Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: CURTIS FINLAY FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address): P.O. BOX 298 | Room/suite:

City or town, state, and ZIP code: BREWTON AL 36427

A Employer identification number: 63-1080992

B Telephone number (see page 10 of the instructions): (251) 867-7706

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here . ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation . . . . ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 11,065,245

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,716	1,716		
	4	Dividends and interest from securities	386,619	386,619		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	-109,731			
	b	Gross sales price for all assets on line 6a 2,255,965				
	7	Capital gain net income (from Part IV, line 2) .		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less: Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule) . . .	134,896	134,896	0	
	12	**Total.** Add lines 1 through 11 . . .	413,500	523,231	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	120,000	90,000		30,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	0	0	0	0
	b	Accounting fees (attach schedule)	6,500	0	0	0
	c	Other professional fees (attach schedule)	18,653	18,653	0	0
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) . .	11,739	0	0	0
	19	Depreciation (attach schedule) and depletion . .	57,704	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	0	0	0	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	214,596	108,653	0	30,000
	25	Contributions, gifts, grants paid	600,000			600,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	814,596	108,653	0	630,000
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-401,096			
	b	**Net investment income** (if negative, enter -0-) . .		414,578		
	c	**Adjusted net income** (if negative, enter -0-) . .			0	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	347,997	1,312,077	1,312,077
	2 Savings and temporary cash investments	2,018,164	1,052,952	1,052,952
	3 Accounts receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	4 Pledges receivable ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶ 0			
	Less: allowance for doubtful accounts ▶ 0	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U.S. and state government obligations (attach schedule)	3,675,000	3,000,000	2,888,010
	b Investments—corporate stock (attach schedule)	2,732,042	2,926,445	3,144,829
	c Investments—corporate bonds (attach schedule)	2,222,543	2,360,880	2,513,657
	11 Investments—land, buildings, and equipment: basis ▶ 0			
	Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	0	0	0
	14 Land, buildings, and equipment: basis ▶ 0			
	Less: accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15 Other assets (describe ▶)	173,113	115,409	153,720
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,168,859	10,767,763	11,065,245
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ▶)	0	0	
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	11,168,859	10,767,763	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	11,168,859	10,767,763	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	11,168,859	10,767,763	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,168,859
2	Enter amount from Part I, line 27a	2	-401,096
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	10,767,763
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,767,763

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr.)
1a	SEE LIST ATTACHED	P	VARIOUS	VARIOUS
b	SEE LIST ATTACHED	P	VARIOUS	VARIOUS
c	SEE LIST ATTACHED	P	VARIOUS	VARIOUS
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,225,112	0	1,088,936	136,176
b	490,858	0	721,093	-230,235
c	539,995	0	555,667	-15,672
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a	0	0	0	136,176
b	0	0	0	-230,235
c	0	0	0	-15,672
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-109,731
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	588,761	12,128,367	0.048544
2007	574,127	12,331,489	0.046558
2006	546,313	11,845,105	0.046121
2005	507,722	11,184,012	0.045397
2004	475,463	10,454,962	0.045477

2	Total of line 1, column (d)	2	0.232097
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046419
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	10,990,580
5	Multiply line 4 by line 3	5	510,172
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,146
7	Add lines 5 and 6	7	514,318
8	Enter qualifying distributions from Part XII, line 4	8	630,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	4,146
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	4,146
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	4,146
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 11,500		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	11,500
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	7,354
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 7,354 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ AL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ RICHARD D. FINLAY Telephone no. ▶ 251-867-7706

Located at ▶ P.O. BOX 298 BREWTON AL ZIP+4 ▶ 36427

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20______ , 20______ , 20______ , 20______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20______ , 20______ , 20______ , 20______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . **5b** No: X

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD D. FINLAY PO BOX 298 BREWTON AL 36427	DIRECTOR .00	40,000	0	0
SALLY FINLAY PO BOX 298 BREWTON AL 36427	DIRECTOR .00	40,000	0	0
PAUL D OWENS JR PO BOX 1229 BREWTON AL 36427	DIRECTOR .00	40,000	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 . . . . ▶ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,411,700
b	Average of monthly cash balances	1b	1,592,529
c	Fair market value of all other assets (see page 24 of the instructions)	1c	153,720
d	Total (add lines 1a, b, and c)	1d	11,157,949
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,157,949
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	167,369
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,990,580
6	**Minimum investment return.** Enter 5% of line 5	6	549,529

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	549,529
2a	Tax on investment income for 2009 from Part VI, line 5	2a	4,146		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,146
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	545,383
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	545,383
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	545,383

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	630,000
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	630,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	4,146
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	625,854

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				545,383
2	Undistributed income, if any, as of the end of 2009:				
a	Enter amount for 2008 only			578,943	
b	Total for prior years: 20 05 , 20 06 , 20 07		0		
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 . . . 0				
b	From 2005 . . . 0				
c	From 2006 . . . 0				
d	From 2007 . . . 0				
e	From 2008 . . . 0				
f	Total of lines 3a through e	0			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 630,000				
a	Applied to 2008, but not more than line 2a			578,943	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2009 distributable amount				51,057
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				494,326
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9:				
a	Excess from 2005 . . . 0				
b	Excess from 2006 . . . 0				
c	Excess from 2007 . . . 0				
d	Excess from 2008 . . . 0				
e	Excess from 2009 . . . 0				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

