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Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation  
**PATRICIA WERTHAN UHLMANN FOUNDATION**  
**C/O EQUITABLE TRUST COMPANY 19601**  
 Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**4400 HARDING ROAD 310**  
 City or town, state, and ZIP code  
**NASHVILLE TN 37205-5215**

A Employer identification number  
**62-1743716**

B Telephone number (see page 10 of the instructions)  
**615-460-9240**

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c)).  
 line 16) **\$ 1,607,792** (Part I, column (d) must be on cash basis)  
 J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify)

**Part I Analysis of Revenue and Expenses** (The

total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	2,911	2,911	2,911	
4 Dividends and interest from securities	26,967	26,967	26,967	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-99,502			
b Gross sales price for all assets on line 6a	507,752			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) <b>STMT 1</b>	15,712	410	15,712	
12 Total. Add lines 1 through 11	-53,912	30,288	45,590	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) <b>SEE STMT 2</b>	135			
b Accounting fees (attach schedule) <b>STMT 3</b>	1,000			
c Other professional fees (attach schedule) <b>STMT 4</b>	15,531	15,531		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) <b>STMT 5</b>	4	4		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att. sch.)				
24 Total operating and administrative expenses. Add lines 13 through 23	16,670	15,535		0
25 Contributions, gifts, grants paid	107,267			107,267
26 Total expenses and disbursements. Add lines 24 and 25	123,937	15,535	0	107,267
27 Subtract line 26 from line 12	-177,849			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		14,753		
c Adjusted net income (if negative, enter -0-)			45,590	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing			
	<b>2</b> Savings and temporary cash investments	765,068	209,575	209,575
	<b>3</b> Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>4</b> Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	<b>5</b> Grants receivable			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	<b>7</b> Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶			
	<b>8</b> Inventories for sale or use			
	<b>9</b> Prepaid expenses and deferred charges			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) <b>SEE STMT 6</b>	645,491	1,093,783	1,214,863
	<b>c</b> Investments—corporate bonds (attach schedule) <b>SEE STMT 7</b>	247,000	176,700	182,662
	<b>11</b> Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	<b>12</b> Investments—mortgage loans			
	<b>13</b> Investments—other (attach schedule)			
	<b>14</b> Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach sch.) ▶			
<b>Liabilities</b>	<b>15</b> Other assets (describe ▶ <b>SEE STATEMENT 8</b> )	1,041	692	692
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,658,600	1,480,750	1,607,792
	<b>17</b> Accounts payable and accrued expenses			
	<b>18</b> Grants payable			
	<b>19</b> Deferred revenue			
<b>Net Assets or Fund Balances</b>	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule)			
	<b>22</b> Other liabilities (describe ▶ )			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22)	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted			
	<b>25</b> Temporarily restricted			
	<b>26</b> Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds			
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds	1,658,600	1,480,750	
	<b>30</b> <b>Total net assets or fund balances</b> (see page 17 of the instructions)	1,658,600	1,480,750	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)	1,658,600	1,480,750	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	1,658,600
<b>2</b> Enter amount from Part I, line 27a	<b>2</b>	-177,849
<b>3</b> Other increases not included in line 2 (itemize) ▶	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3	<b>4</b>	1,480,751
<b>5</b> Decreases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 9</b>	<b>5</b>	1
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	<b>6</b>	1,480,750

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> <b>EQUITABLE TRUST CO (SEE ATTACHED)</b>		<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b</b> <b>EQUITABLE TRUST CO (SEE ATTACHED)</b>		<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>c</b> <b>EQUITABLE TRUST-CAP GAIN DIST</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 190,167		200,560	-10,393
<b>b</b> 317,387		406,694	-89,307
<b>c</b> 198			198
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			-10,393
<b>b</b>			-89,307
<b>c</b>			198
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	-99,502
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	366,019	1,850,287	0.197817
2007	166,841	2,109,936	0.079074
2006	206,794	1,615,266	0.128025
2005	152,553	1,231,890	0.123837
2004	91,902	1,041,708	0.088222

