Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning January 1 **, 2009, and ending** December 31 **, 20** 09

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: Hamrick Mills Foundation, Inc.

Number and street (or P O box number if mail is not delivered to street address) | Room/suite: P. O.Box 48

City or town, state, and ZIP code: Gaffney, SC 29342

A Employer identification number: 57-6024261

B Telephone number (see page 10 of the instructions): 864-489-4731

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,515,480

J Accounting method: ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	41,668	41,668		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-70,398			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-28,730	41,668		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	5,726	1,432		4,294
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) Mgmt. Fees	8,132	8,132		0
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	0	0		
	19	Depreciation (attach schedule) and depletion	1,607	0		
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	756	0		756
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,221	9,564		5,050
	25	Contributions, gifts, grants paid	78,656			78,656
	26	**Total expenses and disbursements.** Add lines 24 and 25	94,877	9,564		83,706
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	-123,607			
	b	**Net investment income** (if negative, enter -0-)		32,104		
	c	**Adjusted net income** (if negative, enter -0-)			0	

RECEIVED
MAY 19 2010
OGDEN, UT
IRS-OSC

SCANNED MAY 21 2010

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,393	7,576	7,576
	2	Savings and temporary cash investments	58,414	55,562	55,562
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	497,875	529,960	529,960
	b	Investments—corporate stock (attach schedule)	741,018	831,629	831,629
	c	Investments—corporate bonds (attach schedule)	59,013	62,398	62,398
	11	Investments—land, buildings, and equipment basis ▶ 53,166			
		Less accumulated depreciation (attach schedule) ▶ 24,811	29,962	28,355	28,355
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment. basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,389,675	1,515,480	1,515,480
Liabilities	17	Accounts payable and accrued expenses	4,261	-1,510	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	4,261	-1,510	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,385,414	1,516,990	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,385,414	1,516,990	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,389,675	1,515,480	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,385,414
2	Enter amount from Part I, line 27a	2	-123,607
3	Other increases not included in line 2 (itemize) ▶ Valuation of Securities	3	255,183
4	Add lines 1, 2, and 3	4	1,516,990
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,516,990

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	697,672		768,070	-70,398
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-70,398
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	92,814	1,709,235	0543
2007	97,109	1,997,038	0486
2006	82,778	1,891,305	.0438
2005	93,110	1,839,906	.0506
2004	75,219	1,786,072	.0421

2	**Total** of line 1, column (d)	2	.2394
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0479
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,387,809
5	Multiply line 4 by line 3	5	66,476
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	321
7	Add lines 5 and 6	7	66,797
8	Enter qualifying distributions from Part XII, line 4	8	83,706

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	321	00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		
3	Add lines 1 and 2	3	321	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		00
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	321	00
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a — 400 00			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments Add lines 6a through 6d	7	400	00
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		00
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		00
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	79	00
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 79 00 **Refunded** ▶	11		00

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	Not	Required
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ N/A			
14	The books are in care of ▶ Foundation Manager Telephone no. ▶ 864-489-4731			
	Located at ▶ 515 W. Buford Street, Gaffney, SC ZIP+4 ▶ 29341			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☑ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		**6b**	✓
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Attached				
Charles J. Bonner P. O Box 48, Gaffney, SC 29342	Executive Director Part-Time	5,726	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,406,857
b	Average of monthly cash balances	1b	2,086
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,408,943
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,408,943
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see page 25 of the instructions)	4	21,134
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,387,809
6	**Minimum investment return.** Enter 5% of line 5	6	69,390

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	69,390
2a	Tax on investment income for 2009 from Part VI, line 5	2a	321		
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	321
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	69,069
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	69,069
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	69,069

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	83,706
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	83,706
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	83,706

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					69,069
2 Undistributed income, if any, as of the end of 2009.					
a Enter amount for 2008 only				78,656	
b Total for prior years: 20____,20____,20____					
3 Excess distributions carryover, if any, to 2009:					
a From 2004					
b From 2005					
c From 2006					
d From 2007					
e From 2008					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 83,706					
a Applied to 2008, but not more than line 2a				78,656	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d Applied to 2009 distributable amount					5,050
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5					
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions					
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010					64,019
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)					
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9:					
a Excess from 2005					
b Excess from 2006					
c Excess from 2007					
d Excess from 2008					
e Excess from 2009					

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

Lyman W. Hamrick, Chair, P. O. Box 48, Gaffney, SC 29342, 864-489-4731

b The form in which applications should be submitted and information and materials they should include:

See Attached

c Any submission deadlines:

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Restricted by practice to nearly areas.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schedule				78,656
Total ▶ 3a				78,656
b *Approved for future payment*				
Cherokee County Meals-On-Wheels Gaffney, SC			Operating Expenses	2,429
Fellowship of Christian Athletes Gaffney, SC			Operating Expenses	3,500
Total ▶ 3b				5,929

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	41,668	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-70,398	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-28,730	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 -28,730

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] 5/13/10 **Treasurer**

Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature	[signature], CPA	Date 5-13-10	Check if self-employed ▶ ☒	Preparer's identifying number (see **Signature** on page 30 of the instructions) 38537
Firm's name (or yours if self-employed), address, and ZIP code	**David E. Dorman, CPA**		EIN ▶	**249138537**
	P. O. Box 48, Gaffney, SC 29342		Phone no.	**864-489-4731**

Hamrick Mills Foundation, Inc.

Land and Building

2009

57-6024261

Depreciation Schedule, Part I, Line 19

508 W. Buford St.

			Basis	01/01/2009 Acc. Depr.	2009 Deprec.	12/31/2009 Acc. Depr.
12/31/1990	Land		$5,600.00			
	Building	31.5 Yr.	$22,575.00	$12,541.73	$716.67	$13,258.40
07/01/1991	Remodeling	31.5 Yr.	$14,010.83	$7,783.83	$444.79	$8,228.62
08/02/2000	Remodeling	39 Yr.	$8,768.00	$1,882.86	$224.82	$2,107.68
03/01/2004	A/C Unit	10 Yr.	$2,212.00	$995.40	$221.20	$1,216.60
			$53,165.83	$23,203.82	$1,607.48	$24,811.30

Expenses, Part I, Line 23

Repairs on house above, occupied rent free by 501(c)(3) exempt organization.	Painters Heating & A/C	$136.26
	Douglas Pest Control	$240.00
	State Auto Insurance	$380.00
		$756.26

Hamrick Mills Foundation, Inc.
December 31, 2009
Gain/Loss

	Sales	Cost	Gain/Loss
Turner Investments	$250,975.02	$257,310.89	($6,335.87)
Hays Advisory	$189,095 68	$237,267.21	($48,171 53)
Wedge Capital Mgmt.	$130,157.05	$130,170.62	($13.57)
NWQ Intl.	$43,011.53	$57,434.84	($14,423.31)
NWQ Invst. Mgmt	$82,892.55	$83,895 31	($1,002 76)
Wentworth, Hauser, Violich	$1,540 43	$1,991.28	($450 85)
	$697,672.26	$768,070.15	($70,397.89)

HAMRICK MILLS FOUNDATION, INC.

DECEMBER 31, 2009

57-6024261

PART VIII, Line 1.

Name and Address	Title	Contribution to Employee Benefit Plans	Expense Account, Other Allowances	Compensation (If Any)
C. F. Hamrick II Gaffney, SC	Treasurer & Director	None	None	None
A. W. Hamrick Gaffney, SC	Director	None	None	None
L. W. Hamrick Gaffney, SC	Chair and Director	None	None	None
W. C. Hamrick Gaffney, SC	Secretary & Director	None	None	None
D. E. Dorman Gaffney, SC	Director	None	None	None

Hamrick Mills Foundation, Inc

December 31, 2009

57-6024261

Part XV, Line 2(b)

Initial letter of application should be brief and contain background of grant requester, Purpose of the grant, general financial information and documentation of Section 501(c)(3) (or other) federal tax exempt number.

Hamrick Mills Foundation, Inc.

Grants Paid in 2009

Name of Donee	Amount	Purpose
American Red Cross Spartanburg, SC	$6,000 00	Operating Budget
Arts Council of Cherokee County Gaffney, SC	$1,000.00	Operating Budget
Boy Scouts of America Spartanburg, SC	$4,000.00	Operating Budget
Boys and Girls Club of Cherokee County Gaffney, SC	$5,000 00	Operating Budget
Cherokee Children's Home, Inc. Gaffney, SC	$10,000.00	Operating Budget
Cherokee County Meals On Wheels Gaffney, SC	$1,571 00	Operating Budget
Cherokee Pregnancy Center Gaffney, SC	$1,000.00	Operating Budget
Crossover Ministries Gaffney, SC	$1,000.00	Operating Budget
Fellowship of Christian Athletes Gaffney, SC	$5,500.00	Operating Budget
First Baptist Church Gaffney, SC	$2,500 00	Operating Budget
Gaffney High School Gaffney, SC	$4,085 00	Operating Budget
Habitat for Humanity of Cherokee County Gaffney, SC	$5,000.00	Operating Budget
Iron City Ministries, Inc. Blacksburg, SC	$6,500 00	Operating Budget
Just Give Me Jesus Upstate SC Greenville, SC	$5,000 00	Operating Budget
Miracle Hill Ministries Greenville, SC	$10,000.00	Operating Budget
National Bible Association New York, NY	$500 00	Operating Budget
Peachcenter Ministries, Inc. Gaffney, SC	$5,000 00	Operating Budget
The Salvation Army Gaffney, SC	$5,000.00	Operating Budget
Total	$78,656.00	

Hamrick Mills Foundation, Inc.

Statement of Assets

December 31, 2009

Assets

Cash:		$7,576
Money Market.		$55,562
Investments:		
	Equity Investments	$831,629
	Fixed Income Investments	$592,358
House:		
	508 W. Buford St. Non-investment property held for exempt purposes, net of $21,596 accumulated depreciation.	$28,355
		$1,515,480

Portfolio Hamrick Mills Fdn. Gain/Loss

MorganStanley SmithBarney

Dow 10296 85 +111 32 02/02/10 | NASDAQ 2190 06 +18 86 02/02/10 | S&P 500 1103 32 +14 13 02/02/10

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15, 2010

NWQ Mgmt.

Print

Select 819-07507 | 2009 Gain/Loss (Realized) Positions | View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07507

Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
AETNA INC NEW	18			611 91			584 42	-27 49	
AGILENT TECHNOLOGIES INC	305			5,952 22			7,716 94	1,764 72	
ALTRIA GROUP INC	199			2,122 88			3,355 97	1,233 09	
AMGEN INC 819-07507	27 0000	5/25/07	54 22	1,463 88	2/18/09	57 382	1,549 30	85 42	LT
ANGLOGOLD ASHANTI LTD	125			4,644 78			4,042 44	-602 34	
AON CORP	66			2,243 76			2,737 81	494 05	
APACHE CORP	24			1,529 48			1,771 09	241 61	
AT&T INC 819-07507	7 0000	10/27/05	23 69	165 81	2/18/09	23 179	162 24	-3 57	LT
BARRICK GOLD CORP CAD	80			1,940 88			2,626 29	685 41	
CA INC	145			3,879 55			2,622 85	-1,256 70	
COMCAST CORP CL A - SPL	310			5,548 12			4,666 75	-881 37	
EOG RESOURCES INC	53			4,098 45			4,720 64	622 19	
HALLIBURTON CO HOLDINGS CO 819-07507	39 0000	11/12/08	17 59	685 99	2/13/09	18 302	713 79	27 80	ST
HESS CORP	30			1,691 01			1,701 31	10 30	
ILLINOIS TOOL WORKS INC	114			5,585 78			3,634 59	-1,951 19	
JPMORGAN CHASE & CO	67			2,568 93			2,913 64	344 71	
KIMBERLY CLARK CORP	23			1,078 70			1,117 59	38 89	
KRAFT FOODS INC CLASS A	116			1,927 80			3,026 57	1,098 77	
LOCKHEED MARTIN CORP	37			1,760 57			3,041 38	1,280 81	
LOEWS CORP 819-07507	19 0000	10/23/07	49 59	942 14	1/07/09	28 920	549 47	-392 67	LT
LORILLARD INC	83			5,841 66			5,699 54	-142 12	
MERCK & CO INC 819-07507	40 0000	12/05/08	24 80	991 82	2/18/09	28 491	1,139 65	147 83	ST
MICROSOFT CORP	266			6,891 70			7,082 45	190 75	
NOBLE ENERGY INC	56			1,922 86			2,688 31	765 45	
PHILIP MORRIS INTL INC	14			241 42			494 77	253 35	
PITNEY BOWES INC	57			2,388 43			1,445 99	-942 44	
RAYTHEON COMPANY NEW 819-07507	30 0000	3/29/04	31 08	932 37	2/18/09	46 438	1,393 12	460 75	LT
SANOFI-AVENTIS	47			1,943 58			1,388 80	-554 78	
UNION PACIFIC CORP 819-07507	4 0000	11/17/03	32 00	128 01	2/18/09	41 262	165 04	37 03	LT
UNITED STATES STEEL CORP NEW	76			5,514 01			2,874 70	-2,639 31	
VERIZON COMMUNICATIONS	18			575 64			505 99	-69 65	
VIACOM INC NEW CLASS B	98			4,271 74			2,939 45	-1,332 29	
WELLS FARGO & CO NEW 819-07507	66 0000	5/11/04	27 42	1,809 43	8/26/09	27 571	1,819 66	10 23	LT
Short-Term Total				14,455 73			14,359 77	-95 96	
Long-Term Total				69,439 58			68,532 78	-906 80	
Total				83,895 31			82,892 55	-1,002 76	

MorganStanley
SmithBarney

Wentworth, Hauser & Violich

Dow 10847 86 +99 60 10 49am | NASDAQ 2405 73 +30 42 10 49am | S&P 500 1166 12 +10 33 10 49am

Print

Select 819-71538 2009 Gain/Loss (Realized) Positions View

Data as of 5/11/2010

E-mail

2009 (Realized) Positions - 819-71538

Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
AGRIUM INC USD 819-71538	10 0000	12/30/08	32 34	323 44	2/18/09	38 415	384 14	60 70	ST
ARACRUZ CELULOSE SA SP ADR 819-71538	5 0000	3/26/08	70 45	352 25	11/27/09	18 186	90 93	-261 32	LT
AXA S A SPONS ADR 819-71538	30 0000	11/13/09	0	0 01	11/13/09	0	17 39	17 38	ST
BUNGE LIMITED 819-71538	5 0000	12/30/08	49 72	248 61	2/18/09	48 700	243 49	-5 12	ST
FIBRIA CELLULOSE S A ADR 819-71538	0 7350	N/A**	N/A**	N/A**	11/12/09	0	13 05	N/A**	N/A**
FOSTER WHEELER AG 819-71538	15 0000	12/30/08	23 42	351 26	2/18/09	20 527	307 90	-43 36	ST
RIO TINTO PLC-GBP 819-71538	10 0000	7/10/09	0	0 01	7/10/09	0	228 32	228 31	ST
SYNGENTA AG ADR 819-71538	5 0000	12/30/08	38 32	191 61	2/18/09	39 550	197 74	6 13	ST
TECK COMINCO LTD CL B SUB 819-71538	10 0000	3/26/08	40 83	408 33	2/18/09	3 282	32 81	-375 52	ST
TRICAN WELL SERVICE LTD 819-71538	5 0000	3/26/08	20 54	102 71	2/18/09	4 934	24 66	-78 05	ST
Total for Shares Missing Cost							13 05		
Short-Term Total				1,625 98			1,436 45	-189 53	
Long-Term Total				352 25			90 93	-261 32	
Total				1,978 23*			1,540 43	-450 85*	

1,991.28

Set this page as your default.

To change how you view your portfolio click here

The information on this page is updated with the prior trading day s closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however these positions will not appear as realized until the settlement date

* Account contains securities for which cost basis and/or values and quantity are temporarily unavailable The average cost per share is not displayed because this security s missing cost basis Totals will not incorporate gains (or losses) for these securities For more information, please call your Financial Advisor or the Online Service Center at 800-221 3636

** Please provide your Financial Advisor with the missing cost basis for this position

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings, particularly if you have received capital gain distributions with respect to these funds

[5] For more information, please contact your Financial Advisor

MorganStanley
SmithBarney

Dow 10296 85 +111 32 02/02/10 | NASDAQ 2190 06 +18 86 02/02/10 | S&P 500 1103 32 +14 13 02/02/10

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15 2010

NWQ Int'l.

Print

Select 819-13624 | 2009 Gain/Loss (Realized) Positions | View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-13624

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	ANGLOGOLD ASHANTI LTD	51			1,785 28			1,714 19	-71 09	
	BARRICK GOLD CORP CAD	43			1,391 54			1,721 18	329 64	
	BP PLC SPONS ADR 819-13624	17 0000	2/26/07	64 35	1,093 87	10/28/09	57 503	977 52	-116 35	LT
	CENTRAIS ELETRICAS	16			209 30			203 92	-5 38	
	CURRENCYSHARES EURO TRUST	15			1,898 12			2,084 15	186 03	
	DAIWA HOUSE INDUSTRIES ADR	12			1,443 36			1,008 70	-434 66	
	ERICSSON L M TEL CO CL B	134			1,612 72			1,041 12	-571 60	
	FUJIFILM HLDGS CORP-JPY 819-13624	26 0000	12/16/05	33 01	858 29	7/21/09	30 297	787 69	-70 60	LT
	GEMALTO NV-EUR 819-13624	28 0000	3/12/09	11 65	326 30	4/21/09	15 365	430 19	103 89	ST
	GOLD FIELDS LTD SPONS ADR	138			1,958 07			1,603 86	-354 21	
	HACHIJUNI BANK LTD-JPY 819-13624	6 0000	6/20/08	64 81	388 88	3/24/09	58 750	352 49	-36 39	ST
	IVANHOE MINES LIMITED-CAD 819-13624	32 0000	5/16/06	6 99	223 73	9/08/09	11 414	365 24	141 51	LT
	KIRIN HOLDING CO LTD	177			2,268 38			1,746 98	-521 40	
	KOREA ELEC PWR CORP SP ADR 819-13624	34 0000	12/16/05	19 07	648 48	3/25/09	10 239	348 11	-300 37	LT
	KT CORP SPONSORED ADR	182			3,960 16			2,696 38	-1,263 78	
	LIHIR GOLD LTD	42			1,171 85			985 32	-186 53	
	LONMIN PUB LTD CO SPON ADR	34			1,500 81			687 79	-813 02	
	MAGNA INTERNATIONAL CL A	14			978 68			705 26	-273 42	
	NEWCREST MINING LTD SPON 819-13624	14 0000	9/13/06	15 53	217 41	2/09/09	21 424	299 93	82 52	LT
	NEWMONT MINING CORP	72			2,801 43			2,962 48	161 05	
	NEXEN INC	28			859 31			713 25	-146 06	
	NIPPON TEL & TEL SPON ADR	14			320 80			309 18	-11 62	
	NOKIA CORP SPONSORED ADR 819-13624	13 0000	2/02/09	12 08	157 10	4/23/09	14 912	193 85	36 75	ST
	NOVAGOLD RESOURCES INC-CAD	109			1,531 89			211 85	-1,320 04	
	NOVARTIS AG ADR 819-13624	12 0000	2/13/09	42 90	514 79	12/02/09	56 256	675 05	160 26	ST
	PETRO-CANADA-CAD	34			1,541 32			733 88	-807 44	
	PROMISE CO LTD-UNSPON ADR	153			2,541 20			912 96	-1,628 24	
	ROHM CO LTD-JPY 819-13624	8 0000	1/16/09	24 86	198 84	7/02/09	35 192	281 52	82 68	ST
	ROYAL DUTCH SHELL PLC ADR 819-13624	10 0000	12/16/05	65 27	652 67	2/18/09	48 157	481 56	-171 11	LT
	SANOFI-AVENTIS	26			1 100 87			880 39	-220 48	
	SEGA SAMMY HLDGS INC-USD	171			1,066 23			432 62	-633 61	
	SEKISUI HOUSE UNSPONS ADR	125			1,504 77			1,003 81	-500 96	
	Short-Term Total				13,330 33			11,737 52	-1,592 81	
	Long-Term Total				44,104 51			31,274 01	-12,830 50	
	Total				57,434 84			43,011 53	-14,423 31	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	SIEMENS A G SPONS ADR 819-13624	7 0000	9/29/08	93 20	653 04	10/29/09	95 685	669 77	16 73	LT
	SILVER STANDARD RESOURCES 819-13624	21 0000	6/23/08	27 70	581 69	2/18/09	19 576	411 10	-170 59	ST
	SOCIETE GENERALE SPON ADR	100			441 57			647 60	206 03	
	STORA ENSO OYJ ADR	304			3,553 11			2,049 76	-1,503 35	
	SUNCOR ENERGY INC NEW	29			594 81			948 76	353 95	
	SUNCOR ENERGY INC	34			1,356 97			883 05	-473 92	
	SWISSCOM AG SPONS ADR 819-13624	14 0000	12/20/05	31 34	438 76	2/18/09	30 300	424 19	-14 57	LT
	TDK CORP AMER DEPOSIT SHS 819-13624	7 0000	7/07/08	59 77	418 40	1/16/09	37 597	263 17	-155 23	ST
	TDK CORP AMER DEPOSIT SHS	28			1,256 48			1,454 43	197 95	
	TECHNIP ADR	29			1,695 15			1,429 79	-265 36	
	TNT N V SPONSORED ADR-USD	21 525			353 64			591 67	238 03	
	TOMKINS F H PLC SPON ADR	134			2,728 02			867 51	-1,860 51	
	TOPPAN PRTG LTD ADR	59			3,215 74			1,710 99	-1,504 75	
	VODAFONE GROUP PLC SPONS	61			1,421 01			1,107 32	-313 69	
	Short-Term Total				13,330 33			11,737 52	-1,592 81	
	Long-Term Total				44,104 51			31,274 01	-12,830 50	
	Total				57,434 84			43,011 53	-14,423 31	

Set this page as your default

To change how you view your portfolio click here

The information on this page is updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your **Financial Advisor**

MorganStanley
SmithBarney

Dow 10257 93 -38 92 10 34am | NASDAQ 2183 24 -6 82 10 34am | S&P 500 1097 05 -6 27 10 33am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15, 2010

Wedge Cap. Mgmt.

