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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type  
See Specific  
Instructions

Name of foundation

James Rushton I Foundation

Number and street (or P.O. box number if mail is not delivered to street address)

#3 Office Park Circle

Room/suite

250

City or town, state, and ZIP code

Birmingham, AL 35223

A Employer identification number

63-0955085

B Telephone number

205-322-1951

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 9,427,986. (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received	800,000.			
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	126,197.	126,197.	126,197.	Statement 1
	4	Dividends and interest from securities	115,808.	115,808.	115,808.	Statement 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-577,973.			
	b	Gross sales price for all assets on line 6a	2,872,352.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain			0.	
Operating and Administrative Expenses	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	64,709.	0.	64,709.	Statement 3
	12	Total. Add lines 1 through 11	528,741.	242,005.	306,714.	
	13	Compensation of officers, directors, trustees, etc	93,400.	0.	0.	93,400.
	14	Other employee salaries and wages	274,236.	0.	39,404.	274,236.
	15	Pension plans, employee benefits				
	16a	Legal fees Stmt 4	810.	0.	0.	810.
	b	Accounting fees Stmt 5	8,100.	0.	0.	8,100.
	c	Other professional fees				
	17	Interest				
	18	Taxes Stmt 6	26,155.	110.	110.	28,649.
	19	Depreciation and depletion				
	20	Occupancy	22,375.	0.	0.	22,375.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses Stmt 7	289,408.	54,797.	54,797.	231,341.
	24	Total operating and administrative expenses. Add lines 13 through 23	714,484.	54,907.	94,311.	658,911.
	25	Contributions, gifts, grants paid	10,000.			10,000.
	26	Total expenses and disbursements. Add lines 24 and 25	724,484.	54,907.	94,311.	668,911.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-195,743.			
	b	Net investment income (if negative, enter -0-)		187,098.		
	c	Adjusted net income (if negative, enter -0-)			212,403.	

g/b  
2

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	18,773.	17,438.	17,438.
	2 Savings and temporary cash investments	289,986.	1,200,459.	1,200,459.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations Stmt 8	227,255.	331,873.	326,412.
	b Investments - corporate stock Stmt 9	6,604,281.	5,316,875.	4,887,766.
	c Investments - corporate bonds Stmt 10	902,569.	1,039,910.	1,084,259.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 11	1,328,146.	1,268,712.	1,324,837.	
14 Land, buildings, and equipment: basis ▶ 586,815.				
Less accumulated depreciation ▶	586,815.	586,815.	586,815.	
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers)	9,957,825.	9,762,082.	9,427,986.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted	9,957,825.	9,762,082.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b>	9,957,825.	9,762,082.	
	31 <b>Total liabilities and net assets/fund balances</b>	9,957,825.	9,762,082.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,957,825.
2 Enter amount from Part I, line 27a	2	-195,743.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	9,762,082.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,762,082.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Regions Bank Trust Department - see attached</b>				
<b>b schedule</b>		P	Various	Various
<b>c Regions Bank Trust Department - see attached</b>				
<b>d schedule</b>		P	Various	Various
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b 845,516.</b>		<b>859,955.</b>	<b>-14,439.</b>
<b>c</b>			
<b>d 2,026,836.</b>		<b>2,590,370.</b>	<b>-563,534.</b>
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			
<b>b</b>			<b>-14,439.</b>
<b>c</b>			
<b>d</b>			<b>-563,534.</b>
<b>e</b>			

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-577,973.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			{ }	3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	667,406.	9,224,712.	.072350
2007	954,886.	10,911,703.	.087510
2006	558,265.	11,102,731.	.050282
2005	414,237.	9,999,470.	.041426
2004	268,905.	9,386,438.	.028648

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.280216</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.056043</b>
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>	<b>4</b>	<b>8,600,947.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>482,023.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>1,871.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>483,894.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>668,911.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,871.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,871.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		39.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		1,910.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>AL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>Deakins F. Rushton</u> Telephone no. ► <u>(205) 322-1951</u> Located at ► <u>#3 Office Park Circle, Suite 250, Birmingham, AL</u> ZIP+4 ► <u>35223</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Deakins Ford Rushton 2815 Canterbury Road Birmingham, AL 35223	President 40.00	93,400.	0.	0.
Gail B. Rushton 2815 Canterbury Road Birmingham, AL 35223	Director 0.00	0.	0.	0.
James Rushton 2717 Highland Ave South Unit 106 Birmingham, AL 35205	Director 0.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
See Statement 12	316,556.
2	
See Statement 13	355,788.
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,381,783.
b	Average of monthly cash balances	1b	763,328.
c	Fair market value of all other assets	1c	586,815.
d	<b>Total</b> (add lines 1a, b, and c)	1d	8,731,926.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,731,926.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	130,979.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,600,947.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	430,047.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	668,911.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	668,911.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,871.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	667,040.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>N/A</u>				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

10/05/90

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006		
212,403.	126,982.	250,227.	259,734.	849,346.	
180,543.	107,935.	212,693.	220,774.	721,944.	
668,911.	667,406.	990,795.	560,920.	2,888,032.	
0.	0.	10,000.	10,000.	20,000.	
668,911.	667,406.	980,795.	550,920.	2,868,032.	
9,427,986.				9,427,986.	
				0.	
286,698.	307,491.	363,723.	370,091.	1,328,003.	
				0.	
				0.	
				0.	
				0.	

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4 for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

- 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

James Rushton

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:





## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |     |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

**Sign Here**

Signature of officer or trustee

Date \_\_\_\_\_

Title

Preparer's  
signature

Firm's name (or yours if self-employed),  
address, and ZIP code

Jones & Kirkpatrick, PC  
300 Union Hill Dr, Suite 100  
Birmingham, AL 35209-2059

Date
------

05/14/10

Check if self-employed	
------------------------	--

Preparer's identifying number

EIN ▶

Phone no. (205) 870-8824

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

**2009**

Name of the organization

**James Rushton I Foundation**

Employer identification number

**63-0955085**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

James Rushton I Foundation

63-0955085

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	James Rushton 2717 Highland Ave South Unit 1006 Birmingham, AL 35205	\$ 800,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



## Form 990, Part II - Investments - Corporate Stock

	<u>Book Value</u>	<u>Fair Market Value</u>
AT&T Inc	56,921.08	50,454.00
Abbott Labs	54,766.41	53,990.00
Air Prods & Chems Inc	61,152.46	51,067.80
Allergan Inc	49,959.37	63,010.00
American Express Co	60,806.93	42,140.80
Best Buy Inc	39,369.73	51,298.00
CVS/Caremark Corporation	41,820.89	35,431.00
Chevron Corp	53,587.13	53,893.00
Cisco Systems Inc	56,464.34	57,456.00
Citrix System Inc	31,643.40	41,610.00
Darden Restaurants Inc	44,007.48	42,084.00
Dell Inc	83,524.20	45,952.00
Devon Energy Corp	53,331.58	46,305.00
Walt Disney Co	55,495.60	60,307.50
E M C Corp Mass	53,767.53	59,922.10
Eaton Corp	64,139.98	63,620.00
Exelon Corp	64,278.58	39,096.00
Exxon Mobil Corp	51,214.93	40,914.00
Goldman Sachs Group Inc	41,158.92	38,833.20
Halliburton Co	62,500.11	39,869.25
Hess Corporation	44,694.11	44,165.00
Intel Corp	68,513.65	57,120.00
JP Morgan Chase & Co	47,368.72	48,337.20
Johnson & Johnson	52,828.20	52,172.10
Juniper Networks Inc	54,223.68	56,007.00
Microsoft Corp	60,541.53	60,960.00
Monsanto Co New	47,787.41	52,728.75
Norfolk Southern Corp	69,881.75	64,738.70
Oracle Corporation	48,199.10	58,872.00
Pepsico Inc	53,744.09	49,856.00
Phillip Morris International Inc	40,054.00	48,190.00
Proctor & Gamble Co	54,825.94	50,929.20
Protective Life Corp	411.84	89,717.55
Prudential Financial Inc	59,899.80	30,353.60
Qualcomm Inc	40,837.74	46,260.00
Robert Half Intl Inc	47,026.54	53,460.00
Schlumberger Ltd	43,253.30	39,054.00
State Street Corp	34,486.48	35,920.50
Stryker Corp	64,997.20	60,444.00
Thermo Fisher Scientific Inc.	49,599.02	52,459.00

## Form 990, Part II - Investments - Corporate Stock

	<u>Book Value</u>	<u>Fair Market Value</u>
3M Co	57,889.76	62,002.50
Tiffany & Co	64,605.28	73,960.00
Travelers Companies, Inc.	43,754.40	44,874.00
United Technologies Corp	51,954.45	56,916.20
Verizon Communications	58,540.01	53,008.00
Wal Mart Stores Inc	41,992.73	48,105.00
Walgreen Co	70,036.32	62,424.00
Wells Fargo & Co	50,624.49	42,104.40
XTO Energy Corp	67,651.08	55,836.00
Transocean Ltd	41,819.05	40,572.00
Ishares MSCI EAFE Index Fund	253,138.00	254,288.00
Teva Pharmaceutical Inds Spons	48,190.45	61,798.00
Ishares Russell 2000 Value Index Fund	169,650.00	150,904.00
S&P 400 Mid-Cap Dep Recpt Unit	154,272.00	158,088.00
SPDR Trust Unit Ser 1	2,079,672.00	1,693,888.00
	<u>5,316,874.77</u>	<u>4,887,766.35</u>

