Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning, and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation
REMINGTON FOUNDATION, INC
C/O KEVIN L. DUMAS, CPA

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
28 OLD PARK LANE ROAD

City or town, state, and ZIP code
NEW MILFORD, CT 06776

A Employer identification number
51-0487546

B Telephone number
860-355-2812

C If exemption application is pending, check here ▶ [ ]
D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 433,049.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ▶ [X] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	505.	505.		STATEMENT 1
4 Dividends and interest from securities	8,096.	8,096.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	16,788.			
b Gross sales price for all assets on line 6a 277,454.				
7 Capital gain net income (from Part IV, line 2)		16,788.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	25,389.	25,389.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	1,350.	0.		0.
c Other professional fees STMT 4	2,446.	2,446.		0.
17 Interest				
18 Taxes STMT 5	246.	164.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	15.	15.		0.
24 **Total operating and administrative expenses.** Add lines 13 through 23	4,057.	2,625.		0.
25 Contributions, gifts, grants paid	15,000.			15,000.
26 **Total expenses and disbursements.** Add lines 24 and 25	19,057.	2,625.		15,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	6,332.			
b **Net investment income** (if negative, enter -0-)		22,764.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	10,173.	88,427.	88,427.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 8	66,837.	66,400.	61,860.
	b	Investments - corporate stock STMT 9	273,287.	202,599.	231,468.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	39,939.	40,137.	51,294.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	390,236.	397,563.	433,049.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 11)	0.	1,432.	
	23	Total liabilities (add lines 17 through 22)	0.	1,432.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	10,721.	10,284.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	379,515.	385,847.	
	30	Total net assets or fund balances	390,236.	396,131.	
	31	Total liabilities and net assets/fund balances	390,236.	397,563.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	390,236.
2	Enter amount from Part I, line 27a	2	6,332.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	396,568.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	437.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	396,131.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	U.S. TRUST - SEE ATTACHED	P	VARIOUS	VARIOUS
b	U.S. TRUST - SEE ATTACHED	P	VARIOUS	VARIOUS
c	NORTHERN TRUST - SEE ATTACHED	P	VARIOUS	VARIOUS
d	NORTHERN TRUST - SEE ATTACHED	P	VARIOUS	VARIOUS
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,477.		7,321.	-2,844.
b	96,239.		109,407.	-13,168.
c	42,196.		29,594.	12,602.
d	134,477.		114,344.	20,133.
e	65.			65.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-2,844.
b				-13,168.
c				12,602.
d				20,133.
e				65.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	16,788.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes X No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	26,418.	473,972.	.055737
2007	29,814.	593,975.	.050194
2006	26,130.	551,763.	.047357
2005	25,600.	519,244.	.049302
2004	25,000.	484,127.	.051639

2	**Total** of line 1, column (d)	2	.254229
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050846
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	381,722.
5	Multiply line 4 by line 3	5	19,409.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	228.
7	Add lines 5 and 6	7	19,637.
8	Enter qualifying distributions from Part XII, line 4	8	15,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)** **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		1	455.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	455.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	455.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	455.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANNE SWIFT Telephone no. ▶ 860-355-2812
Located at ▶ 16 JUDGE ROAD, ROXBURY, CT ZIP+4 ▶ 06783

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes X No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes X No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes X No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes X No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE SWIFT 16 JUDGE ROAD ROXBURY, CT 06783	PRESIDENT/SECRETARY 5.00	0.	0.	0.
LEE LORD 16 JUDGE ROAD ROXBURY, CT 06783	VICE PRESIDENT/TREASURER 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	338,302.
b	Average of monthly cash balances	1b	49,233.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	387,535.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	387,535.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	5,813.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	381,722.
6	**Minimum investment return.** Enter 5% of line 5	6	19,086.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	19,086.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	455.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	455.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	18,631.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	18,631.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	18,631.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	15,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	15,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				18,631.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 — 12,874.				
b From 2005				
c From 2006				
d From 2007 — 487.				
e From 2008 — 2,883.				
f Total of lines 3a through e	16,244.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 15,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				15,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	3,631.			3,631.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,613.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	9,243.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	3,370.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007 — 487.				
d Excess from 2008 — 2,883.				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
HABITAT FOR HUMANITY P.O. BOX 1 SALISBURY, CT 06068	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION	5,000.
ROXBURY VOLUNTEER FIRE DEPARTMENT 27 NORTH STREET ROXBURY, CT 06783	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION	5,000.
MEMORIAL SLOAN KETTERING 1275 YORK AVENUE NEW YORK, NY 10065	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION	5,000.
Total			▶ 3a	15,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	505.	
4 Dividends and interest from securities			18	8,096.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	16,788.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		25,389.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 25,389.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Anne L. Swift 3/31/10 Trustee

