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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE ROTH FAMILY FOUNDATION
C/O COMERICA BANK & TRUST NA, TRUSTE

Number and street (or P O box number if mail is not delivered to street address) Room/suite
P.O. BOX 75000 M/C 3302

City or town, state, and ZIP code
DETROIT, MI 48275-3302

A Employer identification number
56-2065972

B Telephone number
252-467-4743

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 307,262. (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	8,386.	8,386.		Statement 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-16,079.			
b	Gross sales price for all assets on line 6a	437,489.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications Gross sales less returns and allowances				
10a					
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total Add lines 1 through 11	-7,693.	8,386.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees Stmt 2	5,782.	6,882.		900.
17	Interest				
18	Taxes Stmt 3	-561.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	176.	0.		176.
22	Printing and publications				
23	Other expenses Stmt 4	300.	0.		300.
24	Total operating and administrative expenses Add lines 13 through 23	5,697.	6,882.		1,376.
25	Contributions, gifts, grants paid	15,400.			15,400.
26	Total expenses and disbursements Add lines 24 and 25	21,097.	6,882.		16,776.
27	Subtract line 26 from line 12	-28,790.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		1,504.		
c	Adjusted net income (if negative, enter -0-)			N/A	

THE ROTH FAMILY FOUNDATION
C/O COMERICA BANK & TRUST NA, TRUSTE

56-2065972

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,883.		
	2 Savings and temporary cash investments	198,067.	10,445.	10,445.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 5	89,344.	253,059.	296,817.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	292,294.	263,504.	307,262.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	352,469.	352,469.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	-60,175.	-88,965.		
30 Total net assets or fund balances	292,294.	263,504.		
31 Total liabilities and net assets/fund balances	292,294.	263,504.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	292,294.
2 Enter amount from Part I, line 27a	2	-28,790.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	263,504.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	263,504.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED	P		
b NON CASH RECEIPT	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 432,251.		453,568.	-21,317.
b 5,238.			5,238.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-21,317.
b			5,238.
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-16,079.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	21,014.	350,525.	.059950
2007	20,759.	410,020.	.050629
2006	19,927.	390,916.	.050975
2005	20,640.	378,841.	.054482
2004	18,755.	368,689.	.050869

2 Total of line 1, column (d)	2	.266905
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053381
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	273,068.
5 Multiply line 4 by line 3	5	14,577.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15.
7 Add lines 5 and 6	7	14,592.
8 Enter qualifying distributions from Part XII, line 4	8	16,776.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	15.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	15.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	15.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	96.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	96.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	81.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 16. Refunded <input checked="" type="checkbox"/> 65.	11	65.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **NONE**

14 The books are in care of ► **COMERICA BANK & TRUST NA** Telephone no. ► **313-222-3304**
 Located at ► **500 WOODWARD 21ST FLOOR, DETROIT, MI** ZIP+4 ► **48226**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COMERICA BANK & TRUST NA	TRUSTEE			
P.O. BOX 75000 M/C 5262				
DETROIT, MI 482755262	1.50	0.	0.	0.
ROBERT C ROTH	EXECUTIVE DIRECTOR/SECRETARY			
8601 SIX FORKS RD, SUITE 300				
RALEIGH, NC 27615	0.25	0.	0.	0.
LUANNE L. ROTH	ASST. EXECUTIVE DIRECTOR/T			
8601 SIX FORKS RD, SUITE 300				
RALEIGH, NC 27615	0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	277,226.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	277,226.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	277,226.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,158.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	273,068.
6	Minimum investment return. Enter 5% of line 5	6	13,653.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	13,653.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	15.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	15.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,638.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	13,638.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,638.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,776.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16,776.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	15.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16,761.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				13,638.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				3,676.
f Total of lines 3a through e	3,676.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 16,776.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				13,638.
e Remaining amount distributed out of corpus	3,138.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,814.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	6,814.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				3,676.
e Excess from 2009				3,138.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SHARON STEPHENS, 252-467-4743
P.O. BOX 75000 M/C 5262, DETROIT, MI 482755262

b The form in which applications should be submitted and information and materials they should include:

LETTER OF REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SCHEDULE ATTACHED	NONE	EXEMPT	GENERAL OPERATING FUND	15,400.
Total			▶ 3a	15,400.
b Approved for future payment None				
Total			▶ 3b	0.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

02-02-10				12	Form 990 (2009)
13160420	756897	56-2065972	2009.03040	THE ROTH FAMILY FOUNDATION	56-20651

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

COMERICA BANK

APR 29 2010

TRUSTEE

Signature of officer or trustee

Date _____

Title

Sign Here

Paid Preparer's Use Only

Preparer's
signature

Firm's name (or yours if self-employed),
address, and ZIP code

COMERICA BANK
P.O. BOX 75000 M/C 3302
DETROIT, MI 48275

Date 4/

Check if self- employed	
-------------------------------	--

EIN ▶

Preparer's identifying number

Phone no. 586-795-2037

Form **990-PF** (2009)

Form 990-PF	Dividends and Interest from Securities	Statement	1
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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
DIVIDENDS	4,140.	0.	4,140.
INTEREST	4,246.	0.	4,246.
Total to Fm 990-PF, Part I, ln 4	8,386.	0.	8,386.

