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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>THE HUMMEL FAMILY FUND INC</b>		A Employer identification number <b>56-2035183</b>
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number <b>336/288-7237</b>
	City or town, state, and ZIP code <b>GREENSBORO, NC 27408</b>		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>1,068,688.</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	13,497.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	16.	16.		STATEMENT 1
4	Dividends and interest from securities	11,596.	11,596.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-162,695.			
b	Gross sales price for all assets on line 6a	397,271.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	-137,586.	11,612.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3 675.	675.		0.
c	Other professional fees				
17	Interest				
18	Taxes	STMT 4 387.	387.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 5 1,690.	1,690.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	2,752.	2,752.		0.
25	Contributions, gifts, grants paid	51,400.			51,400.
26	Total expenses and disbursements. Add lines 24 and 25	54,152.	2,752.		51,400.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-191,738.			
b	Net investment income (if negative, enter -0-)		8,860.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	515,107.	56,790.	56,790.
	3 Accounts receivable <input type="checkbox"/> Less: allowance for doubtful accounts <input type="checkbox"/>			
	4 Pledges receivable <input type="checkbox"/> Less: allowance for doubtful accounts <input type="checkbox"/>			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable <input type="checkbox"/> Less: allowance for doubtful accounts <input type="checkbox"/>			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 6</b>	642,272.	910,099.	1,011,720.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis <input type="checkbox"/> Less accumulated depreciation <input type="checkbox"/>			
	12 Investments - mortgage loans			
	13 Investments - other <b>STMT 7</b>	1,088.	0.	0.
14 Land, buildings, and equipment; basis <input type="checkbox"/> Less accumulated depreciation <input type="checkbox"/>				
15 Other assets (describe <input type="checkbox"/> <b>PREPAID 990PF TAXES</b> )	338.	178.	178.	
<b>16 Total assets (to be completed by all filers)</b>	1,158,805.	967,067.	1,068,688.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	1,640.	1,640.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe <input type="checkbox"/> )			
<b>23 Total liabilities (add lines 17 through 22)</b>	1,640.	1,640.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	1,157,165.	965,427.		
<b>30 Total net assets or fund balances</b>	1,157,165.	965,427.		
<b>31 Total liabilities and net assets/fund balances</b>	1,158,805.	967,067.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1,157,165.
2 Enter amount from Part I, line 27a	2 -191,738.
3 Other increases not included in line 2 (itemize) <input type="checkbox"/>	3 0.
4 Add lines 1, 2, and 3	4 965,427.
5 Decreases not included in line 2 (itemize) <input type="checkbox"/>	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 965,427.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENT			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 397,271.		559,966.	-162,695.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			-162,695.

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	-162,695.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	62,825.	1,047,498.	.059976
2007	42,380.	474,757.	.089267
2006	28,134.	261,936.	.107408
2005	25,793.	390,456.	.066059
2004	55,112.	428,046.	.128753

<b>2</b> Total of line 1, column (d)	<b>2</b>	.451463
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.090293
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	<b>4</b>	928,680.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	83,853.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	89.
<b>7</b> Add lines 5 and 6	<b>7</b>	83,942.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	51,400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	177.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	177.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	177.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		177.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 8

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► SAMUEL D HUMMEL Telephone no. ► 336/288-7237  
 Located at ► 1907 ROSECREST DR, GREENSBORO, NC ZIP+4 ► 27408

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 JUVENILE DIABETES RESEARCH FUND	
	0.
2 WOMEN TO WOMEN	
	0.
3 SOUTHERN PARTNERS FUND	
	0.
4 INSTITUTE FOR POLICY STUDIES INC	
	0.

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

Form 990-PF (2009)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	646,468.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	296,354.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	942,822.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	942,822.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	14,142.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	928,680.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	46,434.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	46,434.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	177.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	177.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	46,257.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	46,257.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	46,257.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	51,400.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	51,400.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	51,400.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				46,257.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	35,566.			
b From 2005	6,397.			
c From 2006	15,099.			
d From 2007	18,792.			
e From 2008	10,837.			
f Total of lines 3a through e	86,691.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	51,400.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				46,257.
e Remaining amount distributed out of corpus	5,143.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	91,834.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	35,566.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	56,268.			
10 Analysis of line 9:				
a Excess from 2005	6,397.			
b Excess from 2006	15,099.			
c Excess from 2007	18,792.			
d Excess from 2008	10,837.			
e Excess from 2009	5,143.			







