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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

RICHMOND COMMUNITY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

217 S. TRYON STREET

Room/suite

City or town, state, and ZIP code

CHARLOTTE, NC 28202

A Employer identification number

56-2168849

B Telephone number

704-973-4500

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

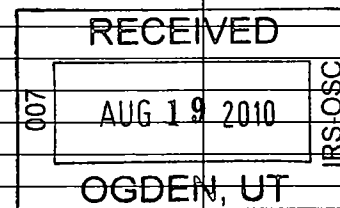
\$ 25,404,156. (Part I, column (d) must be on cash basis)

J Accounting method: ☐ Cash ☒ Accrual☐ Other (specify)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a))(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	238,783.	238,783.		STATEMENT 1
	4 Dividends and interest from securities	531,565.	531,565.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<3,856,731.>			
	b Gross sales price for all assets on line 6a	14,552,851.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications			300,000.	
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<3,086,383.>	770,348.	300,000.		
Compensation and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	111,660.	0.		0.
	17 Interest				
	18 Taxes STMT 4	13,591.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses STMT 5	123,513.	109,675.		3,470.	
24 Total operating and administrative expenses. Add lines 13 through 23	248,764.	109,675.		3,470.	
25 Contributions, gifts, grants paid	329,950.			1,566,327.	
26 Total expenses and disbursements. Add lines 24 and 25	578,714.	109,675.		1,569,797.	
Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<3,665,097.>				
b Net investment income (if negative, enter -0-)		660,673.			
c Adjusted net income (if negative, enter -0-)			300,000.		



Part II**Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	868,499.	661,651.	661,651.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	9,341.	12,734.	12,734.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	15,661,308.	20,464,700.	20,464,700.
	c Investments - corporate bonds STMT 8	4,903,840.	4,265,071.	4,265,071.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	21,442,988.	25,404,156.	25,404,156.	
Liabilities	17 Accounts payable and accrued expenses	92,562.		
	18 Grants payable	1,272,818.	599,950.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	1,365,380.	599,950.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	20,077,608.	24,804,206.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	20,077,608.	24,804,206.		
31 Total liabilities and net assets/fund balances	21,442,988.	25,404,156.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,077,608.
2 Enter amount from Part I, line 27a	2	<3,665,097.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	8,391,695.
4 Add lines 1, 2, and 3	4	24,804,206.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,804,206.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED G/L SCHEDULE	P	VARIOUS	VARIOUS
b SEE ATTACHED G/L SCHEDULE	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,084,386.		8,989,660.	<905,274.>
b 6,463,540.		9,419,922.	<2,956,382.>
c 4,925.			4,925.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<905,274.>
b			<2,956,382.>
c			4,925.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 <3,856,731.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	140,202.	26,966,999.	.005199
2007	997,713.	31,210,177.	.031968
2006	1,344,913.	28,751,547.	.046777
2005	2,120,441.	21,776,984.	.097371
2004	1,159,313.	22,308,230.	.051968

2 Total of line 1, column (d)	2	.233283
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046657
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	22,069,353.
5 Multiply line 4 by line 3	5	1,029,690.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,607.
7 Add lines 5 and 6	7	1,036,297.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,569,797.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	6,607.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	6,607.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	6,607.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 19,341.	7	19,341.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	12,734.
7 Total credits and payments. Add lines 6a through 6d		11	6,094.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 6,640. Refunded ☒			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.FFTC.ORG	13	X	
14	The books are in care of ► ALYSSA FEDERICO, RICHMOND COMMUNIT Located at ► 217 S. TRYON STREET, CHARLOTTE, NC Telephone no. ► 70499734517 ZIP+4 ► 28202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ N/A ☒	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐ N/A ☒	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) ☐ N/A ☒	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	22,017,605.
b	Average of monthly cash balances	1b	387,830.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	22,405,435.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,405,435.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	336,082.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,069,353.
6	Minimum investment return. Enter 5% of line 5	6	1,103,468.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,103,468.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	6,607.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,607.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,096,861.
4	Recoveries of amounts treated as qualifying distributions	4	300,000.
5	Add lines 3 and 4	5	1,396,861.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,396,861.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,569,797.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,569,797.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	6,607.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,563,190.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,396,861.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005	1,348,220.			
c From 2006				
d From 2007				
e From 2008	259,008.			
f Total of lines 3a through e	1,607,228.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	1,569,797.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,396,861.
e Remaining amount distributed out of corpus	172,936.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,780,164.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,780,164.			
10 Analysis of line 9:				
a Excess from 2005	1,348,220.			
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	259,008.			
e Excess from 2009	172,936.			

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FIRSTHEALTH RICHMOND MEMORIAL HOSPITAL 925 S. LONG DRIVE ROCKINGHAM, NC 28379		PUBLIC	PHYSICAN RECRUITMENT	426,327.
RICHMOND COUNTY HEALTH DEPARTMENT 127 CAROLINE STREET ROCKINGHAM, NC 28379		PUBLIC	RICHMOND COMMUNITY CARE CLINIC	1060000.
LEAK STREET ALUMNI PO BOX 2252 ROCKINGHAM, NC 28380		PUBLIC	SUMMER FUN AND AFTER SCHOOL PROGRAM	10,000.
OUR DAILY BREAD CHRISTIAN FOOD MINISTRY, INC 400 EAST WASHINGTON STREET ROCKINGHAM, NC		PUBLIC	EMERGENCY GRANT FOR FOOD PURCHASE	10,000.
SENIOR GAMES 102 BOYD LAKE ROAD HAMLET, NC 28345		PUBLIC	GENERAL PROGRAM EXPENSES	10,000.
SANDHILLS CHILDREN'S CENTER 1280 CENTRAL DRIVE SOUTHERN PINES, NC 28387		PUBLIC	ESTABLISHMENT OF ROCKINGHAM CAMPUS	50,000.
Total				1566327.
b Approved for future payment				
FIRSTHEALTH RICHMOND MEMORIAL HOSPITAL 925 S. LONG DRIVE ROCKINGHAM, NC 28379		PUBLIC	PHYSICAN RECRUITMENT	500,000.
DISCOVERY PLACE INC 301 NORTH TRYON STREET CHARLOTTE, NC 28202		PUBLIC	PRELIMINARY PROJECT WORK DISCOVERY PLACE KIDS ROCKINGHAM	49,950.
SANDHILLS CHILDREN'S CENTER 1280 CENTRAL DRIVE SOUTHERN PINES, NC 28387		PUBLIC	ESTABLISHMENT OF ROCKINGHAM CAMPUS	50,000.
Total				599,950.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14			238,783.
4 Dividends and interest from securities			14			531,565.
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18			<3,856,731.>
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		<3,086,383.>
13 Total. Add line 12, columns (b), (d), and (e)					13	<3,086,383.>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BB&T	48.
COMMUNITY ONE	238,607.
K-1 ENTERPRISE PRODUCTS PARTNERS LP	99.
K-1 POWERSHARES DB COMMODITY INDEX TRACKING FUND	17.
WELLS FARGO 0194	12.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	238,783.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF AMERICA	849.	0.	849.
COMMUNITY ONE	278,300.	0.	278,300.
K-1 ENTERPRISE PRODUCTS PARTNERS LP	7.	0.	7.
WELLS FARGO-0194	89,044.	910.	88,134.
WELLS FARGO-0935	168,290.	4,015.	164,275.
TOTAL TO FM 990-PF, PART I, LN 4	536,490.	4,925.	531,565.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOUNDATION FOR THE CAROLINAS	111,660.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	111,660.	0.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX BASED ON INVESTMENT INCOME	13,591.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	13,591.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	3,470.	0.		3,470.	
INVESTMENT MANAGEMENT FEES	109,580.	109,580.		0.	
DEV/CS MARKET PLANNING & RES	2,161.	0.		0.	
POSTAGE EXPENSE	44.	0.		0.	
PROJECT EXPENSE	8,163.	0.		0.	
BANK FEES	95.	95.		0.	
TO FORM 990-PF, PG 1, LN 23	123,513.	109,675.		3,470.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	6
DESCRIPTION	AMOUNT				
UNREALIZED GAINS ON INVESTMENTS	8,091,695.				
RECOVERY OF DISTRIBUTIONS	300,000.				
TOTAL TO FORM 990-PF, PART III, LINE 3	8,391,695.				

