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Form **990-PF**

# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

**HARRY F. DUNCAN FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address)

**409 WASHINGTON AVENUE, SUITE 900**

Room/suite

City or town, state, and ZIP code

**TOWSON****MD 21204**

A Employer identification number

**52-6054187**

B Telephone number (see page 10 of the instructions)

**410-494-0100**C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 3,061,126** (Part I, column (d) must be on cash basis)  
 J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify)

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	92	90		
4 Dividends and interest from securities	58,402	58,402		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-85,082			
b Gross sales price for all assets on line 6a	231,563			
7 Capital gain net income (from Part IV, line 2)		0		
8 Net short-term capital gain		0		
9 Income modifications				
10a Gross sales less returns & allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) <b>STMT #1</b>	46	46		
12 Total. Add lines 1 through 11	-26,542	58,538	0	
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) <b>STMT #1</b>	14,000	11,000		3,000
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) <b>STMT #1</b>	3,058	3,058		
17 Interest <b>STMT #1</b>				
18 Taxes (attach schedule) (see page 14 for the instructions) <b>STMT #1</b>	205	205		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att. sch) <b>STMT #1</b>	303	303		
24 Total operating and administrative expenses. Add lines 13 through 23	17,566	14,566		3,000
25 Contributions, gifts, grants paid	96,500			96,500
26 Total expenses and disbursements. Add lines 24 and 25	114,066	14,566	0	99,500
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-140,608			
b Net investment income (if negative, enter -0-)		43,972		
c Adjusted net income (if negative, enter -0-)			0	

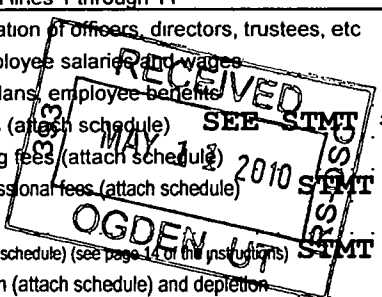
For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

DAA

ENVELOPE POSTMARK DATE MAY 07 2010

SCANNED MAY 12 2010 Revenue Operating and Administrative Expenses



**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	41,632	37,385	37,385
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule) <b>SEE STMT #2</b>	989,571	931,206	991,293
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) <b>SEE STATEMENT #2</b>	2,172,611	2,094,615	2,032,448
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,203,814	3,063,206	3,061,126
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ▶</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here ▶</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	3,203,814	3,063,206	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see page 17 of the instructions)	3,203,814	3,063,206	
	31 <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)	3,203,814	3,063,206	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,203,814
2 Enter amount from Part I, line 27a	2	-140,608
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	3,063,206
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,063,206

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHED STATEMENT #3</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 231,563</b>		<b>316,645</b>	<b>-85,082</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			<b>-85,082</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2 Capital gain net income or (net capital loss)</b>	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	<b>-85,082</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>		<b>3</b>	<b>N/A</b>
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8			

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	104,797	3,237,013	0.032375
2007	111,097	3,940,596	0.028193
2006	110,045	3,551,265	0.030988
2005	204,049	3,284,921	0.062117
2004	177,211	3,247,734	0.054565

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>0.208238</b>
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>0.041648</b>
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>	<b>4</b>	<b>2,609,343</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>108,674</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>440</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>109,114</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>99,500</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI** Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)	<b>1</b>	<b>879</b>
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>879</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>879</b>
<b>6</b>	Credits/Payments		
<b>a</b>	2009 estimated tax payments and 2008 overpayment credited to 2009	<b>6a</b>	<b>5,385</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>5,385</b>
<b>8</b>	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	
<b>10</b>	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	<b>4,506</b>
<b>11</b>	Enter the amount of line 10 to be Credited to 2010 estimated tax <b>4,506</b> Refunded	<b>11</b>	

**Part VII-A** Statements Regarding Activities

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		<b>X</b>
<b>c</b> Did the foundation file Form 1120-POL for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <b>\$</b> _____ (2) On foundation managers. <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <b>DE</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		<b>X</b>
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>LOUIS F. FRIEDMAN, ESQ.</b> <b>409 WASHINGTON AVENUE, SUITE 900</b> Located at ► <b>TOWSON, MD</b>	Telephone no ► <b>410-494-0100</b> ZIP+4 ► <b>21204</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		► <input type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		<b>1b</b> <input checked="" type="checkbox"/>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		<b>1c</b> <b>N/A</b>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.)		<b>2b</b> <b>N/A</b>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		<b>3b</b> <b>N/A</b>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b> <input checked="" type="checkbox"/>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		<b>4b</b> <input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**5b****X****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?**N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

**6b****X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**N/A****7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNELIESE H. DUNCAN 10245 COLLINS AVENUE, #15E	BAL HARBOUR FL 33154	PRES. /TREAS. 0.50	0	0
LOUIS F. FRIEDMAN 409 WASHINGTON AVENUE, SUITE 900	TOWSON MD 21204	VICE PRES. 1.00	0	0
PHYLLIS C. FRIEDMAN 409 WASHINGTON AVENUE, SUITE 900	TOWSON MD 21204	SECRETARY 1.00	0	0
...				

