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Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2009

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

The organization may have to use a copy of this return to satisfy state reporting requirements.

Form 990 header section A-M containing organization name (OCEANA, INC.), EIN (51-0401308), address (1350 CONNECTICUT AVE., NW, 5TH FLR WASHINGTON, DC 20036), and principal officer (JAMES F. SIMON).

Part I Summary table with columns for Revenue, Expenses, and Net Assets or Fund Balances. Rows include mission statement, governance metrics, and financial data for Prior Year and Current Year.

Part II Signature Block containing signature of James F. Simon, Preparer's signature (RAFFA, P.C.), and contact information.

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [] No

ELECTRONICALLY FILED 11/12/2010

COPY

SCANNED FEB 09 2012

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Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION
OCEANA IS AN INTERNATIONAL ADVOCACY ORGANIZATION CREATED WITH THE SOLE PURPOSE OF PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE. OCEANA BRINGS TOGETHER DEDICATED PEOPLE FROM AROUND THE WORLD BY BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEANS THROUGH

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code:) (Expenses \$ 5,581,764. including grants of \$ 40,000.) (Revenue \$)
NORTH AMERICAN OCEANS - DURING 2009, OCEANA INVESTED \$5,581,764 IN PROGRAM SERVICES FOR THE NORTH AMERICAN OCEANS (NAO). FOLLOWING ARE THE MAIN ACCOMPLISHMENTS OF OCEANA NORTH AMERICA'S SERVICES:

I. SUSTAINABLE FISHING CAMPAIGN

DEFENDING THE OCEANS' MOST VALUABLE ECOSYSTEMS: OCEANA WORKS FOR PREVENTIVE MEASURES THAT PROTECT MARINE ECOSYSTEMS BEFORE THEY ARE EXPLOITED BY INDUSTRIAL FISHING OR RUINED BY POLLUTION. THESE EFFORTS HAVE RESULTED IN 900 MILLION ACRES OF SEA PROTECTED FROM TRAWLING AND INDUSTRIAL FISHING, AN AREA ONE-AND-A-HALF TIMES THE SIZE OF ALASKA, CALIFORNIA AND TEXAS COMBINED. IN 2009, OCEANA EXPANDED ITS EFFORTS TO

4b (Code:) (Expenses \$ 5,158,565. including grants of \$ 10,000.) (Revenue \$)
INTERNATIONAL ACTIVITIES - OCEANA INVESTED \$5,185,565 DURING 2009 IN INTERNATIONAL PROGRAM SERVICES. FOLLOWING ARE THE MAIN PROGRAM SERVICE ACCOMPLISHMENTS FOR OCEANA'S INTERNATIONAL ACTIVITIES IN 2009:

I. OCEANA BELIZE

CAMPAIGN TO PROTECT THE NORTHERN HEMISPHERE'S LARGEST REEF ECOSYSTEM FROM FOREIGN FLEETS: BELIZE IS HOME TO A MAJOR SECTION OF THE MESOAMERICAN BARRIER REEF, THE SECOND LARGEST REEF SYSTEM IN THE WORLD. OCEANA SUCCEEDED IN GETTING A PUBLIC PROMISE FROM THE PRIME MINISTER OF BELIZE TO PROTECT THE COUNTRY'S WATERS FROM EXPLOITATION BY JAMAICAN TRAWLERS, WHICH USE MORE ADVANCED COMMERCIAL GEAR THAN BELIZE'S LOCAL

4c (Code:) (Expenses \$ 1,829,102. including grants of \$) (Revenue \$)
COMMUNICATIONS - OCEANA INVESTED \$1,829,102 IN COMMUNICATIONS SERVICES. FOLLOWING ARE THE MAIN ACCOMPLISHMENTS OF OCEANA'S COMMUNICATIONS SERVICES:

THE MARKETING AND COMMUNICATIONS TEAM AT OCEANA RAISED \$10,287 IN 501(C)4 MONEY TO ENABLE OCEANA ADVOCACY RESOURCES (OAR) AND \$116,524 ONLINE IN 501(C)3 DONATIONS.

OCEANA LAUNCHED A SUCCESSFUL NEW WAVEMAKER PROGRAM ON WORLD OCEANS DAY. THE PROGRAM, ENTITLED "OCEANA'S OCEAN HEROES" CONTEST, ASKED WAVEMAKERS TO NOMINATE AND VOTE ON OCEAN HEROES WHO WOULD BE HONORED AND RECOGNIZED BY OCEANA. IN THE END, JOHN HALAS, A NOAA SCIENTIST, WAS THE

4d Other program services (Describe in Schedule O)
 (Expenses \$ 1,223,684. including grants of \$) (Revenue \$)

4e Total program service expenses ► \$ 13,793,115.

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	X	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11	Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
	<ul style="list-style-type: none"> • Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> • Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> • Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> • Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> • Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> • Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X</i> 		
12	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>		X
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	X	
14b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>	X	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>		X
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
28a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	X	
35 Is any related organization a controlled entry within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	X	

Note. All Form 990 filers are required to complete Schedule O.

