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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

**2009**

For calendar year **2009**, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                                                                                                                                                                   |                                                                                                                                                                                                |            |                                                                                                                                                                                                                                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type. See Specific Instructions.                                                                                                                                                                           | Name of foundation<br><b>WALLACE FAMILY FOUNDATION</b>                                                                                                                                         |            | A Employer identification number<br><b>58-6343201</b>                                                                                                                                                                             |
|                                                                                                                                                                                                                                                   | Number and street (or P O box number if mail is not delivered to street address)                                                                                                               | Room/suite | B Telephone number (see page 10 of the instructions)<br><b>(864) 233-5307</b>                                                                                                                                                     |
|                                                                                                                                                                                                                                                   | 21 CHESTNUT RIDGE ROAD                                                                                                                                                                         |            |                                                                                                                                                                                                                                   |
|                                                                                                                                                                                                                                                   | City or town, state, and ZIP code<br><b>GREENVILLE SC 29609</b>                                                                                                                                |            | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                                                                        |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                                                                                                                                |            | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>                                                      |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>1,237,294</b>                                                                                                                                          | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis) |            | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions)) |                                    |                           |                         |                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
|                                                                                                                                                                                   | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
| <b>Revenue</b>                                                                                                                                                                    |                                    |                           |                         |                                                             |
| 1 Contributions, gifts, grants, etc., received (attach schedule)                                                                                                                  |                                    |                           |                         |                                                             |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B                                                                                                |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                                              |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                                                          | 53,211                             | 53,211                    |                         |                                                             |
| 5 a Gross rents                                                                                                                                                                   |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                                                     |                                    |                           |                         |                                                             |
| 6 a Net gain or (loss) from sale of assets not on line 10                                                                                                                         | -63,366                            |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a <b>532,240</b>                                                                                                                      |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                                                  |                                    |                           |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                                                     |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                                                            |                                    |                           |                         |                                                             |
| 10 a Gross sales less returns and allowances                                                                                                                                      |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                                                         |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                                        |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                                                                                                                 | 3,462                              |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                                  | -6,693                             | 53,211                    |                         |                                                             |
| <b>Operating and Administrative Expenses</b>                                                                                                                                      |                                    |                           |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                                                             |                                    |                           |                         |                                                             |
| 14 Other employee salaries and wages                                                                                                                                              |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                                               |                                    |                           |                         |                                                             |
| 16 a Legal fees (attach schedule)                                                                                                                                                 |                                    |                           |                         |                                                             |
| b Accounting fees (attach schedule)                                                                                                                                               | 3,011                              | 3,011                     |                         |                                                             |
| c Other professional fees (attach schedule)                                                                                                                                       | 14,442                             | 14,442                    |                         |                                                             |
| 17 Interest                                                                                                                                                                       | 9                                  | 9                         |                         |                                                             |
| 18 Taxes (attach schedule) (see page 14 of the instructions)                                                                                                                      | 1,905                              | 1,905                     |                         |                                                             |
| 19 Depreciation (attach schedule) and depletion                                                                                                                                   |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                                                      |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                                              |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                                                      |                                    |                           |                         |                                                             |
| 23 Other expenses (attach schedule)                                                                                                                                               |                                    |                           |                         |                                                             |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                                                           | 19,367                             | 19,367                    |                         |                                                             |
| 25 Contributions, gifts, grants paid                                                                                                                                              | 70,375                             |                           |                         | 70,375                                                      |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                                          | 89,742                             | 19,367                    |                         | 70,375                                                      |
| 27 Subtract line 26 from line 12                                                                                                                                                  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                               | -96,435                            |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                                  |                                    | 33,844                    |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                                    |                                    |                           |                         |                                                             |

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| Part II Balance Sheets                                                                         |                                                                                                                                          | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) |                |                       |
|------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                                                                                |                                                                                                                                          | Beginning of year                                                                                                  | End of year    |                       |
|                                                                                                |                                                                                                                                          | (a) Book Value                                                                                                     | (b) Book Value | (c) Fair Market Value |
| Assets                                                                                         | 1 Cash—non-interest-bearing                                                                                                              |                                                                                                                    |                |                       |
|                                                                                                | 2 Savings and temporary cash investments                                                                                                 | 137,438                                                                                                            | 19,817         | 19,187                |
|                                                                                                | 3 Accounts receivable ▶                                                                                                                  |                                                                                                                    |                |                       |
|                                                                                                | Less allowance for doubtful accounts ▶                                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | 4 Pledges receivable ▶                                                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | Less allowance for doubtful accounts ▶                                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | 5 Grants receivable                                                                                                                      |                                                                                                                    |                |                       |
|                                                                                                | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) |                                                                                                                    |                |                       |
|                                                                                                | 7 Other notes and loans receivable (attach schedule) ▶                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | Less allowance for doubtful accounts ▶                                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | 8 Inventories for sale or use                                                                                                            |                                                                                                                    |                |                       |
|                                                                                                | 9 Prepaid expenses and deferred charges                                                                                                  |                                                                                                                    |                |                       |
|                                                                                                | 10 a Investments—U S and state government obligations (attach schedule)                                                                  | 276,966                                                                                                            | 300,506        | 298,603               |
|                                                                                                | b Investments—corporate stock (attach schedule)                                                                                          | 821,367                                                                                                            | 819,013        | 919,504               |
|                                                                                                | c Investments—corporate bonds (attach schedule)                                                                                          |                                                                                                                    |                |                       |
|                                                                                                | Liabilities                                                                                                                              | 11 Investments—land, buildings, and equipment basis ▶                                                              |                |                       |
| Less accumulated depreciation (attach schedule) ▶                                              |                                                                                                                                          |                                                                                                                    |                |                       |
| 12 Investments—mortgage loans                                                                  |                                                                                                                                          |                                                                                                                    |                |                       |
| 13 Investments—other (attach schedule)                                                         |                                                                                                                                          |                                                                                                                    |                |                       |
| 14 Land, buildings, and equipment basis ▶                                                      |                                                                                                                                          |                                                                                                                    |                |                       |
| Less accumulated depreciation (attach schedule) ▶                                              |                                                                                                                                          |                                                                                                                    |                |                       |
| 15 Other assets (describe ▶)                                                                   |                                                                                                                                          |                                                                                                                    |                |                       |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) |                                                                                                                                          | 1,235,771                                                                                                          | 1,139,336      | 1,237,294             |
| Liabilities                                                                                    | 17 Accounts payable and accrued expenses                                                                                                 |                                                                                                                    |                |                       |
|                                                                                                | 18 Grants payable                                                                                                                        |                                                                                                                    |                |                       |
|                                                                                                | 19 Deferred revenue                                                                                                                      |                                                                                                                    |                |                       |
|                                                                                                | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                                                                                                                    |                |                       |
|                                                                                                | 21 Mortgages and other notes payable (attach schedule)                                                                                   |                                                                                                                    |                |                       |
|                                                                                                | 22 Other liabilities (describe ▶)                                                                                                        |                                                                                                                    |                |                       |
|                                                                                                | 23 Total liabilities (add lines 17 through 22)                                                                                           |                                                                                                                    |                |                       |
| Net Assets or Fund Balances                                                                    | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>            |                                                                                                                    |                |                       |
|                                                                                                | 24 Unrestricted                                                                                                                          |                                                                                                                    |                |                       |
|                                                                                                | 25 Temporarily restricted                                                                                                                |                                                                                                                    |                |                       |
|                                                                                                | 26 Permanently restricted                                                                                                                |                                                                                                                    |                |                       |
|                                                                                                | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>              |                                                                                                                    |                |                       |
|                                                                                                | 27 Capital stock, trust principal, or current funds                                                                                      |                                                                                                                    |                |                       |
|                                                                                                | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                                                                         |                                                                                                                    |                |                       |
|                                                                                                | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      | 1,235,771                                                                                                          | 1,139,336      |                       |
| 30 Total net assets or fund balances (see page 17 of the instructions)                         | 1,235,771                                                                                                                                | 1,139,336                                                                                                          |                |                       |
| 31 Total liabilities and net assets/fund balances (see page 17 of the instructions)            | 1,235,771                                                                                                                                | 1,139,336                                                                                                          |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                            |   |           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,235,771 |
| 2 Enter amount from Part I, line 27a                                                                                                                       | 2 | -96,435   |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                       | 3 |           |
| 4 Add lines 1, 2, and 3                                                                                                                                    | 4 | 1,139,336 |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                             | 5 |           |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | 6 | 1,139,336 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)                                                                                             |                                            | (b) How acquired<br>P—Purchase<br>D—Donation    | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| <b>1a See Attached Statement</b>                                                                                                                                                                                              |                                            |                                                 |                                                                                                 |                                  |
| <b>b</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                                                                         | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| <b>a</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>b</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                                   |                                            |                                                 |                                                                                                 |                                  |
| (i) FMV as of 12/31/69                                                                                                                                                                                                        | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| <b>a</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>b</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>c</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>d</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>e</b>                                                                                                                                                                                                                      |                                            |                                                 |                                                                                                 |                                  |
| <b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                                    |                                            | <b>2</b>                                        | <b>-63,366</b>                                                                                  |                                  |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b><br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 |                                            | <b>3</b>                                        | <b>-11</b>                                                                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                   | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2008                                                                                                                                                                                                                                   | 79,600                                   | 1,395,952                                    | 0.057022                                                    |
| 2007                                                                                                                                                                                                                                   | 78,800                                   | 1,665,471                                    | 0.047314                                                    |
| 2006                                                                                                                                                                                                                                   | 69,800                                   | 1,571,368                                    | 0.044420                                                    |
| 2005                                                                                                                                                                                                                                   | 70,904                                   | 1,440,705                                    | 0.049215                                                    |
| 2004                                                                                                                                                                                                                                   | 66,986                                   | 1,385,679                                    | 0.048342                                                    |
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                                                                   |                                          |                                              | <b>2</b> <b>0.246313</b>                                    |
| <b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>                                                    |                                          |                                              | <b>3</b> <b>0.049263</b>                                    |
| <b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>                                                                                                                                                  |                                          |                                              | <b>4</b> <b>1,138,875</b>                                   |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                                                                     |                                          |                                              | <b>5</b> <b>56,104</b>                                      |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                                                                    |                                          |                                              | <b>6</b> <b>338</b>                                         |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                                                                             |                                          |                                              | <b>7</b> <b>56,442</b>                                      |
| <b>8 Enter qualifying distributions from Part XII, line 4</b><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. |                                          |                                              | <b>8</b> <b>70,375</b>                                      |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2009 estimated tax payments and 2008 overpayment credited to 2009

b Exempt foreign organizations—tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2010 estimated tax

Refunded

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation \$ (2) On foundation managers \$

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) SC

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)?

If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

**Part VII-A Statements Regarding Activities (continued)**

|    |                                                                                                                                                                                                        |    |   |   |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 |   | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                  | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                    | 13 | X |   |

Website address **▶ NONE**

14 The books are in care of **▶ JEAN C. GRIFFITH** Telephone no **▶ (864) 370-1444**  
 Located at **▶ 880 S. PLEASANTBURG DRIVE SUITE 2F GREENVILLE SC** ZIP+4 **▶ 29607**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                | 1b                                                                  | X   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        | 1c                                                                  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |                                                                     |     |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| If "Yes," list the years <b>▶ 20</b> , <b>20</b> , <b>20</b> , <b>20</b>                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)                                                                                                                                                                          | 2b                                                                  | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br><b>▶ 20</b> , <b>20</b> , <b>20</b> , <b>20</b>                                                                                                                                                                                                                                                                                                                            |                                                                     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | 3b                                                                  | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               | 4a                                                                  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              | 4b                                                                  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** X**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                 |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

▶

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . .

1

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                  |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
| 2                                                                                                                |        |
| All other program-related investments. See page 24 of the instructions                                           |        |
| 3                                                                                                                |        |
| <b>Total.</b> Add lines 1 through 3 . . . . .                                                                    |        |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                        |           |           |
|----------|------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes             |           |           |
| <b>a</b> | Average monthly fair market value of securities                                                                        | <b>1a</b> |           |
| <b>b</b> | Average of monthly cash balances                                                                                       | <b>1b</b> | 83,707    |
| <b>c</b> | Fair market value of all other assets (see page 24 of the instructions)                                                | <b>1c</b> | 1,072,511 |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                  | <b>1d</b> | 1,156,218 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)              | <b>1e</b> |           |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                   | <b>2</b>  |           |
| <b>3</b> | Subtract line 2 from line 1d                                                                                           | <b>3</b>  | 1,156,218 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 17,343    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4            | <b>5</b>  | 1,138,875 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                   | <b>6</b>  | 56,944    |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |        |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|--------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 56,944 |
| <b>2a</b> | Tax on investment income for 2009 from Part VI, line 5                                                    | <b>2a</b> | 677    |
| <b>b</b>  | Income tax for 2009 (This does not include the tax from Part VI)                                          | <b>2b</b> |        |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 677    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 56,267 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |        |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 56,267 |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  |        |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 56,267 |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |        |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                           |           |        |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26                                                                                         | <b>1a</b> | 70,375 |
| <b>b</b> | Program-related investments—total from Part IX-B                                                                                                                    | <b>1b</b> |        |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  |        |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                                 |           |        |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> |        |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> |        |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 70,375 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  |        |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 70,375 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 56,267      |
| <b>2</b> Undistributed income, if any, as of the end of 2009                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |               |                            | 66,284      |             |
| <b>b</b> Total for prior years 20 <u>05</u> , 20 <u>06</u> , 20 <u>07</u>                                                                                                         |               | 76,086                     |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2004                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2005                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2006                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2007                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2008                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              |               |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <u>70,375</u>                                                                                               |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 66,284      |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)                                                                       |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)                                                                               |               |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 4,091       |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               |               |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )                                        |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          |               |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 76,086                     |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions                                                                                             |               | 76,086                     |             |             |
| <b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions                                                               |               |                            |             |             |
| <b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010                                                                |               |                            |             | 52,176      |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)                   |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)                                                               |               |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              |               |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2005                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2006                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2007                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2008                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2009                                                                                                                                                         |               |                            |             |             |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

- 1 a.** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b.** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a "Assets" alternative test—enter**

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

- b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed .

- c "Support" alternative test—enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed**

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## Part XV Supplementary Information (continued)

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Grants and Contributions Made During the Year of Approval |                                                                                                           | Grantee's Information          |                                  |               |
|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------------|
| Recipient                                                 | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount        |
| Name and address (home or business)                       |                                                                                                           |                                |                                  |               |
| <b>a</b> <i>Paid during the year</i>                      |                                                                                                           |                                |                                  |               |
| CAMPERDOWN ACADEMY                                        |                                                                                                           | 501c(3)                        | Scholarship Fund                 | 2,500         |
| 501 HOWELL ROAD GREENVILLE SC 29615                       |                                                                                                           |                                |                                  |               |
| NATIONAL MS FOUNDATION                                    |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 1,000         |
| 9801 SOUTHERN PINE BLVD CHARLOTTE NC 2827                 |                                                                                                           |                                |                                  |               |
| BROOKGREEN GARDENS                                        |                                                                                                           | 501(c)3                        | Unrestricted Fund                | 5,000         |
| BOX 3368 PAWLEY'S ISLAND SC 29585                         |                                                                                                           |                                |                                  |               |
| GREENVILLE SCOTTISH HIGHLAND GAMES                        |                                                                                                           | 501(c)3                        | Unrestricted Fund                | 250           |
| 225 S PLEASANTBURG DRIVE GREENVILLE SC 29                 |                                                                                                           |                                |                                  |               |
| UNITED WAY                                                |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 10,000        |
| 105 EDINBURGH COURT GREENVILLE SC 29607                   |                                                                                                           |                                |                                  |               |
| THE MUSEUM ASSOCIATION                                    |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 10,000        |
| 420 COLLEGE STREET GREENVILLE SC 29601                    |                                                                                                           |                                |                                  |               |
| HUGENOT SOCIETY OF SC                                     |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 125           |
| 138 LOGAN STREET CHARLESTON SC 29401                      |                                                                                                           |                                |                                  |               |
| CITADEL FOUNDATION                                        |                                                                                                           | 501c(3)                        | Scholarship Fund                 | 10,000        |
| 171 MOULTRIE STREET CHARLESTON SC 29409                   |                                                                                                           |                                |                                  |               |
| UPSTATE FOREVER                                           |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 2,500         |
| BOX 2308 GREENVILLE SC 29602                              |                                                                                                           |                                |                                  |               |
| FOURTH PRESBYTERIAN CHURCH                                |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 5,000         |
| 703 E. WASHINGTON STREET GREENVILLE SC 29                 |                                                                                                           |                                |                                  |               |
| PEACE CENTRE                                              |                                                                                                           | 501c(3)                        | Unrestricted Fund                | 10,000        |
| 101 W. BROAD STREET GREENVILLE SC 29601                   |                                                                                                           |                                |                                  |               |
| ALABAMA HOME BUILDERS FOUNDATION                          |                                                                                                           | 501C(3)                        | Unrestricted Fund                | 14,000        |
| 7515 HALCYON SUMMIT DRIVE MONTGOMERY AL                   |                                                                                                           |                                |                                  |               |
| <b>Total</b>                                              |                                                                                                           |                                | <b>3a</b>                        | <b>70,375</b> |
| <b>b</b> <i>Approved for future payment</i>               |                                                                                                           |                                |                                  |               |
|                                                           |                                                                                                           |                                |                                  |               |
|                                                           |                                                                                                           |                                |                                  |               |
| <b>Total</b>                                              |                                                                                                           |                                | <b>3b</b>                        |               |

