



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation SALL FAMILY FOUNDATION		A Employer identification number 58-2016050
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions)
	C/O JPMORGAN SERVICES, INC., PO BOX 6089		
	City or town, state, and ZIP code NEWARK DE 19714-6089		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,531,193	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	6,250,000			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	142,828	142,828		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-35,230			
b Gross sales price for all assets on line 6a 2,841,324				
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales, less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) STMT 1	1,114			
12 Total. Add lines 1 through 11	6,358,712	142,828	0	
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) STMT 2	20,871	10,436		10,436
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3	911	149		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att. sch) STMT 4	3,834	3,834		
24 Total operating and administrative expenses. Add lines 13 through 23	25,616	14,419		10,436
25 Contributions, gifts, grants paid	6,199,479			6,199,479
26 Total expenses and disbursements. Add lines 24 and 25	6,225,095	14,419	0	6,209,915
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	133,617			
b Net investment income (if negative, enter -0-)		128,409		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

P 5 NE

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	160,497	371,383	371,383
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule) STMT 5	1,708,200		129,765
	b	Investments—corporate stock (attach schedule) SEE STMT 6	1,704,952	1,655,154	1,799,943
	c	Investments—corporate bonds (attach schedule) SEE STMT 7	657,612	2,338,341	2,230,102
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch.) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch.) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,231,261	4,364,878	4,531,193
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,231,261	4,364,878	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	4,231,261	4,364,878	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,231,261	4,364,878	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,231,261
2	Enter amount from Part I, line 27a	2	133,617
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,364,878
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,364,878

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED		P	VARIOUS	VARIOUS
b SEE ATTACHED		P	VARIOUS	VARIOUS
c CAPITAL GAIN DISTRIBUTIONS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,558,806		1,510,443	48,363
b 1,256,810		1,366,111	-109,301
c 25,708			25,708
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			48,363
b			-109,301
c			25,708
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-35,230
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	48,363

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	6,309,841	4,465,278	1.413090
2007	5,867,358	5,381,405	1.090302
2006	3,334,984	7,737,352	0.431024
2005	2,475,985	8,009,930	0.309114
2004	2,172,184	9,651,006	0.225073
2 Total of line 1, column (d)		2	3.468603
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.693721
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		4	4,093,306
5 Multiply line 4 by line 3		5	2,839,612
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	1,284
7 Add lines 5 and 6		7	2,840,896
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		8	6,209,915

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	1,284
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,284
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,284
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,516
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,516
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,232
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 100 Refunded	11	1,132

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 8

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOHN PHILIP SALL 201 VINEYARD LANE Located at ► CARY, NC	Telephone no ► 919-677-8000 ZIP+4 ► 27513		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 ► ☐		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A		5b
	Organizations relying on a current notice regarding disaster assistance check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,755,478
b	Average of monthly cash balances	1b	400,163
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	4,155,641
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,155,641
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	62,335
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,093,306
6	Minimum investment return. Enter 5% of line 5	6	204,665

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	204,665
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,284
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,284
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	203,381
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	203,381
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	203,381

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	6,209,915
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,209,915
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,284
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,208,631

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				203,381
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	1,701,278			
b From 2005	2,083,216			
c From 2006	2,958,254			
d From 2007	5,605,034			
e From 2008	6,089,593			
f Total of lines 3a through e	18,437,375			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 6,209,915				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				203,381
e Remaining amount distributed out of corpus	6,006,534			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	24,443,909			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	1,701,278			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	22,742,631			
10 Analysis of line 9				
a Excess from 2005	2,083,216			
b Excess from 2006	2,958,254			
c Excess from 2007	5,605,034			
d Excess from 2008	6,089,593			
e Excess from 2009	6,006,534			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DUKE UNIVERSITY 700 WEST MAIN STREET DURHAM NC 27701	NONE		SANFORD SCHOOL	121,439
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON VA 22206	NONE	GENERAL CHARITABLE PURPOSE FUND		1,046,000
WORLD WILDLIFE FUND 1250 TWENTY-FOURTH STREET WASHINGTON DC 20090-1780	NONE	GENERAL CHARITABLE PURPOSE FUND		964,000
CARE P.O. BOX 1871 MERRIFIELD VA 22116-9753	NONE	GENERAL CHARITABLE PURPOSE FUND		4,068,040
Total			▶ 3a	6,199,479
b Approved for future payment N/A				
Total			▶ 3b	

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

SALL FAMILY FOUNDATION**58-2016050**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization SALL FAMILY FOUNDATION	Employer identification number 58-2016050
---	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN & VIRGINIA SALL 201 VINEYARD LANE CARY NC 27513	\$ 6,250,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
2008 EXCISE TAX REFUND	\$ 1,114	\$	\$
TOTAL	\$ 1,114	\$ 0	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
CITIBANK TRUST - DELAWARE, NA	\$ 20,871	\$ 10,436	\$	\$ 10,436
TOTAL	\$ 20,871	\$ 10,436	\$ 0	\$ 10,436

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	\$ 149	\$ 149	\$	\$
2009 ESTIMATED TAX	\$ 762			
TOTAL	\$ 911	\$ 149	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES				
ADR FEES	\$ 13	\$ 13	\$	\$
INTEREST INVESTMENT EXPENSE	\$ 3,821	\$ 3,821		
TOTAL	\$ 3,834	\$ 3,834	\$ 0	\$ 0

58-2016050

Federal Statements

FYE: 12/31/2009

Statement 5 - Form 990-PF, Part II, Line 10a - US and State Government Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SEE ATTACHED STATEMENT	\$ 1,708,200	\$	COST	\$ 129,765
TOTAL	<u>\$ 1,708,200</u>	<u>\$ 0</u>		<u>\$ 129,765</u>

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SEE ATTACHED STATEMENT	\$ 1,704,952	\$ 1,655,154	COST	\$ 1,799,943
TOTAL	<u>\$ 1,704,952</u>	<u>\$ 1,655,154</u>		<u>\$ 1,799,943</u>

Statement 7 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
SEE ATTACHED STATEMENT	\$ 657,612	\$ 2,338,341	COST	\$ 2,230,102
TOTAL	<u>\$ 657,612</u>	<u>\$ 2,338,341</u>		<u>\$ 2,230,102</u>

Q95682003 SALL FAMILY FOUNDATION

58-2016050

FYE: 12/31/2009

Federal Statements

10/28/2010 5:16 PM

Statement 8 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name

JOHN PHILLIP SALL

Address

201 VINEYARD LANE

City, State, Zip

CARY NC 27513

Federal Statements

10/28/2010 5:16 PM

Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JPMORGAN CHASE BANK, NA	AGENT	0.00	0	0	0
JOHN PHILLIP SALL 201 VINEYARD LANE CARY NC 27513	PRESIDENT	0.00	0	0	0
VIRGINIA B. SALL 201 VINEYARD LANE CARY NC 27513	SEC/TREAS	0.00	0	0	0
ELIZABETH A SALL 233 CHATTANOOGA STREET SAN FRANCISCO CA 94114	BOARD MEMBER	0.00	0	0	0
LESLIE C. SALL 201 VINEYARD LANE CARY NC 27513	BOARD MEMBER	0.00	0	0	0
WILLIAM B. MESSER 401 WESLEY DRIVE CHAPEL HILL NC 27516	BOARD MEMBER	0.00	0	0	0
JOHN MCMILLAN 3605 GLENWOOD AVENUE RALEIGH NC 27612	BOARD MEMBER	0.00	0	0	0