**2** Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
...				
...				
...				
...				

Total number of other employees paid over \$50,000

Form 990-PF (2009) **HARRY F. DUNCAN FOUNDATION, INC.** **52-6054187**Page **7****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See page 24 of the instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	

Form **990-PF** (2009)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>2,612,710</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>36,369</b>
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>2,649,079</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>2,649,079</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	<b>39,736</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>2,609,343</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>130,467</b>

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>130,467</b>
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	<b>879</b>
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>879</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>129,588</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>129,588</b>
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>129,588</b>

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	<b>99,500</b>
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>99,500</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>99,500</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				<b>129,588</b>
<b>2</b> Undistributed income, if any, as of the end of 2009:				
<b>a</b> Enter amount for 2008 only			<b>92,027</b>	
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				
<b>e</b> From 2008				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <b>99,500</b>				
<b>a</b> Applied to 2008, but not more than line 2a			<b>92,027</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
<b>d</b> Applied to 2009 distributable amount				<b>7,473</b>
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
<b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				<b>122,115</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				



**Part XV** **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year <b>SEE ATTACHED STATEMENT #4</b>				<b>96,500</b>
<b>Total</b>			<b>▶ 3a</b>	<b>96,500</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>▶ 3b</b>	

[illegible]

(See worksheet in line 13 instructions on page 28 to verify calculations )

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No.

▼

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)

**N/A**



THE HARRY F. DUNCAN FOUNDATION, INC  
52-6054187  
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SUPPORTING SCHEDULES

ANALYSIS OF REVENUE AND EXPENSES - PAGE 1, PART I

	COL (a)	COL (b)	COL (d)
PAGE 1, PART I, LINE 11 - OTHER INCOME			
LITIGATION SETTLEMENT PROCEEDS - PUTNAM FAIR FD	45.88	45.88	0.00
TOTAL OTHER INCOME	45.88	45.88	0.00
PAGE 1, PART I, LINE 16a - LEGAL FEES			
FRIEDMAN & FRIEDMAN, LLP - TAX RETURN PREPARATION	4,000.00	4,000.00	0.00
FRIEDMAN & FRIEDMAN, LLP - LEGAL FEES	10,000.00	7,000.00	3,000.00
TOTAL LEGAL FEES	14,000.00	11,000.00	3,000.00
PAGE 1, PART I, LINE 16c - OTHER PROFESSIONAL FEES			
T. ROWE PRICE - INVESTMENT ADVISORY & MNGMT FEES	3,057.73	3,057.73	0.00
TOTAL OTHER PROFESSIONAL FEES	3,057.73	3,057.73	0.00
PAGE 1, PART I, LINE 18 - TAXES			
2009 FOREIGN TAXES PAID ON DIVIDENDS	204.82	204.82	0.00
TOTAL TAXES	204.82	204.82	0.00
PAGE 1, PART I, LINE 23 - OTHER EXPENSES			
DELAWARE 2008 ANNUAL FRANCHISE TAX REPORT	25.00	25.00	0.00
DELAWARE REPRESENTATION FEE	278.00	278.00	0.00
TOTAL OTHER EXPENSES	303.00	303.00	0.00

THE HARRY F. DUNCAN FOUNDATION, INC.  
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SUMMARY OF INVESTMENTS  
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CORPORATE STOCK - PAGE 2, PART II, LINE 10b

