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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name change

Name of foundation

KATHRYN & W H SCHWARZSCHILD

A Employer identification number

54-6035733

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

1525 WEST WT HARRIS BLVD

(540) 563-7744

City or town, state, and ZIP code

CHARLOTTE, NC 28288-5709

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) ▶ \$ 1,463,323.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	50,366.	49,411.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-89,916.			
b	Gross sales price for all assets on line 6a	954,840.			
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	25,714.	-14.		STMT 7
12	Total Add lines 1 through 11	-13,836.	49,397.		
13	Compensation of officers, directors, trustees, etc.	NONE			NONE
14	Other employee salaries and wages	500.			500.
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	3,890.	NONE	NONE	3,890.
b	Accounting fees (attach schedule)	2,000.	NONE	NONE	2,000.
c	Other professional fees (attach schedule)	13,737.	13,737.		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions)	556.	556.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses Add lines 13 through 23	20,683.	14,293.	NONE	6,390.
25	Contributions, gifts, grants paid	90,800.			90,800.
26	Total expenses and disbursements Add lines 24 and 25	111,483.	14,293.	NONE	97,190.
27	Subtract line 26 from line 12	-125,319.			
a	Excess of revenue over expenses and disbursements		35,104.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)				

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JSA

Form 990-PF (2009)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	NONE	NONE	
	2 Savings and temporary cash investments		91,027.	91,027.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ *		*	NONE
	Less: allowance for doubtful accounts ▶	NONE	NONE	NONE
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)	NONE	NONE	NONE
	b Investments - corporate stock (attach schedule)	NONE	NONE	NONE
	c Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
	11 Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶	NONE	NONE	
	12 Investments - mortgage loans	NONE	NONE	
	13 Investments - other (attach schedule)	1,797,195.	1,579,183.	1,372,296.
14 Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)	NONE	NONE	NONE	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,797,195.	1,670,210.	1,463,323.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,797,195.	1,670,210.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds		NONE	
	30 Total net assets or fund balances (see page 17 of the instructions)	1,797,195.	1,670,210.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,797,195.	1,670,210.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,797,195.
2 Enter amount from Part I, line 27a	2	-125,319.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,671,876.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 12	5	1,666.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,670,210.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV DETAIL					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-89,916.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	147,395.	1,789,966.	0.08234513952
2007	110,435.	3,465,014.	0.03187144410
2006			
2005			
2004			
2 Total of line 1, column (d)			2 0.11421658362
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.05710829181
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 1,393,164.
5 Multiply line 4 by line 3			5 79,561.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 351.
7 Add lines 5 and 6			7 79,912.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 97,190.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	351.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	351.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	351.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	340.	
b Exempt foreign organizations-tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,586.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,926.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,575.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ▶ 352. Refunded ▶	11	1,223.	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ _____ STMT 13			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

- 11** At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) **11** ☐ Yes ☒ No
- 12** Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? **12** ☐ Yes ☒ No
- 13** Did the foundation comply with the public inspection requirements for its annual returns and exemption application? **13** ☒ Yes ☐ No
- Website address ☐ N/A
- 14** The books are in care of ☐ WELLS FARGO BANK Telephone no ☐ (540) 563-7744
 Located at ☐ 213 S. JEFFERSON STREET, ROANOKE, VA ZIP + 4 ☐ 24011-1705
- 15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- | | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b ☐ Yes ☒ No | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c ☐ Yes ☒ No | | |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No
If "Yes," list the years ☐ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) 2b ☐ Yes ☒ No | | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 3b ☐ Yes ☒ No | | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a ☐ Yes ☒ No | | |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b ☐ Yes ☒ No | | |

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,415,570.
b	Average of monthly cash balances	1b	-1,190.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,414,380.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,414,380.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	21,216.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,393,164.
6	Minimum investment return. Enter 5% of line 5	6	69,658.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	69,658.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	351.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	351.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	69,307.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	69,307.
6	Deduction from distributable amount (see page 25 of the instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	69,307.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	97,190.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	97,190.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	351.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	96,839.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				69,307.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			79,413.	
b Total for prior years 20 <u>07</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2009				
a From 2004	NONE			
b From 2005	NONE			
c From 2006	NONE			
d From 2007	NONE			
e From 2008	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>97,190.</u>				
a Applied to 2008, but not more than line 2a			79,413.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d Applied to 2009 distributable amount				17,777.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				51,530.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2005	NONE			
b Excess from 2006	NONE			
c Excess from 2007	NONE			
d Excess from 2008	NONE			
e Excess from 2009	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 15				
Total.			▶ 3a	90,800.
b Approved for future payment				
Total.			▶ 3b	

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

KATHRYN & W H SCHWARZSCHI

08/10/2010

Date _____

Trustee

Title

Preparer's
signature

Brandi A. Dotson

Date _____

8-12-10

Check if self-employed ☐

Preparer's identifying
number (See **Signature** on
page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code

