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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

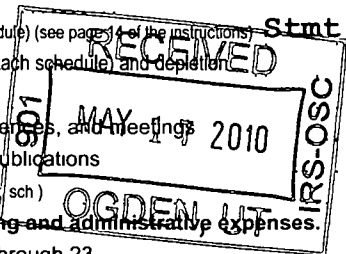
Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation BALLARD FAMILY FOUNDATION		A Employer identification number 56-2025731
	Number and street (or P O box number if mail is not delivered to street address) 4506 MONCK COURT	Room/suite	B Telephone number (see page 10 of the instructions) 252-522-1220
	City or town, state, and ZIP code NEW BERN NC 28562		C If exemption application is pending, check here ☐
	H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 165,223	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	5,344	5,344		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 Stmt 1	-27,730			
b Gross sales price for all assets on line 6a 38,370				
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) Stmt 2	82			
12 Total. Add lines 1 through 11	-22,304	5,344	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 3	550	550		
c Other professional fees (attach schedule) Stmt 4	3,897	3,897		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) Stmt 5	394	394		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	4,841	4,841		0
25 Contributions, gifts, grants paid	10,789			10,789
26 Total expenses and disbursements. Add lines 24 and 25	15,630	4,841	0	10,789
27 Subtract line 26 from line 12	-37,934			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		503		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2009)



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	1,641	2,516	2,516
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) See Stmt 6	256,190	217,381	162,707
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
Liabilities	14 Land, buildings, and equipment basis ▶ 2,748 Less accumulated depreciation (attach sch) ▶ Stmt 7 2,748			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	257,831	219,897	165,223
	17 Accounts payable and accrued expenses			
Liabilities	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	257,831	219,897	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	257,831	219,897	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	257,831	219,897	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	257,831
2 Enter amount from Part I, line 27a	2	-37,934
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	219,897
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	219,897

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a N/A				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	10
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	10
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	10
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) None		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MAG MUTUAL HSI PO BOX 1004 Located at ► KINSTON, NC	Telephone no ► 252-522-1220 ZIP+4 ► 28503		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 ► ☐		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRY BALLARD 4506 MONCK COURT NEW BERN NC 28562	PRES/TREAS 0.00	0	0	0
DOLLY BALLARD 4506 MONCK COURT NEW BERN NC 28562	SECRETARY 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS TO VARIOUS CHARITIES	
	10,789
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	148,759
b	Average of monthly cash balances	1b	2,186
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	150,945
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	150,945
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	2,264
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	148,681
6	Minimum investment return. Enter 5% of line 5	6	7,434

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	7,434
2a	Tax on investment income for 2009 from Part VI, line 5	2a	10
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	10
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,424
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	7,424
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,424

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	10,789
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	10,789
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,789

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				7,424
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			10,789	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 10,789				
a Applied to 2008, but not more than line 2a			10,789	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				7,424
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling				
b	Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)				
2a	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1	Information Regarding Foundation Managers:
a	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) HARRY AND DOLLY BALLARD, 4506 MONCK COURT NEW BERN
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest N/A
2	Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
	Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a	The name, address, and telephone number of the person to whom applications should be addressed N/A
b	The form in which applications should be submitted and information and materials they should include See Statement 8
c	Any submission deadlines NONE
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year WEST VA UNIVERSITY FD PO BOX 1650 MORGANTOWN WV 26507-1650 PHILLIPS EXETER ACADEMY 20 MAIN STREET EXETER NH 03833-2460 HABITAT FOR HUMANITY PO BOX 1231 NEW BERN NC 28563 COASTAL WOMEN'S SHELTER 1333 S. GLENBURNIE ROAD NEW BERN NC 28562 CRAVEN REGIONAL MED CTR F PO BOX 1576 NEW BERN NC 28563 UNIVERSITY OF NORTH CAROL CAMPUS BOX 6100 CHAPEL HILL NC 27599-6100 CHRIST EPISCOPAL CHURCH PO BOX 1246 NEW BERN NC 28560 FIRST PRESBYTERIAN CHURCH 418 NEW STREET NEW BERN NC 28560 USO BOX 96860 WASHINGTON DC 20077-7677			EDUCATIONAL EDUCATIONAL COMMUNITY COMMUNITY EDUCATIONAL EDUCATIONAL RELIGIOUS RELIGIOUS COMMUNITY	 1,500 750 200 200 1,500 2,000 3,000 1,000 639
Total			▶ 3a	10,789
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross' amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					5,344
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					-27,730
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b	CASH IN LIEU OF FRACTIONAL SH					82
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		0	-22,304
13	Total. Add line 12, columns (b), (d), and (e)					-22,304

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Federal Statements

56-2025731

FYE: 12/31/2009

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
UBS SHORT TERM GAINS				Purchase					
	Various	Various		3,511 \$		3,331 \$	\$		180
UBS LONG TERM LOSSES				Purchase					
	Various	Various		34,859		62,769			-27,910
Total				\$ 38,370	\$	66,100	0 \$	0 \$	-27,730

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
CASH IN LIEU OF FRACTIONAL SH	\$ 82	\$	\$
Total	\$ 82	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX RETURN PREPARATION	\$ 550	\$ 550	\$	\$
Total	\$ 550	\$ 550	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PAINWEBBER ADVISORY FEES	\$ 3,897	\$ 3,897	\$	\$
Total	\$ 3,897	\$ 3,897	\$ 0	\$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES WITHHELD	\$ 394	\$ 394	\$	\$
Total	\$ 394	\$ 394	\$ 0	\$ 0

Form 990-PF, Part I, Line 23 - Amortization

Description	Date Acquired	Cost Basis	Prior Year Amortization	Life	Current Year Amortization	Net Investment Income	Adjusted Net Income	COGS
ORGANIZATIONAL COSTS	5/01/97	\$ 2,748	\$ 2,748	5	\$	\$	\$	
Total		\$ 2,748	\$ 2,748		\$ 0	\$ 0	\$ 0	

Federal Statements**Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
UBS FINANCIAL SERVICE-VARIOUS STOCKS	\$ 256,190	\$ 217,381		\$ 162,707
Total	\$ 256,190	\$ 217,381		\$ 162,707

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
	\$	\$ 2,748	\$ 2,748	\$
Total	\$ 0	\$ 2,748	\$ 2,748	\$ 0

56-2025731

Federal Statements

FYE: 12/31/2009

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

<u>Name of Manager</u>	<u>Amount</u>
HARRY AND DOLLY BALLARD, 4506 MONCK COURT NEW BERN	\$
Total	\$ 0

Statement 8 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

<u>Description</u>
WRITTEN REQUEST SUBMITTED TO HARRY BALLARD, 4506 MONCK COURT, NEW BERN, NC 28562

Form 990-PF, Part XV, Line 2c - Submission Deadlines

<u>Description</u>
NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

<u>Description</u>
NONE

Affidavit of Publication

SUN-JOURNAL

New Bern, N.C.

Personally appeared before me, a Notary Public of the County of Craven, State of North Carolina.

on this the 30 day of April 2010

Karen Gardner
of the Sun Journal, being duly sworn, states that the notice entitled

Annual Report of The
Ballard Family Foundation

Public Notice

The annual report of the Ballard Family Foundation is available, at the address noted below, for inspection during normal business hours, by any citizen who so requests within 180 days after publication of this notice of its availability.