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date 03/27/10	Check if self-employed ▶ [X]	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code ▶ DUMAS, BAKEWELL & MULHARE, LLC 28 OLD PARK LANE ROAD NEW MILFORD, CT 06776-2508		EIN ▶	Phone no. (860)355-2223

923622
02-02-10

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST - INTEREST	437.
U.S. TRUST - REGULAR INTEREST	68.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	505.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NORTHERN TRUST - DIVIDENDS	2,818.	0.	2,818.
NORTHERN TRUST - DIVIDENDS	65.	65.	0.
U.S. TRUST - DIVIDENDS	4,119.	0.	4,119.
U.S. TRUST - U.S. GOVERNMENT INTEREST	1,159.	0.	1,159.
TOTAL TO FM 990-PF, PART I, LN 4	8,161.	65.	8,096.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,350.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,350.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TRUSTEE FEES	2,446.	2,446.		0.
TO FORM 990-PF, PG 1, LN 16C	2,446.	2,446.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	82.	0.		0.
FOREIGN TAXES PAID	164.	164.		0.
TO FORM 990-PF, PG 1, LN 18	246.	164.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	15.	15.		0.
TO FORM 990-PF, PG 1, LN 23	15.	15.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
ADJUSTMENT MADE TO US TREASURY NOTES INFLATION INDEX DUE TO AMORTIZATION	437.
TOTAL TO FORM 990-PF, PART III, LINE 5	437.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY - SEE ATTACHED	X		66,400.	61,860.
TOTAL U.S. GOVERNMENT OBLIGATIONS			66,400.	61,860.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			66,400.	61,860.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - SEE ATTACHED	202,599.	231,468.
TOTAL TO FORM 990-PF, PART II, LINE 10B	202,599.	231,468.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHED	COST	40,137.	51,294.
ACCRUED INTEREST & DIVIDENDS	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		40,137.	51,294.

FORM 990-PF OTHER LIABILITIES STATEMENT 11

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYABLE TO ANNE SWIFT	0.	1,432.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	1,432.

2009 Tax Information Statement

Account Number: 23-54729
Recipient's Tax ID number: XX-XXX7546

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
THE REMINGTON FOUNDATION INC.
16 JUDGE RD.
ROXBURY, CT 06783-1611

NORTHERN TRUST FSB
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
93.0000	032511107	ANADARKO PETROLEUM CORP	VARIOUS	09/01/2009	4,913.06	4,105.67	807.39	0.00
23.0000	091797100	BLACK & DECKER CORP	06/01/2009	09/01/2009	984.14	770.14	214.00	0.00
108.0000	203372107	COMMSCOPE INC COM	11/12/2008	09/01/2009	2,813.33	1,223.38	1,589.95	0.00
34.0000	278058102	EATON CORP COM W/RTS EXP 07/12/2005	VARIOUS	09/01/2009	1,811.02	1,396.54	414.48	0.00
73.0000	29266R108	ENERGIZER HLDGS INC COM	VARIOUS	09/01/2009	4,694.51	3,991.71	702.80	0.00
322.0000	651229106	NEWELL RUBBERMAID INC	VARIOUS	09/01/2009	4,478.90	2,398.66	2,080.24	0.00
154.0000	02076X102	ALPHA NATURAL RESOURCES INC COM	VARIOUS	09/22/2009	6,055.12	2,899.34	3,155.78	0.00
40.0000	544147101	LORILLARD INC COM STK	06/15/2009	09/22/2009	2,952.72	1,102.80	1,849.92	0.00
87.0000	59156R108	METLIFE INC	VARIOUS	09/22/2009	3,402.57	2,301.84	1,100.73	0.00
124.0000	962166104	WEYERHAEUSER CO	10/24/2008	09/22/2009	4,799.92	3,804.44	995.48	0.00
15.5000	H89128104	TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0.80	06/15/2009	09/28/2009	533.53	680.03	-146.50	0.00
250.0000	458140100	INTEL CORP COM	09/22/2009	11/19/2009	4,757.38	4,919.50	-162.12	0.00
Total Short Term Sales					42,196.20	29,594.05	12,602.15	0.00
Long Term 15% Sales								
188.0000	02364W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES	VARIOUS	09/01/2009	8,256.75	5,582.58	2,674.17	0.00

