



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

**CAROL W. & MYRON J. ROTHSCHILD FUND**

Number and street (or P O box number if mail is not delivered to street address)

**C/O REGIONS BANK, P.O. BOX 2450**

Room/suite

City or town, state, and ZIP code

**MONTGOMERY****AL 36102-2450**Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationFair market value of all assets at end  
of year (from Part II, col. (c),  
line 16) ▶ \$J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)line 16) ▶ \$ **4,726,833** (Part I, column (d) must be on cash basis)

A Employer identification number

**63-6050756**

B Telephone number (see page 10 of the instructions)

**334-230-6100**C If exemption application is pending, check here ▶ ☐D 1. Foreign organizations, check here ▶ ☐2. Foreign organizations meeting the  
85% test, check here and attach computation ▶ ☐E If private foundation status was terminated under  
section 507(b)(1)(A), check here ▶ ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ▶ ☐

## Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	53,139	53,139		
	4	Dividends and interest from securities	95,115	95,115		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	96,440			
	b	Gross sales price for all assets on line 6a	926,560			
	7	Capital gain net income (from Part IV, line 2)		96,440		
	8	Net short-term capital gain			0	
	9	Income modifications/				
	10a	Gross sales less returns & allowances				
b	Less: Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	244,694	244,694	0		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	25,150	25,150		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 1	1,186			1,186
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 2	14,302			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	Total operating and administrative expenses. Add lines 13 through 23	40,638	25,150		1,186
	25	Contributions, gifts, grants, and	258,280			258,280
26	Total expenses and disbursements. Add lines 24 and 25	298,918	25,150	0	259,466	
27	Subtract line 26 from line 12					
a	Excess of revenue over expenses and disbursements	-54,224				
b	Net investment income (if negative, enter -0-)		219,544			
c	Adjusted net income (if negative, enter -0-)			0		

SCANNED MAY 21 2010

ENVELOPE POSTMARK DATE MAY 13 2010

3NE 914

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing			234,921	248,330	248,330
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)					
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments—U S and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule) <b>See Stmt 3</b>			959,266	942,605	3,315,878
	c Investments—corporate bonds (attach schedule)					
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule) <b>See Statement 4</b>			1,106,243	1,108,248	1,162,162
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶					
	15 Other assets (describe ▶ <b>See Statement 5</b> )			3,623	463	463
	16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)			2,304,053	2,299,646	4,726,833
<b>Liabilities</b>	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶ )					
	23 <b>Total liabilities</b> (add lines 17 through 22)			0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ▶</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	<b>Foundations that do not follow SFAS 117, check here ▶</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	27 Capital stock, trust principal, or current funds			2,304,053	2,299,646	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 <b>Total net assets or fund balances</b> (see page 17 of the instructions)			2,304,053	2,299,646	
	31 <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)			2,304,053	2,299,646	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,304,053
2 Enter amount from Part I, line 27a	2	-54,224
3 Other increases not included in line 2 (itemize) ▶ <b>See Statement 6</b>	3	49,817
4 Add lines 1, 2, and 3	4	2,299,646
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,299,646

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a See Worksheet</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	<b>96,440</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).            If (loss), enter -0- in Part I, line 8         </div>	<b>3</b>	<b>-7,258</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	256,596	5,332,515	0.048119
2007	232,519	5,161,094	0.045052
2006	225,828	4,870,224	0.046369
2005	247,931	4,722,012	0.052505
2004	253,548	5,121,476	0.049507

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.241552</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.048310</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	<b>4</b>	<b>4,345,577</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>209,935</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>2,195</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>212,130</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>259,466</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>2,195</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>2,195</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>2,195</b>
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2009 estimated tax payments and 2008 overpayment credited to 2009	<b>6a</b>	<b>8,480</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>8,480</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>6,285</b>
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2010 estimated tax</b> <b>2,200</b> <b>Refunded</b>	<b>11</b>	<b>4,085</b>

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>\$</b> (2) On foundation managers. <b>\$</b>		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b>		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <b>AL</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		<b>X</b>
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>REGIONS BANK TRUST DEPARTMENT</b> <b>201 MONROE ST, 4TH FLOOR</b> Located at ► <b>MONTGOMERY, AL</b>	Telephone no ► <b>334-230-6100</b> ZIP+4 ► <b>36104</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► <b>15</b>		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		<b>X</b>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		<b>X</b>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	<b>N/A</b>	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<b>N/A</b>	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b> During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		N/A	<b>5b</b>	
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)		N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			<b>6b</b>	<b>X</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		N/A	<b>7b</b>	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
REGIONS BANK TRUST DEPARTMENT 201 MONROE STREET, 4TH FLOOR	MONTGOMERY AL 36104	TRUSTEE 5.00	25,150	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).**

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See page 24 of the instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 ▶



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,160,082
<b>b</b>	Average of monthly cash balances	<b>1b</b>	251,671
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,411,753
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,411,753
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	66,176
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,345,577
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	217,279

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	217,279
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	2,195
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,195
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	215,084
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	215,084
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	215,084

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	259,466
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	259,466
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	2,195
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	257,271

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				<b>215,084</b>
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			<b>257,396</b>	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				
<b>e</b> From 2008				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: <b>\$ 259,466</b>				
<b>a</b> Applied to 2008, but not more than line 2a			<b>257,396</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2009 distributable amount				<b>2,070</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				<b>213,014</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				