Sall Family Foundation

EIN: 58-2016050

Beginning Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
	Akamai Technologies		12,069 75	700
530	ALCOA Inc	17,323 12	17,323 12	530
	Allstate Corp		14,612 04	605
680	Amazon	22,086 06	13,154 19	405
	American Express		4,169 24	385
900	AMGEN Inc	43,029 00	48,101 97	1000
260	Arch Coal inc	10,694 44	0 00	0
890	AT & T	23,526 68	0 00	0
590	Axis Capital Hlds	20,495 32	20,495 32	590
650	Bank of America	17,854 98	30,261 12	1790
675	Bed Bath & Beyond	27,236 72	27,236 72	675
13	Berkshire Hathaway B	31,773 79	36,389 35	15
500	Biogen	19,137 25	19,137 25	500
	Blackrock Inc		10,429 53	85
280	Boeing	18,214 03	29,706 01	530
1,400	Boston Scientific	19,054 14	0 00	0
875	Bristol Meyers Squibb	18,789 67	18,684 87	875
185	Caterpillar	8,055 36	11,828 14	265
150	Celgene	7,552 89	17,261 26	350
	Chesapeake Energy Corp		8,472 14	305
320	Chubb	17,280 86	17,280 86	320
2,500	CISCO Systems	45,040 00	26,370 00	1465
	Coach Inc		4,503 01	265
720	Coca Cola	30,356 80	30,356 80	720
1,600	Comcast	31,795 20	0 00	0
615	Conocco Phillips	38,955 22	38,569 44	610
360	Continental Airlines B	4,013 93	0.00	0
420	CVS	12,343 37	25,018 25	855
800	Dean Foods	12,111 44	26,037 30	1570
2,400	Dell	55,410 30	36,141 44	2075
340	Dow Chemical	12,915 61	12,915 61	340
150	DuPont	6,148 72	0 00	0
590	EBay	18,324 39	21,184 87	750
1,229	Electronic Arts	48,518 65	51,940 87	1410
1,000	Expedia	18,153 24	18,153 24	1000
300	Exxon	24,095 70	40,024 99	555
75	First Solar	8,900 53	11,644 88	100
	Forest Laboratories Inc		15,872 62	615
2,700	General Electric	76,249 16	76,249 16	2700
500	Genetech Inc	8,756 18	0 00	0

Sall Family Foundation

EIN: 58-2016050

Beginning Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
100	Goldman Sachs Group	17,574 42	9,707 16	100
45	Google inc	17,513 92	17,513 92	45
	Hewlett-Packard Co		16,356 30	375
1,400	Home Depot	43,448 49	37,110 33	1100
2,800	Intel Corp	61,469 60	63,255 05	3060
790	J & J	41,668 20	42,227 30	800
780	JPMorgan Chase	36,165 40	29,790 32	945
725	Juniper Networks	12,511 16	21,088 49	1300
290	Koh's	13,151 09	6,849 59	195
630	Marsh & McLennan	16,941 21	0 00	0
	Merck & Co Inc,		22,005 90	615
1,100	Merrill Lynch	47,030 45	0 00	0
	McDonalds Corp		14,667 00	250
1,300	Microsoft	34,687 00	34,687 00	1300
	Morgan Stanley		8,308 78	280
560	Nabors Industries Ltd	18,818 94	23,944 12	805
680	NASDAQ	22,916 03	27,589 60	920
190	Newmont Mining	8,745 05	8,745 05	190
890	Nokia Corp	20,293 78	17,411 96	975
1,700	NVIDIA Corp	28,435 37	30,704 48	2000
450	PepsiCo	16,228 12	16,228 12	450
2,300	Pfizer inc	62,275 91	31,940 27	1525
500	Proctor & Gamble	14,261 54	14,261 54	500
410	Qualcomm	16,137 16	24,336 85	600
160	Roche Hlds Corp	13,081 10	17,339 76	450
	RR Donnelley & Sons		10,077 68	635
530	Safeway	15,114 33	15,333 07	585
	Schwab Charles Corp		14,815 33	1100
390	Seagate	8,070 98	0 00	0
227	Sears	22,110 61	12,922 28	155
820	Smithfield Foods	21,473 50	0 00	0
2,000	Southwest Airline	25,179 60	10,863 79	1315
	State Street Corp		17,558 03	410
1,300	Texas instruments	31,850 00	31,850 00	1300
	Thermo Fischer Scientific Inc		7,662 13	215
460	Tidewater Inc	25,800 07	0 00	0
3,000	Time Warner	65,731 00	0 00	0
340	Toyota Mtr Corp	34,991 34	34,991 34	340
210	Transocean Inc	21,533 07	27,139 73	315
	URS Corp		8,993 01	200

Sall Family Foundation

EIN: 58-2016050

Beginning Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
	Valero Energy Corp		20,818 09	990
	Vodafone Group PLC		8,864 75	395
600	Wal-Mart	30,466 22	41,992 47	830
1,200	Walt Disney	22,533 89	22,533 89	1200
570	Wells Fargo	16,091 58	16,091 58	570
520	Williams Cos Inc	10,143 90	10,143.90	520
470	Wyeth	16,315 15	0 00	0
	Yahoo Inc		14,838 25	1100
		1,704,951 93	1,655,153 57	

Sall Family Foundation
 EIN. 58-2016050

Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
	AMEX 7 3% 08/20/13	0	80,372 25	75,000 00
25,000 00	Anadarko Petroleum 6 45% 09/15/36	25,604 50	25,604 50	25,000 00
50,000 00	AT&T 6 5% 09/01/37	51,034 25	51,034 25	50,000 00
50,000 00	BellSouth Corp 4 20% 09/15/09	50,282 50	0 00	
75,000 00	B0A 3 125% 06/15/12	75,451 50	0 00	
	Cisco 5 50% 02/22/16	0	0 00	
25,000 00	Comcast Corp 5 30% 01/15/14	24,522 75	24,522 75	25,000 00
25,000 00	Conoco Funding 6 350% 10/15/11	26,134 50	0 00	
	Conocophillips 6 0% 11/15/20	0	49,997 70	45,000 00
	FFCB 3.875% 10/07/13	0	0 00	
	FFCB 5 875% 08/16/21	0	0 00	
67,078 06	FGLMCG Pool 6 0% 03/01/38	68,964 62	0 00	
	FHLB 3 625% 10/14/16	0	25,000 00	25,000 00
	FHLB 5 375% 06/13/14	0	0 00	
	FHLB 5 50% 07/15/36	0	55,840 00	55,000 00
100,000 00	FHLM 4 375% 07/17/15	99,727 90	48,224 90	50,000 00
	FHLM 5 0% 08/13/19	0	0 00	
53,177 54	FHLMC 5 50% 10/01/37	53,659 49	39,669 43	39,313 74
87,147 05	FHLMC 5 752% 10/01/36	87,185 52	0 00	
50,000 00	FHLMC 6 875% 09/15/10	53,322 50	53,322 50	50,000 00
79,630 12	FHLMC Pool 5 0% 12/01/35	77,863 99	0 00	
103,863 83	FHLMC Pool 5 427% 01/01/36	104,999 89	0 00	
	FHLMCG Pool 5 0% 06/01/38	0	82,314 14	80,749 63
122,429 46	FHLMCG Pool 5 50% 07/01/38	123,386 01	0 00	
	FNMA 1 875% 10/29/12	0	124,693 75	125,000 00
	FNMA 2 5% 03/19/12	0	0 00	
	FNMA 4 0% 03/10/16	0	0 00	
50,000 00	FNMA 4 375% 09/15/12	51,703 50	0 00	
	FNMA 5 0% 02/01/36	0	0 00	
	FNMA 5 25% 09/15/16	0	56,329 50	50,000 00
50,000 00	FNMA 5 375% 08/15/09	50,947 55	0 00	
	FNMA 6 0% 04/18/36	0	0 00	
69,753 93	FNMA Pool 4 1039% 02/01/35	69,711 84	0 00	
	FNMA Pool 5 0% 03/01/36	0	99,159 08	98,079 15
39,699 84	FNMA Pool 5 0% 03/01/36	39,414 52	0 00	
95,746 54	FNMA Pool 5 0% 05/01/23	96,584 32	0 00	
	FNMA Pool 5 0% 06/01/24	0	46,378 19	44,275 13
	FNMA Pool 5 0% 07/01/39	0	75,544 28	73,444 22
	FNMA Pool 5 5% 06/01/38	0	20,745 72	19,743 14
47,769 32	FNMA Pool 5 50% 02/01/22	48,948 66	33,614 73	32,804 83
75,291 86	FNMA Pool 5 50% 04/01/36	76,033 06	59,894 77	59,310 89
35,577 61	FNMA Pool 5 50% 11/01/18	36,233 53	30,825 49	30,267 48

Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
6,417 38	FNMA Pool 6 50% 08/01/31	6,398 30	2,235 98	2,242 65
22,296 21	FNMA Pool 6 50% 08/01/31	22,372 63	17,106 85	16,814 24
15,000 00	GE Cap Corp 6 75% 03/15/32	17,012 10	17,012 10	15,000 00
50,000 00	GlaxoSmithKline Cap 5 65% 05/15/18	49,664 00	49,664 00	50,000 00
51,631 82	GNMA Pool 5 0% 05/15/34	52,199 78	0 00	
24,742 31	GNMA Pool 5 0% 06/15/38	25,260 36	0 00	
65,750 89	GNMA Pool 5 50% 11/15/37	70,894 62	49,289 60	47,799 16
124,751 41	GNMA Pool 6 0% 10/15/38	126,895 63	78,238 19	76,916 94
	GNMA Pool 6 0% 12/15/38	0	0 00	
25,000 00	IBM 4 750% 11/29/12	26,222 75	0 00	
	JPMC 6 3% 04/23/19	0	54,256 00	50,000 00
100,000 00	Lehman Brothers In 09/15/35	99,242 10	0 00	
25,000 00	Merrill Lynch & Co 5 450% 02/05/13	25,119 25	25,119 25	25,000 00
	Merrill Lynch & Co 5 450% 02/05/13	0	30,569 40	30,000 00
	Microsoft 5 2% 06/01/39	0	23,804 50	25,000 00
25,000 00	Morgan Stanley 4 0% 01/15/10	24,169 00	0 00	
	Morgan Stanley 5 30% 03/01/13	0	0 00	
	P&G 4 7% 02/15/19	0	50,634 00	50,000 00
50,000 00	P&G 4 850% 12/15/15	50,338 50	0 00	
	PG&E 6 25% 12/01/13	0	27,583 75	25,000 00
	PNC Funding Corp 5 4% 06/10/14	0	0 00	25,000 00
	PNC Funding Corp 4 25% 09/21/15	0	25,867 25	25,000 00
	Rio Tinto FPL 8 95% 05/01/14	0	54,317 50	50,000 00
	Time Warner Cable 7 5% 04/01/14	0	105,578 00	100,000.00
	Time Warner Inc 5 5% 11/01/11	0	53,424 00	50,000 00
25,000 00	United Mexican Sts 5 625% 01/15/17	24,887 50	24,887 50	25,000 00
25,000 00	United Mexican Sts 6 625% 03/03/15	24,475 00	0 00	
	UPS 3 875% 04/01/14	0	0 00	
	US T-Bond 4 50% 05/15/38	0	86,613 30	75,000 00
75,000 00	US T-Bond 5 25% 02/15/29	84,046 88	0 00	
50,000 00	US T-Note 2 0% 07/15/14	52,707 02	0 00	
	US T-Note 2 625% 10/31/13	0	57,131 25	55,000 00
	US T-Note 3 25% 07/13/16	0	100,546 90	100,000 00
25,000 00	US T-Note 4 0% 02/15/15	24,285 15	24,285 15	25,000 00
	US T-Note 4 0% 08/15/18	0	54,937.50	50,000 00
	US T-Note 4 25% 08/15/13	0	54,968 75	50,000 00
50,000 00	US T-Note 4 625% 11/15/16	49,806 65	0 00	
	US T-Note 4 625% 12/31/11	0	53,871 10	50,000 00
25,000 00	US T-Note 4 625% 12/31/11	27,164 08	27,164 08	25,000 00
	US T-Note 5 75% 08/15/10	0	52,398 45	50,000 00
25,000 00	US T-Note 5 750% 08/15/10	27,482 40	27,482 40	25,000 00
25,000 00	Wal-Mart Stores 6 875% 08/10/09	27,252 50	0 00	

Sall Family Foundation
EIN: 58-2016050

Shares	Security	Tax Cost 12/31/08	Tax Cost 12/31/09	Ending Shares
10,000 00	Wyeth 5 95% 04/01/37	9,932 10	0 00	
25,000 00	XT0 Energy Inc 6 25% 08/01/17	26,266 75	26,266 75	25,000 00
		2,365,811 95	2,338,341 43	

2009 Tax Information Statement

Page 6 of 34
Ref. 40

Account Number 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
4500	060505104	BANK OF AMERICA CORP	04/07/2008	01/08/2009	6.27	25.18	-18.91	0.00
102162	1900 3128Q3LQ2	FHLMC POOL #1L1235 5 427% 1/01/36	10/01/2008	01/13/2009	103,936.04	103,279.64	656.40	0.00
360 0000	210795308	CONTINENTAL AIRLS INC CL B	10/08/2008	01/15/2009	6,144.33	4,013.93	2,130.40	0.00
45 0000	30231G102	EXXON MOBIL CORP	04/01/2008	01/15/2009	3,371.71	3,876.33	-504.62	0.00
472 4200	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	01/15/2009	472.42	476.70	-4.28	0.00
995.7100	3128M5Z37	FGLMCG POOL #G04062 6 000% 3/01/38	04/10/2008	01/15/2009	995.71	1,023.71	-28.00	0.00
1028 8500	3128M6HZ4	FHLMCG POOL #G04448 5 500% 7/01/38	09/19/2008	01/15/2009	1,028.85	1,036.89	-8.04	0.00
1701.6400	3128Q3LQ2	FHLMC POOL #1L1235 5 427% 1/01/36	10/01/2008	01/15/2009	1,701.64	1,720.25	-18.61	0.00
692 9500	36225B5F1	GNMA POOL #781746 5 000% 5/15/34	02/11/2008	01/15/2009	692.95	700.57	-7.62	0.00
1215 4700	36241KPF9	GNMA POOL #782222 5 500% 11/15/37	02/11/2008	01/15/2009	1,215.47	1,253.37	-37.90	0.00
72 7700	36295KMC4	GNMA POOL #672755 5 000% 6/15/38	12/10/2008	01/15/2009	72.77	74.29	-1.52	0.00
1190 8400	36296DLC0	GNMA POOL #688023 6 000% 10/15/38	11/18/2008	01/15/2009	1,190.84	1,211.31	-20.47	0.00
390 0000	G7945J104	SEAGATE TECHNOLOGY	VARIOUS	01/16/2009	1,660.30	8,070.98	-6,410.68	0.00
957 0500	31410GSK0	FNMA POOL #888922 5 500% 2/01/22	02/12/2008	01/25/2009	957.05	980.68	-23.63	0.00
975 4600	31414DPX8	FNMA POOL #963138 5 000% 5/01/23	VARIOUS	01/25/2009	975.46	983.99	-8.53	0.00
24197.4200	36295KMC4	GNMA POOL #672755 5 000% 6/15/38	VARIOUS	01/29/2009	24,704.07	24,704.06	0.01	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 7 of 34
Ref. 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN. MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
75000 0000	912810F68	UNITED STATES TREAS BD DTD 02/15/99 5 250%	10/23/2008	02/05/2009	88,971.68	84,046.88	4,924.80	0.00
118546 7900	3128M6HZ4	FHLMCG POOL #G04448 5 500%	7/01/38	09/19/2008	121,528.95	119,473.00	2,055.95	0.00
1473 6400	3128M5Z37	FGLMCG POOL #G04062 6 000%	3/01/38	04/10/2008	1,473.64	1,515.09	-41.45	0.00
2783 9000	3128M6HZ4	FHLMCG POOL #G04448 5 500%	7/01/38	09/19/2008	2,783.90	2,805.65	-21.75	0.00
472 1200	36295KMC4	GNMA POOL #672755 5 000%	6/15/38	12/10/2008	472.12	482.01	-9.89	0.00
4993 3500	36296DLC0	GNMA POOL #688023 6 000%	10/15/38	11/18/2008	4,993.35	5,079.18	-85.83	0.00
25000 0000	742718BZ1	PROCTER & GAMBLE CO 4 850% 12/15/15	03/04/2008	02/18/2009	26,965.25	26,341.00	624.25	0.00
50000 0000	079860AF9	BELLSOUTH CORP 4 200%	9/15/09	02/20/2009	50,565.00	50,282.50	282.50	0.00
62375 8700	3128M5Z37	FGLMCG POOL #G04062 6 000%	3/01/38	04/10/2008	64,490.79	64,130.18	360.61	0.00
23199 6500	36295WMT1	GNMA POOL #682670 6 000%	12/15/38	01/30/2009	23,986.26	23,917.40	68.86	0.00
1716 8200	31414DPX8	FNMA POOL #963138 5 000%	5/01/23	02/25/2009	1,716.82	1,731.84	-15.02	0.00
470 0000	983024100	WYETH	VARIOUS	03/02/2009	19,109.67	16,315.15	2,794.52	0.00
25000 0000	31359MPF4	FED NATL MTG ASSN 4 375%	9/15/12	09/09/2008	26,952.25	25,851.75	1,100.50	0.00
2232 8400	3128M5Z37	FGLMCG POOL #G04062 6 000%	3/01/38	04/10/2008	2,232.84	2,295.64	-62.80	0.00
1 7100	3128M6HZ4	FHLMCG POOL #G04448 5 500%	7/01/38	09/19/2008	1.71	1.72	-0.01	0.00
3605 0500	36296DLC0	GNMA POOL #688023 6 000%	10/15/38	11/18/2008	3,605.05	3,667.01	-61.96	0.00
3284 9200	31414DPX8	FNMA POOL #963138 5 000%	5/01/23	03/25/2009	3,284.92	3,313.66	-28.74	0.00
1691 6300	3128M6LB2	FHLMCG POOL #G04522 5 000%	6/01/38	02/24/2009	1,691.63	1,724.41	-32.78	0.00
5733 6100	36296DLC0	GNMA POOL #688023 6 000%	10/15/38	11/18/2008	5,733.61	5,832.16	-98.55	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Corrected ☐ 2nd TIN notice ☐

