EXTENSION GRANT ATTACHED

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , **and ending**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation: THE LOUIS A. RITTER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): C/O TOBY RITTER, 1450 FLAGLER DRIVE

Room/suite:

City or town, state, and ZIP code: MAMARONECK, NY 10543

A Employer identification number 56-2475503

B Telephone number 914 698-3208

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,778,798.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	6,135.	6,135.		STATEMENT 1
	4	Dividends and interest from securities	31,033.	31,033.		STATEMENT 2
	5a	Gross rents	-3,655.	-3,655.		STATEMENT 3
	b	Net rental income or (loss) -3,655.				
	6a	Net gain or (loss) from sale of assets not on line 10	-130,106.			
	b	Gross sales price for all assets on line 6a 226,924.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	38.	38.		STATEMENT 4
	12	**Total.** Add lines 1 through 11	-96,555.	33,551.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 5	7,000.	3,500.		3,500.
	c	Other professional fees STMT 6	5,288.	5,288.		0.
	17	Interest				
	18	Taxes STMT 7	460.	460.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 8	24,858.	22,978.		1,880.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	37,606.	32,226.		5,380.
	25	Contributions, gifts, grants paid	80,600.			80,600.
	26	**Total expenses and disbursements.** Add lines 24 and 25	118,206.	32,226.		85,980.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-214,761.			
	b	**Net investment income** (if negative, enter -0-)		1,325.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash - non-interest-bearing	15,528.	7,634.	7,634.
	2	Savings and temporary cash investments	24,459.	77,094.	77,094.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	1,962,726.	1,806,042.	1,694,070.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	2,002,713.	1,890,770.	1,778,798.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	2,002,713.	1,890,770.	
	30	**Total net assets or fund balances**	2,002,713.	1,890,770.	
	31	**Total liabilities and net assets/fund balances**	2,002,713.	1,890,770.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,002,713.
2	Enter amount from Part I, line 27a	2	-214,761.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	109,820.
4	Add lines 1, 2, and 3	4	1,897,772.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	7,002.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,890,770.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	226,924.		357,030.	-130,106.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-130,106.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-130,106.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	110,545.	2,105,870.	.052494
2007	80,788.	1,645,315.	.049102
2006	0.	0.	.000000
2005	0.	0.	.000000
2004			

2 **Total** of line 1, column (d)	2	.101596
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.025399
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,582,610.
5 Multiply line 4 by line 3	5	40,197.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13.
7 Add lines 5 and 6	7	40,210.
8 Enter qualifying distributions from Part XII, line 4	8	85,980.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	13.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	13.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	13.
6 Credits/Payments:				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	255.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	255.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	242.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 242. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TOBY AND NATALY RITTER Telephone no.▶914 698-3208

Located at ▶1450 FLAGLER DRIVE, MAMARONECK, NY ZIP+4 ▶10543

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TOBY G. RITTER 1450 FLAGLER DRIVE MAMARONECK, NY 10543	TRUSTEE 0.00	0.	0.	0.
NATALY RITTER 1450 FLAGLER DRIVE MAMARONECK, NY 10543	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,053,916.
b	Average of monthly cash balances	1b	92,195.
c	Fair market value of all other assets	1c	460,600.
d	**Total** (add lines 1a, b, and c)	1d	1,606,711.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,606,711.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	24,101.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,582,610.
6	**Minimum investment return**. Enter 5% of line 5	6	79,131.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	79,131.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 13.		
b	Income tax for 2009. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	13.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	79,118.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	79,118.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	79,118.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,980.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,980.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	13.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	85,967.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					79,118.
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only				0.	
b Total for prior years: _____, _____, _____			0.		
3 Excess distributions carryover, if any, to 2009:					
a From 2004	2,422.				
b From 2005					
c From 2006	3,882.				
d From 2007					
e From 2008	5,661.				
f **Total** of lines 3a through e		11,965.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 85,980.					
a Applied to 2008, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2009 distributable amount					79,118.
e Remaining amount distributed out of corpus		6,862.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		18,827.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7		2,422.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		16,405.			
10 Analysis of line 9:					
a Excess from 2005					
b Excess from 2006	3,882.				
c Excess from 2007					
d Excess from 2008	5,661.				
e Excess from 2009	6,862.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED STATEMENT			TO HELP SUPPORT CHARITABLE ORGANIZATIONS	80,600.
Total			▶ 3a	80,600.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6,135.	
4 Dividends and interest from securities			14	31,033.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	-3,655.	
6 Net rental income or (loss) from personal property					
7 Other investment income			14	38.	
8 Gain or (loss) from sales of assets other than inventory			18	-130,106.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		-96,555.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 -96,555.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

