



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning, and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions	Name of foundation THE JOSEPH H. & REVA ENGEL FOUNDATION		A Employer identification number 63-0989498
	Number and street (or P O box number if mail is not delivered to street address) Room/suite P. O. BOX 3128		B Telephone number (205) 221-4000
	City or town, state, and ZIP code JASPER, AL 35502-3128		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 168,971.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		88.	88.		STATEMENT 1
4 Dividends and interest from securities		10,882.	10,882.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		5,610.			
b Gross sales price for all assets on line 6a		452,272.			
7 Capital gain net income (from Part IV, line 2)			5,610.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		16,580.	16,580.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		3,336.	2,502.		834.
c Other professional fees STMT 4		1,766.	1,766.		0.
17 Interest					
18 Taxes STMT 5		125.	54.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		191.	0.		114.
24 Total operating and administrative expenses. Add lines 13 through 23		5,418.	4,322.		948.
25 Contributions, gifts, grants paid		239,679.			239,679.
26 Total expenses and disbursements. Add lines 24 and 25		245,097.	4,322.		240,627.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-228,517.			
b Net investment income (if negative, enter -0-)			12,258.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 21 2010

RECEIVED

MAY 17 2010

MAY 17 2010

MAY 17 2010

MAY 17 2010

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	22,256.	6,197.	6,197.
	3	Accounts receivable ▶ 255.			
		Less: allowance for doubtful accounts ▶	630.	255.	255.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	142,162.	103,176.	137,183.
	c	Investments - corporate bonds STMT 8	197,765.	24,668.	25,336.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment; basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	362,813.	134,296.	168,971.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	362,813.	134,296.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	362,813.	134,296.	
	31	Total liabilities and net assets/fund balances	362,813.	134,296.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	362,813.
2	Enter amount from Part I, line 27a	2	-228,517.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	134,296.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	134,296.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT D-1	P	VARIOUS	VARIOUS
b SEE STATEMENT D-2	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 342,114.		342,084.	30.
b 110,158.		104,578.	5,580.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			30.
b			5,580.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	5,610.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	135,113.	477,532.	.282940
2007	97,086.	524,354.	.185154
2006	134,245.	459,104.	.292407
2005	98,788.	452,141.	.218489
2004	58,495.	465,981.	.125531

2 Total of line 1, column (d)	2	1.104521
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.220904
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	295,447.
5 Multiply line 4 by line 3	5	65,265.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	123.
7 Add lines 5 and 6	7	65,388.
8 Enter qualifying distributions from Part XII, line 4	8	240,627.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	123.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	123.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	123.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		123.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> AL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MARK PFLAUM, CPA Telephone no. ► 205-221-4000 Located at ► 17 EAST 18TH STREET, P.O. BOX 3128, JASPER, AL ZIP+4 ► 35502-3128			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a. During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LISA R. ENGEL 3547 KINGSHILL RD BIRMINGHAM, AL 35223	PRESIDENT/DIRECTOR 7.50	0.	0.	0.
ALAN Z. ENGEL 3547 KINGSHILL RD BIRMINGHAM, AL 35223	VICE PRES/SECRETARY/DIRECTOR 1.00	0.	0.	0.
ANDREA J. ENGEL 3547 KINGSHILL RD BIRMINGHAM, AL 35223	TREASURER/DIRECTOR 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	258,651.
b	Average of monthly cash balances	1b	41,295.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	299,946.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	299,946.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,499.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	295,447.
6	Minimum investment return. Enter 5% of line 5	6	14,772.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14,772.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	123.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	123.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,649.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	14,649.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,649.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	240,627.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	240,627.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	123.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	240,504.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				14,649.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	35,944.			
b From 2005	76,433.			
c From 2006	116,222.			
d From 2007	73,250.			
e From 2008	111,378.			
f Total of lines 3a through e	413,227.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	240,627.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				14,649.
e Remaining amount distributed out of corpus	225,978.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	639,205.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	35,944.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	603,261.			
10 Analysis of line 9:				
a Excess from 2005	76,433.			
b Excess from 2006	116,222.			
c Excess from 2007	73,250.			
d Excess from 2008	111,378.			
e Excess from 2009	225,978.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income

[illegible]

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT C-1	N/A	PUBLIC	VARIOUS	239,679.
Total				239,679.
b Approved for future payment NONE				
Total				0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee.