WELLS FARGO BANK, N.A.
1525 W W.T. HARRIS BLVD. D1114-044
CHARLOTTE, NC 28288

EIN ► 94-3080771

28288-1161 Phone no

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					2,575.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					1,285.	
356.00		7. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 271.00					09/19/2007 85.00	01/05/2009
431.00		7. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 445.00					11/17/2008 -14.00	01/06/2009
289.00		7. SIGMA ALDRICH CORP COM PROPERTY TYPE: SECURITIES 324.00					09/18/2007 -35.00	01/06/2009
612.00		24. SYBASE INC COM PROPERTY TYPE: SECURITIES 718.00					06/17/2008 -106.00	01/06/2009
457.00		6. APOLLO GRP INC CL A PROPERTY TYPE: SECURITIES 417.00					11/14/2008 40.00	01/07/2009
609.00		25. CONTINENTAL RESOURCES INC COM PROPERTY TYPE: SECURITIES 452.00					12/04/2008 157.00	01/07/2009
154.00		3. DEVRY INC DEL COM PROPERTY TYPE: SECURITIES 174.00					12/30/2008 -20.00	01/07/2009
204.00		6. GLOBAL PMTS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 260.00					04/02/2008 -56.00	01/07/2009
307.00		9. GLOBAL PMTS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 379.00					09/28/2007 -72.00	01/07/2009
922.00		24. PROGRESS ENERGY INC COM PROPERTY TYPE: SECURITIES 1,140.00					09/19/2007 -218.00	01/07/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
923.00		28. WASTE MGMT INC DEL COM PROPERTY TYPE: SECURITIES 1,091.00					09/19/2007 -168.00	01/07/2009
541.00		19. PERRIGO CO COM W/RTS EXP 04/10/2006 PROPERTY TYPE: SECURITIES 707.00					09/26/2008 -166.00	01/08/2009
515.00		6. APOLLO GRP INC CL A PROPERTY TYPE: SECURITIES 414.00					11/14/2008 101.00	01/09/2009
1,496.00		57. CVS CORP COM PROPERTY TYPE: SECURITIES 2,177.00					09/19/2007 -681.00	01/09/2009
347.00		9. SIGMA ALDRICH CORP COM PROPERTY TYPE: SECURITIES 416.00					09/18/2007 -69.00	01/09/2009
211.00		4. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 219.00					11/27/2007 -8.00	01/12/2009
452.00		12. SIGMA ALDRICH CORP COM PROPERTY TYPE: SECURITIES 555.00					09/18/2007 -103.00	01/12/2009
327.00		5. ESSEX PPTY TR COM PROPERTY TYPE: SECURITIES 584.00					09/17/2008 -257.00	01/13/2009
169.00		17. DOUGLAS EMMETT INC PROPERTY TYPE: SECURITIES 399.00					04/28/2008 -230.00	01/15/2009
359.00		14. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 563.00					10/13/2008 -204.00	01/15/2009
189.00		31. KEYCORP NEW COM PROPERTY TYPE: SECURITIES 467.00					09/19/2008 -278.00	01/15/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
247.00		21. NEW YORK CMNTY BANCORP INC COM PROPERTY TYPE: SECURITIES 411.00					09/19/2008 -164.00	01/15/2009
326.00		8. PNC FINL SVCS GROUP INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 591.00					11/05/2008 -265.00	01/15/2009
36,925.00		1080. IPATH DOW JONES-UBS COMMODITY INDE PROPERTY TYPE: SECURITIES 55,092.00					09/11/2007 -18,167.00	01/16/2009
307.00		6. CLOROX CO COM PROPERTY TYPE: SECURITIES 380.00					10/03/2008 -73.00	01/16/2009
179.00		4. LINCOLN ELEC HLDS INC COM PROPERTY TYPE: SECURITIES 296.00					09/18/2007 -117.00	01/16/2009
360.00		9. PNC FINL SVCS GROUP INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 647.00					11/05/2008 -287.00	01/16/2009
		.23 WELLS FARGO & CO PFD STK PROPERTY TYPE: SECURITIES					11/02/1995	01/16/2009
332.00		5. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 448.00					01/06/2009 -116.00	01/20/2009
457.00		41. JARDEN CORP COM PROPERTY TYPE: SECURITIES 1,058.00					09/26/2008 -601.00	01/20/2009
127.00		8. KANSAS CITY SOUTHN INDS INC COM PROPERTY TYPE: SECURITIES 326.00					03/25/2008 -199.00	01/20/2009
1,576.00		57. PNC FINL SVCS GROUP INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 3,635.00					12/09/2008 -2,059.00	01/20/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
234.00		9. PNC FINL SVCS GROUP INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 415.00					12/03/2008 -181.00	01/20/2009
200.00		20. WEATHERFORD INTL LTD COM PROPERTY TYPE: SECURITIES 645.00					01/28/2008 -445.00	01/20/2009
769.00		77. WEATHERFORD INTL LTD COM PROPERTY TYPE: SECURITIES 2,463.00					11/30/2007 -1,694.00	01/20/2009
314.00		33. WEATHERFORD INTL LTD COM PROPERTY TYPE: SECURITIES 1,040.00					11/30/2007 -726.00	01/20/2009
367.00		17. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 681.00					10/09/2008 -314.00	01/21/2009
896.00		25. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 1,486.00					05/21/2008 -590.00	01/21/2009
358.00		10. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 580.00					09/19/2007 -222.00	01/21/2009
441.00		9. WAL MART STORES INC COM PROPERTY TYPE: SECURITIES 543.00					09/17/2008 -102.00	01/21/2009
504.00		10. CLOROX CO COM PROPERTY TYPE: SECURITIES 634.00					10/03/2008 -130.00	01/22/2009
317.00		19. KANSAS CITY SOUTHN INDS INC COM PROPERTY TYPE: SECURITIES 762.00					04/10/2008 -445.00	01/22/2009
339.00		7. SHERWIN WILLIAMS CO COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 376.00					11/06/2008 -37.00	01/22/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
241.00		6. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 350.00		COM			05/07/2008 -109.00	01/23/2009
478.00		12. AON CORP COM PROPERTY TYPE: SECURITIES 520.00					12/03/2008 -42.00	01/23/2009
401.00		10. AON CORP COM PROPERTY TYPE: SECURITIES 424.00					10/31/2008 -23.00	01/23/2009
1,233.00		22. PROCTER & GAMBLE CO COM PROPERTY TYPE: SECURITIES 1,542.00					10/03/2008 -309.00	01/23/2009
330.00		11. WABTEC COM PROPERTY TYPE: SECURITIES 615.00					08/05/2008 -285.00	01/23/2009
237.00		6. AON CORP COM PROPERTY TYPE: SECURITIES 247.00					11/11/2008 -10.00	01/26/2009
780.00		16. CLOROX CO COM PROPERTY TYPE: SECURITIES 940.00					10/16/2008 -160.00	01/26/2009
724.00		18. CULLEN FROST BANKERS INC COM W/RIGHT PROPERTY TYPE: SECURITIES 878.00					12/12/2008 -154.00	01/26/2009
269.00		12. DREAMWORKS ANIMATION INC PROPERTY TYPE: SECURITIES 381.00					07/25/2008 -112.00	01/26/2009
292.00		17. KANSAS CITY SOUTHN INDS INC COM PROPERTY TYPE: SECURITIES 410.00					01/07/2009 -118.00	01/26/2009
453.00		12. AON CORP COM PROPERTY TYPE: SECURITIES 481.00					11/21/2008 -28.00	01/27/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
341.00		9. AON CORP COM PROPERTY TYPE: SECURITIES 357.00					10/29/2008 -16.00	01/27/2009
342.00		9. AON CORP COM PROPERTY TYPE: SECURITIES 348.00					10/29/2008 -6.00	01/28/2009
397.00		22. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 412.00					12/17/2008 -15.00	01/28/2009
378.00		10. AON CORP COM PROPERTY TYPE: SECURITIES 379.00					10/21/2008 -1.00	01/29/2009
370.00		21. ARMSTRONG WORLD INDUSTRIES-WI COM PROPERTY TYPE: SECURITIES 668.00					06/26/2008 -298.00	01/29/2009
246.00		14. ARMSTRONG WORLD INDUSTRIES-WI COM PROPERTY TYPE: SECURITIES 603.00					11/16/2007 -357.00	01/29/2009
390.00		49. DELTA AIR LINES INC PROPERTY TYPE: SECURITIES 578.00					01/20/2009 -188.00	01/29/2009
1,006.00		40. KIRBY CORP PROPERTY TYPE: SECURITIES 1,670.00					01/06/2009 -664.00	01/29/2009
948.00		43. PENN VA CORP COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 2,298.00					01/09/2009 -1,350.00	01/29/2009
386.00		10. COVIDIEN LTD COM PROPERTY TYPE: SECURITIES 560.00					09/15/2008 -174.00	01/29/2009
378.00		10. AON CORP COM PROPERTY TYPE: SECURITIES 374.00					10/22/2008 4.00	01/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
406.00		11. AON CORP COM PROPERTY TYPE: SECURITIES 386.00					10/24/2008 20.00	01/30/2009
732.00		48. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,553.00					12/11/2007 -821.00	01/30/2009
346.00		50. DELTA AIR LINES INC PROPERTY TYPE: SECURITIES 588.00					01/22/2009 -242.00	01/30/2009
276.00		11. FLIR SYS INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 319.00					11/11/2008 -43.00	01/30/2009
236.00		11. CORN PRODS INTL INC COM PROPERTY TYPE: SECURITIES 337.00					01/06/2009 -101.00	02/02/2009
655.00		94. DELTA AIR LINES INC PROPERTY TYPE: SECURITIES 923.00					01/27/2009 -268.00	02/02/2009
671.00		12. RESEARCH IN MOTION COM PROPERTY TYPE: SECURITIES 626.00					01/20/2009 45.00	02/02/2009
213.00		10. DREAMWORKS ANIMATION INC PROPERTY TYPE: SECURITIES 318.00					07/25/2008 -105.00	02/03/2009
731.00		30. PERRIGO CO COM W/RTS EXP 04/10/2006 PROPERTY TYPE: SECURITIES 1,078.00					10/16/2008 -347.00	02/03/2009
2,413.00		71. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 3,567.00					09/11/2008 -1,154.00	02/03/2009
187.00		4. SHERWIN WILLIAMS CO COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 214.00					11/19/2008 -27.00	02/03/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
247.00		8. BRINKS CO PROPERTY TYPE: SECURITIES 255.00					01/31/2008 -8.00	02/04/2009
224.00		9. COPART INC COM PROPERTY TYPE: SECURITIES 401.00					09/09/2008 -177.00	02/04/2009
215.00		5. LINCOLN ELEC HLDS INC COM PROPERTY TYPE: SECURITIES 371.00					09/18/2007 -156.00	02/04/2009
754.00		20. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 1,159.00					09/19/2007 -405.00	02/04/2009
334.00		9. THERMO ELECTRON CORP COM W/RTS ATTACH PROPERTY TYPE: SECURITIES 522.00					09/19/2007 -188.00	02/04/2009
317.00		9. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 344.00					07/31/2008 -27.00	02/05/2009
333.00		15. FLIR SYS INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 419.00					10/24/2008 -86.00	02/05/2009
297.00		5. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 317.00					11/10/2008 -20.00	02/05/2009
386.00		10. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 580.00					09/19/2007 -194.00	02/05/2009
600.00		20. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 832.00					09/19/2007 -232.00	02/06/2009
543.00		18. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 749.00					09/19/2007 -206.00	02/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
565.00		25. FLIR SYS INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 698.00					12/29/2008 -133.00	02/06/2009
731.00		5. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 1,322.00					06/11/2008 -591.00	02/06/2009
285.00		3. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 265.00					01/05/2009 20.00	02/06/2009
294.00		6. SHERWIN WILLIAMS CO COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 307.00					11/21/2008 -13.00	02/06/2009
257.00		13. BURGER KING HOLDINGS INC COM PROPERTY TYPE: SECURITIES 380.00					08/11/2008 -123.00	02/09/2009
2,631.00		258. DONNELLEY R R & SONS CO COM RTS EXP PROPERTY TYPE: SECURITIES 9,082.00					09/19/2007 -6,451.00	02/09/2009
405.00		16. HASBRO INC COM PROPERTY TYPE: SECURITIES 622.00					07/31/2008 -217.00	02/09/2009
350.00		5. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 477.00					07/23/2008 -127.00	02/09/2009
284.00		7. ALLIANCE DATA SYSTEMS CORP COM PROPERTY TYPE: SECURITIES 408.00					05/07/2008 -124.00	02/10/2009
251.00		13. COVANTA HOLDING CORP COM PROPERTY TYPE: SECURITIES 297.00					09/18/2007 -46.00	02/10/2009
181.00		15. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 174.00					10/31/2008 7.00	02/10/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
490.00		8. ESSEX PPTY TR COM PROPERTY TYPE: SECURITIES 923.00					10/03/2008 -433.00	02/10/2009
301.00		2. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 514.00					06/06/2008 -213.00	02/10/2009
366.00		1. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 487.00					07/23/2008 -121.00	02/10/2009
860.00		11. LOCKHEED MARTIN CORP COM PROPERTY TYPE: SECURITIES 845.00					12/12/2008 15.00	02/10/2009
856.00		11. LOCKHEED MARTIN CORP COM PROPERTY TYPE: SECURITIES 836.00					12/11/2008 20.00	02/11/2009
1,886.00		37. PROCTER & GAMBLE CO COM PROPERTY TYPE: SECURITIES 2,449.00					11/11/2008 -563.00	02/11/2009
1,473.00		31. RESEARCH IN MOTION COM PROPERTY TYPE: SECURITIES 1,545.00					01/20/2009 -72.00	02/11/2009
586.00		23. COPART INC COM PROPERTY TYPE: SECURITIES 973.00					09/26/2008 -387.00	02/12/2009
641.00		27. HASBRO INC COM PROPERTY TYPE: SECURITIES 1,033.00					09/09/2008 -392.00	02/12/2009
73.00		2. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 117.00			COM		05/07/2008 -44.00	02/13/2009
36.00		1. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 56.00			COM		02/12/2008 -20.00	02/13/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
298.00		7. HARRIS CORP DEL COM PROPERTY TYPE: SECURITIES 445.00					05/27/2008 -147.00	02/13/2009
371.00		15. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 585.00					10/10/2008 -214.00	02/13/2009
553.00		11. CHURCH & DWIGHT CO INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 579.00					11/13/2007 -26.00	02/17/2009
343.00		10. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 375.00					07/31/2008 -32.00	02/17/2009
364.00		13. FMC TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 684.00					08/25/2008 -320.00	02/17/2009
134.00		1. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 257.00					06/06/2008 -123.00	02/17/2009
134.00		1. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 218.00					11/19/2007 -84.00	02/17/2009
313.00		14. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 540.00					11/10/2008 -227.00	02/17/2009
2,919.00		383. LIMITED INC COM PROPERTY TYPE: SECURITIES 8,970.00					09/19/2007 -6,051.00	02/17/2009
584.00		19. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 1,053.00			COM		02/12/2008 -469.00	02/18/2009
571.00		28. DREAMWORKS ANIMATION INC PROPERTY TYPE: SECURITIES 877.00					09/29/2008 -306.00	02/18/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
389.00		3. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 635.00					02/20/2008 -246.00	02/18/2009
779.00		6. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 1,306.00					11/19/2007 -527.00	02/18/2009
239.00		6. INTEGRYS ENERGY GROUP INCORPORATED PROPERTY TYPE: SECURITIES 318.00					09/12/2008 -79.00	02/18/2009
638.00		30. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 1,020.00					11/25/2008 -382.00	02/18/2009
503.00		25. DREAMWORKS ANIMATION INC PROPERTY TYPE: SECURITIES 758.00					08/19/2008 -255.00	02/19/2009
297.00		5. ARCH CAP GROUP LTD COM PROPERTY TYPE: SECURITIES 362.00					09/18/2007 -65.00	02/19/2009
147.00		5. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 272.00			COM		09/18/2008 -125.00	02/20/2009
88.00		3. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 127.00			COM		01/29/2008 -39.00	02/20/2009
849.00		52. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 967.00					12/17/2008 -118.00	02/20/2009
730.00		9. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 766.00					01/05/2009 -36.00	02/20/2009
5.00		1. KEYCORP NEW COM PROPERTY TYPE: SECURITIES 15.00					09/19/2008 -10.00	02/20/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
328.00		6. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 399.00					08/11/2008 -71.00	02/20/2009
285.00		14. METLIFE INC COM PROPERTY TYPE: SECURITIES 974.00					09/19/2007 -689.00	02/20/2009
255.00		10. WABTEC COM PROPERTY TYPE: SECURITIES 536.00					08/05/2008 -281.00	02/20/2009
312.00		14. ALBERTO-CULVER CO PROPERTY TYPE: SECURITIES 385.00					02/12/2008 -73.00	02/23/2009
641.00		41. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 731.00					12/19/2008 -90.00	02/23/2009
666.00		8. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 666.00					01/02/2009	02/23/2009
671.00		2. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 936.00					09/19/2008 -265.00	02/23/2009
174.00		5. HANOVER INS GROUP INC PROPERTY TYPE: SECURITIES 258.00					09/19/2008 -84.00	02/23/2009
35.00		1. HANOVER INS GROUP INC PROPERTY TYPE: SECURITIES 42.00					09/18/2007 -7.00	02/23/2009
256.00		7. INTEGRYS ENERGY GROUP INCORPORATED PROPERTY TYPE: SECURITIES 364.00					07/14/2008 -108.00	02/23/2009
178.00		22. KING PHARMACEUTICALS INC COM PROPERTY TYPE: SECURITIES 253.00					07/23/2008 -75.00	02/23/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
641.00		41. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 707.00					12/23/2008 -66.00	02/24/2009
124.00		16. DOUGLAS EMMETT INC PROPERTY TYPE: SECURITIES 314.00					01/24/2008 -190.00	02/24/2009
285.00		9. LINCOLN ELEC HLDS INC COM PROPERTY TYPE: SECURITIES 667.00					09/18/2007 -382.00	02/24/2009
195.00		18. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 232.00					12/08/2008 -37.00	02/25/2009
300.00		8. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 530.00					06/25/2008 -230.00	02/25/2009
300.00		8. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 445.00					10/16/2007 -145.00	02/25/2009
1,022.00		3. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 1,339.00					09/19/2008 -317.00	02/25/2009
416.00		4. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 581.00					10/31/2008 -165.00	02/26/2009
936.00		9. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 1,563.00					01/28/2008 -627.00	02/26/2009
180.00		7. HUMANA INC COM RTS EXP 02/14/2006 PROPERTY TYPE: SECURITIES 305.00					02/05/2009 -125.00	02/26/2009
221.00		8. INTEGRYS ENERGY GROUP INCORPORATED PROPERTY TYPE: SECURITIES 416.00					07/14/2008 -195.00	02/26/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
388.00		19. UNITEDHEALTH GRP INC COM PROPERTY TYPE: SECURITIES 549.00				02/13/2009 -161.00	02/26/2009
335.00		22. COVANTA HOLDING CORP PROPERTY TYPE: SECURITIES 502.00			COM	09/18/2007 -167.00	02/27/2009
602.00		57. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 631.00				12/12/2008 -29.00	02/27/2009
428.00		5. GENENTECH INC COM PROPERTY TYPE: SECURITIES 425.00				02/20/2009 3.00	02/27/2009
586.00		24. HUMANA INC COM RTS EXP 02/14/2006 PROPERTY TYPE: SECURITIES 1,002.00				02/18/2009 -416.00	02/27/2009
398.00		13. LINCOLN ELEC HLDS INC COM PROPERTY TYPE: SECURITIES 834.00				12/18/2008 -436.00	02/27/2009
61.00		2. LINCOLN ELEC HLDS INC COM PROPERTY TYPE: SECURITIES 148.00				09/18/2007 -87.00	02/27/2009
402.00		31. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 2,428.00				09/19/2007 -2,026.00	02/27/2009
251.00		25. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 319.00				01/16/2009 -68.00	03/02/2009
135.00		5. MCAFEE INC PROPERTY TYPE: SECURITIES 181.00				10/04/2007 -46.00	03/02/2009
129.00		12. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 940.00				09/19/2007 -811.00	03/02/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
309.00		16. CABOT OIL & GAS CORP CL A PROPERTY TYPE: SECURITIES 461.00					01/29/2009 -152.00	03/03/2009
321.00		26. COMPANHIA VALE DO RIO DOCE SPONSORED PROPERTY TYPE: SECURITIES 457.00					02/09/2009 -136.00	03/03/2009
124.00		15. CONTINENTAL AIRLINES INC CL B W/RIGH PROPERTY TYPE: SECURITIES 309.00					01/09/2009 -185.00	03/03/2009
200.00		6. HARRIS CORP DEL COM PROPERTY TYPE: SECURITIES 381.00					05/27/2008 -181.00	03/03/2009
251.00		12. CABOT OIL & GAS CORP CL A PROPERTY TYPE: SECURITIES 346.00					01/29/2009 -95.00	03/04/2009
325.00		24. COMPANHIA VALE DO RIO DOCE SPONSORED PROPERTY TYPE: SECURITIES 404.00					02/09/2009 -79.00	03/04/2009
417.00		9. DEVRY INC DEL COM PROPERTY TYPE: SECURITIES 512.00					02/03/2009 -95.00	03/04/2009
579.00		55. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 591.00					12/12/2008 -12.00	03/04/2009
257.00		12. INTEGRYS ENERGY GROUP INCORPORATED PROPERTY TYPE: SECURITIES 625.00					07/14/2008 -368.00	03/04/2009
303.00		22. METLIFE INC COM PROPERTY TYPE: SECURITIES 1,530.00					09/19/2007 -1,227.00	03/04/2009
304.00		38. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 328.00					02/09/2009 -24.00	03/04/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
839.00		28. COVIDIEN LTD COM PROPERTY TYPE: SECURITIES 1,568.00					09/17/2008 -729.00	03/04/2009
231.00		11. CABOT OIL & GAS CORP CL A PROPERTY TYPE: SECURITIES 313.00					02/05/2009 -82.00	03/05/2009
637.00		49. COMPANHIA VALE DO RIO DOCE SPONSORED PROPERTY TYPE: SECURITIES 824.00					02/09/2009 -187.00	03/05/2009
270.00		13. INTEGRYS ENERGY GROUP INCORPORATED PROPERTY TYPE: SECURITIES 671.00					07/16/2008 -401.00	03/05/2009
236.00		38. KING PHARMACEUTICALS INC COM PROPERTY TYPE: SECURITIES 434.00					07/23/2008 -198.00	03/05/2009
260.00		22. METLIFE INC COM PROPERTY TYPE: SECURITIES 1,530.00					09/19/2007 -1,270.00	03/05/2009
397.00		14. COVIDIEN LTD COM PROPERTY TYPE: SECURITIES 774.00					09/19/2008 -377.00	03/05/2009
279.00		31. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 381.00					12/16/2008 -102.00	03/06/2009
654.00		52. COMPANHIA VALE DO RIO DOCE SPONSORED PROPERTY TYPE: SECURITIES 789.00					02/20/2009 -135.00	03/06/2009
324.00		46. CONTINENTAL AIRLINES INC CL B W/RIGH PROPERTY TYPE: SECURITIES 784.00					02/04/2009 -460.00	03/06/2009
253.00		11. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 344.00					09/09/2008 -91.00	03/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
227.00		14. REPUBLIC SVCS INC COM PROPERTY TYPE: SECURITIES 445.00					09/18/2007 -218.00	03/06/2009
423.00		15. COVIDIEN LTD COM PROPERTY TYPE: SECURITIES 745.00					10/13/2008 -322.00	03/06/2009
326.00		11. HANOVER INS GROUP INC PROPERTY TYPE: SECURITIES 462.00					09/18/2007 -136.00	03/09/2009
141.00		4. URS CORP NEW COM PROPERTY TYPE: SECURITIES 185.00					08/26/2008 -44.00	03/09/2009
376.00		16. WABTEC COM PROPERTY TYPE: SECURITIES 790.00					10/22/2008 -414.00	03/09/2009
236.00		18. COVANTA HOLDING CORP PROPERTY TYPE: SECURITIES 411.00			COM		09/18/2007 -175.00	03/10/2009
181.00		6. HARRIS CORP DEL COM PROPERTY TYPE: SECURITIES 381.00					05/27/2008 -200.00	03/10/2009
175.00		11. REPUBLIC SVCS INC COM PROPERTY TYPE: SECURITIES 349.00					09/18/2007 -174.00	03/10/2009
484.00		52. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 612.00					11/24/2008 -128.00	03/11/2009
425.00		27. REPUBLIC SVCS INC COM PROPERTY TYPE: SECURITIES 858.00					09/18/2007 -433.00	03/11/2009
478.00		51. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 584.00					11/21/2008 -106.00	03/12/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
203.00		5. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 186.00					07/25/2008 17.00	03/12/2009
658.00		7. GENENTECH INC COM PROPERTY TYPE: SECURITIES 595.00					02/20/2009 63.00	03/12/2009
305.00		8. KOHLS CORP COM PROPERTY TYPE: SECURITIES 413.00					08/11/2008 -108.00	03/12/2009
444.00		27. BROWN & BROWN INC COM PROPERTY TYPE: SECURITIES 571.00					01/07/2009 -127.00	03/13/2009
470.00		15. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 406.00					11/17/2008 64.00	03/13/2009
345.00		39. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 328.00					02/09/2009 17.00	03/13/2009
758.00		45. BROWN & BROWN INC COM PROPERTY TYPE: SECURITIES 892.00					11/24/2008 -134.00	03/16/2009
138.00		10. COVANTA HOLDING CORP PROPERTY TYPE: SECURITIES 198.00			COM		10/13/2008 -60.00	03/16/2009
592.00		43. COVANTA HOLDING CORP PROPERTY TYPE: SECURITIES 981.00			COM		09/18/2007 -389.00	03/16/2009
302.00		7. DEVRY INC DEL COM PROPERTY TYPE: SECURITIES 386.00					01/28/2009 -84.00	03/16/2009
374.00		8. DEVRY INC DEL COM PROPERTY TYPE: SECURITIES 439.00					12/12/2008 -65.00	03/17/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
350.00		9. KOHLS CORP COM PROPERTY TYPE: SECURITIES 465.00					08/11/2008 -115.00	03/17/2009
242.00		14. SAIC INC COM PROPERTY TYPE: SECURITIES 265.00					12/16/2008 -23.00	03/17/2009
165.00		6. SYBASE INC COM PROPERTY TYPE: SECURITIES 159.00					01/31/2008 6.00	03/17/2009
230.00		12. CORN PRODS INTL INC COM PROPERTY TYPE: SECURITIES 360.00					01/09/2009 -130.00	03/18/2009
1,124.00		12. GENENTECH INC COM PROPERTY TYPE: SECURITIES 997.00					03/04/2009 127.00	03/18/2009
375.00		9. KOHLS CORP COM PROPERTY TYPE: SECURITIES 465.00					08/11/2008 -90.00	03/18/2009
755.00		32. METLIFE INC COM PROPERTY TYPE: SECURITIES 2,042.00					01/14/2008 -1,287.00	03/18/2009
542.00		25. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 471.00					01/21/2009 71.00	03/18/2009
328.00		8. RANGE RES CORP COM PROPERTY TYPE: SECURITIES 294.00					01/07/2009 34.00	03/18/2009
9.00		1. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 8.00					02/09/2009 1.00	03/18/2009
402.00		13. HANOVER INS GROUP INC PROPERTY TYPE: SECURITIES 547.00					09/18/2007 -145.00	03/19/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
229.00		7. HARRIS CORP DEL COM PROPERTY TYPE: SECURITIES 401.00					08/19/2008 -172.00	03/19/2009
359.00		6. OCCIDENTAL PETE CORP COM PROPERTY TYPE: SECURITIES 348.00					12/17/2008 11.00	03/19/2009
297.00		5. OCCIDENTAL PETE CORP COM PROPERTY TYPE: SECURITIES 283.00					12/17/2008 14.00	03/19/2009
527.00		30. REPUBLIC SVCS INC COM PROPERTY TYPE: SECURITIES 952.00					09/18/2007 -425.00	03/19/2009
347.00		30. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 319.00					12/11/2008 28.00	03/20/2009
432.00		14. HARRIS CORP DEL COM PROPERTY TYPE: SECURITIES 555.00					01/21/2009 -123.00	03/20/2009
985.00		30. BEST BUY INC COM PROPERTY TYPE: SECURITIES 902.00					02/17/2009 83.00	03/23/2009
445.00		26. SAIC INC COM PROPERTY TYPE: SECURITIES 475.00					11/20/2008 -30.00	03/23/2009
231.00		14. BLOCK H & R INC COM W/RTS EXP 3/25/2 PROPERTY TYPE: SECURITIES 342.00					09/08/2008 -111.00	03/24/2009
546.00		26. CORN PRODS INTL INC COM PROPERTY TYPE: SECURITIES 696.00					01/29/2009 -150.00	03/24/2009
510.00		17. HANOVER INS GROUP INC PROPERTY TYPE: SECURITIES 715.00					09/18/2007 -205.00	03/24/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
928.00		53. SAIC INC COM PROPERTY TYPE: SECURITIES 948.00					12/01/2008 -20.00	03/24/2009
339.00		37. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 306.00					02/09/2009 33.00	03/24/2009
383.00		11. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 638.00					09/19/2007 -255.00	03/24/2009
312.00		9. THERMO ELECTRON CORP COM W/RTS ATTACH PROPERTY TYPE: SECURITIES 522.00					09/19/2007 -210.00	03/24/2009
252.00		20. CONSTELLATION BRANDS INC CL A PROPERTY TYPE: SECURITIES 440.00					08/15/2008 -188.00	03/25/2009
240.00		7. THERMO ELECTRON CORP COM W/RTS ATTACH PROPERTY TYPE: SECURITIES 406.00					09/19/2007 -166.00	03/25/2009
238.00		11. ALBERTO-CULVER CO PROPERTY TYPE: SECURITIES 303.00					02/12/2008 -65.00	03/26/2009
129.00		3. KOHLS CORP COM PROPERTY TYPE: SECURITIES 155.00					08/11/2008 -26.00	03/26/2009
389.00		7. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 466.00					08/11/2008 -77.00	03/26/2009
75,001.00		7425.876 PIMCO FDS PAC INVT MGMT SER TOT PROPERTY TYPE: SECURITIES 78,269.00					08/11/2008 -3,268.00	03/26/2009
530.00		10. RALCORP HLDGS INC NEW COM PROPERTY TYPE: SECURITIES 611.00					02/23/2009 -81.00	03/26/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
291.00		32. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 262.00					12/17/2008 29.00	03/26/2009
620.00		52. CONSTELLATION BRANDS INC CL A PROPERTY TYPE: SECURITIES 1,111.00					09/04/2008 -491.00	03/27/2009
251.00		5. ITRON INC COM PROPERTY TYPE: SECURITIES 462.00					05/07/2008 -211.00	03/27/2009
401.00		8. ITRON INC COM PROPERTY TYPE: SECURITIES 679.00					02/22/2008 -278.00	03/27/2009
1,000.00		17. LABORATORY CORP AMER HLDGS COM NEW PROPERTY TYPE: SECURITIES 1,069.00					02/23/2009 -69.00	03/27/2009
318.00		5. PRECISION CASTPARTS CORP COM PROPERTY TYPE: SECURITIES 340.00					02/09/2009 -22.00	03/27/2009
446.00		11. WISCONSIN ENERGY CORP COM PROPERTY TYPE: SECURITIES 500.00					02/04/2009 -54.00	03/27/2009
311.00		28. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 295.00					10/29/2008 16.00	03/30/2009
230.00		6. TELEFLEX INC COM W/RIGHTS ATTACHED EX PROPERTY TYPE: SECURITIES 396.00					09/10/2008 -166.00	03/30/2009
309.00		7. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 260.00					07/25/2008 49.00	03/31/2009
375.00		14. NETEASE COM INC SPONSORED ADR PROPERTY TYPE: SECURITIES 350.00					03/31/2009 25.00	03/31/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
182.00		3. PRECISION CASTPARTS CORP COM PROPERTY TYPE: SECURITIES 204.00					02/09/2009 -22.00	03/31/2009
197.00		5. URS CORP NEW COM PROPERTY TYPE: SECURITIES 231.00					08/26/2008 -34.00	03/31/2009
268.00		15. BLOCK H & R INC COM W/RTS EXP 3/25/2 PROPERTY TYPE: SECURITIES 360.00					08/04/2008 -92.00	04/01/2009
236.00		9. MAGNA INTL INC CL A PROPERTY TYPE: SECURITIES 273.00					02/10/2009 -37.00	04/01/2009
274.00		12. ALBERTO-CULVER CO PROPERTY TYPE: SECURITIES 330.00					02/12/2008 -56.00	04/02/2009
289.00		16. PEOPLE'S UNITED FINANCIAL INC PROPERTY TYPE: SECURITIES 281.00					03/31/2009 8.00	04/02/2009
440.00		19. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 394.00					03/31/2009 46.00	04/02/2009
658.00		21. SYBASE INC COM PROPERTY TYPE: SECURITIES 641.00					03/31/2009 17.00	04/02/2009
369.00		9. WISCONSIN ENERGY CORP COM PROPERTY TYPE: SECURITIES 402.00					02/11/2009 -33.00	04/02/2009
339.00		9. DIGITAL RLTY TR INC PROPERTY TYPE: SECURITIES 309.00					02/10/2009 30.00	04/06/2009
256.00		8. MAGNA INTL INC CL A PROPERTY TYPE: SECURITIES 238.00					01/27/2009 18.00	04/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
364.00		26. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 2,037.00					09/19/2007 -1,673.00	04/06/2009
2,171.00		38. OCCIDENTAL PETE CORP COM PROPERTY TYPE: SECURITIES 2,078.00					03/31/2009 93.00	04/06/2009
278.00		11. SBA COMMUNICATIONS CORP COM PROPERTY TYPE: SECURITIES 366.00					09/18/2007 -88.00	04/06/2009
2,032.00		32. APOLLO GRP INC CL A PROPERTY TYPE: SECURITIES 2,270.00					03/31/2009 -238.00	04/07/2009
1,099.00		66. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,903.00					12/11/2007 -804.00	04/07/2009
959.00		40. DIRECTV GRP INC/THE COM PROPERTY TYPE: SECURITIES 923.00					03/31/2009 36.00	04/07/2009
504.00		21. DIRECTV GRP INC/THE COM PROPERTY TYPE: SECURITIES 476.00					01/29/2008 28.00	04/07/2009
362.00		28. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 2,193.00					09/19/2007 -1,831.00	04/07/2009
1,059.00		38. NETEASE COM INC SPONSORED ADR PROPERTY TYPE: SECURITIES 817.00					03/16/2009 242.00	04/07/2009
1,012.00		18. OCCIDENTAL PETE CORP COM PROPERTY TYPE: SECURITIES 890.00					03/09/2009 122.00	04/07/2009
500.00		14. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 485.00					03/31/2009 15.00	04/07/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
998.00		16. APOLLO GRP INC CL A PROPERTY TYPE: SECURITIES 1,049.00					10/30/2008 -51.00	04/08/2009
571.00		35. BLOCK H & R INC COM W/RTS EXP 3/25/2 PROPERTY TYPE: SECURITIES 830.00					06/03/2008 -259.00	04/08/2009
317.00		31. NEW YORK CMNTY BANCORP INC COM PROPERTY TYPE: SECURITIES 578.00					09/26/2008 -261.00	04/08/2009
1,093.00		48. UNITEDHEALTH GRP INC COM PROPERTY TYPE: SECURITIES 1,302.00					03/31/2009 -209.00	04/08/2009
253.00		11. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 240.00					04/08/2009 13.00	04/09/2009
712.00		33. FOREST LABS CL A PROPERTY TYPE: SECURITIES 835.00					01/28/2009 -123.00	04/09/2009
218.00		30. KEYCORP NEW COM PROPERTY TYPE: SECURITIES 448.00					09/23/2008 -230.00	04/09/2009
636.00		12. RALCORP HLDGS INC NEW COM PROPERTY TYPE: SECURITIES 695.00					01/15/2009 -59.00	04/09/2009
528.00		34. BLOCK H & R INC COM W/RTS EXP 3/25/2 PROPERTY TYPE: SECURITIES 791.00					06/10/2008 -263.00	04/13/2009
669.00		11. PRECISION CASTPARTS CORP COM PROPERTY TYPE: SECURITIES 714.00					03/31/2009 -45.00	04/13/2009
636.00		12. RALCORP HLDGS INC NEW COM PROPERTY TYPE: SECURITIES 659.00					03/31/2009 -23.00	04/13/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
459.00		20. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 560.00					06/25/2008 -101.00	04/13/2009
241.00		8. NSTAR COM PROPERTY TYPE: SECURITIES 295.00					12/10/2007 -54.00	04/14/2009
258.00		17. TERADATA CORP COM PROPERTY TYPE: SECURITIES 280.00					03/31/2009 -22.00	04/14/2009
227.00		10. ABERCROMBIE & FITCH CO CL A PROPERTY TYPE: SECURITIES 245.00					04/07/2009 -18.00	04/15/2009
302.00		16. BURGER KING HOLDINGS INC PROPERTY TYPE: SECURITIES 439.00			COM		07/23/2008 -137.00	04/15/2009
1,586.00		127. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 1,297.00					11/11/2008 289.00	04/15/2009
449.00		21. FOREST LABS CL A PROPERTY TYPE: SECURITIES 507.00					12/16/2008 -58.00	04/15/2009
671.00		15. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 695.00					03/31/2009 -24.00	04/15/2009
449.00		30. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 2,167.00					10/10/2007 -1,718.00	04/15/2009
708.00		44. BLOCK H & R INC COM W/RTS EXP 3/25/2 PROPERTY TYPE: SECURITIES 885.00					03/31/2009 -177.00	04/16/2009
545.00		25. FOREST LABS CL A PROPERTY TYPE: SECURITIES 575.00					03/31/2009 -30.00	04/16/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
222.00		5. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 232.00					03/31/2009 -10.00	04/16/2009
623.00		14. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 541.00					09/19/2007 82.00	04/16/2009
399.00		26. MEMC ELECTR MATLS INC COM PROPERTY TYPE: SECURITIES 445.00					04/07/2009 -46.00	04/16/2009
294.00		9. BMC SOFTWARE INC COM RTS EXP 05/12/20 PROPERTY TYPE: SECURITIES 300.00					03/31/2009 -6.00	04/17/2009
537.00		29. BURGER KING HOLDINGS INC COM PROPERTY TYPE: SECURITIES 780.00					07/08/2008 -243.00	04/17/2009
1,078.00		72. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES. 3,280.00					01/28/2008 -2,202.00	04/17/2009
157.00		5. SYBASE INC COM PROPERTY TYPE: SECURITIES 153.00					03/31/2009 4.00	04/17/2009
125.00		4. SYBASE INC COM PROPERTY TYPE: SECURITIES 105.00					01/24/2008 20.00	04/17/2009
189.00		9. SYNOPSYS INC COM PROPERTY TYPE: SECURITIES 235.00					06/02/2008 -46.00	04/17/2009
922.00		23. WISCONSIN ENERGY CORP COM PROPERTY TYPE: SECURITIES 973.00					03/31/2009 -51.00	04/17/2009
124.00		3. ALLIANCE DATA SYSTEMS CORP COM PROPERTY TYPE: SECURITIES 127.00					01/29/2008 -3.00	04/20/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
306.00		9. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 464.00					05/16/2008 -158.00	04/20/2009
137.00		10. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 316.00					03/31/2009 -179.00	04/20/2009
232.00		17. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 688.00					01/28/2008 -456.00	04/20/2009
404.00		13. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 664.00					06/17/2008 -260.00	04/21/2009
221.00		16. MEMC ELECTR MATLS INC COM PROPERTY TYPE: SECURITIES 266.00					03/31/2009 -45.00	04/21/2009
408.00		29. NII HLDGS INC CL B PROPERTY TYPE: SECURITIES 438.00					03/31/2009 -30.00	04/21/2009
554.00		17. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 526.00					03/31/2009 28.00	04/21/2009
259.00		4. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 568.00					07/08/2008 -309.00	04/21/2009
194.00		3. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 333.00					02/06/2008 -139.00	04/21/2009
984.00		56. BURGER KING HOLDINGS INC PROPERTY TYPE: SECURITIES 1,384.00			COM		03/31/2009 -400.00	04/22/2009
456.00		7. CEPHALON INC COM PROPERTY TYPE: SECURITIES 517.00					07/22/2008 -61.00	04/22/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
422.00		13. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 402.00					03/31/2009 20.00	04/22/2009
607.00		17. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 985.00					09/19/2007 -378.00	04/22/2009
452.00		7. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 773.00					09/19/2007 -321.00	04/22/2009
718.00		22. COMMERCE BANCSHARES INC COM PROPERTY TYPE: SECURITIES 831.00					03/31/2009 -113.00	04/23/2009
427.00		13. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 382.00					11/04/2008 45.00	04/23/2009
829.00		12. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 1,133.00					07/23/2008 -304.00	04/23/2009
210.00		23. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 188.00					12/17/2008 22.00	04/23/2009
388.00		6. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 662.00					09/19/2007 -274.00	04/23/2009
1,118.00		71. MEMC ELECTR MATLS INC COM PROPERTY TYPE: SECURITIES 1,164.00					03/30/2009 -46.00	04/24/2009
1,605.00		48. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 1,387.00					11/05/2008 218.00	04/24/2009
432.00		6. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 562.00					07/03/2008 -130.00	04/24/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
917.00		22. RANGE RES CORP COM PROPERTY TYPE: SECURITIES 835.00					03/31/2009 82.00	04/24/2009
464.00		10. ROPER INDS INC NEW COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 635.00					09/18/2007 -171.00	04/24/2009
371.00		28. SEPRACOR INC COM PROPERTY TYPE: SECURITIES 461.00					02/12/2009 -90.00	04/24/2009
908.00		21. ABBOTT LABS W/RIGHTS ATTACHED EXP 11 PROPERTY TYPE: SECURITIES 1,106.00					01/22/2009 -198.00	04/27/2009
309.00		10. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 408.00					09/18/2007 -99.00	04/27/2009
1,437.00		44. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 1,194.00					11/11/2008 243.00	04/27/2009
1,403.00		44. PETROLEO BRASILEIRO SA PETROBRAS PET PROPERTY TYPE: SECURITIES 1,081.00					01/20/2009 322.00	04/27/2009
320.00		14. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 386.00					06/25/2008 -66.00	04/27/2009
627.00		29. ALBERTO-CULVER CO PROPERTY TYPE: SECURITIES 721.00					03/31/2009 -94.00	04/28/2009
649.00		30. ALBERTO-CULVER CO PROPERTY TYPE: SECURITIES 816.00					03/11/2008 -167.00	04/28/2009
641.00		27. BERKLEY W R CORP COM W/ RTS ATTACHED PROPERTY TYPE: SECURITIES 817.00					12/30/2008 -176.00	04/28/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
630.00		15. TELEFLEX INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 985.00					09/08/2008 -355.00	04/28/2009
673.00		18. BEST BUY INC COM PROPERTY TYPE: SECURITIES 509.00					03/09/2009 164.00	04/29/2009
900.00		4. CME GROUP INC PROPERTY TYPE: SECURITIES 957.00					03/31/2009 -57.00	04/29/2009
1,995.00		9. CME GROUP INC PROPERTY TYPE: SECURITIES 2,034.00					03/19/2009 -39.00	04/29/2009
1,070.00		25. RANGE RES CORP COM PROPERTY TYPE: SECURITIES 824.00					01/21/2009 246.00	04/29/2009
994.00		23. TELEFLEX INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 1,147.00					03/31/2009 -153.00	04/29/2009
2,495.00		60. ABBOTT LABS W/RIGHTS ATTACHED EXP 11 PROPERTY TYPE: SECURITIES 3,018.00					03/31/2009 -523.00	04/30/2009
424.00		11. BEST BUY INC COM PROPERTY TYPE: SECURITIES 273.00					03/09/2009 151.00	04/30/2009
140.00		3. ITRON INC COM PROPERTY TYPE: SECURITIES 144.00					03/31/2009 -4.00	04/30/2009
514.00		11. ITRON INC COM PROPERTY TYPE: SECURITIES 862.00					02/07/2008 -348.00	04/30/2009
446.00		6. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 562.00					07/03/2008 -116.00	04/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,158.00		59. AON CORP COM PROPERTY TYPE: SECURITIES 2,286.00					03/31/2009 -128.00	05/01/2009
413.00		15. BRINKS CO PROPERTY TYPE: SECURITIES 460.00					09/18/2007 -47.00	05/01/2009
419.00		9. SILGAN HLDGS INC COM PROPERTY TYPE: SECURITIES 475.00					04/01/2009 -56.00	05/01/2009
278.00		61. WENDY'S/ARBY'S GROUP INC COM CL A PROPERTY TYPE: SECURITIES 333.00					04/24/2009 -55.00	05/01/2009
1,350.00		99. SEPRACOR INC COM PROPERTY TYPE: SECURITIES 1,494.00					04/08/2009 -144.00	05/04/2009
410.00		10. SMUCKER J M CO COM PROPERTY TYPE: SECURITIES 417.00					12/23/2008 -7.00	05/04/2009
354.00		29. HOLOGIC INC PROPERTY TYPE: SECURITIES 450.00					05/04/2009 -96.00	05/05/2009
925.00		21. KOHLS CORP COM PROPERTY TYPE: SECURITIES 1,053.00					08/12/2008 -128.00	05/05/2009
1,813.00		34. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 2,234.00					08/11/2008 -421.00	05/05/2009
494.00		14. STATE STR CORP COM PROPERTY TYPE: SECURITIES 960.00					06/11/2008 -466.00	05/05/2009
706.00		20. STATE STR CORP COM PROPERTY TYPE: SECURITIES 1,441.00					04/28/2008 -735.00	05/05/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
334.00		10. SYBASE INC COM PROPERTY TYPE: SECURITIES 262.00					01/24/2008 72.00	05/05/2009
282.00		13. SYNOPSYS INC COM PROPERTY TYPE: SECURITIES 333.00					06/02/2008 -51.00	05/05/2009
1,892.00		44. KOHLS CORP COM PROPERTY TYPE: SECURITIES 2,095.00					08/12/2008 -203.00	05/06/2009
2,052.00		38. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 2,290.00					10/10/2008 -238.00	05/06/2009
307.00		10. NSTAR COM PROPERTY TYPE: SECURITIES 369.00					12/10/2007 -62.00	05/06/2009
1,169.00		32. STATE STR CORP COM PROPERTY TYPE: SECURITIES 1,199.00					04/21/2009 -30.00	05/06/2009
1,329.00		34. TRAVELERS COMPANIES, INC PROPERTY TYPE: SECURITIES 1,400.00					11/17/2008 -71.00	05/06/2009
1,071.00		83. EXCO RESOURCES INC COM PROPERTY TYPE: SECURITIES 1,027.00					04/17/2009 44.00	05/07/2009
400.00		6. EQUINIX INC PROPERTY TYPE: SECURITIES 513.00					09/19/2007 -113.00	05/07/2009
1,099.00		32. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 1,519.00					09/16/2008 -420.00	05/07/2009
690.00		57. HOLOGIC INC PROPERTY TYPE: SECURITIES 859.00					04/30/2009 -169.00	05/07/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,778.00		40. KOHLS CORP COM PROPERTY TYPE: SECURITIES 1,407.00					01/21/2009 371.00	05/07/2009
2,978.00		40. RESEARCH IN MOTION COM PROPERTY TYPE: SECURITIES 2,556.00					04/14/2009 422.00	05/07/2009
999.00		22. SILGAN HLDGS INC COM PROPERTY TYPE: SECURITIES 1,137.00					04/13/2009 -138.00	05/07/2009
1,557.00		41. TRAVELERS COMPANIES, INC PROPERTY TYPE: SECURITIES 1,649.00					03/31/2009 -92.00	05/07/2009
685.00		18. TRAVELERS COMPANIES, INC PROPERTY TYPE: SECURITIES 708.00					03/31/2009 -23.00	05/07/2009
1,508.00		345. WENDY'S/ARBY'S GROUP INC COM CL A PROPERTY TYPE: SECURITIES 1,740.00					03/31/2009 -232.00	05/07/2009
1,957.00		69. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES 1,874.00					05/05/2009 83.00	05/08/2009
204.00		3. EQUINIX INC PROPERTY TYPE: SECURITIES 256.00					09/19/2007 -52.00	05/08/2009
1,485.00		62. HCC INS HLDGS INC COM PROPERTY TYPE: SECURITIES 1,546.00					04/13/2009 -61.00	05/08/2009
349.00		9. MCAFEE INC PROPERTY TYPE: SECURITIES 319.00					09/18/2007 30.00	05/08/2009
1,013.00		91. NEW YORK CMNTY BANCORP INC COM PROPERTY TYPE: SECURITIES 1,246.00					03/31/2009 -233.00	05/08/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
872.00		23. TRAVELERS COMPANIES, INC PROPERTY TYPE: SECURITIES 889.00					10/29/2008 -17.00	05/08/2009
787.00		23. AEROPOSTALE INC PROPERTY TYPE: SECURITIES 672.00			COM		04/21/2009 115.00	05/11/2009
1,388.00		51. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES 1,268.00					05/01/2009 120.00	05/11/2009
1,223.00		46. ARCELORMITTAL-NY REGISTERED PROPERTY TYPE: SECURITIES 1,092.00					05/01/2009 131.00	05/12/2009
196.00		4. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 220.00					11/06/2007 -24.00	05/13/2009
269.00		8. AEROPOSTALE INC PROPERTY TYPE: SECURITIES 212.00			COM		03/31/2009 57.00	05/14/2009
331.00		11. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 554.00					05/06/2008 -223.00	05/14/2009
598.00		6. PRICELINE COM INC PROPERTY TYPE: SECURITIES 561.00					05/01/2009 37.00	05/14/2009
349.00		27. SANDISK CORP COM PROPERTY TYPE: SECURITIES 406.00					04/17/2009 -57.00	05/14/2009
241.00		7. BMC SOFTWARE INC COM RTS EXP 05/12/20 PROPERTY TYPE: SECURITIES 234.00					03/31/2009 7.00	05/15/2009
310.00		9. BMC SOFTWARE INC COM RTS EXP 05/12/20 PROPERTY TYPE: SECURITIES 298.00					11/09/2007 12.00	05/15/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
363.00		17. DPL INC COM PROPERTY TYPE: SECURITIES 394.00					10/08/2008 -31.00	05/15/2009
346.00		11. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 449.00					09/18/2007 -103.00	05/15/2009
253.00		17. IAC / INTERACTIVECORP COM PROPERTY TYPE: SECURITIES 273.00					04/07/2009 -20.00	05/15/2009
192.00		15. MYLAN LABS INC COM RTS EXP 11/14/200 PROPERTY TYPE: SECURITIES 216.00					04/24/2009 -24.00	05/15/2009
335.00		8. PLAINS ALL AMER PIPELINE LP COM PROPERTY TYPE: SECURITIES 329.00					11/03/2008 6.00	05/15/2009
256.00		8. AEROPOSTALE INC COM PROPERTY TYPE: SECURITIES 209.00					03/31/2009 47.00	05/18/2009
2,468.00		100. ARCHER DANIELS MIDLAND CO COM PROPERTY TYPE: SECURITIES 2,133.00					09/30/2008 335.00	05/18/2009
851.00		43. LOWES COS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 893.00					04/29/2009 -42.00	05/18/2009
188.00		10. ORACLE CORP COM PROPERTY TYPE: SECURITIES 183.00					03/31/2009 5.00	05/18/2009
419.00		10. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 476.00					07/09/2008 -57.00	05/18/2009
3,590.00		81. WYETH COM PROPERTY TYPE: SECURITIES 3,714.00					09/19/2007 -124.00	05/18/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
393.00		8. BAXTER INTL INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 422.00					03/06/2009 -29.00	05/19/2009
381.00		13. NSTAR COM PROPERTY TYPE: SECURITIES 450.00					06/12/2008 -69.00	05/19/2009
851.00		29. NSTAR COM PROPERTY TYPE: SECURITIES 1,057.00					01/08/2008 -206.00	05/19/2009
592.00		12. BAXTER INTL INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 619.00					03/31/2009 -27.00	05/20/2009
344.00		7. BAXTER INTL INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 360.00					03/31/2009 -16.00	05/20/2009
1,140.00		101. CMS ENERGY CORP COM PROPERTY TYPE: SECURITIES 1,190.00					04/02/2009 -50.00	05/20/2009
561.00		9. CEPHALON INC COM PROPERTY TYPE: SECURITIES 664.00					07/18/2008 -103.00	05/20/2009
365.00		7. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 368.00					11/13/2007 -3.00	05/20/2009
264.00		17. IAC / INTERACTIVECORP COM PROPERTY TYPE: SECURITIES 263.00					04/07/2009 1.00	05/20/2009
882.00		18. BAXTER INTL INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 921.00					03/06/2009 -39.00	05/21/2009
27.00		1. BRINKS CO PROPERTY TYPE: SECURITIES 27.00					03/31/2009	05/21/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
411.00		15. BRINKS CO PROPERTY TYPE: SECURITIES 460.00					09/18/2007 -49.00	05/21/2009
430.00		24. NETAPP INC PROPERTY TYPE: SECURITIES 389.00					02/13/2009 41.00	05/21/2009
697.00		24. NSTAR COM PROPERTY TYPE: SECURITIES 792.00					03/31/2009 -95.00	05/21/2009
384.00		19. PETSMART INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 646.00					09/18/2007 -262.00	05/21/2009
213.00		11. SYNOPSIS INC COM PROPERTY TYPE: SECURITIES 276.00					05/23/2008 -63.00	05/21/2009
213.00		11. SYNOPSIS INC COM PROPERTY TYPE: SECURITIES 276.00					05/15/2008 -63.00	05/21/2009
756.00		34. BERKLEY W R CORP COM W/ RTS ATTACHED PROPERTY TYPE: SECURITIES 912.00					03/31/2009 -156.00	05/22/2009
407.00		5. CF INDS HLDGS INC PROPERTY TYPE: SECURITIES 371.00					04/14/2009 36.00	05/22/2009
513.00		9. ARCH CAP GROUP LTD COM PROPERTY TYPE: SECURITIES 652.00					09/18/2007 -139.00	05/22/2009
292.00		5. CEPHALON INC COM PROPERTY TYPE: SECURITIES 369.00					07/18/2008 -77.00	05/26/2009
361.00		7. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 368.00					11/13/2007 -7.00	05/26/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
456.00		8. ARCH CAP GROUP LTD COM PROPERTY TYPE: SECURITIES 580.00					09/18/2007 -124.00	05/26/2009
899.00		11. CF INDS HLDGS INC PROPERTY TYPE: SECURITIES 815.00					04/15/2009 84.00	05/27/2009
662.00		60. CMS ENERGY CORP COM PROPERTY TYPE: SECURITIES 632.00					03/05/2009 30.00	05/27/2009
959.00		17. CEPHALON INC COM PROPERTY TYPE: SECURITIES 1,176.00					03/31/2009 -217.00	05/27/2009
392.00		5. CF INDS HLDGS INC PROPERTY TYPE: SECURITIES 369.00					04/15/2009 23.00	05/28/2009
426.00		20. DPL INC COM PROPERTY TYPE: SECURITIES 463.00					10/08/2008 -37.00	05/28/2009
729.00		152. KEYCORP NEW COM PROPERTY TYPE: SECURITIES 1,315.00					05/12/2009 -586.00	05/28/2009
527.00		20. BRINKS CO PROPERTY TYPE: SECURITIES 518.00					03/31/2009 9.00	05/29/2009
1,630.00		21. CF INDS HLDGS INC PROPERTY TYPE: SECURITIES 1,508.00					04/24/2009 122.00	05/29/2009
328.00		11. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 370.00					03/31/2009 -42.00	05/29/2009
587.00		78. GIANT INTERACTIVE GROUP ADR PROPERTY TYPE: SECURITIES 684.00					04/29/2009 -97.00	05/29/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
4,000.00		28. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 3,436.00					05/04/2009 564.00	05/29/2009
327.00		6. NUSTAR ENERGY LP PROPERTY TYPE: SECURITIES 284.00					02/17/2009 43.00	05/29/2009
826.00		36. DIRECTV GRP INC/THE COM PROPERTY TYPE: SECURITIES 850.00					05/04/2009 -24.00	06/01/2009
92.00		4. DIRECTV GRP INC/THE COM PROPERTY TYPE: SECURITIES 89.00					01/24/2008 3.00	06/01/2009
302.00		9. MAGNA INTL INC CL A PROPERTY TYPE: SECURITIES 267.00					01/27/2009 35.00	06/01/2009
1,206.00		14. MARTIN MARIETTA MATLS INC COM PROPERTY TYPE: SECURITIES 1,115.00					03/31/2009 91.00	06/01/2009
223.00		5. PLAINS ALL AMER PIPELINE LP COM PROPERTY TYPE: SECURITIES 206.00					11/03/2008 17.00	06/02/2009
197.00		8. TIME WARNER INC PROPERTY TYPE: SECURITIES 189.00					05/12/2009 8.00	06/02/2009
3,059.00		61. WAL MART STORES INC COM PROPERTY TYPE: SECURITIES 3,486.00					05/09/2008 -427.00	06/02/2009
2,606.00		52. WAL MART STORES INC COM PROPERTY TYPE: SECURITIES 2,721.00					03/31/2009 -115.00	06/02/2009
200.00		4. WAL MART STORES INC COM PROPERTY TYPE: SECURITIES 227.00					05/07/2008 -27.00	06/02/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
467.00		16. HEWITT ASSOC INC CL A PROPERTY TYPE: SECURITIES 489.00					03/31/2009 -22.00	06/03/2009
515.00		14. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 1,100.00					08/21/2008 -585.00	06/03/2009
241.00		13. NETAPP INC PROPERTY TYPE: SECURITIES 209.00					03/18/2009 32.00	06/03/2009
469.00		12. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 919.00					08/25/2008 -450.00	06/04/2009
726.00		24. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 998.00					09/19/2007 -272.00	06/05/2009
367.00		29. CALPINE CORP COM PROPERTY TYPE: SECURITIES 266.00					04/14/2009 101.00	06/05/2009
338.00		11. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 335.00					03/31/2009 3.00	06/05/2009
392.00		12. HANSEN NAT CORP COM PROPERTY TYPE: SECURITIES 496.00					05/19/2009 -104.00	06/05/2009
381.00		13. HEWITT ASSOC INC CL A PROPERTY TYPE: SECURITIES 379.00					02/05/2009 2.00	06/05/2009
877.00		23. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 1,614.00					09/18/2008 -737.00	06/05/2009
590.00		20. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 832.00					09/19/2007 -242.00	06/08/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
938.00		27. BMC SOFTWARE INC COM RTS EXP 05/12/2 PROPERTY TYPE: SECURITIES 888.00					11/16/2007 50.00	06/08/2009
953.00		9. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 1,124.00					05/08/2008 -171.00	06/08/2009
327.00		6. NUSTAR ENERGY LP PROPERTY TYPE: SECURITIES 281.00					03/31/2009 46.00	06/08/2009
1,024.00		47. DPL INC COM PROPERTY TYPE: SECURITIES 1,077.00					03/31/2009 -53.00	06/09/2009
1,051.00		36. HEWITT ASSOC INC CL A PROPERTY TYPE: SECURITIES 1,019.00					02/26/2009 32.00	06/09/2009
1,225.00		32. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 1,290.00					03/31/2009 -65.00	06/09/2009
337.00		14. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 412.00					07/31/2008 -75.00	06/09/2009
630.00		21. AECOM TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 598.00					05/12/2009 32.00	06/10/2009
451.00		13. BMC SOFTWARE INC COM RTS EXP 05/12/2 PROPERTY TYPE: SECURITIES 370.00					03/20/2009 81.00	06/10/2009
590.00		17. BMC SOFTWARE INC COM RTS EXP 05/12/2 PROPERTY TYPE: SECURITIES 552.00					11/26/2007 38.00	06/10/2009
305.00		11. CAMECO CORP COM PROPERTY TYPE: SECURITIES 276.00					05/13/2009 29.00	06/10/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,295.00	-	34. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 862.00					03/31/2009 433.00	06/10/2009
905.00		44. ORACLE CORP COM PROPERTY TYPE: SECURITIES 803.00					03/31/2009 102.00	06/10/2009
709.00		32. DPL INC COM PROPERTY TYPE: SECURITIES 681.00					03/31/2009 28.00	06/11/2009
11,197.00		420.64 DODGE & COX INT'L STOCK FD #1048 PROPERTY TYPE: SECURITIES 19,938.00					09/11/2007 -8,741.00	06/11/2009
7,814.00		205.946 DREYFUS/THE BOSTON CO S/C - I #6 PROPERTY TYPE: SECURITIES 11,576.00					09/11/2007 -3,762.00	06/11/2009
10,869.00		677.615 DREYFUS/THE BOSTON CA S/C - I #6 PROPERTY TYPE: SECURITIES 16,642.00					09/11/2007 -5,773.00	06/11/2009
6,581.00		904.005 ING INTERNATIONAL REAL EST-I #26 PROPERTY TYPE: SECURITIES 11,083.00					12/18/2007 -4,502.00	06/11/2009
11,699.00		1712.909 JPMORGAN HIGH YIELD FUND SS #35 PROPERTY TYPE: SECURITIES 14,063.00					09/11/2007 -2,364.00	06/11/2009
330.00		6. NUSTAR ENERGY LP PROPERTY TYPE: SECURITIES 278.00					03/31/2009 52.00	06/11/2009
320.00		13. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 382.00					07/31/2008 -62.00	06/11/2009
13,565.00		1315.723 PIMCO FDS PAC INVT MGMT SER TOT PROPERTY TYPE: SECURITIES 13,868.00					08/11/2008 -303.00	06/11/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
260.00		6. PLAINS ALL AMER PIPELINE LP COM PROPERTY TYPE: SECURITIES 243.00					11/06/2008 17.00	06/11/2009
8,358.00		828.346 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 14,977.00					07/18/2008 -6,619.00	06/11/2009
602.00		25. TERADATA CORP COM PROPERTY TYPE: SECURITIES 536.00					05/15/2009 66.00	06/11/2009
326.00		12. CAMECO CORP COM PROPERTY TYPE: SECURITIES 293.00					05/01/2009 33.00	06/12/2009
261.00		9. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 237.00					11/07/2008 24.00	06/12/2009
218.00		14. PEOPLE'S UNITED FINANCIAL INC PROPERTY TYPE: SECURITIES 239.00					01/23/2009 -21.00	06/12/2009
390.00		12. SYBASE INC COM PROPERTY TYPE: SECURITIES 313.00					03/28/2008 77.00	06/12/2009
384.00		13. AECOM TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 342.00					04/28/2009 42.00	06/15/2009
562.00		22. CAMECO CORP COM PROPERTY TYPE: SECURITIES 537.00					05/01/2009 25.00	06/15/2009
365.00		13. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 338.00					12/19/2008 27.00	06/15/2009
608.00		20. HANSEN NAT CORP COM PROPERTY TYPE: SECURITIES 818.00					05/19/2009 -210.00	06/15/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
346.00		15. TERADATA CORP COM PROPERTY TYPE: SECURITIES 254.00					05/15/2009 92.00	06/15/2009
512.00		10. UNIVERSAL HLTH SVCS INC CL B COM PROPERTY TYPE: SECURITIES 488.00					05/18/2009 24.00	06/15/2009
329.00		11. AECOM TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 280.00					04/28/2009 49.00	06/16/2009
348.00		45. CBS CORP CL B COM PROPERTY TYPE: SECURITIES 391.00					06/03/2009 -43.00	06/16/2009
707.00		28. CAMECO CORP COM PROPERTY TYPE: SECURITIES 678.00					05/05/2009 29.00	06/16/2009
450.00		19. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 387.00					04/24/2009 63.00	06/16/2009
718.00		30. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 804.00					07/31/2008 -86.00	06/16/2009
388.00		8. UNITED PARCEL SERVICE CL B COM PROPERTY TYPE: SECURITIES 438.00					04/21/2009 -50.00	06/16/2009
372.00		10. FMC TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 514.00					08/25/2008 -142.00	06/17/2009
702.00		23. HANSEN NAT CORP COM PROPERTY TYPE: SECURITIES 925.00					05/22/2009 -223.00	06/17/2009
1,054.00		56. LOWES COS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,024.00					03/31/2009 30.00	06/17/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,459.00		76. LOWES COS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,371.00					02/13/2009 88.00	06/17/2009
456.00		20. TERADATA CORP COM PROPERTY TYPE: SECURITIES 326.00					03/05/2009 130.00	06/17/2009
715.00		15. UNITED PARCEL SERVICE CL B COM PROPERTY TYPE: SECURITIES 805.00					04/20/2009 -90.00	06/17/2009
628.00		13. UNITED PARCEL SERVICE CL B COM PROPERTY TYPE: SECURITIES 686.00					04/23/2009 -58.00	06/17/2009
274.00		5. MILLICOM INTL CELLULAR S.A. PROPERTY TYPE: SECURITIES 228.00					04/28/2009 46.00	06/17/2009
353.00		12. AECOM TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 288.00					02/27/2009 65.00	06/18/2009
299.00		8. ENSCO INTL INC COM PROPERTY TYPE: SECURITIES 330.00					06/02/2009 -31.00	06/18/2009
224.00		6. ENSCO INTL INC COM PROPERTY TYPE: SECURITIES 196.00					04/24/2009 28.00	06/18/2009
448.00		58. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES 497.00					04/30/2009 -49.00	06/18/2009
1,386.00		75. LOWES COS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,319.00					03/19/2009 67.00	06/18/2009
378.00		15. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 396.00					07/22/2008 -18.00	06/18/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
622.00		27. PETROHAWK ENERGY CORP PROPERTY TYPE: SECURITIES 502.00					03/31/2009 120.00	06/18/2009
577.00		12. UNITED PARCEL SERVICE CL B COM PROPERTY TYPE: SECURITIES 628.00					04/06/2009 -51.00	06/18/2009
967.00		240. QWEST COMMUNICATIONS INTL INC COM PROPERTY TYPE: SECURITIES 1,058.00					05/27/2009 -91.00	06/19/2009
553.00		24. TERADATA CORP COM PROPERTY TYPE: SECURITIES 385.00					03/12/2009 168.00	06/19/2009
989.00		34. AECOM TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 763.00					03/06/2009 226.00	06/22/2009
138.00		2. EQUINIX INC PROPERTY TYPE: SECURITIES 171.00					09/19/2007 -33.00	06/22/2009
358.00		19. AKAMAI TECHNOLOGIES COM PROPERTY TYPE: SECURITIES 400.00					05/22/2009 -42.00	06/23/2009
340.00		13. CONCHO RESOURCES INC PROPERTY TYPE: SECURITIES 404.00					05/29/2009 -64.00	06/23/2009
340.00		5. EQUINIX INC PROPERTY TYPE: SECURITIES 427.00					09/19/2007 -87.00	06/23/2009
136.00		2. EQUINIX INC PROPERTY TYPE: SECURITIES 171.00					09/19/2007 -35.00	06/23/2009
1,073.00		80. SANDISK CORP COM PROPERTY TYPE: SECURITIES 1,198.00					04/27/2009 -125.00	06/23/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
553.00		8. EQUINIX INC PROPERTY TYPE: SECURITIES 683.00					09/21/2007 -130.00	06/24/2009
652.00		27. OMNICARE INC COM W/ RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 681.00					03/31/2009 -29.00	06/24/2009
555.00		8. EQUINIX INC PROPERTY TYPE: SECURITIES 680.00					09/21/2007 -125.00	06/25/2009
487.00		7. EQUINIX INC PROPERTY TYPE: SECURITIES 595.00					09/21/2007 -108.00	06/25/2009
278.00		4. EQUINIX INC PROPERTY TYPE: SECURITIES 231.00					03/31/2009 47.00	06/26/2009
348.00		5. EQUINIX INC PROPERTY TYPE: SECURITIES 425.00					09/21/2007 -77.00	06/26/2009
881.00		43. BANCORPSOUTH INC COM RTS EXP 3/28/20 PROPERTY TYPE: SECURITIES 1,076.00					05/11/2009 -195.00	06/29/2009
419.00		6. EQUINIX INC PROPERTY TYPE: SECURITIES 347.00					03/31/2009 72.00	06/29/2009
1,044.00		36. FAMILY DLR STORES INC COM PROPERTY TYPE: SECURITIES 909.00					12/19/2008 135.00	06/29/2009
450.00		27. NOVELLUS SYS COM PROPERTY TYPE: SECURITIES 494.00					05/19/2009 -44.00	06/29/2009
272.00		14. AKAMAI TECHNOLOGIES COM PROPERTY TYPE: SECURITIES 291.00					04/02/2009 -19.00	06/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,387.00		42. CATERPILLAR INC COM W/RTS EXP 12/11/ PROPERTY TYPE: SECURITIES 1,638.00					05/06/2009 -251.00	06/30/2009
285.00		9. SYBASE INC COM PROPERTY TYPE: SECURITIES 232.00					03/28/2008 53.00	06/30/2009
287.00		15. SYNOPSYS INC COM PROPERTY TYPE: SECURITIES 365.00					05/23/2008 -78.00	06/30/2009
