	\$2,464.69	\$-791.97
MICROSOFT CORP COM	594918104	1600	01/27/05	03/11/09	\$26,763.53	\$41,636.80	\$-14,873.27
MILLIPORE CORP	601073109	400	10/11/06	03/11/09	\$21,682.15	\$24,802.72	\$-3,120.57
NATL INSTRUMENT CORP	636518102	500	03/17/06	03/11/09	\$8,373.10	\$15,987.50	\$-7,614.40
NATL INSTRUMENT CORP	636518102	700	04/01/05	03/11/09	\$11,722.34	\$16,877.49	\$-5,155.15
PEABODY ENERGY CORP	704549104	300	01/08/07	03/11/09	\$7,226.96	\$10,664.43	\$-3,437.47
PEABODY ENERGY CORP	704549104	100	10/06/06	03/11/09	\$2,408.99	\$3,566.15	\$-1,157.16
PEABODY ENERGY CORP	704549104	300	07/27/06	03/11/09	\$7,226.96	\$12,942.70	\$-5,715.74
PRAXAIR INC	74005P104	100	01/04/07	03/11/09	\$5,992.72	\$5,906.00	\$86.72
PRAXAIR INC	74005P104	300	10/08/06	03/11/09	\$17,978.15	\$17,381.88	\$596.27
PRAXAIR INC	74005P104	200	03/15/06	03/11/09	\$11,985.43	\$11,215.12	\$770.31
PRAXAIR INC	74005P104	300	09/01/05	03/11/09	\$17,978.15	\$14,406.24	\$3,571.91
PROGRESSIVE CORP OHIO	743315103	100	01/08/07	03/11/09	\$1,125.00	\$2,388.00	\$-1,263.00
PROGRESSIVE CORP OHIO	743315103	800	03/20/06	03/11/09	\$9,000.03	\$21,505.84	\$-12,505.81
PROGRESSIVE CORP OHIO	743315103	800	07/28/05	03/11/09	\$9,000.03	\$19,992.00	\$-10,991.97
SLM CORP	78442P106	3100	12/27/07	03/11/09	\$12,109.15	\$60,915.00	\$-48,805.85
SLM CORP	78442P106	700	12/19/07	03/11/09	\$2,734.32	\$16,372.58	\$-13,638.26
SLM CORP	78442P106	200	03/28/07	03/11/09	\$781.24	\$8,206.58	\$-7,425.34
SLM CORP	78442P106	200	03/27/07	03/11/09	\$781.24	\$8,265.98	\$-7,484.74
SCHLUMBERGER LIMITED COM	806857108	1200	02/09/05	03/11/09	\$46,919.73	\$42,684.00	\$4,235.73



BNY MELLON
WEALTH MANAGEMENT

2009 Form 1099

Account Number

Page 7

MILTON TAMAR MALTZ FAMILY FDN/EAGLE

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
UNITED HEALTH GROUP INC	91324P102	200	02/01/05	03/11/09	\$3,853.97	\$9,028.21	\$-5,174.24
VODAFONE GROUP PLC NEW	92857W209	600	01/08/07	03/11/09	\$9,569.95	\$17,226.00	\$-7,656.05
VODAFONE GROUP PLC NEW	92857W209	262.5	09/01/05	03/11/09	\$4,186.85	\$7,013.29	\$-2,826.44
VODAFONE GROUP PLC NEW	92857W209	1137.5	02/02/05	03/11/09	\$18,143.02	\$30,389.30	\$-12,246.28
WAL MART STORES INC	931142103	100	01/08/07	03/11/09	\$4,759.18	\$4,703.00	\$56.18
WAL MART STORES INC	931142103	100	10/06/06	03/11/09	\$4,759.18	\$4,869.34	\$-110.16
WAL MART STORES INC	931142103	600	05/16/06	03/11/09	\$28,555.10	\$28,818.00	\$-262.90
WAL MART STORES INC	931142103	800	03/14/06	03/11/09	\$38,073.46	\$36,418.00	\$1,657.46
WAL MART STORES INC	931142103	200	09/27/05	03/11/09	\$9,518.37	\$8,532.16	\$986.21
WASTE MANAGEMENT INC NEW	94106L109	300	03/22/06	03/11/09	\$6,997.85	\$10,278.00	\$-3,280.15
WASTE MANAGEMENT INC NEW	94106L109	500	09/01/05	03/11/09	\$11,663.08	\$13,830.65	\$-2,167.57
WASTE MANAGEMENT INC NEW	94106L109	300	02/07/05	03/11/09	\$6,997.85	\$9,035.82	\$-2,037.97
SCRIPPS E W CO OHIO	811054402	133.09	01/09/07	03/11/09	\$117.12	\$1,410.33	\$-1,293.21
SCRIPPS E W CO OHIO	811054402	232.9	03/17/06	03/11/09	\$204.95	\$2,260.53	\$-2,055.58
ALLEGHANY CORP DEL	017175100	0.08	01/08/07	04/29/09	\$19.53	\$27.54	\$-8.01
SCRIPPS NETWORKS INTERACTIVE	811065101	400	01/09/07	05/28/09	\$11,102.39	\$18,613.67	\$-7,511.28
SCRIPPS NETWORKS INTERACTIVE	811065101	700	03/17/06	05/28/09	\$19,429.19	\$29,834.68	\$-10,405.49
Total long term gain/loss:	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$773,627.35	\$1,202,568.33	\$-428,940.98



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAM FDN/EQUITY

Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital.

A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return.

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
FIDUCIARY/CLAYMORE MLP OPPORT FD	31647Q106	0.31	09/10/08	01/30/09	\$3.95	\$6.27	\$-2.32
COCA-COLA CO USD	191216100	2000	01/01/09	10/07/09	\$109,777.17	\$97,180.00	\$12,597.17
PROCTER & GAMBLE CO COM	742718109	5000	01/01/09	10/07/09	\$284,404.68	\$261,750.00	\$22,654.68
Total short term gain/loss: Report on Form 1040, Schedule D, line 1, Column d, e and f					\$394,185.80	\$358,936.27	\$35,249.53

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
KAYNE ANDERSON MLP INVT CO	486606106	0.53	01/03/08	01/09/09	\$8.20	\$15.47	\$-7.27
MLP & STRATEGY EQUITY FD INC	55312N106	0.71	01/17/08	01/30/09	\$7.46	\$10.78	\$-3.32
MLP & STRATEGY EQUITY FD INC	55312N106	0.36	01/17/08	02/27/09	\$3.25	\$5.47	\$-2.22
MLP & STRATEGY EQUITY FD INC	55312N106	0.62	01/17/08	03/31/09	\$6.20	\$3.69	\$2.51
KAYNE ANDERSON MLP INVT CO	486606106	0.73	01/03/08	04/27/09	\$13.04	\$21.18	\$-8.14
Total long term gain/loss: Report on Form 1040, Schedule D, line 8, Column d, e, and f					\$38.15	\$56.59	\$-18.44



BNY MELLON
WEALTH MANAGEMENT

MILTON TAMAR MALTZ FAM FDN/OTHER INV

2009 Form 1099

Account Number

Page 5

Forms 1099 for 2009

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

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Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
HARRIS ASSOC INVT TR	413838830	43.02	12/18/08	03/13/09	\$490.43	\$590.34	\$-99.91
HARRIS ASSOC INVT TR	413838830	1072.62	12/18/08	03/13/09	\$12,227.87	\$14,716.39	\$-2,488.52
Total short term gain/loss:	Report on Form 1040, Schedule D, line 1, Column d, e and f				\$12,718.30	\$15,306.73	\$-2,588.43

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
HARRIS ASSOC INVT TR	413838830	21008.4	01/23/08	03/13/09	\$239,495.81	\$450,000.00	\$-210,504.19
Total long term gain/loss:	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$239,495.81	\$450,000.00	\$-210,504.19

2009 Tax Information Statement

Page 4 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)			(Box 2)			(Box 4)
Short Term Sales Reported on 1099-B								
1085.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	06/04/2009	5,940.44	5,639.31	301.13	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/09/2009	1,113.97	1,067.70	46.27	0.00
1000.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	08/09/2009	5,570.27	5,338.50	231.77	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/10/2009	2,229.42	2,135.40	94.02	0.00
600.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/10/2009	3,346.54	3,203.10	143.44	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/11/2009	2,238.98	2,135.40	103.58	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	08/11/2009	2,240.90	2,135.40	105.50	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/25/2009	2,195.95	2,135.40	60.55	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/25/2009	2,195.94	2,135.40	60.54	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/26/2009	1,097.97	1,067.70	30.27	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/26/2008	06/26/2009	1,097.97	1,067.70	30.27	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	06/29/2009	1,105.61	1,066.81	38.80	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	09/29/2008	07/13/2009	1,092.09	1,050.00	42.09	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	07/13/2009	2,182.23	2,066.22	116.01	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/16/2008	07/13/2009	1,091.97	824.78	267.19	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/16/2008	07/13/2009	2,181.50	1,649.56	531.94	0.00

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2009 Tax Information Statement

Page 5 of 77

Account Number:
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 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
2600.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	07/14/2009	14,299.64	11,269.16	3,030.48	0.00
195.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/21/2008	07/16/2009	1,084.17	441.70	642.47	0.00
60.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	10/10/2008	01/02/2009	465.77	351.15	114.62	0.00
10.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	10/10/2008	01/06/2009	79.30	58.52	20.78	0.00
5.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	10/10/2008	01/09/2009	38.50	29.26	9.24	0.00
15.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	10/10/2008	01/13/2009	111.45	87.79	23.66	0.00
15.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	10/10/2008	01/16/2009	105.23	87.79	17.44	0.00
20.0000	003011103	ABERDEEN AUSTRALIA EQUITY FUND	11/24/2008	01/20/2009	133.84	114.40	19.44	0.00
340.0000	008212104	ADAMS EXPRESS CO	VARIOUS	04/09/2009	2,644.35	3,514.36	-870.01	0.00
4000.0000	00764C109	ADVENT CLAYMORE CVT SEC & INC	04/28/2009	12/30/2009	62,028.80	44,490.80	17,538.00	0.00
4500.0000	00764C109	ADVENT CLAYMORE CVT SEC & INC	VARIOUS	12/31/2009	69,982.64	56,977.19	13,005.45	0.00
1400.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	04/28/2009	07/14/2009	9,478.17	7,865.06	1,613.11	0.00
250.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	04/28/2009	10/23/2009	2,042.44	1,404.47	637.97	0.00
1000.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	04/28/2009	10/26/2009	8,059.79	5,617.90	2,441.89	0.00
300.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	04/28/2009	10/29/2009	2,299.44	1,685.37	614.07	0.00
250.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	04/28/2009	11/09/2009	1,999.95	1,404.48	595.47	0.00
4800.0000	007639107	ADVENT CLAYMORE GLOBAL CONVE	VARIOUS	12/30/2009	38,590.83	26,077.16	12,613.67	0.00
50.0000	044901106	ASIA PAC FD INC	10/27/2008	01/14/2009	302.84	435.83	-132.99	0.00
25.0000	044901106	ASIA PAC FD INC	10/27/2008	01/16/2009	151.00	217.92	-66.92	0.00

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2009 Tax Information Statement

Page 6 of 77

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Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
25.0000	044901106	ASIA PAC FD INC	10/27/2008	01/20/2009	149.19	217.92	-68.73	0.00
30.0000	044901106	ASIA PAC FD INC	10/27/2008	01/23/2009	171.92	261.50	-89.58	0.00
25.0000	044901106	ASIA PAC FD INC	10/27/2008	01/26/2009	144.50	217.91	-73.41	0.00
125.0000	044901106	ASIA PAC FD INC	VARIOUS	01/29/2009	747.45	1,166.58	-419.13	0.00
200.0000	09256A109	BLACKROCK CAP & INCOME STRAT FD	VARIOUS	02/12/2009	2,311.33	2,780.95	-469.62	0.00
3695.0000	09256A109	BLACKROCK CAP & INCOME STRAT FD	VARIOUS	04/08/2009	40,632.87	46,519.73	-5,886.86	0.00
175.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/02/2009	1,941.63	1,827.93	113.70	0.00
125.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/05/2009	1,403.42	1,305.66	97.76	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/12/2009	276.00	261.13	14.87	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/20/2009	283.89	261.13	22.76	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/21/2009	281.75	261.13	20.62	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/22/2009	277.25	261.13	16.12	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/23/2009	276.50	261.13	15.37	0.00
75.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	01/26/2009	838.92	783.40	55.52	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	02/03/2009	280.12	261.14	18.98	0.00
25.0000	09249E101	BLACKROCK CORE BOND TRUST	09/29/2008	02/04/2009	279.33	261.13	18.20	0.00
15000.0000	09255R103	BLACKROCK DEBT STRATEGIES FD INC	04/28/2009	06/26/2009	44,638.85	35,652.00	8,986.85	0.00
50.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	07/16/2008	01/06/2009	434.75	462.11	-27.36	0.00

2009 Tax Information Statement

Page 7 of 77

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 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
25.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	01/09/2009	213.25	241.66	-28.41	0.00
25.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/15/2008	01/12/2009	205.25	257.56	-52.31	0.00
25.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	01/13/2009	202.75	252.68	-49.93	0.00
25.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/16/2008	01/14/2009	196.75	245.34	-48.59	0.00
35.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/16/2008	01/21/2009	260.55	343.48	-82.93	0.00
50.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/16/2008	01/22/2009	368.25	490.68	-122.43	0.00
80.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/16/2008	01/23/2009	589.13	785.08	-195.95	0.00
50.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/16/2008	01/26/2009	375.25	490.68	-115.43	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	01/27/2009	749.05	966.75	-217.70	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	01/28/2009	767.75	961.59	-193.84	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	01/29/2009	783.00	999.79	-236.79	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/23/2008	02/02/2009	720.25	984.17	-263.92	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	09/23/2008	02/04/2009	735.00	984.17	-249.17	0.00
100.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	02/10/2009	732.00	972.01	-240.01	0.00
345.0000	09250N107	BLACKROCK DIVIDEND ACHIEVERS	VARIOUS	04/09/2009	2,301.09	3,259.89	-958.80	0.00
95.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/02/2009	834.10	814.85	19.25	0.00
95.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/07/2009	810.83	814.84	-4.01	0.00
10.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/14/2009	80.90	85.77	-4.87	0.00
50.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/15/2009	401.00	428.87	-27.87	0.00

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2009 Tax Information Statement

Page 8 of 77

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THE MILTON & TAMAR MALTZ FAM

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5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)				(Box 4)
50.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/16/2009	413.50	428.87	-15.37	0.00
25.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/17/2008	01/20/2009	198.39	214.43	-16.04	0.00
95.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	VARIOUS	01/21/2009	755.73	781.44	-25.71	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/18/2008	01/22/2009	794.36	806.34	-11.98	0.00
95.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	VARIOUS	01/23/2009	747.65	830.37	-82.72	0.00
65.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/22/2008	01/26/2009	519.69	588.46	-68.77	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	VARIOUS	01/28/2009	792.00	890.12	-98.12	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/23/2008	01/29/2009	779.00	883.60	-104.60	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/23/2008	02/02/2009	750.00	883.60	-133.60	0.00
200.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/23/2008	02/03/2009	1,490.31	1,767.20	-276.89	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	09/23/2008	02/09/2009	759.00	883.60	-124.60	0.00
100.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	VARIOUS	02/11/2009	723.00	870.59	-147.59	0.00
1330.0000	09251A104	BLACKROCK ENHANCED DIVID TR COM	VARIOUS	04/09/2009	8,990.57	9,738.46	-747.89	0.00
370.0000	09252A107	BLACKROCK INTERNATIONAL GROW	VARIOUS	01/12/2009	3,341.08	5,226.43	-1,885.35	0.00
100.0000	09252A107	BLACKROCK INTERNATIONAL GROW	VARIOUS	01/23/2009	801.57	1,371.07	-569.49	0.00
140.0000	09252A107	BLACKROCK INTERNATIONAL GROW	VARIOUS	02/02/2009	1,145.92	1,868.77	-722.85	0.00
60.0000	09252A107	BLACKROCK INTERNATIONAL GROW	VARIOUS	02/03/2009	497.40	716.48	-219.08	0.00
80.0000	09252A107	BLACKROCK INTERNATIONAL GROW	09/15/2008	02/05/2009	679.46	907.10	-227.64	0.00
60.0000	09252A107	BLACKROCK INTERNATIONAL GROW	09/15/2008	02/09/2009	524.10	680.32	-156.22	0.00

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 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
770.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	02/10/2009	6,702.73	8,101.49	-1,398.76	0.00
120.0000	092524107	BLACKROCK INTERNATIONAL GROW	09/17/2008	02/11/2009	1,038.99	1,220.10	-181.11	0.00
70.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	02/25/2009	556.30	697.19	-130.89	0.00
1580.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	04/09/2009	13,461.25	14,615.07	-1,153.82	0.00
700.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	04/09/2009	5,998.14	7,029.36	-1,031.22	0.00
115.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	04/13/2009	996.45	965.88	30.57	0.00
770.0000	092524107	BLACKROCK INTERNATIONAL GROW	VARIOUS	04/14/2009	6,521.73	5,661.90	859.83	0.00
50.0000	09249W101	BLACKROCK LTD DURATION INC	09/16/2008	01/06/2009	579.50	648.50	-69.00	0.00
1300.0000	09249W101	BLACKROCK LTD DURATION INC	VARIOUS	02/10/2009	16,215.99	16,653.12	-437.13	0.00
979.0000	09249W101	BLACKROCK LTD DURATION INC	09/29/2008	02/11/2009	12,392.99	12,325.61	67.38	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	VARIOUS	06/08/2009	2,247.70	1,871.91	375.79	0.00
100.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/08/2008	07/31/2009	1,132.68	926.65	206.03	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/03/2009	2,296.74	1,853.30	443.44	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/04/2009	2,296.62	1,853.30	443.32	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/18/2009	2,332.24	1,853.30	478.94	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/21/2009	2,347.94	1,853.30	494.64	0.00
200.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/28/2009	2,367.80	1,853.30	514.50	0.00
100.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	08/31/2009	1,208.59	926.65	281.94	0.00
100.0000	09254C107	BLACKROCK MUNIHOLDINGS INSD FD II	10/06/2008	09/04/2009	1,232.88	926.65	306.21	0.00