4942(1)(5)

**N/A**

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year <b>See Statement 7</b>				<b>258,280</b>
<b>Total</b>			<b>3a</b>	<b>258,280</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>3b</b>	





Form <b>990-PF</b>	Capital Gains and Losses for Tax on Investment Income	2009
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) AT&T INC	P	03/05/09	08/06/09
(2) ALABAMA POWER CO SERIES	P	Various	05/27/09
(3) BEST BUY CO INC	P	11/24/08	02/09/09
(4) CATERPILLAR INC	P	03/05/09	08/06/09
(5) CATERPILLAR INC	P	03/05/09	10/06/09
(6) CISCO SYSTEMS INC	P	Various	09/11/09
(7) COMCAST CORP	P	07/08/09	12/14/09
(8) CONOCOPHILLIPS	P	05/20/09	08/06/09
(9) CONOCOPHILLIPS	P	05/20/09	09/08/09
(10) CONSOLIDATED EDISON INC	P	07/14/08	09/03/09
(11) CREDIT SUISSE FB USA	P	11/06/08	02/27/09
(12) CREDIT SUISSE FB USA	P	Various	04/23/09
(13) CREDIT SUISSE NEW YORK	P	05/27/09	12/14/09
(14) DEERE JOHN CAPITAL CORP	P	Various	05/27/09
(15) JOHN DEERE CAPITAL	P	03/05/09	11/09/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,050		986	64
(2) 13,713		12,757	956
(3) 3,752		2,519	1,233
(4) 1,191		1,042	149
(5) 1,238		1,041	197
(6) 14,391		12,732	1,659
(7) 1,058		1,011	47
(8) 1,100		983	117
(9) 1,119		983	136
(10) 13,846		13,439	407
(11) 11,008		10,962	46
(12) 13,151		12,963	188
(13) 1,047		1,016	31
(14) 13,420		12,523	897
(15) 1,035		1,024	11

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			64
(2)			956
(3)			1,233
(4)			149
(5)			197
(6)			1,659
(7)			47
(8)			117
(9)			136
(10)			407
(11)			46
(12)			188
(13)			31
(14)			897
(15)			11

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) JOHN DEERE CAPITAL	P	03/05/09	12/14/09
(2) WALT DISNEY CO	P	Various	08/12/09
(3) DOMINION RES INC	P	Various	07/01/09
(4) DUPONT E I DE NEMOURS	P	07/14/08	03/10/09
(5) DUKE ENERGY CORP	P	03/05/09	12/14/09
(6) EMERSON ELECTRIC	P	07/14/08	03/10/09
(7) FEDERAL HOME LOAN MTG	P	11/10/08	01/15/09
(8) FEDERAL HOME LOAN MTG	P	11/10/08	02/17/09
(9) FEDERAL HOME LOAN MTG	P	11/10/08	03/16/09
(10) FEDERAL HOME LOAN MTG	P	11/10/08	04/15/09
(11) FEDERAL HOME LOAN MTG	P	11/10/08	05/15/09
(12) FEDERAL HOME LOAN MTG	P	11/10/08	06/15/09
(13) FEDERAL HOME LOAN MTG	P	11/10/08	07/15/09
(14) FEDERAL HOME LOAN MTG	P	11/10/08	08/17/09
(15) FEDERAL HOME LOAN MTG	P	11/10/08	09/15/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,037		1,023	14
(2) 13,670		13,074	596
(3) 14,290		13,088	1,202
(4) 5,691		13,543	-7,852
(5) 1,091		1,038	53
(6) 7,347		13,887	-6,540
(7) 484		491	-7
(8) 843		855	-12
(9) 1,180		1,198	-18
(10) 1,033		1,048	-15
(11) 1,018		1,033	-15
(12) 877		889	-12
(13) 951		965	-14
(14) 846		858	-12
(15) 583		592	-9

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			14
(2)			596
(3)			1,202
(4)			-7,852
(5)			53
(6)			-6,540
(7)			-7
(8)			-12
(9)			-18
(10)			-15
(11)			-15
(12)			-12
(13)			-14
(14)			-12
(15)			-9



Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FEDERAL HOME LOAN MTG	P	11/10/09	10/15/09
(2) FEDERAL HOME LOAN MTG	P	11/10/08	11/16/09
(3) FEDERAL HOME LOAN MTG	P	11/10/08	12/15/09
(4) FEDERAL HOME LOAN MTG	P	11/10/08	01/15/09
(5) FEDERAL HOME LOAN MTG	P	11/10/08	02/17/09
(6) FEDERAL HOME LOAN MTG	P	11/10/08	03/16/09
(7) FEDERAL HOME LOAN MTG	P	11/10/08	04/15/09
(8) FEDERAL HOME LOAN MTG	P	11/10/08	05/15/09
(9) FEDERAL HOME LOAN MTG	P	11/10/08	06/15/09
(10) FEDERAL HOME LOAN MTG	P	11/10/08	07/15/09
(11) FEDERAL HOME LOAN MTG	P	11/10/08	08/17/09
(12) FEDERAL HOME LOAN MTG	P	11/10/08	09/15/09
(13) FEDERAL HOME LOAN MTG	P	11/10/08	10/15/09
(14) FEDERAL HOME LOAN MTG	P	11/10/08	11/16/09
(15) FEDERAL HOME LOAN MTG	P	11/10/08	12/14/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 493		500	-7
(2) 625		634	-9
(3) 562		571	-9
(4) 502		502	
(5) 1,167		1,167	
(6) 1,594		1,594	
(7) 1,469		1,469	
(8) 1,327		1,328	-1
(9) 1,291		1,291	
(10) 1,394		1,394	
(11) 1,061		1,062	-1
(12) 643		643	
(13) 556		556	
(14) 638		638	
(15) 33,630		31,680	1,950