1,419.00		42. CATERPILLAR INC COM W/RTS EXP 12/11/ PROPERTY TYPE: SECURITIES 1,540.00					05/29/2009 -121.00	07/01/2009
9.00		.7461 FIRST HORIZON NATL CORP PROPERTY TYPE: SECURITIES 9.00					04/30/2009	07/02/2009
320.00		14. ABERCROMBIE & FITCH CO CL A PROPERTY TYPE: SECURITIES 377.00					06/01/2009 -57.00	07/06/2009
464.00		211. ALCATEL PROPERTY TYPE: SECURITIES 604.00					06/11/2009 -140.00	07/06/2009
798.00		132. CBS CORP CL B COM PROPERTY TYPE: SECURITIES 1,136.00					06/09/2009 -338.00	07/06/2009
383.00		6. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 396.00					05/22/2009 -13.00	07/06/2009
273.00		10. LEAP WIRELESS INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 340.00					03/31/2009 -67.00	07/06/2009
572.00		33. WHOLE FOODS MKT INC COM W/RIGHTS ATT PROPERTY TYPE: SECURITIES 684.00					06/10/2009 -112.00	07/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
227.00		4. MILLICOM INTL CELLULAR S.A. PROPERTY TYPE: SECURITIES 182.00					04/28/2009 45.00	07/07/2009
456.00		44. CALPINE CORP COM PROPERTY TYPE: SECURITIES 368.00					04/14/2009 88.00	07/08/2009
338.00		11. INVERNESS MEDICAL INNOVATION PROPERTY TYPE: SECURITIES 377.00			COM		06/19/2009 -39.00	07/08/2009
373.00		15. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES 456.00			COM		05/06/2009 -83.00	07/09/2009
329.00		14. SUNPOWER CORP CLASS A COM PROPERTY TYPE: SECURITIES 447.00					06/11/2009 -118.00	07/09/2009
613.00		21. NOBLE CORPORATION PROPERTY TYPE: SECURITIES 776.00					06/02/2009 -163.00	07/13/2009
834.00		28. NOBLE CORPORATION PROPERTY TYPE: SECURITIES 1,015.00					06/03/2009 -181.00	07/14/2009
720.00		10. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 936.00					07/03/2008 -216.00	07/15/2009
1,825.00		60. NOBLE CORPORATION PROPERTY TYPE: SECURITIES 2,078.00					06/03/2009 -253.00	07/15/2009
319.00		27. AES CORP COM PROPERTY TYPE: SECURITIES 283.00					06/12/2009 36.00	07/16/2009
359.00		5. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 468.00					07/03/2008 -109.00	07/16/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
933.00		13. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 1,097.00					10/06/2008 -164.00	07/16/2009
401.00		15. LEAP WIRELESS INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 496.00					03/10/2009 -95.00	07/17/2009
1,348.00		19. PRAXAIR INC COM PROPERTY TYPE: SECURITIES 1,238.00					03/31/2009 110.00	07/17/2009
773.00		38. AKAMAI TECHNOLOGIES COM PROPERTY TYPE: SECURITIES 744.00					03/31/2009 29.00	07/20/2009
485.00		15. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 624.00					09/19/2007 -139.00	07/20/2009
525.00		16. INVERNESS MEDICAL INNOVATION COM PROPERTY TYPE: SECURITIES 449.00					04/13/2009 76.00	07/20/2009
2,039.00		95. ORACLE CORP COM PROPERTY TYPE: SECURITIES 1,680.00					03/30/2009 359.00	07/20/2009
703.00		35. AKAMAI TECHNOLOGIES COM PROPERTY TYPE: SECURITIES 642.00					03/19/2009 61.00	07/21/2009
808.00		25. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 1,027.00					01/14/2008 -219.00	07/21/2009
827.00		26. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 1,022.00					07/07/2008 -195.00	07/21/2009
369.00		19. SYNOPSIS INC COM PROPERTY TYPE: SECURITIES 444.00					04/07/2008 -75.00	07/21/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
534.00		21. LEAP WIRELESS INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 680.00					04/22/2009 -146.00	07/22/2009
363.00		14. NYSE EURONEXT INC PROPERTY TYPE: SECURITIES 439.00					06/01/2009 -76.00	07/22/2009
319.00		30. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 527.00					05/08/2009 -208.00	07/22/2009
394.00		8. FISERV INC WIS COM PROPERTY TYPE: SECURITIES 352.00					07/15/2009 42.00	07/23/2009
737.00		28. NYSE EURONEXT INC PROPERTY TYPE: SECURITIES 804.00					06/01/2009 -67.00	07/23/2009
370.00		29. AES CORP COM PROPERTY TYPE: SECURITIES 267.00					05/28/2009 103.00	07/24/2009
200.00		6. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 228.00					09/25/2008 -28.00	07/24/2009
267.00		8. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 306.00					02/06/2008 -39.00	07/24/2009
395.00		8. FISERV INC WIS COM PROPERTY TYPE: SECURITIES 311.00					05/04/2009 84.00	07/24/2009
703.00		104. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 624.00					04/30/2009 79.00	07/24/2009
1,646.00		14. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 1,748.00					05/08/2008 -102.00	07/24/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
444.00		2. INTUITIVE SURGICAL INC PROPERTY TYPE: SECURITIES 333.00					07/21/2009 111.00	07/24/2009
2,797.00		15. MASTERCARD INC PROPERTY TYPE: SECURITIES 2,689.00					05/28/2009 108.00	07/24/2009
716.00		27. NYSE EURONEXT INC PROPERTY TYPE: SECURITIES 741.00					05/22/2009 -25.00	07/24/2009
781.00		13. NOBLE ENERGY INC PROPERTY TYPE: SECURITIES 772.00			COM		04/27/2009 9.00	07/24/2009
2,366.00		53. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 2,510.00					06/16/2009 -144.00	07/24/2009
1,938.00		59. SUNCOR ENERGY INC COM PROPERTY TYPE: SECURITIES 1,919.00					06/05/2009 19.00	07/24/2009
1,075.00		39. TIME WARNER INC PROPERTY TYPE: SECURITIES 897.00					05/21/2009 178.00	07/24/2009
1,506.00		29. UNITED TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES 1,588.00					06/19/2009 -82.00	07/24/2009
739.00		22. YUM! BRANDS INC PROPERTY TYPE: SECURITIES 771.00			COM		05/06/2009 -32.00	07/24/2009
597.00		31. WEATHERFORD INTNTL LTD NEW PROPERTY TYPE: SECURITIES 537.00					04/24/2009 60.00	07/24/2009
565.00		7. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 773.00					09/19/2007 -208.00	07/24/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
533.00		16. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 606.00				09/26/2008 -73.00	07/27/2009
349.00		7. MAGNA INTL INC CL A PROPERTY TYPE: SECURITIES 206.00				01/29/2009 143.00	07/27/2009
558.00		17. SUNCOR ENERGY INC COM PROPERTY TYPE: SECURITIES 520.00				05/21/2009 38.00	07/27/2009
984.00		36. TIME WARNER INC PROPERTY TYPE: SECURITIES 811.00				04/29/2009 173.00	07/27/2009
1,506.00		29. UNITED TECHNOLOGIES CORP COM PROPERTY TYPE: SECURITIES 1,532.00				07/14/2009 -26.00	07/27/2009
344.00		11. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 227.00				04/24/2009 117.00	07/27/2009
365.00		5. MILLICOM INTL CELLULAR S.A. PROPERTY TYPE: SECURITIES 228.00				04/28/2009 137.00	07/27/2009
100.00		3. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 102.00				06/16/2009 -2.00	07/28/2009
500.00		15. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 756.00				05/06/2008 -256.00	07/28/2009
335.00		10. INVERNESS MEDICAL INNOVATION COM PROPERTY TYPE: SECURITIES 274.00				03/30/2009 61.00	07/28/2009
365.00		12. SUNPOWER CORP CLASS A COM PROPERTY TYPE: SECURITIES 366.00				06/11/2009 -1.00	07/28/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
959.00		35. TIME WARNER INC PROPERTY TYPE: SECURITIES 752.00					04/21/2009 207.00	07/28/2009
1,044.00		32. INVERNESS MEDICAL INNOVATION COM PROPERTY TYPE: SECURITIES 870.00					04/02/2009 174.00	07/29/2009
1,037.00		32. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES 1,039.00					06/23/2009 -2.00	07/30/2009
447.00		85. INTERPUBLIC GRP COS INC COM PROPERTY TYPE: SECURITIES 497.00					04/29/2009 -50.00	07/30/2009
405.00		12. SUNPOWER CORP CLASS A COM PROPERTY TYPE: SECURITIES 346.00					06/02/2009 59.00	07/30/2009
477.00		17. MSCI INC PROPERTY TYPE: SECURITIES 411.00					06/25/2009 66.00	07/31/2009
294.00		11. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 296.00					06/12/2008 -2.00	07/31/2009
486.00		57. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 342.00					04/30/2009 144.00	08/03/2009
1,151.00		209. INTERPUBLIC GRP COS INC COM PROPERTY TYPE: SECURITIES 1,104.00					04/22/2009 47.00	08/04/2009
556.00		17. SUNPOWER CORP CLASS A COM PROPERTY TYPE: SECURITIES 483.00					05/27/2009 73.00	08/04/2009
1,522.00		90. PEOPLE'S UNITED FINANCIAL INC PROPERTY TYPE: SECURITIES 1,465.00					04/23/2009 57.00	08/05/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
972.00		42. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 930.00					05/08/2009 42.00	08/05/2009
511.00		31. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 424.00					05/11/2009 87.00	08/06/2009
612.00		18. CVS CORP COM PROPERTY TYPE: SECURITIES 687.00					09/19/2007 -75.00	08/06/2009
138.00		3. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 163.00					06/11/2009 -25.00	08/06/2009
230.00		5. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 274.00					11/06/2007 -44.00	08/06/2009
1,162.00		8. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 1,491.00					06/08/2009 -329.00	08/06/2009
1,144.00		8. FIRST SOLAR INC COM PROPERTY TYPE: SECURITIES 1,376.00					06/08/2009 -232.00	08/06/2009
1,021.00		126. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 744.00					05/01/2009 277.00	08/06/2009
684.00		15. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 580.00					09/19/2007 104.00	08/06/2009
1,093.00		24. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 928.00					09/19/2007 165.00	08/06/2009
807.00		19. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 888.00					09/11/2008 -81.00	08/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
760.00		18. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 840.00					09/17/2008 -80.00	08/06/2009
352.00		3. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 373.00					05/07/2008 -21.00	08/06/2009
2,073.00		88. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 1,750.00					05/01/2009 323.00	08/06/2009
2,051.00		88. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 1,672.00					02/11/2009 379.00	08/06/2009
1,570.00		74. ORACLE CORP COM PROPERTY TYPE: SECURITIES 1,257.00					12/22/2008 313.00	08/06/2009
1,519.00		72. ORACLE CORP COM PROPERTY TYPE: SECURITIES 1,136.00					03/10/2009 383.00	08/06/2009
427.00		133. ALCATEL PROPERTY TYPE: SECURITIES 364.00					05/05/2009 63.00	08/10/2009
769.00		16. FISERV INC WIS COM PROPERTY TYPE: SECURITIES 551.00					03/31/2009 218.00	08/10/2009
608.00		21. MSCI INC PROPERTY TYPE: SECURITIES 479.00					06/10/2009 129.00	08/10/2009
756.00		32. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 527.00					12/03/2008 229.00	08/10/2009
475.00		17. WHOLE FOODS MKT INC COM W/RIGHTS ATT PROPERTY TYPE: SECURITIES 381.00					07/20/2009 94.00	08/10/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
374.00		10. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 364.00					07/16/2009 10.00	08/11/2009
492.00		20. DIRECTV GRP INC/THE COM PROPERTY TYPE: SECURITIES 499.00					08/06/2009 -7.00	08/12/2009
452.00		37. CALPINE CORP COM PROPERTY TYPE: SECURITIES 300.00					04/07/2009 152.00	08/13/2009
715.00		8. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 596.00					07/30/2009 119.00	08/13/2009
433.00		20. PETSMART INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 680.00					09/18/2007 -247.00	08/13/2009
432.00		2. INTUITIVE SURGICAL INC PROPERTY TYPE: SECURITIES 324.00					07/21/2009 108.00	08/17/2009
432.00		46. SARA LEE CORP COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 467.00					07/16/2009 -35.00	08/18/2009
6.00		.5949 TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 5.00					12/17/2008 1.00	08/18/2009
422.00		8. NUSTAR ENERGY LP PROPERTY TYPE: SECURITIES 403.00					06/24/2009 19.00	08/20/2009
485.00		24. PETSMART INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 504.00					03/31/2009 -19.00	08/20/2009
929.00		46. PETSMART INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,184.00					08/12/2008 -255.00	08/20/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
417.00		22. ELECTRONIC ARTS COM PROPERTY TYPE: SECURITIES 424.00					02/06/2009 -7.00	08/26/2009
451.00		15. MSCI INC PROPERTY TYPE: SECURITIES 327.00					05/19/2009 124.00	08/26/2009
1,265.00		24. NUSTAR ENERGY LP PROPERTY TYPE: SECURITIES 1,052.00					01/08/2009 213.00	08/26/2009
339.00		92. ALCATEL PROPERTY TYPE: SECURITIES 251.00					06/08/2009 88.00	08/27/2009
1,437.00		32. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 1,629.00					08/04/2009 -192.00	08/27/2009
322.00		8. MCAFEE INC PROPERTY TYPE: SECURITIES 333.00					06/30/2009 -11.00	08/27/2009
483.00		12. MCAFEE INC PROPERTY TYPE: SECURITIES 425.00					09/18/2007 58.00	08/27/2009
361.00		8. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 309.00					09/19/2007 52.00	08/28/2009
492.00		11. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 458.00					07/24/2009 34.00	08/28/2009
303.00		9. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 315.00					08/12/2009 -12.00	08/28/2009
512.00		22. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 451.00					07/27/2009 61.00	08/28/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
347.00		19. ELECTRONIC ARTS COM PROPERTY TYPE: SECURITIES 353.00					03/31/2009 -6.00	08/31/2009
579.00		12. FISERV INC WIS COM PROPERTY TYPE: SECURITIES 395.00					02/27/2009 184.00	08/31/2009
258.00		6. URS CORP NEW COM PROPERTY TYPE: SECURITIES 277.00					08/26/2008 -19.00	08/31/2009
214.00		1. INTUITIVE SURGICAL INC PROPERTY TYPE: SECURITIES 158.00					06/10/2009 56.00	09/02/2009
564.00		27. PETROHAWK ENERGY CORP PROPERTY TYPE: SECURITIES 589.00					08/06/2009 -25.00	09/02/2009
723.00		22. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 445.00					04/24/2009 278.00	09/02/2009
980.00		53. CAREFUSION CORP PROPERTY TYPE: SECURITIES 1,016.00					11/18/2008 -36.00	09/08/2009
772.00		16. FISERV INC WIS COM PROPERTY TYPE: SECURITIES 518.00					03/12/2009 254.00	09/09/2009
9.00		.5 CAREFUSION CORP PROPERTY TYPE: SECURITIES 10.00					11/18/2008 -1.00	09/10/2009
1,127.00		62. ELECTRONIC ARTS COM PROPERTY TYPE: SECURITIES 1,065.00					03/31/2009 62.00	09/10/2009
501.00		21. NETAPP INC PROPERTY TYPE: SECURITIES 325.00					03/31/2009 176.00	09/10/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
277.00		5. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 282.00					07/20/2009 -5.00	09/11/2009
522.00		71. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 406.00					05/01/2009 116.00	09/11/2009
431.00		17. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 457.00					06/12/2008 -26.00	09/11/2009
402.00		9. URS CORP NEW COM PROPERTY TYPE: SECURITIES 416.00					08/26/2008 -14.00	09/11/2009
1,271.00		104. CALPINE CORP COM PROPERTY TYPE: SECURITIES 838.00					04/24/2009 433.00	09/14/2009
598.00		82. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 424.00					05/13/2009 174.00	09/15/2009
1,050.00		24. MAGNA INTL INC CL A PROPERTY TYPE: SECURITIES 662.00					03/31/2009 388.00	09/15/2009
1,836.00		63. MORGAN STANLEY DEAN WITTER & CO COM PROPERTY TYPE: SECURITIES 1,824.00					05/20/2009 12.00	09/15/2009
1,384.00		56. NETAPP INC PROPERTY TYPE: SECURITIES 800.00					03/31/2009 584.00	09/15/2009
1,052.00		18. COVANCE INC COM PROPERTY TYPE: SECURITIES 877.00					06/29/2009 175.00	09/16/2009
522.00		73. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 363.00					05/13/2009 159.00	09/16/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
595.00		83. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 413.00					05/13/2009 182.00	09/16/2009
1,841.00		64. MORGAN STANLEY DEAN WITTER & CO COM PROPERTY TYPE: SECURITIES 1,803.00					05/21/2009 38.00	09/16/2009
542.00		23. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 457.00					07/24/2009 85.00	09/16/2009
892.00		126. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 626.00					05/13/2009 266.00	09/17/2009
961.00		37. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 995.00					06/12/2008 -34.00	09/17/2009
495.00		21. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 395.00					06/24/2009 100.00	09/17/2009
585.00		6. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 353.00					03/25/2009 232.00	09/18/2009
898.00		129. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 641.00					05/13/2009 257.00	09/18/2009
1,053.00		56. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES 671.00					08/13/2009 382.00	09/18/2009
1,688.00		63. MSCI INC PROPERTY TYPE: SECURITIES 1,312.00					05/19/2009 376.00	09/18/2009
922.00		24. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 838.00					08/06/2009 84.00	09/18/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
655.00		4. PRICELINE COM INC PROPERTY TYPE: SECURITIES 328.00					03/27/2009 327.00	09/18/2009
532.00		12. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 567.00					09/22/2008 -35.00	09/18/2009
177.00		4. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 191.00					07/09/2008 -14.00	09/18/2009
694.00		11. ALLIANCE DATA SYSTEMS CORP COM PROPERTY TYPE: SECURITIES 465.00					01/29/2008 229.00	09/21/2009
489.00		7. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 419.00					07/27/2009 70.00	09/21/2009
563.00		12. JOY GLOBL INC WI COM PROPERTY TYPE: SECURITIES 485.00					08/19/2009 78.00	09/21/2009
792.00		21. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 729.00					08/06/2009 63.00	09/21/2009
558.00		25. WEATHERFORD INTNTL LTD NEW PROPERTY TYPE: SECURITIES 432.00					05/01/2009 126.00	09/21/2009
631.00		17. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 454.00					09/30/2008 177.00	09/22/2009
1,010.00		25. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 855.00					08/06/2009 155.00	09/22/2009
330.00		2. PRICELINE COM INC PROPERTY TYPE: SECURITIES 164.00					03/27/2009 166.00	09/22/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
791.00		31. UGI CORP NEW COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 747.00					06/18/2009 44.00	09/22/2009
919.00		22. URS CORP NEW COM PROPERTY TYPE: SECURITIES 808.00					04/28/2009 111.00	09/22/2009
543.00		13. URS CORP NEW COM PROPERTY TYPE: SECURITIES 590.00					09/03/2008 -47.00	09/22/2009
358.00		10. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 170.00					03/31/2009 188.00	09/22/2009
2,809.00		124. WEATHERFORD INTNTL LTD NEW PROPERTY TYPE: SECURITIES 2,032.00					05/01/2009 777.00	09/22/2009
564.00		9. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 305.00			COM		03/31/2009 259.00	09/23/2009
250.00		4. ALLIANCE DATA SYSTEMS CORP PROPERTY TYPE: SECURITIES 169.00			COM		01/29/2008 81.00	09/23/2009
405.00		4. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 232.00					04/21/2009 173.00	09/23/2009
1,134.00		21. COVANCE INC COM PROPERTY TYPE: SECURITIES 949.00					06/17/2009 185.00	09/24/2009
686.00		83. MOTOROLA INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,447.00					09/19/2007 -761.00	09/24/2009
1,101.00		247. ALCATEL PROPERTY TYPE: SECURITIES 646.00					06/08/2009 455.00	09/25/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
401.00		25. MYLAN LABS INC COM RTS EXP 11/14/200 PROPERTY TYPE: SECURITIES 364.00					08/31/2009 37.00	09/25/2009
396.00		7. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 383.00					07/20/2009 13.00	09/30/2009
4,336.00		203. GAP INC COM PROPERTY TYPE: SECURITIES 3,829.00					09/19/2007 507.00	09/30/2009
1,139.00		62. MATTEL INC COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,471.00					09/19/2007 -332.00	09/30/2009
362.00		10. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 267.00					09/30/2008 95.00	09/30/2009
434.00		12. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 189.00					03/09/2009 245.00	09/30/2009
181.00		4. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 197.00					08/07/2009 -16.00	10/01/2009
598.00		13. PLAINS ALL AMER PIPELINE LP COM PROPERTY TYPE: SECURITIES 583.00					09/02/2009 15.00	10/01/2009
557.00		13. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 614.00					09/22/2008 -57.00	10/01/2009
389.00		23. COMMERCIAL METALS CO COM W/RIGHTS AT PROPERTY TYPE: SECURITIES 462.00					09/18/2009 -73.00	10/02/2009
10.00		.7986 FIRST HORIZON NATL CORP PROPERTY TYPE: SECURITIES 9.00					04/30/2009 1.00	10/02/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
626.00		14. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 691.00					08/07/2009 -65.00	10/02/2009
482.00		3. PRICELINE COM INC PROPERTY TYPE: SECURITIES 246.00					03/27/2009 236.00	10/02/2009
960.00		23. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 1,085.00					05/21/2008 -125.00	10/02/2009
816.00		23. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 328.00					03/09/2009 488.00	10/02/2009
1,199.00		25. FLUOR CORP NEW COM PROPERTY TYPE: SECURITIES 1,387.00					08/07/2009 -188.00	10/05/2009
489.00		1. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 449.00					07/24/2009 40.00	10/05/2009
874.00		19. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 780.00					07/24/2009 94.00	10/05/2009
677.00		15. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 728.00					08/07/2009 -51.00	10/05/2009
1,352.00		15. AMAZON COM INC COM PROPERTY TYPE: SECURITIES 1,269.00					06/03/2009 83.00	10/06/2009
1,487.00		31. FLUOR CORP NEW COM PROPERTY TYPE: SECURITIES 1,377.00					06/02/2009 110.00	10/06/2009
363.00		8. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 378.00					06/16/2009 -15.00	10/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,359.00		40. ST. JUDE MED INC PROPERTY TYPE: SECURITIES 1,589.00				06/19/2009 -230.00	10/06/2009
1,011.00		21. FLUOR CORP NEW COM PROPERTY TYPE: SECURITIES 777.00				03/10/2009 234.00	10/07/2009
6,011.00		275. GAP INC COM PROPERTY TYPE: SECURITIES 5,187.00				09/19/2007 824.00	10/07/2009
393.00		17. NORTHEAST UTILS COM W/RTS ATTACHED E PROPERTY TYPE: SECURITIES 403.00				09/04/2009 -10.00	10/07/2009
353.00		10. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 251.00				12/01/2008 102.00	10/07/2009
812.00		23. O REILLY AUTOMOTIVE INC COM RTS EXP PROPERTY TYPE: SECURITIES 606.00				10/03/2008 206.00	10/07/2009
1,625.00		49. ST. JUDE MED INC PROPERTY TYPE: SECURITIES 1,760.00				04/22/2009 -135.00	10/07/2009
803.00		22. WESTERN DIGITAL CORPORATION COM PROPERTY TYPE: SECURITIES 296.00				01/07/2009 507.00	10/07/2009
1,019.00		21. FLUOR CORP NEW COM PROPERTY TYPE: SECURITIES 726.00				03/31/2009 293.00	10/08/2009
1,507.00		15. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 842.00				04/21/2009 665.00	10/08/2009
300.00		25. NCR CORP NEW COM PROPERTY TYPE: SECURITIES 322.00				06/09/2009 -22.00	10/08/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
859.00		19. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 894.00					06/16/2009 -35.00	10/08/2009
1,598.00		35. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,608.00					06/08/2009 -10.00	10/08/2009
334.00		8. AEROPOSTALE INC COM PROPERTY TYPE: SECURITIES 315.00					08/31/2009 19.00	10/09/2009
633.00		13. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 569.00					09/16/2009 64.00	10/09/2009
1,030.00		23. NUCOR CORP COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 1,032.00					06/03/2009 -2.00	10/09/2009
560.00		6. AMAZON COM INC COM PROPERTY TYPE: SECURITIES 507.00					06/03/2009 53.00	10/12/2009
1,045.00		2. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 897.00					07/24/2009 148.00	10/12/2009
570.00		28. INTEL CORP COM PROPERTY TYPE: SECURITIES 438.00					05/28/2009 132.00	10/12/2009
327.00		33. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 268.00					12/17/2008 59.00	10/12/2009
180.00		8. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 150.00					06/24/2009 30.00	10/12/2009
565.00		32. COMMERCIAL METALS CO COM W/RIGHTS AT PROPERTY TYPE: SECURITIES 581.00					06/10/2009 -16.00	10/13/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
698.00		69. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 535.00					12/22/2008 163.00	10/13/2009
316.00		14. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 260.00					06/23/2009 56.00	10/13/2009
845.00		46. COMMERCIAL METALS CO COM W/RIGHTS AT PROPERTY TYPE: SECURITIES 832.00					06/02/2009 13.00	10/15/2009
638.00		31. INTEL CORP COM PROPERTY TYPE: SECURITIES 485.00					05/28/2009 153.00	10/15/2009
534.00		53. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 405.00					12/22/2008 129.00	10/15/2009
1,068.00		46. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 1,077.00					07/20/2009 -9.00	10/15/2009
379.00		26. JABIL CIRCUIT INC COM PROPERTY TYPE: SECURITIES 324.00					09/16/2009 55.00	10/16/2009
1,696.00		35. PLAINS ALL AMER PIPELINE LP COM PROPERTY TYPE: SECURITIES 1,308.00					06/23/2009 388.00	10/16/2009
777.00		80. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 605.00					12/23/2008 172.00	10/16/2009
1,586.00		70. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 1,572.00					07/20/2009 14.00	10/16/2009
5,795.00		115. WYETH COM PROPERTY TYPE: SECURITIES 5,273.00					09/19/2007 522.00	10/16/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
444.00		8. CHURCH & DWIGHT CO INC COM W/RIGHTS A PROPERTY TYPE: SECURITIES 422.00					06/23/2009 22.00	10/19/2009
721.00		13. CHURCH & DWIGHT CO INC COM W/RIGHTS PROPERTY TYPE: SECURITIES 673.00					11/19/2007 48.00	10/19/2009
844.00		85. TAIWAN SEMICONDUCTOR MFG CO-ADR PROPERTY TYPE: SECURITIES 634.00					01/08/2009 210.00	10/19/2009
1,630.00		70. TEXAS INSTRS INC COM PROPERTY TYPE: SECURITIES 1,493.00					06/18/2009 137.00	10/19/2009
602.00		17. AMERICAN EXPRESS CO COM PROPERTY TYPE: SECURITIES 562.00					08/07/2009 40.00	10/20/2009
1,106.00		65. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 813.00					05/11/2009 293.00	10/20/2009
1,009.00		42. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,134.00					05/01/2008 -125.00	10/20/2009
813.00		46. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 705.00					08/12/2009 108.00	10/20/2009
1,467.00		73. INTEL CORP COM PROPERTY TYPE: SECURITIES 1,135.00					05/28/2009 332.00	10/20/2009
402.00		62. MARSHALL & ILSLEY CORP-W/I PROPERTY TYPE: SECURITIES 483.00					10/06/2009 -81.00	10/20/2009
247.00		6. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 236.00					03/31/2009 11.00	10/20/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,237.00		30. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 1,397.00					07/02/2008 -160.00	10/20/2009
662.00		39. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 731.00					09/17/2009 -69.00	10/20/2009
954.00		41. INVESCO LIMITED PROPERTY TYPE: SECURITIES 755.00					06/12/2009 199.00	10/20/2009
856.00		33. DOW CHEM CO COM PROPERTY TYPE: SECURITIES 718.00					08/28/2009 138.00	10/21/2009
1,025.00		40. DOW CHEM CO COM PROPERTY TYPE: SECURITIES 863.00					08/31/2009 162.00	10/21/2009
1,066.00		60. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 916.00					08/07/2009 150.00	10/21/2009
1,027.00		51. INTEL CORP COM PROPERTY TYPE: SECURITIES 778.00					05/13/2009 249.00	10/21/2009
1,063.00		53. INTEL CORP COM PROPERTY TYPE: SECURITIES 808.00					05/13/2009 255.00	10/21/2009
1,062.00		53. INTEL CORP COM PROPERTY TYPE: SECURITIES 808.00					05/13/2009 254.00	10/21/2009
724.00		10. NOBLE ENERGY INC PROPERTY TYPE: SECURITIES 588.00			COM		04/06/2009 136.00	10/21/2009
717.00		10. NOBLE ENERGY INC PROPERTY TYPE: SECURITIES 587.00			COM		04/23/2009 130.00	10/21/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
896.00		39. INVESCO LIMITED PROPERTY TYPE: SECURITIES 713.00					06/15/2009 183.00	10/21/2009
439.00		6. MILLICOM INTL CELLULAR S.A. PROPERTY TYPE: SECURITIES 430.00					09/17/2009 9.00	10/21/2009
1,655.00		155. NCR CORP NEW COM PROPERTY TYPE: SECURITIES 1,947.00					07/21/2009 -292.00	10/22/2009
1,184.00		17. MILLICOM INTL CELLULAR S.A. PROPERTY TYPE: SECURITIES 740.00					04/28/2009 444.00	10/22/2009
2,109.00		61. AMERICAN EXPRESS CO COM PROPERTY TYPE: SECURITIES 1,942.00					08/07/2009 167.00	10/23/2009
615.00		14. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 541.00					09/19/2007 74.00	10/23/2009
1,452.00		12. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 1,493.00					05/07/2008 -41.00	10/23/2009
485.00		36. INTERSIL HLDG CORP COM PROPERTY TYPE: SECURITIES 575.00					09/30/2009 -90.00	10/23/2009
5.00		.275 PFIZER INC COM W/RTS ATTACHED EXP 1 PROPERTY TYPE: SECURITIES 6.00					10/01/1997 -1.00	10/23/2009
345.00		46. ON SEMICONDUCTOR CORP COM PROPERTY TYPE: SECURITIES 406.00					10/14/2009 -61.00	10/26/2009
619 00		25. PACTIV CORP COM PROPERTY TYPE: SECURITIES 649.00					09/30/2009 -30.00	10/26/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,234.00		24. ROPER INDS INC NEW COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 1,131.00				09/23/2009 103.00	10/26/2009
1,234.00		24. ROPER INDS INC NEW COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 1,517.00				05/14/2008 -283.00	10/26/2009
1,375.00		53. PETROHAWK ENERGY CORP PROPERTY TYPE: SECURITIES 888.00				03/10/2009 487.00	10/27/2009
234.00		12. SUNTRUST BKS INC COM PROPERTY TYPE: SECURITIES 292.00				09/17/2009 -58.00	10/27/2009
270.00		41. ON SEMICONDUCTOR CORP COM PROPERTY TYPE: SECURITIES 326.00				10/14/2009 -56.00	10/28/2009
1,173.00		7. PRICELINE COM INC PROPERTY TYPE: SECURITIES 558.00				04/01/2009 615.00	10/28/2009
525.00		14. CONCHO RESOURCES INC PROPERTY TYPE: SECURITIES 477.00				08/04/2009 48.00	10/29/2009
578.00		41. JABIL CIRCUIT INC COM PROPERTY TYPE: SECURITIES 463.00				09/16/2009 115.00	10/29/2009
937.00		40. MCDERMOTT INTL INC COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 793.00				06/11/2009 144.00	10/29/2009
327.00		6. FMC TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 252.00				06/11/2009 75.00	10/30/2009
109.00		2. FMC TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 103.00				08/15/2008 6.00	10/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,322.00		31. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 1,198.00				09/19/2007 124.00	10/30/2009
235.00		7. OIL STATES INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 250.00				10/06/2009 -15.00	10/30/2009
1,326.00		40. YUM! BRANDS INC PROPERTY TYPE: SECURITIES 1,402.00			COM	05/06/2009 -76.00	10/30/2009
1,238.00		29. GILEAD SCIENCES INC COM PROPERTY TYPE: SECURITIES 1,108.00				10/10/2008 130.00	11/02/2009
620.00		37. MAXIM INTEGRATED PRODS INC COM PROPERTY TYPE: SECURITIES 692.00				09/22/2009 -72.00	11/02/2009
266.00		51. RRI ENERGY INC COM PROPERTY TYPE: SECURITIES 367.00				10/01/2009 -101.00	11/02/2009
2,490.00		75. YUM! BRANDS INC PROPERTY TYPE: SECURITIES 2,619.00			COM	05/06/2009 -129.00	11/02/2009
321.00		16. NOVELLUS SYS COM PROPERTY TYPE: SECURITIES 313.00				09/04/2009 8.00	11/04/2009
273.00		12. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 223.00				06/23/2009 50.00	11/04/2009
621.00		19. AEROPOSTALE INC PROPERTY TYPE: SECURITIES 602.00			COM	07/20/2009 19.00	11/05/2009
411.00		11. AMERICAN EXPRESS CO COM PROPERTY TYPE: SECURITIES 334.00				08/06/2009 77.00	11/05/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
380.00		29. BIOVAIL CORP COM PROPERTY TYPE: SECURITIES 402.00					08/10/2009 -22.00	11/05/2009
1,191.00		41. CVS CORP COM PROPERTY TYPE: SECURITIES 1,566.00					09/19/2007 -375.00	11/05/2009
1,113.00		39. CVS CORP COM PROPERTY TYPE: SECURITIES 1,489.00					09/19/2007 -376.00	11/05/2009
97.00		2. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 74.00					06/08/2009 23.00	11/05/2009
487.00		10. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 403.00					10/14/2008 84.00	11/05/2009
2,454.00		20. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 1,841.00					05/18/2009 613.00	11/05/2009
736.00		6. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 746.00					05/07/2008 -10.00	11/05/2009
726.00		17. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 577.00					07/27/2009 149.00	11/05/2009
720.00		22. SUNCOR ENERGY INC NEW F PROPERTY TYPE: SECURITIES 670.00					05/19/2009 50.00	11/05/2009
764.00		20. TJX COS INC NEW COM PROPERTY TYPE: SECURITIES 717.00					07/27/2009 47.00	11/05/2009
850.00		19. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 1,101.00					09/19/2007 -251.00	11/05/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
226.00		8. WHOLE FOODS MKT INC COM W/RIGHTS ATTA PROPERTY TYPE: SECURITIES 242.00					10/01/2009 -16.00	11/05/2009
456.00		20. INVESCO LIMITED PROPERTY TYPE: SECURITIES 361.00					06/10/2009 95.00	11/05/2009
479.00		14. TYCO INTERNATIONAL LTD NEW PROPERTY TYPE: SECURITIES 318.00					04/22/2009 161.00	11/05/2009
252.00		11. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 204.00					06/23/2009 48.00	11/05/2009
434.00		19. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 351.00					06/25/2009 83.00	11/05/2009
1,158.00		39. CVS CORP COM PROPERTY TYPE: SECURITIES 1,104.00					04/21/2009 54.00	11/06/2009
1,188.00		40. CVS CORP COM PROPERTY TYPE: SECURITIES 1,528.00					09/19/2007 -340.00	11/06/2009
1,081.00		25. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 833.00					07/30/2009 248.00	11/06/2009
2,062.00		49. PEABODY ENERGY CORP COM PROPERTY TYPE: SECURITIES 1,593.00					07/30/2009 469.00	11/06/2009
719.00		19. TJX COS INC NEW COM PROPERTY TYPE: SECURITIES 678.00					07/28/2009 41.00	11/06/2009
888.00		20. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 1,149.00					11/12/2007 -261.00	11/06/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
582.00		13. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 728.00					01/10/2008 -146.00	11/06/2009
476.00		14. TYCO INTERNATIONAL LTD NEW PROPERTY TYPE: SECURITIES 292.00					03/26/2009 184.00	11/06/2009
413.00		18. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 332.00					06/30/2009 81.00	11/06/2009
525.00		23. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 424.00					06/30/2009 101.00	11/06/2009
296.00		57. RRI ENERGY INC COM PROPERTY TYPE: SECURITIES 396.00					10/01/2009 -100.00	11/09/2009
495.00		11. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 519.00					10/22/2009 -24.00	11/09/2009
586.00		13. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 703.00					02/06/2008 -117.00	11/09/2009
500.00		22. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 404.00					06/30/2009 96.00	11/09/2009
1,096.00		24. ENSCO INTL INC COM PROPERTY TYPE: SECURITIES 827.00					07/30/2009 269.00	11/10/2009
1,030.00		23. THERMO ELECTRON CORP COM W/RTS ATTAC PROPERTY TYPE: SECURITIES 855.00					10/22/2009 175.00	11/10/2009
406.00		7. UNIVERSAL HLTH SVCS INC CL B COM PROPERTY TYPE: SECURITIES 440.00					10/22/2009 -34.00	11/10/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
209.00		15. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 261.00					05/07/2009 -52.00	11/10/2009
1,058.00		46. TYCO ELECTRONICS LTD F PROPERTY TYPE: SECURITIES 837.00					06/25/2009 221.00	11/10/2009
488.00		6. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 393.00					10/02/2009 95.00	11/12/2009
592.00		34. MYLAN LABS INC COM RTS EXP 11/14/200 PROPERTY TYPE: SECURITIES 487.00					04/24/2009 105.00	11/12/2009
491.00		6. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 392.00					10/02/2009 99.00	11/13/2009
574.00		7. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 457.00					10/02/2009 117.00	11/13/2009
1,558.00		66. PACTIV CORP COM PROPERTY TYPE: SECURITIES 1,659.00					08/31/2009 -101.00	11/13/2009
1,129.00		230. RRI ENERGY INC COM PROPERTY TYPE: SECURITIES 1,570.00					09/17/2009 -441.00	11/13/2009
445.00		11. TRANSDIGM GRP INC COM PROPERTY TYPE: SECURITIES 516.00					09/21/2009 -71.00	11/13/2009
587.00		7. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 441.00					10/02/2009 146.00	11/16/2009
393.00		10. OSHKOSH TRUCK CORP CLASS B W/RIGHTS PROPERTY TYPE: SECURITIES 336.00					08/28/2009 57.00	11/16/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,062.00		18. UNIVERSAL HLTH SVCS INC CL B COM PROPERTY TYPE: SECURITIES 944.00					08/13/2009 118.00	11/16/2009
1,728.00		78. NOVELLUS SYS COM PROPERTY TYPE: SECURITIES 1,392.00					07/20/2009 336.00	11/17/2009
761.00		57. ZIONS BANCORP COM PROPERTY TYPE: SECURITIES 855.00					08/05/2009 -94.00	11/17/2009
1,136.00		35. AEROPOSTALE INC PROPERTY TYPE: SECURITIES 867.00			COM		03/25/2009 269.00	11/18/2009
372.00		9. MCAFEE INC PROPERTY TYPE: SECURITIES 396.00					10/13/2009 -24.00	11/18/2009
1,058.00		179. TELLABS INC COM PROPERTY TYPE: SECURITIES 1,251.00					10/19/2009 -193.00	11/18/2009
263.00		10. WHOLE FOODS MKT INC COM W/RIGHTS ATT PROPERTY TYPE: SECURITIES 264.00					10/01/2009 -1.00	11/18/2009
366.00		9. TRANSDIGM GRP INC COM PROPERTY TYPE: SECURITIES 400.00					08/26/2009 -34.00	11/19/2009
810.00		14. UNIVERSAL HLTH SVCS INC CL B COM PROPERTY TYPE: SECURITIES 585.00					04/14/2009 225.00	11/19/2009
732.00		57. INTERSIL HLDG CORP COM PROPERTY TYPE: SECURITIES 859.00					09/30/2009 -127.00	11/23/2009
220.00		10. MCDERMOTT INTL INC COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 170.00					05/05/2009 50.00	11/23/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
617.00		17. INGERSOLL-RAND PLC PROPERTY TYPE: SECURITIES 495.00					08/05/2009 122.00	11/23/2009
431.00		12. GUESS INC COM PROPERTY TYPE: SECURITIES 469.00					11/09/2009 -38.00	11/24/2009
462.00		13. INGERSOLL-RAND PLC PROPERTY TYPE: SECURITIES 379.00					08/05/2009 83.00	11/24/2009
1,350.00		27. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 989.00					06/08/2009 361.00	11/25/2009
468.00		13. INGERSOLL-RAND PLC PROPERTY TYPE: SECURITIES 379.00					08/03/2009 89.00	11/25/2009
1,227.00		25. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 866.00					03/26/2009 361.00	11/27/2009
669.00		19. INGERSOLL-RAND PLC PROPERTY TYPE: SECURITIES 552.00					08/05/2009 117.00	11/27/2009
331.00		4. AIR PRODS & CHEMICALS INC COM W/ RTS PROPERTY TYPE: SECURITIES 334.00					10/15/2009 -3.00	11/30/2009
407.00		3. AMAZON COM INC COM PROPERTY TYPE: SECURITIES 368.00					10/26/2009 39.00	11/30/2009
457.00		11. AMERICAN EXPRESS CO COM PROPERTY TYPE: SECURITIES 325.00					07/24/2009 132.00	11/30/2009
368.00		9. AMERICAN TOWER CORP CL A PROPERTY TYPE: SECURITIES 338.00					09/26/2008 30.00	11/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,204.00		6. APPLE COMPUTER INC COM PROPERTY TYPE: SECURITIES 1,230.00					10/21/2009 -26.00	11/30/2009
513.00		15. AVON PRODS INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 535.00					10/22/2009 -22.00	11/30/2009
536.00		34. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 444.00					11/11/2009 92.00	11/30/2009
385.00		9. BEST BUY INC COM PROPERTY TYPE: SECURITIES 359.00					10/21/2009 26.00	11/30/2009
351.00		12. BROADCOM CORP COM PROPERTY TYPE: SECURITIES 325.00					11/05/2009 26.00	11/30/2009
1,382.00		43. CARDINAL HLTH INC COM PROPERTY TYPE: SECURITIES 1,138.00					09/14/2009 244.00	11/30/2009
1,712.00		22. CHEVRONTXACO CORP COM PROPERTY TYPE: SECURITIES 2,071.00					09/19/2007 -359.00	11/30/2009
727.00		31. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 798.00					05/01/2008 -71.00	11/30/2009
284.00		35. DELTA AIR LINES INC PROPERTY TYPE: SECURITIES 320.00					10/16/2009 -36.00	11/30/2009
666.00		21. THE DIRECTV GROUP PROPERTY TYPE: SECURITIES 580.00					10/06/2009 86.00	11/30/2009
268.00		16. E M C CORP MASS COM PROPERTY TYPE: SECURITIES 253.00					11/11/2009 15.00	11/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
465.00		19. EBAY INC COM PROPERTY TYPE: SECURITIES 452.00				10/06/2009 13.00	11/30/2009
428.00		5. EXPRESS SCRIPTS INC CL A W/RIGHTS ATT PROPERTY TYPE: SECURITIES 432.00				11/10/2009 -4.00	11/30/2009
388.00		44. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 362.00				11/11/2009 26.00	11/30/2009
1,242.00		15. FREEPORT MCMORAN COPPER & GOLD CL B PROPERTY TYPE: SECURITIES 882.00				07/28/2009 360.00	11/30/2009
249.00		3. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 175.00				07/28/2009 74.00	11/30/2009
583.00		1. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 557.00				10/21/2009 26.00	11/30/2009
1,175.00		24. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 779.00				03/31/2009 396.00	11/30/2009
148.00		3. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 97.00				03/31/2009 51.00	11/30/2009
485.00		10. ILLINOIS TOOL WORKS INC COM PROPERTY TYPE: SECURITIES 494.00				10/21/2009 -9.00	11/30/2009
1,010.00		53. INTEL CORP COM PROPERTY TYPE: SECURITIES 1,363.00				09/19/2007 -353.00	11/30/2009
1,887.00		15. INTL BUSINESS MACHINES CORP COM PROPERTY TYPE: SECURITIES 1,747.00				09/19/2007 140.00	11/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
470.00		18. JUNIPER NETWORKS INC COM PROPERTY TYPE: SECURITIES 503.00				10/21/2009 -33.00	11/30/2009
2,764.00		42. KIMBERLY-CLARK CORP COM PROPERTY TYPE: SECURITIES 2,942.00				09/19/2007 -178.00	11/30/2009
663.00		25. KRAFT FOODS INC-A PROPERTY TYPE: SECURITIES 825.00			COM	11/15/2007 -162.00	11/30/2009
477.00		2. MASTERCARD INC PROPERTY TYPE: SECURITIES 481.00				11/09/2009 -4.00	11/30/2009
1,550.00		43. MERCK & CO INC NEW PROPERTY TYPE: SECURITIES 1,545.00				08/14/2008 5.00	11/30/2009
1,029.00		35. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 982.00				10/23/2009 47.00	11/30/2009
604.00		76. MOTOROLA INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 700.00				09/16/2009 -96.00	11/30/2009
196.00		3. NOBLE ENERGY INC PROPERTY TYPE: SECURITIES 174.00			COM	04/15/2009 22.00	11/30/2009
464.00		21. ORACLE CORP COM PROPERTY TYPE: SECURITIES 467.00				10/23/2009 -3.00	11/30/2009
2,986.00		164. PFIZER INC COM W/RTS ATTACHED EXP 1 PROPERTY TYPE: SECURITIES 3,285.00				10/01/1997 -299.00	11/30/2009
214.00		1. PRICELINE COM INC PROPERTY TYPE: SECURITIES 175.00				11/09/2009 39.00	11/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
270.00		6. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 267.00					11/11/2009 3.00	11/30/2009
253.00		7. SUNCOR ENERGY INC NEW F PROPERTY TYPE: SECURITIES 212.00					05/18/2009 41.00	11/30/2009
268.00		7. TJX COS INC NEW COM PROPERTY TYPE: SECURITIES 249.00					07/28/2009 19.00	11/30/2009
475.00		9. TEVA PHARMACEUTICAL INDS LTD ADR PROPERTY TYPE: SECURITIES 419.00					02/06/2008 56.00	11/30/2009
2,440.00		47. TRAVELERS COMPANIES, INC PROPERTY TYPE: SECURITIES 1,464.00					10/10/2008 976.00	11/30/2009
388.00		26. YAHOO INC COM W/RIGHTS ATTACHED EXP PROPERTY TYPE: SECURITIES 458.00					09/17/2009 -70.00	11/30/2009
374.00		8. COVIDIEN PLC PROPERTY TYPE: SECURITIES 358.00					10/19/2009 16.00	11/30/2009
387.00		11. INGERSOLL-RAND PLC PROPERTY TYPE: SECURITIES 318.00					08/05/2009 69.00	11/30/2009
243.00		11. INVESCO LIMITED PROPERTY TYPE: SECURITIES 195.00					06/29/2009 48.00	11/30/2009
258.00		3. TRANSOCEAN LTD. PROPERTY TYPE: SECURITIES 331.00					09/19/2007 -73.00	11/30/2009
395.00		11. TYCO INTERNATIONAL LTD NEW PROPERTY TYPE: SECURITIES 222.00					03/18/2009 173.00	11/30/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,770.00		137. AES CORP COM PROPERTY TYPE: SECURITIES 1,376.00				10/20/2009 394.00	12/01/2009
3,107.00		78. ABERCROMBIE & FITCH CO CL A PROPERTY TYPE: SECURITIES 1,934.00				10/09/2009 1,173.00	12/01/2009
2,941.00		116. ALLIANCEBERNSTEIN HLDG L P PROPERTY TYPE: SECURITIES 2,416.00				09/22/2009 525.00	12/01/2009
2,269.00		60. ALPHA NATURAL RESOURCES INC PROPERTY TYPE: SECURITIES 1,750.00			COM	08/20/2009 519.00	12/01/2009
2,568.00		176. BIOVAIL CORP COM PROPERTY TYPE: SECURITIES 2,219.00				06/29/2009 349.00	12/01/2009
2,807.00		283. BRANDYWINE RLTY TR SH BEN INT NEW PROPERTY TYPE: SECURITIES 2,223.00				08/05/2009 584.00	12/01/2009
1,939.00		88. BROADRIDGE FINANCIAL SOLUTIONS PROPERTY TYPE: SECURITIES 1,889.00				11/10/2009 50.00	12/01/2009
2,092.00		89. CARPENTER TECHNOLOGY CORP COM PROPERTY TYPE: SECURITIES 2,108.00				11/18/2009 -16.00	12/01/2009
1,716.00		430. CHIMERA INVESTMENT CORP REIT PROPERTY TYPE: SECURITIES 1,717.00				10/21/2009 -1.00	12/01/2009
2,929.00		65. CLIFFS NAT RES INC PROPERTY TYPE: SECURITIES 2,201.00				10/30/2009 728.00	12/01/2009
2,297.00		55. CONCHO RESOURCES INC PROPERTY TYPE: SECURITIES 1,689.00				08/26/2009 608.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,471.00		77. CONSTELLATION ENERGY GRP INC COM PROPERTY TYPE: SECURITIES 2,196.00					09/02/2009 275.00	12/01/2009
1,940.00		56. CYTEC INDS INC COM PROPERTY TYPE: SECURITIES 2,021.00					11/18/2009 -81.00	12/01/2009
3,217.00		66. DIGITAL RLTY TR INC PROPERTY TYPE: SECURITIES 2,191.00					10/27/2009 1,026.00	12/01/2009
2,131.00		102. DISH NETWORK CORP PROPERTY TYPE: SECURITIES 2,087.00					11/18/2009 44.00	12/01/2009
1,032.00		21. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 929.00					04/27/2009 103.00	12/01/2009
1,376.00		28. DOLLAR TREE INC PROPERTY TYPE: SECURITIES 1,025.00					07/28/2008 351.00	12/01/2009
1,255.00		91. DOUGLAS EMMETT INC PROPERTY TYPE: SECURITIES 902.00					08/06/2009 353.00	12/01/2009
883.00		64. DOUGLAS EMMETT INC PROPERTY TYPE: SECURITIES 1,429.00					03/27/2008 -546.00	12/01/2009
1,168.00		26. ENSCO INTL INC COM PROPERTY TYPE: SECURITIES 825.00					05/07/2009 343.00	12/01/2009
2,326.00		42. FMC TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 1,257.00					06/11/2009 1,069.00	12/01/2009
2,405.00		178. FIRST HORIZON NATL CORP PROPERTY TYPE: SECURITIES 1,863.00					04/30/2009 542.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
508.00		6. FREEPORT MCMORAN COPPER & GOLD CL B W PROPERTY TYPE: SECURITIES 335.00					07/28/2009 173.00	12/01/2009
1,085.00		21. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 742.00					06/22/2009 343.00	12/01/2009
1,085.00		21. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 858.00					09/18/2007 227.00	12/01/2009
2,869.00		29. W W GRAINGER INC COM W/RIGHTS ATTACH PROPERTY TYPE: SECURITIES 2,657.00					11/13/2009 212.00	12/01/2009
1,716.00		27. GREEN MOUNTAIN COFFEE INC PROPERTY TYPE: SECURITIES 1,947.00					10/20/2009 -231.00	12/01/2009
740.00		9. GREENHILL & CO INC COM PROPERTY TYPE: SECURITIES 749.00					11/18/2009 -9.00	12/01/2009
2,416.00		58. GUESS INC COM PROPERTY TYPE: SECURITIES 1,902.00					10/15/2009 514.00	12/01/2009
2,037.00		68. HASBRO INC COM PROPERTY TYPE: SECURITIES 2,007.00					11/18/2009 30.00	12/01/2009
1,389.00		28. HEWLETT-PACKARD CO COM PROPERTY TYPE: SECURITIES 814.00					03/31/2009 575.00	12/01/2009
2,910.00		149. IAC / INTERACTIVECORP COM PROPERTY TYPE: SECURITIES 2,443.00					09/11/2009 467.00	12/01/2009
1,824.00		17. INTERCONTINENTALEXCHANGE INC PROPERTY TYPE: SECURITIES 1,613.00					11/09/2009 211.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
835.00		63. INTERSIL HLDG CORP COM PROPERTY TYPE: SECURITIES 937.00					10/14/2009 -102.00	12/01/2009
2,264.00		8. INTUITIVE SURGICAL INC PROPERTY TYPE: SECURITIES 1,249.00					11/12/2009 1,015.00	12/01/2009
1,513.00		112. JABIL CIRCUIT INC COM PROPERTY TYPE: SECURITIES 1,345.00					11/17/2009 168.00	12/01/2009
2,741.00		50. JOY GLOBL INC WI COM PROPERTY TYPE: SECURITIES 1,910.00					10/26/2009 831.00	12/01/2009
1,862.00		157. KING PHARMACEUTICALS INC COM PROPERTY TYPE: SECURITIES 1,479.00					08/11/2009 383.00	12/01/2009
1,067.00		90. KING PHARMACEUTICALS INC COM PROPERTY TYPE: SECURITIES 1,024.00					07/17/2008 43.00	12/01/2009
2,262.00		80. LAMAR ADVERTISING CO CL A PROPERTY TYPE: SECURITIES 2,075.00					09/29/2009 187.00	12/01/2009
2,988.00		189. LAS VEGAS SANDS CORP PROPERTY TYPE: SECURITIES 1,906.00					10/30/2009 1,082.00	12/01/2009
3,071.00		61. LIFE TECHNOLOGIES CORP PROPERTY TYPE: SECURITIES 2,375.00					09/25/2009 696.00	12/01/2009
1,642.00		100. MACY'S INC PROPERTY TYPE: SECURITIES 1,947.00					11/09/2009 -305.00	12/01/2009
1,619.00		285. MARSHALL & ILSLEY CORP-W/I PROPERTY TYPE: SECURITIES 1,967.00					10/06/2009 -348.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,613.00		90. MAXIM INTEGRATED PRODS INC COM PROPERTY TYPE: SECURITIES 1,576.00				09/22/2009 37.00	12/01/2009
773.00		20. MCAFEE INC PROPERTY TYPE: SECURITIES 719.00				09/16/2009 54.00	12/01/2009
1,662.00		43. MCAFEE INC PROPERTY TYPE: SECURITIES 1,524.00				09/18/2007 138.00	12/01/2009
1,858.00		88. MCDERMOTT INTL INC COM W/RTS ATTACHE PROPERTY TYPE: SECURITIES 1,220.00				03/31/2009 638.00	12/01/2009
1,214.00		160. MICRON TECHNOLOGY INC COM PROPERTY TYPE: SECURITIES 1,226.00				11/17/2009 -12.00	12/01/2009
2,505.00		139. MYLAN LABS INC COM RTS EXP 11/14/20 PROPERTY TYPE: SECURITIES 1,874.00				08/11/2009 631.00	12/01/2009
1,350.00		2. NVR INC COM PROPERTY TYPE: SECURITIES 850.00				03/31/2009 500.00	12/01/2009
2,268.00		154. NEWELL RUBBERMAID INC COM PROPERTY TYPE: SECURITIES 2,356.00				10/20/2009 -88.00	12/01/2009
2,248.00		52. NEWFIELD EXPL CO COM W/RIGHTS ATTACH PROPERTY TYPE: SECURITIES 2,450.00				11/17/2009 -202.00	12/01/2009
2,113.00		87. NORTHEAST UTILS COM W/RTS ATTACHED E PROPERTY TYPE: SECURITIES 1,954.00				08/18/2009 159.00	12/01/2009
2,543.00		217. NV ENERGY INC PROPERTY TYPE: SECURITIES 2,369.00				10/27/2009 174.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,331.00		64. OIL STATES INTERNATIONAL INC COM PROPERTY TYPE: SECURITIES 2,205.00					10/06/2009 126.00	12/01/2009
1,899.00		235. ON SEMICONDUCTOR CORP COM PROPERTY TYPE: SECURITIES 1,635.00					07/15/2009 264.00	12/01/2009
1,457.00		35. ORMAT TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 1,426.00					11/30/2009 31.00	12/01/2009
1,650.00		41. OSHKOSH TRUCK CORP CLASS B W/RIGHTS PROPERTY TYPE: SECURITIES 1,339.00					10/27/2009 311.00	12/01/2009
1,659.00		64. PAN AMERN SILVER CORP COM PROPERTY TYPE: SECURITIES 1,462.00					06/10/2009 197.00	12/01/2009
1,131.00		28. QUESTAR CORP COM W/RTS EXP 03/25/200 PROPERTY TYPE: SECURITIES 1,199.00					11/12/2009 -68.00	12/01/2009
1,221.00		24. RESMED INC COM PROPERTY TYPE: SECURITIES 1,226.00					11/17/2009 -5.00	12/01/2009
1,069.00		33. SBA COMMUNICATIONS CORP COM PROPERTY TYPE: SECURITIES 818.00					09/18/2009 251.00	12/01/2009
2,560.00		79. SBA COMMUNICATIONS CORP COM PROPERTY TYPE: SECURITIES 2,291.00					10/13/2008 269.00	12/01/2009
1,929.00		85. SAFEWAY INC COM NEW PROPERTY TYPE: SECURITIES 1,929.00					11/09/2009	12/01/2009
2,217.00		182. SARA LEE CORP COM W/RTS ATTACHED EX PROPERTY TYPE: SECURITIES 1,765.00					10/29/2009 452.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,727.00		29. SMUCKER J M CO COM PROPERTY TYPE: SECURITIES 1,238.00					06/25/2009 489.00	12/01/2009
1,549.00		26. SMUCKER J M CO COM PROPERTY TYPE: SECURITIES 1,036.00					11/20/2008 513.00	12/01/2009
2,749.00		117. SUNTRUST BKS INC COM PROPERTY TYPE: SECURITIES 1,887.00					09/17/2009 862.00	12/01/2009
2,512.00		62. SYBASE INC COM PROPERTY TYPE: SECURITIES 1,591.00					03/11/2008 921.00	12/01/2009
1,515.00		67. SYNOPSYS INC COM PROPERTY TYPE: SECURITIES 1,448.00					10/07/2009 67.00	12/01/2009
950.00		42. SYNOPSYS INC COM PROPERTY TYPE: SECURITIES 947.00					08/22/2008 3.00	12/01/2009
1,470.00		163. TERADYNE INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 1,611.00					11/17/2009 -141.00	12/01/2009
1,276.00		66. TEREX CORP NEW COM PROPERTY TYPE: SECURITIES 1,450.00					11/17/2009 -174.00	12/01/2009
1,329.00		30. TRANSDIGM GRP INC COM PROPERTY TYPE: SECURITIES 1,296.00					08/26/2009 33.00	12/01/2009
2,247.00		57. WMS INDS INC COM PROPERTY TYPE: SECURITIES 2,331.00					09/10/2009 -84.00	12/01/2009
1,612.00		62. WHOLE FOODS MKT INC COM W/RIGHTS ATT PROPERTY TYPE: SECURITIES 1,238.00					07/20/2009 374.00	12/01/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,619.00		263. WINDSTREAM CORP PROPERTY TYPE: SECURITIES 2,264.00					09/21/2009 355.00	12/01/2009
2,469.00		51. ALLIED WRLD ASSUR COM HLDG LSHS PROPERTY TYPE: SECURITIES 2,212.00					10/12/2009 257.00	12/01/2009
1,956.00		28. ARCH CAP GROUP LTD COM PROPERTY TYPE: SECURITIES 1,681.00					09/01/2009 275.00	12/01/2009
489.00		7. ARCH CAP GROUP LTD COM PROPERTY TYPE: SECURITIES 507.00					09/18/2007 -18.00	12/01/2009
2,435.00		113. ASSURED GUARANTY LTD USD 1.0 PROPERTY TYPE: SECURITIES 1,301.00					06/25/2009 1,134.00	12/01/2009
2,449.00		56. COOPER INDUSTRIES PLC NEW IRELAND PROPERTY TYPE: SECURITIES 2,292.00					11/17/2009 157.00	12/01/2009
75.00		5. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 80.00					12/03/2009 -5.00	12/17/2009
116.00		5. CISCO SYS INC COM W/RIGHTS ATTACHED E PROPERTY TYPE: SECURITIES 124.00					01/24/2008 -8.00	12/17/2009
58.00		5. DELTA AIR LINES INC PROPERTY TYPE: SECURITIES 46.00					12/03/2009 12.00	12/17/2009
47.00		5. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 45.00					12/03/2009 2.00	12/17/2009
595.00		1. GOOGLE INC CL A PROPERTY TYPE: SECURITIES 557.00					10/21/2009 38.00	12/17/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
148.00		5. MICROSOFT CORP COM PROPERTY TYPE: SECURITIES 150.00					12/03/2009 -2.00	12/17/2009
81.00		10. MOTOROLA INC COM W/RTS ATTACHED EXP PROPERTY TYPE: SECURITIES 92.00					09/15/2009 -11.00	12/17/2009
80.00		5. YAHOO INC COM W/RIGHTS ATTACHED EXP 3 PROPERTY TYPE: SECURITIES 88.00					10/07/2009 -8.00	12/17/2009
TOTAL GAIN (LOSS)							----- -89,916. =====	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
AT&T INC	343.	343.
ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/	32.	32.
ABERCROMBIE & FITCH CO CL A	44.	44.
ALBERTO-CULVER CO	6.	6.
ALLSTATE CORP COM W/RIGHTS ATTACHED EXP	161.	161.
AMERICAN EXPRESS CO COM	41.	41.
AMETEK INC (NEW) COM	5.	5.
AON CORP COM	14.	14.
ARCHER DANIELS MIDLAND CO COM	128.	128.
AVON PRODS INC COM W/RTS ATTACHED	38.	38.
BANCORPSOUTH INC COM RTS EXP 3/28/2011	9.	9.
BANK AMER CORP COM	12.	12.
BANK NEW YORK MELLON CORP COM	81.	81.
BAXTER INTL INC COM W/RIGHTS ATTACHED EX	6.	6.
BERKLEY W R CORP COM W/ RTS ATTACHED EXP	3.	3.
BEST BUY INC COM	18.	18.
BIOVAIL CORP COM	18.	18.
BLOCK H & R INC COM W/RTS EXP 3/25/2008	36.	36.
BRANDYWINE RLTY TR SH BEN INT NEW	28.	28.
BRINKS CO	9.	9.
BRISTOL-MYERS SQUIBB CO COM	316.	316.
BROWN & BROWN INC COM	5.	5.
BURGER KING HOLDINGS INC	5.	5.
CBS CORP CL B COM	6.	6.
CF INDS HLDGS INC	4.	4.
CMS ENERGY CORP COM	20.	20.
CVS CORP COM	107.	107.
CABOT OIL & GAS CORP CL A	1.	1.
CARDINAL HLTH INC COM	88.	88.
CARPENTER TECHNOLOGY CORP COM	9.	9.
CENTERPOINT ENERGY INC	34.	34.
CHEVRONTXACO CORP COM	253.	253.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
CHIMERA INVESTMENT CORP REIT	24.	24.
CHURCH & DWIGHT CO INC COM W/RIGHTS ATTA	11.	11.
CLIFFS NAT RES INC	6.	6.
CLOROX CO COM	7.	7.
COMMERCE BANCSHARES INC COM	4.	4.
COMMERCIAL METALS CO COM W/RIGHTS ATTACH	22.	22.
CONOCOPHILLIPS COM	195.	195.
CONSTELLATION ENERGY GRP INC COM	18.	18.
DPL INC COM	56.	56.
DIGITAL RLTY TR INC	58.	58.
DISH NETWORK CORP	150.	150.
DODGE & COX INT'L STOCK FD #1048	2,717.	2,717.
DONNELLEY R R & SONS CO COM RTS EXP 08/0	67.	67.
DOUGLAS EMMETT INC	52.	
DOW CHEM CO COM	11.	11.
DREYFUS/THE BOSTON CA S/C - I #6944	229.	229.
DU PONT E I DE NEMOURS & CO COM	295.	295.
ENSCO INTL INC COM	3.	3.
EDISON INTL COM	110.	110.
ESSEX PPTY TR COM	11.	11.
EVERGREEN SELECT MONEY MKT TR PRIME CASH	260.	260.
FAMILY DLR STORES INC COM	27.	27.
FLUOR CORP NEW COM	22.	22.
FLOWERVE CORP COM	14.	14.
GAP INC COM	122.	122.
GLOBAL PMTS INC COM W/RIGHTS ATTACHED EX	3.	3.
GOLDMAN SACHS GRP INC COM	18.	18.
W W GRAINGER INC COM W/RIGHTS ATTACHED E	12.	12.
GREENHILL & CO INC COM	4.	4.
GUESS INC COM	5.	5.
HCC INS HLDGS INC COM	5.	5.
HARRIS CORP DEL COM	5.	5.
HASBRO INC COM	9.	9.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
HEINZ H J CO COM	207.	207.
HEWLETT-PACKARD CO COM	39.	39.
ING INTERNATIONAL REAL EST-I #2664	2,657.	2,657.
INTEL CORP COM	239.	239.
INTEGRYS ENERGY GROUP INCORPORATED	22.	22.
INTL BUSINESS MACHINES CORP COM	212.	212.
INTERSIL HLDG CORP COM	14.	14.
JP MORGAN CHASE & CO COM	34.	34.
JABIL CIRCUIT INC COM	6.	6.
PERKINS MID CAP VALUE F/C I #1167	245.	245.
JOHNSON & JOHNSON COM	168.	168.
JOY GLOBL INC WI COM	13.	13.
JPMORGAN HIGH YIELD FUND SS #3580	6,993.	6,993.
KEYCORP NEW COM	6.	6.
KIMBERLY-CLARK CORP COM	305.	305.
KRAFT FOODS INC-A	292.	292.
LAZARD EMERGING MKTS PTFL #638	2,302.	2,302.
LINCOLN ELEC HLDS INC COM	9.	9.
LOWES COS INC COM W/RIGHTS ATTACHED EXPI	44.	44.
MAGNA INTL INC CL A	8.	8.
MARATHON OIL CORP	140.	140.
MARSHALL & ILSLEY CORP-W/I	5.	5.
MARTIN MARIETTA MATLS INC COM	4.	4.
MASTERCARD INC	2.	2.
MATTEL INC COM W/RIGHTS ATTACHED EXP 2/1	239.	239.
MAXIM INTEGRATED PRODS INC COM	34.	34.
MCDONALDS CORP COM	40.	40.
MERCK & CO INC COM	306.	306.
MICROSOFT CORP COM	154.	154.
MORGAN STANLEY DEAN WITTER & CO COM NEW	6.	6.
MOTOROLA INC COM W/RTS ATTACHED EXP 11/8	39.	39.
NYSE EURONEXT INC	21.	21.
NATIONAL OILWELL INC COM	151.	151.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
NEW YORK CMNTY BANCORP INC COM	44.	44.
NEWELL RUBBERMAID INC COM	8.	8.
NOBLE ENERGY INC	33.	33.
NORTHEAST UTILS COM W/RTS ATTACHED EXP 2	42.	42.
NORTHROP GRUMMAN CORP COM W/RTS ATTACHED	137.	137.
NSTAR COM	57.	57.
NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8	90.	90.
NV ENERGY INC	42.	42.
OCCIDENTAL PETE CORP COM	14.	14.
OMNICARE INC COM W/ RTS ATTACHED EXP 06/	4.	4.
ORACLE CORP COM	27.	27.
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	17,516.	17,516.
PIMCO EMERG MKTS BD-INST #137	2,264.	2,260.
PNC FINL SVCS GROUP INC COM W/RIGHTS ATT	55.	55.
PEABODY ENERGY CORP COM	13.	13.
PEOPLE'S UNITED FINANCIAL INC	34.	34.
PETROLEO BRASILEIRO SA PETROBRAS PETROLE	177.	177.
PETSMART INC COM W/RTS ATTACHED EXP 08/2	15.	15.
PFIZER INC COM W/RTS ATTACHED EXP 10/15/	308.	308.
PIMCO COMMODITY REAL RET STRAT-I#45	2,833.	2,833.
PRAXAIR INC COM	43.	43.
PRECISION CASTPARTS CORP COM	1.	1.
PROCTER & GAMBLE CO COM	24.	24.
PROGRESS ENERGY INC COM	412.	412.
QUALCOMM INC COM W/RTS ATTACHED	113.	113.
QUEST DIAGNOSTICS INC COM	43.	43.
QUESTAR CORP COM W/RTS EXP 03/25/2006	4.	4.
RANGE RES CORP COM	2.	2.
REPUBLIC SVCS INC COM	16.	16.
ROPER INDS INC NEW COM W/RTS ATTACHED EX	13.	13.
ROWE T PRICE REAL ESTATE FD COM	2,016.	1,176.
SAFeway INC COM NEW	98.	98.
SARA LEE CORP COM W/RTS ATTACHED EXP 05/	17.	17.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
SMUCKER J M CO COM	63.	63.
STATE STR CORP COM	13.	13.
SUNCOR ENERGY INC NEW F	19.	19.
SUNCOR ENERGY INC COM	7.	7.
SUNTRUST BKS INC COM	10.	10.
TJX COS INC NEW COM	28.	28.
TAIWAN SEMICONDUCTOR MFG CO-ADR	145.	145.
TELEFLEX INC COM W/RIGHTS ATTACHED EXPIR	12.	12.
TEVA PHARMACEUTICAL INDS LTD ADR	56.	56.
TEXAS INSTRS INC COM	20.	20.
TIME WARNER INC	22.	22.
TRANSDIGM GRP INC COM	383.	383.
TRAVELERS COMPANIES, INC	208.	208.
UGI CORP NEW COM W/RTS ATTACHED EXP 04/2	73.	73.
UNITED PARCEL SERVICE CL B COM	22.	22.
UNITEDHEALTH GRP INC COM	1.	1.
UNIVERSAL HLTH SVCS INC CL B COM	5.	5.
VERIZON COMMUNICATIONS COM	356.	356.
WAL MART STORES INC COM	82.	82.
WASTE MGMT INC DEL COM	234.	234.
WENDY'S/ARBY'S GROUP INC COM CL A	3.	3.
WINDSTREAM CORP	124.	124.
WISCONSIN ENERGY CORP COM	6.	6.
WYETH COM	152.	152.
XEROX CORP COM W/RTS ATTACHED EXP 4/16/2	90.	90.
YUM! BRANDS INC COM	50.	50.
ZIONS BANCORP COM	4.	4.
ALLIED WRLD ASSUR COM HLDG LSHS	18.	18.
ASSURED GUARANTY LTD USD 1.0	10.	10.
COVIDIEN LTD COM	9.	9.
INGERSOLL-RAND PLC	25.	25.
INVESCO LIMITED	38.	38.
TYCO INTERNATIONAL LTD NEW	106.	106.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
TYCO ELECTRONICS LTD F	69.	35.
	-----	-----
TOTAL	50,366.	49,411.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
US TREASURY REFUND	25,371.	
PLAINS PIPELINE LP	118.	34.
NUSTAR ENERGY LP	113.	-69.
ALLIANCE BERN LP	112.	21.
	-----	-----
TOTALS	25,714.	-14.
	=====	=====