2009 Tax Information Statement

Account Number: 23-54729
Recipient's Tax ID number: XX-XXX7546

☐ Corrected ☐ 2nd TIN notice

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16 JUDGE RD.
ROXBURY, CT 06783-1611

NORTHERN TRUST FSB
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
124.0000	091797100	BLACK & DECKER CORP	VARIOUS	09/01/2009	5,305.83	6,588.27	-1,282.44	0.00
413.0000	150870103	CELANESE CORP DEL COM SER A STK	VARIOUS	09/01/2009	10,159.62	8,100.91	2,058.71	0.00
217.0000	203372107	COMMSCOPE INC COM	VARIOUS	09/01/2009	5,652.70	8,247.13	-2,594.43	0.00
121.0000	278058102	EATON CORP COM W/RTS EXP 07/12/2005	VARIOUS	09/01/2009	6,445.08	9,831.99	-3,386.91	0.00
278.0000	651229106	NEWELL RUBBERMAID INC	VARIOUS	09/01/2009	3,866.88	6,137.50	-2,270.62	0.00
177.0000	H0023R105	ACE LTD COM STK	VARIOUS	09/01/2009	9,066.77	7,338.55	1,728.22	0.00
300.0000	20854P109	CONSOL ENERGY INC COM	02/27/2004	09/22/2009	14,729.62	3,709.50	11,020.12	0.00
212.0000	25179M103	DEVON ENERGY CORP NEW	VARIOUS	09/22/2009	15,071.11	6,032.10	9,039.01	0.00
284.0000	413875105	HARRIS CORP DEL COM	VARIOUS	09/22/2009	10,647.46	6,604.39	4,043.07	0.00
140.0000	544147101	LORILLARD INC COM STK	03/19/2004	09/22/2009	10,334.54	3,860.40	6,474.14	0.00
439.0000	859737207	ADR STERLITE INDS INDIA LTD ADS	VARIOUS	09/22/2009	6,894.14	7,673.41	-779.27	0.00
334.0000	91912E105	ADR VALE S A ADR	VARIOUS	09/22/2009	7,738.58	12,934.04	- 5,195.46	0.00
370.0000	G491BT108	INVESCO LTD COM STK USD0.10	VARIOUS	09/22/2009	8,472.97	5,567.89	2,905.08	0.00
234.0000	617446448	MORGAN STANLEY DEAN WITTER & CO NEW	VARIOUS	09/28/2009	7,198.12	10,510.63	-3,312.51	0.00
134.5000	H89128104	TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0.80	VARIOUS	09/28/2009	4,629.64	5,624.37	- 994.73	0.00
50.0000	78463V107	MFC SPDR GOLD TR GOLD SHS		09/30/2009	1.69		1.69	0.00
50.0000	78463V107	MFC SPDR GOLD TR GOLD SHS		10/31/2009	1.62		1.62	0.00
50.0000	78463V107	MFC SPDR GOLD TR GOLD SHS		11/30/2009	1.73		1.73	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Account Number: 23-54729
Recipient's Tax ID number: XX-XXX7546

☐ Corrected ☐ 2nd TIN notice

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THE REMINGTON FOUNDATION INC.
16 JUDGE RD.
ROXBURY, CT 06783-1611

NORTHERN TRUST FSB
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50.0000	78463V107	MFC SPDR GOLD TR GOLD SHS		12/31/2009	1.74		1.74	0.00
Total Long Term 15% Sales					**134,476.59**	114,343.66	20,132.93	**0.00**

This is important tax information and is being furnished to you.

