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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury
Internal Revenue Service

(77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

ALLAN B COPLEY CHART FOUNDATION

Number/street (or P O box no. if mail is not delivered to street add.)

1015 S HIGBY

Room/suite

City or town, state, and ZIP code

JACKSON MI 49203-

A Employer identification number

61-1458325

B Telephone number (see the instructions)

517-784-0610

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85%
test, check here and attach computation ☐E If private foundation status was
terminated under section
507(b)(1)(A), check here ☐F If the foundation is in a 60-month
termination under section
507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of
year (from Part II, col. (c), line 16)

▶ \$ 1,463,091.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses(The total of amounts in columns (b),
(c), & (d) may not necessarily equal the
amounts in column (a) (see instr.)).(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	70,500.			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temp. cash investments				
4	Dividends and interest from securities	53,027.	53,027.	53,027.	
5a	Gross rents (Net rental income or loss)				
6a	Net gain/(loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain			50,071.	
9	Income modifications				
10a	Gross sales less rtns. & allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	123,527.	(108,945.)	103,098.	
13	Compensation of officers, directors, trustees, etc.	10,000.			10,000.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	3,424.	1,712.		1,712.
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	17,509.	17,509.		
17	Interest				
18	Taxes (attach schedule) (see instructions)	690.	690.		
19	Depreciation (attach sch.) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	165.	165.		
24	Total operating and administrative expenses. Add lines 13 through 23	31,788.	20,076.		11,712.
25	Contributions, gifts, grants paid	69,000.			69,000.
26	Total exp. & disbursements. Add lines 24 and 25	100,788.	20,076.		80,712.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	22,739.			
b	Net investment income (if neg., enter -0-)				
c	Adjusted net income (if neg., enter -0-)			103,098.	

For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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3

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See inst.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing	219,016.	176,975.	176,975.		
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accts. ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)					
	7 Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state govt. obligations (attach schedule)	134,516.	101,481.	108,666.		
	b Investments - corporate stock (attach schedule)	998,984.	960,021.	1,054,737.		
	c Investments - corporate bonds (attach schedule)	118,567.	114,876.	122,713.		
	Liabilities	11 Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans						
13 Investments - other (attach schedule)						
14 Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,471,083.	1,353,353.	1,463,091.		
17 Accounts payable and accrued expenses						
18 Grants payable						
19 Deferred revenue						
20 Loans from officers, directors, trustees, and other disqualified persons						
Net Assets or Fund Balances	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)					
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, ck. here and complete lines 27 through 31. ☒					
	27 Capital stock, trust principal, or current funds	1,471,083.	1,353,353.			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund					
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances (see the instructions)	1,471,083.	1,353,353.				
31 Total liabilities and net assets/fund balances (see the instructions)	1,471,083.	1,353,353.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,471,083.
2 Enter amount from Part I, line 27a	2	22,739.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,493,822.
5 Decreases not included in line 2 (itemize) ▶ CAPITAL LOSS	5	69,969.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,423,853.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE ATTACHED	P	VA/RI/OUS	12/31/2009
b SEE ATTACHED	P	VA/RI/OUS	12/31/2009
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 484,784.		434,713.	50,071.
b 698,751.		860,723.	(161,972.)
c			
d			
e			

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			50,071.
b			(161,972.)
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	(111,901.)
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beg in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	74,865.	1,372,276.	0.0546
2007	79,150.	1,325,657.	0.0597
2006	29,300.	422,741.	0.0693
2005	14,000.	259,960.	0.0539
2004	6,987.	146,106.	0.0478

2 Total of line 1, column (d)	2	0.2853
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0571
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,326,687.
5 Multiply line 4 by line 3	5	75,754.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	75,754.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	80,712.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ & enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2	3	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6 Credits/Payments		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions)		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV in instructions)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	X
14	The books are in care of ▶ KATHLEEN OCONNELL Located at ▶ 1015 S HIGBY MI JACKSON	Telephone no ▶ 517-784-0610 ZIP+4 ▶ 49203-	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ▶ 20 __, 20 __, 20 __, 20 __	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __, 20 __, 20 __, 20 __		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see the instructions)?**5b**

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b****b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred comp	(e) Expense account, other allowances
KATHLEEN M OCONNEL	CO-TRUSTEE			
1015 S HIGBY	1	10,000.		
EILEEN IDZIAK	CO-TRUSTEE			
711 CEDAR LANE	1	0		

2 Compensation of five highest-paid employees (other than those included on line 1 - see the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
Total Add lines 1 through 3	

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Part X Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 1,117,952.
b	Average of monthly cash balances	1b 228,938.
c	Fair market value of all other assets (see the instructions)	1c
d	Total (add lines 1a, b, and c)	1d 1,346,890.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 1,346,890.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see the instructions)	4 20,203.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 1,326,687.
6	Minimum investment return. Enter 5% of line 5	6 66,334.

Part XI Distributable Amount(see the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 66,334.
2a	Tax on investment income for 2009 from Part VI, line 5	2a
2b	Income tax for 2009 (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 66,334.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 66,334.
6	Deduction from distributable amount (see the instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 66,334.

Part XII Qualifying Distributions

(see the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.-total from Part I, column (d), line 26	1a 80,712.
b	Program-related investments-total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 80,712.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see the instructions)	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 80,712.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				66,334.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior yrs. 20 __, 20 __, 20 __				
3 Excess distrib. carryover, if any, to 2009				
a From 2004				
b From 2005	710.			
c From 2006	8,163.			
d From 2007	12,867.			
e From 2008	6,251.			
f Total of lines 3a through e	27,991.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 80,712.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see the instr.)				
c Treated as distributions out of corpus (Election required - see the instructions)				
d Applied to 2009 distributable amount				66,334.
e Remaining amt. distributed out of corpus	14,378.			
5 Excess distrib. carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in col. (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, & 4e Subtract line 5	42,369.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	42,369.			
10 Analysis of line 9:				
a Excess from 2005 ..	710.			
b Excess from 2006	8,163.			
c Excess from 2007	12,867.			
d Excess from 2008	6,251.			
e Excess from 2009	14,378.			

Part XIV Private Operating Foundations (see the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

MS KATHLEEN M OCONNEL 517-784-0610 1015 S HIGBY JACKSON MI 49203-

b The form in which applications should be submitted and information and materials they should include:

INFORMATION ABOUT REQUESTING ORGANIZATION AND AMOUNT OF GRANT REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

GENERALLY IN THE SOUTHERN MICHIGAN AREA

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DOCTORS WITH OUT BORDERS	N/A	PUBLIC	OPERATIONS	3,000.
JOEYS JUNCTION	N/A	PUBLIC	OPERATIONS	3,000.
AWARE SHELTER	N/A	PUBLIC	OPERATIONS	3,000.
CARRITHERS MS	N/A	SCHOOL	OPERATIONS	3,000.
LINGAP FOUNDATION	N/A	PUBLIC	OPERATIONS	3,000.
CENTER FOR FAMILY HEALTH	N/A	PUBLIC	OPERATIONS	4,000.
OPERATION SMILE	N/A	PUBLIC	OPERATIONS	3,000.
JOHN GEORGE HOME	N/A	PUBLIC	OPERATIONS	3,000.
LUMEN CHRISTI HIGH SCHOOL	N/A	SCHOOL	OPERATIONS	3,000.
LILLY MISSION CENTER	N/A	CHURCH	OPERATIONS	3,000.
FRANCIS ST. FOOD PANTRY	N/A	PUBLIC	OPERATIONS	5,500.
IMMANUEL'S PANTRY	N/A	PUBLIC	OPERATIONS	5,500.
JACKSON SYMPHONY ORCHESTRA	N/A	PUBLIC	OPERATIONS	5,000.
VAN BUREN DISTRICT LIBRARY	N/A	PUBLIC	OPERATIONS	5,000.
S SPORT	N/A	PUBLIC	OPERATIONS	3,000.
PROJECT Y.E.S.	N/A	PUBLIC	OPERATIONS	5,000.
JACKSON INTERFAITH SHELTER	N/A	PUBLIC	OPERATIONS	1,000.
HOLE IN THE ROOF FOUNDATION	N/A	PUBLIC	OPERATIONS	3,000.
FOOD BANK OF SCH MICHIGAN	N/A	PUBLIC	OPERATIONS	5,000.
Total			3a	69,000.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions.)
----------------------	--

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column(b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column(d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee <i>Kathy O'Connell</i>		Date 5-13-10		Title TRUSTEE	
Paid Preparer's Use Only	Preparer's signature 		Date 5/10/10		Check if self-employed ☐
	Preparer's ID number (see Signature in the instructions) 370-50-6040		Firm's name (or yours if self-employed), address, and ZIP code CURTIS & CURTIS, PC PO BOX 766 JACKSON MI 49204-0766		
			EIN ▶ 38-1956016 Phone no. 517-787-9481		

Schedule of Contributors

OMB No. 1545-0047

▶ Attach to Form 990, 990-EZ, and 990-PF.

2009

Name of the organization

ALLAN B COPLEY CHART FOUNDATION

Employer identification number

61-1458325

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.)

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of \$5,000 or (2) 2% of the amount on Form 990, Part VIII, line 1h or 2% of the amount on Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ▶ \$ _____

Caution. Organization that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** answer "No" on Part IV, line 2 of their Form 990, or check the box in the heading of their Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

These instructions will be issued separately.

Name of organization ALLAN B COPLEY CHART FOUNDATION	Employer identification number 61-1458325
---	--

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALLAN B COPLEY ESTATE TR 1030 CRESTWOOD LANE JACKSON MI 49203-	\$ 70,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Ref. 00008872 00281788

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	85	AMDOCS LTD	10/09/08	03/27/09	\$ 1,538.59	\$ 2,175.66	(\$ 637.07)	\$ 0.00
125000020	90	COSAN LTD CL A-BRL	06/19/08	04/07/09	413.97	\$ 2,175.66	(\$ 637.07)	\$ 0.00
125000070	150	ACERINOX SA UNSPON ADR	11/05/08	04/16/09	1,089.83	1,114.85	(700.88)	0.00
125000090	195	ALLIANZ SE ADR	10/09/08	01/08/09	1,776.28	1,041.77	48.06	0.00
195			11/05/08		1,776.29	2,171.09	(394.81)	0.00
						2,171.09	(394.81)	0.00
						1,786.53	(10.24)	0.00
						1,786.53	(10.24)	0.00
125000110	30	BARRICK GOLD CORP CAD	05/19/08	02/17/09	1,157.94	1,225.99	(68.05)	0.00
						1,225.99	(68.05)	0.00
125000120	5	BARRICK GOLD CORP CAD	05/19/08	04/06/09	143.98	204.33	(60.35)	0.00
10			09/02/08		287.95	204.33	(60.35)	0.00
4			09/10/08		115.18	327.00	(39.05)	0.00
						327.00	(39.05)	0.00
						108.15	7.03	0.00
						108.15	7.03	0.00
125000130	26	BARRICK GOLD CORP CAD	09/10/08	04/07/09	761.59	702.95	58.64	0.00
125000250	65	DELL INC	04/10/08	02/02/09	603.29	702.95	58.64	0.00
125000260	132	DENWAY MTRS LTD-HKD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/22/08	06/25/09	2,587.13	1,231.42	(628.13)	0.00
						1,231.42	(628.13)	0.00
						2,034.47	552.66	0.00
						2,034.47	552.66	0.00
125000270	58	DENWAY MTRS LTD-HKD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/22/08	06/26/09	1,178.26	893.94	284.32	0.00
20			12/24/08		406.30	893.94	284.32	0.00
						302.00	104.30	0.00
						302.00	104.30	0.00



Ref 00008872 00281789

ALLAN B. COPLEY CHAR TRUST

Account Number 221-80648-10 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000280	140	DEUTSCHE TELEKOM AG SP ADR	10/29/08	05/22/09	\$ 1,591.87	\$ 2,130.20	(\$ 538.33)	\$ 0.00
	120		03/04/09		1,364.46	1,469.48	(105.02)	\$ 0.00
					1,469.48		(105.02)	\$ 0.00
125000310	215	EXPERIAN GROUP LTD ADR	10/09/08	07/28/09	1,686.04	1,141.01	545.03	0.00
		Morgan Stanley Sml				1,141.01	545.03	0.00
		th Barney LLC acted as yo						
		ur agent in this transa						
125000330	25	FANUC LTD JAPAN-JPY	11/06/08	05/18/09	1,015.10	833.50	181.60	0.00
						833.50	181.60	0.00
125000340	33	FANUC LTD JAPAN-JPY	11/06/08	06/24/09	1,296.63	1,100.22	196.41	0.00
		Morgan Stanley Sml				1,100.22	196.41	0.00
		th Barney LLC acted as yo				237.30	37.74	0.00
		ur agent in this transa				237.30	37.74	0.00
125000370	10,000	FEDERAL NATL MTG ASSN DEBS	02/17/09	06/09/09	10,317.70	10,396.30	(78.60)	0.00
		BK/ENTRY				10,280.50	37.20	37.20
		DTD 03/08/2007						
		DUE 03/12/2010 RATE 4.750						
125000430	9	GAMCO INVESTORS INC	03/04/09	08/12/09	411.79	260.47	151.32	0.00
		Morgan Stanley Sml				260.47	151.32	0.00
		th Barney LLC acted as yo				172.56	101.96	0.00
		ur agent in this transa				172.56	101.96	0.00
125000470	130	GIVAUDAN SA-CHF	04/24/09	04/24/09	22.90	.01	22.89	0.00
		SALE OF RTS ON 130.0000 SHS				.01	22.89	0.00
		RECORD 04/24/09 PAY 04/30/09						
125000480	130	GIVAUDAN SA-CHF	12/22/08	05/27/09	1,628.68	1,995.21	(366.53)	0.00
						1,995.21	(366.53)	0.00
125000500	25	HSBC HLDG PLC SP ADR NEW	07/25/08	05/22/09	1,066.68	2,046.32	(979.64)	0.00
						2,046.32	(979.64)	0.00
125000520	31	RTS HSBC HOLDINGS PLC	04/07/09	04/07/09	279.04	.01	279.03	0.00
		03/31/2009				.01	279.03	0.00
125000530	20	HENKEL KGAA SPONS ADR	07/28/08	03/10/09	428.47	787.69	(359.22)	0.00
						787.69	(359.22)	0.00

2009 YEAR END SUMMARY



Ref. 00008872 00281790

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000540	115	HENKEL KGAA SPONS ADR	07/28/08	04/29/09	\$ 2,778.93	\$ 4,529.20	(\$ 1,750.27)	\$ 0.00	
	26		09/18/08		628.28	\$ 4,529.20	(\$ 1,750.27)	\$ 0.00	
	24		09/19/08		579.95	907.08	(278.80)	0.00	
						844.22	(264.27)	0.00	
						844.22	(264.27)	0.00	
125000560	190	INFINEON TECHNOLOGIES ADR	03/28/08	01/20/09	175.82	1,300.27	(1,124.45)	0.00	
						1,300.27	(1,124.45)	0.00	
125000630	75	LONMIN PUB LTD CO SPON ADR NEW	06/03/09	06/03/09	67.88	.01	67.87	0.00	
		SALE OF RTS ON 75 0000 SHS				.01	67.87	0.00	
		RECORD 06/03/09 PAY 06/12/09						0.00	
125000640	100	MGIC INVT CORP WIS	01/06/09	03/10/09	100.61	409.45	(308.84)	0.00	
						409.45	(308.84)	0.00	
125000650	25	MGIC INVT CORP WIS	01/06/09	03/11/09	25.47	102.36	(76.89)	0.00	
						102.36	(76.89)	0.00	
125000660	30	MAGNA INTERNATIONAL CL A Morgan Stanley Sml	10/09/08	06/18/09	1,108.83	1,168.30	(59.47)	0.00	
	35	th Barney LLC acted as yo	10/30/08		1,293.63	1,168.30	(59.47)	0.00	
		ur agent in this transa				1,162.22	131.41	0.00	
	35		03/17/09		1,293.63	1,162.22	131.41	0.00	
						808.88	484.75	0.00	
						808.88	484.75	0.00	
125000670	75	MAKITA CORP ADR -USD- FORMERLY MAKITA ELEC WKS LTD Morgan Stanley Sml	12/11/08	08/04/09	1,937.55	1,533.28	404.27	0.00	
		th Barney LLC acted as yo				1,533.28	404.27	0.00	
125000680	.0922	MARRIOTT INTL INC NEW CL A CASH IN LIEU OF .09225	03/24/09	07/30/09	2.18	1.60	.58	0.00	
		RECORD 06/25/09 PAY 07/30/09				1.60	.58	0.00	
125000690	50	MCKESSON CORPORATION Morgan Stanley Sml	11/04/08	07/13/09	2,196.66	1,883.74	312.92	0.00	
		th Barney LLC acted as yo				1,883.74	312.92	0.00	
		ur agent in this transa							
125000720	185	MIZUHO FINL GROUP INC-JPY SPONS ADR	05/30/08	04/08/09	730.47	1,957.52	(1,227.05)	0.00	
						1,957.52	(1,227.05)	0.00	



Ref: 00008872 00281791

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000730	90	MIZUHO FINL GROUP INC-JPY	05/30/08	04/22/09	\$ 356.34	\$ 952.31	(\$ 595.97)	\$ 0.00	
	160	SPONS ADR	08/07/08		633.48	1,390.77	(757.29)	0.00	
						1,390.77	(757.29)	0.00	
125000750	107	NORSK HYDRO A S SPONS ADR	12/11/08	02/25/09	360.67	405.35	(44.68)	0.00	
						405.35	(44.68)	0.00	
125000770	50	PENSKE AUTOMOTIVE GROUP INC	10/01/08	08/10/09	975.51	566.52	408.99	0.00	
		Morgan Stanley Smi				566.52	408.99	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							
125000820	5	SECOM LTD ADR	08/07/08	01/23/09	409.37	453.49	(44.12)	0.00	
						453.49	(44.12)	0.00	
125000830	30	SEVEN & I HLDGS CO LTD-JPY	12/11/08	05/12/09	1,422.19	1,942.43	(520.24)	0.00	
						1,942.43	(520.24)	0.00	
125000840	18	SIMON PPTY GROUP INC NEW	05/07/09	06/30/09	928.99	937.41	(8.42)	0.00	
		Morgan Stanley Smi				937.41	(8.42)	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							
125000850	22	SIMON PPTY GROUP INC NEW	05/07/09	07/01/09	1,145.41	1,145.73	(.32)	0.00	
		Morgan Stanley Smi				1,145.73	(.32)	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							
125000860	40	SOCIETE GENERALE SPON ADR	08/07/08	05/19/09	423.67	849.98	(426.31)	0.00	
	80		09/18/08		847.35	1,272.96	(425.61)	0.00	
						1,272.96	(425.61)	0.00	
125000870	15	SOCIETE GENERALE SPON ADR	09/18/08	05/22/09	161.58	238.68	(77.10)	0.00	
	75		10/09/08		807.90	238.68	(77.10)	0.00	
						1,172.17	(364.27)	0.00	
						1,172.17	(364.27)	0.00	
125000880	40	SYMRISE AS UNSPON ADR	11/10/08	06/11/09	608.48	513.28	95.20	0.00	
		Morgan Stanley Smi				513.28	95.20	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							
125000890	40	SYMRISE AS UNSPON ADR	11/10/08	08/05/09	656.56	513.28	143.28	0.00	
		Morgan Stanley Smi				513.28	143.28	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							



Ref 00008872 00281792

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000900	34	SYMRISE AS UNSPON ADR	11/10/08	08/11/09	\$ 542.24	\$ 436.29	\$ 105.95	\$ 0.00	
	36	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/11/08		574.13	453.77	120.36	\$ 0.00	
125000910	20	SYNOVUS FINANCIAL CORP	09/23/08	04/23/09	65.31	204.80	(139.49)	0.00	
	3		10/01/08		9.80	30.75	(20.95)	0.00	
	31		10/06/08		101.22	30.75	(20.95)	0.00	
						317.34	(216.12)	0.00	
						317.34	(216.12)	0.00	
125000920	14	SYNOVUS FINANCIAL CORP	10/06/08	04/24/09	45.53	143.31	(97.78)	0.00	
						143.31	(97.78)	0.00	
125000930	5	SYNOVUS FINANCIAL CORP	10/06/08	04/27/09	16.24	51.18	(34.94)	0.00	
						51.18	(34.94)	0.00	
125000940	21	SYNOVUS FINANCIAL CORP	10/06/08	04/28/09	68.29	214.97	(146.68)	0.00	
	12		10/07/08		39.03	214.97	(146.68)	0.00	
						122.73	(83.70)	0.00	
						122.73	(83.70)	0.00	
125000950	4	SYNOVUS FINANCIAL CORP	10/07/08	04/29/09	13.02	40.91	(27.89)	0.00	
						40.91	(27.89)	0.00	
125001100	40	TOTAL S A SPONS ADR	10/29/08	08/10/09	2,140.07	2,237.25	(97.18)	0.00	
	25	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/27/09		1,337.55	2,237.25	(97.18)	0.00	
						1,202.87	134.68	0.00	
						1,202.87	134.68	0.00	
125001130	50	UNITED OVERSEAS BANK LTD SPONS ADR	03/26/08	03/10/09	531.33	1,398.71	(867.38)	0.00	
						1,398.71	(867.38)	0.00	
125001200	5,000	WELLS FARGO & CO NOTES BOOK/ENTRY DD 10/16/03 DUE 10/16/2013 RATE 4.950	04/14/08	01/28/09	4,832.10	5,122.20	(290.10)	0.00	
						5,106.00	(273.90)	(273.90)	
125001220	20	WYETH	03/25/08	03/24/09	850.79	842.76	8.03	0.00	
						842.76	8.03	0.00	
125001230	114	ZHEJIANG EXPWY CO LTD SPON ADR	01/09/09	05/12/09	2,475.44	2,237.68	237.76	0.00	
	26		01/12/09		564.58	2,237.68	237.76	0.00	
						473.15	91.43	0.00	
						473.15	91.43	0.00	
Total					\$ 72,359.56	\$ 82,383.59	(\$ 10,024.03)	\$ 0.00	
						\$ 82,251.59	(\$ 9,892.03)	(\$ 236.70)	