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☐ 2nd TIN notice

☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
10.0000	09254C107	BLACKROCK MUNIHOLDINGS	10/06/2008	09/08/2009	124.75	92.67	32.08	0.00
200.0000	09254T100	BLACKROCK MUNIYIELD	12/11/2008	11/25/2009	2,500.90	1,521.82	979.08	0.00
100.0000	09254T100	BLACKROCK MUNIYIELD	12/11/2008	11/27/2009	1,253.21	760.91	492.30	0.00
200.0000	09254T100	BLACKROCK MUNIYIELD	12/11/2008	11/30/2009	2,522.82	1,521.82	1,001.00	0.00
100.0000	09254T100	BLACKROCK MUNIYIELD	12/11/2008	12/01/2009	1,269.83	760.91	508.92	0.00
75.0000	09254T100	BLACKROCK MUNIYIELD	VARIOUS	12/03/2009	960.23	565.71	394.52	0.00
50.0000	09254B109	BLACKROCK REAL ASSET	09/15/2008	01/06/2009	393.00	619.72	-226.72	0.00
95.0000	09254B109	BLACKROCK REAL ASSET	VARIOUS	01/07/2009	732.93	1,137.97	-405.04	0.00
65.0000	09254B109	BLACKROCK REAL ASSET	VARIOUS	01/09/2009	482.36	756.69	-274.33	0.00
50.0000	09254B109	BLACKROCK REAL ASSET	09/17/2008	01/12/2009	360.00	572.44	-212.44	0.00
50.0000	09254B109	BLACKROCK REAL ASSET	09/17/2008	01/13/2009	355.00	572.44	-217.44	0.00
25.0000	09254B109	BLACKROCK REAL ASSET	09/17/2008	01/14/2009	171.50	286.22	-114.72	0.00
450.0000	09254B109	BLACKROCK REAL ASSET	VARIOUS	04/02/2009	3,407.12	5,140.16	-1,733.04	0.00
1050.0000	09254B109	BLACKROCK REAL ASSET	VARIOUS	04/09/2009	7,748.80	10,360.07	-2,611.27	0.00
325.0000	09245Y107	BLACKROCK STRATEGIC	VARIOUS	04/09/2009	2,279.49	3,300.02	-1,020.53	0.00
20.0000	153436100	CENTRAL EUROPEAN & RUSSIA	11/25/2008	04/09/2009	345.19	306.24	38.95	0.00
100.0000	168834109	CHILE FD INC.	03/13/2009	04/09/2009	1,150.97	1,054.14	96.83	0.00
16.0480	169373107	CHINA FUND INC	01/23/2009	04/09/2009	269.76	256.28	13.48	0.00

2009 Tax Information Statement

Page 11 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

41-1818433
 (877)209-7529
☐ 2nd TIN notice
☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
2705.0000	19247Y108	COHEN & STEERS REIT & UTIL INCOME FD	VARIOUS	04/09/2009	13,719.14	31,253.29	-17,534.16	0.00
3.0000	19247X886	COHEN STEERS 5.253% AUCTION RT	04/20/2009	07/24/2009	75,000.00	60,000.00	15,000.00	0.00
700.0000	24476Y100	DEFINED STRATEGY FD INC	VARIOUS	09/15/2009	7,780.49	4,574.56	3,205.93	0.00
20.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/06/2009	202.40	212.33	-9.93	0.00
40.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/13/2009	365.60	424.67	-59.07	0.00
5.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/15/2009	45.05	53.09	-8.04	0.00
25.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/16/2009	228.04	265.42	-37.38	0.00
10.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/20/2009	89.70	106.17	-16.47	0.00
25.0000	260537105	DOW 30 ENHANCED PREM & IN	09/29/2008	01/21/2009	226.50	265.42	-38.92	0.00
125.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	VARIOUS	01/06/2009	822.50	1,983.95	-1,161.45	0.00
125.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	VARIOUS	01/07/2009	802.91	2,008.71	-1,205.80	0.00
45.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	08/21/2008	01/09/2009	279.90	757.76	-477.86	0.00
45.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	08/21/2008	01/12/2009	270.00	757.77	-487.77	0.00
95.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	08/21/2008	01/13/2009	562.63	1,599.72	-1,037.09	0.00
3485.0000	23338Y100	DWS GLOBAL COMMODITIES STK FD INC	VARIOUS	04/09/2009	20,526.12	49,274.56	-28,748.44	0.00

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2009 Tax Information Statement

Page 12 of 77

Account Number:

Recipient's Tax ID number:

41-1818433

Payer's Federal ID number:

(877)209-7529

Questions?

☐ Corrected

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713, TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
50.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/06/2009	584.46	645.34	-60.88	0.00
35.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/07/2009	397.95	451.73	-53.78	0.00
10.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/13/2009	111.10	129.06	-17.96	0.00
25.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/14/2009	270.25	322.67	-52.42	0.00
35.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/15/2009	375.90	451.73	-75.83	0.00
30.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/17/2008	01/20/2009	324.00	387.20	-63.20	0.00
65.0000	278277108	EATON VANCE ENH EQTY INC FD II	VARIOUS	01/21/2009	697.18	846.70	-149.52	0.00
100.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/22/2008	01/22/2009	1,072.99	1,306.20	-233.21	0.00
225.0000	278277108	EATON VANCE ENH EQTY INC FD II	VARIOUS	01/23/2009	2,432.83	2,866.50	-433.67	0.00
65.0000	278277108	EATON VANCE ENH EQTY INC FD II	09/23/2008	01/26/2009	726.25	822.12	-95.87	0.00
200.0000	278277108	EATON VANCE ENH EQTY INC FD II	VARIOUS	01/28/2009	2,309.32	2,258.81	50.51	0.00
100.0000	278277108	EATON VANCE ENH EQTY INC FD II	VARIOUS	02/02/2009	1,123.00	1,059.71	63.29	0.00
525.0000	278277108	EATON VANCE ENH EQTY INC FD II	10/08/2008	02/09/2009	6,342.28	4,998.94	1,343.34	0.00
75.0000	278277108	EATON VANCE ENH EQTY INC FD II	10/08/2008	02/12/2009	851.14	714.13	137.01	0.00
35.0000	278277108	EATON VANCE ENH EQTY INC FD II	10/08/2008	02/13/2009	397.60	142.44	255.16	0.00
40.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/16/2008	01/06/2009	507.20	557.39	-50.19	0.00
40.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/16/2008	01/07/2009	506.80	557.39	-50.59	0.00
15.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/16/2008	01/12/2009	188.25	209.02	-20.77	0.00

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2009 Tax Information Statement

Page 13 of 77

Account Number:
 Recipient's Tax ID number:
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 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
40.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	VARIOUS	01/13/2009	500.80	222.95	277.85	0.00
160.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/17/2008	01/14/2009	1,973.59	2,191.06	-217.47	0.00
40.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/17/2008	01/15/2009	499.60	547.76	-48.16	0.00
40.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/17/2008	01/16/2009	505.20	547.76	-42.56	0.00
100.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/17/2008	01/21/2009	1,220.79	1,369.41	-148.62	0.00
80.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	VARIOUS	01/22/2009	978.92	1,054.69	-75.77	0.00
80.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	09/18/2008	01/23/2009	969.99	1,043.66	-73.67	0.00
60.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	VARIOUS	01/26/2009	738.00	843.93	-105.93	0.00
100.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	VARIOUS	01/28/2009	1,246.99	1,431.36	-184.37	0.00
2037.0000	278274105	EATON VANCE ENHANCED EQ INCOME FD	VARIOUS	02/09/2009	25,512.88	25,669.48	-156.60	0.00
5450.2370	278261249	EATON VANCE NATL MUNICIPAL	02/11/2009	05/08/2009	47,689.57	46,000.00	1,689.57	0.00
6290.0000	27828V104	EATON VANCE SHORT DUR DIV IN FD	VARIOUS	12/30/2009	100,828.60	81,670.63	19,157.97	0.00
4650.0000	27828V104	EATON VANCE SHORT DUR DIV IN FD	VARIOUS	12/31/2009	74,890.03	60,031.15	14,858.88	0.00
800.0000	27826S103	EATON VANCE SR INCOME TR	04/08/2009	12/28/2009	4,954.75	2,936.00	2,018.75	0.00

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2009 Tax Information Statement

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected

41-1818433
 (877)209-7529
☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)				(Box 4)
18800.0000	27826S103	EATON VANCE SR INCOME TR	VARIOUS	12/30/2009	116,979.97	73,600.89	43,379.08	0.00
10400.0000	27826S103	EATON VANCE SR INCOME TR	VARIOUS	12/31/2009	64,408.65	45,715.15	18,693.50	0.00
40.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/15/2008	01/06/2009	526.80	566.50	-39.70	0.00
65.0000	27828X100	EATON VANCE T M BUY WR IN FD	VARIOUS	01/07/2009	849.81	906.37	-56.56	0.00
85.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/14/2009	1,019.26	1,123.36	-104.10	0.00
40.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/15/2009	488.40	528.64	-40.24	0.00
90.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/16/2009	1,124.94	1,189.45	-64.51	0.00
5.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/20/2009	62.85	66.08	-3.23	0.00
45.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/22/2009	545.65	594.72	-49.07	0.00
40.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/23/2009	487.20	528.64	-41.44	0.00
40.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/16/2008	01/26/2009	498.00	528.64	-30.64	0.00
100.0000	27828X100	EATON VANCE T M BUY WR IN FD	VARIOUS	01/27/2009	1,222.99	1,314.35	-91.36	0.00
100.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/17/2008	01/28/2009	1,227.99	1,311.94	-83.95	0.00
100.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/17/2008	02/03/2009	1,205.99	1,311.94	-105.95	0.00
900.0000	27828X100	EATON VANCE T M BUY WR IN FD	VARIOUS	02/09/2009	10,747.83	11,635.34	-887.51	0.00
100.0000	27828X100	EATON VANCE T M BUY WR IN FD	09/29/2008	02/10/2009	1,198.99	1,285.50	-86.51	0.00
100.0000	27828X100	EATON VANCE T M BUY WR IN FD	VARIOUS	02/12/2009	1,154.99	1,299.98	-144.99	0.00
944.0000	27828X100	EATON VANCE T M BUY WR IN FD	VARIOUS	04/09/2009	11,308.82	9,654.06	1,654.77	0.00
125.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	VARIOUS	01/08/2009	1,407.49	1,466.94	-59.45	0.00

2009 Tax Information Statement

Page 15 of 77

Account Number:

Recipient's Tax ID number:

41-1818433

Payer's Federal ID number:

(877)209-7529

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Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
FDN

5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
95.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/22/2008	01/07/2009	1,061.78	1,180.63	-118.85	0.00
40.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/23/2008	01/13/2009	430.80	489.51	-58.71	0.00
65.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/23/2008	01/15/2009	677.42	795.44	-118.02	0.00
30.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	VARIOUS	01/16/2009	326.70	364.54	-37.84	0.00
100.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	VARIOUS	01/21/2009	1,070.26	1,205.30	-135.04	0.00
65.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/26/2008	01/22/2009	711.10	779.87	-68.77	0.00
65.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/26/2008	01/23/2009	696.01	779.87	-83.86	0.00
65.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	VARIOUS	01/26/2009	707.04	768.96	-61.92	0.00
200.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/29/2008	01/28/2009	2,183.45	2,291.60	-108.15	0.00
100.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/29/2008	01/29/2009	1,082.99	1,145.80	-62.81	0.00
100.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/29/2008	02/02/2009	1,062.00	1,145.80	-83.80	0.00
100.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	09/29/2008	02/03/2009	1,072.46	1,145.80	-73.34	0.00
1420.0000	27828Y108	EATON VANCE T/M BUY-WRITE OP	VARIOUS	02/09/2009	16,259.20	14,035.03	2,224.16	0.00
2245.0000	27828S101	EATON VANCE TAX ADV GBL DVD INCOME	VARIOUS	04/09/2009	19,104.45	35,335.05	-16,230.60	0.00
350.0000	27829F108	EATON VANCE TAX MGD DIVERSIFIED	VARIOUS	01/23/2009	3,265.27	3,806.47	-541.20	0.00
75.0000	27829F108	EATON VANCE TAX MGD DIVERSIFIED	09/18/2008	02/02/2009	716.99	796.95	-79.96	0.00
100.0000	27829F108	EATON VANCE TAX MGD DIVERSIFIED	09/18/2008	02/03/2009	977.32	1,062.60	-85.28	0.00
120.0000	27829F108	EATON VANCE TAX MGD DIVERSIFIED	09/18/2008	02/04/2009	1,189.19	1,275.13	-85.94	0.00
120.0000	27829F108	EATON VANCE TAX MGD DIVERSIFIED	VARIOUS	02/05/2009	1,182.72	1,414.57	-231.85	0.00

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2009 Tax Information Statement

Page 16 of 77

Account Number:
 Recipient's Tax ID number:
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 Questions?

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	27828N108	EATON VANCE TAX MGD DIVERSIFIED	VARIOUS	02/09/2009	1,022.43	1,163.46	-141.03	0.00
150.0000	27828N108	EATON VANCE TAX MGD DIVERSIFIED	VARIOUS	02/10/2009	1,530.28	1,719.78	-189.50	0.00
1650.0000	27828N108	EATON VANCE TAX MGD DIVERSIFIED	VARIOUS	04/09/2009	14,915.81	15,971.04	-1,055.43	0.00
135.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/16/2008	01/06/2009	1,545.08	1,615.84	-70.76	0.00
85.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/16/2008	01/07/2009	963.47	1,017.37	-53.90	0.00
85.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	01/09/2009	952.42	1,020.43	-68.01	0.00
45.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/13/2009	486.90	543.19	-56.29	0.00
85.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/14/2009	898.44	1,026.02	-127.58	0.00
45.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/15/2009	472.95	543.19	-70.24	0.00
125.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/16/2009	1,342.90	1,508.85	-165.95	0.00
10.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/20/2009	108.00	120.71	-12.71	0.00
205.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/21/2009	2,172.58	2,474.52	-301.94	0.00
85.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/22/2009	902.69	1,026.02	-123.33	0.00

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2009 Tax Information Statement

Page 17 of 77

Account Number:
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41-1818433
 (877)209-7529

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Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
125.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/17/2008	01/23/2009	1,326.24	1,508.85	-182.61	0.00
180.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	01/26/2009	1,733.99	1,953.40	-219.41	0.00
100.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/22/2008	01/27/2009	1,095.00	1,280.63	-185.63	0.00
200.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	01/28/2009	2,238.50	2,531.55	-293.05	0.00
200.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	02/02/2009	2,167.99	2,485.92	-317.93	0.00
100.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/24/2008	02/03/2009	1,095.78	1,233.16	-137.38	0.00
100.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/24/2008	02/04/2009	1,111.99	1,233.16	-121.17	0.00
100.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	02/11/2009	1,124.99	1,230.98	-105.99	0.00
200.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	02/12/2009	2,223.00	2,418.03	-195.03	0.00
100.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	09/29/2008	02/13/2009	1,117.99	1,166.22	-48.23	0.00
2880.0000	27828N102	EATON VANCE TX MGD DIV EQ INCOM FD	VARIOUS	04/09/2009	29,605.63	29,166.70	438.94	0.00
90.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	09/18/2008	01/02/2009	1,219.00	1,314.41	-95.41	0.00

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2009 Tax Information Statement

Page 18 of 77

Account Number:

Recipient's Tax ID number:

41-1818433

Payer's Federal ID number:

(877)209-7529

Questions?

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
55.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	09/18/2008	01/05/2009	792.76	803.25	-10.49	0.00
10.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	VARIOUS	01/06/2009	143.00	155.03	-12.03	0.00
20.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	09/22/2008	01/13/2009	258.40	328.04	-69.64	0.00
50.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	VARIOUS	02/06/2009	651.00	791.68	-140.68	0.00
80.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	09/30/2008	02/09/2009	1,048.39	1,153.05	-104.66	0.00
210.0000	290890102	EMERGING MKTS TELECOMMUNICATIONS FD	VARIOUS	04/09/2009	2,673.27	2,494.98	178.29	0.00
1245.0000	298768102	EUROPEAN EQUITY FD INC	VARIOUS	04/14/2009	5,776.65	8,376.65	-2,600.00	0.00
250.0000	298768102	EUROPEAN EQUITY FD INC	VARIOUS	04/15/2009	1,162.47	1,381.66	-219.19	0.00
1705.0000	298768102	EUROPEAN EQUITY FD INC	VARIOUS	04/16/2009	7,979.19	8,029.87	-50.68	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	04/09/2009	09/18/2009	1,403.96	1,057.18	346.78	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	04/09/2009	09/21/2009	1,403.96	1,057.18	346.78	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	04/09/2009	09/23/2009	1,405.96	1,057.18	348.78	0.00
300.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	04/09/2009	10/22/2009	4,241.09	3,171.54	1,069.55	0.00

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2009 Tax Information Statement

Page 19 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
FDN

5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

41-1818433

(877)209-7529

☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	04/09/2009	10/23/2009	2,828.14	2,114.36	713.78	0.00
5850.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	VARIOUS	12/30/2009	81,775.03	61,785.18	19,989.85	0.00
145.0000	32063L100	FIRST ISRAEL FD INC.	VARIOUS	04/09/2009	1,239.72	1,682.32	-442.60	0.00
10900.0000	33733U108	FIRST TRUST / FOUR CRNS SR F 2	VARIOUS	12/30/2009	128,493.51	95,189.83	33,303.68	0.00
6400.0000	33733U108	FIRST TRUST / FOUR CRNS SR F 2	VARIOUS	12/31/2009	75,487.33	60,225.64	15,261.69	0.00
25.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/23/2008	08/11/2009	351.01	247.25	103.76	0.00
30.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/23/2008	08/13/2009	426.76	296.70	130.06	0.00
2850.0000	337318109	FIRST TRUST ENHANCED EQUITY INC FD	VARIOUS	04/09/2009	23,745.59	28,422.68	-4,677.09	0.00
1.0000	33733Q206	FIRST TRUST FOUR 3.606 PFD AUCTION R	11/06/2008	07/14/2009	23,750.00	16,250.00	7,500.00	0.00
100.0000	36242H104	GABELLI DIVID & INCOME TR	09/17/2008	01/27/2009	986.01	1,374.40	-388.39	0.00
100.0000	36242H104	GABELLI DIVID & INCOME TR	09/17/2008	01/28/2009	1,022.48	1,374.40	-351.92	0.00
100.0000	36242H104	GABELLI DIVID & INCOME TR	09/17/2008	02/03/2009	963.00	1,374.40	-411.40	0.00
100.0000	36242H104	GABELLI DIVID & INCOME TR	09/17/2008	02/12/2009	945.99	1,374.40	-428.41	0.00
100.0000	36242H104	GABELLI DIVID & INCOME TR	09/17/2008	02/13/2009	957.99	1,374.40	-416.41	0.00
5620.0000	36242H104	GABELLI DIVID & INCOME TR	VARIOUS	04/09/2009	50,319.92	54,890.66	-4,571.03	0.00
1265.0000	36245G103	GABELLI GLOBAL DEAL FD	VARIOUS	04/09/2009	15,631.84	16,464.39	-832.55	0.00
200.0000	36245G111	GABELLI GLOBAL DEAL FD RTS 2/02/09	VARIOUS	01/08/2009	30.70	0.00	30.70	0.00