RICHARD D FINLAY PO BOX 298 BREWTON AL 36427 (251) 867-7706

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SCHOLARSHIPS-TO RESIDENTS OF ESCAMBIA CO., AL ONLY AND STUDENTS OF JEFFERSON DAVIS COMMUNITY COLLEGE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE SUPPLEMENT ATTACHED				600,000
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3a	600,000
b *Approved for future payment*				
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,716	
4 Dividends and interest from securities			14	386,619	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	134,896	
8 Gain or (loss) from sales of assets other than inventory				0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal. Add columns (b), (d), and (e)		0		523,231	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 523,231

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Richard S. Finlay

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature: Mitchell Neese | Date | Check if self-employed ☒ | Preparer's identifying number (see Signature on page 30 of the instructions) P00294227

Firm's name (or yours if self-employed), address, and ZIP code: MCDOWELL & NEESE CPA'S, PO BOX 130, BREWTON, AL 36427 | EIN 63-1187702 | Phone no. (251) 867-3216

SUPPLEMENT TO FORM 990-PF
Curtis Finlay Foundation, Inc. 63-1080992
December 31, 2009

Part I

Line 11 - Other Income	
Oil & Gas Royalties	$ 134,896
Line 16 (b) - Accounting Fees	
McDowell & Neese, C.P.A.'s - Accounting and Tax Services	$ 6,500
Line 16 (c) - Other Professeional Fees	
Investment Counselor Fees - UBS	$ 18,653
Line 18 - Taxes	
Federal Excise Tax Paid	$ 11,739
Line 19 - Depreciation & Depletion	
Mineral Depletion - Cost Basis	$ 57,704

SUPPLEMENT TO FORM 990-PF
Curtis Finlay Foundation, Inc. 63-1080992
December 31, 2009

	Book Value	Fair Market Value
Part II - Balance Sheets		
Line 10 a Investments - U.S. and State Obligations		
Federal Farm Credit Bond, 4.94%, 3-19-2024	$ 3,000,000	$ 2,888,010
Line 10 b Investments - Corporate Stock		
Common Stocks - See Listing Attached	$ 2,716,699	$ 2,905,566
Call Options - See Listing Attached	(37,085)	(19,203)
Mutual Funds - See Listing Attached	222,745	239,123
Protective Life Ins. Co. - 536 Shares Common	17,286	8,871
International Business Machines - 80 Shares Common	6,800	10,472
	$ 2,926,445	$ 3,144,829
Line 10 c Investments - Corporate Bonds		
Certificates of Deposit - See Listing Attached	$ 200,000	$ 206,020
Corporate Bonds - See Listing Attached	1,709,093	1,852,418
Government Bonds - See Listing Attached	451,068	454,500
Purchased Interest on Bonds	719	719
	$ 2,360,880	$ 2,513,657
Line 13 - Investments - Other		
Mineral Interests	$ 115,409	$ 153,720

SUPPLEMENT TO FORM 990-PF
Curtis Finlay Foundation, Inc. 63-1080992
2009

Part XV - Line 3 - Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation Status	Purpose of Grant or Contributions	Amount
Andalusia Ballet Association Andalusia, Alabama	Public	Unrestricted Suport	$ 2,000
Brewton Area YMCA. Inc.	Public	Maintenance Fund - Escambia Co.	20,000
Brewton Area YMCA. Inc.	Public	Scholarship Fund	10,000
Brewton Council of the Arts Brewton, Alabama	Public	Unrestricted Support	10,000
Brewton Public Library, Brewton, Alabama	Public	Unrestricted Support	25,000
Carlisa, Inc., Brewton, Alabama	Public	Unrestricted Support	10,000
Christian Community Benevolent Fund	Public	Unrestricted Support	10,000
City of Brewton	Public	Tree and Beautification Board	5,000
East Escambia Chapter of the American Red Cross	Public	Unrestricted Support	50,000
East Escambia Chapter of the American Red Cross	Public	Special Flood Relief Fund for Greater Brewton Area	25,000
Escambia County, AL School Board	Public	W. S. Neal H.S. Band Musical Instruments	10,000
Escambia County, AL Board of Education	Public	Flomaton Elementary School Accelerated Reading Program	5,000
Escambia County Board of Education	Public	Flomaton H.S. FFA Program	20,000
Escambia County Board of Education	Public	Pollard-McCall Early Childhood Development Center	20,000
Escambia County Board of Education	Public	National Championship Competition	2,500
Escambia County, Brewton, Alabama	Public	Drug Court	10,000
Escambia County Fire & Rescue Squad, Inc. Brewton, Alabama	Public	Unrestricted Support	15,000
Escambia County Mental Health Assoc., Inc. Brewton, Alabama	Public	Unrestricted Support	20,000
Escambia Co. Regional Child Advocacy Center	Public	Unrestricted Support	11,250
Escambia County Special Olympics Brewton, Alabama	Public	Unrestricted Support	2,000