✓ , TR
Signature of officer or trustee

✓ 10/14/10
Date

✓ Trustee
Title

Paid Preparer's Use Only

Preparer's signature

Date 10/1/10

Check if self-employed ▶ ☐

Preparer's identifying number P00032973

Firm's name (or yours if self-employed), address, and ZIP code ▶ MARGOLIN, WINER & EVENS LLP
400 GARDEN CITY PLAZA
GARDEN CITY, NEW YORK 11530-3323

EIN ▶

Phone no. 516-747-2000

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print

File by the extended due date for filing the return See instructions

Name of Exempt Organization: THE LOUIS A. RITTER FOUNDATION

Employer identification number: 56-2475503

Number, street, and room or suite no. If a P.O box, see instructions.: C/O TOBY RITTER, 1450 FLAGLER DRIVE

For IRS use only

City, town or post office, state, and ZIP code. For a foreign address, see instructions.: MAMARONECK, NY 10543

Check type of return to be filed (File a separate application for each return):

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870

☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ TOBY AND NATALY RITTER
1450 FLAGLER DRIVE - MAMARONECK, NY 10543

Telephone No.▶ 914 698-3208 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010.

5 For calendar year 2009 , or other tax year beginning ______ , and ending ______

6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
TAXPAYER IS MISSING INFORMATION FROM OUTSIDE SOURCES. THIS INFORMATION IS REQUIRED IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a	$ 250.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 255.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

Form 8868 (Rev. 4-2009)

923832
05-26-09

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization THE LOUIS A. RITTER FOUNDATION	**Employer identification number** 56-2475503
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions C/O TOBY RITTER, 1450 FLAGLER DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MAMARONECK, NY 10543	

Check type of return to be filed(file a separate application for each return):

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ TOBY AND NATALY RITTER 1450 FLAGLER DRIVE - MAMARONECK, NY 10543

Telephone No. ▶ 914 698-3208 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2010 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 2009 or

▶ ☐ tax year beginning ______ , and ending ______ .

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 250.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 255.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 4-2009)