Print

Select 819-07505 | 2009 Gain/Loss (Realized) Positions View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07505

All	Description	Quantity	Date Acquired	Purchase Price	Cost/ Adjusted Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss/ Adjusted Gain/Loss	ST/LT
	ALLSTATE CORP 819-07505	5,000 0000	9/25/08	99 20	4,959 75	5/14/09	98 973	4,948 65	-11 10	ST
	BERGEN CNTY N J UTILS AUTH WTR 819-07505	10,000 0000	9/11/08	100 90	10,089 80/ 10 016 00	9/18/09	100 776	10,077 60	-12 20/ 61 60	LT
	BLOUNT CNTY TENN PUB BLDG AUTH 819-07505	5,000 0000	11/15/05	94 17	4,708 50	6/01/09	0	5,000 00	291 50	LT
	FNMA PL#835465 DTD 09/01/2005 819-07505	15,000 0000	11/04/05	96 06	9,078 06	3/24/09	102 828	9,931 89	853 83	LT
	NORTH CAROLINA ST UNIV N C 819-07505	10,000 0000	9/11/08	103 31	10,331 30/ 10,000 00	12/15/09	0	10,000 00	-331 30/ 0 00	LT
	U S TREASURY NOTES SER M-2011	70,000			75,822 46/ 73,809 10			74,851 96	-970 50/ 1,042 86	
	UNIVERSITY CALIF LTD PROJECT	15,000			15,180 75/ 15,055 95			15,346 95	166 20/ 291 00	
	Short-Term Total				53,632 80/ 52,425 00			53,065 84	-566 96/ 640 84	
	Long-Term Total				76,537 82/ 75,202 36			77,091 21	553 39/ 1,888 85	
	Total				130,170 62/ 127,627 36			130,157 05	-13 57/ 2,529 69	

Set this page as your default

To change how you view your portfolio click here

The information on th s page is updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss s long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however, these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your Financial Advisor

MorganStanley
SmithBarney

Dow 10264 12 -32.73 10 32am | NASDAQ 2184 26 -5 80 10 32am | S&P 500 1097 90 -5 42 10 31am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15 2010

Hays Adv.

Print

Select 819-14595 | 2009 Gain/Loss (Realized) Positions | View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-14595

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	ACCENTURE LTD 819-14595	18 0000	2/04/09	33 20	597 53	2/18/09	31 000	557 99	-39 54	ST
	ADOBE SYSTEMS INC (DE)	38			1,275 28			813 88	-461 40	
	AETNA INC NEW	182			5,177 83			4,904 74	-273 09	
	ALCON INC	16			2,075 81			1,432 35	-643 46	
	BECTON DICKINSON & CO	99			8,422 98			6,719 41	-1,703 57	
	BIOVAIL CORP 819-14595	369 0000	7/02/09	13 29	4,904 71	11/11/09	13 628	5,028 53	123 82	ST
	BUCYRUS INTERNATIONAL INC	221			7,227 60			3,502 45	-3,725 15	
	CAMERON INTERNATIONAL CORP	45			1,930 18			945 04	-985 14	
	CISCO SYS INC	79			2,049 25			1,248 74	-800 51	
	COCA-COLA CO	120			6,256 69			6,004 52	-252 17	
	CUMMINS INC	61			1,548 71			2,204 63	655 92	
	DANAHER CORP DE	24			1,588 03			1,303 20	-284 83	
	EMCOR GROUP INC 819-14595	27 0000	1/28/09	21 86	590 22	2/18/09	18 833	508 48	-81 74	ST
	GENERAL DYNAMICS CORP	197			14,284 50			10,423 47	-3,861 03	
	HARRIS CORP-DELAWARE-	260			11,260 28			8,268 98	-2,991 30	
	INTUITIVE SURGICAL INC	30			8,483 64			3,064 60	-5,419 04	
	ISHARES S&P 100 INDEX FUND	1,241			52,186 53			52,467 99	281 46	
	ITT CORP	30			1,462 50			1,313 84	-148 66	
	MARATHON OIL CORP	257			9,923 14			7,781 19	-2,141 95	
	MICROSOFT CORP	63			1,954 09			1,172 30	-781 79	
	MONSANTO CO NEW	155			6,959 20			11,270 33	4,311 13	
	NIKE INC CL B	21			1,428 53			955 45	-473 08	
	NUCOR CORP	120			9,172 45			4,673 39	-4,499 06	
	ORACLE CORP	294			5,503 83			5,785 82	281 99	
	QLOGIC CORP	67			869 59			732 84	-136 75	
	QUEST DIAGNOSTICS INC	17			849 89			847 76	-2 13	
	SCHWAB CHARLES CORP	686			12,426 48			9,437 32	-2,989 16	
	SOUTHERN COPPER CORP DEL	48			1,126 25			727 12	-399 13	
	STANDARD & POORS MIDCAP 400	91			9,433 81			10,896 20	1,462 39	
	STRYKER CORP	208			13,312 33			7,931 34	-5,380 99	
	TEREX CORP NEW	168			13,793 35			2,480 74	-11,312 61	
	VCA ANTECH INC	189			4,825 19			4,352 00	-473 19	
	WALT DISNEY CO	42			1,428 98			803 71	-625 27	
	WATERS CORP	234			12,937 83			8,535 33	-4,402 50	
	Short-Term Total				95,566 57			89,477 12	-6,089 45	
	Long-Term Total				141,700 64			99,618 56	-42,082 08	
	Total				237,267 21			189,095 68	-48,171 53	

MorganStanley
SmithBarney

Dow 10279 09 -17 76 9 40am | NASDAQ 2186 89 -3 17 9 40am | S&P 500 1099 31 -4 01 9 39am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15, 2010

Turner Invst.

Print

Select 819-07511 | 2009 Gain/Loss (Realized) Positions | View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	99 CENTS ONLY STORES	166			1,567 26			1,804 30	237 04	
	A-POWER ENERGY GENERATIONS	40			467 72			682 28	214 56	
	AAR CORP	44			837 71			519 70	-318 01	
	AARON RENTS INC	23			624 73			523 48	-101 25	
	AARONS INC	47			1,334 44			1,367 45	33 01	
	ACORDA THERAPEUTICS INC	35			725 42			663 20	-62 22	
	ADC TELECOMMUNICATIONS INC NEW	165			1,321 26			1,239 08	-82 18	
	ADVANCED BATTERY TECHNOLOGIES	121			538 03			494 90	-43 13	
	AEGEAN MARINE PETROLEUM	69			1,163 79			1,061 48	-102 31	
	AEROVIRONMENT INC	40			1,312 67			1,137 00	-175 67	
	AGFEED INDUSTRIES INC 819-07511	70 0000	9/25/09	5 70	399 09	11/10/09	4 275	299 25	-99 84	ST
	AIRTRAN HOLDINGS INC	204			1,291 75			1,028 25	-263 50	
	ALBANY INTL CORP NEW CL A	40			705 18			816 43	111 25	
	ALLIED WORLD ASSURANCE CO	38			1,279 81			1,554 68	274 87	
	ALMOST FAMILY INC 819-07511	7 0000	9/28/09	31 22	218 56	12/16/09	39 481	276 36	57 80	ST
	ALPHA NATURAL RESOURCES INC 819-07511	23 0000	3/20/09	20 12	462 72	4/01/09	17 592	404 62	-58 10	ST
	AMEDISYS INC	23			928 49			738 06	-190 43	
	AMERICAN CAPITAL LTD	306			1,036 99			925 77	-111 22	
	AMERICAN MEDICAL SYS HLDGS 819-07511	16 0000	7/07/09	16 00	256 00	12/21/09	17 890	286 23	30 23	ST
	AMERICAN PUBLIC EDUCATION INC	34			1,354 20			1,288 89	-65 31	
	AMERICAN SCIENCE & ENG INC	14			886 82			918 16	31 34	
	AMERISTAR CASINOS INC	53			1,053 39			870 07	-183 32	
	AMKOR TECHNOLOGY INC	105			738 26			586 38	-151 88	
	APPLIED MICRO CIRCUITS CORP	98			789 18			868 75	79 57	
	ARENA RESOURCES INC	29			914 97			933 71	18 74	
	ARIBA INC-NEW	98			803 52			915 72	112 20	
	ASTEC INDUSTRIES INC	32			731 67			795 79	64 12	
	AVISTA CORP	90			2,033 20			1,331 58	-701 62	
	AXSYS TECHNOLOGIES INC	13			784 37			519 08	-265 29	
	BADGER METER INC	41			1,190 24			1,549 71	359 47	
	BALLY TECHNOLOGIES INC	66			1,366 07			1,909 70	543 63	
	BANKRATE INC 819-07511	21 0000	3/27/09	26 64	559 37	5/01/09	25 031	525 63	-33 74	ST
	BELDEN INC 819-07511	11 0000	5/11/09	18 63	204 89	7/30/09	18 246	200 69	-4 20	ST
	BERRY PETROLEUM CO CL A 819-07511	33 0000	4/03/09	12 60	415 88	5/04/09	17 594	580 59	164 71	ST
	BJ'S WHOLESALE CLUB INC	41			1,400 38			1,200 73	-199 65	
	BLACKBOARD INC	36			1,041 54			1,062 83	21 29	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	BRIDGEPOINT EDUCATION INC 819-07511	27 0000	6/03/09	13 39	361 48	6/18/09	16 086	434 29	72 81	ST
	BRINKS HOME SECURITY HLDG INC 819-07511	8 0000	11/09/09	32 27	258 13	12/18/09	31 475	251 79	-6 34	ST
	BRISTOW GROUP INC	36			1,081 01			1,059 48	-21 53	
	BROADRIDGE FINANCIAL SOLUTIONS	82			1,106 07			1,423 66	317 59	
	BUCKLE INC	26			770 85			804 81	33 96	
	BUFFALO WILD WINGS INC	48			1,470 01			1,616 33	146 32	
	CADENCE DESIGN SYSTEMS INC	260			1,485 90			1,498 03	12 13	
	CAL-MAINE FOODS INC-NEW	49			1,202 76			1,345 13	142 37	
	CALGON CARBON CORPORATION	85			1,457 96			1,209 88	-248 08	
	CANADIAN SOLAR INC	80			550 18			1,121 63	571 45	
	CARDIONET INC	33			886 56			678 08	-208 48	
	CAREER ED CORP	77			1,284 56			1,713 49	428 93	
	CARTERS INC	82			1,721 08			1,914 75	193 67	
	CASH AMERICA INTERNATIONAL INC	38			1,454 19			682 22	-771 97	

1 | 2 | 3 | 4 | 5 | 6 Next ▸

Set this page as your default

To change how you view your portfolio click here

The information on this page s updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however, these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

* For more information, please contact your Financial Advisor

MorganStanley
SmithBarney

Dow 10272 82 -24 03 9 39am | NASDAQ 2186 06 -4 00 9 39am | S&P 500 1099 28 -4 04 9 38am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15, 2010

Print

Select 819-07511 | 2009 Gain/Loss (Realized) Positions View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	CATALYST HEALTH SOLUTIONS INC	58			1,704 25			1,333 12	-371 13	
	CENTURY ALUMINUM COMPANY	47			478 87			544 04	65 17	
	CEPHEID INC	76			762 15			985 91	223 76	
	CHATTEM INC	16			1,099 79			965 53	-134 26	
	CHEESECAKE FACTORY INC	78			1,495 19			1,445 66	-49 53	
	CHEMED CORP	29			1,227 79			1,151 24	-76 55	
	CHICAGO BRIDGE&IRON NV SHS	86			765 77			1,464 36	698 59	
	CHINA MEDICAL TECH SPON	43			874 16			837 78	-36 38	
	CHIPOTLE MEXICAN GRILL	15			842 65			973 26	130 61	
	CIENA CORP NEW	94			1,001 44			879 26	-122 18	
	CITY NATIONAL CORP 819-07511	12 0000	3/02/09	30 51	366 16	3/04/09	25 148	301 76	-64 40	ST
	CLEAN ENERGY FUELS CORP	69			898 56			837 14	-61 42	
	CLEAN HARBORS INC	21			1,253 45			952 01	-301 44	
	COINSTAR INC 819-07511	17 0000	3/20/09	28 49	484 31	4/06/09	32 637	554 82	70 51	ST
	COLLECTIVE BRANDS INC	31			460 49			505 35	44 86	
	COMSTOCK RES INC NEW	24			860 69			659 99	-200 70	
	COMTECH TELECOMMUNICATIONS	27			1,224 48			829 75	-394 73	
	CONMED CORPORATION	63			1,969 82			1,052 55	-917 27	
	COOPER COS INC NEW	43			924 20			1,101 66	177 46	
	CORE LABORATORIES N V-USD	9			650 70			820 64	169 94	
	CORINTHIAN COLLEGES INC	100			1,886 98			1,584 36	-302 62	
	CRACKER BARREL OLD COUNTRY 819-07511	18 0000	3/10/09	23 74	427 37	3/19/09	27 690	498 41	71 04	ST
	CSG SYSTEMS INTERNATIONAL INC	112			1,693 07			1,642 44	-50 63	
	CYMER INC	27			911 73			956 87	45 14	
	DARLING INTL INC COM	185			1,148 78			1,310 02	161 24	
	DELUXE CORP	68			1,183 78			901 07	-282 71	
	DIANA SHIPPING INC	93			1,451 67			1,220 06	-231 61	
	ELIZABETH ARDEN INC	21			408 15			281 17	-126 98	
	EMCOR GROUP INC	33			827 99			746 40	-81 59	
	EMERGENCY MEDICAL SERVICES-A	48			1,590 58			1,979 67	389 09	
	EMULEX CORP NEW	90			499 21			892 96	393 75	
	ENERNOC INC	45			1,343 30			1,220 66	-122 64	
	EPIQ SYSTEMS INC	83			1,386 17			1,205 60	-180 57	
	ESTERLINE TECHNOLOGIES CORP 819-07511	8 0000	7/30/09	28 82	230 55	9/17/09	40 215	321 71	91 16	ST
	EV3 INC 819-07511	12 0000	5/19/09	8 63	103 55	6/26/09	10 300	123 59	20 04	ST
	EXAR CORP	138			1,135 58			852 11	-283 47	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	EZCORP INC CL A NON VTG	106			1 750 98			1,208 42	-542 56	
	FAIR ISAAC & CO INC	51			888 73			1,179 15	290 42	
	FIRST COMMONWEALTH FINANCIAL	120			1,329 11			989 81	-339 30	
	FIRST NIAGARA FINL GROUP INC 819-07511	15 0000	4/08/09	12 54	188 07	7/09/09	10 806	162 09	-25 98	ST
	FORCE PROTECTION INC-NEW	82			508 42			431 40	-77 02	
	FORMFACTOR INC	45			852 99			754 51	-98 48	
	FOSSIL INC	27			380 34			445 89	65 55	
	FRESH DEL MONTE PRODUCE	34			876 48			561 64	-314 84	
	FUEL SYSTEMS SOLUTIONS INC	25			918 44			1,180 17	261 73	
	GENESEE & WYOMING INC CLASS A	39			1 708 93			900 09	-808 84	
	GENOPTIX INC	25			788 91			911 93	123 02	
	GERON CORP	179			1,183 82			1,203 39	19 57	
	GLACIER BANCORP INC (NEW)	76			1,394 56			1,145 28	-249 28	
	GLOBAL INDUSTRIES LTD	156			1,120 04			1,512 42	392 38	

◄ Previous 1 | 2 | 3 | 4 | 5 | 6 Next ►

Set this page as your default

To change how you view your portfolio click here

The information on this page is updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however, those positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

* For more information, please contact your **Financial Advisor**

MorganStanley
SmithBarney

Dow 10272 82 -24 03 9 39am | NASDAQ 2186 06 -4 00 9 39am | S&P 500 1099 28 -4 04 9 38am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15, 2010

Print

Select 819-07511 | 2009 Gain/Loss (Realized) Positions | View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
GRAFTECH INTERNATIONAL INC	53			679 99			767 20	87 21	
GREATBATCH INC	39			867 62			842 29	-25 33	
GREEN MOUNTAIN COFFEE	30			898 11			1,683 85	785 74	
GREENHILL & CO INC	17			1,003 86			1,277 37	273 51	
GT SOLAR INTL INC 819-07511	45 0000	6/11/09	7 14	321 46	6/22/09	5 328	239 74	-81 72	ST
HANCOCK HOLDING CO 819-07511	5 0000	5/12/09	38 93	194 66	11/24/09	42 209	211 04	16 38	ST
HARBIN ELECTRIC INC 819-07511	11 0000	10/09/09	19 42	213 57	12/08/09	22 022	242 23	28 66	ST
HARMONIC INC	119			759 71			638 06	-121 65	
HATTERAS FINANCIAL CORP	37			913 56			1,077 02	163 46	
HCC INSURANCE HOLDINGS INC	53			1,213 33			1,195 23	-18 10	
HEALTH MGMT ASSOC INC CL A	162			596 94			762 67	165 73	
HEALTH NET INC	124			1,820 59			1,628 79	-191 80	
HECLA MINING CO CLASS A	264			696 45			1,502 30	805 85	
HIBBETT SPORTS INC 819-07511	27 0000	5/20/09	18 99	512 78	6/15/09	17 034	459 91	-52 87	ST
HOLLY CORP-NEW	38			879 51			810 57	-68 94	
HORNBECK OFFSHORE SERVICES	43			1,107 01			915 28	-191 73	
INTERDIGITAL INC	36			824 31			906 05	81 74	
INTERMUNE INC 819-07511	17 0000	3/20/09	17 70	300 83	4/30/09	13 898	236 26	-64 57	ST
INTERNATIONAL COAL GROUP	194			933 73			741 47	-192 26	
INTERNATIONAL RECTIFIER CORP	82			1,289 93			1,222 30	-67 63	
INTEROIL CORP	18			688 12			1,021 05	332 93	
INTERWOVEN INC-NEW	77			1,099 06			1,206 39	107 33	
INVACARE CORP	55			1,298 84			860 28	-438 56	
INVESTORS BANCORP INC	93			1,409 29			881 47	-527 82	
IPC HOLDINGS LTD -USD	55			1,694 95			1,511 39	-183 56	
J2 GLOBAL COMMUNICATIONS NEW	46			1,032 37			1,028 28	-4 09	
JAMES RIVER COAL NEW	23			503 43			349 99	-153 44	
JDS UNIPHASE CORP NEW	272			991 90			1,520 95	529 05	
JETBLUE AIRWAYS CORP	172			1,086 49			627 69	-458 80	
KAISER ALUMINUM CORP	15			352 18			510 13	157 95	
KNIGHT CAPITAL GROUP INC	88			1,608 43			1,628 01	19 58	
KOPPERS HOLDINGS INC	29			971 46			608 85	-362 61	
LAYNE CHRISTENSEN CO 819-07511	22 0000	1/13/09	20 57	452 47	1/29/09	17 424	383 32	-69 15	ST
LAZARD LTD	42			1,090 68			1,173 16	82 48	
LINN ENERGY LLC COMMON UNITS	77			1,114 76			1,586 20	471 44	
LKQ CORP	57			725 31			922 83	197 52	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	LUMBER LIQUIDATORS 819-07511	9 0000	6/15/09	15 02	135 21	12/29/09	28 265	254 37	119 16	ST
	MANITOWOC CO INC	122			937 19			1,189 17	251 98	
	MANTECH INTERNATIONAL	58			2,753 79			2,739 10	-14 69	
	MARTEK BIOSCIENCES CORP	42			1,163 00			899 47	-263 53	
	MASIMO CORP	27			692 50			629 02	-63 48	
	MASTEC INC	113			1,252 64			1,256 75	4 11	
	MATTHEWS INTL CORP CL A	33			1,520 54			1,063 60	-456 94	
	MAXIMUS INC	38			1,354 77			1,468 05	113 28	
	MEDICINES COMPANY	58			1,162 51			549 02	-613 49	
	MEDICIS PHARMACEUTICAL CORP	33			520 69			798 87	278 18	
	MENS WEARHOUSE INC	55			1,011 80			1,328 33	316 53	
	MERCADOLIBRE INC	48			789 73			1,527 59	737 86	
	METAVANTE TECHNOLOGIES INC	52			869 81			1,179 27	309 46	
	MF GLOBAL LTD-USD	157			882 11			971 87	89 76	

◀ Previous 1 | 2 | 3 | 4 | 5 | 6 Next ▶

Set this page as your default

To change how you view your portfolio click here

The nforma'on on this page is updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

* For more information, please contact your Financial Advisor

MorganStanley
SmithBarney

Dow 10273 12 -23 73 9 38am | NASDAQ 2186 17 -3 89 9 38am | S&P 500 1099 22 -4 10 9 38am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15 2010