## Form 990, Part II - Investments - Corporate Bonds

	<u>Book Value</u>	<u>Fair Market Value</u>
AT&T Inc	24,010.24	25,151.04
Abbott Labs	23,910.92	25,103.28
BP Capital Markets PLC Normal	22,985.05	25,052.29
CVS/Caremark Corporation	26,426.66	25,768.60
Caterpillar Inc	19,967.42	24,398.60
Citigroup Inc.	124,344.84	122,957.70
Comcast Corp	24,265.68	25,176.96
Conocophillips	22,450.34	25,526.32
Credit Suisse New York	23,398.97	24,959.60
Duke Energy Corp Callable	24,493.85	24,865.76
General Electric Co	46,399.74	48,666.16
Goldman Sachs Group Inc	23,487.99	25,156.71
HCBC Finance Corp	23,676.00	24,595.68
Hess Corporation	25,033.96	25,325.16
JP Morgan Chase & Co	22,816.26	25,301.84
Kellogg Co	23,103.46	24,960.98
McDonalds Corp Series: Mtn Dtd	23,405.26	24,661.06
Merrill Lynch & Co Inc.	22,916.28	24,781.12
Morgan Stanley	24,010.32	24,892.56
Morgan Stanley Series: Mtn Dtd	25,083.75	25,182.75
Oracle Corporation	22,989.19	24,867.37
Pimco Total Return Instl Fund	157,860.00	164,216.06
Pepsico Inc	22,155.80	24,545.00
Petrobras Intl Fin Co	23,978.64	24,414.96
Pfizer Inc	24,116.88	25,383.60
Suntrust Bank Atlanta	25,773.60	24,945.36
Talisman Energy Inc	24,629.11	24,662.61
Target Corp Callable	22,312.54	24,682.22
Time Warner Cable	25,224.79	25,266.88
Travelers Companies, Inc.	22,401.32	24,313.30
US Bank NA	24,787.79	24,724.54
Verizon Communications	23,207.00	24,794.00
Wachovia Corp	24,286.08	24,959.04
	<u>1,039,909.73</u>	<u>1,084,259.11</u>

## Form 990, Part II - Investments - Other

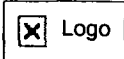
	<u>Book Value</u>	<u>Fair Market Value</u>
John Deere Capital Corp	71,553.47	73,164.08
Federal National Mortgage Assn	46,044.90	48,909.60
Federal National Mortgage Assn	45,205.23	45,398.96
Federal National Mortgage Assn	131,594.90	138,941.06
Federal National Mortgage Assn	18,177.32	19,276.79
Federal National Mortgage Assn	16,802.92	16,797.45
Federal National Mortgage Assn	66,096.37	71,909.43
Federal National Mortgage Assn	20,871.86	20,936.56
Federal National Mortgage Assn Pool	13,120.67	13,884.99
Federal National Mortgage Assn Pool	24,500.39	25,716.29
Federal National Mortgage Assn Pool	74,352.68	74,605.26
Federal National Mortgage Assn	77,473.94	81,679.45
Federal National Mortgage Assn	71,892.19	76,455.32
Federal National Mortgage Assn Pool	55,567.94	58,334.66
Federal National Mortgage Assn	76,228.67	80,297.26
Tennessee Valley Water Authority	71,116.08	73,940.46
Federal Home Loan Mortgage Corp	12,755.02	13,811.63
Federal Home Loan Mortgage Corp	75,280.62	75,044.10
Federal Home Loan Mort Corp Pool	29,568.89	29,638.72
Federal Home Loan Mort Corp Pool	89,403.84	95,058.50
Federal Home Loan Mort Corp Pool	86,996.90	91,634.08
Federal Home Loan Mortgage Corp	50,744.56	53,325.46
Federal Home Loan Mort Corp Pool	15,196.80	16,225.93
Federal Home Loan Mort Corp Pool	17,352.93	18,411.20
Federal Home Loan Mort Corp Pool	10,813.10	11,440.06
	<u>1,268,712.19</u>	<u>1,324,837.30</u>

James Rushton I Foundation

63-0955085

Form 990, Part II - Investments - U.S. Obligations

	<u>Book Value</u>	<u>Fair Market Value</u>
United States Treasury Bond	22,040.00	22,693.22
United States Treasury Bond	21,660.00	18,240.00
United States Treasury Bond	124,396.86	122,548.39
United States Treasury Bond	163,775.78	162,930.72
	<u>331,872.64</u>	<u>326,412.33</u>



**REGIONS BANK**  
**Realized Gain/Loss Report**

Run on 4/21/2010 9:57:39 AM

Start Date: 01/01/2009

End Date: 12/31/2009

Account: 1055000897

Administrator: LAURA WAINWRIGHT 205-801-0380

AS AGENT FOR JAMES RUSHTON I FOUNDATION

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
** SHORT TERM CAPITAL GAIN (LOSS)					
178 SHARES OF VULCAN MATERIALS CO	01/23/2009	02/21/2008	9,403.05	12,094.14	2,691.09-
11000.00 UNITS OF INGERSOLL-RAND GL HLD CO DTD 08/15/08 6.875% 08/15/2018	01/28/2009	08/19/2008	10,383.45	11,050.82	667.37-
230 SHARES OF GENERAL ELECTRIC CO	01/28/2009	02/21/2008	3,127.98	7,764.80	4,636.82-
200 SHARES OF LOWE'S COMPANIES INC	01/28/2009	02/21/2008	4,030.60	4,685.82	655.22-
16000 UNITS OF INGERSOLL-RAND GL HLD CO DTD 08/15/08 6.875% 08/15/2018	01/28/2009	08/19/2008	15,172.64	16,073.92	901.28-
25000 UNITS OF UNITED STATES TREASURY 4.875% 05/15/2009	05/07/2009	11/06/2008	25,021.48	25,492.19	470.71-
18000 UNITS OF PROCTER & GAMBLE CO MAKE WHOLE 4.6% 01/15/2014	05/15/2009	12/16/2008	19,059.12	17,996.04	1,063.08
6000 UNITS OF PROCTER & GAMBLE CO MAKE WHOLE 4.6% 01/15/2014	05/15/2009	12/16/2008	6,353.04	6,133.98	219.06
7000 UNITS OF SHELL INTL FIN MAKE WHOLE 6.375% 12/15/2038	05/20/2009	05/13/2009	7,406.21	7,421.40	15.19-
8000 UNITS OF SHELL INTL FIN MAKE WHOLE 6.375% 12/15/2038	05/20/2009	05/13/2009	8,464.24	8,444.64	19.60
4000 UNITS OF SHELL INTL FIN MAKE WHOLE 6.375% 12/15/2038	05/20/2009	05/18/2009	4,232.12	4,234.44	2.32-
4000.00 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	06/08/2009	01/29/2009	4,019.54	4,351.41	331.87-
700.00 SHARES OF BEST BUY INC	06/23/2009	01/28/2009	23,173.93	21,209.65	1,964.28
300.00 SHARES OF TEVA PHARMACEUTICAL INDS SPONS ADR ONE ADR REPRS ONE ORD SHARE	06/23/2009	03/12/2009	13,961.85	13,142.85	819.00
230.00 SHARES OF THERMO FISHER SCIENTIFIC, INC.	06/23/2009	10/03/2008	9,013.84	11,858.39	2,844.55-
1000.00 UNITS OF ABBOTT LABS DTD 3/3/09 5.125% 04/01/2019	07/07/2009	02/26/2009	1,030.00	999.63	30.37
3000.00 UNITS OF GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01/2014	07/07/2009	05/27/2009	3,173.31	3,066.21	107.10
2000.00 UNITS OF CONOCOPHILLIPS DTD 2/3/09 6.5% 02/01/2039	07/07/2009	05/20/2009	2,079.08	1,965.70	113.38
2000.00 UNITS OF J P MORGAN CHASE & CO DTD 04/23/09 6.3% 04/23/2019	07/07/2009	04/27/2009	2,033.04	1,988.00	45.04
3000.00 UNITS OF CREDIT SUISSE NEW YORK DTD 5/4/09 5.5% 05/01/2014	07/08/2009	05/27/2009	3,160.02	3,053.97	106.05