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1a	52		
1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	137		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	X	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	X	
b	If "Yes," enter the name of the foreign country ► BELGIUM, BELIZE, CHILE, SPAIN See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entry Regarding Prohibited Tax Shelter Transaction?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d	If "Yes," indicate the number of Forms 8282 filed during the year		
7d			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? N/A		
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966? N/A		
b	Did the organization make a distribution to a donor, donor advisor, or related person? N/A		
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12 N/A	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities N/A	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders N/A	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) N/A	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O See instructions

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body		
1b	Enter the number of voting members that are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		X
6	Does the organization have members or stockholders?		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
7b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	a The governing body?	X	
8b	b Each committee with authority to act on behalf of the governing body?	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

		Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	X	
10b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	X	
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
12b	b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
12c	c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13	Does the organization have a written whistleblower policy?	X	
14	Does the organization have a written document retention and destruction policy?	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	a The organization's CEO, Executive Director, or top management official	X	
15b	b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
16b	b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed **AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA**
- 18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply
 Own website Another's website Upon request
- 19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public
- 20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization **▶**
THE ORGANIZATION - (202)833-3900
1350 CONNECTICUT AVE, NW, 5TH FL, WASHINGTON, DC 20036

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
KEITH ADDIS CHAIR	1.00	X		X			0.	0.	0.	
DR. KRISTIN PARKER VICE CHAIR	1.00	X		X			0.	0.	0.	
JAMES SANDLER TREASURER	1.00	X		X			0.	0.	0.	
SIMON SIDAMON-ERISTOFF SECRETARY	1.00	X		X			0.	0.	0.	
HERBERT M. BEDOLFE, III DIRECTOR	1.00	X					0.	0.	0.	
TED DANSON DIRECTOR	1.00	X					0.	0.	0.	
CESAR GAVIRIA DIRECTOR	1.00	X					0.	0.	0.	
MARIA EUGENIA GIRON DIRECTOR	1.00	X					0.	0.	0.	
STEPHEN P. MCALLISTER DIRECTOR	1.00	X					0.	0.	0.	
MICHAEL NORTHROP DIRECTOR	1.00	X					0.	0.	0.	
DR. DANIEL PAULY DIRECTOR	1.00	X					0.	0.	0.	
SALLY CHRISTINE RODGERS DIRECTOR	1.00	X					0.	0.	0.	
SAM WATERSON DIRECTOR	1.00	X					0.	0.	0.	
VALERIE L. WHITING DIRECTOR	1.00	X					0.	0.	0.	
ANDREW F. SHARPLESS CHIEF EXECUTIVE OFFICER	40.00			X			211,903.	0.	35,261.	
JAMES F. SIMON EXEC VP/CFO/GEN'L COUN.	40.00			X			201,740.	0.	35,849.	
MICHAEL F. HIRSHFIELD SENIOR VP, NORTH AMERICA	40.00				X		171,414.	0.	29,858.	

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
XAVIOR PASTOR VP FOR EURO PROGRAMS	40.00				X			188,539.	0.	17,329.
BETTINA ALONSO VP OF DEVELOPMENT	40.00					X		138,772.	0.	25,694.
MATTHEW M. LITTLEJOHN VP OF COMMUNICATIONS	40.00					X		134,081.	0.	24,433.
JIM A. AYERS VP OF NORTH PACIFIC	40.00					X		144,905.	0.	25,209.
DIEGO CORREA DIR. OF FINANCE/ADMIN.	40.00					X		114,940.	0.	18,878.
SUSAN MURRAY ASSOC DIR, NORTH PACIFIC	40.00					X		115,396.	0.	19,335.
1b Total								1,421,690.	0.	231,846.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **11**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
ANDY SPAHN AND ASSOCIATION, INC. P.O. BOX 66280, LOS ANGELES, CA 90066	STRATEGIC CONSULTING SERVICES	180,000.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **1**