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.616975
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.123395
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	<b>4</b>	1,523,375
<b>5</b> Multiply line 4 by line 3	<b>5</b>	187,977
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	148
<b>7</b> Add lines 5 and 6	<b>7</b>	188,125
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	107,267

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>295</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>295</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>295</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2009 estimated tax payments and 2008 overpayment credited to 2009	<b>6a</b>	<b>400</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>400</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>105</b>
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2010 estimated tax</b> <b>105</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <b>TN</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		<b>X</b>
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>NONE</b>	13	<b>X</b>	
14	The books are in care of ► <b>EQUITABLE TRUST COMPANY, AGENT</b> <b>4400 HARDING ROAD, SUITE 310</b> Located at ► <b>NASHVILLE, TN</b>	Telephone no ► <b>615-460-9240</b> ZIP+4 ► <b>37205-5215</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		► <input type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20	N/A	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b>		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	<b>N/A</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			<b>6b</b> <b>X</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>N/A</b>		<b>7b</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA W. UHLMANN PRAIRIE VILLAGE KS	PRESIDENT 1.00	0	0	0
HELOISE W. KUHN PRAIRIE VILLAGE KS	SEC/TREAS 1.00	0	0	0
JOHN UHLMANN PRAIRIE VILLAGE KS	DIRECTOR 1.00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).  
If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See page 24 of the instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,085,377
b	Average of monthly cash balances	1b	461,197
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,546,574
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,546,574
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	23,199
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,523,375
6	Minimum investment return. Enter 5% of line 5	6	76,169

**Part XI** Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

1	Minimum investment return from Part X, line 6	1	76,169
2a	Tax on investment income for 2009 from Part VI, line 5	2a	295
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	295
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	75,874
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	75,874
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	75,874

**Part XII** Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	107,267
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,267
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	107,267

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				<b>75,874</b>
<b>2</b> Undistributed income, if any, as of the end of 2009:				
<b>a</b> Enter amount for 2008 only				
<b>b</b> Total for prior years. 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004	<b>52,997</b>			
<b>b</b> From 2005	<b>95,204</b>			
<b>c</b> From 2006	<b>132,109</b>			
<b>d</b> From 2007	<b>77,388</b>			
<b>e</b> From 2008	<b>274,261</b>			
<b>f</b> Total of lines 3a through e	<b>631,959</b>			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <b>107,267</b>				
<b>a</b> Applied to 2008, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2009 distributable amount				<b>75,874</b>
<b>e</b> Remaining amount distributed out of corpus	<b>31,393</b>			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:	<b>663,352</b>			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	<b>52,997</b>			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	<b>610,355</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005	<b>95,204</b>			
<b>b</b> Excess from 2006	<b>132,109</b>			
<b>c</b> Excess from 2007	<b>77,388</b>			
<b>d</b> Excess from 2008	<b>274,261</b>			
<b>e</b> Excess from 2009	<b>31,393</b>			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling <span style="float: right;">▶</span>					
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section <span style="float: right;"><input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)</span>					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) <b>N/A</b>	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest <b>N/A</b>	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b> Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b> The name, address, and telephone number of the person to whom applications should be addressed <b>N/A</b>	
<b>b</b> The form in which applications should be submitted and information and materials they should include <b>N/A</b>	
<b>c</b> Any submission deadlines <b>N/A</b>	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors <b>N/A</b>	