900 SHARES OF AETNA INC	07/14/2009	01/28/2009	23,609.53	30,305.52	6,695.99-
1000.00 UNITS OF CATERPILLAR INC DTD 12/05/08 7.9% 12/15/2018	08/06/2009	12/03/2008	1,191.03	998.66	192.37
2000.00 UNITS OF J P MORGAN CHASE & CO DTD 04/23/09 6.3% 04/23/2019	08/06/2009	04/27/2009	2,158.78	1,988.00	170.78
1000.00 UNITS OF ABBOTT LABS DTD 3/3/09 5.125% 04/01/2019	08/06/2009	02/26/2009	1,042.48	999.63	42.85
1000.00 UNITS OF PEPSICO INC DTD 10/24/08 7.9% 11/01/2018	08/06/2009	11/06/2008	1,234.26	1,107.79	126.47
1000.00 UNITS OF PFIZER INC DTD 3/24/09 4.45% 03/15/2012	08/06/2009	03/18/2009	1,053.03	1,023.59	29.44
7000 UNITS OF WALT DISNEY CO DTD 12/22/08 4.5% 12/15/2013	08/12/2009	12/18/2008	7,360.50	7,072.17	288.33
9000 UNITS OF WALT DISNEY CO DTD 12/22/08 4.5% 12/15/2013	08/12/2009	12/18/2008	9,463.50	9,103.32	360.18
9000 UNITS OF WALT DISNEY CO DTD 12/22/08 4.5% 12/15/2013	08/12/2009	12/18/2008	9,463.50	8,912.34	551.16
26000 UNITS OF UNITED STATES TREASURY DTD 10/31/07 3.625% 10/31/2009	09/08/2009	05/07/2009	26,121.88	26,401.17	279.29-
294000 UNITS OF UNITED STATES TREASURY DTD 10/31/07 3.625% 10/31/2009	09/08/2009	08/24/2009	295,378.12	295,860.47	482.35-
31000 UNITS OF UNITED STATES TREASURY DTD 10/31/07 3.625% 10/31/2009	10/05/2009	08/24/2009	31,073.87	31,196.17	122.30-
1000.00 UNITS OF CVS/CAREMARK CORPORATION DTD 9/11/09 6.125% 09/15/2039	10/06/2009	09/21/2009	1,005.41	1,016.41	11.00-
1000.00 UNITS OF CREDIT SUISSE NEW YORK DTD 5/4/09 5.5% 05/01/2014	10/06/2009	05/27/2009	1,078.36	1,017.99	60.37
1000.00 UNITS OF GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01/2014	10/06/2009	05/27/2009	1,087.60	1,022.07	65.53
1000.00 UNITS OF CATERPILLAR INC DTD 12/05/08 7.9% 12/15/2018	10/06/2009	12/03/2008	1,238.03	998.66	239.37
2000.00 UNITS OF TIME WARNER CABLE 6.75% 07/01/2018	10/06/2009	08/11/2009	2,212.86	2,197.66	15.20
2000.00 UNITS OF MERRILL LYNCH & CO INC SERIES: MTN DTD 04/25/08 6.875% 04/25/2018	10/06/2009	07/23/2009	2,117.64	1,992.72	124.92
1000.00 UNITS OF PEPSICO INC DTD 10/24/08 7.9% 11/01/2018	10/06/2009	11/06/2008	1,262.10	1,107.79	154.31
1000.00 UNITS OF CONOCOPHILLIPS DTD 2/3/09 6.5% 02/01/2039	10/06/2009	05/20/2009	1,148.58	982.85	165.73
1000.00 UNITS OF TALISMAN ENERGY INC DTD 6/1/09 7.75% 06/01/2019	10/06/2009	09/28/2009	1,177.66	1,181.11	3.45-
1327.42 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11650 5% 02/01/2020	10/15/2009	09/08/2009	1,327.42	1,401.67	74.25-
708.02 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02251 6% 08/01/2036	10/15/2009	09/08/2009	708.02	750.94	42.92-
569.26 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02408 DTD 11/01/06 5.5% 12/01/2036	10/15/2009	09/08/2009	569.26	596.03	26.77-
506.86 UNITS OF	10/26/2009	09/08/2009	506.86	530.14	23.28-

FEDERAL NATIONAL MORTGAGE ASSN POOL# 255770 5.5% 07/01/2035	10/26/2009	09/08/2009	350.18	373.43	23.25-
350.18 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #735681 5.5% 07/01/2020	10/26/2009	09/08/2009	693.48	726.64	33.16-
693.48 UNITS OF FEDERAL NATL MTG ASSN POOL#811499 5% 09/01/2020	10/26/2009	09/08/2009	2,178.07	2,305.69	127.62-
2178.07 UNITS OF FEDERAL NATL MTG ASSN POOL #872463 6% 06/01/2036	11/09/2009	01/29/2009	23,905.62	25,020.58	1,114.96-
23000 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	11/09/2009	02/26/2009	1,051.97	999.63	52.34
1000.00 UNITS OF ABBOTT LABS DTD 3/3/09 5.125% 04/01/2019	11/09/2009	08/11/2009	1,088.35	1,098.83	10.48-
1000.00 UNITS OF TIME WARNER CABLE 6.75% 07/01/2018	11/09/2009	09/21/2009	1,209.24	1,192.40	16.84
1000.00 UNITS OF HESS CORPORATION DTD 2/3/09 8.125% 02/15/2019	11/09/2009	09/28/2009	1,177.44	1,181.11	3.67-
1000.00 UNITS OF TALISMAN ENERGY INC DTD 6/1/09 7.75% 06/01/2019	11/09/2009	07/08/2009	1,042.51	1,011.07	31.44
1000.00 UNITS OF COMCAST CORP DTD 06/18/09 5.7% 07/01/2019	11/09/2009	07/23/2009	1,079.35	996.36	82.99
1000.00 UNITS OF MERRILL LYNCH & CO INC SERIES: MTN DTD 04/25/08 6.875% 04/25/2018	11/09/2009	03/18/2009	1,062.21	1,023.59	38.62
1000.00 UNITS OF PFIZER INC DTD 3/24/09 4.45% 03/15/2012	11/09/2009	10/13/2009	5,039.10	5,016.75	22.35
5000.00 UNITS OF MORGAN STANLEY SERIES: MTN DTD 9/23/09 5.625% 09/23/2019	11/02/2009	11/06/2008	18,443.67	18,027.97	415.70
17000 UNITS OF UNITED STATES TREASURY 4.125% 05/15/2015	11/02/2009	07/07/2009	14,103.98	13,992.26	111.72
13000 UNITS OF UNITED STATES TREASURY 4.125% 05/15/2015	11/16/2009	09/08/2009	1,569.33	1,657.11	87.78-
1569.33 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11650 5% 02/01/2020	11/16/2009	09/08/2009	831.51	881.92	50.41-
831.51 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02251 6% 08/01/2036	11/16/2009	09/08/2009	602.94	631.30	28.36-
602.94 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02408 DTD 11/01/06 5.5% 12/01/2036	11/19/2009	06/23/2009	9,437.29	8,529.75	907.54
225 SHARES OF FIRSTENERGY CORP	11/25/2009	09/08/2009	844.60	883.40	38.80-
844.6 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL# 255770 5.5% 07/01/2035	11/25/2009	09/08/2009	304.64	324.87	20.23-
304.64 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #735681 5.5% 07/01/2020	11/25/2009	09/08/2009	581.55	609.36	27.81-
581.55 UNITS OF FEDERAL NATL MTG ASSN POOL#811499 5% 09/01/2020	11/25/2009	09/08/2009	1,734.37	1,835.99	101 62-
1734.37 UNITS OF FEDERAL NATL MTG ASSN POOL #872463 6% 06/01/2036	12/11/2009	06/08/2009	20,116.40	20,408.59	292 19-
20000 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	12/11/2009	07/07/2009	29,168.79	29,550.55	381.76-
29000 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	12/11/2009	08/06/2009	16,093 13	16,263 04	169 91-
16000 UNITS OF					



UNITED STATES TREASURY 3.5% 02/15/2010 30000 UNITS OF	12/11/2009	10/06/2009	30,174.61	30,358.60	183.99-
UNITED STATES TREASURY 3.5% 02/15/2010 13000 UNITS OF	12/11/2009	11/09/2009	13,075.66	13,114.77	39.11-
UNITED STATES TREASURY 3.5% 02/15/2010 1658.45 UNITS OF	12/15/2009	09/08/2009	1,658.45	1,751.22	92.77-
FEDERAL HOME LOAN MORTGAGE CORP POOL #G11650 5% 02/01/2020					
632.74 UNITS OF	12/15/2009	09/08/2009	632.74	662.50	29.76-
FEDERAL HOME LOAN MTG CORP POOL #G02408 DTD 11/01/06 5.5% 12/01/2036					
650.1 UNITS OF	12/15/2009	09/08/2009	650.10	689.51	39.41-
FEDERAL HOME LOAN MTG CORP POOL #G02251 6% 08/01/2036					
1000.00 UNITS OF	12/14/2009	05/27/2009	1,046.90	1,017.99	28.91
CREDIT SUISSE NEW YORK DTD 5/4/09 5.5% 05/01/2014					
1000.00 UNITS OF	12/14/2009	05/27/2009	1,104.75	1,022.07	82.68
GOLDMAN SACHS GROUP INC DTD 5/6/09 6% 05/01/2014					
1000 UNITS OF	12/14/2009	04/27/2009	1,118.25	994.00	124.25
J P MORGAN CHASE & CO DTD 04/23/09 6.3% 04/23/2019					
2000 UNITS OF	12/14/2009	12/17/2008	2,073.78	2,050.82	22.96
JOHN DEERE CAPITAL CORP INSD: FDIC DTD 12/19/08 2.875% 06/19/2012					
2000.00 UNITS OF	12/14/2009	10/22/2009	2,026.02	1,998.22	27.80
PETROBRAS INTL FIN CO DTD 10/30/09 5.75% 01/20/2020					
1000.00 UNITS OF	12/14/2009	07/23/2009	1,100.87	996.36	104.51
MERRILL LYNCH & CO INC SERIES: MTN DTD 04/25/08 6.875% 04/25/2018					
1000.00 UNITS OF	12/14/2009	10/13/2009	1,017.57	1,003.35	14.22
MORGAN STANLEY SERIES: MTN DTD 9/23/09 5.625% 09/23/2019					
29032.34 UNITS OF	12/14/2009	09/08/2009	30,824.19	30,397.77	426.42
FEDERAL HOME LOAN MTG CORP POOL #G02408 DTD 11/01/06 5.5% 12/01/2036					
4008.08 UNITS OF	12/14/2009	11/10/2009	4,054.43	4,076.83	22.40-
UNITED STATES TREASURY INFLATION INDEX BOND DTD 07/15/08 1.375% 07/15/2018					
538.91 UNITS OF	12/28/2009	09/08/2009	538.91	563.67	24.76-
FEDERAL NATIONAL MORTGAGE ASSN POOL# 255770 5.5% 07/01/2035					
316.9 UNITS OF	12/28/2009	09/08/2009	316.90	337.94	21.04-
FEDERAL NATIONAL MORTGAGE ASSN POOL #735681 5.5% 07/01/2020					
595.75 UNITS OF	12/28/2009	09/08/2009	595.75	624.23	28.48-
FEDERAL NATL MTG ASSN POOL#811499 5% 09/01/2020					
907.12 UNITS OF	12/28/2009	09/08/2009	907.12	960.27	53.15-
FEDERAL NATL MTG ASSN POOL #872463 6% 06/01/2036					
<b>** TOTAL SHORT TERM CAPITAL GAIN (LOSS)</b>			845,515.54	859,955.18	14,439.64-
<b>** LONG TERM CAPITAL GAIN (LOSS)</b>					
206.21 UNITS OF	01/15/2009	08/15/2006	206.21	200.22	5.99
FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020					
573.69 UNITS OF	01/15/2009	01/09/2006	573.69	571.36	2.33
FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036					
1453.15 UNITS OF	01/15/2009	12/11/2006	1,453.15	1,436.92	16.23

FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021					
737.27 UNITS OF	01/15/2009	05/09/2007	737.27	743.49	6.22-
FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037					
864.36 UNITS OF	01/15/2009	12/10/2007	864.36	873.68	9.32-
FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037					
229.93 UNITS OF	01/15/2009	02/15/2007	229.93	226.41	3.52
FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021					
274.81 UNITS OF	01/15/2009	12/10/2007	274.81	272.32	2.49
FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021					
125.9 UNITS OF	01/15/2009	01/09/2006	125.90	125.11	0.79
FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021					
1283.62 UNITS OF	01/26/2009	03/07/2007	1,283.62	1,277.80	5.82
FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034					
152.33 UNITS OF	01/26/2009	04/09/2007	152.33	150.97	1.36
FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034					
881.31 UNITS OF	01/26/2009	06/18/2007	881.31	851.43	29.88
FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020					
266.51 UNITS OF	01/26/2009	01/09/2006	266.51	264.70	1.81
FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020					
258.65 UNITS OF	01/26/2009	01/09/2006	258.65	262.21	3.56-
FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036					
1316 UNITS OF	01/26/2009	05/07/2007	1,316.00	1,325.87	9.87-
FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036					
1986.81 UNITS OF	01/26/2009	08/27/2007	1,986.81	1,979.67	7.14
FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022					
529.25 UNITS OF	01/26/2009	12/10/2007	529.25	534.79	5.54-
FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037					
795.34 UNITS OF	01/26/2009	11/08/2007	795.34	800.93	5.59-
FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037					
344 SHARES OF	01/23/2009	07/31/1978	18,172.18	851.49	17,320.69
VULCAN MATERIALS CO					
5299.181 SHARES OF	01/29/2009	07/24/1996	43,453.29	46,898.81	3,445.52-
PIONEER BOND FUND CLASS Y FD #0703					
600 SHARES OF	01/28/2009	08/30/2005	8,159.95	20,160.00	12,000.05-
GENERAL ELECTRIC CO					
970 SHARES OF	01/28/2009	11/15/2007	13,191.91	37,539.00	24,347.09-
GENERAL ELECTRIC CO					
420 SHARES OF	01/28/2009	08/30/2005	8,464.25	13,587.00	5,122.75-
LOWE'S COMPANIES INC					
1820 SHARES OF	01/28/2009	11/15/2007	36,678.42	45,569.52	8,891.10-
LOWE'S COMPANIES INC					
10000 UNITS OF	01/30/2009	08/07/2007	7,668.90	9,191.60	1,522.70-
MERRILL LYNCH & CO 6.11% 01/29/2037					
10000 UNITS OF	01/30/2009	08/07/2007	7,668.90	9,239.40	1,570 50-
MERRILL LYNCH & CO 6.11% 01/29/2037					
8000 UNITS OF	01/30/2009	08/07/2007	6,135.12	7,391.44	1,256 32-
MERRILL LYNCH & CO 6.11% 01/29/2037					
226.53 UNITS OF	02/17/2009	08/15/2006	226.53	219.95	6.58
FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020					
511.61 UNITS OF	02/17/2009	01/09/2006	511.61	509.53	2.08

FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	02/17/2009	12/11/2006	1,852.89	1,832.19	20.70
1852.89 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	02/17/2009	05/09/2007	2,484.63	2,505.59	20.96-
2484.63 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	02/17/2009	12/10/2007	2,027.18	2,049.04	21.86-
2027.18 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	02/17/2009	02/15/2007	291.93	287.46	4.47
291.93 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	02/17/2009	12/10/2007	421.19	417.37	3.82
421.19 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	02/17/2009	01/09/2006	496.68	493.58	3.10
496.68 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	02/25/2009	03/07/2007	2,825.33	2,812.53	12.80
2825.33 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	02/25/2009	04/09/2007	271.52	269.10	2.42
271.52 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	02/25/2009	06/18/2007	1,275.16	1,231.92	43.24
1275.16 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	02/25/2009	01/09/2006	200.10	198.74	1.36
200.1 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	02/25/2009	01/09/2006	42.55	43.14	0.59-
42.55 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	02/25/2009	05/07/2007	2,487.24	2,505.89	18.65-
2487.24 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	02/25/2009	08/27/2007	3,927.24	3,913.13	14.11
3927.24 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	02/25/2009	12/10/2007	951.70	961.66	9.96-
951.7 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	02/25/2009	11/08/2007	1,631.00	1,642.47	11.47-
1631 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	02/27/2009	10/05/2005	27,018.63	27,012.15	6.48
27000.00 UNITS OF CREDIT SUISSE FB USA 4.875% 08/15/2010	03/16/2009	08/15/2006	293.34	284.81	8.53
293.34 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	03/16/2009	01/09/2006	2,185.99	2,177.11	8.88
2185.99 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	03/16/2009	12/11/2006	2,653.29	2,623.65	29.64
2653.29 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	03/16/2009	05/09/2007	2,835.89	2,859.82	23.93-
2835.89 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	03/16/2009	12/10/2007	2,355.73	2,381.13	25.40-
2355.73 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	03/16/2009	02/15/2007	381.07	375.23	5.84
381.07 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021					

680.81 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	03/16/2009	12/10/2007	680.81	674.64	6.17
216.74 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	03/16/2009	01/09/2006	216.74	215.39	1.35
610 SHARES OF GENENTECH INC	03/12/2009	11/15/2007	57,461.66	45,663.62	11,798.04
25000 UNITS OF UNITED TECHNOLOGIES CORP CALLABLE 6.35% 03/01/2011	03/18/2009	10/05/2005	26,648.25	26,860.75	212.50-
4045.42 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	03/25/2009	03/07/2007	4,045.42	4,027.09	18.33
378.87 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	03/25/2009	04/09/2007	378.87	375.50	3.37
1853.27 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	03/25/2009	06/18/2007	1,853.27	1,790.43	62.84
534.8 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	03/25/2009	01/09/2006	534.80	531.17	3.63
2030.37 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	03/25/2009	01/09/2006	2,030.37	2,058.29	27.92-
3372.28 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	03/25/2009	05/07/2007	3,372.28	3,397.57	25.29-
2280.5 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	03/25/2009	08/27/2007	2,280.50	2,272.30	8.20
1435.8 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	03/25/2009	12/10/2007	1,435.80	1,450.83	15.03-
1675.15 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	03/25/2009	11/08/2007	1,675.15	1,686.93	11.78-
386.34 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	04/15/2009	08/15/2006	386.34	375.11	11.23
301.38 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	04/15/2009	01/09/2006	301.38	300.16	1.22
2959.26 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	04/15/2009	12/11/2006	2,959.26	2,926.20	33.06
3202 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	04/15/2009	05/09/2007	3,202.00	3,229.02	27.02-
1723.94 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	04/15/2009	12/10/2007	1,723.94	1,742.53	18.59-
564.97 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	04/15/2009	02/15/2007	564.97	556.32	8.65
665.72 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	04/15/2009	12/10/2007	665.72	659.69	6.03
1457.17 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	04/15/2009	01/09/2006	1,457.17	1,448.06	9.11
4000 SHARES OF SPDR S&P 500 ETF TRUST UNIT SER 1	04/21/2009	11/14/2007	330,911.48	595,080.00	264,168 52-
4296.43 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	04/27/2009	03/07/2007	4,296.43	4,276 96	19.47