Date _____

→ Title

Preparer's
signature

Firm's name (or yours if self-employed),
address, and ZIP code

STEPHEN M. BERMAN & ASSOC., L.L.C.
3475 LENOX ROAD, N.E., SUITE 950
ATLANTA, GEORGIA 30326

Date _____

Check if self-employed	
------------------------	--

Preparer's identifying number

EIN ▶ 58-1540139

Phone no. 404-262-2181

Form **990-PF** (2009)

R. H. BLUESTEIN & COMPANY
INVESTMENT MANAGERS AND ADVISORS

PORTFOLIO APPRAISAL

**Joseph H. and Reva Engel Foundation (Irene Engel),
Lisa R. Engel, Alan Z. Engel and Andrea J. Engel, Directors (HS8768)
December 31, 2009**

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Yield
COMMON STOCK							
CONSUMER DISCRETIONARY							
400	Ford Motor Company	7.78	3,114	10.00	4,000	2.4	0.0
35	McDonalds Corp	35.09	1,228	62.44	2,185	1.3	3.5
			4,342		6,185	3.8	1.2
CONSUMER STAPLES							
75	Anheuser-Busch InBev	38.42	2,881	52.22	3,916	2.4	1.1
35	Archer Daniels Mid	28.02	981	31.31	1,096	0.7	1.8
35	Clorox Co	56.00	1,960	61.00	2,135	1.3	3.3
50	Coca Cola Co	41.61	2,081	57.00	2,850	1.7	2.9
35	Costco Wholesale	52.54	1,839	59.17	2,071	1.3	1.2
50	Nestle SA ADR	27.33	1,366	48.35	2,418	1.5	1.7
75	Procter & Gamble Co	50.96	3,822	60.63	4,547	2.8	2.9
			14,929		19,033	11.6	2.2
ENERGY							
50	Anadarko Petroleum	40.50	2,025	62.42	3,121	1.9	0.6
35	Exxon Mobil Corp	71.00	2,485	68.19	2,387	1.5	2.5
100	FMC Technologies	44.63	4,463	57.84	5,784	3.5	0.0
75	Hess Corp	56.42	4,232	60.50	4,538	2.8	0.7
75	Peabody Energy Corp	34.86	2,615	45.21	3,391	2.1	0.6
35	Schlumberger Ltd	53.01	1,855	65.09	2,278	1.4	1.3
			17,675		21,498	13.1	0.7
FINANCIALS							
50	American Express Co	41.81	2,090	40.52	2,026	1.2	1.8
75	HSBC Hldgs PLC ADR	30.25	2,269	57.09	4,282	2.6	3.0
75	Visa Inc Cl A	56.27	4,220	87.46	6,560	4.0	0.6
			8,580		12,867	7.9	1.6
HEALTHCARE							
10	Alcon Inc	120.05	1,201	164.35	1,644	1.0	2.2
75	Bristol-Myers Squibb	22.03	1,652	25.25	1,894	1.2	5.1
50	Celgene Corp	46.49	2,324	55.68	2,784	1.7	0.0
35	Gilead Sciences Inc	32.71	1,145	43.27	1,514	0.9	0.0
35	Roche Hldgs Ltd ADR	31.91	1,117	42.20	1,477	0.9	1.6
35	Teva Pharm Ind ADR	44.06	1,542	56.18	1,966	1.2	0.9
			8,981		11,279	6.9	1.5
INDUSTRIALS							
35	Boeing Co	47.62	1,667	54.13	1,895	1.2	3.1
75	Caterpillar Inc	44.25	3,319	56.99	4,274	2.6	2.9
50	Cummins Inc	27.13	1,356	45.86	2,293	1.4	1.5
75	FedEx Corp	47.56	3,567	83.45	6,259	3.8	0.5
50	Stanley Works	50.45	2,523	51.51	2,576	1.6	2.6

R. H. BLUESTEIN & COMPANY
INVESTMENT MANAGERS AND ADVISORS

PORTFOLIO APPRAISAL
Joseph H. and Reva Engel Foundation (Ilene Engel),
Lisa R. Engel, Alan Z. Engel and Andrea J. Engel, Directors (HS8768)
December 31, 2009

