Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Emmy Lou Tompkins Foundation
Number and street (or P O box number if mail is not delivered to street address): PO Box 07013 Room/suite:
City or town, state, and ZIP code: Ft. Myers FL 33919

A Employer identification number: 55-0759620
B Telephone number (see page 10 of the instructions): 239-454-6954
C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,205,061
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	63,620	63,620		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,064			
b	Gross sales price for all assets on line 6a 681,066				
7	Capital gain net income (from Part IV, line 2)		4,064		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns & allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 1	74			
12	**Total.** Add lines 1 through 11	67,758	67,684	0	
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	2,370			2,370
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	3,600	1,200		2,400
c	Other professional fees (attach schedule) Stmt 3	9,990	9,990		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 4	420	420		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) Stmt 5	908	2		906
24	**Total operating and administrative expenses.** Add lines 13 through 23	17,288	11,612		5,676
25	Contributions, gifts, grants paid	115,000			115,000
26	**Total expenses and disbursements.** Add lines 24 and 25	132,288	11,612	0	120,676
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-64,530			
b	**Net investment income** (if negative, enter -0-)		56,072		
c	**Adjusted net income** (if negative, enter -0-)			0	

RECEIVED MAY 18 2010 OGDEN, UT

SCANNED MAY 20 2010

18

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	239,398	114,874	114,874
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S and state government obligations (attach schedule) Stmt 6	362,797	256,925	269,389
	b	Investments—corporate stock (attach schedule) See Stmt 7	1,119,843	1,074,163	1,229,224
	c	Investments—corporate bonds (attach schedule) See Stmt 8	189,133	348,105	361,389
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) See Statement 9	127,718	177,404	230,185
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,038,889	1,971,471	2,205,061
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,038,889	1,971,471	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,038,889	1,971,471	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,038,889	1,971,471	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,038,889
2	Enter amount from Part I, line 27a	2	-64,530
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,974,359
5	Decreases not included in line 2 (itemize) ▶ See Statement 10	5	2,888
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,971,471

DAA

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,064
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	21,755

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	119,532	2,326,306	0.051383
2007	123,700	2,655,328	0.046586
2006	125,000	2,465,708	0.050695
2005	118,831	2,339,548	0.050792
2004	125,068	2,288,468	0.054651

2	**Total** of line 1, column (d)	2	0.254107
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050821
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,990,216
5	Multiply line 4 by line 3	5	101,145
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	561
7	Add lines 5 and 6	7	101,706
8	Enter qualifying distributions from Part XII, line 4	8	120,676

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	561
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	561
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	561
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 4,600		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,600
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	4,039
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 4,039 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ N/A (2) On foundation managers ▶ $ N/A			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ N/A			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

DAA

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Victor Grigoraci Telephone no ▶ 304-343-5511

608 Tennessee Avenue

Located at ▶ Charleston, WV ZIP+4 ▶ 25302

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	None

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,841,620
b	Average of monthly cash balances	1b	178,904
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,020,524
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,020,524
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	30,308
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,990,216
6	**Minimum investment return.** Enter 5% of line 5	6	99,511

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	99,511
2a	Tax on investment income for 2009 from Part VI, line 5	2a	561		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	561
3	Distributable amount before adjustments Subtract line 2c from line 1			3	98,950
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	98,950
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	98,950

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	120,676
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	120,676
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	561
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	120,115

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

DAA

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					98,950
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only					
b Total for prior years 20 07 , 20 06 , 20 05					
3 Excess distributions carryover, if any, to 2009					
a From 2004	8,512				
b From 2005	4,192				
c From 2006	4,563				
d From 2007					
e From 2008	4,417				
f **Total** of lines 3a through e		21,684			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 120,676					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d Applied to 2009 distributable amount					98,950
e Remaining amount distributed out of corpus		21,726			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		43,410			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions					
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		8,512			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		34,898			
10 Analysis of line 9					
a Excess from 2005	4,192				
b Excess from 2006	4,563				
c Excess from 2007					
d Excess from 2008	4,417				
e Excess from 2009	21,726				

