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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ROCK RIVER FOUNDATION, INC.		A Employer identification number 64-0838346
	C/O S.J. HAMMER		B Telephone number 212-382-0404
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	
	230 WEST 41ST STREET	1500	
	City or town, state, and ZIP code NEW YORK, NY 10036		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,116,481. (Part I, column (d) must be on cash basis.)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		500,000.			
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		26,612.	26,612.		STATEMENT 1
4 Dividends and interest from securities		47,059.	47,059.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<162,397.>			
b Gross sales price for all assets on line 6a		877,258.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		411,274.	73,671.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,250.	2,616.	0.	1,634.
c Other professional fees STMT 4		21,784.	21,784.	0.	0.
17 Interest					
18 Taxes STMT 5		1,597.	1,597.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		3,215.	1,118.	0.	2,097.
24 Total operating and administrative expenses. Add lines 13 through 23		30,846.	27,115.	0.	3,731.
25 Contributions, gifts, grants paid		591,858.			591,858.
26 Total expenses and disbursements. Add lines 24 and 25		622,704.	27,115.	0.	595,589.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<211,430.>			
b Net investment income (if negative, enter -0-)			46,556.		
c Adjusted net income (if negative, enter -0-)				0.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		327,350.	47,809.	47,809.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		578,465.	629,865.	624,065.
	b	Investments - corporate stock STMT 8		2,263,984.	2,154,630.	1,945,041.
	c	Investments - corporate bonds STMT 9		14,219.	14,314.	13,478.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 10)		0.	486,088.	486,088.	
16	Total assets (to be completed by all filers)		3,184,018.	3,332,706.	3,116,481.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		3,184,018.	3,332,706.	
30	Total net assets or fund balances		3,184,018.	3,332,706.		
31	Total liabilities and net assets/fund balances		3,184,018.	3,332,706.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,184,018.
2	Enter amount from Part I, line 27a	2	<211,430.>
3	Other increases not included in line 2 (itemize) ▶ PRIOR YEAR CASH VALUE ADJUSTMENT	3	360,118.
4	Add lines 1, 2, and 3	4	3,332,706.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,332,706.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, - 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 877,258.		1,039,655.	<162,397.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<162,397.>

2 Capital gain net income or (net capital loss)	2	<162,397.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	527,629.	2,181,085.	.241911
2007	475,962.	2,493,178.	.190906
2006	528,667.	2,026,101.	.260928
2005	119,196.	1,816,988.	.065601
2004	272,976.	1,663,461.	.164101

2 Total of line 1, column (d)	2	.923447
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.184689
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,306,595.
5 Multiply line 4 by line 3	5	426,003.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	466.
7 Add lines 5 and 6	7	426,469.
8 Enter qualifying distributions from Part XII, line 4	8	595,589.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	466.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	466.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	466.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	670.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	670.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	204.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	

Website address ► N/A

14 The books are in care of ► S.J. HAMMER Telephone no. ► 212-382-0404
 Located at ► 230 WEST 41ST STREET, STE. 1500,, NEW YORK, NY ZIP+4 ► 10036

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A
► ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MORGAN FREEMAN	DIR./PRES.			
ROUTE 1, BOX 207				
CHARLESTON, MS 38921	0.00	0.	0.	0.
STUART J. HAMMER, MBA, CPA	DIR./TREAS.			
230 WEST 41ST STREET, STE. 1500				
NEW YORK, NY 10036	0.00	0.	0.	0.
OTTEY SHERMAN	DIR./V.PRES.			
P.O. BOX 8				
CHARLESON, MS 38921	0.00	0.	0.	0.
WILLIAM LUCKETT	DIR./SECY			
333 WESTOVER DRIVE				
CLARKSDALE, MS 38614	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,181,508.
b	Average of monthly cash balances	1b	160,213.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,341,721.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,341,721.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,126.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,306,595.
6	Minimum investment return. Enter 5% of line 5	6	115,330.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	115,330.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	466.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	466.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	114,864.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	114,864.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	114,864.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	595,589.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	595,589.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	466.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	595,123.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				114,864.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	191,903.			
b From 2005	96,974.			
c From 2006	430,684.			
d From 2007	358,355.			
e From 2008	419,383.			
f Total of lines 3a through e	1,497,299.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	595,589.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	595,589.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	114,864.			114,864.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,978,024.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	77,039.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	1,900,985.			
10 Analysis of line 9:				
a Excess from 2005	96,974.			
b Excess from 2006	430,684.			
c Excess from 2007	358,355.			
d Excess from 2008	419,383.			
e Excess from 2009	595,589.			

** SEE STATEMENT 11

Form 990-PF (2009)

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

2009.03040 ROCK RIVER FOUNDATION, INC. 91860 1

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i>					
SEE STATEMENT 13					
Total				► 3a	591,858.
b <i>Approved for future payment</i>					
NONE					
Total				► 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
1a(1)			X
1a(2)			X
1b(1)			X
1b(2)			X
1b(3)			X
1b(4)			X
1b(5)			X
1b(6)			X
1c			X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee 		Date <u>5/12/10</u>	Title TRUSTEE
	Preparer's signature 	Date <u>5/12/10</u>	Check if self-employed ☐	Preparer's identifying number <u>123-34-3444</u>
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code ADELMAN KATZ & MOND LLP 230 WEST 41ST - SUITE 1500 NEW YORK, NY 10036-4015		EIN 12-3456789	
	Phone no (212) 382-0404		Taxpayer's identifying number 123-45-6789	

Form **990-PF** (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

ROCK RIVER FOUNDATION, INC.
C/O S.J. HAMMER

Employer identification number

64-0838346

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

ROCK RIVER FOUNDATION, INC.

C/O S.J. HAMMER

Employer identification number

64-0838346

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	M-THREE, INC. C/O S.J. HAMMER; NEW YORK, NY 10036	\$ 500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a WELLS FARGO SEE ATTACHED		P	VARIOUS	VARIOUS
b MORGAN KEENAN 5717 SEE ATTACHED		P	VARIOUS	VARIOUS
c MORGAN KEENAN 5717 SEE ATTACHED		P	VARIOUS	VARIOUS
d MORGAN KEENAN 0276 SEE ATTACHED		P	VARIOUS	VARIOUS
e MORGAN KEENAN 0276 SEE ATTACHED		P	VARIOUS	VARIOUS
f M STANLEY S BARNEY 1921 SEE ATTACHED		P	VARIOUS	VARIOUS
g M STANLEY S BARNEY 1921 SEE ATTACHED		P	VARIOUS	VARIOUS
h M STANLEY S BARNEY 1924 SEE ATTACHED		P	VARIOUS	VARIOUS
i M STANLEY S BARNEY 1924 SEE ATTACHED		P	VARIOUS	VARIOUS
j GROWTH FUND OF AMERICA MSSB 1925C		P	01/26/07	02/10/09
k M STANLEY S BARNEY 1928 SEE ATTACHED		P	VARIOUS	VARIOUS
l M STANLEY S BARNEY 1928 SEE ATTACHED		P	VARIOUS	VARIOUS
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,625.		7,316.	<2,691.>
b 202,000.		200,740.	1,260.
c 100,000.		100,244.	<244.>
d 101,861.		128,535.	<26,674.>
e 43,278.		42,584.	694.
f 31,975.		34,734.	<2,759.>
g 25,000.		20,023.	4,977.
h 100,125.		132,166.	<32,041.>
i 85,983.		107,228.	<21,245.>
j 30,000.		50,263.	<20,263.>
k 11,745.		19,047.	<7,302.>
l 140,666.		196,775.	<56,109.>
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,691.>
b			1,260.
c			<244.>
d			<26,674.>
e			694.
f			<2,759.>
g			4,977.
h			<32,041.>
i			<21,245.>
j			<20,263.>
k			<7,302.>
l			<56,109.>
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<162,397.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN KEEGAN	19,858.
SMITH BARNEY	6,754.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	26,612.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN KEEGAN	6,470.	0.	6,470.
SMITH BARNEY	30,109.	0.	30,109.
WELLS FARGO	10,480.	0.	10,480.
TOTAL TO FM 990-PF, PART I, LN 4	47,059.	0.	47,059.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,250.	2,616.	0.	1,634.
TO FORM 990-PF, PG 1, LN 16B	4,250.	2,616.	0.	1,634.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	21,784.	21,784.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	21,784.	21,784.	0.	0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX ON INVESTMENTS	1,597.	1,597.	0.	0.
TO FORM 990-PF, PG 1, LN 18	1,597.	1,597.	0.	0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE FEES	113.	113.	0.	0.
DIRECTORS & OFFICERS				
INSURANCE	2,097.	0.	0.	2,097.
SUNDRY	1,005.	1,005.	0.	0.
TO FORM 990-PF, PG 1, LN 23	3,215.	1,118.	0.	2,097.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		629,865.	624,065.
TOTAL U.S. GOVERNMENT OBLIGATIONS			629,865.	624,065.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			629,865.	624,065.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
	2,154,630.	1,945,041.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,154,630.	1,945,041.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
	14,314.	13,478.
TOTAL TO FORM 990-PF, PART II, LINE 10C	14,314.	13,478.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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<u>DESCRIPTION</u>	<u>BEGINNING OF YR BOOK VALUE</u>	<u>END OF YEAR BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CASH VALUE OF LIFE POLICY	0.	486,088.	486,088.
TO FORM 990-PF, PART II, LINE 15	0.	486,088.	486,088.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 11

ELECTION PURSUANT TO REG. 53.4942 (A)-3 (D) (2)

FOUNDATION HEREBY ELECTS UNDER REG. 53.4942 (A)-3 (2) TO TREAT ITS
QUALIFYING
DISTRIBUTIONS FOR 2009 TO BE MADE OUT OF CORPUS.

FORM 990-PF.

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

STUART J. HAMMER CPA
230 WEST 41 ST 15TH FL
NEW YORK, NY 10036

TELEPHONE NUMBER

(212)382-0404

FORM AND CONTENT OF APPLICATIONS

NO SET FORM

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE MAIN PURPOSE OF THIS FOUNDATION IS TO PROVIDE AID TO EDUCATION IN
TALLAHATCHIE COUNTY AND THE REST OF THE STATE OF MISSISSIPPI, QUALIFIED
CHARITIES UNDER SEC 501(C)(3), PLUS THE STATE OF MISSISSIPPI OR IT'S TAX
EXEMPT MUNICIPALITIES AND TO PROMOTE NONPROFIT REGIONAL THEATRE COMPANIES.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 13

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BOYS AND GIRLS CLUB OF MS DELTA 128 GREEN ST GRENADA, MS 38901	ENABLING THE EDUCATIONAL PROCESS FOR YOUTH		12,500.
CHARLESTON ARTS AND REVITALIZATION EFFORT, INC 12 HARGETT DRIVE CHARLESTON, MS 38921	PRODUCTION OF ART EXHIBITS		15,300.
CLARKSDALE DOWNTOWN DEVELOPMENT ASSOC PO BOX 1030 CLARKSDALE, MS 38614	FUNDING OF JUKE JOINT FESTIVAL		5,000.
COAHOMA COMM COLLEGE SCHOLARSHIP FUND 3240 FRIARS POINT ROAD CLARKSDALE, MS 38614	CONTRIBUTION TO ALLIED HEALTH PROGRAMS		25,000.
CROSSTIE ARTS COUNCIL PO BOX 1064; 434 MCKNIGHT RD CLEVELAND, MS 38732	ASSIST YOUTH IN ARTISTIC AND EDUCATIONAL DEVELOPMENT		7,500.
DELTA ARTS ALLIANCE INC 4312 HWY 49 DREW, MS 38737	ASSISTANCE TO PLACE QUALITY ART EXPERIENCE IN PUBLIC		7,250.
DELTA BLUES FOUNDATION, INC. 1 BLUES ALLEY CLARKSDALE, MS 38614	SUPPORT DELTA BLUES MUSIC		7,600.
EAST TALLAHATCHIE SCHOOL DISTRICT 411 E. CHESTNUT ST. CHARLESTON, MS 38921	READING PROGRAM, TRAVEL FOR STUDENTS		36,229.

MISSISSIPPI COUNCIL ON ECONOMIC EDUCATION 1701 N STATE STREET JACKSON, MS 39211	ASSIST EAST & WEST TALLAHATCHIE SCHOOL DISTRICT	15,000.
MISSISSIPPI FESTIVAL FOUNDATION PO BOX 5343 JACKSON, MS 392025343	TICKETS AND TRANSPORT FOR STUDENTS TO ALVIN AILEY AM	49,000.
MISSISSIPPI HOBY PO BOX 2717 JACKSON, MS 39207	PROVIDE SCHOLARSHIPS FOR 20 STUDENTS	13,000.
NEW STAGE THEATRE 1100 CARLISLE STREET JACKSON, MS 392025343	ASSIST IN PRODUCTION OF 23 EDUCATIONAL PROGRAMS IN M	20,000.
NORTHWEST COMMUNITY COLLEGE FOUNDATION 4975 HIGHWAY 51 NORTH SENATOBIA, MS 38668	SCHOLARSHIP FUNDS	35,000.
SUNFLOWER RIVER BLUES ASSOCIATION PO BOX 1562 CLARKSDALE, MS 38614	EXPAND ARTISTIC AND EDUCATIONAL OPPORTUNITIES	5,000.
TEACH FOR AMERICA 299 SOUTH 9TH ST; SUITE 212 OXFORD, MS 38655	ASSIST WITH FUND RAISING	50,000.
TUTWILER COMMUNITY EDUCATION CENTER PO BOX 448 TUTWILER, MS 38963	FUNDING FOR SUMMER PROGRAMS	7,500.
WEST TALLAHATCHIE SCHOOL DISTRICT 1096 WEST FRIENDSHIP DR.; POB 189 SUMNER, MS 38957	SPONSOR EDUCATIONAL FIELD TRIPS TO NYC	14,000.
4-H FOUNDATION OF MISSISSIPPI BOX 9601 MISSISSIPPI STATE, MS 39762	FOR "SET" PROGRAM	25,000.

COMMUNITIES IN SCHOOLS OF GREENWOOD LEFLORE, INC PO BOX 303 GREENWOOD, MS 38935	ASSIST SCHOOLS IN COMMUNITIES OF GREENWOOD LEFLORE	10,000.
DELTA STATE UNIVERSITY FOUNDATION PO BOX 3141 CLEVELAND, MS 38733	MATCHING GIFTS	21,000.
DISTRICT III PO BOX 1481 TUNICA, MS 38676	PROMOTE "ONE WAY TO PLAY"	2,500.
JONESTOWN FAMILY CENTER 401 MAIN STREET JONESTOWN, MS 38639	EDUCATION FOR MISSISSIPPI STUDENTS	10,000.
MISSISSIPPI CHILDRENS MUSEUM 3010 LAKE LAND CV; SUITE J FLOWOOD, MS 39232	EDUCATION FOR MISSISSIPPI STUDENTS	25,000.
MISSISSIPPI SCHOOL FOR MATHEMATICS 1100 COLLEGE ST. MUW-190 COLUMBUS, MS 39701	EDUCATION FOR MISSISSIPPI STUDENTS	15,000.
OXFORD FILM FESTIVAL PO BOX 727 OXFORD, MS 38655	EDUCATION FOR MISSISSIPPI STUDENTS	1,000.
PINETOP PERKINS FOUNDATION PO BOX 1000 CLARKSDALE, MS 38614	PIANO MASTER WORKSHOP	3,500.
RUST COLLEGE FOUNDATION HOLY SPRINGS HOLY SPRINGS, MS 39635	EDUCATION FOR MISSISSIPPI STUDENTS	25,000.
TALLAHATCHIE COUNTY LIBRARY 102 WALNUT ST CHARLESTON, MS 38921	UPGRADE LIBRARY SYSTEM	10,000.

