efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491117020010

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
Grant and Lara Gund Foundation

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
14 Nassau Street

City or town, state, and ZIP code
Princeton, NJ 08542

A Employer identification number
55-0805387

B Telephone number (see page 10 of the instructions)
(609) 921-3633

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,093,162

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	197,219			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	279	279		
	4	Dividends and interest from securities	15,693	15,693		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-185,677			
	b	Gross sales price for all assets on line 6a 583,084				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	27,514	15,972		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	11,000	11,000		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,785	6,785		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,785	17,785		0
	25	Contributions, gifts, grants paid	71,200			71,200
	26	**Total expenses and disbursements.** Add lines 24 and 25	88,985	17,785		71,200
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-61,471			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash—non-interest-bearing	195,872	177,111	177,111
2 Savings and temporary cash investments			
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U S and state government obligations (attach schedule)			
b Investments—corporate stock (attach schedule)	921,311	878,600	916,051
c Investments—corporate bonds (attach schedule)			
11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,117,183	1,055,711	1,093,162
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted	1,117,183	1,055,711	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see page 17 of the instructions)	1,117,183	1,055,711	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,117,183	1,055,711	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,117,183
2	Enter amount from Part I, line 27a	2	-61,471
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,055,712
5	Decreases not included in line 2 (itemize) ▶	5	1
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,055,711

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-185,677
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	58,550	848,637	0 068993
2007	69,200	912,212	0 075860
2006	61,100	777,984	0 078536
2005	42,900	515,610	0 083202
2004	50,950	339,224	0 150196

2	**Total** of line 1, column (d).	2	0 456787
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 091357
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	783,350
5	Multiply line 4 by line 3.	5	71,565
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	0
7	Add lines 5 and 6.	7	71,565
8	Enter qualifying distributions from Part XII, line 4.	8	71,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
	Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	0
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 2,575		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,575
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,575
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 2,575	**Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶Theodore W Baker Telephone no ▶(609) 921-3611

Located at ▶14 Nassau Street Princeton NJ ZIP+4 ▶08542

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Grant A Gund 14 Nassau Street Princeton, NJ 08542	Trustee 0 50	0	0	0
Lara B Gund 14 Nassau Street Princeton, NJ 08542	Trustee 0 50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	753,962
b	Average of monthly cash balances	1b	41,317
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	795,279
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	795,279
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	11,929
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	783,350
6	**Minimum investment return.** Enter 5% of line 5	6	39,168

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	39,168
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1	3	39,168
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	39,168
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	39,168

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	71,200
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	71,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	71,200

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					39,168
2 Undistributed income, if any, as of the end of 2008					
a Enter amount for 2008 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2009					
a From 2004.	34,309				
b From 2005.	17,403				
c From 2006.	23,327				
d From 2007.	25,014				
e From 2008.	16,118				
f **Total** of lines 3a through e.		116,171			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 71,200					
a Applied to 2008, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .		0			
d Applied to 2009 distributable amount.					39,168
e Remaining amount distributed out of corpus		32,032			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		148,203			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)		0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		34,309			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		113,894			
10 Analysis of line 9					
a Excess from 2005. . . .	17,403				
b Excess from 2006. . . .	23,327				
c Excess from 2007. . . .	25,014				
d Excess from 2008. . . .	16,118				
e Excess from 2009. . . .	32,032				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				71,200
b *Approved for future payment* Ron Burton Training Village PO Box 252 Hubbardstown, MA 01452	None	501(c)(3)	To help further charitable purposes of organization	2,500
Groton School PO Box 991 Groton, MA 01450	None	501(c)(3)	To help further charitable purposes of organization	15,000
Total . . . . ▶ **3b**				17,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	279	
4 Dividends and interest from securities			14	15,693	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	-185,677	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e) . .		0		-169,705	0

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 -169,705

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		**No**
	(2) Other assets	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		**No**
	(4) Reimbursement arrangements	**1b(4)**		**No**
	(5) Loans or loan guarantees	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2010-04-26 | ******

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	GUND INVESTMENT CORPORATION 14 NASSAU STREET PRINCETON, NJ 08542	EIN Phone no (609) 921-3633	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of organization
Grant and Lara Gund Foundation

Employer identification number
55-0805387

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
Grant and Lara Gund Foundation	55-0805387

Part I **Contributors** (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Gordon and Llura Gund CLAT 4 14 Nassau Street Princeton, NJ 08542	$ 197,219	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	**Employer identification number**
Grant and Lara Gund Foundation	55-0805387

Part II **Noncash Property** (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
Grant and Lara Gund Foundation

Employer identification number
55-0805387

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID: 09000028
Software Version: 2009.03050
EIN: 55-0805387
Name: Grant and Lara Gund Foundation

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
APPLE INC	P	2006-09-19	2009-01-06
APPLE INC	P	2007-02-27	2009-01-06
CENTEX CORP	P	2008-05-14	2009-01-06
TECH DATA CORP	P	2003-12-29	2009-01-08
***ALCON INC	P	2006-05-23	2009-01-13
***ALCON INC	P	2006-11-08	2009-01-13
BECTON DICKINSON & CO	P	2008-04-15	2009-01-13
BECTON DICKINSON & CO	P	2008-07-22	2009-01-13
CME GROUP INC	P	2007-02-27	2009-01-13
CME GROUP INC	P	2007-08-01	2009-01-13
GENERAL ELECTRIC CO	P	2008-11-24	2009-01-13
***TOYOTA MOTOR CORP -SPON ADR	P	2008-05-29	2009-01-13
APPLE INC	P	2007-02-27	2009-01-15
CITIGROUP INC	P	2006-03-07	2009-01-15
CITIGROUP INC	P	2007-03-13	2009-01-15
CITIGROUP INC	P	2008-01-30	2009-01-15
CITIGROUP INC	P	2008-02-11	2009-01-15
CITIGROUP INC	P	2008-02-11	2009-01-15
***ALCON INC	P	2006-11-08	2009-01-21
***ALCON INC	P	2007-02-27	2009-01-21
***ALCON INC	P	2007-09-11	2009-01-21
GOOGLE INC-CL A	P	2005-08-01	2009-01-30
GOOGLE INC-CL A	P	2005-12-12	2009-01-30
AFLAC INC	P	2008-12-22	2009-02-02
CONOCOPHILLIPS	P	2007-03-05	2009-02-03
CONOCOPHILLIPS	P	2007-09-04	2009-02-03
CONOCOPHILLIPS	P	2007-09-11	2009-02-03
ASHLAND INC	P	2008-03-07	2009-02-05
TEXTRON INC	P	2008-12-22	2009-02-05
CITIGROUP INC	P	2008-02-11	2009-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
282		221	62
282		258	25
916		1,658	-742
191		403	-211
709		832	-123
177		210	-32
505		588	-83
577		680	-103
183		526	-343
548		1,673	-1,125
1,367		1,355	12
763		1,187	-424
1,409		1,460	-51
57		694	-637
38		492	-454
514		3,811	-3,297
152		1,033	-881
38		258	-220
336		420	-84
924		1,402	-478
168		276	-108
1,022		871	151
681		826	-145
347		685	-337
185		259	-74
462		836	-374
231		411	-180
241		1,549	-1,308
296		761	-465
98		646	-548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			62
			25
			-742
			-211
			-123
			-32
			-83
			-103
			-343
			-1,125
			12
			-424
			-51
			-637
			-454
			-3,297
			-881
			-220
			-84
			-478
			-108
			151
			-145
			-337
			-74
			-374
			-180
			-1,308
			-465
			-548