923831
05-26-09

THE LOUIS A. RITTER FOUNDATION

CONTINUATION FOR 990-PF, PART IV
56-2475503 PAGE 1 OF 1

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS 02544 - DETAILS ATTACHED	P	VARIOUS	VARIOUS
b	UBS 02544 - DETAILS ATTACHED	P	VARIOUS	VARIOUS
c	SMITH BARNEY 0850B - DETAILS ATTACHED	P	VARIOUS	VARIOUS
d	SMITH BARNEY 2747C - DETAILS ATTACHED	P	VARIOUS	VARIOUS
e	SMITH BARNEY 2747C - DETAILS ATTACHED	P	VARIOUS	VARIOUS
f	SMITH BARNEY 2748C - DETAILS ATTACHED	P	VARIOUS	VARIOUS
g	SMITH BARNEY 2748C - DETAILS ATTACHED	P	VARIOUS	VARIOUS
h	SCHWAB - DETAILS ATTACHED	P	VARIOUS	VARIOUS
i	SCHWAB - DETAILS ATTACHED	P	VARIOUS	VARIOUS
j	K-1 KINDER MORGAN ENERGY		VARIOUS	VARIOUS
k	K-1 ENTERPRISE PRODUCT PARTNERS		VARIOUS	VARIOUS
l	K-1 URSULA CAPITAL PARTNERS		VARIOUS	VARIOUS
m	K-1 URSULA CAPITAL PARTNERS		VARIOUS	VARIOUS
n	K-1 URSULA CAPITAL PARTNERS	P	VARIOUS	VARIOUS
o	UBS 02682 - DETAILS ATTACHED	P	06/27/01	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,749.		14,690.	59.
b	57,235.		91,768.	-34,533.
c	50,108.		87,098.	-36,990.
d	555.		1,416.	-861.
e	2,311.		4,315.	-2,004.
f	2,046.		2,341.	-295.
g	9,243.		13,637.	-4,394.
h	2.		2.	0.
i	39,601.		54,915.	-15,314.
j			8.	-8.
k			64.	-64.
l			10,888.	-10,888.
m			25,990.	-25,990.
n	74.			74.
o	51,000.		49,898.	1,102.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				59.
b				-34,533.
c				-36,990.
d				-861.
e				-2,004.
f				-295.
g				-4,394.
h				0.
i				-15,314.
j				-8.
k				-64.
l				-10,888.
m				-25,990.
n				74.
o				1,102.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-130,106.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
K-1 ENERGY TRANSFER PARTNERS	7.
K-1 ENTERPRISE PRODUCT PARTNERS	20.
K-1 KINDER MORGAN	152.
K-1 URSULA CAPITAL PARTNERS	384.
SB 0850B	2,953.
UBS 02544	13.
UBS 02682	2,606.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6,135.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
K-1 ENERGY TRANSFER PARTNERS	20.	0.	20.
K-1 ENTERPRISE PRODUCT PARTNERS	1.	0.	1.
K-1 KINDER MORGAN	12.	0.	12.
K-1 R PROPERTIES	18.	0.	18.
K-1 URSULA CAPITAL PARTNERS	1,190.	0.	1,190.
SB 0850B	4,279.	0.	4,279.
SB 2747C	1,960.	0.	1,960.
SB 2748C	2,207.	0.	2,207.
SCHWAB	10,616.	0.	10,616.
UBS 02544	10,728.	0.	10,728.
UBS 02682	2.	0.	2.
TOTAL TO FM 990-PF, PART I, LN 4	31,033.	0.	31,033.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
K-1 R PROPERTIES	1	-3,655.
TOTAL TO FORM 990-PF, PART I, LINE 5A		-3,655.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
K-1 ENERGY TRANSFER PARTNERS	38.	38.	
TOTAL TO FORM 990-PF, PART I, LINE 11	38.	38.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,000.	3,500.		3,500.
TO FORM 990-PF, PG 1, LN 16B	7,000.	3,500.		3,500.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISORY FEES	5,288.	5,288.		0.
TO FORM 990-PF, PG 1, LN 16C	5,288.	5,288.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	460.	460.		0.
TO FORM 990-PF, PG 1, LN 18	460.	460.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK AND BROKER CHARGES	5,715.	5,715.		0.
K-1 URSULA CAPITAL PARTNERSHIP	11,989.	11,989.		0.
OTHER INVESTMENT EXPENSES	1,786.	1,786.		0.
K-1 KINDER MORGAN	230.	230.		0.
MANAGEMENT FEES	4,888.	3,258.		1,630.
NYS FILING FEE	250.	0.		250.
TO FORM 990-PF, PG 1, LN 23	24,858.	22,978.		1,880.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
K-1 URSULA CAPITAL PARTNES - UNREALIZED GAIN ON SECURITIES	109,820.
TOTAL TO FORM 990-PF, PART III, LINE 3	109,820.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
K-1 KINDER MORGAN ENERGY - NONDEDUCTIBLE PARTNERSHIP LOSS	3,764.
K-1 ENTERPRISE PRODUCT PARTNERS - NONDEDUCTIBLE PARTNERSHIP LOSS	2,179.
K-1 ENERGY TRANSFER PARTNERS - NONDEDUCTIBLE PARTNERSHIP LOSS	1,042.
K-1 URSULA CAPITAL PARTNES - NONDEDUCTIBLE PARTNERSHIP LOSS	17.
TOTAL TO FORM 990-PF, PART III, LINE 5	7,002.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS, BONDS & OTHER INVESTMENTS - DETAILS AVAILBLE	COST	1,327,093.	1,215,121.
INVESTMENT IN KINDER MORGAN ENERGY	COST	14,287.	14,287.
INVESTMENT IN URSULA CAPITAL PARTNERS	COST	440,428.	440,428.
INVESTMENT IN R PROPERTIES	COST	13,212.	13,212.
INVESTMENT IN ENERGY TRANSFER PARTNERS	COST	0.	0.
INVESTMENT IN ENTERPRISE PRODUCTS PARTNERS	COST	11,022.	11,022.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,806,042.	1,694,070.