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK-WELLS FARGO 0194	4,522,285.	4,522,285.
CORPORATE BONDS-WELLS FARGO 0196	0.	0.
CORPORATE STOCK-WELLS FARGO 0935	7,811,001.	7,811,001.
CORPORATE STOCK-COMMUNITY ONE	8,131,414.	8,131,414.
TOTAL TO FORM 990-PF, PART II, LINE 10B	20,464,700.	20,464,700.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-WELLS FARGO 0194	0.	0.
CORPORATE BONDS-COMMUNITY ONE	4,265,071.	4,265,071.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,265,071.	4,265,071.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 9
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
RUSSELL E. BENNETT, JR. 217 S. TRYON STREET CHARLOTTE, NC 28202	CHAIR 1.00	0.	0.	0.
BETTY DORSETT 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
ROBERT E. HUTCHINSON 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
JOHN J. JACKSON 217 S. TRYON STREET CHARLOTTE, NC 28202	SECRETARY 1.00	0.	0.	0.
FRANKLIN CLAY JENKINS 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
PAUL R. SMART 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
ROGER STALEY 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
BRUCE STANBACK 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
BILLY M. THOMPSON 217 S. TRYON STREET CHARLOTTE, NC 28202	MEMBER 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 10
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

RICHMOND COMMUNITY FOUNDATION
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

TELEPHONE NUMBER

704-973-4500

FORM AND CONTENT OF APPLICATIONS

PREPRINTED APPLICATION FORM, ATTACHED BUDGET, IRS EXEMPT LETTER, AUDITED FINANCIALS, DESCRIPTION OF PROGRAM

ANY SUBMISSION DEADLINES

VARIES

RESTRICTIONS AND LIMITATIONS ON AWARDS

80% OF BENEFICIARIES MUST BE IN RICHMOND COUNTY OR IN CLOSE PROXIMITY TO RICHMOND COUNTY

Richmond Community Foundation
56-2168849
Realized Gain/Loss Recap
2009

Short term

	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
Wachovia 0194	470,450	481,028	(10,578)
Wachovia 0935	1,652,274	2,041,664	(389,390)
K-1 Powershares DB Commodity Index Tracking		(106)	106
Community One -short term	5,961,661	6,467,074	(505,412)
Total short term	<u>8,084,386</u>	<u>8,989,660</u>	<u>(905,274)</u>

Long term

	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>
Wachovia 0194	3,279,471	4,950,691	(1,671,220)
Wachovia 0935	1,197,007	1,660,406	(463,399)
K-1 Powershares DB Commodity Index Tracking	-	324	(324)
Community One	<u>1,987,061.29</u>	<u>2,808,500.19</u>	<u>(821,438.90)</u>
Total long term	<u>6,463,540</u>	<u>9,419,922</u>	<u>(2,956,382)</u>

Richmond Community Foundation
56-2168849
Book Value - Investments
2009

	<u>Stocks</u>	<u>Bonds</u>	<u>Total</u>
Wachovia 0194	4,522,285	-	4,522,285
Wachovia 0935	7,811,001	-	7,811,001
Community One	8,131,414	4,265,071	12,396,485
Total investments	<u>20,464,701</u>	<u>4,265,071</u>	<u>24,729,772</u>

Account Number: 7075-0194
Command Account Number: 9071059656
RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Important Realized Gain/Loss Information

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a tax advisor as to any additional reporting requirements or adjustments. NO COST BASIS OR REALIZED GAIN/LOSS INFORMATION IS PROVIDED TO THE IRS. NOR IS THIS INFORMATION VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING SCHEDULE D OF YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

- Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different.
- The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security.
- Short sales are reportable on Form 1099-B before the position is closed.
- Implicit redemptions by the issuer of a structured product are reportable on Form 1099-B, but will not appear on the Realized Gain/Loss Statement if that implicit redemption did not result in a closed tax lot at that time.
- Securities subject to marked to market reporting requirements for those investors are not included here nor is that information available on our systems.
- Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form.
- Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

Realized Gain/Loss Summary	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	52,741.98	-63,319.68	-10,577.70
Long term	0.00	-1,671,219.99	-1,671,219.99
Total - Realized Gain/Loss	\$52,741.98	-\$1,734,539.67	-\$1,681,797.69

Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 7075-0194
Command Account Number: 9071059656
RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Realized Gain/Loss Detail for Year

Short Term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
CL-C	ALLIANZ NJF SMALL CAP VALUE FUND	1,829.2460	17.1300	12/15/08	02/19/09	28,938.15	31,334.99	-2,396.84
		12.1030	17.1300	12/15/08	02/19/09	191.46	207.32	-15.86
		130.0620	17.6800	12/22/08	02/19/09	2,057.55	2,299.50	-241.95
AMCAP FUND INC CLASS A		1,733.6490	17.2000	06/23/08	02/19/09	19,000.62	29,818.76	-10,818.14
AMER BALANCED FD INC CLASS A		523.8740	18.2000	02/20/08	02/19/09	6,558.87	9,534.50	-2,975.63
		543.2760	18.2000	02/20/08	02/19/09	6,801.78	9,887.63	-3,085.85
		531.2060	18.2200	05/28/08	02/19/09	6,650.67	9,678.57	-3,027.90
		558.4350	17.4600	08/18/08	02/19/09	6,991.58	9,750.28	-2,758.70
		723.0070	13.5900	12/19/08	02/19/09	9,052.02	9,825.67	-773.65
CALAMOS GROWTH FUND CLASS A		727.6590	27.2200	02/20/09	06/17/09	25,562.65	19,806.88	5,755.77
DODGE & COX INTL STOCK FUND		3,001.3740	17.7000	02/20/09	06/17/09	75,604.60	53,124.32	22,480.28
DODGE & COX STOCK FUND		45.0620	117.4000	03/31/08	02/19/09	2,825.19	5,290.32	-2,465.13
		334.1990	117.4000	03/31/08	02/19/09	20,952.81	39,234.92	-18,282.11
		4.0150	117.4000	03/31/08	02/19/09	251.72	471.32	-219.60
		47.3020	111.9100	06/30/08	02/19/09	2,965.63	5,293.59	-2,327.96
		42.8560	102.8700	09/30/08	02/19/09	2,686.88	4,408.61	-1,721.73
		44.9650	71.6100	12/24/08	02/19/09	2,819.12	3,219.97	-400.85
EUROPACIFIC GROWTH FD CL F		1,094.4960	24.3700	02/20/09	06/17/09	33,754.26	26,672.87	7,081.39

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Account Number: 7075-0194
Command Account Number: 9071059656
RICHMOND COMMUNITY FOUNDATION
CARE OF EFTC

Short Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
GROWTH FUND AMERICA								
CL F		1,655,5070	18,5800	02/20/09	06/17/09	37,381.35	30,759.32	6,622.03
JANUS INVT FD								
MID CAP VALUE FD CLASS S		452,7930	13,4249	02/20/09	07/10/09	7,113.38	6,078.72	1,034.66
NEW PERSPECTIVE FD INC								
CLASS A		2,088,9330	18,1400	12/24/08	02/19/09	35,490.59	37,893.25	-2,402.66
		728,0210	18,1400	12/24/08	02/19/09	12,368.95	13,206.31	-837.36
ROYCE PREMIER FUND		503,9050	10,7600	02/20/09	10/09/09	8,127.98	5,422.02	2,705.96
Total - Short Term						\$470,450.45	\$481,028.15	-\$10,577.70

Long Term	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION	QUANTITY					
ALLIANZ NJF SMALL CAP						
VALUE FUND						
CL-C						
	476.6490	21.0400	06/23/03	02/19/09	7,540.44	-2,489.86
	1,730.5030	21.6700	07/07/03	02/19/09	27,376.02	-10,129.98
	1,168.7700	21.3900	07/22/03	02/19/09	18,489.58	-6,516.42
	1,157.9430	21.5900	08/01/03	02/19/09	18,318.30	-6,687.70
	2,340.9890	22.6400	09/16/03	02/19/09	37,033.72	-15,972.28
	159.4640	23.7400	12/16/03	02/19/09	2,522.67	-1,263.01
	116.2060	23.7400	12/16/03	02/19/09	1,838.34	-920.37
	75.1550	23.7400	12/16/03	02/19/09	1,188.92	-595.27
	807.5230	28.1700	12/15/04	02/19/09	12,774.76	-9,973.15
	329.5190	28.1700	12/15/04	02/19/09	5,212.89	-4,069.67
	214.7150	28.1100	12/16/04	02/19/09	3,396.72	-2,638.92
	12.1640	28.1100	12/20/04	02/19/09	192.43	-149.50

Realized Gain/Loss

As of Date: 2/05/10

Account Number: 7075-0194
 Command Account Number: 9071059656
 RICHMOND COMMUNITY FOUNDATION
 CARE OF FTTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST ORIG COST	GAIN/LOSS
		1,675.8150	29.0900	12/14/05	02/19/09	26,510.88	48,749.46	-22,238.58
		143.9510	29.0900	12/14/05	02/19/09	2,277.26	4,187.54	-1,910.28
		240.0710	28.6400	12/19/05	02/19/09	3,797.85	6,875.64	-3,077.79
		1,840.2490	30.8800	12/15/06	02/19/09	29,112.18	56,826.87	-27,714.69
		75.5480	30.8800	12/15/06	02/19/09	1,195.14	2,332.93	-1,137.79
		279.0580	30.1500	12/22/06	02/19/09	4,414.61	8,413.60	-3,998.99
		1,239.5200	29.0700	12/14/07	02/19/09	19,608.84	36,032.86	-16,424.02
		79.9780	29.0700	12/14/07	02/19/09	1,265.22	2,324.95	-1,059.73
		144.1630	28.4400	12/21/07	02/19/09	2,280.61	4,099.99	-1,819.38
AMCAP FUND INC CLASS A		1,550.9740	14.2100	05/09/03	02/19/09	16,998.49	22,040.51	-5,042.02
		7,591.0930	14.8200	06/09/03	02/19/09	83,197.52	112,506.00	-29,308.48
		7,560.4840	14.8800	06/23/03	02/19/09	82,862.04	112,506.00	-29,643.96
		10.4060	15.0900	06/24/03	02/19/09	114.04	157.02	-42.98
		7,319.4530	15.3700	07/07/03	02/19/09	80,220.38	112,506.00	-32,285.62
		4,960.3170	15.1200	07/22/03	02/19/09	54,364.51	75,006.00	-20,641.49
		4,966.8870	15.1000	08/01/03	02/19/09	54,436.52	75,006.00	-20,569.48
		180.6090	18.0000	12/06/04	02/19/09	1,979.45	3,250.96	-1,271.51
		513.0940	18.0000	12/06/04	02/19/09	5,623.45	9,235.69	-3,612.24
		904.7320	18.3000	06/21/05	02/19/09	9,915.76	16,556.59	-6,640.83
		354.2000	19.1800	12/23/05	02/19/09	3,881.99	6,793.56	-2,911.57
		649.3670	19.1800	12/23/05	02/19/09	7,116.99	12,454.85	-5,337.86
		85.7120	18.7400	06/20/06	02/19/09	939.39	1,606.24	-666.85
		551.0040	18.7400	06/20/06	02/19/09	6,038.94	10,325.82	-4,286.88

