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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

BUCKINGHAM SCHOOL FREDERICK COUNTY MD

A Employer identification number

52-6034781

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

901 SOUTH BOND STREET

City or town, state, and ZIP code

BALTIMORE, MD 21231

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ☐ \$ 984,804.

J Accounting method ☒ Cash ☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. | | | | |
| 3 Interest on savings and temporary cash investments | 35. | 35. | | STMT 1 |
| 4 Dividends and interest from securities | 26,072. | 26,059. | | STMT 2 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | -6,843. | | | |
| b Gross sales price for all assets on line 6a | 46,003. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 19,264. | 26,094. | | |
| 13 Compensation of officers, directors, trustees, etc. | | | | |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) | | | | |
| b Accounting fees (attach schedule) | | | | |
| c Other professional fees (attach schedule) | 3,099. | 3,099. | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see page 14 of the instructions) | | | | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) | | | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | 3,099. | 3,099. | | |
| 25 Contributions, gifts, grants paid | 45,000. | | | 45,000. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 48,099. | 3,099. | | 45,000. |
| 27 Subtract line 26 from line 12 | | | | |
| a Excess of revenue over expenses and disbursements | -28,835. | | | |
| b Net investment income (if negative, enter -0-) | | 22,995. | | |
| c Adjusted net income (if negative, enter -0-) | | | | |

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year | |
|-----------------------------|---|--|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 203,949. | 95,023. | 95,023. |
| | 3 | Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) STMT 5 | | | |
| | b | Investments - corporate stock (attach schedule) STMT 6 | 805,243. | 885,332. | 889,781. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶) | | | | |
| 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 1,009,192. | 980,355. | 984,804. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶) | | | |
| 23 | Total liabilities (add lines 17 through 22) | | | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/> | | | | |
| | 27 | Capital stock, trust principal, or current funds | 1,009,192. | 980,355. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | Total net assets or fund balances (see page 17 of the instructions) | 1,009,192. | 980,355. | |
| 31 | Total liabilities and net assets/fund balances (see page 17 of the instructions) | 1,009,192. | 980,355. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,009,192. |
| 2 | Enter amount from Part I, line 27a | 2 | -28,835. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 980,357. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 5 | 2. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 980,355. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | | | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|---|--|--------------------------------------|----------------------------------|
| 1a SEE PART IV DETAIL | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)) | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | | 2 | -6,843. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. | | | 3 | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2008 | 60,046. | 1,004,740. | 0.05976272468 |
| 2007 | 74,109. | 1,325,632. | 0.05590465529 |
| 2006 | 59,024. | 1,268,942. | 0.04651434029 |
| 2005 | 58,321. | 1,216,596. | 0.04793785283 |
| 2004 | 61,400. | 1,218,194. | 0.05040248105 |
| 2 Total of line 1, column (d) | | | 2 0.26052205414 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.05210441083 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | | | 4 829,499. |
| 5 Multiply line 4 by line 3 | | | 5 43,221. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 230. |
| 7 Add lines 5 and 6 | | | 7 43,451. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. | | | 8 45,000. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|--|----|------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 | | 1 | 230. |
| Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | |
| 3 Add lines 1 and 2 | | 3 | 230. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | NONE |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 230. |
| 6 Credits/Payments | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a | 214. | |
| b Exempt foreign organizations-tax withheld at source | 6b | NONE | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | NONE | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments Add lines 6a through 6d | 7 | 214. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 16. | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | | |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/> | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | | X |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ► \$ _____ (2) On foundation managers ► \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? | | X |
| If "Yes," attach a detailed description of the activities | | |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | X |
| If "Yes," attach the statement required by General Instruction T. | | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► STMT 8 | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|--|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| Website address <input type="text"/> N/A | | | | |
| 14 The books are in care of <input type="text"/> Telephone no. <input type="text"/> | | | | |
| Located at <input type="text"/> ZIP + 4 <input type="text"/> | | | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="text"/> 15 | | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> <input checked="" type="checkbox"/> | 1b | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> <input checked="" type="checkbox"/> | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="text"/> | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) <input type="checkbox"/> <input checked="" type="checkbox"/> | 2b | X |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text"/> | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> <input checked="" type="checkbox"/> | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> <input checked="" type="checkbox"/> | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/> <input checked="" type="checkbox"/> | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 9 | | -0- | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 NONE | |
| Total. Add lines 1 through 3 | |

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|----------|--|-----------|----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 715,329. |
| b | Average of monthly cash balances | 1b | 126,802. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | Total (add lines 1a, b, and c) | 1d | 842,131. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 842,131. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 12,632. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 829,499. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 41,475. |

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|---|-----------|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 41,475. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 230. |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 230. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 41,245. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | NONE |
| 5 | Add lines 3 and 4 | 5 | 41,245. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | NONE |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 41,245. |

Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|----------|---|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 45,000. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 45,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 230. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 44,770. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 41,245. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | NONE | |
| b Total for prior years 20 07, 20 , 20 | | NONE | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 | 4,044. | | | |
| b From 2005 | 3,442. | | | |
| c From 2006 | NONE | | | |
| d From 2007 | 9,039. | | | |
| e From 2008 | 10,597. | | | |
| f Total of lines 3a through e | 27,122. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 45,000. | | | | |
| a Applied to 2008, but not more than line 2a | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d Applied to 2009 distributable amount | | | | 41,245. |
| e Remaining amount distributed out of corpus | 3,755. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | NONE | | | NONE |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 30,877. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | NONE | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions | | | NONE | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | NONE |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | 4,044. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 26,833. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2005 | 3,442. | | | |
| b Excess from 2006 | NONE | | | |
| c Excess from 2007 | 9,039. | | | |
| d Excess from 2008 | 10,597. | | | |
| e Excess from 2009 | 3,755. | | | |

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year | Prior 3 years | | | | (e) Total |
|---|---------------|----------|----------|----------|-----------|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| a Paid during the year SEE STATEMENT 10 | | | | |
| Total | | | ▶ 3a | 45,000. |
| b Approved for future payment | | | | |
| Total | | | ▶ 3b | |

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Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 | Program service revenue | | | | | |
| a | _____ | | | | | |
| b | _____ | | | | | |
| c | _____ | | | | | |
| d | _____ | | | | | |
| e | _____ | | | | | |
| f | _____ | | | | | |
| g | Fees and contracts from government agencies | | | | | |
| 2 | Membership dues and assessments | | | | | |
| 3 | Interest on savings and temporary cash investments | | | 14 | 35. | |
| 4 | Dividends and interest from securities | | | 14 | 26,072. | |
| 5 | Net rental income or (loss) from real estate | | | | | |
| a | Debt-financed property | | | | | |
| b | Not debt-financed property | | | | | |
| 6 | Net rental income or (loss) from personal property . | | | | | |
| 7 | Other investment income | | | | | |
| 8 | Gain or (loss) from sales of assets other than inventory | | | 18 | -6,843. | |
| 9 | Net income or (loss) from special events | | | | | |
| 10 | Gross profit or (loss) from sales of inventory . . | | | | | |
| 11 | Other revenue a _____ | | | | | |
| b | _____ | | | | | |
| c | _____ | | | | | |
| d | _____ | | | | | |
| e | _____ | | | | | |
| 12 | Subtotal. Add columns (b), (d), and (e) | | | | 19,264. | |
| 13 | Total. Add line 12, columns (b), (d), and (e) | | | | 19,264. | |