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
JEWISH FEDERATN-KANSAS CI 5801 W 115TH ST, STE 201 OVERLAND PARK KS 66211	NONE	EXEMPT HUNGER RELIEF IN FORMER SOVIET UNION		1,000
JEWISH FEDERATN-KANSAS CI 5801 W 115TH ST, STE 201 OVERLAND PARK KS 66211	NONE	EXEMPT FINAL PLEDGE TO OPERATION	PROMISES	16,667
JEWISH FEDERATN-KANSAS CI 5801 W 115TH ST, STE 201 OVERLAND PARK KS 66211	NONE	EXEMPT TO SUPPORT	CHARITY	50,000
JEWISH COMMUNITY CENTER 5801 WEST 115TH STREET OVERLAND PARK KS 66211	NONE	EXEMPT TO SUPPORT	CHARITY	10,000
TEMPLE B'NAI JEHUDAH 12320 NALL AVENUE OVERLAND PARK KS 66209	NONE	EXEMPT FOR RABBI'S DISCRETIONARY FUND		1,800
EMANUEL CONGREGATION 5959 N. SHERIDAN ROAD CHICAGO IL 60660	NONE	EXEMPT FOR RABBI'S DISCRETIONARY FUND		1,800
AMERICAN JEWISH JDC 711 THIRD AVE, 10TH FLOOR NEW YORK NY 10017	NONE	EXEMPT TO SUPPORT	CHARITY	10,000
PLANNED PARENTHOOD 4401 W 109TH ST OVERLAND PARK KS 66211	NONE	EXEMPT TO SUPPORT	CHARITY	1,000
KU HILLEL FOUNDATION 940 MISSISSIPPI LAWRENCE KS 66044	NONE	EXEMPT TO SUPPORT	CHARITY	5,000
AMERICAN JEWISH JDC 711 THIRD AVE, 10TH FLOOR NEW YORK NY 10017	NONE	EXEMPT IN HONOR OF JDC AFRICA/ASIA		10,000
<b>Total</b>			<b>3a</b>	107,267
<b>b</b> Approved for future payment N/A				
<b>Total</b>			<b>3b</b>	





**Federal Statements****Statement 1 - Form 990-PF, Part I, Line 11 - Other Income**

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
TYCO SECS LITIGATION SETTLMNT	\$ 410	410	\$ 410
2008 990-PF TAX REFUND	15,302		15,302
TOTAL	\$ 15,712	\$ 410	\$ 15,712

**Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEES	\$ 135			\$
TOTAL	\$ 135	\$ 0	\$ 0	\$ 0

**Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	\$ 1,000			\$
TOTAL	\$ 1,000	\$ 0	\$ 0	\$ 0

**Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
AGENCY FEES	\$ 15,376	\$ 15,376	\$	\$
PROCESSING FEES	155	155		
TOTAL	\$ 15,531	\$ 15,531	\$ 0	\$ 0

19601 Patricia Werthan Uhlmann Foundation

62-1743716

FYE: 12/31/2009

## Federal Statements

### Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	\$ 4	\$ 4	\$	\$
TOTAL	\$ 4	\$ 4	\$ 0	\$ 0



**Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUNDS/EQUITIES (SEE ATTACHED)	\$ 645,491	\$ 969,273	COST	\$ 1,079,569
COMMODITY & ALTERNATE INVESTMENTS		124,510	COST	135,294
TOTAL	\$ 645,491	\$ 1,093,783		\$ 1,214,863

**Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUND/FIXED INC (SEE ATTACHED)	\$ 247,000	\$ 176,700	COST	\$ 182,662
TOTAL	\$ 247,000	\$ 176,700		\$ 182,662

**Statement 8 - Form 990-PF, Part II, Line 15 - Other Assets**

Description	Beginning of Year	End of Year	Fair Market Value
INVESTMENT INCOME RECEIVABLE	\$ 1,041	\$ 692	\$ 692
TOTAL	\$ 1,041	\$ 692	\$ 692

**Statement 9 - Form 990-PF, Part III, Line 5 - Other Decreases**

Description	Amount
ROUNDING ADJUSTMENT	\$ 1
TOTAL	\$ 1

# Tax Worksheet

( 01/01/2009 To 12/31/2009 )