**Part XVI-A Analysis of Income-Producing Activities**

**Enter gross amounts unless otherwise indicated.**

| Enter gross amounts unless otherwise indicated.                   | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See page 28 of<br>the instructions ) |
|-------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------------------------|
|                                                                   | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                                      |
| <b>1</b> Program service revenue                                  |                           |               |                                      |               |                                                                                      |
| <b>a</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>b</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>c</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>d</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>e</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>f</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>g</b> Fees and contracts from government agencies              |                           |               |                                      |               |                                                                                      |
| <b>2</b> Membership dues and assessments . . .                    |                           |               |                                      |               |                                                                                      |
| <b>3</b> Interest on savings and temporary cash investments       |                           |               |                                      |               |                                                                                      |
| <b>4</b> Dividends and interest from securities . .               |                           | 53,211        | 14                                   |               |                                                                                      |
| <b>5</b> Net rental income or (loss) from real estate             |                           |               |                                      |               |                                                                                      |
| <b>a</b> Debt-financed property                                   |                           |               |                                      |               |                                                                                      |
| <b>b</b> Not debt-financed property                               |                           |               |                                      |               |                                                                                      |
| <b>6</b> Net rental income or (loss) from personal property       |                           |               |                                      |               |                                                                                      |
| <b>7</b> Other investment income                                  |                           |               |                                      |               |                                                                                      |
| <b>8</b> Gain or (loss) from sales of assets other than inventory |                           | -63,366       | 18                                   |               |                                                                                      |
| <b>9</b> Net income or (loss) from special events                 |                           |               |                                      |               |                                                                                      |
| <b>10</b> Gross profit or (loss) from sales of inventory          |                           |               |                                      |               |                                                                                      |
| <b>11</b> Other revenue. <b>a</b> <u>Tax Refund</u>               |                           |               |                                      | 3,462         |                                                                                      |
| <b>b</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>c</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>d</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>e</b> _____                                                    |                           |               |                                      |               |                                                                                      |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)                 |                           | -10,155       |                                      | 3,462         |                                                                                      |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e)           |                           |               |                                      |               |                                                                                      |

(See worksheet in line 13 instructions on page 28 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



## Totals

[illegible]

Line 11 (990-PF) - Other Income

|    |                              | 3,462                                |                          |                        |
|----|------------------------------|--------------------------------------|--------------------------|------------------------|
|    | Description                  | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income |
| 1  | Investment Income Tax refund | 3,462                                |                          |                        |
| 2  |                              |                                      |                          |                        |
| 3  |                              |                                      |                          |                        |
| 4  |                              |                                      |                          |                        |
| 5  |                              |                                      |                          |                        |
| 6  |                              |                                      |                          |                        |
| 7  |                              |                                      |                          |                        |
| 8  |                              |                                      |                          |                        |
| 9  |                              |                                      |                          |                        |
| 10 |                              |                                      |                          |                        |



**Line 16b (990-PF) - Accounting Fees**

|    |                                                     | 3,011                                | 3,011                    |                        |                                                                  |
|----|-----------------------------------------------------|--------------------------------------|--------------------------|------------------------|------------------------------------------------------------------|
|    | Name of Organization or<br>Person Providing Service | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes<br>(Cash Basis Only) |
| 1  | JCGRIFFITH, CPA                                     | 3,011                                | 3,011                    |                        |                                                                  |
| 2  |                                                     |                                      |                          |                        |                                                                  |
| 3  |                                                     |                                      |                          |                        |                                                                  |
| 4  |                                                     |                                      |                          |                        |                                                                  |
| 5  |                                                     |                                      |                          |                        |                                                                  |
| 6  |                                                     |                                      |                          |                        |                                                                  |
| 7  |                                                     |                                      |                          |                        |                                                                  |
| 8  |                                                     |                                      |                          |                        |                                                                  |
| 9  |                                                     |                                      |                          |                        |                                                                  |
| 10 |                                                     |                                      |                          |                        |                                                                  |

**Line 16c (990-PF) - Other Fees**

|    |                                                     | 14,442                               | 14,442                   | 14,442                 |                                                                  |
|----|-----------------------------------------------------|--------------------------------------|--------------------------|------------------------|------------------------------------------------------------------|
|    | Name of Organization or<br>Person Providing Service | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes<br>(Cash Basis Only) |
| 1  | UBS PAINE WEBBER                                    | 14,442                               | 14,442                   |                        |                                                                  |
| 2  |                                                     |                                      |                          |                        |                                                                  |
| 3  |                                                     |                                      |                          |                        |                                                                  |
| 4  |                                                     |                                      |                          |                        |                                                                  |
| 5  |                                                     |                                      |                          |                        |                                                                  |
| 6  |                                                     |                                      |                          |                        |                                                                  |
| 7  |                                                     |                                      |                          |                        |                                                                  |
| 8  |                                                     |                                      |                          |                        |                                                                  |
| 9  |                                                     |                                      |                          |                        |                                                                  |
| 10 |                                                     |                                      |                          |                        |                                                                  |

**Line 18 (990-PF) - Taxes**

|    |                                         | 1,905                                | 1,905                    |                                             |
|----|-----------------------------------------|--------------------------------------|--------------------------|---------------------------------------------|
|    | Description                             | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income                      |
|    |                                         |                                      |                          | Disbursements<br>for Charitable<br>Purposes |
| 1  | Real estate tax not included in line 20 |                                      |                          |                                             |
| 2  | Tax on investment income refund         |                                      |                          |                                             |
| 3  | FOREIGN EXCISE TAX                      | 1,905                                | 1,905                    |                                             |
| 4  |                                         |                                      |                          |                                             |
| 5  |                                         |                                      |                          |                                             |
| 6  |                                         |                                      |                          |                                             |
| 7  |                                         |                                      |                          |                                             |
| 8  |                                         |                                      |                          |                                             |
| 9  |                                         |                                      |                          |                                             |
| 10 |                                         |                                      |                          |                                             |

**Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations**

|    |             | 276,966      | 300,506     | 261,288      | 298,603     |                      |                     |
|----|-------------|--------------|-------------|--------------|-------------|----------------------|---------------------|
|    |             | Book Value   | Book Value  | FMV          | FMV         | Check "X" if Federal | Check "X" if State/ |
|    |             | Beg. of Year | End of Year | Beg. of Year | End of Year | Obligation           | Local Obligation    |
| 1  | PIMCO 37196 |              | 286,313     | 261,288      | 284,405     |                      |                     |
| 2  | UBS 06041   | 276,966      | 14,193      |              | 14,198      |                      |                     |
| 3  |             |              |             |              |             |                      |                     |
| 4  |             |              |             |              |             |                      |                     |
| 5  |             |              |             |              |             |                      |                     |
| 6  |             |              |             |              |             |                      |                     |
| 7  |             |              |             |              |             |                      |                     |
| 8  |             |              |             |              |             |                      |                     |
| 9  |             |              |             |              |             |                      |                     |
| 10 |             |              |             |              |             |                      |                     |
| 11 |             |              |             |              |             |                      |                     |
| 12 |             |              |             |              |             |                      |                     |
| 13 |             |              |             |              |             |                      |                     |
| 14 |             |              |             |              |             |                      |                     |
| 15 |             |              |             |              |             |                      |                     |
| 16 |             |              |             |              |             |                      |                     |
| 17 |             |              |             |              |             |                      |                     |

**Part II, Line 10b (990-PF) - Investments - Corporate Stock**

|    |                                   | Num. Shares/<br>Face Value | Book Value<br>Beg. of Year | 821,367                   | 819,013             | 704,221            | 919,504 |
|----|-----------------------------------|----------------------------|----------------------------|---------------------------|---------------------|--------------------|---------|
|    | Description                       |                            |                            | Book Value<br>End of Year | FMV<br>Beg. of Year | FMV<br>End of Year |         |
| 1  | DELAWARE CAPITAL MANAGEMENT 3058  |                            | 263,859                    | 290,672                   | 229,622             | 270,669            |         |
| 2  | RORER ASSET MANAGEMENT 8763       |                            | 145,939                    | 189,934                   | 145,004             | 210,292            |         |
| 3  | EADS & EALDS INVESTMENT 10829     |                            | 207,651                    | 207,514                   | 194,624             | 251,457            |         |
| 4  | RITTENHOUSE FIN SERVICES INC 8563 |                            | 203,918                    | 130,893                   | 134,971             | 187,086            |         |
| 5  | UBS FINANCIAL SERVICES 6041       |                            |                            |                           |                     |                    |         |
| 6  |                                   |                            |                            |                           |                     |                    |         |
| 7  |                                   |                            |                            |                           |                     |                    |         |
| 8  |                                   |                            |                            |                           |                     |                    |         |
| 9  |                                   |                            |                            |                           |                     |                    |         |
| 10 |                                   |                            |                            |                           |                     |                    |         |
| 11 |                                   |                            |                            |                           |                     |                    |         |
| 12 |                                   |                            |                            |                           |                     |                    |         |
| 13 |                                   |                            |                            |                           |                     |                    |         |
| 14 |                                   |                            |                            |                           |                     |                    |         |
| 15 |                                   |                            |                            |                           |                     |                    |         |
| 16 |                                   |                            |                            |                           |                     |                    |         |
| 17 |                                   |                            |                            |                           |                     |                    |         |

15 JULY 2005

[illegible]

**Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue**

|    |                         | Unrelated Business Income |        | Excluded by Section 512, 513, or 514 |        |                                   |
|----|-------------------------|---------------------------|--------|--------------------------------------|--------|-----------------------------------|
|    | Program Service Revenue | Business Code             | Amount | Exclusion Code                       | Amount | Related or Exempt Function Income |
| 1  | Tax Refund              |                           |        |                                      | 3,462  |                                   |
| 2  |                         |                           |        |                                      |        |                                   |
| 3  |                         |                           |        |                                      |        |                                   |
| 4  |                         |                           |        |                                      |        |                                   |
| 5  |                         |                           |        |                                      |        |                                   |
| 6  |                         |                           |        |                                      |        |                                   |
| 7  |                         |                           |        |                                      |        |                                   |
| 8  |                         |                           |        |                                      |        |                                   |
| 9  |                         |                           |        |                                      |        |                                   |
| 10 |                         |                           |        |                                      |        |                                   |
| 11 |                         |                           |        |                                      |        |                                   |
| 12 |                         |                           |        |                                      |        |                                   |
| 13 |                         |                           |        |                                      |        |                                   |
| 14 |                         |                           |        |                                      |        |                                   |
| 15 |                         |                           |        |                                      |        |                                   |
| 16 |                         |                           |        |                                      |        |                                   |
| 17 |                         |                           |        |                                      |        |                                   |
| 18 |                         |                           |        |                                      |        |                                   |
| 19 |                         |                           |        |                                      |        |                                   |
| 20 |                         |                           |        |                                      |        |                                   |



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**UBS Financial Services Inc.**  
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**Proceeds from Broker Transactions Details Reported on Form 1099-B - continued**

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

| Date of sale<br>or activity<br>(Line #1a) | Activity | Quantity/<br>Face value/<br>Shares exchanged | Description (Line #7)        | Class of stock<br>exchanged (Line #6) | Cusip number<br>(Line #1b) | Net proceeds<br>(Line #2) | Accrued<br>interest | Federal income tax<br>withheld (Line #4) |
|-------------------------------------------|----------|----------------------------------------------|------------------------------|---------------------------------------|----------------------------|---------------------------|---------------------|------------------------------------------|
| 03/16/09                                  | Sell     | 32                                           | WATSON PHARMACEUTICAL<br>INC |                                       | 942683103                  | 927.99                    | 00                  | 00                                       |
| 03/16/09                                  | Sell     | 56                                           | WILLIAMS COS INC (DEL)       |                                       | 969457100                  | 635.59                    | 00                  | 00                                       |
| 03/02/09                                  | Sell     | 46                                           | WINDSTREAM CORP              |                                       | 97381W104                  | 331.49                    | 00                  | 00                                       |
| 03/11/09                                  | Sell     | 6                                            | WINDSTREAM CORP              |                                       | 97381W104                  | 41.87                     | 00                  | 00                                       |
| 03/16/09                                  | Sell     | 320                                          | WINDSTREAM CORP              |                                       | 97381W104                  | 2,394.22                  | 00                  | 00                                       |
| 02/25/09                                  | Sell     | 107                                          | ZIONS BANCORP                |                                       | 989701107                  | 955.15                    | 00                  | 00                                       |
| Total                                     |          |                                              |                              |                                       |                            | \$ 185,158.76             | \$ 0.00             | \$ 0.00                                  |

**Other Income Details Not Reported to the Internal Revenue Service**

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.  
\* Applicable to Tax-exempt Original Issue Discount information only

| Activity                             | Description                             | Cusip number | * Days held | * Quantity/<br>Face amount | Payment Date | Tax year | Acquisition premium | Amount   |
|--------------------------------------|-----------------------------------------|--------------|-------------|----------------------------|--------------|----------|---------------------|----------|
| Program Fees and Related<br>Services | MANAGED ACCOUNT FEE                     |              |             |                            | 01/23/09     | 2009     |                     | 521.54   |
|                                      |                                         |              |             |                            | 04/23/09     | 2009     |                     | 525.25   |
|                                      |                                         |              |             |                            | 07/24/09     | 2009     |                     | 619.25   |
|                                      |                                         |              |             |                            | 10/23/09     | 2009     |                     | 722.07   |
|                                      | Sub Total: MANAGED ACCOUNT FEE          |              |             |                            |              |          | \$                  | 2,388.11 |
|                                      | Total Program Fees and Related Services |              |             |                            |              |          | \$                  | 2,388.11 |



## 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                       | Purchase      | Sale          | Gains        | Losses        | Net           |
|-----------------------|---------------|---------------|--------------|---------------|---------------|
|                       |               |               |              |               | Gain/Loss     |
| Short-term Gain/Loss: | \$ 98,378.81  | \$ 92,912.96  | \$ 14,022.47 | \$ -19,488.32 | \$ -5,465.85  |
| Long-term Gain/Loss:  | 162,522.03    | 92,245.90     | 3,693.42     | -73,969.55    | -70,276.13    |
| Sub Total:            | \$ 260,900.84 | \$ 185,158.86 | \$ 17,715.89 | \$ -93,457.87 | \$ -75,741.98 |
| Total:                | \$ 260,900.84 | \$ 185,158.86 | \$ 17,715.89 | \$ -93,457.87 | \$ -75,741.98 |

## Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------|---------------|-------------------------|------------------------|--------------------------------|-----------|-------------|------------------------|-----------|---------|-------------------|
| ACE LTD                         | Trade         | 29,000                  |                        | 01/21/09                       | 03/16/09  | 1,089.52    | 1,343.72               | -254.20   |         | Y                 |
|                                 | Trade         | 6,000                   |                        | 03/11/09                       | 03/16/09  | 225.42      | 200.86                 | 24.56     |         | Y                 |
| ADOBE SYSTEMS INC<br>(DELAWARE) | Trade         | 17,000                  |                        | 02/24/09                       | 03/16/09  | 317.42      | 301.39                 | 16.03     |         | Y                 |
|                                 | Trade         | 14,000                  |                        | 03/11/09                       | 03/16/09  | 261.41      | 259.68                 | 1.73      |         | Y                 |
| AFFILIATED MANAGERS<br>GROUP    | Trade         | 26,000                  |                        | 03/17/09                       | 04/30/09  | 1,513.78    | 1,022.71               | 491.07    |         | Y                 |
| AMEREN CORP                     | Trade         | 1,000                   |                        | 06/02/08                       | 02/24/09  | 25.07       | 45.28                  | -20.21    |         | Y                 |
| AMERISOURCEBERGEN CORP          | Trade         | 35,000                  |                        | 02/24/09                       | 03/16/09  | 1,091.64    | 1,219.03               | -127.39   |         | Y                 |