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-7
(2)			-9
(3)			-9
(4)			
(5)			
(6)			
(7)			
(8)			-1
(9)			
(10)			
(11)			-1
(12)			
(13)			
(14)			
(15)			1,950

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) FEDERAL HOME LOAN MTG	P	11/10/08	12/15/09
(2) FEDERAL HOME LOAN MTG	P	11/10/08	01/15/09
(3) FEDERAL HOME LOAN MTG	P	11/10/08	02/17/09
(4) FEDERAL HOME LOAN MTG	P	11/10/08	03/16/09
(5) FEDERAL HOME LOAN MTG	P	11/10/08	04/15/09
(6) FEDERAL HOME LOAN MTG	P	11/10/08	05/15/09
(7) FEDERAL HOME LOAN MTG	P	11/10/08	06/15/09
(8) FEDERAL HOME LOAN MTG	P	11/10/08	07/15/09
(9) FEDERAL HOME LOAN MTG	P	11/10/08	08/17/09
(10) FEDERAL HOME LOAN MTG	P	11/10/08	09/15/09
(11) FEDERAL HOME LOAN MTG	P	11/10/08	10/15/09
(12) FEDERAL HOME LOAN MTG	P	11/10/08	11/16/09
(13) FEDERAL HOME LOAN MTG	P	11/10/08	12/15/09
(14) FEDERAL NATIONAL MTG	P	03/05/09	11/09/09
(15) FEDERAL NATIONAL MTG	P	11/10/08	01/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 723		723	
(2) 1,298		1,295	3
(3) 2,060		2,055	5
(4) 2,651		2,645	6
(5) 2,832		2,825	7
(6) 3,005		2,998	7
(7) 3,127		3,119	8
(8) 2,751		2,744	7
(9) 2,427		2,420	7
(10) 2,548		2,542	6
(11) 1,878		1,874	4
(12) 2,113		2,108	5
(13) 1,920		1,915	5
(14) 1,103		1,102	1
(15) 806		816	-10

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			
(2)			3
(3)			5
(4)			6
(5)			7
(6)			7
(7)			8
(8)			7
(9)			7
(10)			6
(11)			4
(12)			5
(13)			5
(14)			1
(15)			-10

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FEDERAL NATIONAL MTG	P	11/10/08	02/25/09
(2) FEDERAL NATIONAL MTG	P	11/10/08	03/25/09
(3) FEDERAL NATIONAL MTG	P	11/10/08	04/27/09
(4) FEDERAL NATIONAL MTG	P	11/10/08	05/26/09
(5) FEDERAL NATIONAL MTG	P	11/10/08	06/25/09
(6) FEDERAL NATIONAL MTG	P	11/10/08	07/27/09
(7) FEDERAL NATIONAL MTG	P	11/10/08	08/25/09
(8) FEDERAL NATIONAL MTG	P	11/10/08	09/25/09
(9) FEDERAL NATIONAL MTG	P	11/10/08	10/26/09
(10) FEDERAL NATIONAL MTG	P	11/10/08	11/25/09
(11) FEDERAL NATIONAL MTG	P	11/10/08	12/28/09
(12) FEDERAL NATIONAL MTG	P	11/10/08	01/26/09
(13) FEDERAL NATIONAL MTG	P	11/10/08	02/25/09
(14) FEDERAL NATIONAL MTG	P	11/10/08	03/25/09
(15) FEDERAL NATIONAL MTG	P	11/10/08	04/27/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 780		790	-10
(2) 1,255		1,270	-15
(3) 902		913	-11
(4) 1,376		1,393	-17
(5) 1,874		1,897	-23
(6) 1,762		1,784	-22
(7) 682		690	-8
(8) 869		880	-11
(9) 496		502	-6
(10) 718		726	-8
(11) 231		234	-3
(12) 613		614	-1
(13) 1,322		1,324	-2
(14) 1,938		1,940	-2
(15) 2,058		2,061	-3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-10
(2)			-15
(3)			-11
(4)			-17
(5)			-23
(6)			-22
(7)			-8
(8)			-11
(9)			-6
(10)			-8
(11)			-3
(12)			-1
(13)			-2
(14)			-2
(15)			-3

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	05/26/09
(2) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	06/25/09
(3) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	07/27/09
(4) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	08/25/09
(5) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	09/25/09
(6) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	10/26/09
(7) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	11/25/09
(8) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	12/28/09
(9) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	01/26/09
(10) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	02/25/09
(11) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	03/25/09
(12) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	04/27/09
(13) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	05/26/09
(14) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	06/25/09
(15) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	07/27/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,003		2,005	-2
(2) 2,275		2,278	-3
(3) 2,242		2,245	-3
(4) 1,609		1,611	-2
(5) 1,265		1,267	-2
(6) 1,062		1,064	-2
(7) 1,122		1,123	-1
(8) 1,149		1,151	-2
(9) 945		946	-1
(10) 690		691	-1
(11) 1,606		1,607	-1
(12) 1,007		1,008	-1
(13) 1,192		1,193	-1
(14) 886		887	-1
(15) 1,471		1,472	-1