Tax ID : 62-1743716

Account Name : Agt Patricia Werthan Uhlmann Foundation

Account Number: 19601

State of Domicile: Tennessee

Administrator : Kirk Scobey

Accountant : Blankenship CPA Group PLLC

Attn: Mike Dunn

Brentwood TN 37027

## \* \* Schedule D \* \*

Shares/PV	Asset Sold	Date Acquired	How Acq	Date Sold	Holding Period	Sales Proceeds	Original Cost	Realized Gain/Loss
310	Agrium Inc	05/04/2009	P	07/10/2009	Short	11,232.22	14,551.03	-3,318.81
850	Ebay Inc	05/04/2009	P	07/10/2009	Short	13,797.18	14,267.59	-470.41
390	Petroleo Brasileiro ADR	05/04/2009	P	07/10/2009	Short	14,140.10	14,619.07	-478.97
100	Public Storage Inc	09/04/2008	P	02/13/2009	Short	6,037.31	9,122.49	-3,085.18
2,633.063	Rydex/SGI Managed Futures H	02/19/2009	P	12/17/2009	Short	69,960.48	74,700.00	-4,739.52
7,082.153	Vanguard Short Term Invest Gr Admiral	08/05/2009	P	12/29/2009	Short	75,000.00	73,300.28	1,699.72
667.854	Fidelity Diversified International	10/30/2003	P	02/03/2009	Long !	12,996.44	15,000.00	-2,003.56
2,533.532	T Rowe Price High Yield Bond	01/16/2002	P	01/14/2009	Long !	12,363.64	17,000.00	-4,636.36
4,354.136	T Rowe Price High Yield Bond	10/30/2003	P	01/14/2009	Long !	21,248.19	30,000.00	-8,751.81
1,958.480	Fidelity Diversified International	02/18/2004	P	02/03/2009	Long	38,112.02	50,000.00	-11,887.98
903.702	Goldman Sachs Mid Cap Value Fund I	03/30/2005	P	12/30/2009	Long	26,668.25	30,716.82	-4,048.57
250	L-3 Communications Hldgs Corp	06/06/2008	P	07/10/2009	Long	16,290.80	24,690.00	-8,399.20
1,568.627	Oakmark Equity & Income	03/17/2006	P	06/08/2009	Long	35,403.91	40,000.00	-4,596.09
1,574.803	Oakmark Equity & Income	03/20/2006	P	06/08/2009	Long	35,543.30	40,000.00	-4,456.70
1,834.862	Oakmark Equity & Income	08/17/2007	P	06/08/2009	Long	41,412.84	50,000.00	-8,587.16
2,475.248	Pioneer Cullen Value Fund Y	12/19/2006	P	06/08/2009	Long	35,742.58	50,000.00	-14,257.42
130	Procter & Gamble Co	09/03/2008	P	09/14/2009	Long	7,190.51	9,287.46	-2,096.95
2,698.050	T Rowe Price High Yield Bond	02/18/2004	P	01/14/2009	Long	13,166.48	19,129.17	-5,962.69
4,354.136	T Rowe Price High Yield Bond	02/18/2004	P	01/14/2009	Long	21,248.18	30,870.83	-9,622.65
						507,554.43		-99,700.31
							607,254.74	

! denotes Asset may qualify for Five-Year Gain Rule

How Acquired: F - Free Receipt, B - Beginning Inventory, C - Call, G - Gift, L - Payment Made (Liability),  
P - Purchase, R - Reinvestment, S - Spinoff, U - Undetermined

### Gain/Loss Summary :

Short Term GL		Long Term GL	
Gains From Sales :	1,699.72	Gains From Sales :	0.00
Losses From Sales :	-12,092.89	Losses From Sales :	-89,307.14
Common Funds :	0.00	Common Funds :	0.00
			0.00
Short Term Gains :	1,699.72	Long Term Gain :	0.00
Short Term Losses :	-12,092.89	Long Term Losses :	-89,307.14
Net Short Term G/L:	-10,393.17	Net Long Term G/L :	-89,307.14