Form 990-PF	Other Professional Fees	Statement	2
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
TRUSTEE FEE	3,228.	3,228.		0.
TAX PREPARATION FEE	900.	0.		900.
INVESTMENT MANAGEMENT FEE	1,654.	3,654.		0.
To Form 990-PF, Pg 1, ln 16c	5,782.	6,882.		900.

Form 990-PF	Taxes	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE	-561.	0.		0.
To Form 990-PF, Pg 1, ln 18	-561.	0.		0.

Form 990-PF	Other Expenses	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SUPPLIES	300.	0.		300.
To Form 990-PF, Pg 1, ln 23	300.	0.		300.

Form 990-PF	Other Investments	Statement	5
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Description	Valuation Method	Book Value	Fair Market Value
MARKETABLE SECURITIES	COST	253,059.	296,817.
Total to Form 990-PF, Part II, line 13		253,059.	296,817.

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
25 TRUSTEE/EXECUTOR FEES-INCOME (ALLOCABLE) (CONTINUED)				
02/13/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 01/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090200008
03/13/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 02/28/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090300006
04/14/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 03/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090400007
05/14/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 04/30/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090500006
06/12/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 05/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090600006
07/14/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 06/30/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090700007
08/14/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 07/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090800006
09/15/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 08/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		090900008
10/15/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 09/30/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		091000007
11/16/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 10/31/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		091100006
12/14/09 TRUSTEE FEES PAID TO COMERICA BANK N A FOR THE PERIOD ENDIN G 11/30/2009 PAID TO COMERICA BANK N A TR TRAN#=0000000000000002 TR CD=500 REG= PORT=INC	-125 00	-125 00		091200015
TOTAL CODE 25 - TRUSTEE/EXECUTOR FEES-INCOME (ALLOCABLE)	-1561 66	-1666 66	105 00	

102 CHARITABLE DEDUCTION - CURRENT INCOME

06/12/09 GIFT PAID TO KAPPA KAPPA GAMMA FOUNDATION LUANNE LINDEMANN R OTH 233952, 123-444 EPSILON GAMMA GIFT FROM THE ROTH FAMILY FOUN PAID TO KAPPA KAPPA GAMMA FOUNDATION TR TRAN#=0000000000000003 TR CD=751 REG= PORT=PRIN	-100 00	-100 00	0906000007	**CHANGED** 01/14/10 LAA
06/12/09 GIFT PAID TO UNC SCHOOL OF EDUCATION FOUNDATION LUANNE LINDE MANN ROTH GIFT FROM THE ROTH FAMILY FOUN PAID TO UNC SCHOOL OF EDUCATION FOUNDATION TR TRAN#=0000000000000004 TR CD=751 REG= PORT=PRIN	-250 00	-250 00	0906000008	**CHANGED** 01/14/10 LAA
06/12/09 GIFT PAID TO ST MICHAEL THE ARCHANGEL CATHOLIC CHURCH GIFT FROM THE ROTH FAMILY FOUN PAID TO ST MICHAEL THE ARCHANGEL CATHOLIC CHURC TR TRAN#=0000000000000005 TR CD=751 REG= PORT=PRIN	-3500 00	-3500 00	0906000009	**CHANGED** 01/14/10 LAA