1099-B Proceeds From Broker Transactions (OMB No. 1545-0715)

This section reports your proceeds from each of your sales during the year which are reported to the Internal Revenue Service. The proceeds from each of your sales are reported to the IRS on Forms 1099-B (Box 2), not the aggregate total of your sales. You must report the proceeds of each sale on your tax return. We must report all transactions on a trade-date basis which is the date shown in the sale date column (Box 1a). The proceeds reported in Box 2 are less commissions, transfer charges, and premiums.

We are not required to nor do we report gains or losses on these sales to the IRS We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. Wash sales are reported with tax cost equal to proceeds Disallowed losses from wash sales have been added to the tax cost of the repurchased securities. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital However, we have not made adjustments to the cost basis of all partnerships

Short term transactions

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
JP MORGAN CHASE & CO	46625H100	16	05/15/08	04/09/09	$500 01	$746 99	$-246 98
EMBRAER-EMPRESA BRASILEIRA DE	29081M102	25	06/27/08	04/20/09	$383 78	$676 68	$-292 90
KENNAMETAL INC	489170100	41	05/15/08	05/11/09	$817 38	$1,496 16	$-678 78
KENNAMETAL INC	489170100	9	05/15/08	05/15/09	$157 47	$328 42	$-170 95
KENNAMETAL INC	489170100	14	06/27/08	05/15/09	$244 95	$452.30	$-207 35
KENNAMETAL INC	489170100	6	07/24/08	05/15/09	$104 98	$175 52	$-70 54
KENNAMETAL INC	489170100	90	07/24/08	05/18/09	$1,599 28	$2,632 87	$-1,033 59
RSC HLDGS INC	74972L102	44	06/27/08	06/02/09	$274 81	$435 49	$-160 68
HARRIS STRATEX NETWORKS INC COM CL	41457P106	70	05/28/09	06/03/09	$390 81	$373 80	$17 01
HARRIS STRATEX NETWORKS INC COM CL	41457P106	0 55	05/28/09	06/05/09	$3 24	$2 94	$0 30
Total short term gain/loss from sales:	Report on Form 1040, Schedule D, line 1, Column d, e and f				$4,476 71	$7,321 17	$-2,844 46

Long term transactions

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
HERCULES OFFSHORE INC	427093109	44	09/17/07	01/14/09	$210 53	$1,197 17	$-986 64
HARRIS CORP	413875105	16	02/27/04	01/14/09	$602 05	$383 36	$218 69
HERCULES OFFSHORE INC	427093109	26	09/18/07	01/14/09	$123 95	$708 35	$-584 40
KENNAMETAL INC	489170100	12	09/17/07	01/14/09	$216 03	$498 36	$-282 33
KENNAMETAL INC	489170100	4	09/18/07	01/14/09	$72 01	$167 74	$-95 73
KENNAMETAL INC	489170100	19	09/18/07	01/14/09	$339 27	$796 79	$-457 52
HERCULES OFFSHORE INC	427093109	2	09/14/07	01/14/09	$9 57	$54 31	$-44 74
HARRIS CORP	413875105	16	09/28/04	01/14/09	$602 06	$426 88	$175 18
HERCULES OFFSHORE INC	427093109	33	09/18/07	01/14/09	$157 89	$899 05	$-741 16
HERCULES OFFSHORE INC	427093109	55	09/19/07	01/14/09	$257 70	$1,567 11	$-1,309 41
HERCULES OFFSHORE INC	427093109	15	09/19/07	01/14/09	$70 28	$427 08	$-356 80
HERCULES OFFSHORE INC	427093109	17	09/19/07	01/14/09	$79 66	$483 62	$-403 96
HERCULES OFFSHORE INC	427093109	3	09/19/07	01/14/09	$14 30	$85 34	$-71 04
HERCULES OFFSHORE INC	427093109	60	11/02/07	01/14/09	$286 04	$1,671 23	$-1,385 19
HERCULES OFFSHORE INC	427093109	20	09/14/07	01/14/09	$95.35	$546 21	$-450 86
AUTOZONE INC	053332102	12	03/19/04	03/26/09	$1,990 76	$1,009 80	$980 96
LORILLARD INC	544147101	28	02/27/04	03/26/09	$1,804 28	$803 60	$1,000 68
AUTOZONE INC	053332102	10	09/28/04	04/09/09	$1,616 58	$755.20	$861 38
AUTOZONE INC	053332102	30	03/19/04	04/09/09	$4,849 75	$2,524 50	$2,325 25
LORILLARD INC	544147101	10	03/19/04	04/09/09	$624 38	$286 40	$337 98
LORILLARD INC	544147101	3	02/27/04	04/09/09	$187 31	$86 10	$101 21
JP MORGAN CHASE & CO	46625H100	23	02/27/04	04/09/09	$718 76	$937 71	$-218 95
JP MORGAN CHASE & CO	46625H100	30	03/19/04	04/09/09	$937 51	$1,262 10	$-324 59
EMBRAER-EMPRESA BRASILEIRA DE	29081M102	229	08/25/04	04/17/09	$3,831 44	$6,342 30	$-2 510 86