Equitable Trust Company

# EQUITABLE Trust

December 01, 2009 To December 31, 2009

Account Name : Agt Patricia Werthan Uhlmann Foundation

Account No : 19601

## Summary Of Investment Holdings

Quantity	Description	Unit Value	Market Value	Tax Cost	Estimated Ann Inc	Yield To Mkt
<u>Money Market Funds</u>						
109,574.64	Northern Trust Inst Govt Portfolio	100.0000	109,574.64	109,574.64	10.96	0.01%
	<b>Totals</b>		<b>109,574.64</b>	<b>109,574.64</b>	<b>10.96</b>	<b>0.01%</b>
<u>Certificates Of Deposit</u>						
100,000	CapStar CD	1 100% 01/05/2010	100,000.00	100,000.00	1,100.00	1.10%
	<b>Totals</b>		<b>100,000.00</b>	<b>100,000.00</b>	<b>1,100.00</b>	<b>1.10%</b>
<u>Common Stock</u>						
400	Accenture PLC Cl A	41 5000	16,600.00	15,327.00	300.00	1.81%
40	Baidu com, Inc	411 2300	16,449.20	16,355.55	0.00	0.00%
40	Blackrock	232 2000	9,288.00	9,581.95	124.80	1.34%
370	Cognizant Technologies Solutions Cl A	45.3300	16,772.10	15,169.00	0.00	0.00%
100	Companhia De Bebidas Prf ADR	101 0900	10,109.00	9,896.95	181.04	1.79%
200	Empresa NAC Elect-Chil-SP ADR	50 2700	10,054.00	10,050.00	203.95	2.03%
400	Express Scripts Inc	86 4200	34,568.00	28,298.52	0.00	0.00%
500	Gilead Sciences Inc	43 2700	21,635.00	27,687.50	0.00	0.00%
350	Goldcorp Inc New	39 3400	13,769.00	14,503.34	63.00	0.46%
30	Google Inc Class A	619 9800	18,599.40	16,490.45	0.00	0.00%
75	HDFC Bank Ltd	130 0800	9,756.00	9,815.45	44.96	0.46%
370	ICICI Bank Ltd - Spon ADR	37.7100	13,952.70	15,121.86	360.31	2.58%
150	McDonalds Corp	62 4400	9,366.00	9,534.95	330.00	3.52%
300	National Oilwell Varco Inc	44.0900	13,227.00	14,576.22	0.00	0.00%
230	Novo-Nordisk ADR	63 8500	14,685.50	15,011.48	179.75	1.22%
120	Public Storage Inc	81 4500	9,774.00	10,054.83	264.00	2.70%
420	Starbucks Corp	23 0600	9,685.20	10,003.27	0.00	0.00%
800	Sterlite Industries India Ltd	18.2200	14,576.00	14,326.72	46.63	0.32%

# EQUITABLE Trust

December 01, 2009 To December 31, 2009

Account Name : Agt Patricia Werthan Uhlmann Foundation

Account No : 19601

## Summary Of Investment Holdings

Quantity	Description	Unit Value	Market Value	Tax Cost	Estimated Ann Inc	Yield To Mkt
720	TD Ameritrade Holding Corp	19 3800	13,953 60	14,701 46	0.00	0 00%
250	Telekomunik Indonesia Adr	39,9500	9,987 50	10,149 98	275 82	2 76%
140	United Technologies Corp	69 4100	9,717 40	9,850 54	215 60	2 22%
110	Visa Inc Cl A	87 4600	9,620 60	9,540 05	55 00	0 57%
<b>Totals</b>			<b>306,145.20</b>	<b>306,047.07</b>	<b>2,644.86</b>	<b>0.86%</b>
<b>Fixed Income Funds</b>						
9,425 071	Federated Total Return Bond	10 6700	102,450 52	100,000 00	4,945 12	4 83%
8,264 463	Oppenheimer Intl Bond Fund Cl Y	6 4000	52,892 56	50,000 00	2,086 77	3 95%
2,579 683	Vanguard Short Term Invest Gr Admiral	10 5900	27,318 84	26,699 72	1,117 42	4 09%
<b>Totals</b>			<b>182,661.92</b>	<b>176,699.72</b>	<b>8,149.31</b>	<b>4.46%</b>
<b>Balanced/Multi-Class Funds</b>						
2,148 83	First Eagle Global Fund Class I	40 1100	86,189 57	90,000 00	1,390 29	1.61%
<b>Totals</b>			<b>86,189.57</b>	<b>90,000.00</b>	<b>1,390.29</b>	<b>1.61%</b>
<b>Large Cap Funds</b>						
3,438 81	Fairholme Fund	30 0900	103,473 79	80,000 00	919 37	0 89%
6,313 131	Natixis CGM Adv Target Equity Y	9 7800	61,742 42	50,000 00	500.00	0 81%
2,974 539	SIT Large Cap Growth	38 3400	114,043 83	100,000 00	639 53	0 56%
<b>Totals</b>			<b>279,260.04</b>	<b>230,000.00</b>	<b>2,058.90</b>	<b>0.74%</b>
<b>Mid Cap Funds</b>						
1,004 304	Blackrock US Opportunities Inst	33 6200	33,764 70	35,000 00	67 99	0 20%
5,208 333	Rydex/SGI Mid Cap Value Inst	10 6400	55,416 66	42,500 00	0 00	0 00%
<b>Totals</b>			<b>89,181.36</b>	<b>77,500.00</b>	<b>67.99</b>	<b>0.08%</b>
<b>Small Cap Funds</b>						