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Don Balen
Signature of officer or trustee

5/13/2010
Date

► Trustee and Treasurer
Title

Sign Here

| | |
|--------------------------------|--|
| Paid Preparer's Use Only | |
|--------------------------------|--|

Preparer's
signature

Date _____

05/11/2010

Check if self-employed ☐

Preparer's identifying
number (See **Signature** on
page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code

BROWN INVESTMENT ADVISORY & TRUST
901 SOUTH BOND STREET
BALTIMORE, MD 2123

EIN ▶ 52-1811121

Phone no. 410-537-5484

Form 990-PF (2009)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 211.00 | | 5. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES 166.00 | | | | | 07/27/2009 45.00 | 11/17/2009 |
| 201.00 | | 5. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES 166.00 | | | | | 07/24/2009 35.00 | 12/09/2009 |
| 400.00 | | 10. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES 303.00 | | | | | 06/23/2009 97.00 | 12/09/2009 |
| 18.00 | | 4. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 33.00 | | | | | 08/12/2008 -15.00 | 01/07/2009 |
| 40.00 | | 9. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 74.00 | | | | | 08/12/2008 -34.00 | 01/07/2009 |
| 35.00 | | 8. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 66.00 | | | | | 08/08/2008 -31.00 | 01/08/2009 |
| 13.00 | | 3. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 08/07/2008 -11.00 | 01/08/2009 |
| 4.00 | | 1. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 8.00 | | | | | 08/07/2008 -4.00 | 01/09/2009 |
| 12.00 | | 3. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 08/07/2008 -12.00 | 01/13/2009 |
| 4.00 | | 1. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 8.00 | | | | | 08/07/2008 -4.00 | 01/14/2009 |
| 4.00 | | 1. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 8.00 | | | | | 08/07/2008 -4.00 | 01/28/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 242.00 | | 60. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES 436.00 | | | | | 08/07/2008 -194.00 | 01/28/2009 |
| 319.00 | | 10. CITRIX SYSTEMS INC PROPERTY TYPE: SECURITIES 273.00 | | | | | 08/04/2008 46.00 | 06/05/2009 |
| 362.00 | | 10. CITRIX SYSTEMS INC PROPERTY TYPE: SECURITIES 270.00 | | | | | 08/04/2008 92.00 | 08/27/2009 |
| 292.00 | | 22. COHEN & STEERS INC PROPERTY TYPE: SECURITIES 509.00 | | | | | 07/31/2008 -217.00 | 01/06/2009 |
| 132.00 | | 18. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES 166.00 | | | | | 11/19/2008 -34.00 | 01/22/2009 |
| 37.00 | | 5. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES 36.00 | | | | | 11/20/2008 1.00 | 01/22/2009 |
| 305.00 | | 42. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES 240.00 | | | | | 11/21/2008 65.00 | 01/22/2009 |
| 36.00 | | 5. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES 27.00 | | | | | 11/21/2008 9.00 | 01/23/2009 |
| 383.00 | | 50. DOUBLE-TAKE SOFTWARE INC. PROPERTY TYPE: SECURITIES 553.00 | | | | | 09/15/2008 -170.00 | 04/21/2009 |
| 64.00 | | 2. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES 54.00 | | | | | 04/27/2009 10.00 | 09/17/2009 |
| 574.00 | | 18. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES 473.00 | | | | | 04/27/2009 101.00 | 09/17/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 256.00 | | 8. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES 206.00 | | | | | 04/13/2009 50.00 | 10/16/2009 |
| 288.00 | | 9. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES 225.00 | | | | | 04/30/2009 63.00 | 10/16/2009 |
| 96.00 | | 3. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES 73.00 | | | | | 04/30/2009 23.00 | 10/16/2009 |
| 542.00 | | 45. ECLIPSYS CORP PROPERTY TYPE: SECURITIES 1,154.00 | | | | | 12/24/2007 -612.00 | 01/08/2009 |
| 293.00 | | 36. ECLIPSYS CORP PROPERTY TYPE: SECURITIES 923.00 | | | | | 12/24/2007 -630.00 | 01/23/2009 |
| 194.00 | | 24. ECLIPSYS CORP PROPERTY TYPE: SECURITIES 615.00 | | | | | 12/24/2007 -421.00 | 01/23/2009 |
| 221.00 | | 5. ESCO TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 200.00 | | | | | 12/24/2007 21.00 | 06/26/2009 |
| 40.00 | | 1. ESCO TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 40.00 | | | | | 12/24/2007 | 11/10/2009 |
| 197.00 | | 5. ESCO TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 200.00 | | | | | 12/24/2007 -3.00 | 11/11/2009 |
| 655.00 | | 15. FMC TECHNOLOGIES INC PROPERTY TYPE: SECURITIES 386.00 | | | | | 03/11/2009 269.00 | 06/02/2009 |
| 621.00 | | 15. FMC TECHNOLOGIES INC PROPERTY TYPE: SECURITIES 375.00 | | | | | 03/02/2009 246.00 | 06/03/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 79.00 | | 2. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 117.00 | | | | | 12/24/2007 -38.00 | 02/20/2009 |
| 39.00 | | 1. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 58.00 | | | | | 12/24/2007 -19.00 | 02/20/2009 |
| 713.00 | | 18. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 1,053.00 | | | | | 12/24/2007 -340.00 | 02/20/2009 |
| 156.00 | | 4. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 234.00 | | | | | 12/24/2007 -78.00 | 02/20/2009 |
| 146.00 | | 3. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 175.00 | | | | | 12/24/2007 -29.00 | 04/01/2009 |
| 97.00 | | 2. FACTSET RESEARCH SYSTEMS INC PROPERTY TYPE: SECURITIES 117.00 | | | | | 12/24/2007 -20.00 | 04/02/2009 |
| 718.00 | | 20. FASTENAL CO PROPERTY TYPE: SECURITIES 656.00 | | | | | 03/02/2009 62.00 | 06/02/2009 |
| 42.00 | | 3. FOREST OIL CORP. PROPERTY TYPE: SECURITIES 79.00 | | | | | 10/17/2008 -37.00 | 02/24/2009 |
| 240.00 | | 17. FOREST OIL CORP. PROPERTY TYPE: SECURITIES 449.00 | | | | | 10/17/2008 -209.00 | 02/24/2009 |
| 42.00 | | 3. FOREST OIL CORP. PROPERTY TYPE: SECURITIES 79.00 | | | | | 10/17/2008 -37.00 | 02/24/2009 |