Ref: 00008872 00281793

ALLAN B. COPLEY CHAR TRUST

Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	200	COSAN LTD CL A-BRL	01/18/08	04/07/09	\$ 919.93	\$ 2,669.58 \$ 2,669.58	(\$ 1,749.65) (\$ 1,749.65)	\$ 0.00 \$ 0.00
125000030	72.2364	SIGNET JEWELERS LTD-USD Morgan Stanley Sml	02/02/07	07/31/09	1,602.59	3,519.36 3,519.36	(1,916.77) (1,916.77)	0.00 0.00
	9.9636	th Barney LLC acted as yo	06/21/07		221.05	426.84	(205.79)	0.00
	54.8	ur agent in this transa	02/27/08		1,215.76	426.84	(205.79)	0.00
						1,473.26	(257.50)	0.00
						1,473.26	(257.50)	0.00
125000040	4,000	AOL TIME WARNER INC	06/15/07	05/06/09	4,176.44	4,159.00	17.44	0.00
	1,000	BK/ENTRY-DTD 04/08/2002	09/25/07		1,044.11	4,102.52	73.92	73.92
		DUE 05/01/2012 RATE 6.875				1,050.27	(6.16)	0.00
		YTM 5.252				1,034.00	10.11	10.11
125000050	5	ABBOTT LABORATORIES	02/19/04	08/03/09	224.07	202.92	21.15	0.00
	10	Morgan Stanley Sml	07/08/04		448.14	202.92	21.15	0.00
	46	th Barney LLC acted as yo				404.38	43.76	0.00
		ur agent in this transa	01/27/05		2,061.44	404.38	43.76	0.00
						2,113.08	(51.64)	0.00
						2,113.08	(51.64)	0.00
125000060	9	ABBOTT LABORATORIES	01/27/05	08/05/09	396.75	413.43	(16.68)	0.00
	20	Morgan Stanley Sml	02/02/07		881.66	413.43	(16.68)	0.00
		th Barney LLC acted as yo				1,054.60	(172.94)	0.00
		ur agent in this transa				1,054.60	(172.94)	0.00
125000080	7	ALCOA INC	05/10/04	07/06/09	63.94	206.89	(142.95)	0.00
	55	Morgan Stanley Sml	12/31/04		502.37	206.89	(142.95)	0.00
	18	th Barney LLC acted as yo	10/06/05		164.41	1,734.00	(1,231.63)	0.00
		ur agent in this transa				1,734.00	(1,231.63)	0.00
						412.31	(247.90)	0.00
						412.31	(247.90)	0.00

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Ref 00008872 00281794

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000100	5	AMAZON COM INC	01/06/06	03/06/09	\$ 308 06	\$ 239 95	\$ 68 11	\$ 0 00
	10		03/08/06		616.13	\$ 239 95	\$ 68.11	\$ 0 00
	5		06/09/06		308 06	368.43	247.70	0 00
						368.43	247 70	0 00
						169.19	138.87	0 00
						169 19	138 87	0 00
125000140	75	BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR-USD-	02/02/07	03/16/09	1,957 23	3,345.34	(1,388 11)	0 00
						3,345.34	(1,388.11)	0 00
125000150	45	BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR-USD-	02/02/07	04/07/09	1,143 75	2,007 20	(863 45)	0 00
	30		10/02/07		762 50	2,007 20	(863 45)	0 00
						1,692.93	(930 43)	0 00
						1,692 93	(930 43)	0 00
125000160	17	CADBURY PLC ADR	12/07/07	04/07/09	538.32	1,000.96	(462 64)	0 00
						1,000.96	(462 64)	0 00
125000170	25	CADBURY PLC ADR	12/07/07	05/05/09	783.70	1,471.99	(688 29)	0 00
						1,471 99	(688 29)	0 00
125000180	2.16	CADBURY PLC ADR Morgan Stanley Sml	12/07/07	07/17/09	77.76	127.18	(49 42)	0 00
	35 84	th Barney LLC acted as yo	12/10/07		1,290 26	127 18	(49 42)	0 00
		ur agent in this transa				2,141 07	(850 81)	0 00
	32		03/17/08		1,152 02	2,141 07	(850 81)	0 00
						1,604 41	(452 39)	0 00
						1,604 41	(452 39)	0 00
125000190	25	CATERPILLAR INC	05/26/06	02/03/09	750 38	1,860.44	(1,110 06)	0 00
	4		06/02/06		120 06	1,860 44	(1,110 06)	0 00
						289 86	(169 80)	0 00
						289 86	(169 80)	0 00
125000200	1	CATERPILLAR INC	06/02/06	02/04/09	30 46	72 47	(42 01)	0 00
	5		09/20/06		152 31	72 47	(42 01)	0 00
	5		10/23/06		152 31	329 98	(177 67)	0 00
						329 98	(177 67)	0 00
						301 66	(149 35)	0 00
						301 66	(149 35)	0 00
125000210	15	CHEVRON CORP VSP 01/01/05 PRICE 400 Morgan Stanley Sml	01/28/05	06/24/09	994 66	805 26	189 40	0 00
		th Barney LLC acted as yo				805 26	189 40	0 00
125000220	14	CHUBB CORP	03/16/04	04/06/09	586 21	484 55	101 66	0 00
						484 55	101 66	0 00

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Morgan Stanley Smith Barney

Ref: 00008672 00281795

Reserved Client Statement 2009 Year End Summary

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ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000230	5	DELL INC	05/15/06	01/29/09	\$ 50.61	\$ 120.64	(\$ 70.03)	\$ 0.00
	162		02/02/07		1,639.72	3,811.86	(2,172.14)	0.00
						3,811.86	(2,172.14)	0.00
125000240	28	DELL INC	02/02/07	01/30/09	272.15	658.84	(386.69)	0.00
	10		11/02/07		97.20	299.60	(202.40)	0.00
	63		11/30/07		612.32	1,537.51	(925.19)	0.00
						1,537.51	(925.19)	0.00
125000250	12	DELL INC	11/30/07	02/02/09	111.38	292.86	(181.48)	0.00
						292.86	(181.48)	0.00
125000290	10	E I DU PONT DE NEMOURS & CO	04/27/05	01/07/09	262.41	465.20	(202.79)	0.00
						465.20	(202.79)	0.00
125000300	15	E I DU PONT DE NEMOURS & CO	07/28/05	04/06/09	381.17	629.97	(248.80)	0.00
	5		09/06/05		127.05	196.89	(69.84)	0.00
						196.89	(69.84)	0.00
125000320	50	EXXON MOBIL CORP	05/06/04	08/10/09	3,458.67	2,180.77	1,277.90	0.00
	20	Morgan Stanley Smi	08/04/05		1,383.47	1,185.10	198.37	0.00
		th Barney LLC acted as yo				1,185.10	198.37	0.00
	5	ur agent in this transa	08/17/07		345.87	417.13	(71.26)	0.00
						417.13	(71.26)	0.00
125000350	9,000	FEDERAL HOME LOAN MTG CORP	06/15/07	03/25/09	9,696.03	9,069.01	627.02	0.00
		GLOBAL DEBS-BK/ENTRY				9,038.25	657.78	657.78
		DTD 03/15/2001						
		DUE 03/15/2011 RATE 5.625						
125000360	11,000	FEDERAL NATL MTG ASSN GLOBAL	06/15/07	02/17/09	11,369.60	11,296.36	73.24	0.00
	2,000	DEBS BK/ENTRY	08/21/07		2,067.20	2,078.54	(11.34)	0.00
		DTD 09/01/1999				2,022.30	44.90	44.90
	2,000	DUE 09/15/2009 RATE 6.625	11/07/07		2,067.20	2,085.58	(18.38)	0.00
						2,026.96	40.24	40.24

2009 YEAR END SUMMARY



Ref: 00008872 00281796

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000380	50	FRANCE TELECOM SA SPON ADR	01/06/06	03/04/09	\$ 1,122.53	\$ 1,334.50	(\$ 211.97)	\$ 0.00
	15		02/07/06		336.76	\$ 1,334.50	(\$ 211.97)	\$ 0.00
	45		02/02/07		1,010.27	321.80	14.96	0.00
						321.80	14.96	0.00
						1,233.00	(222.73)	0.00
						1,233.00	(222.73)	0.00
125000390	20	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR	02/02/07	03/05/09	795.99	904.00	(108.01)	0.00
						904.00	(108.01)	0.00
125000400	40	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR	02/02/07	03/06/09	1,598.05	1,808.00	(209.95)	0.00
						1,808.00	(209.95)	0.00
125000410	25	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR	02/02/07	03/13/09	972.54	1,130.00	(157.46)	0.00
	9		11/02/07		350.12	1,130.00	(157.46)	0.00
						462.42	(112.30)	0.00
						462.42	(112.30)	0.00
125000420	1	FRESENIUS MEDICAL CARE AG & CO. KGAA SPONS ADR	11/02/07	03/16/09	37.54	51.38	(13.84)	0.00
	55		11/29/07		2,064.84	51.38	(13.84)	0.00
						3,069.83	(1,004.99)	0.00
						3,069.83	(1,004.99)	0.00
125000440	20	GENENTECH INC	01/06/06	01/30/09	1,622.23	1,834.80	(212.57)	0.00
						1,834.80	(212.57)	0.00
125000450	10	GENENTECH INC	01/06/06	03/06/09	896.58	917.40	(20.82)	0.00
	10		02/02/07		896.57	917.40	(20.82)	0.00
						874.10	22.47	0.00
						874.10	22.47	0.00
125000460	40	GENENTECH INC	02/02/07	03/26/09	3,800.00	3,496.40	303.60	0.00
	15		06/21/07		1,425.00	3,496.40	303.60	0.00
						1,139.85	285.15	0.00
	25		11/02/07		2,375.00	1,139.85	285.15	0.00
						1,842.25	532.75	0.00
						1,842.25	532.75	0.00
125000490	35	GRUPO TELEVISIA SA DE CV SPON ADR	04/03/08	04/20/09	549.80	862.15	(312.35)	0.00
						862.15	(312.35)	0.00
125000510	25	RTS HSBC HOLDINGS PLC 03/31/2009 CASH IN LIEU OF .25000 RECORD 03/13/09 PAY 03/16/09	Unavailable	03/16/09	2.12			
125000550	70	HOME DEPOT INC	01/06/06	05/28/09	1,585.95	2,830.10	(1,244.15)	0.00
						2,830.10	(1,244.15)	0.00

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00008872 00281797

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000560	160	INFINEON TECHNOLOGIES ADR	02/02/07	01/20/09	\$ 148.06	\$ 2,315.20	(\$ 2,167.14)	\$ 0.00	
	50		11/02/07		46.27	\$ 2,315.20	(\$ 2,167.14)	\$ 0.00	
						713.50	(667.23)	0.00	
						713.50	(667.23)	0.00	
125000570	20	INTL BUSINESS MACHINES CORP	01/06/06	05/05/09	2,116.89	1,689.00	427.89	0.00	
	15		06/07/07		1,587.67	1,689.00	427.89	0.00	
						1,537.56	50.11	0.00	
	5		08/16/07		529.22	1,537.56	50.11	0.00	
						548.42	(19.20)	0.00	
						548.42	(19.20)	0.00	
125000580	65	INTERNATIONAL PWR PLC	02/02/07	06/10/09	2,702.77	4,789.20	(2,086.43)	0.00	
	5	SPONS ADR	11/02/07		207.90	4,789.20	(2,086.43)	0.00	
		th Barney LLC acted as yo				493.00	(285.10)	0.00	
						493.00	(285.10)	0.00	
125000590	6,000	JP MORGAN CHASE & CO	06/15/07	05/18/09	6,121.68	5,724.84	396.84	106.86	
	1,000	DTD 12/14/2004	09/25/07		1,020.28	5,724.84	396.84	289.98	
		DUE 01/15/2012 RATE 4.500				971.33	48.95	10.22	
		YTM 3.688				971.33	48.95	38.73	
125000600	55	JP MORGAN CHASE & CO	02/02/07	07/20/09	2,023.64	2,801.69	(778.05)	0.00	
		Morgan Stanley Sml				2,801.69	(778.05)	0.00	
		th Barney LLC acted as yo							
		ur agent in this transa							
125000610	20	KRAFT FOODS INC CLASS A	03/22/07	04/06/09	452.37	629.01	(176.64)	0.00	
						629.01	(176.64)	0.00	
125000620	31	KRAFT FOODS INC CLASS A	03/22/07	04/07/09	697.60	974.96	(277.36)	0.00	
						974.96	(277.36)	0.00	
125000700	6,000	MERRILL LYNCH & CO MED TERM	06/15/07	02/04/09	5,940.00	5,832.00	108.00	108.00	
	1,000	NOTES BOOK/ENTRY	09/25/07		990.00	5,832.00	108.00	0.00	
		DD 9/10/2004				986.95	3.05	3.05	
		DUE 09/10/2009 RATE 4.125				986.95	3.05	0.00	
125000710	20	MICROSOFT CORP	01/13/06	07/20/09	485.41	543.64	(58.23)	0.00	
	35	Morgan Stanley Sml	05/02/06		849.47	543.64	(58.23)	0.00	
		th Barney LLC acted as yo				842.05	7.42	0.00	
		ur agent in this transa				842.05	7.42	0.00	
	25		01/09/07		606.76	747.85	(141.09)	0.00	
						747.85	(141.09)	0.00	



Ref. 00008672 00281798

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000740	6,000	MORGAN STANLEY GLOBAL NOTES BOOK/ENTRY DD 11/12/2004 DUE 01/15/2010 RATE 4.000 YTM 3.011	06/15/07	05/28/09	\$ 6,036.00	\$ 5,780.82 \$ 5,780.82	\$ 255.18 \$ 255.18	\$ 163.20 \$ 91.98
125000760	30	NOVARTIS AG ADR	03/30/05	05/05/09	1,129.35	1,411.02 1,411.02	(281.67) (281.67)	0.00 0.00
	25		02/02/07		941.13	1,462.25 1,462.25	(521.12) (521.12)	0.00 0.00
125000780	25	PFIZER INC	02/20/04	07/09/09	357.49	928.97 928.97	(571.48) (571.48)	0.00 0.00
	30	VSP 01/01/01 PRICE .400 Morgan Stanley Smi	06/18/04		428.99	1,061.74 1,061.74	(632.75) (632.75)	0.00 0.00
	20	th Barney LLC acted as yo	08/18/04		286.00	625.74 625.74	(339.74) (339.74)	0.00 0.00
	20		11/10/04		286.00	550.65 550.65	(264.65) (264.65)	0.00 0.00
	28		02/15/05		400.39	713.73 713.73	(313.34) (313.34)	0.00 0.00
	105		02/02/07		1,501.48	2,815.58 2,815.58	(1,314.10) (1,314.10)	0.00 0.00
	20		06/21/07		286.00	518.80 518.80	(232.80) (232.80)	0.00 0.00
125000790	97	PFIZER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/15/05	07/10/09	1,378.12	2,472.56 2,472.56	(1,094.44) (1,094.44)	0.00 0.00
125000800	42	RAYTHEON COMPANY NEW	01/06/06	03/23/09	1,481.74	1,693.29 1,693.29	(211.55) (211.55)	0.00 0.00
125000810	13	RAYTHEON COMPANY NEW	01/06/06	03/24/09	460.71	524.12 524.12	(63.41) (63.41)	0.00 0.00
125000820	30	SECOM LTD ADR	02/02/07	01/23/09	2,456.19	3,015.00 3,015.00	(558.81) (558.81)	0.00 0.00
	5		06/21/07		409.36	475.00 475.00	(65.64) (65.64)	0.00 0.00
	5		11/02/07		409.36	511.25 511.25	(101.89) (101.89)	0.00 0.00
125000860	60	SOCIETE GENERALE SPON ADR	04/29/08	05/19/09	635.51	1,410.51 1,410.51	(775.00) (775.00)	0.00 0.00

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Ref: 00008872 00281799

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000960	109	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	09/14/06	05/05/09	\$ 1,210.92	\$ 1,023.71 \$ 1,023.71	\$ 187.21 \$ 187.21	\$ 0.00 \$ 0.00
125000970	113 105 46.895	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	09/14/06 08/09/07	05/15/09	1,140.84 473.01	1,062.27 1,062.27 455.41 455.41	78.57 78.57 17.60 17.60	0.00 0.00 0.00 0.00
125000980	102.85 22.15	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	08/09/07 08/17/07	05/18/09	1,086.01 233.88	998.81 998.81 210.07 210.07	87.20 87.20 23.81 23.81	0.00 0.00 0.00 0.00
125000990	.0499	TAIWAN SEMICONDUCTOR MFG CO LTD ADR CASH IN LIEU OF .04992 RECORD 07/17/09 PAY 08/14/09	Unavailable	08/14/09	.51			
125001000	20	TEVA PHARMACEUTICAL INDS LTD ADR	10/01/07	05/11/09	900.05	893.73 893.73	6.32 6.32	0.00 0.00
125001010	6	TEXAS INSTRUMENTS INC	01/06/06	02/19/09	91.19	207.69 207.69	(116.50) (116.50)	0.00 0.00
125001020	150 255	TIME WARNER INC	01/06/06 02/02/07	02/19/09	1,153.46 1,960.89	2,653.50 2,653.50 5,555.76 5,555.76	(1,500.04) (1,500.04) (3,594.87) (3,594.87)	0.00 0.00 0.00 0.00
125001030	.0272 .0136 .1292 .0884 .0749	TIME WARNER INC REVSPLT 03/27/09 887317105000	02/02/07 06/21/07 09/13/07 11/02/07 03/12/08	03/27/09	.60 .30 2.83 1.94 1.64	1.34 1.34 .66 .66 5.52 5.52 3.60 3.60 2.51 2.51	(.74) (.74) (.36) (.36) (2.69) (2.69) (1.66) (1.66) (.87) (.87)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00
125001040	13.3061 6.6531 7 0408	TIME WARNER INC	02/02/07 06/21/07 09/13/07	04/17/09	309.37 154.69 163.70	654.17 654.17 323.38 323.38 300.99 300.99	(344.80) (344.80) (168.69) (168.69) (137.29) (137.29)	0.00 0.00 0.00 0.00 0.00 0.00



Ref. 00008872 00281800

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001050	56 1633 12 8367	TIME WARNER INC	09/13/07 11/02/07	04/23/09	\$ 1,226.92 280.43	\$ 2,400.98 \$ 2,400.98 522.55 522.55	(\$ 1,174.06) (\$ 1,174.06) (242.12) (242.12)	\$ 0.00 \$ 0.00 0.00 0.00
125001060	30.4082 36 5918	TIME WARNER INC	11/02/07 03/12/08	04/24/09	662.66 797.41	1,237.84 1,237.84 1,227.56 1,227.56	(575.18) (575.18) (430.15) (430.15)	0.00 0.00 0.00 0.00
125001070	0815 .0407 .3871 2648 2242	TIME WARNER CABLE INC CASH IN LIEU OF .99830 RECORD 03/12/09 PAY 03/27/09 FROM: 887317105000 (SPINOFF)	02/02/07 06/21/07 09/13/07 11/02/07 03/12/08	03/30/09	2.23 1.11 10.59 7.25 6.14	5.26 5.26 2.60 2.60 21.72 21.72 14.15 14.15 9.87 9.87	(3.03) (3.03) (1.49) (1.49) (11.13) (11.13) (6.90) (6.90) (3.73) (3.73)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00
125001080	3.2653 1 6327 11.102	TIME WARNER CABLE INC	02/02/07 06/21/07 09/13/07	04/17/09	95.02 47.51 323.05	210.72 210.72 104.16 104.16 622.99 622.99	(115.70) (115.70) (56.65) (56.65) (299.94) (299.94)	0.00 0.00 0.00 0.00 0.00 0.00
125001090	4.4082 10 6122 8 9796	TIME WARNER CABLE INC	09/13/07 11/02/07 03/12/08	04/23/09	118.72 285.80 241.84	247.37 247.37 567.06 567.06 395.42 395.42	(128.65) (128.65) (281.26) (281.26) (153.58) (153.58)	0.00 0.00 0.00 0.00 0.00 0.00
125001110	6,000	UNITED MEXICAN STATES -USD BK/ENTRY DTD 3/3/03 DUE 03/03/2015 RATE 6.625 YTM 4.875	06/15/07	05/07/09	6,525.00	6,292.80 6,232.14	232.20 292.86	0.00 292.86
125001120	45	UNITED OVERSEAS BANK LTD SPONS ADR	02/02/07	03/05/09	516.82	1,174.50 1,174.50	(657.68) (657.68)	0.00 0.00

