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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2009

Department of the Treasury
Internal Revenue Service (77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

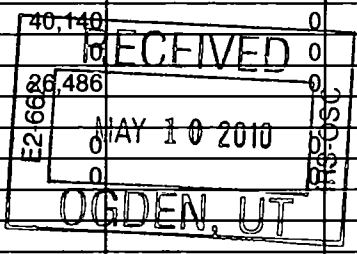
For calendar year **2009**, or tax year beginning **January 1 2009**, and ending **December 31 2009**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation William E. Barth Foundation	A Employer identification number 62-1328750
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 325 W. Main St. 2000 Waterfront Plaza	B Telephone number (see page 10 of the instructions) (502)584-1108
	City or town, state, and ZIP code Louisville KY 40202	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,108,886	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	95	95		
4 Dividends and interest from securities	50,809	50,809		
5 a Gross rents		0		
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	-377,943			
b Gross sales price for all assets on line 6a	-377,943			
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0			
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	0	0	0	
12 Total. Add lines 1 through 11	-327,039	50,904	0	
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	80,280	40,140	0	40,140
b Accounting fees (attach schedule)	0	0	0	0
c Other professional fees (attach schedule)	26,486	26,486	0	26,486
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	675			
19 Depreciation (attach schedule) and depletion	0			
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	2,114	2,114	0	2,114
24 Total operating and administrative expenses.				
Add lines 13 through 23	109,555	68,740	0	68,740
25 Contributions, gifts, grants paid	132,000			132,000
26 Total expenses and disbursements. Add lines 24 and 25	241,555	68,740	0	200,740
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	-568,594			
b Net investment income (if negative, enter -0-)		0		
c Adjusted net income (if negative, enter -0-)			0	

SCANNED MAY 12 2010 Revenue Operating and Administrative Expenses



P 6

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	492,665	12,932	12,932	
	3	Accounts receivable	0			
		Less: allowance for doubtful accounts	0	0	0	
	4	Pledges receivable	0			
		Less: allowance for doubtful accounts	0	0	0	
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0	
	7	Other notes and loans receivable (attach schedule)	0			
		Less: allowance for doubtful accounts	0	0	0	
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0	
	b	Investments—corporate stock (attach schedule)	1,309,299	2,095,954	2,095,954	
	c	Investments—corporate bonds (attach schedule)	0	0	0	
	11	Investments—land, buildings, and equipment basis	0			
	Less: accumulated depreciation (attach schedule)	0	0	0		
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	0	0	0		
14	Land, buildings, and equipment: basis	0				
	Less: accumulated depreciation (attach schedule)	0	0	0		
15	Other assets (describe)	0	0	0		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,801,964	2,108,886	2,108,886		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0		
	21	Mortgages and other notes payable (attach schedule)	0	0		
	22	Other liabilities (describe)	0	0		
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	1,801,964	2,108,886		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)	1,801,964	2,108,886			
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,801,964	2,108,886			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,801,964
2	Enter amount from Part I, line 27a	-568,594
3	Other increases not included in line 2 (itemize)	875,492
4	Add lines 1, 2, and 3	2,108,862
5	Decreases not included in line 2 (itemize)	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	2,108,862

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly traded securities short term		P		
b Publicly traded securities long term				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 266,253	0	96,572	169,681	
b 5,280	0	552,904	-547,624	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a 0	0	0	169,681	
b 0	0	0	-547,624	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 { If (loss), enter -0- in Part I, line 7	2	-377,943
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8			3	169,681

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	244,768	2,625,294	0.093235
2007	239,051	3,712,182	0.064396
2006	174,789	3,495,777	0.050000
2005	178,600	3,293,190	0.054233
2004	176,440	3,187,042	0.055362

2 Total of line 1, column (d)	2	0.317226
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.063445
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,797,050
5 Multiply line 4 by line 3	5	114,014
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7 Add lines 5 and 6	7	114,014
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	200,740