The Louis A. Ritter Foundation
Schedule of Donations
Form 990-PF
December 31, 2009

Date	Num	Name	Amount
04/30/2009	1084	White Plains Hospital	3,500 00
04/30/2009	1085	WC Philmarmonic	500 00
04/30/2009	1086	WC Philmarmonic	1,000 00
05/31/2009	1088	Career BRidges	250 00
07/22/2009	10000	University of Pennsylvania	30,000 00
08/25/2009	10002	FOOD ALLERGY&ANAPHYLAXIS NETWORK	100 00
10/14/2009	10003	American Cancer Society	250 00
11/30/2009	10005	LYMPHOMA RESEARCH FOUNDATION	5,000 00
11/30/2009	10006	International Documentary Association	2,500 00
12/01/2009	10007	IAHV	12,500 00
12/01/2009	10008	FSMA	2,500 00
12/01/2009	10009	Vanderbilt University Medical Center	2,500 00
12/09/2009	10012	BONITA HOUSE INC	5,000 00
12/09/2009	10013	AMNESTY INTERNATIONAL	5,000 00
12/09/2009	10014	OXFAM	5,000 00
12/09/2009	10015	PRESIDENT &TRUSTEES OF BATES COLLEGE	5,000 00
		TOTAL	**80,600.00**

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 14,689.70	$ 14,749.29	$ 2,005.49	$ -1,945.90	$ 59.59
Long-term Gain/Loss:	91,768.10	57,235 22	3,927.95	-38,460.83	-34,532.88
Sub Total:	**$ 106,457.80**	**$ 71,984.51**	**$ 5,933.44**	**$ -40,406.73**	**$ -34,473.29**
Total:	**$ 106,457.80**	**$ 71,984.51**	**$ 5,933.44**	**$ -40,406.73**	**$ -34,473.29**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KIMCO REALTY CORP	Trade	800.000		02/17/09	12/01/09	9,757.14	7,751.65	2,005.49		Y
WALGREEN CO	Trade	200.000		06/24/08	02/17/09	4,992.15	6,938.05	-1,945.90		Y
Total Short-Term Gain/Loss						**14,749.29**	**14,689.70**	**59.59**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLSTATE CORP	Trade	333.000		01/18/07	02/17/09	6,428.84	21,425.66	-14,996.82		Y

Continued on page 10

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	*Activity Type*	*Quantity/ Face value*	*Original Cost Basis*	*Purchase date Date Acquired*	*Date Sold*	*Sale Amount*	*Cost or Other Basis*	*Gain/Loss*	*Remarks*	*Matches 1099-B*
ENERGY TRANSFER PARTNERS LP MLP	*Trade*	400.000		10/14/08	12/01/09	17,192.70	13,264.75	3,927.95		Y
HARLEY DAVIDSON INC	*Trade*	350.000		09/27/99	02/17/09	4,088.42	8,560.25	-4,471.83 *1*		Y
KIMCO REALTY CORP	*Trade*	200.000		04/08/02	12/01/09	2,439.29	3,284.14	-844.85 *1*		Y
US BANCORP DEL (NEW)	*Trade*	667.000		12/02/04	02/17/09	7,279.72	20,145.95	-12,866.23		Y
WALGREEN CO	*Trade*	200.000		10/31/07	02/17/09	4,992.15	7,999.55	-3,007.40		Y
WELLS FARGO & CO NEW	*Trade*	533.000		12/23/05	12/01/09	14,814.10	17,087.80	-2,273.70		Y
Total Long-Term Gain/Loss						**57,235.22**	**91,768.10**	**-34,532.88**		