420.47 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	04/27/2009	04/09/2007	420.47	416.73	3.74
1813.93 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	04/27/2009	06/18/2007	1,813.93	1,752.43	61.50
550.05 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	04/27/2009	01/09/2006	550.05	546.31	3.74
42.81 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	04/27/2009	01/09/2006	42.81	43.40	0.59-
3097.93 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	04/27/2009	05/07/2007	3,097.93	3,121.16	23.23-
1626.16 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	04/27/2009	08/27/2007	1,626.16	1,620.32	5.84
1830.57 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	04/27/2009	12/10/2007	1,830.57	1,849.73	19.16-
2187.48 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	04/27/2009	11/08/2007	2,187.48	2,202.86	15.38-
28000 UNITS OF CREDIT SUISSE FB USA 4.875% 08/15/2010	04/23/2009	10/05/2005	28,324.24	28,012.60	311.64
800 SHARES OF GENERAL DYNAMICS CORP	05/07/2009	02/21/2008	43,017.37	66,221.68	23,204.31-
384.8 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	05/15/2009	08/15/2006	384.80	373.62	11.18
692.45 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	05/15/2009	01/09/2006	692.45	689.64	2.81
2998.45 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	05/15/2009	12/11/2006	2,998.45	2,964.95	33.50
2573.68 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	05/15/2009	05/09/2007	2,573.68	2,595.40	21.72-
1825.22 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	05/15/2009	12/10/2007	1,825.22	1,844.90	19.68-
453.87 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	05/15/2009	02/15/2007	453.87	446.92	6.95
688.46 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	05/15/2009	12/10/2007	688.46	682.22	6.24
746.23 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	05/15/2009	01/09/2006	746.23	741.57	4.66
4316.54 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	05/26/2009	03/07/2007	4,316.54	4,296.98	19.56
390.07 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	05/26/2009	04/09/2007	390.07	386.60	3.47
1978.01 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	05/26/2009	06/18/2007	1,978.01	1,910.94	67.07
464.45 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	05/26/2009	01/09/2006	464.45	461.29	3.16
1222.67 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	05/26/2009	01/09/2006	1,222.67	1,239.48	16.81-

2430.88 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	05/26/2009	05/07/2007	2,430.88	2,449.11	18.23-
2703.75 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	05/26/2009	08/27/2007	2,703.75	2,694.03	9.72
1748.03 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	05/26/2009	12/10/2007	1,748.03	1,766.33	18.30-
1707.94 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	05/26/2009	11/08/2007	1,707.94	1,719.95	12.01-
600 SHARES OF SYSCO CORP	05/21/2009	08/30/2005	13,842.06	20,310.00	6,467.94-
1220 SHARES OF SYSCO CORP	05/21/2009	11/15/2007	28,145.53	40,707.01	12,561.48-
80 SHARES OF SYSCO CORP	05/21/2009	02/21/2008	1,845.61	2,375.06	529.45-
17000 UNITS OF ALABAMA POWER CO SERIES: 07-D DTD 12/12/07 4.85% 12/15/2012	05/27/2009	01/08/2008	17,932.45	17,092.14	840.31
1000 UNITS OF ALABAMA POWER CO SERIES: 07-D DTD 12/12/07 4.85% 12/15/2012	05/27/2009	01/10/2008	1,054.85	1,011.24	43.61
10000 UNITS OF ALABAMA POWER CO SERIES: 07-D DTD 12/12/07 4.85% 12/15/2012	05/27/2009	01/15/2008	10,548.50	10,169.80	378.70
27000 UNITS OF DEERE JOHN CAPITAL CORP SERIES: MTN DTD 12/17/07 4.95% 12/17/2012	05/27/2009	01/30/2008	27,871.83	27,822.15	49.68
4000.00 UNITS OF GENERAL ELECTRIC CO 5% 02/01/2013	06/08/2009	10/05/2005	4,094.52	4,034.76	59.76
4000.00 UNITS OF TENNESSEE VALLEY AUTHORITY SER A PUTABLE 6.79% 05/23/2012	06/08/2009	03/08/2006	4,515.72	4,350.04	165.68
6000.00 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN 5% 03/15/2016	06/08/2009	12/10/2007	6,368.46	6,139.32	229.14
22000.00 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	06/10/2009	09/28/2006	22,452.03	21,260.94	1,191.09
364.36 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	06/15/2009	08/15/2006	364.36	353.77	10.59
846.93 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	06/15/2009	01/09/2006	846.93	843.49	3.44
2679.5 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	06/15/2009	12/11/2006	2,679.50	2,649.57	29.93
1956.93 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	06/15/2009	05/09/2007	1,956.93	1,973.44	16.51-
1861.02 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	06/15/2009	12/10/2007	1,861.02	1,881.08	20.06-
463.97 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	06/15/2009	02/15/2007	463.97	456.87	7.10
678.31 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	06/15/2009	12/10/2007	678.31	672.16	6.15
744.95 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	06/15/2009	01/09/2006	744.95	740.29	4.66
4788.77 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD	06/25/2009	03/07/2007	4,788.77	4,767.07	21.70

04/01/04 5.5% 04/01/2034 506.5 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	06/25/2009	04/09/2007	506.50	501.99	4.51
1688.52 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	06/25/2009	06/18/2007	1,688.52	1,631.27	57.25
463.72 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	06/25/2009	01/09/2006	463.72	460.57	3.15
494.29 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	06/25/2009	01/09/2006	494.29	501.09	6.80-
2775.56 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	06/25/2009	05/07/2007	2,775.56	2,796.38	20.82-
3388.75 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	06/25/2009	08/27/2007	3,388.75	3,376.57	12.18
1574.66 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	06/25/2009	12/10/2007	1,574.66	1,591.15	16.49-
1861.85 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	06/25/2009	11/08/2007	1,861.85	1,874.94	13.09-
150.00 SHARES OF DARDEN RESTAURANTS INC	06/23/2009	05/08/2008	4,978.37	5,500.93	522.56-
250.00 SHARES OF TRAVELERS COMPANIES, INC.	06/23/2009	11/15/2007	10,169.88	13,268.97	3,099.09-
110.00 SHARES OF ABBOTT LABS	06/23/2009	11/15/2007	5,143.47	6,024.30	880.83-
200.00 SHARES OF AETNA INC	06/23/2009	01/16/2008	4,786.41	11,711.46	6,925.05-
160.00 SHARES OF ALLERGAN INC	06/23/2009	11/15/2007	7,216.82	10,442.33	3,225.51-
100.00 SHARES OF JOHNSON & JOHNSON	06/23/2009	11/15/2007	5,493.86	6,682.00	1,188.14-
150.00 SHARES OF STRYKER CORP	06/23/2009	11/15/2007	6,022.57	10,705.92	4,683.35-
500.00 SHARES OF E M C CORP MASS	06/23/2009	11/15/2007	6,234.82	9,724.10	3,489.28-
300.00 SHARES OF INTEL CORP	06/23/2009	11/15/2007	4,715.87	7,770.00	3,054.13-
300.00 SHARES OF JUNIPER NETWORKS INC	06/23/2009	02/21/2008	6,519.19	7,746.24	1,227.05-
200.00 SHARES OF MICROSOFT CORP	06/23/2009	11/15/2007	4,711.93	6,787.64	2,075.71-
700.00 SHARES OF ORACLE CORPORATION	06/23/2009	11/15/2007	13,950.63	14,237.30	286.67-
280.00 SHARES OF QUALCOMM INC	06/23/2009	11/15/2007	12,285.06	11,549.50	735.56
200.00 SHARES OF VERIZON COMMUNICATIONS	06/23/2009	11/15/2007	6,101.85	8,687.23	2,585.38-
1000.00 UNITS OF CISCO SYSTEMS INC CALLABLE 5.5% 02/22/2016	07/07/2009	02/14/2006	1,062.38	995.43	66.95
1000.00 UNITS OF GENERAL ELECTRIC CO 5% 02/01/2013	07/07/2009	10/05/2005	1,050.78	1,008.69	42.09
4000.00 UNITS OF KELLOGG CO DTD 12/03/07 5.125% 12/03/2012	07/07/2009	11/29/2007	4,299.00	4,037.52	261.48
2000.00 UNITS OF HSBC FINANCE CORP 4.625% 09/15/2010	07/07/2009	10/05/2005	2,011.26	1,973.00	38.26
2000.00 UNITS OF HEWLETT-PACKARD CO DTD 03/03/08 4.5% 03/01/2013	07/07/2009	04/11/2008	2,096.64	2,035.66	60.98
2000.00 UNITS OF DUKE ENERGY CORP CALLABLE 6.25%	07/07/2009	10/05/2005	2,161.60	2,129.90	31.70