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1	a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	0	
3	Add lines 1 and 2	0	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	0	
6	Credits/Payments.		
	a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	0
	b Exempt foreign organizations—tax withheld at source	6b	
	c Tax paid with application for extension of time to file (Form 8868)	6c	0
	d Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.doddanddodd.net</u>	13	X	
14	The books are in care of ▶ <u>Allen P. Dodd, III</u> Telephone no ▶ <u>(502) 584-1108</u> Located at ▶ <u>325 W. Main St. 2000 Waterfront Plaza Louisville KY</u> ZIP+4 ▶ <u>40202</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> <u>n/a</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here **5b** N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement		.00	0	0
		.00	0	0
		.00	0	0
		.00	0	0
		.00	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ▶ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Dodd & Dodd Attorneys PLLC 325 W. Main St. 2000 Waterfront Plaza, Louisville, KY 40202	Legal	80,280
.....		0
.....		0
.....		0
.....		0
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
3 All other program-related investments. See page 24 of the instructions	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,652,968
b	Average of monthly cash balances	1b	161,296
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,814,264
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,814,264
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	27,214
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,787,050
6	Minimum investment return. Enter 5% of line 5	6	89,352

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	89,352
2a	Tax on investment income for 2009 from Part VI, line 5	2a	0
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	0
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	89,352
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	89,352
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	89,352

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	200,740
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	200,740
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	200,740

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				89,352
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				0
b From 2005				19,795
c From 2006				44,926
d From 2007				61,746
e From 2008				113,635
f Total of lines 3a through e	240,102			
4 Qualifying distributions for 2009 from Part XII, line 4 \blacktriangleright \$ 200,740				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				89,352
e Remaining amount distributed out of corpus	111,388			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	351,490			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	351,490			
10 Analysis of line 9:				
a Excess from 2005				19,795
b Excess from 2006				44,926
c Excess from 2007				61,746
d Excess from 2008				113,635
e Excess from 2009				111,388

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	
0				0
0	0	0	0	0
0				0
				0
0	0	0	0	0
				0
				0
0				0
				0
				0
				0
				0
				0

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

none

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

Allen P. Dodd, III 325 W. Main St. 2000 Waterfront Plz Louisville KY 40202 (502) 584-1108

b The form in which applications should be submitted and information and materials they should include:

written application; materials to be included specified in application

c Any submission deadlines

May 31st each year, although extensions granted

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Must be physically located in Louisville or Jefferson County KY

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Boys Haven			aid for children's welfare	6,500
Lighthouse Promises			aid for children's development	5,000
Cabbage Patch			aid for child and family development	3,000
St. Francis High School			aid for education	5,000
St. George Community Center			aid for children's welfare	5,000
Legal Aid Society			aid for legal services	6,500
Library Foundation			aid for education	10,000
Louisville Science Center			aid for education	10,000
Louisville Waterfront Center			aid for community beautification	10,000
Riverfields			river front conservation	5,000
University of Louisville			aid for education	5,000
Adelate Hispanic Achievers			aid for child development	1,000
Ann Braden Institute			aid for social justice	1,000
American Community			aid for social services	1,000
Bellewood Presbyterian Center			aid for children's welfare	2,000
Big Brothers Big Sisters			aid for children's development	2,500
Total . . . See Attached Statement			▶ 3a	132,000
b <i>Approved for future payment</i>				0
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name
 Blue Apple Players Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for children's enrichment Amount 1,000

Name
 CASA Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 child advocacy Amount 1,500

Name
 Carriage House Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for children's development Amount 1,000

Name
 Child Connection Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for childrens' development Amount 1,500

Name
 Choices Inc Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for homeless women Amount 1,500

Name
 Custome Quality Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for employment assistance Amount 1,500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
Day Spring Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for developmentally disabled community services Amount 1,000

Name
Dream factory Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for children's welfare Amount 1,000

Name
Dreams with Wings Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for housing assistance Amount 1,000

Name
Elderserve Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for elderly assistance Amount 1,000

Name
FEAT of Louisville Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for Autism assistance for families Amount 1,000

Name
family and Children Place Person Business
Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
aid for children's welfare Amount 3,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name
 Highland Community Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for the needy Amount 1,500

Name
 Friends for Life Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for community cancer services Amount 1,000

Name
 Gildas Club Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 community cancer services Amount 1,000

Name
 Girl Scouts of America Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for children's enrichment Amount 1,000

Name
 Home of the Innocents Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for children's welfare Amount 1,000

Name
 Hosparus Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for hospice care Amount 1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
 KY Shakespear Festival Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for community theater Amount 2,000