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description         | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AMERISOURCEBERGEN CORP       | Trade         | 18 000                  |                        | 03/12/09                       | 03/16/09     | 561 42      | 523 21                 | 38 21     |         | Y                 |
| AMPHENOL CORP NEW CL A       | Trade         | 29 000                  |                        | 03/17/09                       | 12/09/09     | 1,264 73    | 774 83                 | 489 90    |         | Y                 |
| ANIXTER INTL INC             | Trade         | 25 000                  |                        | 02/24/09                       | 03/16/09     | 722 24      | 742 57                 | -20 33    |         | Y                 |
| AON CORP                     | Trade         | 45 000                  |                        | 02/24/09                       | 03/16/09     | 1,718 99    | 1,746 60               | -27 61    |         | Y                 |
|                              | Trade         | 25 000                  |                        | 03/11/09                       | 03/16/09     | 954 99      | 932 33                 | 22 66     |         | Y                 |
| APTARGROUP INC               | Trade         | 20 000                  |                        | 03/17/09                       | 08/13/09     | 717 61      | 552 28                 | 165 33    |         | Y                 |
| ARCHER DANIELS MIDLAND<br>CO | Trade         | 51 000                  |                        | 10/01/08                       | 03/16/09     | 1,443 91    | 1,099 24               | 344 67    |         | Y                 |
| ARVINMERITOR INC             | Trade         | 60 000                  |                        | 06/26/08                       | 01/21/09     | 128 55      | 789 97                 | -661 42   |         | Y                 |
| AUTOLIV INC \$0 20           | Trade         | 28 000                  |                        | 03/12/09                       | 03/16/09     | 439 03      | 405 71                 | 33 32     |         | Y                 |
| BORG WARNER INC              | Trade         | 57 000                  |                        | 02/24/09                       | 03/16/09     | 1,072 73    | 987 83                 | 84 90     |         | Y                 |
| BRINKER INTL INC             | Trade         | 33 000                  |                        | 01/05/09                       | 03/16/09     | 430 98      | 368 51                 | 62 47     |         | Y                 |
| BROWN & BROWN INC            | Trade         | 60 000                  |                        | 03/17/09                       | 08/11/09     | 1,171 24    | 983 91                 | 187 33    |         | Y                 |
|                              | Trade         | 35 000                  |                        | 03/17/09                       | 08/12/09     | 683 38      | 573 95                 | 109 43    |         | Y                 |
| CA INC                       | Trade         | 40 000                  |                        | 01/21/09                       | 03/16/09     | 678 39      | 685 32                 | -6 93     |         | Y                 |
| CAPITAL ONE FINCL CORP       | Trade         | 28 000                  |                        | 10/22/08                       | 02/24/09     | 266 11      | 1,066 32               | -800 21   |         | Y                 |
|                              | Trade         | 12 000                  |                        | 11/25/08                       | 02/24/09     | 114 05      | 403 56                 | -289 51   |         | Y                 |
|                              | Trade         | 23 000                  |                        | 01/05/09                       | 02/24/09     | 218 59      | 765 65                 | -547 06   |         | Y                 |
| CARMAX INC                   | Trade         | 67 000                  |                        | 03/17/09                       | 10/21/09     | 1,530 63    | 759 04                 | 771 59    |         | Y                 |
| COCA COLA ENTERPRISES        | Trade         | 61 000                  |                        | 11/25/08                       | 03/16/09     | 730 16      | 493 72                 | 236 44    |         | Y                 |
| COMERICA INC                 | Trade         | 8 000                   |                        | 06/02/08                       | 02/24/09     | 104 20      | 292 34                 | -188 14   |         | Y                 |
|                              | Trade         | 1 000                   |                        | 07/18/08                       | 02/24/09     | 13 03       | 28 70                  | -15 67    |         | Y                 |
|                              | Trade         | 41 000                  |                        | 09/02/08                       | 02/24/09     | 534 05      | 1,179 57               | -645 52   |         | Y                 |

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# UBS Financial Services Inc.

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### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description     | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| COMERICA INC             | Trade         | 7,000                   |                        | 09/02/08                       | 03/16/09     | 132.93      | 201.39                 | -68.46    |         | Y                 |
|                          | Trade         | 15,000                  |                        | 01/05/09                       | 03/16/09     | 284.85      | 305.00                 | -20.15    |         | Y                 |
|                          | Trade         | 15,000                  |                        | 01/21/09                       | 03/16/09     | 284.85      | 196.52                 | 88.33     |         | Y                 |
| CONSECO INC NEW          | Trade         | 41,000                  |                        | 03/13/08                       | 02/24/09     | 53.33       | 427.99                 | -374.66   |         | Y                 |
| COPART INC               | Trade         | 26,000                  |                        | 03/17/09                       | 08/13/09     | 930.87      | 740.31                 | 190.56    |         | Y                 |
| COSTAR GROUP INC         | Trade         | 10,000                  |                        | 03/17/09                       | 07/14/09     | 361.47      | 254.50                 | 106.97    |         | Y                 |
|                          | Trade         | 25,000                  |                        | 03/17/09                       | 07/16/09     | 931.04      | 636.25                 | 294.79    |         | Y                 |
|                          | Trade         | 10,000                  |                        | 03/23/09                       | 07/16/09     | 372.42      | 295.43                 | 76.99     |         | Y                 |
| COVANCE INC              | Trade         | 35,000                  |                        | 03/17/09                       | 08/11/09     | 1,894.77    | 1,226.40               | 668.37    |         | Y                 |
| CULLEN FROST BANKERS INC | Trade         | 15,000                  |                        | 03/17/09                       | 06/10/09     | 721.74      | 627.45                 | 94.29     |         | Y                 |
| CURTISS WRIGHT CORP      | Trade         | 14,000                  |                        | 03/11/09                       | 03/16/09     | 366.09      | 343.42                 | 22.67     |         | Y                 |
| CYTEC INDUSTRIES INC     | Trade         | 32,000                  |                        | 02/24/09                       | 03/16/09     | 438.71      | 513.14                 | -74.43    |         | Y                 |
| DARDEN RESTAURANTS INC   | Trade         | 39,000                  |                        | 10/22/08                       | 03/16/09     | 1,142.30    | 783.97                 | 358.33    |         | Y                 |
|                          | Trade         | 19,000                  |                        | 11/25/08                       | 03/16/09     | 556.50      | 309.92                 | 246.58    |         | Y                 |
|                          | Trade         | 7,000                   |                        | 12/31/08                       | 03/16/09     | 205.03      | 196.61                 | 8.42      |         | Y                 |
| DAVITA INC               | Trade         | 41,000                  |                        | 02/24/09                       | 03/16/09     | 1,921.65    | 2,096.33               | -174.68   |         | Y                 |
| DONALDSON CO INC         | Trade         | 35,000                  |                        | 03/17/09                       | 06/15/09     | 1,245.16    | 903.70                 | 341.46    |         | Y                 |
| EATON CORP               | Trade         | 18,000                  |                        | 11/25/08                       | 03/16/09     | 602.27      | 804.06                 | -201.79   |         | Y                 |
|                          | Trade         | 13,000                  |                        | 12/09/08                       | 03/16/09     | 434.98      | 609.59                 | -174.61   |         | Y                 |
| ENERGEN CORP             | Trade         | 44,000                  |                        | 03/17/09                       | 08/24/09     | 1,906.04    | 1,181.27               | 724.77    |         | Y                 |
|                          | Trade         | 30,000                  |                        | 03/17/09                       | 09/23/09     | 1,331.23    | 805.41                 | 525.82    |         | Y                 |
|                          | Trade         | 31,000                  |                        | 03/17/09                       | 09/24/09     | 1,333.95    | 832.26                 | 501.69    |         | Y                 |
| EOG RESOURCES INC        | Trade         | 5,000                   |                        | 09/02/08                       | 03/16/09     | 303.45      | 480.90                 | -177.45   |         | Y                 |
| EQT CORP                 | Trade         | 58,000                  |                        | 03/11/09                       | 03/16/09     | 1,837.42    | 1,728.63               | 108.79    |         | Y                 |

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**Short-Term Gain/Loss - continued**

*This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B*

| Security Description                | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| EXPEDITORS INTL WASH INC            | Trade         | 45,000                  |                        | 03/17/09                       | 05/07/09     | 1,599.18    | 1,251.00               | 348.18    |         | Y                 |
| FASTENAL CO                         | Trade         | 45,000                  |                        | 03/17/09                       | 06/15/09     | 1,503.85    | 1,251.90               | 251.95    |         | Y                 |
| FIFTH THIRD BANCORP                 | Trade         | 83,000                  |                        | 07/24/08                       | 02/25/09     | 119.34      | 1,167.63               | -1,048.29 |         | Y                 |
|                                     | Trade         | 73,000                  |                        | 09/02/08                       | 02/25/09     | 104.96      | 1,170.03               | -1,065.07 |         | Y                 |
|                                     | Trade         | 38,000                  |                        | 11/25/08                       | 02/25/09     | 54.64       | 341.07                 | -286.43   |         | Y                 |
|                                     | Trade         | 55,000                  |                        | 12/31/08                       | 02/25/09     | 79.08       | 438.64                 | -359.56   |         | Y                 |
| FMC TECHNOLOGIES INC                | Trade         | 9,000                   |                        | 03/17/09                       | 10/21/09     | 530.82      | 262.50                 | 268.32    |         | Y                 |
|                                     | Trade         | 9,000                   |                        | 03/17/09                       | 11/12/09     | 515.07      | 262.50                 | 252.57    |         | Y                 |
|                                     | Trade         | 15,000                  |                        | 03/17/09                       | 12/16/09     | 858.72      | 437.51                 | 421.21    |         | Y                 |
| FOOT LOCKER INC                     | Trade         | 58,000                  |                        | 12/31/08                       | 03/16/09     | 560.28      | 429.19                 | 131.09    |         | Y                 |
|                                     | Trade         | 28,000                  |                        | 01/21/09                       | 03/16/09     | 270.48      | 207.06                 | 63.42     |         | Y                 |
| FOREST CITY ENTERPRISES<br>INC CL A | Trade         | 47,000                  |                        | 03/17/09                       | 09/16/09     | 564.32      | 189.83                 | 374.49    |         | Y                 |
| FOREST OIL CORP NEW 2000            | Trade         | 47,000                  |                        | 02/25/09                       | 03/16/09     | 574.80      | 695.52                 | -120.72   |         | Y                 |
| GANNETT CO                          | Trade         | 22,000                  |                        | 06/02/08                       | 01/21/09     | 154.41      | 625.69                 | -471.28   |         | Y                 |
| GENZYME CORP GEN DIV                | Trade         | 11,000                  |                        | 03/11/09                       | 03/16/09     | 621.18      | 587.57                 | 33.61     |         | Y                 |
| GOODYEAR TIRE & RUBBER<br>CO        | Trade         | 33,000                  |                        | 03/17/08                       | 03/12/09     | 158.87      | 816.48                 | -657.61   |         | Y                 |
|                                     | Trade         | 84,000                  |                        | 01/05/09                       | 03/12/09     | 404.39      | 565.01                 | -160.62   |         | Y                 |
| HALLIBURTON CO<br>(HOLDING COMPANY) | Trade         | 16,000                  |                        | 02/25/09                       | 03/16/09     | 264.35      | 270.38                 | -6.03     |         | Y                 |
| HEALTHSOUTH CORP NEW                | Trade         | 9,000                   |                        | 09/02/08                       | 03/16/09     | 76.59       | 162.90                 | -86.31    |         | Y                 |
|                                     | Trade         | 39,000                  |                        | 12/31/08                       | 03/16/09     | 331.89      | 424.94                 | -93.05    |         | Y                 |
| ITT CORP                            | Trade         | 17,000                  |                        | 02/24/09                       | 03/16/09     | 633.75      | 663.54                 | -29.79    |         | Y                 |
| KANSAS CITY STHN NEW                | Trade         | 48,000                  |                        | 02/25/09                       | 03/16/09     | 742.55      | 862.37                 | -119.82   |         | Y                 |

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**Short-Term Gain/Loss - continued**

*This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B*

| Security Description         | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| KBR INC                      | Trade         | 32 000                  |                        | 10/01/08                       | 03/16/09     | 451 90      | 484 30                 | -32 40    |         | Y                 |
| LAZARD LTD CLA               | Trade         | 67 000                  |                        | 02/25/09                       | 03/16/09     | 1,889.38    | 1,852.11               | 37 27     |         | Y                 |
| LIFE TECHNOLOGIES CORP       | Trade         | 22 000                  |                        | 02/25/09                       | 03/16/09     | 654 54      | 629 27                 | 25 27     |         | Y                 |
|                              | Trade         | 18 000                  |                        | 03/11/09                       | 03/16/09     | 535.54      | 501 06                 | 34 48     |         | Y                 |
| LIMITED BRANDS INC CLA       | Trade         | 73 000                  |                        | 01/21/09                       | 02/24/09     | 610 80      | 608.80                 | 2 00      |         | Y                 |
|                              | Trade         | 91 000                  |                        | 01/21/09                       | 03/16/09     | 748.92      | 758 91                 | -9 99     |         | Y                 |
| M & T BANK CORP              | Trade         | 8 000                   |                        | 07/18/08                       | 02/24/09     | 280 32      | 544 38                 | -264 06   |         | Y                 |
|                              | Trade         | 2 000                   |                        | 09/02/08                       | 02/24/09     | 70 08       | 144 48                 | -74 40    |         | Y                 |
|                              | Trade         | 16 000                  |                        | 09/02/08                       | 03/16/09     | 647 99      | 1,155 84               | -507.85   |         | Y                 |
|                              | Trade         | 17 000                  |                        | 01/05/09                       | 03/16/09     | 688 49      | 934 50                 | -246 01   |         | Y                 |
|                              | Trade         | 2 000                   |                        | 01/21/09                       | 03/16/09     | 81 00       | 73 44                  | 7 56      |         | Y                 |
|                              | Trade         | 13 000                  |                        | 02/25/09                       | 03/16/09     | 526.49      | 469.77                 | 56 72     |         | Y                 |
| MACY'S INC                   | Trade         | 84 000                  |                        | 01/21/09                       | 03/16/09     | 709 09      | 753 63                 | -44.54    |         | Y                 |
| MARVELL TECHNOLOGY GROUP LTD | Trade         | 74 000                  |                        | 08/07/08                       | 02/25/09     | 541.17      | 1,138 42               | -597 25   |         | Y                 |
|                              | Trade         | 71 000                  |                        | 08/07/08                       | 03/16/09     | 605.07      | 1,092 26               | -487.19   |         | Y                 |
| MC CORMICK & CO NV           | Trade         | 15,000                  |                        | 03/17/09                       | 03/23/09     | 498 21      | 488.07                 | 10 14     |         | Y                 |
|                              | Trade         | 40,000                  |                        | 03/17/09                       | 08/07/09     | 1,270 32    | 1,301 52               | -31.20    |         | Y                 |
| METTLER-TOLEDO INTL          | Trade         | 14 000                  |                        | 03/17/09                       | 05/20/09     | 1,039.18    | 661 02                 | 378 16    |         | Y                 |
| MOHAWK INDUSTRIES INC        | Trade         | 24 000                  |                        | 03/17/09                       | 11/12/09     | 1,088 77    | 514 49                 | 574 28    |         | Y                 |
|                              | Trade         | 20,000                  |                        | 03/17/09                       | 11/17/09     | 887.22      | 428.74                 | 458 48    |         | Y                 |
| MYLAN INC                    | Trade         | 66 000                  |                        | 06/02/08                       | 03/16/09     | 876 48      | 871.20                 | 5.28      |         | Y                 |
| NISOURCE INC                 | Trade         | 60 000                  |                        | 06/02/08                       | 02/24/09     | 534 21      | 1,079 72               | -545 51   |         | Y                 |
| NORTHEAST UTILITIES          | Trade         | 28,000                  |                        | 06/02/08                       | 03/16/09     | 563.11      | 734.48                 | -171.37   |         | Y                 |
| O REILLY AUTOMOTIVE INC      | Trade         | 20,000                  |                        | 03/17/09                       | 04/14/09     | 736.56      | 683 31                 | 53 25     |         | Y                 |