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-2
(2)			-3
(3)			-3
(4)			-2
(5)			-2
(6)			-2
(7)			-1
(8)			-2
(9)			-1
(10)			-1
(11)			-1
(12)			-1
(13)			-1
(14)			-1
(15)			-1

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	08/25/09
(2) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	09/25/09
(3) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	10/26/09
(4) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	11/25/09
(5) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	12/28/09
(6) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	01/26/09
(7) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	02/25/09
(8) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	03/25/09
(9) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	04/27/09
(10) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	05/26/09
(11) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	06/25/09
(12) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	07/27/09
(13) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	08/25/09
(14) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	09/25/09
(15) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	10/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) <b>968</b>		<b>969</b>	<b>-1</b>
(2) <b>1,460</b>		<b>1,461</b>	<b>-1</b>
(3) <b>646</b>		<b>647</b>	<b>-1</b>
(4) <b>653</b>		<b>653</b>	
(5) <b>1,249</b>		<b>1,250</b>	<b>-1</b>
(6) <b>1,189</b>		<b>1,207</b>	<b>-18</b>
(7) <b>2,284</b>		<b>2,318</b>	<b>-34</b>
(8) <b>3,282</b>		<b>3,331</b>	<b>-49</b>
(9) <b>4,322</b>		<b>4,387</b>	<b>-65</b>
(10) <b>2,839</b>		<b>2,882</b>	<b>-43</b>
(11) <b>3,041</b>		<b>3,087</b>	<b>-46</b>
(12) <b>4,002</b>		<b>4,062</b>	<b>-60</b>
(13) <b>2,843</b>		<b>2,886</b>	<b>-43</b>
(14) <b>2,345</b>		<b>2,380</b>	<b>-35</b>
(15) <b>2,043</b>		<b>2,074</b>	<b>-31</b>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			<b>-1</b>
(2)			<b>-1</b>
(3)			<b>-1</b>
(4)			
(5)			<b>-1</b>
(6)			<b>-18</b>
(7)			<b>-34</b>
(8)			<b>-49</b>
(9)			<b>-65</b>
(10)			<b>-43</b>
(11)			<b>-46</b>
(12)			<b>-60</b>
(13)			<b>-43</b>
(14)			<b>-35</b>
(15)			<b>-31</b>

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	11/25/09
(2) <b>FEDERAL NATIONAL MTG</b>	P	11/10/08	12/28/09
(3) <b>GENERAL ELECTRIC</b>	P	12/17/93	03/04/09
(4) <b>GENERAL ELECTRIC</b>	P	11/06/08	07/07/09
(5) <b>GENERAL ELECTRIC</b>	P	11/06/08	11/09/09
(6) <b>GENERAL MILLS</b>	P	07/14/08	09/03/09
(7) <b>GOLDMAN SACHS GROUP</b>	P	05/27/09	12/14/09
(8) <b>HSBC FINANCE CORP</b>	P	04/16/09	08/06/09
(9) <b>HSBC FINANCE CORP</b>	P	11/06/08	11/09/09
(10) <b>HESS CORP</b>	P	09/21/09	11/09/09
(11) <b>HEWLETT PACKARD CO</b>	P	Various	09/11/09
(12) <b>HOME DEPOT</b>	P	07/14/08	02/09/09
(13) <b>INGERSOLL-RAND</b>	P	11/06/08	01/28/09
(14) <b>INGERSOLL-RAND</b>	P	11/06/08	01/28/09
(15) <b>JP MORGAN CHASE &amp; CO</b>	P	05/07/09	08/06/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,831		1,859	-28
(2) 1,980		2,010	-30
(3) 18,953		27,592	-8,639
(4) 2,102		1,957	145
(5) 1,065		979	86
(6) 12,852		13,923	-1,071
(7) 1,105		1,020	85
(8) 1,018		987	31
(9) 1,025		956	69
(10) 1,209		1,185	24
(11) 13,828		12,611	1,217
(12) 14,446		13,582	864
(13) 4,720		4,345	375
(14) 7,586		6,967	619
(15) 1,079		1,005	74

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-28
(2)			-30
(3)			-8,639
(4)			145
(5)			86
(6)			-1,071
(7)			85
(8)			31
(9)			69
(10)			24
(11)			1,217
(12)			864
(13)			375
(14)			619
(15)			74

