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Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: RICHARD H. SIMONS CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address): C/O ROBERT M. KRAMER ESQ, 4000 HOLLYWOOD BLVD — Room/suite: 485 SOUTH

City or town, state, and ZIP code: HOLLYWOOD FL 33021

A Employer identification number: 59-7034786

B Telephone number (see page 10 of the instructions): 954-966-2112

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,314,739

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	22,757	22,757		
	4 Dividends and interest from securities	37,377	37,377		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	-5,515			
	b Gross sales price for all assets on line 6a 229,258				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	90,000	90,000		
	12 **Total.** Add lines 1 through 11	144,619	150,134		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	204,000	40,800		163,200
	14 Other employee salaries and wages	3,600	720		2,880
	15 Pension plans, employee benefits	38,314	7,663		30,651
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,500	2,500		10,000
	c Other professional fees (attach schedule)	5,188	1,038		4,150
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	9,855	1,971		7,884
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	11	2		9
	24 **Total operating and administrative expenses.** Add lines 13 through 23	273,468	54,694		218,774
	25 Contributions, gifts, grants paid	99,565			99,565
	26 **Total expenses and disbursements.** Add lines 24 and 25	373,033	54,694		318,339
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-228,414			
	b **Net investment income** (if negative, enter -0-)		95,440		
	c **Adjusted net income** (if negative, enter -0-)				

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(HTA)

8

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	266,253	141,985	141,985
	3 Accounts receivable ▶			
	Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less. allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	356,684	325,334	311,726
	c Investments—corporate bonds (attach schedule)	379,397	379,397	448,561
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,430,873	3,358,080	3,409,421
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ PREPAID TAXES)	5,868	3,046	3,046
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,439,075	4,207,842	4,314,739
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	4,439,075	4,207,842	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see page 17 of the instructions)	4,439,075	4,207,842	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	4,439,075	4,207,842	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,439,075
2	Enter amount from Part I, line 27a	2	-228,414
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,210,661
5	Decreases not included in line 2 (itemize) ▶ TAX PAYMENTS	5	2,819
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,207,842

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PER SCHEDULE ATTACHED		VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	229,258		234,773	-5,515
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-5,515
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-5,515
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	367,126	4,970,815	0.073856
2007	383,777	4,501,309	0 085259
2006	427,791	4,405,125	0 097112
2005	408,523	5,487,856	0.074441
2004	396,171	5,432,476	0 072926

2 **Total** of line 1, column (d)	2	0 403594
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 080719
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,247,999
5 Multiply line 4 by line 3	5	342,894
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	954
7 Add lines 5 and 6	7	343,848
8 Enter qualifying distributions from Part XII, line 4	8	318,339

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,909	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3 Add lines 1 and 2	3	1,909	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,909	
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a — 3,046			
b Exempt foreign organizations—tax withheld at source — 6b			
c Tax paid with application for extension of time to file (Form 8868) — 6c			
d Backup withholding erroneously withheld — 6d			
7 Total credits and payments. Add lines 6a through 6d	7	3,046	
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,137	
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 1,137 **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

	Line	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT M. KRAMER, ESQ Telephone no ▶ 954-966-2112

Located at ▶ 4000 HOLLYWOOD BOULEVARD SUITE 485 SOUTH HOLLYWOOD FL ZIP+4 ▶ 33021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT M KRAMER 4000 HOLLYWOOD BLVD #485 SOUTH HOLL	TRUSTEE 15HOURS/WK	204,000	34,001	

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . ▶ 1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2 NOT APPLICABLE	
All other program-related investments See page 24 of the instructions 3 NOT APPLICABLE	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,109,236
b	Average of monthly cash balances	1b	200,407
c	Fair market value of all other assets (see page 24 of the instructions)	1c	3,046
d	**Total** (add lines 1a, b, and c)	1d	4,312,689
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,312,689
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	64,690
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,247,999
6	**Minimum investment return.** Enter 5% of line 5	6	212,400

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	212,400
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,909		
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,909
3	Distributable amount before adjustments Subtract line 2c from line 1			3	210,491
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	210,491
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	210,491

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	318,339
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	318,339
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	318,339

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				210,491
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only				
b	Total for prior years 20____, 20____, 20___				
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 — 125,250				
b	From 2005 — 137,668				
c	From 2006 — 214,413				
d	From 2007 — 170,448				
e	From 2008 — 121,407				
f	Total of lines 3a through e	769,186			
4	Qualifying distributions for 2009 from Part XII, line 4. ▶ $ 318,339				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2009 distributable amount				210,491
e	Remaining amount distributed out of corpus	107,848			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	877,034			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e	Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	125,250			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	751,784			
10	Analysis of line 9				
a	Excess from 2005 — 137,668				
b	Excess from 2006 — 214,413				
c	Excess from 2007 — 170,448				
d	Excess from 2008 — 121,407				
e	Excess from 2009 — 107,848				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* PER SCHEDULE ATTACHED				99,565
Total ▶ 3a				99,565
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				22,757	
4 Dividends and interest from securities				37,377	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a ANNUITY INCOME				90,000	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				150,134	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 150,134

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Robert M. Simons 05.11.2010 Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature: Robert Weiner	Date: 5/11/2010	Check if self-employed ☒
Preparer's identifying number (see Signature on page 30 of the instructions)		
Firm's name (or yours if self-employed), address, and ZIP code: Robert L. Weiner CPA, P O. Box 39756, Fort Lauderdale, FL 33339-9756	EIN	Phone no. (954) 561-2040

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Securities	229,258	234,773	-5,515
Other sales			

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis (Enter one field only): Cost	Cost or Other Basis (Enter one field only): Donated Value	Expense of Sale and Cost of Improvements	Depreciation
1	X	PER SCHEDULE ATTACHED				VARIOUS		VARIOUS	229,258	234,773			
2													
3													
4													
5													
6													
7													
8													
9													
10													

Line 11 (990-PF) - Other Income

			90,000	
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ANNUITY INCOME		90,000	
2				
3				
4				
5				
6				
7				
8				
9				
10				

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		12,500	2,500		10,000
1	ROBERT L. WEINER CPA	12,500	2,500		10,000
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		5,188	1,038		4,150
1	NFP	5,188	1,038		4,150
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		9,855	1,971		7,884
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	Payroll taxes	9,855	1,971		7,884
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		11	2		9
1	Amortization See attached statement				
2	Fund Raising				
3	SEMINARS, TRAVEL, MISC				
4	UNCLASSIFIED	11	2		9
5					
6					
7					
8					
9					
10					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			356,684	325,334	231,896	311,726
1						
2						
3	NFP SECURITIES		356,684	325,334	231,896	311,726
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

				379,397	379,397	406,519	448,561
	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	NFP SECURITIES STATEMENT			379,397	379,397	406,519	448,561
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part II, Line 13 (990-PF) - Investments - Other

	Item or Category	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
			3,430,873	3,358,080	3,409,421
1	MUTUAL FUNDS	AT COST	1,671,154	1,598,361	1,701,471
2	ANNUITIES	AT COST	1,759,719	1,759,719	1,707,950
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 15 (990-PF) - Other Assets

		5,868	3,046	3,046
	Description	Beginning Balance	Ending Balance	Fair Market Value
1	PREPAID TAXES	5,868	3,046	3,046
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	TAX PAYMENTS	1	2,819
2	Total	2	2,819

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
					Totals	229,258		234,773	-5,515				-5,515
1	PER SCHEDULE ATTACHED			VARIOUS	VARIOUS	229,258		234,773	-5,515				-5,515
2													
3													
4													
5													
6													
7													
8													
9													
10													

Part XVI-A, Lines 1a-1f (990-PF) - Program Service Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	ANNUITY INCOME				90,000	
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

RICHARD H. SIMONS CHARITABLE TRUST
SCHEDULE OF GRANTS PAID
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2009
E.I # 59-7034786

GRANTEE:	AMOUNT
Anti-Defamation League	$5,025
Baptist/South Miami Hospital	$5,000
Boys and Girls Club	$12,110
Children's Bereavement	$1,425
David Posnack Jewish Community Center	$5,000
Diabetes Research	$1,000
Fairchild Tropical Gardens	$15,150
Jewish Federation	$2,500
Joe DiMaggio Children's Hospital	$300
Organization for Tropical Studies	$10,000
South Florida Chapter, Multiple Sclerosis	$300
Temple Judea	$2,500
United Jewish Committee	$1,000
United Way of Miami	$17,500
University of Florida Law	$20,755
	$99,565

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E.I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2009
(UNAUDITED)

SHARES	DESCRIPTION	PROCEEDS	BASIS	GAIN/ LOSS
41.000	WPP PLC	$1,240	$2,315	-$1,075
10.000	COSTCO WHOLESALE CORP	$469	$543	-$74
10 000	NETFLIX COM INC	$347	$243	$131
20.000	UNITEDHEALTH GROUP	$576	$1,070	-$494
12.000	SCHLUMBERGER LTD	$482	$1,099	-$617
37.000	OLYMPUS CORP	$708	$1,143	-$435
31.000	ABB LTD	$460	$296	$164
22 000	MACY'S INC	$228	$991	-$763
8.000	3M COMPANY	$450	$753	-$303
9.000	AMGEN INC	$515	$501	$14
9.000	HARTFORD FINL SVCS GROUP INC	$160	$864	-$704
18.000	KEYCORP NEW EXEC ON MULT EXCH	$154	$222	-$68
14.000	LILLY ELI & CO	$543	$732	-$189
50 000	CARMAX INC	$459	$1,255	-$796
10 000	UNITEDHEALTH GROUP	$290	$514	-$224
5.000	EVEREST REINSURANCE GROUP LTD	$358	$445	-$87
15 000	LIBERTY MEDIA CORP	$268	$368	-$100
19.000	WHOLE FOODS MKT INC	$246	$723	-$477
45.000	NETFLIX COM INC	$1,642	$879	$763
60.000	FAIRCHILD SEMICONDUCTOR INTL	$287	$860	-$573
35 000	HEADWATERS INC	$144	$416	-$272
14 000	GLAXOSMITHKLINE PLC	$518	$778	-$260
21.000	SWISS REINSURANCE CO	$338	$1,819	-$1,481
11.000	BANCO SANTANDER CHILE	$395	$398	-$3
68 000	COMPANHIA VALE DO RIO DOCE	$852	$1,730	-$878
2.000	NITTO DENKO CORP	$364	$567	-$203
3 000	CME GROUP INC	$534	$1,717	-$1,183
21.000	DAIMLER AG ORD ISIN	$510	$1,569	-$1,059
10.000	CREDICORP LTD	$367	$374	-$7
64.000	UCBH HLDGS INC EXEC BATS EXCH	$111	$429	-$318
21.000	JONES APPAREL GROUP	$57	$417	-$360
4.000	CENTRAL EUROPEAN DISTR CORP	$25	$117	-$92
38.000	TAKEDA PHARMACEUTICAL CO LTD	$575	$945	-$370
25 000	LOGITECH INTL SA APPLES NAMEN AKT	$230	$590	-$360
65 000	REDWOOD TRUST INC	$844	$1,949	-$1,105
107.000	AMBAC FINL GROUP INC FRMLY AMBAC INC	$52	$3,408	-$3,356
35.000	SCHERING PLOUGH CORP	$854	$656	$198
25.000	LUXOTTICA GROUP SPA	$361	$617	-$256
4.000	BANK YOKOHAMA LTD JAPAN	$172	$181	-$9
14.000	BOEING CO	$528	$1,375	-$847
7.000	GRUPO AEROPORTUARIO DEL SURESTE SA	$243	$208	$35
11.000	COMPANHIA DE BEBIDAS SPONS	$581	$542	$39

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2009
(UNAUDITED)