UNIVERSITY OF MS SCHOOL OF EDUCATION PO BOX 1848 UNIVERSITY, MS 38677	ASSIST TEACHERS	26,000.
UNIVERSITY OF MS BARKSDALE READING INSTITUTE 403B TOWNE CENTER BOULEVARD RIDGELAND, MS 39157	EDUCATION FOR MISSISSIPPI STUDENTS	25,000.
UNIVERSITY OF MS FOUNDATION PO BOX 50 CHARLESTON, MS 38921	SCHOLARSHIP FUNDS	48,000.
YOCONO INTERNATIONAL FOLK FESTIVAL PO BOX 307 OXFORD, MS 38655	FESTIVAL OF CHARLESTON AND CLARKSDALE	17,479.
YOKNAPATAWPHA ARTS COUNCIL 413 SOUTH 14TH ST OXFORD, MS 38655	ART EDUCATION	2,500.
TOTAL TO FORM 990-PF, PART XV, LINE 3A		591,858.

Ref: 00000186 00015763

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160001800	BOSTON PROPERTIES INC A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 5.78					
160006200	*** NESTLE S A SPONSORED ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		24.31				
160008600	*** TOTAL S.A SPONS ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		63.03				
160009200	VORNADO REALTY TR SBI A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	6.03					
Totals		\$ 11.81	\$ 87.34				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	23	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/09	10/27/09	\$ 993.07	\$ 820.72		\$ 172.35



Ref: 00000186 00015764

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	5	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/09	12/08/09	\$ 234.99	\$ 178.42		\$ 56.57
125000030	14	INVECO LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/31/09	11/20/09	310.51	197.25		113.26
125000040	9	ACE LIMITED	09/18/08	02/11/09	389.78	517.34	(127.56)	
125000050	16	ACE LIMITED	09/18/08	04/29/09	752.14	919.71	(167.57)	
125000060	15	ACE LIMITED Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/18/08	07/10/09	625.38	862.22	(236.84)	
125000080	16 7	TRANSCOCEAN LTD SWITZERLAND NEW	02/15/08 04/04/08	01/23/09	834.25 364.99	2,057.92 1,005.48	(1,223.67) (640.49)	
125000090	32 37 33	AFLAC INC	09/12/08 09/18/08 11/03/08	01/29/09	766.03 885.72 789.97	1,874.02 2,109.50 1,450.63	(1,107.99) (1,223.78) (660.66)	
125000170	30	AETNA INC NEW	10/07/08	05/06/09	708.13	960.00	(251.87)	
125000180	12	AIR PRODUCTS & CHEMICALS INC	10/07/08	02/11/09	622.67	731.15	(108.48)	
125000200	10	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/17/09	11/20/09	313.49	270.13		43.36
125000210	9	AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/25/09	11/20/09	367.64	295.25		72.39
125000220	6	AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/25/09	12/11/09	242.16	196.83		45.33
125000230	6	AMGEN INC	07/10/08	02/11/09	347.03	308.16		38.87
125000240	18	AMGEN INC	07/10/08	04/23/09	840.78	924.48	(83.70)	

2009 YEAR END SUMMARY



Ref: 00000186 00015765

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000250	11	AMGEN INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	07/10/09	\$ 633.19	\$ 564.96		\$ 68.23
1 J0270	5	ANADARKO PETROLEUM CORP	07/10/08	02/11/09	199.34	340.26	(140.92)	
125000330	16	APPLIED MATERIALS INC DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/09/09	12/08/09	213.11	220.79	(7.68)	
125000340	6	AVALONBAY CMNTYS INC	01/14/09	02/11/09	286.25	317.48	(31.23)	
125000350	4	AVALONBAY CMNTYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/09	12/11/09	304.91	211.65		93.26
125000360	83 41 72 44	BANK OF AMERICA CORP	07/28/08 08/13/08 09/03/08 10/20/08	01/14/09	852.23 420.98 739.28 451.79	2,325.00 1,189.78 2,350.01 1,046.46	(1,472.77) (768.80) (1,610.73) (594.67)	145.84
125000370	33	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	11/20/09	530.95	385.11		
125000380	15	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	12/11/09	229.34	175.05		54.29
125000410	8	BHP BILLITON LTD SPONS ADR	12/22/08	02/11/09	335.59	314.88		20.71
125000420	5	BIOMER IDEC INC	01/23/09	02/11/09	255.29	239.18		16.11
125000430	18 12	BIOMER IDEC INC Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	01/23/09 04/23/09	08/03/09	866.23 577.48	861.04 554.12		5.19 23.36
125000440	21	BOSTON SCIENTIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08	11/20/09	169.04	155.73		13.31



Ref: 00000186 00015766

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000450	12	BRISTOL MYERS SQUIBB CO MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/09/09	11/20/09	\$ 292.31	\$ 242.06		\$ 50.25
125000530	28	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/23/09	12/04/09	862.49	771.55		90.94
125000540	31	CAPITAL ONE FINL CORP	03/31/09	05/27/09	716.10	386.42		329.68
125000550	32	CAPITAL ONE FINL CORP	03/31/09	06/25/09	704.31	398.89		305.42
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/29/09		176.08	137.40		38.68
125000560	7	CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/29/09	12/08/09	262.63	120.23		142.40
125000570	45	CATERPILLAR INC	08/06/08	02/05/09	1,374.55	3,138.05	(1,763.50)	
125000580	3	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	11/11/09	11/20/09	173.39	179.93	(6.54)	
125000590	7	CHEVRON CORP	10/28/08	02/11/09	499.58	465.33		34.25
125000630	12	CHUBB CORP	03/24/09	04/29/09	484.29	502.08	(17.79)	
125000650	17	CLOROX COMPANY DE	02/26/09	05/18/09	882.05	829.06		52.99
125000660	29	COMCAST CORP CL A	03/18/08	02/11/09	392.07	550.67	(158.60)	
125000690	66	COMCAST CORP CL A	12/15/08	08/25/09	1,006.81	1,030.79	(23.98)	
	45	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/26/09		686.47	621.69		64.78
125000710	25	CONOCOPHILLIPS	12/15/08	03/24/09	997.48	1,297.00	(299.52)	
125000730	4	DEVON ENERGY CORP NEW	12/15/08	02/11/09	210.15	269.80	(59.65)	
125000740	12	DEVON ENERGY CORP NEW	12/15/08	03/24/09	595.39	809.42	(214.03)	
125000750	8	DEVON ENERGY CORP NEW	12/15/08	06/25/09	453.71	539.61	(85.90)	
	11	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/29/09		623.84	705.01	(81.17)	



Ref: 00000186 00015767

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000760	19	DIAMOND OFFSHORE DRILLING INC	01/23/09	06/25/09	\$ 1,659.72	\$ 1,198.33		\$ 461.39
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/11/09		87.35	56.29		31.06
J0770	4	DIAMOND OFFSHORE DRILLING INC	03/11/09	11/11/09	405.85	225.16		180.69
	16	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/09		1,623.38	1,129.27		494.11
125000800	102	DISCOVER FINANCIAL SVCS MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	10/19/09	1,592.07	1,001.31		590.76
125000810	72	DOW CHEMICAL CO	10/28/08	01/07/09	1,181.87	1,713.52	(531.65)	
125000840	26	EDISON INTERNATIONAL	01/29/09	05/27/09	752.15	871.04	(118.89)	
125000850	19	EMERSON ELECTRIC CO	11/21/08	05/18/09	659.89	584.97		74.92
125000860	24	ERICSSON L M TEL CO CL B ADR NEW -USD- MorganStanley SmithBarney LLC acted as your agent	06/18/09	12/08/09	230.15	229.55		.60
125000870	18	EXXON MOBIL CORP	07/10/08	02/11/09	1,339.73	1,529.64	(189.91)	
125000890	18	FIRSTENERGY CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/12/08	07/22/09	734.52	1,248.48	(513.96)	
125000910	54	FRANKLIN RESOURCES INC	07/28/08	01/14/09	3,019.82	5,135.41	(2,115.59)	
125000960	14	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/12/09	11/20/09	217.69	198.91		18.78
125000970	2	GOLDMAN SACHS GROUP INC	10/20/08	02/11/09	187.43	236.98	(49.55)	
125001000	9	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/09	11/20/09	267.92	267.42		.50

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Ref: 00000186 00015768

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001010	8	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/09	12/11/09	\$ 223.99	\$ 237.71	(\$ 13.72)	
125001020	9	HESS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/09	11/20/09	528.82	586.22	(57.40)	
125001030	4	HESS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/09	12/11/09	221.83	260.54	(38.71)	
125001220	14	JOHNSON CONTROLS INC	02/15/08	01/23/09	191.19	479.78	(288.59)	
125001230	12	KIMBERLY CLARK CORP	02/15/08	02/11/09	599.63	770.88	(171.25)	
125001240	17	KIMBERLY CLARK CORP	03/18/08	03/11/09	764.69	1,081.00	(316.31)	
125001250	12	KIMBERLY CLARK CORP	04/15/08	03/31/09	555.79	765.60	(209.81)	
	2		11/21/08		92.63	107.78	(15.15)	
125001260	13	KIMBERLY CLARK CORP	11/21/08	04/09/09	625.47	700.57	(75.10)	
	14		01/23/09		673.59	725.12	(51.53)	
125001360	1	MASTERCARD INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/09	11/20/09	231.03	160.52		70.51
125001370	6	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/09	11/20/09	383.93	339.93		44.00
125001380	4	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/09	12/11/09	247.03	226.62		20.41
125001390	18	MERCK & CO INC	09/08/08	02/11/09	535.13	636.02	(100.89)	
125001400	30	METLIFE INC	02/21/08	02/11/09	829.49	1,797.90	(968.41)	
125001430	22	METLIFE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/07/08	08/12/09	795.92	870.68	(74.76)	

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Ref: 00000186 00015769

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001450	10	MICROSQFT CORP	01/23/09	02/11/09	\$ 192.71	\$ 172.80		\$ 19.91
125001460	47	NRG ENERGY INC NEW Morgan Stanley Sml th Barney LLC acted as your agent in this transa	03/11/09	09/18/09	1,316.96	738.84		578.12
125001540	9	NORTHERN TRUST CORP	01/14/09	02/11/09	544.94	423.67		121.27
125001550	10	NORTHERN TRUST CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	01/14/09	11/20/09	472.28	470.75		1.53
125001560	26 5	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/08 02/11/09	11/11/09	1,083.94 208.45	1,074.04 214.45	(6.00)	9.90
125001600	7	P G & E CORPORATION	12/05/08	02/11/09	261.09	246.20		14.89
125001610	24 19	P G & E CORPORATION	12/05/08 01/29/09	05/18/09	850.09 672.99	844.12 743.86	(70.87)	5.97
125001620	7	P G & E CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/19/09	11/20/09	294.62	301.70	(7.08)	
125001630	21 21	PNC FINANCIAL SERVICES GROUP	07/28/08 09/03/08	01/23/09	630.33 630.34	1,386.74 1,545.95	(756.41) (915.61)	
125001640	17	PNC FINANCIAL SERVICES GROUP	04/23/09	05/06/09	751.68	648.74		102.94
125001650	13	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/23/09	11/20/09	711.34	496.10		215.24
125001660	7	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/23/09	12/08/09	376.59	267.13		109.46
125001670	10	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/11/09	11/20/09	620.18	475.40		144.78
125001680	13	PFIZER INC	10/14/08	02/11/09	185.63	222.39	(36.76)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015770

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001730	35	PHILIP MORRIS INTL INC	12/05/08	05/06/09	\$ 1,366.01	\$ 1,448.52	(\$ 82.51)	
101740	19	PROCTER & GAMBLE CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/23/09	06/25/09	979.85	933.82		46.03
125001750	18	PROCTER & GAMBLE CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/23/09	07/10/09	937.42	884.67		52.75
125001760	9	PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/09	11/20/09	429.19	366.72		62.47
125001770	6	PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/09	12/11/09	288.23	244.48		43.75
125001780	14	PUBLIC SERVICE ENTERPRISE GRP	06/03/08	02/11/09	436.09	605.90	(169.81)	
125001790	35	PUBLIC SERVICE ENTERPRISE GRP	06/03/08	04/23/09	989.69	1,514.75	(525.06)	
125001810	6	RAYTHEON COMPANY NEW	01/23/09	02/11/09	282.56	301.82	(19.26)	
001820	34	RAYTHEON COMPANY NEW	01/23/09	04/23/09	1,485.14	1,710.28	(225.14)	
001830	11	SCHERING PLOUGH CORP	07/17/08	02/11/09	212.62	241.55	(28.93)	
125001840	75	SCHERING PLOUGH CORP	07/17/08	04/09/09	1,744.62	1,646.96		97.66
125001850	34	SCHERING PLOUGH CORP	08/13/08	04/23/09	738.33	678.98		59.35
125001860	39	SCHERING PLOUGH CORP	08/13/08	05/06/09	895.59	778.82		116.77
125001870	41	SCHLUMBERGER LTD	10/07/08	01/23/09	1,686.33	2,847.42	(1,161.09)	
125001880	15	SIMON PPTY GROUP INC NEW	02/15/08	01/14/09	647.15	1,258.25	(611.10)	
16	16		02/21/08		690.30	1,331.72	(641.42)	
125001890	6	SOUTHERN CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/19/09	11/20/09	188.69	199.52	(10.83)	
125001900	31	STAPLES INC	03/18/08	02/11/09	497.23	675.49	(178.26)	

2009 YEAR END SUMMARY



Ref: 00000186 00015771

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001920	22	TJX COMPANIES INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/07/08	07/22/09	\$ 775.73	\$ 628.76		\$ 146.97
125001940	7	THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/15/08	11/20/09	325.07	208.09		116.98
125001950	24	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/17/08	06/25/09	605.98	794.73	(188.75)	
125001960	53	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/17/08	07/10/09	1,273.98	1,755.02	(481.04)	
125001980	.5787	TIME WARNER CABLE INC CASH IN LIEU OF .57878 RECORD 03/12/09 PAY 03/27/09	07/17/08	03/27/09	15.84	25.15	(9.31)	
125001990	19	TIME WARNER CABLE INC	07/17/08	04/09/09	524.63	825.87	(301.24)	2.49
125002000	5	TOTAL S.A SPONS ADR	02/05/09	02/11/09	256.69	254.20		202.55
125002010	31	TOTAL S.A SPONS ADR	02/05/09	10/02/09	1,778.62	1,576.07		18.10
	2	MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/26/09		114.75	96.65		
125002020	5	TOTAL S.A SPONS ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/26/09	11/20/09	308.84	241.61		67.23
125002030	4	TOTAL S.A SPONS ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/26/09	12/11/09	251.03	193.29		57.74
125002090	97	US BANCORP DEL NEW	02/15/08	01/23/09	1,411.17	3,155.41	(1,744.24)	
	50		06/03/08		727.41	1,632.50	(905.09)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015772

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002100	9	US BANCORP DEL NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/06/09	11/20/09	\$ 209.51	\$ 179.82		\$ 29.69
125002110	4	UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/11/09	11/20/09	259.39	251.15		8.24
125002120	5	UNITED STATES STEEL CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/11/09	12/08/09	219.94	198.54		21.40
125002170	27	UNITEDHEALTH GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa ction.	03/11/09	07/22/09	706.66	526.78		179.88
125002180	14 6	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/11/09 05/06/09	11/11/09	410.26 175.82	273.14 154.44		137.12 21.38
125002230	3	VORNADO REALTY TR SBI MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/19/09	12/08/09	206.51	189.08		17.43
125002240	4	WAL-MART STORES INC	02/15/08	02/11/09	193.15	197.12	(3.97)	
125002260	10	WASTE MGMT INC DEL	10/07/08	02/11/09	285.39	306.56	(21.17)	
125002310	4	WELLS FARGO & CO NEW	07/10/08	05/06/09	98.72	93.96		4.76
125002360	6	XTO ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/25/09	12/08/09	241.97	228.91		13.06
Total					\$ 85,983.39	\$ 107,228.25	(\$ 28,172.22)	\$ 6,927.36