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning _____, and ending _____		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) JP MORGAN CHASE & CO	P	04/27/09	12/14/09
(2) KELLOGG CO	P	03/05/09	11/09/09
(3) LINCOLN NATIONAL CORP	P	07/14/08	03/10/09
(4) MCDONALDS CORP	P	03/05/09	12/14/09
(5) MERRILL LYNCH	P	07/23/09	08/06/09
(6) MERRILL LYNCH	P	07/23/09	10/06/09
(7) MERRILL LYNCH	P	11/06/08	01/30/09
(8) MORGAN STANLEY	P	03/05/09	11/09/09
(9) ORACLE CORP	P	11/06/08	10/06/09
(10) PEPSICO INC	P	11/06/08	08/06/09
(11) PETROBRAS INTL FIN CO	P	10/22/09	12/14/09
(12) PROCTOR & GAMBLE	P	Various	05/15/09
(13) SHELL INTL FIN	P	Various	05/20/09
(14) SUNTRUST BANK	P	03/05/09	09/09/09
(15) SYSCO CORP COM	P	07/14/08	09/03/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,118		994	124
(2) 1,088		1,039	49
(3) 1,987		13,079	-11,092
(4) 1,080		1,051	29
(5) 1,015		996	19
(6) 1,059		996	63
(7) 10,736		9,932	804
(8) 1,037		982	55
(9) 1,109		935	174
(10) 1,234		1,102	132
(11) 1,013		999	14
(12) 13,765		13,176	589
(13) 11,638		11,639	-1
(14) 1,024		1,016	8
(15) 12,199		13,689	-1,490

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			124
(2)			49
(3)			-11,092
(4)			29
(5)			19
(6)			63
(7)			804
(8)			55
(9)			174
(10)			132
(11)			14
(12)			589
(13)			-1
(14)			8
(15)			-1,490

Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) TALISMAN ENERGY INC	P	09/28/09	11/09/09
(2) TARGET CORP	P	11/06/08	07/23/09
(3) TARGET CORP	P	11/06/08	08/06/09
(4) TENNESSEE VALLEY AUTHORITY	P	03/06/09	11/09/09
(5) TIME WARNER CABLE	P	08/11/09	11/09/09
(6) TRAVELERS COMPANIES	P	11/06/08	08/06/09
(7) TRAVELERS COMPANIES	P	11/06/08	10/06/09
(8) US BANK	P	03/05/09	12/14/09
(9) US TREASURY 3.5%	P	02/09/09	03/05/09
(10) US TREASURY 3.5%	P	02/09/09	04/06/09
(11) US TREASURY 3.5%	P	02/09/09	05/07/09
(12) US TREASURY 3.5%	P	02/09/09	06/10/09
(13) US TREASURY 3.5%	P	11/06/08	12/11/09
(14) US TREASURY 3.5%	P	Various	12/11/09
(15) US TREASURY 4.125%	P	Various	11/02/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,177		1,179	-2
(2) 14,370		11,826	2,544
(3) 1,037		831	206
(4) 1,130		1,111	19
(5) 1,088		1,097	-9
(6) 2,045		1,581	464
(7) 1,114		791	323
(8) 1,080		1,023	57
(9) 82,053		82,105	-52
(10) 4,098		4,096	2
(11) 3,068		3,065	3
(12) 12,247		12,231	16
(13) 58,338		58,681	-343
(14) 40,233		40,304	-71
(15) 46,652		45,606	1,046

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-2
(2)			2,544
(3)			206
(4)			19
(5)			-9
(6)			464
(7)			323
(8)			57
(9)			-52
(10)			2
(11)			3
(12)			16
(13)			-343
(14)			-71
(15)			1,046



Form <b>990-PF</b>	<b>Capital Gains and Losses for Tax on Investment Income</b>	<b>2009</b>
For calendar year 2009, or tax year beginning , and ending		

Name

Employer Identification Number

**CAROL W. & MYRON J. ROTHSCHILD FUND****63-6050756**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
(1) US TREASURY 4.875%	P	Various	05/07/09
(2) US TREASURY 3.62	P	05/07/09	10/05/09
(3) US TREASURY 5/15/08	P	Various	10/27/09
(4) US TREASURY 5/15/08	P	11/06/08	11/09/09
(5) US TREASURY 5/15/08	P	01/29/09	11/09/09
(6) UNITED TECH CORP	P	11/06/08	03/18/09
(7) VERIZON COMMUNICATIONS	P	03/05/09	08/06/09
(8) VERIZON COMMUNICATIONS	P	11/06/08	12/14/09
(9) WACHOVIA CORP	P	11/06/08	08/06/09
(10) WACHOVIA CORP	P	11/06/08	09/08/09
(11) WALMART STORES	P	11/06/08	09/21/09
(12) WELLS FARGO	P	07/14/08	12/22/09
(13) WYETH COM	P	06/25/82	10/16/09
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 13,011		13,204	-193
(2) 13,031		13,201	-170
(3) 13,466		14,063	-597
(4) 11,433		11,141	292
(5) 1,039		1,081	-42
(6) 11,725		11,405	320
(7) 1,053		1,011	42
(8) 1,089		904	185
(9) 1,023		917	106
(10) 1,047		917	130
(11) 13,761		12,115	1,646
(12) 16,814		13,732	3,082
(13) 120,617		11,366	109,251
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-193
(2)			-170
(3)			-597
(4)			292
(5)			-42
(6)			320
(7)			42
(8)			185
(9)			106
(10)			130
(11)			1,646
(12)			3,082
(13)			109,251
(14)			
(15)			

**Federal Statements**

63-6050756

FYE: 12/31/2009

**Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Accounting Fees	\$ 1,186	\$	\$	\$ 1,186
Total	\$ 1,186	\$ 0	\$ 0	\$ 1,186