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2009 Tax Information Statement

Page 20 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
10.0000	36245G111	GABELLI GLOBAL DEAL FD RTS	09/17/2008	01/12/2009	1.35	0.00	1.35	0.00
135.0000	36245G111	GABELLI GLOBAL DEAL FD RTS	09/17/2008	01/13/2009	10.75	0.00	10.75	0.00
435.0000	36245G111	GABELLI GLOBAL DEAL FD RTS	VARIOUS	01/14/2009	17.99	0.00	17.99	0.00
485.0000	36245G111	GABELLI GLOBAL DEAL FD RTS	VARIOUS	01/15/2009	25.69	0.00	25.69	0.00
2780.0000	368802104	GENERAL AMERN INVS INC	VARIOUS	04/09/2009	45,939.42	48,075.98	-2,136.56	0.00
320.0000	404053100	H & Q LIFE SCIENCES INVESTORS	VARIOUS	04/09/2009	2,521.53	3,503.79	-982.26	0.00
230.0000	464286707	I SHARES M S C I FRANCE	VARIOUS	04/09/2009	4,126.09	5,580.98	-1,454.89	0.00
1200.0000	464286699	I SHARES M S C I UNITED KINGDOM	VARIOUS	04/09/2009	13,127.66	13,719.30	-591.64	0.00
595.0000	464286103	I SHARES MSCI AUSTRALIA E T F	VARIOUS	04/09/2009	8,430.93	7,650.88	780.05	0.00
100.0000	464286400	I SHARES MSCI BRAZIL INDEX E T F	VARIOUS	04/09/2009	4,400.88	5,118.96	-718.08	0.00
885.0000	464286509	I SHARES MSCI CANADA E T F	VARIOUS	04/09/2009	15,690.64	15,380.10	310.54	0.00
500.0000	464286665	I SHARES MSCI PACIFIC EX JAPAN E T F	VARIOUS	04/09/2009	13,289.65	11,458.11	1,831.54	0.00
200.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	01/05/2009	2,342.33	2,729.09	-386.76	0.00
60.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/17/2008	01/08/2009	716.24	798.85	-82.61	0.00
60.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/17/2008	01/12/2009	659.93	798.85	-138.92	0.00
180.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	01/14/2009	1,934.20	2,497.45	-563.25	0.00
200.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	01/14/2009	2,149.11	2,639.73	-490.61	0.00
35.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/23/2008	01/16/2009	378.23	478.46	-100.23	0.00
160.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	01/21/2009	1,715.01	2,189.17	-474.16	0.00

2009 Tax Information Statement

Page 21 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2839

41-1818433

(877)209-7529

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
150.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	01/22/2009	1,738.71	2,084.95	-346.24	0.00
60.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	01/26/2009	636.81	753.26	-116.45	0.00
75.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	01/28/2009	820.30	941.57	-121.27	0.00
100.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	02/02/2009	1,073.08	1,255.44	-182.36	0.00
100.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	02/03/2009	1,086.53	1,255.44	-168.91	0.00
100.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	02/09/2009	1,133.47	1,255.44	-121.97	0.00
50.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	09/30/2008	02/18/2009	536.05	627.72	-91.67	0.00
50.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	02/19/2009	540.00	551.22	-11.22	0.00
90.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	03/30/2009	1,030.78	928.30	102.48	0.00
45.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	10/10/2008	03/31/2009	528.60	481.55	67.05	0.00
125.0000	44983J107	ING ASIA PAC HIGH DIVID EQUITY	VARIOUS	04/09/2009	1,437.46	1,129.87	307.59	0.00
3.0000	44982G203	ING CLAR GL REAL 5.586% AUCTION RT	06/05/2008	03/04/2009	75,000.00	69,750.00	5,250.00	0.00
60.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/16/2008	01/23/2009	571.07	645.70	-74.63	0.00
100.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	02/02/2009	948.95	1,050.87	-113.92	0.00
60.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/17/2008	02/03/2009	575.40	630.41	-55.01	0.00
60.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/17/2008	02/05/2009	574.80	630.40	-55.60	0.00
60.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	02/06/2009	585.44	618.24	-32.80	0.00
60.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/18/2008	02/10/2009	591.59	612.15	-20.56	0.00
55.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/18/2008	02/11/2009	530.53	561.14	-30.61	0.00

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2009 Tax Information Statement

Page 22 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

41-1818433
 (877)209-7529

☐ 2nd TIN notice

☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
180.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	03/25/2009	1,698.40	2,023.51	-325.11	0.00
110.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	03/26/2009	1,049.21	1,187.51	-138.30	0.00
55.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	09/30/2008	03/27/2009	524.15	581.01	-56.86	0.00
120.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	04/03/2009	1,132.95	1,215.70	-82.74	0.00
1259.0000	45684E107	ING GLOBAL EQUITY PR OPPT FD	VARIOUS	04/09/2009	11,923.18	10,635.98	1,287.21	0.00
600.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/19/2009	3,066.77	2,226.42	840.35	0.00
3800.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/19/2009	19,422.83	14,100.66	5,322.17	0.00
400.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/19/2009	2,042.27	1,484.28	557.99	0.00
200.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/21/2009	1,034.27	742.14	292.13	0.00
4200.0000	44977W106	ING PRIME RATE TRUST	VARIOUS	10/22/2009	21,759.65	15,892.96	5,866.69	0.00
800.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/22/2009	4,144.14	2,968.56	1,175.58	0.00
200.0000	44977W106	ING PRIME RATE TRUST	04/14/2009	10/22/2009	1,035.97	742.14	293.83	0.00
3400.0000	44977W106	ING PRIME RATE TRUST	VARIOUS	10/22/2009	17,612.23	12,628.47	4,983.76	0.00
400.0000	44977W106	ING PRIME RATE TRUST	04/17/2009	10/23/2009	2,075.96	1,520.00	555.96	0.00
6000.0000	44977W106	ING PRIME RATE TRUST	04/17/2009	10/30/2009	31,019.21	22,800.00	8,219.21	0.00
2200.0000	44977W106	ING PRIME RATE TRUST	VARIOUS	10/30/2009	11,373.72	8,360.00	3,013.72	0.00
4100.0000	44977W106	ING PRIME RATE TRUST	04/17/2009	12/30/2009	21,319.44	15,580.00	5,739.44	0.00
7000.0000	44977W106	ING PRIME RATE TRUST	VARIOUS	12/31/2009	36,399.05	26,950.28	9,448.77	0.00
2.0000	69574F305	INSURED MUNI FND 4.250% AUCTION RT	06/26/2009	10/19/2009	100,000.00	91,000.00	9,000.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 23 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

41-1818433

(877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
FDN

5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713, TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
575.0000	464286848	ISHARES INC MSCI JAPAN INDEX E T F	VARIOUS	04/09/2009	4,829.87	7,259.62	-2,429.75	0.00
25.0000	464287655	ISHARES RUSSELL 2000 INDEX E T F	VARIOUS	03/02/2009	927.62	1,216.03	-288.41	0.00
30.0000	464287655	ISHARES RUSSELL 2000 INDEX E T F	VARIOUS	03/05/2009	1,046.58	1,581.25	-534.67	0.00
25.0000	464287655	ISHARES RUSSELL 2000 INDEX E T F	VARIOUS	03/06/2009	863.17	1,216.52	-353.35	0.00
380.0000	464287200	ISHARES S&P 500 INDEX E T F	VARIOUS	02/06/2009	32,975.30	35,745.50	-2,770.20	0.00
210.0000	464288372	ISHARES TR SP GLOBAL INFRASTR	VARIOUS	04/09/2009	5,262.46	5,657.30	-394.84	0.00
5.5300	466141107	J F CHINA REGION FD INC	12/15/2008	02/05/2009	46.21	44.74	1.47	0.00
96.0000	466141107	J F CHINA REGION FD INC	12/15/2008	04/16/2009	972.45	776.64	195.81	0.00
3170.0000	471057109	JAPAN EQUITY FUND INC	VARIOUS	04/09/2009	13,313.65	17,375.68	-4,062.03	0.00
5000.0000	471057109	JAPAN EQUITY FUND INC	VARIOUS	04/13/2009	20,899.46	23,158.29	-2,258.83	0.00
2765.0000	47109U104	JAPAN SMALLER CAPITALIZATION FD INC	VARIOUS	04/09/2009	16,893.71	18,262.11	-1,368.40	0.00
7000.0000	41013T105	JOHN HANCOCK PATRIOT PREM DIV FD II	04/28/2009	09/22/2009	64,773.52	45,998.40	18,775.12	0.00
200.0000	50063B104	KOREA EQUITY FD INC	VARIOUS	04/09/2009	1,265.97	1,105.91	160.06	0.00
10.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	11/13/2008	01/28/2009	205.43	183.37	22.06	0.00
25.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	11/13/2008	01/30/2009	500.00	458.42	41.58	0.00
25.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	11/13/2008	02/04/2009	513.75	458.42	55.33	0.00
50.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	VARIOUS	02/08/2009	1,049.81	902.98	146.83	0.00
25.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	12/01/2008	02/09/2009	542.50	423.81	118.69	0.00

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2009 Tax Information Statement

Page 24 of 77

Account Number:
 Recipient's Tax ID number:
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Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

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Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
5.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	12/01/2008	02/13/2009	102.25	84.76	17.49	0.00
10.0000	51827Q106	LATIN AMERICA EQUITY FUND INC	12/01/2008	04/09/2009	220.09	169.52	50.57	0.00
820.0000	52106W103	LAZARD GLOBAL TOT RT & INC	VARIOUS	04/13/2009	8,405.27	9,710.45	-1,305.18	0.00
20550.0000	530158104	LIBERTY ALL STAR EQUITY FD SBI	VARIOUS	04/09/2009	63,360.17	68,253.17	-4,892.99	0.00
2884.0000	529900102	LIBERTY ALL-STAR GROWTH FD INC	VARIOUS	04/09/2009	7,245.73	8,653.70	-1,407.97	0.00
2300.0000	50208B100	LMP CORPORATE LN FD INC	04/14/2009	12/30/2009	23,000.56	16,537.00	6,463.56	0.00
6900.0000	50208B100	LMP CORPORATE LN FD INC	VARIOUS	12/31/2009	68,665.64	49,611.00	19,054.64	0.00
75.0000	61744U106	M S D W ASIA PACIFIC FUND INC	VARIOUS	04/09/2009	749.98	700.74	49.24	0.00
100.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	01/27/2009	1,244.61	2,092.38	-847.77	0.00
40.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	01/28/2009	514.93	866.33	-351.40	0.00
50.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	01/29/2009	638.98	1,037.23	-398.25	0.00
80.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	01/30/2009	990.49	1,547.66	-557.17	0.00
10.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	09/09/2008	02/02/2009	124.51	188.55	-64.04	0.00
50.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	02/03/2009	620.50	863.90	-243.40	0.00
50.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	02/04/2009	635.00	846.61	-211.61	0.00
40.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	09/16/2008	02/09/2009	509.43	633.88	-124.45	0.00
45.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	02/13/2009	558.60	682.25	-123.65	0.00
975.0000	55608D101	MACQUARIE GLOBAL INFR TOT RT FD	VARIOUS	04/09/2009	9,661.99	10,861.51	-1,199.52	0.00
300.0000	558268108	MADISON STRATEGIC SECTOR PREM FD	VARIOUS	04/09/2009	2,645.93	4,189.98	-1,544.05	0.00

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2009 Tax Information Statement

Page 25 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN

41-1818433

(877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1275.0000	558268108	MADISON STRATEGIC SECTOR PREM FD	VARIOUS	04/13/2009	11,191.92	13,467.80	-2,275.88	0.00
900.0000	556582104	MADISON/CLAYMORE COVD CALL EQ STR FD	VARIOUS	04/09/2009	5,588.85	7,886.44	-2,297.59	0.00
1435.0000	556582104	MADISON/CLAYMORE COVD CALL EQ STR FD	VARIOUS	04/13/2009	8,925.47	9,387.58	-462.11	0.00
40.0000	592835102	MEXICO FD INC	01/24/2008	01/06/2009	667.12	1,298.00	-630.88	0.00
55.0000	592835102	MEXICO FD INC	04/10/2008	04/09/2009	793.74	1,913.22	-1,119.48	0.00
158.0000	64124K102	NEUBERGER BERMAN NY INTMD MUN FD	12/12/2008	05/29/2009	2,106.14	1,470.10	636.04	0.00
902.0000	644485108	NEW GERMANY FD INC	VARIOUS	04/13/2009	6,106.38	6,914.78	-808.40	0.00
8320	645673104	NEW IRELAND FUND INC	07/07/2008	02/10/2009	3.70	12.90	-9.20	0.00
28.0000	645673104	NEW IRELAND FUND INC	VARIOUS	04/09/2009	127.11	344.26	-217.15	0.00
1960.0000	65370C108	NICHOLAS APPELGATE INTL & PREM	VARIOUS	04/09/2009	19,718.84	22,384.15	2,665.31	0.00
2420.0000	67090X107	NUVEEN CORE EQUITY ALPHA FD	VARIOUS	04/09/2009	21,441.61	26,050.94	-4,609.34	0.00
3259.0000	6706EW100	NUVEEN EQUITY PREM & GROWTH FD	VARIOUS	04/09/2009	32,780.45	37,359.07	-4,578.62	0.00
4925.0000	6706ET107	NUVEEN EQUITY PREM ADVANTAGE FD	VARIOUS	04/09/2009	51,120.18	53,787.80	-2,667.62	0.00
2860.0000	6706EM102	NUVEEN EQUITY PREM OPPORTUNITY FD	VARIOUS	04/09/2009	30,302.06	35,747.53	-5,445.47	0.00
1450.0000	6706ER101	NUVEEN EQUITY PREMIUM INCOME FD	VARIOUS	04/09/2009	14,619.78	18,000.01	-3,380.23	0.00

2009 Tax Information Statement

Page 26 of 77

Account Number:

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Questions?

☐ Corrected

41-1818433

(877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
600.0000	67072T108	NUVEEN FLOATING RATE INCOME FD	04/14/2009	12/22/2009	6,143.85	3,823.46	2,310.39	0.00
900.0000	67072T108	NUVEEN FLOATING RATE INCOME FD	04/14/2009	12/23/2009	9,285.97	5,750.19	3,535.78	0.00
400.0000	67072T108	NUVEEN FLOATING RATE INCOME FD	VARIOUS	12/24/2009	4,128.62	2,561.09	1,567.53	0.00
8200.0000	67072T108	NUVEEN FLOATING RATE INCOME FD	VARIOUS	12/30/2009	84,789.09	53,403.62	31,385.47	0.00
6300.0000	67072T108	NUVEEN FLOATING RATE INCOME FD	VARIOUS	12/31/2009	71,225.48	44,393.46	26,832.02	0.00
300.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	11/30/2009	2,945.93	1,735.35	1,210.58	0.00
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/03/2009	1,977.95	1,156.90	821.05	0.00
600.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/04/2009	6,005.19	3,470.70	2,534.49	0.00
1300.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/08/2009	13,070.91	7,519.85	5,551.06	0.00
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/09/2009	2,011.96	1,156.90	855.06	0.00
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/10/2009	2,013.96	1,156.90	857.06	0.00
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/11/2009	2,009.96	1,156.90	853.06	0.00
600.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/14/2009	6,058.34	3,470.70	2,587.64	0.00
100.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/14/2009	12/15/2009	1,010.97	578.45	432.52	0.00
1000.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	VARIOUS	12/16/2009	10,086.55	5,870.48	4,216.07	0.00
1000.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/18/2009	10,158.55	6,214.40	3,944.15	0.00
1300.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/21/2009	13,566.46	8,078.72	5,487.74	0.00
1800.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/22/2009	19,117.14	11,185.92	7,931.22	0.00
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/23/2009	2,135.95	1,242.88	893.07	0.00

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2009 Tax Information Statement

Page 27 of 77

Account Number:
 Recipient's Tax ID number:
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Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

41-1818433

(877)209-7529

☐ 2nd TIN notice

☐ Corrected

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)			
200.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/24/2009	2,137.95	1,242.88	895.07	0.00
1000.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/28/2009	10,703.13	6,214.40	4,488.73	0.00
300.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/17/2009	12/29/2009	3,225.20	1,864.32	1,360.88	0.00
5100.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	VARIOUS	12/30/2009	54,670.58	31,342.28	23,328.30	0.00
1700.0000	6706EN100	NUVEEN FLOATING RT INC OPP FD	04/21/2009	12/31/2009	18,257.52	10,285.68	7,971.84	0.00
1.0000	67062E509	NUVEEN INV QUAL 5.559% AUCTION RT	01/09/2009	04/23/2009	25,000.00	16,875.00	8,125.00	0.00
24400.0000	67073D102	NUVEEN PFD & CONV INCOME FD 2	VARIOUS	12/30/2009	184,510.47	101,889.92	82,620.55	0.00
4000.0000	67073D102	NUVEEN PFD & CONV INCOME FD 2	VARIOUS	12/31/2009	30,774.81	16,613.68	14,161.13	0.00
21000.0000	67073B106	NUVEEN PFD & CVRT INCOME FD	VARIOUS	12/30/2009	153,968.02	84,512.60	69,455.42	0.00
8300.0000	67073B106	NUVEEN PFD & CVRT INCOME FD	VARIOUS	12/31/2009	62,000.22	34,305.20	27,695.02	0.00
1600.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	10/26/2009	9,548.55	8,323.20	1,225.35	0.00
450.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	10/28/2009	2,683.01	2,340.90	342.11	0.00
1200.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/04/2009	7,091.46	6,242.40	849.06	0.00
800.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/06/2009	4,668.68	4,161.60	507.08	0.00
600.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/09/2009	3,532.77	3,121.20	411.57	0.00
400.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/10/2009	2,351.94	2,080.80	271.14	0.00
1000.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/11/2009	5,894.85	5,202.00	692.85	0.00
1600.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/12/2009	9,444.88	8,323.20	1,121.68	0.00
2000.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/24/2009	11/13/2009	11,935.49	10,404.00	1,531.49	0.00