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a	3,326.				
	b Membership dues	1b					
	c Fundraising events	1c	1,351,305.				
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	11792163.				
	g Noncash contributions included in lines 1a-1f \$		55,451.				
	h Total. Add lines 1a-1f			13146794.			
Program Service Revenue	2 a _____	Business Code					
	b _____						
	c _____						
	d _____						
	e _____						
	f All other program service revenue						
	g Total. Add lines 2a-2f						
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			71,442.			71,442.
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross Rents	(i) Real	(ii) Personal				
		b Less rental expenses					
		c Rental income or (loss)					
	d Net rental income or (loss)						
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		b Less cost or other basis and sales expenses					
		c Gain or (loss)					
		d Net gain or (loss)			0.		
	8 a Gross income from fundraising events (not including \$ 1351305. of contributions reported on line 1c) See Part IV, line 18	a		66,920.			
		b Less direct expenses		403,487.			
		c Net income or (loss) from fundraising events			-336,567.		
	9 a Gross income from gaming activities See Part IV, line 19	a		7,600.			
b Less direct expenses			26,120.				
c Net income or (loss) from gaming activities				-18,520.			-18,520.
10 a Gross sales of inventory, less returns and allowances	a						
	b Less cost of goods sold						
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue			Business Code				
11 a FOREIGN CURRENCY GAIN		900099	133,332.				133,332.
b SUBLEASE INCOME		900099	70,164.				70,164.
c MISCELLANEOUS		900099	9,182.				9,182.
d All other revenue		900004	3,798.			3,798.	
e Total. Add lines 11a-11d			216,476.				
12 Total revenue. See instructions.			13079625.	0.	3,798.	-70,967.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	40,000.	40,000.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	10,000.	10,000.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	891,893.	432,576.	297,980.	161,337.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	6,379,262.	5,023,595.	756,826.	598,841.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	442,699.	334,780.	52,633.	55,286.
9 Other employee benefits	944,276.	720,458.	125,403.	98,415.
10 Payroll taxes	396,403.	267,710.	74,984.	53,709.
11 Fees for services (non-employees)				
a Management				
b Legal	117,227.	115,406.	363.	1,458.
c Accounting	111,320.	33,602.	77,718.	
d Lobbying	3,568.	3,568.		
e Professional fundraising services. See Part IV, line 17	248,251.			248,251.
f Investment management fees	50,232.	22,611.	4,754.	22,867.
g Other	1,468,640.	1,241,186.	227,454.	
12 Advertising and promotion	277,971.	272,736.	3,000.	2,235.
13 Office expenses	1,086,347.	821,277.	65,981.	199,089.
14 Information technology	57,327.	50,139.	7,188.	
15 Royalties				
16 Occupancy	1,340,710.	997,769.	194,496.	148,445.
17 Travel	931,942.	808,075.	78,373.	45,494.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	293,639.	181,110.	98,793.	13,736.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	350,535.	185,487.	126,481.	38,567.
23 Insurance	154,091.	117,466.	23,388.	13,237.
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a REPAIRS/MAINTENANCE	186,303.	141,994.	28,500.	15,809.
b RECRUITMENT	121,177.	118,762.	50.	2,365.
c DESIGN COSTS	85,630.	84,749.	0.	881.
d PUBL./SUBSCRIPTIONS	56,185.	45,186.	1,999.	9,000.
e TRAINING AND DEV.	33,055.	26,558.	5,792.	705.
f All other expenses	49,225.	1,696,315.	-815,636.	-831,454.
25 Total functional expenses. Add lines 1 through 24f	16,127,908.	13,793,115.	1,436,520.	898,273.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	1,092.	1	4,496.
	2	Savings and temporary cash investments	10,444,372.	2	12,429,345.
	3	Pledges and grants receivable, net	10,905,549.	3	5,990,998.
	4	Accounts receivable, net	364,953.	4	244,114.
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	107,162.	9	84,196.
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	5,229,783.		
	10b	Less accumulated depreciation	3,285,720.		
			1,793,592.	10c	1,944,063.
	11	Investments - publicly traded securities	29,965.	11	38,388.
	12	Investments - other securities See Part IV, line 11		12	
	13	Investments - program-related See Part IV, line 11		13	
	14	Intangible assets		14	
15	Other assets See Part IV, line 11	91,355.	15	199,907.	
16	Total assets. Add lines 1 through 15 (must equal line 34)	23,738,040.	16	20,935,507.	
Liabilities	17	Accounts payable and accrued expenses	743,284.	17	994,769.
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities. Complete Part X of Schedule D	892,524.	25	878,366.
	26	Total liabilities. Add lines 17 through 25	1,635,808.	26	1,873,135.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	8,638,829.	27	12,522,578.
	28	Temporarily restricted net assets	13,463,403.	28	6,539,794.
	29	Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	22,102,232.	33	19,062,372.
	34	Total liabilities and net assets/fund balances	23,738,040.	34	20,935,507.

Part XI Financial Statements and Reporting

- 1** Accounting method used to prepare the Form 990: Cash Accrual Other _____
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both
 Separate basis Consolidated basis Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2009)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization **OCEANA, INC.** Employer identification number **51-0401308**

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h:
 a Type I b Type II c Type III - Functionally integrated d Type III - Other
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
 (ii) A family member of a person described in (i) above?
 (iii) A 35% controlled entry of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	14156164.	7700747.	26781861.	15211352.	13146794.	76996918.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	14156164.	7700747.	26781861.	15211352.	13146794.	76996918.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						21999291.
6 Public support. Subtract line 5 from line 4						54997627.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	14156164.	7700747.	26781861.	15211352.	13146794.	76996918.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	55,378.	176,140.	261,354.	217,276.	141,606.	851,754.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	42,790.	62,124.	1,189.	1,847.	9,182.	117,132.
11 Total support. Add lines 7 through 10						77965804.
12 Gross receipts from related activities, etc. (see instructions)					12	226,537.

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	70.54 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	65.63 %
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support (Add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organization is described below.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below Do not complete Part I-B.
- Section 527 organizations Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A. Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III.