Print

Select 819-07511 2009 Gain/Loss (Realized) Positions View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	MGIC INVT CORP WIS 819-07511	98 0000	10/16/09	6 58	644 44	10/26/09	4 824	472 71	-171 73	ST
	MICROSEMI CORP	31			751 31			393 45	-357 86	
	MINERALS TECHNOLOGIES INC	14			950 82			544 92	-405 90	
	MOOG INC CL A	27			1,245 27			762 28	-482 99	
	NATUS MEDICAL INC	55			1,209 01			522 96	-686 05	
	NCR CORP NEW	167			1,654 47			2,001 45	346 98	
	NEKTAR THERAPEUTICS	80			544 15			718 27	174 12	
	NEUTRAL TANDEM INC	31			598 62			802 26	203 64	
	NEW JERSEY RESOURCES CORP	56			2,010 93			1,909 52	-101 41	
	NICE-SYSTEMS LTD SPON ADR	53			1,382 61			1,162 63	-219 98	
	NORDIC AMERICAN TANKER	32			974 36			1,046 66	72 30	
	NORTHWEST NATURAL GAS CO	12			591 61			505 14	-86 47	
	NOVATEL WIRELESS INC-NEW 819-07511	40 0000	5/28/09	10 73	429 18	6/12/09	9 689	387 54	-41 64	ST
	NTELOS HOLDINGS CORP	58			977 34			880 21	-97 13	
	OCEANEERING INTERNATIONAL INC	29			837 07			1,051 93	214 86	
	OLIN CORP	40			571 99			415 65	-156 34	
	OM GROUP INC	62			1,073 69			1,661 31	587 62	
	ONYX PHARMACEUTICALS INC	40			1,218 05			1,067 18	-150 87	
	OPTIONSXPRESS HOLDINGS INC	65			1,127 69			1,166 76	39 07	
	ORBITAL SCIENCES CORP	49			1,122 53			651 67	-470 86	
	PAR PHARMACEUTICAL COMPANIES	85			941 54			909 71	-31 83	
	PDL BIOPHARMA INC	80			594 61			689 81	95 20	
	PENN NATIONAL GAMING INC	39			765 41			1,257 60	492 19	
	PERFECT WORLD LTD ADR	40			693 09			1,140 88	447 79	
	PERINI CORP 819-07511	18 0000	10/21/08	17 51	315 22	2/19/09	19 748	355 46	40 24	ST
	PETMED EXPRESS INC	73			1,207 28			1,079 83	-127 45	
	PIEDMONT NATURAL GAS	54			1,596 24			1,367 66	-228 58	
	PNM RESOURCES INC	103			1,145 99			1,149 61	3 62	
	POLARIS INDS INC	16			747 79			427 34	-320 45	
	POLYCOM INC 819-07511	4 0000	7/09/09	20 30	81 22	10/21/09	22 032	88 12	6 90	ST
	PORTLAND GENERAL ELECTRIC CO	43			776 50			786 82	10 32	
	POTLATCH CORP NEW	43			1,556 30			1,120 33	-435 97	
	POWER INTEGRATIONS INC	29			616 86			922 10	305 24	
	PRIVATEBANCORP INC	54			1,195 57			840 31	-355 26	
	PROASSURANCE CORP	25			1,336 27			1,119 12	-217 15	
	PROSPERITY BANCSHARES INC	37			1,296 68			1,091 67	-205 01	
	PSS WORLD MEDICAL INC	47			854 57			983 17	128 60	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	PSYCHIATRIC SOLUTIONS INC	58			1,627 27			974 17	-653 10	
	QUALITY SYSTEMS INC	12			499 23			598 74	99 51	
	QUEST SOFTWARE INC	99			1,253 44			1,301 04	47 60	
	REDWOOD TRUST INC	88			1,273 96			1,287 50	13 54	
	RINO INTERNATIONAL CORPORATION	22			398 17			623 65	225 48	
	RISKMETRICS GROUP INC	35			606 64			586 09	-20 55	
	RIVERBED TECHNOLOGY INC	56			900 63			1,261 82	361 19	
	ROCK-TENN CO CLASS A	29			1,012 79			1,112 02	99 23	
	ROCKWOOD HOLDINGS INC 819-07511	21 0000	9/02/09	19 04	399 88	10/01/09	16 936	355 65	-44 23	ST
	RYLAND GROUP INC	51			1 034 31			987 05	-47 26	
	SAKS INC	203			846 06			1,314 26	468 20	
	SALIX PHARMACEUTICALS LTD	32			418 19			684 42	266 23	
	SANDERSON FARMS INC	16			730 56			645 17	-85 39	

◄ Previous 1 | 2 | 3 | 4 | 5 | 6 Next ►

Set this page as your default

To change how you view your portfolio click here

The information on this page is updated with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however, those positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your **Financial Advisor**

MorganStanley SmithBarney

Dow 10270 25 -26 60 9 37am | NASDAQ 2185 14 -4 92 9 37am | S&P 500 1099 04 -4 28 9 37am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15 2010

Print

Select 819-07511 2009 Gain/Loss (Realized) Positions View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
SAPIENT CORP	185			1,112 92			1,412 57	299 65	
SCOTTS MIRACLE GRO CO	22			750 04			658 66	-91 38	
SEATTLE GENETICS INC	40			484 34			452 79	-31 55	
SEMTECH CORP	80			1,041 24			1,277 94	236 70	
SENSIENT TECHNOLOGIES CORP	53			1,635 37			1,183 23	-452 14	
SEPRACOR INC	84			1,287 11			1,154 32	-132 79	
SEQUENOM INC	44			816 21			934 38	118 17	
SERVICE CORP INTERNATIONAL	82			367 03			490 17	123 14	
SIGMA DESIGNS INC	86			1,192 43			1,187 89	-4 54	
SIGNATURE BANK	27			863 79			674 37	-189 42	
SILICON LABORATORIES INC	21			718 74			514 33	-204 41	
SMITH & WESSON HLDG CORP	130			759 73			704 70	-55 03	
SOHU COM INC	22			1,069 28			894 93	-174 35	
SONIC AUTOMOTIVE INC CL A	52			670 02			427 87	-242 15	
SONOSITE INC	43			1,306 12			793 94	-512 18	
SOUTH JERSEY INDUSTRIES INC	27			1,016 70			962 44	-54 26	
SOUTHERN UNION COMPANY	66			967 81			1,363 28	395 47	
SOUTHWEST GAS CORP	34			964 96			671 21	-293 75	
STERIS CORP	43			1,205 78			1,017 86	-187 92	
STONE ENERGY CORP	59			694 85			982 47	287 62	
SUNRISE SENIOR LIVING INC 819-07511	115 0000	10/21/09	5 76	662 24	11/09/09	3 219	370 22	-292 02	ST
SYBASE INC	57			1,450 38			1,804 94	354 56	
SYKES ENTERPRISES INC	49			911 64			842 63	-69 01	
SYNIVERSE HOLDINGS INC	77			1,072 96			1,092 28	19 32	
SYNTEL INC 819-07511	18 0000	1/21/09	22 94	412 91	2/10/09	21 218	381 91	-31 00	ST
TAKE-TWO INTERACTIVE SOFTWARE	220			2,250 08			1,663 73	-586 35	
TEAM INC	37			1,391 58			687 22	-704 36	
TELEDYNE TECHNOLOGIES INC	27			1,288 13			842 99	-445 14	
TELLABS INC	301			1,259 17			1,621 56	362 39	
TERADYNE INC	120			622 41			837 46	215 05	
TESSERA TECHNOLOGIES INC	58			972 15			1,432 75	460 60	
TETRA TECH INC NEW	48			1,349 98			1,237 89	-112 09	
TETRA TECHNOLOGIES INC DEL	141			1,202 79			1,359 42	156 63	
TEXAS CAP BANCSHARES INC	47			884 60			557 95	-326 65	
TEXAS INDUSTRIES INC	45			1,228 08			1,332 17	104 09	
THORATEC CORP	39			1,062 76			950 95	-111 81	
THQ INC NEW (DE)	186			1,056 44			1,271 19	214 75	
TIVO INC	93			715 70			649 91	-65 79	

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	TNS INC	20			336 07			507 39	171 32	
	TORO CO	27			1,066 04			770 37	-295 67	
	TOWER GROUP INC	45			1,128 02			1,095 39	-32 63	
	TRACTOR SUPPLY CO	56			2,249 22			2,370 70	121 48	
	TREEHOUSE FOODS INC	51			1,441 20			1,527 77	86 57	
	TRIUMPH GROUP INC NEW	16			719 20			645 10	-74 10	
	TRUSTMARK CORP	50			1,045 74			931 99	-113 75	
	TUPPERWARE CORP	44			872 71			813 96	-58 75	
	TUTOR PERINI CORP	64			1,266 13			1,148 35	-117 78	
	UCBH HOLDINGS INC	216			1,436 05			561 45	-874 60	
	UNISOURCE ENERGY CORP	20			553 55			512 64	-40 91	
	UNISYS CORP	106 9			252 39			349 64	97 25	

Previous 1 | 2 | 3 | 4 | 5 | 6 Next

Set this page as your default

To change how you view your portfolio click here

The information on this page is updated, with the prior trading day's closing prices when they become available

LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date however, these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

* For more information, please contact your **Financial Advisor**

MorganStanley
SmithBarney

Dow 10272 14 -24 71 9 38am | NASDAQ 2185 32 -4 74 9 36am | S&P 500 1099 21 -4 11 9 35am

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download on or before February 15 2010

Print

Select 819-07511 2009 Gain/Loss (Realized) Positions View

Data as of 2/2/2010

E-mail

2009 (Realized) Positions - 819-07511

All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	UNIT CORP	58			1,856 02			1,565 78	-290 24	
	USEC INC	135			901 06			630 27	-270 79	
	VAIL RESORTS INC	16			487 33			598 75	111 42	
	VALIDUS HOLDINGS LTD	48			1,142 62			1,126 11	-16 51	
	VALUECLICK INC	127			1,069 74			1,310 98	241 24	
	VANDA PHARMACEUTICALS INC	73			971 35			983 81	12 46	
	VARIAN INC	20			751 55			1,013 24	261 69	
	VERIGY LTD	46			1,011 35			342 57	-668 78	
	VIROPHARMA INC	98			1,111 61			883 56	-228 05	
	VISHAY INTERTECHNOLOGY INC	173			932 14			1,226 42	294 28	
	VIVUS INC	115			736 13			1,060 90	324 77	
	WEBSENSE INC	47			1,020 47			646 18	-374 29	
	WHOLE FOODS MKT INC	74			900 34			1,407 50	507 16	
	WINN-DIXIE STORES INC	69			1,027 03			651 83	-375 20	
	WOODWARD GOVERNOR CO DELAWARE 819-07511	6 0000	9/08/09	21 99	131 94	11/19/09	23 626	141 75	9 81	ST
	ZORAN CORP	149			1,050 52			1,081 16	30 64	
	Short-Term Total				238,382 77			236,043 77	-2,339 00	
	Long-Term Total				18,928 12			14,931 25	-3,996 87	
	Total				257,310 89			250,975 02	-6,335 87	

◂ Previous 1 | 2 | 3 | 4 | 5 | 6

Set this page as your default

To change how you view your portfolio click here

The information on th s page is updated with the prior trading day s closing prices when they become available

LT/ST indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your **Financial Advisor**

NWQ Investment Mgmt.

MorganStanley SmithBarney

Reserved Client Statement

December 1 - December 31, 2009

Ref. 00000159 00019856

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07507-14 258**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
144	INGERSOLL-RAND PUBLIC LTD CO	IR	12/02/04	$ 5,147.23	$ 35.744	$ 35 74	$ 5,146.56	($ .67) LT		
60			10/16/06	2,373.00	39.55	35.74	2,144.40	(228 60) LT		
204				**7,520.23**	**36 864**		**7,290.96**	**(229.27)**	**.783**	**57.12**
135	AT&T INC	T	10/27/05	3,197.84	23.687	28 03	3,784.05	586.21 LT		
35			10/28/05	829.57	23.702	28 03	981 05	151.48 LT		
170				**4,027.41**	**23 691**		**4,765 10**	**737 69**	**5.993**	**285.60**
87	AETNA INC NEW	AET	10/16/06	3,440.32	39.543	31 70	2,757 90	(682.42) LT		
34			03/06/09	660.86	19.437	31.70	1,077.80	416.94 ST		
5			03/09/09	98.61	19.721	31.70	158 50	59 89 ST		
126				**4,199.79**	**33.332**		**3,994 20**	**(205.59)**	**.126**	**5.04**
34	AMGEN INC	AMGN	05/25/07	1,843 40	54 217	56.57	1,923.38	79.98 LT		
5			05/29/07	272 43	54 486	56 57	282 85	10.42 LT		
73			11/15/07	3,992.39	54 69	56.57	4,129 61	137 22 LT		
38			04/14/09	1,810 79	47 652	56 57	2,149.66	338.87 ST		
38			09/17/09	2,274.04	59 843	56.57	2,149.66	(124.38) ST		
188				**10,193.05**	**54.218**		**10,635.16**	**442.11**		
36	ANGLOGOLD ASHANTI LTD ADR	AU	05/30/08	1,248.51	34.68	40.18	1,446.48	197 97 LT		
69			06/02/08	2,394.61	34.704	40.18	2,772 42	377.81 LT		
18			07/09/08	592.84	32.935	40.18	723 24	130 40 LT		
30			07/10/08	983 98	32.799	40.18	1,205 40	221 42 LT		
15			07/11/08	499 81	33.32	40.18	602.70	102.89 LT		
36			07/22/08	886.13	24 614	40.18	1,446 48	560 35 LT		
20			09/01/09	720.80	36.04	40.18	803.60	82.80 ST		
224				**7,326.68**	**32.708**		**9,000.32**	**1,673.64**	**.316**	**28 45**
45	AON CORP	AON	09/06/06	1,529 84	33.996	38 34	1,725.30	195.46 LT		
35			09/07/06	1,185 42	33 869	38 34	1,341.90	156.48 LT		
40			10/16/06	1,435 20	35 88	38 34	1,533 60	98 40 LT		
51			12/01/09	1,960 95	38 45	38 34	1,955.34	(5.61) ST		
22			12/02/09	846.20	38.463	38.34	843.48	(2.72) ST		
25			12/03/09	959 71	38.388	38.34	958.50	(1 21) ST		
4			12/04/09	153.68	38.419	38 34	153.36	(.32) ST		
222				**8,071.00**	**36 356**		**8,511.48**	**440.48**	**1.564**	**133.20**
9	APACHE CORP	APA	10/16/06	596 07	66 23	103.17	928.53	332.46 LT		
45			01/04/07	2,875.82	63.907	103.17	4,642.65	1,766 83 LT		
15			01/05/07	968.50	64 566	103 17	1,547.55	579.05 LT		

Ref· 00000159 00019857

MorganStanley
SmithBarney

Reserved
Client Statement
December 1 - December 31, 2009

HAMRICK MILLS FOUNDATION, INC. *Account number 819-07507-14 258*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
60	APACHE CORP	APA	04/27/07	$ 4,439 07	$ 73.984	$ 103.17	$ 6,190.20	$ 1,751 13 LT		
129				**8,879 46**	**68 833**		**13,308.93**	**4,429 47**	**581**	**77 40**
113	BARRICK GOLD CORP CAD	ABX	12/02/04	2,741.51	24.261	39.38	4,449 94	1,708 43 LT		
130			10/16/06	3,906.50	30.05	39.38	5,119 40	1,212 90 LT		
35			09/10/09	1,302.07	37.202	39.38	1,378 30	76.23 ST		
278				**7,950 08**	**28 597**		**10,947.64**	**2,997.56**	**1 015**	**111 20**
63 7885	CBS CORP NEW CLASS B	CBS	12/03/04	1,825 15	28 665	14.05	896.23	(928.92) LT		
25 4527			12/21/04	721.24	28.389	14.05	357 61	(363 63) LT		
62 8831			12/22/04	1,820 66	29 006	14.05	883.51	(937.15) LT		
34.9351			09/08/05	951.63	27.29	14 05	490.84	(460 79) LT		
21 9592			09/09/05	601.22	27 429	14.05	308 53	(292.69) LT		
9 9814			09/12/05	273 98	27.50	14.05	140 24	(133.74) LT		
80			10/16/06	2,248 00	28.10	14 05	1,124.00	(1,124.00) LT		
299				**8,441.88**	**28 234**		**4,200.96**	**(4,240 92)**	**1 423**	**59 80**
144	CVS CAREMARK CORP	CVS	11/05/09	**4,145 57**	28.788	32.21	**4,638 24**	**492 67** ST	**946**	**43.92**
264	CA INC	CA	03/29/04	7,063 46	26 755	22 46	5,929 44	(1,134.02) LT		
46			08/04/04	1,134 24	24.657	22.46	1,033.16	(101.08) LT		
45			04/25/06	1,108.17	24 625	22.46	1,010 70	(97.47) LT		
200			10/16/06	4,788.00	23.94	22.46	4,492.00	(296.00) LT		
555				**14,093 87**	**25.394**		**12,465.30**	**(1,628.57)**	**712**	**88 80**
28	CANADIAN NATURAL RES LTD	CNQ	11/16/09	1,939.76	69 277	71 95	2,014 60	74.84 ST		
7			11/19/09	467.76	66.822	71.95	503 65	35.89 ST		
30			11/20/09	1,975.01	65.833	71 95	2,158 50	183 49 ST		
65				**4,382 53**	**67 424**		**4,676.75**	**294.22**	**547**	**25.61**
1,346	CITIGROUP INC	C	12/17/09	4,305.05	3 198	3.31	4,455.26	150 21 ST		
868			12/17/09	2,776.21	3.198	3.31	2,873 08	96.87 ST		
391			12/18/09	1,274.74	3.26	3.31	1,294 21	19 47 ST		
2,605				**8,356 00**	**3 208**		**8,622 55**	**266 55**		
171	COMCAST CORP CL A - SPL	CMCSK	08/20/09	**2,394 92**	14 005	16 01	**2,737.71**	**342.79** ST	**2.361**	**64.64**
57	CONOCOPHILLIPS	COP	10/16/06	3,476 43	60.99	51 07	2,910 99	(565 44) LT		
5			11/20/09	259.85	51.969	51.07	255 35	(4 50) ST		
22			11/24/09	1,150 37	52.289	51 07	1,123 54	(26 83) ST		
16			11/25/09	843.62	52.726	51.07	817 12	(26 50) ST		
8			11/27/09	414.35	51.793	51 07	408 56	(5 79) ST		
108				**6,144 62**	**56 895**		**5,515 56**	**(629.06)**	**3 916**	**216 00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
77	GENWORTH FINL INC CLASS A	GNW	05/25/04	$ 1,486 06	$ 19.299	$ 11 35	$ 873 95	($ 612.11) LT		
75			05/26/04	1,462.50	19.50	11.35	851.25	(611.25) LT		
150			05/27/04	2,924.99	19.499	11 35	1,702.50	(1,222.49) LT		
70			04/28/06	2,332 48	33 321	11 35	794.50	(1,537.98) LT		
40			10/16/06	1,417 20	35.43	11.35	454.00	(963.20) LT		
153			01/08/08	3,717 35	24 296	11 35	1,736.55	(1,980.80) LT		
565				**13,340 58**	**23.612**		**6,412 75**	**(6,927 83)**		
123	HALLIBURTON CO HOLDINGS CO	HAL	11/12/08	**2,163 49**	17.589	30.09	**3,701.07**	**1,537.58** LT	**1.196**	44 28
39	HARTFORD FINL SVCS GROUP INC	HIG	12/23/03	2,246.37	57 599	23 26	907.14	(1,339 23) LT		
28			08/04/04	1,814.88	64.817	23 26	651 28	(1,163 60) LT		
15			08/01/06	1,268.60	84.573	23 26	348.90	(919.70) LT		
33			08/02/06	2,760.39	83 648	23.26	767 58	(1,992 81) LT		
20			10/16/06	1,814.20	90 71	23.26	465.20	(1,349 00) LT		
13			07/26/07	1,238.23	95.248	23.26	302.38	(935 85) LT		
17			07/27/07	1,641.79	96 575	23 26	395 42	(1.246 37) LT		
83			12/22/09	1,935.91	23.324	23.26	1,930.58	(5 33) ST		
248				**14,720 37**	**59.356**		**5,768.48**	**(8,951 89)**	**.859**	49 60
38	HESS CORP	HES	04/11/07	2,144.10	56.423	60.50	2,299.00	154.90 LT		
5			04/12/07	284.69	56 937	60.50	302.50	17.81 LT		
3			04/17/07	170.26	56.753	60.50	181.50	11.24 LT		
46				**2,599 05**	**56.501**		**2,783.00**	**183.95**	**.661**	18.40
36	JPMORGAN CHASE & CO	JPM	02/04/04	1,392.45	38 84	41.67	1,500.12	107 67 LT		
92			08/04/04	3,433.86	37 324	41 67	3,833.64	399 78 LT		
30			10/16/06	1,427 40	47.58	41 67	1,250.10	(177.30) LT		
158				**6,253 71**	**39 58**		**6,583.86**	**330.15**	**.479**	31 60
17	KIMBERLY CLARK CORP	KMB	04/24/03	828.52	48.736	63 71	1,083.07	254.55 LT		
7			05/27/03	352.77	50.395	63 71	445.97	93.20 LT		
18			05/28/03	915.90	50.883	63.71	1,146.78	230.88 LT		
5			07/23/03	246 34	49.268	63 71	318.55	72.21 LT		
12			07/24/03	591.67	49.305	63.71	764.52	172.85 LT		
15			08/04/03	712.89	47.526	63 71	955.65	242.76 LT		
13			08/25/03	640.07	49.236	63.71	828.23	188.16 LT		
20			11/26/03	1,055.30	52.765	63.71	1,274.20	218.90 LT		
9			08/04/04	577.30	64 144	63.71	573.39	(3 91) LT		
116				**5,920.76**	**51.041**		**7,390.36**	**1,469.60**	**3.767**	278 40