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2009 Tax Information Statement

Page 28 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

41-1818433

(877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
600.0000	67067Y104	NUVEEN SENIOR INCOME FUND	VARIOUS	11/16/2009	3,581.91	3,125.40	456.51	0.00
1800.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/25/2009	11/17/2009	10,775.96	9,393.84	1,382.12	0.00
450.0000	67067Y104	NUVEEN SENIOR INCOME FUND	08/25/2009	11/18/2009	2,695.48	2,348.46	347.02	0.00
2045.0000	68003N103	OLD MUTUAL CLAYMORE LONG SRT	VARIOUS	04/09/2009	15,834.03	19,310.27	-3,476.24	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	06/29/2009	1,879.95	1,553.72	326.23	0.00
300.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/13/2009	2,849.92	2,330.58	519.34	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/21/2009	1,899.95	1,553.72	346.23	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/22/2009	1,903.43	1,553.72	349.71	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/23/2009	959.97	776.86	183.11	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/24/2009	1,939.29	1,553.72	385.57	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/27/2009	2,002.95	1,553.72	449.23	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/28/2009	1,997.95	1,553.72	444.23	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/29/2009	998.98	776.86	222.12	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	07/30/2009	2,007.95	1,553.72	454.23	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	08/03/2009	1,007.97	776.86	231.11	0.00
300.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	08/04/2009	3,101.18	2,330.58	770.60	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	08/26/2009	1,023.97	776.86	247.11	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	08/28/2009	1,032.97	776.86	256.11	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	08/31/2009	1,025.88	776.86	249.02	0.00

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2009 Tax Information Statement

Page 29 of 77

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Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

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5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

41-1818433

(877)209-7529

☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	09/01/2009	2,075.95	1,553.72	522.23	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	09/03/2009	1,028.97	776.86	252.11	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	09/04/2009	1,036.83	776.86	259.97	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	09/16/2009	1,038.97	776.86	262.11	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/14/2009	09/21/2009	1,043.20	776.86	266.34	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/22/2009	1,050.97	779.00	271.97	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/23/2009	2,119.86	1,558.00	561.86	0.00
300.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/24/2009	3,202.88	2,337.00	865.88	0.00
300.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/28/2009	3,221.78	2,337.00	884.78	0.00
200.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/29/2009	2,152.75	1,558.00	594.75	0.00
400.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	09/30/2009	4,327.62	3,116.00	1,211.62	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	10/02/2009	1,078.97	779.00	299.97	0.00
100.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	10/05/2009	1,084.59	779.00	305.59	0.00
320.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	10/08/2009	3,510.51	2,492.80	1,017.71	0.00
330.0000	72369J102	PIONEER FLOATING RATE TR	04/15/2009	10/07/2009	3,643.18	2,570.70	1,072.48	0.00
75.0000	780910105	ROYCE VALUE TRUST	VARIOUS	01/06/2009	690.93	680.83	10.10	0.00
150.0000	780910105	ROYCE VALUE TRUST	03/02/2009	04/09/2009	1,156.65	912.63	244.02	0.00
200.0000	780910105	ROYCE VALUE TRUST	03/05/2009	04/13/2009	1,550.62	1,081.24	469.38	0.00
550.0000	780910105	ROYCE VALUE TRUST	VARIOUS	04/14/2009	4,223.89	2,901.51	1,322.38	0.00

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2009 Tax Information Statement

Page 30 of 77

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 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
145.0000	78355W106	RYDEX S&P EQUAL WEIGHTED INDEX FD	VARIOUS	02/08/2009	3,869.67	3,868.75	0.92	0.00
3460.0000	78355W106	RYDEX S&P EQUAL WEIGHTED INDEX FD	VARIOUS	04/09/2009	93,971.17	91,611.00	2,360.17	0.00
110.0000	78355W106	RYDEX S&P EQUAL WEIGHTED INDEX FD	10/29/2009	12/04/2009	4,216.45	4,085.22	131.23	0.00
3039.0000	78381R105	S&P 500 GEARED FUND INC	VARIOUS	04/09/2009	27,380.68	27,895.78	-515.10	0.00
1060.0000	82929L109	SINGAPORE FD INC	VARIOUS	04/09/2009	7,759.00	8,810.04	-1,051.04	0.00
1120.0000	848330108	SPAIN FUND INC	VARIOUS	04/09/2009	5,028.67	7,758.37	-2,729.70	0.00
60.0000	78462F103	SPDR S&P 500 ETF TRUST	11/12/2008	02/06/2009	5,178.54	5,244.00	-65.46	0.00
1110.0000	78462F103	SPDR S&P 500 ETF TRUST	VARIOUS	04/09/2009	93,515.08	96,905.29	-3,390.21	0.00
700.0000	867037103	SUNAMERICA FOCUSED ALPHA GRWTH FD	VARIOUS	04/09/2009	6,425.83	6,657.38	-231.55	0.00
200.0000	867038101	SUNAMERICA FOCUSED ALPHA LARGE-CAP	VARIOUS	04/09/2009	1,927.95	2,713.18	-785.24	0.00
1085.0000	867038101	SUNAMERICA FOCUSED ALPHA LARGE-CAP	VARIOUS	04/13/2009	10,108.83	11,866.82	-1,757.99	0.00
853.0000	870875101	SWISS HELVETIA FD INC	VARIOUS	04/09/2009	7,557.38	10,369.68	-2,812.30	0.00
487.0000	870875101	SWISS HELVETIA FD INC	VARIOUS	04/13/2009	4,295.22	5,118.59	-823.37	0.00
150.0000	874036106	TAIWAN FD INC	10/28/2008	04/09/2009	1,502.96	1,258.10	244.86	0.00
700.0000	874037104	TAIWAN GREATER CHINA FUND	VARIOUS	04/09/2009	2,864.88	2,283.32	581.56	0.00
35.0000	874037104	TAIWAN GREATER CHINA FUND	11/19/2009	12/11/2009	230.65	208.37	22.28	0.00

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2009 Tax Information Statement

Page 31 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
25.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	12/09/2008	01/02/2009	196.75	194.55	2.20	0.00
50.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	12/09/2008	05/06/2009	402.18	389.10	13.08	0.00
2.0000	89147U209	TORTOISE ENERGY 8.18% AUCTION RT	02/12/2009	07/14/2009	50,000.00	40,500.00	9,500.00	0.00
4.0000	89147U209	TORTOISE ENERGY 8.18% AUCTION RT	02/12/2009	12/29/2009	100,000.00	80,155.40	19,844.60	0.00
100.0000	900145103	TURKISH INVESTMENT FUND	10/09/2008	02/19/2009	562.29	707.96	-145.67	0.00
165.0000	900145103	TURKISH INVESTMENT FUND	VARIOUS	04/09/2009	991.62	984.13	-2.51	0.00
25.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	07/29/2008	01/06/2009	72.48	138.29	-65.81	0.00
50.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	07/29/2008	01/16/2009	148.00	276.57	-128.57	0.00
25.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	07/29/2008	01/26/2009	67.65	138.29	-70.64	0.00
25.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	07/29/2008	01/26/2009	68.00	138.29	-70.29	0.00
23325.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	VARIOUS	12/30/2009	97,701.23	61,264.67	36,436.56	0.00
9300.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	VARIOUS	12/31/2009	38,838.58	24,995.70	13,842.88	0.00
35.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	01/23/2009	594.14	526.83	67.31	0.00
75.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	01/26/2009	1,282.88	1,128.92	153.96	0.00
225.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	01/28/2009	3,895.97	3,386.74	509.23	0.00
300.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	01/29/2009	5,194.74	4,515.66	679.08	0.00

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2009 Tax Information Statement

Page 32 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	01/30/2009	1,738.32	1,505.22	233.10	0.00
75.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	02/02/2009	1,345.50	1,128.92	216.58	0.00
100.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	02/02/2009	1,820.99	1,505.22	315.77	0.00
75.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	02/03/2009	1,302.45	1,128.91	173.54	0.00
50.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	02/12/2009	864.23	752.61	111.62	0.00
40.0000	920955101	VAN KAMPEN BOND FUND INC	09/16/2008	02/13/2009	692.26	602.09	90.17	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	07/21/2009	1,902.37	1,415.00	487.37	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	07/22/2009	1,930.55	1,415.00	515.55	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	07/23/2009	1,955.95	1,415.00	540.95	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	07/24/2009	1,987.45	1,415.00	572.45	0.00
400.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/03/2009	4,144.86	2,830.00	1,314.86	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/04/2009	1,056.48	707.50	348.98	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/05/2009	2,093.95	1,415.00	678.95	0.00
400.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/10/2009	4,189.49	2,830.00	1,359.49	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/13/2009	1,048.97	707.50	341.47	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/19/2009	1,048.97	707.50	341.47	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/24/2009	1,049.97	707.50	342.47	0.00
400.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/25/2009	4,247.57	2,830.00	1,417.57	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/26/2009	1,063.97	707.50	356.47	0.00

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2009 Tax Information Statement

Page 33 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ Corrected

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/27/2009	2,132.46	1,415.00	717.46	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/08/2009	08/31/2009	1,073.97	707.50	366.47	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/01/2009	2,154.69	1,472.10	682.59	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/02/2009	2,149.02	1,472.10	676.92	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/03/2009	2,164.77	1,472.10	692.67	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/04/2009	2,171.81	1,472.10	699.71	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/08/2009	2,192.45	1,472.10	720.35	0.00
300.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/09/2009	3,319.21	2,208.15	1,111.06	0.00
400.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/10/2009	4,455.05	2,944.20	1,510.85	0.00
400.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/11/2009	4,461.13	2,944.20	1,516.93	0.00
100.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/14/2009	1,106.97	738.05	370.92	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/15/2009	2,237.55	1,472.10	765.45	0.00
200.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/16/2009	2,268.85	1,472.10	796.75	0.00
230.0000	921166104	VAN KAMPEN DYNAMIC CREDIT OP	04/14/2009	09/17/2009	2,630.51	1,692.92	937.59	0.00
725.0000	922042858	VANGUARD EMERGING MARKETS ETF	VARIOUS	04/09/2009	19,168.50	22,941.30	-3,772.80	0.00
435.0000	922042874	VANGUARD EUROPEAN VIPS	VARIOUS	04/09/2009	14,559.07	15,477.39	-918.32	0.00
110.0000	922908637	VANGUARD LARGE CAP VIPS FUND	01/14/2009	02/06/2009	4,273.22	4,200.07	73.15	0.00
2585.0000	922908637	VANGUARD LARGE CAP VIPS FUND	VARIOUS	04/09/2009	99,002.94	98,606.77	396.17	0.00
3209.2910	922907308	VANGUARD LONG TRM TE FD INV	VARIOUS	03/10/2009	33,216.16	32,100.00	1,116.16	0.00

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2009 Tax Information Statement

Page 34 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
5130.5970	922807308	VANGUARD LONG TRM.TE.FD.INV.	05/27/2009	06/09/2009	54,127.80	55,000.00	-872.20	0.00
1085.0000	922042866	VANGUARD PACIFIC VIPERS	VARIOUS	04/09/2009	43,507.38	48,172.73	-4,665.35	0.00
20.0000	922908751	VANGUARD SMALL CAP ETF	07/10/2008	03/12/2009	663.83	1,209.23	-545.40	0.00
50.0000	922908751	VANGUARD SMALL CAP ETF	VARIOUS	04/09/2009	2,009.95	2,693.34	-683.39	0.00
25.0000	957668109	WESTERN ASSET GLOBAL HIGH INCOME	01/25/2008	01/02/2009	190.25	295.75	-105.50	0.00
100.0000	957668109	WESTERN ASSET GLOBAL HIGH INCOME	09/29/2008	07/20/2009	945.48	832.35	113.13	0.00
100.0000	957668109	WESTERN ASSET GLOBAL HIGH INCOME	09/29/2008	07/21/2009	952.98	832.35	120.63	0.00
2300.0000	957668109	WESTERN ASSET GLOBAL HIGH INCOME	VARIOUS	07/22/2009	21,670.27	19,158.82	2,511.45	0.00
8850.0000	957668109	WESTERN ASSET GLOBAL HIGH INCOME	VARIOUS	12/30/2009	97,436.87	66,372.72	31,064.15	0.00
325.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/02/2009	3,555.29	3,978.00	-422.71	0.00
50.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/13/2009	555.03	612.00	-56.97	0.00
25.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/15/2009	278.00	306.00	-28.00	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/20/2009	1,120.84	1,224.00	-103.16	0.00
50.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/21/2009	568.92	612.00	-43.08	0.00

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2009 Tax Information Statement

Page 35 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN

5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
25.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/22/2009	281.50	306.00	-24.50	0.00
125.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/23/2009	1,406.63	1,530.00	-123.37	0.00
89.95.0000	989834106	ZWEIF FUND INC.	VARIOUS	04/09/2009	22,765.93	24,828.54	-2,063.61	0.00
Total Short Term Sales Reported on 1099-B						4,094,524.75	674,528.75	0.00
Long Term 15% Sales Reported on 1099-B								
1000.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/06/2009	5,144.28	5,996.72	-852.44	0.00
800.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/08/2009	4,138.94	5,045.97	-907.03	0.00
1000.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/15/2007	05/13/2009	5,246.17	6,310.40	-1,064.23	0.00
2800.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/14/2009	14,657.07	17,623.98	-2,966.91	0.00
800.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	11/12/2007	05/18/2009	4,271.90	4,883.68	-611.78	0.00
1000.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/18/2009	5,332.27	6,082.21	-749.94	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	11/14/2007	05/19/2009	2,147.99	2,426.32	-278.33	0.00
800.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/19/2009	4,296.14	4,821.02	-524.88	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	11/16/2007	05/21/2009	1,079.98	1,294.52	-124.54	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/21/2009	2,158.59	2,408.77	-250.18	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	11/19/2007	05/26/2009	2,167.95	2,408.00	-240.05	0.00
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/26/2009	2,168.23	2,410.00	-241.77	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/27/2009	1,081.97	1,205.23	-123.26	0.00

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2009 Tax Information Statement

Page 36 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 1a)	(Box 2)			(Box 4)
400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/27/2009	2,163.95	2,411.80	-247.85	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	05/27/2009	1,079.97	1,202.80	-122.83	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	05/28/2009	1,079.03	1,205.78	-126.75	0.00
600.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	05/29/2009	3,282.77	3,057.52	225.25	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	05/29/2009	1,094.07	1,205.78	-111.71	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	06/04/2009	1,094.63	1,205.78	-111.15	0.00
215.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	08/04/2009	1,177.14	1,298.21	-119.07	0.00
200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	01/25/2008	06/04/2009	1,093.71	1,205.78	-112.07	0.00
5200.0000	008212104	ADAMS EXPRESS CO	VARIOUS	04/09/2009	40,442.99	68,769.66	-28,326.67	0.00
2500.0000	09249Y107	BLACKROCK STRATEGIC DIVID ACHIEVERS	VARIOUS	04/09/2009	17,534.54	32,301.29	-14,766.77	0.00
50.0000	169373107	CHINA FUND INC	VARIOUS	01/05/2009	862.84	2,208.26	-1,345.42	0.00
0.480	169373107	CHINA FUND INC	09/10/2007	02/09/2009	0.76	2.13	-1.37	0.00
53.9520	169373107	CHINA FUND INC	VARIOUS	04/09/2009	906.91	2,204.73	-1,297.82	0.00
3880.0000	19247Y108	COHEN & STEERS REIT & UTIL INCOME FD	VARIOUS	04/09/2009	19,678.46	76,431.50	-56,753.01	0.00
1.0000	19247X803	COHEN STEERS REIT 4.679% AUCTION RT	05/23/2008	07/24/2009	25,000.00	23,000.00	2,000.00	0.00
823.0000	24476Y100	DEFINED STRATEGY FD INC	VARIOUS	01/12/2009	7,904.09	16,295.04	-8,390.95	0.00
200.0000	24476Y100	DEFINED STRATEGY FD INC	01/12/2007	04/13/2009	1,799.95	4,092.80	-2,292.85	0.00
7777.0000	24476Y100	DEFINED STRATEGY FD INC	VARIOUS	09/15/2009	88,441.21	151,295.96	-64,854.75	0.00

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2009 Tax Information Statement

Page 37 of 77

Account Number:
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Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
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 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	23338M106	DWS MUN INCOME TR	12/18/2007	02/09/2009	1,947.17	2,088.78	-141.61	0.00
200.0000	23338M106	DWS MUN INCOME TR	12/18/2007	08/09/2009	2,052.97	2,088.78	-35.81	0.00
200.0000	23338M106	DWS MUN INCOME TR	12/18/2007	06/10/2009	2,045.61	2,088.78	-43.17	0.00
200.0000	23338M106	DWS MUN INCOME TR	VARIOUS	07/28/2009	2,079.47	2,093.50	-14.03	0.00
200.0000	23338M106	DWS MUN INCOME TR	12/19/2007	08/11/2009	2,130.31	2,097.36	32.95	0.00
200.0000	23338M106	DWS MUN INCOME TR	VARIOUS	09/10/2009	2,258.48	2,096.63	161.85	0.00
100.0000	23338M106	DWS MUN INCOME TR	12/19/2007	09/14/2009	1,143.55	1,047.95	95.60	0.00
50.0000	23338M106	DWS MUN INCOME TR	12/19/2007	09/15/2009	574.67	523.98	50.69	0.00
810.0000	27828V104	EATON VANCE SHORT DUR DIV IN FD	VARIOUS	12/30/2009	12,984.29	13,124.74	-140.45	0.00
1170.0000	27828G107	EATON VANCE TAX ADV DVD INC	VARIOUS	04/09/2009	11,231.71	31,323.59	-20,091.88	0.00
230.0000	27828S101	EATON VANCE TAX ADV GBL DVD INCOME	07/26/2007	04/09/2009	1,957.25	5,874.62	-3,917.37	0.00
555.0000	298768102	EUROPEAN EQUITY FD INC	VARIOUS	04/14/2009	2,575.13	6,257.25	-3,682.12	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	03/17/2008	06/01/2009	1,203.12	1,501.56	-298.44	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	03/17/2008	06/02/2009	1,209.53	1,501.56	-292.03	0.00
100.0000	30024Y104	EVERGREEN MULTI-SECTOR INCOME FUND	03/17/2008	06/19/2009	1,214.16	1,501.56	-287.40	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	05/20/2009	2,474.46	3,417.44	-942.98	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/07/2007	05/21/2009	1,237.97	1,659.80	-421.83	0.00