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Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 7075-0194
 Command Account Number: 9071059656
 RICHMOND COMMUNITY FOUNDATION
 CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		44.8960	18.7400	06/20/06	02/19/09	492.05	841.36	-349.31
		514.9740	20.0800	12/26/06	02/19/09	5,644.05	10,340.67	-4,696.62
		1,671.7420	20.0800	12/26/06	02/19/09	18,322.11	33,568.58	-15,246.47
		153.1690	21.7600	06/19/07	02/19/09	1,678.71	3,332.95	-1,654.24
		342.8060	21.7600	06/19/07	02/19/09	3,757.11	7,459.45	-3,702.34
		391.0780	20.0100	12/18/07	02/19/09	4,286.17	7,825.48	-3,539.31
		2,039.1950	20.0100	12/18/07	02/19/09	22,349.37	40,804.29	-18,454.92
AMER BALANCED FD INC								
CLASS A		8,820.0760	15.6400	06/09/03	02/19/09	110,426.75	137,950.40	-27,523.65
		11,904.7620	15.7500	06/23/03	02/19/09	149,046.81	187,506.00	-38,459.19
		11,770.2450	15.9300	07/07/03	02/19/09	147,362.66	187,506.00	-40,143.34
		7,951.6540	15.7200	07/22/03	02/19/09	99,554.16	125,006.00	-25,451.84
		7,982.1200	15.6600	08/01/03	02/19/09	99,935.60	125,006.00	-25,070.40
		667.3100	15.7200	08/19/03	02/19/09	8,354.67	10,490.11	-2,135.44
		626.8670	16.8300	12/16/03	02/19/09	7,848.33	10,550.17	-2,701.84
		597.8910	17.7400	02/24/04	02/19/09	7,485.55	10,606.59	-3,121.04
		623.0510	17.1100	05/25/04	02/19/09	7,800.55	10,660.40	-2,859.85
		630.0100	17.0100	08/17/04	02/19/09	7,887.68	10,716.47	-2,828.79
		606.9390	17.7500	12/14/04	02/19/09	7,598.83	10,773.17	-3,174.34
		2,710.9960	17.7500	12/14/04	02/19/09	33,941.49	48,120.18	-14,178.69
		283.2380	17.7500	12/14/04	02/19/09	3,546.12	5,027.48	-1,481.36
		655.5010	17.8700	02/23/05	02/19/09	8,206.82	11,713.80	-3,506.98
		658.6170	17.8800	06/01/05	02/19/09	8,245.84	11,776.07	-3,530.23
		726.1270	18.0200	08/23/05	02/19/09	9,091.06	13,084.81	-3,993.75

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Account Number: 7075-0194
Command Account Number: 9071059656
RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Realized Gain/Loss

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As of Date: 2/05/10

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		734.8440	17.9100	12/30/05	02/19/09	9,200.19	13,161.05	-3,960.86
		2,351.5000	17.9100	12/30/05	02/19/09	29,440.62	42,115.37	-12,674.75
		780.5120	18.1000	02/22/06	02/19/09	9,771.96	14,127.27	-4,355.31
		785.6890	18.0900	05/31/06	02/19/09	9,836.77	14,213.12	-4,376.35
		888.9220	18.2800	08/22/06	02/19/09	11,129.24	16,249.49	-5,120.25
		857.0250	19.0900	12/29/06	02/19/09	10,729.89	16,360.60	-5,630.71
		2,790.4720	19.0900	12/29/06	02/19/09	34,936.53	53,270.12	-18,333.59
		871.7750	19.2900	02/21/07	02/19/09	10,914.56	16,816.54	-5,901.98
		851.8120	19.8700	05/22/07	02/19/09	10,664.63	16,925.51	-6,260.88
		474.0860	19.4000	08/21/07	02/19/09	5,935.52	9,197.27	-3,261.75
		475.1810	19.4900	12/27/07	02/19/09	5,949.23	9,261.28	-3,312.05
		1,548.7380	19.4900	12/27/07	02/19/09	19,390.11	30,184.90	-10,794.79
DODGE & COX STOCK FUND		894.8450	97.6200	06/09/03	02/19/09	56,102.79	87,354.76	-31,251.97
		1,542.5750	97.2400	06/23/03	02/19/09	96,712.58	150,000.00	-53,287.42
		61.5730	97.0200	06/27/03	02/19/09	3,860.35	5,973.82	-2,113.47
		1,515.1520	99.0000	07/07/03	02/19/09	94,993.28	150,000.00	-55,006.72
		1,021.4500	97.9000	07/22/03	02/19/09	64,040.37	100,000.00	-35,959.63
		1,005.5300	99.4500	08/01/03	02/19/09	63,042.25	100,000.00	-36,957.75
		54.8070	100.6100	09/29/03	02/19/09	3,436.15	5,514.14	-2,077.99
		50.8060	113.2700	12/30/03	02/19/09	3,185.31	5,754.84	-2,569.53
		60.9680	113.2700	12/30/03	02/19/09	3,822.42	6,905.81	-3,083.39
		52.8390	113.2700	12/30/03	02/19/09	3,312.77	5,985.04	-2,672.27
		47.2530	118.0000	03/30/04	02/19/09	2,962.55	5,575.83	-2,613.28
		44.6280	118.0000	03/30/04	02/19/09	2,797.97	5,266.06	-2,468.09

Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 7075-0194
 Command Account Number: 9071059656
 RICHMOND COMMUNITY FOUNDATION
 CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		48.3860	118.9600	06/29/04	02/19/09	3,033.58	5,756.05	-2,722.47
		48.7660	118.2200	09/29/04	02/19/09	3,057.41	5,765.07	-2,707.66
		51.5070	130.2900	12/30/04	02/19/09	3,229.26	6,710.90	-3,481.64
		251.5480	130.2900	12/30/04	02/19/09	15,770.94	32,774.17	-17,003.23
		144.9390	130.2900	12/30/04	02/19/09	9,087.03	18,884.16	-9,797.13
		52.9580	127.1300	03/30/05	02/19/09	3,320.23	6,732.59	-3,412.36
		76.1590	127.1300	03/30/05	02/19/09	4,774.83	9,682.11	-4,907.28
		18.4090	127.1300	03/30/05	02/19/09	1,154.16	2,340.38	-1,186.22
		53.3330	130.2300	06/29/05	02/19/09	3,343.74	6,945.56	-3,601.82
		51.6000	134.8500	09/29/05	02/19/09	3,235.09	6,958.32	-3,723.23
		49.3450	137.9600	12/29/05	02/19/09	3,093.71	6,807.63	-3,713.92
		292.5450	137.9600	12/29/05	02/19/09	18,341.27	40,359.49	-22,018.22
		11.1610	137.9600	12/29/05	02/19/09	699.74	1,539.82	-840.08
		48.3110	143.8100	04/03/06	02/19/09	3,028.88	6,947.61	-3,918.73
		29.6770	143.8100	04/03/06	02/19/09	1,860.61	4,267.82	-2,407.21
		56.3190	144.3900	06/30/06	02/19/09	3,530.95	8,131.85	-4,600.90
		73.8830	150.7800	09/29/06	02/19/09	4,632.13	11,140.03	-6,507.90
		58.4490	154.0700	01/03/07	02/19/09	3,664.49	9,005.31	-5,340.82
		693.2760	154.0700	01/03/07	02/19/09	43,465.34	106,813.01	-63,347.67
		26.7350	154.0700	01/03/07	02/19/09	1,676.16	4,119.10	-2,442.94
		84.5410	154.6300	04/02/07	02/19/09	5,300.34	13,072.61	-7,772.27
		107.1980	154.6300	04/02/07	02/19/09	6,720.84	16,576.07	-9,855.23
		11.7230	154.6300	04/02/07	02/19/09	734.98	1,812.74	-1,077.76
		60.7900	162.2100	07/02/07	02/19/09	3,811.26	9,860.70	-6,049.44

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RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Realized Gain/Loss