SUPPLEMENT TO FORM 990-PF
Curtis Finlay Foundation, Inc. 63-1080992
2009

Part XV - Line 3 - Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation Status	Purpose of Grant or Contributions	Amount
First United Methodist Church	Public	Community Food Pantry	$ 20,000
Flomaton Public Library	Public	Unrestricted Support	10,000
Friendship Volunteer Fire Department	Public	Unrestricted Support	2,000
FSH Society, Inc.	Public	Unrestricted Support	1,000
Greater Brewton Habitat for Humanity	Public	Unrestricted Support	10,000
Gulf Coast Council Boy Scouts of America	Public	Unrestricted Support	5,000
Jay Historical Society	Public	Unrestricted Support	5,000
Jefferson Davis Community College	Public	College Park Improvement Project Phase II	25,000
Lambert Volunteer Fire Department	Public	Unrestricted Support	2,000
McCall Volunteer Fire Department	Public	Unrestricted Support	2,000
Miss Alabama Scholarship Program	Public	Community Service Award	5,000
Muscular Dystrophy Association	Public	Unrestricted Support	500
Northwest Florida Blood Center, Inc.	Public	D.W. McMillan Hospital Bloodmobile	5,000
Pollard United Methodist Church	Public	Unrestricted Support	10,000
Roberts Volunteer Fire Department	Public	Unrestricted Support	2,000
Ronald McDonald House - Mobile	Public	Capital Campaign	25,000
Rottschafer Day Care, Inc.	Public	Unrestricted Support	50,000
S.T.A.R.S.	Public	Child Reading Program	2,500
The Arc Santa Rosa, Inc.	Public	Unrestricted Support	12,775
The Christmas Project Brewton Chamber of Commerce	Public	Holiday Drive to Benefit the Needy	2,000
The United Way of Greater Brewton & East Brewton	Public	Unrestricted Support	2,000
Town of Pollard	Public	Unrestricted Support	1,000

SUPPLEMENT TO FORM 990-PF
Curtis Finlay Foundation, Inc. 63-1080992
2009

Part XV - Line 3 - Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation Status	Purpose of Grant or Contributions	Amount
T. R. Miller High School	Public	Track Team	$ 1,250
U. of Alabama, Escambia Co. Alumni Assoc.	Public	Academic Scholarship Fund	25,000
Wallace Volunteer Fire Department	Public	Unrestricted Support	2,000
W. S. Neal Band Boosters	Public	Unrestricted	10,000
W. S. Neal Middle School East Brewton, Alabama	Public	Science Programs	15,000
Whitney Nicole Bell		Academic Scholarship	4,000
Leigh Cooper		Academic Scholarship	4,000
Leslie Nalty		Academic Scholarship	4,000
Jordan Clay Parker		Academic Scholarship	4,000
Jared Roberson		Academic Scholarship	4,000
Matthew Blaine Salter		Academic Scholarship	4,000
Samantha M. Sellers		Academic Scholarship	2,000
David Stokes, Jr.		Academic Scholarship	4,000
Mary Catherine Turner		Academic Scholarship	4,000
Dorothy A. Washington		Academic Scholarship	4,000
Brittany LaShae Watson		Academic Scholarship	4,000
Amanda Selario		Academic Scholarship	225
Samantha Howell		Academic Scholarship	2,000
Andrea Chavers		Academic Scholarship	4,000
Shavangela Grace		Academic Scholarship	4,000
Brandy C. Baggett		Academic Scholarship	4,000
Elizabeth Anne Stokes		Academic Scholarship	4,000
Robin D. Godwin		Academic Scholarship	4,000
			$ 600,000

Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
APACHE CORP								
Symbol: APA Exchange: NYSE								
EAI: $420 Current yield: 0.58%	Sep 22, 09	700.000	93.112	65,178.47	103.170	72,219.00	7,040.53	ST
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: $9,408 Current yield: 5.99%	Jan 26, 09	2,800.000	26.889	75,289.67	28.030	78,484.00	3,194.33	ST
	Jul 24, 09	2,800.000	25.406	71,137.34	28.030	78,484.00	7,346.66	ST
Security total		5,600.000		146,427.01		156,968.00	10,540.99	
BIOCRYST PHARMACEUTICALS INC								
Symbol: BCRX Exchange: OTC	Jan 24, 07	1,800.000	10.330	18,599.40 [1]	6.460	11,628.00	-6,971.40	LT
	Mar 9, 07	1,400.000	9.750	13,651.00 [1]	6.460	9,044.00	-4,607.00	LT
	Mar 29, 07	2,400.000	8.470	20,342.16 [1]	6.460	15,504.00	-4,838.16	LT
Security total		5,600.000		52,592.56		36,176.00	-16,416.56	
BRISTOL MYERS SQUIBB CO								
Symbol: BMY Exchange: NYSE								
EAI: $7,296 Current yield: 5.07%	May 20, 08	5,700.000	22 312	127,178.40	25.250	143,925.00	16,746.60	LT
CABELA'S INC								
Symbol: CAB Exchange: NYSE	May 22, 09	5,300.000	12.297	65,178.87	14.260	75,578.00	10,399.13	ST
CHESAPEAKE ENERGY CORP OKLA								
Symbol: CHK Exchange: NYSE								
EAI: $1,500 Current yield: 1.16%	Dec 30, 05	1,400.000	31.260	43,764.00 [1]	25.880	36,232.00	-7,532.00	LT
	Feb 17, 06	3,600.000	29.900	107,650.08 [1]	25.880	93,168.00	-14,482.08	LT
Security total		5,000.000		151,414.08		129,400.00	-22,014.08	
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: $3,808 Current yield: 3.53%	Aug 10, 09	1,400.000	69 724	97,614.44	76.990	107,786.00	10,171.56	ST
CLOROX CO								
Symbol: CLX Exchange: NYSE								
EAI: $4,000 Current yield: 3.28%	Jun 13, 08	1,400.000	53.025	74,235.42	61.000	85,400.00	11,164.58	LT
	May 18, 09	600.000	51.619	30,971.90	61.000	36,600.00	5,628.10	ST
Security total		2,000.000		105,207.32		122,000.00	16,792.68	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Hol pe
DU PONT DE NEMOURS Symbol: DD Exchange: NYSE EAI: $4,920 Current yield: 4.87%	Dec 9, 09	3,000.000	31.482	94,448.70	33.670	101,010.00	6,561.30	
EXXON MOBIL CORP Symbol: XOM Exchange: NYSE EAI: $2,520 Current yield: 2.46%	Jun 6, 03	1,500.000	37.420	56,130.00 ¹	68.[illegible]	102,285.00	46,155.00	
GENL ELECTRIC CO Symbol: GE Exchange: NYSE EAI: $3,920 Current yield: 2.64%	Jul 30, 09	5,400.000	13.229	71,436.60	15.130	81,702.00	10,265.40	
	Aug 5, 09	4,400.000	14 078	61,943.64	15.130	66,572.00	4,628.36	
Security total		9,800.000		133,380.24		148,274.00	14,893.76	
INTEL CORP Symbol: INTC Exchange: OTC EAI: $4,480 Current yield: 2.75%	Sep 10, 04	4,000.000	20.570	82,280.00 ¹	20.400	81,600.00	-680.00	
	Mar 16, 06	3,000.000	19.910	59,730.00 ¹	20.400	61,200.00	1,470.00	
	Mar 9, 07	1,000.000	19 150	19,150.00 ¹	20.400	20,400.00	1,250.00	
Security total		8,000.000		161,160.00		163,200 00	2,040.00	
INTL BUSINESS MACH Symbol: IBM Exchange: NYSE EAI: $1,320 Current yield: 1.68%	Sep 10, 09	500.000	117.250	58,625.00	130.900	65,450.00	6,825.00	
	Sep 11, 09	80.000	118.750	9,500.00	130.900	10,472.00	972.00	
	Sep 14, 09	20.000	118.822	2,376.45	130.900	2,618.00	241.55	
Security total		600.000		70,501.45		78,540.00	8,038.55	
JOHNSON & JOHNSON COM Symbol: JNJ Exchange: NYSE EAI: $5,292 Current yield: 3.04%	Jan 26, 04	2,500.000	53.000	132,500.00 ¹	64.410	161,025.00	28,525.00	
	Mar 6, 06	200 000	57.830	11,567.80 ¹	64.410	12,882.00	1,314.20	
Security total		2,700.000		144,067.80		173,907.00	29,839.20	
JPMORGAN CHASE & CO Symbol. JPM Exchange: NYSE EAI: $480 Current yield: 0.48%	Oct 15, 08	2,400.000	40.099	96,239.26	41.670	100,008.00	3,768.74	
KIMBERLY CLARK CORP Symbol: KMB Exchange: NYSE EAI: $4,800 Current yield: 3.77%	Apr 22, 08	1,100.000	64 300	70,730.00	63.710	70,081.00	-649.00	

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UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04809 T1

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 30, 08	900.000	64.163	57,747.06	63.710	57,339.00	-408.06	LT
Security total		2,000.000		128,477.06		127,420.00	-1,057.06	
MICROSOFT CORP Symbol: MSFT Exchange: OTC EAI: $3,640 Current yield: 1.71%	Mar 14, 08	2,600.000	28.092	73,041.28	30.480	79,248.00	6,206.72	LT
	Mar 14, 08	2,600.000	28.285	73,541.78	30.480	79,248.00	5,706.22	LT
	Mar 10, 09	1,800.000	16.245	29,241.24	30.480	54,864.00	25,622.76	ST
Security total		7,000.000		175,824.30		213,360.00	37,535.70	
NUCOR CORP Symbol. NUE Exchange: NYSE EAI: $3,744 Current yield: 3.09%	Oct 29, 08	1,800.000	37.975	68,355.54	46.650	83,970.00	15,614.46	LT
	Mar 4, 09	800.000	35.150	28,120.00	46.650	37,320.00	9,200.00	ST
Security total		2,600.000		96,475.54		121,290.00	24,814.46	
PEABODY ENERGY CORP Symbol: BTU Exchange: NYSE EAI: $588 Current yield: 0.62%	Oct 29, 08	900.000	33.068	29,761.65	45.210	40,689.00	10,927.35	LT
	May 4, 09	1,200.000	32.126	38,551.94	45.210	54,252.00	15,700.06	ST
Security total		2,100.000		68,313.59		94,941.00	26,627.41	
PROTECTIVE LIFE CORP Symbol: PL Exchange: NYSE EAI: $2,400 Current yield: 2.90%	Nov 15, 06	351.000	45.620	16,015.15 [1]	16.550	5,809.05	-10,206.10	LT
	Nov 16, 06	85.000	46.090	3,917.82 [1]	16.550	1,406.75	-2,511.07	LT
	Dec 11, 06	207.000	46.980	9,726.33 [1]	16.550	3,425.85	-6,300.48	LT
	Dec 14, 06	1,857.000	47.080	87,441.67 [1]	16.550	30,733.35	-56,708.32	LT
	Apr 16, 09	2,500.000	8.097	20,244.75	16.550	41,375.00	21,130.25	ST
Security total		5,000.000		137,345.72		82,750.00	-54,595.72	
SOUTHERN CO Symbol: SO Exchange: NYSE EAI: $7,875 Current yield: 5.25%	Aug 17, 07	2,150.000	35.310	75,923.17 [1]	33.320	71,638.00	-4,285.17	LT
	Nov 15, 07	2,350.000	36.980	86,908.25	33.320	78,302.00	-8,606.25	LT
Security total		4,500.000		162,831.42		149,940.00	-12,891.42	
VALUECLICK INC Symbol: VCLK Exchange: OTC	Dec 11, 09	1,248.000	9.661	12,057.05	10.120	12,629.76	572.71	ST
	Dec 14, 09	5,552.000	9.629	53,462.43	10.120	56,186.24	2,723.81	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Ho p
Security total		6,800.000		65,519.48		68,816.00	3,296.52	
WAL MART STORES INC Symbol: WMT Exchange: NYSE EAI: $1,962 Current yield: 2.04%								
WELLS FARGO & CO NEW	Apr 28, 09	1,800.000	48 953	88,117.02	53.450	96,210.00	8,092.98	
Symbol: WFC Exchange: NYSE EAI: $550 Current yield: 0.74%								
3M CO	Nov 10, 08	2,750.000	28 750	79,065.14	26.990	74,222.50	-4,842.64	
Symbol: MMM Exchange: NYSE EAI: $4,080 Current yield: 2.47%	Aug 11, 08	1,500.000	74.350	111,525.00	82.670	124,005.00	12,480.00	
	Aug 14, 08	500.000	72.972	36,486.36	82.670	41,335.00	4,848.64	
Security total		2,000.000		148,011.36		165,340.00	17,328.64	
Total				$2,716,699.23		$2,905,565.50	$188,866.27	