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CITIGROUP INC	P	2008-02-27	2009-02-06
CITIGROUP INC	P	2008-09-22	2009-02-06
***BP PLC-SPONS ADR	P	2007-10-18	2009-02-09
***BP PLC-SPONS ADR	P	2007-10-24	2009-02-09
***BP PLC-SPONS ADR	P	2008-01-14	2009-02-09
***BP PLC-SPONS ADR	P	2008-01-28	2009-02-09
BLACK & DECKER CORP	P	2006-10-31	2009-02-09
DELL INC	P	2008-12-02	2009-02-12
DELL INC	P	2009-01-22	2009-02-12
ACTIVISION BLIZZARD INC	P	2008-09-24	2009-02-18
ACTIVISION BLIZZARD INC	P	2008-12-22	2009-02-18
BANK OF AMERICA CORP	P	2008-01-14	2009-02-20
BANK OF AMERICA CORP	P	2008-02-05	2009-02-20
BANK OF AMERICA CORP	P	2008-06-30	2009-02-20
BANK OF AMERICA CORP	P	2008-10-07	2009-02-20
BANK OF AMERICA CORP	P	2008-12-16	2009-02-20
BANK OF AMERICA CORP	P	2008-12-30	2009-02-20
JPMORGAN CHASE & CO	P	2008-01-14	2009-02-20
SPRINT NEXTEL CORP	P	2005-06-02	2009-02-24
SPRINT NEXTEL CORP	P	2006-02-08	2009-02-24
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-02-27
CATERPILLAR INC	P	2008-02-11	2009-03-03
DEERE & CO	P	2007-09-11	2009-03-04
AMERICAN INTERNATIONAL GROUP	P	2005-12-12	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2006-05-09	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2006-10-19	2009-03-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
294		1,910	-1,615
255		1,300	-1,045
227		379	-152
909		1,507	-598
682		1,049	-367
455		631	-177
267		753	-486
635		723	-89
363		397	-35
715		1,185	-470
477		463	14
264		2,919	-2,655
176		2,138	-1,962
176		1,249	-1,073
123		770	-647
141		551	-410
264		961	-697
1,742		3,708	-1,966
158		978	-821
368		2,172	-1,805
662		844	-183
41		53	-11
41		53	-11
41		53	-11
83		106	-23
341		1,030	-689
400		1,035	-636
13		2,304	-2,291
14		2,633	-2,619
3		600	-596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,615
			-1,045
			-152
			-598
			-367
			-177
			-486
			-89
			-35
			-470
			14
			-2,655
			-1,962
			-1,073
			-647
			-410
			-697
			-1,966
			-821
			-1,805
			-183
			-11
			-11
			-11
			-23
			-689
			-636
			-2,291
			-2,619
			-596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
AMERICAN INTERNATIONAL GROUP	P	2007-03-05	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2007-09-11	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-02-07	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-02-11	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-02-12	2009-03-10
AMERICAN INTERNATIONAL GROUP	P	2008-05-12	2009-03-10
WELLS FARGO & COMPANY	P	2009-02-10	2009-03-10
ABBOTT LABORATORIES	P	2007-09-11	2009-03-11
APPLE INC	P	2007-02-27	2009-03-11
APPLE INC	P	2007-11-07	2009-03-11
COSTCO WHOLESALE CORP	P	2008-03-07	2009-03-11
COSTCO WHOLESALE CORP	P	2008-05-05	2009-03-11
CME GROUP INC	P	2007-08-01	2009-03-11
CME GROUP INC	P	2007-08-14	2009-03-11
CME GROUP INC	P	2007-09-11	2009-03-11
CELGENE CORP	P	2007-08-23	2009-03-11
CISCO SYSTEMS INC	P	2007-02-27	2009-03-11
COLGATE-PALMOLIVE CO	P	2008-01-14	2009-03-11
EOG RESOURCES INC	P	2007-12-18	2009-03-11
GOLDMAN SACHS GROUP INC	P	2008-10-29	2009-03-11
GENENTECH INC	P	2005-12-12	2009-03-11
GENENTECH INC	P	2006-09-19	2009-03-11
GOOGLE INC-CL A	P	2006-09-19	2009-03-11
GILEAD SCIENCES INC	P	2006-01-25	2009-03-11
GILEAD SCIENCES INC	P	2006-08-24	2009-03-11
HONEYWELL INTERNATIONAL INC	P	2009-01-20	2009-03-11
HEWLETT-PACKARD CO	P	2006-12-21	2009-03-11
JPMORGAN CHASE & CO	P	2008-09-26	2009-03-11
JACOBS ENGINEERING GROUP INC	P	2009-01-20	2009-03-11
KOHLS CORP	P	2007-09-11	2009-03-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		1,116	-1,110
9		1,610	-1,601
5		773	-768
22		2,704	-2,682
9		1,144	-1,135
36		3,800	-3,764
690		1,083	-393
672		779	-107
748		687	61
187		380	-193
395		601	-206
198		363	-165
380		1,115	-736
380		1,152	-773
380		1,088	-708
633		900	-267
526		928	-402
564		798	-234
538		877	-340
1,104		1,120	-16
924		953	-30
92		79	13
1,269		1,644	-376
220		145	75
1,320		955	364
396		489	-93
1,717		2,461	-744
819		1,620	-801
378		464	-86
554		802	-248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1,110
			-1,601
			-768
			-2,682
			-1,135
			-3,764
			-393
			-107
			61
			-193
			-206
			-165
			-736
			-773
			-708
			-267
			-402
			-234
			-340
			-16
			-30
			13
			-376
			75
			364
			-93
			-744
			-801
			-86
			-248