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As of Date: 2/05/10

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
EVERGREEN CORE BOND								
FD CL A		6,619.5610	11 1700	06/09/03	02/19/09	46,402.80	73,942.86	-27,540.06
		16,801 0750	11,1600	06/23/03	02/19/09	117,774 73	187,506.00	-69,731.27
		324 1950	11 0900	07/02/03	02/19/09	2,272.59	3,595.32	-1,322.73
		16,999.0930	11.0300	07/07/03	02/19/09	119,162.83	187,506.00	-68,343.17
		11,499.5400	10.8700	07/22/03	02/19/09	80,611.23	125,006.00	-44,394.77
		11,715 0890	10 6700	08/01/03	02/19/09	82,122.22	125,006 00	-42,883 78
		500 8070	10.6700	08/04/03	02/19/09	3,510.63	5,343.61	-1,832.98
		541 1990	10.7200	09/03/03	02/19/09	3,793.77	5,801.65	-2,007 88
		467.3030	10.9600	10/02/03	02/19/09	3,275 77	5,121.64	-1,845.87
		584.6320	10.8200	11/04/03	02/19/09	4,098 24	6,325.72	-2,227 48
		512.3050	10.8000	12/02/03	02/19/09	3,591.23	5,532 89	-1,941.66
		737.6180	10.7000	12/04/03	02/19/09	5,170.66	7,892.51	-2,721.85
		612.6500	10 7000	12/04/03	02/19/09	4,294.64	6,555.35	-2,260.71
		580.1690	10.7800	01/05/04	02/19/09	4,066.95	6,254.22	-2,187 27
		557 2400	10.8300	02/03/04	02/19/09	3,906.22	6,034.91	-2,128.69
		486.7830	10.9000	03/02/04	02/19/09	3,412 32	5,305.93	-1,893.61
		549 9630	10 9500	04/02/04	02/19/09	3,855.21	6,022.09	-2,166.88
		544.4580	10 6500	05/04/04	02/19/09	3,816.62	5,798 48	-1,981.86
		516.2680	10 5700	06/02/04	02/19/09	3,619.01	5,456.95	-1,837.94

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Account Number: 7075-0194
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RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		535.5250	10.5900	07/02/04	02/19/09	3,754.00	5,671.21	-1,917.21
		588.4100	10.6500	08/03/04	02/19/09	4,124.72	6,266.57	-2,141.85
		555.9280	10.8100	09/02/04	02/19/09	3,897.03	6,009.58	-2,112.55
		538.6850	10.8000	10/04/04	02/19/09	3,776.15	5,817.80	-2,041.65
		530.8880	10.8500	11/02/04	02/19/09	3,721.50	5,760.13	-2,038.63
		517.3760	10.7100	12/02/04	02/19/09	3,626.78	5,541.10	-1,914.32
		1,199.6360	10.7000	12/09/04	02/19/09	8,409.39	12,836.11	-4,426.72
		610.6200	10.7100	01/04/05	02/19/09	4,280.41	6,539.74	-2,259.33
		564.1760	10.7300	02/02/05	02/19/09	3,954.84	6,053.61	-2,098.77
		547.4830	10.6300	03/02/05	02/19/09	3,837.83	5,819.74	-1,981.91
		593.5220	10.5500	04/04/05	02/19/09	4,160.56	6,261.66	-2,101.10
		631.3340	10.6600	05/03/05	02/19/09	4,425.62	6,730.02	-2,304.40
		582.4620	10.7300	06/02/05	02/19/09	4,083.03	6,249.82	-2,166.79
		594.9440	10.7500	07/05/05	02/19/09	4,170.53	6,395.65	-2,225.12
		604.2470	10.6000	08/02/05	02/19/09	4,235.74	6,405.02	-2,169.28
		605.9830	10.7100	09/02/05	02/19/09	4,247.91	6,490.08	-2,242.17
		645.4170	10.5500	10/04/05	02/19/09	4,524.34	6,809.15	-2,284.81
		590.5170	10.4400	11/02/05	02/19/09	4,139.49	6,165.00	-2,025.51
		615.5530	10.4400	12/02/05	02/19/09	4,315.00	6,426.37	-2,111.37
		511.5650	10.4100	12/08/05	02/19/09	3,586.04	5,325.39	-1,739.35
		685.0450	10.4600	01/04/06	02/19/09	4,802.13	7,165.57	-2,363.44
		606.8820	10.4200	02/02/06	02/19/09	4,254.21	6,323.71	-2,069.50
		560.0510	10.4200	03/02/06	02/19/09	3,925.93	5,835.73	-1,909.80
		683.7800	10.2900	04/04/06	02/19/09	4,793.27	7,036.10	-2,242.83

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Account Number: 7075-0194
Command Account Number: 9071059656
RICHMOND COMMUNITY FOUNDATION
CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		606.3980	10.2400	05/02/06	02/19/09	4,250.82	6,209.52	-1,958.70
		692.1270	10.1900	06/02/06	02/19/09	4,851.78	7,052.77	-2,200.99
		724.2860	10.1800	07/05/06	02/19/09	5,077.21	7,373.23	-2,296.02
		666.9990	10.2600	08/02/06	02/19/09	4,675.63	6,843.41	-2,167.78
		724.5690	10.3700	09/05/06	02/19/09	5,079.20	7,513.78	-2,434.58
		715.5300	10.4200	10/03/06	02/19/09	5,015.83	7,455.82	-2,439.99
		691.2240	10.4500	11/02/06	02/19/09	4,845.45	7,223.29	-2,377.84
		701.0390	10.5300	12/04/06	02/19/09	4,914.25	7,381.94	-2,467.69
		785.9150	10.4200	01/04/07	02/19/09	5,509.23	8,189.23	-2,680.00
		689.4970	10.3800	02/02/07	02/19/09	4,833.34	7,156.98	-2,323.64
		668.8030	10.5100	03/02/07	02/19/09	4,688.28	7,029.12	-2,340.84
		780.3900	10.4600	04/03/07	02/19/09	5,470.50	8,162.88	-2,692.38
		700.7050	10.4700	05/02/07	02/19/09	4,911.91	7,336.38	-2,424.47
		754.1810	10.3400	06/04/07	02/19/09	5,286.78	7,798.23	-2,511.45
		751.8710	10.2700	07/03/07	02/19/09	5,270.58	7,721.72	-2,451.14
		483.8890	10.2800	08/02/07	02/19/09	3,392.04	4,974.38	-1,582.34
		429.0330	10.3200	09/05/07	02/19/09	3,007.50	4,427.62	-1,420.12
		341.4580	10.4000	10/02/07	02/19/09	2,393.60	3,551.16	-1,157.56
		393.6860	10.4000	11/02/07	02/19/09	2,759.72	4,094.33	-1,334.61
		408.0300	10.3800	12/04/07	02/19/09	2,860.27	4,235.35	-1,375.08
		380.2040	10.4200	01/03/08	02/19/09	2,665.21	3,961.73	-1,296.52
		387.0450	10.3400	02/04/08	02/19/09	2,713.17	4,002.05	-1,288.88
NEW PERSPECTIVE FD INC CLASS A		2,888.9760	19.9700	06/09/03	02/19/09	49,083.16	57,697.47	-8,614.31

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Account Number: 7075-0194
 Command Account Number: 9071059656
 RICHMOND COMMUNITY FOUNDATION
 CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		3,755.6330	19.9700	06/23/03	02/19/09	63,807.50	75,006.00	-11,198.50
		3,635.4820	20.6300	07/07/03	02/19/09	61,766.16	75,006.00	-13,239.84
		2,469.1360	20.2500	07/22/03	02/19/09	41,950.16	50,006.00	-8,055.84
		2,450.9800	20.4000	08/01/03	02/19/09	41,641.69	50,006.00	-8,364.31
		276.7260	23.8500	12/22/03	02/19/09	4,701.52	6,599.92	-1,898.40
		357.4250	27.0100	12/21/04	02/19/09	6,072.58	9,654.05	-3,581.47
		525.6230	28.5700	12/29/05	02/19/09	8,930.23	15,017.04	-6,086.81
		2,409.1050	28.5700	12/29/05	02/19/09	40,930.24	68,828.12	-27,897.88
		647.8370	31.4700	12/28/06	02/19/09	11,006.63	20,387.42	-9,380.79
		2,670.5410	31.4700	12/28/06	02/19/09	45,372.00	84,041.94	-38,669.94
		431.1460	34.5800	12/14/07	02/19/09	7,325.09	14,909.04	-7,583.95
		1,449.2900	34.5800	12/14/07	02/19/09	24,623.17	50,116.46	-25,493.29
Total - Long Term						\$3,279,471.39	\$4,950,691.38	-\$1,671,219.99

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Account Number: 8871-0935
RICHMOND COMMUNITY FOUNDATION
III CARE OF FFTC

Important Realized Gain/Loss Information

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a tax advisor as to any additional reporting requirements or adjustments. NO COST BASIS OR REALIZED GAIN/LOSS INFORMATION IS PROVIDED TO THE IRS, NOR IS THIS INFORMATION VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING SCHEDULE D OF YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

- Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different.
- The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security.
- Short sales are reportable on Form 1099-B before the position is closed.
- Implicit redemptions by the issuer of a structured product are reportable on Form 1099-B, but will not appear on the Realized Gain/Loss Statement if that implicit redemption did not result in a closed tax lot at that time.
- Securities subject to marked to market reporting requirements for those investors are not included here nor is that information available on our systems.
- Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form.
- Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

Realized Gain/Loss Summary		THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term		5,630.60	-395,020.12	-389,389.52
Long term		5,573.74	-468,972.48	-463,398.74
Total - Realized Gain/Loss		\$11,204.34	-\$863,992.60	-\$852,788.26

Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 8871-0935
 RICHMOND COMMUNITY FOUNDATION
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Realized Gain/Loss Detail for Year