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description             | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| OCEANEERING INTL INC             | Trade         | 14 000                  |                        | 03/17/09                       | 05/14/09     | 672.90      | 485.31                 | 187.59    |         | Y                 |
| OFFICEMAX INC                    | Trade         | 129 000                 |                        | 09/24/08                       | 03/16/09     | 365.13      | 1,349.02               | -983.89   |         | Y                 |
| PACTIV CORP                      | Trade         | 9 000                   |                        | 06/02/08                       | 03/16/09     | 113.13      | 219.60                 | -106.47   |         | Y                 |
|                                  | Trade         | 58 000                  |                        | 06/18/08                       | 03/16/09     | 729.05      | 1,349.86               | -620.81   |         | Y                 |
| PARKER HANNIFIN CORP             | Trade         | 37 000                  |                        | 02/25/09                       | 03/16/09     | 1,149.21    | 1,301.15               | -151.94   |         | Y                 |
| PARTNERRE LTD ORD                | Trade         | 13 000                  |                        | 11/25/08                       | 03/16/09     | 785.84      | 856.83                 | -70.99    |         | Y                 |
| PENTAIR INC                      | Trade         | 30 000                  |                        | 03/12/09                       | 03/16/09     | 575.99      | 555.97                 | 20.02     |         | Y                 |
| PIEDMONT NATURAL GAS             | Trade         | 26 000                  |                        | 03/02/09                       | 03/16/09     | 604.49      | 623.75                 | -19.26    |         | Y                 |
| QWEST COMMUNICATIONS<br>INTL INC | Trade         | 19 000                  |                        | 06/02/08                       | 02/25/09     | 66.50       | 91.39                  | -24.89    |         | Y                 |
|                                  | Trade         | 117 000                 |                        | 06/02/08                       | 03/16/09     | 388.79      | 562.77                 | -173.98   |         | Y                 |
|                                  | Trade         | 171 000                 |                        | 06/18/08                       | 03/16/09     | 568.23      | 693.05                 | -124.82   |         | Y                 |
|                                  | Trade         | 73 000                  |                        | 10/07/08                       | 03/16/09     | 242.58      | 200.02                 | 42.56     |         | Y                 |
| RALCORP HOLDINGS INC NEW         | Trade         | 7 000                   |                        | 03/11/09                       | 03/16/09     | 394.23      | 372.24                 | 21.99     |         | Y                 |
| RANGE RESOURCES CORP             | Trade         | 5 000                   |                        | 09/02/08                       | 02/24/09     | 161.11      | 214.30                 | -53.19    |         | Y                 |
| RAYMOND JAMES FINANCIAL<br>CORP  | Trade         | 50 000                  |                        | 02/25/09                       | 03/16/09     | 852.49      | 827.07                 | 25.42     |         | Y                 |
| RELIANCE STEEL &<br>ALUMINUM CO  | Trade         | 55 000                  |                        | 03/02/09                       | 03/16/09     | 1,319.99    | 1,171.15               | 148.84    |         | Y                 |
| ROCKWELL COLLINS INC             | Trade         | 19 000                  |                        | 02/24/09                       | 03/16/09     | 588.80      | 646.46                 | -57.66    |         | Y                 |
| SAFEWAY INC                      | Trade         | 17 000                  |                        | 06/18/08                       | 03/16/09     | 333.04      | 509.32                 | -176.28   |         | Y                 |
|                                  | Trade         | 83 000                  |                        | 06/26/08                       | 03/16/09     | 1,626.04    | 2,471.57               | -845.53   |         | Y                 |
|                                  | Trade         | 28 000                  |                        | 10/22/08                       | 03/16/09     | 548.54      | 596.02                 | -47.48    |         | Y                 |
| SEI INVESTMENTS CO               | Trade         | 55 000                  |                        | 03/17/09                       | 05/20/09     | 835.87      | 594.52                 | 241.35    |         | Y                 |
|                                  | Trade         | 69 000                  |                        | 03/17/09                       | 08/24/09     | 1,314.46    | 745.86                 | 568.60    |         | Y                 |

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**Short-Term Gain/Loss - continued**

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                       | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount      | Cost or Other<br>Basis | Gain/Loss        | Remarks | Matches<br>1099-B |
|------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|------------------|------------------------|------------------|---------|-------------------|
| SMITH INTL INC                                             | Trade         | 31 000                  |                        | 03/02/09                       | 03/16/09     | 701.83           | 620.63                 | 81.20            |         | Y                 |
| SMITHFIELD FOODS INC                                       | Trade         | 9 000                   |                        | 09/02/08                       | 03/16/09     | 79.47            | 175.77                 | -96.30           |         | Y                 |
|                                                            | Trade         | 70 000                  |                        | 11/25/08                       | 03/16/09     | 618.13           | 427.62                 | 190.51           |         | Y                 |
| SMUCKER J M CO NEW                                         | Trade         | 32 000                  |                        | 02/24/09                       | 03/16/09     | 1,173.43         | 1,302.72               | -129.29          |         | Y                 |
| SONIC CORP                                                 | Trade         | 45 000                  |                        | 03/17/09                       | 06/10/09     | 409.26           | 355.87                 | 53.39            |         | Y                 |
| TELLABS INC                                                | Trade         | 146 000                 |                        | 12/09/08                       | 03/16/09     | 598.91           | 594.04                 | 4.87             |         | Y                 |
| TEXTRON INC                                                | Trade         | 35 000                  |                        | 10/01/08                       | 03/02/09     | 178.70           | 999.80                 | -821.10          |         | Y                 |
|                                                            | Trade         | 42 000                  |                        | 10/22/08                       | 03/02/09     | 214.45           | 592.03                 | -377.58          |         | Y                 |
|                                                            | Trade         | 12 000                  |                        | 12/09/08                       | 03/02/09     | 61.27            | 191.64                 | -130.37          |         | Y                 |
| TIFFANY & CO NEW                                           | Trade         | 28 000                  |                        | 03/17/09                       | 10/21/09     | 1,168.52         | 553.25                 | 615.27           |         | Y                 |
|                                                            | Trade         | 23 000                  |                        | 03/17/09                       | 11/13/09     | 966.96           | 454.46                 | 512.50           |         | Y                 |
| TIMKEN CO                                                  | Trade         | 22 000                  |                        | 12/31/08                       | 03/16/09     | 298.76           | 418.93                 | -120.17          |         | Y                 |
| TRANSOCEAN LTD                                             | Trade         | 7 000                   |                        | 01/21/09                       | 03/16/09     | 389.13           | 337.94                 | 51.19            |         | Y                 |
| TYCO INTL LTD BERMUDA<br>NEW *CHANGE DOMICILE<br>EFF 3/09* | Trade         | 74 000                  |                        | 12/31/08                       | 03/16/09     | 1,453.35         | 1,599.79               | -146.44          |         | Y                 |
| URS CORP NEW                                               | Trade         | 25 000                  |                        | 03/12/09                       | 03/16/09     | 997.74           | 966.77                 | 30.97            |         | Y                 |
| WATSON PHARMACEUTICAL<br>INC                               | Trade         | 32 000                  |                        | 02/25/09                       | 03/16/09     | 927.99           | 953.09                 | -25.10           |         | Y                 |
| WILLIAMS COS INC (DEL)                                     | Trade         | 56 000                  |                        | 02/25/09                       | 03/16/09     | 635.59           | 652.24                 | -16.65           |         | Y                 |
| ZIONS BANCORP                                              | Trade         | 10 000                  |                        | 06/02/08                       | 02/25/09     | 89.27            | 426.00                 | -336.73          |         | Y                 |
|                                                            | Trade         | 12 000                  |                        | 07/18/08                       | 02/25/09     | 107.12           | 300.00                 | -192.88          |         | Y                 |
|                                                            | Trade         | 42 000                  |                        | 09/02/08                       | 02/25/09     | 374.92           | 1,205.40               | -830.48          |         | Y                 |
|                                                            | Trade         | 9 000                   |                        | 10/07/08                       | 02/25/09     | 80.34            | 326.79                 | -246.45          |         | Y                 |
|                                                            | Trade         | 31 000                  |                        | 01/21/09                       | 02/25/09     | 276.73           | 451.54                 | -174.81          |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>                          |               |                         |                        |                                |              | <b>92,912.96</b> | <b>98,378.81</b>       | <b>-5,465.85</b> |         |                   |

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**Long-Term Gain/Loss**

*This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B*

| Security Description              | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ADC TELECOMMUNICATIONS<br>INC NEW | Trade         | 59,000                  |                        | 05/02/06                       | 02/24/09     | 169 62      | 1,282 61               | -1,112 99 |         | Y                 |
|                                   | Trade         | 36,000                  |                        | 05/09/06                       | 02/24/09     | 103 49      | 777 87                 | -674 38   |         | Y                 |
|                                   | Trade         | 54,000                  |                        | 06/06/06                       | 02/24/09     | 155 24      | 928 97                 | -773 73   |         | Y                 |
|                                   | Trade         | 59,000                  |                        | 09/06/06                       | 02/24/09     | 169 62      | 804 27                 | -634 65   |         | Y                 |
|                                   | Trade         | 41,000                  |                        | 01/08/07                       | 02/24/09     | 117 87      | 618 68                 | -500 81   |         | Y                 |
| AMEREN CORP                       | Trade         | 74,000                  |                        | 08/31/04                       | 02/24/09     | 1,855 18    | 3,463 20               | -1,608 02 |         | Y                 |
|                                   | Trade         | 11,000                  |                        | 02/03/06                       | 02/24/09     | 275 77      | 553 67                 | -277 90   |         | Y                 |
|                                   | Trade         | 16,000                  |                        | 08/14/07                       | 02/24/09     | 401 12      | 786 14                 | -385 02   |         | Y                 |
| ARVINMERITOR INC                  | Trade         | 48,000                  |                        | 08/16/07                       | 01/21/09     | 102 84      | 767 54                 | -664 70   |         | Y                 |
|                                   | Trade         | 20,000                  |                        | 10/04/07                       | 01/21/09     | 42 85       | 318 61                 | -275 76   |         | Y                 |
| BALL CORP                         | Trade         | 21,000                  |                        | 08/31/04                       | 01/21/09     | 789 56      | 781 62                 | 7 94      |         | Y                 |
|                                   | Trade         | 16,000                  |                        | 08/31/04                       | 02/25/09     | 640 38      | 595 52                 | 44 86     |         | Y                 |
|                                   | Trade         | 42,000                  |                        | 08/31/04                       | 03/16/09     | 1,693 85    | 1,563 24               | 130 61    |         | Y                 |
|                                   | Trade         | 15,000                  |                        | 09/30/05                       | 03/16/09     | 604 95      | 549 22                 | 55 73     |         | Y                 |
|                                   | Trade         | 8,000                   |                        | 01/05/06                       | 03/16/09     | 322 64      | 334 89                 | -12 25    |         | Y                 |
| BJ SERVICES COMPANY               | Trade         | 33,000                  |                        | 02/08/07                       | 01/21/09     | 358 26      | 904 17                 | -545 91   |         | Y                 |
|                                   | Trade         | 71,000                  |                        | 02/28/07                       | 01/21/09     | 770 79      | 1,915 98               | -1,145 19 |         | Y                 |
| BRINKER INTL INC                  | Trade         | 48,000                  |                        | 03/10/05                       | 03/16/09     | 626 88      | 1,146 51               | -519 63   |         | Y                 |
|                                   | Trade         | 61,000                  |                        | 10/18/05                       | 03/16/09     | 796 66      | 1,495 14               | -698 48   |         | Y                 |
|                                   | Trade         | 30,000                  |                        | 01/06/06                       | 03/16/09     | 391 80      | 772 55                 | -380 75   |         | Y                 |
|                                   | Trade         | 3,000                   |                        | 02/13/06                       | 03/16/09     | 39 18       | 83 35                  | -44 17    |         | Y                 |
| CENTURYTEL INC                    | Trade         | 9,000                   |                        | 10/22/04                       | 02/24/09     | 233 55      | 300 32                 | -66 77    |         | Y                 |
|                                   | Trade         | 9,000                   |                        | 01/06/05                       | 02/24/09     | 233 55      | 303 70                 | -70 15    |         | Y                 |
|                                   | Trade         | 24,000                  |                        | 03/20/06                       | 02/24/09     | 622 79      | 904 82                 | -282 03   |         | Y                 |
|                                   | Trade         | 10,000                  |                        | 03/20/06                       | 03/16/09     | 254 00      | 377 01                 | -123 01   |         | Y                 |
|                                   | Trade         | 77,000                  |                        | 02/06/08                       | 03/16/09     | 1,955 78    | 2,784 89               | -829 11   |         | Y                 |
| CMS ENERGY CORP                   | Trade         | 249,000                 |                        | 08/31/04                       | 03/16/09     | 2,856 41    | 2,382 93               | 473 48    |         | Y                 |
|                                   | Trade         | 56,000                  |                        | 01/09/06                       | 03/16/09     | 642 41      | 815 61                 | -173 20   |         | Y                 |
| COCA-COLA ENTERPRISES             | Trade         | 83,000                  |                        | 01/16/07                       | 02/25/09     | 995 56      | 1,746 11               | -750 55   |         | Y                 |
|                                   | Trade         | 58,000                  |                        | 03/28/07                       | 02/25/09     | 695 70      | 1,165 48               | -469 78   |         | Y                 |



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**Long-Term Gain/Loss - continued**

*This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B*

| Security Description                    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase Date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| COCA COLA ENTERPRISES                   | Trade         | 17 000                  |                        | 03/28/07                       | 03/11/09     | 178.53      | 341.61                 | -163.08   |         | Y                 |
|                                         | Trade         | 7 000                   |                        | 03/28/07                       | 03/16/09     | 83.79       | 140.66                 | -56.87    |         | Y                 |
|                                         | Trade         | 23.000                  |                        | 09/19/07                       | 03/16/09     | 275.31      | 559.37                 | -284.06   |         | Y                 |
| COMERICA INC                            | Trade         | 32 000                  |                        | 02/12/08                       | 02/24/09     | 416.82      | 1,293.30               | -876.48   |         | Y                 |
| CONSECO INC NEW                         | Trade         | 28.000                  |                        | 08/31/04                       | 02/24/09     | 36.42       | 470.68                 | -434.26   |         | Y                 |
|                                         | Trade         | 53 000                  |                        | 09/27/04                       | 02/24/09     | 68.94       | 931.64                 | -862.70   |         | Y                 |
|                                         | Trade         | 35 000                  |                        | 12/23/04                       | 02/24/09     | 45.53       | 694.55                 | -649.02   |         | Y                 |
|                                         | Trade         | 34 000                  |                        | 10/06/05                       | 02/24/09     | 44.23       | 717.39                 | -673.16   |         | Y                 |
|                                         | Trade         | 29.000                  |                        | 11/21/05                       | 02/24/09     | 37.72       | 606.59                 | -568.87   |         | Y                 |
|                                         | Trade         | 28 000                  |                        | 08/30/06                       | 02/24/09     | 36.42       | 576.53                 | -540.11   |         | Y                 |
| CUMMINS INC                             | Trade         | 46 000                  |                        | 08/31/04                       | 03/16/09     | 1,029.01    | 774.30                 | 254.71    |         | Y                 |
| DEAN FOODS CO NEW                       | Trade         | 56 000                  |                        | 10/10/07                       | 03/16/09     | 1,182.24    | 1,506.25               | -324.01   |         | Y                 |
|                                         | Trade         | 1 000                   |                        | 11/07/07                       | 03/16/09     | 21.11       | 26.00                  | -4.89     |         | Y                 |
|                                         | Trade         | 58 000                  |                        | 03/13/08                       | 03/16/09     | 1,224.46    | 1,171.14               | 53.32     |         | Y                 |
| DONNELLEY (R R.) & SONS<br>CO           | Trade         | 106 000                 |                        | 08/31/04                       | 02/25/09     | 915.49      | 3,257.38               | -2,341.89 |         | Y                 |
|                                         | Trade         | 15 000                  |                        | 12/06/05                       | 02/25/09     | 129.55      | 516.83                 | -387.28   |         | Y                 |
|                                         | Trade         | 8 000                   |                        | 07/13/06                       | 02/25/09     | 69.09       | 246.11                 | -177.02   |         | Y                 |
| EASTMAN CHEMICAL CO                     | Trade         | 39 000                  |                        | 08/31/04                       | 03/11/09     | 826.61      | 1,809.60               | -982.99   |         | Y                 |
|                                         | Trade         | 28 000                  |                        | 08/31/04                       | 03/16/09     | 656.03      | 1,299.20               | -643.17   |         | Y                 |
| EMBARQ CORP ** MERGER<br>EFF 07/2009 ** | Trade         | 25 000                  |                        | 07/10/06                       | 02/24/09     | 858.27      | 1,003.27               | -145.00   |         | Y                 |
|                                         | Trade         | 12 000                  |                        | 07/10/06                       | 03/16/09     | 411.12      | 481.57                 | -70.45    |         | Y                 |
|                                         | Trade         | 23 000                  |                        | 08/15/06                       | 03/16/09     | 787.97      | 1,022.04               | -234.07   |         | Y                 |
|                                         | Trade         | 14 000                  |                        | 10/31/06                       | 03/16/09     | 479.64      | 677.58                 | -197.94   |         | Y                 |
|                                         | Trade         | 17 000                  |                        | 01/25/07                       | 03/16/09     | 582.42      | 943.44                 | -361.02   |         | Y                 |
|                                         | Trade         | 11.000                  |                        | 02/05/08                       | 03/16/09     | 376.86      | 499.89                 | -123.03   |         | Y                 |
| EOG RESOURCES INC                       | Trade         | 1 000                   |                        | 08/31/04                       | 01/21/09     | 63.13       | 28.84                  | 34.29     |         | Y                 |
|                                         | Trade         | 8 000                   |                        | 12/29/06                       | 01/21/09     | 505.05      | 498.11                 | 6.94      |         | Y                 |
|                                         | Trade         | 17 000                  |                        | 12/29/06                       | 03/16/09     | 1,031.72    | 1,058.49               | -26.77    |         | Y                 |
| FOOT LOCKER INC                         | Trade         | 54 000                  |                        | 08/31/04                       | 02/25/09     | 433.97      | 1,203.66               | -769.69   |         | Y                 |