## Part XVII

## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  | Yes | No       |
|----------|--|-----|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |          |
|          | (1) Cash   |     | <b>X</b> |
|          | (2) Other assets   |     | <b>X</b> |
| <b>b</b> | Other transactions:  |     |          |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets   |     | <b>X</b> |
|          | (4) Reimbursement arrangements   |     | <b>X</b> |
|          | (5) Loans or loan guarantees   |     | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations   |     | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |          |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer or trustee \_\_\_\_\_ Date 5/7/10

Date \_\_\_\_\_

Title

Preparer's  
signature

Robert G. Frewant, CPA

Date \_\_\_\_\_

5-3-10

Check if self-employed	
------------------------	--

Preparer's identifying number
-------------------------------

PO0147092

Firm's name (or yours  
if self-employed),  
address, and ZIP code

STEWART & SATTERFIELD, P. A.  
POST OFFICE BOX 14893  
GREENSBORO, NC

EIN ►

Phone no. 336/299-2854

Form **990-PF** (2009)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

Employer identification number

THE HUMMEL FAMILY FUND INC

56-2035183

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

THE HUMMEL FAMILY FUND INC

56-2035183

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF EGBERT LAWRENCE DAVIS JR 235 ARBOR RD WINSTON SALEM, NC 27104	\$ 13,497.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

Part XV 3a

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Planned Parenthood Fed of America 434 West 33 <sup>rd</sup> St., New York, NY 10001	N/A	Tax Exempt	Contribution	\$ 500
NC Children's Promise 111 Forestdale Dr., Greensboro, NC 27403	N/A	Tax Exempt	Contribution	200
Family Life Council 301 E. Washington St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	100
The Children's Home, Inc. 1001 Reynolda Dr., Winston-Salem, NC 27104	N/A	Tax Exempt	Contribution	50
Denver UMC 3910 Hwy 16 N., Denver, NC 28037	N/A	Tax Exempt	Contribution	50
Washington D.C. MLK Jr. National Memorial P O Box 96071, Washington, DC 20090	N/A	Tax Exempt	Contribution	150
Amnesty Intl USA P O Box 98233, Washington, DC 20090	N/A	Tax Exempt	Contribution	100
American Diabetes Association 2618-A Battleground Avenue #155 Greensboro NC 27408	N/A	Tax Exempt	Contribution	150
Carolina Theatre 310 S. Greene St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	480
Friends of Center City Park 317 S. Elm St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	100
Grace Community Church 643 W. Lee St., Greensboro, NC 27403	N/A	Tax Exempt	Contribution	200
First Presbyterian Church 617 N. Elm St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	200
Nature Conservancy 4245 N. Fairfax Dr., Arlington, VA 22203	N/A	Tax Exempt	Contribution	200
Ronald McDonald House 419 S. Hawthorne Rd., Winston-Salem, NC 27103	N/A	Tax Exempt	Contribution	100
Friends of Killarney Park Killarney, Ontario	N/A	Tax Exempt	Contribution	165
National MS Society P O Box 4444, Pittsfield, MA 01202	N/A	Tax-Exempt	Contribution	100
Eastern Music Festival P O Box 22020, Greensboro, NC 27420	N/A	Tax Exempt	Contribution	100

THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Court Watch of NC P O Box 1097, Greensboro, NC 27404	N/A	Tax Exempt	Contribution	\$ 100
Guilford Green Foundation 301 S. Elm Street, Greensboro, NC 27401	N/A	Tax Exempt	Contribution	100
Health Serve Community Health Center 1002 S. Eugene St., Greensboro, NC 27406	N/A	Tax Exempt	Contribution	400
WIN WIN Resolution 122 N. Elm St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	1,000
Plough Shares Fund Fort Mason Center, San Francisco, CA 94123	N/A	Tax Exempt	Contribution	100
Greensboro Historical Museum 130 Summit Avenue, Greensboro, NC 27401	N/A	Tax Exempt	Contribution	250
Killian Mansfield Foundation P O Box 10, West Shokan, NY 12494	N/A	Tax Exempt	Contribution	2,000
United Way of Greater Greensboro P O Box 14998, Greensboro, NC 27415	N/A	Tax Exempt	Contribution	1,050
WUNC Public Radio P O Box 8880, Chapel Hill, NC 27515	N/A	Tax Exempt	Contribution	300
Greensboro Opera Company P O Box 29031, Greensboro, NC 27429	N/A	Tax Exempt	Contribution	250
UNC-TV P O Box 900002, Raleigh, NC 27675	N/A	Tax Exempt	Contribution	350
Lutheran Family Services P O Box 12287, Raleigh, NC 27605	N/A	Tax Exempt	Contribution	200
USA for UNHCR 1775 K St. NW, Washington, DC 20006	N/A	Tax Exempt	Contribution	100
Piedmont Land Conservancy P O Box 4025, Greensboro, NC 27404	N/A	Tax Exempt	Contribution	600
P.E.R.C. Inc. 990 Old Dixie Hwy #8, Lake Park, FL 33403	NA	Tax-Exempt	Contribution	250
National Trust for Historic Preservation P O Box 5043, Hagerstown, MD 21741	N/A	Tax-Exempt	Contribution	50
Planned Parenthood Health Systems 100 A Boylan Ave, Raleigh, NC 27603	N/A	Tax-Exempt	Contribution	850
National Conference for Community & Justice 713 N Greene Street, Greensboro, NC 27401	N/A	Tax Exempt	Contribution	200

THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Juvenile Diabetes Research Fund 1401-B Old Mill Circle, Winston-Salem, NC	N/A	Tax Exempt	Contribution	\$ 12,215
Alight 604 Green Valley Road, Greensboro, NC 27408	N/A	Tax Exempt	Contribution	200
Environmental Defense Fund 1875 Connecticut Avenue, NW Washington, DC 20009	N/A	Tax Exempt	Contribution	100
Bennett College for Women 900 E. Washington St., Greensboro, NC 27401	N/A	Tax Exempt	Contribution	500
Guilford Education Alliance 902 Bonner Dr., Jamestown, NC 27282	N/A	Tax Exempt	Contribution	280
Triad Stage P O Box 3521, Greensboro, NC 27402	N/A	Tax Exempt	Contribution	250
Friends of the Environment P O Box AB 20755 Marsh Harbor, Abaco Bahamas	N/A	Tax Exempt	Contribution	250
Democracy NC 1821 Green Street, Durham, NC 27705	N/A	Tax Exempt	Contribution	1,150
Triad Health Project P O Box 5716, Greensboro, NC 27435	N/A	Tax Exempt	Contribution	100
Habitat for Humanity 305 W. Lee Street, Greensboro, NC 27406	N/A	Tax Exempt	Contribution	200
Doctors Without Borders P O Box 5022, Hagerstown, MD 21741	N/A	Tax-Exempt	Contribution	250
Junior League of Greensboro 3101 W. Friendly Ave., Greensboro, NC 27408	N/A	Tax-Exempt	Contribution	850
Kids Voting – Guilford County 338 N. Elm St., Suite 110, Greensboro, NC 27401	N/A	Tax-Exempt	Contribution	100
Handy Capable Network P O Box 29193, Greensboro, NC 27429	N/A	Tax-Exempt	Contribution	100
Guilford Battleground Co. P O Box 39500, Greensboro, NC 27438	N/A	Tax-Exempt	Contribution	250
Duke Comprehensive Cancer Center 3510 Bromley Woods Ln., Greensboro, NC 27410	N/A	Tax-Exempt	Contribution	200
United Methodist Church 1116 W. Park Ave., Riverton, WY 82501	N/A	Tax-Exempt	Contribution	50

THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Women's Resource Center 628 Summit Avenue, Greensboro, NC 27405	N/A	Tax-Exempt	Contribution	\$ 500
Touring Theatre of NC P O Box 9733, Greensboro, NC 27429	N/A	Tax-Exempt	Contribution	100
Winston Salem Beautiful 860 W 5 <sup>th</sup> St., Winston-Salem, NC 27101	N/A	Tax-Exempt	Contribution	50
World Wildlife Fund 1250 24 <sup>th</sup> St. NW, Washington, DC 20037	N/A	Tax-Exempt	Contribution	100
Girl Charge, Inc. 1503 Independence Rd., Greensboro, NC 27408	N/A	Tax-Exempt	Contribution	500
Greensboro Children's Museum 220 N. Church St., Greensboro, NC 27401	N/A	Tax-Exempt	Contribution	500
Special Olympics of NC P O Box 91464, Raleigh, NC 27675	N/A	Tax-Exempt	Contribution	100
Crescent Rotary Club Foundation P O Box 16263, Greensboro, NC 27416	N/A	Tax-Exempt	Contribution	375
WFU Divinity School P O Box 7227, Winston-Salem, NC 274109	N/A	Tax Exempt	Contribution	1,000
Comprehensive Cancer of WFU Medical Center Blvd., Winston-Salem, NC 27157	N/A	Tax Exempt	Contribution	100
Shepherds Center of Greensboro 302 W. Market Street, Greensboro, NC 27401	N/A	Tax Exempt	Contribution	200
American Cancer Society 515 Dare Run, Durham, NC 27705	N/A	Tax Exempt	Contribution	100
Mental Health Association of Greensboro 330 S. Greene Street., Suite B12 Greensboro, NC 27401	N/A	Tax Exempt	Contribution	200
Piedmont Dialysis Patient Support Group 655 Cotton St., Winston-Salem, NC 27401	N/A	Tax-Exempt	Contribution	100
Young Life of Pitt County P O Box 3738, Greenville NC 27836	N/A	Tax Exempt	Contribution	100
Greensboro Beautiful P O Box 3136, Greensboro, NC 27402	N/A	Tax Exempt	Contribution	475
The Society of Cincinnati 2118 Mass Ave., N.W., Washington, DC 20008	N/A	Tax Exempt	Contribution	500

THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Foster Friends of NC P O Box 49605, Greensboro, NC 27419	N/A	Tax Exempt	Contribution	\$ 1,100
Alliance for Climate Protection 901 E Street, NW, Washington, NC 20004	N/A	Tax Exempt	Contribution	100
The Partnership Project 1615 M Street, NW, Washington, DC 20036	N/A	Tax Exempt	Contribution	100
Southern Alliance for Clean Energy 34 Wall St., Asheville, NC 28801	NA	Tax Exempt	Contributions	1,100
Sports Dreams, Inc. 3623 N. Elm St., Greensboro, NC 27455	N/A	Tax-Exempt	Contributions	100
NC Museum of History P O Box 25937, Raleigh, NC 27611	N/A	Tax-Exempt	Contributions	100
Grandfather Home for Children, Inc. P O Box 98, Banner Elk, NC 28604	N/A	Tax-Exempt	Contributions	1,000
Southern Partners Fund 1776 Peachtree St., Atlanta, GA 30309	N/A	Tax-Exempt	Contributions	2,000
Alliance for Peace Building 1320 19 <sup>th</sup> St., NW, Washington, NC 20036	N/A	Tax-Exempt	Contributions	100
Duke University Bone Marrow Transplant Program P O Box 3961, Durham, N 27710	N/A	Tax-Exempt	Contributions	50
Hospice of Greensboro P O Box 4025, Greensboro, NC 27404	N/A	Tax-Exempt	Contributions	50
88.5 WFDD 1834 Wake Forest Dr., Winston-Salem, NC 27109	N/A	Tax-Exempt	Contributions	100
Leukemia & Lymphoma Society 831 W. Cornwallis Dr., Greensboro, NC 27408	N/A	Tax-Exempt	Contributions	100
Center for Education, Imagination & Natural World 1501 Rock Creek Dairy Road, Whitsett, NC 27377	N/A	Tax-Exempt	Contributions	360
Family Service of Piedmont 902 Bonner Dr., Jamestown, NC 27282	N/A	Tax-Exempt	Contributions	100
Children's Home Society of NC P O Box 14608, Greensboro, NC 27415	N/A	Tax-Exempt	Contributions	100
Coalition to Salute America's Heroes P O Box 96440, Washington, NC 20090	N/A	Tax-Exempt	Contributions	100
Women to Women 330 S. Eugene St., Greensboro, NC 27401	N/A	Tax-Exempt	Contributions	5,000

THE HUMMEL FAMILY FUND, INC.

SCHEDULE OF CONTRIBUTIONS PAID  
YEAR ENDED DECEMBER 31, 2009

<u>Name</u>	<u>Relationship</u>	<u>Status</u>	<u>Purpose</u>	<u>Amount</u>
Greensboro Urban Ministry 305 W. Lee Street, Greensboro, NC 27406	N/A	Tax-Exempt	Contributions	\$ 100
Heartstrings, Inc. P O Box 10825, Greensboro, NC 27407	N/A	Tax-Exempt	Contributions	100
Duke University P O Box 90581, Durham, NC 27708	N/A	Tax-Exempt	Contributions	100
Joseph's House, Inc. P O Box 13241, Greensboro, NC 27405	N/A	Tax-Exempt	Contributions	100
Adult Center for Enrichment P O Box 13048, Greensboro, NC 27415	N/A	Tax-Exempt	Contributions	100
Camp Merrie Woode 100 Merrie Woode Rd., Sapphire, NC 28774	N/A	Tax-Exempt	Contributions	100
Hispanic Liaison of Chatham County 105 E. 2 <sup>nd</sup> Street, Siler City, NC 27344	N/A	Tax-Exempt	Contributions	2,000
United for A Fair Economy 29 Winter St., Boston, MA 02108	N/A	Tax-Exempt	Contributions	1,000
Twenty-First Century Foundation 132 W. 112 <sup>th</sup> Street, New York, NY 10026	N/A	Tax-Exempt	Contributions	1,000
Institute for Policy Studies, Inc. 30 Germania St., Jamaica Plain, MA 02130	N/A	Tax-Exempt	Contributions	2,000
				<u>\$ 51,400</u>