5.000	IDEXX LABS CORP	$200	$219	-$19
10.000	MEDTRONIC INC	$299	$322	-$23
50.000	YAHOO INC	$758	$1,024	-$266
20.000	ALLERGAN INC	$924	$1,254	-$330
29.000	ASML HOLDING NV NY	$614	$664	-$50
2073 828	MUTUAL SERIES GLOBAL DISCOVERY CLASS Z	$49,978	$40,801	$9,177
20 000	NETFLIX COM INC	$1,192	$466	$726
15.000	YAHOO INC	$246	$192	$54
110.000	COMCAST CORP NEW	$1,532	$2,520	-$988
26.000	NIDEC CORP	$368	$432	-$64
41.000	AETNA INC	$1,124	$1,831	-$707
13.000	AMERICAN EXPRESS CO EXEC BATS	$343	$753	-$410
34 000	ANDARKO PETE CORP	$1,703	$1,706	-$3
79.000	BANK OF AMERICA CORP NFS CROSS TRADE	$915	$3,533	-$2,618
14.000	CARNIVAL CORP PAIRED CTF	$377	$519	-$142
58.000	CHESAPEAKE ENERGY CORP	$1,519	$882	$637
55 000	CITIGROUP INC NFS CROSS TRADE	$203	$1,458	-$1,255
55.000	CONOCOPHILLIPS	$2,611	$3,589	-$978
25.000	DEVON ENERGY CORP	$1,651	$1,986	-$335
9.000	EATON CORP	$422	$442	-$20
8.000	FEDEX CORP	$464	$653	-$189
744.784	ING GLOBAL REAL ESTATE CLASS I	$9,161	$13,484	-$4,323
87.000	LOWES COMPANIES EXEC BATS	$1,758	$2,654	-$896
7.000	NEWMONT MNG CORP HLDG CO	$340	$214	$126
11.000	NORTHROP GRUMMAN CORP HOLDING CO	$535	$835	-$300
10.000	OCCIDENTAL PETROLEUM CORP	$695	$496	$199
24.000	PHILIP MORRIS INTL INC	$1,050	$873	$177
82.000	STAPLES INV	$1,760	$1,873	-$113
10.000	TRAVELERS COS INC	$423	$421	$2
17.000	UNITED TECHNOLOGIES CORP	$935	$1,111	-$176
112.000	UNITEDHEALTH GROUP EXEC BATS	$3,061	$3,443	-$382
20.000	VALERO ENERGY CORP	$460	$979	-$519
31 000	WYETH AVERAGE PRICE TRADE	$1,390	$1,498	-$108
12.000	ZIMMER HLDGS INC FCMS SMART ROUTER	$549	$473	$76
1.000	CONOCOPHILLIPS	$48	$56	-$8
23.000	PNC FINL SVCS GROUP	$1,000	$1,637	-$637
30.000	COCA COLA HELLENIC BOTTLING CO	$611	$410	$201
20.000	SHISEIDO LTD	$321	$470	-$149
5.000	MOBILE TELESYSTEMS OJSC SPONS	$178	$359	-$182
25.000	NTT DOCOMO INC SPON	$359	$360	-$1
12.000	TURKCELL ILETISIM HIZMETLERI	$164	$196	-$32
67.000	ARM HOLDINGS PLC SPONS	$392	$340	$53
36.000	HEINEKEN N V	$670	$905	-$235
63.000	LADBROKES PLC	$183	$346	-$163
14.000	WOLTERS KLUWERS	$241	$234	$8
11.000	HEWLETT-PACKARD CO DE	$408	$390	$18
9 000	VIVENDI SA UNSP ADR	$221	$233	-$12
59 000	WELLS FARGO & CO NEW	$1,473	$1,460	$13

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2009
(UNAUDITED)

15.000	TELEFONICA SA	$1,058	$1,154	-$96
25.000	CISCO SYS INC AVERAGE PRICE	$536	$592	-$56
1.000	GOOGLE INC CL A	$456	$503	-$47
33.000	UBS AG CHFO	$510	$297	$213
30.000	ALSTATE CORP	$826	$884	-$58
99.000	ALTRIA GROUP INC	$1,751	$2,143	-$392
22.000	GOLD FIELDS ADR	$258	$233	$25
41.000	NOKIA OYJ ADR	$506	$1,124	-$618
68.000	EAST JAPAN RAILWAY CO	$702	$864	-$162
2.000	SECOM CO ADR	$172	$161	$11
3034.901	MUTUAL SERIES GLOBAL DISCOVERY CLASS Z	$79,983	$59,715	$20,268
50.000	NEWS CORP CL A AVERAGE PRICE	$524	$1,028	-$504
12.000	NRG ENERGY INC COM NEW AVERAGE PRICE	$324	$285	$39
2.000	MARKEL CORP HLDG CO	$640	$970	-$330
36.000	DR REDDYS LABORATORIES ADS	$585	$517	$68
18.000	ESSILOR INTERNATIONAL SPONS ADR	$481	$464	$17
30.000	RAYTHEON CO COM NEW ISIN	$1,442	$1,888	-$446
37.000	TAIWAN SEMICONDUCTOR	$400	$379	$21
11.000	VEOLIA ENVIRONNEMENT ADR	$435	$459	-$24
8.000	BOEING CO	$414	$379	$35
18.000	VALIDUS HOLDING LTD COM STK	$447	$467	-$20
36.000	BLOCK H & R INC	$663	$810	-$147
1.000	GOOGLE INC CL A	$557	$503	$54
54.000	TYCO ELECTRONICS LTD	$1,161	$1,798	-$637
26.000	VARIAN MEDICAL SYSTEMS	$1,014	$1,351	-$337
13 000	FOMENTO ECONOMICO MEXICANO	$578	$311	$267
66.000	ATT INC	$1,707	$2,121	-$414
19.000	SUNTRUST BANKS INC	$393	$523	-$130
4.000	COLGATE-PALMOLIVE	$312	$275	$37
105.000	BLOUNT INTL INC	$1,033	$1,404	-$371
17.000	MBIA INC	$55	$1,113	-$1,058
59.000	GARMIN COM SSTK US	$1,907	$3,193	-$1,286
150.000	SCHERING PLOUGH (MERGER)	$1,575	$0	$1,575
0.000	MERCK (MERGER)	$17	$0	$17
4.000	AEROPOSTALE	$153	$114	$39
88.000	ANWORTH MTG ASSET CORP	$614	$674	-$60
31.000	DCT INDL TR INC	$146	$332	-$186
15.000	FIRST CASH FINANCIAL	$264	$244	$20
21 000	MADDEN STEVEN LTD	$764	$473	$291
30 000	NOVATEL WIRELESS INC	$275	$349	-$74
4 000	STERIS CORP	$133	$107	$26
10 000	WASTE CONNECTIONS INC	$316	$306	$10
19.000	CHUNGHWA TELECOM ADR	$340	$336	$4
19 000	CHECK POINT SOFTWARE	$612	$377	$235
22.000	ALTRIA GROUP INC	$427	$447	-$20
14 000	MICROCHIP TECH INC	$376	$316	$60

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2009
(UNAUDITED)

1.000 LIBERTY MEDIA CORP NEW LIBERTY	$48	$36	$11
12 000 CARDINAL HEALTH	$383	$620	-$237
18.000 FRANCE TELECOMM ADR	$473	$434	$38
14.000 LOTTOMATICA SPA SPON ADR	$280	$450	-$170
18.000 AMDOCS LTD ORD GBPO	$484	$306	$178
17.000 AMAZON.COM INC EXEC ON MULT	$2,219	$1,292	$928
2.000 ALEXANDRIA REA ESTATE EQUITIES	$127	$77	$50
5.000 DOW CHEMICAL CO	$131	$89	$42
76.000 NATIONAL BANK OF GREECE	$371	$521	-$149
44.000 SUEZ ENVIRONMENT COMPANY	$499	$366	$133
20.000 ALCOA INC	$312	$198	$114
20.000 VIVO PARTICIPACOES SA	$608	$450	$158
26.000 GILEAD SCIENCES INC	$1,115	$1,183	-$68

TRADES PENDING SETTLEMENT AND OTHER ADJUSTMENT INCLUDING DISALLOWED SHORT TERM LOSSES			$818
	$229,258	$235,619	-$5,515

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H SIMONS CHARITABLE TRUST
SCHEDULE OF ASSETS AS OF DECEMBER 31, 2009
E.I.#59-7034786

	# OF SHARES	BASIS	MARKET VALUE
CASH AND CASH EQUIVALENTS:			
SunTrust Checking		$23,254	$23,254
SunTrust Money Market		$33,042	$33,042
Fidelity Brokerage		$121	$121
NFP Securites, Inc C9C-352705		$668	$668
NFP Securities, Inc.J7M-006742		$69,526	$69,526
NFP Securities, Inc. J7T-407119		$4,518	$4,518
NFP Securites, Inc J7P-407062		$10,856	$10,856
TOTAL		$141,985	$141,985
EQUITIES AND FIXED INCOME SECURITIES			
Fixed Income Securities, NFP J7M-006742	PER ATTACHED	$379,397	$448,561
Equities held in NFP Securities J7P-407062	PER ATTACHED	$246,684	$236,620
Equities held in NFP Securities J7T-407119	PER ATTACHED	$78,650	$75,106
TOTAL		$704,731	$760,287
MUTUAL FUNDS			
Calamos Growth Income	3644.408	$110,016	$104,558
Calamos Market Neutal Fund	17049.248	$229,033	$197,430
Eaton Vance Floating Rate	0.000	$0	$0
Goldman Sachs Structured Small Cap	8142.200	$293,064	$169,521
First Eagle Sogen Overseas	7251.543	$117,271	$141,115
Mutual Ser Fund Inc., Discovery	22603.937	$446,520	$610,984
Vanguard Value Index	3133.839	$63,880	$58,383
Vanguard Index Trust	1687.365	$98,652	$173,242
Vanguard Index Trust	8970.416	$239,925	$246,238
TOTAL		$1,598,361	$1,701,471
ANNUITIES			
Genworth Life and Annuity # T06186228		$214,118	$193,242
Genworth. Life and Annuity # TO6186227		$212,264	$183,921
Genworth Life and Annuity # TA 7001349		$236,415	$203,064
Genworth Life and Annuity # TA 7001348		$228,553	$208,392
Genworth Life and Annuity # TA 7001345		$231,953	$212,415
Genworth Life and Annuity # TA 7001350		$236,416	$203,064
Scudder Destinations #KI11042304		$50,000	$47,532
Scudder Destinations #KI11042305		$50,000	$67,706
Scudder Destinations #KI11042306		$150,000	$189,621
Scudder Destinations #KI11042307		$150,000	$198,993
TOTAL		$1,759,719	$1,707,950
PREPAID FEDERAL TAXES		$3,046	$3,046
TOTAL ASSETS		$4,207,842	$4,314,739

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

NFP SECURITIES, INC.