Name of organization OCEANA, INC.	Employer identification number 51-0401308
---	---

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

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Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group.
B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		809.													
b Total lobbying expenditures to influence a legislative body (direct lobbying)		53,211.													
c Total lobbying expenditures (add lines 1a and 1b)		54,020.													
d Other exempt purpose expenditures		16,070,008.													
e Total exempt purpose expenditures (add lines 1c and 1d)		16,124,028.													
f Lobbying nontaxable amount Enter the amount from the following table in both columns		956,201.													
<table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000			
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		239,050.													
h Subtract line 1g from line 1a. If zero or less, enter -0-		0.													
i Subtract line 1f from line 1c. If zero or less, enter -0-		0.													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount	671,259.	845,660.	942,938.	956,201.	3,416,058.
b Lobbying ceiling amount (150% of line 2a, column(e))					5,124,087.
c Total lobbying expenditures	112,966.	19,343.	52,884.	54,020.	239,213.
d Grassroots nontaxable amount	167,815.	211,415.	235,735.	239,050.	854,015.
e Grassroots ceiling amount (150% of line 2d, column (e))					1,281,023.
f Grassroots lobbying expenditures	26,903.	1,045.	11,088.	809.	39,845.

Schedule C (Form 990 or 990-EZ) 2009

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities? If "Yes," describe in Part IV			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?		
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid):		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information

Schedule D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

Attach to Form 990. See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization OCEANA, INC. Employer identification number 51-0401308

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

Table with 3 columns: Question number, (a) Donor advised funds, (b) Funds and other accounts. Includes questions 1-6 regarding donor advised funds.

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

Form for Part II Conservation Easements, including checkboxes for purpose(s) of easements and a table for 'Held at the End of the Tax Year'.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

- a Board designated or quasi-endowment ▶ _____ %
- b Permanent endowment ▶ _____ %
- c Term endowment ▶ _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		1,868,517.	428,197.	1,440,320.
d Equipment		2,745,069.	2,329,661.	415,408.
e Other		616,197.	527,862.	88,335.
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				1,944,063.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	13,079,625.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	16,127,908.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	-3,048,283.
4	Net unrealized gains (losses) on investments	4	8,423.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net) Add lines 4 through 8	9	8,423.
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	-3,039,860.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4; Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

PART X: THE ORGANIZATION PERFORMED AN EVALUATION OF UNCERTAIN

TAX POSITIONS FOR THE YEAR ENDED DECEMBER 31, 2009, AND DETERMINED THAT

THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE FINANCIAL

STATEMENTS OR WHICH MAY HAVE ANY AFFECT ON ITS TAX-EXEMPT STATUS.

**Schedule F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

Employer identification number

OCEANA, INC.

51-0401308

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b

1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activities per Region (Use Schedule F-1 (Form 990) if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND AND GREENLAND)	2	23	PROGRAM SERVICES	POLICY, COMMUNICATIONS, SCIENCE	4,253,329.
SOUTH AMERICA	1	6	PROGRAM SERVICES	POLICY, COMMUNICATIONS, SCIENCE	575,152.
CENTRAL AMERICA AND THE CARIBBEAN	1	2	PROGRAM SERVICES	POLICY, COMMUNICATIONS, SCIENCE	330,083.
EAST ASIA AND THE PACIFIC	0	0	GRANTS TO RECIPIENTS LOCATED IN REGION.		10,000.
Totals	4	31			5,168,564.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2009

Part II Grants and Other Assistance to Organizations or Entities Outside the United States Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000 Check this box if no one recipient received more than \$5,000 Use Schedule F-1 (Form 990) if additional space is needed

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	DONATION TO CONTINUE THEIR WORK RELATED TO ALERTING THE PUBLIC TO THE THREAT OF	10,000.	WIRE TRANSFER	0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ 1

3 Enter total number of other organizations or entities ▶ 0

SEE PART IV FOR COLUMN (D) DESCRIPTIONS
26

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information

PART II, COLUMN (D):

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: DONATION TO CONTINUE THEIR WORK RELATED TO
ALERTING THE PUBLIC TO THE THREAT OF MERCURY AND TOXIC CHEMICALS TAKEN IN
FROM FISH.

Lined area for supplemental information.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2009

**Open To Public
Inspection**

Name of the organization

OCEANA, INC.

Employer identification number

51-0401308

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? **Yes** **No**

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
GENIS PRODUCTIONS	EVENT PRODUCTION - SEACHANGE		X	877,934.	23,032.	854,902.
5B EVENTS	EVENT COORDINATION - PAG		X	501,945.	33,532.	468,413.
ANDY SPAHN & ASSOCIATES	FUNDRAISING CONSULTANT		X	0.	100,000.	-100,000.
TIM KEENAN	FUNDRAISING CONSULTANT		X	0.	54,768.	-54,768.
M&R ONLINE FUNDRAISING	FUNDRAISING CONSULTANT		X	0.	24,517.	-24,517.
CAROLINE HUNT	FUNDRAISING CONSULTANT		X	0.	12,065.	-12,065.
KATIE GUSTAFSON	CONSULTING - GRANTWRITING		X	0.	337.	-337.
Total				1,379,879.	248,251.	1,131,628.

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing: **AL, AK, AZ, AR, CA, CO, CT, DE, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI**

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col (a) through col (c))
		GALA (event type)	SEACHANGE (event type)	1 (total number)	
Revenue	1 Gross receipts	501,945.	877,934.	38,346.	1,418,225.
	2 Less Charitable contributions	488,325.	840,534.	22,446.	1,351,305.
	3 Gross income (line 1 minus line 2)	13,620.	37,400.	15,900.	66,920.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	111,917.	191,094.		303,011.
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	34,071.	61,350.	5,055.	100,476.
	10 Direct expense summary Add lines 4 through 9 in column (d)				(403,487)
	11 Net income summary Combine line 3, column (d), and line 10				-336,567.