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2009 Tax Information Statement

Page 38 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/07/2007	05/22/2009	1,259.79	1,659.80	-400.01	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	05/26/2009	2,536.99	3,321.11	-784.12	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	05/29/2009	1,278.44	1,665.82	-387.38	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/02/2009	1,280.71	1,665.82	-385.11	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/11/2009	1,283.81	1,665.82	-382.21	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/24/2009	1,285.47	1,665.82	-380.35	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/25/2009	1,297.05	1,665.82	-368.77	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/26/2009	2,605.93	3,331.64	-725.71	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	06/29/2009	1,309.78	1,665.82	-356.04	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/10/2007	07/02/2009	1,324.62	1,665.82	-341.20	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/02/2009	1,327.47	1,668.52	-341.05	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/11/2007	07/14/2009	1,322.68	1,673.54	-350.86	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/11/2007	07/15/2009	2,681.23	3,347.08	-665.85	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/11/2007	07/15/2009	1,340.18	1,673.54	-333.36	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/15/2009	1,341.73	1,671.15	-329.42	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/16/2009	1,349.24	1,687.39	-318.15	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/13/2007	07/16/2009	1,349.18	1,666.13	-316.95	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	12/13/2007	07/17/2009	1,355.11	1,668.13	-311.02	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/17/2009	1,353.24	1,661.56	-308.32	0.00

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2009 Tax Information Statement

Page 39 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818435

(877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN

5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/20/2009	1,366.08	1,647.85	-281.77	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/21/2009	1,353.97	1,647.85	-293.88	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/22/2009	1,354.84	1,647.85	-293.01	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/22/2009	1,355.55	1,647.85	-292.30	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/23/2009	1,366.96	65.07	1,301.89	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/23/2009	1,362.96	1,647.85	-284.89	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	07/24/2009	1,367.96	1,647.85	-279.89	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	08/04/2009	1,387.50	1,647.85	-260.35	0.00
75.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	03/26/2008	08/11/2009	1,053.02	1,235.89	-182.88	0.00
775.0000	337318109	FIRST TRUST ENHANCED EQUITY INC FD	VARIOUS	04/09/2009	6,457.13	11,370.95	-4,913.82	0.00
50.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	VARIOUS	04/16/2009	376.25	869.62	-493.37	0.00
1655.0000	362390109	GABELLI GLOBAL MULTIMEDIA TR INC	11/03/2004	04/09/2009	6,123.34	14,758.13	-8,634.79	0.00
3485.0000	404053100	H & Q LIFE SCIENCES INVESTORS	VARIOUS	04/09/2009	27,461.09	46,111.10	-18,650.01	0.00
75.0000	464286707	I SHARES M S C I FRANCE	01/24/2008	04/09/2009	1,345.46	2,559.45	-1,213.99	0.00
60.0000	464286871	I SHARES M S C I HONG KONG	01/24/2008	04/09/2009	674.38	1,197.00	-522.62	0.00
35.0000	464286764	I SHARES M S C I SPAIN	06/21/2006	04/09/2009	1,159.87	1,408.51	-248.64	0.00
155.0000	464286756	I SHARES M S C I SWEDEN INDEX FD	VARIOUS	04/09/2009	2,462.88	5,776.81	-3,313.93	0.00
1235.0000	464286599	I SHARES M S C I UNITED KINGDOM	VARIOUS	04/09/2009	13,401.15	23,273.94	-9,872.79	0.00
395.0000	464286103	I SHARES MSCI AUSTRALIA E T F	01/24/2008	04/09/2009	5,597.00	10,265.18	-4,668.18	0.00

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2009 Tax Information Statement

Page 40 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
165.0000	464288665	1 SHARES MSCI PACIFIC EX JAPAN E T F	VARIOUS	04/09/2009	4,385.59	7,332.30	-2,946.71	0.00
10.0000	464287861	1 SHARES S & P EUROPE 350 INDEX FUND	01/24/2008	04/09/2009	268.79	519.43	-250.64	0.00
125.0000	464288608	1 SHARES TRUST EMU INDEX FUND	01/24/2008	04/09/2009	3,277.41	6,659.37	-3,381.96	0.00
240.0000	464288848	1 SHARES INC MSCI JAPAN INDEX E T F	10/31/2006	03/04/2009	1,757.39	3,316.80	-1,559.41	0.00
75.0000	464288848	1 SHARES INC MSCI JAPAN INDEX E T F	10/31/2006	03/19/2009	592.16	1,036.50	-444.34	0.00
1215.0000	464288848	1 SHARES INC MSCI JAPAN INDEX E T F	VARIOUS	04/09/2009	10,205.74	15,189.55	-4,983.81	0.00
15.0000	466141107	J F CHINA REGION FD INC	12/26/2007	01/16/2009	125.25	390.15	-264.90	0.00
10.0000	466141107	J F CHINA REGION FD INC	12/26/2007	01/23/2009	78.10	260.10	-182.00	0.00
50.0000	466141107	J F CHINA REGION FD INC	12/26/2007	01/26/2009	394.88	1,300.50	-905.62	0.00
60.0000	466141107	J F CHINA REGION FD INC	12/26/2007	01/27/2009	481.00	1,550.60	-1,079.60	0.00
75.0000	466141107	J F CHINA REGION FD INC	12/26/2007	02/03/2009	610.03	1,950.75	-1,340.72	0.00
94.4700	466141107	J F CHINA REGION FD INC	12/26/2007	02/05/2009	789.46	2,457.16	-1,667.70	0.00
15.0000	471057109	JAPAN EQUITY FUND INC	03/20/2008	04/09/2009	63.00	99.19	-36.19	0.00
45.0000	500638104	KOREA EQUITY FD INC	08/16/2007	04/09/2009	284.84	497.02	-212.18	0.00
15.0000	518270106	LATIN AMERICA EQUITY FUND INC	11/20/2007	01/28/2009	308.15	826.13	-517.98	0.00
50.0000	52108W103	LAZARD GLOBAL TOT RT & INC	08/19/2008	02/10/2009	559.50	947.76	-388.26	0.00
2405.0000	52106W103	LAZARD GLOBAL TOT RT & INC	VARIOUS	04/13/2009	24,652.06	45,842.83	-20,990.57	0.00
3325.0000	530158104	LIBERTY ALL STAR EQUITY FD SBI	VARIOUS	04/09/2009	10,251.71	21,195.23	-10,933.52	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	07/14/2009	1,233.00	1,272.00	-39.00	0.00

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2009 Tax Information Statement

Page 41 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ Corrected

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	07/14/2009	1,232.33	1,272.00	-39.67	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/04/2009	1,291.11	1,272.00	19.11	0.00
200.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/04/2009	1,716.48	1,696.00	20.48	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/05/2009	1,289.97	1,272.00	17.97	0.00
200.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/13/2009	1,721.24	1,696.00	25.24	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/14/2009	1,288.47	1,272.00	16.47	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/18/2009	1,292.15	1,272.00	20.15	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/20/2009	1,290.33	1,272.00	18.33	0.00
200.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	08/21/2009	1,720.32	1,696.00	24.32	0.00
300.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	09/14/2009	2,619.74	2,544.00	75.74	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	09/22/2009	1,327.47	1,272.00	55.47	0.00
400.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	09/30/2009	3,581.48	3,392.00	189.48	0.00
200.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	12/16/2009	1,796.35	1,696.00	100.35	0.00
100.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	12/17/2009	908.98	848.00	60.98	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	11/08/2005	12/21/2009	1,361.06	1,272.00	89.06	0.00
100.0000	552727109	M F S CHARTER INCOME TR SBI	03/10/2006	12/22/2009	908.47	840.00	68.47	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/13/2006	06/09/2009	1,291.97	1,241.49	50.48	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	06/09/2009	5,167.87	4,969.98	197.89	0.00
300.0000	55273C107	M F S INTER INCOME TR SBI	01/13/2006	06/10/2009	1,931.83	1,862.25	69.58	0.00

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2009 Tax Information Statement

Page 42 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

41-1818433

(877)209-7529

☐ 2nd TIN notice

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	55273C107	M F S INTER INCOME TR SBI	01/13/2006	06/11/2009	1,283.41	1,241.49	41.92	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	01/13/2006	06/12/2009	5,139.07	4,965.97	173.10	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	06/18/2009	3,869.54	3,677.17	192.37	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	05/02/2006	06/18/2009	5,153.87	4,820.38	333.49	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	05/02/2006	06/19/2009	2,575.93	2,410.20	165.73	0.00
1400.0000	55273C107	M F S INTER INCOME TR SBI	05/02/2006	06/24/2009	9,022.49	8,435.66	586.83	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	05/02/2006	06/24/2009	2,578.85	2,410.20	168.65	0.00
750.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	06/25/2009	4,859.88	4,594.42	265.46	0.00
150.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	06/25/2009	971.97	926.74	45.23	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	06/25/2009	1,295.98	1,235.63	60.35	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/01/2009	1,310.01	1,235.63	74.38	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/01/2009	1,311.97	1,235.63	76.34	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/01/2009	2,623.93	2,471.28	152.65	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/02/2009	1,311.97	1,235.63	76.34	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/07/2009	1,307.65	1,235.62	72.03	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/07/2009	1,307.97	1,235.63	72.34	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/07/2009	1,307.97	1,235.62	72.35	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/09/2009	1,311.03	1,235.63	75.40	0.00

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2009 Tax Information Statement

Page 43 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

41-1818433

(877)209-7529

☐ 2nd TIN notice

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/10/2009	656.99	617.83	39.16	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/14/2009	1,309.98	1,235.62	74.36	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/24/2009	2,616.93	2,471.28	145.65	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/27/2009	2,615.93	2,471.28	144.65	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/27/2009	1,305.97	1,235.62	70.35	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/27/2009	2,613.85	2,471.28	142.57	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/28/2009	1,305.97	1,235.62	70.35	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/28/2009	1,305.99	1,235.63	70.36	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/28/2009	1,307.97	1,235.63	72.34	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/28/2009	2,611.93	2,471.28	140.65	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/29/2009	1,309.97	1,235.62	74.35	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/29/2009	5,232.58	4,942.53	290.05	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/29/2009	1,309.96	1,235.63	74.33	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/07/2007	07/31/2009	1,311.97	1,235.62	76.35	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	07/31/2009	1,311.97	1,234.23	77.75	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/13/2007	08/03/2009	1,316.47	1,236.31	80.16	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	08/03/2009	1,313.97	1,236.32	77.65	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/13/2007	08/03/2009	1,317.15	1,236.31	80.84	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	08/04/2009	1,318.85	1,235.47	83.38	0.00

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2009 Tax Information Statement

Page 44 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	08/07/2009	1,316.85	1,235.67	81.18	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	08/11/2009	1,308.09	1,236.31	71.78	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	08/12/2009	1,310.49	1,236.31	74.18	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	08/25/2009	3,947.90	3,708.41	239.49	0.00
300.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	08/26/2009	1,974.22	1,853.09	121.13	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	08/31/2009	1,319.97	1,235.38	84.59	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	08/31/2009	1,319.96	1,235.39	84.57	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	03/15/2007	09/01/2009	1,324.05	1,235.38	88.67	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/02/2009	1,323.97	1,236.07	87.90	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/03/2009	2,652.69	2,455.92	196.77	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/03/2009	2,654.57	2,458.41	196.16	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/03/2009	1,327.12	1,227.95	99.17	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/04/2009	1,328.25	1,227.95	98.30	0.00
1000.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/08/2009	6,650.13	6,139.77	510.36	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/08/2009	1,330.95	1,228.16	102.80	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/08/2009	2,663.77	2,455.92	207.85	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/23/2007	09/08/2009	1,329.97	1,227.95	102.02	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/09/2009	1,331.89	1,228.25	103.64	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/10/2009	1,327.83	1,228.25	99.58	0.00

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2009 Tax Information Statement

Page 45 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/10/2009	1,327.97	1,228.24	99.73	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/10/2009	1,328.64	1,228.25	100.39	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/11/2009	1,327.96	1,228.24	99.72	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/11/2009	1,327.97	1,228.24	99.73	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/24/2007	09/11/2009	1,327.97	1,228.25	99.72	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	04/25/2007	09/14/2009	2,651.93	2,459.12	192.81	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/14/2009	1,327.79	1,247.17	80.62	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	04/25/2007	09/15/2009	2,647.93	2,390.48	257.45	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	04/25/2007	09/15/2009	1,325.97	1,195.23	130.74	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/15/2009	1,325.96	1,190.70	135.26	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/15/2009	2,643.93	2,352.61	291.32	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	07/10/2007	09/16/2009	1,323.99	1,168.74	155.25	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/17/2009	3,974.48	3,555.33	419.15	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/18/2009	2,653.97	2,388.01	265.96	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/21/2009	1,326.13	1,193.99	132.14	0.00
100.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/21/2009	662.98	597.01	65.97	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/21/2009	1,326.35	1,193.99	132.36	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/22/2009	5,304.82	3,167.18	2,137.64	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/22/2009	1,329.59	1,193.99	135.60	0.00

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2009 Tax Information Statement

Page 46 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)			
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/23/2009	1,326.19	1,193.99	132.20	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/25/2009	1,351.97	1,193.99	157.98	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/25/2009	1,347.93	1,193.99	153.94	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/31/2007	09/29/2009	1,361.96	1,211.68	150.28	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	09/30/2009	4,092.20	3,582.43	509.77	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	11/02/2007	10/01/2009	1,360.15	1,194.25	165.90	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	11/02/2007	10/02/2009	4,067.90	3,582.79	485.11	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/02/2009	1,353.96	1,191.51	162.45	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/02/2009	2,704.09	2,377.85	326.24	0.00
800.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/05/2009	5,423.87	1,755.11	3,668.76	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/06/2009	1,357.96	1,192.76	165.20	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	12/21/2007	10/06/2009	1,357.96	1,169.97	187.99	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/06/2009	1,356.91	1,209.96	146.95	0.00
100.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/07/2009	678.69	610.79	67.90	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/22/2009	4,055.96	664.72	3,391.24	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/26/2009	1,351.97	1,221.57	130.40	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/26/2009	2,699.93	2,443.15	256.78	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/27/2009	1,349.97	1,221.58	128.39	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/27/2009	1,349.97	1,221.58	128.39	0.00

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2009 Tax Information Statement

Page 47 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/27/2009	1,349.97	1,221.57	128.40	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/29/2009	2,715.94	2,443.15	272.79	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/29/2009	1,351.96	1,221.58	130.38	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	10/29/2009	1,352.37	1,221.57	130.80	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/10/2009	1,348.97	1,221.57	127.40	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/11/2009	1,351.50	1,221.58	129.92	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/16/2009	1,351.21	1,221.57	129.64	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/19/2009	1,359.96	1,221.58	138.38	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/19/2009	1,356.36	1,221.57	134.79	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/20/2009	1,359.96	1,221.58	138.38	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/23/2009	1,359.96	1,221.58	138.38	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/23/2009	1,359.96	1,221.57	138.39	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/24/2009	1,359.96	1,221.57	138.39	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/24/2009	1,357.97	1,221.58	136.39	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/24/2009	1,359.97	1,221.57	138.40	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/25/2009	1,359.96	1,221.58	138.38	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/25/2009	2,719.94	2,443.15	276.79	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	11/25/2009	1,359.82	1,221.57	138.25	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	01/24/2008	12/01/2009	2,723.94	2,443.15	280.79	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 48 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200.0000	55273C107	M.F.S. INTER INCOME TR SBI	01/24/2008	12/01/2009	1,361.97	1,221.58	140.39	0.00
200.0000	55273C107	M.F.S. INTER INCOME TR SBI	VARIOUS	12/02/2009	1,361.98	1,214.66	147.30	0.00
200.0000	55273C107	M.F.S. INTER INCOME TR SBI	03/14/2008	12/03/2009	1,361.97	1,198.43	165.54	0.00
150.0000	55273C107	M.F.S. INTER INCOME TR SBI	03/14/2008	12/11/2009	1,017.47	897.32	120.15	0.00
400.0000	552737108	M.F.S. MULTIMARKET INCOME TR SBI	04/19/2004	02/09/2009	2,140.00	2,464.00	-324.00	0.00
100.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	04/29/2004	01/02/2009	1,114.35	1,097.00	17.35	0.00
230.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	VARIOUS	01/05/2009	2,571.30	2,868.64	-295.34	0.00
140.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/08/2006	01/06/2009	1,584.22	2,222.88	-638.66	0.00
30.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/08/2006	01/07/2009	335.21	476.33	-141.12	0.00
20.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/08/2006	01/15/2009	202.51	317.55	-115.04	0.00
50.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/08/2006	01/27/2009	499.50	793.89	-294.39	0.00
400.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/08/2006	02/03/2009	3,998.42	6,351.08	-2,352.68	0.00
300.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	VARIOUS	02/03/2009	2,998.81	5,367.01	-2,368.20	0.00
385.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/23/2007	02/04/2009	3,921.67	7,400.20	-3,478.53	0.00
400.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/23/2007	02/04/2009	4,074.46	7,688.52	-3,614.06	0.00
350.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/23/2007	02/05/2009	3,564.84	6,727.46	-3,162.62	0.00
50.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	03/23/2007	02/06/2009	520.00	961.06	-441.06	0.00
3190.0000	61744U106	M.S.D.W.ASIA PACIFIC FUND INC	VARIOUS	04/09/2009	31,899.18	60,331.44	-28,432.26	0.00
120.0000	592835102	MEXICO FD INC	09/27/2007	01/06/2009	2,001.36	5,096.11	-3,094.75	0.00

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2009 Tax Information Statement

Page 49 of 77

Account Number:
 Recipient's Tax ID number:
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 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number of Shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
40.0000	592835102	MEXICO FD INC	01/24/2008	02/05/2009	550.68	1,298.00	-747.32	0.00
70.0000	592835102	MEXICO FD INC	01/24/2008	02/06/2009	988.57	2,271.50	-1,282.93	0.00
530.0000	592835102	MEXICO FD INC	01/24/2008	04/09/2009	7,648.76	17,198.50	-9,549.74	0.00
5.0000	61744G107	MORGAN STANLEY EMERGING MARKETS FUND	10/22/2007	01/06/2009	44.74	158.55	-113.81	0.00
1442.0000	644465106	NEW GERMANY FD INC	VARIOUS	04/09/2009	9,819.76	15,515.69	-5,695.93	0.00
736.0000	644465106	NEW GERMANY FD INC	VARIOUS	04/13/2009	4,982.59	12,049.37	-7,066.78	0.00
100.0000	67066V101	NUVEEN DIVIDEND ADVANTAGE MUNI FD	12/20/2007	04/29/2009	1,166.05	1,309.68	-143.63	0.00
200.0000	67066V101	NUVEEN DIVIDEND ADVANTAGE MUNI FD	12/20/2007	05/04/2009	2,383.14	2,619.36	-236.22	0.00
200.0000	67066V101	NUVEEN DIVIDEND ADVANTAGE MUNI FD	12/21/2007	05/18/2009	2,450.50	2,619.04	-168.54	0.00
95.0000	67066V101	NUVEEN DIVIDEND ADVANTAGE MUNI FD	12/21/2007	05/22/2009	1,180.71	1,244.04	-63.33	0.00
2145.0000	6706EW100	NUVEEN EQUITY PREM & GROWTH FD	VARIOUS	04/09/2009	21,575.35	36,904.71	-15,329.36	0.00
175.0000	6706ET107	NUVEEN EQUITY PREM ADVANTAGE FD	VARIOUS	04/09/2009	1,816.45	2,772.72	-956.27	0.00
475.0000	6706EM102	NUVEEN EQUITY PREM OPPORTUNITY FD	VARIOUS	04/09/2009	5,032.68	7,383.90	-2,351.22	0.00
165.0000	6706ER101	NUVEEN EQUITY PREMIUM INCOME FD	VARIOUS	04/09/2009	1,652.23	2,380.96	-728.73	0.00
50.0000	746853100	PUTNAM PREMIER INC TR SBI	05/23/2007	01/21/2009	210.75	324.96	-114.21	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 50 of 77

Account Number:

Recipient's Tax ID number:

Payer's Federal ID number:

Questions?