Total estimated annual income: $79,003

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services.

Short options

Holding	Trade date	Number of contracts	Sale price per contract ($)	Sale proceeds ($)	Price per contract on Dec 31 ($)	Contract premium ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Ho p
CALL JPMORGAN CHASE & CO DUE 01/16/10 48.000 Expires: Jan 10 Symbol: JPMAJ Exchange: AMEX	Oct 08, 09	-24.000	2.691	-6,458.31	2.000	0.020	-48.00	6,410.31	
CALL PROTECTIVE LIFE COR DUE 01/16/10 22.500 Expires: Jan 10 Symbol: PLAX Exchange: AMEX	Sep 22, 09	-50.000	2.400	-11,999.69	10.000	0.100	-500.00	11,499.69	
CALL PEABODY ENERGY CORP DUE 01/16/10 47.000 Expires: Jan 10 Symbol: BTUAX Exchange: AMEX	Oct 22, 09	-21.000	2.250	-4,724.87	60.000	0.600	-1,260.00	3,464.87	
CALL 3M CO DUE 01/16/10 75.000 Expires: Jan 10 Symbol: MMMAO Exchange: AMEX	Jul 31, 09	-20.000	2.988	-5,977.34	780.000	7.800	-15,600.00	-9,622.66	

continued next p

Your assets › Equities › Short options (continued)

Holding	Trade date	Number of contracts	Sale price per contract ($)	Sale proceeds ($)	Price per contract on Dec 31 ($)	Contract premium ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CALL NUCOR CORP DUE 01/16/10 50.000 Expires: Jan 10 Symbol: NUEAJ Exchange: AMEX	Oct 14, 09	-26.000	1.850	-4,809.87	12.500	0.125	-325.00	4,484.87	ST
CALL SPDR GOLD TRUST DUE 03/20/10 115.000 Expires: Mar 10 Symbol: GCZCG Exchange: AMEX	Dec 08, 09	-7.000	4.450	-3,114.91	210.000	2.100	-1,470.00	1,644.91	ST
Total				-$37,084.99			-$19,203.00	$17,881.99	

Broad commodities

Closed end mutual funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
POWERSHARES DB MULTI SECTOR COMMODITY TR DB AGRICULTURE FUND Symbol: DBA Exchange: NYSE	Mar 19, 09	2,870.000	24.880	71,405.60	26.440	75,882.80	4,477.20	ST
	Oct 12, 09	2,830.000	25.727	72,809.67	26.440	74,825.20	2,015.53	ST
	Nov 18, 09	300.000	26.519	7,955.94	26.440	7,932.00	-23.94	ST
Security total		6,000.000		152,171.21		158,640.00	6,468.79	
SPDR GOLD TRUST Symbol: GLD Exchange: NYSE	Mar 19, 09	750.000	94.098	70,573.60	107.310	80,482.50	9,908.90	ST
Total				$222,744.81		$239,122.50	$16,377.69	

UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Your assets (continued)

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN EXP CENT UT US RATE 03.5000% MAT 02/25/2014 FIXED RATE CD ACCRUED INTEREST $1,227.40 CUSIP 02586TGD0 EAI: $3,500 Current yield: 3.45%	Feb 19, 09	100,000.000	100.000	100,000.00	101.389	101,389.00	1,389.00	ST
GOLDMAN SACHS BANK NY US RATE 04.0000% MAT 02/25/2019 FIXED RATE CD ACCRUED INTEREST $1,402.74 CUSIP 381426L29 EAI: $4,000 Current yield: 3.82%	Feb 19, 09	100,000.000	100.000	100,000.00	104.631	104,631.00	4,631.00	ST
Total		$200,000.000		$200,000.00		$206,020.00	$6,020.00	