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015773

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference #	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000070	11	ACE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/08	10/27/09	\$ 593.00	\$ 632.30	(\$ 39.30)	
125000100	36	AT&T INC	11/17/06	02/11/09	880.55	1,192.32	(311.77) c	
125000110	7 25	AT&T INC	11/17/06 01/25/07	05/18/09	172.47 615.98	231.84 913.61	(59.37) c (297.63) c	
125000120	4 29	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/25/07 06/20/07	11/20/09	104.04 754.26	146.18 1,148.11	(42.14) c (393.85) c	
125000130	7	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	12/08/09	193.61	277.13	(83.52) c	
125000140	7	ABBOTT LABORATORIES	04/27/07	02/11/09	388.77	397.67	(8.90) c	
125000150	7	ABBOTT LABORATORIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	11/20/09	375.33	397.67	(22.34) c	
125000160	16 18 8	AETNA INC NEW	04/27/07 06/20/07 08/24/07	03/11/09	355.07 399.45 177.53	753.28 894.78 398.54	(398.21) c (495.33) c (221.01) c	
125000170	8	AETNA INC NEW	08/24/07	05/06/09	188.84	398.53	(209.69) c	
125000190	7	AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/08	11/20/09	574.96	426.51		148.45
125000260	11 2	AMGEN INC MorganStanley SmithBarney LLC acted as principal in this transaction.	07/10/08 07/28/08	11/20/09	609.93 110.90	564.96 120.50	(9.60)	44.97

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Ref: 00000186 00015774

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000280	13	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	11/20/09	\$ 793.23	\$ 884.68	(\$ 91.45)	
125000290	5	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	12/08/09	285.99	340.26	(54.27)	
125000300	8 2	APACHE CORP	04/27/07 06/20/07	02/11/09	581.99 145.50	588.72 169.38	(6.73) c (23.88) c	
125000310	4	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	11/20/09	384.71	338.76		45.95 c
125000320	2	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	12/08/09	184.59	169.38		15.21 c
125000390	11	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/08	11/20/09	477.16	455.95		21.21
125000400	5	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/08	12/08/09	216.89	207.25		9.64
125000460	7	BURLINGTON NORTHERN SANTA FE	04/27/07	02/11/09	481.10	621.95	(140.85) c	
125000470	14 28	BURLINGTON NORTHERN SANTA FE MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07 06/20/07	11/11/09	1,367.15 2,734.31	1,243.90 2,407.05		123.25 c 327.26 c
125000480	17	CVS CAREMARK CORP	10/10/07	02/11/09	468.85	663.32	(194.47) c	
125000490	18	CVS CAREMARK CORP	10/10/07	04/23/09	525.80	702.34	(176.54) c	
125000500	16 2	CVS CAREMARK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/10/07 02/15/08	08/12/09	557.59 69.70	624.30 79.16	(66.71) c (9.46)	

2009 YEAR END SUMMARY



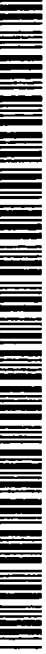
Ref: 00000186 00015775

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000510	10	CVS CAREMARK CORP	02/15/08	09/09/09	\$ 365.04	\$ 395.80	(\$ 30.76)	
	12	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/28/08		438.04	446.15	(8.11)	
J0520	33	CVS CAREMARK CORP	07/28/08	10/27/09	1,208.02	1,226.90	(18.88)	
	22	MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/30/08		805.35	732.92		72.43
125000530	17	CVS CAREMARK CORP	09/30/08	12/04/09	523.65	566.35	(42.70)	
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
125000600	7	CHEVRON CORP	10/28/08	11/20/09	536.67	465.33		71.34
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
125000610	5	CHEVRON CORP	10/28/08	12/11/09	387.49	332.38		55.11
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
125000620	17	CHUBB CORP	04/27/07	02/11/09	708.21	920.38	(212.17) c	
125000630	18	CHUBB CORP	04/27/07	04/29/09	726.43	974.52	(248.09) c	
	37		06/20/07		1,493.22	1,995.15	(501.93) c	
	22		02/15/08		887.86	1,142.68	(254.82)	
125000640	10	CISCO SYS INC	11/21/08	12/08/09	238.39	147.00		91.39
		MorganStanley SmithBarney LLC acted as your agent In this transaction.						
125000670	43	COMCAST CORP CL A	03/18/08	04/23/09	578.30	816.51	(238.21)	
125000680	96	COMCAST CORP CL A	03/18/08	05/18/09	1,445.05	1,822.90	(377.85)	
125000690	9	COMCAST CORP CL A	03/18/08	08/25/09	137.29	170.90	(33.61)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000700	19	CONOCOPHILLIPS	04/27/07	02/11/09	873.42	1,331.33	(457.91) c	
125000710	31	CONOCOPHILLIPS	04/27/07	03/24/09	1,236.87	2,172.17	(935.30) c	
	38		06/20/07		1,516.17	2,975.40	(1,459.23) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015776

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000720	38	DEERE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	11/11/09	\$ 1,828.94	\$ 2,317.81	(\$ 488.87) c	
125000780	16	WALT DISNEY CO	04/27/07	02/11/09	295.83	552.14	(256.31) c	
125000790	57 31	WALT DISNEY CO	04/27/07 06/20/07	02/26/09	971.88 528.57	1,967.02 1,061.75	(995.14) c (533.18) c	
125000820	20	EDISON INTERNATIONAL	04/27/07	02/11/09	631.19	1,057.20	(426.01) c	
125000830	15 47 14	EDISON INTERNATIONAL	04/27/07 06/20/07 02/21/08	04/17/09	421.28 1,320.01 393.19	792.90 2,557.77 715.68	(371.62) c (1,237.76) c (322.49)	
125000840	10	EDISON INTERNATIONAL	02/21/08	05/27/09	289.29	511.20	(221.91)	
125000880	3	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	12/08/09	217.73	254.94	(37.21)	
125000900	31 32	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/12/08 09/18/08	10/19/09	1,467.53 1,514.87	2,150.16 2,053.10	(682.63) (538.23)	
-000920	11 26	GENERAL DYNAMICS CORP	11/17/06 04/27/07	01/23/09	583.73 1,379.71	817.74 2,088.58	(234.01) c (708.87) c	
125000930	4	GENERAL DYNAMICS CORP	04/27/07	02/11/09	215.83	321.32	(105.49) c	
125000940	2 3	GENERAL DYNAMICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07 06/20/07	11/20/09	132.60 198.89	160.66 239.09	(28.06) c (40.20) c	
125000950	3	GENERAL DYNAMICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	12/08/09	202.79	239.09	(36.30) c	
125000980	3	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/20/08	11/20/09	511.78	355.46	156.32	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015777

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000990	1	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/20/08	12/08/09	\$ 162.37	\$ 118.49		\$ 43.88
125001040	23	HEWLETT PACKARD CO	04/27/07	02/11/09	802.46	972.90	(170.44) c	
125001050	28	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/27/07	09/09/09	1,278.90	1,184.40		94.50 c
125001060	10	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	11/20/09	500.56	423.00		77.56 c
125001070	5	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	12/11/09	249.79	211.50		38.29 c
125001080	15	INTL BUSINESS MACHINES CORP	04/27/07	02/11/09	1,424.84	1,515.30	(90.46) c	
125001090	5	INTL BUSINESS MACHINES CORP	04/27/07	04/23/09	505.17	505.10		.07 c
125001100	9 4	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/27/07 06/20/07	09/09/09	1,051.80 467.47	909.18 424.96		142.62 c 42.51 c
125001110	2	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	11/20/09	253.99	212.48		41.51 c
125001120	2	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	12/08/09	253.23	212.48		40.75 c
125001130	11 27	JPMORGAN CHASE & CO	11/17/06 04/27/07	02/11/09	283.69 696.32	525.58 1,417.23	(241.89) c (720.91) c	
125001140	24 14	JPMORGAN CHASE & CO	04/27/07 06/20/07	04/09/09	788.61 460.03	1,259.76 693.56	(471.15) c (233.53) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015778

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001150	20	JPMORGAN CHASE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/20/07	08/25/09	\$ 870.13	\$ 990.80	(\$ 120.67) c	
125001160	15	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	11/20/09	638.38	743.10	(104.72) c	
125001170	6	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	12/08/09	247.44	297.24	(49.80) c	
125001180	9 14	JOHNSON & JOHNSON	04/27/07 06/20/07	02/11/09	514.71 800.65	571.71 869.96	(63.00) c (69.31) c	
125001190	27	JOHNSON & JOHNSON	06/20/07	04/23/09	1,379.44	1,677.78	(298.34) c	
125001200	10 4	JOHNSON & JOHNSON Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/20/07 02/21/08	06/18/09	559.74 223.90	621.40 252.28	(61.66) c (28.38)	
125001210	39	JOHNSON & JOHNSON Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/21/08	08/12/09	2,368.20	2,459.73	(91.53)	
125001220	57 30	JOHNSON CONTROLS INC	04/27/07 06/20/07	01/23/09	778.42 409.70	1,969.92 1,135.00	(1,191.50) c (725.30) c	
125001250	1	KIMBERLY CLARK CORP	03/18/08	03/31/09	46.32	63.59	(17.27)	
125001270	18	KROGER CO	12/19/06	02/11/09	388.07	423.99	(35.92) c	
125001280	3 21 4	KROGER CO	12/19/06 03/05/07 06/20/07	05/18/09	65.33 457.29 87.10	70.66 532.30 120.92	(5.33) c (75.01) c (33.82) c	
125001290	20 7	KROGER CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/20/07 11/06/07	06/25/09	446.73 156.36	604.60 193.26	(157.87) c (36.90) c	
125001300	40	KROGER CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/06/07	07/10/09	866.38	1,104.36	(237.98) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015779

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001310	14	KROGER CO	11/06/07	08/03/09	\$ 301.04	\$ 386.52	(\$ 85.48) c	
	23	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/15/08		494.56	618.47	(123.91)	
J1320	48	KROGER CO	02/15/08	08/12/09	1,017.85	1,290.72	(272.87)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001330	1	LOCKHEED MARTIN CORP	05/29/07	02/11/09	77.54	98.31	(20.77) c	
	6		06/20/07		465.23	572.64	(107.41) c	
	2		07/10/07		155.08	190.29	(35.21) c	
125001340	9	LOCKHEED MARTIN CORP	07/10/07	04/23/09	692.60	856.31	(163.71) c	
125001350	20	LOCKHEED MARTIN CORP	07/10/07	10/19/09	1,538.72	1,902.92	(364.20) c	
	11	MorganStanley SmithBarney LLC	07/24/07		846.29	1,169.94	(323.65) c	
	2	acted as your agent in this transaction.	02/15/08		153.87	211.20	(57.33)	
125001410	39	METLIFE INC	02/21/08	03/11/09	580.28	2,337.27	(1,756.99)	
	1		03/06/08		14.88	57.02	(42.14)	
125001420	26	METLIFE INC	03/06/08	05/18/09	802.92	1,482.52	(679.60)	
125001430	9	METLIFE INC	03/06/08	08/12/09	325.61	513.18	(187.57)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001440	6	METLIFE INC	10/07/08	11/20/09	203.99	237.46	(33.47)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125001470	18	NESTLE S A SPONSORED ADR	04/27/07	02/11/09	585.17	715.32	(130.15) c	
125001480	17	NESTLE S A SPONSORED ADR	04/27/07	08/25/09	691.03	675.58	15.45 c	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001490	34,2388	NESTLE S A SPONSORED ADR	04/27/07	09/09/09	1,420.19	1,360.65	59.54 c	
	1,7612	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/20/07		73.05	65.38	7.67 c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015780

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001500	8	NESTLE S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/07	11/20/09	\$ 376.23	\$ 296.96		\$ 79.27 c
125001510	5	NESTLE S A SPONSORED ADR MorganStanley SmithBarney LLC acted as principal in this transaction.	06/20/07	12/11/09	241.69	185.60		56.09 c
125001520	5	NIKE INC CL B	04/27/07	02/11/09	221.14	270.50	(49.36) c	
125001530	3	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	11/20/09	191.33	162.30		29.03 c
125001570	8	OCCIDENTAL PETROLEUM CORP-DEL	04/27/07	02/11/09	446.15	409.44		36.71 c
125001580	9	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	11/20/09	717.10	460.62		256.48 c
125001590	3	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	12/08/09	229.49	153.54		75.95 c
125001690	9	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/08	11/20/09	165.32	153.96		11.36
125001700	10	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/08	12/11/09	182.99	171.07		11.92
125001710	18	PHILIP MORRIS INTL INC	04/27/07	02/11/09	655.73	870.07	(214.34) c	
125001720	19 36	PHILIP MORRIS INTL INC	04/27/07 06/20/07	04/23/09	703.09 1,332.18	918.41 1,712.62	(215.32) c (380.44) c	
125001730	1	PHILIP MORRIS INTL INC	06/20/07	05/06/09	39.03	47.57	(8.54) c	
125001800	95	PUBLIC SERVICE ENTERPRISE GRP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/03/08	10/19/09	3,009.01	4,111.48	(1,102.47)	

2 0 0 9 Y E A R E N D S U M M A R Y .



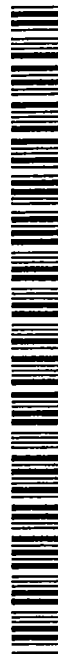
Ref: 00000186 00015781

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001880	16	SIMON PPTY GROUP INC NEW	04/27/07	01/14/09	\$ 690.30	\$ 1,867.08	(\$ 1,176.78) c	
	9		06/20/07		388.29	858.09	(469.80) c	
125001910	33	STAPLES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/18/08	08/12/09	743.47	719.07		24.40
125001930	8	TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction.	10/07/08	12/08/09	295.19	228.64		66.55
125001970	1	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/17/08	09/09/09	28.36	33.11	(4.75)	
125002040	24	TRAVELERS COMPANIES INC	04/27/07	02/11/09	970.07	1,289.28	(319.21) c	
125002050	20	TRAVELERS COMPANIES INC	04/27/07	04/29/09	840.14	1,074.40	(234.26) c	
125002080	4	TRAVELERS COMPANIES INC	04/27/07	05/06/09	158.05	214.88	(56.83) c	
	15		06/20/07		592.70	792.00	(199.30) c	
125002070	20	TRAVELERS COMPANIES INC	06/20/07	05/18/09	799.33	1,056.00	(256.67) c	
125002080	8	TRAVELERS COMPANIES INC	06/20/07	08/12/09	375.45	422.40	(46.95) c	
	19	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/15/08		891.70	908.11	(16.41)	
125002130	27	UNITED TECHNOLOGIES CORP	04/27/07	02/11/09	1,288.70	1,828.44	(539.74) c	
125002140	3	UNITED TECHNOLOGIES CORP	04/27/07	04/23/09	143.14	203.16	(60.02) c	
	7		06/20/07		333.98	504.70	(170.72) c	
125002150	5	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/20/07	11/20/09	339.24	360.50	(21.26) c	
125002160	4	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/20/07	12/11/09	276.35	288.40	(12.05) c	
125002190	18	VERIZON COMMUNICATIONS	11/17/06	02/11/09	539.81	622.72	(82.91) c	
125002200	36	VERIZON COMMUNICATIONS	11/17/06	05/18/09	1,068.43	1,245.44	(177.01) c	
	4		04/27/07		118.71	150.70	(31.99) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015782

ROCK RIVER FOUNDATION
Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002210	14	VERIZON COMMUNICATIONS MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	11/20/09	\$ 425.02	\$ 527.44	(\$ 102.42) c	
125002220	6	VERIZON COMMUNICATIONS MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/27/07	12/08/09	200.69	226.05	(25.36) c	
125002250	6	WAL-MART STORES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/15/08	12/08/09	326.39	295.68		30.71
125002270	7	WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/08	11/20/09	226.02	214.59		11.43
125002280	6	WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/08	12/11/09	198.65	183.94		14.71
125002290	45 15	WELLS FARGO & CO NEW	04/27/07 06/20/07	02/11/09	778.04 259.35	1,617.30 536.70	(839.26) c (277.35) c	
125002300	39 13	WELLS FARGO & CO NEW	06/20/07 11/15/07	02/26/09	566.42 188.81	1,395.42 415.94	(829.00) c (227.13) c	
125002310	38	WELLS FARGO & CO NEW	11/15/07	05/06/09	937.81	1,215.81	(278.00) c	
125002320	29	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	11/20/09	807.91	681.21		126.70
125002330	10	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/10/08	12/08/09	261.53	234.90		26.63
125002340	.1818 3.8182	XTO ENERGY INC	04/27/07 06/20/07	02/11/09	6.88 144.51	8.08 189.41	(1.20) c (44.90) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015783

ROCK RIVER FOUNDATION

Account Number 389-1924C-13 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002350	26	XTO ENERGY INC	06/20/07	03/11/09	\$ 796.58	\$ 1,289.81	(\$ 493.23) ^c	
	23		02/15/08		704.66	1,267.90	(563.24)	
Total					\$ 100,124.96	\$ 132,166.37	(\$ 34,686.25)	\$ 2,644.84

based on information supplied by Client or other financial institution, not verified by us.