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| FOOT LOCKER INC                     | Trade         | 6 000                   |                        | 08/31/04                       | 03/12/09     | 57.42       | 133.74                 | -76.32    |         | Y                 |
|                                     | Trade         | 9 000                   |                        | 12/16/04                       | 03/12/09     | 86.13       | 239.31                 | -153.18   |         | Y                 |
|                                     | Trade         | 24 000                  |                        | 10/05/05                       | 03/12/09     | 229.67      | 524.69                 | -295.02   |         | Y                 |
|                                     | Trade         | 7 000                   |                        | 10/05/05                       | 03/16/09     | 67.62       | 153.04                 | -85.42    |         | Y                 |
|                                     | Trade         | 20 000                  |                        | 11/21/05                       | 03/16/09     | 193.20      | 432.39                 | -239.19   |         | Y                 |
| GANNETT CO                          | Trade         | 61 000                  |                        | 12/27/07                       | 01/21/09     | 428.13      | 2,343.61               | -1,915.48 |         | Y                 |
| GENUINE PARTS CO                    | Trade         | 13 000                  |                        | 08/31/04                       | 03/11/09     | 349.39      | 493.35                 | -143.96   |         | Y                 |
|                                     | Trade         | 76 000                  |                        | 08/31/04                       | 03/16/09     | 2,099.86    | 2,884.20               | -784.34   |         | Y                 |
| GOODYEAR TIRE & RUBBER<br>CO        | Trade         | 39 000                  |                        | 06/28/07                       | 02/25/09     | 199.20      | 1,325.70               | -1,126.50 |         | Y                 |
|                                     | Trade         | 18 000                  |                        | 06/28/07                       | 03/12/09     | 86.65       | 611.86                 | -525.21   |         | Y                 |
|                                     | Trade         | 28 000                  |                        | 08/06/07                       | 03/12/09     | 134.80      | 713.78                 | -578.98   |         | Y                 |
|                                     | Trade         | 32 000                  |                        | 10/04/07                       | 03/12/09     | 154.05      | 943.99                 | -789.94   |         | Y                 |
| GRAINGER W W INC                    | Trade         | 29 000                  |                        | 08/31/04                       | 03/16/09     | 1,872.51    | 1,544.25               | 328.26    |         | Y                 |
| HALLIBURTON CO<br>(HOLDING COMPANY) | Trade         | 88 000                  |                        | 08/31/04                       | 03/16/09     | 1,453.95    | 1,280.84               | 173.11    |         | Y                 |
| HEALTHSOUTH CORP NEW                | Trade         | 120 000                 |                        | 03/23/07                       | 03/16/09     | 1,021.21    | 2,759.81               | -1,738.60 |         | Y                 |
| HUBBELL INC CL B                    | Trade         | 77 000                  |                        | 08/31/04                       | 03/16/09     | 1,974.26    | 3,321.78               | -1,347.52 |         | Y                 |
| INTERPUBLIC GROUP OF COS<br>INC     | Trade         | 92 000                  |                        | 08/31/04                       | 02/25/09     | 308.19      | 966.00                 | -657.81   |         | Y                 |
|                                     | Trade         | 23 000                  |                        | 10/22/04                       | 02/25/09     | 77.05       | 270.26                 | -193.21   |         | Y                 |
|                                     | Trade         | 14 000                  |                        | 03/14/05                       | 02/25/09     | 46.90       | 166.04                 | -119.14   |         | Y                 |
|                                     | Trade         | 87 000                  |                        | 03/14/05                       | 03/12/09     | 343.57      | 1,031.82               | -688.25   |         | Y                 |
|                                     | Trade         | 51 000                  |                        | 06/30/05                       | 03/12/09     | 201.40      | 620.08                 | -418.68   |         | Y                 |
|                                     | Trade         | 36 000                  |                        | 10/06/05                       | 03/12/09     | 142.17      | 406.37                 | -264.20   |         | Y                 |
|                                     | Trade         | 1 000                   |                        | 12/01/05                       | 03/12/09     | 3.95        | 9.39                   | -5.44     |         | Y                 |
|                                     | Trade         | 99 000                  |                        | 12/01/05                       | 03/16/09     | 402.94      | 929.65                 | -526.71   |         | Y                 |
|                                     | Trade         | 50 000                  |                        | 01/05/06                       | 03/16/09     | 203.50      | 501.72                 | -298.22   |         | Y                 |
|                                     | Trade         | 49 000                  |                        | 06/22/06                       | 03/16/09     | 199.43      | 422.38                 | -222.95   |         | Y                 |
|                                     | Trade         | 105 000                 |                        | 12/27/07                       | 03/16/09     | 427.36      | 866.46                 | -439.10   |         | Y                 |

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**Long-Term Gain/Loss - continued**

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| JDS UNIPHASE CORP NEW<br>2006   | Trade         | 65,000                  |                        | 05/18/06                       | 03/12/09     | 176.84      | 1,473.30               | -1,296.46 |         | Y                 |
|                                 | Trade         | 34,000                  |                        | 08/15/06                       | 03/12/09     | 92.50       | 599.30                 | -506.80   |         | Y                 |
|                                 | Trade         | 37,000                  |                        | 10/31/06                       | 03/12/09     | 100.66      | 538.45                 | -437.79   |         | Y                 |
|                                 | Trade         | 45,000                  |                        | 02/01/07                       | 03/12/09     | 122.43      | 759.47                 | -637.04   |         | Y                 |
|                                 | Trade         | 185,000                 |                        | 05/22/07                       | 03/12/09     | 503.31      | 2,422.30               | -1,918.99 |         | Y                 |
|                                 | Trade         | 78,000                  |                        | 02/01/08                       | 03/12/09     | 212.21      | 818.31                 | -606.10   |         | Y                 |
| KBR INC                         | Trade         | 94,000                  |                        | 04/20/07                       | 03/16/09     | 1,327.45    | 1,988.22               | -660.77   |         | Y                 |
|                                 | Trade         | 6,000                   |                        | 04/25/07                       | 03/16/09     | 84.73       | 125.50                 | -40.77    |         | Y                 |
| KING PHARMACEUTICALS INC        | Trade         | 4,000                   |                        | 08/31/04                       | 02/24/09     | 32.21       | 49.88                  | -17.67    |         | Y                 |
|                                 | Trade         | 20,000                  |                        | 10/22/04                       | 02/24/09     | 161.07      | 234.96                 | -73.89    |         | Y                 |
|                                 | Trade         | 73,000                  |                        | 03/11/05                       | 02/24/09     | 587.89      | 708.76                 | -120.87   |         | Y                 |
|                                 | Trade         | 59,000                  |                        | 06/30/05                       | 02/24/09     | 475.15      | 620.49                 | -145.34   |         | Y                 |
|                                 | Trade         | 70,000                  |                        | 12/12/06                       | 02/24/09     | 563.73      | 1,159.11               | -595.38   |         | Y                 |
|                                 | Trade         | 23,000                  |                        | 11/16/07                       | 02/24/09     | 185.23      | 234.27                 | -49.04    |         | Y                 |
|                                 | Trade         | 48,000                  |                        | 11/16/07                       | 03/16/09     | 343.72      | 488.91                 | -145.19   |         | Y                 |
|                                 | Trade         | 125,000                 |                        | 12/27/07                       | 03/16/09     | 895.12      | 1,286.25               | -391.13   |         | Y                 |
| MACY'S INC                      | Trade         | 9,000                   |                        | 08/31/04                       | 03/16/09     | 75.97       | 312.44                 | -236.47   |         | Y                 |
|                                 | Trade         | 14,000                  |                        | 11/19/04                       | 03/16/09     | 118.18      | 486.01                 | -367.83   |         | Y                 |
|                                 | Trade         | 20,000                  |                        | 09/09/04                       | 03/16/09     | 168.83      | 438.50                 | -269.67   |         | Y                 |
|                                 | Trade         | 4,000                   |                        | 11/10/05                       | 03/16/09     | 33.77       | 135.87                 | -102.10   |         | Y                 |
|                                 | Trade         | 29,000                  |                        | 10/10/07                       | 03/16/09     | 244.80      | 983.33                 | -738.53   |         | Y                 |
|                                 | Trade         | 17,000                  |                        | 12/27/07                       | 03/16/09     | 143.51      | 425.83                 | -282.32   |         | Y                 |
|                                 | Trade         | 38,000                  |                        | 02/05/08                       | 03/16/09     | 320.78      | 1,014.87               | -694.09   |         | Y                 |
| MCAFFEE INC                     | Trade         | 13,000                  |                        | 08/31/04                       | 01/21/09     | 379.02      | 254.67                 | 124.35    |         | Y                 |
|                                 | Trade         | 6,000                   |                        | 02/03/06                       | 01/21/09     | 174.93      | 137.60                 | 37.33     |         | Y                 |
|                                 | Trade         | 37,000                  |                        | 02/03/06                       | 03/16/09     | 1,134.41    | 848.51                 | 285.90    |         | Y                 |
|                                 | Trade         | 16,000                  |                        | 09/13/06                       | 03/16/09     | 490.56      | 370.65                 | 119.91    |         | Y                 |
|                                 | Trade         | 13,000                  |                        | 10/09/06                       | 03/16/09     | 398.58      | 328.38                 | 70.20     |         | Y                 |
| MOLSON COORS BREWING CO<br>CL B | Trade         | 62,000                  |                        | 09/06/06                       | 03/16/09     | 1,961.04    | 2,170.00               | -208.96   |         | Y                 |
|                                 | Trade         | 10,000                  |                        | 10/05/06                       | 03/16/09     | 316.30      | 333.72                 | -17.42    |         | Y                 |
| MYLAN INC                       | Trade         | 59,000                  |                        | 08/31/04                       | 02/25/09     | 760.29      | 1,027.78               | -267.49   |         | Y                 |
|                                 | Trade         | 18,000                  |                        | 05/27/05                       | 02/25/09     | 231.95      | 298.84                 | -66.89    |         | Y                 |

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| MYLAN INC            | Trade         | 2 000                   |                        | 07/20/05                       | 02/25/09     | 25 77       | 35 82                  | -10 05    |         | Y                 |
|                      | Trade         | 49,000                  |                        | 07/20/05                       | 03/16/09     | 650.72      | 877 69                 | -226.97   |         | Y                 |
|                      | Trade         | 21 000                  |                        | 12/19/05                       | 03/16/09     | 278.88      | 431 68                 | -152 80   |         | Y                 |
|                      | Trade         | 40,000                  |                        | 10/11/06                       | 03/16/09     | 531.20      | 837.17                 | -305 97   |         | Y                 |
|                      | Trade         | 21 000                  |                        | 11/28/06                       | 03/16/09     | 278.88      | 422 87                 | -143 99   |         | Y                 |
|                      | Trade         | 57,000                  |                        | 06/22/07                       | 03/16/09     | 756.96      | 1,075 84               | -318 88   |         | Y                 |
|                      | Trade         | 35,000                  |                        | 08/06/07                       | 03/16/09     | 464 80      | 544 81                 | -80.01    |         | Y                 |
|                      | Trade         | 23,000                  |                        | 01/02/08                       | 03/16/09     | 305 44      | 320 71                 | -15 27    |         | Y                 |
|                      | Trade         | 112 000                 |                        | 08/31/04                       | 02/24/09     | 997.19      | 2,326.24               | -1,329.05 |         | Y                 |
|                      | Trade         | 28,000                  |                        | 12/16/04                       | 02/24/09     | 249 30      | 604.77                 | -355.47   |         | Y                 |
| NISOURCE INC         | Trade         | 33 000                  |                        | 01/05/06                       | 02/24/09     | 293.82      | 688.46                 | -394.64   |         | Y                 |
|                      | Trade         | 21 000                  |                        | 03/13/06                       | 02/24/09     | 186.97      | 430 50                 | -243 53   |         | Y                 |
|                      | Trade         | 10 000                  |                        | 01/08/07                       | 02/24/09     | 89 04       | 235 09                 | -146.05   |         | Y                 |
|                      | Trade         | 24 000                  |                        | 08/31/04                       | 01/21/09     | 553.32      | 456.24                 | 97.08     |         | Y                 |
|                      | Trade         | 43 000                  |                        | 08/31/04                       | 03/16/09     | 864.77      | 817 43                 | 47 34     |         | Y                 |
| NORTHEAST UTILITIES  | Trade         | 12 000                  |                        | 01/06/05                       | 03/16/09     | 241 33      | 218.40                 | 22 93     |         | Y                 |
|                      | Trade         | 36 000                  |                        | 01/06/06                       | 03/16/09     | 723.99      | 709 44                 | 14.55     |         | Y                 |
|                      | Trade         | 37 000                  |                        | 03/10/06                       | 03/16/09     | 744.10      | 712 54                 | 31 56     |         | Y                 |
|                      | Trade         | 22 000                  |                        | 03/13/06                       | 03/16/09     | 62.27       | 634 70                 | -572 43   |         | Y                 |
|                      | Trade         | 29 000                  |                        | 05/22/07                       | 03/16/09     | 82 08       | 1,319.12               | -1,237.04 |         | Y                 |
| OFFICEMAX INC        | Trade         | 26,000                  |                        | 08/06/07                       | 03/16/09     | 73 59       | 881.12                 | -807 53   |         | Y                 |
|                      | Trade         | 35 000                  |                        | 01/02/08                       | 03/16/09     | 99.07       | 704.51                 | -605.44   |         | Y                 |
|                      | Trade         | 81 000                  |                        | 08/07/07                       | 03/16/09     | 586.43      | 2,259 58               | -1,673.15 |         | Y                 |
|                      | Trade         | 31,000                  |                        | 08/14/07                       | 03/16/09     | 224.44      | 814 63                 | -590 19   |         | Y                 |
| OWENS CORNING NEW    | Trade         | 1 000                   |                        | 12/15/04                       | 01/21/09     | 20 91       | 24.32                  | -3 41     |         | Y                 |
|                      | Trade         | 13 000                  |                        | 09/02/05                       | 01/21/09     | 271 86      | 246 35                 | 25 51     |         | Y                 |
|                      | Trade         | 12,000                  |                        | 09/02/05                       | 03/12/09     | 138 54      | 227 40                 | -88.86    |         | Y                 |
|                      | Trade         | 21,000                  |                        | 12/01/05                       | 03/12/09     | 242 44      | 429 91                 | -187.47   |         | Y                 |
| PACTIV CORP          | Trade         | 5 000                   |                        | 10/04/07                       | 03/12/09     | 57.72       | 145.71                 | -87.99    |         | Y                 |
|                      | Trade         | 25,000                  |                        | 10/04/07                       | 03/16/09     | 314.25      | 728.55                 | -414.30   |         | Y                 |
| PARTNERRE LTD ORD    | Trade         | 22,000                  |                        | 08/31/04                       | 03/16/09     | 1,329 89    | 1,133 00               | 196 89    |         | Y                 |
|                      | Trade         | 8 000                   |                        | 01/10/06                       | 03/16/09     | 483.60      | 531.70                 | -48.10    |         | Y                 |

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**Long-Term Gain/Loss - continued**