| 282.00 | | 20. FOREST OIL CORP. PROPERTY TYPE: SECURITIES 390.00 | | | | | 12/31/2008 -108.00 | 02/24/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 166.00 | | 12. FOREST OIL CORP. PROPERTY TYPE: SECURITIES 164.00 | | | | | 01/22/2009 2.00 | 02/24/2009 |
| 211.00 | | 5. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES 328.00 | | | | | 12/24/2007 -117.00 | 06/16/2009 |
| 204.00 | | 5. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES 328.00 | | | | | 12/24/2007 -124.00 | 07/07/2009 |
| 115.00 | | 3. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES 197.00 | | | | | 12/24/2007 -82.00 | 08/13/2009 |
| 269.00 | | 7. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES 460.00 | | | | | 12/24/2007 -191.00 | 08/13/2009 |
| 39.00 | | 5. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 97.00 | | | | | 02/27/2008 -58.00 | 01/07/2009 |
| 16.00 | | 2. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 39.00 | | | | | 02/27/2008 -23.00 | 01/07/2009 |
| 236.00 | | 38. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 626.00 | | | | | 09/17/2008 -390.00 | 01/08/2009 |
| 24.00 | | 7. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 23.00 | | | | | 04/17/2009 1.00 | 10/13/2009 |
| 21.00 | | 6. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 20.00 | | | | | 04/17/2009 1.00 | 10/14/2009 |
| 38.00 | | 11. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 36.00 | | | | | 04/17/2009 2.00 | 10/14/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 151.00 | | 46. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 147.00 | | | | | 07/20/2009 4.00 | 10/15/2009 |
| 49.00 | | 15. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 46.00 | | | | | 07/17/2009 3.00 | 10/15/2009 |
| 99.00 | | 30. HANSEN MEDICAL, INC. PROPERTY TYPE: SECURITIES 91.00 | | | | | 07/17/2009 8.00 | 10/16/2009 |
| 37.00 | | 2. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 148.00 | | | | | 12/24/2007 -111.00 | 01/16/2009 |
| 56.00 | | 3. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 223.00 | | | | | 12/24/2007 -167.00 | 01/16/2009 |
| 50.00 | | 3. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 129.00 | | | | | 02/13/2008 -79.00 | 01/30/2009 |
| 117.00 | | 7. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 519.00 | | | | | 12/24/2007 -402.00 | 01/30/2009 |
| 119.00 | | 5. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 90.00 | | | | | 10/29/2008 29.00 | 07/16/2009 |
| 595.00 | | 25. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 987.00 | | | | | 05/12/2008 -392.00 | 07/16/2009 |
| 408.00 | | 15. HARMAN INTERNATIONAL INDUSTRIES INC. PROPERTY TYPE: SECURITIES 228.00 | | | | | 11/18/2008 180.00 | 08/13/2009 |
| 271.00 | | 8. ILLUMINA INC. PROPERTY TYPE: SECURITIES 248.00 | | | | | 12/24/2007 23.00 | 07/02/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 403.00 | | 12. ILLUMINA INC. PROPERTY TYPE: SECURITIES 371.00 | | | | | 12/24/2007 32.00 | 07/02/2009 |
| 175.00 | | 5. ILLUMINA INC. PROPERTY TYPE: SECURITIES 155.00 | | | | | 12/24/2007 20.00 | 08/28/2009 |
| 198.00 | | 5. ILLUMINA INC. PROPERTY TYPE: SECURITIES 105.00 | | | | | 12/03/2008 93.00 | 09/17/2009 |
| 594.00 | | 15. ILLUMINA INC. PROPERTY TYPE: SECURITIES 464.00 | | | | | 12/24/2007 130.00 | 09/17/2009 |
| 298.00 | | 20. IMMUCOR INC PROPERTY TYPE: SECURITIES 470.00 | | | | | 03/13/2009 -172.00 | 04/24/2009 |
| 465.00 | | 10. ISHARES TR RUSSELL 2000 GROWTH INDEX PROPERTY TYPE: SECURITIES 475.00 | | | | | 01/30/2009 -10.00 | 02/19/2009 |
| 403.00 | | 10. ISHARES TR RUSSELL 2000 GROWTH INDEX PROPERTY TYPE: SECURITIES 475.00 | | | | | 01/30/2009 -72.00 | 03/02/2009 |
| 401.00 | | 10. ISHARES TR RUSSELL 2000 GROWTH INDEX PROPERTY TYPE: SECURITIES 475.00 | | | | | 01/30/2009 -74.00 | 03/02/2009 |
| 203.00 | | 5. ISHARES TR RUSSELL 2000 GROWTH INDEX PROPERTY TYPE: SECURITIES 238.00 | | | | | 01/30/2009 -35.00 | 03/11/2009 |
| 1,071.00 | | 25. ISHARES TR RUSSELL 2000 GROWTH INDEX PROPERTY TYPE: SECURITIES 1,134.00 | | | | | 02/25/2009 -63.00 | 03/13/2009 |
| 121.00 | | 7. K12 INC. PROPERTY TYPE: SECURITIES 161.00 | | | | | 10/08/2008 -40.00 | 09/22/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 363.00 | | 21. K12 INC. PROPERTY TYPE: SECURITIES 448.00 | | | | | 11/20/2008 -85.00 | 09/22/2009 |
| 208.00 | | 12. K12 INC. PROPERTY TYPE: SECURITIES 221.00 | | | | | 12/24/2008 -13.00 | 09/23/2009 |
| 83.00 | | 5. K12 INC. PROPERTY TYPE: SECURITIES 88.00 | | | | | 01/22/2009 -5.00 | 09/28/2009 |
| 169.00 | | 10. K12 INC. PROPERTY TYPE: SECURITIES 171.00 | | | | | 02/24/2009 -2.00 | 10/06/2009 |
| 131.00 | | 7. K12 INC. PROPERTY TYPE: SECURITIES 118.00 | | | | | 01/29/2009 13.00 | 10/13/2009 |
| 152.00 | | 8. K12 INC. PROPERTY TYPE: SECURITIES 117.00 | | | | | 03/31/2009 35.00 | 10/14/2009 |
| 37.00 | | 2. K12 INC. PROPERTY TYPE: SECURITIES 27.00 | | | | | 03/27/2009 10.00 | 10/16/2009 |
| 315.00 | | 18. K12 INC. PROPERTY TYPE: SECURITIES 237.00 | | | | | 03/30/2009 78.00 | 10/19/2009 |
| 428.00 | | 10. LENDER PROCESSING SERVICES INC PROPERTY TYPE: SECURITIES 308.00 | | | | | 07/24/2009 120.00 | 10/27/2009 |
| 13.00 | | 2. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 15.00 | | | | | 04/01/2009 -2.00 | 05/28/2009 |
| 20.00 | | 3. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 22.00 | | | | | 04/01/2009 -2.00 | 05/28/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|--------------------------------|---|--------------------|--------------------------|------------------------------|--------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 13.00 | | 2. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 15.00 | | | | | 03/31/2009 -2.00 | 05/29/2009 |
| 41.00 | | 6. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 43.00 | | | | | 03/31/2009 -2.00 | 06/01/2009 |
| 7.00 | | 1. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 7.00 | | | | | 03/27/2009 | 06/01/2009 |
| 27.00 | | 4. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 28.00 | | | | | 03/09/2009 -1.00 | 06/01/2009 |