DAA

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d N/A

a The name, address, and telephone number of the person to whom applications should be addressed

Mrs. Joanna Dye 239-454-6954
PO Box 07013 Fort Myers FL 33919

b The form in which applications should be submitted and information and materials they should include

See Attached Guidelines and Policies

c Any submission deadlines

See Attached Guidelines and Policies

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Attached Guidelines and Policies

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 12				115,000
Total			▶ 3a	115,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	63,620	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,064	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b Litigation Income			14	74	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		67,758	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 67,758

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule N/A

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Donna Dye | 5-10-2010 | Managing Trustee
Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date 5/7/10	Check if self-employed ☐
Preparer's identifying number (see Signature on page 30 of the instructions)	236-60-5491	
Firm's name (or yours if self-employed), address, and ZIP code	Grigoraci, Paterno & Associates 608 Tennessee Ave Charleston, WV 25302-2328	EIN ▶ 55-0745949 Phone no 304-343-5511

DAA

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

2009

For calendar year 2009, or tax year beginning , and ending

Name: The Emmy Lou Tompkins Foundation

Employer Identification Number: 55-0759620

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	50000-US TST Inflation Index	P	01/16/01	01/15/09
(2)	35000-US Treasury Notes Ser K2012 0	P	05/29/07	02/06/09
(3)	1200-Ebay Inc	P	06/18/07	02/17/09
(4)	787-Paccar Inc Del	P	Various	02/17/09
(5)	25000-US Treasury Notes 3.625% 12/31	P	08/04/08	03/06/09
(6)	200-Northrop Grumman Corp	P	05/05/05	03/27/09
(7)	350-Northrop Grumman Corp	P	12/09/04	03/27/09
(8)	475-Walmart Stores Inc.	P	01/14/02	03/27/09
(9)	1200-Johnson Controls Inc.	P	05/15/02	03/27/09
(10)	1775-Symantec Corp	P	08/15/07	03/27/09
(11)	1200-Kraft Foods Inc Class A	P	02/16/06	05/08/09
(12)	625-Nucor Corp	P	09/16/04	05/08/09
(13)	725-Dow Chemical Co	P	12/22/06	08/17/09
(14)	500-Valero Energy Corp	P	08/15/07	08/17/09
(15)	775-Devon Energy Corp New	P	05/11/06	08/17/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	65,457		65,079	378
(2)	38,284		34,513	3,771
(3)	14,870		38,374	-23,504
(4)	21,710		24,657	-2,947
(5)	27,018		25,549	1,469
(6)	9,023		11,248	-2,225
(7)	15,790		19,520	-3,730
(8)	24,928		27,094	-2,166
(9)	15,099		17,987	-2,888
(10)	26,874		32,909	-6,035
(11)	30,637		35,805	-5,168
(12)	27,222		13,973	13,249
(13)	15,265		29,455	-14,190
(14)	8,552		33,116	-24,564
(15)	47,475		49,366	-1,891

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				378
(2)				3,771
(3)				-23,504
(4)				-2,947
(5)				1,469
(6)				-2,225
(7)				-3,730
(8)				-2,166
(9)				-2,888
(10)				-6,035
(11)				-5,168
(12)				13,249
(13)				-14,190
(14)				-24,564
(15)				-1,891