THE LOUIS A. RITTER FOUNDATION
Account Number 313-0850B-12 340

2009 YEAR END SUMMARY

Details of Earnings 2009 - *continued*

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000100	COHEN & STEERS INTL REALTY FD CL C THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		$ 66.88				
160000300	ISHARES MSCI EMERGING MKTS INDEX FD THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		75.51				
160000500	ISHARES TRUST S&P EUR 350 THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		90.04				
Totals			$ 232.43				

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	.69	CITIGROUP INC MERGER 07/30/09 173085200000	11/19/07	07/30/09	$ 2.22	$ 2.36	($ .14)	

Reserved Client
Financial Management Account
2009 Year End Summary

THE LOUIS A. RITTER FOUNDATION

Account Number 313-0850B-12 340

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	2,500	CITIGROUP FUNDING INC STOCK MKT UPTURN NOTES LKD TO DOW JONES EURO STOXX 50	10/25/07	02/06/09	$ 12,700.00	$ 25,000.00	($ 12,300.00)	
125000030	900	ISHARES S&P 100 INDEX FUND	08/27/07	05/21/09	37,406.03	62,096.00	(24,689.97)	
Total					**$ 50,108.25**	**$ 87,098.36**	**($ 36,990.11)**	

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	07/10/09	CHECK 0031329495 BY NEW YORK CITY TO THE LOUIS A. RITTER FOUNDAT		$ 20,000.00
Total				**$ 20,000.00**

As you requested, copies of this document have also been sent to: BONNIE BANK

2009 YEAR END SUMMARY

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2747C-17 340

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	27	CORNING INC	06/02/08	02/17/09	$ 292.31	$ 727.08	($ 434.77)	
125000100	11	NATIONAL OILWELL VARCO INC	02/05/08	01/15/09	262.83	688.51	(425.68)	
Total					**$ 555.14**	**$ 1,415.59**	**($ 860.45)**	

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	26	WEATHERFORD INTL LTD NEW (BERMUDA)	08/31/07	02/06/09	$ 306.62	$ 768.04	($ 461.42)	
125000020	23	ABB LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/28/07	11/25/09	440.30	601.62	(161.32)	
125000030	8	AMERIPRISE FINANCIAL INC	08/31/07	01/21/09	151.56	482.00	(330.44)	
125000050	15	EMERSON ELECTRIC CO	08/31/07	03/31/09	420.11	736.80	(316.69)	
125000060	16	EMERSON ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/31/07	06/25/09	529.92	785.92	(256.00)	
125000070	5	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/23/08	12/10/09	237.37	219.10		18.27
125000080	18	GENERAL ELECTRIC CO	08/31/07	02/06/09	201.94	694.80	(492.86)	

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2747C-17 340

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	.0833	RTS HSBC HOLDINGS PLC 03/31/2009 RECORD 03/13/09 PAY 03/16/09 FROM: 404280406001	Unavailable	03/16/09	$ .70			
125000110	2	PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/31/07	10/02/09	22.05	26.99	(4.94)	
Total					$ 2,310.57	$ 4,315.27	($ 2,023.67)	$ 18.27

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	08/18/09	ROYAL DUTCH SHELL PLC ADR CL A RCD 05/16/08, PAY 06/11/08 TAX HELD BY FGN GOVTS 2.40 X/D 05/14/08	$ 1.01
210000200	12/07/09	ROYAL DUTCH SHELL PLC ADR CL A TAX REFUND X/D 08/06/08	$ 1.08
Total			$ 2.09

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		$ 369.86
220000300	06/04/09	NESTLE S A SPONSORED ADR ADR FEE OF .00350 PER SHR RECORD 04/28/09 PAY 06/04/09		.15
220000200	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		$ 373.97
220000400	07/17/09	CONSULTING & ADVISORY SERVICES FROM 07/01/09 TO 09/30/09		378.08