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct. Assets	Yield
50	Union Pacific Corp	60.16	3,008	63.90	3,195	2.0	1.7
			15,439		20,491	12.5	1.8
TECHNOLOGY							
35	Apple Inc	150.01	5,250	210.73	7,376	4.5	0.0
125	Applied Materials Inc	10.00	1,250	13.94	1,743	1.1	1.7
175	Cisco Systems Inc	21.87	3,827	23.94	4,190	2.6	0.0
125	Corning Inc	15.34	1,917	19.31	2,414	1.5	1.0
7	Google Inc Cl A	413.29	2,893	619.98	4,340	2.6	0.0
15	IBM Corp	92.26	1,384	130.90	1,964	1.2	1.7
200	Oracle Corp	23.41	4,683	24.53	4,906	3.0	0.8
			21,204		26,931	16.4	0.5
MATERIALS							
75	Freeport-McMoRan	35.52	2,664	80.29	6,022	3.7	0.7
35	Monsanto Co	44.37	1,553	81.75	2,861	1.7	1.3
100	Nucor Corp	44.78	4,478	46.65	4,665	2.8	3.1
75	Weyerhaeuser Co	31.16	2,337	43.14	3,236	2.0	0.5
			11,032		16,784	10.2	1.4
SPECIAL SITUATIONS							
150	Goodyear Tire & Rub	6.63	994	14.10	2,115	1.3	0.0
			994		2,115	1.3	0.0
COMMON STOCK Total			103,176		137,183	83.7	1.3
CORPORATE BONDS							
25,000	E I DUPONT	98.67	24,668	101.34	25,336	15.5	4.7
	4.125% Due 04-30-10						

THE JOSEPH H AND REVA ENGEL FOUNDATION
FORM 990 - PF
12/31/2009

RECIPIENT	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Alabama Ballet	N/A	Public	Civic	100
Alabama Holocaust Fnd	N/A	Public	Civic	500
Alabama Symphony Orchestra	N/A	Public	Civic	50
Alabama Symphony Orchestra	N/A	Public	Civic	50
Alpha Phi Foundation	N/A	Public	Educational	50
Alys Stevens Center	N/A	Public	Civic	2,500
Alzheimer's Assn	N/A	Public	Medical	70
Alzheimer's Assn	N/A	Public	Medical	100
Alzheimers Of Central Alabama	N/A	Public	Medical	25
AMERICAN CANCER SOCIETY	N/A	Public	Medical	25
Atlanta Women's Fdn	N/A	Public	Civic	25,000
Beth Jacob	N/A	Public	Religious	36
Bham 1st Seventh Adventist Church	N/A	Public	Religious	500
Bham Jewish Foundation	N/A	Public	Civic	36
B'ham Museum Of Art	N/A	Public	Civic	2,500
Birmingham Holocaust Educational Committee	N/A	Public	Educational	250
Birmingham Holocaust Educational Committee	N/A	Public	Educational	100
Birmingham Jewish Federation	N/A	Public	Civic	18
Birmingham Jewish Federation	N/A	Public	Religious	36
Birmingham Jewish Federation	N/A	Public	Civic	20,000
Birmingham Jewish Federation	N/A	Public	Civic	11,000
Birmingham Jewish Federation	N/A	Public	Civic	4,500
Birmingham Jewish Foundation	N/A	Public	Civic	18
Birmingham Kiwanas Fnd	N/A	Public	Civic	125
BIRMINGHAM ZOO	N/A	Public	Civic	99
B'Nai B'rith International	N/A	Public	Religious	250
Brown University	N/A	Public	Educational	50
Chabad Intown	N/A	Public	Civic	18
Chabad Of Alabama	N/A	Public	Civic	360
Chai Tots	N/A	Public	Educational	108
Collat Jewish Family Services	N/A	Public	Religious	1,000
Collat Jewish Family Services	N/A	Public	Religious	2,500
Collat Jewish Family Services	N/A	Public	Religious	300
Collat Jewish Family Services	N/A	Public	Religious	18
Collat Jewish Family Services	N/A	Public	Religious	36
Collat Jewish Family Services	N/A	Public	Religious	90
Dixie Golf Foundation	N/A	Public	Civic	150
Dystonia Medical Research Fnd	N/A	Public	Medical	50
Genesis	N/A	Public	Civic	5,000
Habitat For Humanity	N/A	Public	Civic	25
Hadassah	N/A	Public	Religious	15
Hadassah	N/A	Public	Religious	50
Hadassah	N/A	Public	Religious	100
Hadassah	N/A	Public	Religious	36
Hadassah	N/A	Public	Religious	1,000
Hadassah	N/A	Public	Religious	165
Heart Gallery Alabama	N/A	Public	Medical	100
IMPACT, AL	N/A	Public	Religious	500
INDIAN SPRINGS SCHOOL	N/A	Public	Educational	25
INDIAN SPRINGS SCHOOL	N/A	Public	Educational	10,000
INDIAN SPRINGS SCHOOL	N/A	Public	Educational	40,000
Institute Of Southern Jewish Life	N/A	Public	Civic	1,000
Institute Of Southern Jewish Life	N/A	Public	Civic	10,000
Jewish Family Services	N/A	Public	Civic	18