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

PORTFOLIO VALUE

NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information Unless otherwise specified, NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION: NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend, wash sale disallowed loss, stock split, stock merger, etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position, and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor

Please note that the processing fee for physical certificates for non-DRS-eligible securities will increase to $220 beginning 8-1-2009 The fee for DRS- eligible shares will remain at $30 Please contact your financial representative with any questions The Direct Registration System, or DRS, is an industry- wide initiative aimed at increasing efficiency and reducing risks that arise with physical stock certificates by providing for electronic direct registration of securities in an investors name on the books of the transfer agent

CASH AND CASH EQUIVALENTS 5.67%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND DAILY MONEY CLASS 7 DAY AVG NET YIELD 01% Dividend Option Reinvest Capital Gain Option Reinvest	FDAXX CASH	4,517 79	$1 00	$4,517 79	$5,304 62	
Total Cash and Cash Equivalents				$4,517.79		

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
ABB LTD SPONSORED ADR Estimated Yield 2.27% Dividend Option Cash Capital Gain Option Cash	ABB CASH	20	$19 10	$382 00	$367 20	$8 70	$294 60	$87 40
ACTIVISION BLIZZARD INC COM Dividend Option Cash Capital Gain Option Cash	ATVI CASH	120	$11 11	$1,333 20	$1,366 80		$1,118 40	$214.80

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
AGILENT TECH INC	A	90	$31 07	$2,796 30	$2,602 80		$2,931 23	($134.93)
Dividend Option Cash	CASH							
Capital Gain Option Cash								
AMERICA MOVIL SAB DE CV ADR EACH	AMX	8	$46 98	$375 84	$387 04	$3 63	$357.36	$18 48
REP 20 SHS NPV SER L	CASH							
Estimated Yield 0 96%								
Dividend Option Cash								
Capital Gain Option Cash								
AMERICAN TOWER CORP	AMT	8	$43 21	$345 68	$327 36		$312 56	$33 12
Dividend Option Cash	CASH							
Capital Gain Option Cash								
BANCO SANTANDER BRASIL S A ADR	BSBR	30	$13 94	$418 20	$409 50		$384 00	$34 20
REPSTG 1 UNIT	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
BANK NEW YORK MELLON CORP	BK	75	$27 97	$2,097 75	$1,998 00	$27 00	$2,345 58	($247 83)
Estimated Yield 1 28%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
BECTON DICKINSON CO	BDX	37	$78.86	$2,917 82	$2,767 60	$54.76	$2,508 78	$409.04
Estimated Yield 1 87%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
Next Dividend Payable 01/04/10								
BLOCK H & R INC	HRB	8	$22 62	$180 96	$162 40	$4 80	$177 40	$3 56
Estimated Yield 2 65%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
Next Dividend Payable 01/04/10								
BLOUNT INTL INC	BLT	98	$10 10	$989 80	$945 70		$1,255 82	($266 02)
Dividend Option Cash	CASH							
Capital Gain Option Cash								
BROWN & BROWN INC	BRO	6	$17.97	$107 82	$107 10	$1 86	$162 24	($54 42)
Estimated Yield 1.72%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
CAREFUSION CORP COM	CFN	6	$25 01	$150 06	$154 98		$245 23	($95 17)
Dividend Option Cash	CASH							
Capital Gain Option Cash								

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
CARMAX INC Dividend Option Cash Capital Gain Option Cash	KMX CASH	35	$24 25	$848 75	$695 80		$847 04	$1.71
CISCO SYS INC Dividend Option Cash Capital Gain Option Cash	CSCO CASH	25	$23 94	$598 50	$585.00		$520 54	$77 96
COMCAST CORP NEW CL A SPL Estimated Yield 2 36% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/27/10	CMCSK CASH	70	$16 01	$1,120.70	$966 70	$26 46	$1,233 17	($112 47)
COSTCO WHOLESALE CORP Estimated Yield 1 21% Dividend Option Cash Capital Gain Option Cash	COST CASH	4	$59 17	$236 68	$239 64	$2 88	$240.48	($3 80)
DEVON ENERGY CORP NEW Estimated Yield 0 87% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/31/10	DVN CASH	14	$73.50	$1,029 00	$942 90	$8.96	$1,360.92	($331.92)
DIRECTV COM CL A Dividend Option Cash Capital Gain Option Cash	DTV CASH	14	$33 35	$466 90	$442.82		$330 89	$136 01
DISNEY WALT CO DEL (HOLDING COMPANY) Estimated Yield 1 08% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/19/10	DIS CASH	117	$32 25	$3,773 25	$3,535 74	$40 95	$2,617.14	$1,156 11
E TRADE FINANCIAL CORP Dividend Option Cash Capital Gain Option Cash	ETFC CASH	129	$1.76	$227 04	$211 56		$2 683 03	($2,455 99)
EVEREST REINSURANCE GROUP LTD ISIN #BMG3223R1088 SEDOL #2556868 Estimated Yield 2 24% Dividend Option Cash Capital Gain Option Cash	RE CASH	2	$85 68	$171 36	$170 22	$3 84	$180.69	($9 33)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
GOOGLE INC CL A Dividend Option Cash Capital Gain Option Cash	GOOG CASH	9	$619 98	$5,579 82	$5,247 00		$4,547 64	$1,032 18
GRUPO TELEVISA SA SPONSORED ADR REPSTG ORD PARTN ISIN #US40049J2069 SEDOL #2399450 Estimated Yield 5 61% Dividend Option Cash Capital Gain Option Cash	TV CASH	68	$20 76	$1,411 68	$1,398 76	$79 31	$1,392 56	$19 12
HARRIS CORP DEL Estimated Yield 1.85% Dividend Option Cash Capital Gain Option Cash	HRS CASH	25	$47 55	$1,188 75	$1,097 50	$22 00	$772.25	$416 50
HEWLETT-PACKARD CO DE Estimated Yield 0.62% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/06/10	HPQ CASH	15	$51 51	$772 65	$735 90	$4 80	$605 09	$167 56
IDEXX LABS CORP Dividend Option Cash Capital Gain Option Cash	IDXX CASH	34	$53 45	$1,817 30	$1,701 70		$1,399 01	$418 29
INTL BUSINESS MACH Estimated Yield 1 68% Dividend Option Cash Capital Gain Option Cash	IBM CASH	4	$130 90	$523 60	$505 40	$8 80	$479 28	$44 32
IRON MOUNTAIN INC DEL Dividend Option Cash Capital Gain Option Cash	IRM CASH	53	$22 76	$1,206 28	$1,272 00		$1,389 82	($183 54)
JOHNSON & JOHNSON Estimated Yield 3 04% Dividend Option Cash Capital Gain Option Cash	JNJ CASH	67	$64 41	$4,315 47	$4,210 28	$131 32	$4,187 38	$128 09
JOHNSON CTLS INC Estimated Yield 1 90% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/04/10	JCI CASH	18	$27.24	$490 32	$486 90	$9 36	$606 75	($116 43)

Account carried with National Financial Services LLC, Member NYSE, SIPC

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
LABORATORY CORP AMER HLDGS COM NEW Dividend Option Cash Capital Gain Option Cash	LH CASH	25	$74 84	$1,871 00	$1,824 00		$',624 58	$246 42
LIBERTY MEDIA HLDG CORP INTERACTIVE COM SER A Dividend Option Cash Capital Gain Option Cash	LINTA CASH	45	$10 84	$487.80	$478 80		$697 05	($209 25)
MARKEL CORP HLDG CO Dividend Option Cash Capital Gain Option Cash	MKL CASH	7	$340 00	$2,380 00	$2,373 00		$3,364 72	($984 72)
MEAD JOHNSON NUTRITION CO COM CL A Estimated Yield 1 83% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/15/10	MJN CASH	20	$43 70	$874 00	unavailable	$16 00	$851.54	$22 46
MERCK & CO INC NEW COM N/C FROM 589331107 #REOR M0050606430001 Estimated Yield 4 16% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/08/10	MRK CASH	116	$36.54	$4,238 64	$3,657 21	$176 32	$2,371 65	$1,866 99
MICROSOFT CORP Estimated Yield 1 70% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/11/10	MSFT CASH	122	$30 48	$3,718 56	$3,588 02	$63 44	$3,508 25	$210 31
MONSANTO CO NEW Estimated Yield 1 29% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/29/10	MON CASH	20	$81 75	$1,635 00	$1,615 00	$21 20	$1,453 55	$181 45
NETEASE COM INC ADR EACH REPR 25 COM STK USD0 0001 Dividend Option Cash Capital Gain Option Cash	NTES CASH	25	$37 62	$940 50	$956 00		$934 80	$5 70

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
NEWS CORP CL A Estimated Yield 0 87% Dividend Option Cash Capital Gain Option Cash	NWSA CASH	35	$13 69	$479 15	$401 10	$4 20	$673 71	($194 56)
OCCIDENTAL PETROLEUM CORP Estimated Yield 1 62% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/15/10	OXY CASH	16	$81 35	$1,301 60	$1,292 64	$21 12	$1,149 42	$152 18
PFIZER INC Estimated Yield 3 95% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/02/10	PFE CASH	40	$18 19	$727 60	$726 80	$28 80	$494 86	$232 74
RENAISSANCE RE HLDGS LTD Estimated Yield 1 80% Dividend Option Cash Capital Gain Option Cash	RNR CASH	6	$53 15	$318 90	$319 68	$5 76	$301 61	$17.29
SAP AG SPON ADR Estimated Yield 1 07% Dividend Option Cash Capital Gain Option Cash	SAP CASH	25	$46 81	$1,170 25	$1,196 75	$12 53	$882 95	$287 30
SCHWAB CHARLES CORP NEW Estimated Yield 1 27% Dividend Option Cash Capital Gain Option Cash	SCHW CASH	60	$18 82	$1,129.20	$1,099 80	$14 40	$900.00	$229 20
SHAW GROUP INC Dividend Option Cash Capital Gain Option Cash	SHAW CASH	53	$28 75	$1,523 75	$1,512 09		$2,086 40	($562 65)
SIGMA ALDRICH CORP Estimated Yield 1 14% Dividend Option Cash Capital Gain Option Cash	SIAL CASH	38	$50 55	$1,920 90	$2,026 92	$22 04	$1,632 44	$288 46
TENARIS SA SPONS ADR Estimated Yield 2 01% Dividend Option Cash Capital Gain Option Cash	TS CASH	36	$42 65	$1,535 40	$1,420 56	$30 96	$1,390.38	$145 02

Account carried with National Financial Services LLC, Member NYSE, SIPC

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

Account Number: J7T-407119
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: DAVIS SELECTED ADVISORS
Investment Discipline: MULTI-CAP EQUITY

NFP SECURITIES, INC.

EQUITIES 94.33%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
TEXAS INSTRUMENTS INC Estimated Yield 1 84% Dividend Option Cash Capital Gain Option Cash	TXN CASH	133	$26 06	$3,465.98	$3,363 57	$63 84	$3,623 78	($157.80)
TRANSATLANTIC HLDGS Estimated Yield 1 53% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 03/15/10	TRH CASH	22	$52.11	$1,146.42	$1,188 88	$17 60	$1,449 98	($303.56)
TRANSOCEAN LTD ZUG NAMEN -AKT ISIN #CH0048265513 SEDOL #B3KFWW1 Dividend Option Cash Capital Gain Option Cash	RIG CASH	29	$82 80	$2,401.20	$2,476.31		$2,505 27	($104 07)
UNITEDHEALTH GROUP Estimated Yield 0 09% Dividend Option Cash Capital Gain Option Cash	UNH CASH	19	$30 48	$579 12	$544 73	$0 57	$849 77	($270 65)
WELLS FARGO & CO NEW Estimated Yield 0.74% Dividend Option Cash Capital Gain Option Cash	WFC CASH	40	$26 99	$1,079 60	$1,121 60	$8 00	$606 99	$472 61
YUM! BRANDS INC Estimated Yield 2.40% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/05/10	YUM CASH	66	$34 97	$2,308 02	$2,327 82	$55 44	$2,021 52	$286 50
Total Equity				$75,106.07		$1,001 65	$72,862 10	$2,243 97
Total Equities				$75,106.07		$1,001.65	$72,862.10	$2,243.97
Total Securities				$75,106.07		$1,001.65	$72,862 10	$2,243.97
TOTAL PORTFOLIO VALUE				$79,623.86		$1,001.65	$72,862.10	$2,243 97

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7M-006742
Account Name: SIMONS RIC
Statement Date: 12/01/2009 to 12/31/2009

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

ACCRUED INTEREST 0.27%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Fixed Income				$5,923 70	$5,175 17	
Total Accrued Interest				$5,923.70	$5,175.17	

FIXED INCOME 20.16%

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available The ratings on this statement are provided by Standard & Poor s and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/09	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
Corporate Bonds									
METLIFE INC SR NT 6 175% 12/01/2011 MAKE WHOLE MOODY'S A3 /S&P A- CPN PMT SEMI-ANNUAL ON JUN 01, DEC 01 Next Interest Payable 06/01/10 CONTINUOUSLY CALLABLE SUBJECT TO MAKE WHOLE CALL Accrued Interest $204 17	59156RAC2 CASH	40,000	$107 503	$43,001 20	$43,390.40	$2,450 00	$38,284.80		
Adjusted Cost Basis							$38,284 80	D	$4,716 40
MORGAN STANLEY NT 6 600% 04/01/2012 MAKE WHOLE MOODY'S A2 /S&P A CPN PMT SEMI-ANNUAL ON OCT 01, APR 01 Next Interest Payable 04/03/10 CONTINUOUSLY CALLABLE SUBJECT TO MAKE WHOLE CALL Accrued Interest $660 00	617446HC6 CASH	40,000	$108 799	$43,519 60	$43,695.60	$2,640 00	$36,436 80		
Adjusted Cost Basis							$36,436 80	D	$7,082.80