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
33	KROGER CO	KR	09/22/09	$ 674 07	$ 20 426	$ 20 53	$ 677 49	$ 3 42 ST		
34			09/23/09	699.12	20.562	20 53	698.02	(1.10) ST		
58			09/24/09	1,191.78	20.548	20.53	1,190 74	(1 04) ST		
34			09/25/09	699 69	20 579	20.53	698 02	(1 67) ST		
40			09/28/09	822 59	20 564	20 53	821 20	(1 39) ST		
199				**4,087.25**	**20 539**		**4,085 47**	**(1.78)**	**1 85**	**75.62**
6	LOCKHEED MARTIN CORP	LMT	03/12/04	266.72	44 453	75.35	452.10	185 38 LT		
63			03/24/04	2,875 50	45.642	75.35	4,747 05	1,871.55 LT		
8			08/04/04	433 97	54 246	75.35	602.80	168 83 LT		
11			08/04/09	829.43	75 402	75.35	828.85	(.58) ST		
8			09/01/09	604 06	75.507	75 35	602.80	(1.26) ST		
5			09/02/09	375 38	75 075	75.35	376 75	1.37 ST		
101				**5,385.06**	**53.317**		**7,610.35**	**2,225 29**	**3 344**	**254 52**
9	LOEWS CORP	L	10/23/07	446.27	49 586	36 35	327.15	(119 12) LT		
115			11/09/07	5,276 94	45 886	36 35	4,180.25	(1,096 69) LT		
19			10/03/08	689.42	36 285	36.35	690.65	1.23 LT		
75			10/06/08	2,582 91	34.438	36.35	2,726.25	143.34 LT		
33			03/20/09	751 82	22.782	36.35	1,199.55	447.73 ST		
251				**9,747 36**	**38 834**		**9,123.85**	**(623.51)**	**.687**	**62 75**
75	MERCK & CO INC NEW	MRK	12/05/08	1,859.66	24.795	36 54	2,740 50	880.84 LT		
70			02/27/09	1,751.31	25 018	36 54	2,557 80	806 49 ST		
73			10/26/09	2,340 08	32.055	36 54	2,667.42	327 34 ST		
218				**5,951 05**	**27.298**		**7,965 72**	**2,014 67**	**4 159**	**331.36**
93	METLIFE INC	MET	10/08/08	2,968 69	31 921	35.35	3,287 55	318.86 LT		
103			10/09/08	2,907 50	28 228	35.35	3,641.05	733 55 LT		
34			12/03/09	1,164.50	34.25	35 35	1,201.90	37.40 ST		
230				**7,040 69**	**30 612**		**8,130 50**	**1,089.81**	**2.093**	**170 20**
74	MICROSOFT CORP	MSFT	06/27/05	1,858.14	25.11	30.48	2,255 52	397.38 LT		
20			10/16/06	568.95	28.447	30.48	609.60	40 65 LT		
76			06/10/08	2,119 50	27.888	30 48	2,316 48	196.98 LT		
170				**4,546 59**	**26 745**		**5,181.60**	**635 01**	**1 706**	**88 40**
51	MOSAIC COMPANY	MOS	06/30/09	**2,301.99**	45 137	59.73	**3,046.23**	**744 24** ST	**.334**	**10 20**
137	MOTOROLA INC DE	MOT	03/29/04	2 151 57	15.704	7 76	1,063.12	(1,088.45) LT		
17			04/15/04	265.50	15 617	7.76	131.92	(133.58) LT		
54			08/04/04	758 44	14.045	7.76	419.04	(339.40) LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
99	MOTOROLA INC DE	MOT	11/09/04	$ 1,481.74	$ 14.967	$ 7 76	$ 768 24	($ 713 50) LT		
96			06/07/06	2,045.67	21.309	7.76	744 96	(1,300 71) LT		
20			10/16/06	514.60	25.73	7.76	155.20	(359.40) LT		
215			11/08/06	4,644.00	21.60	7.76	1,668 40	(2,975.60) LT		
5			06/01/07	91.50	18 299	7 76	38.80	(52.70) LT		
100			06/04/07	1,829.86	18.298	7.76	776.00	(1,053.86) LT		
115			06/05/07	2,103.72	18.293	7 76	892.40	(1,211 32) LT		
210			01/04/08	3,180.20	15.143	7.76	1,629 60	(1,550 60) LT		
156			01/07/08	2,328 75	14 927	7.76	1,210.56	(1,118 19) LT		
485			02/05/09	1,785 77	3 682	7.76	3,763.60	1,977.83 ST		
1,709				**23,181.32**	**13.564**		**13,261 84**	**(9,919.48)**		
22	NRG ENERGY INC NEW	NRG	03/05/08	932.78	42.399	23.61	519.42	(413 36) LT		
78			03/06/08	3,306.26	42 387	23.61	1,841.58	(1,464 68) LT		
54			05/08/08	2,278.78	42.199	23.61	1,274 94	(1,003 84) LT		
6			05/09/08	252.52	42.086	23.61	141 66	(110 86) LT		
160				**6,770.34**	**42.315**		**3,777 60**	**(2,992.74)**		
30	NOBLE ENERGY INC	NBL	05/18/05	1,030.11	34.336	71 22	2,136 60	1,106.49 LT		
60			10/16/06	2,905.80	48.43	71 22	4,273.20	1,367.40 LT		
44			09/18/08	2,547.97	57.908	71.22	3,133.68	585.71 LT		
13			09/26/08	763.53	58.733	71.22	925.86	162.33 LT		
9			09/29/08	490 43	54.492	71 22	640.98	150.55 LT		
156				**7,737 84**	**49 602**		**11,110 32**	**3,372 48**	**1 01**	**112.32**
5	PHILIP MORRIS INTL INC	PM	05/05/03	81 93	16 385	48.19	240.95	159 02 LT		
8			05/08/03	131.27	16.408	48.19	385.52	254 25 LT		
8			05/09/03	133.37	16 671	48 19	385.52	252.15 LT		
10			05/21/03	201.04	20.104	48 19	481 90	280.86 LT		
24			07/22/03	516.68	21.528	48.19	1,156 56	639.88 LT		
32			12/01/03	880 84	27 526	48.19	1,542.08	661.24 LT		
14			08/04/04	351 61	25 115	48.19	674 66	323.05 LT		
5			06/22/06	190.53	38 106	48.19	240.95	50.42 LT		
30			10/16/06	1,252 24	41.741	48.19	1,445.70	193 46 LT		
136				**3,739 51**	**27.496**		**6,553 84**	**2,814.33**	**4 814**	**315 52**
109	PITNEY BOWES INC	PBI	03/29/04	4,567.34	41 902	22.76	2,480.84	(2,086 50) LT		
30			10/16/06	1,399.50	46 65	22.76	682 80	(716.70) LT		
24			11/14/07	904.30	37.679	22.76	546.24	(358 06) LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
25	PITNEY BOWES INC	PBI	11/15/07	$ 951.01	$ 38 04	$ 22.76	$ 569.00	($ 382 01) LT		
15			12/28/07	576 62	38 441	22 76	341 40	(235.22) LT		
86			01/02/08	3,252 07	37 814	22 76	1,957 36	(1,294.71) LT		
22			01/03/08	829 99	37.726	22 76	500 72	(329 27) LT		
311				**12,480.83**	**40.131**		**7,078 36**	**(5,402 47)**	**6 326**	**447.84**
125	RAYTHEON COMPANY NEW	RTN	03/29/04	**3,884 89**	31.079	51 52	**6,440.00**	**2,555.11** LT	**2 406**	**155.00**
123	SANOFI-AVENTIS SPONS ADR	SNY	08/02/07	5,086 40	41.352	39 27	4,830.21	(256.19) LT		
44			08/03/07	1,823.68	41 447	39 27	1,727.88	(95.80) LT		
167				**6,910 08**	**41 378**		**6,558 09**	**(351 99)**	**2 844**	**186.54**
60	TALISMAN ENERGY INC	TLM	06/23/08	1,364 90	22 748	18 64	1,118 40	(246.50) LT		
126			06/24/08	2,837.22	22 517	18.64	2,348.64	(488.58) LT		
145			07/22/08	2,754.23	18 994	18.64	2,702 80	(51 43) LT		
331				**6,956 35**	**21 016**		**6,169 84**	**(786 51)**	**1.126**	**69 51**
15	UNION PACIFIC CORP	UNP	11/17/03	480.05	32 003	63 90	958.50	478.45 LT		
54			12/02/03	1,741.01	32.241	63.90	3,450 60	1,709 59 LT		
26			08/04/04	734.74	28 259	63.90	1,661.40	926 66 LT		
95				**2,955 80**	**31.114**		**6,070 50**	**3,114 70**	**1 69**	**102.60**
18	VERIZON COMMUNICATIONS	VZ	10/27/03	574.14	31.896	33 13	596 34	22 20 LT		
1			10/28/03	31.70	31.70	33.13	33.13	1 43 LT		
47			11/17/03	1,469.55	31.267	33.13	1,557 11	87 56 LT		
52			02/13/04	1,879 41	36.142	33 13	1,722.76	(156 65) LT		
118				**3,954.80**	**33 515**		**3,909.34**	**(45.46)**	**5 734**	**224 20**
21 2412	VIACOM INC NEW CLASS B	VIAB	12/21/04	917.34	43.246	29.73	631 50	(285.84) LT		
62.8831			12/22/04	2,774.80	44 187	29 73	1,869 51	(905.29) LT		
34 9351			09/08/05	1,450.34	41 572	29.73	1,038 62	(411.72) LT		
21 9592			09/09/05	916.29	41 784	29.73	652.85	(263 44) LT		
9 9814			09/12/05	417.57	41.892	29.73	296 75	(120 82) LT		
15			01/05/06	632 32	42.213	29 73	445.95	(186.37) LT		
77			01/06/06	3,278 82	42.641	29.73	2,289.21	(989.61) LT		
25			03/03/06	996.27	39.85	29.73	743 25	(253.02) LT		
80			03/06/06	3,130.66	39.133	29.73	2,378 40	(752 26) LT		
90			10/16/06	3,494 70	38 83	29 73	2,675.70	(819.00) LT		
438				**18,009.11**	**41.117**		**13,021 74**	**(4,987 37)**		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
199	WELLS FARGO & CO NEW	WFC	05/11/04	$ 5,455.70	$ 27.415	$ 26 99	$ 5.371 01	($ 84 69) LT		
40			10/16/06	1,446 00	36.15	26.99	1.079 60	(366.40) LT		
239				6,901 70	28.877		6,450.61	(451 09)	.741	47 80
Total common stocks and options				$ 293,667.61			$ 279,496.18	$ 6,506.55 ST	1.52	
								($ 20,677.98) LT		$ 4,273 44
Total portfolio value				$ 298,608.44			$ 284,437.01	$ 6,506.55 ST	1.50	$ 4,273.93
								($ 20,677.98) LT		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
29	UBS AG (NEW)	UBS	02/29/08	$ 916.03	$ 31.587	$ 15.51	$ 449.79	($ 466.24) LT		
21			06/18/08	426 41		15.51	325.71	(100 70) LT		
85				**2,656.35**	**31.251**		**1,318 35**	**(1,338.00)**	**8.11**	**106.93**
38	AEGON N V-ADR AMER REG-ADR	AEG	06/13/06	582.17	15.32	6.41	243.58	(338.59) LT		
39			10/24/08	171.97	4.409	6.41	249.99	78.02 LT		
90			02/20/09	371.77	4.13	6.41	576.90	205.13 ST		
167				**1,125.91**	**6.742**		**1,070.47**	**(55.44)**	**5 99**	**64.13**
4	ALCATEL-LUCENT ADR	ALU	05/02/07	53.39	13.347	3.32	13.28	(40.11) LT		
253			07/25/07	3,440.42	13.598	3.32	839.96	(2,600.46) LT		
115			09/14/07	1,041.07	9.052	3.32	381.80	(659.27) LT		
134			09/20/07	1,206.11	9.00	3.32	444.88	(761.23) LT		
506				**5,740 99**	**11.346**		**1,679.92**	**(4,061.07)**		
62	ALUMINA LTD SPONSORED ADR	AWC	12/20/05	1,268.35	20.457	6.55	406.10	(862.25) LT		
8			08/07/06	157 03	19.629	6.55	52.40	(104.63) LT		
23			08/08/06	451.21	19 618	6.55	150.65	(300.56) LT		
15			11/20/07	353.56	23.57	6.55	98.25	(255.31) LT		
30			11/21/07	705.96	23.532	6.55	196.50	(509 46) LT		
138				**2,936 11**	**21.276**		**903.90**	**(2,032 21)**	**11 572**	**104.60**
18	ANGLOGOLD ASHANTI LTD ADR	AU	03/19/08	601.97	33 442	40.18	723.24	121.27 LT		
35			07/10/08	890 54		40.18	1,406.30	515.76 LT		
53				**1,492.51**	**28 161**		**2,129.54**	**637 03**	**316**	**6.73**
12	BP PLC SPONS ADR	BP	02/26/07	772.15	64.345	57.97	695 64	(76.51) LT		
29			06/04/07	1,976.44	68 153	57.97	1,681.13	(295.31) LT		
41				**2,748.59**	**67.039**		**2,376.77**	**(371.82)**	**5.796**	**137 76**
12	BARRICK GOLD CORP CAD	ABX	04/30/08	459.35	38.279	39.38	472.56	13.21 LT		
23			09/16/08	636 67	27.681	39.38	905.74	269.07 LT		
7			02/27/09	213.99	30 569	39.38	275.66	61.67 ST		
13			04/09/09	375.36	28.873	39.38	511.94	136.58 ST		
22			08/18/09	736 41	33.473	39.38	866.36	129.95 ST		
11			12/17/09	423 30	38.482	39.38	433.18	9 88 ST		
88				**2,845.08**	**32.33**		**3,465.44**	**620.36**	**1.015**	**35.20**
18	CAMECO CORP	CCJ	08/11/08	572.98	31 832	32.17	579.06	6.08 LT		
24			09/10/08	582.00	24 249	32.17	772.08	190 08 LT		
42				**1,154.98**	**27.50**		**1,351.14**	**196.16**	**.705**	**9.53**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
53	CARREFOUR SA UNSPON ADR	CRERY	09/25/09	$ 480.13	$ 9 059	$ 9.48	$ 502.44	$ 22.31	ST		
51			10/06/09	456.96	8.96	9.48	483.48	26.52	ST		
54			10/22/09	494.57	9 158	9.48	511.92	17.35	ST		
56			10/28/09	492 84	8.80	9.48	530 88	38.04	ST		
214				**1,924 50**	**8.993**		**2,028 72**	**104.22**		**2.341**	**47 51**
5	CENTRAIS ELETRICAS BRASILEIRAS SP ADR SA REP PFD	EBRB	06/08/07	61.79	12.356	18.70	93.50	31.71	LT		
17			06/12/07	217.90	12.817	18 70	317.90	100 00	LT		
13			01/03/08	170.86	13.143	18.70	243 10	72 24	LT		
38			01/04/08	483.74	12.73	18 70	710.60	226 86	LT		
73				**934.29**	**12.798**		**1,365.10**	**430 81**		**3.181**	**43.44**
30	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR	EBR	08/10/07	**382.26**	12.742	21.09	**632.70**	**250.44**	LT	**.844**	**5 34**
148	DAI NIPPON PRTG LTD JAPAN SPONS ADR	DNPLY	12/10/09	**1,965.63**	13.281	12.76	**1,888 48**	**(77 15)**	ST	**2.029**	**38.33**
3	DAIWA HOUSE INDUSTRIES ADR -USD-	DWAHY	02/13/08	314.86	104 953	107.25	321.75	6 89	LT		
10			03/07/08	955.83	95 582	107.25	1,072.50	116.67	LT		
13				**1,270 69**	**97.745**		**1,394 25**	**123.56**		**2.143**	**29.89**
22	EMBRAER-EMPRESA BRASILERIA DE AERONAUTICA S A SPON ADR	ERJ	10/30/09	484.48	22.021	22.11	486.42	1.94	ST		
24			11/03/09	480.23	20.009	22.11	530 64	50.41	ST		
46				**964.71**	**20.972**		**1,017 06**	**52 35**		**2 70**	**27.46**
22	FUJIFILM HLDGS CORP-JPY	FUJIY	12/16/05	726.24	33.011	30.20	664 40	(61.84)	LT		
19			03/24/06	618.36	32.545	30 20	573 80	(44.56)	LT		
40			03/05/08	1,468.66	36.716	30.20	1,208.00	(260.66)	LT		
81				**2,813 26**	**34.732**		**2,446.20**	**(367 06)**		**685**	**16.77**
57	GOLD FIELDS LTD SPONS ADR	GFI	02/06/08	791.69	13.889	13.11	747.27	(44 42)	LT		
26			04/08/08	360.61	13.869	13.11	340.86	(19.75)	LT		
58			05/22/08	823.20	14 193	13.11	760 38	(62.82)	LT		
141				**1,975.50**	**14.011**		**1,848.51**	**(126.99)**		**1.006**	**18.61**
3	HACHIJUNI BANK LTD-JPY ADR	HACBY	06/20/08	194 44	64.813	58.70	176.10	(18.34)	LT		
10			07/09/08	617.42	61.742	58.70	587.00	(30.42)	LT		
5			10/08/09	277 72	55.544	58.70	293 50	15 78	ST		
18				**1,089.58**	**60.532**		**1,056.60**	**(32 98)**		**778**	**8.23**
22	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR	IMPUY	09/02/08	558.37	25.38	27.30	600.60	42 23	LT		
21			09/05/08	515.85	24 564	27.30	573.30	57 45	LT		
43				**1,074.22**	**24.982**		**1,173.90**	**99.68**		**1.296**	**15.22**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
14	IVANHOE MINES LIMITED-CAD	IVN	05/16/06	$ 97.88	$ 6.991	$ 14.61	$ 204.54	$ 106.66 LT		
24			05/19/06	163 84	6.826	14 61	350 64	186.80 LT		
11			01/10/08	116.09	10.554	14.61	160.71	44 62 LT		
34			01/11/08	360.41	10.60	14.61	496.74	136.33 LT		
83				**738.22**	**8.894**		**1,212.63**	**474.41**		
70	KAO CORP-JPY SPONS ADR	KCRPY	03/17/09	1,308 91	18.698	23.36	1,635 20	326.29 ST		
30			05/18/09	623 41	20.78	23.36	700.80	77.39 ST		
100				**1,932.32**	**19.323**		**2,336 00**	**403 68**	2 422	56.60
44	KINROSS GOLD CORP-CAD (NEW)	KGC	07/08/09	790 28	17 96	18.40	809.60	19.32 ST		
51			07/28/09	954.72	18.72	18.40	938.40	(16.32) ST		
35			10/30/09	639.23	18 263	18.40	644.00	4.77 ST		
130				**2,384.23**	**18 34**		**2,392.00**	**7.77**	.543	13 00
18	KOREA ELEC PWR CORP SP ADR	KEP	12/16/05	343.32	19.073	14.54	261.72	(81 60) LT		
6			10/09/06	115.49	19.248	14 54	87.24	(28.25) LT		
16			10/12/06	314.28	19 642	14.54	232.64	(81 64) LT		
4			10/13/06	79.36	19.841	14.54	58.16	(21.20) LT		
8			10/16/06	158.03	19.753	14.54	116.32	(41.71) LT		
20			10/17/06	393.14	19.656	14.54	290.80	(102.34) LT		
60			03/17/08	840.07	14.001	14 54	872.40	32.33 LT		
132				**2,243 69**	**16.998**		**1,919.28**	**(324.41)**	1 884	36 17
4	MAGNA INTERNATIONAL CL A	MGA	06/05/08	278.08	69.519	50 58	202.32	(75.76) LT		
9			06/23/08	587.32	65.257	50.58	455.22	(132.10) LT		
16			07/15/08	882.05	55.128	50.58	809.28	(72.77) LT		
29				**1,747.45**	**60.257**		**1,466.82**	**(280.63)**		
30	MITSUI SUMITOMO INS GROUP HLDGS INC ADR	MSIGY	11/21/07	552.95	18.431	12.75	382.50	(170.45) LT		
66			12/05/07	1,192 86	18.073	12.75	841.50	(351.36) LT		
96				**1,745 81**	**18.186**		**1,224.00**	**(521.81)**	1 796	21 98
12	NEWCREST MINING LTD SPON ADR	NCMGY	09/13/06	186 35	15.529	31.65	379.80	193.45 LT		
28			08/13/08	591 68	21.131	31 65	886.20	294.52 LT		
14			08/11/09	347.49	24.82	31.65	443.10	95.61 ST		
54				**1,125 52**	**20.843**		**1,709.10**	**583 58**	.382	6.53
16	NEXEN INC	NXY	10/10/07	491.91	30.744	23.93	382.88	(109.03) LT		
13			08/07/08	392.20	30.169	23.93	311.09	(81.11) LT		
30			02/02/09	426.83	14.227	23.93	717.90	291.07 ST		