*This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B*

| Security Description              | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| QWEST COMMUNICATIONS<br>INTL INC. | Trade         | 179 000                 |                        | 12/06/05                       | 02/25/09     | 626 50      | 944 26                 | -317 76   |         | Y                 |
|                                   | Trade         | 186 000                 |                        | 12/30/05                       | 02/25/09     | 651 00      | 1,052 56               | -401 56   |         | Y                 |
|                                   | Trade         | 66 000                  |                        | 01/30/06                       | 02/25/09     | 231 00      | 407 33                 | -176 33   |         | Y                 |
|                                   | Trade         | 141 000                 |                        | 02/08/07                       | 02/25/09     | 493 50      | 1,156 71               | -663 21   |         | Y                 |
|                                   | Trade         | 22 000                  |                        | 08/07/07                       | 02/25/09     | 77 00       | 183 04                 | -106 04   |         | Y                 |
|                                   | Trade         | 154 000                 |                        | 11/16/07                       | 02/25/09     | 539 00      | 1,029 24               | -490 24   |         | Y                 |
| RANGE RESOURCES CORP              | Trade         | 69 000                  |                        | 02/20/08                       | 02/25/09     | 241 50      | 370 19                 | -128 69   |         | Y                 |
|                                   | Trade         | 8 000                   |                        | 03/12/07                       | 01/05/09     | 308 16      | 239 77                 | 68 39     |         | Y                 |
|                                   | Trade         | 20 000                  |                        | 03/12/07                       | 01/21/09     | 669 86      | 599 43                 | 70 43     |         | Y                 |
|                                   | Trade         | 36 000                  |                        | 03/12/07                       | 02/24/09     | 1,160 03    | 1,078 98               | 81 05     |         | Y                 |
|                                   | Trade         | 53 000                  |                        | 06/06/06                       | 03/16/09     | 924 84      | 1,431 70               | -506 86   |         | Y                 |
|                                   | Trade         | 22 000                  |                        | 06/08/06                       | 03/16/09     | 383 90      | 576 08                 | -192 18   |         | Y                 |
| REPUBLIC SERVICES INC             | Trade         | 21 000                  |                        | 08/30/06                       | 03/16/09     | 366 45      | 488 90                 | -122 45   |         | Y                 |
|                                   | Trade         | 47 000                  |                        | 10/09/06                       | 03/16/09     | 820 14      | 1,206 17               | -386 03   |         | Y                 |
|                                   | Trade         | 36 000                  |                        | 02/01/07                       | 03/16/09     | 628 20      | 938 62                 | -310 42   |         | Y                 |
|                                   | Trade         | 91 000                  |                        | 03/12/07                       | 03/16/09     | 803 57      | 2,639 00               | -1,835 43 |         | Y                 |
|                                   | Trade         | 50 000                  |                        | 06/26/07                       | 03/16/09     | 441 52      | 1,554 20               | -1,112 68 |         | Y                 |
|                                   | Trade         | 44 000                  |                        | 08/31/04                       | 03/16/09     | 1,086 79    | 1,397 00               | -310 21   |         | Y                 |
| SNAP ON INC                       | Trade         | 8 000                   |                        | 12/15/04                       | 03/16/09     | 197 60      | 274 36                 | -76 76    |         | Y                 |
|                                   | Trade         | 86 000                  |                        | 08/31/04                       | 03/16/09     | 1,650 33    | 2,015 84               | -365 51   |         | Y                 |
|                                   | Trade         | 57 000                  |                        | 08/31/04                       | 03/16/09     | 1,594 28    | 757 53                 | 836 75    |         | Y                 |
|                                   | Trade         | 145 000                 |                        | 12/19/05                       | 03/02/09     | 539 39      | 1,558 33               | -1,018 94 |         | Y                 |
|                                   | Trade         | 106 000                 |                        | 12/19/05                       | 03/16/09     | 434 83      | 1,139 19               | -704 36   |         | Y                 |
|                                   | Trade         | 41 000                  |                        | 08/15/06                       | 03/16/09     | 168 19      | 375 07                 | -206 88   |         | Y                 |
| SOUTHWEST GAS CORP                | Trade         | 63 000                  |                        | 11/28/06                       | 03/16/09     | 258 44      | 661 22                 | -402 78   |         | Y                 |
|                                   | Trade         | 118 000                 |                        | 01/08/07                       | 03/16/09     | 484 05      | 1,205 75               | -721 70   |         | Y                 |
|                                   | Trade         | 50 000                  |                        | 08/31/04                       | 03/11/09     | 620 10      | 1,163 50               | -543 40   |         | Y                 |
|                                   | Trade         | 70 000                  |                        | 08/31/04                       | 03/16/09     | 950 59      | 1,628 90               | -678 31   |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
| SYBASE INC                        | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
| TELLABS INC                       | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
| TIMKEN CO                         | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
| TRANSOCEAN LTD                    | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |
|                                   | Trade         | 10 000                  |                        | 08/31/04                       | 03/16/09     | 555 90      | 625 95                 | -70 05    |         | Y                 |



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description      | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss  | Remarks | Matches<br>1099-B |
|---------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|------------|---------|-------------------|
| TRANSOCEAN LTD            | Trade         | 11 000                  |                        | 12/06/04                       | 03/16/09     | 611 49      | 642 08                 | -30 59     |         | Y                 |
| VALSPAR CORP              | Trade         | 71 000                  |                        | 10/02/07                       | 03/16/09     | 1,244.62    | 1,843 64               | -599 02    |         | Y                 |
|                           | Trade         | 9 000                   |                        | 03/13/08                       | 03/16/09     | 157.77      | 180.38                 | -22.61     |         | Y                 |
| WINDSTREAM CORP           | Trade         | 46 000                  |                        | 01/03/08                       | 03/02/09     | 331 49      | 572 09                 | -240 60    |         | Y                 |
|                           | Trade         | 6 000                   |                        | 01/03/08                       | 03/11/09     | 41 87       | 74 62                  | -32 75     |         | Y                 |
|                           | Trade         | 320 000                 |                        | 01/03/08                       | 03/16/09     | 2,394.22    | 3,979 74               | -1,585 52  |         | Y                 |
| ZIONS BANCORP             | Trade         | 3 000                   |                        | 02/20/08                       | 02/25/09     | 26.78       | 152.73                 | -125.95    |         | Y                 |
| Total Long-Term Gain/Loss |               |                         |                        |                                |              | 92,245.90   | 162,522.03             | -70,276.13 |         |                   |

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# UBS Financial Services Inc. 2009 Consolidated Form 1099

P08L250507-X1

## 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                       | Purchase      | Sale          | Gains        | Losses        | Net         |
|-----------------------|---------------|---------------|--------------|---------------|-------------|
|                       | Purchase date | Sale Amount   | Basis        | Gain/Loss     | Gain/Loss   |
| Short-term Gain/Loss: | \$ 161,017.95 | \$ 169,710.03 | \$ 24,501.63 | \$ -15,809.55 | \$ 8,692.08 |
| Long-term Gain/Loss:  | 40,230.75     | 35,514.34     | 2,424.70     | -7,141.11     | -4,716.41   |
| Sub Total:            | \$ 201,248.70 | \$ 205,224.37 | \$ 26,926.33 | \$ -22,950.66 | \$ 3,975.67 |
| Total:                | \$ 201,248.70 | \$ 205,224.37 | \$ 26,926.33 | \$ -22,950.66 | \$ 3,975.67 |

## Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ABBOTT LABS          | Trade         | 44,000                  |                        | 10/07/08                       | 03/02/09     | 2,074.84    | 2,483.66               | -408.82   |         | Y                 |
|                      | Trade         | 38,000                  |                        | 10/07/08                       | 04/22/09     | 1,631.94    | 2,144.98               | -513.04   |         | Y                 |
|                      | Trade         | 42,000                  |                        | 10/16/08                       | 04/22/09     | 1,803.72    | 2,300.89               | -497.17   |         | Y                 |
|                      | Trade         | 40,000                  |                        | 11/05/08                       | 04/22/09     | 1,717.83    | 2,229.10               | -511.27   |         | Y                 |
| ALCON INC            | Trade         | 6,000                   |                        | 12/05/08                       | 03/03/09     | 469.05      | 496.58                 | -27.53    |         | Y                 |
|                      | Trade         | 22,000                  |                        | 12/05/08                       | 05/28/09     | 2,333.48    | 1,820.79               | 512.69    |         | Y                 |
|                      | Trade         | 12,000                  |                        | 12/05/08                       | 06/17/09     | 1,299.54    | 993.16                 | 306.38    |         | Y                 |
|                      | Trade         | 5,000                   |                        | 12/05/08                       | 09/29/09     | 700.04      | 413.82                 | 286.22    |         | Y                 |
|                      | Trade         | 13,000                  |                        | 12/05/08                       | 10/19/09     | 1,898.81    | 1,075.92               | 822.89    |         | Y                 |
|                      | Trade         | 20,000                  |                        | 02/27/09                       | 10/19/09     | 2,921.24    | 1,692.92               | 1,228.32  |         | Y                 |



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**UBS Financial Services Inc.**  
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**Short-Term Gain/Loss - continued**

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description              | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase Date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AON CORP                          | Trade         | 18,000                  |                        | 12/09/08                       | 03/03/09     | 669.64      | 786.41                 | -116.77   |         | Y                 |
|                                   | Trade         | 98,000                  |                        | 12/09/08                       | 04/03/09     | 3,921.41    | 4,281.55               | -360.14   |         | Y                 |
| BANCO SANTANDER S.A SPON<br>ADR   | Trade         | 484,000                 |                        | 07/30/09                       | 10/08/09     | 7,904.72    | 6,768.06               | 1,136.66  |         | Y                 |
| BHP BILLITON LTD SPON<br>ADR      | Trade         | 40,000                  |                        | 03/10/09                       | 06/02/09     | 2,409.64    | 1,607.57               | 802.07    |         | Y                 |
|                                   | Trade         | 6,000                   |                        | 03/10/09                       | 06/17/09     | 331.06      | 241.14                 | 89.92     |         | Y                 |
|                                   | Trade         | 26,000                  |                        | 03/19/09                       | 06/17/09     | 1,434.60    | 1,186.76               | 247.84    |         | Y                 |
|                                   | Trade         | 12,000                  |                        | 03/19/09                       | 12/18/09     | 863.14      | 547.74                 | 315.40    |         | Y                 |
|                                   | Trade         | 32,000                  |                        | 04/02/09                       | 12/18/09     | 2,301.70    | 1,583.48               | 718.22    |         | Y                 |
|                                   | Trade         | 53,000                  |                        | 05/13/09                       | 12/18/09     | 3,812.19    | 2,606.31               | 1,205.88  |         | Y                 |
| BLACKROCK INC                     | Trade         | 38,000                  |                        | 03/03/08                       | 03/03/09     | 3,535.99    | 7,225.37               | -3,689.38 |         | Y                 |
|                                   | Trade         | 12,000                  |                        | 03/19/08                       | 03/03/09     | 1,116.63    | 2,280.75               | -1,164.12 |         | Y                 |
| CANADIAN NATURAL<br>RESOURCES LTD | Trade         | 12,000                  |                        | 05/04/09                       | 09/29/09     | 800.40      | 607.63                 | 192.77    |         | Y                 |
|                                   | Trade         | 23,000                  |                        | 05/04/09                       | 10/20/09     | 1,682.20    | 1,164.62               | 517.58    |         | Y                 |
| CAPITAL ONE FINCL CORP            | Trade         | 116,000                 |                        | 04/16/09                       | 05/11/09     | 3,221.76    | 1,933.91               | 1,287.85  |         | Y                 |
|                                   | Trade         | 24,000                  |                        | 05/07/09                       | 05/11/09     | 666.57      | 647.18                 | 19.39     |         | Y                 |
| COLGATE PALMOLIVE CO              | Trade         | 44,000                  |                        | 12/23/08                       | 06/29/09     | 3,154.60    | 2,910.81               | 243.79    |         | Y                 |
|                                   | Trade         | 48,000                  |                        | 12/23/08                       | 07/30/09     | 3,432.42    | 3,175.43               | 256.99    |         | Y                 |
|                                   | Trade         | 42,000                  |                        | 05/05/09                       | 07/30/09     | 3,003.36    | 2,591.67               | 411.69    |         | Y                 |
| COVANCE INC                       | Trade         | 10,000                  |                        | 06/30/08                       | 01/28/09     | 366.33      | 865.50                 | -499.17   |         | Y                 |
|                                   | Trade         | 102,000                 |                        | 10/31/08                       | 01/28/09     | 3,736.54    | 4,973.54               | -1,237.00 |         | Y                 |
| ECOLAB INC                        | Trade         | 46,000                  |                        | 11/13/08                       | 08/21/09     | 1,973.13    | 1,559.47               | 413.66    |         | Y                 |
| GENL ELECTRIC CO                  | Trade         | 432,000                 |                        | 03/12/09                       | 05/08/09     | 6,139.73    | 4,003.04               | 2,136.69  |         | Y                 |
| GOLDMAN SACHS GROUP INC           | Trade         | 16,000                  |                        | 03/23/09                       | 05/18/09     | 2,241.46    | 1,632.24               | 609.22    |         | Y                 |
|                                   | Trade         | 10,000                  |                        | 03/23/09                       | 10/13/09     | 1,856.88    | 1,020.15               | 836.73    |         | Y                 |
| HEWLETT PACKARD CO                | Trade         | 44,000                  |                        | 03/10/09                       | 09/11/09     | 2,025.32    | 1,174.24               | 851.08    |         | Y                 |
|                                   | Trade         | 24,000                  |                        | 03/10/09                       | 09/14/09     | 1,094.62    | 640.50                 | 454.12    |         | Y                 |



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                 | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| HEWLETT PACKARD CO                   | Trade         | 54,000                  |                        | 03/27/09                       | 09/14/09     | 2,462.89    | 1,786.48               | 676.41    |         | Y                 |
|                                      | Trade         | 48,000                  |                        | 04/02/09                       | 09/14/09     | 2,189.23    | 1,650.10               | 539.13    |         | Y                 |
| HSBC HOLDINGS PLC NEW GB<br>SPON ADR | Trade         | 17,000                  |                        | 08/03/09                       | 09/29/09     | 985.97      | 924.20                 | 61.77     |         | Y                 |
|                                      |               |                         |                        |                                |              |             |                        |           |         |                   |
| JOHNSON & JOHNSON COM                | Trade         | 70,000                  |                        | 10/16/08                       | 03/02/09     | 3,375.13    | 4,423.85               | -1,048.72 |         | Y                 |
|                                      | Trade         | 4,000                   |                        | 11/05/08                       | 03/02/09     | 192.86      | 241.12                 | -48.26    |         | Y                 |
|                                      | Trade         | 78,000                  |                        | 11/05/08                       | 10/20/09     | 4,756.57    | 4,701.79               | 54.78     |         | Y                 |
|                                      | Trade         | 38,000                  |                        | 04/17/09                       | 10/20/09     | 2,317.31    | 2,014.47               | 302.84    |         | Y                 |
| JPMORGAN CHASE & CO                  | Trade         | 28,000                  |                        | 10/16/08                       | 09/29/09     | 1,258.87    | 1,114.12               | 144.75    |         | Y                 |
|                                      | Trade         | 16,000                  |                        | 10/16/08                       | 10/09/09     | 724.92      | 636.64                 | 88.28     |         | Y                 |
| KANSAS CITY STHN NEW                 | Trade         | 138,000                 |                        | 02/18/09                       | 06/08/09     | 2,213.46    | 2,595.72               | -382.26   |         | Y                 |
| LOCKHEED MARTIN CORP                 | Trade         | 30,000                  |                        | 02/06/09                       | 03/03/09     | 1,810.38    | 2,379.69               | -569.31   |         | Y                 |
|                                      | Trade         | 46,000                  |                        | 02/06/09                       | 10/21/09     | 3,218.21    | 3,648.86               | -430.65   |         | Y                 |
|                                      | Trade         | 56,000                  |                        | 07/21/09                       | 10/21/09     | 3,917.82    | 4,244.50               | -326.68   |         | Y                 |
|                                      |               |                         |                        |                                |              |             |                        |           |         |                   |
| MEDCO HEALTH SOLUTIONS<br>INC        | Trade         | 50,000                  |                        | 11/05/08                       | 03/03/09     | 1,934.71    | 2,098.76               | -164.05   |         | Y                 |
|                                      | Trade         | 4,000                   |                        | 11/05/08                       | 05/07/09     | 182.38      | 167.90                 | 14.48     |         | Y                 |
|                                      | Trade         | 52,000                  |                        | 11/07/08                       | 05/07/09     | 2,370.91    | 2,117.88               | 253.03    |         | Y                 |
|                                      | Trade         | 40,000                  |                        | 11/25/08                       | 05/07/09     | 1,823.78    | 1,600.04               | 223.74    |         | Y                 |
| MONSANTO CO NEW                      | Trade         | 40,000                  |                        | 08/12/08                       | 03/02/09     | 2,886.50    | 4,510.08               | -1,623.58 |         | Y                 |
|                                      | Trade         | 6,000                   |                        | 01/07/09                       | 03/02/09     | 432.98      | 518.60                 | -85.62    |         | Y                 |
|                                      | Trade         | 14,000                  |                        | 01/07/09                       | 03/03/09     | 1,029.74    | 1,210.07               | -180.33   |         | Y                 |
|                                      | Trade         | 38,000                  |                        | 01/07/09                       | 10/26/09     | 2,684.41    | 3,284.47               | -600.06   |         | Y                 |
|                                      | Trade         | 18,000                  |                        | 08/18/09                       | 10/26/09     | 1,271.57    | 1,456.02               | -184.45   |         | Y                 |
| ORACLE CORP                          | Trade         | 260,000                 |                        | 10/27/08                       | 10/12/09     | 5,398.62    | 4,300.14               | 1,098.48  |         | Y                 |
|                                      | Trade         | 42,000                  |                        | 04/28/09                       | 10/12/09     | 872.09      | 830.47                 | 41.62     |         | Y                 |
| PEPSICO INC                          | Trade         | 88,000                  |                        | 11/24/08                       | 04/22/09     | 4,275.40    | 4,793.97               | -518.57   |         | Y                 |
|                                      | Trade         | 28,000                  |                        | 04/17/09                       | 04/22/09     | 1,360.36    | 1,454.87               | -94.51    |         | Y                 |

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**Short-Term Gain/Loss - continued**