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50000 0000	31359ML84	FEDL NATL MTG ASSN 6.000% 4/18/36	01/30/2009	04/16/2009	53,400.00	52,009.50	1,390.50	0.00
2473.6800	31414DPX8	FNMA POOL #963138 5.000% 5/01/23	VARIOUS	04/25/2009	2,473.68	2,495.33	-21.65	0.00
50000.0000	31331VX92	FEDERAL FARM CR BKS 5.875% 8/16/21	02/20/2009	04/27/2009	54,600.00	54,700.00	-100.00	0.00
290 0000	00206R102	AT&T INC	VARIOUS	04/28/2009	7,474.23	7,859.12	-384.89	0.00
50000 0000	911312AL0	UNITED PARCEL SERV 3.875% 4/01/14	03/20/2009	05/01/2009	51,507.00	50,183.00	1,324.00	0.00
300 0000	00206R102	AT&T INC	10/21/2008	05/06/2009	7,969.62	7,833.78	135.84	0.00
300 0000	00206R102	AT&T INC	10/21/2008	05/07/2009	7,673.92	7,833.78	-159.86	0.00
25000 0000	91086QAL2	UNITED MEXICAN STS 6.625% 3/03/15	11/05/2008	05/07/2009	27,187.50	24,516.70	2,670.80	0.00
25000.0000	31359MPF4	FED NATL MTG ASSN 4.375% 9/15/12	09/09/2008	05/12/2009	27,069.75	25,851.75	1,218.00	0.00
310 0000	025816109	AMERICAN EXPRESS CO	VARIOUS	05/14/2009	7,651.65	3,777.03	3,874.62	0.00
2083.0100	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	05/15/2009	2,083.01	2,123.37	-40.36	0.00
3549 7400	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	05/15/2009	3,549.74	3,610.75	-61.01	0.00
100 0000	46625H100	J P MORGAN CHASE & CO F/K/A	09/29/2008	06/05/2009	3,489.91	4,636.59	-1,146.68	0.00
25000 0000	31398AVX7	FED NATL MTG ASSOC 2.500% 3/19/12	03/06/2009	06/11/2009	25,065.00	25,000.00	65.00	0.00
2282 1500	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	06/15/2009	2,282.15	2,326.37	-44.22	0.00
6382 1100	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	06/15/2009	6,382.11	6,491.81	-109.70	0.00
1895 2200	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	07/15/2009	1,895.22	1,931.94	-36.72	0.00
2462 2400	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	07/15/2009	2,462.24	2,504.56	-42.32	0.00
75000 0000	31331GCS6	FEDERAL FARM CR BKS 3.875% 10/07/13	06/11/2009	07/17/2009	78,217.50	77,407.50	810.00	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 9 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1935.2800	31403C6L0	FNMA POOL # 745275 5 000% 2/01/36	06/04/2009	07/25/2009	1,935.28	1,969.15	-33.87	0.00
86476.2800	31403C6L0	FNMA POOL # 745275 5.000% 2/01/36	06/04/2009	07/31/2009	88,584.14	87,989.61	594.53	0.00
60.0000	039380100	ARCH COAL INC	09/09/2008	08/11/2009	1,041.05	2,151.84	-1,110.79	0.00
1342.2800	3128M6LB2	FHLMCG POOL #G04522 5 000% 6/01/38	02/24/2009	08/15/2009	1,342.28	1,368.29	-26.01	0.00
2558.3100	36296DLC0	GNMA POOL #688023 6 000% 10/15/38	11/18/2008	08/15/2009	2,558.31	2,602.28	-43.97	0.00
1491.7800	31403C6L0	FNMA POOL # 745275 5.000% 2/01/36	06/04/2009	08/25/2009	1,491.78	1,517.89	-26.11	0.00
1147.4600	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	07/27/2009	08/25/2009	1,147.46	1,169.87	-22.41	0.00
105.0000	025816109	AMERICAN EXPRESS CO	03/09/2009	08/26/2009	3,447.57	1,137.07	2,310.50	0.00
856.6500	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	09/15/2009	856.65	873.25	-16.60	0.00
3784.9500	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	09/15/2009	3,784.95	3,850.01	-65.06	0.00
50000.0000	3128X85H1	FEDERAL HOME LN MTG 5.000% 8/13/19	07/29/2009	09/17/2009	50,238.30	50,000.00	238.30	0.00
15.0000	38141G104	GOLDMAN SACHS GROUP INC	03/13/2009	09/21/2009	2,733.03	1,456.07	1,276.96	0.00
170.0000	210795308	CONTINENTAL AIRLS INC CL B	03/26/2009	09/22/2009	2,833.34	1,740.99	1,092.35	0.00
355.0000	46625H100	J P MORGAN CHASE & CO F/K/A	09/29/2008	09/22/2009	16,050.30	16,459.89	-409.59	0.00
50000.0000	912828JH4	U S TREAS NTS 4 000% 8/15/18	04/16/2009	09/22/2009	52,212.90	54,937.50	-2,724.60	0.00
390.0000	125509109	CIGNA CORP	01/28/2009	09/23/2009	11,932.05	6,629.79	5,302.26	0.00
80.0000	91324P102	UNITEDHEALTH GROUP INC	01/28/2009	09/24/2009	2,093.52	2,398.30	-304.78	0.00
883.1400	31403DBD0	FNMA POOL #745336 5 000% 3/01/36	07/27/2009	09/25/2009	883.14	900.39	-17.25	0.00
320.0000	91324P102	UNITEDHEALTH GROUP INC	VARIOUS	10/01/2009	7,946.16	9,409.74	-1,463.58	0.00
410.0000	125509109	CIGNA CORP	VARIOUS	10/08/2009	11,631.40	5,379.49	6,251.91	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 10 of 34
Ref: 40