Account carried with National Financial Services LLC, Member NYSE, SIPC

SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

FIXED INCOME 20.16%

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available. If information does not appear regarding a particular investment, it is not available The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/09	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
PRUDENTIAL FINL INC MTNS BOOK	744320BE4	40,000	$105 198	$42,079 20	$42,292 40	$2,060.00	$33,938 80		
5 15000% 01/15/2013 FR	CASH								
MOODY'S Baa2 /S&P A									
CPN PMT SEMI-ANNUAL									
ON MAR 30, SEP 30									
Next Interest Payable 03/30/10									
Accrued Interest $520 72									
Adjusted Cost Basis							$33,938 80	D	$8,140 40
JPMORGAN CHASE & CO SUB NT	46625HBV1	40,000	$105 476	$42,190 40	$42,425 60	$2,050 00	$35,836 00		
5 12500% 09/15/2014	CASH								
MOODY'S A1 /S&P A									
CPN PMT SEMI-ANNUAL									
ON MAR 15, SEP 15									
Next Interest Payable 03/15/10									
Accrued Interest $603 61									
Adjusted Cost Basis							$35,836.00	D	$6,354 40
WACHOVIA BK NATL ASSN MTN SUB	92976GAB7	40,000	$102 228	$40,891 20	$41,418 40	$1,920 00	$33,661 20		
4 80000% 11/01/2014 FR	CASH								
MOODY'S Aa3 /S&P AA-									
CPN PMT SEMI-ANNUAL									
ON MAY 01, NOV 01									
Next Interest Payable 05/01/10									
Accrued Interest $320 00									
Adjusted Cost Basis							$33,661 20	D	$7,230 00
GOLDMAN SACHS GROUP NOTES 5 50%	38141GCM4	40,000	$106.735	$42,694 00	$43,422 40	$2,200 00	$34,036.80		
11/15/2014	CASH								
MOODY'S A1 /S&P A									
CPN PMT SEMI-ANNUAL									
ON MAY 15, NOV 15									
Next Interest Payable 05/15/10									
Accrued Interest $281 11									
Adjusted Cost Basis							$34,036.80	D	$8,657 20

Account carried with National Financial Services LLC, Member NYSE, SIPC

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

FIXED INCOME 20.16%

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/09	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
CITIGROUP INC GLBL SB NT 4 875% 05/07/2015 ISIN #US172967BW09 SEDOL #2655118 MOODY'S Baa1 /S&P A- CPN PMT SEMI-ANNUAL ON NOV 07, MAY 07 Next Interest Payable 05/07/10 Accrued Interest $219 37	172967BW0 CASH	30,000	$94 2614	$28,278 42	$28,623.03	$1,462 50	$24,272 10		
Adjusted Cost Basis							$24,272 10	0	$4,006 32
CREDIT SUISSE USA INC 5.12500% 08/15/2015 GLBL SR NT MOODY'S Aa1 /S&P A+ CPN PMT SEMI-ANNUAL ON FEB 15, AUG 15 Next Interest Payable 02/15/10 Accrued Interest $774.44	22541LBK8 CASH	40,000	$106 322	$42,528 80	$43,107 20	$2,050.00	$34,936 80		
Adjusted Cost Basis							$34,936 80	0	$7,592.00
SUNTRUST BK ATLANTA MDTMSBNTBE 5 00000% 09/01/2015 CALL MOODY'S A3 /S&P BBB+ CPN PMT SEMI-ANNUAL ON MAR 01, SEP 01 Next Interest Payable 02/24/10 Accrued Interest $666 67	86787GAG7 CASH	40,000	$96 917	$38,766 80	$39,748 80	$2,000 00	$32,563.20		
Adjusted Cost Basis							$32,563 20	0	$6,203 60
KRAFT FOODS INC NT 6 50000% 08/11/2017 ISIN #US50075NAS36 MOODY'S Baa2 /S&P BBB+ CPN PMT SEMI-ANNUAL ON FEB 11, AUG 11 Next Interest Payable 02/11/10 Accrued Interest $1011 11	50075NAS3 CASH	40,000	$108 506	$43,402.40	$44,055 20	$2,600 00	$35,688 80		
Adjusted Cost Basis							$35,688 80	0	$7,713 60

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7M-008742
Account Name: SIMONS RIC

Statement Date: 12/01/2009 to 12/31/2009

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

FIXED INCOME 20.16%

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available. The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/09	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
GENL ELEC CAP CORP MTN 5 625%	36962G3H5	40,000	$103.023	$41,209 20	$41,722 00	$2,250 00	$34,406 80	
09/15/2017 ISIN #US36962G3H54	CASH							
MOODY'S Aa2 /S&P AA+								
CPN PMT SEMI-ANNUAL								
ON MAR 15, SEP 15								
Next Interest Payable 03/15/10								
Accrued Interest $662.50								
Adjusted Cost Basis							$34,406 80 0	$6,802.40
Total Corporate Bonds		430,000		$448,561.22		$23,682.50	$374,062.10	$74,499 12
Total Fixed Income		430,000		$448,561.22		$23,682.50	$374,062.10	$74,499.12

MUTUAL FUNDS 76.45%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
CALAMOS GROWTH & INCOME CL A	CVTRX	3,644 408	$28 69	$104,558 07	$102,577.98	$2,000 56	$107,385 25 T I	($2,827 18)
Estimated Yield 1 91%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
CALAMOS MARKET NEUTRAL INCOME CL A	CVSIX CASH	17,049 248	$11.58	$197,430 29	$195,371 98	$3,612.05	$230,837.46 T I	($33,407 17)
Estimated Yield 1 83%								
Dividend Option Reinvest								
Capital Gain Option Reinvest								
FIRST EAGLE OVERSEAS CLASS A	SGOVX	7,251 543	$19.46	$141,115 03	$140,122 60	$4,336 42	$117,270 28 T I	$23,844 75
Estimated Yield 3 07%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
GOLDMAN SACHS STRUCT SMALL CAP VALUE CL A	GSATX CASH	8,142 2	$20 82	$169,520 60	$156,889 63	$1,441 17		
Estimated Yield 0 85%								
Dividend Option Reinvest								
Capital Gain Option Reinvest								

Account carried with National Financial Services LLC, Member NYSE, SIPC

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFS SECURITIES, INC.

PORTFOLIO VALUE

NFS provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information Unless otherwise specified, NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION: NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend wash sale disallowed loss, stock split, stock merger, etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor

Please note that the processing fee for physical certificates for non-DRS-eligible securities will increase to $220 beginning 8-1-2009 The fee for DRS- eligible shares will remain at $30 Please contact your financial representative with any questions The Direct Registration System, or DRS, is an industry- wide initiative aimed at increasing efficiency and reducing risks that arise with physical stock certificates by providing for electronic direct registration of securities in an investors name on the books of the transfer agent

CASH AND CASH EQUIVALENTS 4.39%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND DAILY MONEY CLASS	FDAXX	10,856 17	$1 00	$10,856.17	$7,515 07	
7 DAY AVG NET YIELD 01%	CASH					
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$10,856 17		

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
ABBOTT LABORATORIES	ABT	21 349	$53 99	$1,152 63	$1,163 31	$34 16	$958.47	$194 16
Estimated Yield 2.96%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
Next Dividend Payable: 02/15/10								

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ACCENTURE PLC SHS CL A NEW Estimated Yield 1 80% Dividend Option Reinvest Capital Gain Option Reinvest	ACN CASH	13 244	$41 50	$549 63	$543 53	$9 93	$401 70	$147 93
ACE LIMITED SHS ISIN #CH0044328745 SEDOL #B3BTZV2 Estimated Yield 2.23% Dividend Option Reinvest Capital Gain Option Reinvest	ACE CASH	16 192	$50 40	$816 08	$788 71	$18 26	$719 10	$96 98
ACTIVISION BLIZZARD INC COM Dividend Option Reinvest Capital Gain Option Reinvest	ATVI CASH	55	$11 11	$611 05	unavailable		$623 69	($12.64)
ADVANCED ENERGY INDUSTRIES INC Dividend Option Reinvest Capital Gain Option Reinvest	AEIS CASH	39	$15 08	$588 12	$426 27		$618 53	($30 41)
AEROPOSTALE Dividend Option Reinvest Capital Gain Option Reinvest	ARO CASH	15 5	$34 05	$527 78	$488 25		$440 20	$87 58
ALCATEL-LUCENT SPON ADR REP 1 EUR2 SER A Dividend Option Reinvest Capital Gain Option Reinvest	ALU CASH	107	$3 32	$355 24	$357 38		$401 13	($45 89)
ALLEGHENY TECH INC Estimated Yield 1 60% Dividend Option Reinvest Capital Gain Option Reinvest	ATI CASH	7 064	$44 77	$316 26	$239 44	$5 09	$194 84	$121 42
AMAZON COM INC Dividend Option Reinvest Capital Gain Option Reinvest	AMZN CASH	16	$134 52	$2,152 32	$4,485 03		$750 49	$1,401 83
AMERISAFE INC COM Dividend Option Reinvest Capital Gain Option Reinvest	AMSF CASH	12	$17 97	$215 64	unavailable		$202 08	$13 56
ANADARKO PETE CORP Estimated Yield 0 57% Dividend Option Reinvest Capital Gain Option Reinvest	APC CASH	8 024	$62 42	$500 86	$476 95	$2 89	$436 63	$64 23

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR Dividend Option Reinvest Capital Gain Option Reinvest	BUD CASH	19	$52 03	$988 57	$955 51		$714 42	$274 15
ANTOFAGASTA ADR EACH REP 2 ORD GBP0 05(LVL1)(SPONS) Estimated Yield 0 56%	ANFGY CASH	18	$32 039	$576 70	$532 01	$3 25	$458 84	$117 86
APACHE CORP Estimated Yield 0 58% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/22/10	APA CASH	17 541	$103 17	$1,809 70	$1,671 31	$10.52	$1,518 34	$291 36
APPLE INC Dividend Option Reinvest Capital Gain Option Reinvest	AAPL CASH	15	$210 732	$3,160 98	$2,998 65		$2,377 78	$783 20
ARCELORMITTAL SA LUXEMBOURG N Y REGISTRY SHS Estimated Yield 1 67% Dividend Option Reinvest Capital Gain Option Reinvest	MT CASH	14 174	$45 75	$648 46	$554 53	$10 84	$370 16	$278 30
ARCHER DANIELS MIDLAND Estimated Yield 1 78% Dividend Option Reinvest Capital Gain Option Reinvest	ADM CASH	9 085	$31 31	$284 45	$278 65	$5 09	$255 42	$29 03
AT&T INC COM Estimated Yield 5 99% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/01/10	T CASH	36 083	$28 03	$1,011 41	$972 08	$60 62	$903.71	$107 70
AUTOLIV INC Dividend Option Reinvest Capital Gain Option Reinvest	ALV CASH	13	$43 36	$563 68	$527 93		$335 90	$227 78
AUTOMATIC DATA PROCESSING INC Estimated Yield 3 17% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/01/10	ADP CASH	11 3	$42 82	$483 87	$490 99	$15 37	$374 08	$109 79