| 20.00 | | 3. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 21.00 | | | | | 03/09/2009 -1.00 | 06/02/2009 |
| 27.00 | | 4. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 28.00 | | | | | 03/09/2009 -1.00 | 06/02/2009 |
| 20.00 | | 3. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 21.00 | | | | | 03/09/2009 -1.00 | 06/02/2009 |
| 46.00 | | 7. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 50.00 | | | | | 03/31/2009 -4.00 | 06/03/2009 |
| 33.00 | | 5. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES 34.00 | | | | | 03/09/2009 -1.00 | 06/03/2009 |
| 12.00 | | 2. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 26.00 | | | | | 12/24/2007 -14.00 | 02/13/2009 |
| 12.00 | | 2. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 26.00 | | | | | 12/24/2007 -14.00 | 02/13/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 20.00 | | 4. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 52.00 | | | | | 12/24/2007 -32.00 | 02/24/2009 |
| 60.00 | | 12. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 155.00 | | | | | 12/24/2007 -95.00 | 02/24/2009 |
| 15.00 | | 3. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 39.00 | | | | | 12/24/2007 -24.00 | 02/25/2009 |
| 28.00 | | 6. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 77.00 | | | | | 12/24/2007 -49.00 | 02/26/2009 |
| 24.00 | | 5. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 65.00 | | | | | 12/24/2007 -41.00 | 02/27/2009 |
| 9.00 | | 2. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 26.00 | | | | | 12/24/2007 -17.00 | 03/02/2009 |
| 273.00 | | 58. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES 748.00 | | | | | 12/24/2007 -475.00 | 03/02/2009 |
| 164.00 | | 15. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES 135.00 | | | | | 11/19/2008 29.00 | 03/20/2009 |
| 43.00 | | 4. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES 36.00 | | | | | 11/18/2008 7.00 | 03/23/2009 |
| 117.00 | | 11. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES 98.00 | | | | | 11/18/2008 19.00 | 03/23/2009 |
| 304.00 | | 20. LUMINEX CORP PROPERTY TYPE: SECURITIES 368.00 | | | | | 01/22/2009 -64.00 | 08/07/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 108.00 | | 6. LUMINEX CORP PROPERTY TYPE: SECURITIES 100.00 | | | | | 10/29/2008 8.00 | 09/23/2009 |
| 52.00 | | 3. LUMINEX CORP PROPERTY TYPE: SECURITIES 50.00 | | | | | 10/29/2008 2.00 | 09/24/2009 |
| 35.00 | | 2. LUMINEX CORP PROPERTY TYPE: SECURITIES 32.00 | | | | | 03/02/2009 3.00 | 09/24/2009 |
| 154.00 | | 9. LUMINEX CORP PROPERTY TYPE: SECURITIES 142.00 | | | | | 03/02/2009 12.00 | 09/25/2009 |
| 626.00 | | 45. LUMINEX CORP PROPERTY TYPE: SECURITIES 704.00 | | | | | 06/02/2009 -78.00 | 11/05/2009 |
| 50.00 | | 2. MASIMO CORPORATION PROPERTY TYPE: SECURITIES 69.00 | | | | | 05/21/2008 -19.00 | 06/16/2009 |
| 121.00 | | 5. MASIMO CORPORATION PROPERTY TYPE: SECURITIES 131.00 | | | | | 01/22/2009 -10.00 | 06/16/2009 |
| 194.00 | | 8. MASIMO CORPORATION PROPERTY TYPE: SECURITIES 234.00 | | | | | 05/21/2008 -40.00 | 06/16/2009 |
| 66.00 | | 10. METABOLIX INC. PROPERTY TYPE: SECURITIES 131.00 | | | | | 06/05/2008 -65.00 | 02/25/2009 |
| 255.00 | | 38. METABOLIX INC. PROPERTY TYPE: SECURITIES 422.00 | | | | | 02/24/2009 -167.00 | 02/25/2009 |
| 100.00 | | 5. MICROS SYSTEMS INC PROPERTY TYPE: SECURITIES 140.00 | | | | | 09/29/2008 -40.00 | 03/26/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 299.00 | | 15. MICROS SYSTEMS INC PROPERTY TYPE: SECURITIES 558.00 | | | | | 12/24/2007 -259.00 | 03/26/2009 |
| 36.00 | | 3. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 59.00 | | | | | 05/07/2008 -23.00 | 02/06/2009 |
| 120.00 | | 10. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 195.00 | | | | | 05/07/2008 -75.00 | 02/13/2009 |
| 35.00 | | 3. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 59.00 | | | | | 05/16/2008 -24.00 | 03/06/2009 |
| 84.00 | | 7. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 136.00 | | | | | 05/16/2008 -52.00 | 03/09/2009 |
| 190.00 | | 13. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 252.00 | | | | | 05/21/2008 -62.00 | 04/14/2009 |
| 73.00 | | 5. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 96.00 | | | | | 05/09/2008 -23.00 | 04/15/2009 |
| 174.00 | | 12. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 231.00 | | | | | 05/09/2008 -57.00 | 04/15/2009 |
| 44.00 | | 3. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 58.00 | | | | | 05/07/2008 -14.00 | 07/24/2009 |
| 239.00 | | 17. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 326.00 | | | | | 05/21/2008 -87.00 | 07/27/2009 |
| 291.00 | | 20. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 382.00 | | | | | 06/10/2008 -91.00 | 07/29/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 73.00 | | 5. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 95.00 | | | | | 05/28/2008 -22.00 | 07/30/2009 |
| 114.00 | | 7. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 94.00 | | | | | 06/12/2009 20.00 | 09/22/2009 |
| 32.00 | | 2. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 38.00 | | | | | 05/12/2008 -6.00 | 09/22/2009 |
| 16.00 | | 1. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 13.00 | | | | | 06/12/2009 3.00 | 09/22/2009 |
| 404.00 | | 25. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 333.00 | | | | | 06/15/2009 71.00 | 09/22/2009 |
| 49.00 | | 3. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES 38.00 | | | | | 06/19/2008 11.00 | 09/22/2009 |
| 38.00 | | 1. OCEANEERING INTERNATIONAL INC PROPERTY TYPE: SECURITIES 62.00 | | | | | 07/31/2008 -24.00 | 04/02/2009 |
| 189.00 | | 5. OCEANEERING INTERNATIONAL INC PROPERTY TYPE: SECURITIES 318.00 | | | | | 02/15/2008 -129.00 | 04/02/2009 |
| 79.00 | | 12. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 74.00 | | | | | 09/15/2008 5.00 | 08/25/2009 |