Short Term DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
BRIDGEWAY FD INC							
ULTRA-SMALL CO TAX ADV	5,280.4170	15.8500	09/04/08	07/20/09	56,500.46	83,694.61	-27,194.15
	499.4760	9.4500	12/18/08	07/20/09	5,344.39	4,720.05	624.34
	119.8020	9.4500	12/18/08	07/20/09	1,281.89	1,132.13	149.76
BUFFALO SMALL CAP FD	246.4330	19.3100	06/03/09	07/20/09	4,889.23	4,758.63	130.60
CALAMOS GROWTH FUND							
CLASS A	3,013.4620	49.9600	08/27/08	07/20/09	109,509.21	150,552.58	-41,043.37
DWS CORE FIXED INCOME	5,018.2940	10.0400	09/04/08	07/20/09	44,160.99	50,383.68	-6,222.69
	180.4860	9.2500	10/28/08	10/22/09	1,626.17	1,669.50	-43.33
	168.1700	8.4800	11/24/08	10/22/09	1,515.21	1,426.08	89.13
	219.8230	8.7100	01/02/09	10/22/09	1,980.60	1,914.66	65.94
	144.9090	8.7200	01/27/09	10/22/09	1,305.63	1,263.61	42.02
	166.9690	8.7100	02/24/09	10/22/09	1,504.39	1,454.30	50.09
	162.5620	8.4900	03/26/09	10/22/09	1,464.68	1,380.15	84.53
	142.4570	8.5500	04/27/09	10/22/09	1,283.54	1,218.01	65.53
	111.1230	8.6500	05/26/09	10/22/09	1,001.22	961.21	40.01
	121.3020	8.6800	06/25/09	10/22/09	1,092.93	1,052.90	40.03
	129.2000	8.7900	07/28/09	10/22/09	1,164.10	1,135.67	28.43
	94.6150	8.9200	08/26/09	10/22/09	852.48	843.97	8.51
	99.0380	9.0200	09/25/09	10/22/09	892.35	893.32	-0.97
EATON VANCE INCOME FD							
OF BOSTON CLASS I	44,204.8980	5.6800	09/04/08	07/20/09	215,277.85	251,083.82	-35,805.97
	274.1250	5.2900	10/01/08	07/20/09	1,334.98	1,450.12	-115.14
	454.1800	4.3300	11/03/08	07/20/09	2,211.85	1,966.60	245.25

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**WELLS
FARGO**

Account Number: 8871-0935
RICHMOND COMMUNITY FOUNDATION
III CARE OF FFTC

Realized Gain/Loss

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As of Date: 2/05/10

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		498.0930	3.8600	12/01/08	07/20/09	2,425.71	1,922.64	503.07
		512.4360	3.9200	01/02/09	07/20/09	2,495.56	2,008.75	486.81
		499.2060	4.0800	02/02/09	07/20/09	2,431.13	2,036.76	394.37
		464.9400	4.0000	03/02/09	07/20/09	2,264.26	1,859.76	404.50
		518.5860	4.0100	04/01/09	07/20/09	2,525.52	2,079.53	445.99
		457.2720	4.4500	05/01/09	07/20/09	2,226.92	2,034.86	192.06
		453.9060	4.6700	06/01/09	07/20/09	2,210.52	2,119.74	90.78
		430.3830	4.8000	07/01/09	07/20/09	2,095.98	2,065.84	30.14
GOLDMAN SACHS TR								
FINL SQUARE MMKT FD CL I								
		165.1700	1.0000	07/01/08	06/17/09	165.17	165.17	0.00
		156.9700	1.0000	08/01/08	06/17/09	156.97	156.97	0.00
		94.115.6300	1.0000	08/27/08	06/17/09	94,115.63	94,115.63	0.00
		174.8500	1.0000	09/02/08	06/17/09	174.85	174.85	0.00
		335.4100	1.0000	10/01/08	06/17/09	335.41	335.41	0.00
		295.7000	1.0000	11/03/08	06/17/09	295.70	295.70	0.00
		235.7200	1.0000	12/01/08	06/17/09	235.72	235.72	0.00
		189.3900	1.0000	01/02/09	06/17/09	189.39	189.39	0.00
		166.6700	1.0000	02/02/09	06/17/09	166.67	166.67	0.00
		90.9900	1.0000	03/02/09	06/17/09	90.99	90.99	0.00
		13.5700	1.0000	03/31/09	06/17/09	13.57	13.57	0.00
		67.1300	1.0000	04/01/09	06/17/09	67.13	67.13	0.00
		60.4900	1.0000	05/01/09	06/17/09	60.49	60.49	0.00
		52.6000	1.0000	06/01/09	06/17/09	52.60	52.60	0.00

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Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 8871-0935
 RICHMOND COMMUNITY FOUNDATION
 III CARE OF FFTC

Short Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
GOLDMAN SACHS TR FINL SQUARE MONEY MKT FD INSTL CLASS		11,594.0200	1.0000	07/21/09	10/09/09	11,594.02	11,594.02	0.00
HARBOR FD INTL GROWTH FUND		20,614.4350	12.1800	09/04/08	07/20/09	201,403.03	251,083.82	-49,680.79
		244.0510	7.8800	12/23/08	07/20/09	2,384.38	1,923.12	461.26
HARBOR INTL FUND		1,858.9640	56.5100	09/04/08	07/20/09	84,099.53	105,050.06	-20,950.53
HARBOR SMALL CAP VALUE INSTITUTIONAL		7,638.6920	19.4700	08/27/08	07/20/09	105,872.28	148,725.33	-42,853.05
		36.6380	12.8000	12/23/08	07/20/09	507.80	468.97	38.83
		24.0930	12.8000	12/23/08	07/20/09	333.94	308.39	25.55
HARBOR SMALL COMPANY GROWTH FUND INV CL		15,791.4350	7.9500	09/04/08	07/20/09	89,537.44	125,541.91	-36,004.47
OPPENHEIMER MAIN STR SMALL CAP FUND CL Y		12,955.8790	19.4500	09/03/08	06/02/09	195,892.88	251,991.84	-56,098.96
		32.8370	11.8600	12/12/08	06/02/09	496.49	389.45	107.04
		30.7730	11.8600	12/12/08	06/02/09	465.30	364.97	100.33
RIVERSOURCE MID CAP VALUE FUND CL A		7,976.5250	8.0400	09/03/08	07/20/09	41,956.52	64,131.27	-22,174.75
RS GLOBAL NATURAL REOURCE		1,325.5500	36.4000	08/27/08	07/20/09	33,098.99	48,250.03	-15,151.04
		2,962.7270	32.3600	09/04/08	07/20/09	73,979.31	95,873.86	-21,894.55
		32.2440	19.1300	12/22/08	07/20/09	805.13	616.82	188.31
		26.9700	19.1300	12/22/08	07/20/09	673.44	515.93	157.51
		3.2360	19.1300	12/22/08	07/20/09	80.81	61.91	18.90
WESTERN ASSET CORE PLUS BOND FD CL-I		221.9630	10.0000	05/01/08	04/28/09	1,935.51	2,219.63	-284.12

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Account Number: 8871-0935
RICHMOND COMMUNITY FOUNDATION
III CARE OF FFTC

Short Term Continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	242.4720	9 8400	06/02/08	04/28/09	2,114.35	2,385.92	-271.57
	244.0540	9 5600	07/01/08	04/28/09	2,128.15	2,333.16	-205.01
	263.4140	9 4200	08/01/08	04/28/09	2,296.97	2,481.36	-184.39
	24,379.1350	9 4800	08/27/08	04/28/09	212,586.11	231,114.20	-18,528.09
	283.2290	9 4900	09/02/08	04/28/09	2,469.75	2,687.84	-218.09
	206.7190	9 1800	10/01/08	04/28/09	1,802.59	1,897.68	-95.09
	211.5540	8 5400	11/03/08	04/28/09	1,844.75	1,806.67	38.08
	216.0910	8 2100	12/01/08	04/28/09	1,884.31	1,774.11	110.20
	484.6730	8 6800	01/02/09	04/28/09	4,226.36	4,206.96	19.40
	150.9200	8 6200	02/02/09	04/28/09	1,316.02	1,300.93	15.09
	182.2450	8 2500	03/02/09	04/28/09	1,589.18	1,503.52	85.66
	218.9160	8 4800	04/01/09	04/28/09	1 908.96	1 856.41	52.55
Total - Short Term					\$1,652,274.34	\$2,041,663.86	-\$389,389.52

Long Term

Long term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	ARTIO GLBL INTL EQ II	15.886 0030	17 5100	07/11/07	07/20/09	167,279.61	278,163.92	-110,884.31
	CALAMOS GROWTH FUND							
	CLASS A							
		3,211 6440	61 6700	07/11/07	07/20/09	116,711.14	198,062 08	-81,350 94
		636 7540	56 9800	11/19/07	07/20/09	23 139 64	36,282 27	-13 142 63
	DWS CORE FIXED INCOME	28,326 1700	10.0400	09/04/08	10/22/09	255,218.79	284,394.74	-29,175.95
		102.5630	9.7800	09/25/08	10/22/09	924.09	1,003 07	-78.98
	GOLDMAN SACHS TR							
	FINL SQUARE MMKT FD CL I	10,460.6000	1.0000	07/11/07	01/09/09	10,460.60	10,460 60	0.00
		9,794.1800	1.0000	07/11/07	04/09/09	9,794 18	9,794.18	0.00