Ballard Family Foundation

c/o Michael E. DeFrank, Esq.

Taft, Stettinius & Hollister

1800 Star Bank Center • 425 Walnut St.,

Cincinnati, OH 45202-3951

The principal manager is Harry M. Ballard, General manager

a true copy of which is attached hereto, appeared in the Sun Journal, a newspaper published in the City of New Bern, County of Craven, State of North Carolina,

once a week for
weeks, on the following dates:

Saturday, April 17, 2010
200
200
200
200
200
200
200
200

The New Bern Sun Journal

Subscribed and sworn to this 30th
day of April 2010

Sheila D. Meadows
Notary Public





UBS Financial Services Inc.
312 WALNUT STREET
SUITE 3300
CINCINNATI OH 45202-4045

CPZ2000429835 1209 CE 2

ACCESS

December 2009

Duplicate statement for the account of:
THE BALLARD FAMILY FOUNDATION
4603 WEST FAIRWAY DRIVE
NEW BERN NC 28562-7535
Account number CE 46840 48

00009277 03 AT 0.882 03 TR 00131 B201F014 0000000 cpl
RICHARD PILSON
PO BOX 1004
2511 N QUEEN STREET
KINSTON NC 28503-1004



Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Capital appreciation

Your risk profile:

Primary - Moderate

Secondary - Aggressive/Speculative



Value of your account

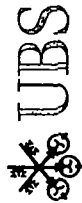
- Your account instructions**
- Your ACCESS account is managed by BRANDES GLOBAL
 - Statement copies are sent to 1 interested party

	on November 30 (\$)	on December 31 (\$)
Your assets	174,103.12	165,222.61
Your liabilities	0.00	0.00
Value of your account	\$174,103.12	\$165,222.61

Change in the value of your account

	December 2009 (\$)	Year to date (\$)
Opening account value	\$174,103.12	\$147,147.37
Deposits, including securities transferred in	0.00	3,300.00
Withdrawals and fees, including securities transferred out	-10,821.49	-15,080.13
Dividend and interest income	454.92	5,320.64
Change in market value	1,486.06	24,534.73
Closing account value	\$165,222.61	\$165,222.61





Account name.
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 4B

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balances* value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	December 2009 (\$)	Year to date (\$)
Opening balances	\$2,758.14	\$1,640.89
<i>Additions</i>		
Deposits and other funds credited	0 00	3,300 00
Dividend and interest income	454 92	5,320 64
Proceeds from security transactions	10,124 28	31,035 63
Total additions	\$10,579.20	\$39,656.27
<i>Subtractions</i>		
Professional management fees and related services	0 00	-3,843 44
Other fees	-14 10	-53 49
Other funds debited	-10,807 39	-11,183 20
Funds withdrawn for securities bought	0 00	-23,701 18
Total subtractions	-\$10,821.49	-\$38,781.31
Net cash flow	-\$242.29	\$874.96
Closing balances	\$2,515.85	\$2,515.85

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2009 (\$)	Year to date (\$)
Taxable dividends	454 92	5,320 23
Total current year	\$454.92	\$5,320.23
Prior year adjustment	0 00	0 41
Total dividend & interest	\$454.92	\$5,320.64

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)	
	December 2009 (\$)	Year to date (\$)	December 2009 (\$)	Year to date (\$)
Short term	-0 50	179 62	5,347 09	
Long term	-257 98	-27,910 50	-61,851 67	
Total	-\$258.48	-\$27,730.88	-\$56,504.58	

Withholdings and tax summary

	December 2009 (\$)	Year to date (\$)
Foreign taxes paid	-18 39	-394 20



ACCESS
December 2009

Account name:
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 4B

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP
513-369-4100/800-344-4092

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	2,758.14	2,515.85	1.00	0.01%	Nov 23 to Dec 23	31

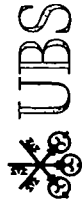
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N Y SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE	Jun 5, 08	245 000	15 027	3,681.79	6 410	1,570.45	-2,111.34	LT
	Earnings	9 000	11 598	104.39	6 410	57.69	-46.70	
Security total		254 000		3,786.18		1,628.14	-2,158.04	
AKZO NOBEL NV ADR								
Symbol AKZOY Exchange OTC	Mar 24, 04	25 000	35 411	885.28	66 572	1,664.30	779.02	LT
EAI \$107 Current yield 2.92%	Sep 5, 08	30 000	58 804	1,764.13	66 572	1,997.16	233.03	LT
Security total		55 000		2,649.41		3,661.46	1,012.05	
ALCATEL-LUCENT SPON ADR								
Symbol ALU Exchange NYSE	Mar 13, 01	100 000	36 580	3,658.07	3 320	332.00	-3,326.07	LT
	Apr 9, 01	15 000	28 827	432.41	3 320	49.80	-382.61	LT
	Oct 30, 01	175 000	14 251	2,494.01	3 320	581.00	-1,913.01	LT
	Feb 26, 02	40 000	13 796	551.87	3 320	132.80	-419.07	LT
	Dec 7, 05	350 000	14 402	5,040.72	3 320	1,162.00	-3,878.72	LT
	Mar 22, 06	103 000	14 173	1,459.83	3 320	341.96	-1,117.87	LT
Security total		783 000		13,636.91		2,599.56	-11,037.35	

continued next page





Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI \$29 Current yield 1 79%	Mar 13, 09	40 000	11 984	479 38	40 520	1,620 80	1,141 42	ST
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$178 Current yield 4 46%	May 24, 07	35 000	53 603	1,876 13	46 940	1,642 90	-233 23	LT
	Jul 6, 07	50 000	54 138	2,706 92	46 940	2,347 00	-359 92	LT
Security total		85 000		4,583 05		3,989 90	-593 15	
AT&T INC								
Symbol T Exchange NYSE								
EAI \$222 Current yield 6 00%	Oct 9, 03	19 000	18 469	350.92	28 030	532 57	181 65	LT
	Jul 22, 05	53 000	19 947	1,057 23	28 030	1,485 59	428 36	LT
	Sep 17, 08	60 000	28 869	1,732 16	28 030	1,681 80	-50 36	LT
Security total		132 000		3,140 31		3,699 96	559 65	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI \$7 Current yield 0 28%	Aug 1, 07	14 000	148 819	2,083 47	15 060	210 84	-1,872 63	LT
	Jul 3, 08	150 000	22 315	3,347 27	15 060	2,259 00	-1,088.27	LT
Security total		164 000		5,430 74		2,469 84	-2,960 90	
BOSTON SCIENTIFIC CORP								
Symbol BSX Exchange NYSE								
	Sep 22, 06	100 000	14 767	1,476 74	9 000	900 00	-576 74	LT
	Apr 23, 07	150 000	15 918	2,387 72	9 000	1,350 00	-1,037 72	LT
Security total		250 000		3,864 46		2,250 00	-1,614 46	
BRASIL TELECOM SA REPSTG PFD								
SHS SPON ADR								
Symbol BTM Exchange NYSE								
	Nov 24, 09	57 000	31 315	1,784 95	29 130	1,660 41	-124 54	ST
	Nov 24, 09	12 000	31 380	376 56	29 130	349 56	-27 00 *	ST
Security total		69 000		2,161 51		2,009 97	-151 54	
BRASIL TELECOM S A SPON ADR								
Symbol BTMC Exchange NYSE								
	Nov 24, 09	32 000	16 725	535 20	15 990	511.68	-23 52	ST
	Nov 24, 09	6 000	16 251	97 51	15 990	95 94	-1 57 *	ST
Security total		38 000		632 71		607 62	-25 09	