THE JOSEPH H AND REVA ENGEL FOUNDATION
FORM 990 - PF
12/31/2009

RECIPIENT	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Jewish Federadion Of Greater Atlanta	N/A	Public	Civic	25,000
Knesseh Israel	N/A	Public	Religious	18
Knesseh Israel	N/A	Public	Religious	250
Komen Race For Cure	N/A	Public	Civic	130
Komen Race For Cure	N/A	Public	Civic	130
MARCH OF DIMES	N/A	Public	Medical	25
MARCH OF DIMES	N/A	Public	Medical	25
MCWane Center	N/A	Public	Civic	100
MCWane Center	N/A	Public	Civic	85
Mitchell's' PLace	N/A	Public	Civic	500
Monday Morning Quarterback Club	N/A	Public	Civic	50
Monday Morning Quarterback Club	N/A	Public	Civic	75
Mountain Brook Library Fnd	N/A	Public	Educational	40
N E Miles Jewish Day School	N/A	Public	Educational	18
N E Miles Jewish Day School	N/A	Public	Educational	36
N E Miles Jewish Day School	N/A	Public	Educational	36
N E Miles Jewish Day School	N/A	Public	Educational	18
N E Miles Jewish Day School	N/A	Public	Educational	18
N E Miles Jewish Day School	N/A	Public	Educational	36
N E Miles Jewish Day School	N/A	Public	Educational	2,500
N E Miles Jewish Day School Reaching The Stars	N/A	Public	Educational	10,000
N E Miles Jewish Day School	N/A	Public	Educational	18
N E Miles Jewish Day School	N/A	Public	Educational	30
N E Miles Jewish Day School	N/A	Public	Educational	36
N E Miles Jewish Day School	N/A	Public	Educational	18
N E Miles Jewish Day School	N/A	Public	Educational	18
National Council Of Jewish Women	N/A	Public	Civic	50
National Dance Institute	N/A	Public	Civic	50
National Fire And Saftey	N/A	Public	Civic	52
Oasis	N/A	Public	Civic	250
ONE TO ONE WOMEN COACHING WOMEN	N/A	Public	Civic	36
ORT	N/A	Public	Civic	100
Pancreatic Cancer Action Network	N/A	Public	Medical	50
Planned Parenthood Of Al	N/A	Public	Educational	100
Planned Parenthood Of Al	N/A	Public	Educational	100
PRESCOTT HOUSE	N/A	Public	Civic	50
Rabbi Graffman Endowment Fund	N/A	Public	Religious	36
RAMAH DARON	N/A	Public	Religious	1,000
Ransom Everglades School	N/A	Public	Educational	25
Ransom Everglades School	N/A	Public	Educational	25
Red Mountain Theatre Company	N/A	Public	Civic	100
Refuge Family Services	N/A	Public	Civic	5,000
RUSH INITIATIVE (CK TO SUSAN SWARTZ)	N/A	Public	Civic	36
Saint Barnabas Medical Center	N/A	Public	Medical	50
Sisterhood Of Temple Bethel	N/A	Public	Religious	55
Sisterhood Of Temple Bethel	N/A	Public	Religious	55
Sisterhood Of Temple Beth-El	N/A	Public	Religious	90
Sisterhood Of Temple Beth-El	N/A	Public	Religious	72
Southern Insitute For Education & Research	N/A	Public	Civic	100
Special Olympics Alabama	N/A	Public	Civic	50
Special Olympics Alabama	N/A	Public	Civic	50
ST LOUIS HILLEL	N/A	Public	Civic	360
St Lucie Hillel	N/A	Public	Civic	180
Temple Beth- El	N/A	Public	Religious	72