PURCH DATE	SHARES	DESCRIPTION	COST	MARKET
07/19/99	900.000	CHEVRON CORP.	38,931.47	69,291.00
06/22/00	1,200.000	GENERAL DYNAMICS CORP.	32,367.75	81,804.00
04/25/05	1,000.000	GENERAL ELECTRIC CO.	36,356.00	15,130.00
06/22/00	1,000.000	PEPSICO, INC.	42,307.00	60,800.00
10/16/09	788.000	PFIZER, INC.	13,801.82	14,333.72
10/06/09	250.000	ACCENTURE PLC	9,506.40	10,375.00
06/24/08	300.000	ADOBE SYSTEMS, INC.	12,445.68	11,034.00
03/18/09	100.000	AMAZON.COM, INC.	7,090.98	13,452.00
06/26/08	300.000	AMERICAN EXPRESS COMPANY	11,785.44	12,156.00
06/24/08	350.000	AMERICAN TOWER CORP., CL. A	14,681.52	15,123.50
06/24/08	250.000	AMGEN, INC.	11,617.13	14,142.50
05/04/09	250.000	AON CORPORATION	9,060.73	9,585.00
01/22/09	75.000	APPLE, INC.	6,731.51	15,804.90
06/24/08	500.000	AUTOMATIC DATA PROCESSING, INC.	21,104.50	21,410.00
06/24/08	200.000	BAKER HUGHES, INC.	17,787.00	8,096.00
06/26/08	250.000	CHEVRON CORP.	24,673.23	19,247.50
06/24/08	800.000	CISCO SYSTEMS, INC.	19,664.80	19,152.00
06/24/08	300.000	COCA COLA COMPANY	15,963.36	17,100.00
06/24/08	200.000	COLGATE PALMOLIVE COMPANY	13,707.86	16,430.00
01/02/09	300.000	CVS CAREMARK CORPORATION	8,649.69	9,663.00
06/24/08	250.000	DANAHER CORPORATION	19,915.23	18,800.00
06/24/08	300.000	EXPEDITORS INTL OF WASHINGTON	12,978.84	10,431.00
06/24/08	300.000	EXXON MOBIL CORP.	26,266.17	20,457.00
06/24/08	150.000	FRANKLIN RESOURCES, INC.	14,887.41	15,802.50
03/24/09	200.000	GILEAD SCIENCES, INC.	8,946.96	8,654.00
06/24/08	100.000	GOLDMAN SACHS GROUP, INC.	18,295.50	16,884.00
VARIOUS	50.000	GOOGLE, INC.	21,834.80	30,999.00
06/24/08	500.000	HOME DEPOT, INC.	12,726.50	14,465.00
08/26/09	100.000	INTL BUSINESS MACHINES CORP.	11,930.53	13,090.00
06/24/08	300.000	JOHNSON & JOHNSON	19,401.51	19,323.00
VARIOUS	500.000	J.P. MORGAN CHASE & CO.	16,437.40	20,835.00
06/24/08	503.000	MARRIOTT INTL, INC., CL. A	13,549.11	13,706.75
06/24/08	400.000	MCGRAW-HILL COMPANIES, INC.	16,554.92	13,404.00
06/26/08	250.000	MEDCO HEALTH SOLUTIONS, INC.	11,602.13	15,977.50
06/24/08	300.000	MEDTRONIC, INC.	15,520.80	13,194.00
06/24/08	400.000	MERCK & CO., INC.	14,779.68	14,616.00
06/24/08	1,000.000	MICROSOFT CORP.	27,818.20	30,480.00
07/28/08	100.000	MONSANTO COMPANY	11,482.00	8,175.00
06/24/08	200.000	MURPHY OIL CORP.	18,356.66	10,840.00
07/28/08	200.000	NORTHERN TRUST CORP.	15,167.02	10,480.00
06/24/08	400.000	OMNICOM GROUP, INC.	18,274.32	15,660.00
06/24/08	300.000	PEPSICO, INC.	19,226.16	18,240.00
09/17/08	150.000	PRAXAIR, INC.	12,998.34	12,046.50
06/24/08	300.000	PROCTER & GAMBLE COMPANY	18,874.80	18,189.00
10/20/09	250.000	ROCHE HOLDINGS LTD	10,047.50	10,550.00



THE HARRY F. DUNCAN FOUNDATION, INC.  
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**CORPORATE STOCK - PAGE 2, PART II, LINE 10b (CONTINUED)**

PURCH DATE	SHARES	DESCRIPTION	COST	MARKET
06/24/08	200.000	SCHLUMBERGER LIMITED	21,555.00	13,018.00
06/24/08	200.000	STRYKER CORP.	12,417.58	10,074.00
06/24/08	400.000	SYSCO CORP.	11,536.60	11,176.00
06/24/08	200.000	3M COMPANY	14,588.66	16,534.00
06/26/08	250.000	TOTAL S.A. ADR	20,493.23	16,010.00
06/24/08	200.000	UNITED PARCEL SERVICE, INC.	12,603.00	11,474.00
06/24/08	200.000	UNITED TECHNOLOGIES CORP.	13,647.00	13,882.00
06/24/08	250.000	WALMART STORES, INC.	14,372.93	13,362.50
06/24/08	200.000	WELLPOINT, INC.	9,821.66	11,658.00
VARIOUS	450.000	WELLS FARGO & COMPANY	10,554.00	12,145.50
06/24/08	500.000	XILINX, INC.	13,509.65	12,530.00
TOTAL CORPORATE STOCK			<u>931,205.67</u>	<u>991,293.37</u>