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
LOCKHEED MARTIN CORP	P	2008-09-24	2009-03-11
LOCKHEED MARTIN CORP	P	2008-10-16	2009-03-11
MONSANTO CO	P	2006-07-14	2009-03-11
MEDCO HEALTH SOLUTIONS INC	P	2007-09-11	2009-03-11
MEDCO HEALTH SOLUTIONS INC	P	2007-11-15	2009-03-11
MCDONALD'S CORP	P	2007-02-27	2009-03-11
MCDONALD'S CORP	P	2008-03-13	2009-03-11
PHILIP MORRIS INTERNATIONAL	P	2007-05-21	2009-03-11
PHILIP MORRIS INTERNATIONAL	P	2007-06-08	2009-03-11
PHILIP MORRIS INTERNATIONAL	P	2008-07-17	2009-03-11
J C PENNEY CO INC	P	2008-02-05	2009-03-11
J C PENNEY CO INC	P	2008-02-11	2009-03-11
QUALCOMM INC	P	2008-06-11	2009-03-11
QUALCOMM INC	P	2008-07-22	2009-03-11
SCHWAB (CHARLES) CORP	P	2008-10-23	2009-03-11
SCHWAB (CHARLES) CORP	P	2009-01-30	2009-03-11
***TEVA PHARMACEUTICAL-SP ADR	P	2007-11-23	2009-03-11
WAL-MART STORES INC	P	2008-02-12	2009-03-11
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-03-11
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-03-11
BERNSTEIN INTERNATIONAL	P	2003-12-23	2009-03-11
BERNSTEIN INTERNATIONAL	P	2004-12-10	2009-03-11
BERNSTEIN INTERNATIONAL	P	2005-01-06	2009-03-11
BERNSTEIN INTERNATIONAL	P	2005-01-06	2009-03-11
JPMORGAN CHASE & CO	P	2008-01-14	2009-03-18
JPMORGAN CHASE & CO	P	2008-01-15	2009-03-18
JPMORGAN CHASE & CO	P	2008-01-15	2009-03-18
PHILIP MORRIS INTERNATIONAL	P	2006-06-16	2009-03-19
PHILIP MORRIS INTERNATIONAL	P	2006-10-19	2009-03-19
PHILIP MORRIS INTERNATIONAL	P	2007-05-21	2009-03-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
467		867	-400
233		353	-120
803		416	387
372		441	-69
372		483	-111
460		407	53
51		54	-3
335		491	-156
67		97	-30
101		157	-57
489		1,390	-901
163		491	-328
177		233	-56
177		227	-51
394		537	-143
131		137	-5
430		436	-6
474		504	-30
10,000		27,718	-17,718
		36	-36
3,048		5,657	-2,608
154		328	-174
3,098		6,772	-3,674
		20	-20
266		412	-146
399		590	-191
266		393	-127
1,134		1,115	18
718		800	-82
38		49	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-400
			-120
			387
			-69
			-111
			53
			-3
			-156
			-30
			-57
			-901
			-328
			-56
			-51
			-143
			-5
			-6
			-30
			-17,718
			-36
			-2,608
			-174
			-3,674
			-20
			-146
			-191
			-127
			18
			-82
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PHILIP MORRIS INTERNATIONAL	P	2007-09-11	2009-03-19
***ROYAL DUTCH SHELL PLC-ADR	P	2008-04-08	2009-03-20
NVIDIA CORP	P	2008-09-04	2009-03-25
GENENTECH INC	P	2006-09-19	2009-03-26
GENENTECH INC	P	2007-07-18	2009-03-26
GENENTECH INC	P	2007-09-11	2009-03-26
GENENTECH INC	P	2008-10-09	2009-03-26
GENENTECH INC	P	2008-10-30	2009-03-26
GENENTECH INC	P	2009-01-23	2009-03-26
J C PENNEY CO INC	P	2008-02-11	2009-03-30
J C PENNEY CO INC	P	2008-11-10	2009-03-30
MERCK & CO INC	P	2008-03-07	2009-03-31
TJX COMPANIES INC	P	2008-12-19	2009-04-01
BUNGE LTD	P	2008-11-07	2009-04-06
BUNGE LTD	P	2008-11-25	2009-04-06
BUNGE LTD	P	2009-01-13	2009-04-06
BUNGE LTD	P	2009-01-13	2009-04-06
TIME WARNER INC	P		2009-04-06
TIME WARNER CABLE INC	P		2009-04-06
***NOKIA CORP-SPON ADR	P	2008-10-06	2009-04-06
SUPERVALU INC	P	2008-01-17	2009-04-06
ABBOTT LABORATORIES	P	2007-09-11	2009-04-08
COLGATE-PALMOLIVE CO	P	2008-01-14	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2007-08-23	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2007-09-11	2009-04-08
***DEUTSCHE BANK AG-REGISTERED	P	2008-01-14	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-01-14	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-06-13	2009-04-08
HARTFORD FINANCIAL SVCS GRP	P	2008-07-18	2009-04-08
TJX COMPANIES INC	P	2008-12-19	2009-04-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
189		234	-45
2,039		3,266	-1,227
859		976	-118
855		712	143
1,425		1,126	299
1,900		1,556	344
2,375		2,021	354
950		819	131
3,800		3,329	471
102		246	-144
305		304	1
937		1,471	-534
1,135		932	204
1,162		867	295
581		381	200
58		49	10
581		486	95
7			7
15			15
977		1,219	-242
662		1,263	-600
653		779	-126
964		1,277	-314
360		990	-630
360		996	-636
630		1,772	-1,142
206		1,685	-1,479
103		730	-627
412		2,359	-1,947
264		207	57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-45
			-1,227
			-118
			143
			299
			344
			354
			131
			471
			-144
			1
			-534
			204
			295
			200
			10
			95
			7
			15
			-242
			-600
			-126
			-314
			-630
			-636
			-1,142
			-1,479
			-627
			-1,947
			57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
TJX COMPANIES INC	P	2009-01-06	2009-04-08
TJX COMPANIES INC	P	2009-01-23	2009-04-08
GOLDMAN SACHS GROUP INC	P	2007-08-27	2009-04-09
GOLDMAN SACHS GROUP INC	P	2007-08-29	2009-04-09
GOLDMAN SACHS GROUP INC	P	2007-09-11	2009-04-09
GOLDMAN SACHS GROUP INC	P	2008-04-22	2009-04-09
GOLDMAN SACHS GROUP INC	P	2008-05-02	2009-04-09
METLIFE INC	P	2007-09-11	2009-04-09
METLIFE INC	P	2007-09-11	2009-04-09
WYETH	P	2008-08-26	2009-04-09
BUNGE LTD	P	2009-01-13	2009-04-13
BUNGE LTD	P	2009-01-23	2009-04-13
JPMORGAN CHASE & CO	P	2008-01-15	2009-04-13
MORGAN STANLEY	P	2007-08-09	2009-04-14
METLIFE INC	P	2007-09-19	2009-04-14
ABBOTT LABORATORIES	P	2007-09-11	2009-04-16
ABBOTT LABORATORIES	P	2007-11-27	2009-04-16
COLGATE-PALMOLIVE CO	P	2008-01-14	2009-04-16
COLGATE-PALMOLIVE CO	P	2008-09-29	2009-04-16
GOOGLE INC-CL A	P	2006-09-19	2009-04-20
GOOGLE INC-CL A	P	2007-01-12	2009-04-20
GOOGLE INC-CL A	P	2007-02-16	2009-04-20
GOOGLE INC-CL A	P	2007-02-27	2009-04-20
GANNETT CO	P	2008-05-13	2009-04-21
GANNETT CO	P	2008-07-09	2009-04-21
LOCKHEED MARTIN CORP	P	2008-10-16	2009-04-21
LOCKHEED MARTIN CORP	P	2009-01-30	2009-04-21
PROCTER & GAMBLE CO	P	2007-09-11	2009-04-23
PROCTER & GAMBLE CO	P	2008-10-03	2009-04-23
WISCONSIN ENERGY CORP	P	2008-09-04	2009-04-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
661		536	125
396		299	97
476		714	-238
357		514	-157
595		922	-327
357		538	-181
595		1,004	-409
649		1,594	-945
130		319	-189
1,480		1,481	-1
229		194	35
573		452	121
499		590	-91
608		1,557	-949
540		1,390	-849
213		260	-47
638		835	-197
650		878	-228
296		385	-90
380		411	-31
380		502	-122
380		469	-89
380		454	-74
309		3,060	-2,751
108		683	-574
228		265	-37
837		908	-71
590		796	-206
344		498	-154
592		682	-90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			125
			97
			-238
			-157
			-327
			-181
			-409
			-945
			-189
			-1
			35
			121
			-91
			-949
			-849
			-47
			-197
			-228
			-90
			-31
			-122
			-89
			-74
			-2,751
			-574
			-37
			-71
			-206
			-154
			-90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***TOYOTA MOTOR CORP -SPON ADR	P	2008-05-29	2009-04-24
AUTOLIV INC	P	2007-03-16	2009-04-28
AUTOLIV INC	P	2008-03-20	2009-04-28
AUTOLIV INC	P	2009-01-23	2009-04-28
ALTRIA GROUP INC	P	2005-01-06	2009-04-28
ALTRIA GROUP INC	P	2006-06-16	2009-04-28
ALTRIA GROUP INC	P	2006-10-19	2009-04-28
ABBOTT LABORATORIES	P	2008-01-14	2009-04-28
MICROSOFT CORP	P	2008-01-15	2009-04-28
MICROSOFT CORP	P	2009-02-10	2009-04-28
WAL-MART STORES INC	P	2008-02-12	2009-04-28
WAL-MART STORES INC	P	2008-06-03	2009-04-28
WAL-MART STORES INC	P	2008-10-01	2009-04-28
***ROYAL DUTCH SHELL PLC-ADR	P	2008-04-08	2009-04-29
***ROYAL DUTCH SHELL PLC-ADR	P	2009-01-23	2009-04-29
TJX COMPANIES INC	P	2009-01-23	2009-04-29
WISCONSIN ENERGY CORP	P	2008-09-04	2009-04-29
PACCAR INC	P	2009-04-14	2009-04-30
BECTON DICKINSON & CO	P	2008-08-06	2009-05-01
BECTON DICKINSON & CO	P	2008-09-29	2009-05-01
COCA-COLA CO/THE	P	2008-01-14	2009-05-01
EOG RESOURCES INC	P	2007-12-18	2009-05-01
EOG RESOURCES INC	P	2008-01-15	2009-05-01
***ERICSSON (LM) TEL-SP ADR	P	2008-09-10	2009-05-01
***ERICSSON (LM) TEL-SP ADR	P	2008-10-20	2009-05-01
MICROSOFT CORP	P	2009-02-10	2009-05-01
MICROSOFT CORP	P	2009-03-31	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2008-07-17	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2008-08-18	2009-05-01
PHILIP MORRIS INTERNATIONAL	P	2009-01-30	2009-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,447		1,781	-333
353		869	-516
353		741	-388
706		527	179
84		71	13
505		493	12
320		354	-34
864		1,196	-333
602		1,026	-423
402		380	22
488		504	-16
731		868	-137
731		897	-165
461		726	-265
230		234	-4
564		399	165
600		682	-82
706		624	82
916		1,264	-348
733		973	-240
847		1,286	-439
264		351	-87
925		1,270	-345
83		106	-22
749		714	35
200		190	10
600		554	46
738		1,049	-311
443		664	-222
295		302	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-333
			-516
			-388
			179
			13
			12
			-34
			-333
			-423
			22
			-16
			-137
			-165
			-265
			-4
			165
			-82