Reserved
Client Statement
December 1 - December 31, 2009

HAMRICK MILLS FOUNDATION, INC. *Account number 819-13624-10 258*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
25	NEXEN INC	NXY	10/28/09	$ 548.89	$ 21.955	$ 23.93	$ 598.25	$ 49.36 ST		
84				**1,859.83**	**22 141**		**2,010.12**	**150.29**	773	15 54
13	NINTENDO CO LTD ADR NEW	NTDOY	08/03/09	441.35	33.949	29 82	387.66	(53 69) ST		
15			08/20/09	485.54	32.369	29 82	447.30	(38.24) ST		
15			08/28/09	499 19	33.279	29.82	447.30	(51.89) ST		
43				**1,426 08**	**33.165**		**1,282.26**	**(143.82)**	4.198	53.84
58	NIPPON TEL & TEL SPON ADR	NTT	06/18/07	1,317.99	22 723	19 74	1,144.92	(173.07) LT		
62			10/18/07	1,413 93	22.805	19.74	1,223.88	(190 05) LT		
20			04/14/09	386 83	19.341	19 74	394 80	7.97 ST		
140				**3,118 75**	**22.277**		**2,763 60**	**(355.15)**	2 907	80.36
42	NOKIA CORP SPONSORED ADR	NOK	02/02/09	507.54	12 084	12.85	539.70	32.16 ST		
33			02/03/09	399.14	12 095	12.85	424.05	24.91 ST		
23			07/20/09	301.70	13.117	12.85	295 55	(6.15) ST		
46			11/18/09	641.72	13.95	12.85	591.10	(50.62) ST		
144				**1,850 10**	**12 848**		**1,850.40**	**.30**	3.058	56.59
28	NOVARTIS AG ADR	NVS	02/13/09	1,201.17	42.899	54.43	1,524.04	322 87 ST		
10			04/13/09	374.23	37 423	54.43	544 30	170.07 ST		
14			05/08/09	532 68	38.048	54.43	762 02	229.34 ST		
52				**2,108 08**	**40.54**		**2,830 36**	**722.28**	2.671	75.61
11	PANASONIC CORP ADR	PC	08/17/07	194.62	17.693	14.35	157 85	(36.77) LT		
75			09/12/07	1,312.49	17 499	14.35	1,076 25	(236.24) LT		
86				**1,507.11**	**17.525**		**1,234.10**	**(273.01)**	.857	10.58
21	REXAM PLC SPONS ADR -NEW-	REXMY	10/27/09	**477.40**	22.733	23.79	**499 59**	**22 19** ST	7.04	35.18
9	ROHM CO LTD-JPY	ROHCY	01/16/09	223 70	24.855	33.01	297.09	73 39 ST		
15			02/05/09	377.42	25 161	33.01	495 15	117.73 ST		
24				**601.12**	**25 047**		**792.24**	**191.12**	1.829	14 50
16	ROYAL DUTCH SHELL PLC ADR CL B	RDSB	12/16/05	1,044.28	65.267	58 13	930.08	(114.20) LT		
17			02/27/07	1,142.89	67 229	58.13	988 21	(154.68) LT		
11			02/14/08	773 76	70.341	58.13	639.43	(134.33) LT		
44				**2,960 93**	**67.294**		**2,557.72**	**(403.21)**	5 78	147.84
30	SK TELECOM LTD SPON ADR	SKM	02/19/08	679 17	22.639	16 26	487 80	(191.37) LT		
13			04/23/08	285.48	21 96	16.26	211 38	(74 10) LT		
15			06/04/08	329.93	21 995	16.26	243.90	(86 03) LT		
33			04/14/09	537.02	16 273	16.26	536.58	(44) ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
62	SK TELECOM LTD SPON ADR	SKM	05/21/09	$ 984 27	$ 15.875	$ 16.26	$ 1,008.12	$ 23.85 ST		
15			08/20/09	236 91	15.794	16.26	243.90	6.99 ST		
168				**3,052.78**	**18.171**		**2,731.68**	**(321.10)**	**3.622**	**98.95**
40	SANOFI-AVENTIS SPONS ADR	SNY	10/03/07	1,700.03	42.50	39.27	1,570.80	(129.23) LT		
22			05/21/08	821.46	37 339	39.27	863.94	42.48 LT		
11			08/01/08	388.83	35.348	39.27	431.97	43.14 LT		
73				**2,910.32**	**39 867**		**2,866.71**	**(43.61)**	**2.844**	**81.54**
19	SEGA SAMMY HLDGS INC-USD SPONSORED ADR	SGAMY	03/05/07	117.66	6.192	3.05	57.95	(59.71) LT		
49			05/15/07	235.20	4.799	3.05	149.45	(85.75) LT		
68			05/17/07	325.43	4.785	3.05	207.40	(118.03) LT		
281			12/10/07	914.80	3.255	3.05	857.05	(57.75) LT		
417				**1,593 09**	**3.82**		**1,271.85**	**(321.24)**	**3.836**	**48.79**
4	SEKISUI HOUSE UNSPONS ADR	SKHSY	10/09/07	48.42	12.104	9.22	36.88	(11.54) LT		
136			03/10/08	1,214.49	8.93	9.22	1,253.92	39.43 LT		
140				**1,262.91**	**9.021**		**1,290.80**	**27.89**	**2.028**	**26.18**
3	SEVEN & I HLDGS CO LTD-JPY	SVNDY	12/26/08	189.13	63.042	41.40	124.20	(64.93) LT		
8			01/07/09	472.14	59 017	41.40	331.20	(140.94) ST		
13			01/08/09	769.20	59.169	41 40	538.20	(231.00) ST		
4			02/19/09	195 01	48.751	41 40	165 60	(29.41) ST		
4			03/31/09	175.43	43 857	41 40	165.60	(9 83) ST		
13			06/05/09	614.98	47.305	41.40	538 20	(76.78) ST		
12			08/19/09	567.66	47.304	41.40	496.80	(70.86) ST		
57				**2,983.55**	**52.343**		**2,359 80**	**(623.75)**	**2.64**	**62.30**
67	SHISEIDO LTD SPONSORED ADR	SSDOY	12/20/05	1,222.00	18.238	19.27	1,291.09	69.09 LT		
42			12/18/08	886.48	21.106	19.27	809.34	(77.14) LT		
13			04/01/09	189.53	14.579	19 27	250.51	60.98 ST		
122				**2,298 01**	**18.836**		**2,350.94**	**52.93**	**2.371**	**55.75**
10	SIEMENS A G SPONS ADR	SI	09/29/08	932.91	93.29	91.70	917.00	(15.91) LT		
10			10/24/08	503.71	50.371	91.70	917.00	413.29 LT		
20				**1,436.62**	**71.831**		**1,834.00**	**397.38**	**1.913**	**35.10**
11	SOCIETE GENERALE SPON ADR	SCGLY	10/20/08	124.54	11.322	14 05	154.55	30.01 LT		
50			01/16/09	423.02	8.46	14.05	702.50	279 48 ST		
61				**547.56**	**8 976**		**857.05**	**309.49**	**2.042**	**17.51**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
173	SUMITOMO TRUST & BANKING CO LT-JPY SPONS ADR	STBUY	03/07/08	$ 1,115.17	$ 6.446	$ 4.93	$ 852.89	($ 262.28) LT		
22			09/17/08	132.21	6.009	4.93	108.46	(23.75) LT		
195				**1,247.38**	**6 397**		**961.35**	**(286.03)**	**1.196**	**11 51**
46	SWISSCOM AG SPONS ADR	SCMWY	12/20/05	1,441.64	31.34	37 96	1,746.16	304 52 LT		
14			07/27/07	481.90	34.421	37.96	531 44	49 54 LT		
60				**1,923.54**	**32.059**		**2,277 60**	**354 06**	**3 661**	**83 40**
3	TDK CORP AMER DEPOSIT SHS	TTDKY	10/23/08	98.99	32.995	61.15	183.45	84.46 LT		
14			12/12/08	465.94	33 281	61.15	856 10	390 16 LT		
17				**564.93**	**33.231**		**1,039.55**	**474 62**	**1.378**	**14.33**
15	TECHNIP ADR	TKPPY	11/21/08	**325.40**	21.693	70 25	**1,053 75**	**728 35** LT	**1.745**	**18.39**
246	TELECOM ITALIA S.P A ADR (NEW) REPSTG SVG SHS	TIA	12/20/05	5,950.74	24 19	11.00	2,706 00	(3,244.74) LT		
29			05/11/06	777 34	26.804	11.00	319 00	(458.34) LT		
275				**6,728.08**	**24 466**		**3,025.00**	**(3,703 08)**	**6 318**	**191.13**
32	UNITED UTILS GROUP PLC-GBP	UUGRY	12/20/05	1,010 60	32.029	16.22	519.04	(491.56) LT		
65			12/17/08	1,182 43	18 191	16.22	1,054.30	(128.13) LT		
97				**2,193.03**	**22.609**		**1,573 34**	**(619.69)**	**6 627**	**104.28**
7	VODAFONE GROUP PLC SPONS ADR NEW	VOD	07/22/08	181 16	25.879	23.09	161 63	(19.53) LT		
22			09/25/08	496 10	22.55	23.09	507 98	11.88 LT		
49			11/10/08	819.49	16 724	23.09	1,131 41	311.92 LT		
35			03/27/09	584 48	16.699	23 09	808.15	223.67 ST		
113				**2,081 23**	**18.418**		**2,609.17**	**527.94**	**5.591**	**145 88**
5	WACOAL CORP ADR	WACLY	05/14/07	319.17	63.835	54.86	274.30	(44.87) LT		
1			07/19/07	61 63	61.633	54.86	54 86	(6.77) LT		
1			07/20/07	61.48	61.481	54.86	54 86	(6.62) LT		
2			07/23/07	123.48	61.742	54.86	109 72	(13.76) LT		
1			07/24/07	62 27	62.271	54 86	54 86	(7.41) LT		
4			07/25/07	249 51	62.378	54 86	219 44	(30 07) LT		
1			07/26/07	61.36	61.356	54.86	54.86	(6.50) LT		
2			11/15/07	117.81	58 905	54 86	109.72	(8.09) LT		
2			11/16/07	121.09	60 545	54.86	109.72	(11.37) LT		
2			11/19/07	120.54	60.271	54.86	109.72	(10.82) LT		
3			11/20/07	182.19	60.729	54.86	164 58	(17.61) LT		
24				**1,480.53**	**61 689**		**1,316 64**	**(163.89)**	**2.156**	**28 39**
14	WOLTERS KLUWER N V SP ADR	WTKWY	02/24/09	228.00	16 285	21 85	305 90	77.90 ST		
6			02/25/09	95.40	15.899	21.85	131.10	35.70 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	WOLTERS KLUWER N V SP ADR	WTKWY	04/29/09	$ 415.38	$ 16.615	$ 21.85	$ 546.25	$ 130.87 ST		
21			06/04/09	407.68	19.413	21.85	458.85	51.17 ST		
31			06/15/09	525 08	16 938	21.85	677.35	152.27 ST		
97				**1,671.54**	**17 232**		**2,119.45**	**447.91**	**3.258**	**69.06**
Total common stocks and options				**$ 98,279.62**			**$ 89,760.30**	**$ 2,706.59 ST**	**2.74**	
								($ 11,225.91) LT		**$ 2,461.89**
Total portfolio value				**$ 104,694.40**			**$ 96,175.08**	**$ 2,706.59 ST**	**2.56**	**$ 2,462.53**
								($ 11,225.91) LT		

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incon (annualized)
32	A-POWER ENERGY GENERATIONS SYS LTD	APWR	10/20/09	$ 387.33	$ 12.104	$ 18.29	$ 585.28	$ 197.95 ST		
14			10/30/09	164.39	11.742	18 29	256 06	91.67 ST		
46				**551.72**	**11.994**		**841.34**	**289.62**		
14	ASSURED GUARANTY LTD-USD	AGO	11/17/09	356.50	25.464	21.76	304.64	(51.86) ST		
22			12/01/09	474.18	21.553	21.76	478.72	4.54 ST		
12			12/02/09	267.36	22.28	21.76	261.12	(6.24) ST		
11			12/16/09	241.01	21.91	21 76	239 36	(1.65) ST		
14			12/18/09	309.44	22.102	21.76	304 64	(4.80) ST		
73				**1,648 49**	**22.582**		**1,588.48**	**(60.01)**	**827**	**13.14**
22	MF GLOBAL LTD-USD	MF	04/23/09	127.33	5.788	6.95	152.90	25.57 ST		
33			05/01/09	205.56	6.229	6.95	229.35	23.79 ST		
44			08/13/09	301.71	6.857	6.95	305.80	4.09 ST		
20			10/21/09	159 85	7 992	6.95	139 00	(20.85) ST		
119				**794.45**	**6.676**		**827 05**	**32.60**		
31	MONTPELIER RE HOLDINGS LTD -USD	MRH	12/09/09	534.32	17.236	17.32	536.92	2 60 ST		
15			12/14/09	266.55	17.77	17.32	259.80	(6.75) ST		
46				**800.87**	**17 41**		**796.72**	**(4.15)**	**2 078**	**16.56**
24	GENCO SHIPPING & TRADING LTD	GNK	11/13/09	586.02	24.417	22.38	537.12	(48 90) ST		
8			11/16/09	216.20	27.025	22 38	179.04	(37.16) ST		
12			11/25/09	295.71	24.642	22.38	268.56	(27.15) ST		
7			12/02/09	178.02	25.431	22.38	156.66	(21.36) ST		
51				**1,275 95**	**25.019**		**1,141.38**	**(134 57)**		
51	ACXIOM CORP	ACXM	11/13/09	614.63	12.051	13.43	684.93	70.30 ST		
27			11/17/09	331.13	12.263	13 43	362.61	31.48 ST		
17			12/17/09	217.81	12.812	13.43	228.31	10.50 ST		
95				**1,163 57**	**12.248**		**1,275 85**	**112 28**		
70	AGFEED INDUSTRIES INC	FEED	09/25/09	399.08	5.701	5.00	350.00	(49.08) ST		
51			10/05/09	268 34	5.261	5 00	255 00	(13.34) ST		
121				**667 42**	**5.516**		**605 00**	**(62.42)**		
10	ALMOST FAMILY INC	AFAM	09/28/09	312 24	31.223	39 53	395.30	83 06 ST		
6			10/05/09	182 24	30.372	39.53	237.18	54 94 ST		
8			10/13/09	257.26	32.157	39.53	316.24	58.98 ST		
7			10/20/09	237.95	33 993	39.53	276 71	38 76 ST		
31				**989 69**	**31.925**		**1,225.43**	**235 74**		

Reserved
Client Statement
December 1 - December 31, 2009

HAMRICK MILLS FOUNDATION, INC. *Account number 819-07511-18 258*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
12	AMEDISYS INC	AMED	12/14/09	$ 526.12	$ 43.843	$ 48 60	$ 583.20	$ 57.08 ST		
6			12/23/09	277.21	46.202	48.60	291.60	14 39 ST		
4			12/28/09	202 91	50 727	48.60	194 40	(8.51) ST		
22				**1,006.24**	**45.738**		**1,069 20**	**62 96**		
17	AMERICAN MEDICAL SYS HLDGS	AMMD	07/07/09	272.01	16.00	19 29	327.93	55.92 ST		
13			07/16/09	211.08	16.236	19 29	250.77	39 69 ST		
12			07/28/09	204.64	17.053	19 29	231.48	26.84 ST		
11			09/09/09	175.30	15 936	19 29	212.19	36.89 ST		
12			09/22/09	199.75	16.645	19.29	231 48	31 73 ST		
13			10/08/09	217.23	16.71	19.29	250 77	33 54 ST		
78				**1,280 01**	**16.41**		**1,504 62**	**224.61**		
121	ART TECHNOLOGY GROUP INC	ARTG	11/04/09	494.18	4.084	4 51	545 71	51 53 ST		
60			11/09/09	257.26	4.287	4.51	270 60	13 34 ST		
48			11/12/09	210.48	4 385	4.51	216 48	6.00 ST		
51			12/21/09	230.61	4.521	4.51	230.01	(.60) ST		
280				**1,192 53**	**4.259**		**1,262 80**	**70 27**		
39	ARVINMERITOR INC	ARM	10/22/09	364 96	9 358	11 18	436.02	71.06 ST		
31			11/10/09	276.45	8 917	11.18	346 58	70.13 ST		
25			12/11/09	226.87	9.074	11.18	279.50	52.63 ST		
95				**868.28**	**9 14**		**1,062.10**	**193 82**		
22	ATLAS AIR WORLDWIDE HLDGS NEW	AAWW	10/29/09	589.03	26.774	37.25	819 50	230 47 ST		
7			11/04/09	190.30	27.185	37.25	260 75	70 45 ST		
8			11/09/09	223.13	27.891	37.25	298.00	74.87 ST		
7			11/17/09	206.91	29.558	37.25	260.75	53 84 ST		
44				**1,209 37**	**27.486**		**1,639.00**	**429 63**		
37	AVISTA CORP	AVA	06/29/09	661.38	17.875	21 59	798 83	137.45 ST		
10			07/07/09	180.71	18.07	21.59	215 90	35 19 ST		
9			08/14/09	176 85	19.649	21.59	194.31	17.46 ST		
14			10/29/09	274.08	19.577	21.59	302.26	28.18 ST		
70				**1,293 02**	**18 472**		**1,511 30**	**218.28**	**3 89**	**58.80**
66	BPZ RESOURCES INC	BPZ	12/09/09	531 76	8.056	9.50	627.00	95 24 ST		
34			12/15/09	288.94	8.498	9.50	323 00	34 06 ST		
31			12/18/09	271.93	8 771	9.50	294 50	22 57 ST		
131				**1,092 63**	**8.341**		**1,244.50**	**151.87**		

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07511-18 25**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incor (annualized)
2	BALLY TECHNOLOGIES INC	BYI	04/22/09	$ 51.12	$ 25.56	$ 41 29	$ 82.58	$ 31 46 ST		
7			06/17/09	195.30	27.899	41.29	289.03	93.73 ST		
4			10/09/09	162.67	40.667	41.29	165.16	2.49 ST		
9			10/15/09	385.92	42.879	41.29	371.61	(14.31) ST		
6			10/19/09	256.08	42 679	41.29	247.74	(8 34) ST		
10			10/23/09	444.04	44.404	41.29	412.90	(31.14) ST		
3			10/30/09	119.67	39.891	41 29	123.87	4.20 ST		
41				**1,614.80**	**39.385**		**1,692 89**	**78.09**		
14	BELDEN INC	BDC	05/11/09	260.76	18.626	21.92	306 88	46.12 ST		
14			05/13/09	230.41	16 458	21.92	306.88	76.47 ST		
9			06/08/09	175.31	19.479	21.92	197.28	21.97 ST		
8			10/08/09	205.12	25 639	21.92	175.36	(29.76) ST		
45				**871.60**	**19.369**		**986.40**	**114 80**	.912	9 00
11	BRINKS HOME SECURITY HLDG INC	CFL	11/09/09	354 94	32 267	32.64	359.04	4.10 ST		
7			11/16/09	231.97	33.138	32 64	228.48	(3.49) ST		
6			12/02/09	203 27	33.877	32 64	195 84	(7.43) ST		
24				**790.18**	**32.924**		**783.36**	**(6.82)**		
5	BUFFALO WILD WINGS INC	BWLD	09/02/09	198.39	39.677	40.27	201.35	2.96 ST		
7			09/08/09	285 93	40.847	40.27	281.89	(4.04) ST		
6			09/17/09	263.71	43.952	40.27	241.62	(22.09) ST		
6			09/22/09	265.86	44.309	40.27	241.62	(24 24) ST		
6			12/28/09	257.64	42.939	40.27	241.62	(16 02) ST		
30				**1,271.53**	**42 384**		**1,208.10**	**(63.43)**		
25	CLECO CORP	CNL	06/16/09	557.00	22.28	27.33	683.25	126.25 ST		
18			06/22/09	408.53	22.696	27.33	491.94	83.41 ST		
43				**965.53**	**22 454**		**1,175.19**	**209.66**	3.293	38 70
27	CABOT CORP	CBT	11/19/09	623 59	23 096	26.23	708.21	84.62 ST		
9			12/01/09	217.88	24.208	26.23	236 07	18.19 ST		
11			12/08/09	274.55	24 959	26.23	288.53	13.98 ST		
47				**1,116.02**	**23.745**		**1,232.81**	**116 79**	2.744	33 84
18	CASH AMERICA INTERNATIONAL INC	CSH	05/13/09	369.92	20.551	34.96	629.28	259.36 ST		
12			05/20/09	259.85	21.654	34.96	419.52	159 67 ST		
9			06/02/09	209.51	23.278	34.96	314.64	105.13 ST		
9			06/11/09	220 91	24.545	34 96	314.64	93.73 ST		
7			08/10/09	196.22	28.03	34.96	244.72	48 50 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
8	CASH AMERICA INTERNATIONAL INC	CSH	10/07/09	$ 252 83	$ 31.603	$ 34 96	$ 279.68	$ 26.85 ST		
63				**1,509.24**	**23.956**		**2,202 48**	**693 24**	.40	8.82
18	CENTURY ALUMINUM COMPANY	CENX	08/04/09	183.40	10 188	16.19	291.42	108 02 ST		
24			09/03/09	232.27	9 678	16.19	388.56	156 29 ST		
42				**415 67**	**9 897**		**679 98**	**264 31**		
5	COLLECTIVE BRANDS INC	PSS	04/30/09	74.27	14.854	22.77	113.85	39.58 ST		
20			05/06/09	309.19	15.459	22 77	455 40	146.21 ST		
3			05/20/09	46.56	15 52	22 77	68.31	21.75 ST		
23			06/22/09	311 36	13.537	22.77	523 71	212 35 ST		
12			07/24/09	188.28	15.689	22.77	273 24	84 96 ST		
63				**929 66**	**14 757**		**1,434.51**	**504 85**		
129	CONSECO INC NEW	CNO	10/20/09	811.40	6.289	5.00	645.00	(166.40) ST		
63			11/05/09	325 42	5.165	5.00	315 00	(10.42) ST		
43			11/12/09	232 29	5.402	5.00	215 00	(17 29) ST		
58			12/17/09	290.19	5.003	5.00	290 00	(.19) ST		
293				**1,659.30**	**5 663**		**1,465.00**	**(194 30)**		
139	DCT INDUSTRIAL TRUST INC	DCT	08/11/09	690 76	4 969	5 02	697 78	7 02 ST		
30			08/21/09	157.15	5.238	5.02	150.60	(6 55) ST		
52			09/10/09	266.38	5.122	5.02	261.04	(5 34) ST		
39			12/23/09	199.67	5.119	5 02	195 78	(3.89) ST		
260				**1,313 96**	**5.054**		**1,305 20**	**(8 76)**	5 577	72 80
12	DOMTAR CORPORATION	UFS	11/02/09	543.30	45.275	55 41	664.92	121 62 ST		
6			12/01/09	343 25	57.208	55 41	332.46	(10.79) ST		
4			12/23/09	232.98	58 244	55 41	221 64	(11.34) ST		
22				**1,119 53**	**50.888**		**1,219 02**	**99.49**		
50	DRAGONWAVE INC-CAD Exchange rate: .9521995 Shares traded in. Canadian dollars	DRWI	12/18/09	**534.68**	10.693	11 46	**573 00**	**38 32** ST		
29	DRESS BARN INC	DBRN	09/11/09	507 85	17.512	23 09	669.61	161.76 ST		
12			09/22/09	215.71	17.975	23.09	277.08	61.37 ST		
18			09/25/09	318.20	17.677	23.09	415.62	97 42 ST		
15			10/07/09	274.57	18.304	23.09	346.35	71.78 ST		
74				**1,316.33**	**17.788**		**1,708.66**	**392.33**		
29	EV3 INC	EVVV	05/19/09	250 25	8 629	13.34	386.86	136 61 ST		
25			05/21/09	209.88	8.395	13.34	333.50	123 62 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incon (annualized)
22	EV3 INC	EVVV	06/02/09	$ 209.08	$ 9.503	$ 13.34	$ 293.48	$ 84 40 ST		
15			06/11/09	141 30	9.42	13.34	200.10	58 80 ST		
13			08/20/09	162.42	12.493	13.34	173.42	11.00 ST		
15			12/28/09	206.06	13 737	13.34	200.10	(5 96) ST		
119				**1,178.99**	**9.907**		**1,587 46**	**408.47**		
57	EAST WEST BANCORP INC	EWBC	11/09/09	740.69	12.994	15 80	900.60	159 91 ST		
17			12/14/09	242.98	14.293	15.80	268.60	25 62 ST		
74				**983.67**	**13.293**		**1,169 20**	**185.53**	**253**	**2.96**
56	EMULEX CORP NEW	ELX	10/28/09	596 93	10.659	10.90	610.40	13.47 ST		
23			11/18/09	251.35	10.928	10.90	250.70	(.65) ST		
24			12/17/09	255.02	10.625	10.90	261.60	6 58 ST		
103				**1,103.30**	**10.712**		**1,122.70**	**19.40**		
148	ENTEGRIS INC	ENTG	10/01/09	687 46	4.645	5.28	781.44	93.98 ST		
47			10/06/09	233 30	4.963	5 28	248.16	14.86 ST		
43			12/04/09	201.70	4.69	5.28	227.04	25.34 ST		
32			12/28/09	180 34	5.635	5 28	168.96	(11.38) ST		
270				**1,302.80**	**4.825**		**1,425 60**	**122.80**		
8	ESTERLINE TECHNOLOGIES CORP	ESL	07/30/09	230.54	28 818	40.77	326.16	95 62 ST		
9			08/03/09	259.76	28 862	40.77	366.93	107.17 ST		
8			08/10/09	236.08	29.509	40.77	326.16	90.08 ST		
25				**726.38**	**29.055**		**1,019 25**	**292 87**		
4	FIRST NIAGARA FINL GROUP INC NEW	FNFG	04/08/09	50.15	12.537	13 91	55 64	5.49 ST		
48			04/15/09	593.67	12.368	13.91	667 68	74.01 ST		
10			05/08/09	128 34	12.833	13.91	139 10	10 76 ST		
16			09/09/09	204.76	12.797	13 91	222 56	17.80 ST		
17			09/25/09	204.32	12.018	13.91	236 47	32.15 ST		
95				**1,181 24**	**12.434**		**1,321 45**	**140 21**	**4 025**	**53.20**
27	GEO GROUP INC	GEO	11/05/09	584 98	21.665	21.88	590 76	5 78 ST		
9			11/16/09	189 10	21 011	21.88	196.92	7.82 ST		
15			12/08/09	315 42	21 028	21.88	328.20	12.78 ST		
51				**1,089 50**	**21.363**		**1,115.88**	**26.38**		
5	GRAFTECH INTERNATIONAL INC	GTI	06/12/09	61 90	12 38	15.55	77.75	15 85 ST		
22			07/08/09	210 49	9.567	15.55	342 10	131.61 ST		
6			07/20/09	71 88	11.98	15.55	93.30	21.42 ST		
12			08/03/09	171.07	14.255	15.55	186.60	15.53 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
11	GRAFTECH INTERNATIONAL INC	GTI	12/10/09	$ 179 55	$ 16.322	$ 15.55	$ 171 05	($ 8.50) ST		
56				**694.89**	**12.409**		**870 80**	**175 91**		
6	HANCOCK HOLDING CO	HBHC	05/12/09	233.60	38.932	43 81	262.86	29.26 ST		
5			05/13/09	190 42	38.083	43.81	219.05	28.63 ST		
5			07/24/09	186.60	37.319	43.81	219.05	32 45 ST		
14			08/20/09	524.81	37.486	43.81	613 34	88.53 ST		
30				**1,135 43**	**37.848**		**1,314 30**	**178.87**	**2.191**	**28.80**
16	HARBIN ELECTRIC INC	HRBN	10/09/09	310 65	19.415	20.54	328.64	17.99 ST		
16			10/15/09	320 04	20 002	20.54	328.64	8 60 ST		
11			10/26/09	210 08	19.098	20 54	225 94	15.86 ST		
13			11/02/09	223.49	17.191	20 54	267.02	43.53 ST		
8			11/10/09	165.10	20.637	20 54	164.32	(.78) ST		
64				**1,229.36**	**19.209**		**1,314.56**	**85 20**		
30	HEALTHSOUTH CORP (NEW)	HLS	10/05/09	491.13	16.371	18.77	563.10	71.97 ST		
17			10/06/09	282 34	16.608	18.77	319 09	36.75 ST		
11			10/08/09	189.92	17.265	18.77	206 47	16 55 ST		
15			11/04/09	253.55	16.903	18.77	281.55	28 00 ST		
11			11/16/09	196.08	17.825	18.77	206 47	10.39 ST		
84				**1,413.02**	**16.822**		**1,576 68**	**163 66**		
33	HEALTHSPRING INC	HS	11/23/09	554.73	16.81	17 61	581.13	26.40 ST		
14			12/04/09	241.48	17.248	17.61	246.54	5 06 ST		
15			12/11/09	267.93	17 862	17.61	264.15	(3 78) ST		
13			12/24/09	233 93	17.994	17.61	228 93	(5 00) ST		
75				**1,298.07**	**17.308**		**1,320.75**	**22.68**		
53	HECLA MINING CO CLASS A	HL	04/29/09	144.18	2.72	6.18	327.54	183.36 ST		
102			06/11/09	315.96	3.097	6.18	630.36	314.40 ST		
49			08/05/09	160.79	3.281	6.18	302.82	142 03 ST		
204				**620.93**	**3.044**		**1,260.72**	**639.79**		
30	HHGREGG INC	HGG	10/05/09	542.49	18.083	22.03	660 90	118.41 ST		
24			10/19/09	468.88	19 536	22 03	528 72	59.84 ST		
9			11/05/09	166.99	18.554	22.03	198.27	31.28 ST		
63				**1,178.36**	**18.704**		**1,387 89**	**209 53**		
20	HUMAN GENOME SCIENCES INC	HGSI	08/25/09	382.57	19.128	30 58	611.60	229.03 ST		
12			10/06/09	235.20	19.60	30.58	366.96	131 76 ST		
32				**617.77**	**19.305**		**978.56**	**360.79**		