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL SERVICES	3,890.			3,890.
TOTALS	3,890.	NONE	NONE	3,890.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREP FEE	2,000.			2,000.
TOTALS	2,000.	NONE	NONE	2,000.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INVT MGMT FEES	13,737.	13,737.
	-----	-----
TOTALS	13,737.	13,737.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	66.	66.
FOREIGN TAXES ON QUALIFIED FOR	406.	406.
FOREIGN TAXES ON NONQUALIFIED	84.	84.
	-----	-----
TOTALS	556.	556.
	=====	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

BOOK VALUE ADJUSTMENT
ROUBNDING

1,663.

3.

TOTAL

1,666.

STATE(S) WHERE THE FOUNDATION IS REGISTERED
=====

VA

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

William H. Schwarzschild, III

ADDRESS:

PO BOX 1320
RICHMOND, VA 23218

TITLE:

Pres/Sec/Director

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

OFFICER NAME:

Kathrin S. Brown

ADDRESS:

399 Riversville Road
Greenwich, CT 06831

TITLE:

VP, Treasurer, Director

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

OFFICER NAME:

Melissa W. Schwarzschild

ADDRESS:

17 Ampthill Road
Richmond, VA 23226

TITLE:

Asst Secretary

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

=====

RECIPIENT NAME:

*SEE ATTACHED

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 90,800.

TOTAL GRANTS PAID:

90,800.