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
BAE SYS PLC SPONS ADR ISIN #US05523R1077 Estimated Yield 4 09%	BAESY CASH	16	$23 222	$371 55	$344 61	$15 20	$347 76	$23 79
BANCO SANTANDER BRASIL S A ADR REPSTG 1 UNIT Dividend Option Reinvest Capital Gain Option Reinvest	BSBR CASH	17	$13 94	$236 98	unavailable		$231 20	$5 78
BANCO SANTANDER SA ADR Estimated Yield 4 28% Dividend Option Reinvest Capital Gain Option Reinvest	STD CASH	52 123	$16 44	$856 90	$901 73	$36 75	$548 83 T	$308 07
BANK NEW YORK MELLON CORP Estimated Yield 1 28% Dividend Option Reinvest Capital Gain Option Reinvest	BK CASH	23 149	$27 97	$647 48	$616 69	$8 33	$648 65	($1 17)
BANK OF AMERICA CORP Estimated Yield 0 26% Dividend Option Reinvest Capital Gain Option Reinvest	BAC CASH	31 038	$15 06	$467 43	$491 64	$1 24	$358 67	$108 76
BARCLAYS PLC ADR Estimated Yield 0 74% Dividend Option Reinvest Capital Gain Option Reinvest	BCS CASH	28 096	$17 60	$494 49	$552 44	$3 68	$511 31	($16 82)
BARRICK GOLD CORP ISIN #CA0679011084 SEDOL #2024644 Estimated Yield 1 01% Dividend Option Reinvest Capital Gain Option Reinvest	ABX CASH	13 121	$39 38	$516 70	$557 79	$5 25	$479 28	$37 42
BASF SE SPONSORED ADR Estimated Yield 3 01% Dividend Option Reinvest Capital Gain Option Reinvest	BASFY CASH	11	$62.756	$690 32	$664 06	$20 80	$300 30	$390 02
BB & T CORP Estimated Yield 2 36% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/01/10	BBT CASH	8	$25 37	$202 96	$199 20	$4 80	$213 55	($10 59)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
BECTON DICKINSON CO Estimated Yield 1 87% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	BDX CASH	14 361	$78 86	$1,132 51	$1,074 20	$21.25	$1,112 71	$19 80
BELDEN INC Estimated Yield 0 91% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/06/10	BDC CASH	27 606	$21 92	$605 12	$610 64	$5.52	$1,466 26	($861 14)
BHP BILLITON LTD SPON ADR ISIN #US0886061086 SEDOL #2144337 Estimated Yield 2 14% Dividend Option Reinvest Capital Gain Option Reinvest	BHP CASH	10 33	$76 58	$791 07	$777 85	$16 94	$521 44	$269 63
BLACKROCK INC Estimated Yield 1 34% Dividend Option Reinvest Capital Gain Option Reinvest	BLK CASH	6 043	$232 20	$1,403 18	$1,367 70	$18 85	$990 51	$412 67
BORGWARNER INC Dividend Option Reinvest Capital Gain Option Reinvest	BWA CASH	21 321	$33 22	$708 28	$644 11		$1,088 20	($379 92)
BOSTON PRIVATE FINL HLDGS FRMLY BOSTON PRIVATE BANCORP INC Estimated Yield 0 69% Dividend Option Reinvest Capital Gain Option Reinvest	BPFH CASH	47 499	$5 77	$274 07	$222 77	$1 90	$376 68	($102 61)
BP PLC ADR (CNV INTO 6 ORD USD0 25 SHS) Estimated Yield 5 79% Dividend Option Reinvest Capital Gain Option Reinvest	BP CASH	14 206	$57 97	$823 52	$800 52	$47 73	$744 40	$79 12
BUNZL PLC SPONSORED ADR NEW Estimated Yield 2 91% Dividend Option Reinvest Capital Gain Option Reinvest	BZLFY CASH	5	$54 501	$272 51	$256 01	$7 94	$314.50	($41 99)
CAL DIVE INTL INC DEL Dividend Option Reinvest Capital Gain Option Reinvest	DVR CASH	58	$7 56	$438 48	unavailable		$416 99	$21 49

Account carried with National Financial Services LLC, Member NYSE, SIPC

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
CALAVO GROWERS INC Estimated Yield 2 94% Dividend Option Reinvest Capital Gain Option Reinvest	CVGW CASH	26 239	$17 00	$446 06	$430 96	$13 12	$446.80	($0 74)
CAMECO CORP ISIN #CA13321L1085 SEDOL #2166160 Dividend Option Reinvest Capital Gain Option Reinvest	CCJ CASH	23 263	$32 17	$748 37	$669 97		$819 07	($70 70)
CANON INC ADR EACH REP 1 ORD NPVIMGTI Estimated Yield 2 72% Dividend Option Reinvest Capital Gain Option Reinvest	CAJ CASH	10	$42 32	$423 20	$382 20	$11 51	$358 14	$65 06
CARRIZO OIL & GAS INC Dividend Option Reinvest Capital Gain Option Reinvest	CRZO CASH	16	$26 51	$424 16	$336 80		$590 72	($166 56)
CASEYS GEN STORES INC Estimated Yield 1 06% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/15/10	CASY CASH	30 258	$31 91	$965 53	$925 59	$10 29	$812 46	$153 07
CATERPILLAR INC Estimated Yield 2 94% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/20/10	CAT CASH	16 974	$56 99	$967 35	$991 11	$28 52	$1,200 18	($232 83)
CATO CORP NEW CL A Estimated Yield 3 29% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	CATO CASH	22 599	$20 06	$453 34	$432 32	$14.92	$329 36	$123 98
CEC ENTERTAINMENT INC Dividend Option Reinvest Capital Gain Option Reinvest	CEC CASH	9	$31 92	$287 28	$262 44		$228 20	$59 08
CENTENE CORP DEL Dividend Option Reinvest Capital Gain Option Reinvest	CNC CASH	22	$21 17	$465 74	$414 04		$525 36	($59 62)

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
CENTRAL GARDEN & PET CO CL A NON-VTG Dividend Option Reinvest Capital Gain Option Reinvest	CENTA CASH	16	$9 94	$159 04	$132 00		$179 87	($20 83)
CHANGYOU COM LTD SPON ADS REP 2 CL A SHS Dividend Option Reinvest Capital Gain Option Reinvest	CYOU CASH	7	$33 21	$232 47	$227 29		$222 42	$10 05
CHEVRON CORP NEW Estimated Yield 3 53% Dividend Option Reinvest Capital Gain Option Reinvest	CVX CASH	25 736	$76 99	$1,981 41	$1,991 19	$70 00	$2,145 79	($164 38)
CHILDREN'S PLACE Dividend Option Reinvest Capital Gain Option Reinvest	PLCE CASH	22	$33 00	$726 00	$702 24		$399 99	$326 01
CHINA MOBILE LTD SPONS ADR Estimated Yield 3 43% Dividend Option Reinvest Capital Gain Option Reinvest	CHL CASH	12 561	$46 43	$583 21	$588 73	$20 05	$731 79	($148 58)
CISCO SYS INC Dividend Option Reinvest Capital Gain Option Reinvest	CSCO CASH	28	$23 94	$670 32	$655 20		$484 82	$185 50
CNOOC LTD SPONSORED ADR Estimated Yield 2.98% Dividend Option Reinvest Capital Gain Option Reinvest	CEO CASH	4 14	$155 45	$643 56	$641 45	$19 23	$331 61	$311.95
COLE KENNETH PRODTNS INC CL A Dividend Option Reinvest Capital Gain Option Reinvest	KCP CASH	21 841	$9.65	$210.77	$203 12		$374 97	($164 20)
COLUMBUS MCKINNON CORP Dividend Option Reinvest Capital Gain Option Reinvest	CMCO CASH	30	$13 67	$410 10	$471 00		$782 83	($372 73)
COMCAST CORP NEW CL A Estimated Yield 2 24% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/27/10	CMCSA CASH	8 035	$16 86	$135 47	$117 79	$3 04	$135 11	$0 36

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
COMMSCOPE INC Dividend Option Reinvest Capital Gain Option Reinvest	CTV CASH	16	$26 53	$424 48	$402 08		$718 54	($294 06)
CORNING INC Estimated Yield 1 03% Dividend Option Reinvest Capital Gain Option Reinvest	GLW CASH	26 156	$19 31	$505 07	$435 13	$5 23	$408 67	$96 40
COSTCO WHOLESALE CORP Estimated Yield 1 21% Dividend Option Reinvest Capital Gain Option Reinvest	COST CASH	3 02	$59 17	$178 69	$180 93	$2 17	$149 93	$28 76
COVIDIEN PLC SHS ISIN #IE00B3QN1M21 SEDOL B503HS0 Estimated Yield 1 50% Dividend Option Reinvest Capital Gain Option Reinvest	COV CASH	5 04	$47 89	$241 37	$235 97	$3 63	$181 18	$60 19
CRA INTL INC Dividend Option Reinvest Capital Gain Option Reinvest	CRAI CASH	12	$26 65	$319 80	$298 44		$487 35	($167 55)
CREDIT SUISSE GROUP AG SPN ADR-REP 1 ORD CHF0 40(REGD) Estimated Yield 0 18% Dividend Option Reinvest Capital Gain Option Reinvest	CS CASH	9	$49 16	$442 44	$471 69	$0 82	$444 04	($1 60)
CVS CAREMARK CORP Estimated Yield 0 94% Dividend Option Reinvest Capital Gain Option Reinvest	CVS CASH	4	$32 21	$128 84	$124 04	$1 22	$122 12	$6 72
DEUTSCHE BOERSE ADR Estimated Yield 2 37%	DBOEY CASH	98	$8 32	$815 36	$822 22	$19 40	$486 46	$328 90
DEVON ENERGY CORP NEW Estimated Yield 0 87% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 03/31/10	DVN CASH	12 762	$73 50	$938 01	$857 63	$8 17	$1,060 30	($122 29)
DIAGEO PLC ISIN #US25243Q2057 Estimated Yield 3 26% Dividend Option Reinvest Capital Gain Option Reinvest	DEO CASH	11 449	$69 41	$794 68	$774 07	$25 93	$704 23	$90 45

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

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DISNEY WALT CO DEL (HOLDING COMPANY) Estimated Yield 1 08% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/19/10	DIS CASH	80 321	$32 25	$2,590 35	$2 427 30	$28.11	$2,022 79	$567.56
E ON AG SPON ADR Estimated Yield 3 53% Dividend Option Reinvest Capital Gain Option Reinvest	EONGY CASH	8	$41 765	$334 12	$316 84	$11 81	$294 16	$39 96
ECOLAB INC Estimated Yield 1 39% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/15/10	ECL CASH	22 674	$44 58	$1,010 81	$1,018 29	$14 06	$1,089 90	($79 09)
ELIZABETH ARDEN INC Dividend Option Reinvest Capital Gain Option Reinvest	RDEN CASH	20	$14 43	$288 60	$295 00		$466 20	($177 60)
EMCOR GROUP INC Dividend Option Reinvest Capital Gain Option Reinvest	EME CASH	25	$26 90	$672 50	$595 00		$732 00	($59 50)
EMERSON ELECTRIC CO Estimated Yield 3 14% Dividend Option Reinvest Capital Gain Option Reinvest	EMR CASH	13 517	$42 60	$575 82	$555 35	$18 11	$491 57	$84 25
ENPRO INDS INC Dividend Option Reinvest Capital Gain Option Reinvest	NPO CASH	13	$26 41	$343.33	$298 22		$493 22	($149 89)
EOG RESOURCES INC Estimated Yield 0 59% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/29/10	EOG CASH	3 011	$97.30	$292 97	$260 42	$1.75	$203.62	$89 35
EVEREST RE GROUP COM STK USD0 01 Estimated Yield 2 24% Dividend Option Reinvest Capital Gain Option Reinvest	RE CASH	2 022	$85 68	$173 24	$171 16	$3 88	$166 69	$6 55