ACCT 9046 4045006354
PREP 3791 ADMN 1007 INV 6686

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TAX TRANSACTION DETAIL

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TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)				
06/12/09 GIFT PAID TO DIOCESE OF RALEIGH BISHOP'S ANNUAL APPEAL ROBERT C ROTH # 3516 GIFT FROM THE ROTH FAMILY FOUN PAID TO DIOCESE OF RALEIGH TR TRAN#000000000000006 TR CD=751 REG= PORT=PRIN	-450 00		-450 00 0906000010 **CHANGED** 01/14/10 LAA	
06/12/09 GIFT PAID TO STRAKE JESUIT COLLEGE PREPARATORY ROBERT C ROT H '71 ALUMNUS GIFT FROM THE ROTH FAMILY FOUN PAID TO STRAKE JESUIT COLLEGE PREPARATORY TR TRAN#000000000000007 TR CD=751 REG= PORT=PRIN	-1000 00		-1000 00 0906000011 **CHANGED** 01/14/10 LAA	
06/12/09 GIFT PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH BALANCE OF 1 /2 ANNUAL GIFT FROM THE ROTH FAMILY FOUN PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH TR TRAN#000000000000008 TR CD=751 REG= PORT=PRIN	-600.00		-600 00 0906000012 **CHANGED** 01/14/10 LAA	
06/12/09 GIFT PAID TO NORTH CAROLINA SYMPHONY ANNUAL FUND GIFT FROM T HE ROTH FAMILY FOUN PAID TO NORTH CAROLINA SYMPHONY TR TRAN#000000000000009 TR CD=751 REG= PORT=PRIN	-3000 00		-3000 00 0906000013 **CHANGED** 01/14/10 LAA	
06/12/09 GIFT PAID TO RICE UNIVERSITY ROBERT C ROTH 060119 AL '75 GI FT FROM THE ROTH FAMILY FOUN PAID TO RICE UNIVERSITY TR TRAN#000000000000010 TR CD=751 REG= PORT=PRIN	-500 00		-500 00 0906000014 **CHANGED** 01/14/10 LAA	
06/12/09 GIFT PAID TO THE NEW BERN HOUSE LUANNE ROTH FIELD TRIP 012 G IFT FROM THE ROTH FAMILY FOUN PAID TO THE NEW BERN HOUSE TR TRAN#000000000000011 TR CD=751 REG= PORT=PRIN	-50 00		-50 00 0906000015 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO KAPPA KAPPA GAMMA FOUNDATION DATED 06/12/2009 TR TRAN#000000000000001 TR CD=419 REG= PORT=PRIN	100 00		100 00 0906000017 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO RICE UNIVERSITY DATED 6/12/09 TR TRAN#000000000000002 TR CD=419 REG= PORT=PRIN	500 00		500 00 0906000018 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO DIOCESE OF RALEIGH DATED 06/12/2009 TR TRAN#000000000000003 TR CD=419 REG= PORT=PRIN	450 00		450 00 0906000019 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO UNC SCHOOL OF EDUCATION FDN DATED 06/12/2009 TR TRAN#000000000000004 TR CD=419 REG= PORT=PRIN	250 00		250 00 0906000020 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO THE NEW BERN HOUSE DATED 06/12/2009 TR TRAN#000000000000005 TR CD=419 REG= PORT=PRIN	50 00		50 00 0906000021 **CHANGED** 01/14/10 LAA	
06/22/09 RECEIVED FROM COMERICA BANK AND TRUST REDEPOSIT OF CHECK MAD E PAYABLE TO STRAKE JESUIT COLLEGE PREP DATED 06/12/2009 TR TRAN#000000000000006 TR CD=419 REG= PORT=PRIN	1000 00		1000 00 0906000022 **CHANGED** 01/14/10 LAA	
06/24/09 GIFT PAID TO KAPPA KAPPA GAMMA FOUNDATION EPSILON GAMMA GIFT FROM THE ROTH FAMILY FOUN PAID TO KAPPA KAPPA GAMMA FOUNDATION TR TRAN#000000000000001 TR CD=751 REG= PORT=PRIN	-100 00		-100 00 0906000023 **CHANGED** 01/14/10 LAA	
06/24/09 GIFT PAID TO UNC SCHOOL OF EDUCATION FOUNDATION AN UNDESIGNA TED GIFT GIFT FROM THE ROTH FAMILY FOUN PAID TO UNC SCHOOL OF EDUCATION FOUNDATION TR TRAN#000000000000002 TR CD=751 REG= PORT=PRIN	-250 00		-250 00 0906000024 **CHANGED** 01/14/10 LAA	
06/24/09 GIFT PAID TO DIOCESE OF RALEIGH BISHOP'S ANNUAL APPEAL GIFT FROM THE ROTH FAMILY FOUN PAID TO DIOCESE OF RALEIGH TR TRAN#000000000000003 TR CD=751 REG= PORT=PRIN	-450 00		-450 00 0906000025 **CHANGED** 01/14/10 LAA	
06/24/09 GIFT PAID TO STRAKE JESUIT COLLEGE PREPARATORY THE SCHOLARSH IP FUND GIFT FROM THE ROTH FAMILY FOUN PAID TO STRAKE JESUIT COLLEGE PREPARATORY TR TRAN#000000000000004 TR CD=751 REG= PORT=PRIN	-1000 00		-1000 00 0906000026 **CHANGED** 01/14/10 LAA	
06/24/09 GIFT PAID TO RICE UNIVERSITY ANNUAL FUND GIFT FROM THE ROTH FAMILY FOUN PAID TO RICE UNIVERSITY TR TRAN#000000000000005 TR CD=751 REG= PORT=PRIN	-500 00		-500 00 0906000027 **CHANGED** 01/14/10 LAA	