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
250 0000	210795308	CONTINENTAL AIRLS INC CL B	VARIOUS	10/08/2009	4,156.34	2,478.61	1,677.73	0.00
25000 0000	31398AVT6	FEDL NATL MTG ASSN 4.000% 3/10/16	05/12/2009	10/08/2009	25,273.45	25,261.00	12.45	0.00
554 0800	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	10/15/2009	554.08	564.82	-10.74	0.00
3663 4000	36296DLC0	GNMA POOL #688023 6.000% 10/15/2009	11/18/2008	10/15/2009	3,663.40	3,726.37	-62.97	0.00
75000 0000	06050BAA9	BANK OF AMERICA CORP 3.125% 6/15/12	12/08/2008	10/16/2009	78,074.25	75,451.50	2,622.75	0.00
839 4700	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	07/27/2009	10/25/2009	839.47	855.87	-16.40	0.00
103 5400	31417JUNJ5	FNMA POOL #AC0392 5.000% 7/01/39	09/08/2009	10/25/2009	103.54	106.50	-2.96	0.00
30 0000	38141G104	GOLDMAN SACHS GROUP INC	03/13/2009	10/28/2009	5,191.36	2,912.15	2,279.21	0.00
50000.0000	17275RAC6	CISCO SYS INC 5.500% 2/22/16	05/01/2009	11/02/2009	54,947.00	52,772.50	2,174.50	0.00
10 0000	500255104	KOHL'S CORP	03/05/2009	11/03/2009	561.45	351.26	210.19	0.00
60.0000	025816109	AMERICAN EXPRESS CO	03/09/2009	11/13/2009	2,407.77	649.75	1,758.02	0.00
1032 3400	3128M6LB2	FHLMCG POOL #G04522 5.000% 6/01/38	02/24/2009	11/15/2009	1,032.34	1,052.34	-20.00	0.00
4644.4200	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	11/15/2009	4,644.42	4,724.25	-79.83	0.00
370.0000	189754104	COACH INC	VARIOUS	11/20/2009	12,430.57	6,460.49	5,970.08	0.00
245.0000	257867101	DONNELLEY R & SONS CO	VARIOUS	11/25/2009	5,190.85	4,269.39	921.46	0.00
970 5700	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	07/27/2009	11/25/2009	970.57	989.53	-18.96	0.00
349 3800	31412MYZ5	FNMA POOL #929628 5.500% 6/01/38	10/05/2009	11/25/2009	349.38	367.12	-17.74	0.00
1246.4700	31416CME3	FNMA POOL #995957 5.000% 6/01/24	09/18/2009	11/25/2009	1,246.47	1,305.68	-59.21	0.00
431 1200	31417JUNJ5	FNMA POOL #AC0392 5.000% 7/01/39	09/08/2009	11/25/2009	431.12	443.45	-12.33	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 11 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
255 0000	571748102	MARSH & MCLENNAN	02/13/2009	12/04/2009	5,593.43	5,388.71	204.72	0.00
50000 0000	3133XLDG5	FEDERAL HOME LN BKS	5.375% 6/13/14	04/27/2009	12/08/2009	56,456.00	267.00	0.00
25000.0000	693476BE2	PNC FUNDING CORP	5.400% 6/10/14	11/02/2009	12/10/2009	27,300.00	379.25	0.00
1491 8000	3128M6LB2	FHLMCG POOL #G04522	5.000% 6/01/38	02/24/2009	12/15/2009	1,491.80	-28.90	0.00
25000 0000	617446HR3	MORGAN STANLEY	5.300% 3/01/13	01/08/2009	12/16/2009	26,574.00	3,029.95	0.00
497.3300	31412MYZ5	FNMA POOL #929628	5 500% 6/01/38	10/05/2009	12/25/2009	497.33	-25.26	0.00
1252.1400	31416CME3	FNMA POOL #995957	5.000% 6/01/24	09/18/2009	12/25/2009	1,311.62	-59.48	0.00
710.7300	31417JNJ5	FNMA POOL #AC0392	5 000% 7/01/39	09/08/2009	12/25/2009	710.73	-20.32	0.00
Total Short Term Sales						1,510,143.46	48,662.96	0.00
Long Term 15% Sales								
25000 0000	61746SBC2	MORGAN STANLEY	4 000% 1/15/10	06/28/2007	01/08/2009	24,625.00	0.00	0.00
84942 2100	3128NGEX9	FHLMC POOL #1H1350	5 752% 10/01/36	11/17/2006	01/13/2009	88,369.98	3,390.27	0.00
604 1700	3128LXFU9	FHLMC POOL # G01979	5.000% 12/01/35	01/19/2006	01/15/2009	604.17	0.00	0.00
444 6800	31371KBS9	FNMA POOL # 253949	6 500% 8/01/31	VARIOUS	01/25/2009	444.68	-1.52	0.00
13 8000	31388AZ23	FNMA POOL # 0599361	6.500% 8/01/31	07/27/2001	01/25/2009	13.80	0.00	0.00
231 4000	31403DBD0	FNMA POOL #745336	5 000% 3/01/36	01/09/2008	01/25/2009	231.40	0.00	0.00
597 2500	31403DDX4	FNMA PL # 745418	5.500% 4/01/36	01/09/2008	01/25/2009	597.25	-5.88	0.00
958 1400	31403RCJ5	FNMA POOL # 755273	5 500% 11/01/18	06/02/2004	01/25/2009	958.14	-17.66	0.00
1588 8100	31404NBN5	FNMA POOL # 773245	4.1039% 2/01/35	02/02/2005	01/25/2009	1,588.81	0.00	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 12 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
481.0000	24702R101	DELL INC	01/16/2003	01/29/2009	4,868.56	12,291.42	-7,422.86	0.00
292.0000	24702R101	DELL INC	VARIOUS	01/30/2009	2,838.10	7,308.81	-4,470.71	0.00
50000.0000	3134A4VC5	FEDERAL HOME LN MTG 4 375%	7/17/15	01/14/2008	53,629.00	51,503.00	2,126.00	0.00
130.0000	368710406	GENENTECH INC	08/20/2002	01/30/2009	10,544.49	2,276.61	8,267.88	0.00
227.0000	24702R101	DELL INC	02/04/2003	02/02/2009	2,106.90	5,446.50	-3,339.60	0.00
802.8800	3128LXFU9	FHLMC POOL # G01979 5.000%	12/01/35	01/19/2006	802.88	802.88	0.00	0.00
1277.6200	3128M5KW9	FHLMCG POOL #G03609 5.500%	02/07/2008	02/15/2009	1,277.62	1,289.20	-11.58	0.00
604.6200	36225B5F1	GNMA POOL #781746 5.000%	5/15/34	02/11/2008	604.62	611.27	-6.65	0.00
1951.9500	36241KPF9	GNMAPOOL #782222 5 500%	11/15/37	02/11/2008	1,951.95	2,012.81	-60.86	0.00
25000.0000	742718BZ1	PROCTER & GAMBLE CO 4.850%	01/25/2007	02/18/2009	26,965.25	24,231.03	2,734.22	0.00
1300.0000	887317105	TIME WARNER INC NEW	VARIOUS	02/19/2009	9,996.68	42,560.00	-32,563.32	0.00
331.6300	31371KBS9	FNMA POOL # 253949 6 500%	8/01/31	02/25/2009	331.63	332.77	-1.14	0.00
14.1100	31388AZ23	FNMA POOL # 0599361 6 500%	8/01/31	02/25/2009	14.11	14.11	0.00	0.00
432.4600	31403DBD0	FNMA POOL #745336 5 000%	3/01/36	01/09/2008	432.46	432.46	0.00	0.00
1327.2000	31403DDX4	FNMA PL # 745418 5 500%	4/01/36	01/09/2008	1,327.20	1,340.27	-13.07	0.00
281.6500	31403RCJ5	FNMA POOL # 755273 5.500%	11/01/18	06/02/2004	281.65	286.84	-5.19	0.00
1402.4700	31404NBN5	FNMA POOL # 773245 4 1039%	2/01/35	02/02/2005	1,402.47	1,402.47	0.00	0.00
1156.5800	31410GSK0	FNMA POOL #888922 5 500%	2/01/22	02/12/2008	1,156.58	1,185.13	-28.55	0.00
50.0000	023135106	AMAZON COM INC	04/28/2005	03/06/2009	3,080.64	1,623.98	1,456.66	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 13 of 34
Ref. 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50 0000	368710406	GENENTECH INC	08/20/2002	03/06/2009	4,482.90	875.62	3,607.28	0.00
25000 0000	931142BE2	WAL-MART STORES	04/01/2005	03/12/2009	25,530.50	27,252.50	-1,722.00	0.00
1833.9600	3128LXFU9	FHLMC POOL # G01979 5.000%	12/01/35	03/15/2009	1,833.96	1,833.96	0.00	0.00
1784.9500	3128M5KW9	FHLMCG POOL #G03609 5.500%	02/07/2008	03/15/2009	1,784.95	1,801.13	-16.18	0.00
491 9600	36225B5F1	GNMA POOL #781746 5.000%	5/15/34	03/15/2009	491.96	497.37	-5.41	0.00
1935 1000	36241KPF9	GNMAPOOL #782222 5.500%	11/15/37	03/15/2009	1,935.10	1,995.44	-60.34	0.00
297 6000	31371KBS9	FNMA POOL # 253949 6.500%	8/01/31	03/25/2009	584.77	584.77	0.00	0.00
14 2200	31388AZ23	FNMA POOL # 0599361 6.500%	8/01/31	03/25/2009	14.22	14.22	0.00	0.00
700.4800	31403DBD0	FNMA POOL #745336 5.000%	3/01/36	03/25/2009	700.48	700.48	0.00	0.00
1762.5700	31403DDX4	FNMA PL # 745418 5.500%	4/01/36	03/25/2009	1,762.57	1,779.92	-17.35	0.00
298 6900	31403RCJ5	FNMA POOL # 755273 5.500%	11/01/18	03/25/2009	298.69	304.20	-5.51	0.00
1752 2300	31404NBN5	FNMA POOL # 773245 4.1039%	2/01/35	03/25/2009	1,752.23	1,752.23	0.00	0.00
1406 2200	31410GSK0	FNMA POOL #888922 5.500%	2/01/22	03/25/2009	1,406.22	1,440.94	-34.72	0.00
320.0000	368710406	GENENTECH INC	08/20/2002	03/27/2009	30,400.00	5,603.95	24,796.05	0.00
.6678	887317303	TIME WARNER INC	05/23/2003	04/08/2009	14.69	21.99	-7.30	0.00
.2390	88732J207	TIME WARNER CABLE W/I	05/23/2003	04/08/2009	6.25	10.33	-4.08	0.00
1205 0000	060505104	BANK OF AMERICA CORP	VARIOUS	04/15/2009	11,932.44	55,653.59	-43,721.15	0.00
2278 1400	3128LXFU9	FHLMC POOL # G01979 5.000%	12/01/35	04/15/2009	2,278.14	2,278.14	0.00	0.00
1614 9700	3128M5KW9	FHLMCG POOL #G03609 5.500%	02/07/2008	04/15/2009	1,614.97	1,629.61	-14.64	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 14 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
476 3200	36225B5F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	04/15/2009	476.32	481.56	-5.24	0.00
1710 2200	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	04/15/2009	1,710.22	1,763.55	-53.33	0.00
390 0000	060505104	BANK OF AMERICA CORP	04/22/2003	04/16/2009	4,109.09	9,206.66	-5,097.57	0.00
142 0000	88732J207	TIME WARNER CABLE W/I	VARIOUS	04/16/2009	4,091.22	5,732.12	-1,640.90	0.00
74110.9700	3128LXFU9	FHLMC POOL # G01979 5.000% 12/01/35	01/19/2006	04/23/2009	76,288.33	72,721.25	3,567.08	0.00
536 1000	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	04/25/2009	536.10	545.43	-9.33	0.00
14 0400	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	04/25/2009	14.04	14.04	0.00	0.00
639.1100	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	04/25/2009	639.11	639.11	0.00	0.00
1688 1500	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	04/25/2009	1,688.15	1,704.77	-16.62	0.00
793.9600	31403RCJ5	FNMA POOL # 755273 5.500% 11/01/18	06/02/2004	04/25/2009	793.96	808.60	-14.64	0.00
1178.5800	31404NBN5	FNMA POOL # 773245 4.1039% 2/01/35	02/02/2005	04/25/2009	1,178.58	1,178.58	0.00	0.00
1694 2300	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	04/25/2009	1,694.23	1,736.06	-41.83	0.00
80 0000	30231G102	EXXON MOBIL CORP	04/01/2008	05/06/2009	5,471.58	6,891.25	-1,419.67	0.00
50000 0000	912828FY1	U S TREAS NTS 4 625% 11/15/16	05/03/2007	05/06/2009	56,500.00	49,806.65	6,693.35	0.00
225 0000	500255104	KOHL'S CORP	03/27/2008	05/12/2009	9,810.75	10,203.43	-392.68	0.00
1464 3300	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	05/15/2009	1,464.33	1,477.58	-13.25	0.00
685 8100	36225B5F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	05/15/2009	685.81	693.35	-7.54	0.00
2044.3200	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	05/15/2009	2,044.32	2,108.06	-63.74	0.00
311 3800	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	05/25/2009	311.38	316.80	-5.42	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 15 of 34
Ref. 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
14 1200	31388AZ23	FNMA POOL # 0599361 6 500%	07/27/2001	05/25/2009	14.12	14.12	0.00	0.00
685 7600	31403DBD0	FNMA POOL #745336 5.000%	01/09/2008	05/25/2009	685.76	685.76	0.00	0.00
1630.8300	31403DDX4	FNMA PL # 745418 5.500%	01/09/2008	05/25/2009	1,630.83	1,646.88	-16.05	0.00
642 6800	31403RCJ5	FNMA POOL # 755273 5.500%	06/02/2004	05/25/2009	642.68	654.53	-11.85	0.00
1100 6900	31404NBN5	FNMA POOL # 773245 4.1039%	02/02/2005	05/25/2009	1,100.69	1,100.69	0.00	0.00
1781.8200	31410GSK0	FNMA POOL #888922 5 500%	02/12/2008	05/25/2009	1,781.82	1,825.81	-43.99	0.00
2270 8000	31414DPX8	FNMA POOL #963138 5 000%	VARIOUS	05/25/2009	2,270.80	2,290.67	-19.87	0.00
90 0000	38141G104	GOLDMAN SACHS GROUP INC	04/04/2008	05/26/2009	12,544.04	15,816.98	-3,272.94	0.00
300.0000	437076102	HOME DEPOT INC	VARIOUS	05/28/2009	6,796.95	11,638.33	-4,841.38	0.00
100 0000	20030N101	COMCAST CORP NEW CL A	03/27/2008	06/01/2009	1,395.63	1,987.20	-591.57	0.00
300 0000	20030N101	COMCAST CORP NEW CL A	03/27/2008	06/01/2009	4,186.91	5,961.60	-1,774.69	0.00
1200 0000	20030N101	COMCAST CORP NEW CL A	03/27/2008	06/02/2009	17,187.15	23,846.40	-6,659.25	0.00
82167 1800	31414DPX8	FNMA POOL #963138 5.000%	VARIOUS	06/04/2009	84,786.25	82,887.93	1,898.32	0.00
80 0000	023135106	AMAZON COM INC	04/28/2005	06/05/2009	7,002.87	2,598.36	4,404.51	0.00
200 0000	17275R102	CISCO SYS INC	08/27/2001	06/05/2009	3,989.95	3,608.00	381.95	0.00
5 0000	20825C104	CONOCOPHILLIPS	04/01/2008	06/10/2009	228.99	385.78	-156.79	0.00
600 0000	844741108	SOUTHWEST AIRLS CO	04/01/2008	06/10/2009	3,999.73	3,999.73	0.00	0.00
1435 6000	3128M5KW9	FHLMCG POOL #G03609 5 500%	02/07/2008	06/15/2009	1,435.60	1,448.59	-12.99	0.00
839.9600	36225B5F1	GNMA POOL #781746 5.000%	02/11/2008	06/15/2009	839.96	849.20	-9.24	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 16 of 34
Ref. 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
2289 8900	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	06/15/2009	2,289.89	2,361.29	-71.40	0.00
150 0000	263534109	DU PONT E I DE NEMOURS & CO.	06/27/2006	06/16/2009	3,794.96	6,148.72	-2,353.76	0.00
10000 0000	983024AN0	WYETH 5.950% 4/01/37	03/23/2007	06/17/2009	10,298.70	9,932.10	366.60	0.00
975 7900	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	06/25/2009	975.79	992.76	-16.97	0.00
14 2000	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	06/25/2009	14.20	14.20	0.00	0.00
805.8500	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	06/25/2009	805.85	805.85	0.00	0.00
1803 2900	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	06/25/2009	1,803.29	1,821.04	-17.75	0.00
273.7600	31403RCJ5	FNMA POOL # 755273 5.500% 11/01/18	06/02/2004	06/25/2009	273.76	278.81	-5.05	0.00
2584 8100	31404NBN5	FNMA POOL # 773245 4.1039% 2/01/35	02/02/2005	06/25/2009	2,584.81	2,584.81	0.00	0.00
1369 6100	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	06/25/2009	1,369.61	1,403.42	-33.81	0.00
2857 6600	31414DPX8	FNMA POOL #963138 5.000% 5/01/23	05/08/2008	06/25/2009	2,857.66	2,880.90	-23.24	0.00
25000 0000	459200BA8	INTL BUSINESS MACHS 4.750% 11/29/12	03/17/2004	06/25/2009	26,740.25	26,222.75	517.50	0.00
841 0000	717081103	PFIZER INC.	VARIOUS	07/09/2009	12,026.16	26,514.81	-14,488.65	0.00
334 0000	717081103	PFIZER INC	07/16/2002	07/10/2009	4,745.28	9,619.23	-4,873.95	0.00
1513 9800	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	07/15/2009	1,513.98	1,527.68	-13.70	0.00
723 4300	3622585F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	07/15/2009	723.43	731.39	-7.96	0.00
2042 8300	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	07/15/2009	2,042.83	2,106.53	-63.70	0.00
100000 0000	50179MAE1	LEHMAN BROTHERS INC 5.372% 9/15/39	10/20/2006	07/15/2009	83,531.30	99,242.10	-15,710.80	0.00
309 1700	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	07/25/2009	309.17	314.55	-5.38	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 17 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
14 2900	31388AZ23	FNMA POOL # 0599361 5.500% 8/01/31	07/27/2001	07/25/2009	14.29	14.29	0.00	0.00
790 9300	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	07/25/2009	790.93	790.93	0.00	0.00
1713.4700	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	07/25/2009	1,713.47	1,730.34	-16.87	0.00
262 5000	31403RCJ5	FNMA POOL # 755273 5.500% 11/01/18	06/02/2004	07/25/2009	262.50	267.34	-4.84	0.00
2729.4200	31404NBN5	FNMA POOL # 773245 4.1039% 2/01/35	02/02/2005	07/25/2009	2,729.42	2,729.42	0.00	0.00
1503 4100	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	07/25/2009	1,503.41	1,540.53	-37.12	0.00
40 0000	039380100	ARCH COAL INC	03/31/2008	08/10/2009	729.28	1,708.52	-979.24	0.00
160.0000	039380100	ARCH COAL INC	03/31/2008	08/11/2009	2,776.14	6,834.08	-4,057.94	0.00
675.0000	832248108	SMITHFIELD FOODS INC COM	03/28/2008	08/12/2009	8,003.33	17,676.36	-9,673.03	0.00
145 0000	832248108	SMITHFIELD FOODS INC COM	03/28/2008	08/13/2009	1,667.57	3,797.14	-2,129.57	0.00
1178.5000	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	08/15/2009	1,178.50	1,189.16	-10.66	0.00
50000 0000	31359MU68	FEDL NATL MTG ASSN 5.375% 8/15/09	VARIOUS	08/15/2009	50,000.00	50,947.55	-947.55	0.00
684.7900	36225B5F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	08/15/2009	684.79	692.32	-7.53	0.00
1416 9700	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	08/15/2009	1,416.97	1,461.15	-44.18	0.00
70 0000	886423102	TIDEWATER INC	04/01/2008	08/18/2009	3,014.13	3,926.10	-911.97	0.00
110 0000	886423102	TIDEWATER INC	04/01/2008	08/19/2009	4,784.78	6,169.58	-1,384.80	0.00
90 0000	886423102	TIDEWATER INC	04/01/2008	08/19/2009	3,914.82	5,047.84	-1,133.02	0.00
120 0000	886423102	TIDEWATER INC	04/01/2008	08/21/2009	5,314.98	6,730.45	-1,415.47	0.00
145 0000	101137107	BOSTON SCIENTIFIC CORP	04/08/2008	08/24/2009	1,657.81	1,973.46	-315.65	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 18 of 34
Ref. 40