01/15/2012					
27000 UNITS OF DOMINION RES INC VA NEW SERIES: C 5.15% 07/15/2015	07/01/2009	04/05/2006	27,558.90	25,547.67	2,011.23
4000.00 UNITS OF TARGET CORP CALLABLE 5.375% 05/01/2017	07/07/2009	08/28/2007	4,120.96	3,892.20	228.76
3000.00 UNITS OF MORGAN STANLEY 5.05% 01/21/2011	07/07/2009	01/24/2006	3,070.92	3,001.29	69.63
3000.00 UNITS OF MCDONALDS CORP SERIES: MTN DTD 02/29/08 5.35% 03/01/2018	07/07/2009	05/09/2008	3,162.06	3,052.86	109.20
2000.00 UNITS OF WAL MART STORES INC 5.875% 04/05/2027	07/07/2009	03/30/2007	2,089.86	1,996.26	93.60
2000.00 UNITS OF ORACLE CORPORATION DTD 04/09/08 5.75% 04/15/2018	07/07/2009	04/02/2008	2,127.10	1,999.06	128.04
2000.00 UNITS OF VERIZON COMMUNICATIONS 5.55% 02/15/2016	07/07/2009	11/08/2007	2,072.08	2,018.00	54.08
405.06 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	07/15/2009	08/15/2006	405.06	393.29	11.77
597.4 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	07/15/2009	01/09/2006	597.40	594.97	2.43
2831.13 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	07/15/2009	12/11/2006	2,831.13	2,799.50	31.63
2289.8 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	07/15/2009	05/09/2007	2,289.80	2,309.12	19.32-
1620.7 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	07/15/2009	12/10/2007	1,620.70	1,638.17	17.47-
389.62 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	07/15/2009	02/15/2007	389.62	383.65	5.97
630.19 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	07/15/2009	12/10/2007	630.19	624.48	5.71
839.57 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	07/15/2009	01/09/2006	839.57	834.32	5.25
900 SHARES OF AETNA INC	07/14/2009	01/16/2008	23,609.54	52,701.57	29,092 03-
4345.29 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	07/27/2009	03/07/2007	4,345.29	4,325.60	19.69
450.29 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	07/27/2009	04/09/2007	450.29	446.28	4.01
2000.4 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	07/27/2009	06/18/2007	2,000.40	1,932.57	67.83
266.97 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	07/27/2009	01/09/2006	266.97	265.16	1.81
39.39 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	07/27/2009	01/09/2006	39.39	39.93	0.54-
2868.8 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	07/27/2009	05/07/2007	2,868.80	2,890.32	21.52-
3493.14 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	07/27/2009	08/27/2007	3,493.14	3,480.59	12.55
2353.84 UNITS OF	07/27/2009	12/10/2007	2,353.84	2,378.48	24 64-



FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	07/27/2009	11/08/2007	2,684.28	2,703.15	18.87-
2684.28 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	07/23/2009	08/28/2007	6,158.64	5,838.30	320.34
6000 UNITS OF TARGET CORP CALLABLE 5.375% 05/01/2017	07/23/2009	10/17/2007	19,502.36	18,487.57	1,014.79
19000 UNITS OF TARGET CORP CALLABLE 5.375% 05/01/2017	08/06/2009	05/09/2008	2,100.04	2,002.12	97.92
2000.00 UNITS OF AT&T INC DTD 05/13/08 5.6% 05/15/2018	08/06/2009	02/14/2006	1,082.34	995.43	86.91
1000.00 UNITS OF CISCO SYSTEMS INC CALLABLE 5.5% 02/22/2016	08/06/2009	10/17/2007	1,037.05	973.03	64.02
1000.00 UNITS OF TARGET CORP CALLABLE 5.375% 05/01/2017	08/06/2009	09/05/2007	2,045.16	1,951.66	93.50
2000.00 UNITS OF TRAVELERS COMPANIES, INC. CALLABLE SERIES: MTN 6.25% 06/15/2037	08/06/2009	04/02/2008	1,089.36	999.53	89.83
1000.00 UNITS OF ORACLE CORPORATION DTD 04/09/08 5.75% 04/15/2018	08/06/2009	03/08/2006	1,128.11	1,087.51	40.60
1000.00 UNITS OF TENNESSEE VALLEY AUTHORITY SER A PUTABLE 6.79% 05/23/2012	08/06/2009	06/20/2008	1,013.83	980.00	33.83
1000.00 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	08/17/2009	08/15/2006	324.26	314.84	9.42
324.26 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	08/17/2009	01/09/2006	811.63	808.33	3.30
811.63 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	08/17/2009	12/11/2006	2,407.93	2,381.03	26.90
2407.93 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	08/17/2009	05/09/2007	4,032.35	4,066.37	34.02-
4032.35 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	08/17/2009	12/10/2007	1,339.71	1,354.15	14.44-
1339.71 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	08/17/2009	02/15/2007	350.20	344.84	5.36
350.2 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	08/17/2009	12/10/2007	588.08	582.75	5.33
588.08 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	08/17/2009	01/09/2006	643.68	639.66	4.02
643.68 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	08/25/2009	03/07/2007	3,566.73	3,550.57	16.16
3566.73 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	08/25/2009	04/09/2007	302.09	299.40	2.69
302.09 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	08/25/2009	06/18/2007	1,715.92	1,657.74	58.18
1715.92 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	08/25/2009	01/09/2006	473.02	469.81	3.21
473.02 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	08/25/2009	01/09/2006	40.68	41.24	0.56-
40.68 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036					

2269.94 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	08/25/2009	05/07/2007	2,269.94	2,286.96	17.02-
3070.27 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	08/25/2009	08/27/2007	3,070.27	3,059.24	11.03
1730.78 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	08/25/2009	12/10/2007	1,730.78	1,748.90	18.12-
2215.61 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	08/25/2009	11/08/2007	2,215.61	2,231.19	15.58-
3400 SHARES OF SPDR S&P 500 ETF TRUST UNIT SER 1	08/24/2009	11/14/2007	352,436.29	505,818.00	153,381.71-
285.77 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	09/15/2009	08/15/2006	285.77	277.46	8.31
720.88 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	09/15/2009	01/09/2006	720.88	717.95	2.93
1971.01 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	09/15/2009	12/11/2006	1,971.01	1,948.99	22.02
2705.26 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	09/15/2009	05/09/2007	2,705.26	2,728.09	22.83-
970.93 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	09/15/2009	12/10/2007	970.93	981.40	10.47-
307.64 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	09/15/2009	02/15/2007	307.64	302.93	4.71
430.15 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	09/15/2009	12/10/2007	430.15	426.25	3.90
398.25 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	09/15/2009	01/09/2006	398.25	395.76	2.49
4000 UNITS OF HEWLETT-PACKARD CO DTD 03/03/08 4.5% 03/01/2013	09/11/2009	04/11/2008	4,254.68	4,071.32	183.36
21000 UNITS OF HEWLETT-PACKARD CO DTD 03/03/08 4.5% 03/01/2013	09/11/2009	04/18/2008	22,337.07	21,155.61	1,181.46
24000 UNITS OF CISCO SYSTEMS INC CALLABLE 5.5% 02/22/2016	09/11/2009	02/14/2006	26,568.00	23,890.32	2,677.68
25000 UNITS OF WAL MART STORES INC 5.875% 04/05/2027	09/21/2009	03/30/2007	26,462.75	24,953.25	1,509.50
2382.19 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	09/25/2009	03/07/2007	2,382.19	2,371.40	10.79
281.52 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	09/25/2009	04/09/2007	281.52	279.01	2.51
1241.53 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	09/25/2009	06/18/2007	1,241.53	1,199.43	42.10
352.29 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	09/25/2009	01/09/2006	352.29	349.90	2.39
43.43 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	09/25/2009	01/09/2006	43.43	44.03	0 60-
2262.76 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	09/25/2009	05/07/2007	2,262.76	2,279.73	16 97-

3113.99 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	09/25/2009	08/27/2007	3,113.99	3,102.80	11.19
1719.27 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	09/25/2009	12/10/2007	1,719.27	1,737.27	18.00-
1535.53 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	09/25/2009	11/08/2007	1,535.53	1,546.33	10.80-
1000.00 UNITS OF GENERAL ELECTRIC CO 5% 02/01/2013	10/06/2009	10/05/2005	1,060.66	1,008.69	51.97
1000.00 UNITS OF MCDONALDS CORP SERIES: MTN DTD 02/29/08 5.35% 03/01/2018	10/06/2009	05/09/2008	1,089.46	1,017.62	71.84
1000.00 UNITS OF TARGET CORP CALLABLE 5.375% 05/01/2017	10/06/2009	10/17/2007	1,081.80	973.03	108.77
1000.00 UNITS OF KELLOGG CO DTD 12/03/07 5.125% 12/03/2012	10/06/2009	11/29/2007	1,087.31	1,009.38	77.93
2000.00 UNITS OF TRAVELERS COMPANIES, INC. CALLABLE SERIES: MTN 6.25% 06/15/2037	10/06/2009	09/05/2007	2,228.04	1,951.66	276.38
1000.00 UNITS OF US BANK NA 6.375% 08/01/2011	10/06/2009	10/05/2005	1,085.25	1,077.73	7.52
2000.00 UNITS OF WACHOVIA CORP DTD 06/08/07 5.75% 06/15/2017	10/06/2009	10/30/2007	2,123.36	2,023.84	99.52
1000.00 UNITS OF ORACLE CORPORATION DTD 04/09/08 5.75% 04/15/2018	10/06/2009	04/02/2008	1,108.88	999.53	109.35
1000.00 UNITS OF VERIZON COMMUNICATIONS 5.55% 02/15/2016	10/06/2009	11/08/2007	1,077.10	1,009.00	68.10
2000.00 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	10/06/2009	06/20/2008	2,107.50	1,960.00	147.50
1000.00 UNITS OF UNITED STATES TREASURY 4.125% 05/15/2015	10/06/2009	06/02/2008	1,086.02	1,032.73	53.29
1000.00 UNITS OF TENNESSEE VALLEY AUTHORITY SER A PUTABLE 6.79% 05/23/2012	10/06/2009	03/08/2006	1,131.82	1,087.51	44.31
1000.00 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN 5% 03/15/2016	10/06/2009	12/10/2007	1,107.59	1,023.22	84.37
293.36 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	10/15/2009	08/15/2006	293.36	284.83	8.53
44.4 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	10/15/2009	01/09/2006	44.40	44.22	0.18
1787.76 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	10/15/2009	12/11/2006	1,787.76	1,767.79	19.97
2591.28 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	10/15/2009	05/09/2007	2,591.28	2,613.14	21.86-
691.09 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	10/15/2009	12/10/2007	691.09	698.54	7.45-
335.42 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	10/15/2009	02/15/2007	335.42	330.28	5.14
405.67 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	10/15/2009	12/10/2007	405.67	401.99	3.68
77.11 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	10/15/2009	01/09/2006	77.11	76.63	0.48