**OTHER INVESTMENTS (MUTUAL FUNDS) - PAGE 2, PART II, LINE 13**

PURCH DATE	# SHS	DESCRIPTION	COST	MARKET
VARIOUS	5,612.878	CAPITAL INCOME BUILDER FUND	288,820.71	268,800.73
VARIOUS	12,976.885	CAPITAL WORLD GROWTH & INC FD	431,869.08	442,252.24
VARIOUS	9,275.721	DAVIS NY VENTURE FUND	269,736.39	287,361.84
VARIOUS	19,463.461	T. ROWE PRICE MID CAP VALUE FD	431,329.13	403,282.91
VARIOUS	35,541.905	ROYCE TOTAL RETURN FUND	410,766.61	384,207.99
VARIOUS	1,129.733	T. ROWE PRICE MID CAP GROWTH FD	38,306.03	53,651.02
VARIOUS	636.929	T. ROWE PRICE MID CAP VALUE FD	10,132.41	13,197.17
VARIOUS	1,649.553	T. ROWE PRICE NEW HORIZONS FD	45,295.03	42,195.57
VARIOUS	1,366.401	T. ROWE PRICE SMALL CAP VALUE FD	46,971.43	40,281.50
VARIOUS	10,095.277	T. ROWE PRICE SPECTRUM INTL FD	121,388.64	97,217.52
TOTAL OTHER INVESTMENTS (MUTUAL FUNDS)			<u>2,094,615.46</u>	<u>2,032,448.49</u>

THE HARRY F. DUNCAN FOUNDATION, INC.

52-6054187

SCHEDULE OF GAINS AND LOSSES - PAGE 3, PART IV, LINE 1a

FOR THE YEAR ENDED DECEMBER 31, 2009

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LONG TERM CAPITAL GAINS

(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
DESCRIPTION	HOW ACQ	DATE ACQUIRED	DATE SOLD	GR. SALES PRICE	DEPR. ALLOWED	COST OR BASIS	GAIN/ (LOSS)
PUBLICLY TRADED SECURITIES				116,153.20	0.00	121,921.37	(5,768.17)
PUTNAM FAIR FUND SECURITIES LITIGATION SETTLEMENT	P	VARIOUS	08/17/09	631.30	0.00	0.00	631.30
TOTAL LONG TERM GAINS/(LOSSES)				116,784.50	0.00	121,921.37	(5,136.87)

SHORT TERM CAPITAL GAINS

(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)
DESCRIPTION	HOW ACQ	DATE ACQUIRED	DATE SOLD	GR. SALES PRICE	DEPR. ALLOWED	COST OR BASIS	GAIN/ (LOSS)
PUBLICLY TRADED SECURITIES				114,556.26	0.00	194,723.73	(80,167.47)
CAPITAL GAIN DISTRIBUTIONS:							
T ROWE PRICE MID CAP GROWTH FD	DIST	VARIOUS	12/14/09	29.36	0.00	0.00	29.36
TR PRICE SMALL CAP VALUE FUND	DIST	VARIOUS	12/14/09	94.57	0.00	0.00	94.57
TR PRICE SPECTRUM INT'L FUND	DIST	VARIOUS	12/18/09	98.75	0.00	0.00	98.75
TOTAL SHORT TERM GAINS/(LOSSES)				114,778.94	0.00	194,723.73	(79,944.79)
TOTAL GAINS/(LOSSES)				231,563.44	0.00	316,645.10	(85,081.66)