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Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 8871-0935
 RICHMOND COMMUNITY FOUNDATION
 III CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		34,200.6500	1.0000	07/11/07	06/17/09	34,200.65	34,200.65	0.00
		316.6400	1.0000	08/01/07	06/17/09	316.64	316.64	0.00
		483.5500	1.0000	09/04/07	06/17/09	483.55	483.55	0.00
		478.0900	1.0000	10/01/07	06/17/09	478.09	478.09	0.00
		453.0800	1.0000	11/01/07	06/17/09	453.08	453.08	0.00
		401.8200	1.0000	12/03/07	06/17/09	401.82	401.82	0.00
		412.1300	1.0000	01/02/08	06/17/09	412.13	412.13	0.00
		368.1100	1.0000	02/01/08	06/17/09	368.11	368.11	0.00
		270.5800	1.0000	03/03/08	06/17/09	270.58	270.58	0.00
		246.1600	1.0000	04/01/08	06/17/09	246.16	246.16	0.00
		198.4700	1.0000	05/01/08	06/17/09	198.47	198.47	0.00
		177.4000	1.0000	06/02/08	06/17/09	177.40	177.40	0.00
HARBOR SMALL CAP VALUE INSTITUTIONAL		97.4630	22.2500	07/11/07	07/20/09	1,350.83	2,168.55	-817.72
		65.1010	19.4700	12/21/07	07/20/09	902.29	1,267.52	-365.23
		758.0670	19.4700	12/21/07	07/20/09	10,506.81	14,759.57	-4,252.76
HOTCHKIS & WILEY CORE VALUE FD CL-I		11,274.0090	15.2800	07/11/07	07/20/09	78,579.84	172,266.86	-93,687.02
PIMCO TOTAL RETURN INST CL		884.0080	10.1500	07/11/07	07/10/09	9,343.96	8,972.69	371.27
		13,690.7180	10.1500	07/11/07	07/20/09	144,163.26	138,960.79	5,202.47
RS GLOBAL NATURAL RESOURCE		3,127.4260	37.8300	07/11/07	07/20/09	78,091.82	118,310.54	-40,218.72
		0.2380	36.8100	12/24/07	07/20/09	5.94	8.76	-2.82
		204.9010	36.8100	12/24/07	07/20/09	5,116.37	7,542.41	-2,426.04

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Realized Gain/Loss

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As of Date: 2/05/10

WELLS
FARGO

Account Number: 8871-0935
 RICHMOND COMMUNITY FOUNDATION
 III CARE OF FFTC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
TCW RELATIVE VALUE		8,510 3120	17.7400	07/11/07	07/20/09	85,358.43	150,972.94	-65,614.51
WESTERN ASSET CORE PLUS BOND FD CL-I		16,565 5770	10.1600	07/11/07	04/28/09	144,451.83	168,306.26	-23,854.43
		151 9930	10.1100	08/01/07	04/28/09	1,325.37	1,536.65	-211.28
		230.2450	10.1600	09/04/07	04/28/09	2,007.73	2,339.29	-331.56
		225.0090	10.2600	10/03/07	04/28/09	1,962.07	2,308.59	-346.52
		219 0660	10.2700	11/01/07	04/28/09	1,910.25	2,249.81	-339.56
		223.8750	10.2400	12/03/07	04/28/09	1,952.19	2,292.48	-340.29
		227.7420	10.1900	01/02/08	04/28/09	1,985.91	2,320.69	-334.78
		228.7750	10.3200	02/01/08	04/28/09	1,994.91	2,360.96	-366.05
		212 2610	10.0800	03/03/08	04/28/09	1,850.91	2,139.59	-288.68
		235.0510	9.8900	04/01/08	04/28/09	2,049.64	2,324.65	-275.01
Total - Long Term						\$1,197,007.48	\$1,660,406.22	-\$463,398.74

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2009 Tax Information Statement

Account Number: F001082
 Recipient's Tax ID number: 56-2168849
 Payer's Federal ID number: 56-0223430
 Questions? (336)625-4012
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
220.0000	009158106	AIR PRODS & CHEMS INC	12/11/2008	05/06/2009	14,334.83	11,125.40	3,209.43	0.00
250.0000	009158106	AIR PRODS & CHEMS INC	12/11/2008	09/28/2009	19,612.99	12,642.50	6,970.49	0.00
707.3820	140543109	AMERICAN CAPITAL WORLD G&I-A	04/28/2008	02/02/2009	16,807.40	30,403.28	-13,595.88	0.00
5000.0000	140543109	AMERICAN CAPITAL WORLD G&I-A	VARIOUS	02/27/2009	110,700.00	211,556.35	-100,856.35	0.00
35.0000	037833100	APPLE INC	11/11/2008	05/06/2009	4,614.65	3,237.50	1,377.15	0.00
50.0000	037833100	APPLE INC	11/11/2008	09/21/2009	9,165.26	4,625.00	4,540.26	0.00
250.0000	037833100	APPLE INC	11/11/2008	09/28/2009	46,249.81	23,125.00	23,124.81	0.00
1995.0000	00206R102	AT & T INC	12/11/2008	03/02/2009	46,363.53	56,019.60	-9,656.07	0.00
75000.0000	00206RAM4	AT&T INC 5.600% 5/15/18	09/11/2008	01/09/2009	75,907.50	74,920.50	987.00	0.00
50.0000	054937107	BB&T CORP COM	04/20/2009	09/21/2009	1,424.46	1,023.92	400.54	0.00
250.0000	054937107	BB&T CORP COM	04/20/2009	09/28/2009	6,847.32	5,119.58	1,727.74	0.00
1080.0000	055622104	BP AMOCO P L C SPNSD ADR	01/20/2009	05/06/2009	49,504.83	44,762.06	4,742.77	0.00
500.0000	055622104	BP AMOCO P L C SPNSD ADR	01/20/2009	09/22/2009	27,720.03	20,723.17	6,996.86	0.00
500.0000	055622104	BP AMOCO P L C SPNSD ADR	01/20/2009	09/28/2009	26,624.36	20,723.18	5,901.18	0.00
2840.0000	110122108	BRISTOL MYERS SQUIBB CO	VARIOUS	03/02/2009	50,949.80	56,617.50	-5,667.70	0.00
500.0000	110122108	BRISTOL MYERS SQUIBB CO	11/12/2008	09/28/2009	11,335.70	9,750.00	1,585.70	0.00

2009 Tax Information Statement

Account Number: F001082
Recipient's Tax ID number: 56-2168849
Payer's Federal ID number: 56-0223430
Questions? (336)625-4012
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal	
								Income Tax	Withheld
75000.0000	141784DG0	CARGILL INC 5.200% 1/22/13	01/06/2009	07/14/2009	75,750.00	72,582.13	3,167.87	0.00	0.00
75000.0000	144141CT3	CAROLINA P&L 5.125% 9/15/13	10/21/2008	01/09/2009	76,875.00	72,080.41	4,794.59	0.00	0.00
6595.6430	197199409	COLUMBIA ACORN FUND-Z	VARIOUS	02/27/2009	98,209.12	184,456.89	-86,247.77	0.00	0.00
1121.5380	19765Y514	COLUMBIA VALUE & RESTRUCT-Z	VARIOUS	02/18/2009	29,743.19	60,352.91	-30,609.72	0.00	0.00
50000.0000	20030NAR2	COMCAST CORP 5.875% 2/15/18	07/06/2009	08/04/2009	52,341.00	51,375.00	966.00	0.00	0.00
67000.0000	205887AW2	CONAGRA FOODS INC 7.875% 9/15/10	03/13/2009	04/17/2009	71,522.50	70,718.50	804.00	0.00	0.00
75000.0000	126650AU4	CVS CAREMARK CORP 4.000% 9/15/09	03/09/2009	06/18/2009	75,222.00	75,623.25	-401.25	0.00	0.00
1090.0000	25746U109	DOMINION RES INC VA	12/08/2008	03/02/2009	31,926.16	38,232.04	-6,305.88	0.00	0.00
3145.0000	263534109	DU PONT E I DE NEMOURS & CO	12/11/2008	02/27/2009	58,814.90	81,505.40	-22,690.50	0.00	0.00
1650.0000	263534109	DU PONT E I DE NEMOURS & CO	VARIOUS	08/17/2009	51,379.44	42,107.81	9,271.63	0.00	0.00
100.0000	263534109	DU PONT E I DE NEMOURS & CO	01/20/2009	09/21/2009	3,376.06	2,354.00	1,022.06	0.00	0.00
500.0000	263534109	DU PONT E I DE NEMOURS & CO	01/20/2009	09/28/2009	16,364.62	11,770.00	4,594.62	0.00	0.00
30000.0000	264399EQ5	DUKE ENERGY CORP 6.300% 2/01/14	04/20/2009	07/01/2009	32,135.10	31,762.50	372.60	0.00	0.00
2500.0000	26441C105	DUKE ENERGY HOLDING CORP	11/12/2008	03/02/2009	32,776.15	38,125.00	-5,348.85	0.00	0.00
250.0000	291011104	EMERSON ELECTRIC COM	12/11/2008	09/21/2009	10,060.42	7,996.44	2,063.98	0.00	0.00
250.0000	291011104	EMERSON ELECTRIC COM	12/11/2008	09/28/2009	9,842.92	7,996.44	1,846.48	0.00	0.00
1030.0000	30161N101	EXELON CORP	12/11/2008	03/02/2009	48,049.29	56,214.02	-8,164.73	0.00	0.00
50000.0000	31428XAP1	FEDEX 5.500% 8/15/09	02/18/2009	08/15/2009	50,000.00	50,707.50	-707.50	0.00	0.00
100000.0000	3133XRQF0	FHLB 3.150% 7/14/09	06/23/2008	01/14/2009	100,000.00	100,000.00	0.00	0.00	0.00