**Statement 2 - Form 990-PF, Part I, Line 18 - Taxes**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXCISE TAXES	\$ 14,302	\$	\$	\$
Total	\$ 14,302	\$ 0	\$ 0	\$ 0

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 3 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
3M CO	\$ 13,808	\$ 13,808	Cost	\$ 16,534
ABBOTT LABS	13,600	13,600	Cost	12,958
AFLAC INC		13,121	Cost	15,679
ALLSTATE CORP	1,147	1,147	Cost	20,577
AT&T INC	13,658	13,658	Cost	11,773
AUTOMATIC DATA PROCESSING INC	13,585	13,585	Cost	14,131
BANK OF NEW YORK MELLON		4,678	Cost	6,433
BB&T CORP	13,062	13,062	Cost	15,729
BEST BUY INC	13,255	10,737	Cost	21,190
BRISTOL MYERS SQUIBB CO	13,612	13,612	Cost	16,160
CHEVRON CORP	13,841	13,841	Cost	11,549
CLOROX CO	16,378	16,378	Cost	17,080
COCA COLA COMPANY	5,695	5,482	Cost	1,507,536
CONAGRA FOODS INC	13,618	13,618	Cost	14,752
CONOCOPHILLIPS	13,252	13,252	Cost	13,482
CONSOLIDATED EDISON INC	13,439		Cost	
DARDEN RESTAURANTS INC	15,725	15,726	Cost	19,639
DISCOVER FINANCIAL SVS	143	144	Cost	4,222
DOMINION RES INC VA NEW	13,814	13,814	Cost	11,676
DUKE ENERGY CORP	70,103	70,104	Cost	68,840
DUPONT E I DE NEMOURS & CO	13,543		Cost	
EATON CORP	13,531	13,531	Cost	10,815
ELI LILLY & CO	13,591	13,591	Cost	9,999
EMERSON ELECTRIC CO	13,887		Cost	
EXELON CORP	13,304	13,304	Cost	7,331
EXXONMOBIL CORP	13,645	13,645	Cost	10,910

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 3 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
FIRSTENERGY CORP	\$ 13,207	\$ 13,207	Cost	\$ 7,432
GALLAGHER ARTHUR J & CO	13,450	13,450	Cost	12,155
GENERAL ELECTRIC COMPANY	27,592		Cost	
GENERAL MILLS INC	13,923		Cost	
GENUINE PARTS CO	13,697	13,697	Cost	13,666
HOME DEPOT INC	13,582		Cost	
ILLINOIS TOOL WORKS INC	13,835	13,835	Cost	14,397
INTEL CORP	13,667	13,667	Cost	13,464
INTERNATIONAL BUSINESS MACHINES	83,875	83,875	Cost	696,388
J.C. PENNEY, INC	506	506	Cost	7,983
JOHNSON & JOHNSON	13,977	13,977	Cost	13,526
JP MORGAN CHASE & CO	13,875	13,875	Cost	17,918
KIMBERLY CLARK CORP	13,588	13,587	Cost	14,653
LIMITED BRANDS		13,618	Cost	16,989
LINCOLN NATIONAL CORP	13,079		Cost	
MATTEL INC		15,328	Cost	23,177
MCDONALDS CORP	13,993	13,992	Cost	14,986
MCGRAW HILL INC		11,674	Cost	13,471
MERCK & CO INC	13,712	13,712	Cost	13,520
MICROSOFT CORP	13,764	13,764	Cost	16,459
MORGAN STANLEY	728	728	Cost	16,990
NORFOLK SOUTHERN CORP	13,271	13,271	Cost	14,416
NUCOR CORP		4,926	Cost	6,298
OCCIDENTAL PETE CORP	13,453	13,453	Cost	13,016
PAYCHEX INC	13,674	13,674	Cost	13,482
PFIZER INC		41,417	Cost	43,001

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 3 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
PHILIP MORRIS INTERNAIONAL	\$	\$ 9,961	Cost	\$ 13,011
PRAXAIR INC	13,739	13,739	Cost	12,047
PROGRESS ENERGY INC	13,595	13,595	Cost	13,533
PRUDENTIAL FINANCIAL INC	13,787	13,787	Cost	11,942
SOUTHERN CO	101,393	101,393	Cost	99,960
SPECTRA ENERGY CORP	13,829	13,829	Cost	10,255
SYSCO CORP	13,689		Cost	
TORCHMARK CORPORATION	34,004	34,004	Cost	176,240
TRAVELERS COMPANIES INC		14,712	Cost	14,958
US BANCORP DEL	13,456	13,456	Cost	12,606
VERIZON COMMUNICATIONS	13,677	13,677	Cost	12,921
WADDELL & REED FINL INC CL A	5,315	5,315	Cost	55,430
WASTE MANAGEMENT		4,466	Cost	6,593
WELLS FARGO & CO	13,732		Cost	
WYETH	11,366		Cost	
Total	\$ 959,266	\$ 942,605		\$ 3,315,878

**Statement 4 - Form 990-PF, Part II, Line 13 - Other Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
AL POWER CO 4.85% 12/15/2012	\$ 11,747	\$	Cost	\$
ABBOTT LABS 5.125% 4/1/19		12,983	Cost	13,598
AT&T 5.6% 5/15/2018	11,522	11,522	Cost	13,623
BP CAPITAL PLC NORMAL 5.25% 11/7/13	5,996	12,358	Cost	13,071
CATERPILLAR INC 7.9% 12/15/2018	5,990	11,185	Cost	13,419
CISCO SYSTEMS CALLABLE 5.5% 2/22/16	11,690		Cost	