| 164.00 | | 25. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 160.00 | | | | | 07/23/2008 4.00 | 08/25/2009 |
| 103.00 | | 16. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 98.00 | | | | | 09/17/2008 5.00 | 08/26/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 13.00 | | 2. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 12.00 | | | | | 07/31/2008 1.00 | 08/26/2009 |
| 103.00 | | 16. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 97.00 | | | | | 07/31/2008 6.00 | 08/26/2009 |
| 25.00 | | 4. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 07/30/2008 1.00 | 08/27/2009 |
| 50.00 | | 9. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 54.00 | | | | | 07/30/2008 -4.00 | 09/09/2009 |
| 44.00 | | 8. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 48.00 | | | | | 07/30/2008 -4.00 | 09/10/2009 |
| 66.00 | | 12. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 71.00 | | | | | 07/31/2008 -5.00 | 09/10/2009 |
| 79.00 | | 15. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 89.00 | | | | | 07/31/2008 -10.00 | 09/11/2009 |
| 189.00 | | 27. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 159.00 | | | | | 08/01/2008 30.00 | 10/21/2009 |
| 681.00 | | 98. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES 513.00 | | | | | 09/30/2008 168.00 | 10/21/2009 |
| 33.00 | | 3. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 61.00 | | | | | 08/27/2008 -28.00 | 01/08/2009 |
| 99.00 | | 9. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 184.00 | | | | | 08/27/2008 -85.00 | 01/08/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|-------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj. basis | | Gain or (loss) | |
| 67.00 | | 6. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 122.00 | | | | | 08/26/2008 -55.00 | 01/08/2009 |
| 88.00 | | 8. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 162.00 | | | | | 08/26/2008 -74.00 | 01/08/2009 |
| 11.00 | | 1. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 20.00 | | | | | 08/25/2008 -9.00 | 01/08/2009 |
| 152.00 | | 18. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 364.00 | | | | | 08/29/2008 -212.00 | 02/24/2009 |
| 422.00 | | 50. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES 851.00 | | | | | 11/03/2008 -429.00 | 02/24/2009 |
| 425.00 | | 5. PRICELINE.COM INC PROPERTY TYPE: SECURITIES 258.00 | | | | | 10/24/2008 167.00 | 04/08/2009 |
| 1,049.00 | | 10. PRICELINE.COM INC PROPERTY TYPE: SECURITIES 516.00 | | | | | 10/24/2008 533.00 | 05/05/2009 |
| 567.00 | | 5. PRICELINE.COM INC PROPERTY TYPE: SECURITIES 258.00 | | | | | 10/24/2008 309.00 | 06/02/2009 |
| 252.00 | | 12. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 287.00 | | | | | 09/29/2008 -35.00 | 01/30/2009 |
| 734.00 | | 35. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 933.00 | | | | | 12/24/2007 -199.00 | 01/30/2009 |
| 63.00 | | 3. QUANTA SVCS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 71.00 | | | | | 09/29/2008 -8.00 | 01/30/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | Date acquired | Date sold |
|--|--------------------------------|---|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) | |
| 120.00 | | 5. QUANTA SVCS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 119.00 | | | | 09/29/2008 1.00 | 09/15/2009 |
| 599.00 | | 25. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 562.00 | | | | 04/15/2008 37.00 | 09/15/2009 |
| 723.00 | | 30. QUANTA SVCS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 399.00 | | | | 11/20/2008 324.00 | 09/16/2009 |
| 121.00 | | 5. QUANTA SVCS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 97.00 | | | | 01/22/2008 24.00 | 09/16/2009 |
| 98.00 | | 12. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 451.00 | | | | 07/31/2008 -353.00 | 02/12/2009 |
| 49.00 | | 6. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 219.00 | | | | 05/30/2008 -170.00 | 02/12/2009 |
| 96.00 | | 12. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 398.00 | | | | 09/17/2008 -302.00 | 02/12/2009 |
| 280.00 | | 35. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 613.00 | | | | 11/14/2008 -333.00 | 03/30/2009 |
| 95.00 | | 4. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 34.00 | | | | 11/13/2008 61.00 | 12/15/2009 |
| 96.00 | | 4. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 34.00 | | | | 11/12/2008 62.00 | 12/15/2009 |
| 760.00 | | 32. ROCKWOOD HOLDINGS INC. PROPERTY TYPE: SECURITIES 258.00 | | | | 11/19/2008 502.00 | 12/16/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 215.00 | | 5. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES 203.00 | | | | | 11/18/2008 12.00 | 07/07/2009 |
| 454.00 | | 10. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES 395.00 | | | | | 01/22/2009 59.00 | 07/17/2009 |
| 692.00 | | 15. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES 578.00 | | | | | 10/24/2008 114.00 | 07/27/2009 |
| 180.00 | | 10. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES 343.00 | | | | | 12/24/2007 -163.00 | 01/23/2009 |
| 198.00 | | 10. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES 292.00 | | | | | 09/26/2008 -94.00 | 01/30/2009 |
| 198.00 | | 10. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES 343.00 | | | | | 12/24/2007 -145.00 | 01/30/2009 |
| 112.00 | | 5. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES 135.00 | | | | | 09/24/2008 -23.00 | 03/12/2009 |
| 2,151.00 | | 30. SALESFORCE.COM INC PROPERTY TYPE: SECURITIES 949.00 | | | | | 07/24/2009 1,202.00 | 12/23/2009 |
| 56.00 | | 4. SEATTLE GENETICS INC PROPERTY TYPE: SECURITIES 47.00 | | | | | 12/24/2007 9.00 | 09/23/2009 |
| 149.00 | | 11. SEATTLE GENETICS INC PROPERTY TYPE: SECURITIES 130.00 | | | | | 12/24/2007 19.00 | 09/24/2009 |
| 22.00 | | 2. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 38.00 | | | | | 02/19/2008 -16.00 | 01/02/2009 |