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07511-18 25**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Incor (annualized)
3	INTEROIL CORP	IOC	09/24/09	$ 110.08	$ 36.693	$ 76 81	$ 230.43	$ 120.35	ST		
4			10/05/09	159.49	39.871	76.81	307 24	147 75	ST		
7				**269 57**	**38.51**		**537.67**	**268 10**			
74	ION GEOPHYSICAL CORP	IO	11/20/09	432 48	5 844	5.92	438.08	5.60	ST		
36			12/10/09	212.85	5.912	5 92	213.12	.27	ST		
51			12/16/09	288.90	5.664	5 92	301 92	13.02	ST		
161				**934.23**	**5.803**		**953.12**	**18.89**			
36	JDS UNIPHASE CORP NEW	JDSU	04/14/09	156.24	4.34	8 25	297.00	140.76	ST		
30			06/26/09	170.11	5.67	8.25	247.50	77.39	ST		
31			07/20/09	178.91	5.771	8.25	255.75	76 84	ST		
36			08/24/09	237.16	6.587	8.25	297 00	59.84	ST		
31			11/06/09	201.08	6.486	8 25	255.75	54 67	ST		
30			11/09/09	204.72	6.824	8.25	247 50	42.78	ST		
194				**1,148.22**	**5.919**		**1,600.50**	**452.28**			
10	KAISER ALUMINUM CORP	KALU	01/13/09	234 78	23 478	41 62	416 20	181.42	ST		
5			01/21/09	116 66	23.332	41 62	208.10	91 44	ST		
3			02/04/09	77.80	25.932	41.62	124.86	47.06	ST		
3			02/18/09	68.49	22.83	41.62	124.86	56 37	ST		
4			06/22/09	138.29	34.572	41.62	166.48	28.19	ST		
7			08/17/09	222.67	31 81	41.62	291.34	68.67	ST		
32				**858 69**	**26 834**		**1,331 84**	**473 15**		**2.306**	**30.72**
20	LUMBER LIQUIDATORS	LL	06/15/09	300 47	15.023	26.80	536.00	235.53	ST		
11			06/24/09	163.64	14.876	26 80	294.80	131.16	ST		
13			07/14/09	220.56	16.966	26.80	348.40	127 84	ST		
16			08/06/09	320.18	20.011	26.80	428.80	108 62	ST		
60				**1,004 85**	**16.748**		**1,608.00**	**603.15**			
11	MEDICIS PHARMACEUTICAL CORP CL A NEW	MRX	05/28/09	169.50	15.408	27.05	297.55	128.05	ST		
10			06/01/09	162 70	16.27	27 05	270.50	107.80	ST		
14			06/15/09	215 98	15 427	27.05	378.70	162 72	ST		
11			07/28/09	189.59	17.235	27.05	297.55	107.96	ST		
46				**737.77**	**16.038**		**1,244.30**	**506.53**		**.591**	**7.36**
3	MERCADOLIBRE INC	MELI	03/18/09	51.00	16.999	51.87	155.61	104.61	ST		
7			03/25/09	130.49	18.64	51.87	363.09	232 60	ST		
7			04/22/09	168.60	24.085	51.87	363.09	194.49	ST		
17				**350.09**	**20 594**		**881.79**	**531.70**			

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
27	NAVIGANT CONSULTING CO	NCI	11/02/09	$ 385.83	$ 14.29	$ 14 86	$ 401.22	$ 15 39 ST		
14			11/04/09	203.14	14.51	14.86	208.04	4.90 ST		
16			11/06/09	240 34	15.021	14 86	237.76	(2.58) ST		
57				**829.31**	**14 549**		**847 02**	**17.71**		
16	NEKTAR THERAPEUTICS	NKTR	06/19/09	96.18	6.011	9.32	149.12	52 94 ST		
36			07/21/09	244.66	6 796	9 32	335.52	90 86 ST		
22			07/23/09	167.00	7 591	9 32	205.04	38.04 ST		
20			08/25/09	155.17	7 758	9.32	186.40	31 23 ST		
94				**663 01**	**7.053**		**876 08**	**213.07**		
13	NICOR INC	GAS	11/04/09	492.43	37 879	42.10	547.30	54.87 ST		
7			11/12/09	275 88	39.411	42 10	294 70	18.82 ST		
6			11/23/09	238.02	39.669	42.10	252.60	14.58 ST		
26				**1,006.33**	**38.705**		**1,094 60**	**88 27**	**4.418**	**48 36**
18	NUTRI/SYSTEM INC	NTRI	11/13/09	**396.73**	22.04	31 17	**561.06**	**164 33** ST	**2 245**	**12.60**
128	PAETEC HOLDING CORP	PAET	10/07/09	545.96	4.265	4 15	531 20	(14.76) ST		
56			10/13/09	244.08	4 358	4.15	232.40	(11.68) ST		
55			12/21/09	227.22	4.131	4 15	228 25	1.03 ST		
239				**1,017.26**	**4.256**		**991 85**	**(25 41)**		
26	POLYCOM INC	PLCM	07/09/09	527.93	20.305	24 97	649 22	121.29 ST		
8			07/16/09	186.48	23.31	24.97	199.76	13.28 ST		
8			08/24/09	189.87	23.734	24.97	199.76	9 89 ST		
10			10/08/09	267.81	26.781	24.97	249.70	(18 11) ST		
52				**1,172.09**	**22 54**		**1,298.44**	**126.35**		
1	POWER INTEGRATIONS INC	POWI	04/24/09	23.00	22.999	36 36	36.36	13.36 ST		
6			05/04/09	130.64	21.774	36.36	218.16	87.52 ST		
12			05/08/09	236 07	19.672	36.36	436.32	200 25 ST		
6			06/12/09	145.95	24.324	36 36	218 16	72 21 ST		
6			12/23/09	215 66	35 943	36.36	218.16	2 50 ST		
31				**751 32**	**24 236**		**1,127.16**	**375 84**	.275	3 10
2	PROSPERITY BANCSHARES INC	PRSP	09/19/08	78.62	39 308	40.47	80 94	2.32 LT		
5			09/22/08	173.26	34.651	40 47	202 35	29 09 LT		
6			10/01/08	210.43	35 072	40 47	242.82	32 39 LT		
4			11/12/08	126.62	31.655	40.47	161 88	35.26 LT		
4			01/28/09	111 64	27.909	40 47	161.88	50.24 ST		
4			07/13/09	116 76	29.191	40 47	161 88	45.12 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Incor (annualized)
7	PROSPERITY BANCSHARES INC	PRSP	07/17/09	$ 212.31	$ 30.33	$ 40.47	$ 283.29	$ 70.98	ST		
8			08/06/09	284.22	35 526	40.47	323.76	39 54	ST		
6			10/28/09	217.83	36.304	40.47	242.82	24.99	ST		
46				**1,531 69**	**33.298**		**1,861 62**	**329.93**		**1 531**	**28 52**
40	REGENCY ENERGY PARTNERS L P UNITS REPSTG LTD PARTNER	RGNC	06/25/09	560.34	14 008	20.95	838 00	277.66	ST		
10			07/09/09	148.39	14.839	20 95	209.50	61.11	ST		
15			09/02/09	231.07	15.404	20.95	314.25	83 18	ST		
15			10/27/09	288.43	19.228	20 95	314.25	25 82	ST		
80				**1,228.23**	**15.353**		**1,676 00**	**447 77**		**8 496**	**142 40**
13	RINO INTERNATIONAL CORPORATION COMM STK	RINO	09/24/09	235 28	18.098	27.65	359.45	124.17	ST		
11			10/15/09	262.10	23.827	27.65	304 15	42.05	ST		
10			10/20/09	215 85	21.584	27.65	276.50	60.65	ST		
8			12/14/09	232.08	29.01	27.65	221.20	(10 88)	ST		
42				**945.31**	**22.507**		**1,161 30**	**215 99**			
3	ROCK-TENN CO CLASS A	RKT	10/09/08	108.99	36.329	50.41	151 23	42.24	LT		
3			11/04/08	99.41	33.138	50 41	151.23	51.82	LT		
3			11/07/08	98.21	32.737	50.41	151.23	53.02	LT		
3			02/04/09	97.22	32.406	50 41	151.23	54 01	ST		
4			07/21/09	174.87	43 716	50 41	201.64	26.77	ST		
16				**578.70**	**36.169**		**806 56**	**227 86**		**1.19**	**9 60**
15	ROCKWOOD HOLDINGS INC	ROC	09/02/09	285.63	19.042	23 56	353.40	67 77	ST		
16			09/10/09	347.65	21.728	23 56	376 96	29.31	ST		
16			10/27/09	350 14	21.883	23.56	376.96	26.82	ST		
12			11/03/09	237.23	19 769	23.56	282.72	45 49	ST		
10			11/09/09	222.38	22.237	23.56	235.60	13.22	ST		
69				**1,443.03**	**20.913**		**1,625.64**	**182.61**			
1	SALIX PHARMACEUTICALS LTD	SLXP	07/28/09	13.07	13.068	25.39	25.39	12.32	ST		
14			08/06/09	175.59	12.542	25 39	355.46	179 87	ST		
17			08/18/09	218.07	12.827	25 39	431.63	213.56	ST		
32				**406 73**	**12 71**		**812 48**	**405 75**			
11	SCHWEITZER-MAUDUIT INTL INC	SWM	11/06/09	660 00	60.00	70.35	773.85	113.85	ST		
6			12/08/09	379.94	63.323	70 35	422.10	42.16	ST		
4			12/11/09	268.71	67.176	70.35	281.40	12 69	ST		
21				**1,308 65**	**62 317**		**1,477.35**	**168.70**		**.852**	**12 60**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
9	SEATTLE GENETICS INC	SGEN	08/05/09	$ 108.84	$ 12.093	$ 10.16	$ 91.44	($ 17.40) ST		
27			08/14/09	306 77	11 362	10.16	274.32	(32.45) ST		
14			08/24/09	169.35	12.096	10.16	142.24	(27 11) ST		
23			11/06/09	220.36	9.58	10 16	233 68	13 32 ST		
73				**805.32**	**11 032**		**741 68**	**(63 64)**		
7	SEMTECH CORP	SMTC	04/14/09	102 30	14 614	17.01	119.07	16.77 ST		
14			07/16/09	237.38	16 956	17.01	238.14	.76 ST		
13			09/22/09	233.24	17 941	17.01	221 13	(12 11) ST		
11			10/14/09	190.06	17.278	17.01	187.11	(2.95) ST		
45				**762 98**	**16.955**		**765.45**	**2.47**		
12	SERVICE CORP INTERNATIONAL	SCI	05/01/09	53 71	4 476	8.19	98.28	44 57 ST		
46			05/04/09	202.36	4.399	8.19	376.74	174 38 ST		
51			05/07/09	243.50	4.774	8.19	417.69	174 19 ST		
41			05/28/09	214.64	5.235	8 19	335.79	121.15 ST		
24			07/20/09	144 00	6.00	8.19	196.56	52.56 ST		
26			09/11/09	182.37	7.014	8.19	212 94	30.57 ST		
21			12/07/09	168 63	8.03	8 19	171.99	3.36 ST		
221				**1,209 21**	**5.472**		**1,809.99**	**600.78**	**1.953**	**35 36**
9	SIGNATURE BANK	SBNY	09/08/08	269.18	29.908	31 90	287 10	17.92 LT		
9			09/19/08	336.94	37.437	31.90	287.10	(49 84) LT		
5			11/11/08	149.84	29.967	31.90	159.50	9.66 LT		
3			03/20/09	75.40	25.132	31.90	95.70	20.30 ST		
7			05/08/09	201 38	28.768	31 90	223 30	21 92 ST		
6			07/28/09	189 03	31.505	31.90	191.40	2.37 ST		
9			08/05/09	270.72	30.08	31.90	287.10	16.38 ST		
8			08/25/09	237 12	29.639	31 90	255.20	18 08 ST		
8			10/29/09	253.53	31.691	31 90	255 20	1.67 ST		
64				**1,983 14**	**30.987**		**2,041 60**	**58.46**		
42	SKYWORKS SOLUTIONS INC	SWKS	12/01/09	547.74	13.041	14.19	595 98	48 24 ST		
17			12/03/09	231.32	13.607	14.19	241 23	9 91 ST		
59				**779 06**	**13.204**		**837.21**	**58 15**		
26	SOLERA HLDGS INC	SLH	06/29/09	671 78	25.837	36 01	936 26	264.48 ST		
10			07/08/09	242.16	24 215	36.01	360 10	117.94 ST		
8			07/30/09	215 87	26.984	36.01	288 08	72.21 ST		
44				**1,129 81**	**25 678**		**1,584 44**	**454.63**	**.694**	**11.00**

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07511-18 25**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incor (annualized)
10	STIFEL FINANCIAL CORP	SF	06/12/09	$ 450.87	$ 45.087	$ 59.24	$ 592 40	$ 141.53 ST		
4			06/16/09	177 98	44 495	59.24	236 96	58 98 ST		
5			06/18/09	222.20	44 439	59 24	296.20	74 00 ST		
5			06/30/09	239.96	47.992	59.24	296.20	56.24 ST		
24				**1,091 01**	**45 459**		**1,421 76**	**330 75**		
33	STONE ENERGY CORP	SGY	08/11/09	406.25	12.31	18 05	595 65	189 40 ST		
30			08/25/09	396.65	13.221	18.05	541.50	144 85 ST		
63				**802.90**	**12.744**		**1,137.15**	**334.25**		
6	TNS INC	TNS	05/07/09	100.82	16.803	25.69	154.14	53.32 ST		
9			05/14/09	153.54	17.06	25 69	231.21	77 67 ST		
10			06/10/09	183.81	18.38	25.69	256.90	73.09 ST		
6			10/14/09	173.11	28.852	25.69	154.14	(18.97) ST		
31				**611 28**	**19 719**		**796.39**	**185.11**		
35	TEMPUR-PEDIC INTERNATIONAL INC	TPX	09/17/09	646.02	18.457	23 63	827.05	181.03 ST		
15			09/30/09	284.39	18.959	23.63	354.45	70.06 ST		
10			10/16/09	220.30	22 03	23.63	236.30	16.00 ST		
9			11/06/09	183.89	20.432	23.63	212.67	28.78 ST		
69				**1,334.60**	**19 342**		**1,630 47**	**295.87**		
28	TERADYNE INC	TER	02/06/09	144.82	5.172	10 73	300.44	155 62 ST		
39			02/09/09	206.94	5 306	10.73	418.47	211.53 ST		
20			03/13/09	84.65	4.232	10 73	214 60	129 95 ST		
19			07/30/09	152.11	8.005	10.73	203.87	51.76 ST		
24			11/13/09	208.90	8.704	10.73	257.52	48.62 ST		
130				**797.42**	**6.134**		**1,394.90**	**597.48**		
17	THORATEC CORP	THOR	11/17/09	524.55	30.856	26 92	457.64	(66.91) ST		
9			11/30/09	266.24	29.582	26.92	242.28	(23 96) ST		
9			12/04/09	276.86	30.762	26 92	242 28	(34.58) ST		
35				**1,067.65**	**30.504**		**942.20**	**(125.45)**		
2	TREEHOUSE FOODS INC	THS	05/18/09	55.49	27.744	38.86	77 72	22.23 ST		
6			06/18/09	168.51	28.085	38.86	233.16	64.65 ST		
8			07/22/09	242.34	30.292	38.86	310 88	68.54 ST		
5			12/21/09	194.97	38.993	38.86	194.30	(.67) ST		
21				**661.31**	**31.491**		**816.06**	**154.75**		
7.6673	UNISYS CORP	UIS	08/05/09	167 00	22.157	38.56	295.65	128 65 ST		
8.4537			08/11/09	186.12	22.397	38.56	325.97	139.85 ST		

Reserved Client Statement
December 1 - December 31, 2009

HAMRICK MILLS FOUNDATION, INC. *Account number 819-07511-18 258*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
8 3554	UNISYS CORP	UIS	08/17/09	$ 172.41	$ 20 991	$ 38 56	$ 322 18	$ 149.77 ST		
12 189			08/28/09	296.01	24.705	38.56	470 01	174.00 ST		
10.518			09/30/09	288.02	27 857	38 56	405.57	117.55 ST		
4.8166			10/08/09	133 90	28 281	38.56	185.73	51.83 ST		
5			11/09/09	170 06	34 012	38.56	192.80	22 74 ST		
7			11/25/09	231.88	33.126	38.56	269.92	38 04 ST		
64				**1,645.40**	**25.709**		**2,467 83**	**822.43**		
3	VAIL RESORTS INC	MTN	08/20/09	91.37	30 457	37 80	113 40	22 03 ST		
6			08/27/09	190 80	31.799	37.80	226 80	36 00 ST		
8			09/03/09	244.53	30 566	37.80	302 40	57 87 ST		
7			10/09/09	249 94	35 706	37 80	264 60	14 66 ST		
24				**776 64**	**32.36**		**907 20**	**130.56**		
46	WONDER AUTO TECHNOLOGY INC	WATG	11/18/09	520 91	11.324	11.74	540 04	19.13 ST		
22			11/30/09	252 51	11.477	11.74	258 28	5 77 ST		
25			12/04/09	340 35	13.614	11.74	293 50	(46 85) ST		
21			12/16/09	269 99	12.856	11.74	246.54	(23.45) ST		
114				**1,383 76**	**12 138**		**1,338.36**	**(45.40)**		
22	WOODWARD GOVERNOR CO DELAWARE	WGOV	09/08/09	483.77	21.989	25 77	566.94	83.17 ST		
11			09/16/09	272 94	24 812	25 77	283.47	10 53 ST		
8			10/22/09	204 47	25 558	25 77	206 16	1.69 ST		
41				**961.18**	**23.443**		**1,056.57**	**95.39**	.931	9.84
28	WRIGHT MEDICAL GROUP INC	WMGI	11/12/09	516.51	18 446	18.94	530 32	13 81 ST		
14			12/01/09	258 06	18 432	18.94	265.16	7 10 ST		
15			12/04/09	282 59	18 839	18.94	284.10	1 51 ST		
14			12/21/09	257.43	18.388	18.94	265 16	7.73 ST		
71				**1,314.59**	**18.515**		**1,344.74**	**30.15**		
46	ZORAN CORP	ZRAN	09/15/09	520.29	11.31	11 05	508 30	(11 99) ST		
21			09/29/09	248 71	11.843	11 05	232 05	(16 66) ST		
22			10/08/09	235 70	10 713	11 05	243 10	7.40 ST		
24			11/12/09	236 22	9.842	11 05	265.20	28 98 ST		