			82
			-348
			-240
			-439
			-87
			-345
			-22
			35
			10
			46
			-311
			-222
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
J C PENNEY CO INC	P	2008-11-10	2009-05-01
J C PENNEY CO INC	P	2008-11-19	2009-05-01
***TEVA PHARMACEUTICAL-SP ADR	P	2007-11-27	2009-05-04
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-14	2009-05-04
BECTON DICKINSON & CO	P	2008-09-29	2009-05-05
BECTON DICKINSON & CO	P	2009-02-23	2009-05-05
COLGATE-PALMOLIVE CO	P	2008-09-29	2009-05-05
COLGATE-PALMOLIVE CO	P	2009-01-23	2009-05-05
MOLSON COORS BREWING CO -B	P	2008-12-04	2009-05-05
GENERAL MILLS INC	P	2008-10-30	2009-05-06
GENERAL MILLS INC	P	2009-03-31	2009-05-06
HONEYWELL INTERNATIONAL INC	P	2009-02-10	2009-05-06
***ALCON INC	P	2007-09-11	2009-05-07
***ALCON INC	P	2008-01-15	2009-05-07
LOCKHEED MARTIN CORP	P	2009-01-30	2009-05-07
MICROSOFT CORP	P	2009-03-31	2009-05-07
MICROSOFT CORP	P	2009-04-06	2009-05-07
NVIDIA CORP	P	2008-09-04	2009-05-07
NVIDIA CORP	P	2008-09-17	2009-05-07
PACCAR INC	P	2009-04-14	2009-05-07
QUALCOMM INC	P	2008-07-22	2009-05-07
WYETH	P	2008-08-26	2009-05-07
WYETH	P	2008-12-02	2009-05-07
JACOBS ENGINEERING GROUP INC	P	2009-01-20	2009-05-08
JACOBS ENGINEERING GROUP INC	P	2009-01-30	2009-05-08
PEPSICO INC	P	2007-04-25	2009-05-08
PEPSICO INC	P	2007-09-18	2009-05-08
COCA-COLA CO/THE	P	2008-01-14	2009-05-11
COCA-COLA CO/THE	P	2008-08-14	2009-05-11
BRISTOL-MYERS SQUIBB CO	P	2008-11-25	2009-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
154		101	53
309		155	153
663		655	8
221		237	-16
184		243	-60
612		698	-86
185		231	-46
679		680	-1
631		617	14
675		882	-207
104		100	4
985		996	-11
770		1,104	-334
481		739	-257
714		743	-28
97		92	4
580		554	25
218		230	-12
763		684	79
493		468	26
838		909	-71
432		423	9
216		165	51
212		232	-20
848		789	59
495		669	-175
247		352	-104
128		193	-64
514		661	-147
1,430		1,428	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			53
			153
			8
			-16
			-60
			-86
			-46
			-1
			14
			-207
			4
			-11
			-334
			-257
			-28
			4
			25
			-12
			79
			26
			-71
			9
			51
			-20
			59
			-175
			-104
			-64
			-147
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
TJX COMPANIES INC	P	2009-01-23	2009-05-12
DEVON ENERGY CORPORATION	P	2008-08-08	2009-05-15
THE WALT DISNEY CO	P	2008-10-16	2009-05-15
THE WALT DISNEY CO	P	2008-12-04	2009-05-15
HOME DEPOT INC	P	2008-10-17	2009-05-15
HOME DEPOT INC	P	2009-01-23	2009-05-15
HOME DEPOT INC	P	2009-01-23	2009-05-15
PRUDENTIAL FINANCIAL INC	P	2009-01-23	2009-05-15
ABBOTT LABORATORIES	P	2008-01-14	2009-05-20
ABBOTT LABORATORIES	P	2009-01-30	2009-05-20
ALLSTATE CORP	P	2007-09-04	2009-05-20
ALLSTATE CORP	P	2007-09-11	2009-05-20
***COOPER INDUSTRIES LTD-CL A	P	2009-03-19	2009-05-20
JACOBS ENGINEERING GROUP INC	P	2009-01-30	2009-05-20
VIACOM INC-CLASS B	P	2008-12-23	2009-05-20
SCHWAB (CHARLES) CORP	P	2009-01-30	2009-05-21
GAP INC/THE	P	2009-01-23	2009-05-22
GAP INC/THE	P	2009-02-27	2009-05-22
CISCO SYSTEMS INC	P	2007-02-27	2009-05-26
CISCO SYSTEMS INC	P	2007-09-11	2009-05-26
METLIFE INC	P	2008-03-13	2009-05-27
METLIFE INC	P	2008-10-08	2009-05-27
WESTERN DIGITAL CORP	P	2008-05-30	2009-05-27
WESTERN DIGITAL CORP	P	2008-05-30	2009-05-27
MONSANTO CO	P	2006-09-19	2009-05-28
MCDONALD'S CORP	P	2008-03-13	2009-05-28
NETAPP INC	P	2009-04-06	2009-05-28
SAFEWAY INC	P	2008-08-14	2009-05-28
SAFEWAY INC	P	2008-09-10	2009-05-28
WESTERN DIGITAL CORP	P	2008-05-30	2009-05-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
988		698	290
427		632	-205
602		588	14
241		217	24
973		781	192
122		108	14
487		432	54
3,340		2,167	1,173
436		598	-163
436		552	-116
658		1,377	-719
658		1,348	-690
1,201		868	333
606		592	14
737		608	129
671		546	125
1,391		1,020	371
654		433	222
833		1,194	-361
93		160	-67
622		1,139	-517
311		265	46
762		1,113	-351
127		185	-58
1,188		699	489
1,157		1,076	81
1,122		933	189
1,097		1,534	-436
100		131	-31
493		742	-249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			290
			-205
			14
			24
			192
			14
			54
			1,173
			-163
			-116
			-719
			-690
			333
			14
			129
			125
			371
			222
			-361
			-67
			-517
			46
			-351
			-58
			489
			81
			189
			-436
			-31
			-249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WYETH	P	2008-12-02	2009-05-29
WYETH	P	2009-01-23	2009-05-29
***DEUTSCHE BANK AG-REGISTERED	P	2008-02-11	2009-06-01
***DEUTSCHE BANK AG-REGISTERED	P	2008-05-29	2009-06-01
EMERSON ELECTRIC CO	P	2007-05-29	2009-06-01
SCHWAB (CHARLES) CORP	P	2009-01-30	2009-06-01
FRANKLIN RESOURCES INC	P	2007-02-27	2009-06-04
FRANKLIN RESOURCES INC	P	2007-09-11	2009-06-04
HEWLETT-PACKARD CO	P	2007-02-27	2009-06-04
MONSANTO CO	P	2007-02-27	2009-06-04
CHEVRON CORP	P	2006-08-18	2009-06-10
CHEVRON CORP	P	2007-03-05	2009-06-10
***SANOFI-AVENTIS ADR	P	2008-12-19	2009-06-12
***SANOFI-AVENTIS ADR	P	2009-01-23	2009-06-12
REGIONS FINANCIAL CORP	P	2009-05-20	2009-06-17
MORGAN STANLEY	P	2007-09-05	2009-06-18
MORGAN STANLEY	P	2007-09-11	2009-06-18
MORGAN STANLEY	P	2007-09-21	2009-06-18
COMCAST CORP-CL A	P	2009-01-23	2009-06-23
COMCAST CORP-CL A	P	2009-04-14	2009-06-23
PHILIP MORRIS INTERNATIONAL	P	2007-09-11	2009-06-23
HEWLETT-PACKARD CO	P	2007-02-27	2009-06-24
HEWLETT-PACKARD CO	P	2007-03-14	2009-06-24
HEWLETT-PACKARD CO	P	2007-09-11	2009-06-24
TRAVELERS COS INC/THE	P	2007-09-11	2009-06-24
AMGEN INC	P	2008-07-09	2009-06-25
AMGEN INC	P	2009-01-13	2009-06-25
TYSON FOODS INC-CL A	P	2008-04-29	2009-06-25
TYSON FOODS INC-CL A	P	2008-12-22	2009-06-25
TYSON FOODS INC-CL A	P	2008-12-22	2009-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
669		495	174
893		841	51
695		1,094	-399
69		113	-44
667		949	-281
546		410	136
436		714	-278
945		1,631	-686
1,074		1,188	-114
1,394		904	490
496		466	30
425		401	24
1,660		1,635	25
664		605	59
1,150		1,100	50
280		626	-346
420		955	-536
280		641	-361
969		1,034	-65
484		490	-5
1,868		2,108	-239
375		396	-21
563		594	-31
375		498	-122
1,646		2,010	-364
986		974	12
779		859	-80
1,063		1,518	-454
313		199	114
63		40	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			174
			51
			-399
			-44
			-281
			136
			-278
			-686
			-114
			490
			30
			24
			25
			59
			50
			-346
			-536
			-361
			-65
			-5
			-239
			-21
			-31
			-122
			-364
			12
			-80
			-454
			114
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MONSANTO CO	P	2007-02-27	2009-06-26
MONSANTO CO	P	2007-09-11	2009-06-26
EOG RESOURCES INC	P	2008-01-15	2009-06-29
EOG RESOURCES INC	P	2008-07-17	2009-06-29
METLIFE INC	P	2008-10-08	2009-06-29
METLIFE INC	P	2008-10-08	2009-06-29
CARDINAL HEALTH INC	P	2008-04-25	2009-06-30
***NOKIA CORP-SPON ADR	P	2008-10-06	2009-07-02
***NOKIA CORP-SPON ADR	P	2008-11-24	2009-07-02
***NOKIA CORP-SPON ADR	P	2008-11-24	2009-07-02
PROCTER & GAMBLE CO	P	2008-10-03	2009-07-08
PROCTER & GAMBLE CO	P	2008-10-23	2009-07-08
PROCTER & GAMBLE CO	P	2008-11-18	2009-07-08
PROCTER & GAMBLE CO	P	2009-04-14	2009-07-08
CARDINAL HEALTH INC	P	2008-04-25	2009-07-09
CARDINAL HEALTH INC	P	2008-05-13	2009-07-09
EOG RESOURCES INC	P	2008-07-17	2009-07-09
EOG RESOURCES INC	P	2009-01-20	2009-07-09
EXXON MOBIL CORP	P	2007-03-19	2009-07-10
EXXON MOBIL CORP	P	2007-03-21	2009-07-10
AMGEN INC	P	2009-01-13	2009-07-13
AMGEN INC	P	2009-01-23	2009-07-13
CHEVRON CORP	P	2007-03-05	2009-07-13
CHEVRON CORP	P	2007-06-26	2009-07-13
CHEVRON CORP	P	2007-12-11	2009-07-13
CHEVRON CORP	P	2008-01-14	2009-07-13
EOG RESOURCES INC	P	2009-01-20	2009-07-13
EOG RESOURCES INC	P	2009-01-23	2009-07-13
HEWLETT-PACKARD CO	P	2007-09-11	2009-07-16
HEWLETT-PACKARD CO	P	2007-12-26	2009-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
605		425	180
151		143	8
1,432		1,906	-474
409		652	-243
150		133	18
900		795	105
1,235		2,104	-870
509		569	-60
436		412	24
73		69	4
105		142	-37
524		590	-65
524		618	-93
315		285	30
447		789	-342
745		1,386	-641
250		435	-185
749		746	3
976		1,062	-86
651		723	-72
58		57	1
1,157		1,069	88
247		268	-20
1,235		1,662	-427
988		1,474	-486
432		635	-202
251		249	3
880		886	-7
783		995	-212
978		1,315	-337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			180
			8
			-474
			-243
			18
			105
			-870
			-60
			24
			4
			-37
			-65
			-93
			30
			-342
			-641
			-185
			3
			-86
			-72
			1
			88
			-20
			-427
			-486
			-202
			3
			-7
			-212
			-337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MCDONALD'S CORP	P	2008-10-06	2009-07-16
THE WALT DISNEY CO	P	2008-12-04	2009-07-20
THE WALT DISNEY CO	P	2008-12-17	2009-07-20