THE JOSEPH H AND REVA ENGEL FOUNDATION
FORM 990 - PF
12/31/2009

RECIPIENT	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Temple Beth -El	N/A	Public	Religious	54
Temple Beth -El	N/A	Public	Religious	180
Temple Beth -El	N/A	Public	Religious	100
Temple Beth -El	N/A	Public	Religious	180
Temple Beth -El	N/A	Public	Religious	36
Temple Beth -El	N/A	Public	Religious	36
Temple Beth -El	N/A	Public	Religious	18
Temple Beth -El	N/A	Public	Religious	18
Temple Beth -El	N/A	Public	Religious	18
Temple Emanu-El	N/A	Public	Religious	18
Temple Emanu-El	N/A	Public	Religious	36
The Community Dining Room	N/A	Public	Civic	50
THE CRISIS CENTER	N/A	Public	Medical	65
The James Cancer Hospital	N/A	Public	Medical	20
The Women's Fund Of Greater B'ham	N/A	Public	Civic	500
Torah Fund	N/A	Public	Civic	180
UAB 1917 Clinic	N/A	Public	Medical	3,000
UAB Dept Of Psychiatry	N/A	Public	Medical	25,000
UAB INFUSION CLINIC	N/A	Public	Medical	10
UCP United Cerebral Palsy	N/A	Public	Medical	50
UCP United Cerebral Palsy	N/A	Public	Medical	50
UCP United Cerebral Palsy	N/A	Public	Medical	50
United Negro College Fund	N/A	Public	Educational	100
United States Holocaust Museum	N/A	Public	Civic	54
United Way Of Central Al	N/A	Public	Civic	10,500
United Way Of Central Al	N/A	Public	Civic	50
United Way Of Central Al	N/A	Public	Medical	7,000
Univ of Colorado Foundation	N/A	Public	Educational	200
WALKER COUNTY Fraternal Order Of Police	N/A	Public	Civic	30
Washington University In St Louis	N/A	Public	Educational	1,000
WBHM Public Radio	N/A	Public	Civic	150
Women's Fund Of Birmingham	N/A	Public	Civic	2,500
World Jewish Congress Foundation	N/A	Public	Civic	250
YWCA Of Central AL	N/A	Public	Civic	500
Varous Refunds	N/A	Public		(380)
Total Contributions				239,679