continued next page



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December 2009

Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 48

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP
513-369-4100/800-344-4092

Your assets > **Equities** > **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI \$109 Current yield 5.08%	May 21, 02	25 000	30 219	755.48	25 250	631 25	-124 23	LT
	Feb 14, 05	60 000	24 118	1,447 10	25 250	1,515 00	67 90	LT
Security total		85 000		2,202.58		2,146 25	-56 33	
CANON INC ADR JAPAN SPON ADR								
Symbol CAJ Exchange NYSE								
EAI \$64 Current yield 2.52%	Mar 19, 09	60 000	28 296	1,697 77	42 320	2,539 20	841 43	ST
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM (ELETROBRAS)								
Symbol EBR Exchange NYSE	Sep 3, 99	90 000	8 720	784 82	21 090	1,898 10	1,113 28	LT
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC	Dec 22, 08	30 000	16 427	492 83	23 940	718 20	225 37	LT
	Jan 21, 09	70 000	15 273	1,069 11	23 940	1,675 80	606 69	ST
Security total		100 000		1,561 94		2,394 00	832 06	
CITIGROUP INC								
Symbol C Exchange NYSE	May 11, 09	36 000	3 060	110 18	3 310	119 16	8 98	ST
	Jun 9, 09	329 000	2 916	959 60	3 310	1,088 99	129 39	ST
Security total		365 000		1,069 78		1,208 15	138 37	
CONTAX PARTICIPACOES SA SPON ADR								
Symbol CTXNY Exchange OTC	Sep 6, 05	40 000	0 600	24 00	2 868	114 72	90 72	LT
EAI \$3 Current yield 2.62%								
DELL INC								
Symbol DELL Exchange OTC	Sep 13, 06	30 000	21 717	651 53	14 360	430 80	-220 73	LT
	Sep 22, 06	75 000	21 240	1,593 05	14 360	1,077 00	-516 05	LT
	Sep 11, 08	60 000	19 000	1,140 00	14 360	861 60	-278 40	LT
	Sep 29, 08	60 000	16 371	982 31	14 360	861 60	-120 71	LT
Security total		225 000		4,366 89		3,231 00	-1,135 89	
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DT Exchange NYSE	May 21, 01	45 000	22 592	1,016 64	14 700	661 50	-355 14	LT
EAI \$332 Current yield 7.06%								



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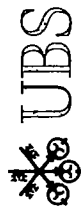


Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 30, 01	30 000	15 876	476 30	14 700	441 00	-35 30	LT
	Jan 31, 07	210 000	17 503	3,675.82	14 700	3,087 00	-588 82	LT
	Oct 1, 09	35 000	13 487	472 08	14 700	514 50	42 42	ST
Security total		320 000		5,640 84		4,704 00	-936 84	
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI \$57 Current yield 2 17%	Nov 22, 06	25 000	39 885	997 14	27 630	690 75	-306 39	LT
	Jan 10, 07	45 000	39 381	1,772 17	27 630	1,243 35	-528 82	LT
	Nov 19, 08	25 000	20 309	507 74	27 630	690 75	183 01	LT
Security total		95 000		3,277 05		2,624 85	-652 20	
DU PONT DE NEMOURS								
Symbol DD Exchange NYSE								
EAI \$41 Current yield 4 87%	Apr 14, 09	25 000	26 630	665 77	33 670	841 75	175 98	ST
EBAY INC								
Symbol EBAY Exchange OTC								
ENI SPA IT SPON ADR	Jan 15, 09	80 000	13 059	1,044 78	23 530	1,882 40	837 62	ST
Symbol E Exchange NYSE								
EAI \$131 Current yield 4 71%	Jun 26, 09	20 000	47 489	949 78	50 610	1,012 20	62 42	ST
	Jul 10, 09	20 000	44 106	882 14	50 610	1,012 20	130 06	ST
	Aug 12, 09	15 000	45 507	682 62	50 610	759 15	76 53	ST
Security total		55 000		2,514 54		2,783 55	269 01	
FIFTH THIRD BANCORP								
Symbol FITB Exchange OTC								
EAI \$6 Current yield 0 41%	Feb 9, 07	150 000	40 470	6,070 59	9 750	1,462 50	-4,608 09	LT
FRANCE TELECOM SA SPON ADR								
Symbol FTE Exchange NYSE								
EAI \$236 Current yield 6 58%	Jun 14, 04	140 000	24 523	3,433 32	25 240	3,533 60	100 28	LT
	Earnings	2 000	22 420	44 84	25 240	50 48	5 64	
Security total		142 000		3,478 16		3,584 08	105 92	
FUJII FILM HOLDINGS CORP ADR								
Symbol FUJIY Exchange OTC								
EAI \$17 Current yield 0 71%	Sep 10, 08	80 000	28 896	2,311 71	29 969	2,397 52	85 81	LT

continued next page



ACCESS
December 2009

Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP.
513-369-4100/800-344-4092

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$130 Current yield 4.40%	Jan 26, 07	40 000	54 479	2,179 19	42 250	1,690 00	-489 19	LT
	Jul 11, 07	30 000	51 846	1,555 41	42 250	1,267 50	-287 91	LT
Security total		70 000		3,734 60		2,957 50	-777 10	
HITACHI LTD ADR NEW JAPAN								
Symbol HIT Exchange NYSE	Aug 13, 98	5 000	56 960	284 80	30 680	153 40	-131 40	LT
	Feb 4, 04	30 000	62 330	1,869 92	30 680	920 40	-949 52	LT
Security total		35 000		2,154 72		1,073 80	-1,080 92	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI \$68 Current yield 3.13%	Nov 15, 07	15 000	29 134	437 01	28 930	433 95	-3 06	LT
	Jan 3, 08	60 000	26 087	1,565 24	28 930	1,735 80	170 56	LT
Security total		75 000		2,002 25		2,169 75	167 50	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$112 Current yield 2.75%	Jun 8, 06	140 000	17 205	2,408 78	20 400	2,856 00	447 22	LT
	Oct 21, 08	60 000	15 532	931 95	20 400	1,224 00	292 05	LT
Security total		200 000		3,340 73		4,080 00	739 27	
INTESA SANPAOLO SPON ADR								
Symbol ISNPY Exchange OTC	May 5, 09	100 000	20 021	2,002 11	27 117	2,711 70	709 59	ST
J SAINSBURY PLC SPON ADR								
Symbol JSAY Exchange OTC								
EAI \$54 Current yield 4.31%	Sep 5, 08	60 000	23 963	1,437 82	20 896	1,253 76	-184 06	LT
KEYCORP NEW								
Symbol KEY Exchange NYSE								
EAI \$5 Current yield 0.75%	Jun 13, 08	70 000	11 743	822 06	5 550	388 50	-433 56	LT
	Aug 21, 08	50 000	10 760	538 00	5 550	277 50	-260 50	LT
Security total		120 000		1,360 06		666 00	-694 06	
KONINKLIJKE AHOLD NV NEW 2007								
SPON ADR								
Symbol AHONY Exchange OTC								
EAI \$40 Current yield 1.35%	Nov 18, 02	55 000	14 998	824 92	13 286	730 73	-94 19	LT
	Dec 16, 03	168 000	9 167	1,540 22	13 286	2,232 04	691.82	LT