Total accrued interest: $2,630.14

Total estimated annual income: $7,500

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DOW CHEMICAL CO NTS B/E RATE 05.400% MATURES 03/15/13 ACCRUED INTEREST $2,385.00 CUSIP 26054LCW1 Moody: Baa3 S&P: BBB- EAI: $8,100 Current yield: 5.50%	Mar 03, 03	150,000.000	100.000	150,000.00 [1]	98.261	147,391.50	-2,608.50	LT

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Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Your Financial Advisor:
STONEGATE WEALTH MANAGEMENT
205-977-7070

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GE CAPITAL INTERNOTES NTS B/E RATE 04.600% MATURES 03/15/13 ACCRUED INTEREST $265.77 CUSIP 36966RDC7 Moody: Aa2 S&P: AA+ EAI: $5,980 Current yield: 4.53%	Mar 24, 03	130,000.000	100.000	130,000.00 [1]	101.473	131,914.90	1,914.90	LT
DU PONT DE NEMOURS NTS CALL MW+50BPS RATE 04.750% MATURES 03/15/15 ACCRUED INTEREST $1,398.61 CUSIP 263534BX6 Moody: A2 S&P: A EAI: $4,750 Current yield: 4.47%	Mar 18, 09	100,000.000	98.439	98,444.25	106.358	106,358.00	7,913.75	ST
PFIZER INC CALL@MW T+50BPS RATE 05.350% MATURES 03/15/15 ACCRUED INTEREST $1,575.28 CUSIP 717081DA8 Moody: A1 S&P: AA EAI: $5,350 Current yield: 4.90%	Mar 18, 09	100,000.000	103 917	103,922.25	109.290	109,290.00	5,367.75	ST
SOUTHERN POWER SNR NTS MW + 25BP RATE 04.875% MATURES 07/15/15 ACCRUED INTEREST $2,247.92 CUSIP 843646AF7 Moody: Baa1 S&P: BBB+ EAI: $4,875 Current yield: 4.68%	Dec 09, 08	100,000.000	91 075	91,080.25	104.082	104,082.00	13,001.75	LT
CATERPILLAR FIN SVS CORP NTS B/E RATE 06.800% MATURES 12/15/15 ACCRUED INTEREST $302.22 CUSIP 14912HNB2 Moody: A2 S&P: A EAI: $6,800 Current yield: 6.59%	Dec 09, 08	100,000.000	100 000	100,000.00	103.163	103,163.00	3,163.00	LT

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UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HOME DEPOT INC NTS B/E RATE 05.400% MATURES 03/01/16 ACCRUED INTEREST $1,800.00 CUSIP 437076AP7 Moody: Baa1 S&P: BBB+ EAI: $5,400 Current yield: 5.16%	Oct 21, 08	100,000.000	78.420	78,425.25	104.686	104,686.00	26,260.75	LT
DOW CHEMICAL CO NTS B/E RATE 07.950% MATURES 07/15/16 INTEREST EARNED FROM 07/02/09 1ST INTEREST PAYMENT 01/15/10 CALLABLE ACCRUED INTEREST $3,952.92 CUSIP 26054LGR8 Moody: Baa3 S&P: BBB- EAI: $7,950 Current yield: 7.85%	Jun 23, 09	100,000.000	100.000	100,000.00	101.266	101,266.00	1,266.00	ST
ALCOA INC CALL@M/W T+12.5BP NTS RATE 05.550% MATURES 02/01/17 ACCRUED INTEREST $2,312.50 CUSIP 013817AL5 Moody: Baa3 S&P: BBB- EAI: $5,550 Current yield: 5.58%	Sep 08, 09	100,000.000	96.139	96,144.25	99.431	99,431.00	3,286.75	ST
JP MORGAN CHASE BK NA NY NTS RATE 06.000% MATURES 10/01/17 ACCRUED INTEREST $1,500.00 CUSIP 48121CYK6 Moody: Aa2 S&P: A+ EAI: $6,000 Current yield: 5.60%	Dec 12, 08	100,000.000	97.522	97,527.25	107.067	107,067.00	9,539.75	LT
AT&T INC CALL @M/W+BP RATE 05.500% MATURES 02/01/18 ACCRUED INTEREST $2,291.67 CUSIP 00206RAJ1 Moody: A2 S&P: A EAI: $5,500 Current yield: 5.27%	Oct 21, 08	100,000.000	82.770	82,775.25	104.342	104,342.00	21,566.75	LT

continued next page

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Your Financial Advisor:
STONEGATE WEALTH MANAGEMENT
205-977-7070

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN EXPRESS CO SENIOR NTS RATE 07.000% MATURES 03/19/18 ACCRUED INTEREST $1,983.33 CUSIP 025816AY5 Moody: A3 S&P: BBB+ EAI: $7,000 Current yield: 6.36%	Oct 20, 08	100,000.000	82.781	82,786.25	110.127	110,127.00	27,340.75	LT
GENL ELEC CAP CORP NTS RATE 05.625% MATURES 05/01/18 ACCRUED INTEREST $1,875.00 CUSIP 36962G3U6 Moody: Aa2 S&P: AA+ EAI: $11,250 Current yield: 5.49%	Jun 12, 08	200,000.000	99.526	199,057.25	102.474	204,948.00	5,890.75	LT
VULCAN MATERIALS CO NTS 7.000% 061518 DTD062008 FC121508 ACCRUED INTEREST $622.22 CUSIP 929160AK5 Moody: Baa2 S&P: BBB EAI: $14,000 Current yield: 6.42%	Aug 28, 08	200,000.000	99.085	198,175.25	109.068	218,136.00	19,960.75	LT
PROTECTIVE LIFE CORP NTS B/E RATE 07.375% MATURES 10/15/19 INTEREST EARNED FROM 10/09/09 1ST INTEREST PAYMENT 04/15/10 ACCRUED INTEREST $1,679.86 CUSIP 743674AX1 Moody: Baa2 S&P: A- EAI: $7,375 Current yield: 7.36%	Oct 08, 09	100,000.000	100.750	100,755.25	100.216	100,216.00	-539.25	ST
Total		$1,780,000.000		$1,709,092.75		$1,852,418.40	$143,325.65	

Total accrued interest: $26,192.30

Total estimated annual income: $105,880

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services.

UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Your assets › Fixed income (continued)

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHLB BOND RATE 4.6250% MATURES 02/18/11 ACCRUED INTEREST $1,708.68 CUSIP 3133XECU1 EAI: $4,625 Current yield: 4.43%	Feb 27, 06	100,000.000	99.680	99,681.24 [1]	104.406	104,406.00	4,724.76	LT
FED HOME LOAN BANK RATE 4.5000% MATURES 11/15/12 ACCRUED INTEREST $575.00 CUSIP 3133MTZL5 EAI: $4,500 Current yield: 4.19%	Aug 08, 05	100,000.000	100.630	100,631.35 [1]	107.281	107,281.00	6,649.65	LT
FHLB CALL BOND RATE 5.0500% MATURES 02/14/23 ACCRUED INTEREST $4,804.52 CUSIP 3133XPLF9 EAI: $12,625 Current yield: 5.20%	Feb 04, 08	250,000.000	100.300	250,755.25	97.125	242,812.50	-7,942.75	LT
Total		$450,000.000		$451,067.84		$454,499.50	$3,431.66	

Total accrued interest: $7,088.20

Total estimated annual income: $21,750

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services.

Summary of gains and losses

	Amount ($)
Short term	136,176.24
Long term	-230,235.13
Total	**$-94,058.89**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. *See Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municip securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term becaus information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Ne or lo
AKAMAI TECHNOLOGIES INC	FIFO	2,800.000	Aug 07, 09	Dec 08, 09	68,598.24	53,731.20		14,867.04	
	FIFO	1,200.000	Sep 03, 09	Dec 08, 09	29,399.24	20,747.52		8,651.72	
	FIFO	1,300.000	May 01, 09	Jun 22, 09	24,833.39	28,977.26	-4,143.87		

continued next

UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04809 T1

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ALCOA INC	FIFO	7,100.000	Jun 09, 09	Jul 07, 09	67,114.57	78,938.51	-11,823.94		
APPLE INC	FIFO	500.000	Dec 02, 08	Apr 17, 09	52,085.41	44,998.72		7,086.69	
CISCO SYSTEMS INC	FIFO	2,700.000	Mar 10, 09	Jul 17, 09	54,075.29	39,405.69		14,669.60	
DEERE AND CO	FIFO	2,000.000	Nov 10, 08	Jun 19, 09	85,497.79	73,334.20		12,163.59	
FAIRCHILD SEMICONDUCTOR INTL INC	FIFO	6,000.000	Oct 14, 09	Nov 02, 09	44,043.66	56,622.00	-12,578.34		
HOME DEPOT INC	FIFO	5,300.000	Oct 27, 08	Mar 02, 09	106,950.75	101,328.05		5,622.70	
JAMES RIVER COAL NEW	FIFO	1,900.000	Oct 29, 08	May 04, 09	39,710.18	32,604.00		7,106.18	
RIVERBED TECHNOLOGY INC	FIFO	9.000	May 18, 09	Jul 08, 09	191.36	161.42		29.94	
	FIFO	379.000	Apr 30, 09	Jul 07, 09	8,527.85	7,001.27		1,526.58	
	FIFO	391.000	May 18, 09	Jul 07, 09	8,797.86	7,012.82		1,785.04	
	FIFO	195.000	Apr 30, 09	Jul 06, 09	4,358.13	3,602.24		755.89	
	FIFO	1,026.000	Apr 30, 09	Jul 02, 09	22,881.16	18,953.30		3,927.86	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	700.000	Nov 10, 08	Jul 07, 09	34,525.56	35,358.61	-833.05		
	FIFO	800.000	May 18, 09	Jul 07, 09	39,457.78	42,967.60	-3,509.82		
WALTER ENERGY INC	FIFO	1,000.000	Dec 09, 09	Dec 21, 09	76,957.26	67,479 60		9,477.66	
ISHARES FTSE/XINHUA CHINA 25 INDEX FUND	FIFO	3,400.000	Mar 04, 09	Mar 18, 09	91,846.06	86,914.54		4,931.52	
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	FIFO	1,260.000	Jul 10, 09	Jul 21, 09	64,578.00	75,839.40	-11,261.40		
	FIFO	790.000	Feb 18, 09	Mar 06, 09	89,427.96	67,758.30		21,669.66	
	FIFO	790.000	Feb 18, 09	Mar 04, 09	79,848.10	67,758.30		12,089.80	
PROSHARES TRUST PROSHARES ULTRASHORT REAL ESTATE	FIFO	1,200.000	Jan 08, 09	May 06, 09	25,212.55	65,515.40	-40,302.85		
CALL APPLE COMPUTER INC DUE 01 09 100	LIFO	-5.000	Jan 20, 09	Dec 02, 08	3,024.98	0.00		3,024.98	
CALL CHESAPEAKE ENERGY C DUE 01 09 75	LIFO	-50.000	Jan 20, 09	Jun 27, 08	27,227.66	0.00		27,227.66	
CALL DEERE AND CO DUE 03/21/09 40.000	LIFO	-20.000	Mar 23, 09	Jan 23, 09	5,999.96	0.00		5,999.96	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net ga[in] or loss ([$])
CALL HOME DEPOT INC DUE 05/16/09 25.000	FIFO	-53.000	Mar 02, 09	Jan 09, 09	12,859.89	1,797.11		11,062.78	
CALL INTEL CORP DUE 01 09 26	LIFO	-80.000	Jan 20, 09	Aug 20, 08	7,944.33	0.00		7,944.33	
CALL NUCOR CORP DUE 04/18/09 45.000	FIFO	-18.000	Apr 17, 09	Jan 27, 09	4,850.78	90.00		4,760.78	
CALL NUCOR CORP DUE 07/18/09 50.000	FIFO	-26.000	Jul 10, 09	Apr 17, 09	7,279.81	130.00		7,149.81	
CALL NUCOR CORP DUE 10/17/09 45.000	FIFO	-26.000	Oct 14, 09	Jul 10, 09	7,019.81	2,600.00		4,419.81	
CALL NUCOR CORP DUE 01 09 45	LIFO	-18.000	Jan 20, 09	Oct 30, 08	5,766.92	0.00		5,766.92	
CALL PEABODY ENERGY CORP DUE 09/19/09 40.000	LIFO	-21.000	Sep 21, 09	May 12, 09	3,989.89	0.00		3,989.89	
CALL PROSHARES TRUST DUE 07/18/09 80.000	FIFO	-11.000	Apr 30, 09	Jan 08, 09	16,279.90	1,036.94		15,242.96	
	FIFO	-1.000	Apr 30, 09	Jan 09, 09	1,649.99	94.27		1,555.72	
CALL 3M CO DUE 09/19/09 70.000	FIFO	-20 000	Jul 31, 09	Jul 23, 09	2,299.94	6,177.50	-3,877.56		
Total					$1,225,112.01	$1,088,935.77	-$88,330.83	$224,507.07	$136,176.2[cut off]