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d)				()
	8 Net gaming income summary Combine line 1, column (d), and line 7				

	Yes	No
9 Enter the state(s) in which the organization operates gaming activities: _____ a Is the organization licensed to operate gaming activities in each of these states? b If "No," explain _____	9a	
10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? b If "Yes," explain _____	10a	
11 Does the organization operate gaming activities with nonmembers?	11	
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	

13 Indicate the percentage of gaming activity operated in

- a The organization's facility
- b An outside facility

13a		%
13b		%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records.

Name ▶ _____

Address ▶ _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?

15a

- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c If "Yes," enter name and address of the third party

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

- Director/officer
 Employee
 Independent contractor

17 Mandatory distributions

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

17a

- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22
▶ Attach to Form 990.

OMB No 1545-0047

2009
Open to Public
Inspection

Name of the organization **OCEANA, INC.** Employer identification number **51-0401308**

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I 1 (Form 990) if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INTERNATIONAL COASTAL AND OCEAN CAMPAIGN - 301 ROBINSON HALL, UNIVERSITY OF DELAWARE - NEWARK, DE 19716	04-3058730	501(C)(3)	5,000.	0.			CONTRIBUTION MADE IN SUPPORT OF OCEANS DAY EVENT IN COPENHAGEN.
SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) - 8 ARGONAUT, SUITE 170 - ALISO VIEJO, CA 92656	33-0385201	501(C)(3)	35,000.	0.			A PORTION OF PROCEEDS FROM THE 2009 SEA CHANGE SUMMER PARTY DONATED TO SIMA TO BE USED TO FUND

2 Enter total number of section 501(c)(3) and government organizations ▶ 2.
3 Enter total number of other organizations ▶ 0.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Schedule I (Form 990) 2009

COPY

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I 1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

SCHEDULE I, PART I, LINE 2: GRANTS ARE MADE INFREQUENTLY AND THEREFORE OCEANA HAS NOT ESTABLISHED ANY FORMAL POLICIES FOR MONITORING THE USE OF GRANTS FUNDS AFTER DISTRIBUTION. HOWEVER, PROJECT DIRECTORS REQUEST A BRIEF PROGRESS REPORT DETAILING THE WORK COMPLETED WHICH IS REQUESTED IN EACH GRANT CONTRACT.

PART II, LINE 1, COLUMN (H):
 NAME OF ORGANIZATION OR GOVERNMENT:
 SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA)

COPY

Part IV Supplemental Information

(H) PURPOSE OF GRANT OR ASSISTANCE: A PORTION OF PROCEEDS FROM THE 2009
SEA CHANGE SUMMER PARTY DONATED TO SIMA TO BE USED TO FUND LOCAL OCEAN
CONSERVATIVE ORGANIZATIONS.

Lined area for supplemental information.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

OCEANA, INC.

Employer identification number

51-0401308

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- | | |
|--|--|
| <input checked="" type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	X	
2	X	
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7	X	
8		X
9		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J 1 if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W 2 and/or 1099 MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
ANDREW F. SHARPLESS	(i)	185,903.	26,000.	0.	23,778.	11,483.	247,164.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
JAMES F. SIMON	(i)	200,740.	1,000.	0.	24,917.	10,932.	237,589.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
MICHAEL F. HIRSHFIELD	(i)	171,414.	0.	0.	20,569.	9,289.	201,272.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
XAVIOR PASTOR	(i)	188,539.	0.	0.	17,329.	0.	205,868.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
BETTINA ALONSO	(i)	138,772.	0.	0.	17,174.	8,520.	164,466.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
MATTHEW M. LITTLEJOHN	(i)	133,081.	1,000.	0.	17,167.	7,266.	158,514.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
JIM A. AYERS	(i)	144,905.	0.	0.	17,357.	7,852.	170,114.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: OCEANA DOES NOT OFFER FIRST CLASS TICKETS TO ANY OFFICER, DIRECTOR OR KEY EMPLOYEE. DURING 2009, OCEANA HAS OCCASIONALLY PAID BUSINESS CLASS AIR FARES TO A DIRECTOR ATTENDING MEETINGS ON REPRESENTATION OF OCEANA. ADDITIONALLY, ONE DIRECTOR LISTED IN THE FORM 990 WAS PROVIDED BUSINESS CLASS TICKETS TO ATTEND BOARD MEETINGS IN BELIZE AND WASHINGTON DC.

PART I, LINE 7: BONUSSES PAID TO EACH OFFICERS, KEY EMPLOYEES AND HIGHEST PAID EMPLOYEES LISTED IN FORM 990, PART VII DURING THE YEAR ENDED DECEMBER 31, 2009, WERE BASED ON AN APPRAISAL HIS/HER PERFORMANCE.