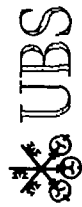


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Account name:
THE BALLARD FAMILY FOUNDATION
Account number:
CE 46840 4B

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		223 000		2,365 14		2,962 77	597 63	
KT CORP SPON ADR								
Symbol KTC Exchange NYSE								
EAI \$22 Current yield 1 87%	Feb 22, 02	30 000	20 681	620 44	16 820	504 60	-115 84	LT
	May 8, 02	40 000	22 809	912 36	16 820	672 80	-239 56	LT
Security total		70 000		1,532 80		1,177 40	-355 40	
L M ERICSSON TELEFON CO SEK 10								
NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI \$43 Current yield 1 73%	Oct 24, 07	110 000	14 812	1,629 42	9 190	1,010 90	-618 52	LT
	Jan 3, 08	160 000	11 564	1,850 31	9 190	1,470 40	-379 91	LT
Security total		270 000		3,479 73		2,481 30	-998 43	
LILLY ELI & CO								
Symbol LLY Exchange NYSE								
EAI \$59 Current yield 5 51%	Oct 6, 09	30 000	32 995	989 88	35 710	1,071 30	81 42	ST
MARKS & SPENCER GROUP INC SPON ADR								
Symbol MAKSY Exchange OTC								
EAI \$68 Current yield 3 49%	Jul 11, 03	15 000	10 729	160 94	12 983	194 75	33 81	LT
	Sep 17, 03	135 000	10 554	1,424 81	12 983	1,752 71	327 90	LT
Security total		150 000		1,585 75		1,947 45	361 71	
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange NYSE								
EAI \$60 Current yield 3 62%	Nov 24, 09	75 000	22 759	1,706 97	22 080	1,656 00	-50 97	ST
MICRON TECHNOLOGY INC								
Symbol MU Exchange OTC								
	Mar 9, 07	95 000	11 418	1,084 71	10 560	1,003 20	-81 51	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$57 Current yield 1 70%	May 1, 06	50 000	24 391	1,219 60	30 480	1,524 00	304 40	LT
	Sep 18, 08	60 000	24 760	1,485 60	30 480	1,828 80	343 20	LT
Security total		110 000		2,705 20		3,352 80	647 60	
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR								
Symbol MTU Exchange NYSE								
EAI \$33 Current yield 2 20%	Apr 18, 07	65 000	11 182	726 86	4 920	319 80	-407 06	LT

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Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 19, 07	240 000	9.182	2,203.85	4.920	1,180.80	-1,023.05	LT
305 000				2,930.71		1,500.60	-1,430.11	
MITSUI SUMITOMO UNSPONS ADR								
ADR								
Symbol M5IGY Exchange OTC								
EAI \$48 Current yield 1.80%	Nov 30, 06	210 000	20.122	4,225.66	12.702	2,667.42	-1,558.24	LT
MIZUHO FINANCIAL GROUP INC								
SPON ADR								
Symbol MFG Exchange NYSE								
EAI \$83 Current yield 5.30%	May 7, 07	240 000	12.494	2,998.75	3.560	854.40	-2,144.35	LT
	Sep 19, 07	150 000	11.065	1,659.75	3.560	534.00	-1,125.75	LT
	Sep 20, 07	50 000	10.915	545.77	3.560	178.00	-367.77	LT
Security total		440 000		5,204.27		1,566.40	-3,637.87	
MOTOROLA INC								
Symbol MOT Exchange NYSE	Jul 10, 07	270 000	17.621	4,757.89	7.760	2,095.20	-2,662.69	LT
NIPPON TELEGRAPH & TEL CORP SPON								
ADR								
Symbol NTT Exchange NYSE								
EAI \$66 Current yield 2.91%	Feb 14, 05	35 000	21.357	747.50	19.740	690.90	-56.60	LT
	Jun 28, 07	80 000	22.238	1,779.04	19.740	1,579.20	-199.84	LT
Security total		115 000		2,526.54		2,270.10	-256.44	
NOKIA CORP SPONS ADR FINLAND								
ADR								
Symbol NOK Exchange NYSE								
EAI \$59 Current yield 3.06%	Nov 18, 08	35 000	13.029	456.02	12.850	449.75	-6.27	LT
	Apr 16, 09	115 000	13.168	1,514.37	12.850	1,477.75	-36.62	ST
Security total		150 000		1,970.39		1,927.50	-42.89	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$272 Current yield 3.96%	Oct 18, 04	120 000	28.748	3,449.82	18.190	2,182.80	-1,267.02	LT
	Jun 21, 07	160 000	25.939	4,150.32	18.190	2,910.40	-1,239.92	LT
	Oct 16, 09	98 000	17.660	1,730.68	18.190	1,782.62	51.94	ST
Security total		378 000		9,330.82		6,875.82	-2,455.00	

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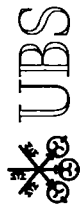
Account name:
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 48

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI \$7 Current yield 0.74%	Nov 6, 07	7 000	642 002	4,494 02	52 790	369 53	-4,124 49	LT
	May 27, 08	11 000	134 636	1,481 00	52 790	580 69	-900 31	LT
Security total		18 000		5,975 02		950 22	-5,024 80	
PORTUGAL TELECOM SGPS S A 1								
ADR = 1 ORD SPON ADR								
Symbol PT Exchange NYSE								
EAI \$76 Current yield 4.82%	Feb 17, 04	130 000	11 552	1,501 86	12 140	1,578 20	76 34	LT
REGIONS FINANCIAL CORP								
Symbol RF Exchange NYSE								
EAI \$5 Current yield 0.70%	Sep 24, 09	135 000	6 395	863 34	5 290	714 15	-149 19	ST
ROYAL BANK SCOTLAND SPON ADR								
Symbol RBS Exchange NYSE								
	Apr 2, 08	26 000	142 307	3,699 99	9 390	244 14	-3,455 85	LT
SAFEWAY INC								
Symbol SWY Exchange NYSE								
EAI \$66 Current yield 1.88%	Feb 3, 04	105 000	22 925	2,407 16	21 290	2,235 45	-171 71	LT
	Mar 15, 05	60 000	18 421	1,105 27	21 290	1,277 40	172 13	LT
Security total		165 000		3,512 43		3,512 85	0 42	
SANOFI-AVENTIS SA SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$151 Current yield 2.85%	Oct 7, 05	45 000	42 103	1,894 65	39 270	1,767 15	-127 50	LT
	Nov 8, 06	50 000	42 465	2,123 28	39 270	1,963 50	-159 78	LT
	Oct 31, 07	40 000	43 813	1,752 55	39 270	1,570 80	-181 75	LT
Security total		135 000		5,770 48		5,301 45	-469 03	
SARA LEE CORP								
Symbol SLE Exchange NYSE								
EAI \$165 Current yield 3.61%	May 8, 06	225 000	15 879	3,572 99	12 180	2,740 50	-832 49	LT
	Apr 26, 07	150 000	16 450	2,467 50	12 180	1,827 00	-640 50	LT
Security total		375 000		6,040 49		4,567 50	-1,472 99	
SONY CORP ADR NEW JAPAN ADR								
Symbol SNE Exchange NYSE								
EAI \$15 Current yield 0.86%	Oct 6, 08	15 000	27 396	410 95	29 000	435 00	24 05	LT
	Aug 27, 09	45 000	26 991	1,214 64	29 000	1,305 00	90 36	ST
Security total		60 000		1,625 59		1,740 00	114 41	