Harry F. Duncan Foundation, Inc.  
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Page 11, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name/Address	Related Individual?	Foundation Status	Purpose	Amount
The Church By The Sea 501 Ninety-Sixth Street Bal Harbour, FL 33154	No	Public	Scholarship	15,000.00
Andrew County Museum & Historical Society P.O. Box 12, 202 East Duncan Drive Savannah, MO 64485	No	Public	Operations (unrestricted)	20,000.00
United Way of Miami-Dade County 3250 Southwest Third Avenue Miami, FL 33129	No	Public	Operations (unrestricted)	1,000.00
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105	No	Public	Operations (unrestricted)	1,000.00
Community Television Foundation of South Florida Television Station WPBT 14901 N.E. 20th Avenue North Miami, FL 33181	No	Public	Operations (unrestricted)	500.00
Habitat for Humanity of Greater Miami 3800 N.W. 22nd Avenue Miami, FL 33142	No	Public	Operations (unrestricted)	5,000.00
First Christian Church 511 West Market Street Savannah, MO 64485	No	Public	Operations (unrestricted)	5,000.00
First Christian Church Rev. Frank Hall Scholarship 511 West Market Street Savannah, MO 64485	No	Public	Scholarship	1,000.00
Washington D.C. Meals on Wheels, Inc. 7410 New Hampshire Avenue Takoma Park, MD 20012	No	Public	Operations (unrestricted)	1,000.00
Sisters of St. Frances La Verna Village Nursing Home 104 E. Park Avenue P.O. Box 488 Savannah, MO 64485	No	Public	Operations (unrestricted)	1,000.00

Harry F. Duncan Foundation, Inc.  
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Page 11, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name/Address	Related Individual?	Foundation Status	Purpose	Amount
Sinai Children's Hospital 2401 West Belvedere Avenue Baltimore, MD 21215	No	Public	Operations (unrestricted)	10,000.00
Girl Scouts of America c/o Joyce Morgan 404 North 10th Savannah, MO 64485	No	Public	Operations (unrestricted)	1,000.00
Savannah High School - Kelly Scholarship P.O. Box 151 Savannah, MO 64485	No	Public	Scholarship	1,000.00
Boy Scouts of America (Camp Geiger Fund) 1704 Buckingham Street P.O. Box 8157 St. Joseph, MO 64508	No	Public	Operations (unrestricted)	5,000.00
Boy Scouts of America Troop #60 1704 Buckingham Street P.O. Box 8157 St. Joseph, MO 64508	No	Public	Operations	1,000.00
Harry Duncan American Dream Scholarship Awarded to Jessica Jackson c/o University of Missouri - Columbia 11 Jesse Hall Columbia, MO 65211	No	Public	Scholarship	4,000.00
Harry Duncan American Dream Scholarship Awarded to Kendra Ordnung c/o Missouri Western State College 4525 Downs Drive St. Joseph, MO 64507	No	Public	Scholarship	4,000.00
Harry Duncan American Dream Scholarship Awarded to Brandon Smith c/o Metropolitan Community College - KC 1775 Universal Avenue Kansas City, MO 64120	No	Public	Scholarship	4,000.00
Harry Duncan American Dream Scholarship Awarded to Taylor George c/o Johnson County Community College 12345 College Blvd. Overland Park, KS 66210	No	Public	Scholarship	2,000.00

Harry F. Duncan Foundation, Inc.  
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Page 11, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name/Address	Related Individual?	Foundation Status	Purpose	Amount
Harry Duncan American Dream Scholarship Awarded to Courtney Wampler c/o North Central Missouri College 1301 Main Street Trenton, MO 64683	No	Public	Scholarship	2,000.00
Harry Duncan American Dream Scholarship Awarded to Darr Jenkins c/o University of Nebraska - Lincoln 17 Canfield Administration Building P.O. Box 880411 Lincoln, NE 68588	No	Public	Scholarship	2,000.00
Harry Duncan American Dream Scholarship Awarded to Kaysie Curran c/o Northwest Missouri State University 800 University Drive Maryville, MO 64468	No	Public	Scholarship	2,000.00
Harry Duncan American Dream Scholarship Awarded to Melissa Lawrence c/o Missouri Western State College 4525 Downs Drive St. Joseph, MO 64507	No	Public	Scholarship	2,000.00
Harry Duncan American Dream Scholarship Awarded to Elizabeth Jones c/o University of Missouri - Columbia 11 Jesse Hall Columbia, MO 65211	No	Public	Scholarship	2,000.00
Harry Duncan American Dream Scholarship Awarded to Dan Curry c/o University of Missouri - Columbia 11 Jesse Hall Columbia, MO 65211	No	Public	Scholarship	2,000.00

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Page 11, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name/Address	Related Individual?	Foundation Status	Purpose	Amount
Harry Duncan American Dream Scholarship Awarded to Mandi Moutray c/o University of Missouri - Columbia 11 Jesse Hall Columbia, MO 65211	No	Public	Scholarship	2,000.00
TOTAL				<u>\$96,500.00</u>