☐ Corrected

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address

U.S. BANK NATIONAL ASSOCIATION, TRUSTEE

P O BOX 64713; TRUST TAX SERVICES

ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 1a)	Cost or other Basis (Box 2)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
400.0000	746853100	PUTNAM PREMIER INC TR SBI	05/23/2007	02/05/2009	1,783.40	2,599.68	-816.28	0.00
225.0000	746853100	PUTNAM PREMIER INC TR SBI	05/23/2007	02/06/2009	1,008.73	1,462.32	-453.59	0.00
2984.0000	746853100	PUTNAM PREMIER INC TR SBI	05/23/2007	06/19/2009	14,441.29	19,393.61	-4,952.32	0.00
1400.0000	746853100	PUTNAM PREMIER INC TR SBI	VARIOUS	06/19/2009	6,775.97	9,046.78	-2,270.81	0.00
4630.0000	746853100	PUTNAM PREMIER INC TR SBI	VARIOUS	06/19/2009	22,408.62	29,405.81	-6,997.19	0.00
50.0000	82929L109	SINGAPORE FD INC	08/16/2007	01/06/2009	412.24	739.55	-327.31	0.00
10.0000	82929L109	SINGAPORE FD INC	08/16/2007	01/07/2009	79.90	147.91	-68.01	0.00
140.0000	82929L109	SINGAPORE FD INC	08/16/2007	04/09/2009	1,024.77	2,070.72	-1,045.95	0.00
6400.0000	867037103	SUNAMERICA FOCUSED ALPHA GRWTH FD	VARIOUS	04/09/2009	58,750.48	113,376.22	-54,625.75	0.00
15.0000	870875101	SWISS HELVETIA FD INC	04/21/2004	01/02/2009	185.76	193.65	-7.89	0.00
30.0000	870875101	SWISS HELVETIA FD INC	04/21/2004	01/05/2009	372.62	387.30	-14.68	0.00
50.0000	870875101	SWISS HELVETIA FD INC	04/21/2004	02/13/2009	502.57	645.50	-142.93	0.00
1491.0000	870875101	SWISS HELVETIA FD INC	VARIOUS	04/09/2009	13,209.92	22,185.94	-8,976.02	0.00
15.0000	874037104	TAIWAN GREATER CHINA FUND	08/01/2007	01/06/2009	56.33	108.16	-51.83	0.00
60.0000	874037104	TAIWAN GREATER CHINA FUND	08/01/2007	01/07/2009	227.36	432.66	-205.30	0.00
537.0000	874037104	TAIWAN GREATER CHINA FUND	VARIOUS	04/09/2009	2,197.77	3,732.33	-1,534.56	0.00
15.0000	88018T101	TEMPLETON DRAGON FUND INC	VARIOUS	01/02/2009	264.52	496.54	-232.02	0.00
10.0000	88018T101	TEMPLETON DRAGON FUND INC	10/16/2007	01/06/2009	187.69	333.29	-145.60	0.00
5.0000	88018T101	TEMPLETON DRAGON FUND INC	10/16/2007	01/08/2009	89.05	166.65	-77.60	0.00

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2009 Tax Information Statement

Page 51 of 77

Account Number:

Recipient's Name and Address

Recipient's Tax ID number:

THE MILTON & TAMAR MALTZ FAM

Payer's Federal ID number:

FDN

Questions?

41-1818433

5500 MILITARY TRAIL SUITE 22-367

☐ Corrected

☐ 2nd TIN notice

JUPITER, FL 33458-2869

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis (Box 4)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
10.0000	880181101	TEMPLETON DRAGON FUND INC	10/16/2007	01/13/2009	166.60	333.29	-166.69	0.00
10.0000	880181101	TEMPLETON DRAGON FUND INC	10/16/2007	01/23/2009	157.32	333.29	-175.97	0.00
5.0000	880181101	TEMPLETON DRAGON FUND INC	10/16/2007	02/08/2009	85.28	166.64	-81.36	0.00
375.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	07/29/2008	12/30/2009	1,570.76	2,074.31	-503.55	0.00
50.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/02/2009	833.00	870.50	-37.50	0.00
75.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/05/2009	1,276.49	1,305.75	-29.26	0.00
25.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/12/2009	435.76	435.25	0.51	0.00
25.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/13/2009	427.75	435.25	-7.50	0.00
25.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/14/2009	421.49	435.25	-13.76	0.00
50.0000	920955101	VAN KAMPEN BOND FUND INC	04/19/2004	01/16/2009	855.94	870.50	-14.56	0.00
50.0000	920955101	VAN KAMPEN BOND FUND INC	VARIOUS	01/20/2009	864.50	863.20	1.30	0.00
50.0000	920955101	VAN KAMPEN BOND FUND INC	VARIOUS	01/21/2009	864.96	833.47	31.49	0.00
25.0000	920955101	VAN KAMPEN BOND FUND INC	08/08/2007	01/22/2009	425.25	416.62	8.63	0.00
40.0000	920955101	VAN KAMPEN BOND FUND INC	08/08/2007	01/23/2009	679.01	666.60	12.41	0.00
90.0000	922042858	VANGUARD EMERGING MARKETS ETF	12/26/2007	03/04/2009	1,842.05	4,836.15	-2,994.10	0.00
25.0000	922042858	VANGUARD EMERGING MARKETS ETF	12/26/2007	03/12/2009	547.50	1,343.38	-795.88	0.00
165.0000	922042858	VANGUARD EMERGING MARKETS ETF	VARIOUS	04/09/2009	4,362.49	8,625.19	-4,262.70	0.00
250.0000	922042874	VANGUARD EUROPEAN VIPS	03/14/2008	04/09/2009	8,367.28	16,640.00	-8,272.72	0.00
35.0000	922908751	VANGUARD SMALL CAP ETF	01/24/2008	03/09/2009	1,040.39	2,166.50	-1,126.11	0.00

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2009 Tax Information Statement

Page 52 of 77

Recipient's Name and Address
THE MILTON & TAMAR MALTZ FAM
FDN
5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Account Number:
Recipient's Tax ID number: 41-1818433
Payer's Federal ID number: (877)209-7529
Questions? ☐ 2nd TIN notice

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55184-0713

Number of shares	CUSIP (Box 5)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
10.0000	922908751	VANGUARD SMALL CAP ETF	01/24/2008	03/12/2009	331.91	619.00	-287.09	0.00
50.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	01/06/2009	522.00	581.50	-59.50	0.00
500.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	04/29/2009	5,589.02	5,815.00	-225.98	0.00
200.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	04/30/2009	2,237.94	2,326.00	-88.06	0.00
400.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	05/01/2009	4,428.02	4,652.00	-223.98	0.00
200.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	05/04/2009	2,215.16	2,326.00	-110.84	0.00
100.0000	95766R104	WACLAY US TREAS INFL PROT 2	01/30/2007	06/25/2009	1,149.90	1,121.59	28.31	0.00
300.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	01/25/2008	05/27/2009	2,611.34	3,549.00	-937.66	0.00
100.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	VARIOUS	06/16/2009	906.98	1,183.00	-276.02	0.00
100.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	01/28/2008	06/30/2009	903.17	1,183.00	-279.83	0.00
100.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	01/28/2008	07/15/2009	933.24	1,183.00	-249.76	0.00
100.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	01/28/2008	07/16/2009	936.49	1,183.00	-246.51	0.00
100.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	VARIOUS	07/20/2009	946.21	1,157.25	-211.04	0.00
200.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	01/28/2008	07/20/2009	1,890.05	2,366.00	-475.95	0.00
10.0000	95766B109	WESTERN ASSET GLOBAL HIGH INCOME	09/30/2008	11/25/2009	107.40	83.45	23.95	0.00

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2009 Tax Information Statement

Page 53 of 77

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529
☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100.0000	95766U107	WESTERN ASSET INFLATION MANAGEMENT	VARIOUS	05/26/2009	1,577.96	1,758.22	-180.26	0.00
25.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/26/2009	282.63	306.00	-23.37	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/27/2009	1,135.52	1,224.00	-88.48	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/28/2009	1,144.28	1,224.00	-79.72	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	01/29/2009	1,142.50	1,224.00	-81.50	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	02/02/2009	1,134.66	1,224.00	-89.34	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	02/18/2009	1,145.25	1,224.00	-78.75	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	02/20/2009	1,137.41	1,224.00	-86.59	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	02/27/2009	1,108.75	1,224.00	-115.25	0.00
20.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	01/25/2008	04/07/2009	227.56	244.80	-17.24	0.00
7990.0000	989834106	ZWEIG FUND INC	VARIOUS	04/09/2009	20,426.71	36,109.31	-15,682.57	0.00
Total Long Term 15% Sales Reported on 1099-B						1,077,486.97	1,593,753.25	-516,266.22

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2009 Tax Information Statement

Page 4 of 43

Account Number:
Recipient's Tax ID number:
Payer's Federal ID number:
Questions?

41-1818433

(877)209-7529

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM

FDN

5500 MILITARY TRAIL SUITE 22-367

JUPITER, FL 33458-2869

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Short Term Sales Reported on 1099-B		Date		Description		Gross Proceeds		Less: Commissions and Option Premiums		Federal Income Tax	
(Box 5)	(Box 1b)	(Box 1a)	(Box 2)	(Box 3)	(Box 4)	(Box 5)	(Box 6)	(Box 7)	(Box 8)	(Box 9)	(Box 10)
2500.0000	003009107	10/15/2008	05/28/2009	13,487.90	10,218.75	3,269.15	0.00				
2800.0000	003009107	10/15/2008	06/16/2009	15,567.59	11,445.00	4,122.59	0.00				
2400.0000	003009107	10/15/2008	06/25/2009	13,175.67	9,810.00	3,365.67	0.00				
3400.0000	003009107	10/15/2008	06/25/2009	18,665.53	13,897.50	4,768.03	0.00				
1600.0000	003009107	10/15/2008	06/26/2009	8,783.78	6,540.00	2,243.78	0.00				
600.0000	003009107	10/15/2008	06/26/2009	3,293.92	2,452.50	841.42	0.00				
1600.0000	003009107	10/15/2008	06/29/2009	8,844.90	6,540.00	2,304.90	0.00				
200.0000	003009107	10/15/2008	07/01/2009	1,113.97	817.50	296.47	0.00				
1400.0000	003009107	10/15/2008	07/13/2009	7,644.65	5,722.50	1,922.15	0.00				
3600.0000	003009107	VARIOUS	07/13/2009	19,640.02	14,718.64	4,921.38	0.00				
3200.0000	003009107	10/16/2008	07/13/2009	17,452.04	13,196.48	4,255.56	0.00				
1400.0000	003009107	10/16/2008	07/13/2009	7,643.80	5,773.46	1,870.34	0.00				
4400.0000	003009107	10/16/2008	07/14/2009	24,210.92	15,303.69	8,907.13	0.00				
3400.0000	003009107	10/16/2008	07/14/2009	18,716.53	14,021.26	4,695.27	0.00				
1400.0000	003009107	10/16/2008	07/14/2009	7,707.65	5,773.46	1,934.19	0.00				
5400.0000	003009107	10/16/2008	07/15/2009	29,902.28	22,269.06	7,633.22	0.00				

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Page 5 of 43

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAMILIES

FDN

5500 MILITARY TRAIL SUITE 22367

JUPITER, FL 33458-2869

JUPITER, FL 33458-2869

Number of Shares	USIP	Description	Date of Acquisition	Date of Sale	Cost Basis	Net Gain or Loss	Page
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)		
2200.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/16/2008	07/15/2009	12,121.70	9,072.58	3,049.12
3400.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/16/2008	07/15/2009	18,804.58	14,021.26	4,783.32
2000.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	10/16/2008	07/15/2009	11,098.52	8,247.80	2,850.72
3659.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	VARIOUS	07/16/2009	20,343.52	14,227.54	6,115.98
591.0000	003009107	ABERDEEN ASIA PACIFIC PRIME INC FD	12/01/2008	07/16/2009	3,285.87	2,155.67	1,130.20
425.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	01/13/2009	3,822.18	3,417.00	405.18
100.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	01/16/2009	896.99	804.00	92.99
25.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	01/21/2009	223.75	201.00	22.75
125.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	01/28/2009	1,093.74	942.00	151.74
250.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	02/03/2009	2,167.49	2,010.00	157.49
175.0000	003013109	ABERDEEN GLOBAL INCOME	10/22/2008	04/06/2009	1,550.49	1,407.00	143.49
600.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008	01/05/2009	4,318.36	4,128.00	190.36
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008	01/12/2009	1,116.17	1,032.00	84.17
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008	01/12/2009	1,117.49	1,032.00	85.49
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008	01/12/2009	1,118.99	1,032.00	86.99
725.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008	01/12/2009	5,402.82	4,988.00	414.82

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 6 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address:
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number (Box 5)	Symbol (Box 1b)	Description (Box 7)	Date (Box 1a)	Amount (Box 2)	Capital (Box 3)	Other (Box 4)	Federal (Box 5)	Income (Box 6)
100.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 01/14/2009	747.31	688.00	59.31	0.00	0.00
75.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 01/21/2009	550.88	516.00	34.88	0.00	0.00
100.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 01/23/2009	741.81	688.00	53.61	0.00	0.00
50.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 01/26/2009	371.00	344.00	27.00	0.00	0.00
25.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 01/26/2009	185.75	172.00	13.75	0.00	0.00
100.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 02/03/2009	729.00	688.00	41.00	0.00	0.00
300.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 02/06/2009	2,178.67	2,064.00	112.67	0.00	0.00
100.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 02/10/2009	728.71	688.00	40.71	0.00	0.00
600.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 02/11/2009	4,399.48	4,128.00	271.48	0.00	0.00
300.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 02/12/2009	2,203.01	2,064.00	139.01	0.00	0.00
400.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/02/2009	2,843.98	2,752.00	91.98	0.00	0.00
1.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/03/2009	7.11	6.88	0.23	0.00	0.00

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2009 Tax Information Statement

Page 7 of 43

Recipient's Name and Address
THE MILTON & TAMAR MALTZ FAM
FDN
5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Account Number:
41-1818433
Payer's Tax ID number:
(877)209-7529
Questions? ☐ 2nd TIN notice
☐ Corrected

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number (Box 5)	USIP (Box 1b)	Description (Box 7)	Date of Assessment (Box 1a)	Cost Basis (Box 2)	Net Real Capital Gain (Box 4)
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/06/2009	1,032.00	36.08 0.00
200.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/09/2009	1,431.58	1,376.00 55.58 0.00
200.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/14/2009	1,437.96	1,376.00 61.96 0.00
200.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 04/29/2009	1,469.88	1,376.00 93.88 0.00
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/04/2009	1,109.49	1,032.00 77.49 0.00
300.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/05/2009	2,229.66	2,064.00 165.66 0.00
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/07/2009	1,115.97	1,032.00 83.97 0.00
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/08/2009	1,114.13	1,032.00 82.13 0.00
150.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/28/2009	1,123.47	1,032.00 91.47 0.00
200.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 05/29/2009	1,502.80	1,376.00 126.80 0.00
100.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 06/10/2009	747.98	688.00 59.98 0.00
24.0000	01881E101	ALLIANCEBERNSTEIN INCOME FUND INC	10/22/2008 06/10/2009	179.21	165.12 14.09 0.00
100.0000	02672T109	AMERICAN INCOME FUND INC.	10/15/2008 01/12/2009	611.99	528.00 83.99 0.00

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2009 Tax Information Statement