=====

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			2,575.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-2,030.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	545.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			1,285.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-91,747.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	1.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►	12	-90,461.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions <i>before</i> completing this part.				
13	Net short-term gain or (loss)	13		545.
14	Net long-term gain or (loss):			
a	Total for year	14a		-90,461.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		1.
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		-89,916.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000
16	(3,000)

Note: If the loss on line 15, column (3), is more than \$3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. LABORATORY CORP AMER HLDGS COM NEW	11/17/2008	01/06/2009	431.00	445.00	-14.00
24. SYBASE INC COM	06/17/2008	01/06/2009	612.00	718.00	-106.00
6. APOLLO GRP INC CL A	11/14/2008	01/07/2009	457.00	417.00	40.00
25. CONTINENTAL RESOURCES INC COM	12/04/2008	01/07/2009	609.00	452.00	157.00
3. DEVRY INC DEL COM	12/30/2008	01/07/2009	154.00	174.00	-20.00
6. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	04/02/2008	01/07/2009	204.00	260.00	-56.00
19. PERRIGO CO COM W/RTS EXP 04/10/2006	09/26/2008	01/08/2009	541.00	707.00	-166.00
6. APOLLO GRP INC CL A	11/14/2008	01/09/2009	515.00	414.00	101.00
5. ESSEX PPTY TR COM	09/17/2008	01/13/2009	327.00	584.00	-257.00
17. DOUGLAS EMMETT INC	04/28/2008	01/15/2009	169.00	399.00	-230.00
14. JP MORGAN CHASE & CO COM	10/13/2008	01/15/2009	359.00	563.00	-204.00
31. KEYCORP NEW COM	09/19/2008	01/15/2009	189.00	467.00	-278.00
21. NEW YORK CMNTY BANCORP INC COM	09/19/2008	01/15/2009	247.00	411.00	-164.00
8. PNC FINL SVCS GROUP INC COM W/RIGHTS ATTACHED EXP 5	11/05/2008	01/15/2009	326.00	591.00	-265.00
6. CLOROX CO COM	10/03/2008	01/16/2009	307.00	380.00	-73.00
9. PNC FINL SVCS GROUP INC COM W/RIGHTS ATTACHED EXP 5	11/05/2008	01/16/2009	360.00	647.00	-287.00
5. GOLDMAN SACHS GRP INC COM	01/06/2009	01/20/2009	332.00	448.00	-116.00
41. JARDEN CORP COM	09/26/2008	01/20/2009	457.00	1,058.00	-601.00
8. KANSAS CITY SOUTHN INDS INC COM	03/25/2008	01/20/2009	127.00	326.00	-199.00
57. PNC FINL SVCS GROUP INC COM W/RIGHTS ATTACHED E	12/09/2008	01/20/2009	1,576.00	3,635.00	-2,059.00
9. PNC FINL SVCS GROUP INC COM W/RIGHTS ATTACHED EXP 5	12/03/2008	01/20/2009	234.00	415.00	-181.00
20. WEATHERFORD INTL LTD COM	01/28/2008	01/20/2009	200.00	645.00	-445.00
17. JP MORGAN CHASE & CO COM	10/09/2008	01/21/2009	367.00	681.00	-314.00
25. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	05/21/2008	01/21/2009	896.00	1,486.00	-590.00

1b Total Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 9. WAL MART STORES INC COM	09/17/2008	01/21/2009	441.00	543.00	-102.00
10. CLOROX CO COM	10/03/2008	01/22/2009	504.00	634.00	-130.00
19. KANSAS CITY SOUTHN INDS INC COM	04/10/2008	01/22/2009	317.00	762.00	-445.00
7. SHERWIN WILLIAMS CO COM W/RTS ATTACHED EXP 04/22/20	11/06/2008	01/22/2009	339.00	376.00	-37.00
6. ALLIANCE DATA SYSTEMS CORP COM	05/07/2008	01/23/2009	241.00	350.00	-109.00
12. AON CORP COM	12/03/2008	01/23/2009	478.00	520.00	-42.00
10. AON CORP COM	10/31/2008	01/23/2009	401.00	424.00	-23.00
22. PROCTER & GAMBLE CO COM	10/03/2008	01/23/2009	1,233.00	1,542.00	-309.00
11. WABTEC COM	08/05/2008	01/23/2009	330.00	615.00	-285.00
6. AON CORP COM	11/11/2008	01/26/2009	237.00	247.00	-10.00
16. CLOROX CO COM	10/16/2008	01/26/2009	780.00	940.00	-160.00
18. CULLEN FROST BANKERS INC COM W/RIGHTS ATTACHED E	12/12/2008	01/26/2009	724.00	878.00	-154.00
12. DREAMWORKS ANIMATION INC	07/25/2008	01/26/2009	269.00	381.00	-112.00
17. KANSAS CITY SOUTHN INDS INC COM	01/07/2009	01/26/2009	292.00	410.00	-118.00
12. AON CORP COM	11/21/2008	01/27/2009	453.00	481.00	-28.00
9. AON CORP COM	10/29/2008	01/27/2009	341.00	357.00	-16.00
9. AON CORP COM	10/29/2008	01/28/2009	342.00	348.00	-6.00
22. BROADCOM CORP COM	12/17/2008	01/28/2009	397.00	412.00	-15.00
10. AON CORP COM	10/21/2008	01/29/2009	378.00	379.00	-1.00
21. ARMSTRONG WORLD INDUSTRIES-WI COM	06/26/2008	01/29/2009	370.00	668.00	-298.00
49. DELTA AIR LINES INC	01/20/2009	01/29/2009	390.00	578.00	-188.00
40. KIRBY CORP	01/06/2009	01/29/2009	1,006.00	1,670.00	-664.00
43. PENN VA CORP COM W/RTS ATTACHED EXP 02/11/2008	01/09/2009	01/29/2009	948.00	2,298.00	-1,350.00
10. COVIDIEN LTD COM	09/15/2008	01/29/2009	386.00	560.00	-174.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. AON CORP COM	10/22/2008	01/30/2009	378.00	374.00	4.00
11. AON CORP COM	10/24/2008	01/30/2009	406.00	386.00	20.00
50. DELTA AIR LINES INC	01/22/2009	01/30/2009	346.00	588.00	-242.00
11. FLIR SYS INC COM W/RIGHTS ATTACHED EXPIR	11/11/2008	01/30/2009	276.00	319.00	-43.00
11. CORN PRODS INTL INC COM	01/06/2009	02/02/2009	236.00	337.00	-101.00
94. DELTA AIR LINES INC	01/27/2009	02/02/2009	655.00	923.00	-268.00
12. RESEARCH IN MOTION COM	01/20/2009	02/02/2009	671.00	626.00	45.00
10. DREAMWORKS ANIMATION INC	07/25/2008	02/03/2009	213.00	318.00	-105.00
30. PERRIGO CO COM W/RTS EXP 04/10/2006	10/16/2008	02/03/2009	731.00	1,078.00	-347.00
71. QUALCOMM INC COM W/RTS ATTACHED	09/11/2008	02/03/2009	2,413.00	3,567.00	-1,154.00
4. SHERWIN WILLIAMS CO COM W/RTS ATTACHED EXP 04/22/20	11/19/2008	02/03/2009	187.00	214.00	-27.00
9. COPART INC COM	09/09/2008	02/04/2009	224.00	401.00	-177.00
9. DOLLAR TREE INC	07/31/2008	02/05/2009	317.00	344.00	-27.00
15. FLIR SYS INC COM W/RIGHTS ATTACHED EXPIR	10/24/2008	02/05/2009	333.00	419.00	-86.00
5. LABORATORY CORP AMER HLDGS COM NEW	11/10/2008	02/05/2009	297.00	317.00	-20.00
25. FLIR SYS INC COM W/RIGHTS ATTACHED EXPIR	12/29/2008	02/06/2009	565.00	698.00	-133.00
5. FIRST SOLAR INC COM	06/11/2008	02/06/2009	731.00	1,322.00	-591.00
3. GOLDMAN SACHS GRP INC COM	01/05/2009	02/06/2009	285.00	265.00	20.00
6. SHERWIN WILLIAMS CO COM W/RTS ATTACHED EXP 04/22/20	11/21/2008	02/06/2009	294.00	307.00	-13.00
13. BURGER KING HOLDINGS INC COM	08/11/2008	02/09/2009	257.00	380.00	-123.00
16. HASBRO INC COM	07/31/2008	02/09/2009	405.00	622.00	-217.00
5. PRAXAIR INC COM	07/23/2008	02/09/2009	350.00	477.00	-127.00
7. ALLIANCE DATA SYSTEMS CORP COM	05/07/2008	02/10/2009	284.00	408.00	-124.00
15. E M C CORP MASS COM	10/31/2008	02/10/2009	181.00	174.00	7.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 8. ESSEX PPTY TR COM	10/03/2008	02/10/2009	490.00	923.00	-433.00
2. FIRST SOLAR INC COM	06/06/2008	02/10/2009	301.00	514.00	-213.00
1. GOOGLE INC CL A	07/23/2008	02/10/2009	366.00	487.00	-121.00
11. LOCKHEED MARTIN CORP COM	12/12/2008	02/10/2009	860.00	845.00	15.00
11. LOCKHEED MARTIN CORP COM	12/11/2008	02/11/2009	856.00	836.00	20.00
37. PROCTER & GAMBLE CO COM	11/11/2008	02/11/2009	1,886.00	2,449.00	-563.00
31. RESEARCH IN MOTION COM	01/20/2009	02/11/2009	1,473.00	1,545.00	-72.00
23. COPART INC COM	09/26/2008	02/12/2009	586.00	973.00	-387.00
27. HASBRO INC COM	09/09/2008	02/12/2009	641.00	1,033.00	-392.00
2. ALLIANCE DATA SYSTEMS CORP COM	05/07/2008	02/13/2009	73.00	117.00	-44.00
7. HARRIS CORP DEL COM	05/27/2008	02/13/2009	298.00	445.00	-147.00
15. JP MORGAN CHASE & CO COM	10/10/2008	02/13/2009	371.00	585.00	-214.00
10. DOLLAR TREE INC	07/31/2008	02/17/2009	343.00	375.00	-32.00
13. FMC TECHNOLOGIES INC COM	08/25/2008	02/17/2009	364.00	684.00	-320.00
1. FIRST SOLAR INC COM	06/06/2008	02/17/2009	134.00	257.00	-123.00
14. JP MORGAN CHASE & CO COM	11/10/2008	02/17/2009	313.00	540.00	-227.00
28. DREAMWORKS ANIMATION INC	09/29/2008	02/18/2009	571.00	877.00	-306.00
3. FIRST SOLAR INC COM	02/20/2008	02/18/2009	389.00	635.00	-246.00
6. INTEGRYS ENERGY GROUP INCORPORATED	09/12/2008	02/18/2009	239.00	318.00	-79.00
30. JP MORGAN CHASE & CO COM	11/25/2008	02/18/2009	638.00	1,020.00	-382.00
25. DREAMWORKS ANIMATION INC	08/19/2008	02/19/2009	503.00	758.00	-255.00
5. ALLIANCE DATA SYSTEMS CORP COM	09/18/2008	02/20/2009	147.00	272.00	-125.00
52. BROADCOM CORP COM	12/17/2008	02/20/2009	849.00	967.00	-118.00
9. GOLDMAN SACHS GRP INC COM	01/05/2009	02/20/2009	730.00	766.00	-36.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. KEYCORP NEW COM	09/19/2008	02/20/2009	5.00	15.00	-10.00
6. MCDONALDS CORP COM	08/11/2008	02/20/2009	328.00	399.00	-71.00
10. WABTEC COM	08/05/2008	02/20/2009	255.00	536.00	-281.00
41. BROADCOM CORP COM	12/19/2008	02/23/2009	641.00	731.00	-90.00
8. GOLDMAN SACHS GRP INC COM	01/02/2009	02/23/2009	666.00	666.00	
2. GOOGLE INC CL A	09/19/2008	02/23/2009	671.00	936.00	-265.00
5. HANOVER INS GROUP INC	09/19/2008	02/23/2009	174.00	258.00	-84.00
7. INTEGRYS ENERGY GROUP INCORPORATED	07/14/2008	02/23/2009	256.00	364.00	-108.00
22. KING PHARMACEUTICALS INC COM	07/23/2008	02/23/2009	178.00	253.00	-75.00
41. BROADCOM CORP COM	12/23/2008	02/24/2009	641.00	707.00	-66.00
18. CENTERPOINT ENERGY INC	12/08/2008	02/25/2009	195.00	232.00	-37.00
8. FTI CONSULTING INC COM	06/25/2008	02/25/2009	300.00	530.00	-230.00
3. GOOGLE INC CL A	09/19/2008	02/25/2009	1,022.00	1,339.00	-317.00
4. FIRST SOLAR INC COM	10/31/2008	02/26/2009	416.00	581.00	-165.00
7. HUMANA INC COM RTS EXP 02/14/2006	02/05/2009	02/26/2009	180.00	305.00	-125.00
8. INTEGRYS ENERGY GROUP INCORPORATED	07/14/2008	02/26/2009	221.00	416.00	-195.00
19. UNITEDHEALTH GRP INC COM	02/13/2009	02/26/2009	388.00	549.00	-161.00
57. E M C CORP MASS COM	12/12/2008	02/27/2009	602.00	631.00	-29.00
5. GENENTECH INC COM	02/20/2009	02/27/2009	428.00	425.00	3.00
24. HUMANA INC COM RTS EXP 02/14/2006	02/18/2009	02/27/2009	586.00	1,002.00	-416.00
13. LINCOLN ELEC HLDS INC COM	12/18/2008	02/27/2009	398.00	834.00	-436.00
25. CENTERPOINT ENERGY INC	01/16/2009	03/02/2009	251.00	319.00	-68.00
16. CABOT OIL & GAS CORP CL A	01/29/2009	03/03/2009	309.00	461.00	-152.00
26. COMPANHIA VALE DO RIO DOCE SPONSORED ADR	02/09/2009	03/03/2009	321.00	457.00	-136.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Continuation Sheet for Schedule D
(Form 1041)

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15. CONTINENTAL AIRLINES INC CL B W/RIGHTS ATTACHED	01/09/2009	03/03/2009	124.00	309.00	-185.00
6. HARRIS CORP DEL COM	05/27/2008	03/03/2009	200.00	381.00	-181.00
12. CABOT OIL & GAS CORP CL A	01/29/2009	03/04/2009	251.00	346.00	-95.00
24. COMPANHIA VALE DO RIO DOCE SPONSORED ADR	02/09/2009	03/04/2009	325.00	404.00	-79.00
9. DEVRY INC DEL COM	02/03/2009	03/04/2009	417.00	512.00	-95.00
55. E M C CORP MASS COM	12/12/2008	03/04/2009	579.00	591.00	-12.00
12. INTEGRYS ENERGY GROUP INCORPORATED	07/14/2008	03/04/2009	257.00	625.00	-368.00
38. TAIWAN SEMICONDUCTOR MFG CO-ADR	02/09/2009	03/04/2009	304.00	328.00	-24.00
28. COVIDIEN LTD COM	09/17/2008	03/04/2009	839.00	1,568.00	-729.00
11. CABOT OIL & GAS CORP CL A	02/05/2009	03/05/2009	231.00	313.00	-82.00
49. COMPANHIA VALE DO RIO DOCE SPONSORED ADR	02/09/2009	03/05/2009	637.00	824.00	-187.00
13. INTEGRYS ENERGY GROUP INCORPORATED	07/16/2008	03/05/2009	270.00	671.00	-401.00
38. KING PHARMACEUTICALS INC COM	07/23/2008	03/05/2009	236.00	434.00	-198.00
14. COVIDIEN LTD COM	09/19/2008	03/05/2009	397.00	774.00	-377.00
31. CENTERPOINT ENERGY INC	12/16/2008	03/06/2009	279.00	381.00	-102.00
52. COMPANHIA VALE DO RIO DOCE SPONSORED ADR	02/20/2009	03/06/2009	654.00	789.00	-135.00
46. CONTINENTAL AIRLINES INC CL B W/RIGHTS ATTACHED	02/04/2009	03/06/2009	324.00	784.00	-460.00
11. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	09/09/2008	03/06/2009	253.00	344.00	-91.00
15. COVIDIEN LTD COM	10/13/2008	03/06/2009	423.00	745.00	-322.00
4. URS CORP NEW COM	08/26/2008	03/09/2009	141.00	185.00	-44.00
16. WABTEC COM	10/22/2008	03/09/2009	376.00	790.00	-414.00
6. HARRIS CORP DEL COM	05/27/2008	03/10/2009	181.00	381.00	-200.00
52. CENTERPOINT ENERGY INC	11/24/2008	03/11/2009	484.00	612.00	-128.00
51. CENTERPOINT ENERGY INC	11/21/2008	03/12/2009	478.00	584.00	-106.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. DOLLAR TREE INC	07/25/2008	03/12/2009	203.00	186.00	17.00
7. GENENTECH INC COM	02/20/2009	03/12/2009	658.00	595.00	63.00
8. KOHLS CORP COM	08/11/2008	03/12/2009	305.00	413.00	-108.00
27. BROWN & BROWN INC COM	01/07/2009	03/13/2009	444.00	571.00	-127.00
15. FAMILY DLR STORES INC COM	11/17/2008	03/13/2009	470.00	406.00	64.00
39. TAIWAN SEMICONDUCTOR MFG CO-ADR	02/09/2009	03/13/2009	345.00	328.00	17.00
45. BROWN & BROWN INC COM	11/24/2008	03/16/2009	758.00	892.00	-134.00
10. COVANTA HOLDING CORP COM	10/13/2008	03/16/2009	138.00	198.00	-60.00
7. DEVRY INC DEL COM	01/28/2009	03/16/2009	302.00	386.00	-84.00
8. DEVRY INC DEL COM	12/12/2008	03/17/2009	374.00	439.00	-65.00
9. KOHLS CORP COM	08/11/2008	03/17/2009	350.00	465.00	-115.00
14. SAIC INC COM	12/16/2008	03/17/2009	242.00	265.00	-23.00
12. CORN PRODS INTL INC COM	01/09/2009	03/18/2009	230.00	360.00	-130.00
12. GENENTECH INC COM	03/04/2009	03/18/2009	1,124.00	997.00	127.00
9. KOHLS CORP COM	08/11/2008	03/18/2009	375.00	465.00	-90.00
25. QUANTA SVCS INC COM W/RIGHTS ATTACHED EXP 3/8/2	01/21/2009	03/18/2009	542.00	471.00	71.00
8. RANGE RES CORP COM	01/07/2009	03/18/2009	328.00	294.00	34.00
1. TAIWAN SEMICONDUCTOR MFG CO-ADR	02/09/2009	03/18/2009	9.00	8.00	1.00
7. HARRIS CORP DEL COM	08/19/2008	03/19/2009	229.00	401.00	-172.00
6. OCCIDENTAL PETE CORP COM	12/17/2008	03/19/2009	359.00	348.00	11.00
5. OCCIDENTAL PETE CORP COM	12/17/2008	03/19/2009	297.00	283.00	14.00
30. E M C CORP MASS COM	12/11/2008	03/20/2009	347.00	319.00	28.00
14. HARRIS CORP DEL COM	01/21/2009	03/20/2009	432.00	555.00	-123.00
30. BEST BUY INC COM	02/17/2009	03/23/2009	985.00	902.00	83.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 26. SAIC INC COM	11/20/2008	03/23/2009	445.00	475.00	-30.00
14. BLOCK H & R INC COM W/RTS EXP 3/25/2008	09/08/2008	03/24/2009	231.00	342.00	-111.00
26. CORN PRODS INTL INC COM	01/29/2009	03/24/2009	546.00	696.00	-150.00
53. SAIC INC COM	12/01/2008	03/24/2009	928.00	948.00	-20.00
37. TAIWAN SEMICONDUCTOR MFG CO-ADR	02/09/2009	03/24/2009	339.00	306.00	33.00
20. CONSTELLATION BRANDS INC CL A	08/15/2008	03/25/2009	252.00	440.00	-188.00
3. KOHLS CORP COM	08/11/2008	03/26/2009	129.00	155.00	-26.00
7. MCDONALDS CORP COM	08/11/2008	03/26/2009	389.00	466.00	-77.00
7425.876 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	08/11/2008	03/26/2009	75,001.00	78,269.00	-3,268.00
10. RALCORP HLDGS INC NEW COM	02/23/2009	03/26/2009	530.00	611.00	-81.00
32. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/17/2008	03/26/2009	291.00	262.00	29.00
52. CONSTELLATION BRANDS INC CL A	09/04/2008	03/27/2009	620.00	1,111.00	-491.00
5. ITRON INC COM	05/07/2008	03/27/2009	251.00	462.00	-211.00
17. LABORATORY CORP AMER HLDGS COM NEW	02/23/2009	03/27/2009	1,000.00	1,069.00	-69.00
5. PRECISION CASTPARTS CORP COM	02/09/2009	03/27/2009	318.00	340.00	-22.00
11. WISCONSIN ENERGY CORP COM	02/04/2009	03/27/2009	446.00	500.00	-54.00
28. E M C CORP MASS COM	10/29/2008	03/30/2009	311.00	295.00	16.00
6. TELEFLEX INC COM W/RIGHTS ATTACHED EXPIRING	09/10/2008	03/30/2009	230.00	396.00	-166.00
7. DOLLAR TREE INC	07/25/2008	03/31/2009	309.00	260.00	49.00
14. NETEASE COM INC SPONSORED ADR	03/31/2009	03/31/2009	375.00	350.00	25.00
3. PRECISION CASTPARTS CORP COM	02/09/2009	03/31/2009	182.00	204.00	-22.00
5. URS CORP NEW COM	08/26/2008	03/31/2009	197.00	231.00	-34.00
15. BLOCK H & R INC COM W/RTS EXP 3/25/2008	08/04/2008	04/01/2009	268.00	360.00	-92.00
9. MAGNA INTL INC CL A	02/10/2009	04/01/2009	236.00	273.00	-37.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041)

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 16. PEOPLE'S UNITED FINANCIAL INC	03/31/2009	04/02/2009	289.00	281.00	8.00
19. QUANTA SVCS INC COM W/RIGHTS ATTACHED EXP 3/8/2	03/31/2009	04/02/2009	440.00	394.00	46.00
21. SYBASE INC COM	03/31/2009	04/02/2009	658.00	641.00	17.00
9. WISCONSIN ENERGY CORP COM	02/11/2009	04/02/2009	369.00	402.00	-33.00
9. DIGITAL RLTY TR INC	02/10/2009	04/06/2009	339.00	309.00	30.00
8. MAGNA INTL INC CL A	01/27/2009	04/06/2009	256.00	238.00	18.00
38. OCCIDENTAL PETE CORP COM	03/31/2009	04/06/2009	2,171.00	2,078.00	93.00
32. APOLLO GRP INC CL A	03/31/2009	04/07/2009	2,032.00	2,270.00	-238.00
40. DIRECTV GRP INC/THE COM	03/31/2009	04/07/2009	959.00	923.00	36.00
38. NETEASE COM INC SPONSORED ADR	03/16/2009	04/07/2009	1,059.00	817.00	242.00
18. OCCIDENTAL PETE CORP COM	03/09/2009	04/07/2009	1,012.00	890.00	122.00
14. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	03/31/2009	04/07/2009	500.00	485.00	15.00
16. APOLLO GRP INC CL A	10/30/2008	04/08/2009	998.00	1,049.00	-51.00
35. BLOCK H & R INC COM W/RTS EXP 3/25/2008	06/03/2008	04/08/2009	571.00	830.00	-259.00
31. NEW YORK CMNTY BANCORP INC COM	09/26/2008	04/08/2009	317.00	578.00	-261.00
48. UNITEDHEALTH GRP INC COM	03/31/2009	04/08/2009	1,093.00	1,302.00	-209.00
11. BROADCOM CORP COM	04/08/2009	04/09/2009	253.00	240.00	13.00
33. FOREST LABS CL A	01/28/2009	04/09/2009	712.00	835.00	-123.00
30. KEYCORP NEW COM	09/23/2008	04/09/2009	218.00	448.00	-230.00
12. RALCORP HLDGS INC NEW COM	01/15/2009	04/09/2009	636.00	695.00	-59.00
34. BLOCK H & R INC COM W/RTS EXP 3/25/2008	06/10/2008	04/13/2009	528.00	791.00	-263.00
11. PRECISION CASTPARTS CORP COM	03/31/2009	04/13/2009	669.00	714.00	-45.00
12. RALCORP HLDGS INC NEW COM	03/31/2009	04/13/2009	636.00	659.00	-23.00
20. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/25/2008	04/13/2009	459.00	560.00	-101.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. TERADATA CORP COM	03/31/2009	04/14/2009	258.00	280.00	-22.00
10. ABERCROMBIE & FITCH CO CL A	04/07/2009	04/15/2009	227.00	245.00	-18.00
16. BURGER KING HOLDINGS INC COM	07/23/2008	04/15/2009	302.00	439.00	-137.00
127. E M C CORP MASS COM	11/11/2008	04/15/2009	1,586.00	1,297.00	289.00
21. FOREST LABS CL A	12/16/2008	04/15/2009	449.00	507.00	-58.00
15. GILEAD SCIENCES INC COM	03/31/2009	04/15/2009	671.00	695.00	-24.00
44. BLOCK H & R INC COM W/RTS EXP 3/25/2008	03/31/2009	04/16/2009	708.00	885.00	-177.00
25. FOREST LABS CL A	03/31/2009	04/16/2009	545.00	575.00	-30.00
5. GILEAD SCIENCES INC COM	03/31/2009	04/16/2009	222.00	232.00	-10.00
26. MEMC ELECTR MATLS INC COM	04/07/2009	04/16/2009	399.00	445.00	-46.00
9. BMC SOFTWARE INC COM RTS EXP 05/12/2005	03/31/2009	04/17/2009	294.00	300.00	-6.00
29. BURGER KING HOLDINGS INC COM	07/08/2008	04/17/2009	537.00	780.00	-243.00
5. SYBASE INC COM	03/31/2009	04/17/2009	157.00	153.00	4.00
9. SYNOPSIS INC COM	06/02/2008	04/17/2009	189.00	235.00	-46.00
23. WISCONSIN ENERGY CORP COM	03/31/2009	04/17/2009	922.00	973.00	-51.00
9. AMETEK INC (NEW) COM	05/16/2008	04/20/2009	306.00	464.00	-158.00
10. NII HLDGS INC CL B	03/31/2009	04/20/2009	137.00	316.00	-179.00
13. AMETEK INC (NEW) COM	06/17/2008	04/21/2009	404.00	664.00	-260.00
16. MEMC ELECTR MATLS INC COM	03/31/2009	04/21/2009	221.00	266.00	-45.00
29. NII HLDGS INC CL B	03/31/2009	04/21/2009	408.00	438.00	-30.00
17. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	03/31/2009	04/21/2009	554.00	526.00	28.00
4. TRANSOCEAN LTD.	07/08/2008	04/21/2009	259.00	568.00	-309.00
56. BURGER KING HOLDINGS INC COM	03/31/2009	04/22/2009	984.00	1,384.00	-400.00
7. CEPHALON INC COM	07/22/2008	04/22/2009	456.00	517.00	-61.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 13. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	03/31/2009	04/22/2009	422.00	402.00	20.00
22. COMMERCE BANCSHARES INC COM	03/31/2009	04/23/2009	718.00	831.00	-113.00
13. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	11/04/2008	04/23/2009	427.00	382.00	45.00
12. PRAXAIR INC COM	07/23/2008	04/23/2009	829.00	1,133.00	-304.00
23. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/17/2008	04/23/2009	210.00	188.00	22.00
71. MEMC ELECTR MATLS INC COM	03/30/2009	04/24/2009	1,118.00	1,164.00	-46.00
48. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	11/05/2008	04/24/2009	1,605.00	1,387.00	218.00
6. PRAXAIR INC COM	07/03/2008	04/24/2009	432.00	562.00	-130.00
22. RANGE RES CORP COM	03/31/2009	04/24/2009	917.00	835.00	82.00
28. SEPRACOR INC COM	02/12/2009	04/24/2009	371.00	461.00	-90.00
21. ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/2009	01/22/2009	04/27/2009	908.00	1,106.00	-198.00
44. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	11/11/2008	04/27/2009	1,437.00	1,194.00	243.00
44. PETROLEO BRASILEIRO SA PETROBRAS PETROLEO BRASILEI	01/20/2009	04/27/2009	1,403.00	1,081.00	322.00
14. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/25/2008	04/27/2009	320.00	386.00	-66.00
29. ALBERTO-CULVER CO	03/31/2009	04/28/2009	627.00	721.00	-94.00
27. BERKLEY W R CORP COM W/ RTS ATTACHED EXP	12/30/2008	04/28/2009	641.00	817.00	-176.00
15. TELEFLEX INC COM W/RIGHTS ATTACHED EXPIRING	09/08/2008	04/28/2009	630.00	985.00	-355.00
18. BEST BUY INC COM	03/09/2009	04/29/2009	673.00	509.00	164.00
4. CME GROUP INC	03/31/2009	04/29/2009	900.00	957.00	-57.00
9. CME GROUP INC	03/19/2009	04/29/2009	1,995.00	2,034.00	-39.00
25. RANGE RES CORP COM	01/21/2009	04/29/2009	1,070.00	824.00	246.00
23. TELEFLEX INC COM W/RIGHTS ATTACHED EXPIRING	03/31/2009	04/29/2009	994.00	1,147.00	-153.00
60. ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/2009	03/31/2009	04/30/2009	2,495.00	3,018.00	-523.00
11. BEST BUY INC COM	03/09/2009	04/30/2009	424.00	273.00	151.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
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2009

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Internal Revenue Service

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KATHRYN & W H SCHWARZSCHILD

Employer identification number

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3. ITRON INC COM	03/31/2009	04/30/2009	140.00	144.00	-4.00
6. PRAXAIR INC COM	07/03/2008	04/30/2009	446.00	562.00	-116.00
59. AON CORP COM	03/31/2009	05/01/2009	2,158.00	2,286.00	-128.00
9. SILGAN HLDGS INC COM	04/01/2009	05/01/2009	419.00	475.00	-56.00
61. WENDY'S/ARBY'S GROUP INC COM CL A	04/24/2009	05/01/2009	278.00	333.00	-55.00
99. SEPRACOR INC COM	04/08/2009	05/04/2009	1,350.00	1,494.00	-144.00
10. SMUCKER J M CO COM	12/23/2008	05/04/2009	410.00	417.00	-7.00
29. HOLOGIC INC	05/04/2009	05/05/2009	354.00	450.00	-96.00
21. KOHLS CORP COM	08/12/2008	05/05/2009	925.00	1,053.00	-128.00
34. MCDONALDS CORP COM	08/11/2008	05/05/2009	1,813.00	2,234.00	-421.00
14. STATE STR CORP COM	06/11/2008	05/05/2009	494.00	960.00	-466.00
13. SYNOPSIS INC COM	06/02/2008	05/05/2009	282.00	333.00	-51.00
44. KOHLS CORP COM	08/12/2008	05/06/2009	1,892.00	2,095.00	-203.00
38. MCDONALDS CORP COM	10/10/2008	05/06/2009	2,052.00	2,290.00	-238.00
32. STATE STR CORP COM	04/21/2009	05/06/2009	1,169.00	1,199.00	-30.00
34. TRAVELERS COMPANIES, INC	11/17/2008	05/06/2009	1,329.00	1,400.00	-71.00
83. EXCO RESOURCES INC COM	04/17/2009	05/07/2009	1,071.00	1,027.00	44.00
32. HEWLETT-PACKARD CO COM	09/16/2008	05/07/2009	1,099.00	1,519.00	-420.00
57. HOLOGIC INC	04/30/2009	05/07/2009	690.00	859.00	-169.00
40. KOHLS CORP COM	01/21/2009	05/07/2009	1,778.00	1,407.00	371.00
40. RESEARCH IN MOTION COM	04/14/2009	05/07/2009	2,978.00	2,556.00	422.00
22. SILGAN HLDGS INC COM	04/13/2009	05/07/2009	999.00	1,137.00	-138.00
41. TRAVELERS COMPANIES, INC	03/31/2009	05/07/2009	1,557.00	1,649.00	-92.00
18. TRAVELERS COMPANIES, INC	03/31/2009	05/07/2009	685.00	708.00	-23.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 345. WENDY'S/ARBY'S GROUP INC COM CL A	03/31/2009	05/07/2009	1,508.00	1,740.00	-232.00
69. ARCELORMITTAL-NY REGISTERED	05/05/2009	05/08/2009	1,957.00	1,874.00	83.00
62. HCC INS HLDGS INC COM	04/13/2009	05/08/2009	1,485.00	1,546.00	-61.00
91. NEW YORK CMNTY BANCORP INC COM	03/31/2009	05/08/2009	1,013.00	1,246.00	-233.00
23. TRAVELERS COMPANIES, INC	10/29/2008	05/08/2009	872.00	889.00	-17.00
23. AEROPOSTALE INC COM	04/21/2009	05/11/2009	787.00	672.00	115.00
51. ARCELORMITTAL-NY REGISTERED	05/01/2009	05/11/2009	1,388.00	1,268.00	120.00
46. ARCELORMITTAL-NY REGISTERED	05/01/2009	05/12/2009	1,223.00	1,092.00	131.00
8. AEROPOSTALE INC COM	03/31/2009	05/14/2009	269.00	212.00	57.00
6. PRICELINE COM INC	05/01/2009	05/14/2009	598.00	561.00	37.00
27. SANDISK CORP COM	04/17/2009	05/14/2009	349.00	406.00	-57.00
7. BMC SOFTWARE INC COM RTS EXP 05/12/2005	03/31/2009	05/15/2009	241.00	234.00	7.00
17. DPL INC COM	10/08/2008	05/15/2009	363.00	394.00	-31.00
17. IAC / INTERACTIVECORP COM	04/07/2009	05/15/2009	253.00	273.00	-20.00
15. MYLAN LABS INC COM RTS EXP 11/14/2006	04/24/2009	05/15/2009	192.00	216.00	-24.00
8. PLAINS ALL AMER PIPELINE LP COM	11/03/2008	05/15/2009	335.00	329.00	6.00
8. AEROPOSTALE INC COM	03/31/2009	05/18/2009	256.00	209.00	47.00
100. ARCHER DANIELS MIDLAND CO COM	09/30/2008	05/18/2009	2,468.00	2,133.00	335.00
43. LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING	04/29/2009	05/18/2009	851.00	893.00	-42.00
10. ORACLE CORP COM	03/31/2009	05/18/2009	188.00	183.00	5.00
10. QUALCOMM INC COM W/RTS ATTACHED	07/09/2008	05/18/2009	419.00	476.00	-57.00
8. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	03/06/2009	05/19/2009	393.00	422.00	-29.00
13. NSTAR COM	06/12/2008	05/19/2009	381.00	450.00	-69.00
12. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	03/31/2009	05/20/2009	592.00	619.00	-27.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

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KATHRYN & W H SCHWARZSCHILD

Employer identification number

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	03/31/2009	05/20/2009	344.00	360.00	-16.00
101. CMS ENERGY CORP COM	04/02/2009	05/20/2009	1,140.00	1,190.00	-50.00
9. CEPHALON INC COM	07/18/2008	05/20/2009	561.00	664.00	-103.00
17. IAC / INTERACTIVECORP COM	04/07/2009	05/20/2009	264.00	263.00	1.00
18. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	03/06/2009	05/21/2009	882.00	921.00	-39.00
1. BRINKS CO	03/31/2009	05/21/2009	27.00	27.00	
24. NETAPP INC	02/13/2009	05/21/2009	430.00	389.00	41.00
24. NSTAR COM	03/31/2009	05/21/2009	697.00	792.00	-95.00
11. SYNOPSIS INC COM	05/23/2008	05/21/2009	213.00	276.00	-63.00
34. BERKLEY W R CORP COM W/ RTS ATTACHED EXP	03/31/2009	05/22/2009	756.00	912.00	-156.00
5. CF INDS HLDGS INC	04/14/2009	05/22/2009	407.00	371.00	36.00
5. CEPHALON INC COM	07/18/2008	05/26/2009	292.00	369.00	-77.00
11. CF INDS HLDGS INC	04/15/2009	05/27/2009	899.00	815.00	84.00
60. CMS ENERGY CORP COM	03/05/2009	05/27/2009	662.00	632.00	30.00
17. CEPHALON INC COM	03/31/2009	05/27/2009	959.00	1,176.00	-217.00
5. CF INDS HLDGS INC	04/15/2009	05/28/2009	392.00	369.00	23.00
20. DPL INC COM	10/08/2008	05/28/2009	426.00	463.00	-37.00
152. KEYCORP NEW COM	05/12/2009	05/28/2009	729.00	1,315.00	-586.00
20. BRINKS CO	03/31/2009	05/29/2009	527.00	518.00	9.00
21. CF INDS HLDGS INC	04/24/2009	05/29/2009	1,630.00	1,508.00	122.00
11. FAMILY DLR STORES INC COM	03/31/2009	05/29/2009	328.00	370.00	-42.00
78. GIANT INTERACTIVE GROUP ADR	04/29/2009	05/29/2009	587.00	684.00	-97.00
28. GOLDMAN SACHS GRP INC COM	05/04/2009	05/29/2009	4,000.00	3,436.00	564.00
6. NUSTAR ENERGY LP	02/17/2009	05/29/2009	327.00	284.00	43.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

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Internal Revenue Service

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 36. DIRECTV GRP INC/THE COM	05/04/2009	06/01/2009	826.00	850.00	-24.00
9. MAGNA INTL INC CL A	01/27/2009	06/01/2009	302.00	267.00	35.00
14. MARTIN MARIETTA MATLS INC COM	03/31/2009	06/01/2009	1,206.00	1,115.00	91.00
5. PLAINS ALL AMER PIPELINE LP COM	11/03/2008	06/02/2009	223.00	206.00	17.00
8. TIME WARNER INC	05/12/2009	06/02/2009	197.00	189.00	8.00
52. WAL MART STORES INC COM	03/31/2009	06/02/2009	2,606.00	2,721.00	-115.00
16. HEWITT ASSOC INC CL A	03/31/2009	06/03/2009	467.00	489.00	-22.00
14. NATIONAL OILWELL INC COM	08/21/2008	06/03/2009	515.00	1,100.00	-585.00
13. NETAPP INC	03/18/2009	06/03/2009	241.00	209.00	32.00
12. NATIONAL OILWELL INC COM	08/25/2008	06/04/2009	469.00	919.00	-450.00
29. CALPINE CORP COM	04/14/2009	06/05/2009	367.00	266.00	101.00
11. FAMILY DLR STORES INC COM	03/31/2009	06/05/2009	338.00	335.00	3.00
12. HANSEN NAT CORP COM	05/19/2009	06/05/2009	392.00	496.00	-104.00
13. HEWITT ASSOC INC CL A	02/05/2009	06/05/2009	381.00	379.00	2.00
23. NATIONAL OILWELL INC COM	09/18/2008	06/05/2009	877.00	1,614.00	-737.00
6. NUSTAR ENERGY LP	03/31/2009	06/08/2009	327.00	281.00	46.00
47. DPL INC COM	03/31/2009	06/09/2009	1,024.00	1,077.00	-53.00
36. HEWITT ASSOC INC CL A	02/26/2009	06/09/2009	1,051.00	1,019.00	32.00
32. NATIONAL OILWELL INC COM	03/31/2009	06/09/2009	1,225.00	1,290.00	-65.00
14. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	07/31/2008	06/09/2009	337.00	412.00	-75.00
21. AECOM TECHNOLOGY CORP COM	05/12/2009	06/10/2009	630.00	598.00	32.00
13. BMC SOFTWARE INC COM RTS EXP 05/12/2005	03/20/2009	06/10/2009	451.00	370.00	81.00
11. CAMECO CORP COM	05/13/2009	06/10/2009	305.00	276.00	29.00
34. NATIONAL OILWELL INC COM	03/31/2009	06/10/2009	1,295.00	862.00	433.00