# EQUITABLE Trust

December 01, 2009 To December 31, 2009

Account Name : Agt Patricia Werthan Uhlmann Foundation

Account No : 19601

## Summary Of Investment Holdings

Quantity	Description	Unit Value	Market Value	Tax Cost	Estimated Ann Inc	Yield To Mkt
1,846 053	Keeley Small Cap Value Fund I	19 8600	36,699 53	28,300 00	0 00	0 00%
4,066 723	Van Kampen Small Cap Growth CII	9 0500	36,803 84	29,888 33	0 00	0 00%
<b>Totals</b>			<b>73,503.37</b>	<b>58,188.33</b>	<b>0.00</b>	<b>0.00%</b>
<b>International Funds</b>						
8,972 78	Arto International Equity Fund II CII	11,7600	105,699 35	92,537 76	5,191.47	4 91%
3,853 709	First Eagle Overseas Fund CII	19 7200	75,995 14	65,000 00	2,439 40	3 21%
3,531 073	Lazard Emerging Mkts Inst	18 0100	63,594 62	50,000 00	1,641 00	2 58%
<b>Totals</b>			<b>245,289.11</b>	<b>207,537.76</b>	<b>9,271.87</b>	<b>3.78%</b>
<b>Commodity Funds</b>						
300	Ensco International Spd Adr	39 9400	11,982 00	14,247 00	0 00	0 00%
<b>Totals</b>			<b>11,982.00</b>	<b>14,247.00</b>	<b>0.00</b>	<b>0.00%</b>
<b>Commodity Trends</b>						
1,370 936	Direxion Commodity Trends Instl Strat Fd	28 1100	38,537 01	35,000.00	1,197 46	3 11%
<b>Totals</b>			<b>38,537.01</b>	<b>35,000.00</b>	<b>1,197.46</b>	<b>3.11%</b>
<b>Gold Bullion Funds</b>						
790	SPDR Gold Trust	107 3100	84,774 90	75,263 30	0 00	0 00%
<b>Totals</b>			<b>84,774.90</b>	<b>75,263.30</b>	<b>0.00</b>	<b>0.00%</b>
<b>Grand Total</b>			<b>1,607,099.12</b>	<b>1,480,057.82</b>	<b>25,891.64</b>	<b>1.61%</b>

19601 Patricia Werthan Uhlmann Foundation

62-1743716

FYE: 12/31/2009

## Federal Statements

### Taxable Interest on Investments

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
EQUITABLE TRUST COMPANY	\$ 2,911		14	
TOTAL	\$ 2,911			

### Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
EQUITABLE TRUST COMPANY	\$ 26,967		14	
TOTAL	\$ 26,967			

### Other Investment Income

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
TYCO SECS LITIGATION SETTLMNT	\$ 410		14	
TOTAL	\$ 410			