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** | **2009**

For calendar year 2009, or tax year beginning , and ending

Name	Employer Identification Number
The Emmy Lou Tompkins Foundation	55-0759620

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	30000-US Treasury Notes M2012 Due 06	P	08/28/07	09/01/09
(2)	35000-Cast Stepup	P	07/25/03	09/16/09
(3)	50000-SunTrust Banks	P	09/08/08	10/15/09
(4)	225-Northrop Grumman Corp	P	Various	10/16/09
(5)	250-Travelers Companies	P	09/12/06	10/16/09
(6)	500-ConocoPhillips	P	12/19/03	10/16/09
(7)	300-Proctor & Gamble Co	P	02/17/09	10/28/09
(8)	600-ITT Industries	D	01/01/00	10/28/09
(9)	500-Hewlett Packard Co Com	P	12/16/03	10/28/09
(10)	500-Western Digital Corp Com	P	12/05/08	10/28/09
(11)	850-Ensco Intl Inc	P	05/08/09	12/23/09
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	32,887		30,741	2,146
(2)	35,000		35,189	-189
(3)	50,000		49,767	233
(4)	11,413		12,584	-1,171
(5)	12,178		11,585	593
(6)	25,956		15,378	10,578
(7)	17,211		15,150	2,061
(8)	32,061		2,223	29,838
(9)	23,501		11,310	12,191
(10)	16,806		5,516	11,290
(11)	35,849		28,914	6,935
(12)				
(13)				
(14)				
(15)				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				2,146
(2)				-189
(3)				233
(4)				-1,171
(5)				593
(6)				10,578
(7)				2,061
(8)				29,838
(9)				12,191
(10)				11,290
(11)				6,935
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
Litigation Income	$ 74	$	$
Total	$ 74	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Grigoraci, Paterno & Associates	$ 3,600	$ 1,200	$	$ 2,400
Total	$ 3,600	$ 1,200	$ 0	$ 2,400

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Virginia Investment Advisors	$ 9,990	$ 9,990	$	$
Total	$ 9,990	$ 9,990	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Foreign Taxes Paid	$ 420	$ 420	$	$
Total	$ 420	$ 420	$ 0	$ 0

1-4

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Post Office Box	60			60
Trustee Meeting Expenses	846			846
Fees	2	2		
Total	$ 908	$ 2	$ 0	$ 906

5

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Charlotte NC CT 5.37%	$ 20,470	$ 20,470		$ 21,075
Suffolk VA 4.05% 02/01/16		50,010		50,219
Federal Farm Credit	51,266	51,266		52,740
Federal Farm Credit 5.4	51,425	51,425		54,968
US Treas Note Ser B 05/15/13 3.625%	18,787	18,787		21,178
US Treas Note Ser K 04/30/12 4.5%	34,513			
US Treas Note Ser M 06/30/12 4.875%	30,741			
US Treas Note Ser P 10/31/11 4.625%	30,405	30,405		31,945
US Treas Note Ser Q 11/30/11 4.5%	34,562	34,562		37,264
US Treas Note 3.625% 12/31/12	25,549			
US TST Inflation Index NTS CPI	65,079			
Total	$ 362,797	$ 256,925		$ 269,389

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
A T&T Inc	$ 23,348	$ 23,348		$ 27,329
Ace Limited New		19,373		20,160
Altria Group Inc	33,804	33,804		45,149
Amgen Inc	33,148	33,148		26,871
Archer Daniels Midland Co	15,502	15,502		36,007
Bank of America Corp	15,483	23,134		23,343
Chevron Corp	21,408	21,408		44,269
Cigna Corp		30,709		37,915
Conocophillips	15,378			
Devon Energy Corp	49,366			
Disney Walt Co		23,536		29,831

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Dow Chemical	$ 29,455	$		$
Eaton Corp	31,079	31,079		25,448
Ebay Inc	38,374			
Ensco Intl Ltd ADR		35,848		33,949
Exxon Mobile Corporation		23,290		23,867
General Dynamics	16,850	16,850		37,494
General Electric		24,757		34,799
Goldman Sachs Group	59,206	59,206		50,652
Harris Corporation		18,549		26,153
Hewlett Packard	31,101	19,792		45,071
Home Depot		17,869		21,698
Intel Corp	32,137	32,137		30,600
Intl. Business Machines	36,015	36,015		39,270
ITT Industries	2,222			
Johnson & Johnson	22,321	22,321		27,374
Johnson Controls	17,987			
JP Morgan Chase	26,666	26,666		27,086
Kraft Foods Inc Cl A	35,805			
Marathon Oil Corp		39,231		35,123
Microsoft Corp	47,353	47,353		48,006
Norfolk Southern Corp		23,749		35,384
Northrop Grumman Corp	43,351			
NRG Energy Inc New		29,124		33,644
Nucor Corp	13,974			
Paccar Inc Del	24,657			
Pfizer Inc	62,382	62,382		35,471
Posco ADR		28,746		42,608