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
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2009

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 44. ORACLE CORP COM	03/31/2009	06/10/2009	905.00	803.00	102.00
32. DPL INC COM	03/31/2009	06/11/2009	709.00	681.00	28.00
6. NUSTAR ENERGY LP	03/31/2009	06/11/2009	330.00	278.00	52.00
13. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	07/31/2008	06/11/2009	320.00	382.00	-62.00
1315.723 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	08/11/2008	06/11/2009	13,565.00	13,868.00	-303.00
6. PLAINS ALL AMER PIPELINE LP COM	11/06/2008	06/11/2009	260.00	243.00	17.00
828.346 ROWE T PRICE REAL ESTATE FD COM	07/18/2008	06/11/2009	8,358.00	14,977.00	-6,619.00
25. TERADATA CORP COM	05/15/2009	06/11/2009	602.00	536.00	66.00
12. CAMECO CORP COM	05/01/2009	06/12/2009	326.00	293.00	33.00
9. FAMILY DLR STORES INC COM	11/07/2008	06/12/2009	261.00	237.00	24.00
14. PEOPLE'S UNITED FINANCIAL INC	01/23/2009	06/12/2009	218.00	239.00	-21.00
13. AECOM TECHNOLOGY CORP COM	04/28/2009	06/15/2009	384.00	342.00	42.00
22. CAMECO CORP COM	05/01/2009	06/15/2009	562.00	537.00	25.00
13. FAMILY DLR STORES INC COM	12/19/2008	06/15/2009	365.00	338.00	27.00
20. HANSEN NAT CORP COM	05/19/2009	06/15/2009	608.00	818.00	-210.00
15. TERADATA CORP COM	05/15/2009	06/15/2009	346.00	254.00	92.00
10. UNIVERSAL HLTH SVCS INC CL B COM	05/18/2009	06/15/2009	512.00	488.00	24.00
11. AECOM TECHNOLOGY CORP COM	04/28/2009	06/16/2009	329.00	280.00	49.00
45. CBS CORP CL B COM	06/03/2009	06/16/2009	348.00	391.00	-43.00
28. CAMECO CORP COM	05/05/2009	06/16/2009	707.00	678.00	29.00
19. MICROSOFT CORP COM	04/24/2009	06/16/2009	450.00	387.00	63.00
30. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	07/31/2008	06/16/2009	718.00	804.00	-86.00
8. UNITED PARCEL SERVICE CL B COM	04/21/2009	06/16/2009	388.00	438.00	-50.00
10. FMC TECHNOLOGIES INC COM	08/25/2008	06/17/2009	372.00	514.00	-142.00

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
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1a 23. HANSEN NAT CORP COM	05/22/2009	06/17/2009	702.00	925.00	-223.00
56. LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING	03/31/2009	06/17/2009	1,054.00	1,024.00	30.00
76. LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING	02/13/2009	06/17/2009	1,459.00	1,371.00	88.00
20. TERADATA CORP COM	03/05/2009	06/17/2009	456.00	326.00	130.00
15. UNITED PARCEL SERVICE CL B COM	04/20/2009	06/17/2009	715.00	805.00	-90.00
13. UNITED PARCEL SERVICE CL B COM	04/23/2009	06/17/2009	628.00	686.00	-58.00
5. MILLICOM INTL CELLULAR S.A.	04/28/2009	06/17/2009	274.00	228.00	46.00
12. AECOM TECHNOLOGY CORP COM	02/27/2009	06/18/2009	353.00	288.00	65.00
8. ENSCO INTL INC COM	06/02/2009	06/18/2009	299.00	330.00	-31.00
6. ENSCO INTL INC COM	04/24/2009	06/18/2009	224.00	196.00	28.00
58. LAS VEGAS SANDS CORP	04/30/2009	06/18/2009	448.00	497.00	-49.00
75. LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING	03/19/2009	06/18/2009	1,386.00	1,319.00	67.00
15. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	07/22/2008	06/18/2009	378.00	396.00	-18.00
27. PETROHAWK ENERGY CORP	03/31/2009	06/18/2009	622.00	502.00	120.00
12. UNITED PARCEL SERVICE CL B COM	04/06/2009	06/18/2009	577.00	628.00	-51.00
240. QWEST COMMUNICATIONS INTL INC COM	05/27/2009	06/19/2009	967.00	1,058.00	-91.00
24. TERADATA CORP COM	03/12/2009	06/19/2009	553.00	385.00	168.00
34. AECOM TECHNOLOGY CORP COM	03/06/2009	06/22/2009	989.00	763.00	226.00
19. AKAMAI TECHNOLOGIES COM	05/22/2009	06/23/2009	358.00	400.00	-42.00
13. CONCHO RESOURCES INC	05/29/2009	06/23/2009	340.00	404.00	-64.00
80. SANDISK CORP COM	04/27/2009	06/23/2009	1,073.00	1,198.00	-125.00
27. OMNICARE INC COM W/ RTS ATTACHED EXP 06/02/2009	03/31/2009	06/24/2009	652.00	681.00	-29.00
4. EQUINIX INC	03/31/2009	06/26/2009	278.00	231.00	47.00
43. BANCORPSOUTH INC COM RTS EXP 3/28/2011	05/11/2009	06/29/2009	881.00	1,076.00	-195.00

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1a 6. EQUINIX INC	03/31/2009	06/29/2009	419.00	347.00	72.00
36. FAMILY DLR STORES INC COM	12/19/2008	06/29/2009	1,044.00	909.00	135.00
27. NOVELLUS SYS COM	05/19/2009	06/29/2009	450.00	494.00	-44.00
14. AKAMAI TECHNOLOGIES COM	04/02/2009	06/30/2009	272.00	291.00	-19.00
42. CATERPILLAR INC COM W/RTS EXP 12/11/2006	05/06/2009	06/30/2009	1,387.00	1,638.00	-251.00
42. CATERPILLAR INC COM W/RTS EXP 12/11/2006	05/29/2009	07/01/2009	1,419.00	1,540.00	-121.00
.7461 FIRST HORIZON NATL CORP	04/30/2009	07/02/2009	9.00	9.00	
14. ABERCROMBIE & FITCH CO CL A	06/01/2009	07/06/2009	320.00	377.00	-57.00
211. ALCATEL	06/11/2009	07/06/2009	464.00	604.00	-140.00
132. CBS CORP CL B COM	06/09/2009	07/06/2009	798.00	1,136.00	-338.00
6. FLOWSERVE CORP COM	05/22/2009	07/06/2009	383.00	396.00	-13.00
10. LEAP WIRELESS INTERNATIONAL INC COM	03/31/2009	07/06/2009	273.00	340.00	-67.00
33. WHOLE FOODS MKT INC COM W/RIGHTS ATTACHE	06/10/2009	07/06/2009	572.00	684.00	-112.00
4. MILLICOM INTL CELLULAR S.A.	04/28/2009	07/07/2009	227.00	182.00	45.00
44. CALPINE CORP COM	04/14/2009	07/08/2009	456.00	368.00	88.00
11. INVERNESS MEDICAL INNOVATION COM	06/19/2009	07/08/2009	338.00	377.00	-39.00
15. ALPHA NATURAL RESOURCES INC COM	05/06/2009	07/09/2009	373.00	456.00	-83.00
14. SUNPOWER CORP CLASS A COM	06/11/2009	07/09/2009	329.00	447.00	-118.00
21. NOBLE CORPORATION	06/02/2009	07/13/2009	613.00	776.00	-163.00
28. NOBLE CORPORATION	06/03/2009	07/14/2009	834.00	1,015.00	-181.00
60. NOBLE CORPORATION	06/03/2009	07/15/2009	1,825.00	2,078.00	-253.00
27. AES CORP COM	06/12/2009	07/16/2009	319.00	283.00	36.00
13. PRAXAIR INC COM	10/06/2008	07/16/2009	933.00	1,097.00	-164.00
15. LEAP WIRELESS INTERNATIONAL INC COM	03/10/2009	07/17/2009	401.00	496.00	-95.00

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1a 19. PRAXAIR INC COM	03/31/2009	07/17/2009	1,348.00	1,238.00	110.00
38. AKAMAI TECHNOLOGIES COM	03/31/2009	07/20/2009	773.00	744.00	29.00
16. INVERNESS MEDICAL INNOVATION COM	04/13/2009	07/20/2009	525.00	449.00	76.00
95. ORACLE CORP COM	03/30/2009	07/20/2009	2,039.00	1,680.00	359.00
35. AKAMAI TECHNOLOGIES COM	03/19/2009	07/21/2009	703.00	642.00	61.00
21. LEAP WIRELESS INTERNATIONAL INC COM	04/22/2009	07/22/2009	534.00	680.00	-146.00
14. NYSE EURONEXT INC	06/01/2009	07/22/2009	363.00	439.00	-76.00
30. ZIONS BANCORP COM	05/08/2009	07/22/2009	319.00	527.00	-208.00
8. FISERV INC WIS COM	07/15/2009	07/23/2009	394.00	352.00	42.00
28. NYSE EURONEXT INC	06/01/2009	07/23/2009	737.00	804.00	-67.00
29. AES CORP COM	05/28/2009	07/24/2009	370.00	267.00	103.00
6. AMERICAN TOWER CORP CL A	09/25/2008	07/24/2009	200.00	228.00	-28.00
8. FISERV INC WIS COM	05/04/2009	07/24/2009	395.00	311.00	84.00
104. FORD MTR CO DEL COM	04/30/2009	07/24/2009	703.00	624.00	79.00
2. INTUITIVE SURGICAL INC	07/21/2009	07/24/2009	444.00	333.00	111.00
15. MASTERCARD INC	05/28/2009	07/24/2009	2,797.00	2,689.00	108.00
27. NYSE EURONEXT INC	05/22/2009	07/24/2009	716.00	741.00	-25.00
13. NOBLE ENERGY INC COM	04/27/2009	07/24/2009	781.00	772.00	9.00
53. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	06/16/2009	07/24/2009	2,366.00	2,510.00	-144.00
59. SUNCOR ENERGY INC COM	06/05/2009	07/24/2009	1,938.00	1,919.00	19.00
39. TIME WARNER INC	05/21/2009	07/24/2009	1,075.00	897.00	178.00
29. UNITED TECHNOLOGIES CORP COM	06/19/2009	07/24/2009	1,506.00	1,588.00	-82.00
22. YUM! BRANDS INC COM	05/06/2009	07/24/2009	739.00	771.00	-32.00
31. WEATHERFORD INTNTL LTD NEW	04/24/2009	07/24/2009	597.00	537.00	60.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 16. AMERICAN TOWER CORP CL A	09/26/2008	07/27/2009	533.00	606.00	-73.00
7. MAGNA INTL INC CL A	01/29/2009	07/27/2009	349.00	206.00	143.00
17. SUNCOR ENERGY INC COM	05/21/2009	07/27/2009	558.00	520.00	38.00
36. TIME WARNER INC	04/29/2009	07/27/2009	984.00	811.00	173.00
29. UNITED TECHNOLOGIES CORP COM	07/14/2009	07/27/2009	1,506.00	1,532.00	-26.00
11. WESTERN DIGITAL CORPORATION COM	04/24/2009	07/27/2009	344.00	227.00	117.00
5. MILLICOM INTL CELLULAR S.A.	04/28/2009	07/27/2009	365.00	228.00	137.00
3. AMETEK INC (NEW) COM	06/16/2009	07/28/2009	100.00	102.00	-2.00
10. INVERNESS MEDICAL INNOVATION COM	03/30/2009	07/28/2009	335.00	274.00	61.00
12. SUNPOWER CORP CLASS A COM	06/11/2009	07/28/2009	365.00	366.00	-1.00
35. TIME WARNER INC	04/21/2009	07/28/2009	959.00	752.00	207.00
32. INVERNESS MEDICAL INNOVATION COM	04/02/2009	07/29/2009	1,044.00	870.00	174.00
32. AMETEK INC (NEW) COM	06/23/2009	07/30/2009	1,037.00	1,039.00	-2.00
85. INTERPUBLIC GRP COS INC COM	04/29/2009	07/30/2009	447.00	497.00	-50.00
12. SUNPOWER CORP CLASS A COM	06/02/2009	07/30/2009	405.00	346.00	59.00
17. MSCI INC	06/25/2009	07/31/2009	477.00	411.00	66.00
57. FORD MTR CO DEL COM	04/30/2009	08/03/2009	486.00	342.00	144.00
209. INTERPUBLIC GRP COS INC COM	04/22/2009	08/04/2009	1,151.00	1,104.00	47.00
17. SUNPOWER CORP CLASS A COM	05/27/2009	08/04/2009	556.00	483.00	73.00
90. PEOPLE'S UNITED FINANCIAL INC	04/23/2009	08/05/2009	1,522.00	1,465.00	57.00
42. QUANTA SVCS INC COM W/RIGHTS ATTACHED EXP 3/8/2	05/08/2009	08/05/2009	972.00	930.00	42.00
31. BANK AMER CORP COM	05/11/2009	08/06/2009	511.00	424.00	87.00
3. FTI CONSULTING INC COM	06/11/2009	08/06/2009	138.00	163.00	-25.00
8. FIRST SOLAR INC COM	06/08/2009	08/06/2009	1,162.00	1,491.00	-329.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

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Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 8. FIRST SOLAR INC COM	06/08/2009	08/06/2009	1,144.00	1,376.00	-232.00
126. FORD MTR CO DEL COM	05/01/2009	08/06/2009	1,021.00	744.00	277.00
19. HEWLETT-PACKARD CO COM	09/11/2008	08/06/2009	807.00	888.00	-81.00
18. HEWLETT-PACKARD CO COM	09/17/2008	08/06/2009	760.00	840.00	-80.00
88. MICROSOFT CORP COM	05/01/2009	08/06/2009	2,073.00	1,750.00	323.00
88. MICROSOFT CORP COM	02/11/2009	08/06/2009	2,051.00	1,672.00	379.00
74. ORACLE CORP COM	12/22/2008	08/06/2009	1,570.00	1,257.00	313.00
72. ORACLE CORP COM	03/10/2009	08/06/2009	1,519.00	1,136.00	383.00
133. ALCATEL	05/05/2009	08/10/2009	427.00	364.00	63.00
16. FISERV INC WIS COM	03/31/2009	08/10/2009	769.00	551.00	218.00
21. MSCI INC	06/10/2009	08/10/2009	608.00	479.00	129.00
32. QUANTA SVCS INC COM W/RIGHTS ATTACHED EXP 3/8/2	12/03/2008	08/10/2009	756.00	527.00	229.00
17. WHOLE FOODS MKT INC COM W/RIGHTS ATTACHE	07/20/2009	08/10/2009	475.00	381.00	94.00
10. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	07/16/2009	08/11/2009	374.00	364.00	10.00
20. DIRECTV GRP INC/THE COM	08/06/2009	08/12/2009	492.00	499.00	-7.00
37. CALPINE CORP COM	04/07/2009	08/13/2009	452.00	300.00	152.00
8. FLOWSERVE CORP COM	07/30/2009	08/13/2009	715.00	596.00	119.00
2. INTUITIVE SURGICAL INC	07/21/2009	08/17/2009	432.00	324.00	108.00
46. SARA LEE CORP COM W/RTS ATTACHED EXP 05/31/20	07/16/2009	08/18/2009	432.00	467.00	-35.00
.5949 TAIWAN SEMICONDUCTOR MFG CO-ADR	12/17/2008	08/18/2009	6.00	5.00	1.00
8. NUSTAR ENERGY LP	06/24/2009	08/20/2009	422.00	403.00	19.00
24. PETSMART INC COM W/RTS ATTACHED EXP 08/2	03/31/2009	08/20/2009	485.00	504.00	-19.00
22. ELECTRONIC ARTS COM	02/06/2009	08/26/2009	417.00	424.00	-7.00
15. MSCI INC	05/19/2009	08/26/2009	451.00	327.00	124.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 24. NUSTAR ENERGY LP	01/08/2009	08/26/2009	1,265.00	1,052.00	213.00
92. ALCATEL	06/08/2009	08/27/2009	339.00	251.00	88.00
32. FTI CONSULTING INC COM	08/04/2009	08/27/2009	1,437.00	1,629.00	-192.00
8. MCAFEE INC	06/30/2009	08/27/2009	322.00	333.00	-11.00
11. HEWLETT-PACKARD CO COM	07/24/2009	08/28/2009	492.00	458.00	34.00
9. PEABODY ENERGY CORP COM	08/12/2009	08/28/2009	303.00	315.00	-12.00
22. TYCO ELECTRONICS LTD F	07/27/2009	08/28/2009	512.00	451.00	61.00
19. ELECTRONIC ARTS COM	03/31/2009	08/31/2009	347.00	353.00	-6.00
12. FISERV INC WIS COM	02/27/2009	08/31/2009	579.00	395.00	184.00
1. INTUITIVE SURGICAL INC	06/10/2009	09/02/2009	214.00	158.00	56.00
27. PETROHAWK ENERGY CORP	08/06/2009	09/02/2009	564.00	589.00	-25.00
22. WESTERN DIGITAL CORPORATION COM	04/24/2009	09/02/2009	723.00	445.00	278.00
53. CAREFUSION CORP	11/18/2008	09/08/2009	980.00	1,016.00	-36.00
16. FISERV INC WIS COM	03/12/2009	09/09/2009	772.00	518.00	254.00
.5 CAREFUSION CORP	11/18/2008	09/10/2009	9.00	10.00	-1.00
62. ELECTRONIC ARTS COM	03/31/2009	09/10/2009	1,127.00	1,065.00	62.00
21. NETAPP INC	03/31/2009	09/10/2009	501.00	325.00	176.00
5. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	07/20/2009	09/11/2009	277.00	282.00	-5.00
71. FORD MTR CO DEL COM	05/01/2009	09/11/2009	522.00	406.00	116.00
104. CALPINE CORP COM	04/24/2009	09/14/2009	1,271.00	838.00	433.00
82. FORD MTR CO DEL COM	05/13/2009	09/15/2009	598.00	424.00	174.00
24. MAGNA INTL INC CL A	03/31/2009	09/15/2009	1,050.00	662.00	388.00
63. MORGAN STANLEY DEAN WITTER & CO COM NEW	05/20/2009	09/15/2009	1,836.00	1,824.00	12.00
56. NETAPP INC	03/31/2009	09/15/2009	1,384.00	800.00	584.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
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OMB No 1545-0092

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Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 18. COVANCE INC COM	06/29/2009	09/16/2009	1,052.00	877.00	175.00
73. FORD MTR CO DEL COM	05/13/2009	09/16/2009	522.00	363.00	159.00
83. FORD MTR CO DEL COM	05/13/2009	09/16/2009	595.00	413.00	182.00
64. MORGAN STANLEY DEAN WITTER & CO COM NEW	05/21/2009	09/16/2009	1,841.00	1,803.00	38.00
23. TYCO ELECTRONICS LTD F	07/24/2009	09/16/2009	542.00	457.00	85.00
126. FORD MTR CO DEL COM	05/13/2009	09/17/2009	892.00	626.00	266.00
21. TYCO ELECTRONICS LTD F	06/24/2009	09/17/2009	495.00	395.00	100.00
6. FLOWSERVE CORP COM	03/25/2009	09/18/2009	585.00	353.00	232.00
129. FORD MTR CO DEL COM	05/13/2009	09/18/2009	898.00	641.00	257.00
56. LAS VEGAS SANDS CORP	08/13/2009	09/18/2009	1,053.00	671.00	382.00
63. MSCI INC	05/19/2009	09/18/2009	1,688.00	1,312.00	376.00
24. PEABODY ENERGY CORP COM	08/06/2009	09/18/2009	922.00	838.00	84.00
4. PRICELINE COM INC	03/27/2009	09/18/2009	655.00	328.00	327.00
12. QUALCOMM INC COM W/RTS ATTACHED	09/22/2008	09/18/2009	532.00	567.00	-35.00
7. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	07/27/2009	09/21/2009	489.00	419.00	70.00
12. JOY GLOBL INC WI COM	08/19/2009	09/21/2009	563.00	485.00	78.00
21. PEABODY ENERGY CORP COM	08/06/2009	09/21/2009	792.00	729.00	63.00
25. WEATHERFORD INTNTL LTD NEW	05/01/2009	09/21/2009	558.00	432.00	126.00
17. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	09/30/2008	09/22/2009	631.00	454.00	177.00
25. PEABODY ENERGY CORP COM	08/06/2009	09/22/2009	1,010.00	855.00	155.00
2. PRICELINE COM INC	03/27/2009	09/22/2009	330.00	164.00	166.00
31. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/18/2009	09/22/2009	791.00	747.00	44.00
22. URS CORP NEW COM	04/28/2009	09/22/2009	919.00	808.00	111.00
10. WESTERN DIGITAL CORPORATION COM	03/31/2009	09/22/2009	358.00	170.00	188.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
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Continuation Sheet for Schedule D
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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 124. WEATHERFORD INTNTL LTD NEW	05/01/2009	09/22/2009	2,809.00	2,032.00	777.00
9. ALLIANCE DATA SYSTEMS CORP COM	03/31/2009	09/23/2009	564.00	305.00	259.00
4. FLOWSERVE CORP COM	04/21/2009	09/23/2009	405.00	232.00	173.00
21. COVANCE INC COM	06/17/2009	09/24/2009	1,134.00	949.00	185.00
247. ALCATEL	06/08/2009	09/25/2009	1,101.00	646.00	455.00
25. MYLAN LABS INC COM RTS EXP 11/14/2006	08/31/2009	09/25/2009	401.00	364.00	37.00
7. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	07/20/2009	09/30/2009	396.00	383.00	13.00
10. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	09/30/2008	09/30/2009	362.00	267.00	95.00
12. WESTERN DIGITAL CORPORATION COM	03/09/2009	09/30/2009	434.00	189.00	245.00
4. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2011	08/07/2009	10/01/2009	181.00	197.00	-16.00
13. PLAINS ALL AMER PIPELINE LP COM	09/02/2009	10/01/2009	598.00	583.00	15.00
23. COMMERCIAL METALS CO COM W/RIGHTS ATTACHED EXP 7	09/18/2009	10/02/2009	389.00	462.00	-73.00
.7986 FIRST HORIZON NATL CORP	04/30/2009	10/02/2009	10.00	9.00	1.00
14. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	08/07/2009	10/02/2009	626.00	691.00	-65.00
3. PRICELINE COM INC	03/27/2009	10/02/2009	482.00	246.00	236.00
23. WESTERN DIGITAL CORPORATION COM	03/09/2009	10/02/2009	816.00	328.00	488.00
25. FLUOR CORP NEW COM	08/07/2009	10/05/2009	1,199.00	1,387.00	-188.00
1. GOOGLE INC CL A	07/24/2009	10/05/2009	489.00	449.00	40.00
19. HEWLETT-PACKARD CO COM	07/24/2009	10/05/2009	874.00	780.00	94.00
15. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	08/07/2009	10/05/2009	677.00	728.00	-51.00
15. AMAZON COM INC COM	06/03/2009	10/06/2009	1,352.00	1,269.00	83.00
31. FLUOR CORP NEW COM	06/02/2009	10/06/2009	1,487.00	1,377.00	110.00
8. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2011	06/16/2009	10/06/2009	363.00	378.00	-15.00
40. ST. JUDE MED INC	06/19/2009	10/06/2009	1,359.00	1,589.00	-230.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
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1a 21. FLUOR CORP NEW COM	03/10/2009	10/07/2009	1,011.00	777.00	234.00
17. NORTHEAST UTILS COM W/RTS ATTACHED EXP 2/23/200	09/04/2009	10/07/2009	393.00	403.00	-10.00
10. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	12/01/2008	10/07/2009	353.00	251.00	102.00
49. ST. JUDE MED INC	04/22/2009	10/07/2009	1,625.00	1,760.00	-135.00
22. WESTERN DIGITAL CORPORATION COM	01/07/2009	10/07/2009	803.00	296.00	507.00
21. FLUOR CORP NEW COM	03/31/2009	10/08/2009	1,019.00	726.00	293.00
15. FLOWSERVE CORP COM	04/21/2009	10/08/2009	1,507.00	842.00	665.00
25. NCR CORP NEW COM	06/09/2009	10/08/2009	300.00	322.00	-22.00
19. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	06/16/2009	10/08/2009	859.00	894.00	-35.00
35. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	06/08/2009	10/08/2009	1,598.00	1,608.00	-10.00
8. AEROPOSTALE INC COM	08/31/2009	10/09/2009	334.00	315.00	19.00
13. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	09/16/2009	10/09/2009	633.00	569.00	64.00
23. NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8/2	06/03/2009	10/09/2009	1,030.00	1,032.00	-2.00
6. AMAZON COM INC COM	06/03/2009	10/12/2009	560.00	507.00	53.00
2. GOOGLE INC CL A	07/24/2009	10/12/2009	1,045.00	897.00	148.00
28. INTEL CORP COM	05/28/2009	10/12/2009	570.00	438.00	132.00
33. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/17/2008	10/12/2009	327.00	268.00	59.00
8. TYCO ELECTRONICS LTD F	06/24/2009	10/12/2009	180.00	150.00	30.00
32. COMMERCIAL METALS CO COM W/RIGHTS ATTACHED EXP 7	06/10/2009	10/13/2009	565.00	581.00	-16.00
69. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/22/2008	10/13/2009	698.00	535.00	163.00
14. TYCO ELECTRONICS LTD F	06/23/2009	10/13/2009	316.00	260.00	56.00
46. COMMERCIAL METALS CO COM W/RIGHTS ATTACHED EXP 7	06/02/2009	10/15/2009	845.00	832.00	13.00
31. INTEL CORP COM	05/28/2009	10/15/2009	638.00	485.00	153.00
53. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/22/2008	10/15/2009	534.00	405.00	129.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 46. TEXAS INSTRS INC COM	07/20/2009	10/15/2009	1,068.00	1,077.00	-9.00
26. JABIL CIRCUIT INC COM	09/16/2009	10/16/2009	379.00	324.00	55.00
35. PLAINS ALL AMER PIPELINE LP COM	06/23/2009	10/16/2009	1,696.00	1,308.00	388.00
80. TAIWAN SEMICONDUCTOR MFG CO-ADR	12/23/2008	10/16/2009	777.00	605.00	172.00
70. TEXAS INSTRS INC COM	07/20/2009	10/16/2009	1,586.00	1,572.00	14.00
8. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	06/23/2009	10/19/2009	444.00	422.00	22.00
85. TAIWAN SEMICONDUCTOR MFG CO-ADR	01/08/2009	10/19/2009	844.00	634.00	210.00
70. TEXAS INSTRS INC COM	06/18/2009	10/19/2009	1,630.00	1,493.00	137.00
17. AMERICAN EXPRESS CO COM	08/07/2009	10/20/2009	602.00	562.00	40.00
65. BANK AMER CORP COM	05/11/2009	10/20/2009	1,106.00	813.00	293.00
46. E M C CORP MASS COM	08/12/2009	10/20/2009	813.00	705.00	108.00
73. INTEL CORP COM	05/28/2009	10/20/2009	1,467.00	1,135.00	332.00
62. MARSHALL & ILSLEY CORP-W/I	10/06/2009	10/20/2009	402.00	483.00	-81.00
6. QUALCOMM INC COM W/RTS ATTACHED	03/31/2009	10/20/2009	247.00	236.00	11.00
39. ZIONS BANCORP COM	09/17/2009	10/20/2009	662.00	731.00	-69.00
41. INVESCO LIMITED	06/12/2009	10/20/2009	954.00	755.00	199.00
33. DOW CHEM CO COM	08/28/2009	10/21/2009	856.00	718.00	138.00
40. DOW CHEM CO COM	08/31/2009	10/21/2009	1,025.00	863.00	162.00
60. E M C CORP MASS COM	08/07/2009	10/21/2009	1,066.00	916.00	150.00
51. INTEL CORP COM	05/13/2009	10/21/2009	1,027.00	778.00	249.00
53. INTEL CORP COM	05/13/2009	10/21/2009	1,063.00	808.00	255.00
53. INTEL CORP COM	05/13/2009	10/21/2009	1,062.00	808.00	254.00
10. NOBLE ENERGY INC COM	04/06/2009	10/21/2009	724.00	588.00	136.00
10. NOBLE ENERGY INC COM	04/23/2009	10/21/2009	717.00	587.00	130.00

1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

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Internal Revenue Service

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 39. INVESCO LIMITED	06/15/2009	10/21/2009	896.00	713.00	183.00
6. MILLICOM INTL CELLULAR S.A.	09/17/2009	10/21/2009	439.00	430.00	9.00
155. NCR CORP NEW COM	07/21/2009	10/22/2009	1,655.00	1,947.00	-292.00
17. MILLICOM INTL CELLULAR S.A.	04/28/2009	10/22/2009	1,184.00	740.00	444.00
61. AMERICAN EXPRESS CO COM	08/07/2009	10/23/2009	2,109.00	1,942.00	167.00
36. INTERSIL HLDG CORP COM	09/30/2009	10/23/2009	485.00	575.00	-90.00
46. ON SEMICONDUCTOR CORP COM	10/14/2009	10/26/2009	345.00	406.00	-61.00
25. PACTIV CORP COM	09/30/2009	10/26/2009	619.00	649.00	-30.00
24. ROPER INDS INC NEW COM W/RTS ATTACHED EX	09/23/2009	10/26/2009	1,234.00	1,131.00	103.00
53. PETROHAWK ENERGY CORP	03/10/2009	10/27/2009	1,375.00	888.00	487.00
12. SUNTRUST BKS INC COM	09/17/2009	10/27/2009	234.00	292.00	-58.00
41. ON SEMICONDUCTOR CORP COM	10/14/2009	10/28/2009	270.00	326.00	-56.00
7. PRICELINE COM INC	04/01/2009	10/28/2009	1,173.00	558.00	615.00
14. CONCHO RESOURCES INC	08/04/2009	10/29/2009	525.00	477.00	48.00
41. JABIL CIRCUIT INC COM	09/16/2009	10/29/2009	578.00	463.00	115.00
40. MCDERMOTT INTL INC COM W/RTS ATTACHED EXP 01/02/20	06/11/2009	10/29/2009	937.00	793.00	144.00
6. FMC TECHNOLOGIES INC COM	06/11/2009	10/30/2009	327.00	252.00	75.00
7. OIL STATES INTERNATIONAL L INC COM	10/06/2009	10/30/2009	235.00	250.00	-15.00
40. YUM! BRANDS INC COM	05/06/2009	10/30/2009	1,326.00	1,402.00	-76.00
37. MAXIM INTEGRATED PRODS INC COM	09/22/2009	11/02/2009	620.00	692.00	-72.00
51. RRI ENERGY INC COM	10/01/2009	11/02/2009	266.00	367.00	-101.00
75. YUM! BRANDS INC COM	05/06/2009	11/02/2009	2,490.00	2,619.00	-129.00
16. NOVELLUS SYS COM	09/04/2009	11/04/2009	321.00	313.00	8.00
12. TYCO ELECTRONICS LTD F	06/23/2009	11/04/2009	273.00	223.00	50.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 19. AEROPOSTALE INC COM	07/20/2009	11/05/2009	621.00	602.00	19.00
11. AMERICAN EXPRESS CO COM	08/06/2009	11/05/2009	411.00	334.00	77.00
29. BIOVAIL CORP COM	08/10/2009	11/05/2009	380.00	402.00	-22.00
2. HEWLETT-PACKARD CO COM	06/08/2009	11/05/2009	97.00	74.00	23.00
20. INTL BUSINESS MACHINES CORP COM	05/18/2009	11/05/2009	2,454.00	1,841.00	613.00
17. PEABODY ENERGY CORP COM	07/27/2009	11/05/2009	726.00	577.00	149.00
22. SUNCOR ENERGY INC NEW F	05/19/2009	11/05/2009	720.00	670.00	50.00
20. TJX COS INC NEW COM	07/27/2009	11/05/2009	764.00	717.00	47.00
8. WHOLE FOODS MKT INC COM W/RIGHTS ATTACHE	10/01/2009	11/05/2009	226.00	242.00	-16.00
20. INVESCO LIMITED	06/10/2009	11/05/2009	456.00	361.00	95.00
14. TYCO INTERNATIONAL LTD NEW	04/22/2009	11/05/2009	479.00	318.00	161.00
11. TYCO ELECTRONICS LTD F	06/23/2009	11/05/2009	252.00	204.00	48.00
19. TYCO ELECTRONICS LTD F	06/25/2009	11/05/2009	434.00	351.00	83.00
39. CVS CORP COM	04/21/2009	11/06/2009	1,158.00	1,104.00	54.00
25. PEABODY ENERGY CORP COM	07/30/2009	11/06/2009	1,081.00	833.00	248.00
49. PEABODY ENERGY CORP COM	07/30/2009	11/06/2009	2,062.00	1,593.00	469.00
19. TJX COS INC NEW COM	07/28/2009	11/06/2009	719.00	678.00	41.00
14. TYCO INTERNATIONAL LTD NEW	03/26/2009	11/06/2009	476.00	292.00	184.00
18. TYCO ELECTRONICS LTD F	06/30/2009	11/06/2009	413.00	332.00	81.00
23. TYCO ELECTRONICS LTD F	06/30/2009	11/06/2009	525.00	424.00	101.00
57. RRI ENERGY INC COM	10/01/2009	11/09/2009	296.00	396.00	-100.00
11. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	10/22/2009	11/09/2009	495.00	519.00	-24.00
22. TYCO ELECTRONICS LTD F	06/30/2009	11/09/2009	500.00	404.00	96.00
24. ENSCO INTL INC COM	07/30/2009	11/10/2009	1,096.00	827.00	269.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2009

Continuation Sheet for Schedule D
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2009

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 23. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	10/22/2009	11/10/2009	1,030.00	855.00	175.00
7. UNIVERSAL HLTH SVCS INC CL B COM	10/22/2009	11/10/2009	406.00	440.00	-34.00
15. ZIONS BANCORP COM	05/07/2009	11/10/2009	209.00	261.00	-52.00
46. TYCO ELECTRONICS LTD F	06/25/2009	11/10/2009	1,058.00	837.00	221.00
6. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/02/2009	11/12/2009	488.00	393.00	95.00
34. MYLAN LABS INC COM RTS EXP 11/14/2006	04/24/2009	11/12/2009	592.00	487.00	105.00
6. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/02/2009	11/13/2009	491.00	392.00	99.00
7. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/02/2009	11/13/2009	574.00	457.00	117.00
66. PACTIV CORP COM	08/31/2009	11/13/2009	1,558.00	1,659.00	-101.00
230. RRI ENERGY INC COM	09/17/2009	11/13/2009	1,129.00	1,570.00	-441.00
11. TRANSDIGM GRP INC COM	09/21/2009	11/13/2009	445.00	516.00	-71.00
7. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	10/02/2009	11/16/2009	587.00	441.00	146.00
10. OSHKOSH TRUCK CORP CLASS B W/RIGHTS ATTACHED E	08/28/2009	11/16/2009	393.00	336.00	57.00
18. UNIVERSAL HLTH SVCS INC CL B COM	08/13/2009	11/16/2009	1,062.00	944.00	118.00
78. NOVELLUS SYS COM	07/20/2009	11/17/2009	1,728.00	1,392.00	336.00
57. ZIONS BANCORP COM	08/05/2009	11/17/2009	761.00	855.00	-94.00
35. AEROPOSTALE INC COM	03/25/2009	11/18/2009	1,136.00	867.00	269.00
9. MCAFEE INC	10/13/2009	11/18/2009	372.00	396.00	-24.00
179. TELLABS INC COM	10/19/2009	11/18/2009	1,058.00	1,251.00	-193.00
10. WHOLE FOODS MKT INC COM W/RIGHTS ATTACHE	10/01/2009	11/18/2009	263.00	264.00	-1.00
9. TRANSDIGM GRP INC COM	08/26/2009	11/19/2009	366.00	400.00	-34.00
14. UNIVERSAL HLTH SVCS INC CL B COM	04/14/2009	11/19/2009	810.00	585.00	225.00
57. INTERSIL HLDG CORP COM	09/30/2009	11/23/2009	732.00	859.00	-127.00
10. MCDERMOTT INTL INC COM W/RTS ATTACHED EXP 01/02/20	05/05/2009	11/23/2009	220.00	170.00	50.00