Page 8 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ Corrected

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

(Box 5)		(Box 1b)	(Box 7)	(Box 2)		(Box 1a)	(Box 4)	
Number	Shares	Description	Date	Acquired	Sale	Stocks	Position	Other Basis
4075.0000	038798104	ARCADE ACQUISITION CORP	VARIOUS	05/22/2009		32,185.81	28,843.54	3,342.27
2.0000	09255H808	BLACKROCK 4.136% AUCTION RT	10/30/2008	01/02/2009		50,000.00	44,500.00	5,500.00
1.0000	09255H808	BLACKROCK 4.136% AUCTION RT	10/30/2008	03/27/2009		25,000.00	22,250.00	2,750.00
1.0000	09255H808	BLACKROCK 4.136% AUCTION RT	10/30/2008	04/24/2009		25,000.00	22,250.00	2,750.00
1.0000	09255C304	BLACKROCK MUN NY 0.426% AUCTION RT	03/10/2009	07/06/2009		25,000.00	18,750.00	6,250.00
3.0000	101541308	BOULDER TOTAL RETURN FD INC	01/09/2009	11/18/2009		252,000.00	198,347.10	53,652.90
2.0000	128125309	CALAMOS STRAT 1.770% AUCTION RT	10/20/2008	06/24/2009		50,000.00	43,000.00	7,000.00
1.0000	128125606	CALAMOS STRAT 4.734% AUCTION RT	10/20/2008	06/29/2009		25,000.00	21,500.00	3,500.00
500.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/04/2009		4,794.97	3,890.00	804.97
400.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/06/2009		3,890.30	3,112.00	778.30
1000.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/09/2009		9,735.85	7,780.00	1,955.85
600.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/10/2009		5,872.17	4,668.00	1,204.17
200.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/11/2009		1,949.99	1,556.00	393.99
400.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/12/2009		3,879.98	3,112.00	767.98
200.0000	23338M106	DWS MUN INCOME TR	10/21/2008	02/13/2009		1,934.45	1,556.00	378.45
200.0000	23338M106	DWS MUN INCOME TR	10/21/2008	03/18/2009		1,858.11	1,556.00	302.11
300.0000	23338M106	DWS MUN INCOME TR	10/21/2008	03/23/2009		2,813.98	2,334.00	479.98
500.0000	23338M106	DWS MUN INCOME TR	10/21/2008	03/25/2009		4,694.37	3,890.00	804.37
300.0000	23338M106	DWS MUN INCOME TR	10/21/2008	03/27/2009		2,815.03	2,334.00	481.03

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2009 Tax Information Statement

Page 9 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected
☐ 2nd TIN notice

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

STAKES	CUSIP	DESCRIPTION	DATE OF SALE	QUANTITY	UNIT COST	PROCEEDS	COMMISSION	NET PROCEEDS	ADDITIONAL INFORMATION
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 3)	(Box 4)	(Box 5)	(Box 6)	(Box 7)
500.0000	23338M106	DWS MUN INCOME TR	VARIOUS	04/16/2009	4,785.48	3,936.93	848.55	0.00	
500.0000	23338M106	DWS MUN INCOME TR	10/24/2008	04/22/2009	4,909.87	4,124.65	785.22	0.00	
1500.0000	23338M106	DWS MUN INCOME TR	10/24/2008	04/23/2009	14,804.33	12,373.95	2,430.38	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	04/24/2009	1,977.95	1,649.86	328.09	0.00	
1000.0000	23338M106	DWS MUN INCOME TR	10/24/2008	04/27/2009	9,899.65	8,249.30	1,650.35	0.00	
1000.0000	23338M106	DWS MUN INCOME TR	10/24/2008	04/28/2009	9,891.55	8,249.30	1,642.25	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	05/04/2009	1,989.33	1,649.86	339.47	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/05/2009	2,073.81	1,649.86	423.95	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/08/2009	2,057.95	1,649.86	408.09	0.00	
800.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/09/2009	8,211.87	6,599.44	1,612.43	0.00	
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/10/2009	4,091.21	3,299.72	791.49	0.00	
600.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/11/2009	6,078.32	4,949.58	1,128.74	0.00	
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/12/2009	4,038.10	3,299.72	738.38	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/15/2009	1,993.95	1,649.86	344.09	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/16/2009	2,000.95	1,649.86	351.09	0.00	
100.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/23/2009	1,002.97	824.93	178.04	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	06/24/2009	2,005.95	1,649.86	356.09	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	07/28/2009	2,079.47	1,649.86	429.61	0.00	
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	07/29/2009	2,079.95	1,649.86	430.09	0.00	

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2009 Tax Information Statement

Page 10 of 43

Recipient's Name and Address
THE MILTON & TAMAR WALTZ FAM
FDN
5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Account Number:
Recipient's Tax ID number:
Payer's Federal ID number:
Questions?
☐ Corrected
☐ 2nd TIN notice

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number (Box 5)	Box 1b)	Description (Box 7)	Date (Box 1a)	Amount (Box 2)	Other (Box 4)
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	3,299.72
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	916.17
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	464.08
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	464.09
600.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
100.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	948.46
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	1,441.34
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	242.04
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	937.57
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	507.42
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	502.08
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	510.90
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	518.12
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	518.08
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	510.08
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	0.00
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	520.08
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	597.08
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	606.68
200.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	608.62
500.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	1,588.38
100.0000	23338M106	DWS MUN INCOME TR	10/24/2008	8,215.89	310.54

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2009 Tax Information Statement

Page 11 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Multiple Shares	CUSSIP	Destination	Date Acquired	Date Sold	Stops	Cost	Net Gain or Loss	Federal Income Tax
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)			
400.0000	23338M106	DWS MUN INCOME TR	10/24/2008	09/14/2009	4,575.89	3,299.72	1,276.17	0.00
600.0000	23338M106	DWS MUN INCOME TR	10/24/2008	09/14/2009	8,861.31	4,949.58	1,911.73	0.00
900.0000	23338M106	DWS MUN INCOME TR	10/24/2008	09/15/2009	10,344.60	7,424.37	2,920.23	0.00
29377.2030	27826L249	EATON VANCE NATL MUNICIPAL	02/13/2009	05/08/2009	257,050.53	250,000.00	7,050.53	0.00
8300.0000	29365R108	ENTERPRISE ACQUISITION CORP	04/21/2009	11/10/2009	82,820.84	80,056.82	2,764.02	0.00
200.0000	29365R108	ENTERPRISE ACQUISITION CORP	04/23/2009	11/10/2009	1,995.88	1,928.00	67.88	0.00
100.0000	29365R108	ENTERPRISE ACQUISITION CORP	04/20/2009	11/10/2009	997.84	962.00	35.84	0.00
1.0000	338478605	F C CLAYMORE 4.19% AUCTION RT	12/31/2008	07/13/2009	25,000.00	17,500.00	7,500.00	0.00
4.0000	338478308	F C CLAYMORE 4.7% AUCTION RT	VARIOUS	07/15/2009	100,000.00	79,750.00	20,250.00	0.00
500.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/15/2008	06/11/2009	6,418.03	4,950.00	1,468.03	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/15/2008	06/16/2009	1,291.97	990.00	301.97	0.00
500.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/15/2008	06/24/2009	6,427.33	4,950.00	1,477.33	0.00
500.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	06/25/2009	6,485.23	4,898.95	1,586.28	0.00
900.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	06/26/2009	11,726.70	8,818.11	2,908.59	0.00
400.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	06/29/2009	5,239.10	3,919.16	1,319.94	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	06/30/2009	2,635.93	1,959.58	676.35	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/01/2009	2,639.93	1,959.58	680.35	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/02/2009	2,649.23	1,959.58	689.65	0.00
500.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/02/2009	6,637.33	4,898.95	1,738.38	0.00

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2009 Tax Information Statement

Page 12 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

(877)209-7529

☐ Corrected ☐ 2nd TIN notice

Number (Box 5)	Shares (Box 1b)	Description (Box 7)	Date Acquired	Date Sold	Cost Basis (Box 2)	Net Basis (Box 4)	Capital Gains (Box 6)
250.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/02/2009	3,312.41	2,449.48	862.93
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/02/2009	1,340.97	979.79	361.18
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/16/2008	07/13/2009	1,325.96	979.79	346.17
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/14/2009	3,968.03	3,033.00	935.03
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/14/2009	2,655.93	2,006.39	649.54
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/15/2009	2,680.35	2,022.00	658.35
1000.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/15/2009	13,406.15	3,765.26	9,640.89
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/15/2009	4,025.18	3,033.00	992.18
700.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/16/2009	9,444.85	7,077.00	2,367.85
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	10/22/2008	07/16/2009	1,349.97	1,011.00	338.97
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/16/2009	2,698.35	1,977.00	721.35
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	VARIOUS	07/17/2009	1,351.35	984.04	367.31
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/17/2009	2,706.48	2,094.16	612.32
500.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/17/2009	6,775.58	5,235.40	1,540.18
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/20/2009	4,098.26	3,141.24	957.02
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/20/2009	1,364.96	1,047.08	317.88
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/20/2009	1,366.96	1,047.08	319.88
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/21/2009	1,356.96	1,047.08	309.88
400.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/21/2009	5,415.87	4,188.32	1,227.55

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2009 Tax Information Statement

Page 13 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

(Box 5)		(Box 1b)	(Box 7)		(Box 1a)		(Box 2)		(Box 4)	
Number	Code	Description	Date Acquired	Disposition	Stocks	Bonds	Other	Gain or Loss	Federal Income Tax	Other
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/21/2009	1,350.08		1,047.08	303.00	0.00	0.00
400.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/22/2009	5,419.39		4,188.32	1,231.07	0.00	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/22/2009	1,356.44		1,047.08	309.36	0.00	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/22/2009	2,711.10		2,094.16	616.94	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/23/2009	4,100.90		3,141.24	959.66	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/23/2009	4,088.90		3,141.24	947.66	0.00	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/23/2009	1,362.97		1,047.08	315.89	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/23/2009	4,100.15		3,141.24	958.91	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/24/2009	4,103.90		3,141.24	962.66	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/24/2009	4,103.90		3,141.24	962.66	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	07/24/2009	4,113.35		3,141.24	972.11	0.00	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/03/2009	2,769.82		2,094.16	675.66	0.00	0.00
400.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/03/2009	5,543.38		4,188.32	1,355.06	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/04/2009	4,162.49		3,141.24	1,021.25	0.00	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/04/2009	4,163.15		3,141.24	1,021.91	0.00	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/05/2009	2,781.00		2,094.16	686.84	0.00	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/05/2009	1,391.16		1,047.08	344.08	0.00	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/06/2009	1,398.96		1,047.08	351.88	0.00	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/06/2009	1,398.96		1,047.08	351.88	0.00	0.00

This is Important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 14 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Shares	USIP	Description	Acquired	Sale	Stocks	Bonds	Other	Box 4
(Box 5)	(Box 1b)	(Box 7)	(Box 1e)	(Box 2)	(Box 3)	(Box 3)	(Box 3)	(Box 4)
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/10/2009	1,395.96	1,047.08	348.88	0.00
100.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/11/2009	1,402.74	1,047.08	355.66	0.00
300.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/11/2009	4,212.08	3,141.24	1,070.84	0.00
200.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/12/2009	2,827.94	2,094.16	733.78	0.00
450.0000	337319107	FIRST TRUST ABERDEEN GLOBAL	02/18/2009	08/13/2009	6,401.32	4,711.86	1,689.46	0.00
900.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	10/15/2008	01/02/2009	7,581.56	6,174.27	1,407.29	0.00
1800.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	10/15/2008	04/13/2009	13,122.93	12,348.54	774.39	0.00
1200.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	10/15/2008	04/14/2009	9,006.13	8,232.36	773.77	0.00
1800.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	10/15/2008	04/15/2009	13,424.78	12,348.54	1,076.24	0.00
5000.0000	338478100	FLAH & CRUM/CLAYTMORE PFD S I	10/15/2008	04/17/2009	38,144.01	33,373.66	4,770.35	0.00
10750.0000	371851104	GENEVA ACQUISITION CORP	VARIOUS	02/17/2009	64,055.57	61,131.75	2,923.82	0.00
5100.0000	378983100	GLOBAL CONSUMER ACQUISITION	06/30/2009	10/14/2009	50,566.50	49,521.00	1,045.50	0.00
2700.0000	378983100	GLOBAL CONSUMER ACQUISITION	07/01/2009	10/14/2009	26,770.50	26,217.00	553.50	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	10/15/2008	07/06/2009	1,231.47	1,048.50	182.97	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	10/15/2008	08/04/2009	1,291.11	1,048.50	242.61	0.00
200.0000	552727109	M F S CHARTER INCOME TR SBI	10/15/2008	08/04/2009	1,716.48	1,388.00	318.48	0.00
150.0000	552727109	M F S CHARTER INCOME TR SBI	10/15/2008	08/05/2009	1,289.97	1,048.50	241.47	0.00
1600.0000	55273C107	M F S INTER INCOME TR SBI	10/15/2008	08/25/2009	10,527.73	9,312.00	1,215.73	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	10/15/2008	09/22/2009	3,988.76	3,492.00	496.76	0.00

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2009 Tax Information Statement

Page 15 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?
☐ Corrected

Recipient's Name and Address:
 THE MILTON & TAMAR WALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address:
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number (Box 5)	Description (Box 7)	Date (Box 1a)	Stocks (Box 2)	Capital Gains (Box 4)
3200.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/22/2009	21,219.29	18,624.00 2,595.29 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/23/2009	1,325.97	1,164.00 161.97 0.00
400.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/23/2009	2,652.37	2,328.00 324.37 0.00
1000.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/25/2009	6,739.63	5,820.00 919.63 0.00
400.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/25/2009	2,703.93	2,328.00 375.93 0.00
600.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/29/2009	4,085.90	3,492.00 593.90 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/29/2009	1,362.51	1,164.00 198.51 0.00
1800.0000	55273C107 M F S INTER INCOME TR SBI	10/15/2008 09/30/2009	12,276.59	10,476.00 1,800.59 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/16/2008 10/01/2009	1,361.13	1,144.00 217.13 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/16/2008 10/01/2009	1,361.64	1,144.00 217.64 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/16/2008 10/01/2009	1,360.15	1,144.00 216.15 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/16/2008 10/01/2009	1,363.97	1,144.00 219.97 0.00
200.0000	55273C107 M F S INTER INCOME TR SBI	10/16/2008 10/01/2009	1,363.96	1,144.00 219.96 0.00
600.0000	55273C107 M F S INTER INCOME TR SBI	10/21/2008 10/02/2009	4,061.90	3,546.00 515.90 0.00
1200.0000	55273C107 M F S INTER INCOME TR SBI	10/21/2008 10/02/2009	8,112.27	7,092.00 1,020.27 0.00
2600.0000	55273C107 M F S INTER INCOME TR SBI	10/21/2008 10/02/2009	17,627.55	8,457.65 9,169.90 0.00
3200.0000	55273C107 M F S INTER INCOME TR SBI	10/21/2008 10/05/2009	21,695.45	18,912.00 2,783.45 0.00
800.0000	55273C107 M F S INTER INCOME TR SBI	VARIOUS 10/06/2009	5,431.87	4,723.25 708.62 0.00
600.0000	55273C107 M F S INTER INCOME TR SBI	10/22/2008 10/06/2009	4,070.72	3,540.00 530.72 0.00

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2009 Tax Information Statement

Page 16 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address:
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-18 15433

(877)209-7529

☐ 2nd TIN notice

☐ Corrected

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number (Box 5)	State (Box 1b)	Description (Box 7)	Date of Distribution (Box 2)	Amount (Box 1a)	Cost Basis (Box 4)	Capital Gain (Box 4)
600.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	4,073.90	3,540.00	533.90
1200.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	8,144.32	7,080.00	1,064.32
2200.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	14,849.62	12,980.00	1,869.62
1600.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10,815.88	9,440.00	1,375.88
200.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	1,351.97	1,180.00	171.97
600.0000	552737108	M F S MULTIMARKET INCOME TR SBI	10/15/2008	3,209.99	2,784.00	425.99
6900.0000	662316108	NORTH SHORE ACQUISITION CORP	VARIOUS	53,971.79	52,722.00	1,249.79
6100.0000	629415100	NTR ACQUISITION CO	VARIOUS	61,174.46	58,815.34	2,359.12
100.0000	67073C104	NUVEEN GLOBAL GOVT ENHANCED INCOME	10/22/2008	1,682.88	1,524.05	158.83
100.0000	67073C104	NUVEEN GLOBAL GOVT ENHANCED INCOME	10/22/2008	1,689.96	1,524.05	165.91
100.0000	67073C104	NUVEEN GLOBAL GOVT ENHANCED INCOME	10/22/2008	1,685.95	1,524.05	161.90
100.0000	67073C104	NUVEEN GLOBAL GOVT ENHANCED INCOME	10/22/2008	1,696.12	1,524.05	172.07
100.0000	67073C104	NUVEEN GLOBAL GOVT ENHANCED INCOME	10/22/2008	1,695.96	1,524.05	171.91
1.0000	67062P207	NUVEEN PERF PLUS 7.15% AUCTION RT	10/24/2008	25,000.00	22,625.00	2,375.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC	10/20/2008	2,436.05	2,084.00	352.05

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2009 Tax Information Statement

Page 17 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713, TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number (Box 5)	(Box 1b)	(Box 7)	Description of Sale or Disposition	Date of Acquisition	Date of Sale or Disposition	Cost or Other Basis	Capital Gain or Loss	Net Income	(Box 4)
500.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	01/30/2009	6,059.97	5,210.00	849.97	0.00
400.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	02/03/2009	4,866.89	4,168.00	698.89	0.00
500.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	03/25/2009	5,988.12	5,210.00	778.12	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	03/27/2009	2,399.44	2,084.00	315.44	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	04/16/2009	2,401.88	2,084.00	317.88	0.00
300.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	04/28/2009	3,683.51	3,126.00	557.51	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	04/30/2009	2,466.11	2,084.00	382.11	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	05/01/2009	2,504.40	2,084.00	420.40	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	05/04/2009	2,504.48	2,084.00	420.48	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	05/05/2009	2,507.77	2,084.00	423.77	0.00
100.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	05/08/2009	1,279.30	1,042.00	237.30	0.00
200.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC		10/20/2008	06/25/2009	2,504.20	2,084.00	420.20	0.00

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2009 Tax Information Statement

Page 18 of 43

Recipient's Name and Address

THE MILTON & TAMAR MALTZ FAM
FDN
5500 MILITARY TRAIL SUITE 22-367
JUPITER, FL 33458-2869

Account Number:

Recipient's Tax ID number:

41-1818433

Payer's Federal ID number:

(877)209-7529

Questions?