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Proctor & Gamble	$	$ 23,988		$ 28,799
Symantec Corp	32,909			
Travelers	34,754	23,169		24,930
Valero Energy Corp	33,116			
Verizon Communications	50,469	50,469		39,756
VF Corporation	26,181	26,180		36,620
Walmart Stores Inc	58,411	31,317		30,734
Wells Fargo & Co	20,233	20,233		20,917
Wellpoint Inc	55,351	55,351		39,346
Western Digital	14,343	8,826		35,320
Whirlpool Corp	15,704	15,704		28,231
Total	$ 1,119,843	$ 1,074,163		$ 1,229,224

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Alabama Power Co 4.85% 12/15/12	$	$ 31,029		$ 32,135
Astraazeneca PLC 5.4%	50,899	50,899		54,840
Baker Hughes 7.5% 11/15/18		27,851		29,956
Boeing Cap Corp 6.50% 02/15/12		37,215		38,258
CAST Step Up	35,190			
ConocoPhillips 5.75% 02/01/19		30,169		32,923
E I Dupont 4.75% 03/15/15		24,685		26,686
Georgia Pwr Co 5.125% 11/15/12		32,451		32,433
JP Morgan Chase 5.15% 10/01/15		26,416		25,947
MetLife Inc 6.75% 06/01/16		34,113		33,765
SunTrust Banks 4.25%	49,767			

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Walmart Stores 7.25% Debenture	$ 28,190	$ 28,190		$ 28,767
Wells Fargo & Co 3.98% 10/29/10	25,087	25,087		25,679
Total	$ 189,133	$ 348,105		$ 361,389

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Ishares TR MSCI EAFE Index Fd	$ 73,661	$ 123,347		$ 145,110
Ishares TR MSCI Emerging Mkts	54,057	54,057		85,075
Total	$ 127,718	$ 177,404		$ 230,185

Statement 10 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
Accrued Interest Paid	$ 2,863
Dividends paid in 2010	25
Total	$ 2,888

Federal Statements

Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
Boydie Girimont 159 Rountree Lane Southern Pines NC 28387	Trustee	0.25	395	0	0
Betsy Altizer 559 Forest Hill Drive Pounding Mill VA 24637	Trustee	0.25	395	0	0
Blair Sechrest 4120 Charles G Drive Raleigh NC 27606	Trustee	0.25	395	0	0
Ryan Altizer 2020 Willowmet Lane Brentwood TN 37027	Trustee	0.25	395	0	0
Joanna Dye 8898 Bristol Bend Fort Myers FL 33908	Trustee	0.25	395	0	0
Heidi Dye Raines 3646 Grandview Blvd. Los Angelos CA 90066	Trustee	0.25	395	0	0

11

Federal Statements

Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
Friend to Friend Carthage NC 28327	111 McNeill Street	Public	Charitable	20,000
Cumberland College Williamsburg KY 40769	6191 College Station Dr.	Public	Education	10,000
Habitat for Humanity Aberdeen NC 28315	2268 NC 5 Highway	Public	Charitable	10,000
Mending Hearts, Inc. Nashville TN 37228	P.O. Box 280236	Public	Charitable	10,000
The Refuge Center for Brentwood TN 37027	1804 Williamson Court	Public	Charitable	5,000
Durham Interfaith Durham NC 27701	1216 N. Roxboro Street	Public	Charitable	10,000
Nashville Childrens Nashville TN 37210	1264 Foster Avenue	Public	Charitable	5,000
Center for Child & Family Durham NC 27701	411 West Chapel Hill St.	Public	Charitable	5,000
Presbyterian Home for Black Mountain NC 28711-8	80 Lake Eden Road	Public	Charitable	5,000
Legacy Hospice Richlands VA 24641	1963 Second Street	Public	Charitable	5,000
Patriot Foundation Pinehurst NC 28374	P.O. Box 5069	Public	Charitable	20,000
SWVA Teen Center Richlands VA 24641	2951 West Front Street	Public	Charitable	10,000
Total				115,000