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 44.00 | | 4. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 75.00 | | | | | 02/22/2008 -31.00 | 01/02/2009 |
| 60.00 | | 6. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 107.00 | | | | | 02/22/2008 -47.00 | 01/05/2009 |
| 40.00 | | 4. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 69.00 | | | | | 02/22/2008 -29.00 | 01/06/2009 |
| 142.00 | | 14. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 241.00 | | | | | 02/22/2008 -99.00 | 01/06/2009 |
| 126.00 | | 15. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 216.00 | | | | | 05/09/2008 -90.00 | 02/05/2009 |
| 155.00 | | 15. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 194.00 | | | | | 05/09/2008 -39.00 | 02/19/2009 |
| 37.00 | | 3. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 39.00 | | | | | 05/09/2008 -2.00 | 03/20/2009 |
| 25.00 | | 2. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 26.00 | | | | | 05/09/2008 -1.00 | 03/23/2009 |
| 266.00 | | 20. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 255.00 | | | | | 05/21/2008 11.00 | 04/17/2009 |
| 25.00 | | 2. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 05/21/2008 1.00 | 04/21/2009 |
| 124.00 | | 10. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 109.00 | | | | | 08/06/2008 15.00 | 04/21/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 62.00 | | 5. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 52.00 | | | | | 08/06/2008 10.00 | 04/22/2009 |
| 98.00 | | 8. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES 83.00 | | | | | 08/06/2008 15.00 | 04/22/2009 |
| 328.00 | | 15. SYNAPTICS INC PROPERTY TYPE: SECURITIES 408.00 | | | | | 08/14/2009 -80.00 | 10/13/2009 |
| 156.00 | | 8. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES 94.00 | | | | | 07/06/2009 62.00 | 09/28/2009 |
| 39.00 | | 2. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 07/06/2009 15.00 | 09/28/2009 |
| 39.00 | | 2. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES 24.00 | | | | | 07/06/2009 15.00 | 09/29/2009 |
| 118.00 | | 6. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES 71.00 | | | | | 07/06/2009 47.00 | 09/29/2009 |
| 190.00 | | 3. TECHNE CORP PROPERTY TYPE: SECURITIES 195.00 | | | | | 10/09/2008 -5.00 | 09/14/2009 |
| 187.00 | | 3. TECHNE CORP PROPERTY TYPE: SECURITIES 177.00 | | | | | 03/02/2009 10.00 | 09/15/2009 |
| 62.00 | | 1. TECHNE CORP PROPERTY TYPE: SECURITIES 47.00 | | | | | 03/02/2009 15.00 | 09/16/2009 |
| 186.00 | | 3. TECHNE CORP PROPERTY TYPE: SECURITIES 142.00 | | | | | 03/02/2009 44.00 | 09/17/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 714.00 | | 41. TOTAL SYSTEMS SVC INC PROPERTY TYPE: SECURITIES 716.00 | | | | | 10/14/2008 -2.00 | 11/17/2009 |
| 524.00 | | 30. TOTAL SYSTEMS SVC INC PROPERTY TYPE: SECURITIES 396.00 | | | | | 02/24/2009 128.00 | 11/17/2009 |
| 419.00 | | 24. TOTAL SYSTEMS SVC INC PROPERTY TYPE: SECURITIES 357.00 | | | | | 10/14/2008 62.00 | 11/17/2009 |
| 659.00 | | 30. TRIMBLE NAVIGATION LTD PROPERTY TYPE: SECURITIES 824.00 | | | | | 09/17/2008 -165.00 | 01/08/2009 |
| 32.00 | | 6. UNICA CORPORATION PROPERTY TYPE: SECURITIES 58.00 | | | | | 12/24/2007 -26.00 | 01/15/2009 |
| 16.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -13.00 | 01/15/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 01/21/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 01/27/2009 |
| 15.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -14.00 | 01/28/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 02/02/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 02/06/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------|--|--------------------|---------------------------|------------------------------|--------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/14/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/14/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/15/2009 |
| 10.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -9.00 | 04/16/2009 |
| 10.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -9.00 | 04/17/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/21/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/21/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 04/22/2009 |
| 14.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -15.00 | 04/24/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 04/24/2009 |
| 13.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -16.00 | 04/28/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 23.00 | | 5. UNICA CORPORATION PROPERTY TYPE: SECURITIES 48.00 | | | | | 12/24/2007 -25.00 | 04/30/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 04/30/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/06/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 05/07/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/08/2009 |
| 14.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -15.00 | 05/08/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/12/2009 |
| 18.00 | | 4. UNICA CORPORATION PROPERTY TYPE: SECURITIES 38.00 | | | | | 12/24/2007 -20.00 | 05/13/2009 |
| 14.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -15.00 | 05/13/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/14/2009 |
| 14.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -15.00 | 05/15/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/18/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 05/19/2009 |
| 23.00 | | 5. UNICA CORPORATION PROPERTY TYPE: SECURITIES 48.00 | | | | | 12/24/2007 -25.00 | 05/19/2009 |
| 23.00 | | 5. UNICA CORPORATION PROPERTY TYPE: SECURITIES 48.00 | | | | | 12/24/2007 -25.00 | 05/20/2009 |
| 18.00 | | 4. UNICA CORPORATION PROPERTY TYPE: SECURITIES 38.00 | | | | | 12/24/2007 -20.00 | 05/20/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 05/21/2009 |
| 13.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 29.00 | | | | | 12/24/2007 -16.00 | 05/26/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 05/27/2009 |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | | 12/24/2007 -10.00 | 06/01/2009 |
| 18.00 | | 4. UNICA CORPORATION PROPERTY TYPE: SECURITIES 38.00 | | | | | 12/24/2007 -20.00 | 06/02/2009 |
| 5.00 | | 1. UNICA CORPORATION PROPERTY TYPE: SECURITIES 10.00 | | | | | 12/24/2007 -5.00 | 06/02/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | Date acquired | Date sold |
|--|--------------------------------|--|--------------------|---------------------------|------------------------------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) | |
| 9.00 | | 2. UNICA CORPORATION PROPERTY TYPE: SECURITIES 19.00 | | | | 12/24/2007 -10.00 | 06/03/2009 |
| 13.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 28.00 | | | | 05/30/2008 -15.00 | 06/04/2009 |
| 23.00 | | 5. UNICA CORPORATION PROPERTY TYPE: SECURITIES 42.00 | | | | 05/30/2008 -19.00 | 06/04/2009 |
| 94.00 | | 21. UNICA CORPORATION PROPERTY TYPE: SECURITIES 174.00 | | | | 06/03/2008 -80.00 | 06/05/2009 |
| 13.00 | | 3. UNICA CORPORATION PROPERTY TYPE: SECURITIES 24.00 | | | | 05/29/2008 -11.00 | 06/08/2009 |
| 429.00 | | 15. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES 281.00 | | | | 07/24/2009 148.00 | 08/13/2009 |
| 141.00 | | 5. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES 80.00 | | | | 02/19/2009 61.00 | 08/28/2009 |
| 553.00 | | 20. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES 305.00 | | | | 03/05/2009 248.00 | 09/01/2009 |
| 218.00 | | 15. VOLTERRA SEMICONDUCTOR CORP PROPERTY TYPE: SECURITIES 208.00 | | | | 06/02/2009 10.00 | 07/14/2009 |
| 146.00 | | 10. VOLTERRA SEMICONDUCTOR CORP PROPERTY TYPE: SECURITIES 119.00 | | | | 12/24/2007 27.00 | 07/14/2009 |
| 336.00 | | 15. WMS INDS INC PROPERTY TYPE: SECURITIES 536.00 | | | | 12/24/2007 -200.00 | 03/26/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------|---|--------------------|---------------------------|------------------------------|--------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 167.00 | | 5. WMS INDS INC PROPERTY TYPE: SECURITIES 179.00 | | | | | 12/24/2007 -12.00 | 05/07/2009 |
| 16.00 | | 5. ZYMOGENETICS INC PROPERTY TYPE: SECURITIES 60.00 | | | | | 12/24/2007 -44.00 | 01/07/2009 |
| 12.00 | | 4. ZYMOGENETICS INC PROPERTY TYPE: SECURITIES 48.00 | | | | | 12/24/2007 -36.00 | 01/08/2009 |
| 9.00 | | 3. ZYMOGENETICS INC PROPERTY TYPE: SECURITIES 36.00 | | | | | 12/24/2007 -27.00 | 01/08/2009 |
| 18.00 | | 6. ZYMOGENETICS INC PROPERTY TYPE: SECURITIES 72.00 | | | | | 12/24/2007 -54.00 | 01/12/2009 |
| 298.00 | | 1. BROWN ADVISORY SECURITIES ACCOUNT PROPERTY TYPE: SECURITIES 250.00 | | | | | 01/01/2009 48.00 | 05/05/2009 |
| 146.00 | | 22. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES 735.00 | | | | | 08/25/2008 -589.00 | 01/21/2009 |
| 73.00 | | 11. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES 349.00 | | | | | 08/06/2008 -276.00 | 01/21/2009 |
| 26.00 | | 4. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES 121.00 | | | | | 09/26/2008 -95.00 | 01/21/2009 |
| 147.00 | | 22. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES 339.00 | | | | | 11/11/2008 -192.00 | 01/22/2009 |
| 79.00 | | 12. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES 97.00 | | | | | 11/12/2008 -18.00 | 01/23/2009 |