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00008872 00281801

ALLAN B. COPLEY CHAR TRUST
Account Number 221-80648-10 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001130	85	UNITED OVERSEAS BANK LTD SPONS ADR	02/02/07	03/10/09	\$ 903.25	\$ 2,218.50 \$ 2,218.50 582.00 582.00	(\$ 1,315.25) (\$ 1,315.25) (369.47) (369.47)	\$ 0.00 \$ 0.00 0.00 0.00
125001140	3,000	U S TREASURY NOTES SER M-2009 DTD 09/15/2004	08/21/07	02/25/09	3,046.18	2,957.34 2,957.34	88.84 88.84	30.96 57.88
125001150	2,000	DUE 09/15/2009 RATE 3.375 YIELD .553MTY	11/07/07		2,030.78	1,991.48 1,991.48	39.30 39.30	5.92 33.38
125001160	3,000	WAL-MART STORES INC NOTES BK/ENTRY	09/28/07	03/12/09	3,063.66	3,115.44 3,025.29	(51.78) 38.37	0.00 38.37
125001170	3,000	DTD 08/10/1999 DUE 08/10/2009 RATE 6.875	11/07/07		3,063.66	3,123.69 3,028.80	(60.03) 34.86	0.00 34.86
125001180	8	WAL-MART STORES INC	09/08/05	05/28/09	394.58	365.72 365.72	28.86 28.86	0.00 0.00
125001190	12	WAL-MART STORES INC	09/08/05	05/29/09	592.03	548.58 548.58	43.45 43.45	0.00 0.00
125001200	10	WAL-MART STORES INC Morgan Stanley Sml	09/08/05	06/15/09	485.02	457.15 457.15	27.87 27.87	0.00 0.00
125001210	3	th Barney LLC acted as your agent in this transaction	09/09/05		145.51	137.87 137.87	7.64 7.64	0.00 0.00
125001220	7	WAL-MART STORES INC Morgan Stanley Sml	09/09/05	06/16/09	339.57	321.70 321.70	17.87 17.87	0.00 0.00
125001230	60	WYETH	02/14/05	03/23/09	2,550.93	2,370.75 2,370.75	180.18 180.18	0.00 0.00
125001240	35		02/26/07		1,488.04	1,761.60 1,761.60	(273.56) (273.56)	0.00 0.00
125001250	17		09/21/07		722.77	769.43 769.43	(46.66) (46.66)	0.00 0.00
125001260	8	WYETH	09/21/07	03/24/09	340.31	362.09 362.09	(21.78) (21.78)	0.00 0.00
125001270	10		11/02/07		425.39	472.40 472.40	(47.01) (47.01)	0.00 0.00
Total					\$ 166,279.13	\$ 207,668.27 \$ 206,986.05	(\$ 41,391.77) (\$ 40,709.55)	\$ 428.21 \$ 1,996.38



Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000010	85	DAIMLER AG Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/23/09	08/19/09	\$ 3,749.35	\$ 3,025.65 \$ 3,025.65	\$ 723.70 \$ 723.70	\$ 0.00 \$ 0.00
125000020	20	ALLIED WORLD ASSURANCE CO HLDGS LTD Morgan Stanley Sml th Barney LLC acted as yo	04/20/09	08/19/09	891.01	756.67 756.67	134.34 134.34	0.00 0.00
125000030	84	AMDOCS LTD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/29/08	08/19/09	2,002.77	1,770.69 1,770.89	232.08 232.08	0.00 0.00
	26		10/30/08		619.91	560.64 560.64	59.27 59.27	0.00 0.00
125000040	10	ACCENTURE LTD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	362.45	364.70 364.70	(2.25) (2.25)	0.00 0.00
125000070	210	WEATHERFORD INTERNATIONAL LTD -CHF Morgan Stanley Sml th Barney LLC acted as yo	10/17/08	08/19/09	4,097.12	3,169.13 3,169.13	927.99 927.99	0.00 0.00
	127		04/01/09		2,477.79	1,454.70 1,454.70	1,023.09 1,023.09	0.00 0.00
125000080	3	TRANSOCEAN LTD SWITZERLAND NEW Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	229.37	223.41 223.41	5.96 5.96	0.00 0.00
125000090	14	TRANSOCEAN LTD SWITZERLAND NEW Morgan Stanley Smith Barney LLC acted as your agent	08/19/09	10/13/09	1,232.14	1,042.58 1,042.58	189.56 189.56	0.00 0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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Ref: 00000189 00002997

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000120	69	UBS AG (NEW) Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/09/08	08/19/09	\$ 1,066.22	\$ 1,139.62 \$ 1,139.62	(\$ 73.40) (\$ 73.40)	\$ 0.00 \$ 0.00
	45		03/24/09		695.36	526.95	168.41	0.00
	65		04/15/09		1,004.41	526.95 725.31	168.41 279.10	0.00 0.00
125000130	15	ASML HLDG NV NEW YORK REG Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	399.57	388.90 388.90	10.67 10.67	0.00 0.00
125000140	35	ASML HLDG NV NEW YORK REG MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	10/20/09	1,030.75	907.43 907.43	123.32 123.32	0.00 0.00
125000150	1	QIAGEN-EUR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	21.26	21.01 21.01	.25 .25	0.00 0.00
125000160	10	VERIGY LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/08/09	08/19/09	123.00	115.80 115.80	7.20 7.20	0.00 0.00
	21		06/09/09		258.31	245.72	12.59	0.00
	14		06/10/09		172.20	245.72 163.26	12.59 8.94	0.00 0.00
125000170	.7272	AOL INC CASH IN LIEU OF 72727 RECORD 11/27/09 PAY 12/09/09 FROM: 887317303000 (MERGER)	08/19/09	12/10/09	16.81	13.31 13.31	3.50 3.50	0.00 0.00
125000180	3	AOL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/29/09	71.53	54.89 54.89	16.64 16.64	0.00 0.00
125000190	15	AT&T INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/27/09	08/19/09	380.25	380.85 380.85	(.60) (.60)	0.00 0.00
	84		06/12/09		2,129.40	2,090.44 2,090.44	38.96 38.96	0.00 0.00
						2,090.44	38.96	0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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Ref: 00000189 00002998

KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000200	22	AT&T INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/12/09	08/21/09	\$ 568.90	\$ 547.50 \$ 547.50	\$ 21.40 \$ 21.40	\$ 0.00 \$ 0.00
125000220	14	ABBOTT LABORATORIES Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/24/09	08/21/09	640.56	603.88 603.88	36.68 36.68	0.00 0.00
125000230	25	ADOBE SYSTEMS INC (DE) Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	807.88	789.74 789.74	18.14 18.14	0.00 0.00
125000240	30	AGRIUM INC USD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09	08/19/09	1,431.30	1,448.28 1,448.28	(16.98) (16.98)	0.00 0.00
	20		05/22/09		954.20	1,015.40 1,015.40	(61.20) (61.20)	0.00 0.00
125000250	145	AKAMAI TECHNOLOGIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/30/09	08/19/09	2,594.09	2,394.53 2,394.53	199.56 199.56	0.00 0.00
	35		07/30/09		626.16	577.99 577.99	48.17 48.17	0.00 0.00
125000260	50	AKZO NOBEL N.V.ADR-EUR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/11/09	08/19/09	2,761.50	2,198.66 2,198.66	562.84 562.84	0.00 0.00
125000270	11	ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	314.61	301.07 301.07	13.54 13.54	0.00 0.00
125000300	3	AMAZON COM INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/16/08	09/02/09	236.41	137.56 137.56	98.85 98.85	0.00 0.00
125000310	6	AMERICAN ELECTRIC POWER CO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	189.35	183.60 183.60	5.75 5.75	0.00 0.00

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000320	52	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	10/21/09	\$ 1,618.08	\$ 1,591.20 \$ 1,591.20	\$ 26.88 \$ 26.88	\$ 0.00 \$ 0.00
125000350	12	AMERICAN TOWER CORP-CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	396.01	387.43 387.43	8.58 8.58	0.00 0.00
125000360	37	AMERICAN TOWER CORP-CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	09/29/09	1,348.99	1,194.58 1,194.58	154.41 154.41	0.00 0.00
125000410	175	ANGLO AMERICAN PLC ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/17/09	08/19/09	2,654.75	1,394.84 1,394.84	1,259.91 1,259.91	0.00 0.00
125000430	35	th Barney LLC acted as yo ur agent in this transa	05/26/09		530.95	437.23 437.23	93.72 93.72	0.00 0.00
125000440	2	APACHE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	177.08	173.76 173.76	3.32 3.32	0.00 0.00
125000450	2	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/17/09	199.56	173.76 173.76	25.80 25.80	0.00 0.00
125000460	8	APPLE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	1,340.03	1,312.40 1,312.40	27.63 27.63	0.00 0.00
125000470	150	APPLIED MATERIALS INC DELAWARE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/22/08	08/19/09	1,992.30	1,499.18 1,499.18	493.12 493.12	0.00 0.00
125000480	4	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	52.40	48.80 48.80	3.60 3.60	0.00 0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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Ref: 00000189 00003000

KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000480	38	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD MorganStanley SmithBarney LLC acted as your agent	08/19/09	12/21/09	\$ 534.69	\$ 463.60 \$ 463.80	\$ 71.09 \$ 71.09	\$ 0.00 \$ 0.00
125000490	40	AUTODESK INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/14/09	08/19/09	963.62	710.83 710.83	252.79 252.79	0.00 0.00
125000510	65	AXA S.A.SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/10/09	08/19/09	1,443.96	1,469.37 1,469.37	(25.41) (25.41)	0.00 0.00
125000520	40	BASF SE COMMON STOCK Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/19/09	1,968.00	1,580.34 1,580.34	387.66 387.66	0.00 0.00
	50		05/27/09		2,460.00	2,110.47 2,110.47	349.53 349.53	0.00 0.00
125000530	11	BG GROUP PLC SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/05/09	08/19/09	937.31	731.28 731.28	206.03 206.03	0.00 0.00
125000560	75	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/09	08/19/09	2,918.25	1,495.09 1,495.09	1,423.16 1,423.16	0.00 0.00
	15		04/16/09		583.65	382.10 382.10	201.55 201.55	0.00 0.00
	35		05/11/09		1,361.85	1,107.32 1,107.32	254.53 254.53	0.00 0.00
125000570	60	BAKER HUGHES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/18/08	08/19/09	2,220.72	3,874.60 3,874.60	(1,653.88) (1,653.88)	0.00 0.00
	22		11/05/08		814.26	761.70 761.70	52.56 52.56	0.00 0.00
125000580	4	BAKER HUGHES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/05/08	08/21/09	154.41	138.49 138.49	15.92 15.92	0.00 0.00

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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Ref: 00000189 00003001

KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000590	105	BANCO SANTANDER S.A. Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	08/19/09	\$ 1,502.92	\$ 1,021.36 \$ 1,021.36	\$ 481.56 \$ 481.56	\$ 0.00 \$ 0.00
	165		05/26/09		2,361.73	1,676.02	685.71	0.00
	55		07/27/09		787.24	1,676.02	685.71	0.00
	75		07/31/09		1,073.51	778.74	8.50	0.00
						778.74	8.50	0.00
						1,080.83	(7.32)	0.00
125000600	31	BANK OF AMERICA CORP Morgan Stanley Sml	10/16/08	08/19/09	519.62	694.33	(174.71)	0.00
	54	th Barney LLC acted as yo ur agent in this transa	10/17/08		905.15	694.33	(174.71)	0.00
	167		12/08/08		2,799.25	1,285.83	(380.68)	0.00
	98		12/09/08		1,642.68	1,285.83	(380.68)	0.00
	70		01/07/09		1,173.34	2,837.06	(37.81)	0.00
						2,837.06	(37.81)	0.00
						1,665.79	(23.11)	0.00
						1,665.79	(23.11)	0.00
						979.73	193.61	0.00
						979.73	193.61	0.00
125000610	14	BANK OF AMERICA CORP Morgan Stanley Sml	01/07/09	08/21/09	244.71	195.95	48.76	0.00
		th Barney LLC acted as yo ur agent in this transa				195.95	48.76	0.00
125000620	3	BANK OF NOVA SCOTIA EURO#19279 Morgan Stanley Sml	08/19/09	08/21/09	125.06	121.38	3.68	0.00
		th Barney LLC acted as yo				121.38	3.68	0.00
125000640	10	BARRICK GOLD CORP CAD Morgan Stanley Sml	09/10/08	08/19/09	337.30	270.37	66.93	0.00
	45	th Barney LLC acted as yo ur agent in this transa	03/09/09		1,517.85	270.37	66.93	0.00
						1,240.11	277.74	0.00
						1,240.11	277.74	0.00
125000650	8	BAXTER INTL INC Morgan Stanley Sml	08/19/09	08/21/09	448.26	448.55	(.29)	0.00
		th Barney LLC acted as yo ur agent in this transa				448.55	(.29)	0.00
125000660	3	BECTON DICKINSON & CO Morgan Stanley Sml	08/19/09	08/21/09	205.49	196.50	8.99	0.00
		th Barney LLC acted as yo ur agent in this transa				196.50	8.99	0.00

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Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000680	5	BERKSHIRE HATHAWAY INC CL B Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/09	08/19/09	\$ 16,367.60	\$ 11,538.89 \$ 11,538.89	\$ 4,828.71 \$ 4,828.71	\$ 0.00 \$ 0.00
125000690	8	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	297.99	290.14 290.14	7.85 7.85	0.00 0.00
125000700	10	BHP BILLITON LTD SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	03/25/09	08/21/09	632.01	456.13 456.13	175.88 175.88	0.00 0.00
125000710	15	BHP BILLITON LTD SPONS ADR Morgan Stanley SmithBarney LLC acted as your agent	08/19/09	10/28/09	1,000.57	922.64 922.84	77.93 77.93	0.00 0.00
125000730	8	BLACKROCK INC Morgan Stanley Sml	03/20/09	08/19/09	1,570.56	914.72 914.72	655.84 655.84	0.00 0.00
	7	th Barney LLC acted as yo ur agent in this transa	03/30/09		1,374.24	882.30 882.30	491.94 491.94	0.00 0.00
	7		04/08/09		1,374.24	899.67 899.67	474.57 474.57	0.00 0.00
125000760	9	BRISTOL MYERS SQUIBB CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	202.58	199.62 199.62	2.96 2.96	0.00 0.00
125000800	75	CVS CAREMARK CORP Morgan Stanley Sml	10/24/08	08/19/09	2,573.56	2,101.22 2,101.22	472.34 472.34	0.00 0.00
	6	th Barney LLC acted as yo ur agent in this transa	11/06/08		205.89	179.80 179.80	26.09 26.09	0.00 0.00
125000810	19	CVS CAREMARK CORP Morgan Stanley Sml	11/06/08	08/21/09	668.97	569.35 569.35	99.62 99.62	0.00 0.00
	6	th Barney LLC acted as yo ur agent in this transa	01/09/09		211.25	157.16 157.16	54.09 54.09	0.00 0.00
125000820	35	CVS CAREMARK CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction	01/09/09	11/12/09	1,053.82	916.75 916.75	137.07 137.07	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000840	3	CAMECO CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 86.76	\$ 85.02 \$ 85.02	\$ 1.74 \$ 1.74	\$ 0.00 \$ 0.00
125000850	9	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	279.13	276.12 276.12	3.01 3.01	0.00 0.00
125000860	13	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/16/09	415.74	398.84 398.84	16.90 16.90	0.00 0.00
125000870	27	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	10/12/09	879.55	828.36 828.36	51.19 51.19	0.00 0.00
125000880	8	CANADIAN NATURAL RES LTD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/11/09	08/21/09	477.10	424.36 424.36	52.74 52.74	0.00 0.00
125000890	20	CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Sml th Barney LLC acted as yo	03/24/09	08/19/09	586.61	468.05 468.05	118.56 118.56	0.00 0.00
	34		04/17/09		997.23	924.47	72.76	0.00
	26		04/20/09		762.59	663.47	99.12	0.00
	100		06/22/09		2,933.02	663.47 2,497.67 2,497.67	99.12 435.35 435.35	0.00 0.00 0.00
125000900	15	CATERPILLAR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	694.78	677.08 677.08	17.70 17.70	0.00 0.00
125000910	6	CATERPILLAR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/04/09	273.95	270.83 270.83	3.12 3.12	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000920	3	CATERPILLAR INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	11/20/09	\$ 173.15	\$ 135.42 \$ 135.42	\$ 37.73 \$ 37.73	\$ 0.00 \$ 0.00
125000930	26	CELGENE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/02/08	08/19/09	1,376.44	1,287.19 1,287.19	89.25 89.25	0.00 0.00
	24	th Barney LLC acted as your agent in this transaction	12/03/08		1,270.56	1,228.75 1,228.75	41.81 41.81	0.00 0.00
	25		01/30/09		1,323.50	1,330.83 1,330.83	(7.33) (7.33)	0.00 0.00
	9		05/28/09		476.46	1,330.83 374.54	(7.33) 101.92	0.00 0.00
125000940	4	CELGENE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	05/28/09	08/21/09	211.96	166.46 166.46	45.50 45.50	0.00 0.00
125000950	12	CELGENE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	05/28/09	09/17/09	636.77	499.39 499.39	137.38 137.38	0.00 0.00
125000980	8	CHEVRON CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	08/21/09	556.86	544.94 544.94	11.92 11.92	0.00 0.00
125001030	10	CITRIX SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/12/08	08/19/09	347.62	289.88 289.88	57.74 57.74	0.00 0.00
	10	th Barney LLC acted as your agent in this transaction	09/15/08		347.62	280.73 280.73	66.89 66.89	0.00 0.00
	5		12/15/08		173.81	114.08 114.08	59.73 59.73	0.00 0.00
	12		12/18/08		417.14	275.44 275.44	141.70 141.70	0.00 0.00
	13		01/21/09		451.91	295.72 295.72	156.19 156.19	0.00 0.00
125001040	3	CLOROX COMPANY DE Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	08/21/09	174.93	173.04 173.04	1.89 1.89	0.00 0.00

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Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001070	2	COLGATE PALMOLIVE CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 145.53	\$ 144.00 \$ 144.00	\$ 1.53 \$ 1.53	\$ 0.00 \$ 0.00
125001090	15	COMERICA INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/19/09	399.64	321.79 321.79	77.85 77.85	0.00 0.00
125001100	9	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	396.25	387.45 387.45	8.80 8.80	0.00 0.00
125001110	2	CONSOL ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	80.60	77.36 77.36	3.24 3.24	0.00 0.00
125001120	50	CREDIT SUISSE GROUP ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/09/08	08/19/09	2,457.50	1,931.13 1,931.13	526.37 526.37	0.00 0.00
125001130	65	CREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/09	08/19/09	2,264.70	1,133.28 1,133.28	1,131.42 1,131.42	0.00 0.00
125001140	16	CUMMINS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	741.58	711.03 711.03	30.55 30.55	0.00 0.00
125001150	13	CUMMINS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/22/09	591.27	577.72 577.72	13.55 13.55	0.00 0.00
125001160	5	DANAHER CORP DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	310.27	300.30 300.30	9.97 9.97	0.00 0.00