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TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
102 CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)				
06/24/09 GIFT PAID TO THE NEW BERN HOUSE FIELD TRIP 012 GIFT FROM THE ROTH FAMILY FOUN PAID TO THE NEW BERN HOUSE TR TRAN#=0000000000000006 TR CD=751 REG= PORT=PRIN	-50 00		-50 00	0906000028 **CHANGED** 01/14/10 LAA
09/22/09 GIFT PAID TO NC SECC ANNUAL CAMPAIGN - GIFT FROM THE ROTH FA MILY FOUNDATION PAID TO NC SECC TR TRAN#=0000000000000001 TR CD=751 REG= PORT=PRIN	-500 00		-500 00	0909000009 **CHANGED** 01/14/10 LAA
09/22/09 GIFT PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH STEPUP MINIS TRY IN HONOR OF ART ROSS GIFT FROM THE ROTH FAMILY FOUNDATIO N PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH TR TRAN#=0000000000000002 TR CD=751 REG= PORT=PRIN	-200 00		-200 00	0909000010 **CHANGED** 01/14/10 LAA
09/22/09 GIFT PAID TO THE AVON FOUNDATION AVON WALK FOR BREAST CANCER GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO THE AVON FOUNDATION TR TRAN#=0000000000000003 TR CD=751 REG= PORT=PRIN	-100 00		-100 00	0909000011 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO RETIREMENT FUND FOR RELIGIOUS RETIREMENT FUND F OR RELIGIOUS GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO RETIREMENT FUND FOR RELIGIOUS TR TRAN#=0000000000000001 TR CD=751 REG= PORT=PRIN	-1250 00		-1250 00	0912000005 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO CHRISTIAN FOUNDATION FOR CHILDREN AND AGING CAT HOLIC CHILD SPONSORSHIP - GIFT FROM THE ROTH FAMILY FOUNDATI ON PAID TO CHRISTIAN FOUNDATION FOR CHILDREN AND AG TR TRAN#=0000000000000002 TR CD=751 REG= PORT=PRIN	-300 00		-300 00	0912000006 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO MEALS ON WHEELS OF WAKE COUNTY UNDESIGNATED GIF T - GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO MEALS ON WHEELS OF WAKE COUNTY TR TRAN#=0000000000000004 TR CD=751 REG= PORT=PRIN	-250 00		-250 00	0912000008 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO HELPING HAND MISSION, INC UNDESIGNATED GIFT - GIFT FROM THE ROTH FAMILY FOUNDATION, LUANNE ROTH PAID TO HELPING HAND MISSION, INC TR TRAN#=0000000000000005 TR CD=751 REG= PORT=PRIN	-50 00		-50 00	0912000009 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO THE PHILHARMONIC ASSOCIATION, INC UNDESIGNATED GIFT - GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO THE PHILHARMONIC ASSOCIATION, INC TR TRAN#=0000000000000006 TR CD=751 REG= PORT=PRIN	-200 00		-200 00	0912000010 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO THE CARYING PLACE UNDESIGNATED GIFT - GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO THE CARYING PLACE TR TRAN#=0000000000000003 TR CD=751 REG= PORT=PRIN	-250.00		-250 00	0912000007 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO CATHOLIC PARISH OUTREACH UNDESIGNATED GIFT - GI FT FROM THE ROTH FAMILY FOUNDATION PAID TO CATHOLIC PARISH OUTREACH TR TRAN#=0000000000000008 TR CD=751 REG= PORT=PRIN	-250 00		-250 00	0912000012 **CHANGED** 01/14/10 LAA
12/11/09 GIFT PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH HALF OF ANNU AL PLEDGE - GIFT FROM THE ROTH FAMILY FOUNDATION PAID TO WHITE MEMORIAL PRESBYTERIAN CHURCH TR TRAN#=0000000000000009 TR CD=751 REG= PORT=PRIN	-2600 00		-2600 00	0912000013 **CHANGED** 01/14/10 LAA
TOTAL CODE 102 - CHARITABLE DEDUCTION - CURRENT INCOME	-15400 00	0 00	-15400 00	
34 TAX PREPARATION FEE (NON-ALLOCABLE)				
10/15/09 TAX SERVICES FEE PAID TO COMERICA BANK N A FOR PRIOR YEAR PAID TO COMERICA BANK N A TR TRAN#=0000000000000003 TR CD=500 REG= PORT=PRIN	-450 00		-450 00	0910000008 **CHANGED** 12/10/09 KMR
10/15/09 TAX SERVICES FEE PAID TO COMERICA BANK N A FOR PRIOR YEAR PAID TO COMERICA BANK N A TR TRAN#=0000000000000004 TR CD=500 REG= PORT=INC	-450 00	-450 00		0910000009 **CHANGED** 12/10/09 KMR