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Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 48

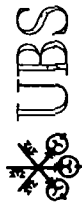
Your Financial Advisor:
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513-369-4100/800-344-4092

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
STMICROELECTRONICS N V								
Symbol STM Exchange NYSE								
EAI \$24 Current yield 1 08%	Mar 1, 05	90 000	18 047	1,624 30	9 270	834 30	-790 00	LT
	Jun 29, 05	150 000	16 224	2,433 66	9 270	1,390 50	-1,043 16	LT
Security total		240 000		4,057 96		2,224 80	-1,833 16	
SUMITOMO MITSUI FINANCIAL GROUP INC ADR								
Symbol SMFY Exchange OTC								
EAI \$20 Current yield 1 83%	May 22, 07	385 000	9 065	3,490 10	2 841	1,093 78	-2,396 32	LT
SUNTRUST BANKS INC								
Symbol STI Exchange NYSE								
EAI \$2 Current yield 0 22%	Apr 28, 09	45 000	14 761	664 29	20 290	913 05	248 76	ST
SUPERVALU INC								
Symbol SVU Exchange NYSE								
EAI \$53 Current yield 5 56%	Jan 4, 08	75 000	34 090	2,556 82	12 710	953 25	-1,603 57	LT
SWISS REINS CO SPON ADR								
Symbol SWCEY Exchange OTC								
EAI \$2 Current yield 0 14%	Oct 22, 09	30 000	47 269	1,418 08	48 281	1,448 43	30 35	ST
TELE NORTE LESTE PARTICIPACOES S A ADR								
Symbol TNE Exchange NYSE								
EAI \$98 Current yield 11 44%	Jul 11, 07	40 000	21 490	859 60	21 420	856 80	-2 80	LT
TELEBRAS TELECOMUNICA-BRASILEIRAS SA REP 1 DEP SHR SPON ADR								
Symbol TBH Exchange NYSE								
EAI \$4 Current yield 1 71%	Sep 11, 98	25 000	47 922	1,198 06	5 850	146 25	-1,051 81	LT
	Aug 5, 99	15 000	63 843	957 65	5 850	87 75	-869 90	LT
Security total		40 000		2,155 71		234 00	-1,921 71	
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR								
Symbol TI Exchange NYSE								
EAI \$128 Current yield 3 07%	Sep 17, 03	120 000	24 896	2,987 53	15 430	1,851 60	-1,135 93	LT
	Mar 31, 06	150 000	29 223	4,383 48	15 430	2,314 50	-2,068 98	LT
Security total		270 000		7,371 01		4,166 10	-3,204 91	



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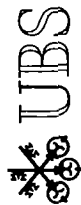
Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TELEFONICA S A SPON ADR								
Symbol TEF Exchange NYSE								
EAI \$59 Current yield 4 16%	Jul 17, 00	17 000	55 979	951 65	83 520	1,419 84	468 19	LT
TELEFONOS DE MEXICO S A SPON ADR								
ADR REPSTG SH ORD L MEXICO								
Symbol TMX Exchange NYSE								
EAI \$48 Current yield 4 08%	Jun 8, 00	71 000	8 542	606 53 1	16 580	1,177 18	570 65	LT
TENET HEALTHCARE CORP								
Symbol THC Exchange NYSE	Dec 3, 03	200 000	15 559	3,111 96	5 390	1,078 00	-2,033 96	LT
TEXAS INSTRUMENTS								
Symbol TXN Exchange NYSE								
EAI \$58 Current yield 1 85%	Oct 21, 08	60 000	16 987	1,019 27	26 060	1,563 60	544 33	LT
	Oct 23, 08	30 000	16 995	509 86	26 060	781 80	271 94	LT
	Apr 3, 09	30 000	16 590	497 70	26 060	781 80	284 10	ST
Security total		120 000		2,026 83		3,127 20	1,100 37	
TIM PARTICIPACOFES SA ADR								
Symbol TSU Exchange NYSE								
EAI \$2 Current yield 2 24%		3 000	---This information was unavailable---			89 13		
TOKIO MARINE HOLDINGS INC SPON ADR								
Symbol TKOMY Exchange OTC								
EAI \$44 Current yield 1 62%	Jun 25, 97	62 000	25 837	1,601 90	27 176	1,684 91	83 01	LT
	Feb 26, 02	38 000	13 765	523 10	27 176	1,032 69	509 59	LT
Security total		100 000		2,125 00		2,717 60	592 60	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR								
Symbol TM Exchange NYSE								
EAI \$38 Current yield 1 29%	Oct 6, 09	5 000	76 418	382 09	84 160	420 80	38 71	ST
	Oct 21, 09	30 000	79 253	2,377 61	84 160	2,524 80	147 19	ST
Security total		35 000		2,759 70		2,945 60	185 90	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol UN Exchange NYSE								
EAI \$74 Current yield 2 86%	Sep 23, 04	80 000	19 512	1,561 01	32 330	2,586 40	1,025 39	LT

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Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP
513-369-4100/800-344-4092

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VALERO ENERGY CORP NEW								
Symbol VLO Exchange NYSE								
EAI \$30 Current yield 3.58%	Dec 1, 08	50,000	16,500	825.00	16.750	837.50	12.50	LT
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI \$190 Current yield 5.73%	Jun 8, 00	45,000	52,006	2,340.30	33.130	1,490.85	-849.45	LT
	May 23, 02	10,000	41,041	410.41	33.130	331.30	-79.11	LT
	Jul 15, 05	45,000	33,317	1,499.30	33.130	1,490.85	-8.45	LT
Security total		100,000		4,250.01		3,313.00	-937.01	
VIVO PARTICIPACIOES SA REPSTG								
PFD SPON ADR								
Symbol VIV Exchange NYSE								
EAI \$3 Current yield 1.38%	Sep 11, 98	2,000	67,330	134.66	31.000	62.00	-72.66	LT
	Aug 5, 99	3,000	3,060	9.18	31.000	93.00	83.82	LT
	Dec 10, 04	2,000	16,875	33.75	31.000	62.00	28.25	LT
Security total		7,000		177.59		217.00	39.41	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$18 Current yield 0.74%	May 13, 08	27,000	144,852	3,911.03	26.990	728.73	-3,182.30	LT
	Jul 17, 08	33,000	56,395	1,861.05	26.990	890.67	-970.38	LT
	Aug 19, 09	30,000	26,340	790.20	26.990	809.70	19.50	ST
Security total		90,000		6,562.28		2,429.10	-4,133.18	
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI \$49 Current yield 2.00%	Oct 30, 00	150,000	8,430	1,264.55	8.460	1,269.00	4.45	LT
	Jul 26, 05	140,000	13,090	1,832.71	8.460	1,184.40	-648.31	LT
Security total		290,000		3,097.26		2,453.40	-643.86	
Total				\$219,122.22		\$162,706.76	-\$56,504.58	