2009 Tax Information Statement

Account Number: F001082
 Recipient's Tax ID number: 56-2168849
 Payer's Federal ID number: 56-0223430
 Questions? (336)625-4012
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)			
100000.0000	3133XRTY6	FHLB 5.350% 8/06/15	07/11/2008	02/06/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRRP7	FHLB 5.740% 7/23/18	06/27/2008	01/29/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XJZ84	FHLB 5.750% 3/13/17	11/04/2008	03/13/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRV31	FHLB 5.800% 8/13/18	07/17/2008	02/23/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRNLO	FHLB 5.850% 7/09/18	10/21/2008	07/09/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XCWZ2	FHLB 5.850% 9/08/20	08/26/2008	01/26/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XUG80	FHLB V-A 4.000% 8/20/19	08/05/2009	11/20/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XUMC4	FHLB V-A 4.000% 8/27/19	08/07/2009	11/27/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XUKW2	FHLB V-A 4.125% 8/27/19	08/06/2009	11/27/2009	100,000.00	100,000.00	0.00	0.00
75000.0000	337358AV7	FIRST UNION CORP 6.375% 1/15/09	05/30/2008	01/15/2009	75,000.00	76,389.00	-1,389.00	0.00
1248.8550	302544101	FPA NEW INCOME FUND	10/29/2008	02/02/2009	13,762.37	13,625.01	137.36	0.00
6500.0000	302544101	FPA NEW INCOME FUND	10/29/2008	06/17/2009	71,695.00	70,914.99	780.01	0.00
500.0000	302544101	FPA NEW INCOME FUND	10/29/2008	09/21/2009	5,535.00	5,455.00	80.00	0.00
3000.0000	369604103	GENERAL ELECTRIC CORP COM	11/07/2008	02/27/2009	25,533.45	55,470.00	-29,936.55	0.00
50000.0000	38144LAB6	GOLDMAN SACHS GP 6.250% 9/01/17	07/23/2008	05/11/2009	48,101.00	49,000.00	-899.00	0.00
15000.0000	416641207	HARTFORD GROWTH OPPORT-I	06/24/2008	01/20/2009	243,450.00	439,500.01	-196,050.01	0.00
1889.8820	416641207	HARTFORD GROWTH OPPORT-I	06/24/2008	02/02/2009	31,296.46	55,373.54	-24,077.08	0.00
10360.1180	416641207	HARTFORD GROWTH OPPORT-I	VARIOUS	02/11/2009	176,225.62	303,019.79	-126,794.17	0.00
2750.0000	416641207	HARTFORD GROWTH OPPORT-I	07/03/2008	02/18/2009	44,632.49	77,330.00	-32,697.51	0.00

2009 Tax Information Statement

Account Number: F001082
 Recipient's Tax ID number: 56-2168849
 Payer's Federal ID number: 56-0223430
 Questions? (336)625-4012
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)	(Box 4)			
1,155,000	423074103	HEINZ H J CO	12/11/2008	03/02/2009	36,632.48	41,792.69	-5,160.21	0.00
500,000	423074103	HEINZ H J CO	12/11/2008	09/28/2009	19,974.48	18,092.07	1,882.41	0.00
500,000	437076AN2	HOME DEPOT INC 5.200% 3/01/11	01/05/2009	03/03/2009	50,080.25	49,950.00	130.25	0.00
250,000	G4776G101	INGERSOLL-RAND CO CL A	04/20/2009	05/06/2009	5,780.35	4,042.36	1,737.99	0.00
2125,000	G47791101	INGERSOLL-RAND PLC	04/20/2009	07/28/2009	57,880.69	34,360.05	23,520.64	0.00
6375,000	G47791101	INGERSOLL-RAND PLC	04/20/2009	08/11/2009	176,633.07	103,080.14	73,552.93	0.00
55000,000	7710562	INTL MULTIFOODS 6.602% 11/13/09	11/18/2008	11/13/2009	55,000.00	55,550.00	-550.00	0.00
25000,000	46849LSB8	JACKSON NAT LIFE MTN 5.375% 5/08/13	02/13/2009	09/23/2009	25,500.00	23,596.15	1,903.85	0.00
480,000	478160104	JOHNSON & JOHNSON COM	12/08/2008	03/02/2009	23,275.07	27,935.23	-4,660.16	0.00
903,1790	487300501	KEELEY SMALL CAP VAL FD-A	07/03/2008	02/27/2009	11,036.85	25,000.00	13,963.15	0.00
4191,3030	487300501	KEELEY SMALL CAP VAL FD-A	VARIOUS	08/13/2009	76,868.50	65,424.42	11,444.08	0.00
50000,0000	492386AS6	KERR-MCGEE CORP 6.875% 9/15/11	02/25/2009	07/06/2009	52,550.50	51,259.00	1,291.50	0.00
5656,5480	56062X708	MAINSTAY HIGH YIELD CORPORATE BD	04/28/2008	02/02/2009	25,397.90	33,925.25	-8,527.35	0.00
7500,0000	56062X708	MAINSTAY HIGH YIELD CORPORATE BD	VARIOUS	04/20/2009	34,950.00	44,759.61	-9,809.61	0.00
100000,0000	58013MEB6	MCDONALD'S CORP 5.800% 10/15/17	VARIOUS	02/26/2009	105,825.00	100,284.00	5,541.00	0.00
75000,0000	589331AK3	MERCK & CO INC 4.750% 3/01/15	10/10/2008	01/08/2009	77,250.00	72,674.48	4,575.52	0.00
1240,0000	589331107	MERCK & CO INC COM	04/20/2009	07/29/2009	37,174.31	31,507.74	5,666.57	0.00
10,0000	589331107	MERCK & CO INC COM	04/20/2009	09/21/2009	316.59	254.09	62.50	0.00
500,0000	589331107	MERCK & CO INC COM	04/20/2009	09/28/2009	15,904.59	12,704.74	3,199.85	0.00

2009 Tax Information Statement

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Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
75000.0000	590188JP4	MERRILL LYNCH & CO 6.000%	09/25/2008	02/17/2009	75,000.00	75,000.00	0.00	0.00
100000.0000	637432CV5	NATL RURAL UTIL 5.750% 8/28/09	03/10/2009	08/28/2009	100,000.00	101,684.00	-1,684.00	0.00
50000.0000	64603RTR8	NEW JERSEY ST 4.684% 9/01/12	01/05/2009	08/13/2009	52,005.00	50,225.00	1,780.00	0.00
875.0000	654106103	NIKE INC CLASS B COM	11/07/2008	03/02/2009	35,176.15	42,218.75	-7,042.60	0.00
250.0000	654106103	NIKE INC CLASS B COM	11/07/2008	09/21/2009	14,634.62	12,062.50	2,572.12	0.00
250.0000	654106103	NIKE INC CLASS B COM	11/07/2008	09/22/2009	14,640.30	12,062.50	2,577.80	0.00
250.0000	654106103	NIKE INC CLASS B COM	11/07/2008	09/28/2009	14,697.12	12,062.50	2,634.62	0.00
75000.0000	629491AA9	NYSE EURONEXT 4.800% 6/28/13	12/30/2008	04/22/2009	75,750.00	75,150.00	600.00	0.00
250.0000	713448108	PEPSICO INC COM	11/07/2008	09/21/2009	14,779.99	13,812.50	967.49	0.00
2070.0000	717081103	PFIZER INC	12/11/2008	03/02/2009	24,467.26	34,010.10	-9,542.84	0.00
1875.0000	717081103	PFIZER INC	12/11/2008	08/10/2009	29,755.34	30,806.25	-1,050.91	0.00
125.0000	717081103	PFIZER INC	12/11/2008	09/28/2009	2,072.78	2,053.75	19.03	0.00
14089.9350	693390700	PIMCO TOTAL RETURN FUND-INST	04/28/2008	04/22/2009	143,294.64	152,875.80	-9,581.16	0.00
1500.0000	693390700	PIMCO TOTAL RETURN FUND-INST	04/28/2008	04/28/2009	15,315.00	16,275.00	-960.00	0.00
1510.9720	693390700	PIMCO TOTAL RETURN FUND-INST	12/10/2008	06/17/2009	15,759.44	14,958.63	800.81	0.00
75000.0000	72447XAC1	PITNEY BOWES INC MTN 5.750% 9/15/17	06/20/2008	04/28/2009	76,125.00	75,375.00	750.00	0.00
820.0000	743263105	PROGRESS ENERGY INC	11/11/2008	03/02/2009	28,662.83	31,324.00	-2,661.17	0.00
75000.0000	74367CFS9	PROTECTIVE LIFE MTN 5.000% 6/15/11	06/19/2008	06/10/2009	75,225.00	75,000.00	225.00	0.00
50000.0000	78387GAN3	SBC COMMUNICATIONS 4.125% 9/15/09	11/19/2008	06/17/2009	50,300.00	49,971.19	328.81	0.00