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001170	1	DEERE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 45.02	\$ 43.55 \$ 43.55	\$ 1.47 \$ 1.47	\$ 0.00 \$ 0.00
125001180	25	DENSO CORP ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/05/08	08/19/09	3,050.00	2,492.64 2,492.84	557.36 557.36	0.00 0.00
	10		03/10/09		1,220.00	735.00	485.00	0.00
	20		07/09/09		2,440.00	735.00	485.00	0.00
						2,063.27	376.73	0.00
						2,063.27	376.73	0.00
125001190	130	DEUTSCHE BOERSE AG UNSPON ADR	11/05/08	08/19/09	988.00	1,106.40 1,108.40	(118.40) (118.40)	0.00 0.00
	120	Morgan Stanley Sml th Barney LLC acted as yo	03/17/09		912.00	617.71	294.29	0.00
	165		05/11/09		1,254.00	617.71	294.29	0.00
						1,269.86	(15.86)	0.00
						1,269.86	(15.86)	0.00
125001200	10	DEVON ENERGY CORP NEW Morgan Stanley Sml	07/17/09	08/19/09	628.02	556.50	71.52	0.00
	65	th Barney LLC acted as yo ur agent in this transa	08/11/09		4,082.13	556.50	71.52	0.00
						4,176.20	(94.07)	0.00
						4,176.20	(94.07)	0.00
125001210	2	DIAGEO PLC SPON ADR-NEW Morgan Stanley Sml	08/19/09	08/21/09	127.45	124.83	2.62	0.00
		th Barney LLC acted as yo ur agent in this transa				124.83	2.62	0.00
125001220	1	DIAMOND OFFSHORE DRILLING INC Morgan Stanley Sml	08/19/09	08/21/09	89.44	87.13	2.31	0.00
		th Barney LLC acted as yo ur agent in this transa				87.13	2.31	0.00
125001240	6	DOLBY LABORATORIES INC CLASS A	10/16/08	08/19/09	239.04	170.81	68.23	0.00
	16	Morgan Stanley Sml th Barney LLC acted as yo	10/27/08		637.44	170.81	68.23	0.00
	13		10/28/08		517.92	487.31	150.13	0.00
	24		10/29/08		956.16	487.31	150.13	0.00
	5		10/30/08		199.20	379.90	138.02	0.00
						713.75	242.41	0.00
						713.75	242.41	0.00
						153.13	46.07	0.00
						153.13	46.07	0.00

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	1		10/31/08		\$ 39.84	\$ 31.86	\$ 7.98	\$ 0.00
	5		01/09/09		199.20	157.94	41.26	0.00
						157.94	41.26	0.00
125001250	6	DOMINION RESOURCES INC VA NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	202.86	199.79	3.07	0.00
						199.79	3.07	0.00
125001300	41	EMC CORP-MASS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	621.88	618.20	3.68	0.00
						618.20	3.68	0.00
125001310	2	EQT CORPORATION INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	82.94	80.40	2.54	0.00
						80.40	2.54	0.00
125001320	21	EAST WEST BANCORP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/09/08	08/19/09	208.74	251.96	(43.22)	0.00
						251.96	(43.22)	0.00
	3		10/10/08		29.82	35.99	(6.17)	0.00
						35.99	(6.17)	0.00
125001340	122	ELECTRONIC ARTS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/21/08	08/19/09	2,330.25	2,155.08	175.17	0.00
						2,155.08	175.17	0.00
	48		02/11/09		916.82	814.96	101.86	0.00
						814.96	101.86	0.00
125001370	4	ENBRIDGE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	150.81	150.68	.13	0.00
						150.68	.13	0.00
125001380	3	ENTERGY CORPORATION-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	244.26	239.58	4.68	0.00
						239.58	4.68	0.00
125001390	130	ERICSSON L M TEL CO CL B ADR NEW -USD- Morgan Stanley Smi th Barney LLC acted as yo	09/17/08	08/19/09	1,198.86	1,276.76	(77.90)	0.00
						1,276.76	(77.90)	0.00

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Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001400	1	ERSTE GROUP BANK AG SPON ADR AUSTRIA Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	\$ 20.56	\$ 19.10 \$ 19.10	\$ 1.46 \$ 1.46	\$ 0.00 \$ 0.00
125001410	3	EXELON CORP Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	152.77	147.63 147.83	5.14 5.14	0.00 0.00
125001430	220	EXPERIAN GROUP LTD ADR Morgan Stanley Sml th Barney LLC acted as yo	10/09/08	08/19/09	1,885.38	1,167.54 1,167.54	717.84 717.84	0.00 0.00
	270	th Barney LLC acted as yo ur agent in this transa	03/05/09		2,313.87	1,479.79 1,479.79	834.08 834.08	0.00 0.00
125001460	10	FPL GROUP INC Morgan Stanley Sml th Barney LLC acted as yo	10/02/08	08/19/09	569.40	491.80 491.80	77.60 77.60	0.00 0.00
	20	th Barney LLC acted as yo ur agent in this transa	10/13/08		1,138.80	838.31 838.31	300.49 300.49	0.00 0.00
	2		10/16/08		113.88	74.92 74.92	38.96 38.96	0.00 0.00
125001470	3	FPL GROUP INC Morgan Stanley Sml th Barney LLC acted as yo	10/16/08	08/21/09	173.15	112.39 112.39	60.76 60.76	0.00 0.00
125001490	5,000	FEDERAL HOME LOAN MTG CORP GLOBAL DEBS-BK/ENTRY DTD 03/15/2001 DUE 03/15/2011 RATE 5.625	08/25/09	09/17/09	5,354.05	5,358.63 5,344.30	(4.58) 9.75	0.00 9.75
125001510	9	FIRST SOLAR INC Morgan Stanley Sml th Barney LLC acted as yo	12/02/08	08/19/09	1,172.97	1,025.95 1,025.95	147.02 147.02	0.00 0.00
	6	th Barney LLC acted as yo ur agent in this transa	12/03/08		781.98	742.46 742.46	39.52 39.52	0.00 0.00
	10		02/25/09		1,303.30	1,097.74 1,097.74	205.56 205.56	0.00 0.00
125001520	2	FIRSTENERGY CORP Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	90.26	87.68 87.68	2.58 2.58	0.00 0.00
		ur agent in this transa						

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001530	25	FLUOR CORP NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/19/08	08/19/09	\$ 1,327.70	\$ 830.98	\$ 496.72	\$ 0.00
	40		11/20/08		2,124.31	\$ 830.98	\$ 496.72	\$ 0.00
	10		01/07/09		531.08	1,218.78	905.53	0.00
	50		01/20/09		2,655.39	480.78	50.30	0.00
						480.78	50.30	0.00
						2,095.38	560.01	0.00
						2,095.38	560.01	0.00
125001560	580	FOSTERS GROUP LTD NEW SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo	10/09/08	08/19/09	2,563.60	2,344.30	219.30	0.00
	160		01/14/09		707.20	2,344.30	219.30	0.00
	310		03/10/09		1,370.20	569.60	137.60	0.00
						569.60	137.60	0.00
						1,066.37	303.83	0.00
						1,066.37	303.83	0.00
125001580	12	FRANKLIN RESOURCES INC Morgan Stanley Smi th Barney LLC acted as yo	10/14/08	08/19/09	1,101.38	863.80	237.58	0.00
	10		10/16/08		917.82	863.80	237.58	0.00
	12		10/31/08		1,101.39	613.63	304.19	0.00
						812.61	288.78	0.00
						812.61	288.78	0.00
125001590	52	FREEPORT MCMORAN COPPER & GOLD CL B Morgan Stanley Smi th Barney LLC acted as yo	01/20/09	08/19/09	3,200.60	1,232.82	1,967.78	0.00
						1,232.82	1,967.78	0.00
125001600	12	FREEPORT MCMORAN COPPER & GOLD CL B Morgan Stanley Smi th Barney LLC acted as yo	01/20/09	08/21/09	767.98	284.49	483.49	0.00
						284.49	483.49	0.00
125001620	3	GENERAL DYNAMICS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/20/09	167.48	166.38	1.10	0.00
						166.38	1.10	0.00
125001630	3	GENERAL DYNAMICS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	175.22	166.38	8.84	0.00
						166.38	8.84	0.00

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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001690	19	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 879.45	\$ 858.22 \$ 858.22	\$ 21.23 \$ 21.23	\$ 0.00 \$ 0.00
125001690	8	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/24/09	366.89	361.36 361.36	5.53 5.53	0.00 0.00
125001700	7	GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/17/09	301.29	316.19 316.19	(14.90) (14.90)	0.00 0.00
125001710	17	GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/31/09	737.54	767.88 767.88	(30.34) (30.34)	0.00 0.00
125001720	45	GOLD FIELDS LTD SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/10/08	08/19/09	529.83	408.78 408.78	121.05 121.05	0.00 0.00
125001730	4	GOLDMAN SACHS GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	659.24	635.44 635.44	23.80 23.80	0.00 0.00
125001740	9	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/02/09	1,547.11	1,429.74 1,429.74	117.37 117.37	0.00 0.00
125001760	3	GOOGLE INC CLASS A	09/22/08	08/19/09	1,326.15	1,326.29 1,326.29	(.14) (.14)	0.00 0.00
	3	Morgan Stanley Smi th Barney LLC acted as yo	09/23/08		1,326.15	1,308.39 1,308.39	17.76 17.76	0.00 0.00
	2		10/24/08		884.10	689.67 689.67	194.43 194.43	0.00 0.00
125001770	1	GOOGLE INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo	10/24/08	08/21/09	463.46	344.84 344.84	118.62 118.62	0.00 0.00

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MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001790	160	GUOCO GROUP LTD UNSPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/09	08/19/09	\$ 3,120.00	\$ 1,960.94 \$ 1,960.94	\$ 1,159.06 \$ 1,159.06	\$ 0.00 \$ 0.00
125001800	45	HSBC HLDG PLC SP ADR NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/18/08	08/19/09	2,411.28	3,246.02 3,246.02	(834.74) (834.74)	0.00 0.00
125001810	57	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09		3,054.29	1,854.31 1,854.31	1,199.98 1,199.98	0.00 0.00
125001820	5	HALLIBURTON CO HOLDINGS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/05/08	08/19/09	1,105.57	915.91 915.91	189.66 189.66	0.00 0.00
125001830	15	H J HEINZ CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/19/09	08/19/09	567.75	496.97 496.97	70.78 70.78	0.00 0.00
125001840	27	HESS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/24/09	08/19/09	1,373.49	1,429.29 1,429.29	(55.80) (55.80)	0.00 0.00
125001850	13	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/25/09		661.31	702.84 702.84	(41.53) (41.53)	0.00 0.00
	5		07/20/09		254.35	258.26 258.26	(3.91) (3.91)	0.00 0.00
	40		08/05/09		2,034.80	2,206.47 2,206.47	(171.67) (171.67)	0.00 0.00
125001870	28	HEWLETT PACKARD CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	1,231.61	1,221.57 1,221.57	10.04 10.04	0.00 0.00
125001880	90	HOME RETAIL GROUP-GBP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/24/09	08/19/09	1,777.50	1,511.89 1,511.89	265.61 265.61	0.00 0.00
	30		07/21/09		592.50	585.81 585.81	6.69 6.69	0.00 0.00
	45		07/28/09		888.75	902.74 902.74	(13.99) (13.99)	0.00 0.00

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Reserved Client Statement 2009 Year End Summary

Morgan Stanley
Smith Barney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001880	25	HONEYWELL INTL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/05/08	08/19/09	\$ 873.04	\$ 794.97 \$ 794.97	\$ 78.07 \$ 78.07	\$ 0.00 \$ 0.00
125001890	23	HONEYWELL INTL INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/15/09	12/14/09	947.54	915.92 915.92	31.62 31.62	0.00 0.00
125001900	9	HUANENG POWER INTL SP ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/15/08	08/19/09	261.45	233.54 233.54	27.91 27.91	0.00 0.00
125001910	29	HUTCHISON WHAMPOA LTD-ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	11/23/09	1,008.93	1,046.90 1,046.90	(37.97) (37.97)	0.00 0.00
125001920	23	HUTCHISON WHAMPOA LTD-ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	12/11/09	762.89	830.30 830.30	(67.41) (67.41)	0.00 0.00
125001980	5	INTERNATIONAL PAPER CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	105.52	37.47 37.47	(4.30) (4.30)	0.00 0.00
125001990	25	INTERNATIONAL PAPER CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	10/29/09	571.39	501.75 501.75	69.64 69.64	0.00 0.00
125002000	.2857 .0143	ITAU UNIBANCO BANCO HLDG S A ADR CASH IN LIEU OF .30000 RECORD 09/02/09 PAY 09/03/09	08/19/09 08/21/09	09/03/09	4.96 .25	4.78 24 .24	.18 .18 .01 .01	0.00 0.00 0.00 0.00
125002040	10 10	JACOBS ENGINEERING GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/23/08 01/13/09	08/19/09	447.80 447.80	434.45 434.45 476.46 476.46	13.35 13.35 (28.66) (28.66)	0.00 0.00 0.00 0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002080	15	JOHNSON CONTROLS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 383.84	\$ 379.16 \$ 379.16	\$ 4.68 \$ 4.68	\$ 0.00 \$ 0.00
125002090	30	JONES LANG LASALLE INC Morgan Stanley Sml	06/11/09	08/19/09	1,249.34	1,053.48 1,053.48	195.86 195.86	0.00 0.00
	5	th Barney LLC acted as yo ur agent in this transa	06/19/09		208.22	162.15 162.15	46.07 46.07	0.00 0.00
	35		07/01/09		1,457.56	1,162.15 1,162.15	295.41 295.41	0.00 0.00
125002100	80	JUNIPER NETWORKS INC Morgan Stanley Sml	10/23/08	08/19/09	1,896.16	1,415.13 1,415.13	481.03 481.03	0.00 0.00
	35	th Barney LLC acted as yo ur agent in this transa	10/24/08		829.57	589.13 589.13	240.44 240.44	0.00 0.00
	47		11/06/08		1,113.99	788.78 788.78	325.21 325.21	0.00 0.00
	38		11/21/08		900.68	535.14 535.14	365.54 365.54	0.00 0.00
	125		02/19/09		2,962.75	1,864.64 1,864.64	1,098.11 1,098.11	0.00 0.00
125002110	200	KEYCORP -NEW Morgan Stanley Sml	06/04/09	08/19/09	1,254.00	1,096.60 1,096.60	157.40 157.40	0.00 0.00
	175	th Barney LLC acted as yo ur agent in this transa	06/11/09		1,097.25	1,049.28 1,049.28	47.97 47.97	0.00 0.00
125002140	10	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/23/09	775.18	724.90 724.90	50.28 50.28	0.00 0.00
125002160	20	KUBOTA LTD ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	10/20/09	790.54	829.80 829.80	(39.26) (39.26)	0.00 0.00
125002170	115	LG DISPLAY CO LTD SPON ADR Morgan Stanley Sml	04/22/09	08/19/09	1,670.09	1,476.22 1,476.22	193.87 193.87	0.00 0.00
	85	th Barney LLC acted as yo ur agent in this transa	05/22/09		1,234.41	986.23 986.23	248.18 248.18	0.00 0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002180	1	L OREAL CO ADR -USD- Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 17.31	\$ 16.90 \$ 16.90	\$.41 \$.41	\$ 0.00 \$ 0.00
125002200	2	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR Morgan Stanley Sml th Barney LLC acted as yo	08/19/09	08/21/09	37.40	36.10 36.10	1.30 1.30	0.00 0.00
125002210	50	LASALLE HOTEL PPTYS SBI Morgan Stanley Sml	11/12/08	08/19/09	715.60	445.64 445.64	269.96 269.96	0.00 0.00
	12	th Barney LLC acted as yo ur agent in this transa	03/25/09		171.74	65.33 65.33	106.41 106.41	0.00 0.00
	18		03/30/09		257.62	98.24 98.24	159.38 159.38	0.00 0.00
125002220	135	LAWSON SOFTWARE INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/09/08	08/19/09	818.14	594.11 594.11	224.03 224.03	0.00 0.00
125002240	190	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A Morgan Stanley Sml th Barney LLC acted as yo	01/28/09	08/19/09	1,811.25	660.14 660.14	1,151.11 1,151.11	0.00 0.00
125002250	195	LIBERTY MEDIA HLDG CORP CAP SER A Morgan Stanley Sml th Barney LLC acted as yo	01/28/09	08/19/09	3,228.59	1,064.93 1,064.93	2,163.66 2,163.66	0.00 0.00
125002270	3	ELI LILLY & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/20/09	99.26	98.67 98.67	.59 .59	0.00 0.00
125002280	5	ELI LILLY & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	167.34	164.44 164.44	2.90 2.90	0.00 0.00
125002290	6	LOCKHEED MARTIN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	449.76	441.66 441.66	8.10 8.10	0.00 0.00

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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Ref: 00000189 00003015

KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002300	6	LOCKHEED MARTIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/24/09	\$ 447 61	\$ 441 66 \$ 441 68	\$ 5 95 \$ 5 95	\$ 0 00 \$ 0 00
125002310	9	LOCKHEED MARTIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/11/09	669 08	662 49 682 49	6 59 8 59	0 00 0 00
125002320	75	LONMIN PUB LTD CO SPON ADR NEW Morgan Stanley Smi th Barney LLC acted as yo	03/16/09	08/19/09	1,716 00	1,370 38 1,370 38	345 62 345 62	0 00 0 00
125002330	200	LONZA GROUP AG UNSPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/06/09	08/19/09	2,070 00	1,827 10 1,827 10	242 90 242 90	0 00 0 00
125002340	110	LONZA GROUP AG UNSPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/10/09	08/19/09	1,138 50	1,030 13 1,030 13	108 37 108 37	0 00 0 00
125002350	21	LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	433 84	423 54 423 54	10 30 10 30	0 00 0 00
125002360	18	LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	12/30/09	422 98	363 03 363 03	59 95 59 95	0 00 0 00
125002370	75	LUXOTTICA GROUP SPA SP ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/11/09	08/19/09	1,847 25	1,603 70 1,603 70	243 55 243 55	0 00 0 00
125002380	30	LUXOTTICA GROUP SPA SP ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/20/09	08/19/09	738 90	672 69 672 69	66 21 66 21	0 00 0 00
125002390	50	MAKITA CORP ADR -USD- FORMERLY MAKITA ELEC WKS LTD Morgan Stanley Smi th Barney LLC acted as yo	12/11/08	08/19/09	1,415 00	1,022 18 1,022 18	392 82 392 82	0 00 0 00
125002400	45	MAKITA CORP ADR -USD- FORMERLY MAKITA ELEC WKS LTD Morgan Stanley Smi th Barney LLC acted as yo	03/18/09	08/19/09	1,273 50	1,018 12 1,018 12	255 38 255 38	0 00 0 00
125002410	10	MARATHON OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	315 29	306 18 306 18	9 11 9 11	0 00 0 00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002390	25	MARRIOTT INTL INC NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/24/09	08/19/09	\$ 588.50	\$ 433.36 \$ 433.36	\$ 155.14 \$ 155.14	\$ 0.00 \$ 0.00
125002410	20	MCDERMOTT INTERNATIONAL INC Morgan Stanley Smi	08/22/08	08/19/09	470.84	679.58 679.58	(208.74) (208.74)	0.00 0.00
47		th Barney LLC acted as yo ur agent in this transa	08/28/08		1,106.47	1,644.96 1,644.96	(538.49) (538.49)	0.00 0.00
8			08/29/08		188.34	279.65 279.65	(91.31) (91.31)	0.00 0.00
35			09/02/08		823.97	1,102.16 1,102.16	(278.19) (278.19)	0.00 0.00
70			09/11/08		1,647.94	1,926.71 1,926.71	(278.77) (278.77)	0.00 0.00
95			11/05/08		2,236.49	1,587.22 1,587.22	649.27 649.27	0.00 0.00
125002420	3	MCDONALDS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	168.51	166.73 166.73	1.78 1.78	0.00 0.00
125002430	1	MCKESSON CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	56.18	54.75 54.75	1.43 1.43	0.00 0.00
125002440	4	MEADWESTVACO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	88.20	85.52 85.52	2.68 2.68	0.00 0.00
125002450	35	MERCK & CO INC Morgan Stanley Smi	04/29/09	08/19/09	1,103.29	838.85 838.85	264.44 264.44	0.00 0.00
30		th Barney LLC acted as yo ur agent in this transa	05/13/09		945.67	772.47 772.47	173.20 173.20	0.00 0.00
125002460	4	MERCK & CO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	08/21/09	129.21	103.00 103.00	26.21 26.21	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125002470	6	METLIFE INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 231.67	\$ 217.20	\$ 14.47	\$ 0.00
125002510	3	MONSANTO CO NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	251.25	244.68	6.57	0.00
125002520	10	MONSANTO CO NEW Morgan Stanley SmithBarney LLC acted as your agent in this transaction	08/19/09	10/30/09	675.21	815.60	(140.39)	0.00
125002530	7	MORGAN STANLEY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	207.79	200.76	7.03	0.00
125002540	30	MOTOROLA INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	223.29	218.70	4.59	0.00
125002550	34	MOTOROLA INC DE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/15/09	311.77	247.86	63.91	0.00
125002560	38	MOTOROLA INC DE Morgan Stanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/09/09	318.05	277.02	41.03	0.00
125002570	125	MTU AERO ENGINES HLDGS AG UNSPON ADR Morgan Stanley Sml th Barney LLC acted as yo	11/11/08	08/19/09	2,541.25	1,329.99	1,211.26	0.00
125002580	9	MURPHY OIL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/11/08	08/19/09	521.46	599.22	(77.76)	0.00