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2748C-15 340

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	50	AXA S.A.SPONS ADR SALE OF RTS ON 50.0000 SHS RECORD 11/13/09 PAY 12/04/09	11/13/09	11/13/09	$ 28.99	$ .01		$ 28.98
125000030	45	BNP PARIBAS SPON ADR SALE OF RTS ON 45.0000 SHS RECORD 11/02/09 PAY 11/09/09	11/02/09	11/02/09	43.42	.01		43.41
125000080	34	DBS GROUP HLDG LTD SP ADR SALE OF RTS ON 34.0000 SHS RECORD 01/29/09 PAY 02/05/09	01/29/09	01/29/09	132.72	.01		132.71
125000160	3	GDF SUEZ-EUR	07/25/08	01/29/09	121.94	196.53	(74.59)	
125000250	1 5	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR MorganStanley SmithBarney LLC acted as your agent	02/12/09 02/13/09	11/10/09	22.41 112.04	12.45 62.86		9.96 49.18
125000260	70	LAFARGE SPONS ADR NEW LAFARGE COPPEE SALE OF RTS ON 70.0000 SHS RECORD 04/27/09 PAY 05/04/09	04/27/09	04/27/09	114.00	.01		113.99
125000330	35	NORSK HYDRO A S SPONS ADR	02/22/08	02/05/09	131.59	497.31	(365.72)	
125000380	3	RWE AG SPONS ADR -USD	06/30/08	01/29/09	240 35	378.95	(138.60)	
125000390	5	RIO TINTO PLC-GBP SALE OF RTS ON 5.0000 SHS	07/10/09	07/10/09	114.16	.01		114.15
125000410	5	ROYAL DUTCH SHELL PLC ADR CL A	05/28/08	04/07/09	218 75	428.67	(209.92)	
125000490	1 4	TOYOTA MOTOR CORP ADR NEW	02/07/08 02/07/08	02/05/09	68.70 274.79	110.71 439.72	(42.01) (164.93)	
125000530	70	WOLSELEY PLC SPONSORED ADR SALE OF RTS ON 70.0000 SHS	04/01/09	04/01/09	147.76	.01		147.75
125000540	67 32	WOLSELEY PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	06/04/09 06/05/09	09/28/09	153.12 73.13	119.93 56.34		33.19 16.79

MorganStanley
SmithBarney

Ref: 00001085 00030887

Reserved
Client Statement
2009 Year End Summary

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2748C-15 340

2009 YEAR END SUMMARY

Details of Short Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000550	21	WOLSELEY PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	06/05/09	09/29/09	$ 48.14	$ 36.98		$ 11.16
Total					**$ 2,046.01**	**$ 2,340.51**	**($ 995.77)**	**$ 701.27**

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	6	ABB LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/10/09	$ 118.49	$ 144.01	($ 25.52)	
125000040	.3709	BANCO BILBAO VIZCAYA-SP ADR CASH IN LIEU OF .37096 RECORD 04/09/09 PAY 04/27/09	08/30/07	04/27/09	4.04	8.28	(4.24)	
125000050	3	BHP BILLITON LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/30/07	11/10/09	212.67	185.13		27.54
125000060	15	BRITISH AMERICAN TOBACCO PLC ADR MorganStanley SmithBarney LLC acted as your agent	08/30/07	09/25/09	939.09	973.45	(34.36)	
125000070	3	CANON INC ADR	08/30/07	01/29/09	86.37	167.09	(80.72)	
125000090	3	DBS GROUP HLDG LTD SP ADR	08/30/07	01/29/09	71.69	152.10	(80.41)	
125000100	3	ENI SPA SPONSORED ADR	08/30/07	01/29/09	135.17	202.95	(67.78)	
125000110	5	ENI SPA SPONSORED ADR	08/30/07	03/05/09	163.51	338.25	(174.74)	