2098.74 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	10/26/2009	03/07/2007	2,098.74	2,089.23	9.51
218.48 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	10/26/2009	04/09/2007	218.48	216.53	1.95
1405.66 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	10/26/2009	06/18/2007	1,405.66	1,358.00	47.66
578.23 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	10/26/2009	01/09/2006	578.23	574.30	3.93
834.34 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	10/26/2009	01/09/2006	834.34	845.81	11.47-
1885.43 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	10/26/2009	05/07/2007	1,885.43	1,899.57	14.14-
1074.33 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	10/26/2009	08/27/2007	1,074.33	1,070.47	3.86
649.44 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	10/26/2009	12/10/2007	649.44	656.24	6.80-
1579.05 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	10/26/2009	11/08/2007	1,579.05	1,590.15	11.10-
25000.00 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	10/27/2009	06/20/2008	25,895.50	24,500.00	1,395.50
1000 UNITS OF UNITED STATES TREASURY N/B DTD 05/15/08 3.875% 05/15/2018	11/09/2009	06/20/2008	1,039.38	980.00	59.38
1000.00 UNITS OF BP CAPITAL MARKETS PLC NORMAL 5.25% 11/07/2013	11/09/2009	11/06/2008	1,102.00	999.35	102.65
1000.00 UNITS OF AT&T INC DTD 05/13/08 5.6% 05/15/2018	11/09/2009	05/09/2008	1,050.26	1,001.06	49.20
1000 UNITS OF KELLOGG CO DTD 12/03/07 5.125% 12/03/2012	11/09/2009	11/29/2007	1,088.47	1,009.38	79.09
1000.00 UNITS OF HSBC FINANCE CORP 4.625% 09/15/2010	11/09/2009	10/05/2005	1,024.60	986.50	38.10
1000.00 UNITS OF GENERAL ELECTRIC CO 5% 02/01/2013	11/09/2009	10/05/2005	1,065.24	1,008.69	56.55
1000.00 UNITS OF DUKE ENERGY CORP CALLABLE 6.25% 01/15/2012	11/09/2009	10/05/2005	1,090.03	1,064.95	25.08
1000.00 UNITS OF WACHOVIA CORP DTD 06/08/07 5.75% 06/15/2017	11/09/2009	10/30/2007	1,039.92	1,011.92	28.00
1000.00 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN 5% 03/15/2016	11/09/2009	12/10/2007	1,102.79	1,023.22	79.57
2000.00 UNITS OF TENNESSEE VALLEY AUTHORITY SER A PUTABLE 6.79% 05/23/2012	11/09/2009	03/08/2006	2,260.60	2,175.02	85.58
28000 UNITS OF UNITED STATES TREASURY 4.125% 05/15/2015	11/02/2009	05/15/2006	30,377.81	25,940.47	4,437.34
25000 UNITS OF UNITED STATES TREASURY 4.125% 05/15/2015	11/02/2009	06/02/2008	27,123.05	25,818.36	1,304.69
259.26 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	11/16/2009	08/15/2006	259.26	251.73	7.53
44.6 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	11/16/2009	01/09/2006	44.60	44.42	0.18

2059.73 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	11/16/2009	12/11/2006	2,059.73	2,036.72	23.01
1878.95 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931 6% 04/01/2037	11/16/2009	05/09/2007	1,878.95	1,894.80	15.85-
861.33 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G03616 DTD 11/01/07 6% 12/01/2037	11/16/2009	12/10/2007	861.33	870.62	9.29-
354.76 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12559 5% 09/01/2021	11/16/2009	02/15/2007	354.76	349.33	5.43
357.37 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12593 DTD 02/01/07 5% 09/01/2021	11/16/2009	12/10/2007	357.37	354.13	3.24
570.6 UNITS OF FEDERAL HOME LN MTG CORP POOL #J05840 5% 01/01/2021	11/16/2009	01/09/2006	570.60	567.03	3.57
1300 SHARES OF BANK OF NEW YORK MELLON CORP	11/19/2009	11/15/2007	34,434.16	60,265.66	25,831.50-
775 SHARES OF FIRSTENERGY CORP	11/19/2009	10/03/2008	32,506.21	47,433.88	14,927.67-
2084.62 UNITS OF FEDERAL NATL MTGE ASSN POOL #725424 DTD 04/01/04 5.5% 04/01/2034	11/25/2009	03/07/2007	2,084.62	2,075.17	9.45
267.2 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #725598 DTD 06/01/2004 5.5% 07/01/2034	11/25/2009	04/09/2007	267.20	264.82	2.38
1204.6 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #745079 DTD 11/01/05 5% 12/01/2020	11/25/2009	06/18/2007	1,204.60	1,163.76	40.84
155.08 UNITS OF FEDERAL NATIONAL MTG ASSN POOL #847931 5% 12/01/2020	11/25/2009	01/09/2006	155.08	154.03	1.05
1308.03 UNITS OF FEDERAL NATL MTG ASSN POOL #852029 6% 01/01/2036	11/25/2009	01/09/2006	1,308.03	1,326.02	17.99-
1822.29 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888029 6% 12/01/2036	11/25/2009	05/07/2007	1,822.29	1,835.96	13.67-
870.66 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #888677 5.5% 08/01/2022	11/25/2009	08/27/2007	870.66	867.53	3.13
1007.73 UNITS OF FEDERAL NATL MTG ASSN POOL #938134 DTD 06/01/07 6% 07/01/2037	11/25/2009	12/10/2007	1,007.73	1,018.28	10.55-
1542.71 UNITS OF FEDERAL NATIONAL MORTGAGE ASSN POOL #959596 DTD 11/01/07 6% 11/01/2037	11/25/2009	11/08/2007	1,542.71	1,553.56	10.85-
4000 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	12/11/2009	09/28/2006	4,023.28	3,865.62	157.66
58000 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	12/11/2009	10/17/2006	58,337.58	55,815.94	2,521.64
21000 UNITS OF UNITED STATES TREASURY 3.5% 02/15/2010	12/11/2009	10/07/2008	21,122.23	21,580.78	458.55-
291.29 UNITS OF FEDERAL HOME LOAN MORTGAGE CORP POOL #G11769 DTD 09/01/05 5% 10/01/2020	12/15/2009	08/15/2006	291.29	282.82	8.47
326.36 UNITS OF FEDERAL HOME LN MTG CORP POOL #A41642 5.5% 01/01/2036	12/15/2009	01/09/2006	326.36	325.03	1.33
1745 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G12335 5% 05/01/2021	12/15/2009	12/11/2006	1,745.00	1,725.51	19.49
1499.41 UNITS OF FEDERAL HOME LOAN MTG CORP POOL #G02931	12/15/2009	05/09/2007	1,499.41	1,512.06	12.65-