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Corrected Copy as of 03/05/10
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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002610	60	NESTLE SA SPONSORED ADR Morgan Stanley Smi	03/10/09	08/19/09	\$ 2,418.60	\$ 1,878.60	\$ 540.00	\$ 0.00
	27	th Barney LLC acted as your agent in this transaction	03/17/09		1,088.37	\$ 1,878.60	\$ 540.00	\$ 0.00
125002620	1	NESTLE SA SPONSORED ADR Morgan Stanley Smi	03/17/09	08/21/09	40.90	847.82	240.55	0.00
		th Barney LLC acted as your agent in this transaction				847.82	240.55	0.00
125002630	35	NETEASE COM INC ADR Morgan Stanley Smi	04/07/09	08/19/09	1,447.60	979.20	468.40	0.00
	10	th Barney LLC acted as your agent in this transaction	05/26/09		413.60	979.20	468.40	0.00
125002650	3	NIKE INC CL B Morgan Stanley Smi	08/19/09	08/21/09	166.05	324.56	89.04	0.00
		th Barney LLC acted as your agent in this transaction				324.56	89.04	0.00
125002660	3	NIKE INC CL B Morgan Stanley Smi	08/19/09	09/16/09	170.18	170.22	(.04)	0.00
		th Barney LLC acted as your agent in this transaction				170.22	(.04)	0.00
125002670	3	NIKE INC CL B Morgan Stanley SmithBarney LLC	08/19/09	12/17/09	189.74	170.22	19.52	0.00
		acted as your agent in this transaction				170.22	19.52	0.00
125002680	112	NOBEL BIOCAPRE HOLDING AS UNSPON ADR	11/05/08	08/19/09	1,618.40	1,089.55	528.85	0.00
	38	Morgan Stanley Smi	11/06/08		549.10	1,089.55	528.85	0.00
	57	th Barney LLC acted as your agent in this transaction	12/10/08		823.65	337.10	212.00	0.00
	23		12/11/08		332.35	458.57	365.08	0.00
						458.57	365.08	0.00
125002690	122	NOKIA CORP SPONSORED ADR Morgan Stanley Smi	04/20/09	08/19/09	1,502.06	184.46	147.89	0.00
		th Barney LLC acted as your agent in this transaction				184.46	147.89	0.00
						1,797.22	(295.16)	0.00
						1,797.22	(295.16)	0.00

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002700	8	NOKIA CORP SPONSORED ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/20/09	08/21/09	\$ 100.33	\$ 117.85 \$ 117.85	(\$ 17.52) (\$ 17.52)	\$ 0.00 \$ 0.00
125002710	410	NORSK HYDRO A S SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/28/09	08/19/09	2,386.20	2,345.61 2,345.61	40.59 40.59	0.00 0.00
125002720	4	NORTHERN TRUST CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	240.87	238.64 238.64	2.23 2.23	0.00 0.00
125002730	12	NORTHERN TRUST CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/17/09	705.35	715.92 715.92	(10.57) (10.57)	0.00 0.00
125002740	19	NORTHROP GRUMMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/25/09	08/19/09	908.30	817.00 817.00	91.30 91.30	0.00 0.00
	63	th Barney LLC acted as yo ur agent in this transa	07/02/09		3,011.71	2,832.35 2,832.35	179.36 179.36	0.00 0.00
125002750	2	NORTHROP GRUMMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/02/09	08/21/09	99.80	89.92 89.92	9.88 9.88	0.00 0.00
125002760	155	NOVARTIS AG ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/09	08/19/09	7,001.66	5,868.16 5,868.16	1,133.50 1,133.50	0.00 0.00
125002780	1	NOVO-NORDISK A S ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	61.11	59.94 59.94	1.17 1.17	0.00 0.00
125002790	15	NUCOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/17/08	08/19/09	688.35	669.83 669.83	18.52 18.52	0.00 0.00
	5	th Barney LLC acted as yo ur agent in this transa	12/30/08		229.45	227.74 227.74	1.71 1.71	0.00 0.00
	19	ur agent in this transa	02/17/09		871.91	775.23 775.23	96.68 96.68	0.00 0.00
						775.23 775.23	96.68 96.68	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002800	3	NUCOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/17/09	08/21/09	\$ 142.43	\$ 122.41 \$ 122.41	\$ 20.02 \$ 20.02	\$ 0.00 \$ 0.00
125002810	110	NVIDIA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/29/08	08/19/09	1,440.12	832.01 832.01	608.11 608.11	0.00 0.00
125002820	12	OCCIDENTAL PETROLEUM CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	889.77	857.37 857.37	32.40 32.40	0.00 0.00
125002830	40	ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	873.73	869.94 869.94	3.79 3.79	0.00 0.00
125002840	9	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/17/09	206.81	195.74 195.74	11.07 11.07	0.00 0.00
125002850	2	PNC FINANCIAL SERVICES GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	85.63	79.62 79.62	6.01 6.01	0.00 0.00
125002860	15	PNC FINANCIAL SERVICES GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/21/09	679.94	597.15 597.15	82.79 82.79	0.00 0.00
125002890	3	PPL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	88.65	86.49 88.49	2.16 2.16	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002900	9	PACCAR INC -DEL- Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/12/08	08/19/09	\$ 316.54	\$ 386.67 \$ 386.67	(\$ 70.13) (\$ 70.13)	\$ 0.00 \$ 0.00
	6		09/15/08		211.03	255.97	(44.94)	0.00
	8		01/06/09		281.37	255.97 255.55	(44.94) 25.82	0.00 0.00
	22		02/06/09		773.76	255.55 255.55 680.17	25.82 93.59	0.00 0.00
125002920	1	PANASONIC CORP ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	15.77	15.29 15.29	48 .48	0.00 0.00
125002930	16	PARKER-HANNIFIN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/22/09	08/19/09	770.69	672.13 672.13	98.56 98.56	0.00 0.00
125002940	6	PARKER-HANNIFIN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/22/09	08/21/09	297.79	252.05 252.05	45.74 45.74	0.00 0.00
	1		04/23/09		49.63	42.19	7.44	0.00
125002950	4	PARKER-HANNIFIN CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/23/09	09/04/09	192.25	42.19 168.74	7.44 23.51	0.00 0.00
	4		04/27/09		192.24	168.74 172.00	23.51 20.24	0.00 0.00
125002960	16	PARKER-HANNIFIN CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	05/21/09	11/19/09	866.95	172.00 684.21 684.21	20.24 182.74 182.74	0.00 0.00 0.00
125002970	2	PEABODY ENERGY CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	70.79	68.84 68.84	1.95 1.95	0.00 0.00
125002980	7	J C PENNEY CO INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	09/14/09	12/04/09	194.78	218.13 218.13	(23.35) (23.35)	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003050	22	J C PENNEY CO INC	09/14/09	12/17/09	\$ 598.24	\$ 685.56	(\$ 87.32)	\$ 0.00
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/09		163.15	\$ 685.56	(\$ 87.32)	\$ 0.00
						202.08	(38.93)	0.00
						202.08	(38.93)	0.00
125003060	096	Pfizer Inc	10/16/09	10/16/09	1.71	1.69	.02	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				1.69	.02	0.00
125003070	13	PHILIP MORRIS INTL INC	08/19/09	08/21/09	611.37	593.78	17.59	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				593.78	17.59	0.00
125003080	20	PITNEY BOWES INC	10/14/08	08/19/09	430.80	519.92	(89.12)	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				519.92	(89.12)	0.00
125003090	4	POTASH CORP SASK INC	08/19/09	08/21/09	386.69	380.12	6.57	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				380.12	6.57	0.00
125003100	5	PRAXAIR INC	08/19/09	08/21/09	394.49	384.00	10.49	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				384.00	10.49	0.00
125003120	3	PUBLIC SERVICE ENTERPRISE GRP	08/19/09	08/21/09	97.50	95.10	2.40	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				95.10	2.40	0.00
125003130	55	PUBLICIS GROUPE ADR	12/10/08	08/19/09	1,910.70	1,379.94	530.76	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				1,379.94	530.76	0.00
125003140	21	QUALCOMM INC	10/06/08	08/19/09	957.00	837.34	119.66	0.00
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/08		410.14	837.34	119.66	0.00
	25		10/10/08		1,139.29	369.66	40.48	0.00
	16		10/17/08		729.15	369.66	40.48	0.00
						947.07	192.22	0.00
						947.07	192.22	0.00
						635.64	93.51	0.00
						635.64	93.51	0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003150	17	QUALCOMM INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/17/08	08/21/09	\$ 807.30	\$ 675.37 \$ 675.37	\$ 131.93 \$ 131.93	\$ 0.00 \$ 0.00
125003160	17	QUALCOMM INC MorganStanley SmithBarney LLC	10/17/08	10/08/09	711.00	675.37 675.37	35.63 35.63	0.00 0.00
	20	acted as your agent in this transaction.	11/12/08		836.46	679.42 679.42	157.04 157.04	0.00 0.00
125003170	7	RANGE RESOURCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	362.21	348.39 348.39	13.82 13.82	0.00 0.00
125003200	40	RICOH CO LTD ADR-NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/05/08	08/19/09	2,574.00	2,450.85 2,450.85	123.15 123.15	0.00 0.00
125003210	25	ROBERT HALF INTERNATIONAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/23/08	08/19/09	641.00	649.24 649.24	(8.24) (8.24)	0.00 0.00
125003220	16	ROCHE HLDG LTD SPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/15/08	08/19/09	632.44	666.93 666.93	(34.49) (34.49)	0.00 0.00
	14	th Barney LLC acted as yo ur agent in this transa	09/16/08		553.38	573.03 573.03	(19.65) (19.65)	0.00 0.00
	20		09/16/08		790.55	818.62 818.62	(28.07) (28.07)	0.00 0.00
	50		09/23/08		1,976.37	2,055.59 2,055.59	(79.22) (79.22)	0.00 0.00
	14		10/09/08		553.39	478.07 478.07	75.32 75.32	0.00 0.00
125003230	85	ROYAL DUTCH SHELL PLC ADR CL A Morgan Stanley Sml th Barney LLC acted as yo	02/27/09	08/19/09	4,473.55	3,798.07 3,798.07	675.48 675.48	0.00 0.00
125003240	50	RYANAIR HLDGS PLC SPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/19/08	08/19/09	1,336.89	1,515.95 1,515.95	(179.06) (179.06)	0.00 0.00

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Account Number 221-8030A-19 027

2009 YEAR END SUMMARY

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003250	110	SKF AB SPONSORED ADR PAR S KR 12.50 Morgan Stanley Smi th Barney LLC acted as yo	05/11/09	08/19/09	\$ 1,822.50	\$ 1,238.37 \$ 1,238.37	\$ 384.13 \$ 384.13	\$ 0.00 \$ 0.00
125003260	50	SABMiller PLC SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/14/09 03/05/09 04/08/09	08/19/09	1,088.50 1,415.05 1,088.50	769.59 769.59 893.34 893.34 777.88 777.88	318.91 318.91 521.71 521.71 310.62 310.62	0.00 0.00 0.00 0.00 0.00 0.00
125003270	33	SAFEWAY INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/21/09 05/22/09 05/26/09 05/26/09 05/29/09	08/19/09	617.83 37.44 280.83 711.44 1,347.98	655.03 655.03 39.76 39.76 299.43 299.43 758.55 758.55 1,439.81 1,439.81	(37.20) (37.20) (2.32) (2.32) (18.60) (18.60) (47.11) (47.11) (91.83) (91.83)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00
125003280	11	SAFEWAY INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	08/21/09	208.77	219.97 219.97	(11.20) (11.20)	0.00 0.00
125003290	18	ST JUDE MEDICAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	678.78	680.01 680.01	(1.23) (1.23)	0.00 0.00
125003310	22	SCHLUMBERGER LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/22/08	08/19/09	1,157.68	1,116.40 1,116.40	41.28 41.28	0.00 0.00
125003320	1	SCHLUMBERGER LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/22/08	08/21/09	56.02	50.75 50.75	5.27 5.27	0.00 0.00

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Details of Short Term Gain (Loss) 2009 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003330	2	SCHNEIDER ELECT SA UNSPON ADR Morgan Stanley Smi th Barney LLC acted as yo	08/19/09	08/21/09	\$ 19.30	\$ 18.16 \$ 18.16	\$ 1.14 \$ 1.14	\$ 0.00 \$ 0.00
125003340	125	SCHWAB CHARLES CORP Morgan Stanley Smi th Barney LLC acted as yo	01/29/09	08/19/09	2,209.19	1,747.39 1,747.39	461.80 461.80	0.00 0.00
	25	th Barney LLC acted as yo ur agent in this transa	01/30/09		441.84	341.81 341.81	100.03 100.03	0.00 0.00
	150		02/19/09		2,651.02	1,949.96 1,949.96	701.06 701.06	0.00 0.00
125003360	141	SECHE ENVIRONMENT SA-EUR Morgan Stanley Smi th Barney LLC acted as yo	03/19/09	08/19/09	1,976.82	1,550.63 1,550.63	426.19 426.19	0.00 0.00
	34	ur agent in this transa	03/20/09		476.68	375.48 375.48	101.20 101.20	0.00 0.00
125003380	161	SILICONWARE PRECISION INDS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo	05/11/09	08/19/09	1,020.98	1,161.07 1,161.07	(140.09) (140.09)	0.00 0.00
125003390	45	SIMON PPTY GROUP INC NEW Morgan Stanley Smi th Barney LLC acted as yo	05/07/09	08/19/09	2,623.41	2,343.53 2,343.53	279.88 279.88	0.00 0.00
		ur agent in this transa						
125003410	9	SOUTHERN CO Morgan Stanley Smi th Barney LLC acted as yo	08/19/09	08/21/09	282.56	280.06 280.06	2.50 2.50	0.00 0.00
		ur agent in this transa						
125003420	45	SPECTRA ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo	02/10/09	08/19/09	836.23	661.49 661.49	174.74 174.74	0.00 0.00
		ur agent in this transa						
125003430	19	STAPLES INC Morgan Stanley Smi th Barney LLC acted as yo	08/19/09	08/21/09	416.72	407.36 407.36	9.36 9.36	0.00 0.00
		ur agent in this transa						
125003440	15	STATE STREET CORP Morgan Stanley Smi th Barney LLC acted as yo	05/18/09	08/19/09	769.07	614.18 614.18	154.89 154.89	0.00 0.00
		ur agent in this transa						

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Details of Short Term Gain (Loss) 2009: continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003450	8	STATE STREET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/18/09	08/21/09	\$ 426 70	\$ 327 57 \$ 327 57	\$ 99.13 \$ 99.13	\$ 0.00 \$ 0.00
125003460	230	STATOILHYDRO ASA SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo	08/12/09	08/19/09	4,966 05	4,975 80 4,975 80	(9.75) (9.75)	0.00 0.00
125003480	290	THK CO LTD UNSPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/06/08	08/19/09	2,582.51	2,115 52 2,115 52	466 99 466 99	0.00 0.00
125003490	155	TELSTRA LTD SPONS ADR Morgan Stanley Sml	10/09/08	08/19/09	2,340 50	2,263 16 2,263.16	77 34 77.34	0.00 0.00
	145	th Barney LLC acted as yo ur agent in this transa	11/05/08		2,189 50	2,095 11 2,095.11	94.39 94.39	0.00 0.00
125003530	28	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	778 48	761.82 761.82	16 66 16.66	0.00 0.00
125003540	10	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/17/09	293 41	272 08 272.08	21 33 21.33	0.00 0.00
125003550	3	TIME WARNER INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/19/09	09/18/09	88 37	81.62 81.62	6 75 6.75	0.00 0.00
125003560	41	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/09/09	1,271 82	1,115 53 1,115 53	156 29 156.29	0.00 0.00
125003580	24	TOLL BROS INC Morgan Stanley Sml	04/17/09	08/19/09	518 22	471.91 471.91	46 31 46.31	0.00 0.00
	76	th Barney LLC acted as yo ur agent in this transa	04/20/09		1,641.03	1,451.00 1,451.00	190 03 190.03	0.00 0.00
	25		05/19/09		539 81	493.19 493.19	46 62 46.62	0.00 0.00

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Details of Short Term Gain (Loss) 2009 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003590	117	TOMRA SYSTEMS A/S SPONSORED ADR NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/05/08	08/19/09	\$ 491.40	\$ 574.83 \$ 574.83	(\$ 83.43) (\$ 83.43)	\$ 0.00 \$ 0.00
125003600	8	TOTAL S.A SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/27/09	08/19/09	432.88	384.92 384.92	47.96 47.96	0.00 0.00
125003610	5	TOTAL S.A SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/27/09	08/21/09	284.70	240.57 240.57	44.13 44.13	0.00 0.00
125003650	13	TUTOR PERINI CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/03/08	08/19/09	240.21	285.16 285.16	(44.95) (44.95)	0.00 0.00
	12	th Barney LLC acted as yo ur agent in this transa	10/06/08		221.73	251.26 251.26	(29.53) (29.53)	0.00 0.00
	35		06/22/09		646.71	629.69 629.69	17.02 17.02	0.00 0.00
125003660	9	US BANCORP DEL NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	201.24	193.46 193.46	7.78 7.78	0.00 0.00
125003710	8	UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	493.52	477.02 477.02	16.50 16.50	0.00 0.00
125003760	11	UNITED STATES STEEL CORP NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	492.67	472.34 472.34	20.33 20.33	0.00 0.00
125003770	14	UNITED STATES STEEL CORP NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/04/09	591.20	601.16 601.16	(9.96) (9.96)	0.00 0.00
125003780	21	UNITED STATES STEEL CORP NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/11/09	957.92	901.74 901.74	56.18 56.18	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003800	54	UNITEDHEALTH GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/09/09	08/19/09	\$ 1,511.59	\$ 1,436.00 \$ 1,436.00	\$ 75.59 \$ 75.59	\$ 0.00 \$ 0.00
125003810	1	UNITEDHEALTH GROUP INC Morgan Stanley Smi	01/09/09	08/21/09	28.77	26.59 26.59	2.18 2.18	0.00 0.00
125003820	7	th Barney LLC acted as yo ur agent in this transa	04/01/09		201.39	144.84 144.84	56.55 56.55	0.00 0.00
125003830	4	V F CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	267.68	263.40 263.40	4.28 4.28	0.00 0.00
125003840	4	V F CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/16/09	288.67	263.40 263.40	25.27 25.27	0.00 0.00
125003850	6	VALERO ENERGY CORP-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	111.18	105.84 105.84	5.34 5.34	0.00 0.00
125003860	28	VALERO ENERGY CORP-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/16/09	532.88	493.92 493.92	38.96 38.96	0.00 0.00
125003870	19	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS INC	01/05/09	08/19/09	555.94	341.93 341.93	214.01 214.01	0.00 0.00
125003880	6	Morgan Stanley Smi th Barney LLC acted as yo	04/08/09		175.56	131.60 131.60	43.96 43.96	0.00 0.00
125003890	7	VERTEX PHARMACEUTICALS INC Morgan Stanley Smi	10/31/08	08/19/09	250.81	178.89 178.89	71.92 71.92	0.00 0.00
125003900	10	th Barney LLC acted as yo ur agent in this transa	11/03/08		358.30	271.59 271.59	86.71 86.71	0.00 0.00
125003910	2		11/04/08		71.66	54.00 54.00	17.66 17.66	0.00 0.00
125003920	2		11/05/08		71.66	54.84 54.84	16.82 16.82	0.00 0.00
125003930	46		11/06/08		1,648.18	54.84 1,240.74	16.82 407.44	0.00 0.00
						1,240.74 1,240.74	407.44 407.44	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003890	5	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	\$ 339.29	\$ 335.18 \$ 335.18	\$ 4.11 \$ 4.11	\$ 0.00 \$ 0.00
125003900	28	VODAFONE GROUP PLC SPONS ADR NEW	09/02/08	08/19/09	599.26	712.99 712.99	(113.73) (113.73)	0.00 0.00
231		Morgan Stanley Smi th Barney LLC acted as yo	04/24/09		4,943.87	4,166.32 4,166.32	777.55 777.55	0.00 0.00
125003910	6	VODAFONE GROUP PLC SPONS ADR NEW	04/24/09	08/21/09	130.99	108.22 108.22	22.77 22.77	0.00 0.00
125003940	16	WALGREEN CO NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	495.50	494.52 494.52	.98 .98	0.00 0.00
125003950	39	WALGREEN CO NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/19/09	12/18/09	1,427.40	1,205.39 1,205.39	222.01 222.01	0.00 0.00
125003960	20	WASTE MGMT INC DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/10/09	08/19/09	584.04	455.30 455.30	128.74 128.74	0.00 0.00
15		Morgan Stanley Smi th Barney LLC acted as yo	08/04/09		438.03	425.40 425.40	12.63 12.63	0.00 0.00
125004000	4	WEYERHAEUSER CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/19/09	142.38	133.87 133.87	8.51 8.51	0.00 0.00
125004010	3	WEYERHAEUSER CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/21/09	110.35	100.40 100.40	9.95 9.95	0.00 0.00
125004020	91	WHELOCK & CO LTD-HKD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/13/09	08/19/09	2,413.32	1,894.05 1,894.05	519.27 519.27	0.00 0.00
15		Morgan Stanley Smi th Barney LLC acted as yo	01/14/09		397.80	321.78 321.78	76.02 76.02	0.00 0.00
14		Morgan Stanley Smi th Barney LLC acted as yo	01/15/09		371.28	283.32 283.32	87.96 87.96	0.00 0.00