REALIZED GAINS AND LOSSES

Joseph H. and Reva Engel Foundation (Alan Engel),
Lisa R. Engel, Alan Z. Engel and Andrea J. Engel, Directors (HS8621)
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Amort or Accretion	Proceeds	Short Term	Long Term
9/11/2007	1/15/2009	50000	BP PLC 3 625% Due 01-15-09	49,263		50,000		738
10/13/2008	1/20/2009	100	American Express Co	2,439		1,583	(856)	
10/13/2008	1/20/2009	50	Wells Fargo & Co	1,495		716	(779)	
7/10/2008	1/26/2009	200	Dow Chemical Co	6,736		2,810	(3,926)	
9/26/2008	1/29/2009	100	Oshkosh Corp	1,109		789	(320)	
10/13/2008	2/2/2009	100	American Express Co	2,439		1,646	(792)	
9/17/2008	2/2/2009	50	Walt Disney Co	1,641		1,001	(639)	
9/30/2008	2/2/2009	25	Sherwin Williams	1,439		1,162	(277)	
10/13/2008	2/2/2009	100	Adobe Systems Inc	3,013		1,917	(1,095)	
3/30/2007	2/2/2009	25	Deere & Co	1,387		854		(533)
7/15/2008	2/2/2009	25	Weyerhaeuser Co	1,193		664	(529)	
10/29/2008	2/4/2009	200	News Corp Cl B	1,883		1,450	(432)	
10/24/2008	2/4/2009	100	News Corp Cl B	849		725	(124)	
10/13/2008	2/5/2009	50	Wells Fargo & Co	1,495		807	(688)	
7/15/2008	2/6/2009	25	Weyerhaeuser Co	1,193		643	(550)	
9/17/2008	2/19/2009	50	Walt Disney Co	1,641		872	(769)	
11/4/2008	2/19/2009	50	Walt Disney Co	1,303		872	(431)	
10/29/2008	2/20/2009	100	Target Corp	3,773		2,919	(853)	
10/13/2008	2/23/2009	75	BHP Billiton Ltd ADR	3,102		2,781	(321)	
3/15/2006	3/2/2009	25	Genentech Inc	2,100		2,070		(31)
3/16/2006	3/2/2009	50	Genentech Inc	4,137		4,139		2
6/15/2004	3/2/2009	25	Genentech Inc	1,336		2,070		733
4/18/2008	3/2/2009	25	General Dynamics	2,212		1,055	(1,157)	
11/4/2008	3/2/2009	25	Sherwin Williams	1,398		1,137	(261)	
9/15/2008	3/2/2009	100	Kellogg Co	5,620		3,814	(1,807)	
10/28/2008	3/2/2009	25	3M Co	1,557		1,078	(479)	
10/24/2008	3/2/2009	25	3M Co	1,503		1,078	(426)	
7/9/2008	3/2/2009	100	Perrigo Co	3,484		1,869	(1,615)	
6/9/2006	3/9/2009	25	FedEx Corp	2,694		877		(1,817)
10/21/2008	3/24/2009	25000	3M CO 4 375% Due 08-15-13	25,192		26,388	1,196	
11/4/2008	4/13/2009	25	Jacobs Engineering	992		1,053	61	
4/21/2006	4/13/2009	25	Gilead Sciences Inc	818		1,196		378
9/15/2008	4/13/2009	50	Kimberly Clark	3,275		2,391	(884)	
4/2/2009	4/13/2009	50	JPMorgan Chase	1,414		1,648	234	
11/4/2008	4/14/2009	25	Anadarko Petroleum	895		1,096	201	
3/16/2009	4/14/2009	50	Freeport-McMoRan	1,866		2,228	363	
4/21/2006	4/14/2009	25	Gilead Sciences Inc	818		1,165		347
4/2/2009	4/14/2009	50	HSBC Hldgs PLC ADR	1,690		1,778	88	
10/28/2008	4/14/2009	25	Jacobs Engineering	798		1,072	274	
3/18/2009	4/14/2009	25	Coca Cola Co	1,040		1,105	64	
1/13/2009	4/14/2009	25	McDonalds Corp	1,489		1,375	(114)	
10/22/2008	4/20/2009	25000	BERKSHIRE HATHAWAY FIN 4 625% Due 10-15-13	24,484		25,898	1,414	
11/4/2008	4/29/2009	25	Ralcorp Holdings Inc	1,727		1,393	(334)	
3/23/2009	5/11/2009	50	Marathon Oil Corp	1,305		1,546	242	
3/23/2009	5/12/2009	50	Marathon Oil Corp	1,305		1,541	237	
2/6/2009	6/3/2009	25	Mosaic Co	1,095		1,310	215	
3/23/2007	6/11/2009	25	Abbott Laboratories	1,360		1,109		(251)
4/29/2008	6/11/2009	25	Abbott Laboratories	1,336		1,109		(227)
4/8/2009	6/22/2009	200	Goodyear Tire & Rub	1,583		2,303	720	
3/6/2009	6/22/2009	25	Research In Motion	945		1,725	780	
9/30/2008	6/25/2009	25	Nike Inc	1,647		1,281	(366)	
10/28/2008	6/25/2009	25	Nike Inc	1,278		1,281	4	
4/9/2009	7/8/2009	50	JPMorgan Chase	1,580		1,612	32	
4/2/2009	7/8/2009	50	JPMorgan Chase	1,414		1,612	198	
3/25/2009	7/8/2009	100	JPMorgan Chase	2,771		3,224	452	
12/23/2008	7/8/2009	25	Martin Marietta Mtls	2,414		1,876	(538)	
10/31/2008	7/14/2009	50	Intel Corp	811		832	21	
10/31/2008	7/15/2009	150	Intel Corp	2,434		2,699	265	
3/16/2009	7/17/2009	100	Deere & Co	3,118		3,833	715	
3/18/2009	7/20/2009	50	Deere & Co	1,555		1,963	408	
10/24/2008	7/21/2009	50	Jacobs Engineering	1,556		2,022	466	
3/6/2009	7/28/2009	10	Research In Motion	378		757	380	
3/25/2009	7/28/2009	25	Amazon com Inc	1,839		2,088	249	
4/2/2009	7/28/2009	50	HSBC Hldgs PLC ADR	1,690		2,340	650	
1/28/2009	7/28/2009	50	Devon Energy Corp	3,150		2,813	(337)	
1/25/2007	7/31/2009	50	Becton Dickinson	3,740		3,280		(460)
10/14/2008	8/10/2009	25000	WAL-MART STORES 6 875% Due 08-10-09	25,688		25,000	(688)	
4/6/2009	8/17/2009	25	Citrix Systems Inc	601		855	254	
4/9/2009	8/19/2009	125	Alcoa Inc	1,079		1,536	457	
8/11/2009	9/29/2009	25000	BERKSHIRE HATHAWAY FIN 4 750% Due 05-15-12	26,704		26,750	46	

REALIZED GAINS AND LOSSES

Joseph H. and Reva Engel Foundation (Alan Engel).

Lisa R. Engel, Alan Z. Engel and Andrea J. Engel, Directors (HS8621)