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	02/27/09	CONSULTING & ADVISORY SERVICES FROM 02/17/09 TO 03/31/09	\$ 58.32
210000300	12/31/09	CONSULTING & ADVISORY SERVICES FROM 12/08/09 TO 12/31/09	7.89
Total			\$ 92.06

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	11/23/09	WESTERN ASSET MONEY MARKET FUND CLASS A FROM 389-1924C-01 TO 389-1921C-01		\$ 7,000.00
220000300	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		872.96
220000500	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		638.70
220000700	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		726.07

Reference number	Date	Description	Amount
210000200	11/30/09	CONSULTING & ADVISORY SERVICES FROM 11/25/09 TO 12/31/09	\$ 25.85

Reference number	Date	Description	Referral number	Amount
220000200	12/08/09	WESTERN ASSET MONEY MARKET FUND CLASS A FROM 389-1924C-01 TO 389-1921C-01		\$ 8,000.00
220000400	02/17/09	FROM 389-1924C-01 TO 389-1921C-01		33,000.00
220000600	06/04/09	NESTLE S A SPONSORED ADR ADR FEE OF .00350 PER SHR RECORD 04/28/09 PAY 06/04/09		.46
220000800	10/16/09	CONSULTING & ADVISORY SERVICES FROM 10/01/09 TO 12/31/09		830.62

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015750

ROCK RIVER FOUNDATION
Account Number 389-1921C-19 263

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	1,892.506	LOOMIS SAYLES BOND FUND INSTL CLASS	02/10/09	11/20/09	\$ 25,000.00	\$ 20,022.71		\$ 4,977.29
Total					\$ 25,000.00	\$ 20,022.71		\$ 4,977.29

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	.1072	DOMTAR CORPORATION	09/15/05	06/11/09	\$ 1.93	\$ 9.16	(\$ 7.23) c	
	.3094	REVSPLT 06/11/08 257559104000	09/16/05		5.55	26.57	(21.02) c	
100020	34	IDACORP INC	03/30/06	02/10/09	920.51	1,093.24	(172.73) c	
	35		05/10/07		947.58	1,153.23	(205.65) c	
	45		07/20/07		1,218.32	1,432.80	(214.48) c	
	100		07/25/07		2,707.39	3,125.70	(418.31) c	
125000030	3	KAO CORP-JPY	05/10/06	02/10/09	663.18	858.79	(195.61) c	
	3	SPONS ADR	04/27/07		663.18	825.30	(162.12) c	
	10		07/06/07		2,210.59	2,711.73	(501.14) c	
	5		07/20/07		1,105.28	1,355.00	(249.72) c	
125000050	550	OREZONE RESOURCES INC-CAD	12/21/04	02/26/09	364.43	698.50	(334.07) c	
	1,262		11/19/07		836.21	1,552.89	(716.68) c	
125000060	160	PUGET ENERGY INC NEW	01/11/06	02/09/09	4,800.00	3,360.08		1,439.92 c
	40		09/28/06		1,200.00	912.42		287.58 c
	50		07/20/07		1,500.00	1,218.00		282.00 c
	65		07/23/07		1,950.00	1,591.26		358.74 c
125000070	106.7659	REPUBLIC SVCS INC	12/21/04	02/10/09	2,510.65	2,142.84		367.81 c
	73.1349		02/26/07		1,719.48	2,110.28	(390.80) c	
	69.5455		07/20/07		1,635.09	2,120.36	(485.27) c	
	56.5337		10/30/07		1,329.16	1,561.68	(232.52) c	

2009 YEAR END SUMMARY



Ref: 00000186 00015751

ROCK RIVER FOUNDATION
Account Number 389-1921C-19 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000080	189	SEGA SAMMY HLDGS INC-USD	04/27/07	02/10/09	\$ 555.66	\$ 1,082.25	(\$ 526.59) c	
	265	SPONSORED ADR	05/18/07		779.09	1,217.89	(438.80) c	
	50		10/15/07		147.00	160.96	(13.96) c	
	750		10/18/07		2,204.98	2,412.90	(207.92) c	
T					\$ 31,975.26	\$ 34,733.83	(\$ 5,494.62)	\$ 2,736.05

c Based on information supplied by Client or other financial institution, not verified by us

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	11/23/09	WESTERN ASSET MONEY MARKET FUND CLASS A	\$ 7,000.00
		FROM 389-1924C-01 TO 389-1921C-01	
210000300	12/08/09	WESTERN ASSET MONEY MARKET FUND CLASS A	8,000.00
		FROM 389-1924C-01 TO 389-1921C-01	
210000500	02/13/09	FROM 389-1928C-01 TO 389-1921C-01	34,000.00
210000700	04/20/09	FROM 389-1922C-01 TO 389-1921C-01	144,000.00
210000900	07/21/09	FROM 389-1928C-01 TO 389-1921C-01	75,000.00

Reference number	Date	Description	Amount
210000200	11/23/09	WESTERN ASSET MONEY MARKET FUND CLASS A	\$ 30,000.00
		FROM 389-1925C-01 TO 389-1921C-01	
210000400	12/08/09	WESTERN ASSET MONEY MARKET FUND CLASS A	3,000.00
		FROM 389-1928C-01 TO 389-1921C-01	
210000600	02/17/09	FROM 389-1924C-01 TO 389-1921C-01	33,000.00
210000800	06/30/09	INVESTMENT AND MGMT SERVICES FROM 06/24/09 TO 06/30/09	23.97
210001000	11/25/09	FROM 389-1924C-01 TO 389-1921C-01	10,000.00

2 0 0 9 Y E A R E N D S U M M A R Y



ACCOUNT STATEMENT

JANUARY 01 - DECEMBER 31, 2009

ACCOUNT | ROCK RIVER FOUNDATION | 30010276

ACCOUNT ACTIVITY Continued

Income & Expenses

DESCRIPTION	DATE	ACTIVITY	INCOME	EXPENSE	OTHER
TEVA PHARMACEUTICAL INDS ADR FOR SEC WITHHOLD DIV 20000	06/04/2009	Foreign Withholding		(\$2.10)	
TEVA PHARMACEUTICAL INDS ADR FOR SEC WITHHOLD DIV 20000	08/28/2009	Foreign Withholding		(\$3.12)	
TEVA PHARMACEUTICAL INDS ADR FOR SEC WITHHOLD DIV 20000	12/07/2009	Foreign Withholding		(\$4.08)	
TOTAL FOREIGN WITHHOLDING			\$0.00	(\$9.30)	\$0.00
TOTALS			\$5,376.43	(\$9.30)	\$0.00

REALIZED GAIN (LOSS) DETAIL

DESCRIPTION	SYMBOL	ACQUIRED	DATE	DATE	QUANTITY	PURCHASE PRICE	TOTAL COST	SALE PRICE	PROCEEDS	REALIZED GAIN (LOSS)	OTHER
ABBOTT LABORATORIES	ABT	01/17/2007	01/02/2009		10,000	\$52.20	\$522.00	\$53.26	\$532.59	\$10.59	LONG TERM
WAL-MART STORES INC	WMT	02/05/2008	01/02/2009		10,000	\$49.90	\$498.97	\$57.13	\$571.31	\$72.34	SHORT TERM
ABBOTT LABORATORIES	ABT	09/15/2006	01/05/2009		15,000	\$49.61	\$744.10	\$52.33	\$784.89	\$40.79	LONG TERM
ABBOTT LABORATORIES	ABT	01/05/2007	01/05/2009		25,000	\$49.78	\$1,244.75	\$52.33	\$1,308.15	\$63.40	LONG TERM
MOLSON COORS CLASS B	TAP	12/08/2008	01/05/2009		20,000	\$41.48	\$829.50	\$48.33	\$966.53	\$137.03	SHORT TERM
KOHL'S CORP	KSS	10/17/2007	01/14/2009		20,000	\$37.67	\$1,153.40	\$35.30	\$708.03	(\$447.37)	LONG TERM
PHARMACEUTICAL PRODUCT DEVELOPMENT	PPDI	10/26/2007	01/14/2009		40,000	\$42.02	\$1,680.88	\$26.47	\$1,058.94	(\$621.94)	LONG TERM
GENERAL ELECTRIC CO	GE	02/17/2005	01/23/2009		70,000	\$36.10	\$2,527.00	\$11.96	\$837.19	(\$1,689.81)	LONG TERM
NETAPP INC	NTAP	08/01/2007	01/26/2009		30,000	\$29.17	\$875.24	\$15.31	\$459.17	(\$416.07)	LONG TERM
NETAPP INC	NTAP	09/24/2007	01/26/2009		10,000	\$27.74	\$277.40	\$15.31	\$153.06	(\$124.36)	LONG TERM
NETAPP INC	NTAP	07/17/2007	01/26/2009		60,000	\$29.95	\$1,797.03	\$15.31	\$918.33	(\$878.70)	LONG TERM
KOHL'S CORP	KSS	11/30/2007	01/26/2009		20,000	\$50.59	\$1,011.80	\$38.83	\$776.66	(\$235.14)	SHORT TERM
KOHL'S CORP	KSS	08/21/2008	01/26/2009		20,000	\$37.85	\$757.00	\$38.93	\$776.66	(\$180.34)	SHORT TERM
KOHL'S CORP	KSS	10/17/2007	01/26/2009		10,000	\$37.67	\$376.70	\$38.93	\$388.33	(\$188.37)	LONG TERM
BEST BUY CO INC	BBY	12/09/2008	02/02/2009		20,000	\$24.56	\$491.18	\$26.98	\$539.61	\$48.43	SHORT TERM
EMERSON ELECTRIC CO	EMR	02/17/2005	02/02/2009		20,000	\$33.73	\$674.50	\$31.45	\$628.91	(\$45.59)	LONG TERM
EMERSON ELECTRIC CO	EMR	12/28/2004	02/02/2009		10,000	\$34.69	\$346.85	\$31.45	\$314.45	(\$32.40)	LONG TERM
GENERAL ELECTRIC CO	GE	07/27/2005	02/02/2009		30,000	\$34.69	\$1,040.70	\$11.53	\$345.90	(\$694.80)	LONG TERM
GENERAL ELECTRIC CO	GE	01/18/2006	02/02/2009		20,000	\$34.74	\$694.80	\$11.53	\$230.60	(\$464.20)	LONG TERM
GENERAL ELECTRIC CO	GE	03/20/2006	02/02/2009		30,000	\$34.52	\$1,035.60	\$11.53	\$345.90	(\$689.70)	LONG TERM
GENERAL ELECTRIC CO	GE	12/05/2008	02/02/2009		40,000	\$17.54	\$701.54	\$11.53	\$461.20	(\$240.34)	SHORT TERM
GENERAL ELECTRIC CO	GE	02/17/2005	02/02/2009		55,000	\$36.10	\$1,985.50	\$11.53	\$634.14	(\$1,351.36)	LONG TERM
AETNA INC (NEW)	AET	10/06/2008	02/05/2009		30,000	\$33.65	\$1,009.44	\$33.62	\$1,008.70	(\$74.74)	SHORT TERM
LINEAR TECHNOLOGY CORP	LTC	08/31/2006	02/05/2009		50,000	\$34.00	\$1,698.95	\$25.44	\$1,271.99	(\$427.96)	LONG TERM
NETAPP INC	NTAP	08/22/2007	02/05/2009		10,000	\$27.66	\$276.63	\$15.37	\$153.70	(\$122.93)	LONG TERM
NETAPP INC	NTAP	09/24/2007	02/05/2009		40,000	\$27.74	\$1,109.70	\$15.37	\$614.80	(\$494.90)	LONG TERM
GENENTECH INC	710406	07/17/2006	02/20/2009		3,000	\$78.45	\$235.36	\$85.09	\$255.27	\$19.91	LONG TERM
ACQUIRED @ \$95.00 A SHARE											
GENENTECH INC	710406	10/17/2008	02/20/2009		10,000	\$83.78	\$837.78	\$85.09	\$850.91	\$13.13	SHORT TERM
ACQUIRED @ \$95.00 A SHARE											
AMERICAN EXPRESS CO	AXP	02/25/2005	02/26/2009		30,000	\$47.83	\$1,434.90	\$12.80	\$383.89	(\$1,051.01)	LONG TERM
AMERICAN EXPRESS CO	AXP	01/24/2008	02/26/2009		20,000	\$46.89	\$937.96	\$12.80	\$255.93	(\$681.93)	LONG TERM
AMERICAN EXPRESS CO	AXP	02/17/2005	02/26/2009		40,000	\$47.98	\$1,919.36	\$12.80	\$511.85	(\$1,407.51)	LONG TERM
AMERICAN EXPRESS CO	AXP	07/23/2008	02/26/2009		50,000	\$39.32	\$1,966.00	\$12.80	\$639.19	(\$1,326.81)	SHORT TERM
AMERICAN EXPRESS CO	AXP	01/02/2009	02/26/2009		40,000	\$19.09	\$763.44	\$12.80	\$511.85	(\$251.59)	SHORT TERM
MERCK & CO INC	MRK107	03/15/2007	02/27/2009		30,000	\$43.04	\$1,291.20	\$24.26	\$727.80	(\$563.40)	LONG TERM
NIC MERCK & CO, INC (NEW)											
CUSIP: 58933Y105											