Account Number 132008715247
Recipient's Tax ID number XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
70.0000	886423102	TIDEWATER INC	04/01/2008	08/24/2009	3,098.17	3,926.10	-827.93	0.00
344.7500	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	08/25/2009	344.75	350.75	-6.00	0.00
1361.7100	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	08/25/2009	1,361.71	1,361.71	0.00	0.00
573.7300	31403BBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	08/25/2009	573.73	573.73	0.00	0.00
1398.1100	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	08/25/2009	1,398.11	1,411.87	-13.76	0.00
741.8000	31403RCJ5	FNMA POOL # 755273 5.500% 11/01/18	06/02/2004	08/25/2009	741.80	755.48	-13.68	0.00
1151.3300	31404NBN5	FNMA POOL # 773245 4.1039% 2/01/35	02/02/2005	08/25/2009	1,151.33	1,151.33	0.00	0.00
1341.6200	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	08/25/2009	1,341.62	1,374.74	-33.12	0.00
425.0000	654902204	NOKIA CORP SPONSORED ADR	07/14/2008	08/25/2009	5,382.49	10,654.07	-5,271.58	0.00
46113.8200	36225B5F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	09/08/2009	47,778.20	46,621.09	1,157.11	0.00
802.0300	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	09/15/2009	802.03	809.29	-7.26	0.00
318.1600	36225B5F1	GNMA POOL #781746 5.000% 5/15/34	02/11/2008	09/15/2009	318.16	321.66	-3.50	0.00
1328.4900	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	09/15/2009	1,328.49	1,369.91	-41.42	0.00
185.0000	571748102	MARSH & MCLENNAN	06/06/2006	09/16/2009	4,440.56	5,207.75	-767.19	0.00
566.0000	887317303	TIME WARNER INC	VARIOUS	09/17/2009	16,659.38	17,406.56	-747.18	0.00
10.0000	38141G104	GOLDMAN SACHS GROUP INC	04/04/2008	09/21/2009	1,822.02	1,757.44	64.58	0.00
650.0000	844741108	SOUTHWEST AIRLS CO	04/01/2008	09/21/2009	6,234.44	8,183.37	-1,948.93	0.00
435.0000	844741108	SOUTHWEST AIRLS CO	04/01/2008	09/22/2009	4,157.40	5,476.56	-1,319.16	0.00
40.0000	500255104	KOHL'S CORP	03/27/2008	09/23/2009	2,205.58	1,813.94	391.64	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 19 of 34
Ref: 40