Account Statement

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Account Number: 4045006354

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Statement Period: January 01, 2009 Through December 31, 2009

Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
11/20/09	PURCHASED 94 SHS VANGUARD TOTAL BOND MARKET ON 11/17/2009 AT 79 67 THRU UBS	7,488 98-		7,488.98	
11/20/09	PURCHASED 6 SHS VANGUARD PACIFIC VIPERS ON 11/17/2009 AT 51 80 THRU UBS	310 80-		310.80	
11/24/09	PURCHASED .05 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 11/20/2009 AT 1 00 THRU UBS	0.05-		0 05	
12/09/09	PURCHASED 317.28 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/08/2009 AT 1 00 THRU UBS	317 28-		317 28	
12/18/09	PURCHASED .49 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/16/2009 AT 1 00 THRU UBS	0.49-		0 49	
12/28/09	PURCHASED .05 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/23/2009 AT 1.00 THRU UBS	0 05-		0 05	
12/31/09	PURCHASED 636 06 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/30/2009 AT 1.00 THRU UBS	636.06-		636 06	
Total Purchases		686,549.16-	0.00	686,549.16	0.00
Sales					
01/02/09	SOLD 1,307.329 SHS ARTISAN SMALL CAP FD ON 12/30/2008 AT 9.13 THRU UBS	11,935 91		22,506 43-	10,570 52-
01/02/09	SOLD 558 488 SHS DODGE & COX STOCK FD ON 12/30/2008 AT 73 61 THRU UBS	41,110 30		59,372.34-	18,262 04-
01/05/09	SOLD 894 SHS GOLDMAN SACHS LG CAP VALUE FD-I ON 12/30/2008 AT 8.53 THRU UBS COMMISSIONS PAID 5 25	7,620 57		7,464.90-	155 67
01/07/09	SOLD 232,741.3 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/06/2009 AT 1 00 THRU UBS	232,741.30		232,741.30-	
01/08/09	SOLD 122 SHS ISHARES MSCI EAFE INDEX FD ON 12/31/2008 AT 44 3489 THRU UBS	5,410 56		5,238 68-	171.88
01/20/09	SOLD 583 33 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/15/2009 AT 1.00 THRU UBS	583 33		583 33-	
01/30/09	SOLD 317.73 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/28/2009 AT 1.00 THRU UBS	317.73		317.73-	

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Account Statement

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Account Number 4045006354

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Statement Period: January 01, 2009 Through December 31, 2009

Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
02/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 02/13/2009 AT 1.00 THRU UBS	250 00		250 00-	
03/17/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 03/13/2009 AT 1.00 THRU UBS	250 00		250 00-	
04/16/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 04/14/2009 AT 1 00 THRU UBS	250.00		250.00-	
04/27/09	SOLD 412 96 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 04/23/2009 AT 1 00 THRU UBS	412 96		412.96-	
05/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 05/14/2009 AT 1 00 THRU UBS	250 00		250.00-	
06/16/09	SOLD 9,700 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/12/2009 AT 1 00 THRU UBS	9,700 00		9,700.00-	
06/18/09	SOLD 150 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/16/2009 AT 1 00 THRU UBS	150.00		150 00-	
06/26/09	SOLD 2,350 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/24/2009 AT 1 00 THRU UBS	2,350.00		2,350 00-	
07/16/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 07/14/2009 AT 1 00 THRU UBS	250 00		250 00-	
07/17/09	SOLD 173 SHS VANGUARD EMERG MKTS STOCK ETF ON 07/14/2009 AT 31 0743 THRU UBS COMMISSIONS PAID 0.14	5,375 71		4,091.16-	1,284 55
07/17/09	SOLD 52 SHS VANGUARD EUROPEAN ON 07/14/2009 AT 39 5532 THRU UBS COMMISSIONS PAID 0 06	2,056.71		1,990 38-	66 33
07/17/09	SOLD 7 SHS VANGUARD MID-CAP VALUE ON 07/14/2009 AT 33 4535 THRU UBS COMMISSIONS PAID 0 01	234 16		227 01-	7 15
07/17/09	SOLD 184 SHS VANGUARD MID-CAP GROWTH ON 07/14/2009 AT 37 1818 THRU UBS COMMISSIONS PAID 0 18	6,841 27		6,243 11-	598 16
07/17/09	SOLD 134 SHS VANGUARD REIT VIPER SHS ON 07/14/2009 AT 29 9674 THRU UBS COMMISSIONS PAID 0 11	4,015 52		4,719 74-	704 22-
07/17/09	SOLD 229 SHS VANGUARD SMALL-CAP GROWTH ON 07/14/2009 AT 46 6345 THRU UBS COMMISSIONS PAID 0 28	10,679.03		9,592 47-	1,086 56