A C C O U N T S T A T E M E N T

JANUARY 01 - DECEMBER 31, 2009

ACCOUNT | ROCK RIVER FOUNDATION | 30010276

REALIZED GAIN (LOSS) DETAIL Continued

DESCRIPTION	SYMBOL /CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN (LOSS)	LONG TERM
MERCK & CO INC	MRK107	03/02/2007	02/27/2009	65,000	\$43.87	\$2,851.71	\$24.26	\$1,576.89	(\$1,274.82)	LONG TERM
N/C MERCK & CO, INC (NEW)										
CUSIP 58833Y105										
DOVER CORPORATION	DOV	02/17/2005	03/06/2009	25,000	\$38.01	\$950.25	\$21.84	\$546.08	(\$404.17)	LONG TERM
QUALCOMM	QCOM	07/24/2008	03/06/2009	15,000	\$52.97	\$794.54	\$32.95	\$494.32	(\$300.22)	SHORT TERM
QUALCOMM	QCOM	08/14/2008	03/06/2009	20,000	\$56.23	\$1,124.56	\$32.95	\$659.10	(\$465.46)	SHORT TERM
GENENTECH INC	710406	07/18/2007	03/10/2009	6,000	\$74.88	\$449.27	\$91.83	\$550.36	\$101.69	LONG TERM
ACQUIRED @ \$95.00 A SHARE										
GENENTECH INC	710406	07/17/2006	03/10/2009	7,000	\$78.45	\$549.17	\$91.83	\$642.79	\$93.62	LONG TERM
ACQUIRED @ \$95.00 A SHARE										
GENENTECH INC	710406	11/02/2007	03/12/2009	10,000	\$74.71	\$747.10	\$93.99	\$939.90	\$192.80	LONG TERM
ACQUIRED @ \$95.00 A SHARE										
GENENTECH INC	710406	07/18/2007	03/12/2009	14,000	\$74.88	\$1,048.31	\$93.99	\$1,315.95	\$267.54	LONG TERM
ACQUIRED @ \$95.00 A SHARE										
GOLDMAN SACHS GROUP, INC	GS	02/28/2008	03/19/2009	6,000	\$176.30	\$1,057.80	\$100.04	\$600.23	(\$457.57)	LONG TERM
KOHL'S CORP	KSS	02/17/2005	03/19/2009	5,000	\$47.20	\$236.00	\$41.17	\$205.85	(\$30.15)	LONG TERM
KOHL'S CORP	KSS	12/28/2004	03/19/2009	15,000	\$47.45	\$711.75	\$41.17	\$617.54	(\$94.21)	LONG TERM
KOHL'S CORP	KSS	08/21/2008	03/19/2009	10,000	\$47.85	\$478.50	\$41.17	\$411.70	(\$66.80)	SHORT TERM
ST JUDE MEDICAL INC	STJ	04/26/2006	03/19/2009	20,000	\$39.89	\$797.79	\$35.53	\$710.63	(\$87.16)	LONG TERM
DOVER CORPORATION	DOV	02/17/2005	03/27/2009	55,000	\$38.01	\$2,090.55	\$27.16	\$1,493.94	(\$596.61)	LONG TERM
CISCO SYSTEMS INC	CSCO	12/28/2004	04/03/2009	50,000	\$19.37	\$968.50	\$18.17	\$908.51	(\$59.99)	LONG TERM
KOHL'S CORP	KSS	02/17/2005	04/03/2009	20,000	\$47.20	\$944.00	\$44.91	\$898.19	(\$45.81)	LONG TERM
PHARMACEUTICAL PRODUCT	PPDI	10/28/2007	04/06/2009	40,000	\$42.02	\$1,680.88	\$23.16	\$926.49	(\$754.39)	LONG TERM
DEVELOPMENT										
PHARMACEUTICAL PRODUCT	PPDI	11/19/2007	04/09/2009	40,000	\$39.82	\$1,592.80	\$23.66	\$946.24	(\$646.56)	LONG TERM
DEVELOPMENT										
PHARMACEUTICAL PRODUCT	PPDI	10/24/2008	04/09/2009	30,000	\$27.82	\$834.70	\$23.66	\$709.68	(\$125.02)	SHORT TERM
DEVELOPMENT										
PHARMACEUTICAL PRODUCT	PPDI	03/12/2009	04/09/2009	20,000	\$21.94	\$438.73	\$23.66	\$473.12	\$34.39	SHORT TERM
DEVELOPMENT										
APPLE INC	AAPL	07/23/2008	04/15/2009	7,000	\$166.73	\$1,167.10	\$116.87	\$818.06	(\$349.04)	SHORT TERM
FORMERLY APPLE COMPUTER, INC										
BEST BUY CO INC	BBY	11/28/2008	04/15/2009	40,000	\$20.67	\$826.96	\$37.29	\$1,491.61	\$664.65	SHORT TERM
JEI INVESTMENT	SEIC	12/28/2004	04/15/2009	10,000	\$21.23	\$212.35	\$14.33	\$143.27	(\$69.08)	LONG TERM
JEI INVESTMENT	SEIC	08/16/2007	04/15/2009	60,000	\$24.43	\$1,465.94	\$14.33	\$859.82	(\$606.32)	LONG TERM
CHARLES SCHWAB	SCHW	09/24/2007	04/16/2009	20,000	\$20.85	\$416.90	\$17.25	\$345.00	(\$71.90)	LONG TERM
CHARLES SCHWAB	SCHW	11/02/2007	04/16/2009	60,000	\$22.55	\$1,353.23	\$17.25	\$1,034.98	(\$318.24)	LONG TERM
DEERE & CO	DE	07/23/2008	04/24/2009	10,000	\$72.26	\$722.58	\$40.71	\$407.13	(\$315.45)	SHORT TERM
EOG RESOURCES INC	EOG	08/06/2008	04/24/2009	5,000	\$96.47	\$482.35	\$53.43	\$317.14	(\$165.21)	SHORT TERM
INTL BUSINESS MACHINES CORP	IBM	07/06/2007	04/24/2009	5,000	\$108.49	\$542.45	\$100.35	\$501.73	(\$40.72)	LONG TERM
INTUITIVE SURGICAL INC	ISRG	10/14/2008	04/24/2009	5,000	\$223.41	\$1,117.07	\$148.61	\$743.03	(\$374.04)	SHORT TERM
LABORATORY CORPORATION OF AMERICA	LH	12/21/2007	04/24/2009	5,000	\$76.64	\$383.20	\$61.53	\$307.64	(\$75.56)	LONG TERM
VEDIONIC INC	MDT	02/17/2005	04/24/2009	10,000	\$53.26	\$532.60	\$29.96	\$299.59	(\$233.01)	LONG TERM
MICROSOFT CORPORATION	MSFT	12/28/2004	04/24/2009	30,000	\$27.00	\$810.00	\$20.50	\$615.04	(\$194.96)	LONG TERM
NATIONAL OILWELL VARCO INC	NOV	06/17/2005	04/24/2009	5,000	\$24.17	\$120.86	\$30.87	\$154.84	\$33.98	LONG TERM
NATIONAL OILWELL VARCO INC	NOV	02/07/2008	04/24/2009	10,000	\$58.69	\$586.90	\$30.97	\$309.69	(\$277.21)	LONG TERM
BEST BUY CO INC	BBY	11/28/2008	04/27/2009	10,000	\$20.67	\$206.70	\$38.22	\$382.22	\$175.52	SHORT TERM
SEI INVESTMENT	SEIC	02/17/2005	04/27/2009	120,000	\$18.36	\$2,203.20	\$13.95	\$1,674.21	(\$528.99)	LONG TERM
WELLS FARGO & COMPANY (NEW)	WFC	09/08/2008	05/06/2009	40,000	\$32.84	\$1,313.57	\$25.87	\$1,034.77	(\$278.80)	SHORT TERM
WELLS FARGO & COMPANY (NEW)	WFC	10/14/2008	05/06/2009	20,000	\$32.77	\$655.37	\$25.87	\$517.39	(\$137.98)	SHORT TERM

ACCOUNT STATEMENT

JANUARY 01 - DECEMBER 31, 2009

ACCOUNT | ROCK RIVER FOUNDATION | 30010276

REALIZED GAIN (LOSS) DETAIL Continued

DESCRIPTION	SYMBOL	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL COST	SALE PRICE	PROCEEDS	REALIZED GAIN (LOSS)
NETAPP INC	NTAP	08/16/2007	05/08/2009	30,000	\$26.19	\$785.70	\$17.50	\$524.99	(\$260.71)
NETAPP INC	NTAP	11/15/2007	05/08/2009	50,000	\$26.26	\$1,312.99	\$17.50	\$874.98	(\$438.01)
NETAPP INC	NTAP	08/22/2007	05/08/2009	40,000	\$27.66	\$1,106.50	\$17.50	\$699.98	(\$406.52)
IR PRODUCTS & CHEMICALS INC	APD	12/28/2004	05/15/2009	5,000	\$58.84	\$293.20	\$62.39	\$311.95	\$18.75
AIR PRODUCTS & CHEMICALS INC	APD	02/17/2005	05/15/2009	5,000	\$60.36	\$301.90	\$62.39	\$311.95	\$10.05
INTUITIVE SURGICAL INC	ISRG	10/17/2008	05/15/2009	5,000	\$180.40	\$902.00	\$150.95	\$754.75	(\$147.25)
INTUITIVE SURGICAL INC	ISRG	10/14/2008	05/15/2009	3,000	\$223.41	\$670.24	\$150.95	\$452.85	(\$217.39)
CHARLES SCHWAB	SCHW	08/22/2007	05/15/2009	10,000	\$19.85	\$198.50	\$17.09	\$170.90	(\$27.60)
CHARLES SCHWAB	SCHW	09/24/2007	05/15/2009	40,000	\$20.85	\$833.81	\$17.09	\$683.60	(\$150.21)
SYSCO CORP	SY	02/05/2008	05/18/2009	10,000	\$29.40	\$294.00	\$29.95	\$299.50	(\$64.50)
SYSCO CORP	SY	09/08/2008	05/18/2009	30,000	\$33.27	\$997.97	\$22.95	\$688.49	(\$309.48)
AFLAC CORPORATION	AFL	12/28/2004	06/01/2009	40,000	\$39.95	\$1,598.00	\$36.96	\$1,478.33	(\$119.67)
FORMERLY AMERICAN FAMILY CORP									
COACH INC	COH	02/03/2009	06/01/2009	50,000	\$14.55	\$727.38	\$26.45	\$1,422.57	\$695.29
MEDTRONIC INC	MDT	02/17/2005	06/01/2009	50,000	\$53.26	\$2,663.00	\$34.44	\$1,721.76	(\$941.24)
EOG RESOURCES INC	EOG	09/16/2008	06/26/2009	5,000	\$89.72	\$448.59	\$67.89	\$339.45	(\$109.14)
EOG RESOURCES INC	EOG	08/06/2008	06/26/2009	5,000	\$96.47	\$482.35	\$67.89	\$339.45	(\$142.90)
INTUITIVE SURGICAL INC	ISRG	01/28/2009	06/26/2009	8,000	\$105.65	\$845.20	\$159.31	\$1,274.46	\$429.26
INTUITIVE SURGICAL INC	ISRG	10/17/2008	06/26/2009	5,000	\$180.40	\$902.00	\$159.31	\$796.54	(\$105.46)
AETNA INC (NEW)	AET	10/09/2008	07/16/2009	64,000	\$33.65	\$2,153.46	\$26.43	\$1,691.47	(\$461.99)
COCA-COLA CO	KO	08/20/2008	07/22/2009	30,000	\$42.89	\$1,286.81	\$49.00	\$1,469.99	\$183.18
COCA-COLA CO	KO	10/21/2008	07/22/2009	20,000	\$46.69	\$933.80	\$49.00	\$979.99	\$46.19
T ROWE PRICE ASSOCIATES INC	TROW	01/22/2008	07/22/2009	60,000	\$49.73	\$2,984.06	\$33.60	\$2,015.99	(\$968.07)
T ROWE PRICE ASSOCIATES INC	TROW	10/14/2008	07/22/2009	30,000	\$42.95	\$1,288.55	\$43.60	\$1,308.00	\$19.45
T ROWE PRICE ASSOCIATES INC	TROW	02/26/2009	07/22/2009	35,000	\$23.92	\$837.16	\$43.60	\$1,525.99	\$688.83
INTL BUSINESS MACHINES CORP	IBM	07/09/2007	07/28/2009	25,000	\$108.49	\$2,712.25	\$116.81	\$2,920.24	\$207.99
KOHL'S CORP	KSS	02/17/2005	08/14/2009	40,000	\$47.20	\$1,888.00	\$51.98	\$2,079.16	\$191.16
SYSCO CORP	SY	02/05/2008	08/14/2009	10,000	\$29.40	\$294.00	\$24.48	\$249.36	(\$546.64)
KOHL'S CORP	KSS	05/28/2008	08/19/2009	30,000	\$44.53	\$1,335.90	\$51.29	\$1,538.65	\$202.75
KOHL'S CORP	KSS	10/21/2008	08/19/2009	20,000	\$32.00	\$640.00	\$51.29	\$1,025.77	\$385.77
KOHL'S CORP	KSS	02/17/2005	08/19/2009	15,000	\$47.20	\$708.00	\$51.29	\$769.33	\$61.33
MEDTRONIC INC	MDT	12/28/2004	08/27/2009	70,000	\$48.93	\$3,425.10	\$38.75	\$2,712.73	(\$712.37)
MEDTRONIC INC	MDT	05/23/2006	08/27/2009	35,000	\$48.09	\$1,683.15	\$38.75	\$1,356.36	(\$326.79)
MEDTRONIC INC	MDT	08/06/2007	08/27/2009	10,000	\$52.77	\$527.70	\$38.75	\$387.53	(\$140.17)
MEDTRONIC INC	MDT	11/20/2007	08/27/2009	40,000	\$48.46	\$1,938.40	\$38.75	\$1,550.73	(\$387.67)
EOG RESOURCES INC	EOG	02/17/2005	08/27/2009	10,000	\$53.26	\$532.60	\$38.75	\$387.53	(\$145.07)
EOG RESOURCES INC	EOG	11/02/2007	09/08/2009	20,000	\$87.30	\$1,745.91	\$74.14	\$1,482.81	(\$263.10)
EOG RESOURCES INC	EOG	11/26/2007	09/08/2009	20,000	\$89.77	\$1,795.32	\$74.14	\$1,482.81	(\$312.51)
EOG RESOURCES INC	EOG	10/31/2007	09/08/2009	30,000	\$83.29	\$2,498.68	\$74.14	\$2,224.21	(\$274.47)
EOG RESOURCES INC	EOG	12/05/2008	09/08/2009	20,000	\$64.80	\$1,295.96	\$74.14	\$1,482.81	\$186.85
EOG RESOURCES INC	EOG	01/02/2009	09/08/2009	10,000	\$69.70	\$697.00	\$74.14	\$741.40	\$44.40
SYSCO CORP	SY	03/05/2008	09/08/2009	20,000	\$28.43	\$568.60	\$25.76	\$515.19	(\$53.41)
SYSCO CORP	SY	02/05/2008	09/08/2009	40,000	\$29.40	\$1,176.00	\$25.76	\$1,030.37	(\$145.63)
SYSCO CORP	SY	04/16/2008	09/16/2009	40,000	\$28.32	\$1,132.90	\$25.83	\$1,033.14	(\$99.76)
SYSCO CORP	SY	03/05/2008	09/16/2009	30,000	\$28.43	\$852.90	\$25.83	\$774.85	(\$78.05)
AFLAC CORPORATION	AFL	02/17/2005	09/18/2009	20,000	\$38.87	\$777.40	\$42.61	\$852.19	\$74.79
FORMERLY AMERICAN FAMILY CORP									
AUTOMATIC DATA PROCESSING INC	ADP	06/19/2006	09/18/2009	30,000	\$40.22	\$1,206.59	\$39.17	\$1,175.06	(\$31.53)
SUNTRUST BANKS INCORPORATED	STI	04/16/2009	09/18/2009	50,000	\$15.95	\$797.49	\$23.59	\$1,179.50	\$382.01
AUTOMATIC DATA PROCESSING INC	ADP	04/16/2009	09/28/2009	20,000	\$36.03	\$720.60	\$39.89	\$797.98	\$77.38