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description               | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount       | Cost or Other<br>Basis | Gain/Loss       | Remarks | Matches<br>1099-B |
|------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------------|------------------------|-----------------|---------|-------------------|
| PETROLEO BRASILEIRO SA<br>SPON ADR | Trade         | 46 000                  |                        | 03/19/09                       | 06/02/09     | 2,050.14          | 1,490.57               | 559.57          |         | Y                 |
|                                    | Trade         | 70 000                  |                        | 03/19/09                       | 10/22/09     | 3,490.95          | 2,268.26               | 1,222.69        |         | Y                 |
|                                    | Trade         | 52 000                  |                        | 04/02/09                       | 10/22/09     | 2,593.27          | 1,811.23               | 782.04          |         | Y                 |
| POTASH CORP SASK INC<br>CANADA     | Trade         | 52 000                  |                        | 09/14/09                       | 10/12/09     | 4,674.10          | 4,596.93               | 77.17           |         | Y                 |
| QUALCOMM INC                       | Trade         | 52 000                  |                        | 03/10/09                       | 09/14/09     | 2,396.25          | 1,820.88               | 575.37          |         | Y                 |
|                                    | Trade         | 14 000                  |                        | 03/19/09                       | 09/14/09     | 645.14            | 528.52                 | 116.62          |         | Y                 |
|                                    | Trade         | 60 000                  |                        | 03/19/09                       | 09/18/09     | 2,664.48          | 2,265.07               | 399.41          |         | Y                 |
|                                    | Trade         | 40 000                  |                        | 04/02/09                       | 09/18/09     | 1,776.32          | 1,653.65               | 122.67          |         | Y                 |
| VORNADO REALTY TRUST               | Trade         | 40 000                  |                        | 04/22/09                       | 08/27/09     | 2,310.13          | 1,845.08               | 465.05          |         | Y                 |
|                                    | Trade         | 32 000                  |                        | 04/22/09                       | 12/16/09     | 2,253.74          | 1,476.06               | 777.68          |         | Y                 |
| WAL MART STORES INC                | Trade         | 50 000                  |                        | 10/20/08                       | 03/03/09     | 2,422.53          | 2,682.21               | -259.68         |         | Y                 |
|                                    | Trade         | 76 000                  |                        | 10/20/08                       | 09/14/09     | 3,825.36          | 4,076.95               | -251.59         |         | Y                 |
|                                    | Trade         | 42 000                  |                        | 04/17/09                       | 09/14/09     | 2,114.02          | 2,130.84               | -16.82          |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>  |               |                         |                        |                                |              | <b>169,710.03</b> | <b>161,017.95</b>      | <b>8,692.08</b> |         |                   |

**Long-Term Gain/Loss**

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AMER TOWER CORP CL A | Trade         | 10 000                  |                        | 08/29/05                       | 03/05/09     | 275.85      | 234.29                 | 41.56     |         | Y                 |
|                      | Trade         | 20 000                  |                        | 09/06/05                       | 03/05/09     | 551.70      | 468.87                 | 82.83     |         | Y                 |
|                      | Trade         | 50 000                  |                        | 09/19/05                       | 03/05/09     | 1,379.26    | 1,232.34               | 146.92    |         | Y                 |
|                      | Trade         | 50 000                  |                        | 09/20/05                       | 03/05/09     | 1,379.26    | 1,234.54               | 144.72    |         | Y                 |
|                      | Trade         | 75 000                  |                        | 06/13/06                       | 03/05/09     | 2,068.89    | 2,096.71               | -27.82    |         | Y                 |
|                      | Trade         | 17 000                  |                        | 07/05/06                       | 03/05/09     | 468.95      | 543.88                 | -74.93    |         | Y                 |
|                      | Trade         | 2 000                   |                        | 07/05/06                       | 03/11/09     | 57.19       | 63.99                  | -6.80     |         | Y                 |
|                      | Trade         | 31 000                  |                        | 07/05/06                       | 09/29/09     | 1,129.61    | 991.79                 | 137.82    |         | Y                 |
| BLACKROCK INC        | Trade         | 8 000                   |                        | 03/19/08                       | 04/03/09     | 1,099.58    | 1,520.50               | -420.92   |         | Y                 |
|                      | Trade         | 8 000                   |                        | 03/19/08                       | 05/26/09     | 1,216.26    | 1,520.50               | -304.24   |         | Y                 |
|                      | Trade         | 14 000                  |                        | 03/19/08                       | 07/27/09     | 2,662.01    | 2,660.88               | 1.13      |         | Y                 |



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**Long-Term Gain/Loss - continued**

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                  | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| COVANCE INC                           | Trade         | 50.000                  |                        | 01/25/07                       | 01/28/09     | 1,831.64    | 3,041.30               | -1,209.66 |         | Y                 |
|                                       | Trade         | 25.000                  |                        | 08/16/07                       | 01/28/09     | 915.82      | 1,754.90               | -839.08   |         | Y                 |
| DENBURY RESOURCES INC<br>NEW          | Trade         | 173.000                 |                        | 06/21/05                       | 02/26/09     | 2,294.33    | 1,680.97               | 613.36    |         | Y                 |
|                                       | Trade         | 80.000                  |                        | 06/24/05                       | 02/26/09     | 1,060.96    | 798.38                 | 262.58    |         | Y                 |
|                                       | Trade         | 120.000                 |                        | 07/13/05                       | 02/26/09     | 1,591.44    | 1,348.90               | 242.54    |         | Y                 |
| ECOLAB INC                            | Trade         | 70.000                  |                        | 06/08/07                       | 03/03/09     | 2,179.46    | 2,889.38               | -709.92   |         | Y                 |
|                                       | Trade         | 2.000                   |                        | 06/08/07                       | 08/21/09     | 85.79       | 82.55                  | 3.24      |         | Y                 |
|                                       | Trade         | 70.000                  |                        | 09/19/07                       | 08/21/09     | 3,002.59    | 3,167.79               | -165.20   |         | Y                 |
| ENBRIDGE INC                          | Trade         | 15.000                  |                        | 10/21/05                       | 03/03/09     | 424.32      | 431.81                 | -7.49     |         | Y                 |
|                                       | Trade         | 30.000                  |                        | 10/24/05                       | 03/03/09     | 848.63      | 871.48                 | -22.85    |         | Y                 |
|                                       | Trade         | 9.000                   |                        | 10/26/05                       | 03/03/09     | 254.59      | 266.96                 | -12.37    |         | Y                 |
|                                       | Trade         | 21.000                  |                        | 10/26/05                       | 06/11/09     | 760.69      | 622.91                 | 137.78    |         | Y                 |
|                                       | Trade         | 19.000                  |                        | 03/08/06                       | 06/11/09     | 688.24      | 574.85                 | 113.39    |         | Y                 |
| EXPEDITORS INTL WASH INC              | Trade         | 32.000                  |                        | 09/21/07                       | 10/20/09     | 1,096.45    | 1,438.27               | -341.82   |         | Y                 |
| JPMORGAN CHASE & CO                   | Trade         | 49.000                  |                        | 10/16/08                       | 10/27/09     | 2,148.76    | 1,949.71               | 199.05    |         | Y                 |
| KANSAS CITY STHN NEW                  | Trade         | 87.000                  |                        | 03/01/07                       | 03/03/09     | 1,322.44    | 2,782.81               | -1,460.37 |         | Y                 |
|                                       | Trade         | 51.000                  |                        | 08/03/07                       | 03/03/09     | 775.22      | 1,714.92               | -939.70   |         | Y                 |
|                                       | Trade         | 34.000                  |                        | 08/03/07                       | 06/08/09     | 545.34      | 1,143.28               | -597.94   |         | Y                 |
| SUNCOR INC **MERGER EFF.<br>07/2009** | Trade         | 12.000                  |                        | 05/12/05                       | 06/17/09     | 364.97      | 224.46                 | 140.51    |         | Y                 |
|                                       | Trade         | 34.000                  |                        | 10/18/05                       | 06/17/09     | 1,034.10    | 876.83                 | 157.27    |         | Y                 |
| Total Long-Term Gain/Loss             |               |                         |                        |                                |              | 35,514.34   | 40,230.75              | -4,716.41 |         |                   |

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**Long-Term Gain/Loss - continued**

*This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B*

| Security Description                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount      | Cost or Other<br>Basis | Gain/Loss         | Remarks | Matches<br>1099-B |
|-------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|------------------|------------------------|-------------------|---------|-------------------|
| GENL ELECTRIC CO                                | Trade         | 105.000                 |                        | 12/09/97                       | 03/23/09     | 1,073.30         | 2,596.56               | -1,523.26         |         | Y                 |
| ILLINOIS TOOL WORKS INC                         | Trade         | 25 000                  |                        | 08/07/98                       | 12/18/09     | 1,189.21         | 675.78                 | 513.43            |         | Y                 |
| INGERSOLL RAND CO **<br>NAME CHANGE 2009** CL A | Trade         | 120 000                 |                        | 03/03/06                       | 03/23/09     | 1,744.80         | 5,018.40               | -3,273.60         |         | Y                 |
| INTL BUSINESS MACH                              | Trade         | 15.000                  |                        | 09/07/01                       | 03/23/09     | 1,416.29         | 1,458.75               | -42.46            |         | Y                 |
| JOHNSON CONTROLS INC                            | Trade         | 125.000                 |                        | 03/05/08                       | 03/23/09     | 1,436.49         | 4,162.31               | -2,725.82         |         | Y                 |
| LIFE TECHNOLOGIES CORP                          | Trade         | 35.000                  |                        | 09/19/06                       | 06/01/09     | 1,369.94         | 1,127.88               | 242.06            |         | Y                 |
| LILLY ELI & CO                                  | Trade         | 85.000                  |                        | 03/05/08                       | 09/29/09     | 2,834.80         | 4,267.83               | -1,433.03         |         | Y                 |
| NORTHROP GRUMMAN CORP                           | Trade         | 60 000                  |                        | 06/13/08                       | 09/29/09     | 3,161.91         | 4,329.75               | -1,167.84         |         | Y                 |
| SHERWIN WILLIAMS CO                             | Trade         | 25 000                  |                        | 06/13/08                       | 12/18/09     | 1,549.71         | 1,252.25               | 297.46            |         | Y                 |
| UNTD TECHNOLOGIES CORP                          | Trade         | 25.000                  |                        | 06/03/03                       | 06/01/09     | 1,377.21         | 868.38                 | 508.83            |         | Y                 |
| <b>Total Long-Term Gain/Loss</b>                |               |                         |                        |                                |              | <b>33,266.59</b> | <b>45,491.29</b>       | <b>-12,224.70</b> |         |                   |



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## UBS Financial Services Inc.

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## 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                      |  | Purchase |           | Sale | Gains     |    | Losses   | Net       |            |
|----------------------|--|----------|-----------|------|-----------|----|----------|-----------|------------|
|                      |  |          |           |      |           |    |          | Gain/Loss | Gain/Loss  |
| Long-term Gain/Loss: |  | \$       | 45,491.29 | \$   | 33,266.59 | \$ | 2,520.29 | \$        | -12,224.70 |
| Sub Total:           |  | \$       | 45,491.29 | \$   | 33,266.59 | \$ | 2,520.29 | \$        | -12,224.70 |
| Total:               |  | \$       | 45,491.29 | \$   | 33,266.59 | \$ | 2,520.29 | \$        | -12,224.70 |

## Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                   | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ABBOTT LABS                            | Trade         | 35.000                  |                        | 11/23/04                       | 03/23/09     | 1,684.89    | 1,503.25               | 181.64    |         | Y                 |
| AUTOMATIC DATA PROCESSNG<br>INC        | Trade         | 30.000                  |                        | 12/09/03                       | 03/23/09     | 1,110.89    | 1,046.02               | 64.87     |         | Y                 |
| BAKER HUGHES INC                       | Trade         | 70.000                  |                        | 09/19/06                       | 03/23/09     | 2,258.88    | 4,401.60               | -2,142.72 |         | Y                 |
| CVS CAREMARK CORP                      | Trade         | 105.000                 |                        | 06/13/08                       | 12/18/09     | 3,264.57    | 4,459.35               | -1,194.78 |         | Y                 |
| FLUOR CORP NEW                         | Trade         | 70.000                  |                        | 09/11/08                       | 12/18/09     | 3,043.70    | 4,285.18               | -1,241.48 |         | Y                 |
| GENENTECH INC **MERGER<br>EFF 3/2009** | Exchange      | 50.000                  |                        | 03/05/08                       | 04/24/09     | 4,750.00    | 4,038.00               | 712.00    |         | Y                 |



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Proceeds from Broker Transactions Details Reported on Form 1099-B - continued

"Net proceeds" is the amount reported to the IRS. Accrued interest is reported on Form 1099-INT and details are also included in that Form's detail section

| Date of sale<br>or activity<br>(Line #1a) | Activity | Quantity/<br>Face value/<br>Shares exchanged | Description (Line #7)                           | Class of stock<br>exchanged (Line #6) | Cusip number<br>(Line #1b) | Net proceeds<br>(Line #2) | Accrued<br>interest | Federal income tax<br>withheld (Line #4) |
|-------------------------------------------|----------|----------------------------------------------|-------------------------------------------------|---------------------------------------|----------------------------|---------------------------|---------------------|------------------------------------------|
| 07/21/08                                  | Sell     | 80                                           | TELSTRA CORP LTD (FINAL)<br>ADR                 |                                       | 87969N204                  | 1,672.65                  | .00                 | .00                                      |
| 08/15/08                                  | Sell     | 80                                           | TELSTRA CORP LTD (FINAL)<br>ADR                 |                                       | 87969N204                  | 1,482.45                  | .00                 | .00                                      |
| 10/07/08                                  | Sell     | 7                                            | TELSTRA CORP LTD (FINAL)<br>ADR                 |                                       | 87969N204                  | 104.99                    | .00                 | .00                                      |
| 10/07/08                                  | Sell     | 5                                            | TOKIO MARINE HOLDINGS<br>INC SPON ADR           |                                       | 889094108                  | 170.49                    | .00                 | .00                                      |
| 01/09/08                                  | Sell     | 8                                            | TOTAL S.A. FRANCE SPON<br>ADR                   |                                       | 89151E109                  | 687.82                    | .00                 | .00                                      |
| 10/07/08                                  | Sell     | 8                                            | TOTAL S.A. FRANCE SPON<br>ADR                   |                                       | 89151E109                  | 430.66                    | .00                 | .00                                      |
| 01/09/08                                  | Sell     | 4                                            | TOYOTA MOTOR CORP NEW<br>JAPAN SPON ADR         |                                       | 892331307                  | 418.07                    | .00                 | .00                                      |
| 01/09/08                                  | Sell     | 16                                           | UNILEVER PLC AMER SHS<br>NEW SPON ADR           |                                       | 904767704                  | 580.31                    | .00                 | .00                                      |
| 10/07/08                                  | Sell     | 16                                           | UNILEVER PLC AMER SHS<br>NEW SPON ADR           |                                       | 904767704                  | 434.81                    | .00                 | .00                                      |
| 01/09/08                                  | Sell     | 6                                            | UNTD OVERSEAS BK LTD<br>SPONS ADR SINGAPORE ADR |                                       | 911271302                  | 158.09                    | .00                 | .00                                      |
| 10/07/08                                  | Sell     | 61                                           | UNTD OVERSEAS BK LTD<br>SPONS ADR SINGAPORE ADR |                                       | 911271302                  | 1,265.74                  | .00                 | .00                                      |
| 01/09/08                                  | Sell     | 10                                           | UPM KYMMENE CORP SPON<br>ADR                    |                                       | 915436109                  | 188.99                    | .00                 | .00                                      |
| Total                                     |          |                                              |                                                 |                                       |                            | \$ 45,034.68              | \$ 0.00             | \$ 0.00                                  |

Other Income Details Not Reported to the Internal Revenue Service

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.  
\* Applicable to Tax-exempt Original Issue Discount information only

| Activity     | Description         | Cusip number | * Days held | * Quantity/<br>Face amount | Payment Date | Tax year | * Amortized<br>acquisition premium | Amount   |
|--------------|---------------------|--------------|-------------|----------------------------|--------------|----------|------------------------------------|----------|
| Program Fees | MANAGED ACCOUNT FEE |              |             |                            |              |          |                                    |          |
|              |                     |              |             |                            | 01/24/08     | 2008     |                                    | 1,228.84 |
|              |                     |              |             |                            | 04/23/08     | 2008     |                                    | 1,100.74 |
|              |                     |              |             |                            | 07/24/08     | 2008     |                                    | 1,098.26 |



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### Other Income Details Not Reported to the Internal Revenue Service - continued

The items in this section are provided to assist you with your tax return preparation. You should discuss with your tax preparer or tax advisor the appropriate tax treatment of these fees and expenses.