Total estimated annual income: \$4,247

¹ Indicates cost basis information has been provided by a source other than UBS Financial Services

Asterisk * indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis





Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Your assets (continued)

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	2,515.85	1.52%	2,515.85		
	162,706.76	98.48%	219,122.22	4,247.00	-56,504.58
Total	\$165,222.61	100.00%	\$221,638.07	\$4,247.00	-\$56,504.58

* Missing cost basis information

Account activity this month

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
<i>Taxable dividends</i>				
	Dec 1	Dividend	INTEL CORP PAID ON 200	28 00
	Dec 1	Dividend	PFIZER INC PAID ON 378	60 48
	Dec 1	Foreign Dividend	STMICROELECTRONICS N V PAID ON 240	7 20
	Dec 1	Dividend	WELLS FARGO & CO NEW PAID ON 90	4 50
	Dec 8	Foreign Dividend	SONY CORP ADR NEW JAPAN ADR PAID ON 60	8 63
	Dec 9	Dividend	VALERO ENERGY CORP NEW PAID ON 50	7 50
	Dec 9	Foreign Dividend	VIVO PARTICIPACOE SA REPSTG PFD SPON ADR PAID ON 5	2 11
	Dec 10	Dividend	LULLY ELI & CO PAID ON 30	14 70
	Dec 10	Dividend	MICROSOFT CORP PAID ON 160	20 80
	Dec 10	Foreign Dividend	VIVO PARTICIPACOE SA REPSTG PFD SPON ADR PAID ON 5	1 28
	Dec 14	Dividend	DU PONT DE NEMOURS PAID ON 25	10 25
	Dec 15	Dividend	KEYCORP NEW PAID ON 120	1 20
	Dec 15	Dividend	SUNTRUST BANKS INC PAID ON 45	0 45
	Dec 15	Dividend	SUPERVALU INC PAID ON 75	13 13
	Dec 15	Foreign Dividend	TOKIO MARINE HOLDINGS INC SPON ADR PAID ON 100	27 10
	Dec 16	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 100	39 50

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December 2009

Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 48

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Account activity this month (continued)

Dividend and interest income (continued)

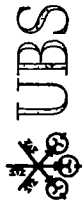
Taxable dividends (continued)

Date	Activity	Description	Amount (\$)
Dec 16	Foreign Dividend	TELEBRAS TELECOMUNICA- BRASILEIRAS SA REP 1 DEP SHR SPON ADR PAID ON 40	1 60
Dec 17	Dividend	HOME DEPOT INC PAID ON 110	24 75
Dec 17	Foreign Dividend	NIPPON TELEG & TEL CORP SPON ADR PAID ON 115	39 04
Dec 17	Foreign Dividend	TELEBRAS TELECOMUNICA- BRASILEIRAS SA REP 1 DEP SHR SPON ADR PAID ON 40	1 02
Dec 17	St Cap Gain	RMA MONEY MKT PORTFOLIO AS OF 12/16/09	0 16
Dec 18	Foreign Dividend	SUMITOMO MITSUI FINANCIAL GROUP INC ADR PAID ON 385	19 14
Dec 21	Foreign Dividend	DAI-ICHI KANGAROO FINANCIAL GROUP INC SPON ADR PAID ON 305	20 68
Dec 24	Dividend	BANK OF AMER CORP PAID ON 164	1 64
Dec 24	Foreign Dividend	FUJI FILM HOLDINGS CORP ADR PAID ON 80	11 31
Dec 24	Foreign Dividend	MITSUI SUMITOMO UNSPONS ADR PAID ON 210	32 06
Dec 24	Foreign Dividend	TELEFONOS DE MEXICO S A SPON ADR REPSTG SH ORD L MEXICO ADR PAID ON 71	12 66
Dec 28	Foreign Dividend	TELEFONOS DE MEXICO S A SPON ADR REPSTG SH ORD L MEXICO ADR PAID ON 71 AS OF 12/24/09	44 03
Total taxable dividends			\$454.92
Total dividend and interest income			\$454.92

Date	Activity	Description	Amount (\$)
Dec 8	Fee	SONY CORP ADR NEW JAPAN ADR	-0.12
Dec 9	Fee	VIVO PARTICIPACOES SA REPSTG PFD SPON ADR	-0 10
Dec 15	Fee	TOKIO MARINE HOLDINGS INC SPON ADR	-2 35
Dec 17	Fee	NIPPON TELEG & TEL CORP SPON ADR	-0 40
Dec 18	Fee	SUMITOMO MITSUI FINANCIAL GROUP INC ADR	-4 00
Dec 21	Fee	MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	-0 31
Dec 24	Fee	FUJI FILM HOLDINGS CORP ADR	-1 88

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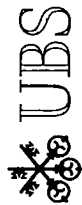


Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Fees (continued)			
Dec 24	Fee	MITSUI SUMITOMO UNSPONS ADR	-4 58
Dec 24	Fee	TELEFONOS DE MEXICO S A SPON ADR REPSTG SH ORD L MEXICO ADR	-0 36
Total fees			-\$14.10
Other funds debited			
Dec 1	Foreign Tax Withheld	STMICROELECTRONICS N V	-1 08
Dec 8	Withdrawal	CHECK 5932432 TO THIRD PARTY	-200 00
Dec 8	Withdrawal	CHECK 5932434 TO THIRD PARTY	-200 00
Dec 8	Withdrawal	CHECK 5932263 TO THIRD PARTY	-639 00
Dec 8	Withdrawal	CHECK 5932896 TO THIRD PARTY	-750 00
Dec 8	Withdrawal	CHECK 5932431 TO THIRD PARTY	-1,000 00
Dec 8	Withdrawal	CHECK 5931318 TO THIRD PARTY	-1,500 00
Dec 8	Withdrawal	CHECK 5932436 TO THIRD PARTY	-1,500 00
Dec 8	Withdrawal	CHECK 5932244 TO THIRD PARTY	-2,000 00
Dec 8	Withdrawal	CHECK 5932435 TO THIRD PARTY	-3,000 00
Dec 8	Foreign Tax Withheld	SONY CORP ADR NEW JAPAN ADR	-0 60
Dec 10	Foreign Tax Withheld	VIVO PARTICIPACOES SA REPSTG PFD SPON ADR	-0 19
Dec 15	Foreign Tax Withheld	TOKIO MARINE HOLDINGS INC SPON ADR	-1 90
Dec 16	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-5 92
Dec 17	Foreign Tax Withheld	NIPPON TELEG & TEL CORP SPON ADR	-2 73
Dec 17	Foreign Tax Withheld	TELEBRAS TELECOMUNICA- BRASILEIRAS SA REP 1 DEP SHR SPON ADR	-0 15
Dec 18	Foreign Tax Withheld	SUMITOMO MITSUI FINANCIAL GROUP INC ADR	-1 34
Dec 21	Foreign Tax Withheld	MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	-1 45
Dec 24	Foreign Tax Withheld	FUJI FILM HOLDINGS CORP ADR	-0 79