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 4 - Form 990-PF, Part II, Line 13 - Other Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CITI GROUP INC FDIC 2.25% 12/10/2012	\$	\$ 65,230	Cost	\$ 64,502
COMCAST CORP 5.7% 7/1/19		12,133	Cost	12,588
CONOCO PHILLIPS 6.5% 2/1/39		11,713	Cost	13,318
CREDIT SUISSE NEW YORK 5.5% 5/1/14	21,923	12,193	Cost	13,022
CVS CAREMARK CORP 6.125% 9.15/39		14,230	Cost	13,875
DEERE JOHN CAPITAL CORP SERIES 4.95%	11,421		Cost	
DOMINION RES INC VA 5.15% 7/15/2015	12,140		Cost	
DUKE ENERGY CORP 6.25% 1/15/2012	11,246	12,241	Cost	12,973
FHLM 5% 6/1/2022	116,775	88,237	Cost	93,002
FHLM 5.5% 11/1/2037	44,048		Cost	
FHLM 6% 8/1/2037	43,223	33,590	Cost	35,168
FNMA 5% 12/1/2019	53,950	41,166	Cost	43,279
FNMA 5% 3/15/2016	20,511	24,874	Cost	26,085
FNMA 5.5% 1/1/2022	32,061	20,167	Cost	21,141
FNMA 5.5% 2/1/2035	88,962	70,279	Cost	73,773
FNMA 6% 3/1/2037	163,489	131,007	Cost	137,098
GENERAL ELECTRIC CO 5% 2/1/2013	22,511	24,207	Cost	26,449
GOLDMAN SACHS GROUP INC		12,236	Cost	13,125
HESS CORPORATION 8.125% 2/15/19		13,113	Cost	13,266
HEWLETT-PACKARD 4.5% 3/1/13	11,590		Cost	
HSBC FINANCE CORP 4.625% 9/15/2010	11,469	12,358	Cost	13,323
INGERSOLL-RAND GL HLD 6.875%	11,298		Cost	
JOHN DEERE CAPITAL CORP INSD 2.875%	32,257	38,346	Cost	39,158
JP MORGAN CHASE & CO 6.3% 4/23/19		11,907	Cost	13,201
KELLOGG CO 5.125% 12/3/12	11,706	11,706	Cost	13,023
MCDONALDS CORP SERIES	11,257	11,257	Cost	12,867

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 4 - Form 990-PF, Part II, Line 13 - Other Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MERRILL LYNCH & CO 6.875% 4/25/18	\$ 9,932	\$ 12,953	Cost	\$ 14,007
MORGAN STANLEY 5.05% 1/21/11	12,220	12,220	Cost	13,483
MORGAN STANLEY SERIES 5.625% 9/23/19		13,044	Cost	13,095
ORACLE CORP 5.75% 4/15/18	12,157	11,222	Cost	12,974
PEPSICO INC 7.9% 11/1/2018	13,293	12,103	Cost	13,500
PETROBRAS INTL 5.75% 1/20/20		12,988	Cost	13,225
PFIZER INC 4.45% 3/15/12		13,068	Cost	13,749
PROCTOR & GAMBLE CO 4.6% 1/15/14	11,065		Cost	
SUNTRUST BANK ATL 6.375% 4/1/2011	11,786	12,779	Cost	13,512
TALISMAN ENERGY INC 7.75% 6/1/19		12,876	Cost	12,919
TARGET CORP 5.375% 5/1/17	23,256	10,797	Cost	13,951
TN VALLEY AUTH 6.79% 5/23/2012	33,162	37,844	Cost	39,211
TIME WARNER CABLE 6.75% 7/1/18		13,162	Cost	13,183
TRAVELERS COMPANIES, INC 6.25%	11,858	9,486	Cost	12,685
UNITED TECHNOLOGIES CO 6.375%	11,477		Cost	
US BANK NA 6.375% 8/1/2011	11,281	12,238	Cost	12,900
US TREASURY 3.5% 2/15/2010	59,731		Cost	
US TREASURY 3.875% 5/15/2018	11,154		Cost	
US TREASURY 4.125% 5/15/2015	37,108		Cost	
US TREASURY 4.875% 5/15/09	11,204		Cost	
US TREASURY 6.25% 8/15/23		12,745	Cost	13,138
US TREASURY 1.375% 7/15/18		61,179	Cost	60,270
US TREASURY .75% 11/30/11		79,891	Cost	79,478
US TREASURY 4.375 2/15/38		14,665	Cost	12,480
VERIZON COMMUNICATIONS 5.55% 2/15/16	11,747	10,843	Cost	12,936
WACHOVIA CORP 5.75% 6/15/2017	11,921	11,907	Cost	13,519

63-6050756

**Federal Statements**

FYE: 12/31/2009

**Statement 4 - Form 990-PF, Part II, Line 13 - Other Investments (continued)**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
WALMART STORES INC	\$ 12,071	\$	Cost	\$
WALT DISNEY CO 4.5% 12/22/08	11,038		Cost	
Total	<u>\$ 1,106,243</u>	<u>\$ 1,108,248</u>		<u>\$ 1,162,162</u>