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address
U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
P O BOX 64713; TRUST TAX SERVICES
ST. PAUL, MN 55164-0713

Number (Box 5)	Symbol (Box 1b)	Description (Box 7)	Date (Box 1a)	Amount (Box 2)	Stocks (Box 3)	Bonds (Box 4)	Total (Box 4)
100.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC	10/20/2008 07/01/2009	1,272.98	1,042.00	230.98	0.00
100.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC	10/20/2008 07/07/2009	1,274.54	1,042.00	232.54	0.00
100.0000	67062P108	NUVEEN PERFORMANCE PLUS MUN FD INC	10/20/2008 07/15/2009	1,283.85	1,042.00	241.85	0.00
900.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/03/2009	11,026.92	9,431.64	1,595.28	0.00
900.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/04/2009	11,079.75	9,431.64	1,648.11	0.00
2000.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/05/2009	24,758.28	20,959.20	3,799.06	0.00
600.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/09/2009	7,520.96	6,287.76	1,233.20	0.00
1100.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/10/2009	13,758.31	11,527.58	2,228.75	0.00
600.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/11/2009	7,450.76	6,287.76	1,163.00	0.00
200.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 02/12/2009	2,487.99	2,095.92	392.07	0.00
800.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 03/19/2009	9,551.94	8,383.68	1,168.26	0.00
914.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 03/23/2009	10,986.21	9,578.35	1,407.86	0.00
400.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 03/27/2009	4,828.25	4,191.84	636.41	0.00
500.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 04/03/2009	6,054.98	5,239.80	815.16	0.00
436.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 04/07/2009	5,305.02	4,569.11	735.91	0.00
400.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 04/13/2009	4,829.19	4,191.84	637.35	0.00
250.0000	670977107	NUVEEN QUALITY INCOME MUN FD INC	12/26/2008 04/14/2009	3,006.42	2,619.90	386.52	0.00
1.0000	670718306	NUVEEN REAL ESTA 3.30% AUCTION RT	12/31/2008 09/23/2009	25,000.00	17,500.00	7,500.00	0.00

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2009 Tax Information Statement

Page 19 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

4 1-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number (Box 5)	Description (Box 1b)	Date (Box 1a)	Amount (Box 2)	Gain or Loss (Box 4)
2.0000	722018200 PIMCO CORP OPPTY 3.03% AUCTION RT	10/20/2008 03/17/2009	50,000.00	43,000.00 7,000.00 0.00
1.0000	722018200 PIMCO CORP OPPTY 3.03% AUCTION RT	10/20/2008 03/31/2009	25,000.00	21,500.00 3,500.00 0.00
1.0000	722014305 PIMCO HIGH INCOM 3.10% AUCTION RT	10/20/2008 03/18/2009	25,000.00	21,500.00 3,500.00 0.00
1.0000	722014305 PIMCO HIGH INCOM 3.10% AUCTION RT	10/20/2008 04/01/2009	25,000.00	21,500.00 3,500.00 0.00
3.0000	722014503 PIMCO HIGH INCOME 3.04% AUCTION RT	10/30/2008 03/20/2009	75,000.00	66,750.00 8,250.00 0.00
1.0000	722014503 PIMCO HIGH INCOME 3.04% AUCTION RT	10/30/2008 04/03/2009	25,000.00	22,250.00 2,750.00 0.00
6400.0000	74347T103 PROSPECT ACQUISITION CORP	04/22/2009 11/20/2009	63,413.32	61,248.00 2,165.32 0.00
1100.0000	74347T103 PROSPECT ACQUISITION CORP	04/21/2009 11/20/2009	10,899.18	10,516.00 383.16 0.00
7033.0000	861752103 STONE TAN CHINA ACQUISITION	VARIOUS 10/16/2009	58,145.27	55,232.22 913.05 0.00
5000.0000	861923100 STONELEIGH PARTNERS ACQUIS	04/28/2009 09/15/2009	40,200.49	39,750.00 450.49 0.00
15000.0000	861923100 STONELEIGH PARTNERS ACQUIS	04/29/2009 09/15/2009	120,601.45	119,100.00 1,501.45 0.00
20000.0000	861923100 STONELEIGH PARTNERS ACQUIS	10/01/2009	0.00	0.00 0.00 0.00
1725.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/02/2009	13,575.67	12,128.99 1,446.68 0.00
400.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/06/2009	3,173.98	2,812.52 361.46 0.00
575.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/07/2009	4,640.22	4,043.00 597.22 0.00
100.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/08/2009	807.00	703.13 103.87 0.00
175.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/22/2009	1,384.24	1,230.48 153.76 0.00
500.0000	880198106 TEMPLETON GLOBAL INCOME FD INC	10/15/2008 01/28/2009	3,979.99	3,515.65 464.34 0.00

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2009 Tax Information Statement

Page 20 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433

(877)209-7529

☐ 2nd TIN notice

☐ Corrected

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number	Description	Date Acquired	Cost	Market Price	Capital Gain	Dividend	Other	Net Capital Gain	Net Dividend	Net Other	Net Total
(Box 5)	(Box 1a)	(Box 1b)	(Box 2)	(Box 3)	(Box 4)	(Box 5)	(Box 6)	(Box 7)	(Box 8)	(Box 9)	(Box 10)
300.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	01/30/2009	2,420.99	2,109.39		311.60	0.00		0.00
500.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	02/04/2009	3,961.23	3,515.55		445.68	0.00		0.00
200.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	02/09/2009	1,575.99	1,408.26		167.73	0.00		0.00
500.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	02/11/2009	3,962.73	3,515.65		447.08	0.00		0.00
150.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	04/28/2009	1,187.97	1,054.69		133.28	0.00		0.00
2000.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	04/29/2009	15,834.39	14,062.60		1,771.79	0.00		0.00
600.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	04/30/2009	4,782.12	4,218.78		563.34	0.00		0.00
1200.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	05/04/2009	9,683.23	8,437.56		1,225.67	0.00		0.00
1600.0000	880198106	TEMPLETON GLOBAL INCOME FD INC	10/15/2008	05/06/2009	12,869.76	11,250.08		1,619.68	0.00		0.00
900000.0000	912828KT6	U S TREASURY NT 2.375% 3/31/16	VARIOUS	10/23/2009	869,519.57	859,727.47		9,792.10	0.00		0.00
380000.0000	912828FQ8	U S TREASURY NT 4.875% 8/15/16	10/15/2008	10/07/2009	430,810.16	400,810.93		29,999.23	0.00		0.00
5.0000	920913407	VAN KAMP HIGH IN 6.44% AUCTION RT	12/31/2008	10/09/2009	125,000.00	87,500.00		37,500.00	0.00		0.00
1025.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	01/06/2009	2,971.86	3,531.43		-559.77	0.00		0.00
1525.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	01/16/2009	4,513.97	5,254.07		-740.10	0.00		0.00
75.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	01/22/2009	201.75	258.40		-56.65	0.00		0.00
25.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	01/22/2009	67.25	86.13		-18.88	0.00		0.00
1025.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	01/26/2009	2,787.98	3,531.43		-743.45	0.00		0.00

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2009 Tax Information Statement

Page 21 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Corrected

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Number of Shares (Box 5)	Box 1b	Box 7	Date Acquired (Box 1a)	Box 2	Box 4
575.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008 01/26/2009	1,556.00	1,981.04 -425.04 0.00
600.0000	920961109	VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008 01/30/2009	1,645.79	2,087.18 -421.39 0.00
34602.0760	922031778	VANGUARD FIXED INC LT INVT GRADE FD	12/29/2008 10/26/2009	311,072.66	300,000.00 11,072.66 0.00
7164.5680	922031737	VANGUARD INFLATION PROT SEC ADM CL	02/13/2009 11/19/2009	182,194.96	165,000.00 17,194.96 0.00
26750.0000	922907860	VANGUARD LONG TRM TE FD ADM	11/05/2008 05/29/2009	285,690.00	270,977.50 14,712.50 0.00
30720.1580	922907860	VANGUARD LONG TRM TE FD ADM	VARIOUS 06/09/2009	324,097.67	329,022.50 -4,924.83 0.00
3000.0000	92644D100	VICTORY ACQUISITION CORP	04/08/2009 05/15/2009	30,000.00	29,820.00 180.00 0.00
100.0000	95766U107	WESTERN ASSET INFLATION MANAGEMENT	10/15/2008 02/12/2009	1,566.99	1,370.03 196.96 0.00
200.0000	95766U107	WESTERN ASSET INFLATION MANAGEMENT	10/15/2008 05/26/2009	3,155.92	2,740.06 415.86 0.00
4125.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008 01/02/2009	45,124.78	40,964.55 4,160.23 0.00
725.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008 01/13/2009	8,047.89	7,199.83 848.06 0.00
300.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008 01/15/2009	3,335.98	2,979.24 356.74 0.00
1375.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008 01/20/2009	15,411.60	13,654.85 1,756.75 0.00

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2009 Tax Information Statement

Page 22 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-10107-3
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Num of SALES	CUSIP	Description	Date Acquired	Date Sold	Cost Basis	Proceeds	Capital Gain/Loss	Dividend	Other Income
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 3)	(Box 4)	(Box 5)	(Box 6)	(Box 7)
425.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/21/2009	4,835.75	4,220.59	615.16	0.00	0.00
175.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/22/2009	1,970.49	1,737.89	232.60	0.00	0.00
1600.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/23/2009	18,004.86	15,889.28	2,115.58	0.00	0.00
375.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/28/2009	4,239.43	3,724.05	515.38	0.00	0.00
1400.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/27/2009	15,897.34	13,903.12	1,994.22	0.00	0.00
1000.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/28/2009	11,442.85	9,930.80	1,512.05	0.00	0.00
700.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	01/29/2009	7,997.46	6,951.56	1,045.90	0.00	0.00
600.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	02/02/2009	6,807.99	5,958.48	849.51	0.00	0.00
200.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	02/03/2009	2,275.99	1,986.16	289.83	0.00	0.00
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	02/04/2009	1,132.99	993.08	139.91	0.00	0.00
600.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	02/18/2009	6,871.53	5,825.97	1,045.56	0.00	0.00
300.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/15/2008	02/19/2009	3,434.99	2,979.24	455.75	0.00	0.00

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2009 Tax Information Statement

Page 23 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

41-1818433
 (877)209-7529

☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected

Number (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date (Box 1a)	Day (Box 2)	Stocks (Box 3)	Other (Box 4)	Federal (Box 5)
1100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	VARIOUS	02/20/2009	12,511.56	11,077.13	1,434.43
300.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	02/25/2009	3,329.90	3,038.00	293.90
400.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	02/26/2009	4,431.98	4,048.00	383.98
1100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	02/27/2009	12,196.30	11,132.00	1,064.30
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	03/02/2009	1,098.99	1,012.00	86.99
400.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	03/04/2009	4,442.08	4,048.00	394.08
250.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	03/05/2009	2,769.16	2,530.00	239.16
100.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	04/01/2009	1,153.99	1,012.00	141.99
200.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	04/03/2009	2,287.99	2,024.00	263.99
740.0000	95766Q106	WESTERN ASSET/CLAYMORE INFLATION	10/22/2008	04/08/2009	8,401.30	7,488.80	912.50
Total Short Term Sales Reported on 1099-B				5,825,173.34	5,262,991.12	562,182.22	0.00
Long Term 15% Sales Reported on 1099-B				20,394.00	17,922.00	2,472.00	0.00

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2009 Tax Information Statement

Page 24 of 43

Recipient's Name and Address
 THE MILTON & TAMAR MALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions? ☐ 2nd TIN notice

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number (Box 5)	USIP (Box 1b)	Destination (Box 7)	Date Acquired	Date Sold	Shares Bought	Shares Sold	Cost Basis	Net Gain Loss	Federal Income Tax
800.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10/26/2009	5,407.86	4,720.00	687.86	0.00	0.00
1000.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10/26/2009	6,749.83	5,900.00	849.83	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10/27/2009	2,699.94	2,360.00	339.94	0.00	0.00
300.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10/27/2009	2,024.95	1,770.00	254.95	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/22/2008	10/27/2009	2,699.93	2,360.00	339.93	0.00	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	VARIOUS	10/27/2009	4,049.90	3,501.26	548.64	0.00	0.00
1800.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	10/29/2009	12,221.69	10,434.06	1,787.63	0.00	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	10/29/2009	1,352.37	1,159.34	193.03	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	10/29/2009	2,703.94	2,318.68	385.26	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/10/2009	2,697.93	2,318.68	379.25	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/11/2009	2,703.02	2,318.68	384.34	0.00	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/16/2009	4,053.62	3,478.02	575.60	0.00	0.00
300.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/18/2009	2,030.95	1,739.01	291.94	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/19/2009	2,719.94	2,318.68	401.26	0.00	0.00
400.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/19/2009	2,712.74	2,318.68	394.06	0.00	0.00
600.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/20/2009	4,079.90	3,478.02	601.88	0.00	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/20/2009	1,359.97	1,159.34	200.63	0.00	0.00
1200.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/23/2009	8,159.80	6,956.04	1,203.76	0.00	0.00
200.0000	55273C107	M F S INTER INCOME TR SBI	10/24/2008	11/23/2009	1,359.96	1,159.34	200.62	0.00	0.00

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2009 Tax Information Statement

Page 25 of 43

Account Number:
 Recipient's Tax ID number:
 Payer's Federal ID number:
 Questions?

41-1818433
 (877)209-7529

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 THE MILTON & TAMAR WALTZ FAM
 FDN
 5500 MILITARY TRAIL SUITE 22-367
 JUPITER, FL 33458-2869

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

Number (Box 5)	Description (Box 1b)	Date (Box 2)	Amount (Box 3)	Amount (Box 4)	Amount (Box 4)
200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	1,359.97	1,159.34	200.63
1200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	8,159.80	6,956.04	1,203.76
200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	1,359.97	1,159.34	200.63
200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	1,359.96	1,159.34	200.62
600.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	4,073.90	3,478.02	595.88
400.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	2,719.94	2,318.68	401.26
2600.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	17,679.55	5,644.86	12,034.69
1600.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	10,879.73	9,274.72	1,605.01
400.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	2,719.66	2,318.68	400.98
1000.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	6,809.83	5,798.70	1,013.13
200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	1,357.97	1,159.34	198.63
400.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	2,723.94	2,318.68	405.26
600.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	4,085.90	3,478.02	607.88
200.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	1,361.96	1,159.34	202.62
400.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	2,723.94	2,318.68	405.26
300.0000	55273C107 M F S INTER INCOME TR SBI	10/24/2008	2,034.95	1,739.01	295.94
1150.0000	682316108 NORTH SHORE ACQUISITION CORP	VARIOUS	8,995.30	8,433.75	561.55
600.0000	920961109 VAN KAMPEN AMERN CAP SR INCOME TR	10/15/2008	2,492.93	2,067.18	425.75
Total Long Term 15% Sales Reported on 1099-B			175,081.44	141,629.55	33,451.89

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CHECKING ACCOUNT - BANK OF NEW YORK	193.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	193.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF NEW YORK	594,293.	0.	594,293.
FPA FUNDS	45,635.	0.	45,635.
ITHAN CREEK INVESTORS, LTD	102,264.	0.	102,264.
KARPUS INVESTMENTS	387,504.	0.	387,504.
PIMCO GMA	176,087.	16,145.	159,942.
SILCHESTER INTL INVESTORS	64,950.	0.	64,950.
STATE OF ISRAEL BOND	11,600.	0.	11,600.
WELLINGTON MANAGEMENT INVESTORS, LTD.	44,429.	0.	44,429.
TOTAL TO FM 990-PF, PART I, LN 4	1,426,762.	16,145.	1,410,617.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SILCHESTER INTL INVESTORS INC	-34,952.	-34,952.	
OZ OVERSEAS FUND	1,017,218.	1,017,218.	
PIMCO ABSOLUTE RETURN STRATEGY IV OFFSHORE FUND	488,566.	488,566.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,470,832.	1,470,832.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX & ADVISORY SERVICES	13,836.	13,836.		0.
TO FORM 990-PF, PG 1, LN 16B	13,836.	13,836.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	158,434.	158,434.		0.
REGISTERED AGENT - CT CORP	264.	264.		0.
TO FORM 990-PF, PG 1, LN 16C	158,698.	158,698.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	40,000.	0.		0.
OHIO TAXES	200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	40,200.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SILCHESTER INTL INV K-1	20,921.	20,921.		0.
REIMBURSED MANAGEMENT EXPENSES	55,947.	55,947.		0.
TO FORM 990-PF, PG 1, LN 23	76,868.	76,868.		0.

FORM 990-PF **U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS** **STATEMENT** **8**

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURIES - DIRECT	X		1,133,273.	1,140,482.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,133,273.	1,140,482.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,133,273.	1,140,482.

FORM 990-PF **CORPORATE STOCK** **STATEMENT** **9**

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF NEW YORK EQUITIES	3,844,931.	3,845,254.
KARPUS EQUITIES	5,253,068.	4,635,212.
FPA EQUITIES	2,000,000.	2,019,019.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,097,999.	10,499,485.

FORM 990-PF **CORPORATE BONDS** **STATEMENT** **10**

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF NEW YORK CORPORATE BONDS	743,583.	782,503.
BANK OF NEW YORK MASTER NOTES	15,140,805.	15,141,847.
BEAR STEARNS STRUCTURED NOTE	1,000,000.	1,075,000.
KARPUS FIXED INCOME	6,512,709.	7,039,375.
BEAR STEARNS CORPORATE BONDS	1,616,477.	1,649,234.
TOTAL TO FORM 990-PF, PART II, LINE 10C	25,013,574.	25,687,959.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STATE OF ISRAEL BONDS 5TH	COST	200,000.	201,933.
BANK OF NEW YORK MUTUAL FUNDS	COST	5,240,404.	5,353,988.
PARTNERSHIP INTERESTS	COST	16,195,716.	17,032,835.
TOTAL TO FORM 990-PF, PART II, LINE 13		21,636,120.	22,588,756.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 12

NAME OF CONTRIBUTOR

ADDRESS

DAVID MALTZ

5500 MILITARY TRAIL #22-367
JUPITER, FL 33458

JULIE KONIGSBERG

5500 MILITARY TRAIL #22-367
JUPITER, FL 33458

DANIEL MALTZ

5500 MILITARY TRAIL #22-367
JUPITER, FL 33458

MILTON S MALTZ

5500 MILITARY TRAIL #22-367
JUPITER, FL 33458

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MILTON S. MALTZ 5500 MILITARY TRAIL SUITE 22-367 JUNIPER, FL 33458	PRESIDENT 2.00	0.	0.	0.
TAMAR MALTZ 5500 MILITARY TRAIL SUITE 22-367 JUNIPER, FL 33458	SECRETARY 2.00	0.	0.	0.
JULIE KONIGSBERG 5500 MILITARY TRAIL SUITE 22-367 JUNIPER, FL 33458	VICE PRESIDENT 2.00	0.	0.	0.
DANIEL MALTZ 5500 MILITARY TRAIL SUITE 22-367 JUNIPER, FL 33458	VICE PRESIDENT 2.00	0.	0.	0.
DAVID MALTZ 5500 MILITARY TRAIL SUITE 22-367 JUNIPER, FL 33458	TREASURER 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 14

NAME OF MANAGER

MILTON S. MALTZ
TAMAR MALTZ
JULIE KONIGSBERG
DANIEL MALTZ
DAVID MALTZ