***ROYAL DUTCH SHELL PLC-ADR	P	2009-01-23	2009-07-20
***ROYAL DUTCH SHELL PLC-ADR	P	2009-01-30	2009-07-20
LIBERTY ENTERTAINMENT-CL A	P	2009-04-21	2009-07-22
ELI LILLY & CO	P	2009-03-26	2009-07-22
MONSANTO CO	P	2007-09-11	2009-07-22
LIBERTY ENTERTAINMENT-CL A	P	2009-04-21	2009-07-23
APACHE CORP	P	2008-07-29	2009-07-27
APACHE CORP	P	2008-10-14	2009-07-27
APACHE CORP	P	2009-01-20	2009-07-27
CELGENE CORP	P	2007-09-12	2009-07-27
DU PONT (E I) DE NEMOURS	P	2009-04-17	2009-07-27
EASTMAN CHEMICAL COMPANY	P	2008-10-02	2009-07-27
PHILIP MORRIS INTERNATIONAL	P	2009-01-30	2009-07-27
GENWORTH FINANCIAL INC-CL A	P	2006-08-24	2009-07-30
GENWORTH FINANCIAL INC-CL A	P	2006-11-15	2009-07-30
GENWORTH FINANCIAL INC-CL A	P	2007-09-11	2009-07-30
THE WALT DISNEY CO	P	2008-12-17	2009-08-03
THE WALT DISNEY CO	P	2009-01-23	2009-08-03
EOG RESOURCES INC	P	2009-01-23	2009-08-03
EOG RESOURCES INC	P	2009-04-01	2009-08-03
CISCO SYSTEMS INC	P	2007-09-11	2009-08-04
CISCO SYSTEMS INC	P	2007-09-11	2009-08-04
CISCO SYSTEMS INC	P	2007-11-08	2009-08-04
MCDONALD'S CORP	P	2008-10-06	2009-08-04
MCDONALD'S CORP	P	2008-10-30	2009-08-04
TORCHMARK CORP	P	2009-05-08	2009-08-05
EMERSON ELECTRIC CO	P	2008-12-02	2009-08-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
970		991	-21
374		326	48
872		823	49
1,278		1,170	108
1,022		1,008	14
662		594	68
1,722		1,680	42
1,515		1,358	157
539		475	64
714		965	-252
317		331	-13
238		221	17
1,368		1,739	-371
756		709	47
721		794	-73
1,166		945	221
249		1,197	-947
143		657	-515
606		2,454	-1,848
510		470	40
383		306	77
77		63	14
538		387	151
2,570		3,678	-1,108
112		160	-48
112		150	-38
990		1,049	-59
220		233	-13
1,368		1,307	62
696		626	70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			48
			49
			108
			14
			68
			42
			157
			64
			-252
			-13
			17
			-371
			47
			-73
			221
			-947
			-515
			-1,848
			40
			77
			14
			151
			-1,108
			-48
			-38
			-59
			-13
			62
			70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
EMERSON ELECTRIC CO	P	2009-01-23	2009-08-06
MONSANTO CO	P	2007-09-11	2009-08-06
MONSANTO CO	P	2008-09-29	2009-08-06
APACHE CORP	P	2009-01-20	2009-08-07
PROCTER & GAMBLE CO	P	2007-09-11	2009-08-07
PROCTER & GAMBLE CO	P	2008-10-03	2009-08-07
PROCTER & GAMBLE CO	P	2009-04-14	2009-08-07
SAFEWAY INC	P	2008-09-10	2009-08-07
SAFEWAY INC	P	2008-11-24	2009-08-07
TYSON FOODS INC-CL A	P	2008-12-22	2009-08-07
TYSON FOODS INC-CL A	P	2009-03-19	2009-08-07
CHEVRON CORP	P	2008-01-14	2009-08-10
CHEVRON CORP	P	2008-02-14	2009-08-10
CHEVRON CORP	P	2009-01-23	2009-08-10
HARTFORD FINANCIAL SVCS GRP	P	2009-01-23	2009-08-12
HARTFORD FINANCIAL SVCS GRP	P	2009-07-02	2009-08-12
ALLSTATE CORP	P	2007-09-17	2009-08-18
ALLSTATE CORP	P	2008-01-14	2009-08-18
***TAIWAN SEMICONDUCTOR MFG CO	P		2009-08-18
CARDINAL HEALTH INC	P	2008-05-13	2009-08-18
CARDINAL HEALTH INC	P	2009-01-23	2009-08-18
EXXON MOBIL CORP	P	2007-03-23	2009-08-19
EXXON MOBIL CORP	P	2008-02-21	2009-08-19
EOG RESOURCES INC	P	2009-04-01	2009-08-20
EMERSON ELECTRIC CO	P	2009-01-23	2009-08-20
MONSANTO CO	P	2008-09-29	2009-08-24
***ACE LTD	P	2009-01-23	2009-08-26
BAXTER INTERNATIONAL INC	P	2008-04-23	2009-08-26
***ERICSSON (LM) TEL-SP ADR	P	2008-10-20	2009-08-27
***ERICSSON (LM) TEL-SP ADR	P	2008-12-24	2009-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,392		1,303	88
336		286	50
252		306	-54
611		516	95
156		199	-43
1,090		1,493	-403
52		48	4
649		917	-268
927		964	-37
392		279	114
561		471	90
554		725	-171
693		831	-139
1,177		1,190	-12
1,079		740	339
981		572	410
686		1,363	-677
412		782	-370
5			5
171		277	-106
853		898	-45
1,011		1,124	-113
2,360		3,045	-686
589		442	146
868		815	53
592		715	-123
1,010		882	128
1,140		1,215	-75
421		357	64
702		551	151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			88
			50
			-54
			95
			-43
			-403
			4
			-268
			-37
			114
			90
			-171
			-139
			-12
			339
			410
			-677
			-370
			5
			-106
			-45
			-113
			-686
			146
			53
			-123
			128
			-75
			64
			151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
NIKE INC -CL B	P	2008-05-01	2009-08-27
HEWLETT-PACKARD CO	P	2008-01-15	2009-08-28
EASTMAN CHEMICAL COMPANY	P	2008-10-02	2009-09-04
EASTMAN CHEMICAL COMPANY	P	2008-11-07	2009-09-04
JPMORGAN CHASE & CO	P	2008-01-15	2009-09-04
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-04
BAXTER INTERNATIONAL INC	P	2008-04-23	2009-09-09
BAXTER INTERNATIONAL INC	P	2008-07-28	2009-09-09
BAXTER INTERNATIONAL INC	P	2008-08-22	2009-09-09
PROCTER & GAMBLE CO	P	2009-04-14	2009-09-10
PROCTER & GAMBLE CO	P	2009-06-09	2009-09-10
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-11
NUCOR CORP	P	2009-05-05	2009-09-11
ALTRIA GROUP INC	P	2007-05-21	2009-09-14
ALTRIA GROUP INC	P	2007-06-08	2009-09-14
ALTRIA GROUP INC	P	2007-06-08	2009-09-14
ALTRIA GROUP INC	P	2007-08-09	2009-09-14
ALTRIA GROUP INC	P	2007-09-11	2009-09-14
ALTRIA GROUP INC	P	2008-01-14	2009-09-14
ALTRIA GROUP INC	P	2008-08-29	2009-09-14
ALTRIA GROUP INC	P	2009-04-09	2009-09-14
ALTRIA GROUP INC	P	2009-07-08	2009-09-14
COCA-COLA CO/THE	P	2008-08-14	2009-09-16
COCA-COLA CO/THE	P	2008-11-18	2009-09-16
EMERSON ELECTRIC CO	P	2009-01-23	2009-09-16
EMERSON ELECTRIC CO	P	2009-03-31	2009-09-16
FLUOR CORP	P	2008-01-14	2009-09-16
MEDCO HEALTH SOLUTIONS INC	P	2007-11-15	2009-09-16
MEDCO HEALTH SOLUTIONS INC	P	2008-01-14	2009-09-16
MCDONALD'S CORP	P	2008-10-30	2009-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,008		1,218	-210
1,345		1,356	-11
351		370	-19
903		712	191
42		39	3
1,017		972	45
280		304	-24
560		677	-117
56		70	-14
56		48	8
559		526	33
852		810	42
696		661	35
198		239	-41
36		43	-7
144		171	-27
180		210	-29
902		1,036	-134
36		48	-12
1,587		1,876	-289
902		813	89
703		638	66
262		276	-14
523		429	95
405		326	79
202		143	60
837		1,067	-230
781		677	104
613		558	56
887		932	-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-210
			-11
			-19
			191
			3
			45
			-24
			-117
			-14
			8
			33
			42
			35
			-41
			-7
			-27
			-29
			-134
			-12
			-289
			89
			66
			-14
			95
			79
			60
			-230
			104
			56
			-45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MCDONALD'S CORP	P	2009-01-23	2009-09-16
MICROSOFT CORP	P	2009-04-06	2009-09-16
AT&T INC	P	2007-09-25	2009-09-18
AT&T INC	P	2007-10-01	2009-09-18
AK STEEL HOLDING CORP	P	2009-08-20	2009-09-18
APACHE CORP	P	2008-07-24	2009-09-18
APACHE CORP	P	2008-07-24	2009-09-18
APACHE CORP	P	2008-07-24	2009-09-18
APACHE CORP	P	2008-08-04	2009-09-18
APACHE CORP	P	2009-02-10	2009-09-18
APPLE INC	P	2007-11-07	2009-09-18
APPLE INC	P	2008-01-14	2009-09-18
ARCHER-DANIELS-MIDLAND CO	P	2008-12-18	2009-09-18
ARCHER-DANIELS-MIDLAND CO	P	2009-01-20	2009-09-18
ARCHER-DANIELS-MIDLAND CO	P	2009-02-02	2009-09-18
BAXTER INTERNATIONAL INC	P	2008-08-22	2009-09-18
BAXTER INTERNATIONAL INC	P	2009-01-23	2009-09-18
***CREDIT SUISSE GROUP-SPON AD	P	2009-05-11	2009-09-18
COSTCO WHOLESALE CORP	P	2008-05-05	2009-09-18
CHEVRON CORP	P	2009-01-23	2009-09-18
CHEVRON CORP	P	2009-04-13	2009-09-18
CHEVRON CORP	P	2009-04-14	2009-09-18
CBS CORP-CLASS B	P	2006-05-01	2009-09-18
CBS CORP-CLASS B	P	2006-06-28	2009-09-18
CBS CORP-CLASS B	P	2007-03-05	2009-09-18
CAMERON INTERNATIONAL CORP	P	2007-11-23	2009-09-18
CAMERON INTERNATIONAL CORP	P	2008-04-15	2009-09-18
CAMERON INTERNATIONAL CORP	P	2009-01-30	2009-09-18
CME GROUP INC	P	2007-09-11	2009-09-18
***COOPER INDUSTRIES PLC-CL A	P	2009-03-19	2009-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
166		173	-7
627		462	165
1,214		1,911	-698
809		1,267	-457
580		512	68
661		759	-98
1,136		1,302	-166
189		217	-28
284		336	-52
472		401	71
2,408		2,467	-59
370		355	16
1,602		1,559	43
1,020		897	123
291		269	23
527		630	-104
117		113	4
833		616	217
1,441		1,813	-372
1,458		1,400	58
729		676	53
365		333	32
63		129	-66
377		795	-418
63		147	-85
117		140	-24
660		810	-150
194		121	74
914		1,632	-718
565		381	184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-7
			165
			-698
			-457
			68
			-98
			-166
			-28
			-52
			71
			-59
			16
			43
			123
			23
			-104
			4
			217
			-372
			58
			53
			32
			-66
			-418
			-85
			-24
			-150
			74
			-718
			184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAPITAL ONE FINANCIAL CORP	P	2009-01-15	2009-09-18
CAPITAL ONE FINANCIAL CORP	P	2009-01-15	2009-09-18
CATERPILLAR INC	P	2009-05-27	2009-09-18
CELGENE CORP	P	2007-11-23	2009-09-18
CELGENE CORP	P	2008-01-14	2009-09-18
CISCO SYSTEMS INC	P	2007-11-08	2009-09-18
CISCO SYSTEMS INC	P	2007-11-15	2009-09-18