From 01-01-09 Through 12-31-09

6/25/2007	9/29/2009	25000 WM WRIGLEY JR CO	24,236	25,225	990
		4 300% Due 07-15-10			
7/15/2009	10/9/2009	5 Alcon Inc	600	713	113
3/12/2009	10/9/2009	75 Applied Materials Inc	750	982	232
10/14/2008	10/9/2009	15 Peabody Energy Corp	545	594	49
10/16/2008	10/9/2009	10 Peabody Energy Corp	291	396	106
3/16/2009	10/9/2009	25 Celgene Corp	1,204	1,375	171
8/8/2008	10/9/2009	25 Cisco Systems Inc	607	598	(9)
7/20/2009	10/9/2009	25 Cisco Systems Inc	527	598	71
7/15/2009	10/9/2009	25 Cisco Systems Inc	493	598	106
3/16/2009	10/9/2009	15 Freeport-McMoRan	560	1,105	545
3/6/2009	10/9/2009	10 Freeport-McMoRan	339	736	397
2/6/2009	10/9/2009	10 FedEx Corp	544	773	229
3/19/2009	10/9/2009	15 FedEx Corp	688	1,159	472
7/29/2009	10/9/2009	10 FMC Technologies	425	548	124
4/30/2009	10/9/2009	15 FMC Technologies	529	823	294
4/21/2006	10/9/2009	15 Gilead Sciences Inc	491	679	188
10/18/2006	10/9/2009	3 Google Inc Cl A	1,270	1,547	276
3/23/2009	10/9/2009	25 HSBC Hldgs PLC ADR	756	1,423	667
4/21/2006	10/9/2009	15 Monsanto Co	666	1,129	463
6/9/2006	10/9/2009	3 Nestle SA ADR	75	104	29
4/14/2005	10/9/2009	23 Nestle SA ADR	612	937	325
5/27/2009	10/9/2009	50 Nucor Corp	2,172	2,244	72
3/15/2006	10/9/2009	5 Procter & Gamble Co	299	287	(13)
3/16/2006	10/9/2009	5 Procter & Gamble Co	297	287	(10)
7/25/2002	10/9/2009	15 Procter & Gamble Co	615	860	245
4/30/2009	10/9/2009	15 Roche Hldgs Ltd ADR	479	601	122
3/6/2009	10/9/2009	5 Research In Motion	189	345	156
7/18/2008	10/9/2009	15 Teva Pharm Ind ADR	661	772	111
4/9/2009	10/9/2009	10 Visa Inc Cl A	589	730	141
3/12/2009	10/9/2009	5 Visa Inc Cl A	267	365	98
3/16/2009	10/9/2009	10 Visa Inc Cl A	531	730	199
8/13/2009	10/9/2009	50 Vale SA ADR	1,043	1,252	209
5/20/2009	10/9/2009	25 Vale SA ADR	501	626	125
3/6/2007	10/9/2009	15 Exxon Mobil Corp	1,065	1,033	(32)
7/22/2009	10/9/2009	5 Apple Inc	788	949	161
1/24/2008	10/9/2009	10 Apple Inc	1,399	1,899	500
3/10/2009	10/9/2009	15 Archer Daniels Mid	420	441	21
2/6/2009	10/9/2009	10 Amazon.com Inc	655	955	299
9/17/2009	10/9/2009	10 Anadarko Petroleum	659	671	13
10/24/2008	10/9/2009	15 Anadarko Petroleum	444	1,007	562
9/26/2008	10/9/2009	10 Air Products & Chem	711	797	86
10/29/2008	10/9/2009	10 Boeing Co	509	527	17
10/24/2008	10/9/2009	5 Boeing Co	226	263	38
4/6/2009	10/9/2009	25 Bristol-Myers Squibb	520	559	39
9/19/2007	10/9/2009	5 Burlington No Santa Fe	413	408	(4)
1/28/2009	10/9/2009	10 Burlington No Santa Fe	693	817	124
6/9/2006	10/9/2009	5 Caterpillar Inc	344	266	(77)
12/8/2008	10/9/2009	5 Caterpillar Inc	214	266	53
4/2/2009	10/9/2009	15 Caterpillar Inc	467	799	332
4/30/2009	10/9/2009	15 Clorox Co	840	878	38
3/25/2009	10/9/2009	25 Cummins Inc	678	1,178	500
10/18/2006	10/9/2009	15 Costco Wholesale	788	877	89
9/17/2009	10/9/2009	25 General Electric Co	434	404	(30)
1/28/2009	10/9/2009	75 General Electric Co	1,019	1,213	194
4/6/2009	10/9/2009	50 Corning Inc	786	782	(4)
4/30/2009	10/9/2009	25 Corning Inc	377	391	14
1/28/2009	10/9/2009	100 Goodyear Tire & Rub	663	1,706	1,043
8/13/2009	10/9/2009	15 Hess Corp	788	852	64
10/30/2008	10/9/2009	10 IBM Corp	923	1,258	335
9/8/2008	10/9/2009	15 Kimberly Clark	957	885	(73)
3/18/2009	10/9/2009	25 Coca Cola Co	1,040	1,357	317
7/19/2006	10/9/2009	15 McDonalds Corp	526	846	320
5/20/2009	10/9/2009	25 Petroleo Brasileiro ADR	1,035	1,193	159
1/11/2007	10/9/2009	5 Schlumberger Ltd	289	313	24
4/16/2009	10/9/2009	10 Schlumberger Ltd	465	626	161
2/5/2009	10/9/2009	15 Valero Energy Corp	356	289	(67)
4/2/2009	10/9/2009	35 Valero Energy Corp	685	675	(10)
4/30/2009	10/9/2009	15 Weyerhaeuser Co	531	547	15
3/18/2009	10/9/2009	10 Weyerhaeuser Co	274	364	90
7/14/2009	10/12/2009	25 Anheuser-Busch InBev	945	1,198	253