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07511-18 258**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
20	ZORAN CORP	ZRAN	12/10/09	$ 208.99	$ 10.449	$ 11.05	$ 221.00	$ 12.01	ST		
133				1,449.91	10.902		1,469.65	19.74			
Total common stocks and options				$ 86,164.96			$ 102,925.20	$ 16,536.36	ST	.66	
								$ 223.88	LT		$ 688.08
Total portfolio value				$ 92,797.66			$ 109,557.90	$ 16,536.36	ST	.62	$ 688.74
								$ 223.88	LT		

Hays Advisory

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
136	ACCENTURE PLC	ACN	02/04/09	**$ 4,514.64**	$ 33.195	$ 41 50	**$ 5,644 00**	**$ 1,129.36** ST	**1 807%**	**$ 102.00**
87	ALCON INC	ACL	03/15/07	**11,287.18**	129.737	164 35	**14,298 45**	**3,011.27** LT	**2.217**	**317.12**
81	ADOBE SYSTEMS INC (DE)	ADBE	09/14/06	2,718.36	33 56	36 78	2,979.18	260 82 LT		
122			10/18/06	4,657.96	38 18	36.78	4,487 16	(170 80) LT		
203				**7,376.32**	**36.337**		**7,466 34**	**90 02**		
123	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	AMX	07/08/09	4,598 51	37 386	46 98	5,778 54	1,180 03 ST		
56			08/21/09	2,629 65	46.958	46.98	2,630.88	1 23 ST		
179				**7,228 16**	**40.381**		**8,409.42**	**1,181.26**	**.966**	**81.27**
113	CAMERON INTERNATIONAL CORP	CAM	09/06/07	4,846.90	42 892	41 80	4,723.40	(123.50) LT		
128			09/07/07	5,427.74	42.404	41 80	5.350.40	(77 34) LT		
241				**10,274.64**	**42.633**		**10,073 80**	**(200 84)**		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
429	CISCO SYS INC	CSCO	03/16/07	**$ 11,128 21**	$ 25.939	$ 23 94	**$ 10,270.26**	**($ 857.95)** LT		
152	COCA-COLA CO	KO	05/29/07	**7,925 14**	52.139	57.00	**8,664.00**	**738 86** LT	**2.877**	**249.28**
182	COGNIZANT TECH SOLUTIONS CL A	CTSH	05/12/09	**4,604.29**	25.298	45.33	**8,250 06**	**3,645 77** ST		
138	CUMMINS INC	CMI	01/22/09	**3,503 66**	25 388	45.86	**6,328.68**	**2,825.02** ST	**1 526**	**96 60**
74	DANAHER CORP DE	DHR	09/14/06	4,896.43	66 167	75.20	5,564.80	668.37 LT		
59			10/18/06	4 130 59	70.01	75.20	4,436.80	306.21 LT		
133				**9,027.02**	**67.872**		**10,001.60**	**974.58**	**.212**	**21 28**
155	DENTSPLY INTL INC	XRAY	08/13/09	**5,099.61**	32.90	35 17	**5,451.35**	**351.74** ST	**.568**	**31 00**
167	DICKS SPORTING GOODS INC	DKS	11/05/09	4,090 18	24 492	24.87	4,153.29	63.11 ST		
168			11/06/09	4,130.87	24.588	24.87	4,178.16	47.29 ST		
335				**8,221 05**	**24.54**		**8,331 45**	**110.40**		
230	WALT DISNEY CO	DIS	05/29/08	**7,825.38**	34.023	32.25	**7,417.50**	**(407.88)** LT	**1 085**	**80 50**
178	DIRECTV CL A	DTV	04/29/09	**4,528.91**	25.443	33.35	**5,936.30**	**1,407 39** ST		
111	DRESSER-RAND GROUP INC	DRC	08/11/09	3,385.00	30.495	31.61	3,508.71	123 71 ST		
61			08/12/09	1,869.94	30 654	31.61	1,928 21	58 27 ST		
172				**5,254.94**	**30 552**		**5,436 92**	**181 98**		
53	EMCOR GROUP INC	EME	01/28/09	1,158.59	21.86	26 90	1,425.70	267.11 ST		
153			01/29/09	3,291.40	21.512	26.90	4,115.70	824.30 ST		
206				**4,449 99**	**21.602**		**5,541.40**	**1,091 41**		
43	FACTSET RESEARCH SYSTEMS INC	FDS	04/29/09	2,281.86	53.066	65.87	2,832.41	550.55 ST		
43			04/30/09	2,316 59	53 874	65.87	2,832.41	515.82 ST		
86				**4,598.45**	**53.47**		**5,664 82**	**1,066.37**	**1 214**	**68 80**
87	FLOWSERVE CORP	FLS	08/21/09	**7,910.21**	90.922	94.53	**8,224 11**	**313.90** ST	**1.142**	**93.96**
77	FRANKLIN RESOURCES INC	BEN	11/02/09	**8,059.72**	104.671	105.35	**8,111.95**	**52 23** ST	**835**	**67.76**
96	ITT CORP	ITT	09/14/06	4,680.00	48.75	49.74	4,775 04	95.04 LT		
69			10/18/06	3,734.28	54.12	49.74	3,432.06	(302.22) LT		
165				**8,414.28**	**50 996**		**8,207.10**	**(207.18)**	**1 708**	**140 25**
304	JEFFERIES GROUP INC NEW	JEF	10/26/09	8,110.60	26.679	23.73	7,213.92	(896 68) ST		
6			10/27/09	160.69	26.781	23.73	142.38	(18.31) ST		
310				**8,271 29**	**26.682**		**7,356.30**	**(914.99)**		
34	JOY GLOBAL INC	JOYG	10/06/09	1,631.44	47.983	51.57	1,753.38	121.94 ST		
118			11/04/09	6,238.22	52.866	51.57	6,085.26	(152.96) ST		
152				**7,869 66**	**51.774**		**7,838.64**	**(31.02)**	**1.357**	**106 40**

Ref 00000159 00019922

MorganStanley SmithBarney

Reserved Client Statement
December 1 - December 31, 2009

HAMRICK MILLS FOUNDATION, INC. **Account number 819-14595-13 258**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
104	MCKESSON CORPORATION	MCK	07/08/09	**$ 4,561 53**	$ 43.86	$ 62.50	**$ 6,500.00**	**$ 1,938.47** ST	768%	$ 49 92
342	MICROSOFT CORP	MSFT	02/01/08	**10,607.91**	31 017	30.48	**10,424.16**	**(183 75)** LT	1 706	177.84
112	NIKE INC CL B	NKE	06/11/08	**7,618 80**	68.025	66.07	**7,399 84**	**(218 96)** LT	1.634	120 96
382	ORACLE CORP	ORCL	11/09/06	**7,151.22**	18 72	24.53	**9,370.46**	**2,219.24** LT	815	76.40
368	QLOGIC CORP	QLGC	12/18/08	**4,776.23**	12.978	18 87	**6,944 16**	**2,167 93** LT		
94	QUEST DIAGNOSTICS INC	DGX	12/22/08	**4,699.40**	49 993	60 38	**5,675 72**	**976 32** LT	662	37.60
272	SEI INVESTMENTS CO	SEIC	06/25/09	**4,791.58**	17.616	17.52	**4,765.44**	**(26.14)** ST	1 027	48 96
99	SCHEIN (HENRY) INC	HSIC	08/13/09	**5,111 47**	51 631	52 60	**5,207.40**	**95.93** ST		
264	SOUTHERN COPPER CORP DEL	PCU	03/20/07	**6,194 33**	23.463	32.91	**8,688.24**	**2,493 91** LT	2 187	190 08
84	THERMO FISHER SCIENTIFIC INC	TMO	08/13/09	3,851 69	45.853	47.69	4,005 96	154.27 ST		
29			08/14/09	1,314.55	45.329	47.69	1,383 01	68 46 ST		
113				**5,166.24**	**45.719**		**5,388 97**	**222 73**		
112	WORLD FUEL SVCS CORP	INT	11/18/09	3,032.79	27.078	26 79	3,000 48	(32 31) ST		
158			11/19/09	4,225 21	26.741	26.79	4,232.82	7 61 ST		
46			11/20/09	1,227.30	26.68	26.79	1,232.34	5.04 ST		
316				**8,485 30**	**26.852**		**8,465 64**	**(19 66)**	.559	47.40
Total common stocks and options				**$ 226,536.76**			**$ 251,754.48**	**$ 14,622.15 ST**	.87	
								$ 10,595.57 LT		**$ 2,205 38**

Exchange traded & closed end funds

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating CIRA closed-end fund recommendations include an investment rating and a risk rating The Investment Rating code (1,2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA ratings

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain exchange-traded funds. Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes. All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Incom (annualized)
110	STANDARD & POORS MIDCAP 400 DEP RCPTS MIDCAP SPDRS Equity portfolio **Rating**: CG RESEARCH : AL	MDY	05/06/09	**$ 11,575.13**	$ 105.228	$ 131.74	**$ 14,491.40**	**$ 2,916.27** ST	**1.482%**	**$ 214 83**

Exchange traded & closed end funds *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
128	UTS SPDR TRUST SER 1 Equity portfolio **Rating:** CG RESEARCH · AL	SPY	05/06/09	$ 11,707.87	$ 91.467	$ 111.44	$ 14,264.32	$ 2,556.45 ST	2.118%	$ 302.21
Total closed end fund equity allocation							$ 28,755 72			
Total exchange traded funds and closed end funds				$ 23,283.00			$ 28,755.72	$ 5,472.72 ST	1.79	
								$ 0.00 LT		$ 517.04
Total portfolio value				$ 257,853.46			$ 288,543.90	$ 20,094.87 ST	.94	
								$ 10,595.57 LT		$ 2,723 22
				249,819.76			280,510.20			

Wentworth, Hauser & Violich

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	BUNGE LIMITED	BG	12/30/08	$ 745.84	$ 49.722	$ 63.83	$ 957.45	$ 211.61 LT	1 315%	$ 12.60
65	COOPER INDUSTRIES PLC DUBLIN-USD	CBE	03/26/08	2,569 39	39.529	42.64	2,771.60	202.21 LT	2.345	65.00

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
55	INGERSOLL-RAND PUBLIC LTD CO	IR	03/26/08	$ 2,396.75	$ 43.577	$ 35.74	$ 1,965.70	($ 431.05) LT	.783%	$ 15.40
145	NABORS INDUSTRIES LTD-USD NEW	NBR	03/26/08	4,797.21	33.084	21.89	3,174.05	(1,623 16) LT		
15	PARTNERRE LTD -BMD	PRE	03/26/08	1,144.78	76 318	74 66	1,119.90	(24 88) LT	2.518	28 20
140	WEATHERFORD INTERNATIONAL LTD.-CHF	WFT	03/26/08	4,849.29	35 352	17 91	2,507.40	(2,441 89) LT		
30	FOSTER WHEELER AG Exchange rate· .9710623 Shares traded in: Swiss francs	FWLT	12/30/08	702.51	23.417	29 44	883.20	180.69 LT		
130	NOBLE CORPORATION-CHF	NE	03/26/08	6,366.17	48.97	40 70	5,291.00	(1,075.17) LT	.393	20 80
45	TRANSOCEAN LTD SWITZERLAND NEW	RIG	03/26/08	6,108.45	135.743	82.80	3,726.00	(2,382.45) LT		
25	UBS AG (NEW)	UBS	01/18/08	975 65	39.026	15.51	387.75	(587.90) LT		
47			03/26/08	1,309.86	27.869	15.51	728.97	(580.89) LT		
72				2,285.51	31.743		1,116 72	(1,168 79)	8 11	90 58
10	CORE LABORATORIES N V-USD	CLB	03/26/08	1,173.00	117.299	118.12	1,181.20	8.20 LT	338	4.00
20	AGRIUM INC USD	AGU	12/30/08	646.87	32.343	61.50	1,230 00	583 13 LT	178	2.20
30	AXA S.A.SPONS ADR	AXA	03/26/08	1,060 37	35.345	23.68	710.40	(349 97) LT	1.929	13.71
40	BAE SYSTEMS PLC SPON ADR	BAESY	03/26/08	1,538 00	38.45	23.18	927 20	(610.80) LT	4.098	38.00
30	BASF SE COMMON STOCK	BASFY	03/26/08	1,988.40	66 28	62.10	1,863 00	(125 40) LT	3 045	56.73
75	BHP BILLITON LTD SPONS ADR	BHP	03/26/08	4,902.25	65.363	76.58	5,743 50	841 25 LT	2 141	123 00
30	BRITISH AMERICAN TOBACCO PLC ADR	BTI	03/26/08	2,148.58	71.619	64 66	1,939 80	(208.78) LT	4.226	81.99
35	BROOKFIELD ASSET MGMT INC VOTING SHS CL A	BAM	03/26/08	961.10	27 46	22.18	776.30	(184 80) LT	2.344	18.20
28	CADBURY PLC ADR	CBY	03/26/08	1,467 48	53.907	51 39	1,438 92	(28.56) LT	2.06	29.65
40	CANADIAN NATL RAILWAY CO	CNI	03/26/08	1,944.38	48 609	54 36	2,174 40	230 02 LT	1.769	38.48
20	CANADIAN NATURAL RES LTD	CNQ	03/26/08	1,387.75	69.387	71 95	1,439.00	51 25 LT	547	7 88
15	CANADIAN PACIFIC RAILWAY	CP	03/26/08	993.84	66 256	54 00	810.00	(183 84) LT		
15			06/24/08	1,006.13	67.075	54.00	810.00	(196.13) LT		
30				1,999 97	66.666		1,620.00	(379.97)	1.727	27.99

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
30	DIAGEO PLC SPON ADR-NEW	DEO	03/26/08	$ 2,516 71	$ 83 89	$ 69.41	$ 2,082.30	($ 434 41) LT	3 263 %	$ 67 95
25	ENSIGN ENERGY SVCS INC-CAD Exchange rate: .9521995 Shares traded in: Canadian dollars	ESVIF	03/26/08	504.00	20.159	14.241	356.03	(147.97) LT	2.457	8 75
6	FIBRIA CELLULOSE S A ADR	FBR	03/26/08	313 81	58.708	22 84	137.04	(176.77) LT		
25	MANULIFE FINANCIAL CORP	MFC	03/26/08	919 25	36.769	18.34	458 50	(460.75) LT	2.671	12 25
62	NESTLE S A SPONSORED ADR	NSRGY	03/26/08	3,092.56	50.282	48.35	2,997.70	(94 86) LT	1.662	49 85
40	NOVARTIS AG ADR	NVS	03/26/08	1,993 84	49.846	54.43	2,177.20	183.36 LT	2 671	58.16
15	POTASH CORP SASK INC-	POT	03/26/08	2,396.04	159.736	108.50	1,627.50	(768.54) LT		
15			12/29/08	1,088.92	72.594	108.50	1,627.50	538.58 LT		
30				3,484.96	116.165		3,255 00	(229.96)	368	12 00
10	RWE AG SPONS ADR -USD	RWEOY	03/26/08	1,207.50	120.75	96.90	969 00	(238 50) LT	4 424	42 87
10	RIO TINTO PLC-GBP	RTP	03/26/08	4,058.72	405 871	215 39	2,153 90	(1,904 82) LT	2 525	54 40
60	SCHLUMBERGER LTD	SLB	03/26/08	5,125.19	85.419	65 09	3,905 40	(1,219 79) LT	1 29	50 40
36	SUNCOR ENERGY INC NEW	SU	12/16/05	1,157.14	32.142	35 31	1,271.16	114.02 LT		
60			03/26/08	2,949.11	49.151	35.31	2,118 60	(830 51) LT		
96				4,106 25	42 773		3,389 76	(716 49)		
20	SYNGENTA AG ADR	SYT	12/30/08	766 42	38 321	56.27	1,125 40	358 98 LT	1 547	17.42
60	TALISMAN ENERGY INC	TLM	03/26/08	1,036.68	17.278	18.64	1,118.40	81 72 LT	1.126	12 60
40	TECK RESOURCES LTD CL B SUB VTG	TCK	03/26/08	1,633 31	40.832	34.97	1,398.80	(234.51) LT		
80	TENARIS S A SPONSORED ADR	TS	03/26/08	3,909.66	48.87	42 65	3,412 00	(497 66) LT	2 016	68.80
5	TRICAN WELL SERVICE LTD -CAD Exchange rate: .9521995 Shares traded in: Canadian dollars	TOLWF	03/26/08	102.70	20.541	13.348	66 74	(35 96) LT	.749	.50
65	UNILEVER NV NY SHS-NEW	UN	03/26/08	2,200 16	33.848	32.33	2,101 45	(98 71) LT	2 858	60.06
105	VALE S A SPON ADR	VALE	03/26/08	3,607.78	34 359	29 03	3,048 15	(559.63) LT	.775	23 63

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	YARA INTL ASA-USD SPONSORED ADR	YARIY	03/26/08	**$ 275 00**	$ 55 00	$ 45.55	**$ 227.75**	**($ 47 25)** LT	**1.246%**	**$ 2.84**
Total common stocks and options				**$ 94,138.55**			**$ 78,937.26**	**$ 0.00 ST**	**1.54**	
								($ 15,201.29) LT		**$ 1,216.89**
Total portfolio value				**$ 96,769.99**			**$ 81,568.70**	**$ 0.00 ST**	**1.49**	**$ 1,217 15**
								($ 15,201.29) LT		

Wedge Capital Mgmt.

MorganStanley
SmithBarney

Reserved
Client Statement
December 1 - December 31, 2009

Ref: 00000159 00019838

HAMRICK MILLS FOUNDATION, INC. ***Account number 819-07505-16 258***

Mortgage and asset backed securities

Current Value is calculated as follows: Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
10,000	FNMA PL#745295 DTD 01/01/2006 INT: 04.808% MATY: 10/01/2012 FACTOR: .90544752 Curr.face $ 9,054.48 Int paid monthly	02/21/06 31403C7G0	**$ 8,836.81**	$ 97.82	$ 103.723 **$ 36.28**	**$ 9,391.57**	**$ 554.76** LT	**4.635 %**	**$ 435 33**
10,000	FNMA PL#385538 DTD 11/01/2002 INT: 04.790% MATY: 11/01/2012	09/14/06 31377SHP6	9,751.56	97.515	104.576	10,457.60	706.04 LT		
15,000	FACTOR: 1.0000000 Curr.face $ 25,000.00	10/27/08	14,887.50	99.25	104.576	15,686.40	798 90 LT		
25,000	Int paid monthly		**24,639.06**	**98.60**	**99 79**	**26,144.00**	**1,504.94**	**4.58**	**1,197 50**
5,000	FNMA PL#385623 DTD 12/01/2002 INT: 04.940% MATY: 12/01/2012 FACTOR: 1.0000000 Curr.face $ 5,000.00 Int paid monthly	09/13/06 31377SLC0	**4,919.53**	98 39	104.891 **20.58**	**5,244.55**	**325 02** LT	**4.709**	**247 00**
20,000	FNMA PL#745935 DTD 10/01/2006 INT. 05.870% MATY: 08/01/2016 FACTOR: .92493835 Curr.face $ 18,498.77 Int paid monthly	07/30/07 31403DV48	**18,723.38**	101.148	108.872 **90 49**	**20,139.98**	**1,416.60** LT	**5.391**	**1,085 87**
15,000	FNMA PL#888267 DTD 03/01/2007 INT· 05.860% MATY: 02/01/2017	03/23/07 31410FZ81	13,388.59	103.695	108.711	13,952.32	563.73 LT		
15,000	FACTOR: .85562140 Curr.face $ 25,668.64	10/27/08	12,931.63	100.75	108.711	13,952.32	1,020.69 LT		
30,000	Int paid monthly		**26,320.22**	**87.70**	**125.35**	**27,904.64**	**1,584.42**	**5.39**	**1,504.18**
15,000	FHLMC PL#G18016 DTD 10/01/2004 INT: 05.000% MATY: 10/01/2019 FACTOR: .40010965 Curr.face $ 6,001.64 Int paid monthly	12/10/04 3128MMAS2	**6,289.97**	101.953	105.262 **25.01**	**6,317.45**	**27.48** LT	**4.75**	**300.08**
15,000	FHLMC PL#G12732 DTD 07/01/2007 INT: 04.000% MATY: 06/01/2020 FACTOR: .66090697 Curr.face $ 9,913.60 Int paid monthly	02/25/08 3128MBHH3	**9,548.59**	97.328	102.51 **33.05**	**10,162.44**	**613.85** LT	**3.902**	**396 54**

HAMRICK MILLS FOUNDATION, INC. **Account number 819-07505-16 258**

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated income (annualized)
25,000	GNMA PL#601464X DTD 11/01/2006 INT: 05.125% MATY 09/15/2029 FACTOR: .92515866 Curr.face $ 23,128.97 Int paid monthly	03/19/08 36200HFD1	$ 23,405 34	$ 101 14	$ 103.047 $ 98.78	$ 23,833 71	$ 428.37 LT	4.973%	$ 1,185.35
20,000	FHLMC PL#782451 DTD 10/01/2004 INT: 05.046% MATY: 10/01/2034 FACTOR· .38558850 Curr face $ 7,711.77 Int paid monthly	01/23/06 31349TWL1	7,530.97	98 921	104.433 31.44	8,053 69	522.72 LT	4.831	389 13
20,000	FNMA PL#735608 DTD 05/01/2005 INT· 04.434% MATY: 03/01/2035 FACTOR: .37874028 Curr.face $ 7,574.81 Int paid monthly	09/15/05 31402RGR4	7,459 77	99.375	103.886 27.99	7,869 22	409 45 LT	4 268	335 86
10,000	FNMA PL#817509 DTD 09/01/2005 INT: 05.164% MATY: 09/01/2035 FACTOR. .65088832 Curr face $ 6,508.88 Int paid monthly	09/13/05 31406RGS8	6,554 23	100 453	105.84 28.01	6,889 05	334.82 LT	4 879	336.11
20,000	FNMA PL#745169 DTD 12/01/2005 INT: 05.217% MATY: 01/01/2036	01/23/06 31403C2A8	12,575.29	99.562	105 435	13,350.83	775.54 LT		
5,000	FACTOR: .63313061 Curr.face $ 15,828.27	10/27/08	3,099.84	98.312	105.435	3,337.71	237 87 LT		
25,000	Int paid monthly		15,675.13	62 70	68 81	16,688 54	1,013.41	4.948	825.76
25,000	GNMA PL#636385X DTD 11/01/2008 INT. 05.680% MATY· 05/15/2047 FACTOR: .99243780 Curr face $ 24,810.95 Int paid monthly	36291R6W7	Please provide 25082.86		103.773 117.44	25,747.06	Not available	5.473	1,409.26
Total mortgage and asset backed securities 245,000			$ 159,903.00		$ 803.02	$ 194,385.90	$ 0.00** ST $ 8,735.84** LT	4.96	$ 9,647.97

184,985.86

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Moody's Investors Service and Standard & Poor's may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting research ratings.