DEVON ENERGY CORPORATION	P	2008-08-08	2009-09-18
DEVON ENERGY CORPORATION	P	2008-09-15	2009-09-18
DEVON ENERGY CORPORATION	P	2008-09-16	2009-09-18
DEVON ENERGY CORPORATION	P	2009-01-26	2009-09-18
***DEUTSCHE BANK AG-REGISTERED	P	2008-05-29	2009-09-18
***DEUTSCHE BANK AG-REGISTERED	P	2008-06-06	2009-09-18
EXXON MOBIL CORP	P	2008-02-21	2009-09-18
EXXON MOBIL CORP	P	2009-01-23	2009-09-18
EMERSON ELECTRIC CO	P	2009-03-31	2009-09-18
GOLDMAN SACHS GROUP INC	P	2008-09-10	2009-09-18
GOLDMAN SACHS GROUP INC	P	2008-11-04	2009-09-18
GOOGLE INC-CL A	P	2007-02-27	2009-09-18
GILEAD SCIENCES INC	P	2006-09-27	2009-09-18
GILEAD SCIENCES INC	P	2007-02-27	2009-09-18
HOME DEPOT INC	P	2009-01-23	2009-09-18
INTEL CORP	P	2008-09-04	2009-09-18
JPMORGAN CHASE & CO	P	2008-01-15	2009-09-18
JPMORGAN CHASE & CO	P	2008-09-26	2009-09-18
JPMORGAN CHASE & CO	P	2009-01-23	2009-09-18
JPMORGAN CHASE & CO	P	2009-01-30	2009-09-18
KOHLS CORP	P	2008-09-10	2009-09-18
ELI LILLY & CO	P	2009-03-26	2009-09-18
ELI LILLY & CO	P	2009-04-13	2009-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,687		1,118	569
1,312		870	443
1,877		1,233	643
528		622	-94
264		272	-8
470		599	-129
118		147	-29
566		723	-157
637		808	-171
779		999	-220
142		125	16
693		1,019	-326
770		1,011	-241
349		435	-86
2,096		2,330	-233
618		428	190
183		159	24
1,829		891	938
1,482		1,363	119
734		536	198
184		145	39
1,134		865	270
1,080		1,167	-87
406		354	52
1,623		1,458	165
947		480	466
406		232	174
828		796	32
165		168	-3
1,979		1,952	27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			569
			443
			643
			-94
			-8
			-129
			-29
			-157
			-171
			-220
			16
			-326
			-241
			-86
			-233
			190
			24
			938
			119
			198
			39
			270
			-87
			52
			165
			466
			174
			32
			-3
			27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LIMITED BRANDS INC	P	2009-01-09	2009-09-18
LOWE'S COS INC	P	2008-10-17	2009-09-18
LOWE'S COS INC	P	2009-01-23	2009-09-18
METLIFE INC	P	2008-10-08	2009-09-18
METLIFE INC	P	2008-10-31	2009-09-18
METLIFE INC	P	2009-01-20	2009-09-18
MEDCO HEALTH SOLUTIONS INC	P	2008-01-14	2009-09-18
MASCO CORP	P	2009-05-29	2009-09-18
MERCK & CO INC	P	2008-03-07	2009-09-18
MERCK & CO INC	P	2008-04-21	2009-09-18
MERCK & CO INC	P	2008-06-04	2009-09-18
MERCK & CO INC	P	2008-06-04	2009-09-18
MERCK & CO INC	P	2008-06-23	2009-09-18
MOTOROLA INC	P	2008-06-10	2009-09-18
MOTOROLA INC	P	2008-06-18	2009-09-18
MOTOROLA INC	P	2008-12-04	2009-09-18
***NEXEN INC	P	2009-05-21	2009-09-18
NEWS CORP	P	2008-12-03	2009-09-18
NEWS CORP	P	2009-01-12	2009-09-18
NVR INC	P	2009-07-08	2009-09-18
OCCIDENTAL PETROLEUM CORP	P	2009-01-28	2009-09-18
OCCIDENTAL PETROLEUM CORP	P	2009-06-25	2009-09-18
PEPSICO INC	P	2007-09-18	2009-09-18
PEPSICO INC	P	2007-09-25	2009-09-18
PEPSICO INC	P	2007-12-05	2009-09-18
PEPSICO INC	P	2008-01-14	2009-09-18
QUALCOMM INC	P	2008-07-28	2009-09-18
SPX CORP	P	2009-06-25	2009-09-18
SCHLUMBERGER LTD	P	2005-12-12	2009-09-18
SCHLUMBERGER LTD	P	2007-02-05	2009-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
756		431	325
441		372	69
110		100	10
197		133	64
591		462	129
591		394	196
830		761	70
1,641		1,175	466
478		631	-153
1,115		1,389	-274
797		956	-160
159		191	-32
1,721		1,931	-210
1,341		1,356	-16
894		867	26
894		434	460
597		527	70
2,458		1,514	944
307		213	94
673		481	192
1,616		1,242	374
77		65	12
299		352	-52
599		712	-113
599		763	-164
299		386	-86
1,113		1,360	-247
575		420	155
618		497	120
618		654	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			325
			69
			10
			64
			129
			196
			70
			466
			-153
			-274
			-160
			-32
			-210
			-16
			26
			460
			70
			944
			94
			192
			374
			12
			-52
			-113
			-164
			-86
			-247
			155
			120
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-14	2009-09-18
TEXTRON INC	P	2009-07-21	2009-09-18
TEXTRON INC	P	2009-07-21	2009-09-18
VALERO ENERGY CORP	P	2009-07-15	2009-09-18
VALERO ENERGY CORP	P	2009-07-28	2009-09-18
VISA INC - CLASS A SHRS	P	2009-04-30	2009-09-18
***VODAFONE GROUP PLC-SP ADR	P	2009-04-24	2009-09-18
WAL-MART STORES INC	P	2008-10-16	2009-09-18
XL CAPITAL LTD -CLASS A	P	2005-12-12	2009-09-18
XL CAPITAL LTD -CLASS A	P	2008-08-15	2009-09-18
BERNSTEIN EMERGING MARKETS	P	2006-09-19	2009-09-18
BERNSTEIN EMERGING MARKETS	P	2006-12-12	2009-09-18
BERNSTEIN EMERGING MARKETS	P	2007-09-11	2009-09-18
BERNSTEIN EMERGING MARKETS	P	2007-12-11	2009-09-18
BERNSTEIN EMERGING MARKETS	P	2007-12-11	2009-09-18
BERNSTEIN INTERNATIONAL	P	2005-01-06	2009-09-18
BERNSTEIN INTERNATIONAL	P	2005-12-07	2009-09-18
BERNSTEIN INTERNATIONAL	P	2005-12-12	2009-09-18
BERNSTEIN INTERNATIONAL	P	2006-09-19	2009-09-18
BERNSTEIN INTERNATIONAL	P	2006-12-12	2009-09-18
BERNSTEIN INTERNATIONAL	P	2007-02-27	2009-09-18
BERNSTEIN INTERNATIONAL	P	2007-09-11	2009-09-18
BERNSTEIN INTERNATIONAL	P	2007-09-11	2009-09-18
***TOYOTA MOTOR CORP -SPON ADR	P	2009-05-07	2009-09-21
ALLSTATE CORP	P	2008-03-05	2009-10-01
HEWLETT-PACKARD CO	P	2008-01-15	2009-10-01
***BP PLC-SPONS ADR	P	2008-01-28	2009-10-05
***BP PLC-SPONS ADR	P	2009-01-23	2009-10-05
HEWLETT-PACKARD CO	P	2008-04-22	2009-10-05
VISA INC - CLASS A SHRS	P	2009-04-30	2009-10-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,813		1,658	155
291		161	129
972		538	434
1,529		1,268	261
611		544	68
883		805	78
573		455	118
753		756	-3
254		1,004	-750
593		697	-104
686		1,023	-337
4,171		5,781	-1,610
22,925		40,500	-17,575
4,118		6,371	-2,253
		38	-38
9,041		12,358	-3,317
492		764	-273
13,567		21,500	-7,933
7,044		12,400	-5,356
8,896		15,060	-6,165
6,837		11,800	-4,963
15,924		28,861	-12,937
		6	-6
992		984	8
615		959	-344
926		904	22
1,538		1,894	-356
769		606	163
684		722	-39
340		335	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			155
			129
			434
			261
			68
			78
			118
			-3
			-750
			-104
			-337
			-1,610
			-17,575
			-2,253
			-38
			-3,317
			-273
			-7,933
			-5,356
			-6,165
			-4,963
			-12,937
			-6
			8
			-344
			22
			-356
			163
			-39
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VISA INC - CLASS A SHRS	P	2009-05-06	2009-10-06
LOWE'S COS INC	P	2008-10-17	2009-10-08
LOWE'S COS INC	P	2009-04-23	2009-10-08
AMERICAN TOWER CORP-CL A	P	2009-07-10	2009-10-09
CATERPILLAR INC	P	2009-07-09	2009-10-09
JPMORGAN CHASE & CO	P	2009-01-23	2009-10-09
MCDONALD'S CORP	P	2009-01-23	2009-10-12
MCDONALD'S CORP	P	2009-01-28	2009-10-12
APACHE CORP	P	2009-02-10	2009-10-13
APACHE CORP	P	2009-03-31	2009-10-13
GILEAD SCIENCES INC	P	2007-02-27	2009-10-15
EOG RESOURCES INC	P	2009-01-28	2009-10-16
LIMITED BRANDS INC	P	2009-01-09	2009-10-16
ENSCO INTERNATIONAL INC	P	2009-05-18	2009-10-19
GOOGLE INC-CL A	P	2007-02-27	2009-10-19
GOOGLE INC-CL A	P	2007-09-11	2009-10-19
SCHLUMBERGER LTD	P	2007-02-27	2009-10-21
APACHE CORP	P	2009-03-31	2009-10-22
***TEVA PHARMACEUTICAL-SP ADR	P	2008-01-14	2009-10-22
FREEPORT-MCMORAN COPPER	P	2009-05-05	2009-10-23
TRAVELERS COS INC/THE	P	2007-09-25	2009-10-26
AT&T INC	P	2007-10-01	2009-10-27
AT&T INC	P	2008-01-14	2009-10-27
MEDCO HEALTH SOLUTIONS INC	P	2008-04-24	2009-10-27
LIMITED BRANDS INC	P	2009-01-09	2009-10-28
ALTRIA GROUP INC	P	2009-07-08	2009-10-29
EOG RESOURCES INC	P	2009-01-28	2009-10-29
DU PONT (E I) DE NEMOURS	P	2009-04-17	2009-11-03
DU PONT (E I) DE NEMOURS	P	2009-04-29	2009-11-03
DU PONT (E I) DE NEMOURS	P	2009-05-18	2009-11-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
272		268	4
417		372	45
729		723	6
1,281		1,072	209
1,313		773	540
2,037		1,030	1,007
682		693	-12
568		592	-24
779		642	137
487		324	163
931		724	208
645		485	161
563		288	275
715		490	225
1,102		908	194
551		520	32
698		633	65
506		324	183
760		711	49
2,895		1,726	1,169
769		750	19
509		844	-336
127		192	-65
858		754	104
606		336	270
457		409	48
939		761	178
321		284	38
642		561	82
161		140	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4
			45
			6
			209
			540
			1,007
			-12
			-24
			137
			163
			208
			161
			275
			225
			194
			32
			65
			183
			49
			1,169
			19
			-336
			-65
			104
			270
			48
			178
			38
			82
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-03
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-04
SCHERING-PLOUGH CORP	P	2008-11-24	2009-11-04
SCHERING-PLOUGH CORP	P	2009-03-20	2009-11-04
SCHERING-PLOUGH CORP	P	2009-04-29	2009-11-04
UNION PACIFIC CORP	P	2009-04-28	2009-11-04
UNION PACIFIC CORP	P	2009-06-09	2009-11-04
ALTERA CORPORATION	P	2009-07-27	2009-11-05
ALTERA CORPORATION	P	2009-08-06	2009-11-05