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SmithBarney

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

2009 YEAR END SUMMARY

Details of Short Term Gain/(Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004040	35	WINDSTREAM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/17/09	08/19/09	\$ 290.24	\$ 283.93 \$ 283.93	\$ 6.31 \$ 6.31	\$ 0.00 \$ 0.00
125004050	50	WOODSIDE PETE LTD SPONS ADR Morgan Stanley Smi th Barney LLC acted as yo	03/27/09	08/19/09	1,832.50	1,442.56 1,442.56	389.94 389.94	0.00 0.00
125004060	7	WYETH Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	08/21/09	335.02	334.46 334.46	.56 .56	0.00 0.00
125004070	45	WYETH TAXABLE/MERGER: \$17.66 PER/SHR OF PFIZER INCLUDED IN PROCEEDS	08/19/09	10/16/09	2,262.04	2,150.10 2,150.10	111.94 111.94	0.00 0.00
125004080	15	XTO ENERGY INC Morgan Stanley Smi	07/17/09	08/19/09	597.17	584.06 584.06	13.11 13.11	0.00 0.00
	88	th Barney LLC acted as yo ur agent in this transa	08/11/09		3,503.41	3,606.78 3,606.78	(103.37) (103.37)	0.00 0.00
	12		08/12/09		477.74	500.37 500.37	(22.63) (22.63)	0.00 0.00
125004090	140	YAHOO INC Morgan Stanley Smi	03/20/09	08/19/09	2,066.46	1,913.20 1,913.20	153.26 153.26	0.00 0.00
	25	th Barney LLC acted as yo ur agent in this transa	03/27/09		369.01	333.06 333.06	35.95 35.95	0.00 0.00
125004100	40	YAHOO INC Morgan Stanley Smi	03/27/09	08/21/09	594.52	532.89 532.89	61.63 61.63	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125004110	135	YUE YUEN INDL HLDGS LTD Morgan Stanley Smi	04/30/09	08/19/09	1,831.95	1,504.66 1,504.66	327.29 327.29	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125004120	11	YUM BRANDS INC Morgan Stanley Smi	08/19/09	08/21/09	389.71	384.20 384.20	5.51 5.51	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004130	23	YUM BRANDS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/19/09	09/14/09	\$ 765.07	\$ 803.33 \$ 803.33	(\$ 38.26) (\$ 38.26)	\$ 0.00 \$ 0.00
Total					\$ 412,424.15	\$ 352,475.50 \$ 352,461.17	\$ 59,948.65 \$ 59,962.98	\$ 0.00 \$ 9.75

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	25	ALLIED WORLD ASSURANCE CO HLDGS LTD	04/02/07	08/19/09	\$ 1,113.75	\$ 1,068.29 \$ 1,068.29	\$ 45.46 \$ 45.46	\$ 0.00 \$ 0.00
	5	Morgan Stanley Smi th Barney LLC acted as yo	04/23/07		222.75	214.97	7.78	0.00
	5		03/11/08		222.75	206.06	16.69	0.00
						206.06	16.69	0.00
125000050	31.25	COVIDIEN PLC DUBLIN-USD Morgan Stanley Smi	01/06/06	08/19/09	1,249.69	1,191.90 1,191.90	57.79 57.79	0.00 0.00
	31.25	th Barney LLC acted as yo	02/02/07		1,249.69	1,302.94	(53.25)	0.00
	2.5	ur agent in this transa	06/21/07		99.98	1,302.94	(53.25)	0.00
						105.57	(5.59)	0.00
	6		11/02/07		239.93	105.57	(5.59)	0.00
						248.10	(8.17)	0.00
						248.10	(8.17)	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000060	62	SEAGATE TECHNOLOGY -USD	02/28/06	08/19/09	\$ 755.79	\$ 1,594.12	(\$ 838.33)	\$ 0.00
	118	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/02/07		1,438.43	3,116.38	(1,677.95)	0.00
	20		05/07/07		243.80	3,116.38	(1,877.95)	0.00
						443.99	(200.19)	0.00
	20		06/21/07		243.80	443.99	(200.19)	0.00
						441.40	(197.60)	0.00
						441.40	(197.60)	0.00
125000070	140	WEATHERFORD INTERNATIONAL LTD -CHF	01/06/06	08/19/09	2,731.41	2,764.30	(32.89)	0.00
	140	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/02/07		2,731.41	2,764.30	(32.89)	0.00
						2,846.90	(115.49)	0.00
						2,846.90	(115.49)	0.00
125000100	31.25	TYCO ELECTRONICS LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/06/06	08/19/09	722.22	1,097.30	(375.08)	0.00
	31.25		02/02/07		722.22	1,097.30	(375.08)	0.00
	25		06/21/07		57.78	1,199.53	(477.31)	0.00
						97.19	(39.41)	0.00
	31		07/18/07		716.44	97.19	(39.41)	0.00
						1,172.53	(456.09)	0.00
	35		11/02/07		808.89	1,172.53	(456.09)	0.00
						1,227.45	(418.56)	0.00
	23		11/07/07		531.55	1,227.45	(418.56)	0.00
						758.74	(227.19)	0.00
	21		11/09/07		485.33	758.74	(227.19)	0.00
						690.25	(204.92)	0.00
						690.25	(204.92)	0.00
125000110	31.25	TYCO INTL LTD CHF Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/06/06	08/19/09	961.64	1,464.81	(503.17)	0.00
	31.25		02/02/07		961.64	1,464.81	(503.17)	0.00
	2.5		06/21/07		76.93	1,601.28	(639.64)	0.00
						1,601.28	(639.64)	0.00
						129.74	(52.81)	0.00
	35		07/18/07		1,077.04	129.74	(52.81)	0.00
						1,727.05	(650.01)	0.00
	51		11/02/07		1,569.40	1,727.05	(650.01)	0.00
						2,066.01	(496.61)	0.00
						2,066.01	(496.61)	0.00
125000120	47	UBS AG (NEW) Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/12/08	08/19/09	726.27	1,395.43	(669.16)	0.00
	14		06/18/08		216.34	1,395.43	(669.16)	0.00
						284.27	(67.93)	0.00
						284.27	(67.93)	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000160	17	VERIGY LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/01/06	08/19/09	\$ 209 11	\$ 282.72 \$ 282.72	(\$ 73.61) (\$ 73.61)	\$ 0.00 \$ 0.00
	16		11/02/06		196.81	259.96	(63 15) (63 15)	0.00 0.00
	12		11/03/06		147 60	259.96 194.24	(63 15) (46 64)	0.00 0.00
						194.24	(46 64) (46 64)	0.00 0.00
125000190	55	AT&T INC Morgan Stanley Smi	03/20/07	08/19/09	1,394 25	2,069.77 2,069.77	(675 52) (675 52)	0.00 0.00
	35	th Barney LLC acted as yo ur agent in this transa	04/13/07		887 25	1,361.33	(474 08) (474 08)	0.00 0.00
	20		08/15/07		507 00	1,361 33 754.61	(474 08) (247 61)	0.00 0.00
						754 61 754 61	(247 61) (247 61)	0.00 0.00
125000210	20	ABBOTT LABORATORIES Morgan Stanley Smi	06/21/07	08/19/09	902 00	1,074.20 1,074.20	(172 20) (172 20)	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125000280	20	AMAZON COM INC Morgan Stanley Smi	06/09/06	08/19/09	1,645 82	676 77 676 77	969 05 969 05	0.00 0.00
	108	th Barney LLC acted as yo ur agent in this transa	02/02/07		8,887 42	4,023 79	4,863 63 4,863 63	0.00 0.00
						4,023 79	4,863 63 4,863 63	0.00 0.00
125000290	9	AMAZON COM INC Morgan Stanley Smi	02/02/07	08/21/09	762 98	335.32 335.32	427.66 427.66	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125000300	3	AMAZON COM INC Morgan Stanley Smi	02/02/07	09/02/09	236 41	111 77 111 77	124 64 124 64	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125000330	60	AMERICAN EXPRESS CO Morgan Stanley Smi	02/02/07	08/19/09	1,893 60	3,490.20 3,490.20	(1,596 60) (1,596 60)	0.00 0.00
	10	th Barney LLC acted as yo ur agent in this transa	06/21/07		315 60	623 30	(307 70) (307 70)	0.00 0.00
	5		11/02/07		157 80	623 30 293 10	(307 70) (135 30)	0.00 0.00
						293 10 293 10	(135 30) (135 30)	0.00 0.00
125000340	14	AMERICAN EXPRESS CO Morgan Stanley Smi	11/02/07	08/21/09	458 31	820 68 820 68	(362 37) (362 37)	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						

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KATHLEEN M. O'CONNELL

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000370	45	AMGEN INC	02/02/07	08/19/09	\$ 2,699.19	\$ 3,130.19	(\$ 431.00)	\$ 0.00
	25	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/21/07		1,499.55	\$ 3,130.19	(\$ 431.00)	\$ 0.00
	60		08/22/07		3,598.92	1,432.25	67.30	0.00
	14		04/15/08		839.75	2,946.92	652.00	0.00
						2,946.92	652.00	0.00
						604.22	235.53	0.00
						604.22	235.53	0.00
125000380	11	AMGEN INC	04/15/08	08/21/09	664.95	474.75	190.20	0.00
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction				474.75	190.20	0.00
125000390	7	AMGEN INC	04/15/08	11/27/09	396.20	302.11	94.09	0.00
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction				302.11	94.09	0.00
125000400	50	ANADARKO PETROLEUM CORP	01/06/06	08/19/09	2,556.60	2,490.00	66.60	0.00
	35	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/15/06		1,789.62	2,490.00	66.60	0.00
	35		12/26/06		1,789.62	1,670.68	118.94	0.00
	105		02/02/07		5,368.86	1,670.68	118.94	0.00
						1,492.96	296.66	0.00
						1,492.96	296.66	0.00
						4,598.16	770.70	0.00
						4,598.16	770.70	0.00
125000420	10	ANNALY CAPITAL MANAGEMENT INC	03/17/08	08/19/09	169.53	137.50	32.03	0.00
	10	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/19/08		169.53	137.50	32.03	0.00
	40		03/27/08		678.10	160.27	9.26	0.00
	125		03/28/08		2,119.06	160.27	9.26	0.00
	95		05/14/08		1,610.48	639.88	38.22	0.00
						1,994.01	125.05	0.00
						1,994.01	125.05	0.00
						1,575.87	34.61	0.00
						1,575.87	34.61	0.00
125000460	85	APPLIED MATERIALS INC DELAWARE	11/03/06	08/19/09	1,128.97	1,442.32	(313.35)	0.00
	105	Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/12/07		1,394.61	1,442.32	(313.35)	0.00
	50		04/18/07		664.10	1,951.45	(556.84)	0.00
						1,951.45	(556.84)	0.00
						986.72	(322.62)	0.00
						986.72	(322.62)	0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
2009 YEAR END SUMMARY								
125000490	35	AUTODESK INC	10/11/07	08/19/09	\$ 464.87	\$ 708.16	(\$ 243.29)	\$ 0.00
	75	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/11/08		996.15	\$ 708.16 1,225.25 1,225.25	(\$ 243.29) (229.10) (229.10)	\$ 0.00 0.00 0.00
125000500	25	AUTODESK INC	01/06/06	08/19/09	602.26	1,059.47	(457.21)	0.00
	15	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/10/06		361.35	1,059.47 560.77 560.77	(457.21) (199.42) (199.42)	0.00 0.00 0.00
	10		08/18/06		240.90	339.59	(98.69)	0.00
	25		02/02/07		602.26	339.59 1,107.75	(98.69) (505.49)	0.00 0.00
	15		11/02/07		361.35	1,107.75 725.55	(505.49) (364.20)	0.00 0.00
	40		02/27/08		963.61	725.55 1,319.99 1,319.99	(364.20) (356.38) (356.38)	0.00 0.00 0.00
125000510	70	AUTOMATIC DATA PROCESSING INC.	02/09/07	08/19/09	2,636.31	3,088.83	(452.52)	0.00
	30	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/31/07		1,129.84	3,088.83 1,338.05 1,338.05	(452.52) (208.21) (208.21)	0.00 0.00 0.00
125000520	40	AXA S.A SPONS ADR	01/06/06	08/19/09	888.59	1,384.40	(495.81)	0.00
	35	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07		777.52	1,384.40 1,507.10 1,507.10	(495.81) (729.58) (729.58)	0.00 0.00 0.00
	60		03/02/07		1,332.89	2,441.21	(1,108.32)	0.00
	15		03/25/08		333.22	2,441.21 524.95 524.95	(1,108.32) (191.73) (191.73)	0.00 0.00 0.00
125000530	5	BP PLC SPONS ADR	03/02/07	08/19/09	253.05	300.13	(47.08)	0.00
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/02/07		253.05	300.13 390.55 390.55	(47.08) (137.50) (137.50)	0.00 0.00 0.00
	25		02/08/08		1,265.25	1,596.93	(331.68)	0.00
125000540	2	BP PLC SPONS ADR	02/08/08	08/21/09	104.01	127.75	(23.74)	0.00
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa			127.75	127.75	(23.74)	0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000560	25	BNP PARIBAS SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/08	08/19/09	\$ 972.75	\$ 1,354.47 \$ 1,354.47	(\$ 381.72) (\$ 381.72)	\$ 0.00 \$ 0.00
125000570	15	BAKER HUGHES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	555.18	1,001.85 1,001.85	(446.67) (446.67)	0.00 0.00
125000630	110	BARCLAYS PLC-ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	2,520.56	6,613.20 6,613.20	(4,092.64) (4,092.64)	0.00 0.00
	10		06/21/07		229.14	582.90 582.90	(353.76) (353.76)	0.00 0.00
	60		11/02/07		1,374.85	2,712.60 2,712.60	(1,337.75) (1,337.75)	0.00 0.00
	38		07/28/08		870.75	876.25 876.25	(5.50) (5.50)	0.00 0.00
125000670	45	BED BATH & BEYOND Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	1,555.74	1,591.65 1,591.65	(35.91) (35.91)	0.00 0.00
	65		02/02/07		2,247.18	2,715.05 2,715.05	(467.87) (467.87)	0.00 0.00
	20		06/21/07		691.44	749.80 749.80	(58.36) (58.36)	0.00 0.00
	30		09/21/07		1,037.16	999.57 999.57	37.59 37.59	0.00 0.00
	20		11/02/07		691.44	660.60 660.60	30.84 30.84	0.00 0.00
125000720	110	BIOGEN IDEC INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	5,380.40	5,211.80 5,211.80	168.60 168.60	0.00 0.00
	150		02/02/07		7,336.90	7,409.60 7,409.60	(72.70) (72.70)	0.00 0.00
125000740	15	BOEING CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	651.34	1,047.00 1,047.00	(395.66) (395.66)	0.00 0.00
125000750	5	BOEING CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/21/09	226.44	349.00 349.00	(122.56) (122.56)	0.00 0.00
	5		08/19/08		226.44	314.77 314.77	(88.33) (88.33)	0.00 0.00