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2748C-15 340

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000120	5	ENI SPA SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/04/09	$ 253.08	$ 338.25	($ 85.17)	
125000130	3	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	08/30/07	01/29/09	86.29	102.29	(16.00)	
125000140	5	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/30/07	10/22/09	222.78	170.47		52.31
125000150	5	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/30/07	11/19/09	217.87	170.48		47.39
125000170	10	GLAXOSMITHKLINE PLC SP ADR	08/30/07	02/05/09	372.58	511.97	(139.39)	
125000180	3	GLAXOSMITHKLINE PLC SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/10/09	123.62	153.59	(29.97)	
125000190	3	HSBC HLDG PLC SP ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/10/09	179.62	266.88	(87.26)	
125000200	.5	RTS HSBC HOLDINGS PLC 03/31/2009 RECORD 03/13/09 PAY 03/16/09 FROM: 404280406001	Unavailable	03/16/09	4.25			
125000210	3	HONDA MOTOR CO LTD ADR-NEW	08/30/07	01/29/09	73.96	95 55	(21.59)	
125000220	3	RTS ING GROEP EXP 12/11/2009	Unavailable	12/23/09	7.05			
125000230	25	KONINKLIJKE PHILIPS ELECTRONCIS NS SPON ADR NEW Morgan Stanley Smi th Barney LLC acted as yo	08/30/07	07/31/09	566.00	971.75	(405.75)	
125000240	5 10	KONINKLIJKE PHILIPS ELECTRONCIS NS SPON ADR NEW Morgan Stanley Smi th Barney LLC acted as yo	08/30/07 02/06/08	09/18/09	126.76 253.53	194.35 391.96	(67.59) (138.43)	

THE LOUIS A. RITTER FOUNDATION
Account Number 313-2748C-15 340

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000270	3	MITSUBISHI CORP SPONS ADR	08/30/07	01/29/09	$ 86.03	$ 160.50	($ 74.47)	
125000280	3	NATIONAL GRID PLC GBP SPONS ADR NEW	08/30/07	01/29/09	139.28	220.38	(81.10)	
125000290	12	NATIONAL GRID PLC GBP SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	08/30/07	10/15/09	562.10	881.52	(319.42)	
125000300	9	NESTLE S A SPONSORED ADR	08/30/07	01/29/09	317.24	387.36	(70.12)	
125000310	45	NEWS CORP CLASS B NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/30/07	06/08/09	515.70	966.60	(450.90)	
125000320	45	NIDEC CORPORATION SPON ADR Morgan Stanley Smi th Barney LLC acted as yo	08/30/07	07/02/09	710.04	778.91	(68 87)	
125000340	3	NOVARTIS AG ADR	08/30/07	01/29/09	124.25	156.70	(32.45)	
125000350	10	NOVARTIS AG ADR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/30/07	07/31/09	451.82	522.32	(70.50)	
125000360	3	PETROBRAS	08/30/07	01/29/09	79.61	89.09	(9.48)	
125000370	5	PETROBRAS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/30/07	06/08/09	211.94	148.49		63.45
125000400	3	ROCHE HLDG LTD SPON ADR	08/30/07	01/29/09	105.44	128.93	(23 49)	
125000420	3	SAP AKLENGESELLSCHAFT SPONS ADR-USD	08/30/07	01/29/09	108.89	157.95	(49.06)	
125000430	3	SIEMENS A G SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/10/09	284.26	365.40	(81.14)	
125000440	3	SONY CORP SPON ADR-NEW	08/30/07	01/29/09	62.06	137.85	(75.79)	
125000450	24	SUMITOMO MITSUI FINL GROUP INC ADR	08/30/07	01/29/09	99.83	186.00	(86.17)	

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000460	35	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	08/30/07	04/07/09	$ 332.73	$ 332.57		$ .16
125000470	.2249	TAIWAN SEMICONDUCTOR MFG CO LTD ADR CASH IN LIEU OF .22499 RECORD 07/17/09 PAY 08/14/09	08/30/07	08/14/09	2.32	2.13		.19
125000480	6	TESCO PLC SPONSORED ADR	08/30/07	01/29/09	93.11	153.84	(60.73)	
125000500	4	WPP PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	10/23/09	182.57	281.21	(98.64)	
125000510	1	WPP PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	10/26/09	45.94	70.30	(24.36)	
125000520	5	WPP PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/30/07	11/19/09	236.26	351.51	(115.25)	
125000540	70	WOLSELEY PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/30/07	09/28/09	159.97	1,446.90	(1,286 93)	
125000560	3	WOLTERS KLUWER N V SP ADR	08/30/07	01/29/09	56.27	86.55	(30.28)	
125000570	3	ZURICH FINCL SVCS SPON ADR	08/30/07	01/29/09	56.69	85.05	(28.36)	
Total					**$ 9,242.77**	**$ 13,636.88**	**($ 4,596.43)**	**$ 191.04**