ACCOUNT STATEMENT

JANUARY 01 - DECEMBER 31, 2009

ACCOUNT | ROCK RIVER FOUNDATION | 30010276

REALIZED GAIN (LOSS) DETAIL Continued

DESCRIPTION	SYMBOL /CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL COST	SALE PRICE	TOTAL PROCEEDS	REALIZED GAIN (LOSS)
AUTOMATIC DATA PROCESSING INC	ADP 08/19/2006	08/28/2009	50 000	\$40.22	\$2,010.97	\$39.89	\$1,994.70	(\$16.27)	LONG TERM
FRANKLIN RESOURCES INC	BEN 07/22/2009	10/06/2009	10 000	\$78.60	\$786.00	\$102.49	\$1,024.94	\$258.94	SHORT TERM
STAPLES INC	SPLS 01/26/2007	10/08/2009	60 000	\$25.58	\$1,534.80	\$28.90	\$1,733.99	\$199.19	LONG TERM
UNITED PARCEL SERVICE INC	UPS 11/04/2008	10/06/2009	10 000	\$54.37	\$543.66	\$55.76	\$557.60	\$13.94	SHORT TERM
UNITED PARCEL SERVICE INC	UPS 12/05/2008	10/06/2009	10 000	\$56.16	\$561.56	\$55.76	\$557.60	(\$3.96)	SHORT TERM
BANK NEW YORK MELLON CORP	BK 02/02/2005	10/09/2009	9 434	\$31.12	\$293.60	\$28.61	\$269.87	(\$23.73)	LONG TERM
BANK NEW YORK MELLON CORP	BK 02/17/2005	10/09/2009	89 823	\$32.08	\$2,874.70	\$28.61	\$2,563.75	(\$310.95)	LONG TERM
BANK NEW YORK MELLON CORP	BK 03/02/2005	10/09/2009	23 585	\$32.28	\$761.25	\$28.61	\$674.67	(\$86.58)	LONG TERM
BANK NEW YORK MELLON CORP	BK 12/26/2004	10/09/2009	40 358	\$35.37	\$1,437.55	\$28.61	\$1,154.48	(\$283.07)	LONG TERM
GOLDMAN SACHS GROUP INC	GS 02/26/2008	10/09/2009	6 000	\$176.30	\$1,057.80	\$188.71	\$1,132.26	\$74.46	LONG TERM
CHARLES SCHWAB	SCHW 08/16/2007	10/09/2009	20 000	\$17.94	\$358.85	\$19.43	\$388.59	\$29.74	LONG TERM
CHARLES SCHWAB	SCHW 08/22/2007	10/09/2009	40 000	\$19.85	\$794.00	\$19.43	\$777.19	(\$16.81)	LONG TERM
INTEL CORP	INTC 05/22/2008	10/16/2009	80 000	\$23.87	\$1,909.59	\$20.10	\$1,607.96	(\$301.63)	LONG TERM
NOT ELIGIBLE FOR CERT FORM	INTC 08/05/2008	10/16/2009	50 000	\$23.85	\$1,192.49	\$20.10	\$1,004.97	(\$187.52)	LONG TERM
NOT ELIGIBLE FOR CERT FORM	TAP 11/21/2008	10/16/2009	30 000	\$41.43	\$1,243.05	\$49.98	\$1,499.74	\$256.69	SHORT TERM
MOLSON COORS CLASS B	BEN 07/22/2009	10/21/2009	15 000	\$76.60	\$1,149.00	\$113.01	\$1,695.22	\$546.22	SHORT TERM
FRANKLIN RESOURCES INC	DE 05/11/2007	10/23/2009	40 000	\$59.70	\$2,388.13	\$48.89	\$1,955.64	(\$432.49)	LONG TERM
DEERE & CO	MCHP 04/02/2008	11/04/2009	120 000	\$34.61	\$4,152.92	\$24.44	\$2,932.67	(\$1,220.25)	LONG TERM
MICROCHIP TECHNOLOGY INC	MCHP 07/25/2008	11/04/2009	40 000	\$31.17	\$1,246.79	\$24.44	\$977.56	(\$269.23)	LONG TERM
CHARLES SCHWAB	SCHW 08/16/2007	11/04/2009	160 000	\$17.94	\$2,870.84	\$17.27	\$2,762.70	(\$108.14)	LONG TERM
BHP BILLITON LTD	BHP 06/01/2009	11/12/2009	18 000	\$60.04	\$1,080.71	\$71.72	\$1,290.94	\$210.23	SHORT TERM
BHP BILLITON LTD	BHP 06/01/2009	12/03/2009	19 000	\$60.04	\$1,140.75	\$78.16	\$1,485.00	\$344.25	SHORT TERM
STAPLES INC	SPLS 02/17/2005	12/04/2009	157 500	\$21.31	\$3,356.85	\$24.17	\$3,806.08	\$449.24	LONG TERM
STAPLES INC	SPLS 12/29/2004	12/04/2009	84 500	\$22.20	\$1,875.90	\$24.17	\$2,042.00	\$166.10	LONG TERM
STAPLES INC	SPLS 10/31/2007	12/04/2009	40 000	\$23.12	\$924.63	\$24.17	\$966.63	\$42.00	LONG TERM
STAPLES INC	SPLS 04/04/2008	12/04/2009	60 000	\$23.22	\$1,393.20	\$24.17	\$1,449.94	\$56.74	LONG TERM
STAPLES INC	SPLS 01/26/2007	12/04/2009	10 000	\$25.58	\$255.80	\$24.17	\$241.66	(\$14.14)	LONG TERM
BHP BILLITON LTD	BHP 08/26/2009	12/08/2009	20 000	\$54.70	\$1,094.10	\$73.03	\$1,460.56	\$366.46	SHORT TERM
BHP BILLITON LTD	BHP 08/01/2009	12/08/2009	3 000	\$60.04	\$180.12	\$73.03	\$219.08	\$38.96	SHORT TERM
Realized Capital Gain (Loss) This Year					\$171,119.04		\$145,138.61	(\$25,980.43)	
Long Term Gain (Loss) This Year					\$128,535.17		\$101,860.97	(\$26,674.20)	
Short Term Gain (Loss) This Year					\$42,583.87		\$43,277.64	\$693.77	

This information should not be used for tax preparation without the assistance of your tax advisor.

INTERESTED PARTIES

ATLANTA CAPITAL MANAGEMENT CO. LLC
ATTN: SHARON PINKSTON/NEWACCOUNTS
1348 WEST PEACHTREE STREET
TWO MIDTOWN PLAZA, SUITE 1600
ATLANTA, GA 30309

A C C O U N T S T A T E M E N T

JANUARY 01 - DECEMBER 31, 2009

ACCOUNT | ROCK RIVER FNDTN C/O S J HAMMER | 62815717

REALIZED GAIN (LOSS) DETAIL Continued

DESCRIPTION	SYMBOL /CUSIP	DATE ACQUIRED	DATE SOLD	QUANTITY	PURCHASE PRICE	TOTAL COST	SALE PRICE	PROCEEDS	REALIZED GAIN (LOSS)	SHORT TERM
FREDDIE MAC FIXED/BOOKENTRY 5.000 % 04/16/18	3128X7JL9	04/28/2008	01/16/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	LONG TERM
FANNIE MAE FNMA INVESTMENT NOTE/FIXED SHORT 1ST CPN BOOK-ENTRY 4.000 % 03/11/11	3136F3BZ8	09/23/2005	02/02/2009	42,000,000	\$97.60	\$40,992.84	\$100.00	\$42,000.00	\$1,007.16	LONG TERM
FANNIE MAE FNMA INVESTMENT NOTE FIXED BOOK-ENTRY 5.000 % 12/30/15	3136F2D21	09/23/2005	04/06/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	LONG TERM
FANNIE MAE FNMA INVESTMENT NOTES/FIXED BOOKENTRY 5.000 % 10/16/17	3136F3LK0	09/23/2005	04/27/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	LONG TERM
FREDDIE MAC FREDDIENOTES/ESTATE FEATURE BOOKENTRY 5.250 % 09/15/17	3133F0VP1	11/14/2005	05/05/2009	40,000,000	\$100.00	\$40,000.00	\$100.00	\$40,000.00	\$0.00	LONG TERM
FREDDIE MAC FREDDIENOTES/ESTATE FEATURE SHORT 1ST CPN/BOOK-ENTRY 5.000 % 09/15/17	3133F0WA3	04/28/2008	05/05/2009	20,000,000	\$100.00	\$20,000.00	\$100.00	\$20,000.00	\$0.00	LONG TERM
FREDDIE MAC FREDDIENOTES/ESTATE FEATURE FIXED/BOOKENTRY 5.250 % 01/15/18	3133F0ZT9	06/01/2007	05/05/2009	25,000,000	\$98.99	\$24,747.50	\$100.00	\$25,000.00	\$252.50	LONG TERM
FREDDIE MAC/FREDDIENOTES FIXED/ESTATE FEATURE SHORT 1ST CPN/BOOKENTRY 4.000 % 04/15/15	3133F2ZJ7	01/20/2009	05/05/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	SHORT TERM
FREDDIE MAC FIXED/BOOKENTRY 5.00 % 02/25/28	3128X6Y75	02/12/2008	11/25/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	LONG TERM
FREDDIE MAC FIXED/BOOKENTRY 5.250 % 02/27/23	3128X6V29	02/19/2009	11/27/2009	25,000,000	\$100.00	\$25,000.00	\$100.00	\$25,000.00	\$0.00	SHORT TERM
Realized Capital Gain (Loss) This Year						\$300,984.09		\$302,000.00	\$1,015.91	
Long Term Gain (Loss) This Year						\$200,740.30		\$202,000.00	\$1,259.66	
Short Term Gain (Loss) This Year						\$100,243.75		\$100,000.00	(\$243.75)	

This information should not be used for tax preparation without the assistance of your tax advisor.

Realized Gain/Loss

Page 12 of 14

As of Date: 2/05/10

Account Number: 5384-3334
 THE ROCK RIVER
 FOUNDATION INC.

Realized Gain/Loss Detail for Year

Long Term									
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
RUSSELL EMERGING MKTS CL S	88.5680	20.2300	01/05/07	07/10/09	1,169.10	1,791.74	-622.64		
	77.4080	20.2300	01/05/07	10/09/09	1,339.94	1,565.97	-226.03		
RUSSELL IMVT CO EMERGING MKTS FD CL S	90.5650	20.2300	01/05/07	04/09/09	995.31	1,832.13	-836.82		
RUSSELL INVT CO US QUANTITATIVE EQUITY I	56.2130	37.8200	01/26/07	01/14/09	1,120.33	2,125.98	-1,005.65		
Total - Long Term					\$4,624.68	\$7,315.82	-\$2,691.14		



Ref: 00000186 00015798

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000180	20	BNP PARIBAS SPON ADR SALE OF RTS ON 20.0000 SHS RECORD 11/02/09 PAY 11/09/09	11/02/09	11/02/09	\$ 19.30	\$.01		\$ 19.29
125000320	9	CARREFOUR SA UNSPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/09	07/16/09	82.79	60.39		22.40
125000330	61	CARREFOUR SA UNSPON ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	02/18/09	11/20/09	554.06	409.28		144.78
125000500	20 7	IBERDROLA ADR	09/12/08 09/15/08	02/10/09	574.99 201.25	844.83 288.39	(269.64) (87.14)	
125000510	12 26 12 10	IBERDROLA ADR Morgan Stanley Sml th Barney LLC acted as yo	09/15/08 09/23/08 09/24/08 10/22/08	07/16/09	380.99 825.48 380.99 317.49	494.38 1,096.25 508.83 285.39	(113.39) (270.77) (127.84)	32.10
125000610	99	LLOYDS TSB GRP PLC SP ADR	01/22/09	03/20/09	315.47	597.96	(282.49)	
125000910	20.2649 3.5642 17.0571 7.899 9.0913 4.1235	ROYAL BK SCOTLAND GROUP PLC SPON ADR	06/11/08 06/19/08 06/20/08 09/11/08 09/12/08 09/15/08	02/10/09	131.99 23.22 111.10 51.45 59.22 26.85	1,747.58 315.27 1,466.69 655.37 777.82 321.43	(1,615.59) (292.05) (1,355.59) (603.92) (718.60) (294.58)	
125000990	9 9 3	SEVEN & I HLDGS CO LTD-JPY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/17/09 02/18/09 03/11/09	07/16/09	407.24 407.24 135.74	451.11 451.87 121.89	(43.87) (44.63)	13.85
125001000	3 7	SEVEN & I HLDGS CO LTD-JPY SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	03/11/09 03/12/09	11/20/09	131.24 306.24	121.89 281.57		9.35 24.67
125001010	9	SIEMENS A G SPONS ADR	09/15/08	02/10/09	530.34	864.86	(334.52)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015799

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001020	7	SIEMENS A G SPONS ADR	09/15/08	07/16/09	\$ 503.99	\$ 672.67	(\$ 168.68)	
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/22/08		575.98	802.28	(226.30)	
125001040	35	SINGAPORE TELECOMMUNICATIO	06/09/08	02/10/09	560.38	937.80	(377.42)	
	10	SPONSORED ADR NEW	06/10/08		160.11	266.21	(106.10)	
125001050	31	SINGAPORE TELECOMMUNICATIO	08/08/08	07/16/09	699.03	779.63	(80.60)	
	33	SPONSORED ADR NEW Morgan Stanley Sml th Barney LLC acted as yo	08/12/08		744.13	817.38	(73.25)	
125001090	298	SOCIETE GENERALE SPON ADR SALE OF RTS ON 298.0000 SHS	10/07/09	10/07/09	236.75	.01		236.74
125001190	43	TAKEDA PHARMACEUTICAL CO	06/06/08	02/10/09	929.25	1,213.81	(284.56)	
	8	LTD-JPY	06/09/08		172.88	223.84	(50.96)	
125001680	13	VODAFONE GROUP PLC SPONS	08/13/09	11/24/09	295.40	279.85		15.55
	21	ADR NEW SBX = H1 SBX CONNECTIVITY BUSINESS	08/14/09		477.18	443.47		33.71
125001690	7	ZURICH FINCL SVCS SPON ADR	09/18/09	11/20/09	152.94	165.72	(12.78)	
	12	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	09/21/09		262.19	281.81	(19.62)	
Total					\$ 11,744.89	\$ 19,047.34	(\$ 7,854.89)	\$ 552.44

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	21	AMCOR LTD ADR NEW -USD-	12/21/04	02/10/09	\$ 259.13	\$ 463.89	(\$ 204.76) c	



2 0 0 9 Y E A R E N D S U M M A R Y

Ref: 00000186 00015800

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	45	AMCOR LTD ADR NEW -USD- Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/04	07/16/09	\$ 749.68	\$ 994.05	(\$ 244.37) c	
125000030	9	AMCOR LTD ADR NEW -USD- SBX = H1	12/21/04	11/20/09	184.04	198.81	(14.77) c	
	7	SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	02/13/06		143.15	144.74	(1.59) c	
125000040	1	BG GROUP PLC SPON ADR	12/21/04	02/10/09	72.89	34.29		38.60 c
	8		02/13/06		583.11	473.24		109.87 c
125000050	17	BG GROUP PLC SPON ADR	02/13/06	07/16/09	1,436.46	1,005.62		430.84 c
	10	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/27/07		844.98	727.60		117.38 c
125000060	7	BG GROUP PLC SPON ADR SBX = H1	04/27/07	11/20/09	648.95	509.32		139.63 c
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000070	27	BP PLC SPONS ADR	12/21/04	02/10/09	1,168.28	1,591.11	(422.83) c	
125000080	18	BP PLC SPONS ADR	12/21/04	07/16/09	875.53	1,060.74	(185.21) c	
	17	Morgan Stanley Sml	01/28/05		826.89	1,011.38	(184.49) c	
	17	th Barney LLC acted as yo ur agent in this transa	02/13/06		826.89	1,149.03	(322.14) c	
	5		03/08/07		243.20	304.63	(61.43) c	
125000090	15	BP PLC SPONS ADR	03/08/07	11/20/09	863.52	913.88	(50.36) c	
	3	SBX = H1	04/27/07		172.71	203.07	(30.36) c	
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000100	3	BP PLC SPONS ADR	04/27/07	12/08/09	170.96	203.07	(32.11) c	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125000110	15	BNP PARIBAS SPON ADR	06/24/05	02/10/09	266.99	510.65	(243.66) c	
125000120	4	BNP PARIBAS SPON ADR	06/24/05	07/16/09	138.88	136.17		2.71 c
	19	Morgan Stanley Sml	07/06/05		659.66	649.13		10.53 c
	6	th Barney LLC acted as yo ur agent in this transa	01/30/06		208.31	264.13	(55.82) c	
	4		06/14/06		138.88	170.12	(31.24) c	