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EXELON CORP	EXC	8 172	$48 87	$399 37	$389 63	$17 16	$405 26	($5 89)
Estimated Yield 4 29%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
EXPEDITORS INTL WASH INC	EXPD	36 16	$34 77	$1 257 28	$1,147 88	$13 74	$1,580 39	($323 11)
Estimated Yield 1 09%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
EXPERIAN PLC ADR	EXPGY	77	$9 931	$764 69	$724 03	$13 67	$619 08	$145 61
Estimated Yield 1 78%	CASH							
EXXON MOBIL CORP	XOM	16 189	$68 19	$1,103 93	$1,208 48	$27 20	$1,160 19	($56 26)
Estimated Yield 2 46%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
FEDEX CORP	FDX	3	$83 45	$250 35	$253 35	$1 32	$252 45	($2 10)
Estimated Yield 0 52%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
Next Dividend Payable 01/04/10								
FIRST CASH FINL SVCS	FCFS	27	$22 19	$599 13	$515 70		$432 73	$166 40
Dividend Option Reinvest	CASH							
Capital Gain Option Reinvest								
FIRST MIDWEST BANCORP INC DEL	FMBI	33 115	$10 89	$360 62	$345 39	$1 32	$297 53	$63 09
Estimated Yield 0 36%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
Next Dividend Payable 01/12/10								
FPL GROUP INC	FPL	18 474	$52 82	$975 80	$951 83	$34 92	$1,068 25	($92 45)
Estimated Yield 3 57%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
FRANKLIN RES INC	BEN	9 303	$105 35	$980 07	$977 13	$8 19	$676 81	$303 26
Estimated Yield 0 83%	CASH							
Dividend Option Reinvest								
Capital Gain Option Reinvest								
Next Dividend Payable 01/08/10								

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FRESENIUS MED CARE AKTIENGESELLSCHAFT SPONSORED ADR REPSTG SHS Estimated Yield 1 05% Dividend Option Reinvest Capital Gain Option Reinvest	FMS CASH	16 22	$53 01	$859.82	$861 28	$9 07	$899 83	($40 01)
GENERAL CABLE CORP Dividend Option Reinvest Capital Gain Option Reinvest	BGC CASH	11	$29 42	$323 62	$322 96		$641 08	($317 46)
GENERAL DYNAMICS CRP Estimated Yield 2 23% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/05/10	GD CASH	12 149	$68 17	$828 20	$800 62	$18 47	$718 19	$110 01
GENERAL ELECTRIC CO Estimated Yield 2 64% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/25/10	GE CASH	146 692	$15 13	$2,219 45	$2,350 01	$58 68	$4,069 37	($1,849 92)
GENUINE PARTS CO Estimated Yield 4 21% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	GPC CASH	3	$37 96	$113 88	$107.49	$4 80	$111 75	$2 13
GIVAUDAN SA ADR Estimated Yield 0 62%	GVDNY CASH	22	$16 10	$354 20	$348 70	$2 21	$272.51	$81.69
GOLDMAN SACHS GROUP INC Estimated Yield 0 83% Dividend Option Reinvest Capital Gain Option Reinvest	GS CASH	7 118	$168 84	$1,201 80	$1,205 09	$9 97	$869 94	$331 86
GOOGLE INC CL A Dividend Option Reinvest Capital Gain Option Reinvest	GOOG CASH	4	$619.98	$2,479 92	$2,332 00		$2,545 33	($65 41)
GROUP I AUTOMOTIVE INC Dividend Option Reinvest Capital Gain Option Reinvest	GPI CASH	22	$28 35	$623 70	$555 28		$605 81	$17 89

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HANOVER INS GROUP INC COM Estimated Yield 1 68% Dividend Option Reinvest Capital Gain Option Reinvest	THG CASH	10 464	$44 43	$464 92	$427 75	$7 85	$466 98	($2 06)
HARRIS CORP DEL Estimated Yield 1 85% Dividend Option Reinvest Capital Gain Option Reinvest	HRS CASH	20 221	$47 55	$961 51	$883 36	$17 79	$636 64	$324 87
HCC INS HLDGS INC Estimated Yield 1 93% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable. 01/18/10	HCC CASH	28 284	$27 97	$791 10	$739 06	$15 27	$708 39	$82 71
HSBC HLDGS PLC SPON ADR NEW Estimated Yield 2 97% Dividend Option Reinvest Capital Gain Option Reinvest	HBC CASH	34 115	$57 09	$1 947 63	$2,013 13	$58 00	$1,769 86	$177 77
INTEGRATED DEVICE TECH INC Dividend Option Reinvest Capital Gain Option Reinvest	IDTI CASH	42	$6 47	$271 74	$237 72		$293 31	($21 57)
INTEGRATED ELECTRICAL SVCS INC COM NEW Dividend Option Reinvest Capital Gain Option Reinvest	IESC CASH	29	$5 85	$169 65	$197 49		$654 05	($484 40)
INTEL CORP Estimated Yield 2 74% Dividend Option Reinvest Capital Gain Option Reinvest	INTC CASH	18 261	$20 40	$372 52	$348 12	$10 23	$301 49	$71 03
INTL BUSINESS MACH Estimated Yield 1 68% Dividend Option Reinvest Capital Gain Option Reinvest	IBM CASH	16 098	$130 90	$2,107 23	$2,025 26	$35 42	$1,862 53	$244 70
INTUITIVE SURGICAL INC COM NEW Dividend Option Reinvest Capital Gain Option Reinvest	ISRG CASH	1	$303 43	$303 43	$280 54		$254 09	$49 34
INVESTMENT TECH GROUP INC Dividend Option Reinvest Capital Gain Option Reinvest	ITG CASH	8	$19 70	$157 60	$146 00		$150 71	$6 89

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JACOBS ENGR GROUP INC Dividend Option Reinvest Capital Gain Option Reinvest	JEC CASH	32	$37 61	$1,203 52	$1,119 68		$2,615 42	($1,411 90)
JOHNSON & JOHNSON Estimated Yield 3 04% Dividend Option Reinvest Capital Gain Option Reinvest	JNJ CASH	17 27	$64 41	$1,112 36	$1,077 01	$33 85	$960 36	$152 00
JOHNSON CTLS INC Estimated Yield 1 90% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	JCI CASH	55 189	$27 24	$1,503 35	$1,492 86	$28 70	$1,905 23	($401 88)
JPMORGAN CHASE & CO Estimated Yield 0 48% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/31/10	JPM CASH	21 377	$41 67	$890 78	$908 31	$4 28	$899 90	($9 12)
JUPITER TELECOMMUNICATION CO LTD ADR Estimated Yield 0 53%	JUPIY CASH	5	$66 55	$332 75	$311 50	$1 77	$267 21	$65 54
KAO CORP SPONS ADR EACH REPR 1 COM NPV Estimated Yield 2 62%	KCRPY CASH	20	$23 363	$467 26	$492 19	$12 27	$434 40	$32 86
KDDI CORP ADR Estimated Yield 2 45%	KDDIY CASH	7	$52 957	$370 70	$379 48	$9 09	$365 08	$5 62
KENDLE INTL INC Dividend Option Reinvest Capital Gain Option Reinvest	KNDL CASH	8	$18.31	$146 48	$119 76		$305 36	($158 88)
KONINKLIJKE AHOLD NV SPONSORED ADR 2007 Estimated Yield 1 34% Dividend Option Reinvest Capital Gain Option Reinvest	AHONY CASH	36	$13 286	$478 30	$485 32	$6 44	$385.30	$93.00
L-3 COMMUNICATIONS HLDGS INC Estimated Yield 1 61% Dividend Option Reinvest Capital Gain Option Reinvest	LLL CASH	5 044	$86 95	$438 58	$393 65	$7 06	$382.38	$56.20

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LIFEPOINT HOSPS INC Dividend Option Reinvest Capital Gain Option Reinvest	LPNT CASH	10	$32 53	$325 30	$290 30		$343 44	($18 14)
LINCARE HLDGS INC Dividend Option Reinvest Capital Gain Option Reinvest	LNCR CASH	6	$37 135	$222 81	$213 12		$147 28	$75 53
LOCKHEED MARTIN CORP Estimated Yield 3 34% Dividend Option Reinvest Capital Gain Option Reinvest	LMT CASH	8 124	$75 35	$612 14	$622 24	$20 47	$690 80	($78 66)
MADDEN STEVEN LTD Dividend Option Reinvest Capital Gain Option Reinvest	SHOO CASH	18	$41 24	$742 32	$642 24		$405 47	$336 85
MANHATTAN ASSOCIATES INC Dividend Option Reinvest Capital Gain Option Reinvest	MANH CASH	10	$24 04	$240 40	$235 60		$150 39	$90 01
MARATHON OIL CORP ISIN #US5658491064 SEDOL #2910970 Estimated Yield 3 07% Dividend Option Reinvest Capital Gain Option Reinvest	MRO CASH	19 293	$31 22	$602 33	$624 64	$18 52	$644 03	($41 70)
MARTEN TRANS LTD Dividend Option Reinvest Capital Gain Option Reinvest	MRTN CASH	18	$17 95	$323 10	$303 48		$356 77	($33 67)
MATTEL INC Estimated Yield 3 75% Dividend Option Reinvest Capital Gain Option Reinvest	MAT CASH	12 45	$19 98	$248 75	$233 52	$9 34	$205 31	$43 44
MAX CAPITAL GROUP LTD HAMILTON SHS ISIN #BMG6052F1032 SEDOL #2785640 Estimated Yield 1 79% Dividend Option Reinvest Capital Gain Option Reinvest	MXGL CASH	34 777	$22 30	$775 53	$754 46	$13 91	$815 71	($40 18)
MCDONALDS CORP Estimated Yield 3 52% Dividend Option Reinvest Capital Gain Option Reinvest	MCD CASH	17 459	$62 44	$1,090 14	$1,094 41	$38 41	$1,039 23	$50 91

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MEDTRONIC INC Estimated Yield 1 86% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/29/10	MDT CASH	23 265	$43 98	$1,023 19	$987 37	$19 08	$801 09	$222 10
MERCK & CO INC NEW COM Estimated Yield 4 16% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/08/10	MRK CASH	8	$36 54	$292 32	$289 68	$12 16	$273 12	$19 20
METLIFE INC COM Estimated Yield 2 09% Dividend Option Reinvest Capital Gain Option Reinvest	MET CASH	28 577	$35 35	$1,010 20	$957 32	$21 15	$913 95	$96 25
MICROSOFT CORP Estimated Yield 1 70% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 03/11/10	MSFT CASH	97 371	$30 48	$2,967 87	$2,851 30	$50 63	$2,287 05	$680 82
MONSANTO CO NEW Estimated Yield 1 29% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/29/10	MON CASH	24 052	$81 75	$1,966 25	$407 95	$25.50	$1,943 96	$22 29
MORGAN STANLEY Estimated Yield 0 67% Dividend Option Reinvest Capital Gain Option Reinvest	MS CASH	9 028	$29 60	$267 23	$285 10	$1 81	$275 06	($7 83)
NESTLE S A SPONSORED ADR REPSTG REG SH VTG Estimated Yield 2 54%	NSRGY CASH	27	$48 561	$1,311 15	$1,276 53	$33 40	$1,159 13	$152 02
NEWMARKET CORP Estimated Yield 1 30% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/01/10	NEU CASH	7 147	$114 77	$820 26	$748 43	$10 72	$494 92	$325 34