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|---------------------------|--------------------------|---------------------------------|------------------------------------|--------------|---------------------------|-----------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| TOTAL GAIN (LOSS) | | | | | | | ----- -6,843. ===== | |

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|----------------------|--|--------------------------------------|
| PNC BANK INTEREST | 35. | 35. |
| | ----- | ----- |
| TOTAL | 35. | 35. |
| | ===== | ===== |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|--|--|--------------------------------------|
| AT&T INC | 3,064. | 3,064. |
| ALEX. BROWN CASH RESERVE PRIME INSTITUTI | 102. | 102. |
| AMERICAN EXPRESS CO. | 377. | 377. |
| BANK OF AMERICA CORPORATION | 35. | 35. |
| BROADRIDGE FINANCIAL SOLUTIONS, INC. COM | 20. | 20. |
| DWS TAX-EXEMPT CASH INSTITUTIONAL | 13. | |
| CLOROX CO | 1,056. | 1,056. |
| CONOCOPHILLIPS | 1,440. | 1,440. |
| DOVER CORP. | 667. | 667. |
| E I DU PONT DE NEMOURS & CO. | 1,344. | 1,344. |
| FACTSET RESEARCH SYSTEMS INC | 13. | 13. |
| FASTENAL CO | 5. | 5. |
| GENERAL ELECTRIC CO. | 194. | 194. |
| GLOBAL PMTS INC COM W/RIGHTS ATTACHED EX | 4. | 4. |
| HARMAN INTERNATIONAL INDUSTRIES INC. | 1. | 1. |
| IDEX CORP | 32. | 32. |
| J P MORGAN CHASE & CO | 118. | 118. |
| LENDER PROCESSING SERVICES INC | 6. | 6. |
| ELI LILLY & CO. | 1,382. | 1,382. |
| MERCK & CO. INC. | 1,063. | 1,063. |
| MICROSOFT CORP. | 325. | 325. |
| NATIONAL CINEMEDIA, INC. | 73. | 73. |
| PEPSICO INC. | 694. | 694. |
| PETMED EXPRESS INC. | 10. | 10. |
| PETSMART INC | 4. | 4. |
| PFIZER INC. | 789. | 789. |
| ROPER INDUSTRIES INC NEW | 7. | 7. |
| SPDR KBW REGIONAL BANKING ETF | 205. | 205. |
| SCHLUMBERGER LTD. | 85. | 85. |
| SNAP-ON INC | 2,049. | 2,049. |
| SYSCO CORP | 527. | 527. |
| TECHNE CORP | 6. | 6. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|-----------------------------------|---|-----------------------------|
| ----- | ----- | ----- |
| TIDEWATER INC | 11. | 11. |
| TOTAL SYSTEMS SVC INC | 25. | 25. |
| WELLS FARGO & CO-NEW | 23. | 23. |
| BROWN ADVISORY SECURITIES ACCOUNT | 10,294. | 10,294. |
| UTI WORLDWIDE INC | 9. | 9. |
| | ----- | ----- |
| TOTAL | 26,072. | 26,059. |
| | ===== | ===== |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|----------------------|--|--------------------------------------|
| INVESTMENT FEES-PRIN | 3,099. | 3,099. |
| | ----- | ----- |
| TOTALS | 3,099. | 3,099. |
| | ===== | ===== |

BUCKINGHAM SCHOOL FREDERICK COUNTY MD

52-6034781

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION

US GOVERNMENT OBLIGATIONS

TOTALS

BUCKINGHAM SCHOOL, FREDERICK COUNTY MD
 FORM 990PF, PART II - CORPORATE STOCK
 =====

52-6034781

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV ---- |
|----------------------|-------------------------------|-----------------------|
| COMMON STOCK | 769,894. | 765,819. |
| EQUITY MUTUAL FUNDS | 49,720. | 42,478. |
| COMMON STOCK - SCG | 65,718. | 81,484. |
| | ----- | ----- |
| TOTALS | 885,332. | 889,781. |
| | ===== | ===== |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION

AMOUNT

ROUNDING

2.

TOTAL

2.