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-13	2009-11-05
***GLAXOSMITHKLINE PLC-SPON AD	P	2009-01-23	2009-11-05
COLGATE-PALMOLIVE CO	P	2009-01-23	2009-11-06
CBS CORP-CLASS B	P	2007-03-05	2009-11-11
CBS CORP-CLASS B	P	2007-09-11	2009-11-11
***TAIWAN SEMICONDUCTOR-SP ADR	P	2009-05-05	2009-11-11
***TAIWAN SEMICONDUCTOR-SP ADR	P	2009-05-07	2009-11-11
ALLSTATE CORP	P	2008-03-05	2009-11-13
ALLSTATE CORP	P	2009-01-23	2009-11-13
MERCK & CO INC	P		2009-11-13
CISCO SYSTEMS INC	P	2007-11-15	2009-11-13
CISCO SYSTEMS INC	P	2008-01-14	2009-11-13
PROCTER & GAMBLE CO	P	2009-04-14	2009-11-13
APACHE CORP	P	2008-08-04	2009-11-16
APACHE CORP	P	2008-10-14	2009-11-16
LINCOLN NATIONAL CORP	P	2009-02-17	2009-11-18
CELGENE CORP	P	2008-01-14	2009-11-19
CELGENE CORP	P	2008-03-13	2009-11-19
UNITED TECHNOLOGIES CORP	P	2009-09-14	2009-11-19
BANK OF AMERICA CORP	P	2009-08-26	2009-11-23
BANK OF AMERICA CORP	P	2009-09-04	2009-11-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
800		754	46
201		189	12
998		588	409
1,103		1,050	53
263		241	22
894		705	189
60		54	5
601		567	34
601		566	36
605		566	39
806		677	129
1,520		1,174	345
196		442	-246
719		1,698	-979
512		555	-43
512		518	-6
289		480	-191
867		789	78
25			25
471		588	-117
236		266	-30
2,159		1,663	496
1,203		1,342	-140
1,103		909	193
1,750		920	830
817		816	1
272		285	-13
1,287		1,167	120
652		711	-59
81		85	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			46
			12
			409
			53
			22
			189
			5
			34
			36
			39
			129
			345
			-246
			-979
			-43
			-6
			-191
			78
			25
			-117
			-30
			496
			-140
			193
			830
			1
			-13
			120
			-59
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
US BANCORP	P	2009-05-13	2009-11-23
BERNSTEIN EMERGING MARKETS	P	2007-12-11	2009-11-23
BERNSTEIN EMERGING MARKETS	P	2008-01-11	2009-11-23
BERNSTEIN INTERNATIONAL	P	2007-09-11	2009-11-23
AMAZON COM INC	P	2009-05-27	2009-11-24
AETNA INC	P	2009-09-09	2009-11-24
***ALCON INC	P	2008-01-15	2009-11-24
ALTRIA GROUP INC	P	2009-07-08	2009-11-24
AT&T INC	P	2008-01-14	2009-11-24
***ARCELORMITTAL-NY REGISTERED	P	2009-05-29	2009-11-24
***ARCELORMITTAL-NY REGISTERED	P	2009-08-05	2009-11-24
ALLSTATE CORP	P	2009-02-10	2009-11-24
APPLE INC	P	2008-01-14	2009-11-24
APPLE INC	P	2008-02-08	2009-11-24
APPLE INC	P	2008-03-17	2009-11-24
BUNGE LTD	P	2009-01-23	2009-11-24
BANK OF AMERICA CORP	P	2009-09-04	2009-11-24
BAXTER INTERNATIONAL INC	P	2009-01-23	2009-11-24
BLACK & DECKER CORP	P	2009-09-02	2009-11-24
CBS CORP-CLASS B	P	2007-09-11	2009-11-24
CBS CORP-CLASS B	P	2008-01-14	2009-11-24
CME GROUP INC	P	2008-01-14	2009-11-24
CATERPILLAR INC	P	2009-07-09	2009-11-24
CISCO SYSTEMS INC	P	2008-01-14	2009-11-24
DELL INC	P	2009-07-15	2009-11-24
DANAHER CORP	P	2009-03-06	2009-11-24
THE WALT DISNEY CO	P	2009-01-23	2009-11-24
DU PONT (E I) DE NEMOURS	P	2009-05-18	2009-11-24
DU PONT (E I) DE NEMOURS	P	2009-05-28	2009-11-24
EOG RESOURCES INC	P	2009-01-28	2009-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,180		877	303
8,905		12,850	-3,946
2,995		4,167	-1,172
24,050		42,470	-18,420
1,197		693	505
743		726	17
739		739	1
484		409	76
2,171		3,074	-903
785		658	126
589		568	21
729		546	184
615		532	83
2,049		1,239	810
410		251	159
625		452	174
567		596	-29
996		1,020	-24
496		338	157
328		772	-444
197		363	-167
642		1,243	-601
523		278	245
833		929	-96
500		430	71
718		500	218
755		510	245
695		560	135
174		138	36
1,061		831	230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			303
			-3,946
			-1,172
			-18,420
			505
			17
			1
			76
			-903
			126
			21
			184
			83
			810
			159
			174
			-29
			-24
			157
			-444
			-167
			-601
			245
			-96
			71
			218
			245
			135
			36
			230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FREEPORT-MCMORAN COPPER	P	2009-05-05	2009-11-24
GOLDMAN SACHS GROUP INC	P	2008-11-04	2009-11-24
GOOGLE INC-CL A	P	2007-09-11	2009-11-24
GILEAD SCIENCES INC	P	2007-02-27	2009-11-24
HEWLETT-PACKARD CO	P	2008-04-22	2009-11-24
HEWLETT-PACKARD CO	P	2008-06-04	2009-11-24
ILLINOIS TOOL WORKS	P	2009-05-29	2009-11-24
INTEL CORP	P	2009-03-31	2009-11-24
JPMORGAN CHASE & CO	P	2009-01-23	2009-11-24
JPMORGAN CHASE & CO	P	2009-01-30	2009-11-24
JPMORGAN CHASE & CO	P	2009-04-03	2009-11-24
KLA-TENCOR CORPORATION	P	2009-09-14	2009-11-24
KOHLS CORP	P	2008-09-10	2009-11-24
KOHLS CORP	P	2009-01-23	2009-11-24
LOWE'S COS INC	P	2009-04-23	2009-11-24
LOWE'S COS INC	P	2009-04-29	2009-11-24
METLIFE INC	P	2009-01-30	2009-11-24
MEDCO HEALTH SOLUTIONS INC	P	2008-04-24	2009-11-24
MERCK & CO INC	P	2008-06-23	2009-11-24
MERCK & CO INC	P	2008-06-24	2009-11-24
MERCK & CO INC	P	2008-08-07	2009-11-24
NEWS CORP	P	2009-01-12	2009-11-24
OCCIDENTAL PETROLEUM CORP	P	2009-01-28	2009-11-24
OCCIDENTAL PETROLEUM CORP	P	2009-06-25	2009-11-24
***PETROLEO BRASILEIRO S A -AD	P	2009-05-29	2009-11-24
PEPSICO INC	P	2008-01-14	2009-11-24
PROCTER & GAMBLE CO	P	2009-04-14	2009-11-24
QUALCOMM INC	P	2008-07-28	2009-11-24
***RIO TINTO PLC-SPON ADR	P	2009-09-17	2009-11-24
***RIO TINTO PLC-SPON ADR	P	2009-09-17	2009-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
856		493	363
1,198		623	575
2,333		2,079	255
705		543	162
504		481	22
755		702	54
740		483	257
779		606	174
637		343	293
679		412	267
594		391	203
486		520	-34
807		796	11
807		564	243
154		145	9
770		762	8
516		434	83
693		553	140
219		215	4
912		919	-7
693		673	20
597		426	171
578		414	164
1,074		844	230
770		662	108
625		771	-146
503		380	123
686		816	-131
630		540	90
1,050		900	151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			363
			575
			255
			162
			22
			54
			257
			174
			293
			267
			203
			-34
			11
			243
			9
			8
			83
			140
			4
			-7
			20
			171
			164
			230
			108
			-146
			123
			-131
			90
			151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SCHLUMBERGER LTD	P	2007-07-18	2009-11-24
SCHLUMBERGER LTD	P	2007-08-31	2009-11-24
TRAVELERS COS INC/THE	P	2008-01-14	2009-11-24
TIME WARNER INC	P	2005-12-12	2009-11-24
TIME WARNER INC	P	2007-09-12	2009-11-24
TIME WARNER INC	P	2008-05-29	2009-11-24
TIME WARNER INC	P	2008-11-10	2009-11-24
TIME WARNER CABLE	P	2005-12-12	2009-11-24
TIME WARNER CABLE	P	2007-09-12	2009-11-24
TIME WARNER CABLE	P	2008-05-29	2009-11-24
TIME WARNER CABLE	P	2008-11-10	2009-11-24
TIME WARNER CABLE	P	2008-12-08	2009-11-24
TIME WARNER CABLE	P	2009-01-23	2009-11-24
***TEVA PHARMACEUTICAL-SP ADR	P	2008-04-18	2009-11-24
US BANCORP	P	2009-05-13	2009-11-24
UNION PACIFIC CORP	P	2009-06-09	2009-11-24
VISA INC - CLASS A SHRS	P	2009-05-06	2009-11-24
WAL-MART STORES INC	P	2008-10-16	2009-11-24
WESTERN DIGITAL CORP	P	2008-05-30	2009-11-24
LOWE'S COS INC	P	2009-01-23	2009-12-02
VIACOM INC-CLASS B	P	2008-12-23	2009-12-02
VIACOM INC-CLASS B	P	2009-04-14	2009-12-02
VIACOM INC-CLASS B	P	2009-04-14	2009-12-02
CATERPILLAR INC	P	2009-07-09	2009-12-08
CATERPILLAR INC	P	2009-07-28	2009-12-08
METLIFE INC	P	2009-01-30	2009-12-15
PROCTER & GAMBLE CO	P	2009-04-14	2009-12-15
AOL INC	P		2009-12-16
BLACK & DECKER CORP	P	2009-09-02	2009-12-16
AETNA INC	P	2009-09-09	2009-12-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
981		1,353	-372
327		485	-158
531		511	19
1,070		1,241	-171
504		681	-177
1,354		1,548	-194
220		161	59
433		439	-6
173		241	-67
433		547	-114
173		130	43
173		122	51
130		90	40
533		469	63
593		438	155
579		489	90
484		401	82
822		756	66
566		556	10
519		459	60
1,050		608	442
750		470	280
600		376	224
57		31	26
1,135		856	279
1,287		1,013	275
622		475	147
23			23
1,422		930	492
496		435	61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-372
			-158
			19
			-171
			-177
			-194
			59
			-6
			-67
			-114
			43
			51
			40
			63
			155
			90
			82
			66
			10
			60
			442
			280
			224
			26
			279
			275
			147
			23
			492
			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
LOWE'S COS INC	P	2009-04-29	2009-12-18
LOWE'S COS INC	P	2009-05-27	2009-12-18
***ACE LTD	P	2009-01-23	2009-12-21
***ACE LTD	P	2009-04-17	2009-12-21
GOOGLE INC-CL A	P	2007-09-11	2009-12-21
***ARCELORMITTAL-NY REGISTERED	P	2009-08-05	2009-12-24
***COOPER INDUSTRIES PLC-CL A	P	2009-03-19	2009-12-30
INTEL CORP	P	2009-03-31	2009-12-29
INTEL CORP	P	2009-05-07	2009-12-29
NEWS CORP	P	2009-01-12	2009-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
354		326	27
236		197	39
244		220	24
244		227	17
598		520	78
902		757	145
472		279	192
305		227	78
407		316	91
680		427	261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			27
			39
			24
			17
			78
			145
			192
			78
			91
			261