Long term transactions

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
EMBRAER-EMPRESA BRASILEIRA DE	29081M102	19	08/25/04	04/20/09	$291 68	$526 22	$-234 54
EMBRAER-EMPRESA BRASILEIRA DE	29081M102	30	09/28/04	04/20/09	$460 54	$757 50	$-296 96
PETROLEO BRASILEIRO SA PETROBRAS	71654V408	16	03/01/05	04/27/09	$509 70	$186 93	$322 77
DEVON ENERGY CORPORATION NEW	25179M103	22	03/19/04	05/08/09	$1,418 64	$641 85	$776 79
KENNAMETAL INC	489170100	4	09/14/07	05/08/09	$80 46	$166 04	$-85 58
KENNAMETAL INC	489170100	40	09/14/07	05/08/09	$804 62	$1,660 55	$-855 93
KENNAMETAL INC	489170100	20	09/17/07	05/08/09	$402.31	$830 60	$-428 29
KENNAMETAL INC	489170100	20	09/14/07	05/08/09	$408 69	$830 20	$-421 51
PETROLEO BRASILEIRO SA PETROBRAS	71654V408	24	03/01/05	05/11/09	$959 91	$280 39	$679 52
KENNAMETAL INC	489170100	14	09/14/07	05/11/09	$279 10	$581 14	$-302 04
RSC HLDGS INC	74972L102	14	07/23/07	05/15/09	$89 37	$308 57	$-219 20
KENNAMETAL INC	489170100	10	04/08/08	05/15/09	$174 97	$323 71	$-148 74
RSC HLDGS INC	74972L102	62	07/23/07	05/18/09	$392 65	$1,366 52	$-973 87
RSC HLDGS INC	74972L102	11	07/17/07	05/18/09	$69 66	$242 44	$-172 78
RSC HLDGS INC	74972L102	69	07/24/07	05/18/09	$436 98	$1,520 43	$-1,083 45
RSC HLDGS INC	74972L102	19	07/18/07	05/18/09	$120 33	$418 63	$-298 30
RSC HLDGS INC	74972L102	33	07/16/07	05/19/09	$204 64	$727 01	$-522 37
RSC HLDGS INC	74972L102	5	07/16/07	06/01/09	$31 06	$110 15	$-79 09
RSC HLDGS INC	74972L102	1	07/23/07	06/01/09	$6 21	$21 91	$-15 70
RSC HLDGS INC	74972L102	5	07/23/07	06/01/09	$31 06	$110 02	$-78 96
RSC HLDGS INC	74972L102	2	07/18/07	06/01/09	$12 43	$44 02	$-31 59
RSC HLDGS INC	74972L102	10	07/24/07	06/01/09	$62 13	$220 15	$-158 02
RSC HLDGS INC	74972L102	14	07/19/07	06/01/09	$86 98	$308 28	$-221 30
RSC HLDGS INC	74972L102	1	07/17/07	06/01/09	$6 21	$22 02	$-15 81