THE HUMMEL FAMILY FUND INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AMERICAN CAP WRLD GRW & INC FD	P	VARIOUS	12/21/09
b AMERICAN CAP WRLD GRW & INC FD	P	VARIOUS	12/21/19
c AMERICAN NEW PERSPECTIVE FD	P	VARIOUS	12/22/09
d AMERICAN NEW PERSPECTIVE FD	P	VARIOUS	12/22/09
e COCA COLO CO	P	01/09/06	09/25/09
f MARSH & MCLENNAN COS INC	P	11/23/04	09/25/09
g SHUTTERFLY INC	P	12/26/07	09/25/09
h SANMINA SCI CORP	P	11/23/04	09/25/09
i TEKELEC INC	P	05/05/09	09/25/09
j TIME WARNER INC	P	12/06/05	09/25/09
k TIME WARNER CABLE INC	P	12/06/05	09/25/09
l TIME WARNER CABLE INC CASH/LIEU	P		04/27/09
m SANMINA SCI CORP CASH/LIEU	P		09/09/09
n CAPITAL GAINS DIVIDENDS			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 97,488.		128,312.	-30,824.
b 3,061.		2,607.	454.
c 93,775.		124,995.	-31,220.
d 11,040.		7,862.	3,178.
e 10,600.		8,416.	2,184.
f 23,681.		28,588.	-4,907.
g 65,763.		110,421.	-44,658.
h 5,083.		36,379.	-31,296.
i 28,543.		29,348.	-805.
j 42,449.		62,459.	-20,010.
k 15,540.		20,579.	-5,039.
l 14.			14.
m 4.			4.
n 230.			230.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-30,824.
b			454.
c			-31,220.
d			3,178.
e			2,184.
f			-4,907.
g			-44,658.
h			-31,296.
i			-805.
j			-20,010.
k			-5,039.
l			14.
m			4.
n			230.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-162,695.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
MERRILL LYNCH	16.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	16.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH- VARIOUS	11,826.	0.	11,826.
TOTAL TO FM 990-PF, PART I, LN 4	11,826.	0.	11,826.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	675.	675.		0.
TO FORM 990-PF, PG 1, LN 16B	675.	675.		0.

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FORM 990-PF TAXES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2008 990 PF TAX	387.	387.		0.
TO FORM 990-PF, PG 1, LN 18	387.	387.		0.

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FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ML INVESTMENT FEES	1,690.	1,690.			0.
TO FORM 990-PF, PG 1, LN 23	1,690.	1,690.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCKS	910,099.	1,011,720.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	910,099.	1,011,720.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
ESTATE OF EGBERT L DAVIS, JR	COST	0.		0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.		0.

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FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS	STATEMENT	8
	PART VII-A, LINE 10		

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NAME OF CONTRIBUTOR	ADDRESS
SAMUEL D. HUMMEL	1907 ROSECREST DRIVE GREENSBORO, NC 27408
ANNE D. HUMMEL	1907 ROSECREST DRIVE GREENSBORO, NC 27408
ESTATE OF EGBERT LAWRENCE DAVIS, JR	235 ARBOR RD WINSTON SALEM, NC 27104

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FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SAMUEL D. HUMMEL 1907 ROSECREST DRIVE GREENSBORO, NC 27408	PRESIDENT 0.00	0.	0.	0.
ANNE D. HUMMEL 1907 ROSECREST DRIVE GREENSBORO, NC 27408	SEC/TREAS 0.00	0.	0.	0.
LESLIE A. HUMMEL WASHINGTON, DC 20013	DIRECTOR 0.00	0.	0.	0.
SAM D HUMMEL, JR HILLSBOROUGH, NC 27278	DIRECTOR 0.00	0.	0.	0.
ELIZABETH H. BONITZ PITTSBORO, NC 27312	DIRECTOR 0.00	0.	0.	0.
AMELIA H. HODGES ASHEVILLE, NC 28803	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

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FORM 990-PF	PART XV - LINE 1A	STATEMENT 10
	LIST OF FOUNDATION MANAGERS	

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## NAME OF MANAGER

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SAMUEL D. HUMMEL  
ANNE D. HUMMEL

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FORM 990-PF	PART XV - LINE 1B	STATEMENT 11
	LIST OF FOUNDATION MANAGERS	

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## NAME OF MANAGER

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SAMUEL D. HUMMEL  
ANNE D. HUMMEL