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Boston Medical FlightHangar 1727-Hanscom AFB Bedford, MA 01730	None	501(c)(3)	To help further charitable purposes of organization	500
Ron Burton Training VillagePO Box 252 Hubbardstown, MA 01452	None	501(c)(3)	To help further charitable purposes of organization	2,500
Colorado College14 East Cache La Poudre St Colorado Springs, CO 80903	None	501(c)(3)	To help further charitable purposes of organization	5,000
Cranberry Cup Charitable Association 950 Winter Street-Suite 3200 Waltham, MA 02451	None	501(c)(3)	To help further charitable purposes of organization	2,000
Doctors Without BordersPO Box 17090 Baltimore, MD 212037090	None	501(c)(3)	To help further charitable purposes of organization	2,000
Epiphany School154 Centre Street Dorchester, MA 02124	None	501(c)(3)	To help further charitable purposes of organization	1,000
Foundation Fighting Blindness950 Winter Street-Suite 3200 Waltham, MA 02451	None	501(c)(3)	To help further charitable purposes of organization	21,600
Friends of the Children555 Amory Street Boston, MA 02130	None	501(c)(3)	To help further charitable purposes of organization	500
Groton SchoolPO Box 991 Groton, MA 01450	None	501(c)(3)	To help further charitable purposes of organization	5,100
Mass Ear and Eye Infirmary243 Charles Street Boston, MA 02114	None	501(c)(3)	To help further charitable purposes of organization	2,500
Kellogg School of Management-Northwestern U2001 Sheridan Road Evanston, IL 60208	None	501(c)(3)	To help further charitable purposes of organization	19,250
Nantucket Conservation Foundation 118 Cliff Road PO Box 13 Nantucket, MA 025540013	None	501(c)(3)	To help further charitable purposes of organization	500
Nantucket IcePO Box 3155 Nantucket, MA 025540013	None	501(c)(3)	To help further charitable purposes of organization	1,000
Nature Conservancy205 Portland Street-Suite 40 Boston, MA 021141708	None	501(c)(3)	To help further charitable purposes of organization	500
The Reading Tree368 Connecticut Ave San Francisco, CA 94107	None	501(c)(3)	To help further charitable purposes of organization	250
Total . . . . ▶ **3a**				71,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Stern Center for Language and Learning135 Allen Brook Lane Williston, VT 054959209	None	501(c)(3)	To help further charitable purposes of organization	1,000
The Travis Roy FoundationCelebrity Mktg-38 Main Street Andover, MA 01810	None	501(c)(3)	To help further charitable purposes of organization	2,000
Union Church in Waban14 Collins Road Waban, MA 02168	None	501(c)(3)	To help further charitable purposes of organization	3,000
Unversity of Vermont411 Main Street Burlington, VT 054013470	None	501(c)(3)	To help further charitable purposes of organization	1,000
Total . . . . ▶ **3a**				71,200

TY 2009 Accounting Fees Schedule

Name: Grant and Lara Gund Foundation

EIN: 55-0805387

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Gund Investment Corp	11,000	11,000		0

TY 2009 Investments Corporate Stock Schedule

Name: Grant and Lara Gund Foundation

EIN: 55-0805387

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate Marketable Securities	878,600	916,051

TY 2009 Other Decreases Schedule

Name: Grant and Lara Gund Foundation

EIN: 55-0805387

Description	Amount
ADJUSTMENT FROM PRIOR PERIOD	1

TY 2009 Other Expenses Schedule

Name: Grant and Lara Gund Foundation

EIN: 55-0805387

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sanford Bernstein Management Fees	6,746	6,746		0
PNC Bank Fees	39	39		0

TY 2009 Substantial Contributors Schedule

Name: Grant and Lara Gund Foundation

EIN: 55-0805387

Name	Address
Gordon & Llura Gund CLAT #4	14 Nassau Street Princeton, NJ 08542