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Noncash Contributions

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**

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Part I	Types of Property	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods	X		4,495.	RETAIL VALUE
6	Cars and other vehicles	X	1	41,000.	SALES PRICE
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded	X	1	9,356.	FAIR MARKET VALUE
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory	X	1	600.	APPRAISAL
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (_____)				
26	Other ▶ (_____)				
27	Other ▶ (_____)				
28	Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment 29

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		X
b If "Yes," describe the arrangement in Part II		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?		X
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?		X
b If "Yes," describe in Part II		
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

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Department of the Treasury
Internal Revenue Service

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Complete to provide information for responses to specific questions on
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FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS
MOBILIZATION, AND PUBLIC EDUCATION. THESE ACTIVITIES ARE FUNDED
PRIMARILY THROUGH PUBLIC GRANTS AND CONTRIBUTIONS.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

LAW

EXPENSES \$ 684665. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

MARINE SCIENCE

EXPENSES \$ 539019. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11: THE ACCOUNTING DEPARTMENT

ACCUMULATES THE INFORMATION NEEDED FOR THE 990. THE TAX ACCOUNTING FIRM
DESIGNATED BY OCEANA MANAGEMENT ACCOMMODATES ACCUMULATED DATA ONTO THE 990
FORM. THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW AND
APPROVAL BEFORE IT IS SUBMITTED TO THE IRS.

FORM 990, PART VI, SECTION B, LINE 12C: ANY DIRECTOR OR OFFICER WHO IS AN

INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER
CONSIDERATION BY THE CORPORATION SHALL PROMPTLY DISCLOSE TO THE BOARD OF
DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER
FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT.

IF THE CORPORATION IS CONSIDERING ENTERING INTO A TRANSACTION OR

ARRANGEMENT IN WHICH AN INTERESTED PERSON HAS A FINANCIAL INTEREST, THE

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BOARD OF DIRECTORS SHALL APPOINT A COMMITTEE OR SUBCOMMITTEE TO REVIEW THE TRANSACTION OR ARRANGEMENT. NO INTERESTED PERSON WITH RESPECT TO THE TRANSACTION OR ARRANGEMENT UNDER REVIEW SHALL BE A MEMBER OF THIS COMMITTEE OR SUBCOMMITTEE OR OTHERWISE PARTICIPATE IN ITS DELIBERATIONS, EXCEPT TO FURNISH SUCH FACTUAL INFORMATION AS THE COMMITTEE MAY REQUEST. IF THE COMMITTEE FINDS THAT AN INTERESTED PERSON HAS A CONFLICT OF INTEREST WITH RESPECT TO A PROPOSED TRANSACTION OR ARRANGEMENT, THE COMMITTEE SHALL OBTAIN RELIABLE INFORMATION ABOUT THE TERMS OF COMPARABLE TRANSACTIONS OR ARRANGEMENTS THAT ARE REASONABLY AVAILABLE TO THE CORPORATION FROM UNRELATED PARTIES FREE OF ANY CONFLICTS OF INTEREST. AFTER REVIEWING THIS COMPARABILITY INFORMATION, THE COMMITTEE SHALL DETERMINE BY MAJORITY VOTE WHETHER THE PROPOSED TRANSACTION OR ARRANGEMENT IS FAIR AND REASONABLE TO THE CORPORATION AND WHETHER IT WOULD BE IN THE CORPORATION'S BEST INTEREST TO ENTER INTO IT ON THE TERMS PROPOSED. IF THE BOARD OF DIRECTORS LEARNS THAT A DIRECTOR OR OFFICER HAS FAILED TO DISCLOSE A FINANCIAL INTEREST AS REQUIRED BY THIS POLICY, IT SHALL INFORM THE INDIVIDUAL AND AFFORD AN OPPORTUNITY FOR THE INDIVIDUAL TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE. AFTER HEARING THE INDIVIDUAL'S RESPONSE, THE BOARD SHALL TAKE APPROPRIATE ACTION IF ANY ACTION IS NEEDED.

AS FOR THE STAFF, OCEANA'S EMPLOYEE MANUAL STATES IT'S CONFLICT OF INTEREST POLICY ENFORCEMENT RULES. EMPLOYEES MUST DISCLOSE ANY ACTUAL, POTENTIAL, OR APPARENT CONFLICT OF INTEREST TO THE GENERAL COUNSEL. WHERE APPROPRIATE, THE GENERAL COUNSEL MAY REQUIRE SUITABLE REMEDIAL ACTION, SUCH AS DIVESTITURE OR ADVERSE INTEREST, RECUSAL FROM CERTAIN DECISIONS, OR OTHER ACTION TO AVOID AN APPEARANCE OR EXISTENCE IF A CONFLICT.

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FORM 990, PART VI, SECTION B, LINE 15: OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS: OCEANA PROVIDES THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO, TOP MANAGEMENT, OFFICERS, AND KEY EMPLOYEES. THE COMMITTEE REVIEWS THESE DATA TO DETERMINE THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS. THE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS
MT, NC, ND, NE, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY

FORM 990, PART VI, SECTION C, LINE 19: OCEANA POSTS ANNUAL REPORTS AND FINANCIAL INFORMATION ON ITS WEBSITE. IT ALSO SHARES RELEVANT INFORMATION WITH GUIDESTAR, CHARITY NAVIGATOR AND BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY.