Long term transactions

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
STERLITE INDS INDIA LTD ADS	859737207	1	07/16/07	06/01/09	$14 21	$18 05	$-3 84
RSC HLDGS INC	74972L102	1	07/18/07	06/01/09	$6 21	$22 03	$-15 82
RSC HLDGS INC	74972L102	16	07/25/07	06/01/09	$99 41	$350 02	$-250 61
RSC HLDGS INC	74972L102	23	07/26/07	06/01/09	$142 89	$495 53	$-352 64
RSC HLDGS INC	74972L102	2	07/26/07	06/01/09	$12 58	$43 09	$-30 51
RSC HLDGS INC	74972L102	6	07/20/07	06/01/09	$37 73	$129 06	$-91 33
RSC HLDGS INC	74972L102	8	07/20/07	06/01/09	$51 05	$172 09	$-121 04
RSC HLDGS INC	74972L102	8	07/16/07	06/01/09	$49 70	$176 25	$-126 55
STERLITE INDS INDIA LTD ADS	859737207	48	07/16/07	06/01/09	$680 54	$866 54	$-186 00
STERLITE INDS INDIA LTD ADS	859737207	50	07/16/07	06/01/09	$704 28	$902 65	$-198 37
RSC HLDGS INC	74972L102	80	11/19/07	06/02/09	$499 65	$886 74	$-387 09
RSC HLDGS INC	74972L102	2	11/05/07	06/02/09	$12 49	$27 67	$-15 18
RSC HLDGS INC	74972L102	30	11/05/07	06/02/09	$187 37	$415 12	$-227 75
RSC HLDGS INC	74972L102	51	11/05/07	06/02/09	$318 53	$708 58	$-390 05
RSC HLDGS INC	74972L102	31	11/02/07	06/02/09	$193 61	$433 07	$-239 46
RSC HLDGS INC	74972L102	11	11/06/07	06/02/09	$68 70	$154 23	$-85 53
RSC HLDGS INC	74972L102	20	08/08/07	06/02/09	$124 91	$376 40	$-251 49
RSC HLDGS INC	74972L102	3	07/20/07	06/02/09	$18 74	$64 02	$-45 28
RSC HLDGS INC	74972L102	13	07/20/07	06/02/09	$81 19	$278 73	$-197 54
RSC HLDGS INC	74972L102	3	07/20/07	06/02/09	$18 74	$64 53	$-45 79
RSC HLDGS INC	74972L102	5	11/06/07	06/02/09	$31 23	$70 17	$-38 94
STERLITE INDS INDIA LTD ADS	859737207	37	07/16/07	06/02/09	$532 22	$667 96	$-135 74
COLUMBIA FDS SER TR I INTL GROWTH	19765Y787	13	06/30/05	06/10/09	$143 78	$169 63	$-25 85
COLUMBIA FDS SER TR I INTL GROWTH	19765Y787	1438	02/27/04	06/10/09	$15,904 28	$15,990 56	$-86 28

U.S. TRUST

Bank of America Private Wealth Management

REMINGTON FDN INC

Long term transactions

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
COLUMBIA FDS SER TR I INTL GROWTH	19765Y787	1 6	02/27/04	06/10/09	$17 73	$17 18	$0 55
COLUMBIA FDS SER TR I EMERGING	19765Y852	18 86	12/09/04	06/10/09	$166 35	$160 22	$6 13
COLUMBIA FDS SER TR I BOND FD CL Z	19765Y886	2699	05/19/05	06/10/09	$23,751 20	$24,992 74	$-1,241 54
COLUMBIA FDS SER TR I EMERGING	19765Y852	1294	02/27/04	06/10/09	$11,413 08	$9,795 58	$1,617 50
COLUMBIA FDS SER TR I BOND FD CL Z	19765Y886	1578	02/27/04	06/10/09	$13,886 40	$14,801 65	$-915 25
COLUMBIA FDS SER TR I BOND FD CL Z	19765Y886	1 07	02/27/04	06/10/09	$9 45	$9 98	$-0 53
COLUMBIA FDS SER TR I EMERGING	19765Y852	2 63	02/27/04	06/10/09	$23 20	$21 75	$1 45
Total long term gain/loss from sales: Report on Form 1040, Schedule D, line 8, Column d, e, and f					$96,239 24	$109,407 41	$-13,168 17

Total proceeds reported to IRS on Form 1099-B $100,715.95