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Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
07/17/09	SOLD 807 SHS VANGUARD GROWTH ON 07/14/2009 AT 42.6722 THRU UBS COMMISSIONS PAID 0.89	34,435.58		31,703.81-	2,731.77
07/17/09	SOLD 777 SHS VANGUARD VALUE VIPERS ON 07/14/2009 AT 38.7031 THRU UBS COMMISSIONS PAID 0.78	30,071.56		31,729.38-	1,657.82-
07/20/09	SOLD 8.34 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 07/17/2009 AT 1.00 THRU UBS	8.34		8.34-	
07/28/09	SOLD 443.27 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 07/24/2009 AT 1.00 THRU UBS	443.27		443.27-	
08/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 08/14/2009 AT 1.00 THRU UBS	250.00		250.00-	
09/17/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 09/15/2009 AT 1.00 THRU UBS	250.00		250.00-	
09/24/09	SOLD 800 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 09/22/2009 AT 1.00 THRU UBS	800.00		800.00-	
10/19/09	SOLD 1,300 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 10/15/2009 AT 1.00 THRU UBS	1,300.00		1,300.00-	
10/27/09	SOLD 479.51 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 10/23/2009 AT 1.00 THRU UBS	479.51		479.51-	
11/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 11/16/2009 AT 1.00 THRU UBS	250.00		250.00-	
11/20/09	SOLD 32 SHS VANGUARD MEGA CAP 300 GROWTH ETF ON 11/17/2009 AT 41.2302 THRU UBS COMMISSIONS PAID 0.04	1,319.33		1,090.06-	229.27
11/20/09	SOLD 70 SHS VANGUARD MEGA CAP 300 VALUE ETF ON 11/17/2009 AT 35.3729 THRU UBS COMMISSIONS PAID 0.07	2,476.03		2,027.02-	449.01
11/20/09	SOLD 38 SHS VANGUARD EMERG MKTS STOCK ETF ON 11/17/2009 AT 41.1025 THRU UBS COMMISSIONS PAID 0.05	1,561.85		898.64-	663.21
11/20/09	SOLD 73 SHS VANGUARD EUROPEAN ON 11/17/2009 AT 51.9439 THRU UBS COMMISSIONS PAID 0.10	3,791.81		2,794.18-	997.63

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Statement Period January 01, 2009 Through December 31, 2009

Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
11/20/09	SOLD 51 SHS VANGUARD MID-CAP VALUE ON 11/17/2009 AT 43.5418 THRU UBS COMMISSIONS PAID 0.06	2,220.57		1,653.90-	566.67
11/20/09	SOLD 21 SHS VANGUARD MID-CAP GROWTH ON 11/17/2009 AT 46.6425 THRU UBS COMMISSIONS PAID 0.03	979.46		712.53-	266.93
11/20/09	SOLD 39 SHS VANGUARD REIT VIPER SHS ON 11/17/2009 AT 42.7259 THRU UBS COMMISSIONS PAID 0.05	1,666.26		1,373.66-	292.60
11/20/09	SOLD 28 SHS VANGUARD SMALL-CAP VALUE ON 11/17/2009 AT 53.419 THRU UBS COMMISSIONS PAID 0.04	1,495.69		1,185.16-	310.53
11/23/09	SOLD 90.2 UBS RMA MONEY FUND MONEY MARKET PORT 01% ON 11/20/2009 AT 1.00 THRU UBS	90.20		90.20-	
12/15/09	SOLD 5,326.44 UBS RMA MONEY FUND MONEY MARKET PORT 01% ON 12/11/2009 AT 1.00 THRU UBS	5,326.44		5,326.44-	
12/16/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT 01% ON 12/14/2009 AT 1.00 THRU UBS	250.00		250.00-	
Total Sales		432,250.96	0.00	453,667.64-	21,316.68-
Noncash Transactions					
01/08/09	RECEIVED 122 SHS ISHARES MSCI EAFE INDEX FD EFFECTIVE 12/11/2008			5,238.68	
08/26/09	DELIVERED 000216 SHS DODGE & COX STOCK FD TRADE DATE 08/26/2009			0.02-	
Total Noncash Transactions		0.00	0.00	5,238.66	0.00
Ending Balance		15,464.06-	18,263.67	260,704.44	21,316.19-