12

Federal Statements

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
See Attached Guidelines and Policies

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
See Attached Guidelines and Policies

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
See Attached Guidelines and Policies

The Emmy Lou Tompkins Foundation
Guidelines and Policies

The Emmy Lou Tompkins Foundation was established as a charitable trust in 1998 by a gift from Emmy Lou Tompkins. The family foundation was originally named The West Virginia Foundation but, in 2002, the trustees decided to rename it in honor of their mother, Emmy Lou Tompkins. The Foundation is dedicated to the purpose of building and preserving strong families. The trustees are particularly interested in assisting women and children in need who, through the Foundation's support, may be enabled to help themselves achieve better lives. At the same time, the trustees are determined to maintain a flexible style of grantmaking in order to respond to new interests and opportunities, emerging needs, and changing conditions in society. Consistent with their grantmaking interest in building strong families, in 2002, three representatives of the family's third generation were added to the trustee board.

Information for Applicants

The trustees of the Emmy Lou Tompkins Foundation meet annually in August to make their grant allocation decisions. Organizations are welcome to apply by submitting an initial letter of inquiry anytime after September1 in one year and before February 28 in the following year leading up to the allocation meeting that they wish to receive consideration.

Interested applicants are asked to begin the application process by submitting in duplicate a brief letter of no more than two pages. Duplicate copies of the organization's tax exempt letter and its list of board members and key staff should accompany the paper. The letter of inquiry should outline the purposes for which funds are needed and should include a brief budget narrative. The letter is intended to help the trustees determine which applicants will be invited to submit full proposals and to save applicants the time and expense of submitting costly materials.

To allow time for thorough trustee review and consideration, the effective deadline for submitting a letter of inquiry is February 28 in order for an application to be part of the August cycle in that year.

The Emmy Lou Tompkins Foundation accepts common proposal formats as substitute for or in addition to the letter of inquiry.

Organizations which have submitted successful letters of inquiry will be notified and invited to submit full proposals. All others will receive denial letters.

Proposals may be submitted to The Emmy Lou Tompkins Foundation at the invitation of the trustees following their review of a letter of inquiry. The invitations will be sent out in April to allow the applicant time to finalize its full proposal in advance of the Foundation's June 30 deadline. The receipt of an invitation should not be misconstrued as a promise of eventual funding.

The full proposal should include in duplicate:

A brief cover letter
A proposal of no more than five (5) pages
A project budget, including projected income and expenses and any information that may be known about support from other sources
An updated budget narrative
The names of the project staff and their qualifications
An updated list of the organization's board and leadership staff, including relevant background and affiliations.
The audited financial statement for the most recently completed fiscal year and a complete copy of the most recent form 990 Federal tax return.
A copy of the organization's current budget and, if available, the budget for the year ahead.

The Foundation cannot accept incomplete proposals. Applicants should make sure that all the requested information is enclosed with their proposal.

Grant proposals are accepted for only one year at a time.

All applicants will be notified of the outcome of their requests by grant letter or denial letter no later than September 30 following the trustees' meeting in August.

Each grantee is expected to submit within one year of receipt of a grant a brief report on the use of Emmy Lou Tompkins Foundation funds. The report should describe the outcome of the funded project indicating, to the extent possible, which expectations were realized and which were not. It would also be interesting to know about any future plans for the project.

Contact Information

Inquiries, invited full proposals, and all required enclosures should be mailed in duplicate to:

Mrs. Joanna Dye, Managing Trustee
The Emmy Lou Tompkins Foundation
P.O.Box 07013
Ft. Myers, FL, 33919

September, 2007