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NIKE INC CLASS B Estimated Yield 1 63% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	NKE CASH	8 073	$66 07	$533 38	$523 86	$8 72	$479 82	$53 56
NINTENDO CO LTD UNSPON ADR NEW Estimated Yield 4 72%	NTDOY CASH	13	$29 593	$384 71	$400 10	$18 16	$845 00	($460 29)
NOBEL BIOCARE AG UNSP ADR EACH REPR 1/2 ORD Estimated Yield 0 83%	NBHGY CASH	21	$16 822	$353 26	$312 10	$2 94	$312 56	$40 70
NORDSTROM INC Estimated Yield 1 70% Dividend Option Reinvest Capital Gain Option Reinvest	JWN CASH	13 129	$37 58	$493 39	$437 19	$8 40	$295 00	$198 39
NORFOLK SOUTHERN CRP Estimated Yield 2 59% Dividend Option Reinvest Capital Gain Option Reinvest	NSC CASH	5 068	$52 42	$265 66	$258 85	$6 89	$201 59	$64 07
NOVARTIS AG ADR ISIN #US66987V1098 Estimated Yield 3 14% Dividend Option Reinvest Capital Gain Option Reinvest	NVS CASH	9 983	$54 43	$543 37	$555 05	$17 11	$513 77	$29 60
NOVATEL WIRELESS INC Dividend Option Reinvest Capital Gain Option Reinvest	NVTL CASH	37	$7 97	$294 89	$310 06	.	$463 80	($168 91)
NOVO NORDISK A/S ADR FMLY NOVO INDUSTRIES ADR TO 01/01/1989 Estimated Yield 1 22% Dividend Option Reinvest Capital Gain Option Reinvest	NVO CASH	11 175	$63 85	$713 52	$745 60	$8 73	$686 64	$26 88
OCCIDENTAL PETROLEUM CORP Estimated Yield 1 62% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/15/10	OXY CASH	1 004	$81 35	$81 68	$81 11	$1 33	$74 95	$6 73
OIL STS INTL INC Dividend Option Reinvest Capital Gain Option Reinvest	OIS CASH	12	$39 29	$471 46	$430 44		$439 43	$32 05

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OM GROUP INC Dividend Option Reinvest Capital Gain Option Reinvest	OMG CASH	12	$31 39	$376 68	$367 56		$346 12	$30 56
ORACLE CORPORATION Estimated Yield 0 81% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/09/10	ORCL CASH	10 046	$24 53	$246 43	$221 82	$2 01	$200 62	$45 81
ORTHOFIX INTL N V SEDOL #2644547 ISIN #ANN6748L1027 Dividend Option Reinvest Capital Gain Option Reinvest	OFIX CASH	27	$30 93	$835 11	$816 75		$1,312 73	($477 62)
PACCAR INC Estimated Yield 0 99% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 03/05/10	PCAR CASH	33.52	$36 27	$1,215 77	$1,239 92	$12 07	$1,641 83	($426 06)
PACWEST BANCORP DEL COM N/C FROM 31983B101 #REOR M0050532160001 Estimated Yield 0 19% Dividend Option Reinvest Capital Gain Option Reinvest	PACW CASH	16 307	$20 15	$328 59	$300 05	$0 65	$846 96	($518 37)
PALM INC NEW COM Dividend Option Reinvest Capital Gain Option Reinvest	PALM CASH	9	$10 03	$90 27	$98 19		$141 71	($51 44)
PARAMETRIC TECHNOLOGY CORP Dividend Option Reinvest Capital Gain Option Reinvest	PMTC CASH	20	$16 34	$326 80	$301 20		$236 81	$89 99
PEPSICO INC Estimated Yield 2 96% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	PEP CASH	1 016	$60 80	$61 77	$63 22	$1 83	$53 80	$7 97
PETROLEO BRASILEIRO SA PETROBRAS SPONS ADR Estimated Yield 0 85% Dividend Option Reinvest Capital Gain Option Reinvest	PBR CASH	37 904	$47 68	$1,807 26	$1,924 69	$15 42	$1,688 37	$118 89

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EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
PFIZER INC Estimated Yield 3 95% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 03/02/10	PFE CASH	129 833	$18 19	$2 361 66	$2,338 72	$93 48	$3,210 39	($848 73)
PIPER JAFFRAY COS COM Dividend Option Reinvest Capital Gain Option Reinvest	PJC CASH	16	$50 61	$809 76	$693 60		$1,042 72	($232 96)
POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L1076 SEDOL #2696980 Estimated Yield 0 36% Dividend Option Reinvest Capital Gain Option Reinvest	POT CASH	5 004	$108 50	$542 93	$562 55	$2 00	$475 82	$67 11
PRAXAIR INC Estimated Yield 1 99% Dividend Option Reinvest Capital Gain Option Reinvest	PX CASH	24 122	$80 31	$1,937 24	$1,969 21	$38 60	$2,119 76	($182 52)
PRICELINE COM INC COM NEW Dividend Option Reinvest Capital Gain Option Reinvest	PCLN CASH	4	$218 41	$873 64	$856 48		$693 94	$179 70
PROCTER & GAMBLE CO Estimated Yield 2 90% Dividend Option Reinvest Capital Gain Option Reinvest	PG CASH	15 233	$60 63	$923 58	$949 78	$26 81	$815 02	$108 56
PUBLICIS GROUPE SA ADR EACH 1 REP 2 ORD EURO 4 Estimated Yield 2 16% Dividend Option Reinvest Capital Gain Option Reinvest	PUBGY CASH	54	$20 445	$1 104 03	$1,039 37	$23 87	$883 45	$220 58
QUALCOMM INC Estimated Yield 1 47% Dividend Option Reinvest Capital Gain Option Reinvest	QCOM CASH	50 148	$46 26	$2,319 85	$2 248 02	$34 10	$2 058 55	$261 30
REED ELSEVIER P L C SPONSORED ADR NEW Estimated Yield 3 96% Dividend Option Reinvest Capital Gain Option Reinvest	RUK CASH	13 149	$32 79	$431 16	$396 84	$17 09	$390 12	$41 04

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ROCHE HLDG LTD ADR ISIN# US7711951043 SEDOL #B014J81 Estimated Yield 1.56%	RHHBY CASH	50	$42 515	$2,125 75	$2,045 05	$33 26	$1,952 36	$173 39
ROFIN SINAR TECH INC Dividend Option Reinvest Capital Gain Option Reinvest	RSTI CASH	20	$23 61	$472 20	$455 60		$745 21	($273.01)
ROSS STORES INC Estimated Yield 1 02% Dividend Option Reinvest Capital Gain Option Reinvest	ROST CASH	2 01	$42 71	$85 85	$88 18	$0 88	$94 21	($8 36)
SAGE GROUP ADR EACH REP 4 ORD GBP0 01 Estimated Yield 2 82%	SGPYY CASH	16	$14 211	$227 38	unavailable	$6 42	$240 53	($13.15)
SAP AG SPON ADR Estimated Yield 1 07% Dividend Option Reinvest Capital Gain Option Reinvest	SAP CASH	16 19	$46 81	$757 85	$775 02	$8 11	$934 20	($176 35)
SCHLUMBERGER LTD ISIN #AN8068571086 SEDOL 2779201 Estimated Yield 1 29% Dividend Option Reinvest Capital Gain Option Reinvest	SLB CASH	13 047	$65 09	$849 23	$833 57	$10 96	$771 24	$77 99
SCHNEIDER ELECTRIC UNSPON ADR EA REPR 0 10 ORD SHS Estimated Yield 4 15%	SBGSY CASH	44	$11 733	$516 25	$481 49	$21 43	$475 27	$40.98
SCHNITZER STL INDS Estimated Yield 0.14% Dividend Option Reinvest Capital Gain Option Reinvest	SCHN CASH	5 018	$47 70	$239 36	$223 90	$0 34	$313 01	($73 65)
SCHWAB CHARLES CORP NEW Estimated Yield 1 27% Dividend Option Reinvest Capital Gain Option Reinvest	SCHW CASH	35 64	$18 82	$670 74	$653 28	$8 55	$659 45	$11 29
SEMPRA ENERGY Estimated Yield 2 78% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/15/10	SRE CASH	8 126	$55 98	$454 89	$431 82	$12 68	$380 80	$74 09

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Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

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E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
SEVEN & I HLDGS CO LTD Estimated Yield 3 00%	SVNDY CASH	5	$40 754	$203 77	$224 39	$6 13	$226 22	($22 45)
SGS SA UNSP ADR EACH REPR 0 01 ORD CHF1 Estimated Yield 2 02%	SGSOY CASH	38	$13 069	$496 62	$487 35	$10 04	$484 69	$11 93
SHOPPERS DRUG MART CORP (CANADA) ISIN #CA82509W1032 SEDOL #2821191 Dividend Option Reinvest Capital Gain Option Reinvest	SHDMF CASH	8 065	$43 31569	$349 34	$330 63		$333 69	$15 65
SIEMENS A G SPON ADR ISIN #US8261975010 SEDOL #2742689 Estimated Yield 1 67% Dividend Option Reinvest Capital Gain Option Reinvest	SI CASH	4 106	$91 70	$376 52	$404 93	$6 29	$446 26	($69 74)
SIGMA ALDRICH CORP Estimated Yield 1 14% Dividend Option Reinvest Capital Gain Option Reinvest	SIAL CASH	19 484	$50 55	$984 92	$1,036 45	$11 30	$1,027 47	($42 55)
SMITH & NEPHEW PLC SPONS ADR NEW Estimated Yield 1 32% Dividend Option Reinvest Capital Gain Option Reinvest	SNN CASH	6 107	$51 25	$312 98	$291 91	$4 15	$212 35	$100 63
SOCIEDAD QUIMICA MINERA DE CHILE S A SPONS ADR REPTSG SER B SHS Estimated Yield 2 69% Dividend Option Reinvest Capital Gain Option Reinvest	SQM CASH	18 745	$37 57	$704 25	$702 75	$19 00	$621 44	$82 81
SOCIETE GENERALE SPON ADR EACH 5 CNV INTO 1 ORD EUR1 25 Estimated Yield 2 18%	SCGLY CASH	32	$14 046	$449 47	$450 56	$9 82	$499 81	($50 34)
SODEXO SPONSORED ADR Estimated Yield 2 84% Dividend Option Reinvest Capital Gain Option Reinvest	SDXAY CASH	10	$57 196	$571 96	$561 50	$16 29	$495 54	$76 42
SOUTH JERSEY INDS INC Estimated Yield 3 45% Dividend Option Reinvest Capital Gain Option Reinvest	SJI CASH	21 997	$38 18	$839 85	$786 47	$29 04	$808 76	$31 09

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
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Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

RICHARD H SIMONS
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E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
STEINER LEISURE LTD SHS ISIN #BSP8744Y1024 SEDOL #2784692 Dividend Option Reinvest Capital Gain Option Reinvest	STNR CASH	8	$39 76	$318 08	$316 40		$231 60	$86 48
STERIS CORP Estimated Yield 1 57% Dividend Option Reinvest Capital Gain Option Reinvest	STE CASH	41 097	$27 97	$1,149 48	$1,231 82	$18 08	$1,132 26	$17 22
STERLITE INDS INDIA LTD ADS ISIN #US8597372072 Estimated Yield 0 32% Dividend Option Reinvest Capital Gain Option Reinvest	SLT CASH	41 148	$18 22	$749 72	$755 48	$2 40	$256.38	$493 34
SUN HUNG KAI PROPERTIES LTD SPND ADR EACH REPR 1 ORD HKD Estimated Yield 1 88%	SUHJY CASH	32	$14 999	$479 97	$474 40	$9 04	$482 88	($2 91)
SURMODICS INC Dividend Option Reinvest Capital Gain Option Reinvest	SRDX CASH	10	$22 66	$226 60	$223 50		$405 40	($178 80)
SWEDBANK AB ADR EACH REP 1 SER A SEK20(MGT)	SWDBY CASH	54	$9 944	$536 98	$514 57		$577 57	($40 59)
SYBASE INC Dividend Option Reinvest Capital Gain Option Reinvest	SY CASH	6	$43 40	$260 40	$241 44		$143 33	$117 07
SYNIVERSE HLDGS INC Dividend Option Reinvest Capital Gain Option Reinvest	SVR CASH	36	$17 48	$629 28	$570 96		$587 92	$41 36
T ROWE PRICE GROUP INC Estimated Yield 1 87% Dividend Option Reinvest Capital Gain Option Reinvest	TROW CASH	18 838	$53 25	$1,003 12	$917 44	$18 84	$1,109 32	($106 20)
T 3 ENERGY SVCS INC Dividend Option Reinvest Capital Gain Option Reinvest	TTES CASH	10	$25 50	$255 00	$249 60		$472 69	($217 69)
TALISMAN ENERGY INC COM ISIN #CA87425E1034 SEDOL #2068299 Dividend Option Reinvest Capital Gain Option Reinvest	TLM CASH	39 48	$18 64	$735 91	$689 32		$698 54	$37 37