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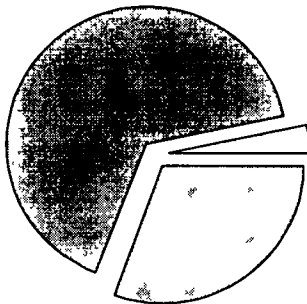
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Investment Portfolio Summary



	Tax Cost	Market Value	Percent
CASH AND EQUIVALENTS	10,444.75	10,444.75	3.4%
EQUITIES - OTHER	158,543.47	202,670.13	66.0%
FIXED INCOME - OTHER	94,515.83	94,146.50	30.6%
Total	263,504.05	307,261.38	100.0%

Investment Detail

Description		Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Inc / Unreal Gain / Loss	Current Yield
Income Cash And Equivalents					
Cash					
INCOME CASH		18,263.67			
		18,263.67			
** Total Cash	Sub-Total	18,263.67		0.00	0.00
		18,263.67		0.00	
* Total Income Cash And Equivalents		18,263.67		0.00	0.00
		18,263.67		0.00	
Principal Cash And Equivalents					
Cash					
PRINCIPAL CASH		15,464.06-			
		15,464.06-			
** Total Cash	Sub-Total	15,464.06-		0.00	0.00
		15,464.06-		0.00	
Short Term Investments					
UBS RMA MONEY FUND MONEY MARKET PORT 01%		7,645.14	1.00	0.76	0.01
		7,645.14	1.00		
** Total Short Term Investments	Sub-Total	7,645.14		0.76	0.01
		7,645.14		0.00	
* Total Principal Cash And Equivalents		7,818.92-		0.76	0.01-
		7,818.92-		0.00	

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Statement Period: January 01, 2009 Through December 31, 2009

Investment Detail (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Inc / Unreal Gain / Loss	Current Yield
Equities - Other						
Mutual Funds						
VANGUARD MEGA CAP 300 GROWTH ETF	MGK	834 000	34,919.58 28,409.57	41.87 34.06	660.53 6,510.01	1.89
VANGUARD MEGA CAP 300 VALUE ETF	MGV	1,458 000	51,175.80 42,220.03	35.10 28.96	1,883.74 8,955.77	3.68
VANGUARD EMERG MKTS STOCK ETF	VWO	287.000	11,767.00 6,787.07	41.00 23.65	156.42 4,979.93	1.33
VANGUARD PACIFIC VIPERS	VPL	318 000	16,319.76 13,985.82	51.32 43.98	451.88 2,333.94	2.77
VANGUARD EUROPEAN	VGK	589.000	28,554.72 22,544.86	48.48 38.28	1,126.17 6,009.86	3.94
VANGUARD MID-CAP VALUE	VOE	442 000	19,611.54 14,333.84	44.37 32.43	363.77 5,277.70	1.85
VANGUARD MID-CAP GROWTH	VOT	275 000	13,334.75 9,330.73	48.49 33.93	77.55 4,004.02	0.58
VANGUARD REIT VIPER SHS	VNQ	177 000	7,918.98 6,234.28	44.74 35.22	413.47 1,684.70	5.22
VANGUARD SMALL-CAP VALUE	VBR	350 000	19,068.00 14,697.27	54.48 41.99	367.85 4,370.73	1.93
** Total Mutual Funds		Sub-Total	202,670.13 158,543.47		5,501.38 44,126.66	2.71
* Total Equities - Other			202,670.13 158,543.47		5,501.38 44,126.66	2.71
Fixed Income - Other						
Mutual Funds Taxable						
ISHARES BARCLAYS AGGREGATE BD FD	AGG	357.000	36,838.83 37,206.87	103.19 104.22	1,431.57 368.04-	3.89
ISHARES GS\$ INVESTOP CORP BD	LQD	99.000	10,310.85 10,129.86	104.15 102.32	566.78 180.99	5.50
VANGUARD TOTAL BOND MARKET	BND	598 000	46,996.82 47,179.10	78.59 78.89	1,831.08 182.28-	3.90
** Total Mutual Funds Taxable		Sub-Total	94,146.50 94,616.83		3,829.43 369.33-	4.07
* Total Fixed Income - Other			94,146.50 94,616.83		3,829.43 369.33-	4.07
Total Principal Assets			288,997.71 245,240.38		9,331.57 43,767.33	3.23
Total Income Assets			18,263.67 18,263.67		0.00 0.00	0.00
Grand Total Assets			307,261.38 263,504.05		9,331.57 43,767.33	3.04