Recipient's Name and Address

SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

Account Number: 132008715247

Recipient's Tax ID number: XX-XXX6050

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
650 0000	101137107	BOSTON SCIENTIFIC CORP	04/08/2008	09/25/2009	6,824.30	8,846.57	-2,022.27	0.00
423.0400	31371KBS9	FNMA POOL # 253949 6 500% 8/01/31	VARIOUS	09/25/2009	423.04	430.40	-7.36	0.00
12 3100	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	09/25/2009	12 31	12 31	0.00	0.00
441 5700	31403DBD0	FNMA POOL #745336 5 000% 3/01/36	01/09/2008	09/25/2009	441.57	441 57	0.00	0.00
1097.1200	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	09/25/2009	1,097.12	1,107 92	-10 80	0.00
261 8400	31403RCJ5	FNMA POOL # 755273 5 500% 11/01/18	06/02/2004	09/25/2009	261.84	266 67	-4 83	0.00
2265.8300	31404NBN5	FNMA POOL # 773245 4 1039% 2/01/35	02/02/2005	09/25/2009	2,265 83	2,265.83	0.00	0.00
1217.6500	31410GSK0	FNMA POOL #888922 5 500% 2/01/22	02/12/2008	09/25/2009	1,217.65	1,247.71	-30 06	0.00
605 0000	101137107	BOSTON SCIENTIFIC CORP	04/08/2008	09/28/2009	6,574.37	8,234.11	-1,659.74	0.00
682 9200	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	10/15/2009	682.92	689.10	-6.18	0.00
1143 7300	36241KPF9	GNMAPOOL #782222 5.500% 11/15/37	02/11/2008	10/15/2009	1,143.73	1,179 39	-35 66	0.00
388 1700	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	10/25/2009	388.17	394.92	-6 75	0.00
12.3800	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	10/25/2009	12.38	12.38	0.00	0.00
419 7300	31403DBD0	FNMA POOL #745336 5 000% 3/01/36	01/09/2008	10/25/2009	419.73	419.73	0.00	0.00
926 2300	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	10/25/2009	926.23	935.35	-9 12	0.00
264 7400	31403RCJ5	FNMA POOL # 755273 5 500% 11/01/18	06/02/2004	10/25/2009	264 74	269 62	-4.88	0.00
653 2200	31404NBN5	FNMA POOL # 773245 4.1039% 2/01/35	02/02/2005	10/25/2009	653.22	653 22	0.00	0.00
888 4100	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	10/25/2009	888.41	910.34	-21.93	0.00
60 0000	023135106	AMAZON COM INC	04/28/2005	10/26/2009	7,369.13	1,948.77	5,420.36	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 20 of 34
Ref: 40