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Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

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E.I. # 59-7034786
FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
TESCO PLC SPONSORED ADR FORMRLY TESCO STORES Estimated Yield 2 69%	TSCDY CASH	15	$20 735	$311 03	$312 39	$8 37	$333 75	($22 72)
TEVA PHARMACEUTICAL INDS LTD ADR Estimated Yield 0 85% Dividend Option Reinvest Capital Gain Option Reinvest	TEVA CASH	51 311	$56 18	$2,882 65	$2,702 37	$24 76	$2,372 71	$509 94
TEXAS INSTRUMENTS INC Estimated Yield 1 84% Dividend Option Reinvest Capital Gain Option Reinvest	TXN CASH	9 043	$26 06	$235 66	$228 70	$4 34	$215 89	$19 77
THOMPSON CREEK METALS CO INC COM ISIN #CA8847681027 SEDOL #2439806 Dividend Option Reinvest Capital Gain Option Reinvest	TC CASH	29	$11 72	$339 88	$347 42		$341 80	($1 92)
TNT N V SPONSORED ADR Estimated Yield 1 64% Dividend Option Reinvest Capital Gain Option Reinvest	TNTTY CASH	27	$30 847	$832 87	$783 16	$13 71	$977 40	($144 53)
TORONTO DOMINION BK ONT COM NEW ISIN #CA8911605092 SEDOL #2897222 Dividend Option Reinvest Capital Gain Option Reinvest	TD CASH	3 049	$62 72	$191 23	$192 21		$157 86	$33 37
TOTAL S A SPON ADR Estimated Yield 5 11% Dividend Option Reinvest Capital Gain Option Reinvest	TOT CASH	16 987	$64 04	$1,087 85	$1,035 21	$55 67	$1,194 06	($106 21)
TOYOTA MTRS CORP SPON ADR Estimated Yield 1 40% Dividend Option Reinvest Capital Gain Option Reinvest	TM CASH	11 327	$84 16	$953 28	$885 15	$13 41	$1 029 36	($76 08)
TRANSOCEAN LTD ZUG NAMEN -AKT ISIN #CH0048265513 SEDOL #B3KFWW1 Dividend Option Reinvest Capital Gain Option Reinvest	RIG CASH	7	$82 80	$579 60	$597 73		$586 51	($6 91)

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Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

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EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
TSAKOS ENERGY NAVIGATION LTD ISIN #BMG9108L1081 SEDOL #2854829 Estimated Yield 4 09% Dividend Option Reinvest Capital Gain Option Reinvest	TNP CASH	18 285	$14 66	$268 06	$304 26	$10 97	$841 71	($373 65)
ULTRAPAR PARTICIPACOE SA SPON ADR REP PFD SHS Estimated Yield 1 83% Dividend Option Reinvest Capital Gain Option Reinvest	UGP CASH	38 603	$46 90	$1,810 48	$1,825 92	$33 28	$1 357 14	$453 34
ULTRAPETROL BAHAMAS LIMITED SHS ISIN #BSP943981071 SEDOL #81G1605 Dividend Option Reinvest Capital Gain Option Reinvest	ULTR CASH	50	$4 76	$238 00	$231 00		$822 36	($584 36)
UNILEVER N V ISIN #US9047847093 SEDOL #2416542 NEW YORK SHS NEW Estimated Yield 3 36% Dividend Option Reinvest Capital Gain Option Reinvest	UN CASH	25 145	$32 33	$812 94	$766.65	$27 33	$728 94	$84 00
UNION PACIFIC CORP Estimated Yield 1 69% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	UNP CASH	20 561	$63 90	$1,313 85	$1,300 69	$22.21	$1,414 31	($100 46)
UNITED OVERSEAS BANK LTD SPON ADR ISIN US9112713022 Estimated Yield 2 95%	UOVEY CASH	25	$28 064	$701 60	$680 08	$20 70	$529 02	$172 58
UNITED PARCEL SVC INC CL B Estimated Yield 3 13% Dividend Option Reinvest Capital Gain Option Reinvest	UPS CASH	5 082	$57 37	$291 55	$289 82	$9 15	$268 14	$23 41
US BANCORP DEL COM NEW Estimated Yield 0 88% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/15/10	USB CASH	42 855	$22 51	$964 67	$1,034 09	$8 57	$1 404 19	($439 52)

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Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

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FORM 990PF ATTACHMENT

EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
V F CORP Estimated Yield 3 27% Dividend Option Reinvest Capital Gain Option Reinvest	VFC CASH	1 016	$73 24	$74 41	$73 30	$2 44	$67 18	$7 23
VEECO INSTRUMENTS INC Dividend Option Reinvest Capital Gain Option Reinvest	VECO CASH	21	$33 04	$693 84	$573 51		$366 24	$327 60
VERIZON COMMUNICATIONS Estimated Yield 5 73% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/01/10	VZ CASH	22 121	$33 13	$732 87	$695 93	$42 03	$840 24	($107 37)
VODAFONE GROUP PLC NEW SPONSORED ADR NEW Estimated Yield 5 21% Dividend Option Reinvest Capital Gain Option Reinvest	VOD CASH	48 539	$23 09	$1,120 77	$1,101 35	$58 48	$1,345 07	($224.30)
WAL MART DE MEXICO S A DE CV SPON ADR REPSTG SER V SHS ISIN #US93114W1071 SEDOL #2136088 Estimated Yield 0 97%	WMMVY CASH	7	$44 656	$312 59	$288 52	$3 06	$209 28	$103 31
WAL-MART STORES INC Estimated Yield 2 03% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	WMT CASH	7 113	$53 45	$380 19	$388 01	$7 75	$353 81	$26 38
WASTE CONNECTIONS INC Dividend Option Reinvest Capital Gain Option Reinvest	WCN CASH	31	$33 34	$1,033 54	$1,005 95		$993 39	$40 15
WASTE MANAGEMENT INC Estimated Yield 3 43% Dividend Option Reinvest Capital Gain Option Reinvest	WM CASH	2 017	$33 81	$68 19	$65 68	$2 34	$60 31	$7 88
WELLS FARGO & CO NEW Estimated Yield 0 74% Dividend Option Reinvest Capital Gain Option Reinvest	WFC CASH	109 743	$26 99	$2,961 96	$3,071 70	$21 95	$2,527 06	$434 90

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Investment Discipline: MMA-AGG GR-GBL DIV

NFP SECURITIES, INC.

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EQUITIES 64.35%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
WESTAR ENERGY INC COM Estimated Yield 5 52% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 01/04/10	WR CASH	19 222	$21 72	$417 50	$395 78	$23 07	$529 30	($111 80)
WILLIS GROUP HLDGS LTD ISIN #BMG966551084 (BERMUDA) SEDOL #2764984 Dividend Option Reinvest Capital Gain Option Reinvest	WSH CASH	15 796	$26 38	$416 70	$428 86		$474 86	($58 16)
WISCONSIN ENERGY CP Estimated Yield 2 70% Dividend Option Reinvest Capital Gain Option Reinvest	WEC CASH	8	$49 83	$398 64	unavailable	$10 80	$388 55	$10 09
WMS INDS INC Dividend Option Reinvest Capital Gain Option Reinvest	WMS CASH	20 5	$40 00	$820 00	$797 04		$577 07	$242 93
WOLVERINE WORLD WIDE INC Estimated Yield 1 61% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/01/10	WWW CASH	26 52	$27 22	$721 87	$678 12	$11 67	$782 28	($60 41)
YUM! BRANDS INC Estimated Yield 2 40% Dividend Option Reinvest Capital Gain Option Reinvest Next Dividend Payable 02/05/10	YUM CASH	21 133	$34 97	$739 02	$745 36	$17 75	$724 93	$14 09
ZOLL MEDICAL CORP Dividend Option Reinvest Capital Gain Option Reinvest	ZOLL CASH	10	$26 72	$267 20	$246 10		$147 60	$119 60
3M COMPANY Estimated Yield 2 46% Dividend Option Reinvest Capital Gain Option Reinvest	MMM CASH	3 019	$82 67	$249 58	$232 32	$6 16	$225 21	$24 37
Total Equity				$159,265.03		$2,534.24	$156,620 32	$2,644.71
Total Equities				$159,265.03		$2,534.24	$156,620 32	$2,644.71

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MUTUAL FUNDS 30.16%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
ING GLOBAL REAL ESTATE FUND CL W	IRGWX	848 864	$14 70	$12 478 30	$12,486 79	$310.77	$10,458 00	$2,020 30
Estimated Yield 2 49%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
PMC DIVERSIFIED EQUITY FUND	PMDEX	2 460 829	$16 11	$39,643 96	$38,708 84	$73 89		
Estimated Yield 0 18%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
RS EMERGING MARKETS FUND CLASS A	GBEMX	973 795	$23 12	$22,514 14	$22,075 93	$286 30	$22,222 00	$292 14
Estimated Yield 1 27%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
Total Equity				$74,636.40		$670 96	$32,680 00	$2,312.44
Total Mutual Funds				$74,636.40		$670.96	$32,680 00	$2,312 44
Total Securities				$233,901 43		$3,205.20	$189,300 32	$4,957.15

OTHER SECURITIES 1.10%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ANWORTH MTG ASSET CORP	ANH	98 652	$7 00	$690 56	$710 29	$116 41	$604 31	$86 25
Estimated Yield 16 85%	CASH							
Next Dividend Payable 01/19/10								
BIOMED RLTY TR INC	BMR	29 715	$15 78	$468 90	$406 80	$16 64	$207 51	$261 39
Estimated Yield 3 54%	CASH							
Next Dividend Payable 01/15/10								
CORPORATE OFFICE PROPERTIES	OFC	6 146	$36 63	$225 13	$210 13	$9 65	$191 06	$34 07
Estimated Yield 4 28%	CASH							
Next Dividend Payable 01/15/10								
DCT INDL TR INC	DCT	76 579	$5 02	$384 43	$363 75	$21 44	$759 22	($374 79)
Estimated Yield 5 57%	CASH							
Next Dividend Payable 01/15/10								
P S BUSINESS PARKS INC CA	PSB	12 446	$50 05	$622 92	$586 60	$21 90	$756 69	($133 77)
Estimated Yield 3 51%	CASH							
PUBLIC STORAGE COM	PSA	1 023	$81 45	$83 32	$80 85	$2 25	$69 90	$13 42
Estimated Yield 2 70%	CASH							

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: J7P-407062
Account Name: RICHARD SI

Statement Date: 12/01/2009 to 12/31/2009

Separate Account Manager: ENVESTNET ASSET MANAGEMENT
Investment Discipline: MMA-AGG GR-GBL DIV

NFS SECURITIES, INC.

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

OTHER SECURITIES 1.10%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/09	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
SIMON PPTY GRP INC Estimated Yield 3 38%	SPG CASH	3 046	$79 80	$243 07	$219 94	$8 22	$174 62	$68 45
Total Other Securities				$2,718.33		$196.51	$2,763 31	($44.98)

TOTAL PORTFOLIO VALUE	TOTAL	$247,475.93	$3,401.71	$192,063 63	$4,912.17
	LESS: CASH	10856.00			
		236620.00			

ACCOUNT ACTIVITY

NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers) realized gain and loss and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information Unless otherwise specified, NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities

Amortization accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION: NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend, wash sale disallowed loss, stock split, stock merger etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position, and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor

TRADING

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
11/30/09	CASH	REINVESTMENT	MAX CAPITAL GP LTD COM STK USD1 REINVEST @ $22 6000 TRADE DATE 12-01-09	0 153	($3 46)		
12/01/09	CASH	REINVESTMENT	INTEL CORP REINVEST @ $19 4640 TRADE DATE 11-25-09	0 13	($2 54)	$2 54	
12/01/09	CASH	REINVESTMENT	PFIZER INC REINVEST @ $18 3810 TRADE DATE 11-25-09	1 12	($20 59)	$20 59	

Account carried with National Financial Services LLC, Member NYSE, SIPC