2009 Tax Information Statement

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Account Number: F001082
 Recipient's Tax ID number: 56-2168849
 Payer's Federal ID number: 56-0223430
 Questions? (336)625-4012
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Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)		(Box 1a)	(Box 2)			(Box 4)
200.9310	814219887	SECURITY EQUITY MID CAP VALUE-A	04/28/2008	01/21/2009	3,807.64	6,001.81	-2,194.17	0.00
7500.0000	814219887	SECURITY EQUITY MID CAP VALUE-A	VARIOUS	02/27/2009	127,275.00	213,232.61	-85,957.61	0.00
30000.0000	843646AF7	SOUTHERN PWR CO 4.875% 7/15/15	04/20/2009	07/06/2009	29,100.00	28,750.20	349.80	0.00
500.0000	847560109	SPECTRA ENERGY CORP WI	11/11/2008	09/21/2009	9,669.75	8,350.00	1,319.75	0.00
1500.0000	847560109	SPECTRA ENERGY CORP WI	11/11/2008	09/22/2009	29,430.89	25,050.00	4,380.89	0.00
500.0000	87612E106	TARGET CORP	11/07/2008	05/06/2009	20,569.47	17,995.00	2,574.47	0.00
1815.0000	87612E106	TARGET CORP	11/07/2008	08/07/2009	77,631.12	65,321.85	12,309.27	0.00
1110.0000	87612E106	TARGET CORP	VARIOUS	08/18/2009	49,062.75	39,280.65	9,782.10	0.00
2575.0000	87612E106	TARGET CORP	VARIOUS	10/09/2009	127,794.05	91,972.53	35,821.52	0.00
50000.0000	87612EAT3	TARGET CORP 5.125% 1/15/13	10/22/2008	01/06/2009	50,125.00	47,739.05	2,385.95	0.00
2003.3680	87234N880	TCW T/R BOND.FD-I	10/29/2008	06/17/2009	19,112.13	18,671.39	440.74	0.00
25000.0000	89233PC46	TOYOTA MTR CRED MTN 5.750% 2/17/17	07/29/2008	04/24/2009	24,850.00	25,000.00	-150.00	0.00
2650.0000	H8817H100	TRANSOCEAN LTD	VARIOUS	05/28/2009	208,840.47	188,812.50	20,027.97	0.00
150.0000	H8817H100	TRANSOCEAN LTD	06/22/2009	10/08/2009	13,829.65	11,025.26	2,804.39	0.00
1039.1830	904199700	UMB SCOUT SMALL CAP FUND	VARIOUS	02/27/2009	9,393.87	13,675.05	-4,281.18	0.00
75000.0000	913017BM0	UNITED TECH CORP 5.375% 12/15/17	10/31/2008	01/12/2009	75,513.75	69,311.54	6,202.21	0.00
250.0000	913017109	UNITED TECHNOLOGIES CORP	12/11/2008	09/28/2009	15,497.10	11,764.29	3,732.81	0.00
75000.0000	92343VAM6	VERIZON COMM INC 6.100% 4/15/18	06/16/2008	01/16/2009	75,562.50	75,348.00	214.50	0.00
1035.0000	983024100	WYETH	12/11/2008	03/02/2009	41,855.16	36,731.43	5,123.73	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Account Number: F001082
 Recipient's Tax ID number: 56-2168849
 Payer's Federal ID number: 56-0223430
 Questions? (336)625-4012
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
3000.0000	983024100	WYETH	VARIOUS	05/06/2009	129,268.32	111,509.10	17,759.22	0.00
Total Short Term Sales Reported on 1099-B					5,961,661.44	6,467,073.87	-505,412.43	0.00
Long Term 15% Sales Reported on 1099-B								
5327.1100	140543109	AMERICAN CAPITAL WORLD G&I-A	12/26/2007	01/20/2009	125,240.36	238,814.37	-113,574.01	0.00
1792.6180	140543109	AMERICAN CAPITAL WORLD G&I-A	VARIOUS	02/02/2009	42,592.61	80,286.98	-37,694.37	0.00
75000.0000	039483AY8	ARCHER DANIELS 5 450% 3/15/18	06/16/2008	06/18/2009	75,202.50	73,500.00	1,702.50	0.00
75000.0000	079860AF9	BELLSOUTH CORP 4.200% 9/15/09	05/30/2008	06/18/2009	75,450.00	75,402.00	48.00	0.00
370.1870	197199409	COLUMBIA ACORN FUND-Z	09/20/2005	01/20/2009	5,915.59	10,387.45	-4,471.86	0.00
4904.3570	197199409	COLUMBIA ACORN FUND-Z	VARIOUS	02/27/2009	73,025.88	142,470.69	-69,444.81	0.00
2500.0000	19765Y514	COLUMBIA VALUE & RESTRUCT-Z	11/01/2007	01/20/2009	66,000.00	147,503.41	-81,503.41	0.00
2145.2920	19765Y514	COLUMBIA VALUE & RESTRUCT-Z	11/01/2007	02/02/2009	58,995.53	126,575.16	-67,579.63	0.00
5354.7080	19765Y514	COLUMBIA VALUE & RESTRUCT-Z	11/01/2007	02/11/2009	154,269.14	315,935.08	-161,665.94	0.00
1378.4620	19765Y514	COLUMBIA VALUE & RESTRUCT-Z	VARIOUS	02/18/2009	36,556.81	79,318.30	-42,761.49	0.00
50000.0000	260543BV4	DOW CHEMICAL CO 5 700% 5/15/18	07/10/2008	11/17/2009	50,000.00	49,500.00	500.00	0.00
100000.0000	3133XRSQ4	FHLB 5 375% 7/23/15	07/02/2008	07/23/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRML1	FHLB 5 500% 6/26/15	06/13/2008	06/26/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRW97	FHLB 5 500% 8/19/15	07/22/2008	08/19/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRLE81	FHLB 5 700% 7/02/18	06/16/2008	07/09/2009	100,000.00	100,000.00	0.00	0.00
100000.0000	3133XRNL0	FHLB 5 850% 7/09/18	06/17/2008	07/09/2009	100,000.00	100,000.00	0.00	0.00

2009 Tax Information Statement

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Payer's Name and Address
 COMMUNITYONE BANK, N.A.
 101 SUNSET AVENUE PO BOX 1328
 ASHEBORO, NC 27204-1328

Account Number: F001082
Recipient's Tax ID number: 56-2168849
Payer's Federal ID number: 56-0223430
Questions? (336)625-4012

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Recipient's Name and Address
 RICHMOND COMMUNITY FOUNDATION,
 INC
 217 S. TRYON STREET
 CHARLOTTE, NC 28202

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100000.0000	3133XRV49	FHLB 6.000% 8/07/23	07/17/2008	08/07/2009	100,000.00	100,000.00	0.00	0.00
23.0860	487300501	KEELEY SMALL CAP VAL FD-A	09/07/2006	01/20/2009	326.67	545.29	-218.62	0.00
9346.8210	487300501	KEELEY SMALL CAP VAL FD-A	VARIOUS	02/27/2009	114,218.15	223,277.82	-109,059.67	0.00
100000.0000	52517PVM0	LEHMAN BROS MTN IN DEFAULT 11/30/10	05/15/2008	08/31/2009	14,500.00	98,531.00	-84,031.00	0.00
75000.0000	61746BCW4	MORGAN STANLEY MTN 5.625% 1/09/12	08/26/2008	10/15/2009	78,750.00	74,504.68	4,245.32	0.00
1888.1540	6933390700	PIMCO TOTAL RETURN FUND-INST	VARIOUS	02/02/2009	19,221.40	19,665.64	-444.24	0.00
1910.0650	6933390700	PIMCO TOTAL RETURN FUND-INST	VARIOUS	04/22/2009	19,425.36	20,106.06	-680.70	0.00
2500.0000	6933390700	PIMCO TOTAL RETURN FUND-INST	04/28/2008	05/07/2009	25,700.01	27,125.00	-1,424.99	0.00
2500.0000	6933390700	PIMCO TOTAL RETURN FUND-INST	04/28/2008	06/05/2009	25,775.00	27,125.00	-1,350.00	0.00
2500.0000	6933390700	PIMCO TOTAL RETURN FUND-INST	VARIOUS	06/16/2009	26,075.00	26,991.87	-916.87	0.00
3489.0280	6933390700	PIMCO TOTAL RETURN FUND-INST	05/30/2008	06/17/2009	36,390.56	37,576.83	-1,186.27	0.00
5729.0170	904199700	UMB SCOUT SMALL CAP FUND	VARIOUS	02/27/2009	51,788.39	106,144.89	-54,356.50	0.00
419.9720	922031307	VANGUARD GNMA FUND #36	12/26/2003	02/03/2009	4,422.31	4,418.11	4.20	0.00
5500.0000	922031307	VANGUARD GNMA FUND #36	VARIOUS	04/22/2009	58,685.00	57,757.69	927.31	0.00
1500.0000	922031307	VANGUARD GNMA FUND #36	12/31/2003	04/28/2009	16,035.00	15,750.00	285.00	0.00
2500.0000	922031307	VANGUARD GNMA FUND #36	VARIOUS	05/07/2009	26,650.00	26,136.92	513.08	0.00
2500.0000	922031307	VANGUARD GNMA FUND #36	VARIOUS	06/05/2009	26,350.01	26,022.09	327.92	0.00
7500.0000	922031307	VANGUARD GNMA FUND #36	VARIOUS	06/17/2009	79,500.00	77,127.86	2,372.14	0.00
Total Long Term 15% Sales Reported on 1099-B					1,987,061.29	2,808,500.19	-821,438.90	0.00

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