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Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
11/20/09	PURCHASED 94 SHS VANGUARD TOTAL BOND MARKET ON 11/17/2009 AT 79 67 THRU UBS	7,488.98-		7,488.98	
11/20/09	PURCHASED 6 SHS VANGUARD PACIFIC VIPERS ON 11/17/2009 AT 51 80 THRU UBS	310 80-		310 80	
11/24/09	PURCHASED .05 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 11/20/2009 AT 1 00 THRU UBS	0 05-		0 05	
12/09/09	PURCHASED 317.28 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/08/2009 AT 1 00 THRU UBS	317 28-		317.28	
12/18/09	PURCHASED .49 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/16/2009 AT 1.00 THRU UBS	0 49-		0 49	
12/28/09	PURCHASED .05 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/23/2009 AT 1.00 THRU UBS	0 05-		0.05	
12/31/09	PURCHASED 636 06 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 12/30/2009 AT 1 00 THRU UBS	636.06-		636.06	
Total Purchases		586,549.16-	0.00	586,549.16	0.00
Sales					
01/02/09	SOLD 1,307.329 SHS ARTISAN SMALL CAP FD ON 12/30/2008 AT 9.13 THRU UBS	11,935 91		22,506 43-	10,570.52-
01/02/09	SOLD 558.488 SHS DODGE & COX STOCK FD ON 12/30/2008 AT 73.61 THRU UBS	41,110.30		59,372.34-	18,262 04-
01/05/09	SOLD 894 SHS GOLDMAN SACHS LG CAP VALUE FD-I ON 12/30/2008 AT 8.53 THRU UBS COMMISSIONS PAID 5 25	7,620.57		7,464.90-	155 67
01/07/09	SOLD 232,741.3 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/06/2009 AT 1 00 THRU UBS	232,741.30		232,741.30-	
01/08/09	SOLD 122 SHS ISHARES MSCI EAFE INDEX FD ON 12/31/2008 AT 44.3489 THRU UBS	5,410.56		5,238 68-	171.88
01/20/09	SOLD 583 33 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/15/2009 AT 1.00 THRU UBS	583.33		583.33-	
01/30/09	SOLD 317.73 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 01/28/2009 AT 1.00 THRU UBS	317.73		317 73-	

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Transaction Detail (Continued)

Date	Description	Principal Cash	Income Cash	Cost	Gain / Loss
02/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 02/13/2009 AT 1.00 THRU UBS	250.00		250 00-	
03/17/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 03/13/2009 AT 1.00 THRU UBS	250 00		250 00-	
04/16/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 04/14/2009 AT 1.00 THRU UBS	250 00		250 00-	
04/27/09	SOLD 412 96 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 04/23/2009 AT 1 00 THRU UBS	412 96		412 96-	
05/18/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 05/14/2009 AT 1 00 THRU UBS	250.00		250.00-	
06/16/09	SOLD 9,700 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/12/2009 AT 1.00 THRU UBS	9,700.00		9,700 00-	
06/18/09	SOLD 150 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/16/2009 AT 1 00 THRU UBS	150 00		150.00-	
06/26/09	SOLD 2,350 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 06/24/2009 AT 1 00 THRU UBS	2,350.00		2,350.00-	
07/16/09	SOLD 250 UBS RMA MONEY FUND MONEY MARKET PORT .01% ON 07/14/2009 AT 1.00 THRU UBS	250.00		250 00-	
07/17/09	SOLD 173 SHS VANGUARD EMERG MKTS STOCK ETF ON 07/14/2009 AT 31 0743 THRU UBS COMMISSIONS PAID 0 14	5,375.71		4,091.16-	1,284.55
07/17/09	SOLD 52 SHS VANGUARD EUROPEAN ON 07/14/2009 AT 39 5532 THRU UBS COMMISSIONS PAID 0 06	2,056.71		1,990.38-	66 33
07/17/09	SOLD 7 SHS VANGUARD MID-CAP VALUE ON 07/14/2009 AT 33 4535 THRU UBS COMMISSIONS PAID 0 01	234.16		227.01-	7 15
07/17/09	SOLD 184 SHS VANGUARD MID-CAP GROWTH ON 07/14/2009 AT 37 1818 THRU UBS COMMISSIONS PAID 0 18	6,841.27		6,243.11-	598 16
07/17/09	SOLD 134 SHS VANGUARD REIT VIPER SHS ON 07/14/2009 AT 29 9674 THRU UBS COMMISSIONS PAID 0 11	4,015.52		4,719 74-	704.22-
07/17/09	SOLD 229 SHS VANGUARD SMALL-CAP GROWTH ON 07/14/2009 AT 46.6345 THRU UBS COMMISSIONS PAID 0.28	10,679.03		9,592.47-	1,086 56

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