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Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. INGERSOLL-RAND PLC	08/05/2009	11/23/2009	617.00	495.00	122.00
12. GUESS INC COM	11/09/2009	11/24/2009	431.00	469.00	-38.00
13. INGERSOLL-RAND PLC	08/05/2009	11/24/2009	462.00	379.00	83.00
27. HEWLETT-PACKARD CO COM	06/08/2009	11/25/2009	1,350.00	989.00	361.00
13. INGERSOLL-RAND PLC	08/03/2009	11/25/2009	468.00	379.00	89.00
25. HEWLETT-PACKARD CO COM	03/26/2009	11/27/2009	1,227.00	866.00	361.00
19. INGERSOLL-RAND PLC	08/05/2009	11/27/2009	669.00	552.00	117.00
4. AIR PRODS & CHEMICALS INC COM W/ RTS TO PURCHASE	10/15/2009	11/30/2009	331.00	334.00	-3.00
3. AMAZON COM INC COM	10/26/2009	11/30/2009	407.00	368.00	39.00
11. AMERICAN EXPRESS CO COM	07/24/2009	11/30/2009	457.00	325.00	132.00
6. APPLE COMPUTER INC COM	10/21/2009	11/30/2009	1,204.00	1,230.00	-26.00
15. AVON PRODS INC COM W/RTS ATTACHED	10/22/2009	11/30/2009	513.00	535.00	-22.00
34. BANK AMER CORP COM	11/11/2009	11/30/2009	536.00	444.00	92.00
9. BEST BUY INC COM	10/21/2009	11/30/2009	385.00	359.00	26.00
12. BROADCOM CORP COM	11/05/2009	11/30/2009	351.00	325.00	26.00
43. CARDINAL HLTH INC COM	09/14/2009	11/30/2009	1,382.00	1,138.00	244.00
35. DELTA AIR LINES INC	10/16/2009	11/30/2009	284.00	320.00	-36.00
21. THE DIRECTV GROUP	10/06/2009	11/30/2009	666.00	580.00	86.00
16. E M C CORP MASS COM	11/11/2009	11/30/2009	268.00	253.00	15.00
19. EBAY INC COM	10/06/2009	11/30/2009	465.00	452.00	13.00
5. EXPRESS SCRIPTS INC CL A W/RIGHTS ATTACH	11/10/2009	11/30/2009	428.00	432.00	-4.00
44. FORD MTR CO DEL COM	11/11/2009	11/30/2009	388.00	362.00	26.00
15. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS AT	07/28/2009	11/30/2009	1,242.00	882.00	360.00
3. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	07/28/2009	11/30/2009	249.00	175.00	74.00

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1a 1. GOOGLE INC CL A	10/21/2009	11/30/2009	583.00	557.00	26.00
24. HEWLETT-PACKARD CO COM	03/31/2009	11/30/2009	1,175.00	779.00	396.00
3. HEWLETT-PACKARD CO COM	03/31/2009	11/30/2009	148.00	97.00	51.00
10. ILLINOIS TOOL WORKS INC COM	10/21/2009	11/30/2009	485.00	494.00	-9.00
18. JUNIPER NETWORKS INC COM	10/21/2009	11/30/2009	470.00	503.00	-33.00
2. MASTERCARD INC	11/09/2009	11/30/2009	477.00	481.00	-4.00
35. MICROSOFT CORP COM	10/23/2009	11/30/2009	1,029.00	982.00	47.00
76. MOTOROLA INC COM W/RTS ATTACHED EXP 11/8/2008	09/16/2009	11/30/2009	604.00	700.00	-96.00
3. NOBLE ENERGY INC COM	04/15/2009	11/30/2009	196.00	174.00	22.00
21. ORACLE CORP COM	10/23/2009	11/30/2009	464.00	467.00	-3.00
1. PRICELINE COM INC	11/09/2009	11/30/2009	214.00	175.00	39.00
6. QUALCOMM INC COM W/RTS ATTACHED	11/11/2009	11/30/2009	270.00	267.00	3.00
7. SUNCOR ENERGY INC NEW F	05/18/2009	11/30/2009	253.00	212.00	41.00
7. TJX COS INC NEW COM	07/28/2009	11/30/2009	268.00	249.00	19.00
26. YAHOO INC COM W/RIGHTS ATTACHED EXP 3/1/2011	09/17/2009	11/30/2009	388.00	458.00	-70.00
8. COVIDIEN PLC	10/19/2009	11/30/2009	374.00	358.00	16.00
11. INGERSOLL-RAND PLC	08/05/2009	11/30/2009	387.00	318.00	69.00
11. INVESCO LIMITED	06/29/2009	11/30/2009	243.00	195.00	48.00
11. TYCO INTERNATIONAL LTD NEW	03/18/2009	11/30/2009	395.00	222.00	173.00
137. AES CORP COM	10/20/2009	12/01/2009	1,770.00	1,376.00	394.00
78. ABERCROMBIE & FITCH CO CL A	10/09/2009	12/01/2009	3,107.00	1,934.00	1,173.00
116. ALLIANCEBERNSTEIN HLDG L P	09/22/2009	12/01/2009	2,941.00	2,416.00	525.00
60. ALPHA NATURAL RESOURCES INC COM	08/20/2009	12/01/2009	2,269.00	1,750.00	519.00
176. BIOVAIL CORP COM	06/29/2009	12/01/2009	2,568.00	2,219.00	349.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

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1a 283. BRANDYWINE RLTY TR SH BEN INT NEW	08/05/2009	12/01/2009	2,807.00	2,223.00	584.00
88. BROADRIDGE FINANCIAL SOLUTIONS	11/10/2009	12/01/2009	1,939.00	1,889.00	50.00
89. CARPENTER TECHNOLOGY CORP COM	11/18/2009	12/01/2009	2,092.00	2,108.00	-16.00
430. CHIMERA INVESTMENT CORP REIT	10/21/2009	12/01/2009	1,716.00	1,717.00	-1.00
65. CLIFFS NAT RES INC	10/30/2009	12/01/2009	2,929.00	2,201.00	728.00
55. CONCHO RESOURCES INC	08/26/2009	12/01/2009	2,297.00	1,689.00	608.00
77. CONSTELLATION ENERGY GRP INC COM	09/02/2009	12/01/2009	2,471.00	2,196.00	275.00
56. CYTEC INDS INC COM	11/18/2009	12/01/2009	1,940.00	2,021.00	-81.00
66. DIGITAL RLTY TR INC	10/27/2009	12/01/2009	3,217.00	2,191.00	1,026.00
102. DISH NETWORK CORP	11/18/2009	12/01/2009	2,131.00	2,087.00	44.00
21. DOLLAR TREE INC	04/27/2009	12/01/2009	1,032.00	929.00	103.00
91. DOUGLAS EMMETT INC	08/06/2009	12/01/2009	1,255.00	902.00	353.00
26. ENSCO INTL INC COM	05/07/2009	12/01/2009	1,168.00	825.00	343.00
42. FMC TECHNOLOGIES INC COM	06/11/2009	12/01/2009	2,326.00	1,257.00	1,069.00
178. FIRST HORIZON NATL CORP	04/30/2009	12/01/2009	2,405.00	1,863.00	542.00
6. FREEPORT MCMORAN COPPER & GOLD CL B W/RTS ATTACHED	07/28/2009	12/01/2009	508.00	335.00	173.00
21. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	06/22/2009	12/01/2009	1,085.00	742.00	343.00
29. W W GRAINGER INC COM W/RIGHTS ATTACHED EXP 5/15/	11/13/2009	12/01/2009	2,869.00	2,657.00	212.00
27. GREEN MOUNTAIN COFFEE INC	10/20/2009	12/01/2009	1,716.00	1,947.00	-231.00
9. GREENHILL & CO INC COM	11/18/2009	12/01/2009	740.00	749.00	-9.00
58. GUESS INC COM	10/15/2009	12/01/2009	2,416.00	1,902.00	514.00
68. HASBRO INC COM	11/18/2009	12/01/2009	2,037.00	2,007.00	30.00
28. HEWLETT-PACKARD CO COM	03/31/2009	12/01/2009	1,389.00	814.00	575.00
149. IAC / INTERACTIVECORP COM	09/11/2009	12/01/2009	2,910.00	2,443.00	467.00

1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. INTERCONTINENTALEXCHANG GE INC	11/09/2009	12/01/2009	1,824.00	1,613.00	211.00
63. INTERSIL HLDG CORP COM	10/14/2009	12/01/2009	835.00	937.00	-102.00
8. INTUITIVE SURGICAL INC	11/12/2009	12/01/2009	2,264.00	1,249.00	1,015.00
112. JABIL CIRCUIT INC COM	11/17/2009	12/01/2009	1,513.00	1,345.00	168.00
50. JOY GLOBL INC WI COM	10/26/2009	12/01/2009	2,741.00	1,910.00	831.00
157. KING PHARMACEUTICALS INC COM	08/11/2009	12/01/2009	1,862.00	1,479.00	383.00
80. LAMAR ADVERTISING CO CL A	09/29/2009	12/01/2009	2,262.00	2,075.00	187.00
189. LAS VEGAS SANDS CORP	10/30/2009	12/01/2009	2,988.00	1,906.00	1,082.00
61. LIFE TECHNOLOGIES CORP	09/25/2009	12/01/2009	3,071.00	2,375.00	696.00
100. MACY'S INC	11/09/2009	12/01/2009	1,642.00	1,947.00	-305.00
285. MARSHALL & ILSLEY CORP-W/I	10/06/2009	12/01/2009	1,619.00	1,967.00	-348.00
90. MAXIM INTEGRATED PRODS INC COM	09/22/2009	12/01/2009	1,613.00	1,576.00	37.00
20. MCAFEE INC	09/16/2009	12/01/2009	773.00	719.00	54.00
88. MCDERMOTT INTL INC COM W/RTS ATTACHED EXP 01/02/20	03/31/2009	12/01/2009	1,858.00	1,220.00	638.00
160. MICRON TECHNOLOGY INC COM	11/17/2009	12/01/2009	1,214.00	1,226.00	-12.00
139. MYLAN LABS INC COM RTS EXP 11/14/2006	08/11/2009	12/01/2009	2,505.00	1,874.00	631.00
2. NVR INC COM	03/31/2009	12/01/2009	1,350.00	850.00	500.00
154. NEWELL RUBBERMAID INC COM	10/20/2009	12/01/2009	2,268.00	2,356.00	-88.00
52. NEWFIELD EXPL CO COM W/RIGHTS ATTACHED EXP 2/22/	11/17/2009	12/01/2009	2,248.00	2,450.00	-202.00
87. NORTHEAST UTILS COM W/RTS ATTACHED EXP 2/23/200	08/18/2009	12/01/2009	2,113.00	1,954.00	159.00
217. NV ENERGY INC	10/27/2009	12/01/2009	2,543.00	2,369.00	174.00
64. OIL STATES INTERNATION AL INC COM	10/06/2009	12/01/2009	2,331.00	2,205.00	126.00
235. ON SEMICONDUCTOR CORP COM	07/15/2009	12/01/2009	1,899.00	1,635.00	264.00
35. ORMAT TECHNOLOGIES INC COM	11/30/2009	12/01/2009	1,457.00	1,426.00	31.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 41. OSHKOSH TRUCK CORP CLASS B W/RIGHTS ATTACHED EXP 03/25/2006	10/27/2009	12/01/2009	1,650.00	1,339.00	311.00
64. PAN AMERN SILVER CORP COM	06/10/2009	12/01/2009	1,659.00	1,462.00	197.00
28. QUESTAR CORP COM W/RTS EXP 03/25/2006	11/12/2009	12/01/2009	1,131.00	1,199.00	-68.00
24. RESMED INC COM	11/17/2009	12/01/2009	1,221.00	1,226.00	-5.00
33. SBA COMMUNICATIONS CORP COM	09/18/2009	12/01/2009	1,069.00	818.00	251.00
85. SAFEWAY INC COM NEW	11/09/2009	12/01/2009	1,929.00	1,929.00	
182. SARA LEE CORP COM W/RTS ATTACHED EXP 05/31/2006	10/29/2009	12/01/2009	2,217.00	1,765.00	452.00
29. SMUCKER J M CO COM	06/25/2009	12/01/2009	1,727.00	1,238.00	489.00
117. SUNTRUST BKS INC COM	09/17/2009	12/01/2009	2,749.00	1,887.00	862.00
67. SYNOPSIS INC COM	10/07/2009	12/01/2009	1,515.00	1,448.00	67.00
163. TERADYNE INC COM W/RIGHTS ATTACHED EXP 11/27/2006	11/17/2009	12/01/2009	1,470.00	1,611.00	-141.00
66. TEREX CORP NEW COM	11/17/2009	12/01/2009	1,276.00	1,450.00	-174.00
30. TRANSDIGM GRP INC COM	08/26/2009	12/01/2009	1,329.00	1,296.00	33.00
57. WMS INDS INC COM	09/10/2009	12/01/2009	2,247.00	2,331.00	-84.00
62. WHOLE FOODS MKT INC COM W/RIGHTS ATTACHE	07/20/2009	12/01/2009	1,612.00	1,238.00	374.00
263. WINDSTREAM CORP	09/21/2009	12/01/2009	2,619.00	2,264.00	355.00
51. ALLIED WRLD ASSUR COM HLDG LSHS	10/12/2009	12/01/2009	2,469.00	2,212.00	257.00
28. ARCH CAP GROUP LTD COM	09/01/2009	12/01/2009	1,956.00	1,681.00	275.00
113. ASSURED GUARANTY LTD USD 1.0	06/25/2009	12/01/2009	2,435.00	1,301.00	1,134.00
56. COOPER INDUSTRIES PLC NEW IRELAND	11/17/2009	12/01/2009	2,449.00	2,292.00	157.00
5. BANK AMER CORP COM	12/03/2009	12/17/2009	75.00	80.00	-5.00
5. DELTA AIR LINES INC	12/03/2009	12/17/2009	58.00	46.00	12.00
5. FORD MTR CO DEL COM	12/03/2009	12/17/2009	47.00	45.00	2.00
1. GOOGLE INC CL A	10/21/2009	12/17/2009	595.00	557.00	38.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2009

Name of estate or trust

KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-2,030.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

KATHRYN & W H SCHWARZSCHILD

54-6035733

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7. GILEAD SCIENCES INC COM	09/19/2007	01/05/2009	356.00	271.00	85.00
7. SIGMA ALDRICH CORP COM	09/18/2007	01/06/2009	289.00	324.00	-35.00
9. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	09/28/2007	01/07/2009	307.00	379.00	-72.00
24. PROGRESS ENERGY INC COM	09/19/2007	01/07/2009	922.00	1,140.00	-218.00
28. WASTE MGMT INC DEL COM	09/19/2007	01/07/2009	923.00	1,091.00	-168.00
57. CVS CORP COM	09/19/2007	01/09/2009	1,496.00	2,177.00	-681.00
9. SIGMA ALDRICH CORP COM	09/18/2007	01/09/2009	347.00	416.00	-69.00
4. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	11/27/2007	01/12/2009	211.00	219.00	-8.00
12. SIGMA ALDRICH CORP COM	09/18/2007	01/12/2009	452.00	555.00	-103.00
1080. IPATH DOW JONES-UBS COMMODITY INDEX	09/11/2007	01/16/2009	36,925.00	55,092.00	-18,167.00
4. LINCOLN ELEC HLDS INC COM	09/18/2007	01/16/2009	179.00	296.00	-117.00
77. WEATHERFORD INTL LTD COM	11/30/2007	01/20/2009	769.00	2,463.00	-1,694.00
33. WEATHERFORD INTL LTD COM	11/30/2007	01/20/2009	314.00	1,040.00	-726.00
10. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	01/21/2009	358.00	580.00	-222.00
14. ARMSTRONG WORLD INDUSTRIES-WI COM	11/16/2007	01/29/2009	246.00	603.00	-357.00
48. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	12/11/2007	01/30/2009	732.00	1,553.00	-821.00
8. BRINKS CO	01/31/2008	02/04/2009	247.00	255.00	-8.00
5. LINCOLN ELEC HLDS INC COM	09/18/2007	02/04/2009	215.00	371.00	-156.00
20. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	02/04/2009	754.00	1,159.00	-405.00
9. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	02/04/2009	334.00	522.00	-188.00
10. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	02/05/2009	386.00	580.00	-194.00
20. AMERICAN TOWER CORP CL A	09/19/2007	02/06/2009	600.00	832.00	-232.00
18. AMERICAN TOWER CORP CL A	09/19/2007	02/06/2009	543.00	749.00	-206.00
258. DONNELLEY R R & SONS CO COM RTS EXP 08/08/2006	09/19/2007	02/09/2009	2,631.00	9,082.00	-6,451.00
13. COVANTA HOLDING CORP COM	09/18/2007	02/10/2009	251.00	297.00	-46.00

6b Total Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2009

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Employer identification number

KATHRYN & W H SCHWARZSCHILD

54-6035733

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. ALLIANCE DATA SYSTEMS CORP COM	02/12/2008	02/13/2009	36.00	56.00	-20.00
11. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	11/13/2007	02/17/2009	553.00	579.00	-26.00
1. FIRST SOLAR INC COM	11/19/2007	02/17/2009	134.00	218.00	-84.00
383. LIMITED INC COM	09/19/2007	02/17/2009	2,919.00	8,970.00	-6,051.00
19. ALLIANCE DATA SYSTEMS CORP COM	02/12/2008	02/18/2009	584.00	1,053.00	-469.00
6. FIRST SOLAR INC COM	11/19/2007	02/18/2009	779.00	1,306.00	-527.00
5. ARCH CAP GROUP LTD COM	09/18/2007	02/19/2009	297.00	362.00	-65.00
3. ALLIANCE DATA SYSTEMS CORP COM	01/29/2008	02/20/2009	88.00	127.00	-39.00
14. METLIFE INC COM	09/19/2007	02/20/2009	285.00	974.00	-689.00
14. ALBERTO-CULVER CO	02/12/2008	02/23/2009	312.00	385.00	-73.00
1. HANOVER INS GROUP INC	09/18/2007	02/23/2009	35.00	42.00	-7.00
16. DOUGLAS EMMETT INC	01/24/2008	02/24/2009	124.00	314.00	-190.00
9. LINCOLN ELEC HLDS INC COM	09/18/2007	02/24/2009	285.00	667.00	-382.00
8. FTI CONSULTING INC COM	10/16/2007	02/25/2009	300.00	445.00	-145.00
9. FIRST SOLAR INC COM	01/28/2008	02/26/2009	936.00	1,563.00	-627.00
22. COVANTA HOLDING CORP COM	09/18/2007	02/27/2009	335.00	502.00	-167.00
2. LINCOLN ELEC HLDS INC COM	09/18/2007	02/27/2009	61.00	148.00	-87.00
31. NII HLDGS INC CL B	09/19/2007	02/27/2009	402.00	2,428.00	-2,026.00
5. MCAFEE INC	10/04/2007	03/02/2009	135.00	181.00	-46.00
12. NII HLDGS INC CL B	09/19/2007	03/02/2009	129.00	940.00	-811.00
22. METLIFE INC COM	09/19/2007	03/04/2009	303.00	1,530.00	-1,227.00
22. METLIFE INC COM	09/19/2007	03/05/2009	260.00	1,530.00	-1,270.00
14. REPUBLIC SVCS INC COM	09/18/2007	03/06/2009	227.00	445.00	-218.00
11. HANOVER INS GROUP INC	09/18/2007	03/09/2009	326.00	462.00	-136.00
18. COVANTA HOLDING CORP COM	09/18/2007	03/10/2009	236.00	411.00	-175.00
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					

Schedule D-1 (Form 1041) 2009

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KATHRYN & W H SCHWARZSCHILD

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 11. REPUBLIC SVCS INC COM	09/18/2007	03/10/2009	175.00	349.00	-174.00
27. REPUBLIC SVCS INC COM	09/18/2007	03/11/2009	425.00	858.00	-433.00
43. COVANTA HOLDING CORP COM	09/18/2007	03/16/2009	592.00	981.00	-389.00
6. SYBASE INC COM	01/31/2008	03/17/2009	165.00	159.00	6.00
32. METLIFE INC COM	01/14/2008	03/18/2009	755.00	2,042.00	-1,287.00
13. HANOVER INS GROUP INC	09/18/2007	03/19/2009	402.00	547.00	-145.00
30. REPUBLIC SVCS INC COM	09/18/2007	03/19/2009	527.00	952.00	-425.00
17. HANOVER INS GROUP INC	09/18/2007	03/24/2009	510.00	715.00	-205.00
11. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	03/24/2009	383.00	638.00	-255.00
9. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	03/24/2009	312.00	522.00	-210.00
7. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	03/25/2009	240.00	406.00	-166.00
11. ALBERTO-CULVER CO	02/12/2008	03/26/2009	238.00	303.00	-65.00
8. ITRON INC COM	02/22/2008	03/27/2009	401.00	679.00	-278.00
12. ALBERTO-CULVER CO	02/12/2008	04/02/2009	274.00	330.00	-56.00
26. NII HLDGS INC CL B	09/19/2007	04/06/2009	364.00	2,037.00	-1,673.00
11. SBA COMMUNICATIONS CORP COM	09/18/2007	04/06/2009	278.00	366.00	-88.00
66. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	12/11/2007	04/07/2009	1,099.00	1,903.00	-804.00
21. DIRECTV GRP INC/THE COM	01/29/2008	04/07/2009	504.00	476.00	28.00
28. NII HLDGS INC CL B	09/19/2007	04/07/2009	362.00	2,193.00	-1,831.00
8. NSTAR COM	12/10/2007	04/14/2009	241.00	295.00	-54.00
30. NII HLDGS INC CL B	10/10/2007	04/15/2009	449.00	2,167.00	-1,718.00
14. GILEAD SCIENCES INC COM	09/19/2007	04/16/2009	623.00	541.00	82.00
72. NII HLDGS INC CL B	01/28/2008	04/17/2009	1,078.00	3,280.00	-2,202.00
4. SYBASE INC COM	01/24/2008	04/17/2009	125.00	105.00	20.00
3. ALLIANCE DATA SYSTEMS CORP COM	01/29/2008	04/20/2009	124.00	127.00	-3.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2009

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 17. NII HLDGS INC CL B	01/28/2008	04/20/2009	232.00	688.00	-456.00
3. TRANSOCEAN LTD.	02/06/2008	04/21/2009	194.00	333.00	-139.00
17. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	04/22/2009	607.00	985.00	-378.00
7. TRANSOCEAN LTD.	09/19/2007	04/22/2009	452.00	773.00	-321.00
6. TRANSOCEAN LTD.	09/19/2007	04/23/2009	388.00	662.00	-274.00
10. ROPER INDS INC NEW COM W/RTS ATTACHED EX	09/18/2007	04/24/2009	464.00	635.00	-171.00
10. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	09/18/2007	04/27/2009	309.00	408.00	-99.00
30. ALBERTO-CULVER CO	03/11/2008	04/28/2009	649.00	816.00	-167.00
11. ITRON INC COM	02/07/2008	04/30/2009	514.00	862.00	-348.00
15. BRINKS CO	09/18/2007	05/01/2009	413.00	460.00	-47.00
20. STATE STR CORP COM	04/28/2008	05/05/2009	706.00	1,441.00	-735.00
10. SYBASE INC COM	01/24/2008	05/05/2009	334.00	262.00	72.00
10. NSTAR COM	12/10/2007	05/06/2009	307.00	369.00	-62.00
6. EQUINIX INC	09/19/2007	05/07/2009	400.00	513.00	-113.00
3. EQUINIX INC	09/19/2007	05/08/2009	204.00	256.00	-52.00
9. MCAFEE INC	09/18/2007	05/08/2009	349.00	319.00	30.00
4. FTI CONSULTING INC COM	11/06/2007	05/13/2009	196.00	220.00	-24.00
11. AMETEK INC (NEW) COM	05/06/2008	05/14/2009	331.00	554.00	-223.00
9. BMC SOFTWARE INC COM RTS EXP 05/12/2005	11/09/2007	05/15/2009	310.00	298.00	12.00
11. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	09/18/2007	05/15/2009	346.00	449.00	-103.00
81. WYETH COM	09/19/2007	05/18/2009	3,590.00	3,714.00	-124.00
29. NSTAR COM	01/08/2008	05/19/2009	851.00	1,057.00	-206.00
7. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	11/13/2007	05/20/2009	365.00	368.00	-3.00
15. BRINKS CO	09/18/2007	05/21/2009	411.00	460.00	-49.00
19. PETSMART INC COM W/RTS ATTACHED EXP 08/2	09/18/2007	05/21/2009	384.00	646.00	-262.00

6b Total Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2009

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KATHRYN & W H SCHWARZSCHILD

Employer identification number

54-6035733

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 11. SYNOPSIS INC COM	05/15/2008	05/21/2009	213.00	276.00	-63.00
9. ARCH CAP GROUP LTD COM	09/18/2007	05/22/2009	513.00	652.00	-139.00
7. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	11/13/2007	05/26/2009	361.00	368.00	-7.00
8. ARCH CAP GROUP LTD COM	09/18/2007	05/26/2009	456.00	580.00	-124.00
4. DIRECTV GRP INC/THE COM	01/24/2008	06/01/2009	92.00	89.00	3.00
61. WAL MART STORES INC COM	05/09/2008	06/02/2009	3,059.00	3,486.00	-427.00
4. WAL MART STORES INC COM	05/07/2008	06/02/2009	200.00	227.00	-27.00
24. AMERICAN TOWER CORP CL A	09/19/2007	06/05/2009	726.00	998.00	-272.00
20. AMERICAN TOWER CORP CL A	09/19/2007	06/08/2009	590.00	832.00	-242.00
27. BMC SOFTWARE INC COM RTS EXP 05/12/2005	11/16/2007	06/08/2009	938.00	888.00	50.00
9. INTL BUSINESS MACHINES CORP COM	05/08/2008	06/08/2009	953.00	1,124.00	-171.00
17. BMC SOFTWARE INC COM RTS EXP 05/12/2005	11/26/2007	06/10/2009	590.00	552.00	38.00
420.64 DODGE & COX INT'L STOCK FD #1048	09/11/2007	06/11/2009	11,197.00	19,938.00	-8,741.00
205.946 DREYFUS/THE BOSTON CO S/C - I #6941	09/11/2007	06/11/2009	7,814.00	11,576.00	-3,762.00
677.615 DREYFUS/THE BOSTON CA S/C - I #6944	09/11/2007	06/11/2009	10,869.00	16,642.00	-5,773.00
904.005 ING INTERNATIONAL REAL EST-I #2664	12/18/2007	06/11/2009	6,581.00	11,083.00	-4,502.00
1712.909 JPMORGAN HIGH YIELD FUND SS #3580	09/11/2007	06/11/2009	11,699.00	14,063.00	-2,364.00
12. SYBASE INC COM	03/28/2008	06/12/2009	390.00	313.00	77.00
2. EQUINIX INC	09/19/2007	06/22/2009	138.00	171.00	-33.00
5. EQUINIX INC	09/19/2007	06/23/2009	340.00	427.00	-87.00
2. EQUINIX INC	09/19/2007	06/23/2009	136.00	171.00	-35.00
8. EQUINIX INC	09/21/2007	06/24/2009	553.00	683.00	-130.00
8. EQUINIX INC	09/21/2007	06/25/2009	555.00	680.00	-125.00
7. EQUINIX INC	09/21/2007	06/25/2009	487.00	595.00	-108.00
5. EQUINIX INC	09/21/2007	06/26/2009	348.00	425.00	-77.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 9. SYBASE INC COM	03/28/2008	06/30/2009	285.00	232.00	53.00
15. SYNOPSIS INC COM	05/23/2008	06/30/2009	287.00	365.00	-78.00
10. PRAXAIR INC COM	07/03/2008	07/15/2009	720.00	936.00	-216.00
5. PRAXAIR INC COM	07/03/2008	07/16/2009	359.00	468.00	-109.00
15. AMERICAN TOWER CORP CL A	09/19/2007	07/20/2009	485.00	624.00	-139.00
25. AMERICAN TOWER CORP CL A	01/14/2008	07/21/2009	808.00	1,027.00	-219.00
26. AMERICAN TOWER CORP CL A	07/07/2008	07/21/2009	827.00	1,022.00	-195.00
19. SYNOPSIS INC COM	04/07/2008	07/21/2009	369.00	444.00	-75.00
8. AMERICAN TOWER CORP CL A	02/06/2008	07/24/2009	267.00	306.00	-39.00
14. INTL BUSINESS MACHINES CORP COM	05/08/2008	07/24/2009	1,646.00	1,748.00	-102.00
7. TRANSOCEAN LTD.	09/19/2007	07/24/2009	565.00	773.00	-208.00
15. AMETEK INC (NEW) COM	05/06/2008	07/28/2009	500.00	756.00	-256.00
11. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/12/2008	07/31/2009	294.00	296.00	-2.00
18. CVS CORP COM	09/19/2007	08/06/2009	612.00	687.00	-75.00
5. FTI CONSULTING INC COM	11/06/2007	08/06/2009	230.00	274.00	-44.00
15. GILEAD SCIENCES INC COM	09/19/2007	08/06/2009	684.00	580.00	104.00
24. GILEAD SCIENCES INC COM	09/19/2007	08/06/2009	1,093.00	928.00	165.00
3. INTL BUSINESS MACHINES CORP COM	05/07/2008	08/06/2009	352.00	373.00	-21.00
20. PETSMART INC COM W/RTS ATTACHED EXP 08/2	09/18/2007	08/13/2009	433.00	680.00	-247.00
46. PETSMART INC COM W/RTS ATTACHED EXP 08/2	08/12/2008	08/20/2009	929.00	1,184.00	-255.00
12. MCAFEE INC	09/18/2007	08/27/2009	483.00	425.00	58.00
8. GILEAD SCIENCES INC COM	09/19/2007	08/28/2009	361.00	309.00	52.00
6. URS CORP NEW COM	08/26/2008	08/31/2009	258.00	277.00	-19.00
17. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/12/2008	09/11/2009	431.00	457.00	-26.00
9. URS CORP NEW COM	08/26/2008	09/11/2009	402.00	416.00	-14.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 37. UGI CORP NEW COM W/RTS ATTACHED EXP 04/29/2006	06/12/2008	09/17/2009	961.00	995.00	-34.00
4. QUALCOMM INC COM W/RTS ATTACHED	07/09/2008	09/18/2009	177.00	191.00	-14.00
11. ALLIANCE DATA SYSTEMS CORP COM	01/29/2008	09/21/2009	694.00	465.00	229.00
13. URS CORP NEW COM	09/03/2008	09/22/2009	543.00	590.00	-47.00
4. ALLIANCE DATA SYSTEMS CORP COM	01/29/2008	09/23/2009	250.00	169.00	81.00
83. MOTOROLA INC COM W/RTS ATTACHED EXP 11/8/2008	09/19/2007	09/24/2009	686.00	1,447.00	-761.00
203. GAP INC COM	09/19/2007	09/30/2009	4,336.00	3,829.00	507.00
62. MATTEL INC COM W/RIGHTS ATTACHED EXP 2/17/	09/19/2007	09/30/2009	1,139.00	1,471.00	-332.00
13. QUALCOMM INC COM W/RTS ATTACHED	09/22/2008	10/01/2009	557.00	614.00	-57.00
23. QUALCOMM INC COM W/RTS ATTACHED	05/21/2008	10/02/2009	960.00	1,085.00	-125.00
275. GAP INC COM	09/19/2007	10/07/2009	6,011.00	5,187.00	824.00
23. O REILLY AUTOMOTIVE INC COM RTS EXP 5/30	10/03/2008	10/07/2009	812.00	606.00	206.00
115. WYETH COM	09/19/2007	10/16/2009	5,795.00	5,273.00	522.00
13. CHURCH & DWIGHT CO INC COM W/RIGHTS ATTACHED EXP 9	11/19/2007	10/19/2009	721.00	673.00	48.00
42. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	05/01/2008	10/20/2009	1,009.00	1,134.00	-125.00
30. QUALCOMM INC COM W/RTS ATTACHED	07/02/2008	10/20/2009	1,237.00	1,397.00	-160.00
14. GILEAD SCIENCES INC COM	09/19/2007	10/23/2009	615.00	541.00	74.00
12. INTL BUSINESS MACHINES CORP COM	05/07/2008	10/23/2009	1,452.00	1,493.00	-41.00
.275 PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007	10/01/1997	10/23/2009	5.00	6.00	-1.00
24. ROPER INDS INC NEW COM W/RTS ATTACHED EX	05/14/2008	10/26/2009	1,234.00	1,517.00	-283.00
2. FMC TECHNOLOGIES INC COM	08/15/2008	10/30/2009	109.00	103.00	6.00
31. GILEAD SCIENCES INC COM	09/19/2007	10/30/2009	1,322.00	1,198.00	124.00
29. GILEAD SCIENCES INC COM	10/10/2008	11/02/2009	1,238.00	1,108.00	130.00
41. CVS CORP COM	09/19/2007	11/05/2009	1,191.00	1,566.00	-375.00
39. CVS CORP COM	09/19/2007	11/05/2009	1,113.00	1,489.00	-376.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10. HEWLETT-PACKARD CO COM	10/14/2008	11/05/2009	487.00	403.00	84.00
6. INTL BUSINESS MACHINES CORP COM	05/07/2008	11/05/2009	736.00	746.00	-10.00
19. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	09/19/2007	11/05/2009	850.00	1,101.00	-251.00
40. CVS CORP COM	09/19/2007	11/06/2009	1,188.00	1,528.00	-340.00
20. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	11/12/2007	11/06/2009	888.00	1,149.00	-261.00
13. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	01/10/2008	11/06/2009	582.00	728.00	-146.00
13. THERMO ELECTRON CORP COM W/RTS ATTACHED EXP 01/2	02/06/2008	11/09/2009	586.00	703.00	-117.00
9. AMERICAN TOWER CORP CL A	09/26/2008	11/30/2009	368.00	338.00	30.00
22. CHEVRONTXACO CORP COM	09/19/2007	11/30/2009	1,712.00	2,071.00	-359.00
31. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	05/01/2008	11/30/2009	727.00	798.00	-71.00
53. INTEL CORP COM	09/19/2007	11/30/2009	1,010.00	1,363.00	-353.00
15. INTL BUSINESS MACHINES CORP COM	09/19/2007	11/30/2009	1,887.00	1,747.00	140.00
42. KIMBERLY-CLARK CORP COM	09/19/2007	11/30/2009	2,764.00	2,942.00	-178.00
25. KRAFT FOODS INC-A COM	11/15/2007	11/30/2009	663.00	825.00	-162.00
43. MERCK & CO INC NEW	08/14/2008	11/30/2009	1,550.00	1,545.00	5.00
164. PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007	10/01/1997	11/30/2009	2,986.00	3,285.00	-299.00
9. TEVA PHARMACEUTICAL INDS LTD ADR	02/06/2008	11/30/2009	475.00	419.00	56.00
47. TRAVELERS COMPANIES, INC	10/10/2008	11/30/2009	2,440.00	1,464.00	976.00
3. TRANSOCEAN LTD.	09/19/2007	11/30/2009	258.00	331.00	-73.00
28. DOLLAR TREE INC	07/28/2008	12/01/2009	1,376.00	1,025.00	351.00
64. DOUGLAS EMMETT INC	03/27/2008	12/01/2009	883.00	1,429.00	-546.00
21. GLOBAL PMTS INC COM W/RIGHTS ATTACHED EXP 1/26/	09/18/2007	12/01/2009	1,085.00	858.00	227.00
90. KING PHARMACEUTICALS INC COM	07/17/2008	12/01/2009	1,067.00	1,024.00	43.00
43. MCAFEE INC	09/18/2007	12/01/2009	1,662.00	1,524.00	138.00
79. SBA COMMUNICATIONS CORP COM	10/13/2008	12/01/2009	2,560.00	2,291.00	269.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

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Employer identification number

54-6035733

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6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	-91,747.00
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Schedule D-1 (Form 1041) 2009

FEDERAL CAPITAL GAIN DIVIDENDS

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SHORT-TERM CAPITAL GAIN DIVIDENDS

PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I 2,575.00

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS 2,575.00

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LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ESSEX PPTY TR COM 2.00

PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I 716.00

PIMCO COMMODITY REAL RET STRAT-I#45 566.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS 1,285.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS 1,285.00

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149 BENEFICIARY DISTRIBUTIONS

06/12/09	CHARITABLE CONTRIBUTION CHILDREN S HOME SOCIETY OF VA FOR PAID TO CHILDREN'S HOME SOCIETY OF VA TR TRAN#=000000000000036 TR CD=865 REG= PORT=INC	-500 00	500 00	0906000025 **CHANGED** 06/16/09 MCB
06/12/09	CHARITABLE CONTRIBUTION CHILDREN'S HOSPITAL FOR PAID TO CHILDREN'S HOSPITAL TR TRAN#=000000000000037 TR CD=865 REG= PORT=INC	-500 00	-500 00	0906000026 **CHANGED** 06/16/09 MCB
06/12/09	CHARITABLE CONTRIBUTION CHILDREN'S MUSEUM OF RICHMOND FOR PAID TO CHILDREN'S MUSEUM OF RICHMOND TR TRAN#=000000000000038 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	0906000027 **CHANGED** 06/16/09 MCB
06/12/09	CHARITABLE CONTRIBUTION AMERICAN PRINTING HOUSE FOR THE BLIN D FOR PAID TO AMERICAN PRINTING HOUSE FOR THE BLIND TR TRAN#=000000000000045 TR CD=865 REG= PORT=INC	-250 00	-250 00	0906000014 **CHANGED** 06/16/09 MCB
06/12/09	CHARITABLE CONTRIBUTION AMERICAN RED CROSS FBO RICHMOND CHAP TER FOR PAID TO AMERICAN RED CROSS FBO RICHMOND CHAPTER TR TRAN#=000000000000026 TR CD=865 REG= PORT=INC	-2500 00	-2500 00	0906000015 **CHANGED** 06/16/09 MCB
06/12/09	CHARITABLE CONTRIBUTION ANIT-DEFAMATION LEAGUE FOR PAID TO ANIT-DEFAMATION LEAGUE TR TRAN#=000000000000027 TR CD=865 REG= PORT=INC	-200 00	-200 00	0906000016 **CHANGED** 06/16/09 MCB