**Statement 5 - Form 990-PF, Part II, Line 15 - Other Assets**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
ACCRUED INTEREST	\$ 3,623	\$ 405	\$ 405
INCOME RECEIVABLE		58	58
Total	<u>\$ 3,623</u>	<u>\$ 463</u>	<u>\$ 463</u>

**Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases**

<u>Description</u>	<u>Amount</u>
DIFFERENCE IN FMV AND BASIS IN SECURITIES	\$ 49,817
Total	<u>\$ 49,817</u>



29760100 CAROL W. & MYRON J. ROTHSCILD FUND  
63-6050756  
FYE: 12/31/2009

5/11/2010 9:53 AM

**Federal Statements**

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Name	Address	Relationship	Status	Purpose	Amount
ALABAMA PUBLIC TELEVISION		NONE	PUBLIC CHARI	GENERAL FUND	1,000
ALUMNAE FUND OF SMITH COL		NONE	PUBLIC CHARI	GENERAL FUND	3,000
AMERICAN HEART ASSOCIATIO		NONE	PUBLIC CHARI	GENERAL FUND	500
AMERICAN RED CROSS		NONE	PUBLIC CHARI	GENERAL FUND	24,000
AMERICAN PRINTING HOUSE		NONE	PUBLIC CHARI	GENERAL FUND	250
FAMILY SUNSHINE CENTER		NONE	PUBLIC CHARI	GENERAL FUND	85,030
BOYS & GIRLS CLUB OF MONT		NONE	PUBLIC CHARI	GENERAL FUND	3,000
BRANTWOOD CHILDREN'S HOME		NONE	PUBLIC CHARI	GENERAL FUND	3,000
CAMP ASCCA		NONE	PUBLIC CHARI	GENERAL FUND	500
CAMP SUNSHINE FOR BOYS		NONE	PUBLIC CHARI	GENERAL FUND	3,000
CAMP SUNSHINE FOR GIRLS		NONE	PUBLIC CHARI	GENERAL FUND	3,000
CAPRI COMMUNITY FILM SOCI		NONE	PUBLIC CHARI	GENERAL FUND	500
CHILDREN'S CENTER OF MONT		NONE	PUBLIC CHARI	GENERAL FUND	500
FAMILY GUIDANCE CENTER		NONE	PUBLIC CHARI	GENERAL FUND	5,000
FREINDS OF THE MONTGOMERY		NONE	PUBLIC CHARI	GENERAL FUND	500
FRIENDS OF ALABAMA ARCHIV		NONE	PUBLIC CHARI	GENERAL FUND	250
GIRLS SCOUT CAMPSHIP FU		NONE	PUBLIC CHARI	GENERAL FUND	500
GOODWILL INDUSTRIES- CENT		NONE	PUBLIC CHARI	GENERAL FUND	500

29760100 CAROL W. & MYRON J. ROTHSCCHILD FUND  
63-6050756  
FYE: 12/31/2009

5/11/2010 9:53 AM

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)**

Name	Address	Relationship	Status	Purpose	Amount
GROUP HOMES FOR CHILDREN,		NONE	PUBLIC CHARI	GENERAL FUND	500
HOSPICE OF MONTGOMERY		NONE	PUBLIC CHARI	GENERAL FUND	5,000
INTERNATIONAL RETINAL RES		NONE	PUBLIC CHARI	GENERAL FUND	3,000
JEWISH CHILDREN'S REGIONA		NONE	PUBLIC CHARI	GENERAL FUND	1,000
JOY TO LIFE FOUNDATION		NONE	PUBLIC CHARI	GENERAL FUND	5,000
LANDMARKS FOUNDATION		NONE	PUBLIC CHARI	GENERAL FUND	500
LIGHTHOUSE COUNSELING CEN		NONE	PUBLIC CHARI	GENERAL FUND	3,000
M.A.R.C.		NONE	PUBLIC CHARI	GENERAL FUND	500
MONTGOMERY AIDS OUTREACH,		NONE	PUBLIC CHARI	GENERAL FUND	1,000
MONTGOMERY AREA COUNCIL O		NONE	PUBLIC CHARI	GENERAL FUND	8,000
MONTGOMERY AREA FOOD BANK		NONE	PUBLIC CHARI	GENERAL FUND	6,500
MONTGOMERY HABITAT FOR HU		NONE	PUBLIC CHARI	GENERAL FUND	3,000
MONTGOMERY SYMPHONY ORCHE		NONE	PUBLIC CHARI	GENERAL FUND	5,000
SAFE PLACE OF MONTGOMERY		NONE	PUBLIC CHARI	GENERAL FUND	500
SALVATION ARMY		NONE	PUBLIC CHARI	GENERAL FUND	500
SAYNO IN MONTGOMERY AREA,		NONE	PUBLIC CHARI	GENERAL FUND	250
TEMPLE BETH		NONE	PUBLIC CHARI	GENERAL FUND	50,000
THE EYE-BANK FOR SIGHT		NONE	PUBLIC CHARI	GENERAL FUND	1,000

# Federal Statements

## Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
ALABAMA CENTER FOR RURAL		NONE	PUBLIC CHARI GENERAL FUND		30,000
Total					258,280