TOTAL GAINS
TOTAL LOSSES

20,221
(22,499) 5,844
(3,536)

342,084 - 342,114 (2,278) 2,308

TOTAL REALIZED GAIN/LOSS

REALIZED GAINS AND LOSSES

Joseph H. and Reva Engel Foundation (Ilene Engel),

Lisa R. Engel, Alan Z. Engel and Andrea J. Engel, Directors (HS8768)

From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Amort or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
7/23/2009	8/17/2009	25	Citrix Systems Inc	869		855	(14)	
4/6/2009	8/17/2009	25	Citrix Systems Inc	601		855	254	
8/11/2009	8/19/2009	25000	GENERAL ELECTRIC CAP CORP 5 000% Due 12-01-10	26,021		26,008	(13)	
4/9/2009	8/19/2009	275	Alcoa Inc	2,374		3,380	1,006	
2/5/2009	10/14/2009	35	Valero Energy Corp	831		653	(178)	
4/2/2009	10/14/2009	65	Valero Energy Corp	1,273		1,212	(61)	
2/19/2009	10/16/2009	15	Amazon com Inc	954		1,417	462	
9/22/2009	10/16/2009	10	Air Products & Chem	801		830	29	
9/26/2008	10/16/2009	15	Air Products & Chem	1,067		1,245		178
9/8/2008	10/16/2009	35	Kimberly Clark	2,234		2,062		(171)
1/28/2009	10/28/2009	50	Goodyear Tire & Rub	331		655	323	
5/20/2009	10/28/2009	175	Vale SA ADR	3,508		4,328	821	
3/25/2009	10/30/2009	25	Cummins Inc	678		1,076	397	
5/20/2009	10/30/2009	75	Petroleo Brasileiro ADR	3,104		3,405	301	
3/6/2009	10/30/2009	10	Research In Motion	378		606	228	
9/19/2007	11/4/2009	20	Burlington No Santa Fe	1,651		1,941		290
1/28/2009	11/4/2009	15	Burlington No Santa Fe	1,039		1,456	417	
9/17/2009	11/19/2009	50	General Electric Co	869		782	(87)	
8/25/2009	11/19/2009	100	General Electric Co	1,439		1,564	125	
1/28/2009	11/19/2009	125	General Electric Co	1,698		1,955	256	
6/25/2007	12/23/2009	25000	WM WRIGLEY JR CO 4 300% Due 07-15-10	24,236		25,283		1,047
8/25/2009	12/23/2009	25000	IBM CORP 6 500% Due 10-15-13	28,604		28,593	(12)	
VARIOUS	8/18/2009		Nestle SA ADR	20				(20)
TOTAL GAINS							4,620	1,515
TOTAL LOSSES							(365)	(191)
							104,578	-
							110,158	4,256
							1,324	
TOTAL REALIZED GAIN/LOSS				5580				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FIRST NATIONAL BANK OF JASPER	88.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	88.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
STATE STREET BANK AND TRUST CO, NOMINEE	8,161.	0.	8,161.
STATE STREET BANK AND TRUST CO, NOMINEE	2,721.	0.	2,721.
TOTAL TO FM 990-PF, PART I, LN 4	10,882.	0.	10,882.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,336.	2,502.		834.
TO FORM 990-PF, PG 1, LN 16B	3,336.	2,502.		834.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEE - RHB	1,766.	1,766.		0.
TO FORM 990-PF, PG 1, LN 16C	1,766.	1,766.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	54.	54.		0.	
EXCISE TAX	71.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	125.	54.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NON-DEDUCTIBLE EXPENSES	77.	0.		0.	
BANK CHARGES	114.	0.		114.	
TO FORM 990-PF, PG 1, LN 23	191.	0.		114.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SEE STATEMENT A-1	103,176.	137,183.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	103,176.	137,183.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SEE STATEMENT A-1	24,668.	25,336.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	24,668.	25,336.		