2009 YEAR END SUMMARY



Ref: 00000186 00015801

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000130	4	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/14/06	08/20/09	\$ 157.60	\$ 170.12	(\$ 12.52) c	
J0140	15	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/14/06	08/21/09	610.94	637.94	(27.00) c	
125000150	1 10	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/14/06 04/27/07	09/18/09	42.18 421.85	42.53 586.50	(.35) c (164.65) c	
125000160	1	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/27/07	09/21/09	41.99	58.65	(16.66) c	
125000170	7	BNP PARIBAS SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	04/27/07	09/24/09	293.61	410.55	(116.94) c	
125000190	3	BNP PARIBAS SPON ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	07/20/07	11/20/09	125.39	175.05	(49.66) c	
125000200	75	BANCO SANTANDER S.A.	12/21/04	02/10/09	546.74	906.46	(359.72) c	
125000210	73 39	BANCO SANTANDER S.A. Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/21/04 02/13/06	07/15/09	903.74 482.82	882.28 552.93		21.46 c
125000220	61 65	BANCO SANTANDER S.A. Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/13/06 04/27/07	07/16/09	774.70 825.49	864.84 1,159.60	(90.14) c (334.11) c	
125000230	42	BANCO SANTANDER S.A. SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	04/27/07	11/20/09	715.66	749.28	(33.62) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015802

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000240	9	BANCO SANTANDER S.A. SBX = H1 MorganStanley SmithBarney LLC	04/27/07	12/08/09	\$ 153.28	\$ 160.56	(\$ 7.28) c	
125000250	9	BAYER A G SPONSORED ADR	04/27/07	02/10/09	494.90	611.55	(116.65) c	
125000260	11	BAYER A G SPONSORED ADR	04/27/07	07/16/09	579.13	747.45	(168.32) c	
	7	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/20/07		368.54	530.95	(162.41) c	
125000270	7	BAYER A G SPONSORED ADR SBX = H1 MorganStanley SmithBarney LLC	07/20/07	11/20/09	536.95	530.95		6.00 c
125000280	39	CANON INC ADR	12/21/04	02/10/09	1,074.05	1,365.00	(290.95) c	
125000290	78	CANON INC ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/04	07/16/09	2,585.79	2,730.00	(144.21) c	
125000300	3	CANON INC ADR	12/21/04	11/20/09	113.76	105.00		8.76 c
	24	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	02/13/06		910.06	956.30	(46.24) c	
125000310	6	CANON INC ADR SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	02/13/06	12/08/09	247.00	239.07		7.93 c
125000340	65	DEUTSCHE TELEKOM AG SP ADR	10/16/06	02/10/09	787.21	1,047.02	(259.81) c	
	31		10/23/06		375.44	500.25	(124.81) c	
125000350	39	DEUTSCHE TELEKOM AG SP ADR	10/23/06	07/16/09	452.00	629.35	(177.35) c	
	5	Morgan Stanley Sml	03/09/07		57.95	83.03	(25.08) c	
	60	th Barney LLC acted as yo	04/03/07		695.38	1,036.94	(341.56) c	
	70	ur agent in this transa	04/13/07		811.28	1,226.30	(415.02) c	
	24		04/27/07		278.15	439.20	(161.05) c	
125000360	47	DEUTSCHE TELEKOM AG SP ADR	04/27/07	11/20/09	663.15	860.10	(196.95) c	
	21	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	05/08/07		296.30	358.59	(62.29) c	



Ref: 00000186 00015803

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000370	14	DEUTSCHE TELEKOM AG SP ADR SBX = H1	05/08/07	12/08/09	\$ 207.77	\$ 239.06	(\$ 31.29) c	
		MorganStanley SmithBarney LLC						
00380	125	FORTIS NL	12/21/04	02/10/09	196.25	3,368.75	(3,172.50) c	
	25	SPONSORED ADR NEW	04/08/05		39.25	715.94	(676.69) c	
	35		02/13/06		54.95	1,221.50	(1,166.55) c	
	46		04/27/07		72.22	2,111.40	(2,039.18) c	
	55		07/20/07		86.34	2,288.00	(2,201.66) c	
125000390	132	FOSTERS GROUP LTD NEW SPONS ADR	12/21/04	02/10/09	443.51	597.96	(154.45) c	
125000400	294	FOSTERS GROUP LTD NEW SPONS ADR	12/21/04	07/16/09	1,249.46	1,331.82	(82.36) c	
		Morgan Stanley Sml th Barney LLC acted as yo						
125000410	69	FOSTERS GROUP LTD NEW	12/21/04	11/20/09	343.61	312.57		31.04 c
	35	SPONS ADR	02/13/06		174.29	146.65		27.64 c
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						
125000420	48	GLAXOSMITHKLINE PLC SP ADR	12/21/04	02/10/09	1,743.54	2,208.11	(464.57) c	
125000430	62	GLAXOSMITHKLINE PLC SP ADR	12/21/04	07/16/09	2,280.76	2,852.15	(571.39) c	
	24	Morgan Stanley Sml	02/13/06		882.87	1,251.54	(368.67) c	
	4	th Barney LLC acted as yo ur agent in this transa	10/11/06		147.15	217.38	(70.23) c	
125000440	16	GLAXOSMITHKLINE PLC SP ADR	10/11/06	11/20/09	662.38	869.50	(207.12) c	
	15	SBX = H1	01/16/07		620.98	819.97	(198.99) c	
	1	SBX CONNECTIVITY BUSINESS	04/27/07		41.40	57.71	(16.31) c	
		MorganStanley SmithBarney LLC						
125000450	6	GLAXOSMITHKLINE PLC SP ADR	04/27/07	12/08/09	252.54	346.26	(93.72) c	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
125000460	15.3738	HBOS PLC	11/28/05	01/22/09	13.97	229.79	(215.82) c	
	15.3738	SPONSORED ADR	12/22/05		13.97	257.61	(243.64) c	
	92.2429		01/24/06		83.83	1,551.06	(1,467.23) c	
	61.4953		01/26/06		55.88	1,064.13	(1,008.25) c	
	36.8971		02/13/06		33.53	642.42	(608.89) c	
	55.3457		06/20/06		50.30	949.75	(899.45) c	
	46.1214		09/21/06		41.91	910.90	(868.99) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015804

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	51,2461		11/15/06		\$ 46.57	\$ 1,018.79	(\$ 972.22) c	
	5,1246		11/16/06		4.66	102.12	(97.46) c	
	72,7694		04/27/07		66.13	1,556.56	(1,490.43) c	
	87,1183		07/06/07		79.17	1,751.16	(1,671.99) c	
	118,8916		07/20/07		108.04	2,398.29	(2,290.25) c	
125000470	135	HONG-KONG ELECTRIC HLDGS SPONS ADR	12/21/04	02/10/09	752.05	627.75		124.30 c
125000480	155	HONG-KONG ELECTRIC HLDGS	12/21/04	07/16/09	847.82	720.75		127.07 c
	73	SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	02/13/06		399.30	343.10		56.20 c
125000490	50	HONG-KONG ELECTRIC HLDGS	02/13/06	11/20/09	269.99	235.00		34.99 c
	24	SPONS ADR SBX = H1 SBX CONNECTIVITY BUSINESS	04/27/07		129.59	128.40		1.19 c
125000520	20	IBERDROLA	10/22/08	11/20/09	753.97	570.77		183.20
	3	ADR SBX = H1 SBX CONNECTIVITY BUSINESS	10/23/08		113.10	83.60		29.50
125000530	54	ING GROEP NV SPONS ADR	12/21/04	02/10/09	427.71	1,592.43	(1,164.72) c	
1000540	116	ING GROEP NV SPONS ADR	12/21/04	07/16/09	1,264.60	3,420.79	(2,156.19) c	
	7	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/13/06		76.31	255.48	(179.17) c	
125000550	31	ING GROEP NV SPONS ADR	02/13/06	11/20/09	427.45	1,131.41	(703.96) c	
	8	SBX = H1 SBX CONNECTIVITY BUSINESS Morgan Stanley Smith Barney LLC	04/27/07		110.31	365.20	(254.89) c	
125000560	4	KAO CORP-JPY	04/03/06	02/10/09	884.29	1,066.98	(182.69) c	
	2	SPONS ADR	05/24/06		442.15	519.16	(77.01) c	
125000570	7	KAO CORP-JPY	05/24/06	07/16/09	1,537.23	1,817.05	(279.82) c	
	5	SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	01/10/07		1,098.02	1,354.26	(256.24) c	
125000580	4	KAO CORP-JPY	04/27/07	11/20/09	940.17	1,108.00	(167.83) c	
		SPONS ADR SBX = H1 SBX CONNECTIVITY BUSINESS						



MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

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ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000590	.2745	LLOYDS TSB GRP PLC SP ADR	12/21/04	01/20/09	\$.74	\$ 9.81	(\$ 9.07) c	
	.063	MERGER 01/20/09 42205M106001	02/13/06		.17	2.44	(2.27) c	
	.0834		04/27/07		.22	3.89	(3.67) c	
	.1016		07/20/07		.28	4.74	(4.46) c	
30600	135	LLOYDS TSB GRP PLC SP ADR	12/21/04	03/19/09	437.84	4,825.47	(4,387.63) c	
	31		02/13/06		100.54	1,201.82	(1,101.28) c	
	30		04/27/07		97.30	1,401.45	(1,304.15) c	
125000610	11	LLOYDS TSB GRP PLC SP ADR	04/27/07	03/20/09	35.05	513.87	(478.82) c	
	50		07/20/07		159.33	2,332.26	(2,172.93) c	
125000620	66	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD-	12/21/04	02/10/09	773.83	1,441.91	(668.08) c	
125000630	135	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- Morgan Stanley Smi th Barney LLC acted as yo	12/21/04	07/16/09	2,581.13	2,949.35	(368.22) c	
125000640	24	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- VSP 12/21/04 -VSP 12212004	12/21/04	10/15/09	703.82	524.33		179.49 c
125000650	3	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- VSP 02/13/06 -VSP 02132006	02/13/06	10/15/09	87.97	77.04		10.93 c
1 3660	34	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- VSP 02/13/06 -VSP 02132006	02/13/06	11/06/09	887.30	873.06		14.24 c
125000670	23	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- SBX = H1	02/13/06	11/20/09	595.91	590.60		5.31 c
	11		04/27/07		285.00	394.26	(109.26) c	
125000680	26	NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- VSP 04/27/07 -VSP 04272007	04/27/07	12/01/09	676.36	931.89	(255.53) c	
125000690	7	NATIONAL GRID PLC	12/21/04	02/10/09	329.27	377.29	(48.02) c	
	1	GBP SPONS ADR NEW	10/31/05		47.04	46.10		94 c
	1		02/13/06		47.04	51.18	(4.14) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015806

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000700	24	NATIONAL GRID PLC GBP SPONS ADR NEW Morgan Stanley Sml th Barney LLC acted as yo	02/13/06	07/16/09	\$ 1,104.69	\$ 1,228.29	(\$ 123.60) c	
125000710	5	NATIONAL GRID PLC	02/13/06	11/20/09	267.64	255.89		11.75 c
	2	GBP SPONS ADR NEW SBX = H1	04/27/07		107.06	156.92	(49.86) c	
		SBX CONNECTIVITY BUSINESS						
125000720	6	NIPPON TEL & TEL SPON ADR	12/21/04	02/09/09	137.40	130.08		7.32 c
125000730	57	NIPPON TEL & TEL SPON ADR	12/21/04	02/10/09	1,284.88	1,235.76		49.12 c
125000740	34	NIPPON TEL & TEL SPON ADR	12/21/04	02/10/09	784.37	737.12		47.25 c
125000750	38	NIPPON TEL & TEL SPON ADR	12/21/04	07/16/09	748.46	823.84	(75.38) c	
	40	Morgan Stanley Sml	04/07/05		787.85	891.81	(103.96) c	
	24	th Barney LLC acted as yo ur agent in this transa	02/13/06		472.72	524.40	(51.68) c	
125000760	3	NIPPON TEL & TEL SPON ADR	02/13/06	11/12/09	62.24	65.55	(3.31) c	
	27	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/08/06		560.20	576.93	(16.73) c	
125000770	9	NIPPON TEL & TEL SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/08/06	11/13/09	186.17	192.31	(6.14) c	
125000780	9	NIPPON TEL & TEL SPON ADR	03/08/06	11/20/09	183.77	192.31	(8.54) c	
	19	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	04/27/07		387.97	474.05	(86.08) c	
125000790	5	NOVARTIS AG ADR	04/25/07	02/10/09	210.36	291.05	(80.69) c	
	31		04/27/07		1,304.22	1,830.86	(526.64) c	
125000800	8	NOVARTIS AG ADR	04/27/07	07/16/09	336.17	472.48	(136.31) c	
	15	Morgan Stanley Sml	05/15/07		630.31	865.46	(235.15) c	
	10	th Barney LLC acted as yo	05/16/07		420.21	573.45	(153.24) c	
	30	ur agent in this transa	06/06/07		1,260.62	1,685.35	(424.73) c	
	12		06/18/07		504.25	669.49	(165.24) c	
125000810	13	NOVARTIS AG ADR	06/18/07	11/20/09	689.49	725.28	(35.79) c	
	12	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	07/06/07		636.46	662.92	(26.46) c	

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Ref: 00000186 00015807

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000820	4	NOVARTIS AG ADR SBX = H1	07/06/07	12/08/09	\$ 220.77	\$ 220.98	(\$.21) c	
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
00830	6	RWE AG SPONS ADR -USD	12/21/04	02/02/09	461.53	324.60		136.93 c
125000840	7	RWE AG SPONS ADR -USD	12/21/04	02/03/09	549.74	378.70		171.04 c
125000850	18	RWE AG SPONS ADR -USD	12/21/04	02/10/09	1,398.23	973.80		424.43 c
125000860	39	RWE AG SPONS ADR -USD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/04	07/16/09	3,093.01	2,109.90		983.11 c
125000870	11	RWE AG SPONS ADR -USD SBX = H1	02/13/06	11/20/09	1,014.72	889.35		125.37 c
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
125000880	33	REED ELSEVIER NV	12/21/04	02/10/09	712.83	1,022.87	(310.04) c	
125000890	57.5172 11.4828	REED ELSEVIER NV Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/04 02/13/06	07/16/09	1,308.48 261.23	1,782.80 375.26	(474.32) c (114.03) c	
125000900	23	REED ELSEVIER NV SBX = H1	02/13/06	11/20/09	544.82	751.64	(206.82) c	
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
125000920	24	ROYAL DUTCH SHELL PLC ADR CL A	12/21/04	02/10/09	1,175.65	1,347.84	(172.19) c	
125000930	40 5	ROYAL DUTCH SHELL PLC ADR CL A	12/21/04 02/13/06	07/16/09	1,999.63 249.95	2,246.40 313.75	(246.77) c (63.80) c	
		Morgan Stanley Sml th Barney LLC acted as yo						
125000940	11 4	ROYAL DUTCH SHELL PLC ADR CL A	02/13/06 04/27/07	11/20/09	665.04 241.83	690.24 279.48	(25.20) c (37.65) c	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						
125000950	3	ROYAL DUTCH SHELL PLC ADR CL A	04/27/07	12/08/09	178.52	209.61	(31.09) c	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						