Account Number: 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
240 0000	458140100	INTEL CORP	09/10/2001	11/03/2009	4,426.94	6,162.55	-1,735.61	0.00
25 0000	500255104	KOHL'S CORP	03/27/2008	11/03/2009	1,403.61	1,133.72	269.89	0.00
85 0000	023135106	AMAZON COM INC	04/28/2005	11/05/2009	10,119.64	2,760.76	7,358.88	0.00
835 0000	17275R102	CISCO SYS INC	VARIOUS	11/05/2009	19,923.25	15,062.00	4,861.25	0.00
10.0000	812350106	SEARS HLDGS CORP	01/10/2008	11/05/2009	667.91	984.80	-316.89	0.00
780 9500	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	11/15/2009	780.95	788.02	-7.07	0.00
1667 4700	36241KPF9	GNMAPOOL #782222 5 500% 11/15/37	02/11/2008	11/15/2009	1,667.47	1,719.46	-51.99	0.00
50000 0000	912828CP3	U.S. T NTS INFL INDX 2.000% 7/15/14	08/30/2006	11/18/2009	61,210.52	52,707.02	8,503.50	0.00
25000 0000	20825UAB0	CONOCO FUNDING 6.350% 10/15/11	10/17/2006	11/19/2009	27,478.00	26,134.50	1,343.50	0.00
115 0000	786514208	SAFEWAY INC NEW	03/28/2008	11/20/2009	2,594.11	3,279.52	-685.41	0.00
329 0400	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	11/25/2009	329.04	334.77	-5.73	0.00
2681.7900	31388AZ23	FNMA POOL # 0599361 6 500% 8/01/31	07/27/2001	11/25/2009	2,681.79	2,681.79	0.00	0.00
485.2900	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	11/25/2009	485.29	485.29	0.00	0.00
1055.2500	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	11/25/2009	1,055.25	1,065.64	-10.39	0.00
263 4900	31403RCJ5	FNMA POOL # 755273 5 500% 11/01/18	06/02/2004	11/25/2009	263.49	268.35	-4.86	0.00
1223 5600	31404NB5	FNMA POOL # 773245 4 1039% 2/01/35	02/02/2005	11/25/2009	1,223.56	1,223.56	0.00	0.00
888.2500	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	11/25/2009	888.25	910.18	-21.93	0.00
130 0000	812350106	SEARS HLDGS CORP	VARIOUS	11/25/2009	9,318.51	12,777.72	-3,459.21	0.00
100 0000	571748102	MARSH & MCLENNAN	06/06/2006	12/02/2009	2,218.48	2,815.00	-596.52	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 21 of 34
Ref 40

Account Number 132008715247
Recipient's Tax ID number: XX-XXX6050

Recipient's Name and Address
SALL FAMILY FOUNDATION IMA
JP MORGAN CHASE BANK
ATTN: MARCIA BRITTINGHAM
500 STANTON CHRISTIANA ROAD
NEWARK, DE 19713

☐ Corrected ☐ 2nd TIN notice

CITIGROUP TRUST - DELAWARE, NA
C/O CITI TRUST
ONE COURT SQUARE, 29TH FLOOR
LONG ISLAND CITY, NY 11120

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5 0000	812350106	SEARS HLDGS CORP	01/11/2008	12/02/2009	367.40	491.28	-123.88	0.00
345.0000	571748102	MARSH & MCLENNAN	VARIOUS	12/04/2009	7,567.59	8,918.46	-1,350.87	0.00
51686 3600	31404NBN5	FNMA POOL # 773245 4.1039%	2/01/35	12/11/2009	52,655.58	51,655.17	1,000.41	0.00
856 2500	3128M5KW9	FHLMCG POOL #G03609 5.500% 10/01/37	02/07/2008	12/15/2009	856.25	864.00	-7.75	0.00
1580 6500	36241KPF9	GNMA POOL #782222 5.500% 11/15/37	02/11/2008	12/15/2009	1,580.65	1,629.94	-49.29	0.00
5266 4500	36296DLC0	GNMA POOL #688023 6.000% 10/15/38	11/18/2008	12/15/2009	5,266.45	5,356.97	-90.52	0.00
200 7200	31371KBS9	FNMA POOL # 253949 6.500% 8/01/31	VARIOUS	12/25/2009	200.72	204.01	-3.29	0.00
7.7600	31388AZ23	FNMA POOL # 0599361 6.500% 8/01/31	07/27/2001	12/25/2009	7.76	7.76	0.00	0.00
1362 8500	31403DBD0	FNMA POOL #745336 5.000% 3/01/36	01/09/2008	12/25/2009	1,362.85	1,362.85	0.00	0.00
981 5000	31403DDX4	FNMA PL # 745418 5.500% 4/01/36	01/09/2008	12/25/2009	981.50	991.16	-9.66	0.00
266 8800	31403RCJ5	FNMA POOL # 755273 5.500% 11/01/18	06/02/2004	12/25/2009	266.88	271.80	-4.92	0.00
436 6200	31404NBN5	FNMA POOL # 773245 4.1039%	2/01/35	12/25/2009	436.62	436.62	0.00	0.00
759.6400	31410GSK0	FNMA POOL #888922 5.500% 2/01/22	02/12/2008	12/25/2009	759.64	778.39	-18.75	0.00
Total Long Term 15% Sales						1,366,111.20	-109,301.24	0.00

This is important tax information and is being furnished to you.

Form
(Rev. April 2009)**8868****Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization SALL FAMILY FOUNDATION	Employer identification number 58-2016050
	Number, street, and room or suite no. If a P.O. box, see instructions C/O JPMORGAN SERVICES, INC., PO BOX 6089	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEWARK DE 19714-6089	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ►

Telephone No. ►

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15/10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
 ► ☒ calendar year **2009** or
 ► ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,516
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,516
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	1,000

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

Form 8868 (Rev 4-2009)

Page 2

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**
- Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print	Name of Exempt Organization	Employer identification number
File by the extended due date for filing the return See instructions	SALL FAMILY FOUNDATION	58-2016050
	Number, street, and room or suite no. If a P.O. box, see instructions C/O JPMORGAN SERVICES, INC., PO BOX 6089	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEWARK DE 19714-6089	

Check type of return to be filed (File a separate application for each return)

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| ☐ Form 990 | ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 6069 |
| ☐ Form 990-BL | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 4720 | ☐ Form 8870 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No ☐ FAX No ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **11/15/10**5 For calendar year **2009**, or other tax year beginning6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL INFORMATION IS REQUIRED IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	\$	2,516
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	2,516
c Balance Due. Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature

Hara Moses-Perrins

Title

*VP JPMorgan Chase Bank, N.A.*Date **08/11/10**Form **8868** (Rev 4-2009)

The JPMorgan Chase Bank has a business relationship with applicant, including the preparation of the tax return. As applicant is not available to sign, this application is signed by an officer of JPMorgan Chase Bank acting in his official capacity.