\* Applicable to Tax-exempt Original Issue Discount information only

| Activity     | Description                    | * Cusip number | * Days held | * Quantity/<br>Face amount | Payment Date | Tax year | acquisition premium | * Amortized | Amount   |
|--------------|--------------------------------|----------------|-------------|----------------------------|--------------|----------|---------------------|-------------|----------|
| Program Fees | MANAGED ACCOUNT FEE            |                |             |                            | 10/24/08     | 2008     |                     |             | 941.61   |
|              | Sub Total: MANAGED ACCOUNT FEE |                |             |                            |              |          |                     | \$          | 4,369.45 |
|              | Total Program Fees             |                |             |                            |              |          |                     | \$          | 4,369.45 |

### 2008 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2008 Gain/Loss for transactions with trade dates through 12/31/08 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                       | Purchase |           | Sale |           | Gains |           | Losses |         | Net       |           |
|-----------------------|----------|-----------|------|-----------|-------|-----------|--------|---------|-----------|-----------|
|                       |          |           |      |           |       |           |        |         | Gain/Loss |           |
| Short-term Gain/Loss: | \$       | 1,142.26  | \$   | 1,101.75  | \$    | 15.55     | \$     | -56.06  | \$        | -40.51    |
| Long-term Gain/Loss:  |          | 24,592.28 |      | 43,268.78 |       | 19,421.72 |        | -745.22 |           | 18,676.50 |
| Sub Total:            | \$       | 25,734.54 | \$   | 44,370.53 | \$    | 19,437.27 | \$     | -801.28 | \$        | 18,635.99 |
| Total:                | \$       | 25,734.54 | \$   | 44,370.53 | \$    | 19,437.27 | \$     | -801.28 | \$        | 18,635.99 |



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### Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                   | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount     | Cost or Other<br>Basis | Gain/Loss     | Remarks | Matches<br>1099-B |
|----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-----------------|------------------------|---------------|---------|-------------------|
| IBERDROLA SA SPON ADR                  | Trade         | 3,000                   |                        | 09/15/08                       | 10/07/08     | 108.86          | 123.60                 | -14.74        |         | Y                 |
| NOVARTIS AG SPON ADR                   | Trade         | 10,000                  |                        | 04/16/07                       | 01/09/08     | 582.29          | 567.55                 | 14.74         |         | Y                 |
| TAIWAN SEMICONDUCTOR MFG<br>CO LTD ADR | Trade         | 24,000                  |                        | 12/14/07                       | 01/09/08     | 216.01          | 232.56                 | -16.55        |         | Y                 |
|                                        | Cash in lieu  | .863                    |                        | 12/14/07                       | 08/15/08     | 9.06            | 8.25                   | .81           |         |                   |
|                                        | Trade         | 22,000                  |                        | 12/14/07                       | 10/07/08     | 185.53          | 210.30                 | -24.77        |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>      |               |                         |                        |                                |              | <b>1,101.75</b> | <b>1,142.26</b>        | <b>-40.51</b> |         |                   |

### Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AMCOR LTD NEW AU ADR            | Trade         | 6,000                   |                        | 04/15/03                       | 01/09/08     | 144.05      | 121.08                 | 22.97     |         | Y                 |
| BANCO SANTANDER S.A SPON<br>ADR | Trade         | 28,000                  |                        | 04/15/03                       | 01/09/08     | 559.35      | 210.50                 | 348.85    |         | Y                 |
|                                 | Trade         | 85,000                  |                        | 04/15/03                       | 05/06/08     | 1,851.03    | 639.01                 | 1,212.02  |         | Y                 |
|                                 | Trade         | 65,000                  |                        | 04/15/03                       | 06/11/08     | 1,254.29    | 488.66                 | 765.63    |         | Y                 |
|                                 | Trade         | 35,000                  |                        | 04/15/03                       | 06/12/08     | 667.18      | 263.12                 | 404.06    |         | Y                 |
|                                 | Trade         | 85,000                  |                        | 04/15/03                       | 06/19/08     | 1,586.97    | 639.01                 | 947.96    |         | Y                 |
|                                 | Trade         | 30,000                  |                        | 04/15/03                       | 10/07/08     | 452.58      | 225.53                 | 227.05    |         | Y                 |
| BAYER A G SPON ADR              | Trade         | 2,000                   |                        | 08/31/04                       | 01/09/08     | 192.09      | 51.50                  | 140.59    |         | Y                 |
|                                 | Trade         | 20,000                  |                        | 08/31/04                       | 09/12/08     | 1,522.10    | 515.00                 | 1,007.10  |         | Y                 |
|                                 | Trade         | 17,000                  |                        | 08/31/04                       | 10/07/08     | 1,134.74    | 437.75                 | 696.99    |         | Y                 |
|                                 | Trade         | 3,000                   |                        | 09/16/05                       | 10/07/08     | 200.25      | 111.40                 | 88.85     |         | Y                 |
| BG GROUP PLC SPON ADR           | Trade         | 4,000                   |                        | 04/15/03                       | 01/09/08     | 422.79      | 82.12                  | 340.67    |         | Y                 |
|                                 | Trade         | 14,000                  |                        | 04/15/03                       | 02/29/08     | 1,646.26    | 287.42                 | 1,358.84  |         | Y                 |
|                                 | Trade         | 15,000                  |                        | 04/15/03                       | 06/16/08     | 1,848.22    | 307.95                 | 1,540.27  |         | Y                 |
|                                 | Trade         | 15,000                  |                        | 04/15/03                       | 09/12/08     | 1,536.33    | 307.95                 | 1,228.38  |         | Y                 |
|                                 | Trade         | 1,000                   |                        | 04/15/03                       | 10/07/08     | 73.00       | 20.53                  | 52.47     |         | Y                 |
|                                 | Trade         | 1,000                   |                        | 08/31/04                       | 10/07/08     | 73.00       | 31.50                  | 41.50     |         | Y                 |
| BNP PARIBAS SA ADR              | Trade         | 4,000                   |                        | 06/24/05                       | 01/09/08     | 207.99      | 136.17                 | 71.82     |         | Y                 |

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**Long-Term Gain/Loss - continued**

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                             | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| BP PLC SPON ADR                                  | Trade         | 4,000                   |                        | 10/27/03                       | 01/09/08     | 285.95      | 169.66                 | 116.29    |         | Y                 |
|                                                  | Trade         | 8,000                   |                        | 10/27/03                       | 10/07/08     | 372.55      | 339.32                 | 33.23     |         | Y                 |
| CANON INC ADR JAPAN SPON<br>ADR                  | Trade         | 8,000                   |                        | 04/15/03                       | 01/09/08     | 360.47      | 186.92                 | 173.55    |         | Y                 |
| DEUTSCHE TELEKOM AG DE<br>SPON ADR               | Trade         | 22,000                  |                        | 10/16/06                       | 01/09/08     | 500.27      | 354.38                 | 145.89    |         | Y                 |
|                                                  | Trade         | 20,000                  |                        | 10/16/06                       | 10/07/08     | 307.74      | 322.16                 | -14.42    |         | Y                 |
| FORTIS NL SPON ADR                               | Trade         | 10,000                  |                        | 07/13/04                       | 01/09/08     | 253.49      | 223.39                 | 30.10     |         | Y                 |
|                                                  | Trade         | 4,000                   |                        | 07/13/04                       | 10/07/08     | 10.88       | 76.23                  | -65.35    |         | Y                 |
| FOSTERS GROUP LTD NEW<br>SPONS ADR SPON ADR      | Trade         | 32,000                  |                        | 04/15/03                       | 01/09/08     | 177.91      | 87.36                  | 90.55     |         | Y                 |
|                                                  | Trade         | 15,000                  |                        | 04/15/03                       | 10/07/08     | 59.99       | 40.95                  | 19.04     |         | Y                 |
| GLAXO SMITHKLINE PLC ADR                         | Trade         | 10,000                  |                        | 04/15/03                       | 01/09/08     | 541.29      | 385.00                 | 156.29    |         | Y                 |
|                                                  | Trade         | 8,000                   |                        | 04/15/03                       | 10/07/08     | 333.98      | 308.00                 | 25.98     |         | Y                 |
| HBOS PLC **EXCHANGE EFF<br>1/2009 SPON ADR       | Trade         | 24,000                  |                        | 11/09/05                       | 01/09/08     | 314.63      | 371.78                 | -57.15    |         | Y                 |
|                                                  | Trade         | 9,000                   |                        | 11/09/05                       | 10/07/08     | 9.35        | 139.42                 | -130.07   |         | Y                 |
| HONG KONG ELEC HLDGS LTD<br>(HONG KONG) SPON ADR | Trade         | 36,000                  |                        | 04/15/03                       | 01/09/08     | 197.99      | 149.40                 | 48.59     |         | Y                 |
|                                                  | Trade         | 90,000                  |                        | 04/15/03                       | 09/02/08     | 567.26      | 373.50                 | 193.76    |         | Y                 |
|                                                  | Trade         | 165,000                 |                        | 04/15/03                       | 09/03/08     | 1,040.99    | 684.75                 | 356.24    |         | Y                 |
| ING GROEP N V NL SPON<br>ADR                     | Trade         | 12,000                  |                        | 04/15/03                       | 01/09/08     | 446.29      | 185.16                 | 261.13    |         | Y                 |
| KAO CORP REPSTG 10 SHS<br>COM SPON ADR           | Trade         | 2,000                   |                        | 07/19/05                       | 01/09/08     | 617.69      | 485.13                 | 132.56    |         | Y                 |
|                                                  | Trade         | 1,000                   |                        | 07/19/05                       | 11/14/08     | 294.19      | 242.56                 | 51.63     |         | Y                 |
|                                                  | Trade         | 3,000                   |                        | 10/07/05                       | 11/14/08     | 882.56      | 716.56                 | 166.00    |         | Y                 |
|                                                  | Trade         | 3,000                   |                        | 10/07/05                       | 12/17/08     | 903.76      | 716.56                 | 187.20    |         | Y                 |
|                                                  | Trade         | 2,000                   |                        | 04/03/06                       | 12/17/08     | 602.51      | 533.49                 | 69.02     |         | Y                 |
| LLOYDS BANKING GROUP PLC<br>SPON ADR             | Trade         | 10,000                  |                        | 04/15/03                       | 01/09/08     | 339.49      | 257.46                 | 82.03     |         | Y                 |



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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                             | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| MILLEA HOLDINGS INC **<br>NAME CHANGE 2008 ** ADR                | Trade         | 10.000                  |                        | 05/07/03                       | 01/09/08     | 353.89      | 132.24                 | 221.65    |         | Y                 |
| NATIONAL GRID PLC NEW<br>SPON ADR                                | Trade         | 4.000                   |                        | 12/03/03                       | 01/09/08     | 336.71      | 158.26                 | 178.45    |         | Y                 |
|                                                                  | Trade         | 20.000                  |                        | 12/03/03                       | 10/17/08     | 1,128.83    | 791.32                 | 337.51    |         | Y                 |
| NATL AUSTRALIA BANK LTD<br>SPON ADR                              | Trade         | 4.000                   |                        | 04/15/03                       | 01/09/08     | 619.99      | 393.92                 | 226.07    |         | Y                 |
|                                                                  | Trade         | 6.000                   |                        | 04/15/03                       | 10/07/08     | 107.09      | 118.18                 | -11.09    |         | Y                 |
| NIPPON TELEG & TEL CORP<br>SPON ADR                              | Trade         | 14.000                  |                        | 11/30/04                       | 01/09/08     | 335.37      | 313.36                 | 22.01     |         | Y                 |
| NOVARTIS AG SPON ADR                                             | Trade         | 23.000                  |                        | 04/16/07                       | 09/22/08     | 1,210.52    | 1,305.36               | -94.84    |         | Y                 |
|                                                                  | Trade         | 7.000                   |                        | 04/25/07                       | 09/22/08     | 368.42      | 407.48                 | -39.06    |         | Y                 |
|                                                                  | Trade         | 6.000                   |                        | 04/25/07                       | 10/07/08     | 317.09      | 349.27                 | -32.18    |         | Y                 |
| ROYAL DUTCH SHELL PLC<br>CL A SPON ADR                           | Trade         | 6.000                   |                        | 04/15/03                       | 01/09/08     | 505.25      | 256.50                 | 248.75    |         | Y                 |
| RWE AG ADR                                                       | Trade         | 6.000                   |                        | 04/15/03                       | 01/09/08     | 872.68      | 153.00                 | 719.68    |         | Y                 |
| SASOL LTD SPON ADR                                               | Trade         | 4.000                   |                        | 08/31/04                       | 01/09/08     | 206.15      | 69.00                  | 137.15    |         | Y                 |
| SOCIETE GENERALE FRANCE<br>SPONS ADR ADR                         | Trade         | 16.000                  |                        | 04/15/03                       | 01/09/08     | 445.59      | 194.40                 | 251.19    |         | Y                 |
|                                                                  | Trade         | 12.000                  |                        | 04/15/03                       | 10/07/08     | 189.71      | 145.80                 | 43.91     |         | Y                 |
| STORA ENSO OYJ REPSTG<br>SER R SHS SPON ADR                      | Trade         | 8.000                   |                        | 05/18/05                       | 01/09/08     | 116.07      | 106.42                 | 9.65      |         | Y                 |
| TELECOM CORP NEW ZEALAND<br>LTD SPONSORED ADR NEW<br>ZEALAND ADR | Trade         | 12.000                  |                        | 04/15/03                       | 01/09/08     | 196.61      | 176.07                 | 20.54     |         | Y                 |
|                                                                  | Trade         | 41.000                  |                        | 04/15/03                       | 10/07/08     | 353.45      | 601.56                 | -248.11   |         | Y                 |
| TELEFONICA S A SPON ADR                                          | Trade         | 8.000                   |                        | 04/15/03                       | 01/09/08     | 798.22      | 247.07                 | 551.15    |         | Y                 |
|                                                                  | Trade         | 20.000                  |                        | 04/15/03                       | 05/07/08     | 1,761.14    | 617.67                 | 1,143.47  |         | Y                 |
|                                                                  | Trade         | 5.000                   |                        | 04/15/03                       | 10/07/08     | 338.77      | 154.42                 | 184.35    |         | Y                 |

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**Long-Term Gain/Loss - continued**

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount      | Cost or Other<br>Basis | Gain/Loss        | Remarks | Matches<br>1099-B |
|-------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|------------------|------------------------|------------------|---------|-------------------|
| TELSTRA CORP LTD (FINAL)<br>ADR                 | Trade         | 16,000                  |                        | 04/15/03                       | 01/09/08     | 318.71           | 205.76                 | 112.95           |         | Y                 |
|                                                 | Trade         | 80,000                  |                        | 04/15/03                       | 07/21/08     | 1,672.65         | 1,028.80               | 643.85           |         | Y                 |
|                                                 | Trade         | 13,000                  |                        | 04/15/03                       | 08/15/08     | 240.90           | 167.18                 | 73.72            |         | Y                 |
|                                                 | Trade         | 67,000                  |                        | 08/31/04                       | 08/15/08     | 1,241.55         | 1,139.00               | 102.55           |         | Y                 |
|                                                 | Trade         | 3,000                   |                        | 08/31/04                       | 10/07/08     | 45.00            | 51.00                  | -6.00            |         | Y                 |
|                                                 | Trade         | 4,000                   |                        | 04/06/05                       | 10/07/08     | 59.99            | 77.71                  | -17.72           |         | Y                 |
| TOKIO MARINE HOLDINGS<br>INC SPON ADR           | Trade         | 5,000                   |                        | 05/07/03                       | 10/07/08     | 170.49           | 66.12                  | 104.37           |         | Y                 |
| TOTAL S.A. FRANCE SPON<br>ADR                   | Trade         | 8,000                   |                        | 04/15/03                       | 01/09/08     | 687.82           | 263.15                 | 424.67           |         | Y                 |
|                                                 | Trade         | 8,000                   |                        | 04/15/03                       | 10/07/08     | 430.66           | 263.15                 | 167.51           |         | Y                 |
| TOYOTA MOTOR CORP NEW<br>JAPAN SPON ADR         | Trade         | 4,000                   |                        | 04/15/03                       | 01/09/08     | 418.07           | 170.92                 | 247.15           |         | Y                 |
| UNILEVER PLC AMER SHS<br>NEW SPON ADR           | Trade         | 16,000                  |                        | 03/01/05                       | 01/09/08     | 580.31           | 342.94                 | 237.37           |         | Y                 |
|                                                 | Trade         | 16,000                  |                        | 03/01/05                       | 10/07/08     | 434.81           | 342.94                 | 91.87            |         | Y                 |
| UNTD OVERSEAS BK LTD<br>SPONS ADR SINGAPORE ADR | Trade         | 6,000                   |                        | 10/04/06                       | 01/09/08     | 158.09           | 127.37                 | 30.72            |         | Y                 |
|                                                 | Trade         | 61,000                  |                        | 10/04/06                       | 10/07/08     | 1,265.74         | 1,294.97               | -29.23           |         | Y                 |
| UPM KYMMENE CORP SPON<br>ADR                    | Trade         | 10,000                  |                        | 04/15/03                       | 01/09/08     | 188.99           | 134.63                 | 54.36            |         | Y                 |
| <b>Total Long-Term Gain/Loss</b>                |               |                         |                        |                                |              | <b>43,268.78</b> | <b>24,592.28</b>       | <b>18,676.50</b> |         |                   |