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ACCESS
December 2009

Account name:
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 4B

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP.
513-369-4100/800-344-4092

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 24	Foreign Tax Withheld	MITSUI SUMITOMO UNSPONS ADR	-2 24
Total other funds debited			-\$10,807.39

Security transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from securities transactions (\$)	Funds withdrawn for securities bought (\$)	Accrued interest (\$)
Dec 8	Sold	BRISTOL MYERS SQUIBB CO	-80 000		25 26	2,020 74		
Dec 8	Sold	HOME DEPOT INC	-35 000		28 02	980 67		
Dec 8	Sold	MICROSOFT CORP	-50 000		30 00	1,499 96		
Dec 8	Sold	MICRON TECHNOLOGY INC	-330 000		8 742500	2,884.95		
Dec 8	Sold	PORTUGAL TELECOM SGPS S A 1 ADR = 1 ORD SPON ADR	-95 000		12 73	1,209 31		
Dec 8	Sold	TELEFONICA S A SPON ADR	-10 000		88 55	885 47		
Dec 8	Sold	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-20 000		32 16	643 18		
Total						\$10,124.28		

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$2,758.14
Dec 2	Bought	RMA MONEY MKT PORTFOLIO	99 10
Dec 9	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/08/09	-656 81
Dec 10	Bought	RMA MONEY MKT PORTFOLIO	9 51
Dec 11	Bought	RMA MONEY MKT PORTFOLIO	36 59
Dec 15	Bought	RMA MONEY MKT PORTFOLIO	10 25
Dec 16	Bought	RMA MONEY MKT PORTFOLIO	37 63
Dec 17	Bought	RMA MONEY MKT PORTFOLIO AS OF 12/16/09	0 16
Dec 17	Bought	RMA MONEY MKT PORTFOLIO	35 18
Dec 18	Bought	RMA MONEY MKT PORTFOLIO	61 53
Dec 21	Bought	RMA MONEY MKT PORTFOLIO	13 80

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Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 48

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Money balance activities (continued)			
Dec 22	Bought	RMA MONEY MKT PORTFOLIO	18 92
Dec 28	Bought	RMA MONEY MKT PORTFOLIO	47 82
Dec 29	Bought	RMA MONEY MKT PORTFOLIO	44 03
Dec 31	Closing RMA Money Mkt. Portfolio		\$2,515.85

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes.

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

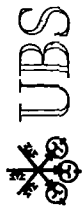
Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BRASIL TELECOM S A SPON ADR	LIFO	0.681	Nov 24, 09	Nov 24, 09	10.80	11.39	-0.59		
BRASIL TELECOM SA REPSTG									
PFD SHS SPON ADR	LIFO	0.609	Nov 24, 09	Nov 24, 09	19.16	19.07		0.09	
Total					\$29.96	\$30.46	-\$0.59	\$0.09	-\$0.50

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BRISTOL MYERS SQUIBB CO	FIFO	60.000	Apr 26, 02	Dec 03, 09	1,515.56	1,761.58	-246.02		
	FIFO	20.000	May 21, 02	Dec 03, 09	505.19	604.38	-99.19		
HOME DEPOT INC	FIFO	5.000	Oct 31, 07	Dec 03, 09	140.10	156.35	-16.25		
	FIFO	30.000	Nov 15, 07	Dec 03, 09	840.57	874.02	-33.45		
MICRON TECHNOLOGY INC	FIFO	110.000	Aug 17, 04	Dec 03, 09	961.65	1,230.39	-268.74		
	FIFO	90.000	Feb 10, 05	Dec 03, 09	786.80	1,004.04	-217.24		
	FIFO	130.000	Mar 09, 07	Dec 03, 09	1,136.50	1,484.34	-347.84		
MICROSOFT CORP	FIFO	50.000	May 01, 06	Dec 03, 09	1,499.96	1,219.60		280.36	

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ACCESS
December 2009

Account name:
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 4B

Your Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP.
513-369-4100/800-344-4092

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

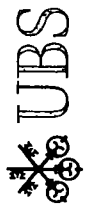
Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
PORTUGAL TELECOM SGPS S A 1 ADR = 1 ORD SPON ADR	FIFO	95 000	Feb 17, 04	Dec 03, 09	1,209 31	1,097 52		111 79	
TELEFONICA S A SPON ADR	FIFO	10 000	Jul 17, 00	Dec 03, 09	885 47	559 80		325 67	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	FIFO	20 000	Sep 23, 04	Dec 03, 09	643 18	390 25		252 93	
Total					\$10,124.29	\$10,382.27	-\$1,228.73	\$970.75	-\$257.98

Net capital gains/losses:

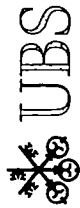
Prior month or year activity

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
WYETH ***MERGER EFF 10/09***	Adjustment	100 000	Sep 26, 07	Oct 16, 09	5,039 51	4,418 02		621 49	





Your notes



UBS Financial Services Inc
312 WALNUT STREET
SUITE 3300
CINCINNATI OH 45202-4045

CPZ2000429855 1209 CE 2

2009 Year End Summary

Duplicate statement for the account of:

THE BALLARD FAMILY FOUNDATION
4603 WEST FAIRWAY DRIVE
NEW BERN NC 28562-7535
Account number CE 46840 48

RICHARD PILSON
PO BOX 1004
2511 N QUEEN STREET
KINSTON NC 28503-1004

Summary of gains and losses

	Amount (\$)
Short term	179 62
Long term	-27,910.50
Total	\$-27,730.88

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See important information about your statement on the last page of this statement for more information.

Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BRASIL TELECOM S A SPON ADR	LIFO	0 681	Nov 24, 09	Nov 24, 09	11 39	-0.59		

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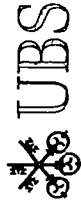


Member SIPC

00009277 00044792

CPZ20001000429855 PZ2000036974 00002 1209 000000000 2 CE 4B

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Account name: THE BALLARD FAMILY FOUNDATION
Account number: CE 46840 4B

Realized gains and losses (continued)

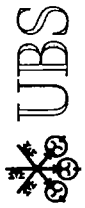
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BRASIL TELECOM SA REPSTG									
PFD SHS SPON ADR	LIFO	0 609	Nov 24, 09	Nov 24, 09	19 16	19 07		0 09	
HSBC HOLDINGS PLC NEW GB									
SPON ADR	FIFO	12 000	Mar 31, 09	Nov 09, 09	692 48	221 85		470 63	
PFIZER INC	Adjustment	0 500	Oct 16, 09	Oct 16, 09	8 92	8 83		0 09	
PNC FINANCIAL SERVICES									
GROUP	LIFO	0 424	May 27, 08	Jan 02, 09	20 57	57 08	-36 51		
SLM CORP VTG	FIFO	60 000	Sep 04, 08	Mar 20, 09	268 60	989 21	-720 61		
	FIFO	90 000	Sep 04, 08	Jan 16, 09	1,061 18	1,483 81	-422 63		
SUN MICROSYSTEMS INC NEW	FIFO	155 000	Dec 04, 08	Apr 20, 09	1,408 93	498 98		909 95	
WELLS FARGO & CO NEW	LIFO	0 725	Jul 17, 08	Jan 02, 09	20 11	40 91	-20 80		
Total					\$3,510.75	\$3,331.13	-\$1,201.14	\$1,380.76	\$179.62