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	SBC COMMUNICATIONS INC DTD 03/19/01 INT: 06.250% MATY: 03/15/2011 Rating: A2/A	09/04/03 78387GAD5	$ 5,411.95 **$ 5,076.15**	$ 108.239 $ 101.523	105.897 **$ 92.01**	**$ 5,294.85**	($ 117 10) **$ 218.70**	LT LT	5.901 **$ 312.50**	$ 0 00 **$ 218.70**
5,000	CONOCO FUNDING CO DTD 10/11/01 INT: 06.350% MATY: 10/15/2011 Rating: A1/A	07/24/03 20825UAB0	5,615.25 **5,153.65**	112.305 103.073	108 796 **67.03**	**5,439.80**	(175 45) **286.15**	LT LT	5.836 **317.50**	0.00 **286.15**
10,000	SBC COMMUNICATIONS INC DTD 08/18/04 INT. 05.625% MATY: 06/15/2016 Rating: A2/A	01/16/08 78387GAL7	10,174.70 **10,141.00**	101.747 101.41	107.424 **25.00**	**10,742.40**	567.70 **601 40**	LT LT	5 236 **562.50**	0.00 **601 40**
10,000	BANK OF AMERICA CORPORATION DTD 12/04/07 INT: 05.750% MATY: 12/01/2017 Rating: A2/A	01/17/08 060505DP6	10,156.40 **10,132.00**	101.564 101.32	102.402 **47.92**	**10,240.20**	83.80 **108.20**	LT LT	5.615 **575.00**	0.00 **108 20**
5,000	PEPSICO INC BOOK/ENTRY DTD 10/24/2008 INT: 07.900% MATY: 11/01/2018 Rating: AA2/A+	10/22/08 713448BJ6	5,245.00 **5,223.60**	104.90 104.472	122.725 **65.83**	**6,136.25**	891.25 **912.65**	LT LT	6.437 **395.00**	0 00 **912.65**
15,000	VERIZON COMMUNICATIO DTD 11/04/2008 INT: 08.750% MATY: 11/01/2018 Rating: A3/A	10/31/08 92343VAQ7	15,400 20 **15,366.15**	102.668 102.441	124.902 **218.75**	**18,735.30**	3,335 10 **3,369.15**	LT LT	7.005 **1,312.50**	0 00 **3,369 15**

Corporate bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	ALLSTATE CORP BOOK/ENTRY DTD 05/13/2009 INT: 07.450% MATY: 05/16/2019 Rating: A3/A-	05/14/09 020002AX9	$ 5,161.45 $ 5,153 80	$ 103.229 $ 103.076	116.182 $ 46.56	$ 5,809 10	$ 647 65 ST $ 655.30 ST	6.412 $ 372.50	$ 0 00 $ 655.30
Total corporate bonds 55,000			$ 57,164.95 $ 56,246.35		$ 563.10	$ 62,397.90	$ 655.30 ST $ 5,496.25 LT	6.16 $ 3,847.50	$ 0.00 $ 6,151.55

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	U S TREASURY NOTES SER M-2011 DTD 08/31/2006 INT· 04 625% MATY· 08/31/2011	11/17/08 912828FS4	$ 5,452.73 $ 5,272.80	$ 109.054 $ 105 456	106.039	$ 5,301 95	($ 150.78) LT $ 29.15 LT		$ 0.00 $ 29.15
5,000		11/20/08	5,500.39 5,302.75	110 007 106 055	106.039	5,301 95	(198.44) LT (.80) LT		0 00 (.80)
5,000		12/01/08	5,547.66 5,333.35	110 953 106.667	106.039	5,301.95	(245.71) LT (31.40) LT		0.00 (31.40)
5,000		02/18/09	5,442.38 5,293.85	108.847 105.877	106.039	5,301 95	(140.43) ST 8.10 ST		0.00 8.10
5,000		03/26/09	5,430.86 5,296.35	108.617 105 927	106.039	5,301.95	(128.91) ST 5.60 ST		0.00 5.60
5,000		05/18/09	5,411.72 5,300.80	108.234 106 016	106.039	5,301.95	(109.77) ST 1.15 ST		0.00 1.15
5,000		06/16/09	5,355.08 5,269.20	107.101 105.384	106.039	5,301.95	(53.13) ST 32.75 ST		0.00 32.75
5,000		07/06/09	5,379.49 5,294.65	107.589 105.893	106.039	5,301.95	(77.54) ST 7.30 ST		0.00 7.30
5,000		08/28/09	5,357.42 5,297.60	107.148 105 952	106.039	5,301.95	(55.47) ST 4 35 ST		0.00 4.35
5,000		09/16/09	5,353.13 5,301.45	107.062 106.029	106.039	5,301.95	(51.18) ST .50 ST		0.00 .50
25,000		09/18/09	26,769 53 26,521.25	107.078 106.085	106.039	26,509 75	(259.78) ST (11.50) ST		0.00 (11.50)
5,000		11/17/09	5,354.69 5,331.65	107.093 106.633	106.039	5,301.95	(52.74) ST (29 70) ST		0 00 (29.70)

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	U S TREASURY NOTES SER M-2011	12/17/09	$ 5,339.26	$ 106.785	106.039	$ 5,301.95	($ 37.31)	ST		$ 0 00
	DTD 08/31/2006	912828FS4	$ 5,333.70	$ 106 674			($ 31.75)	ST		($ 31.75)
10,000	INT: 04.625% MATY: 08/31/2011	12/28/09	10,631.25	106.312	106.039	10,603.90	(27.35)	ST		0.00
			10,629.10	106.291			(25 20)	ST		(25 20)
95,000			102,325.59	107.70		**100,737 05**	(1,588 54)		**4.361**	**0.00**
			100,778.50	106.10	**1,492 90**		**(41.45)**		**4,393.75**	**(41.45)**
15,000	FEDERAL HOME LOAN BANK CONS	11/13/07	14,567.40	97.116	105.75	**15,862.50**	1,295.10	LT	**3 664**	**152 40**
	BONDS-GLOBAL BK/ENTRY	31339X2M5	**14,567 40**	97 116	**27.45**		**1,295.10**	LT	**581.25**	**1,142.70**
	DTD 05/15/2003									
	INT: 03.875% MATY: 06/14/2013									
10,000	US TSY INFLATION INDEX NTS CPI	10/30/08	10,935.22	92.234	105.75	12,371.90	1,436.68	LT		187.84
	U NON-SEASONALLY ADJ	912828BW9	10,935.22	92 234			1,436 68	LT		1,248 84
5,000	DTD 01/15/2004	11/25/08	5,372.47	90.734	105 75	6,185 95	813.48	LT		106 09
	INT: 02.000% MATY: 01/15/2014		5,372 47	90.734			813.48	LT		707.39
15,000	Factor: 1.16992		16,307.69	108.70		**18,557 85**	2,250.16		**1.891**	**293 93**
	Curr.face $ 17,548.80		**16,307.69**	108.70	**162.14**		**2,250.16**		**350.97**	**1,956 23**
20,000	US TSY INFLATION INDEX NTS CPI	10/22/08	19,110.79	91.312	102.367	**21,125 68**	2,014.89	LT	**1 587**	**205.02**
	U NON-SEASONALLY ADJ	912828HN3	**19,110.79**	91.312	**154.92**		**2,014.89**	LT	**335.35**	**1,809.87**
	DTD 01/15/2008									
	INT: 01.625% MATY: 01/15/2018									
	Factor: 1.03186									
	Curr.face $ 20,637.20									
Total government & government sponsored entity (GSE) bonds			**$ 152,311.47**		**$ 1,837.41**	**$ 156,283.08**	**($ 38.40)**	**ST**	**3.62**	**$ 651.35**
145,000			**$ 150,764.38**				**$ 5,557.10**	**LT**	**$ 5,661.32**	**$ 4,867.35**

Municipal bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	VIRGINIA ST HSG DEV AUTH	05/23/07	$ 4,994.20	$ 99.884	104.38	**$ 5,219.00**	$ 224.80	LT	**5 02**	**$ 0.00**
	TAXABLE-RENTAL HSG-SER A	92812QSN8	**$ 4,994.20**	$ 99.884	**$ 131.00**		**$ 224.80**	LT	**$ 262 00**	**$ 224.80**
	B/E DD 06/12/07									
	INT: 05.240% MATY: 07/01/2011									
	Rating: AA1/AA+									

Municipal bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	MUNICIPAL ELEC AU GA PROJ#1 SUB BONDS SER 05-F AMBAC TXBLE B/E DD 11/29/05 F/C 1/1/06 INT· 05.100% MATY· 01/01/2012 Rating: A2/A	02/01/06 626207TJ3	$ 5,011.60 $ 5,004.40	$ 100.232 $ 100.088	105.604 $ 127 50	$ 5,280.20	$ 268 60 $ 275 80	LT LT	4 829 $ 255 00	$ 0 00 $ 275.80
10,000	NORTH CAROLINA EASTERN MUNI PWR AGY SYS REV BDS-C- TXBLE B/E DD10/15/09 F/C1/1/10 INT: 03.380% MATY: 01/01/2012 Int rate eff: 10/15/09 Rating· BAA1/A-	09/22/09 6581962Q2	10,021.60 10,019 80	100.216 100.198	100.868 71.36	10,086 80	65.20 67.00	ST ST	3.35 338 00	0 00 67.00
5,000	NEW YORK ST DORM AUTH ST PERS INCOME TAX REV ED-SER G B.E DD 12/7/05 INT: 05 110% MATY· 03/15/2012 Rating: S&P AAA	02/01/06 649902CP6	5,001 00 5,000.10	100.02 100.002	105.627 75.23	5,281 35	280.35 281.25	LT LT	4.837 255 50	0.00 281.25
5,000	AUBURN UNIV ALA GEN FEE REV TAXABLE-B-FSA TXBL B/E OID DD 11/01/2007 INT: 04.875% MATY: 06/01/2012 Rating: AA3/AAA	11/19/07 050589FE5	5,037.15 5,020.65	100.743 100.413 ##	106.414 20 31	5,320.70	283.55 300 05	LT LT	4.581 243.75	0.00 300 05
10,000	BLOUNT CNTY TENN PUB BLDG AUTH RFDG-LOC GOVT-PUB IMPT-B-2B MBIA B.E DD 6/30/03 INT· 03 750% MATY· 06/01/2012 Rating. Moody BAA1	11/15/05 095175EH4	9,417 00 9,417 00	94.17 94 17	99.97 31.25	9,997.00	580.00 580.00	LT LT	3.751 375.00	345 60 234.40
5,000	SAN FRANCISCO CALIF CITY &CNTY REDEV AGY REV RFDG SPL OBLIG TAXABLE BOOK ENTRY DTD 12/1/93 INT 09.750% MATY 06/01/2013 Rating S&P AAA	08/14/03 79771RAA9	6,703 50 5,697.10	134 07 113 942	124.077 40.63	6,203 85	(499.65) 506.75	LT LT	7 858 487.50	0 00 506 75
5,000	COLLEGE PK GA BUS&IDA REV RFDG FED AVIATION-AMBAC-TXBLE-OID	08/13/03 194301FR3	5,516.00 5,106.05	110.32 102.121 ##	102.202	5,110.10	(405.90) 4.05	LT LT		0.00 4.05
5,000	B/E-DTD 4/1/99 F/C 7/1/99 INT 06 500% MATY. 10/01/2013 Int paid quarterly	11/21/05	5,343.75 5,105.15	106 875 102.103 ##	102.202	5,110 10	(233.65) 4.95	LT LT		0.00 4.95
5,000	Next call on 01/31/10 @ 102.000	09/11/08	5,199.20 5,106.00	103 984 102.12 ##	102.202	5,110 10	(89.10) 4.10	LT LT		0.00 4.10
15,000			16,058.95 15,317.20	107.10 102.10	243.75	15,330.30	(728.65) 13.10		6.359 975 00	0.00 13.10

Municipal bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
5,000	OHIO ST DEV ASSISTANCE-SER B AMBAC B/E DD 11/6/03 F/C 4/1/04 INT: 06.000% MATY: 10/01/2013 Rating: AA3/AA	11/19/03 677519WR7	$ 5,482.75 **$ 5,210.20**	$ 109.655 $ 104.204	109.002 **$ 75.00**	**$ 5,450.10**	($ 32 65) **$ 239.90**	LT LT	5.504 **$ 300.00**	**$ 0 00** **$ 239 90**
5,000	SALES TAX ASSET RECVBLE CORP SLS TAX ASSET REV-B-TXB FGIC B/E DD 11/4/04 F/C 4/15/05 INT: 04.560% MATY: 10/15/2013 Rating: AA3/AAA	10/27/08 794665BD0	4,835 00 **4,835 00**	96.70 96.70	106.119 **48.13**	**5,305.95**	470.95 **470.95**	LT LT	**4.297** **228.00**	34.85 436.10
5,000	OKLAHOMA ST CAPITOL IMPT AUTH REV OKLA ST REGENTS MBIA BK/ENTRY DTD 2/22/06 FC 7/1/06 INT: 05.180% MATY: 07/01/2014 Rating: AA3/AA	02/10/06 67908PAH9	5,012.10 **5,007.30**	100.242 100.146	107.98 **129.50**	**5,399 00**	386.90 **391.70**	LT LT	**4.797** **259.00**	0.00 391.70
10,000	OHIO ST DEV ASSISTANCE-SER A MBIA B/E TXBLE DD 9/9/04 F/C 4/1/05 INT. 04.960% MATY· 10/01/2014 Rating: AA3/AA Next call on 04/01/14 @ 100.000	01/06/05 677519V56	10,065.40 **10,033.30**	100.654 100 333	104 888 **124 00**	**10,488 80**	423.40 **455.50**	LT LT	**4.728** **496.00**	0.00 455.50
5,000	NEW JERSEY ST TPK AUTH TPK REV RFDG-SER B AMBAC TXBLE B/E	09/28/06 646139D85	4.720.85 4,720.85	94.417 94.417	100.92	5,046.00	325.15 325 15	LT LT		84 00 241 15
5,000	DD 7/9/03 INT: 04.252% MATY. 01/01/2016	10/24/08	4,673.60 4.673 60	93.472 93.472	100 92	5,046.00	372 40 372 40	LT LT		45.50 326 90
10,000	Rating: A3/A+		9,394.45 **9,394 45**	93 90 93 90	**212.60**	**10,092.00**	697.55 **697.55**		**4.213** **425.20**	**129 50** **568 05**
5,000	OREGON ST PENSION TXBLE B/E L/T DD 10/31/03 INT. 05.305% MATY: 06/01/2016 Rating· AA2/AA	09/10/07 68607LXN2	5,089 45 **5,069 55**	101.789 101.391	102.391 **22.10**	**5,119 55**	30.10 **50.00**	LT LT	**5.181** **265 25**	0 00 50.00
5,000	MEDICAL UNIV S C HOSP AUTH HOSP FACS REV RFDG-FHA INSD MTG-SER B MBIA B/E DD 12/22/04 INT: 05 130% MATY: 08/15/2016 Rating: BAA1/A Next call on 08/15/14 @ 100 000	07/28/05 584633BU4	5,061.15 **5,034.40**	101.223 100.688	92.658 **96 90**	**4,632.90**	(428 25) **(401.50)**	LT LT	**5 536** **256 50**	0 00 (401 50)

Municipal bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip income (annualized)	Ordinary income/ Capital gain/(loss)
5,000	IDAHO HEALTH FACS AUTH REV TXBLE RADIAN AT B/E REV OID DD 3/31/05 INT: 05.470% MATY: 09/01/2016	09/22/09 451295SD2	$ 5,450.50 **$ 5,435.15**	$ 109.01 $ 108.703 ##	107.83 **$ 91.17**	**$ 5,391.50**	($ 59.00) ST **($ 43.65)** ST	**5.072** **$ 273 50**	**$ 0 00** **($ 43.65)**
10,000	FRANKLIN TENN TAXABLE-PUB TXBLE B/E GO DD 12/29/09 F/C 9/1/10 INT· 04.450% MATY 03/01/2018 Int rate eff: 12/29/09 Rating AAA/AAA	12/17/09 354730VX8	10,170.90 **10,170.80**	101.709 101.708	100.13 **2.47**	**10,013 00**	(157.90) ST **(157.80)** ST	**4 444** **445 00**	**0.00** **(157.80)**
10,000	COLUMBUS OHIO TAXABLE-VAR TXBLE B/E GO DD 11/19/09 F/C 7/1/10 INT. 04.340% MATY: 07/01/2018 Int rate eff. 11/19/09 Rating: AAA/AAA	11/06/09 199491F97	10,000.00 **10,000 00**	100.00 100.00	97.638 **50.63**	**9,763 80**	(236.20) ST **(236 20)** ST	**4 444** **434 00**	**0.00** **(236.20)**
5,000	FAIRFAX CNTY VA PUB TXBLE B/E GO DD 10/28/09 F/C 4/1/10 INT: 04.150% MATY: 10/01/2018 Int rate eff: 10/28/09 Rating· AAA/AAA	11/20/09 3038204C5	5,065 65 **5,064.95**	101.313 101.299	98.337 **36.31**	**4,916 85**	(148.80) ST **(148 10)** ST	**4 22** **207.50**	**0.00** **(148.10)**
10,000	VIRGINIA ST HSG DEV AUTH TXBLE B/E REV DD 3/30/09 F/C 11/1/09 INT. 06.070% MATY: 11/01/2018 Int rate eff: 03/30/09 Rating: AA1/AA+ Next call on 02/01/18 @ 100.000	03/25/09 92812QWH6	10,000.00 **10,000.00**	100.00 100.00	104.656 **101.17**	**10,465.60**	465.60 ST **465.60** ST	**5.799** **607 00**	**0.00** **465.60**
5,000	UNIVERSITY ALA GEN REV UNIV TXBLE B/E REV DD 10/30/09 F/C 1/1/10 INT: 04.640% MATY: 07/01/2019 Int rate eff: 10/30/09 Rating. AA3/AA-	10/15/09 914026FJ3	5,000.00 **5,000.00**	100.00 100.00	97.747 **39.31**	**4,887 35**	(112.65) ST **(112.65)** ST	**4.746** **232.00**	**0.00** **(112 65)**

Municipal bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
10,000	HENNEPIN CNTY MINN TAXABLE-SER D-BUILD AMER BDS TXBLE B/E GO D12/1/09 FC6/1/10 INT: 04.450% MATY. 12/01/2020 Int rate eff: 12/01/09 Rating: AAA/AAA Next call on 12/01/19 @ 100.000	11/19/09 425506X72	$ 10,202.40 **$ 10,200.70**	$ 102.024 $ 102.007	98.468 **$ 37.08**	**$ 9,846.80**	($ 355.60) ST **($ 353.90)** ST	**4.519** **$ 445.00**	**$ 0.00** **($ 353 90)**
5,000	NORTH CAROLINA MUNI PWR AGY 1 CATAWBA ELEC REV BDS-B-TXBL B/E GO D10/8/09 FC1/1/10 INT: 05.482% MATY: 01/01/2021 Int rate eff: 10/08/09 Rating: A2/A	09/14/09 658203L36	5,035.00 **5,034.90**	100.70 100.698	99.283 **63.20**	**4,964.15**	(70.85) ST **(70.75)** ST	**5.521** **274.10**	0.00 **(70 75)**
10,000	BALTIMORE CNTY MD MET TXBLE B/E GO DD 11/10/09 F/C 5/1/10 INT: 04.875% MATY: 11/01/2021 Int rate eff: 11/10/09 Rating: AAA/AAA Next call on 11/01/19 @ 100.000	10/29/09 05914FEJ5	10,183.50 **10,180.90**	101.835 101.809	98.361 **69 06**	**9,836 10**	(347.40) ST **(344.80)** ST	**4.956** **487.50**	0.00 **(344.80)**
Total municipal bonds			**$ 178,293.25**		**$ 1,939.66**	**$ 179,292.65**	**($ 935.25)** ST	**4.92**	**$ 509.95**
175,000			**$ 176,142.05**				**$ 4,085.85** LT	**$ 8,827.30**	**$ 2,640 65**
Total portfolio value			**$ 546,501.81**			**$ 595,805.56**	**($ 318.35)****ST	**4.69**	**$ 1,161.30**
							$ 23,875.04** LT	**$ 27,984.43**	**$ 13,659.55**

***Unrealized Gain/Loss is only calculated when an original cost basis is available.*

Original Issue Discount