Name
 LDOS Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for deaf children's education Amount 1,000

Name
 Louisville Leopard Percussionists Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for children's enrichment by music Amount 500

Name
 Louisville forum Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for community enrichment Amount 1,000

Name
 Louisville Comprehensive Care MS Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 aid for those afflicted with multiple sclerosis Amount 1,000

Name
 Louisville Public Media Person Business
 Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution
 supporting the arts Amount 1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NAMI Louisville

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for those afflicted with mental health issues Amount 1,000

Name

Planned parenthood

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution support for women's health Amount 1,000

Name

Plymouth Community

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for community outreach Amount 2,000

Name

Portland promises

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for community outreach Amount 1,000

Name

Project Warm

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for community outreach Amount 2,000

Name

St. Joseph Children's Home

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for children's welfare Amount 1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Senior Care Experts

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for elder services Amount 1,000

Name

Society of St. Vincent

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for the needy Amount 3,000

Name

Stage One

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution support for community theater Amount 1,000

Name

VIPS

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for visually impaired children's education Amount 1,000

Name

VOA

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for the needy Amount 2,000

Name

Wellspring

Person

Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for mental and substance abuse afflictions Amount 1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name
 YMCA Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 aid for community outreach Amount 1,000

Name
 Youth Alive Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 aid for children's development Amount 1,000

Name
 Habitat for Humanity Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 aid for community outreach Amount 1,500

Name
 Louisville Zoo Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 Support of community enrichment Amount 1,000

Name
 Cardinal Hill Rehabilitation Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 aid for physical development Amount 1,000

Name
 Guardiacare Person Business
 Street _____

City _____ State _____ Zip code _____ Foreign Country _____

Relationship _____ Foundation Status _____

Purpose of grant/contribution
 aid for elder support services Amount 1,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

University of Louisville Foundation

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution aid for education Amount 1,000

Name

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Name

Person Business

Street

City State Zip code Foreign Country

Relationship Foundation Status

Purpose of grant/contribution Amount 0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost, Other Basis and Expenses		Net Gain or Loss
										Cost	Other Basis (Enter one field only)	
Totals												
		Long Term CG Distributions	Amount									
		Short Term CG Distributions	-547,624									
			169,681									
1	X											
2												
3												
4												
5												
6												
7												
8												
9												
10												

Amount
-547,624
169,681

Gross Sales -377,943
Cost, Other Basis and Expenses 0
Net Gain or Loss -377,943

Totals
Securities
Other sales

Expense of Sale and Cost of Improvements
Depreciation

Line 16a (990-PF) - Legal Fees

		80,280	40,140	0	40,140
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Dodd & Dodd Attornys PLLC	80,280	40,140		40,140
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

		26,486	26,486	0	26,486
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Investment Fees	26,486	26,486		26,486
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

		675	0	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	Foreign Taxes Paid	675			
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		2,114	2,114	0	2,114
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2	Fund Raising				
3	Membership Fees	745	745		745
4	Director's Insurance	1,158	1,158		1,158
5	Courier-Journal	211	211		211
6					
7					
8					
9					
10					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		1,309,299	2,095,954	1,309,299	2,095,954
		Book Value	Book Value	FMV	FMV
		End of Year	End of Year	End of Year	End of Year
		1,309,299	2,095,954	1,309,299	2,095,954
		1,309,299	2,095,954	1,309,299	2,095,954
1	Publicly traded securities				
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	
						Amount										
	Long Term CG Distributions															
	Short Term CG Distributions															
1	Publicly traded securities short term		P				271,533	266,253	0	96,572	169,681			0	169,681	
2	Publicly traded securities long term							5,280	0	552,904	-547,624			0	-547,624	
3								0	0	0	0			0	0	
4								0	0	0	0			0	0	
5								0	0	0	0			0	0	
6								0	0	0	0			0	0	
7								0	0	0	0			0	0	
8								0	0	0	0			0	0	
9								0	0	0	0			0	0	
10								0	0	0	0			0	0	

Part

	0	0	0	0
	Compensation	Benefits	Expense Account	Explanation
1	see part IX			
2	see part IX			
3	see part IX			
4	see part IX			
5	See part IX			
6				
7				
8				
9				
10				