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net ga[in] or loss ([$])
BIOCRYST PHARMACEUTICALS INC	FIFO	2,400.000	Jan 24, 07	Sep 15, 09	28,539.82	24,799.20		3,740.62	
	VSP	3,400.000	Jan 24, 07	Aug 25, 09	41,804.69	35,132.20		6,672.49	
CISCO SYSTEMS INC	FIFO	3,100.000	Feb 14, 08	Jul 17, 09	62,086.44	73,377.00	-11,290.56		
KRAFT FOODS INC CL A	FIFO	4,700.000	Jul 27, 07	Mar 02, 09	104,739.38	155,100.00	-50,360.62		
QWEST COMMUNICATIONS INTL INC.	FIFO	20,000.000	Dec 14, 07	Jan 26, 09	77,021.56	142,655.24	-65,633.68		
SARA LEE CORP	FIFO	3,600.000	Mar 18, 05	Sep 28, 09	40,066.77	65,111.60	-25,044.83		
	FIFO	1,400.000	Mar 22, 05	Sep 28, 09	15,581.52	25,573.10	-9,991.58		

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Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04809 T1

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	2,000.000	Apr 06, 05	Sep 28, 09	22,259.32	37,514.75	-15,255.43		1
	FIFO	3,000.000	Nov 29, 06	Sep 28, 09	33,388.97	49,680.00	-16,291.03		1
YAHOO INC	FIFO	2,900.000	Jun 03, 08	Jul 29, 09	44,086.40	75,400.00	-31,313.60		
	FIFO	1,400.000	Jun 05, 08	Jul 29, 09	21,283.09	36,750.00	-15,466.91		
Total					**$490,857.96**	**$721,093.09**	**-$240,648.24**	**$10,413.11**	**-$230,235.13**
Net capital gains/losses:									**-$94,058.89**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc.

Summary of gains and losses

Long term	Amount ($)
	-15,672.73

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. *See important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
GENERAL MTRS ACCEP CORP 07.750% 011910 DTD011900 FC071900 GLOBAL NTS	FIFO	100,000.000	Sep 10, 02	May 18, 09	89,994.75	106,003.00	-16,008.25		
INTL PAPER CO NTS B/E 04.250% 011509 DTD121503 FC071504	FIFO	100,000.000	Aug 24, 04	Jan 15, 09	100,000.00	101,345.00	-1,345.00		

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UBS

Account name: CURTIS FINLAY FOUNDATION INC
Account number: SQ 04798 T1

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FHLB CALL BOND 05.550 % DUE 031323 DTD 031308 FC 09132008	FIFO	250,000.000	Feb 26, 08	Mar 13, 09	250,000.00	251,167.75	-1,167.75		
FHLMC MTN 04.000 % DUE 121509 DTD 120304 FC 06152005 Original cost basis: $97,151.73	FIFO	100,000.000	Mar 03, 06	Dec 15, 09	100,000.00	97,151.73		2,848.27	
Total					**$539,994.75**	**$555,667.48**	**-$18,521.00**	**$2,848.27**	**-$15,672.73**
Net capital gains/losses:									**-$15,672.73**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc.

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End of statement for account number SQ 04798 T1