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MorganStanley
SmithBarney

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000770	40	BROADCOM CORP CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/12/06	08/19/09	\$ 1,084.41	\$ 1,097.81 \$ 1,097.81 967.47 967.47	(\$ 13.40) (\$ 13.40) (154.16) (154.16)	\$ 0.00 \$ 0.00 0.00 0.00
	10		06/21/07		271.10	306.50	(35.40)	0.00
	7		11/02/07		189.78	306.50 229.18 229.18	(35.40) (39.40) (39.40)	0.00 0.00 0.00
125000780	3	BROADCOM CORP CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/02/07	08/21/09	84.07	98.22 98.22	(14.15) (14.15)	0.00 0.00
	14		11/07/07		392.32	464.11	(71.79)	0.00
125000790	18	BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/07/07	10/12/09	542.45	596.71 596.71	(54.26) (54.26)	0.00 0.00
125000830	90	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	01/06/06	08/19/09	1,864.13	1,301.40 1,301.40	562.73 562.73	0.00 0.00
	150	Morgan Stanley Smi th Barney LLC acted as yo	04/09/08		3,106.87	3,543.99 3,543.99	(437.12) (437.12)	0.00 0.00
125000960	85	CHARMING SHOPPES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	464.95	1,069.98 1,069.98	(605.03) (605.03)	0.00 0.00
125000970	165	CHEUNG KONG HOLDING-ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	2,003.10	2,277.00 2,277.00	(273.90) (273.90)	0.00 0.00
	155		03/07/07		1,881.70	1,832.39	49.31	0.00
	65		11/02/07		789.10	1,832.39 1,189.50 1,189.50	49.31 (400.40) (400.40)	0.00 0.00 0.00
125000990	36	CHUBB CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/04	08/19/09	1,720.96	1,245.98 1,245.98	474.98 474.98	0.00 0.00
	10		08/19/04		478.05	335.12	142.93	0.00
	20		04/18/07		956.09	335.12 1,061.93 1,061.93	142.93 (105.84) (105.84)	0.00 0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001000	20	CHUBB CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/06/07		\$ 956.09	\$ 1,013.52	(\$ 57.43)	\$ 0.00
	31		02/05/08		1,481.94	1,610.64	(128.70)	0.00
						1,610.64	(128.70)	0.00
125001000	2	CHUBB CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/05/08	08/21/09	97.60	103.91	(6.31)	0.00
						103.91	(6.31)	0.00
125001010	260	CISCO SYS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	5,522.50	4,880.20	642.30	0.00
	80		02/02/07		1,699.23	2,164.00	(464.77)	0.00
	10		06/21/07		212.40	2,164.00	(464.77)	0.00
						273.60	(61.20)	0.00
	20		11/02/07		424.81	273.60	(61.20)	0.00
						648.20	(223.39)	0.00
	100		04/08/08		2,124.04	2,408.33	(284.29)	0.00
	87		05/01/08		1,847.92	2,408.33	(284.29)	0.00
						2,270.83	(422.91)	0.00
						2,270.83	(422.91)	0.00
125001020	42	CISCO SYS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/08	08/21/09	924.80	1,096.27	(171.47)	0.00
						1,096.27	(171.47)	0.00
125001050	60	COCA-COLA CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	2,958.72	2,490.60	468.12	0.00
	103		02/02/07		5,079.14	2,490.60	468.12	0.00
						4,964.60	114.54	0.00
						4,964.60	114.54	0.00
125001060	2	COCA-COLA CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/21/09	99.56	96.40	3.16	0.00
						96.40	3.16	0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125001080	217.5	COMCAST CORP CL A - SPL Morgan Stanley Smi	01/06/06	08/19/09	\$ 3,036.63	\$ 3,870.05	(\$ 833.42)	\$ 0.00
	67.5	th Barney LLC acted as yo	02/02/07		942.40	\$ 3,870.05	(\$ 833.42)	\$ 0.00
	15	ur agent in this transa	06/21/07		209.42	1,902.15	(959.75)	0.00
	211		11/02/07		2,945.88	1,902.15	(959.75)	0.00
						421.05	(211.63)	0.00
						421.05	(211.63)	0.00
						4,317.06	(1,371.18)	0.00
						4,317.06	(1,371.18)	0.00
125001090	20	COMERICA INC	06/16/08	08/19/09	532.85	639.94	(107.09)	0.00
	65	Morgan Stanley Smi	08/13/08		1,731.78	639.94	(107.09)	0.00
		th Barney LLC acted as yo				1,945.97	(214.19)	0.00
		ur agent in this transa				1,945.97	(214.19)	0.00
125001130	40	CREE INC	01/06/06	08/19/09	1,393.66	1,093.60	300.06	0.00
	20	Morgan Stanley Smi	05/28/08		696.83	1,093.60	300.06	0.00
		th Barney LLC acted as yo				495.95	200.88	0.00
		ur agent in this transa				495.95	200.88	0.00
125001230	205	WALT DISNEY CO	01/06/06	08/19/09	5,213.56	5,006.87	206.69	0.00
	5	Morgan Stanley Smi	06/21/07		127.16	5,006.87	206.69	0.00
	50	th Barney LLC acted as yo	11/02/07		1,271.60	170.75	(43.59)	0.00
		ur agent in this transa				170.75	(43.59)	0.00
						1,695.00	(423.40)	0.00
						1,695.00	(423.40)	0.00
125001260	25	DOVER CORP	05/24/07	08/19/09	852.01	1,238.76	(386.75)	0.00
	25	Morgan Stanley Smi	08/09/07		852.01	1,238.76	(386.75)	0.00
	25	th Barney LLC acted as yo	01/11/08		852.00	1,249.18	(397.17)	0.00
		ur agent in this transa				1,249.18	(397.17)	0.00
						976.22	(124.22)	0.00
						976.22	(124.22)	0.00
125001270	15	E I DU PONT DE NEMOURS & CO	09/06/05	08/19/09	475.65	590.67	(115.02)	0.00
	5	Morgan Stanley Smi	08/15/06		158.55	590.67	(115.02)	0.00
	5	th Barney LLC acted as yo	08/25/06		158.55	199.43	(40.88)	0.00
	66	ur agent in this transa	02/02/07		2,092.86	199.43	(40.88)	0.00
						199.43	(40.88)	0.00
						196.36	(37.81)	0.00
						196.36	(37.81)	0.00
						3,315.84	(1,222.98)	0.00
						3,315.84	(1,222.98)	0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001280	7	E I DU PONT DE NEMOURS & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/21/09	\$ 229 58	\$ 351 68 \$ 351 68	(\$ 122 10) (\$ 122 10)	\$ 0 00 \$ 0 00
125001290	140	DUKE ENERGY CORP (HOLDING COMPANY) NEW	02/09/07	08/19/09	2,145 01	2,812 60 2,812 60	(667 59) (667 59)	0 00 0 00
	20	Morgan Stanley Sml	06/21/07		306 43	371 80	(65 37)	0 00
	40	th Barney LLC acted as yo	11/02/07		612 86	371 80 757 60 757 60	(65 37) (144 74) (144 74)	0 00 0 00 0 00
125001330	20	EBAY INC	05/05/06	08/19/09	422 44	649 77	(227 33)	0 00
	10	Morgan Stanley Sml	05/26/06		211 22	341 41	(130 19)	0 00
	110	th Barney LLC acted as yo ur agent in this transa	03/13/07		2,323 42	341 41 3,378 45 3,378 45	(130 19) (1,055 03) (1,055 03)	0 00 0 00 0 00
	60		03/13/07		1,267 32	1,842 79	(575 47)	0 00
	25		08/16/07		528 05	1,842 79 842 46	(575 47) (314 41)	0 00 0 00
	5		11/02/07		105 61	842 46	(314 41)	0 00
	30		01/11/08		633 66	171 05 900 39	(65 44) (266 73)	0 00 0 00
	80		02/01/08		1,689 76	900 39 2,308 09	(266 73) (618 33)	0 00 0 00
	100		07/17/08		2,112 20	2,308 09 2,394 89 2,394 89	(618 33) (282 69) (282 69)	0 00 0 00 0 00
125001340	30	ELECTRONIC ARTS	01/06/06	08/19/09	573 01	1,702 47	(1,129 46)	0 00
	25	Morgan Stanley Sml	06/09/06		477 51	1,702 47	(1,129 46)	0 00
	75	th Barney LLC acted as yo ur agent in this transa	02/02/07		1,432 53	1,069 47 3,828 55 3,828 55	(591 96) (2,396 02) (2,396 02)	0 00 0 00 0 00
	20		06/21/07		382 01	960 00	(577 99)	0 00
	30		06/10/08		573 01	960 00 1,394 32 1,394 32	(577 99) (821 31) (821 31)	0 00 0 00 0 00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001350	30	EMERSON ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/19/04	08/19/09	\$ 1,034.82	\$ 958.83 \$ 958.83	\$ 75.99 \$ 75.99	\$ 0.00 \$ 0.00
	50		01/05/05		1,724.70	1,699.27	25.43	0.00
	20		02/02/07		689.88	1,699.27	25.43	0.00
	30		02/09/07		1,034.82	902.20	(212.32)	0.00
	10		06/21/07		344.94	1,327.50	(292.68)	0.00
	17		08/17/07		586.40	1,327.50	(292.68)	0.00
						480.00	(135.06)	0.00
						782.18	(195.78)	0.00
						782.18	(195.78)	0.00
125001360	6	EMERSON ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/17/07	08/21/09	213.35	276.07 276.07	(62.72) (62.72)	0.00 0.00
125001420	105	EXPEDIA INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/12/06	08/19/09	2,291.31	1,572.90 1,572.90	718.41 718.41	0.00 0.00
	115		02/02/07		2,509.53	2,434.44	75.09	0.00
	30		06/10/08		654.66	2,434.44	75.09	0.00
	150		06/25/08		3,273.30	665.39	(10.73)	0.00
						3,011.09	262.21	0.00
						3,011.09	262.21	0.00
125001440	22	EXXON MOBIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/17/07	08/19/09	1,493.80	1,835.35 1,835.35	(341.55) (341.55)	0.00 0.00
125001450	10	EXXON MOBIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/17/07	08/21/09	697.06	834.25 834.25	(137.19) (137.19)	0.00 0.00
125001460	30	FPL GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	1,708.20	1,724.70 1,724.70	(16.50) (16.50)	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001480	4,000	FEDERAL HOME LOAN MTG CORP MEDIUM TERM NOTES-BK/ENTRY DTD 01/30/2004 DUE 01/30/2014 RATE 5.000	06/15/07	08/25/09	\$ 4,367.45	\$ 3,879.76 \$ 3,879.76	\$ 487.69 \$ 487.69	\$ 35.08 \$ 452.61
125001490	2,000	FEDERAL HOME LOAN MTG CORP GLOBAL DEBS-BK/ENTRY DTD 03/15/2001 DUE 03/15/2011 RATE 5.625	06/15/07	09/17/09	2,141.62	2,015.33 2,006.54	126.29 135.08	0.00 135.08
	2,000		08/21/07		2,141.62	2,057.75	83.87	0.00
	2,000		11/07/07		2,141.62	2,025.36 2,078.31	116.26 63.31	116.26 0.00
125001500	3,000	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 08/17/2006 DUE 09/15/2016 RATE 5.250	06/15/07	08/25/09	3,316.48	2,931.41 2,931.41	385.07 385.07	13.33 371.74
125001540	70	FOREST LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	1,927.94	2,828.00 2,828.00	(900.06) (900.06)	0.00 0.00
	30		02/02/07		826.26	1,710.60	(884.34) (884.34)	0.00 0.00
	30		06/08/07		826.26	1,710.60 1,423.28	(884.34) (597.02)	0.00 0.00
	40		09/06/07		1,101.68	1,423.28 1,640.53	(597.02) (538.85)	0.00 0.00
	4		11/02/07		110.17	1,640.53 154.68	(538.85) (44.51)	0.00 0.00
125001550	4	FOREST LABORATORIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/02/07	08/21/09	114.69	154.68 154.68	(39.99) (39.99)	0.00 0.00
125001570	60	FRANCE TELECOM SA SPON ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	1,492.50	1,644.00 1,644.00	(151.50) (151.50)	0.00 0.00
	70		08/07/08		1,741.25	2,171.51 2,171.51	(430.26) (430.26)	0.00 0.00
125001580	10	FRANKLIN RESOURCES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/22/06	08/19/09	917.82	867.70 867.70	50.12 50.12	0.00 0.00
	5		12/12/07		458.91	587.53 587.53	(128.62) (128.62)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001610	25	GAP INC DELAWARE Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	\$ 462.06	\$ 485.00 \$ 485.00 759.72 759.72 1,349.33 1,349.33	(\$ 22.94) (\$ 22.94) (20.42) (20.42) 36.86 36.86	\$ 0.00 \$ 0.00 0.00 0.00 0.00 0.00
125001640	65	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/25/04	08/19/09	878.83	1,896.23 1,896.23 785.98 785.98 356.60 356.60 343.89 343.89 529.52 529.52 12,881.99 12,881.99 309.60 309.60	(1,017.40) (1,017.40) (447.97) (447.97) (221.40) (221.40) (208.69) (208.69) (326.71) (326.71) (8,082.24) (8,082.24) (201.44) (201.44)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00
125001650	26	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/21/07	08/21/09	369.45	1,006.20 1,006.20	(636.75) (636.75)	0.00 0.00
125001660	10	GENERAL MILLS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/20/07	08/19/09	582.10	567.90 567.90	14.20 14.20	0.00 0.00
125001670	31	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/17/08	08/19/09	1,804.51	1,691.08 1,691.08	113.43 113.43	0.00 0.00
125001670	25	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	1,270.79	1,877.25 1,877.25	(606.46) (606.46)	0.00 0.00
125001670	15	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/05/06	08/19/09	762.47	1,017.51 1,017.51	(255.04) (255.04)	0.00 0.00
125001670	10	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/03/06	08/19/09	508.32	589.83 589.83	(81.51) (81.51)	0.00 0.00
125001670	35	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	1,779.10	2,306.22 2,306.22	(527.12) (527.12)	0.00 0.00
125001670	5	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/21/07	08/19/09	254.16	323.20 323.20	(69.04) (69.04)	0.00 0.00
125001670	10	GENZYME CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/02/07	08/19/09	508.31	744.10 744.10	(235.79) (235.79)	0.00 0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001720	105	GOLD FIELDS LTD SPONS ADR Morgan Stanley Smi	05/23/08	08/19/09	\$ 1,236.27	\$ 1,489.23	(\$ 252.96)	\$ 0.00
	90	th Barney LLC acted as yo ur agent in this transa	06/19/08		1,059.66	987.83	71.83	0.00
125001750	6,000	GOLDMAN SACHS GROUP INC NOTES-BK/ENTRY DTD 06/28/2005 DUE 06/15/2010 RATE 4.500	06/15/07	12/09/09	6,122.88	5,823.90	298.98	144.36
						5,823.90	298.98	154.62
125001780	83	GRUPO TELEVISIA SA DE CV SPON ADR Morgan Stanley Smi	04/03/08	08/19/09	1,453.33	2,044.51	(591.18)	0.00
		th Barney LLC acted as yo				2,044.51	(591.18)	0.00
125001800	5	HSBC HLDG PLC SP ADR NEW Morgan Stanley Smi	07/25/08	08/19/09	267.92	409.26	(141.34)	0.00
		th Barney LLC acted as yo ur agent in this transa				409.26	(141.34)	0.00
125001810	60	HALLIBURTON CO HOLDINGS CO Morgan Stanley Smi	08/18/08	08/19/09	1,411.36	2,574.91	(1,163.55)	0.00
		th Barney LLC acted as yo ur agent in this transa				2,574.91	(1,163.55)	0.00
125001830	55	H J HEINZ CO Morgan Stanley Smi	08/09/07	08/19/09	2,081.75	2,309.40	(227.65)	0.00
		th Barney LLC acted as yo ur agent in this transa				2,309.40	(227.65)	0.00
125001860	60	HOME DEPOT INC Morgan Stanley Smi	01/06/06	08/19/09	1,597.95	2,425.80	(827.85)	0.00
	25	th Barney LLC acted as yo ur agent in this transa	06/15/06		665.81	927.37	(261.56)	0.00
	165		02/02/07		4,394.36	927.37	(261.56)	0.00
						6,736.13	(2,341.77)	0.00
	10		06/21/07		266.33	399.40	(133.07)	0.00
						399.40	(133.07)	0.00
	60		11/02/07		1,597.95	1,855.20	(257.25)	0.00
						1,855.20	(257.25)	0.00
	155		03/28/08		4,128.04	4,256.80	(128.76)	0.00
						4,256.80	(128.76)	0.00
	95		06/23/08		2,530.09	2,374.94	155.15	0.00
						2,374.94	155.15	0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001880	1	HONEYWELL INTL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/18/04	08/19/09	\$ 34.92	\$ 35.95 \$ 35.95	(\$ 1.03) (\$ 1.03)	\$ 0.00 \$ 0.00
	10		03/17/04		349.22	326.61	22.61	0.00
	55		01/04/05		1,920.68	326.61	22.61	0.00
	19		03/02/07		663.51	1,913.93	6.75	0.00
	15		08/17/07		523.82	1,913.93	6.75	0.00
						873.70	(210.19)	0.00
						873.70	(210.19)	0.00
						817.15	(293.33)	0.00
						817.15	(293.33)	0.00
125001930	125	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	2,342.84	3,300.00	(957.16)	0.00
	15		01/18/06		281.14	3,300.00	(957.16)	0.00
	30		05/18/06		562.28	337.15	(56.01)	0.00
	175		02/02/07		3,279.97	337.15	(56.01)	0.00
						559.32	2.96	0.00
						559.32	2.96	0.00
						3,694.25	(414.28)	0.00
						3,694.25	(414.28)	0.00
125001940	60	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/21/09	1,123.37	1,266.60	(143.23)	0.00
						1,266.60	(143.23)	0.00
125001950	13	INTL BUSINESS MACHINES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/16/07	08/21/09	1,553.79	1,425.89	127.90	0.00
						1,425.89	127.90	0.00
125001960	2	INTL BUSINESS MACHINES CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction	08/16/07	11/25/09	254.23	219.37	34.86	0.00
						219.37	34.86	0.00
125001970	4	INTL BUSINESS MACHINES CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction	08/16/07	12/17/09	513.17	438.74	74.43	0.00
						438.74	74.43	0.00
125002010	30	JPMORGAN CHASE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	1,237.21	1,528.20	(290.99)	0.00
	34		06/21/07		1,402.18	1,528.20	(290.99)	0.00
						1,691.16	(288.98)	0.00
						1,691.16	(288.98)	0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002020	36	JPMORGAN CHASE & CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/21/07	08/21/09	\$ 1,547.60	\$ 1,790.64 \$ 1,790.84	(\$ 243.04) (\$ 243.04)	\$ 0.00 \$ 0.00
125002030	5	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/21/07	11/12/09	221.54	248.70 248.70	(27.16) (27.16)	0.00 0.00
	2		11/02/07		88.62	88.90	(.28)	0.00
						88.90	(.28)	0.00
125002050	90	JARDINE MATHESON HLDGS LTD-USD-ADF Morgan Stanley Smi th Barney LLC acted as yo	04/04/08	08/19/09	2,506.50	2,671.00 2,671.00	(164.50) (164.50)	0.00 0.00
125002060	55	JOHNSON & JOHNSON Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/23/04	08/19/09	3,312.87	2,907.94 2,907.94	404.93 404.93	0.00 0.00
	35		10/19/05		2,108.19	2,237.06	(128.87)	0.00
	10		05/17/06		602.34	2,237.06	(128.87)	0.00
						602.58	(.24)	0.00
	160		02/02/07		9,637.44	10,656.00	(1,018.56)	0.00
	8		06/21/07		481.87	10,656.00	(1,018.56)	0.00
						495.92	(14.05)	0.00
						495.92	(14.05)	0.00
125002070	14	JOHNSON & JOHNSON Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/21/07	08/21/09	852.13	867.86 867.86	(15.73) (15.73)	0.00 0.00
125002120	15	KIMBERLY CLARK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/21/07	08/19/09	882.00	1,012.05 1,012.05	(130.05) (130.05)	0.00 0.00
	10		01/11/08		588.00	674.19	(86.19)	0.00
						674.19	(86.19)	0.00
125002130	7	KIMBERLY CLARK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/11/08	08/21/09	415.43	471.93 471.93	(56.50) (56.50)	0.00 0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002150	4	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/22/07	08/19/09	\$ 114.29	\$ 125.80	(\$ 11.51)	\$ 0.00
	55		04/19/07		1,571.50	\$ 125.80	(\$ 11.51)	\$ 0.00
	40		04/26/07		1,142.91	1,796.27	(224.77)	0.00
	50		01/02/08		1,428.63	1,796.27	(224.77)	0.00
						1,341.25	(198.34)	0.00
						1,610.25	(181.62)	0.00
						1,610.25	(181.62)	0.00
125002190	30	L 3 COMMUNICATIONS HLDGS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	2,217.60	2,278.80	(61.20)	0.00
	25		02/02/07		1,848.00	2,278.80	(61.20)	0.00
						2,167.75	(319.75)	0.00
						2,167.75	(319.75)	0.00
125002220	90	LAWSON SOFTWARE INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/13/08	08/19/09	545.43	651.84	(106.41)	0.00
						651.84	(106.41)	0.00
125002230	23	LIBERTY GLOBAL INC CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/03/06	08/19/09	482.54	478.69	3.85	0.00
	12		02/02/07		251.76	478.69	3.85	0.00
						357.60	(105.84)	0.00
						357.60	(105.84)	0.00
125002240	103	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	981.89	1,802.79	(820.90)	0.00
	137		02/02/07		1,306.01	1,802.79	(820.90)	0.00
	20		06/21/07		190.66	3,327.59	(2,021.58)	0.00
	90		11/02/07		857.96	471.20	(280.54)	0.00
	60		03/14/08		571.97	471.20	(280.54)	0.00
						1,908.00	(1,050.04)	0.00
						1,908.00	(1,050.04)	0.00
						955.66	(383.69)	0.00
						955.66	(383.69)	0.00
125002250	20	LIBERTY MEDIA HLDG CORP CAP SER A	01/06/06	08/19/09	331.14	206.40	124.74	0.00
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/02/07		82.78	206.40	124.74	0.00
	33		06/04/08		546.38	93.14	(10.36)	0.00
						93.14	(10.36)	0.00
						494.00	52.38	0.00
						494.00	52.38	0.00

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Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
2009 YEAR END SUMMARY								
11			06/11/08		\$ 182.13	\$ 164.99	\$ 17.14	\$ 0.00
111			06/23/08		1,837.82	1,665.00	172.82	0.00
						1,665.00	172.82	0.00
125002260	80	LIBERTY MEDIA CORP SER A	01/06/06	08/19/09	2,205.74	1,159.32	1,046.42	0.00
		LIBERTY ENTERTAINMENT				1,159.32	1,046.42	0.00
20		Morgan Stanley Sml	11/02/07		551.43	523.16	28.27	0.00
		th Barney LLC acted as yo				523.16	28.27	0.00
80			03/31/08		2,205.74	1,801.78	403.96	0.00
						1,801.78	403.96	0.00
125002400	.0947	MARRIOTT INTL INC NEW CL A	Unavailable	09/03/09	2.18			
		CASH IN LIEU OF .09475						
		RECORD 08/20/09 PAY 09/03/09						
125002450	35	MERCK & CO INC	03/17/08	08/19/09	1,103.29	1,466.82	(363.53)	0.00
		Morgan Stanley Sml				1,466.82	(363.53)	0.00
105		th Barney LLC acted as yo	03/26/08		3,309.86	4,646.07	(1,336.21)	0.00
		ur agent in this transa				4,646.07	(1,336.21)	0.00
125002480	15	MICROSOFT CORP	01/09/07	08/19/09	353.89	448.71	(94.82)	0.00
		Morgan Stanley Sml				448.71	(94.82)	0.00
340		th Barney LLC acted as yo	02/02/07		8,021.58	10,267.08	(2,245.50)	0.00
		ur agent in this transa				10,267.08	(2,245.50)	0.00
15			06/21/07		353.90	454.20	(100.30)	0.00
						454.20	(100.30)	0.00
125002490	35	MICROSOFT CORP	06/21/07	08/21/09	834.54	1,059.80	(225.26)	0.00
		Morgan Stanley Sml				1,059.80	(225.26)	0.00
41		th Barney LLC acted as yo	08/17/07		977.60	1,151.00	(173.40)	0.00
		ur agent in this transa				1,151.00	(173.40)	0.00
125002500	11	MICROSOFT CORP	08/17/07	11/04/09	308.85	308.81	.04	0.00
		Morgan Stanley Smith Barney LLC				308.81	.04	0.00
		acted as your agent						
		in this transaction						
125002590	145	NASDAQ OMX GROUP INC	05/08/08	08/19/09	2,942.43	5,654.67	(2,712.24)	0.00
		Morgan Stanley Sml				5,654.67	(2,712.24)	0.00
30		th Barney LLC acted as yo	06/11/08		608.78	956.55	(347.77)	0.00
		ur agent in this transa				956.55	(347.77)	0.00
40			07/09/08		811.70	994.44	(182.74)	0.00
						994.44	(182.74)	0.00

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125002600	10.8333	NATIONAL OILWELL VARCO INC	02/27/06	08/21/09	\$ 420.23	\$ 775.86	(\$ 355.63)	\$ 0.00
	10.1667	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07		394.38	\$ 775.86 728.12	(\$ 355.63) (333.74)	\$ 0.00 0.00
125002640	275	NIDEC CORPORATION	01/07/08	08/19/09	4,832.44	4,891.21	(58.77)	0.00
		SPON ADR				4,891.21	(58.77)	0.00
	75	Morgan Stanley Sml th Barney LLC acted as yo	03/24/08		1,317.94	1,190.27	127.67	0.00
	40	th Barney LLC acted as yo	08/07/08		702.80	1,190.27	127.67	0.00
						716.36	(13.46)	0.00
						716.36	(13.46)	0.00
125002760	5	NOVARTIS AG ADR	02/02/07	08/19/09	225.86	292.45	(66.59)	0.00
		Morgan Stanley Sml				292.45	(66.59)	0.00
	25	th Barney LLC acted as yo	02/20/08		1,129.30	1,239.17	(109.87)	0.00
	35	ur agent in this transa	04/01/08		1,581.02	1,239.17	(109.87)	0.00
						1,775.77	(194.75)	0.00
						1,775.77	(194.75)	0.00
125002770	25	NOVELLUS SYS INC	01/06/06	08/19/09	429.01	640.00	(210.99)	0.00
		Morgan Stanley Sml				640.00	(210.99)	0.00
	15	th Barney LLC acted as yo	01/13/06		257.40	381.32	(123.92)	0.00
	30	ur agent in this transa	08/14/07		514.81	381.32	(123.92)	0.00
						788.83	(274.02)	0.00
	30		08/31/07		514.81	808.47	(293.66)	0.00
	25		12/06/07		429.01	808.47	(293.66)	0.00
	25		04/03/08		429.01	651.11	(222.10)	0.00
						651.11	(222.10)	0.00
						585.44	(156.43)	0.00
						585.44	(156.43)	0.00
125002810	157	NVIDIA CORP	05/30/08	08/19/09	2,055.44	3,840.05	(1,784.61)	0.00
		Morgan Stanley Sml				3,840.05	(1,784.61)	0.00
	73	th Barney LLC acted as yo	06/02/08		955.72	1,794.91	(839.19)	0.00
	210	ur agent in this transa	07/08/08		2,749.32	1,794.91	(839.19)	0.00
						2,532.33	216.99	0.00
						2,532.33	216.99	0.00