=====

STATE(S) WHERE THE FOUNDATION IS REGISTERED
=====

MD

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
=====

OFFICER NAME:

ANN FRITZ HACKETT

ADDRESS:

1110 BLACK VALLEY LANE

MCLEAN, VA 22102

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

OFFICER NAME:

DANIEL BAKER

ADDRESS:

1012 CLOVERLEA ROAD

RUXTON, MD 21204

TITLE:

TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 0

OFFICER NAME:

DR. JENETTE H WHEELER

ADDRESS:

3400 CIVIC CENTER BLVD

PHILADELPHIA, PA 19104

TITLE:

SECRETARY -TRUSTEE

BUCKINGHAM SCHOOL FREDERICK COUNTY MD 52-6034781
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

SEE ATTACHED

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 45,000.

TOTAL GRANTS PAID: 45,000.
=====

STATEMENT 10

BUCKINGHAM SCHOOL FOUNDATION

| 2009 Charitable Contributions | City | St | Relationship to Foundation | Foundation Status of Recipient | Purpose of Grant or Contribution | Amount |
|---|-----------------|----|----------------------------|--------------------------------|----------------------------------|--------|
| Amos Fortune Forum | Jaffrey | NH | None | General | Public Charity | 50 |
| Amaroscogen Land Trust | | | None | General | Public Charity | 50 |
| American Institute of Cancer Research | Washington | DC | None | General | Public Charity | 50 |
| Arbor Day Foundation | Nebraska City | NE | None | General | Public Charity | 30 |
| Baltimore Museum of Art | Baltimore | MD | None | General | Public Charity | 480 |
| Baltimore Symphony Orchestra | Baltimore | MD | None | General | Public Charity | 750 |
| Bryn Mawr School | Baltimore | MD | None | General | Public Charity | 100 |
| Camp Arcadia | Casco | ME | None | General | Public Charity | 500 |
| Cancer Care | New York | NY | None | General | Public Charity | 1,000 |
| Calvert School Baltimore | Baltimore | MD | None | General | Public Charity | 250 |
| Chesapeake Bay Foundation | Annapolis | MD | None | General | Public Charity | 125 |
| Christ Church | | | None | General | Public Charity | 1,000 |
| Church of the Redeemer | Baltimore | MD | None | General | Public Charity | 50 |
| Civil War Preservation Trust | Washington | DC | None | General | Public Charity | 100 |
| Defenders of Animal Rights | Phoenix | MD | None | General | Public Charity | 500 |
| Emanuel Church | Dublin | NH | None | General | Public Charity | 1,000 |
| 564 Park Avenue | Baltimore | MD | None | General | Public Charity | 500 |
| Friends of Basset | Cooperstown | NY | None | General | Public Charity | 1,000 |
| Friends of Keswick | Baltimore | MD | None | General | Public Charity | 250 |
| Fuel Fund of Maryland | Baltimore | MD | None | General | Public Charity | 150 |
| Garrison Forest School | Baltimore | MD | None | General | Public Charity | 1,500 |
| GBMC Foundation | Baltimore | MD | None | General | Public Charity | 2,000 |
| Gilchrist Hospice Care | Hunt Valley | MD | None | General | Public Charity | 50 |
| Gilman School | Baltimore | MD | None | General | Public Charity | 600 |
| Homewood Museum | Baltimore | MD | None | General | Public Charity | 25 |
| Hopewell Cancer Support | Hopewell | VA | None | General | Public Charity | 250 |
| IPOS | Charlottesville | VA | None | General | Public Charity | 1,000 |
| Irvine Natural Science Center | Baltimore | MD | None | General | Public Charity | 500 |
| Jaffrey Center Village Improvement | Jaffrey | NH | None | General | Public Charity | 100 |
| Johns Hopkins School of Medicine | Baltimore | MD | None | General | Public Charity | 3,000 |
| Ladew Topiary Gardens | Monkton | MD | None | General | Public Charity | 450 |
| Maryland Food Bank | Baltimore | MD | None | General | Public Charity | 150 |
| Maryland Historical Society | Baltimore | MD | None | General | Public Charity | 150 |
| Maryland House and Garden Pilgrimage | Baltimore | MD | None | General | Public Charity | 190 |
| MacDowell Art Colony | Peterbough | NH | None | General | Public Charity | 200 |
| Mount Vernon of Baltimore Preservation | Baltimore | MD | None | General | Public Charity | 2,000 |
| Mount Vernon Ladies Association | Baltimore | MD | None | General | Public Charity | 500 |
| Mpala Wildlife Foundation | Riderwood | MD | None | General | Public Charity | 5,000 |
| Muhlenberg College Fund | Muhlenberg | PA | None | General | Public Charity | 100 |
| National Coalition of Cancer Survivorship | Silver Spring | MD | None | General | Public Charity | 1,000 |
| Otsega 2000 | Cooperstown | NY | None | General | Public Charity | 1,000 |
| Otsega County Conservation Assoc | Cooperstown | NY | None | General | Public Charity | 1,000 |
| Princeton University | Princeton | NJ | None | General | Public Charity | 100 |
| Park Theatre | Jaffrey | NH | None | General | Public Charity | 100 |
| Paul's Place | Baltimore | MD | None | General | Public Charity | 100 |
| Peninsula Art School | Fish Creek | WI | None | General | Public Charity | 500 |
| Prevent Cancer Foundation | Alexandria | VA | None | General | Public Charity | 1,000 |
| SLA | Holderness | NH | None | General | Public Charity | 50 |
| Slim for Life | | | None | General | Public Charity | 25 |

BUCKINGHAM SCHOOL FOUNDATION

| 2009 Charitable Contributions | City | St | Relationship to Foundation | Foundation Status of Recipient | Purpose of Grant or Contribution | Amount |
|------------------------------------|--------------|----|----------------------------|--------------------------------|----------------------------------|--------|
| Squaw Lake Association | Holderness | NH | None | General | Public Charity | 50 |
| St Andrew's Christian Community | Baltimore | MD | None | General | Public Charity | 8,400 |
| St John's Church | | | None | General | Public Charity | 100 |
| St Margaret's Church | Annapolis | MD | None | General | Public Charity | 200 |
| SPNHF | Concord | NH | None | General | Public Charity | 250 |
| St Thomas Church | Owings Mills | MD | None | General | Public Charity | 2,850 |
| Scott Wodler Research Fund | | | None | General | Public Charity | 1,000 |
| Thorndike Pond Conservation | Jaffrey | NH | None | General | Public Charity | 50 |
| Union Memorial Hospital Foundation | Baltimore | MD | None | General | Public Charity | 1,000 |
| US Olympic Committee | Lake Placid | NY | None | General | Public Charity | 40 |
| Valley Planning Council | Baltimore | MD | None | General | Public Charity | 225 |
| Walters Art Gallery | Baltimore | MD | None | General | Public Charity | 260 |
| Total | | | | | | 45,000 |