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
06/12/09 CHARITABLE CONTRIBUTION ARTS COUNCIL OF RICHMOND FOR PAID TO ARTS COUNCIL OF RICHMOND TR TRAN#=000000000000028 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000017
06/12/09 CHARITABLE CONTRIBUTION AMERICAN HEART ASSOCIATION FOR PAID TO AMERICAN HEART ASSOCIATION TR TRAN#=000000000000024 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000013
06/12/09 CHARITABLE CONTRIBUTION BARKSDALE THEATRE FOR PAID TO BARKSDALE THEATRE TR TRAN#=000000000000029 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000018
06/12/09 CHARITABLE CONTRIBUTION BETH SHOLOM HOME OF VIRGINIA FOR PAID TO BETH SHOLOM HOME OF VIRGINIA TR TRAN#=000000000000031 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000020
06/12/09 CHARITABLE CONTRIBUTION BETH AHABAH MUSEUM & ARCHIVE TRUST FOR PAID TO BETH AHABAH MUSEUM & ARCHIVE TRUST TR TRAN#=000000000000030 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000019
06/12/09 CHARITABLE CONTRIBUTION BON SECOURS RICHMOND HEALTH CARE FOU NDATION FOR PAID TO BON SECOURS RICHMOND HEALTH CARE FOUNDAT TR TRAN#=000000000000032 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000021
06/12/09 CHARITABLE CONTRIBUTION BOYS AND GIRLS CLUB OF RICHMOND FOR PAID TO BOYS AND GIRLS CLUB OF RICHMOND TR TRAN#=000000000000033 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000022
06/12/09 CHARITABLE CONTRIBUTION CENTRAL VA FOODBANK INC FOR PAID TO CENTRAL VA FOODBANK INC TR TRAN#=000000000000034 TR CD=865 REG= PORT=INC	-1500 00	-1500 00	**CHANGED** 06/16/09 MCB	0906000023
06/12/09 CHARITABLE CONTRIBUTION CHILDSAVERS OF RICHMOND FOR PAID TO CHILDSAVERS OF RICHMOND TR TRAN#=000000000000035 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000024
06/12/09 CHARITABLE CONTRIBUTION COLLEGIATE SCHOOLS FOR PAID TO COLLEGIATE SCHOOLS TR TRAN#=000000000000040 TR CD=865 REG= PORT=INC	-1500 00	-1500 00	**CHANGED** 06/16/09 MCB	0906000029
06/12/09 CHARITABLE CONTRIBUTION COMMUNITY IDEA STATIONS FOR PAID TO COMMUNITY IDEA STATIONS TR TRAN#=000000000000041 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000030
06/12/09 CHARITABLE CONTRIBUTION CONGREGATION BETH AHABAH FOR PAID TO CONGREGATION BETH AHABAH TR TRAN#=000000000000042 TR CD=865 REG= PORT=INC	-1500 00	-1500 00	**CHANGED** 06/16/09 MCB	0906000031
06/12/09 CHARITABLE CONTRIBUTION COVENANT HOUSE FOR PAID TO COVENANT HOUSE TR TRAN#=000000000000043 TR CD=865 REG= PORT=INC	-200 00	-200 00	**CHANGED** 06/16/09 MCB	0906000032
06/12/09 CHARITABLE CONTRIBUTION COLLEGE FUND UNCF FOR PAID TO COLLEGE FUND UNCF TR TRAN#=000000000000039 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000028
06/12/09 CHARITABLE CONTRIBUTION THE DAILY PLANET FOR PAID TO THE DAILY PLANET TR TRAN#=000000000000045 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000034

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
06/12/09 CHARITABLE CONTRIBUTION DOCTORS WITHOUT BORDERS FOR PAID TO DOCTORS WITHOUT BORDERS TR TRAN#=000000000000046 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED**	0906000035 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION FAN FREE CLINIC FOR PAID TO FAN FREE CLINIC TR TRAN#=000000000000047 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED**	0906000036 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION FRIENDS OF THE RICHMOND PUBLIC LIBRARY FOR PAID TO FRIENDS OF THE RICHMOND PUBLIC LIBRARY TR TRAN#=000000000000048 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED**	0906000037 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION CYSTIC FIBROSIS FOUNDATION - VIRGINIA A FOR PAID TO CYSTIC FIBROSIS FOUNDATION - VIRGINIA TR TRAN#=000000000000044 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED**	0906000033 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION HAND WORKSHOP FOR PAID TO HAND WORKSHOP TR TRAN#=000000000000054 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED**	0906000043 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION HEART OF VIRGINIA COUNCIL, BOY SCOUTS OF AMERICA FOR PAID TO HEART OF VIRGINIA COUNCIL, BOY SCOUTS OF TR TRAN#=000000000000055 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED**	0906000044 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION HISTORIC RICHMOND FOUNDATION FOR PAID TO HISTORIC RICHMOND FOUNDATION TR TRAN#=000000000000056 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED**	0906000045 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION HOSPITAL HOSPITALITY HOUSE FOR PAID TO HOSPITAL HOSPITALITY HOUSE TR TRAN#=000000000000057 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED**	0906000046 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION THE GARDEN CONSERVANCY FOR PAID TO THE GARDEN CONSERVANCY TR TRAN#=000000000000049 TR CD=865 REG= PORT=INC	-7500 00	-2500 00	**CHANGED**	0906000038 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION GLAUCOMA FOUNDATION FOR PAID TO GLAUCOMA FOUNDATION TR TRAN#=000000000000050 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED**	0906000039 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION GREATER RICHMOND BAR FOUNDATION FOR CENTRAL FOR PAID TO GREATER RICHMOND BAR FOUNDATION FOR CENTRAL TR TRAN#=000000000000051 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED**	0906000040 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION GREATER RICHMOND SCAN FOR PAID TO GREATER RICHMOND SCAN TR TRAN#=000000000000052 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED**	0906000041 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION GUIDING EYES FOR BLIND FOR PAID TO GUIDING EYES FOR BLIND TR TRAN#=000000000000053 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED**	0906000042 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION JEFFERSON SCHOLARS PROGRAM FOR PAID TO JEFFERSON SCHOLARS PROGRAM TR TRAN#=000000000000058 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED**	0906000047 06/16/09 MCB
06/12/09 CHARITABLE CONTRIBUTION JEWISH COMMUNITY FEDERATION OF RICHMOND FOR	-3000 00	-3000 00	**CHANGED**	0906000048 06/16/09 MCB

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
PAID TO JEWISH COMMUNITY FEDERATION OF RICHMOND TR TRAN#=000000000000059 TR CD=865 REG= PORT=INC				
06/12/09 CHARITABLE CONTRIBUTION JEWISH COMMUNITY CENTER OF RICHMOND FOR PAID TO JEWISH COMMUNITY CENTER OF RICHMOND TR TRAN#=000000000000060 TR CD=865 REG= PORT=INC	-2500 00	-2500 00	**CHANGED** 06/16/09 MCB	0906000049
06/12/09 CHARITABLE CONTRIBUTION JEWISH FAMILY SERVICES FOR PAID TO JEWISH FAMILY SERVICES TR TRAN#=000000000000061 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000050
06/12/09 CHARITABLE CONTRIBUTION JUNIOR ACHIEVEMENT FOR PAID TO JUNIOR ACHIEVEMENT TR TRAN#=000000000000062 TR CD=865 REG= PORT=INC	-750 00	-750 00	**CHANGED** 06/16/09 MCB	0906000051
06/12/09 CHARITABLE CONTRIBUTION LAWRENCEVILLE SCHOOL FOR PAID TO LAWRENCEVILLE SCHOOL TR TRAN#=000000000000063 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000052
06/12/09 CHARITABLE CONTRIBUTION LEWIS GINTER BOTANICAL GARDEN FOR PAID TO LEWIS GINTER BOTANICAL GARDEN TR TRAN#=000000000000064 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000053
06/12/09 CHARITABLE CONTRIBUTION MACULAR DEGENERATION RESEARCH FOR PAID TO MACULAR DEGENERATION RESEARCH TR TRAN#=000000000000065 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000054
06/12/09 CHARITABLE CONTRIBUTION THE MASSEY CANCER CENTER FOR PAID TO THE MASSEY CANCER CENTER TR TRAN#=000000000000066 TR CD=865 REG= PORT=INC	-2500 00	-2500 00	**CHANGED** 06/16/09 MCB	0906000055
06/12/09 CHARITABLE CONTRIBUTION MAYMONT FOUNDATION FOR PAID TO MAYMONT FOUNDATION TR TRAN#=000000000000067 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000056
06/12/09 CHARITABLE CONTRIBUTION MCV FOUNDATION - VCU PAULEY HEART CE NTER FOR PAID TO MCV FOUNDATION - VCU PAULEY HEART CENTER TR TRAN#=000000000000068 TR CD=865 REG= PORT=INC	-2500 00	-2500 00	**CHANGED** 06/16/09 MCB	0906000057
06/12/09 CHARITABLE CONTRIBUTION NATIONAL CONFERENCE FOR COMMUNITY AN D FOR PAID TO NATIONAL CONFERENCE FOR COMMUNITY AND TR TRAN#=000000000000070 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000059
06/12/09 CHARITABLE CONTRIBUTION NATIONAL MULTIPLE SCLEROSIS SOCIETY FOR PAID TO NATIONAL MULTIPLE SCLEROSIS SOCIETY TR TRAN#=000000000000071 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000060
06/12/09 CHARITABLE CONTRIBUTION NEW YORK BOTANICAL GARDEN FOR PAID TO NEW YORK BOTANICAL GARDEN TR TRAN#=000000000000072 TR CD=865 REG= PORT=INC	-1400 00	-1400 00	**CHANGED** 06/16/09 MCB	0906000061
06/12/09 CHARITABLE CONTRIBUTION NEW YORK HOSPITAL - CORNELL MEDICAL CENTER FOR PAID TO NEW YORK HOSPITAL - CORNELL MEDICAL CENT TR TRAN#=000000000000073 TR CD=865 REG= PORT=INC	-3500 00	-3500 00	**CHANGED** 06/16/09 MCB	0906000062
06/12/09 CHARITABLE CONTRIBUTION MEALS ON WHEELS FOR PAID TO MEALS ON WHEELS TR TRAN#=000000000000069 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000058

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
06/12/09 CHARITABLE CONTRIBUTION RICHMOND SPCA FOR PAID TO RICHMOND SPCA TR TRAN#000000000000075 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000064
06/12/09 CHARITABLE CONTRIBUTION THE RICHMOND SYMPHONY FOR PAID TO THE RICHMOND SYMPHONY TR TRAN#000000000000076 TR CD=865 REG= PORT=INC	-2750 00	-2750 00	**CHANGED** 06/16/09 MCB	0906000065
06/12/09 CHARITABLE CONTRIBUTION THE SALVATION ARMY FOR PAID TO THE SALVATION ARMY TR TRAN#000000000000077 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000066
06/12/09 CHARITABLE CONTRIBUTION SCIENCE MUSEUM OF VIRGINIA FOUNDATIO N FOR PAID TO SCIENCE MUSEUM OF VIRGINIA FOUNDATION TR TRAN#000000000000078 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000067
06/12/09 CHARITABLE CONTRIBUTION RICHMOND BALLET FOR PAID TO RICHMOND BALLET TR TRAN#000000000000074 TR CD=865 REG= PORT=INC	-750 00	-750 00	**CHANGED** 06/16/09 MCB	0906000063
06/12/09 CHARITABLE CONTRIBUTION SMITH COLLEGE FOR PAID TO SMITH COLLEGE TR TRAN#000000000000080 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000069
06/12/09 CHARITABLE CONTRIBUTION ST JOSEPH'S VILLA FOR PAID TO ST JOSEPH'S VILLA TR TRAN#000000000000081 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000070
06/12/09 CHARITABLE CONTRIBUTION THEATRE IV FOR PAID TO THEATRE IV TR TRAN#000000000000082 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000071
06/12/09 CHARITABLE CONTRIBUTION TUCKAHOE VOLUNTEER RESCUE SQUAD FOR PAID TO TUCKAHOE VOLUNTEER RESCUE SQUAD TR TRAN#000000000000083 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000072
06/12/09 CHARITABLE CONTRIBUTION SHELTERING ARMS REHABILITATION HOSPI TAL FOR PAID TO SHELTERING ARMS REHABILITATION HOSPITAL TR TRAN#000000000000079 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000068
06/12/09 CHARITABLE CONTRIBUTION UNIVERSITY OF RICHMOND FOR PAID TO UNIVERSITY OF RICHMOND TR TRAN#000000000000085 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000074
06/12/09 CHARITABLE CONTRIBUTION UNIVERSITY OF VIRGINIA FOR PAID TO UNIVERSITY OF VIRGINIA TR TRAN#000000000000086 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000075
06/12/09 CHARITABLE CONTRIBUTION VIRGINIA LEAGUE FOR PLANNED PARENTHO OD FOR PAID TO VIRGINIA LEAGUE FOR PLANNED PARENTHOOD TR TRAN#000000000000087 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000076
06/12/09 CHARITABLE CONTRIBUTION VIRGINIA MUSEUM OF FINE ARTS FOUNDAT ION FOR PAID TO VIRGINIA MUSEUM OF FINE ARTS FOUNDATION TR TRAN#000000000000088 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000077

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149	BENEFICIARY DISTRIBUTIONS (CONTINUED)				
06/12/09	CHARITABLE CONTRIBUTION UNITED WAY SERVICES FOR PAID TO UNITED WAY SERVICES TR TRAN#=000000000000084 TR CD=865 REG= PORT=INC	-3000 00	-3000 00	**CHANGED** 06/16/09 MCB	0906000073
06/12/09	CHARITABLE CONTRIBUTION THE VIRGINIA HOME FOR PAID TO THE VIRGINIA HOME TR TRAN#=000000000000094 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000083
06/12/09	CHARITABLE CONTRIBUTION VIRGINIA VOICE FOR PAID TO VIRGINIA VOICE TR TRAN#=000000000000095 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000084
06/12/09	CHARITABLE CONTRIBUTION VOLUNTEER EMERGENCY FAMILIES FOR CHI LDREN FOR PAID TO VOLUNTEER EMERGENCY FAMILIES FOR CHILDRE TR TRAN#=000000000000096 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000085
06/12/09	CHARITABLE CONTRIBUTION YMCA OF NORTH RICHMOND FOR PAID TO YMCA OF NORTH RICHMOND TR TRAN#=000000000000097 TR CD=865 REG= PORT=INC	-1500 00	-1500 00	**CHANGED** 06/16/09 MCB	0906000086
06/12/09	CHARITABLE CONTRIBUTION THE VALENTINE MUSEUM FOR PAID TO THE VALENTINE MUSEUM TR TRAN#=000000000000089 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 06/16/09 MCB	0906000078
06/12/09	CHARITABLE CONTRIBUTION VANDERBILT LAW SCHOOL FOR PAID TO VANDERBILT LAW SCHOOL TR TRAN#=000000000000090 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000079
06/12/09	CHARITABLE CONTRIBUTION VIRGINIA COLLEGE FUND FOR PAID TO VIRGINIA COLLEGE FUND TR TRAN#=000000000000091 TR CD=865 REG= PORT=INC	-250 00	-250 00	**CHANGED** 06/16/09 MCB	0906000080
06/12/09	CHARITABLE CONTRIBUTION VIRGINIA COMMONWEALTH UNIVERSITY FOR PAID TO VIRGINIA COMMONWEALTH UNIVERSITY TR TRAN#=000000000000092 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 06/16/09 MCB	0906000081
06/12/09	CHARITABLE CONTRIBUTION VIRGINIA HISTORICAL SOCIETY FOR PAID TO VIRGINIA HISTORICAL SOCIETY TR TRAN#=000000000000093 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000082
06/12/09	CHARITABLE CONTRIBUTION WASHINGTON AND LEE UNIVERSIY FOR PAID TO WASHINGTON AND LEE UNIVERSIY TR TRAN#=000000000000098 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 06/16/09 MCB	0906000087
06/24/09	CHARITABLE CONTRIBUTION BON SECOURS FOUNDATION FBO CULLATHER BRAIN HEALING VIBRATIONS PROJECT FOR PAID TO BON SECOURS FOUNDATION FBO CULLATHER BRA TR TRAN#=000000000000003 TR CD=865 REG=0 PORT=INC	-500 00	-500 00	**CHANGED** 04/29/10 ALK	0906000094
12/16/09	CHARITABLE CONTRIBUTION BON SECOURS RICHMOND HEALTHCARE FOUN DATION FOR PAID TO BON SECOURS RICHMOND HEALTHCARE FOUNDATI TR TRAN#=000000000000004 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 04/29/10 ALK	0912000034
12/16/09	CHARITABLE CONTRIBUTION COLLEGIATE SCHOOL FOR PAID TO COLLEGIATE SCHOOL TR TRAN#=000000000000005 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 04/29/10 ALK	0912000035

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PREP 552 ADMN 8505 INV 4198

WELLS FARGO BANK, N A
TAX TRANSACTION DETAIL

PAGE 122
RUN 08/10/2010
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TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
149 BENEFICIARY DISTRIBUTIONS (CONTINUED)				
12/16/09 CHARITABLE CONTRIBUTION RICHMOND SYMPHONY ORCHESTRA FOR PAID TO RICHMOND SYMPHONY ORCHESTRA TR TRAN#000000000000002 TR CD=865 REG= PORT=INC	-2500 00	-2500 00	**CHANGED** 04/29/10 ALK	0912000032
12/16/09 CHARITABLE CONTRIBUTION CONGREGATION BETH AHABAH FOR PAID TO CONGREGATION BETH AHABAH TR TRAN#000000000000003 TR CD=865 REG= PORT=INC	-2000 00	-2000 00	**CHANGED** 04/29/10 ALK	0912000033
12/16/09 CHARITABLE CONTRIBUTION THEATRE IV FOR PAID TO THEATRE IV TR TRAN#000000000000006 TR CD=865 REG= PORT=INC	-1500 00	-1500 00	**CHANGED** 04/29/10 ALK	0912000036
12/16/09 CHARITABLE CONTRIBUTION ST JAME'S EPISCOPAL CHURCH FOR PAID TO ST JAME'S EPISCOPAL CHURCH TR TRAN#000000000000007 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 04/29/10 ALK	0912000037
12/16/09 CHARITABLE CONTRIBUTION JEWISH COMMUNITY FEDERATION OF RICHM OND FOR PAID TO JEWISH COMMUNITY FEDERATION OF RICHMOND TR TRAN#000000000000008 TR CD=865 REG= PORT=INC	-1500.00	-1500 00	**CHANGED** 04/29/10 ALK	0912000038
12/16/09 CHARITABLE CONTRIBUTION AMERICAN RED CROSS - RICHMOND CHAPTE R FOR PAID TO AMERICAN RED CROSS - RICHMOND CHAPTER TR TRAN#000000000000009 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 04/29/10 ALK	0912000039
12/16/09 CHARITABLE CONTRIBUTION CENTRAL VIRGINIA FOODBANK, INC FOR PAID TO CENTRAL VIRGINIA FOODBANK, INC TR TRAN#000000000000010 TR CD=865 REG= PORT=INC	-1000 00	-1000 00	**CHANGED** 04/29/10 ALK	0912000040
12/16/09 CHARITABLE CONTRIBUTION JEWISH FAMILY SERVICES FOR PAID TO JEWISH FAMILY SERVICES TR TRAN#000000000000011 TR CD=865 REG= PORT=INC	-500 00	-500 00	**CHANGED** 04/29/10 ALK	0912000041
12/22/09 CHARITABLE CONTRIBUTION UNITED WAY OF GREATER RICHMOND FOR PAID TO UNITED WAY OF GREATER RICHMOND TR TRAN#000000000000001 TR CD=865 REG=0 PORT=INC	-1000 00	-1000 00	**CHANGED** 04/29/10 ALK	0912000045
TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS	-90800 00	-90800 00	0 00	

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WELLS FARGO BANK, N A
INVEST AFC/AFP INTERFACE

PAGE 1
AS OF 05/31/10

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ~~XXXXXXXXXX~~ SCHWARZSCHILD-FD AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL								
=====								
TEMPORARY INVESTMENTS								

299920330	EVERGREEN PRIME CASH FD (FD #494)			69,169 35	69,169.35			
TOTAL	TEMPORARY INVESTMENTS			69,169 35	69,169.35			
PREFERRED STOCK - NONCONVERTIBLE								

949746887	WELLS FARGO & CO PFD STK (CALLABLE)	19		0.99	13 30		0.00	0.00
TOTAL	PREFERRED STOCK - NONCONVERTIBLE	19		0 99	13 30		0.00	0.00
MUTUAL FUNDS - FIXED TAXABLE								

4812C0803	JP MORGAN HIGH YIELD BOND-SEL	11,497 444		94,394.02	88,990 22		94,394.02	94,394 02
693390700	PIMCO TOTAL RETURN FD INST CL	29,634.2		313,748 46	320,049 36		313,748.46	313,748.46
693391559	PIMCO EMERGING MARKETS BOND FD INS CL	3,495		29,288 10	36,068.40		29,288 10	29,288.10
TOTAL	MUTUAL FUNDS - FIXED TAXABLE	44,626 644		437,430 58	445,107 98		437,430.58	437,430.58
MUTUAL FUNDS - OTHER								

44980Q518	ING INTERNATIONAL REAL ESTATE	3,851 512		47,219.54	31,466.85		47,219.54	47,219.54
722005667	PIMCO COMMODITY REALRETURN STRATEGY FD INSTL	5,716 94		36,073.89	47,336 26		36,073 89	36,073.89
779919109	T ROWE PRICE REAL ESTATE FD	3,348.573		60,542 20	46,310.76		60,542 20	60,542.20
TOTAL	MUTUAL FUNDS - OTHER	12,917 025		143,835 63	125,113.87		143,835.63	143,835.63
MUTUAL FUNDS - EQUITY								

26203E836	DREYFUS THE BOSTON COMPANY SMALL CAP GROWTH FUND CL I	1,318 278		67,091.42	58,808.38		65,996.45	65,996 45

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ~~255279~~ SCHWARZSCHILD-FD AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS / ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
26203E851	DREYFUS THE BOSTON COMPANY SMALL CAP VALUE FUND CL I	3,090.906		66,047.01	59,870.85	63,884.18	63,884.18
38142Y401	GOLDMAN SACHS GROWTH OPPORTUNITIES FD INS CL	4,046.731		80,003.88	83,484.06	80,003.88	80,003.88
47103C241	PERKINS MID CAP VALUE FD CL I	4,115.149		79,998.50	81,479.95	79,998.50	79,998.50
TOTAL	MUTUAL FUNDS - EQUITY	12,571.064		293,140.81	283,643.24	289,883.01	289,883.01

256206103	MUTUAL FUNDS - INTERNATIONAL EQUITY DODGE & COX INTERNATIONAL STOCK FD	5,528.453		262,048.66	176,081.23	262,048.66	262,048.66
52106N889	LAZARD EMERGING MKTS PORTFOLIO	4,654.31		49,242.60	83,824.12	49,242.60	49,242.60
TOTAL	MUTUAL FUNDS - INTERNATIONAL EQUITY	10,182.763		311,291.26	259,905.35	311,291.26	311,291.26
PRINCIPAL CASH							
				0.00	0.00		
*****	PRINCIPAL TOTAL	80,316.496		1,254,868.62	1,182,953.09	1,182,440.48	1,182,440.48
INCOME							
=====							
TEMPORARY INVESTMENTS							
299920330	EVERGREEN PRIME CASH FD (FD #494)			3,981.58	3,981.58		
TOTAL	TEMPORARY INVESTMENTS			3,981.58	3,981.58		
INCOME CASH							
				0.00	0.00		
*****	INCOME TOTAL			3,981.58	3,981.58	0.00	0.00
ASSET FILE TOTAL							
		80,316.496		1,258,850.20	1,186,934.67	1,182,440.48	1,182,440.48

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR [REDACTED] SCHWARZSCHILD AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK	VALUE	MARKET	VALUE	FED	TAX	COST	STATE	TAX	COST
	PRINCIPAL													
	=====													
	PRINCIPAL CASH				0 00			0.00						
*****	PRINCIPAL TOTAL				0.00			0.00		0 00				0.00
	INCOME													
	=====													
	TEMPORARY INVESTMENTS													

299920330	EVERGREEN PRIME CASH FD (FD #494)				71.10			71.10						
	TOTAL				71.10			71.10						
	INCOME CASH				0 00			0.00						
*****	INCOME TOTAL				71 10			71.10		0 00				0 00
	ASSET FILE TOTAL				71 10			71.10		0 00				0.00

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ~~XXXXXXXXXX~~ SCHWARZSCHILD-DC AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL								
=====								
TEMPORARY INVESTMENTS								

299920330	EVERGREEN PRIME CASH FD (FD #494)				8,614.56	8,614.56		
TOTAL TEMPORARY INVESTMENTS								
					8,614.56	8,614.56		
COMMON STOCKS								

00206R102	AT&T INC COM	209		8,702.76	5,858.27	5,858.27	8,702.76	8,702.76
020002101	ALLSTATE CORP COM	159		9,012.12	4,776.36	4,776.36	9,012.12	9,012.12
039483102	ARCHER DANIELS MIDLAND CO COM	203		4,329.02	6,355.93	6,355.93	4,329.02	4,329.02
064058100	BANK OF NEW YORK MELLON CORP COM	158		4,974.93	4,419.26	4,419.26	4,974.93	4,974.93
110122108	BRISTOL-MYERS SQUIBB CO COM	255		7,387.35	6,438.75	6,438.75	7,387.35	7,387.35
126650100	CVS CAREMARK CORP COM	190		5,291.35	6,119.90	6,119.90	5,291.35	5,291.35
14149Y108	CARDINAL HLTH INC COM	179		4,541.22	5,770.96	5,770.96	4,493.65	4,493.65
166764100	CHEVRON CORP COM	73		6,870.76	5,620.27	5,620.27	6,870.76	6,870.76
20825C104	CONOCOPHILLIPS COM	102		9,033.12	5,209.14	5,209.14	9,033.12	9,033.12
263534109	DU PONT E I DE NEMOURS & CO COM	180		8,980.20	6,060.60	6,060.60	8,980.20	8,980.20
281020107	EDISON INTL COM	178		4,992.99	6,190.84	6,190.84	4,992.99	4,992.99
423074103	HEINZ H J CO COM	124		5,738.72	5,302.24	5,302.24	5,738.72	5,738.72
458140100	INTEL CORP COM	301		7,738.71	6,140.40	6,140.40	7,738.71	7,738.71
459200101	INTL BUSINESS MACHINES CORP COM	45		5,240.25	5,890.50	5,890.50	5,240.25	5,240.25
478160104	JOHNSON & JOHNSON COM	87		5,861.33	5,603.67	5,603.67	5,861.33	5,861.33
494368103	KIMBERLY-CLARK CORP COM	86		6,024.30	5,479.06	5,479.06	6,024.30	6,024.30

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ~~*****~~ SCHWARZSCHILD-DC AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
50075N104	KRAFT FOODS INC CL A COM	227			7,494 45	6,169.86	7,494 45	7,494.45
548661107	LOWE'S COS INC COM	286			5,841 20	6,689 54	5,841 20	5,841 20
565849106	MARATHON OIL CORP COM	146			7,632.25	4,558 12	7,632 25	7,632.25
577081102	MATTEL INC COM	318			7,542 96	6,353.64	7,542 96	7,542 96
58933Y105	MERCK & CO INC COM	158			5,677 99	5,773 32	5,677 99	5,677 99
620076109	MOTOROLA INC COM	699			10,444.72	5,424 24	10,238 25	10,238.25
637071101	NATIONAL OILWELL VARCO INC COM	137			5,774.18	6,040.33	5,774 18	5,774 18
666807102	NORTHROP GRUMMAN CORP COM	106			5,116.99	5,920.10	5,116 99	5,116.99
717081103	PFIZER INC COM	311			7,935.59	5,657 09	5,961 53	5,961 53
743263105	PROGRESS ENERGY INC COM	166			7,885 00	6,807 66	7,885 00	7,885 00
748341100	QUEST DIAGNOSTICS INC COM	108			5,778.75	6,521 04	5,778.75	5,778.75
786514208	SAFEWAY INC COM NEW	267			8,980 20	5,684 43	8,980 20	8,980 20
89417E109	TRAVELERS COS INC COM	111			3,456.67	5,534 46	3,456.67	3,456.67
92343V104	VERIZON COMMUNICATIONS COM	192			8,389.77	6,360.96	8,389 77	8,389 77
941061109	WASTE MGMT INC DEL COM	202			7,869.92	6,829 62	7,869 92	7,869.92
984121103	XEROX CORP COM	527			9,027 51	4,458 42	9,027 51	9,027 51
TOTAL	COMMON STOCKS	6,490			219,567.28	186,018.98	217,339 18	217,339 18
	PRINCIPAL CASH				0 00	0.00		
*****	PRINCIPAL TOTAL	6,490			228,181 84	194,633.54	217,339.18	217,339 18

INCOME
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DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ██████████ SCHWARZSCHILD-DC AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK	VALUE	MARKET	VALUE	FED	TAX	COST	STATE	TAX	COST
TEMPORARY INVESTMENTS														

299920330	EVERGREEN PRIME CASH FD (FD #494)				5,897	54		5,897	54					
TOTAL	TEMPORARY INVESTMENTS				5,897	54		5,897	54					
	INCOME CASH				0.00			0.00						
*****	INCOME TOTAL				5,897	54		5,897	54		0	00		0
	ASSET FILE TOTAL	6,490			234,079	38		200,531	08		217,339	18		217,339

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR SCHWARZSCHILD-WF AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL								
=====								
TEMPORARY INVESTMENTS								

299920330	EVERGREEN PRIME CASH FD (FD #494)				2,551.54	2,551.54		
TOTAL	TEMPORARY INVESTMENTS				2,551.54	2,551.54		
COMMON STOCKS								

009158106	AIR PRODUCTS & CHEMICALS INC COM	55			4,476.45	4,458.30	4,468.45	4,468.45
023135106	AMAZON COM INC COM	40			3,645.34	5,380.80	3,527.89	3,527.89
025816109	AMERICAN EXPRESS COMPANY COM	128			3,819.30	5,186.56	3,640.50	3,640.50
029912201	AMERICAN TOWER CORP CL A	105			4,004.15	4,537.05	3,584.16	3,584.16
037833100	APPLE INC COM	61			8,587.15	12,854.65	7,766.22	7,766.22
054303102	AVON PRODS INC COM	167			5,770.06	5,260.50	5,753.47	5,753.47
060505104	BANK OF AMERICA CORP COM	343			4,234.95	5,165.58	4,128.24	4,128.24
086516101	BEST BUY INC COM	108			4,163.61	4,261.68	4,151.53	4,151.53
111320107	BROADCOM CORP COM	140			3,414.44	4,405.80	3,382.02	3,382.02
17275R102	CISCO SYSTEMS INC COM	312			7,419.65	7,469.28	6,646.78	6,646.78
247361702	DELTA AIRLINES INC DEL COM	358			3,146.58	4,074.04	3,132.17	3,132.17
25490A101	DIRECTV-CLASS A COM	224			5,321.54	7,470.40	5,039.22	5,039.22
268648102	E M C CORP MASS COM	207			3,234.18	3,616.29	3,216.21	3,216.21
278642103	EBAY INC COM	209			4,758.89	4,917.77	4,739.57	4,739.57
302182100	EXPRESS SCRIPTS INC CL A COM	69			5,805.89	5,962.98	5,794.51	5,794.51
345370860	FORD MTR CO COM	452			3,545.00	4,520.00	3,521.25	3,521.25

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR SCHWARZSCHILD-WF AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
35671D857	FREEMAN MCMORAN COPPER & GOLD CL B COM	29			1,708.28	2,328.41	1,553.83	1,553.83
38259P508	GOOGLE INC-CL A COM	16			7,673.87	9,919.68	6,870.32	6,870.32
452308109	ILLINOIS TOOL WORKS INC COM	109			4,979.72	5,230.91	4,942.30	4,942.30
48203R104	JUNIPER NETWORKS INC COM	204			5,403.94	5,440.68	5,377.48	5,377.48
57636Q104	MASTERCARD INC-CL A COM	25			5,832.96	6,399.50	5,818.99	5,818.99
594918104	MICROSOFT CORP COM	341			7,670.77	10,393.68	7,239.59	7,239.59
620076109	MOTOROLA INC COM	805			7,004.07	6,246.80	6,960.46	6,960.46
64110D104	NETAPP INC COM	77			2,554.42	2,645.72	2,554.42	2,554.42
655044105	NOBLE ENERGY INC COM	41			2,389.87	2,920.02	2,366.16	2,366.16
68389X105	ORACLE CORP COM	243			5,331.42	5,960.79	5,324.76	5,324.76
741503403	PRICELINE.COM INC COM	26			4,342.50	5,678.66	4,334.96	4,334.96
747525103	QUALCOMM INC COM	98			4,314.24	4,533.48	3,906.25	3,906.25
867224107	SUNCOR ENERGY INC COM	86			2,629.82	3,036.66	2,520.79	2,520.79
872540109	TJX COS INC NEW COM	89			3,107.54	3,252.95	3,069.32	3,069.32
881624209	TEVA PHARMACEUTICAL INDS LTD ADR	91			4,123.91	5,112.38	4,105.86	4,105.86
882508104	TEXAS INSTRUMENTS INC COM	184			4,678.61	4,795.04	4,678.61	4,678.61
984332106	YAHOO! INC COM	298			5,038.40	5,000.44	5,018.11	5,018.11
G2554F105	COVIDIEN PLC COM	90			3,955.41	4,310.10	3,948.77	3,948.77
G47791101	INGERSOLL-RAND PLC COM	108			3,085.53	3,859.92	3,048.38	3,048.38
G491BT108	INVESCO LTD	126			2,244.43	2,959.74	2,197.28	2,197.28

DETAIL LIST
SELECTED ACCOUNTS 12/31/09 THROUGH 12/31/09
SETTLE DATE POSITION FOR ██████████ SCHWARZSCHILD-WF AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
G5876H105	MARVELL TECHNOLOGY GROUP LTD COM	156			2,926 69	3,237 00	2,926 69	2,926.69
H8817H100	TRANSOCEAN LTD	45			5,039 26	3,726.00	4,460 27	4,460 27
H89128104	TYCO INTERNATIONAL LTD COM	125			2,533 13	4,460 00	2,490 87	2,490.87
TOTAL	COMMON STOCKS	6,390			173,915 97	200,990 24	168,206 66	168,206.66
	PRINCIPAL CASH				0.00	0.00		
*****	PRINCIPAL TOTAL	6,390			176,467 51	203,541 78	168,206.66	168,206.66
	INCOME							
	=====							
	TEMPORARY INVESTMENTS							
299920330	EVERGREEN PRIME CASH FD (FD #494)				741 49	741 49		
TOTAL	TEMPORARY INVESTMENTS				741 49	741 49		
	INCOME CASH				0 00	0 00	0 00	0.00
*****	INCOME TOTAL				741 49	741 49		
	ASSET FILE TOTAL	6,390			177,209 00	204,283.27	168,206 66	168,206 66

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Electronic Filing (e-file) Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization KATHRYN & W H SCHWARZSCHILD	Employer identification number 54-6035733
	Number, street, and room or suite no. If a P.O. box, see instructions 1525 WEST WT HARRIS BLVD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHARLOTTE, NC 28288-5709	

Check type of return to be filed (file a separate application for each return).

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ► WACHOVIA BANK

Telephone No. ► (540) 563-7744

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/16, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 2009 or
 ► ☐ tax year beginning _____, _____, and ending _____, _____.

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 1,926.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 340.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 1,586.

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization		Employer identification number
	KATHRYN & W H SCHWARZSCHILD		54-6035733
	Number, street, and room or suite no. If a P.O. box, see instructions		For IRS use only
	1525 WEST WT HARRIS BLVD		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	CHARLOTTE, NC 28288-5709		

Check type of return to be filed (File a separate application for each return):

☐ Form 990	☒ Form 990-PF	☐ Form 1041-A	☐ Form 6069
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 4720	☐ Form 8870
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

- The books are in the care of **WACHOVIA BANK**
Telephone No. **(540) 563-7744** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4** I request an additional 3-month extension of time until 11/15/2010.
- 5** For calendar year 2009, or other tax year beginning _____, and ending _____.
- 6** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7** State in detail why you need the extension ADDITIONAL INFORMATION IS NEEDED IN ORDER TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	1,926.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	340.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	1,586.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Brandi A. Dotson Title _____ Date 07/20/2010

Form **8868** (Rev 4-2009)

WELLS FARGO BANK, N.A.

1525 W W.T. HARRIS BLVD. D1114-044

CHARLOTTE, NC 28288-1161