ARTICLES OF INCORPORATION, AMENDMENTS TO THOSE ARTICLES, AND 2 YEAR REPORTS THAT LIST CONTACT INFORMATION FOR DIRECTORS AND OFFICERS ARE AVAILABLE TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST. THIS INFORMATION IS ALSO INDEPENDENTLY AVAILABLE THROUGH THE DISTRICT OF COLUMBIA DEPARTMENT OF

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CONSUMER AND REGULATORY AFFAIRS, THOUGH THERE IS A FEE FOR THIS SERVICE.

FORM 990, PART III, LINE 4A:

TO SECURE UNPRECEDENTED VICTORIES FOR MARINE ECOSYSTEMS.

23,000 SQUARE MILES OF RARE CORALS PROTECTED: AFTER FIVE YEARS OF
ADVOCACY BY OCEANA AND OTHERS IN THE ENVIRONMENTAL COMMUNITY AND
OCEANA-LED NEGOTIATIONS WITH THE AFFECTED FISHING INDUSTRY, THE SOUTH
ATLANTIC FISHERY MANAGEMENT COUNCIL VOTED TO PROTECT MORE THAN 23,000
SQUARE MILES OF RARE DEEP-SEA CORAL FROM NORTH CAROLINA TO FLORIDA FROM
DESTRUCTIVE FISHING GEAR. THE AREA WILL REMAIN OPEN TO HOOK-AND-LINE
RECREATIONAL FISHING. THE PLAN WILL RESTRICT THE USE OF BOTTOM-TENDING
FISHING GEAR INCLUDING BOTTOM TRAWLS, WHICH DRAG THE OCEAN FLOOR AND
THAT HAVE DESTROYED THOUSAND-YEAR-OLD CORAL REEFS, INCLUDING THE
OCULINA BANKS OFF THE EAST COAST OF FLORIDA.

LARGEST PREVENTIVE MEASURE IN FISHERIES MANAGEMENT HISTORY - NO
EXPANSION OF INDUSTRIAL FISHING INTO THE ARCTIC: IN DECEMBER, THE U.S.
AREAS OF THE ARCTIC OCEAN WERE OFFICIALLY PROTECTED FROM THE EXPANSION
OF INDUSTRIAL FISHING. NEARLY 200,000 SQUARE MILES - AN AREA MUCH
LARGER THAN CALIFORNIA - WERE CLOSED TO INDUSTRIAL FISHING UNLESS AND
UNTIL THERE IS ADEQUATE INFORMATION TO MANAGE FISHERIES SUSTAINABLY IN
THE ARCTIC'S FRAGILE ECOSYSTEM. DESPITE THE HARSH CONDITIONS, THE
ARCTIC IS HOME TO VIBRANT COMMUNITIES AND ECOSYSTEMS. IT PROVIDES VITAL
HABITAT FOR POLAR BEARS, WHALES, WALRUS, FISH, BIRDS AND OTHER ANIMALS.

IN ADDITION, THE U.S. ARCTIC IS HOME TO NUMEROUS COASTAL COMMUNITIES

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WHOSE FOOD AND CULTURE ARE STRONGLY LINKED TO THE HEALTH OF THE MARINE ENVIRONMENT UNSUSTAINABLE INDUSTRIAL FISHING COULD THREATEN OPPORTUNITIES FOR THE SUBSISTENCE WAY OF LIFE PRACTICED IN THE ARCTIC FOR GENERATIONS. THE CLOSURE IS ONE OF THE LARGEST PREVENTIVE MEASURES IN U.S. FISHERIES MANAGEMENT HISTORY, AND IS A CRUCIAL STEP FOR PROTECTING THE ARCTIC IN THE FACE OF CLIMATE CHANGE AND POTENTIAL INDUSTRIALIZATION. THE PRECAUTIONARY FISHERIES CLOSURE WILL ENSURE THAT AS FISH SPECIES EXPAND NORTHWARD AND THE REGION CONTINUES TO WARM, NO FISHERIES WILL BE ESTABLISHED WITHOUT SCIENCE-BASED MANAGEMENT IN PLACE TO ENSURE THAT SUCH ACTIVITIES WOULD NOT THREATEN THE HEALTH OF ARCTIC ECOSYSTEMS OR OPPORTUNITIES FOR THE SUBSISTENCE WAY OF LIFE.

IRREPLACEABLE DEEPWATER CANYONS SAVED FROM TRAWLING: THE U.S. GOVERNMENT PROTECTED FOUR DEEPWATER CANYONS OFF THE MID-ATLANTIC FROM BOTTOM TRAWLING AND DREDGING, DESTRUCTIVE FISHING METHODS THAT CAN DEVASTATE ECOSYSTEMS IN A SINGLE PASS. OCEANA SUCCESSFULLY WORKED WITH THE FISHING INDUSTRY TO MODIFY LARGE PROPOSED CLOSURES TO FOCUS PROTECTION ON CANYON HABITATS WHILE MINIMIZING THE EFFECT ON FISHERMEN ENSURING BROAD SUPPORT WITH LASTING BENEFITS. THE FOUR CANYONS, KNOWN AS OCEANOGRAPHER, LYDONIA, VEATCH AND NORFOLK, ARE AMONG THE BEST-DOCUMENTED DEEPWATER HABITATS IN THE U.S. THE CANYONS ARE HOME TO A MULTITUDE OF MARINE ANIMALS, INCLUDING SPONGES, CORALS, LOBSTERS AND UNIQUE CLAY CLIFFS USED BY TILEFISH. THIS LANDMARK ACTION BY THE MID-ATLANTIC FISHERY MANAGEMENT COUNCIL, WHICH TOOK EFFECT IN NOVEMBER, BANNED THE USE OF BOTTOM TRAWLS AND DREDGES IN THE CANYON AREAS YEAR-ROUND. THE BAN WAS ESTABLISHED AS PART OF THE RULES FOR FISHING

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FOR ATLANTIC TILEFISH.