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
AKZO NOBEL NV ADR	FIFO	15 000	Mar 03, 04	Oct 21, 09	1,001 40	572 19		429 21	
	FIFO	5 000	Mar 24, 04	Oct 21, 09	333 80	177 06		156 74	
ASTRAZENECA PLC SPON ADR	FIFO	25 000	May 24, 07	Jul 07, 09	1,088 41	1,340 09	-251 68		
BOSTON SCIENTIFIC CORP	FIFO	50 000	Sep 22, 06	Jul 27, 09	525 10	738 37	-213 27		
	FIFO	95 000	Sep 22, 06	Jun 23, 09	927 18	1,402 90	-475 72		
BRASIL TELECOM									
PARTICIPACOES S A									
MERHGER EFF- 11/09	FIFO	38 000	Jun 21, 01	Nov 24, 09	2,320 16	1,520 84		799 32	
BRISTOL MYERS SQUIBB CO	FIFO	60 000	Apr 26, 02	Dec 03, 09	1,515 56	1,761 58	-246 02		
	FIFO	20 000	May 21, 02	Dec 03, 09	505 19	604 38	-99 19		
CITIGROUP INC	FIFO	135 000	Nov 08, 07	Mar 30, 09	320 62	4,266 95	-3,946 33		
CONSECO INC NEW	FIFO	125 000	Jul 23, 07	Mar 06, 09	50 48	2,510 23	-2,459 75		
EASTMAN KODAK CO	FIFO	115 000	May 24, 07	Aug 25, 09	521 07	2,946 94	-2,425 87		
FAIRPOINT COMMUNICATIONS									
INC	FIFO	1 000	Jun 08, 00	Mar 30, 09	0 64	10 48	-9 84		
FORD MOTOR CO COM NEW	FIFO	14 000	Feb 14, 05	Mar 18, 09	34 99	183 47	-148 48		
	FIFO	200 000	Jun 03, 05	Mar 18, 09	499 80	2,014 02	-1,514 22		

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Account name:
Account number:
THE BALLARD FAMILY FOUNDATION
CE 46840 4BYour Financial Advisor:
BITSOFF-MOHN WEALTH MGMT GRP.
513-369-4100/800-344-4092

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

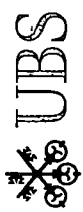
Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
	FIFO	240 000	Nov 23, 05	Mar 18, 09	599 76	2,004 91	-1,405 15		
	FIFO	195 000	Mar 03, 06	Mar 18, 09	487 30	1,476 72	-989 42		
GANNETT CO	FIFO	100 000	May 17, 06	Aug 13, 09	807 96	5,463 79	-4,655 83		
	FIFO	40 000	Jul 31, 07	Aug 13, 09	323 18	2,012 29	-1,689 11		
HOME DEPOT INC	FIFO	5 000	Oct 31, 07	Dec 03, 09	140 10	156 35	-16 25		
	FIFO	30 000	Nov 15, 07	Dec 03, 09	840 57	874 02	-33 45		
HSBC HOLDINGS PLC NEW GB									
SPON ADR	FIFO	1 000	May 07, 08	Nov 09, 09	57 71	83 24	-25 53		
	FIFO	30 000	Mar 23, 07	Nov 09, 09	1,731 21	2,664 87	-933 66		
KT CORP SPON ADR	FIFO	70 000	Feb 22, 02	Jan 13, 09	1,021 19	1,447 69	-426 50		
L M ERICSSON TELEFON CO									
SEK 10 NEW 2002 ADR	FIFO	100 000	Oct 24, 07	Apr 29, 09	956 72	1,481 30	-524 58		
MICRON TECHNOLOGY INC	FIFO	110 000	Aug 17, 04	Dec 03, 09	961 65	1,230 39	-268 74		
	FIFO	90 000	Feb 10, 05	Dec 03, 09	786 80	1,004 04	-217 24		
	FIFO	130 000	Mar 09, 07	Dec 03, 09	1,136 50	1,484 34	-347 84		
	FIFO	90 000	Aug 17, 04	Sep 29, 09	759 58	1,006 69	-247 11		
MICROSOFT CORP	FIFO	50 000	May 01, 06	Dec 03, 09	1,499 96	1,219 60	280 36		
MITSUBISHI UFJ FINANCIAL									
GROUP INC SPON ADR	FIFO	15 000	Mar 01, 02	Apr 09, 09	76 19	92 42	-16 23		
	FIFO	200 000	Mar 14, 07	Apr 09, 09	1,015 81	2,376 60	-1,360 79		
	FIFO	70 000	Apr 18, 07	Apr 09, 09	355 53	782 78	-427 25		
	FIFO	125 000	Mar 01, 02	Mar 09, 09	475 43	770 13	-294 70		
NORTEL NETWORKS CORP NEW									
(HOLDING CO) (NEW)	FIFO	90 000	Mar 29, 05	Jan 22, 09	7 34	2,487 15	-2,479 81		
PORTUGAL TELECOM SGPS									
S A 1 ADR = 1 ORD SPON	FIFO	95 000	Feb 17, 04	Dec 03, 09	1,209 31	1,097 52	111 79		
ADR	FIFO	25 000	Oct 07, 05	Apr 29, 09	710 33	1,052 59	-342 26		
SANOFI-AVENTIS SA SPON	FIFO	20 000	Oct 07, 05	Apr 06, 09	547 21	842 07	-294 86		
	FIFO	10 000	Jul 17, 00	Dec 03, 09	885 47	559 80	325 67		
TELEFONICA S A SPON ADR	FIFO								

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Account name.
Account number:

THE BALLARD FAMILY FOUNDATION
CE 46840 4B

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
TELMEX INTERNACIONAL SAB									
DE CV SER L SPON ADR	FIFO	71 000	Jun 08, 00	Sep 17, 09	1,035 36	502 48		532 88	
UNILEVER NV N Y SHS NEW									
NETHERLANDS SPON ADR	FIFO	20 000	Sep 23, 04	Dec 03, 09	643 18	390 25		252 93	
	FIFO	20 000	Sep 23, 04	Aug 24, 09	560 74	390 25		170 49	
UNISYS CORP **REVERSE									
SPLIT EFF 10/2009**	FIFO	245 000	Jun 25, 01	Aug 07, 09	524 97	3,297 60	-2,772 63		
VIVO PARTICIPACOES SA									
REPSTG PFD SPON ADR	LIFO	0 740	Sep 11, 98	Sep 11, 09	17 75	49 82	-32 07		
WYETH ***MERGER EFF									
10/09***	Adjustment	100 000	Sep 26, 07	Oct 16, 09	5,039 51	4,418 02		621 49	
Total					\$34,858.72	\$62,769.22	-\$31,591.38	\$3,680.88	-\$27,910.50
Net capital gains/losses:									-\$27,730.88