6% 04/01/2037					
975.72 UNITS OF	12/15/2009	12/10/2007	975.72	986.24	10.52-
FEDERAL HOME LOAN MORTGAGE CORP POOL					
#G03616 DTD 11/01/07 6% 12/01/2037					
316.87 UNITS OF	12/15/2009	02/15/2007	316.87	312.02	4.85
FEDERAL HOME LOAN MTG CORP POOL #G12559					
5% 09/01/2021					
472.09 UNITS OF	12/15/2009	12/10/2007	472.09	467.81	4.28
FEDERAL HOME LOAN MTG CORP POOL #G12593					
DTD 02/01/07 5% 09/01/2021					
76.73 UNITS OF	12/15/2009	01/09/2006	76.73	76.25	0.48
FEDERAL HOME LN MTG CORP POOL #J05840					
5% 01/01/2021					
1000.00 UNITS OF	12/14/2009	12/03/2008	1,231.54	998.66	232.88
CATERPILLAR INC DTD 12/05/08 7.9%					
12/15/2018					
2000.00 UNITS OF	12/14/2009	10/05/2005	2,133.68	2,017.38	116.30
GENERAL ELECTRIC CO 5% 02/01/2013					
1000.00 UNITS OF	12/14/2009	10/05/2005	1,027.78	986.50	41.28
HSBC FINANCE CORP 4.625% 09/15/2010					
1000.00 UNITS OF	12/14/2009	05/09/2008	1,079.78	1,017.62	62.16
MCDONALDS CORP SERIES: MTN DTD 02/29/08					
5.35% 03/01/2018					
1000.00 UNITS OF	12/14/2009	10/17/2007	1,077.06	973.03	104.03
TARGET CORP CALLABLE 5.375% 05/01/2017					
1000.00 UNITS OF	12/14/2009	01/24/2006	1,037.76	1,000.43	37.33
MORGAN STANLEY 5.05% 01/21/2011					
1000.00 UNITS OF	12/14/2009	10/05/2005	1,054.08	1,073.90	19.82-
SUNTRUST BANK ATLANTA 6.375% 04/01/2011					
1000.00 UNITS OF	12/14/2009	10/05/2005	1,080.17	1,077.73	2.44
US BANK NA 6.375% 08/01/2011					
1000.00 UNITS OF	12/14/2009	11/08/2007	1,088.62	1,009.00	79.62
VERIZON COMMUNICATIONS 5.55% 02/15/2016					
32177.24 UNITS OF	12/14/2009	01/09/2006	34,163.20	32,046.53	2,116.67
FEDERAL HOME LN MTG CORP POOL #A41642					
5.5% 01/01/2036					
1000.00 UNITS OF	12/14/2009	12/10/2007	1,107.61	1,023.22	84.39
FEDERAL NATIONAL MORTGAGE ASSN 5%					
03/15/2016					
2232.66 UNITS OF	12/28/2009	03/07/2007	2,232.66	2,222.54	10.12
FEDERAL NATL MTGE ASSN POOL #725424 DTD					
04/01/04 5.5% 04/01/2034					
250.87 UNITS OF	12/28/2009	04/09/2007	250.87	248.64	2.23
FEDERAL NATIONAL MORTGAGE ASSN POOL					
#725598 DTD 06/01/2004 5.5% 07/01/2034					
1263.75 UNITS OF	12/28/2009	06/18/2007	1,263.75	1,220.90	42.85
FEDERAL NATIONAL MORTGAGE ASSN POOL					
#745079 DTD 11/01/05 5% 12/01/2020					
464.37 UNITS OF	12/28/2009	01/09/2006	464.37	461.21	3.16
FEDERAL NATIONAL MTG ASSN POOL #847931					
5% 12/01/2020					
2200.06 UNITS OF	12/28/2009	01/09/2006	2,200.06	2,230.31	30.25-
FEDERAL NATL MTG ASSN POOL #852029 6%					
01/01/2036					
1615.51 UNITS OF	12/28/2009	05/07/2007	1,615.51	1,627.63	12.12-
FEDERAL NATIONAL MORTGAGE ASSN POOL					
#888029 6% 12/01/2036					
1494.77 UNITS OF	12/28/2009	08/27/2007	1,494.77	1,489.40	5.37
FEDERAL NATIONAL MORTGAGE ASSN POOL					
#888677 5.5% 08/01/2022					
944.1 UNITS OF	12/28/2009	12/10/2007	944.10	953.98	9 88-
FEDERAL NATL MTG ASSN POOL #938134 DTD					
06/01/07 6% 07/01/2037					
1271.45 UNITS OF	12/28/2009	11/08/2007	1,271.45	1,280.39	8.94-
FEDERAL NATIONAL MORTGAGE ASSN POOL					
#959596 DTD 11/01/07 6% 11/01/2037					

** TOTAL LONG TERM CAPITAL GAIN (LOSS)	2,026,835.89	2,590,370.32	563,534.43-
** SHORT TERM CAPITAL GAINS DIVIDENDS			
15205.191 BASIS SHARES OF PIMCO TOTAL RETURN INSTL FD #35			1,321.18
** TOTAL SHORT TERM CAPITAL GAINS DIVIDENDS	0.00	0.00	1,321.18
** LONG TERM CAPITAL GAINS DIVIDENDS			
15205.191 BASIS SHARES OF PIMCO TOTAL RETURN INSTL FD #35			367.51
** TOTAL LONG TERM CAPITAL GAINS DIVIDENDS	0.00	0.00	367.51

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**SUMMARY**

Description	Amount
** SUMMARY OF CAPITAL GAINS AND LOSSES **	
TOTAL SHORT TERM CAPITAL GAIN (LOSS)	14,439.64-
TOTAL SHORT TERM CAPITAL GAINS DIVIDENDS	1,321.18
NET SHORT TERM CAPITAL GAIN (LOSS)	13,118.46-
TOTAL LONG TERM CAPITAL GAIN (LOSS)	563,534.43-
TOTAL LONG TERM CAPITAL GAINS DIVIDENDS	367.51
TOTAL 28% RATE CAPITAL GAINS DIVIDENDS	0.00
TOTAL SEC 1250 CAPITAL GAINS DIVIDENDS	0.00
TOTAL SEC 1202 CAPITAL GAINS DIVIDENDS	0.00
NET LONG TERM CAPITAL GAIN (LOSS)	563,166.92-
NET CAPITAL GAIN (LOSS)	576,285.38-
TOTAL GAIN/LOSS FROM SALES WITH UNKNOWN COST/ACQUISITION DATE	0.00

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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

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Source	Amount
Regions Bank - Trust Department	126,197.
Total to Form 990-PF, Part I, line 3, Column A	126,197.

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Form 990-PF Dividends and Interest from Securities Statement 2

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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Regions Bank - Trust Department	115,808.	0.	115,808.
Total to Fm 990-PF, Part I, ln 4	115,808.	0.	115,808.

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Form 990-PF Other Income Statement 3

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Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Parent tuition	39,737.	0.	39,737.
Registration & activity fees	350.	0.	350.
USDA reimb. income	15,717.	0.	15,717.
Tuition subsidy AL Dept of Human Resources	8,905.	0.	8,905.
Total to Form 990-PF, Part I, line 11	64,709.	0.	64,709.

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Form 990-PF Legal Fees Statement 4

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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal	810.	0.	0.	810.
To Fm 990-PF, Pg 1, ln 16a	810.	0.	0.	810.

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Form 990-PF	Accounting Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Accounting	8,100.	0.	0.	8,100.	
To Form 990-PF, Pg 1, ln 16b	8,100.	0.	0.	8,100.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign taxes	110.	110.	110.	0.	
Payroll taxes	28,649.	0.	0.	28,649.	
Income taxes	-2,604.	0.	0.	0.	
To Form 990-PF, Pg 1, ln 18	26,155.	110.	110.	28,649.	

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Fiduciary fees	54,797.	54,797.	54,797.	0.	
Payroll expenses (admin)	11,957.	0.	0.	11,957.	
Assistance	544.	0.	0.	544.	
Computer expenses	1,167.	0.	0.	1,167.	
Cleaning & maintenance	3,535.	0.	0.	3,535.	
Dues & subscriptions	739.	0.	0.	739.	
Equipment	1,859.	0.	0.	1,859.	
Food	27,288.	0.	0.	27,288.	
General supplies	5,509.	0.	0.	5,509.	
Insurance	73,648.	0.	0.	73,648.	
Miscellaneous	-40.	0.	0.	123.	
Licenses	200.	0.	0.	200.	
Office supplies	1,644.	0.	0.	1,644.	
Partner program - CCR					
Infant/Toddler	10,000.	0.	0.	10,000.	
Park Place - construction	7,830.	0.	0.	7,830.	
Park Place - cotractual	55,000.	0.	0.	55,000.	
Park Place - supplies	7,663.	0.	0.	7,663.	

Park Place - other	794.	0.	0.	794.
Postage	112.	0.	0.	112.
Programs	8,104.	0.	0.	8,104.
Special occasions	889.	0.	0.	889.
Field trips	294.	0.	0.	294.
Teaching supplies	3,605.	0.	0.	3,605.
Telephone	3,567.	0.	0.	3,567.
Other employee expenses	2,883.	0.	0.	2,883.
Water	2,387.	0.	0.	2,387.
Website	3,433.	0.	0.	0.
To Form 990-PF, Pg 1, ln 23	289,408.	54,797.	54,797.	231,341.

Form 990-PF	U.S. and State/City Government Obligations	Statement	8
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
US Govt Obligations	X		331,873.	326,412.
Total U.S. Government Obligations			331,873.	326,412.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			331,873.	326,412.

Form 990-PF	Corporate Stock	Statement	9
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Description	Book Value	Fair Market Value
Corporate Stock	5,316,875.	4,887,766.
Total to Form 990-PF, Part II, line 10b	5,316,875.	4,887,766.

Form 990-PF	Corporate Bonds	Statement	10
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Description	Book Value	Fair Market Value
Corporate Bonds	1,039,910.	1,084,259.
Total to Form 990-PF, Part II, line 10c	1,039,910.	1,084,259.

Form 990-PF	Other Investments	Statement	11
Description	Valuation Method	Book Value	Fair Market Value
Other	COST	1,268,712.	1,324,837.
Total to Form 990-PF, Part II, line 13		1,268,712.	1,324,837.

Form 990-PF	Summary of Direct Charitable Activities	Statement	12
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#### Activity One

Cooperative work toward establishment of a mutual support community; includes activities to bolster community pride and involvement for the Metroplitan Garden residents and former residents who are included in the Ambit of the Hope VI project.

	Expenses
To Form 990-PF, Part IX-A, line 1	316,556.

Form 990-PF	Summary of Direct Charitable Activities	Statement	13
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#### Activity Two

Operation of a child development center for ages 6 weeks to 3 years old to provide affordable day care to low income parents to facilitate their ability to be in the work force rather than on government subsidies. Tuition is based on the parents income.

	Expenses
To Form 990-PF, Part IX-A, line 2	355,788.