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: First In First Out [FIFO]

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AOL INC: AOL	0.0909	06/05/09	12/10/09	$2.10	$1.71	$0.39
Security Subtotal				**$2.10**	**$1.71**	**$0.39**
Total Short-Term				**$2.10**	**$1.71**	**$0.39**

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BANCO SANTANDER SA ADR FSPONSORED ADR 1 ADR REPS 1: STD	0.9230	08/16/77	10/13/09	$15.83	$4.89 [a]	$10.94
Security Subtotal				**$15.83**	**$4.89**	**$10.94**
CHINA MOBILE LTD ADR FSPONSORED ADR 1 ADR REPS 5: CHL	63.0000	01/22/99	10/29/09	$2,983.05	3363.57	(380.52)
CHINA MOBILE LTD ADR FSPONSORED ADR 1 ADR REPS 5: CHL	262.0000	07/27/07	10/29/09	$12,405.72	13988.18	(1582.46)
Security Subtotal				**$15,388.77**	17351.75	**($1,106.43)**
CONOCOPHILLIPS: COP	38.0000	11/18/99	09/11/09	$1,750.63	$917.36 [a]	$833.27
CONOCOPHILLIPS: COP	325.0000	07/30/07	09/11/09	$14,972.50	$26,256.82	($11,284.32)
Security Subtotal				**$16,723.13**	**$27,174.18**	**($10,451.05)**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
I N G GROEP N V 09RTSFRIGHTS EXP 12/11/09: 456837996	45.0000	03/04/78	12/18/09	$76.66	738.90	(662.24)
Security Subtotal				**$76.66**	**$0.00**	**$76.66**
ISHARES MSCI JPN IDX FD JAPAN INDEX FUND: EWJ	777.0000	05/22/07	04/01/09	$6,128.29	8508.93	(2380.64)
Security Subtotal				**$6,128.29**	**N/A**	**N/A**
LOCKHEED MARTIN CORP: LMT	19.0000	05/22/07	04/01/09	$1,268.17	1136.66	131.51
Security Subtotal				**$1,268.17**	**N/A**	**N/A**
Total Long-Term				**$39,600.85**	54915.31	(15314.46)
Total Realized Gain or (Loss)				**$39,602.95**	54917.02	(15314.07)

Schwab has provided realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2009 Year-End Schwab Gain/Loss Report

Endnotes For Your Account

Symbol	Endnote Legend
a	Data for this holding has been edited or provided by the advisor.
h	Value includes incomplete cost basis.

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	$ 49,897.69	$ 51,000 00	$ 1,102.31	$	$ 1,102.31
Sub Total:	**$ 49,897.69**	**$ 51,000.00**	**$ 1,102.31**	**$**	**$ 1,102.31**
Total:	**$ 49,897.69**	**$ 51,000.00**	**$ 1,102.31**	**$**	**$ 1,102.31**

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BANKAMERICA CORP SENIOR 05.875% 021509 DTD020899 FC081599 NOTES GLOBAL	*Redemption*	17,000.000		08/27/01	02/15/09	17,000.00	16,645.03	354.97	*1*	Y
HOUSEHOLD FIN CORP 05.875% 020109 DTD020599 FC080199	*Redemption*	17,000.000		08/27/01	02/01/09	17,000.00	16,459.73	540.27	*1*	Y
MERRILL LYNCH & CO 06.000% 021709 DTD021799 FC081799 GLOBAL	*Redemption*	17,000.000		08/27/01	02/17/09	17,000.00	16,792.93	207.07	*1*	Y
Total Long-Term Gain/Loss						**51,000.00**	**49,897.69**	**1,102.31**		