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002870	15	PPG INDUSTRIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	\$ 796.80	\$ 1,016.25	(\$ 219.45)	\$ 0.00
	5		12/31/07		265.60	351.51	(85.91)	0.00
	5		01/18/08		265.60	351.51	(85.91)	0.00
	27		03/18/08		1,434.24	311.37	(45.77)	0.00
						1,597.42	(163.18)	0.00
125002880	2	PPG INDUSTRIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/18/08	08/21/09	109.08	118.33	(9.25)	0.00
						118.33	(9.25)	0.00
125002910	60	PALL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	1,783.92	1,635.00	148.92	0.00
	40		02/02/07		1,189.28	1,635.00	148.92	0.00
	20		11/02/07		594.64	1,408.80	(219.52)	0.00
						773.40	(178.76)	0.00
125003000	5,000	PEPSICO INC BOOK/ENTRY DTD 05/28/2003 DUE 06/01/2013 RATE 5.000	06/20/08	08/20/09	5,223.70	4,847.90	375.80	13.70
						4,847.90	375.80	362.10
125003010	40	PEPSICO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	2,256.40	2,387.60	(131.20)	0.00
	99		02/02/07		5,584.60	2,387.60	(131.20)	0.00
						6,446.39	(861.79)	0.00
125003020	11	PEPSICO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/02/07	08/21/09	633.03	716.26	(83.23)	0.00
						716.26	(83.23)	0.00
125003030	25	PFIZER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/15/05	08/19/09	408.25	637.26	(229.01)	0.00
	6		11/02/07		97.98	637.26	(229.01)	0.00
						144.18	(46.20)	0.00
125003040	19	PFIZER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/02/07	08/21/09	313.79	456.57	(142.78)	0.00
						456.57	(142.78)	0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003050	229	PFIZER INC MERGER 10/15/09 983024100000	11/02/07	10/16/09	\$ 4.09	\$ 5.49 \$ 5.49	(\$ 1.40) (\$ 1.40)	\$ 0.00 \$ 0.00
125003070	10	PITNEY BOWES INC Morgan Stanley Smi	03/16/07	08/19/09	215.40	449.99 449.99	(234.59) (234.59)	0.00 0.00
	55	th Barney LLC acted as yo ur agent in this transa	08/14/07		1,184.70	2,474.68 2,474.68	(1,289.98) (1,289.98)	0.00 0.00
125003100	70	PROCTER & GAMBLE CO Morgan Stanley Smi	02/02/07	08/19/09	3,701.74	4,561.01 4,561.01	(859.27) (859.27)	0.00 0.00
	33	th Barney LLC acted as yo ur agent in this transa	06/21/07		1,745.11	2,036.76 2,036.76	(291.65) (291.65)	0.00 0.00
125003110	25	PROCTER & GAMBLE CO Morgan Stanley Smi	06/21/07	08/21/09	1,330.19	1,543.00 1,543.00	(212.81) (212.81)	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125003130	35	PUBLICIS GROUPE ADR Morgan Stanley Smi	04/04/08	08/19/09	1,215.90	1,376.04 1,376.04	(160.14) (160.14)	0.00 0.00
	45	th Barney LLC acted as yo ur agent in this transa	08/07/08		1,563.30	1,487.21 1,487.21	76.09 76.09	0.00 0.00
125003180	5	RAYTHEON COMPANY NEW Morgan Stanley Smi	01/06/06	08/19/09	236.55	201.58 201.58	34.97 34.97	0.00 0.00
	50	th Barney LLC acted as yo ur agent in this transa	02/02/07		2,365.50	2,718.50 2,718.50	(353.00) (353.00)	0.00 0.00
125003190	6	RAYTHEON COMPANY NEW Morgan Stanley Smi	11/02/07	08/21/09	290.53	377.94 377.94	(87.41) (87.41)	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125003220	60	ROCHE HLDG LTD SPON ADR Morgan Stanley Smi	08/11/08	08/19/09	2,371.64	2,596.84 2,596.84	(225.20) (225.20)	0.00 0.00
		th Barney LLC acted as yo ur agent in this transa						
125003230	25	ROYAL DUTCH SHELL PLC ADR CL A	02/02/07	08/19/09	1,315.75	1,703.25 1,703.25	(387.50) (387.50)	0.00 0.00
	10	Morgan Stanley Smi	05/24/07		526.30	754.52 754.52	(228.22) (228.22)	0.00 0.00
	5	th Barney LLC acted as yo	11/02/07		263.15	429.20 429.20	(166.05) (166.05)	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003240	85	RYANAIR HLDGS PLC SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/28/08	08/19/09	\$ 2,272.71	\$ 2,458.74 \$ 2,458.74	(\$ 186.03) (\$ 186.03)	\$ 0.00 \$ 0.00
125003260	125	SABMILLER PLC SPON ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/04/08	08/19/09	2,721.25	2,796.44 2,796.44	(75.19) (75.19)	0.00 0.00
125003300	15	SANDISK CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/26/06	08/19/09	250.65	1,022.86 1,022.86	(772.21) (772.21)	0.00 0.00
	5		02/24/06		83.55	283.68 283.68	(200.13) (200.13)	0.00 0.00
	10		06/27/06		167.10	513.62 513.62	(346.52) (346.52)	0.00 0.00
	10		11/29/06		167.10	438.65 438.65	(271.55) (271.55)	0.00 0.00
	45		02/02/07		751.96	1,830.11 1,830.11	(1,078.15) (1,078.15)	0.00 0.00
	15		11/02/07		250.65	660.00 660.00	(409.35) (409.35)	0.00 0.00
	90		03/17/08		1,503.93	1,937.93 1,937.93	(434.00) (434.00)	0.00 0.00
	38		07/09/08		635.01	663.53 663.53	(28.52) (28.52)	0.00 0.00
125003310	10	SCHLUMBERGER LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/12/07	08/19/09	526.22	574.65 574.65	(48.43) (48.43)	0.00 0.00
	15		01/16/07		789.33	865.11 865.11	(75.78) (75.78)	0.00 0.00
125003350	17	SEARS HOLDINGS CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/03/08	08/19/09	1,244.91	1,795.71 1,795.71	(550.80) (550.80)	0.00 0.00
	8		01/04/08		585.84	821.88 821.88	(236.04) (236.04)	0.00 0.00
	6		01/10/08		439.38	590.88 590.88	(151.50) (151.50)	0.00 0.00
	24		01/11/08		1,757.52	2,358.13 2,358.13	(600.61) (600.61)	0.00 0.00
	30		03/17/08		2,196.90	2,815.60 2,815.60	(618.70) (618.70)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003370	20	SIEMENS A G SPONS ADR Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/19/09	\$ 1,602.20	\$ 2,191.40 \$ 2,191.40	(\$ 589.20) (\$ 589.20)	\$ 0.00 \$ 0.00
	15		03/08/07		1,201.65	1,570.95	(369.30)	0.00
	5		11/02/07		400.55	1,570.95 661.10 661.10	(369.30) (260.55) (260.55)	0.00 0.00 0.00
125003380	231,3229	SILICONWARE PRECISION INDS SPON ADR	02/02/07	08/19/09	1,466.93	1,956.28 1,956.28	(489.35) (489.35)	0.00 0.00
	41,1241	Morgan Stanley Sml th Barney LLC acted as yo	06/21/07		260.79	457.59	(196.80)	0.00
	61 553		11/02/07		390.34	457.59 648.44 648.44	(196.80) (258.10) (258.10)	0.00 0.00 0.00
125003400	25	SIMPSON MFG CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/12/06	08/19/09	673.44	734.79 734.79	(61.35) (61.35)	0.00 0.00
125003420	60	SPECTRA ENERGY CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/13/07	08/19/09	1,114.96	1,540.03 1,540.03	(425.07) (425.07)	0.00 0.00
	20		04/16/07		371.65	519.65	(148.00)	0.00
	50		08/22/07		929.13	619.65 1,118.89 1,118.89	(148.00) (189.76) (189.76)	0.00 0.00 0.00
125003440	60	STATE STREET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/05/07	08/19/09	3,076.29	4,036.70 4,036.70	(960.41) (960.41)	0.00 0.00
	10		05/22/07		512.72	686.07	(173.35)	0.00
125003470	720	SUMITOMO MITSUI FINL GROUP INC ADR	04/28/08	08/19/09	3,016.80	6,153.84 6,153.84	(3,137.04) (3,137.04)	0.00 0.00
125003500	114	TEXAS INSTRUMENTS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	2,725.79	3,946.01 3,946.01	(1,220.22) (1,220.22)	0.00 0.00
	25		11/21/06		597.76	745.04	(147.28)	0.00
	225		02/02/07		5,379.84	745.04 7,054.88 7,054.88	(147.28) (1,675.04) (1,675.04)	0.00 0.00 0.00
	20		02/12/07		478.21	609.95 609.95	(131.74) (131.74)	0.00 0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	45		02/26/07		\$ 1,075.97	\$ 1,431.52	(\$ 355.55)	\$ 0.00
	60		04/18/07		1,434.62	\$ 1,431.52	(\$ 355.55)	\$ 0.00
	55		08/17/07		1,315.07	1,928.87	(494.25)	0.00
	126		07/23/08		3,012.71	1,819.89	(504.82)	0.00
						1,819.89	(504.82)	0.00
						3,148.37	(135.66)	0.00
						3,148.37	(135.66)	0.00
125003510	50	3M COMPANY	08/17/07	08/19/09	3,542.50	4,355.70	(813.20)	0.00
	3	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/08/08		212.55	4,355.70	(813.20)	0.00
						242.41	(29.86)	0.00
						242.41	(29.86)	0.00
125003520	2	3M COMPANY	01/08/08	08/21/09	144.79	161.60	(16.81)	0.00
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa				161.60	(16.81)	0.00
						161.60	(16.81)	0.00
125003570	150	TOKIO MARINE HLDGS INC ADR	02/02/07	08/19/09	4,216.46	5,572.50	(1,356.04)	0.00
	30	Morgan Stanley Smi th Barney LLC acted as yo	11/02/07		843.29	5,572.50	(1,356.04)	0.00
						1,156.50	(313.21)	0.00
						1,156.50	(313.21)	0.00
125003590	200	TOMRA SYSTEMS A/S SPONSORED ADR NEW	04/04/08	08/19/09	840.00	1,510.38	(670.38)	0.00
	85	Morgan Stanley Smi th Barney LLC acted as yo	08/07/08		357.00	1,510.38	(670.38)	0.00
						607.72	(250.72)	0.00
						607.72	(250.72)	0.00
125003620	39	TRAVELERS COMPANIES INC	02/02/07	08/19/09	1,859.13	2,045.55	(186.42)	0.00
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa				2,045.55	(186.42)	0.00
						2,045.55	(186.42)	0.00
125003630	6	TRAVELERS COMPANIES INC	02/02/07	08/21/09	290.81	314.70	(23.89)	0.00
	7	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/02/07		339.28	314.70	(23.89)	0.00
						363.86	(24.58)	0.00
						363.86	(24.58)	0.00
125003640	7	TRAVELERS COMPANIES INC	11/02/07	11/12/09	375.41	363.86	11.55	0.00
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction				363.86	11.55	0.00
						363.86	11.55	0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003670	30	UNILEVER PLC SPONS ADR NEW Morgan Stanley Sml	01/26/06	08/19/09	\$ 815 25	\$ 699 23	\$ 116 02	\$ 0.00
	115	th Barney LLC acted as your agent in this transaction	02/09/07		3,125.13	\$ 899.23	\$ 118.02	\$ 0.00
						3,107.30	17.83	0.00
						3,107.30	17.83	0.00
125003680	1	UNILEVER PLC SPONS ADR NEW Morgan Stanley Sml	08/17/07	08/21/09	27 71	30 05	(2 34)	0.00
		th Barney LLC acted as your agent in this transaction				30 05	(2.34)	0.00
125003690	124	UNILEVER NV NY SHS-NEW Morgan Stanley Sml	02/07/08	08/19/09	3,423 89	3,791 51	(367 62)	0.00
		th Barney LLC acted as your agent in this transaction				3,791.51	(367.62)	0.00
125003700	1	UNILEVER NV NY SHS-NEW Morgan Stanley Sml	02/07/08	08/21/09	28 32	30 58	(2 26)	0.00
	6	th Barney LLC acted as your agent in this transaction	03/28/08		169 91	200 97	(31 06)	0.00
						200.97	(31.06)	0.00
125003720	30	UNITED PARCEL SERVICE CL B Morgan Stanley Sml	02/02/07	08/19/09	1,578 72	2,232 90	(654 18)	0.00
	10	th Barney LLC acted as your agent in this transaction	11/02/07		526 24	2,232 90	(654 18)	0.00
	10		02/11/08		526 24	737 90	(211 66)	0.00
	20		03/14/08		1,052.48	737.90	(211.66)	0.00
	10		03/17/08		526 24	700.06	(173 82)	0.00
	10		06/16/08		526 24	700 06	(173 82)	0.00
	10		06/17/08		526 24	1,398 83	(346 35)	0.00
	7		07/16/08		368 37	1,398 83	(346 35)	0.00
						693 88	(167 64)	0.00
						693 88	(167 64)	0.00
						679 63	(153 39)	0.00
						679 63	(153 39)	0.00
						679 05	(152 81)	0.00
						679 05	(152 81)	0.00
						405 28	(36 91)	0.00
						405 28	(36 91)	0.00
125003730	5	UNITED PARCEL SERVICE CL B Morgan Stanley Sml	07/24/08	08/21/09	267 42	314 94	(47 52)	0.00
		th Barney LLC acted as your agent in this transaction				314 94	(47 52)	0.00

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003740	5,000	U S TREASURY NOTES SER E-2016 DTD 8/15/2003 DUE 08/15/2016 RATE 4.875 YIELD 3.056MTY	04/07/08	08/25/09	\$ 5,566.99	\$ 5,524.61 \$ 6,447.70	\$ 42.38 \$ 119.29	\$ 0.00 \$ 119.29
125003750	7,000	U S TREASURY NOTES SER E-2016 DTD 8/15/2006 DUE 08/15/2016 RATE 4.875 YIELD 2.770MTY	04/07/08	12/10/09	7,892.50	7,734.45 7,603.28	158.05 289.24	0.00 289.24
125003790	3,000	U S TREASURY NOTES SER E-2016 DTD 8/15/2006 DUE 08/15/2016 RATE 4.875 YIELD 2.770MTY	06/05/08		3,382.50	3,207.66 3,174.03	174.84 208.47	0.00 208.47
125003800	16	UNITED TECHNOLOGIES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07	08/21/09	921.70	1,088.96 1,088.96	(167.26) (167.28)	0.00 0.00
125003870	60	UNITEDHEALTH GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/06/06	08/19/09	1,679.55	3,743.40 3,743.40	(2,063.85) (2,063.85)	0.00 0.00
125003900	90	UNITEDHEALTH GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07		2,519.33	4,755.60 4,755.60	(2,236.27) (2,236.27)	0.00 0.00
125003950	10	UNITEDHEALTH GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/21/07		279.93	517.50 517.50	(237.57) (237.57)	0.00 0.00
125003980	60	UNITEDHEALTH GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/02/07		1,679.55	2,897.40 2,897.40	(1,217.85) (1,217.85)	0.00 0.00
125003990	5	VERIZON COMMUNICATIONS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/12/04	08/21/09	155.99	170.86 170.86	(14.87) (14.87)	0.00 0.00
125004000	12	VERIZON COMMUNICATIONS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/07/05		374.39	456.76 456.76	(82.37) (82.37)	0.00 0.00
125004010	65 2174	VODAFONE GROUP PLC SPONS ADR NEW	01/06/06	08/19/09	1,395.78	1,750.06 1,750.06	(354.28) (354.28)	0.00 0.00
125004020	10.4348	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/20/06		223.33	248.26 248.26	(24.93) (24.93)	0.00 0.00
125004030	6 087	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/23/06		130.27	149.42 149.42	(19.15) (19.15)	0.00 0.00
125004040	9.5652	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/24/06		204.71	230.71 230.71	(26.00) (26.00)	0.00 0.00
125004050	8.6956	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/09/06		186.10	207.83 207.83	(21.73) (21.73)	0.00 0.00
125004060	40	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/02/07		856.08	1,166.40 1,166.40	(310.32) (310.32)	0.00 0.00

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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	85		10/02/07		\$ 1,819.17	\$ 3,074.93	(\$ 1,255.76)	\$ 0.00
	7		11/02/07		149.81	266.84	(117.03)	0.00
						266.84	(117.03)	0.00
125003920	15	WAL-MART STORES INC Morgan Stanley SMI	09/09/05	08/21/09	781.02	689.35	91.67	0.00
	2	th Barney LLC acted as your agent in this transaction	11/10/06		104.14	689.35	91.67	0.00
						92.99	11.15	0.00
						92.99	11.15	0.00
125003930	8	WAL-MART STORES INC Morgan Stanley SMI	11/10/06	09/11/09	405.25	371.95	33.31	0.00
	12	th Barney LLC acted as your agent in this transaction	02/02/07		607.88	371.95	33.31	0.00
						577.92	29.96	0.00
						577.92	29.96	0.00
125003960	95	WASTE MGMT INC DEL Morgan Stanley SMI	02/02/07	08/19/09	2,774.19	3,606.20	(832.01)	0.00
		th Barney LLC acted as your agent in this transaction				3,606.20	(832.01)	0.00
125003970	3	WELLS FARGO & CO NEW Morgan Stanley SMI	02/02/07	08/19/09	79.56	107.76	(28.20)	0.00
		th Barney LLC acted as your agent in this transaction				107.76	(28.20)	0.00
125003980	13	WELLS FARGO & CO NEW Morgan Stanley SMI	02/02/07	08/21/09	362.70	466.96	(104.26)	0.00
		th Barney LLC acted as your agent in this transaction				466.96	(104.26)	0.00
125003990	40	WELLS FARGO & CO NEW Morgan Stanley Smith Barney LLC	02/02/07	12/15/09	1,042.66	1,436.80	(394.14)	0.00
		acted as your agent in this transaction.				1,436.80	(394.14)	0.00
125004000	5	WEYERHAEUSER CO Morgan Stanley SMI	05/05/04	08/19/09	177.97	292.37	(114.40)	0.00
	5	th Barney LLC acted as your agent in this transaction	05/17/04		177.97	285.19	(107.22)	0.00
						285.19	(107.22)	0.00
	35		11/03/05		1,245.79	2,207.54	(961.75)	0.00
						2,207.54	(961.75)	0.00
	40		04/29/08		1,423.76	2,600.84	(1,177.08)	0.00
						2,600.84	(1,177.08)	0.00

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

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Morgan Stanley
Smith Barney

Corrected Copy as of 03/05/10
Ref: 00000189 00003058

KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004030	65	WILLIAMS SONOMA INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/17/08	08/19/09	\$ 927.23	\$ 1,430.00 \$ 1,430.00	(\$ 502.77) (\$ 502.77)	\$ 0.00 \$ 0.00
125004040	150	WINDSTREAM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/24/07	08/19/09	1,243.91	2,250.42 2,250.42	(1,006.51) (1,006.51)	0.00 0.00
	10		06/21/07		82.93	150.70	(67.77)	0.00
	60		08/22/07		497.56	150.70	(67.77)	0.00
	30		11/02/07		248.78	807.91	(310.35)	0.00
						393.90	(145.12)	0.00
						393.90	(145.12)	0.00
Total					\$ 532,472.21	\$ 654,061.72 \$ 653,736.82	(\$ 121,591.69) (\$ 121,266.79)	\$ 206.47 \$ 2,314.71

Details of Accrued Income 2009

This section shows your accrued income paid or received as a result of purchases and sales.

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120003200	AT&T INC BOOK/ENTRY DTD 02/01/2008 DUE 02/01/2018 RATE 5.500	12/15/09	\$ 41.86	
120009200	BP CAPITAL MARKETS PLC DTD 11/07/08 DUE 11/07/2013 RATE 5.250	12/15/09	5.98	
120027100	FEDERAL HOME LOAN MTG CORP MEDIUM TERM NOTES-BK/ENTRY DTD 01/30/2004 DUE 01/30/2014 RATE 5.000	08/25/09		14.44a
120027200	FEDERAL HOME LOAN MTG CORP GLOBAL DEBS-BK/ENTRY DTD 03/15/2001 DUE 03/15/2011 RATE 5.625	08/25/09	125.78	

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