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Ref: 00000186 00015808

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000960	15	SASOL LTD SPONS ADR	12/21/04	02/10/09	\$ 414.16	\$ 314.95		\$ 99.21 c
00970	39	SASOL LTD SPONS ADR Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	12/21/04	07/16/09	1,370.71	818.86		551.85 c
125000980	11	SASOL LTD SPONS ADR	12/21/04	11/20/09	425.02	230.96		194.06 c
2		SBX = H1	02/13/06		77.28	78.08	(.80) c	
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125001030	6	SIEMENS A G SPONS ADR	09/22/08	11/20/09	572.21	601.71	(29.50)	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125001050	23	SINGAPORE TELECOMMUNICATIO SPONSORED ADR NEW	06/10/08	07/16/09	518.63	612.28	(93.65)	
		Morgan Stanley Smit th Barney LLC acted as yo						
125001060	6	SINGAPORE TELECOMMUNICATIO	08/12/08	11/20/09	125.10	148.62	(23.52)	
22		SPONSORED ADR NEW	08/14/08		458.72	543.36	(84.64)	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						
0001070	81	SOCIETE GENERALE SPON ADR	12/21/04	02/10/09	568.74	1,607.85	(1,039.11) c	
125001080	131	SOCIETE GENERALE SPON ADR	12/21/04	07/16/09	1,555.45	2,600.35	(1,044.90) c	
37		Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/13/06		439.32	1,004.55	(565.23) c	
		SBX = H1						
125001100	29	SOCIETE GENERALE SPON ADR	02/13/06	11/20/09	408.88	787.35	(378.47) c	
28		SBX = H1	04/27/07		394.79	1,195.60	(800.81) c	
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125001110	12	SOCIETE GENERALE SPON ADR	04/27/07	12/08/09	171.59	512.40	(340.81) c	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC						
125001120	15	STORA ENSO OYJ ADR	05/17/05	02/10/09	85.99	196.02	(110.03) c	
30			05/18/05		171.99	399.08	(227.09) c	
6			06/08/05		34.40	81.30	(46.90) c	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00000186 00015809

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001130	14	STORA ENSO OYJ ADR	06/08/05	07/16/09	\$ 77.72	\$ 189.70	(\$ 111.98) c	
	30	Morgan Stanley Sml	06/09/05		166.55	398.05	(231.50) c	
	11	th Barney LLC acted as yo	08/12/05		61.07	152.68	(91.61) c	
	41	ur agent in this transa	08/30/05		227.61	548.56	(320.95) c	
	3		02/13/06		16.65	40.55	(23.90) c	
125001140	34	STORA ENSO OYJ ADR	02/13/06	11/20/09	269.27	449.99	(180.72) c	
	2	SBX = H1	04/27/07		15.84	35.87	(20.03) c	
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
125001150	90	TAIWAN SEMICONDUCTOR MFG	02/04/08	02/10/09	725.57	879.37	(153.80)	
		CO LTD ADR						
125001160	186	TAIWAN SEMICONDUCTOR MFG	02/04/08	07/16/09	1,830.56	1,817.35		13.21
		CO LTD ADR						
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
125001170	.0012	TAIWAN SEMICONDUCTOR MFG	Unavailable	08/14/09	.01			14
	.2274	CO LTD ADR	02/04/08		2.35	2.21		
	.0736	CASH IN LIEU OF .51990	05/07/08		.76	.81	(.05)	
	.036	RECORD 07/17/09 PAY 08/14/09	05/08/08		.37	.39	(.02)	
	.0327		05/08/08		.34	.36	(.02)	
	.0442		05/21/08		.46	.49	(.03)	
	.0147		05/23/08		.15	.16	(.01)	
	.0213		06/09/08		.22	.23	(.01)	
	.0442		06/10/08		.46	.48	(.02)	
	.0246		06/11/08		.25	.27	(.02)	
125001180	.7385	TAIWAN SEMICONDUCTOR MFG	Unavailable	11/20/09	7.66			
	60.2615	CO LTD ADR	02/04/08		624.89	585.52		39.37
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						
125001200	14	TAKEDA PHARMACEUTICAL CO	06/09/08	07/16/09	268.58	391.73	(123.15)	
	16	LTD-JPY	06/10/08		306.95	439.65	(132.70)	
	23	Morgan Stanley Sml	06/11/08		441.24	626.53	(185.29)	
	29	th Barney LLC acted as yo	06/12/08		556.34	775.48	(219.14)	
	14		07/03/08		268.58	359.24	(90.66)	
	3		07/07/08		57.55	74.33	(16.78)	
125001210	21	TAKEDA PHARMACEUTICAL CO	07/07/08	11/20/09	414.53	520.31	(105.78)	
	12	LTD-JPY	07/08/08		236.87	301.56	(64.69)	
		SBX = H1						
		SBX CONNECTIVITY BUSINESS						



Ref: 00000186 00015810

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001220	72	TELECOM CORP NEW ZEALAND	12/21/04	02/10/09	\$ 482.60	\$ 1,711.44	(\$ 1,228.84) c	
	6	LTD SPONSORED ADR	06/15/06		40.22	91.48	(51.26) c	
125001230	50	TELECOM CORP NEW ZEALAND	06/15/06	07/16/09	434.98	762.38	(327.40) c	
	15	LTD SPONSORED ADR	07/06/06		130.49	213.23	(82.74) c	
	25	Morgan Stanley Sml th Barney LLC acted as yo	08/09/06		217.50	368.33	(150.83) c	
125001240	30	TELECOM CORP NEW ZEALAND LTD SPONSORED ADR SBX=H1	08/09/06	11/20/09	271.62	442.00	(170.38) c	
		SBX CONNECTIVITY BUSINESS						
125001250	6	TELEFONICA S.A. SPON ADR	12/21/04	01/08/09	398.43	317.10		81.33 c
125001260	12	TELEFONICA S.A. SPON ADR	12/21/04	01/09/09	785.90	634.20		151.70 c
125001270	15	TELEFONICA S.A. SPON ADR	04/07/05	02/10/09	823.20	786.76		36.44 c
	12		10/20/05		658.56	595.98		62.58 c
125001280	3	TELEFONICA S.A. SPON ADR	10/20/05	05/12/09	181.94	148.99		32.95 c
	13		02/13/06		788.43	601.53		186.90 c
125001290	40	TELEFONICA S.A. SPON ADR	02/13/06	07/16/09	2,772.50	1,850.86		921.64 c
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/27/07		346.56	339.02		7.54 c
125001300	1	TELEFONICA S.A. SPON ADR VSP 04/27/07 -VSP 04272007	04/27/07	10/15/09	85.68	67.80		17.88 c
		MorganStanley SmithBarney LLC						
125001310	7	TELEFONICA S.A. SPON ADR VSP 04/27/07 -VSP 04272007	04/27/07	10/16/09	594.09	474.63		119.46 c
		MorganStanley SmithBarney LLC						
125001320	15	TELEFONICA S.A. SPON ADR SBX=H1	04/27/07	11/20/09	1,283.13	1,017.06		266.07 c
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
125001330	11	TELSTRA LTD SPONS ADR	09/06/05	02/10/09	126.63	185.55	(58.92) c	
	37	FINAL INSTALMENT	10/25/05		425.93	585.54	(159.61) c	
125001340	18	TELSTRA LTD SPONS ADR	10/25/05	07/16/09	245.69	284.86	(39.17) c	
	9	Morgan Stanley Sml	10/27/05		122.85	144.84	(21.99) c	
	5	th Barney LLC acted as yo ur agent in this transa	02/08/06		68.25	74.54	(6.29) c	

2009 YEAR END SUMMARY



Ref: 00000186 00015811

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001350	66 28	TELSTRA LTD SPONS ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	02/13/06 04/27/07	11/20/09	\$ 900.87 382.19	\$ 990.00 534.80	(\$ 89.13) c (152.61) c	
125001360	44	TELSTRA LTD SPONS ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	04/27/07	11/20/09	662.62	840.40	(177.78) c	
125001370	7 3	TELSTRA LTD SPONS ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	04/27/07 07/20/07	12/08/09	107.44 46.05	133.70 61.80	(26.26) c (15.75) c	
125001380	42	TOKIO MARINE HLDGS INC ADR	12/21/04	02/10/09	1,097.87	1,178.34	(80.47) c	
125001390	58 26	TOKIO MARINE HLDGS INC ADR Morgan Stanley Smit th Barney LLC acted as yo	12/21/04 02/13/06	07/16/09	1,562.82 700.58	1,627.23 959.03	(64.41) c (258.45) c	
125001400	1 29	TOKIO MARINE HLDGS INC ADR SBX=H1 SBX CONNECTIVITY BUSINESS	02/13/06 01/29/07	11/20/09	26.95 781.52	36.89 1,030.88	(9.94) c (249.36) c	
125001410	1 5	TOKIO MARINE HLDGS INC ADR SBX=H1 SBX CONNECTIVITY BUSINESS	01/29/07 03/09/07	12/08/09	29.46 147.29	35.55 179.07	(6.09) c (31.78) c	
125001420	15	TOTAL S.A SPONS ADR	12/21/04	01/08/09	836.37	789.15		47.22 c
125001430	8	TOTAL S.A SPONS ADR	12/21/04	01/09/09	440.42	420.88		19.54 c
125001440	27	TOTAL S.A SPONS ADR	12/21/04	02/10/09	1,387.76	1,420.47	(32.71) c	
125001450	30 20 13	TOTAL S.A SPONS ADR Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	12/21/04 02/13/06 03/02/06	07/16/09	1,614.33 1,076.22 699.55	1,578.29 1,275.67 810.72		36.04 c (199.45) c (111.17) c
125001460	7 13	TOTAL S.A SPONS ADR SBX=H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	03/02/06 01/17/07	11/20/09	432.13 802.53	436.54 862.56	(4.41) c (60.03) c	

2 0 0 9 Y E A R E N D S U M M A R Y .



Ref: 00000186 00015812

ROCK RIVER FOUNDATION
Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001460	4	TOTAL S.A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/17/07	12/08/09	\$ 249.83	\$ 265.40	(\$ 15.57) c	
125001470	15	TOYOTA MOTOR CORP ADR NEW	12/21/04	02/10/09	1,006.81	1,170.73	(163.92) c	
125001480	7	TOYOTA MOTOR CORP ADR NEW	12/21/04	05/01/09	554.35	546.34		8.01 c
125001490	8	TOYOTA MOTOR CORP ADR NEW	12/21/04	05/04/09	649.25	624.39		24.86 c
125001500	20	TOYOTA MOTOR CORP ADR NEW	12/21/04	07/16/09	1,505.44	1,560.96	(55.52) c	
	5	Morgan Stanley Sml	04/07/05		376.36	383.50	(7.14) c	
	2	th Barney LLC acted as yo ur agent in this transa	02/13/06		150.54	208.28	(57.74) c	
125001510	4	TOYOTA MOTOR CORP ADR NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/13/06	08/20/09	349.46	416.55	(67.09) c	
125001520	2	TOYOTA MOTOR CORP ADR NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/13/06	08/25/09	174.05	208.28	(34.23) c	
125001530	2	TOYOTA MOTOR CORP ADR NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/13/06	08/26/09	174.12	208.28	(34.16) c	
125001540	5	TOYOTA MOTOR CORP ADR NEW	02/13/06	11/20/09	385.07	520.69	(135.62) c	
	3	SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	04/27/07		231.04	367.83	(136.79) c	
125001550	70	UNILEVER PLC SPONS ADR NEW	03/01/05	02/10/09	1,421.83	1,517.02	(95.19) c	
	5		03/02/05		101.56	108.02	(6.46) c	
125001560	13	UNILEVER PLC SPONS ADR NEW	03/02/05	07/16/09	321.88	280.86		41.02 c
	9	Morgan Stanley Sml	03/03/05		222.84	194.61		28.23 c
	18	th Barney LLC acted as yo	03/04/05		445.67	390.51		55.16 c
	9	ur agent in this transa	08/02/05		222.84	196.35		26.49 c
	9		08/03/05		222.84	196.70		26.14 c
	7		08/04/05		173.32	163.55		9.77 c
	36		01/24/06		891.35	827.88		63.47 c
	46		02/13/06		1,138.94	1,099.80		39.14 c
	6		03/01/06		148.55	141.23		7.32 c

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Ref: 00000186 00015813

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001570	4	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/06	10/26/09	\$ 122.56	\$ 94.15		\$ 28.41 c
125001580	12	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/06	10/29/09	366.73	282.46		84.27 c
125001590	8	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/06	10/30/09	243.22	188.31		54.91 c
125001600	24	UNILEVER PLC SPONS ADR NEW SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	03/01/06 05/10/06	11/20/09	713.33 713.33	564.92 550.76		148.41 c 162.57 c
125001610	9	UNILEVER PLC SPONS ADR NEW SBX = H1 SBX CONNECTIVITY BUSINESS MorganStanley SmithBarney LLC	05/10/06	12/08/09	267.85	206.53		61.32 c
125001620	24	UNITED OVERSEAS BANK LTD SPONS ADR	10/04/06	02/10/09	342.81	509.50	(166.69) c	
125001630	21	UNITED OVERSEAS BANK LTD SPONS ADR	10/04/06	07/16/09	434.06	445.81	(11.75) c	
	5	SPONS ADR	10/09/06		103.35	105.73	(2.38) c	
	22	Morgan Stanley Sml th Barney LLC acted as yo	04/27/07		454.72	634.70	(179.98) c	
125001640	15	UNITED OVERSEAS BANK LTD SPONS ADR SBX = H1 SBX CONNECTIVITY BUSINESS	04/27/07	11/20/09	416.68	432.75	(16.07) c	
125001650	45	UPM KYMMENE CORP SPN ADR	12/21/04	02/10/09	403.64	1,005.50	(601.86) c	
125001660	75	UPM KYMMENE CORP SPN ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/04	07/16/09	680.98	1,675.83	(994.85) c	

2 0 0 9 Y E A R E N D S U M M A R Y .



Ref: 00000186 00015814

ROCK RIVER FOUNDATION

Account Number 389-1928C-14 263

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001670	25	UPM KYMMENE CORP SPN ADR	12/21/04	11/20/09	\$ 322.48	\$ 558.61	(\$ 236.13) c	
	8	SBX = H1	02/13/06		103.20	162.71	(59.51) c	
		SBX CONNECTIVITY BUSINESS						
		MorganStanley SmithBarney LLC						
Total					\$ 140,666.45	\$ 196,774.88	(\$ 63,546.80)	\$ 7,430.70

c Based on information supplied by Client or other financial institution, not verified by us

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	02/27/09	CONSULTING & ADVISORY SERVICES FROM 02/13/09 TO 03/31/09	\$ 76.62
210000300	08/03/09	ING GROEP NV SPONS ADR NRA TAX REFUND ON DIVIDEND PAID ON 8/28/08 FOR ING R/D = 8/13/08, P/D = 8/28/08	33.14
210000500	08/31/09	CONSULTING & ADVISORY SERVICES FROM 07/21/09 TO 09/30/09	258.90
210000200	06/09/09	ROYAL DUTCH SHELL PLC ADR CL A	\$ 7.37
210000400	08/18/09	VERSUS PAYABLE DATE 03/12/08 TAX RECLAIM AS OF 3/12/08 ROYAL DUTCH SHELL PLC ADR CL A	7.63
210000600	11/13/09	RCD 05/16/08, PAY 06/11/08 TAX HELD BY FGN GOVTS 18.00 X/D 05/14/08 SOCIETE GENERALE SPON ADR TAX REFUND OF 0.003589 FOR RCD 06/02/09, PAY 06/29/09	1.63

2009 YEAR END SUMMARY