OREGON ESTABLISHES FIRST MARINE PROTECTED AREAS IN STATE WATERS: IN
2009, THE OREGON LEGISLATURE PASSED HOUSE BILL 3013, IMPLEMENTING TWO
MARINE RESERVES AND A PROCESS FOR THE STUDY AND EVALUATION OF FOUR
OTHER SITES. THE BILL ESTABLISHING OREGON'S FIRST TWO MARINE RESERVES
AT OTTER ROCK AND REDFISH ROCKS IS A GREAT STEP TOWARD PROTECTING THE
HEALTH AND BIODIVERSITY OF OREGON'S UNIQUE AND DIVERSE OCEAN HABITATS
AND WILDLIFE. OVER THE NEXT TWO YEARS, OCEANA AND ITS PARTNERS WILL
CONTINUE EFFORTS TO BUILD AN ECOLOGICALLY SIGNIFICANT NETWORK OF
PROTECTED AREAS THAT MAINTAIN AND PROTECTS OREGON'S UNIQUE OCEAN
HABITATS, WILDLIFE, AND VIBRANT COASTAL COMMUNITIES.

II. SAVING SEA TURTLES CAMPAIGN

SEA TURTLES AROUND THE WORLD ARE THREATENED BY COMMERCIAL FISHING GEAR.
LONGLINES, TRAWLS, GILLNETS, DREDGES AND OTHER TYPES OF FISHING GEAR
KILL THOUSANDS OF TURTLES AND INJURE EVEN MORE EACH YEAR. SEA TURTLES
ARE ALSO THREATENED BY COASTAL DEVELOPMENT, CLIMATE CHANGE, VESSEL
STRIKES, POLLUTION AND POACHING. THIS DEADLY COMBINATION HAS PUSHED SIX
OUT OF THE SEVEN SPECIES OF SEA TURTLES TO THE BRINK OF EXTINCTION
AFTER HAVING SURVIVED FOR MORE THAN 100 MILLION YEARS.

OCEANA WORKS TO REDUCE SEA TURTLE BYCATCH IN FISHERIES, PROTECT SEA
TURTLE HABITAT AND DEVELOP COMPREHENSIVE LEGISLATION TO PROTECT SEA
TURTLES.

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LEATHER BACKS AND LOGGERHEADS SAVED FROM PACIFIC LONGLINES: IN APRIL, OCEANA'S PERSISTENT ADVOCACY EFFORTS ON THE U.S. WEST COAST PAID OFF WHEN THE PACIFIC FISHERY MANAGEMENT COUNCIL VOTED TO MAINTAIN A BAN ON A HIGH SEAS LONGLINE FISHERY. THE SWORDFISH FISHERY WAS EXPECTED TO INCIDENTALLY CATCH AND KILL LOGGERHEAD AND LEATHERBACK SEA TURTLES, AS WELL AS MARINE MAMMALS, SEABIRDS AND FISH. THE NATIONAL MARINE FISHERIES SERVICE HAD PROPOSED OPENING THE FISHERY MORE THAN 200 MILES OFF THE COAST OF CALIFORNIA AND OREGON. LONGLINE GEAR FOR CATCHING SWORDFISH HAS BEEN PROHIBITED WITHIN 200 MILES FROM SHORE IN CALIFORNIA SINCE THE 1970S DUE TO ENVIRONMENTAL CONCERNS INCLUDING SEA TURTLE BYCATCH. THE LONGLINE FISHERY WOULD HAVE DEPLOYED FISHING LINES BETWEEN NINE AND 90 MILES LONG WITH UP TO 1,300 HOOKS EACH, OR AN ESTIMATED 1.8 MILLION HOOKS PER YEAR.

LOGGERHEADS SAVED FROM GULF OF MEXICO LONGLINES: IN RESPONSE TO PRESSURE FROM OCEANA AND OTHER CONSERVATION GROUPS, THE NATIONAL MARINE FISHERIES SERVICE IMPLEMENTED A TEMPORARY EMERGENCY CLOSURE OF THE REEF FISH FISHERY IN THE EASTERN GULF OF MEXICO, WHICH USES THE BOTTOM LONGLINE FISHING GEAR THAT CAN CATCH, INJURE AND KILL SEA TURTLES. ACCORDING TO GOVERNMENT DATA, NEARLY 1,000 SEA TURTLES WERE CAUGHT BY BOTTOM LONGLINES IN THE FISHERY IN JUST 18 MONTHS, WHICH WAS EIGHT TIMES THE FEDERALLY AUTHORIZED CAPTURE LEVEL FOR THE ENTIRE FISHERY. ABOUT HALF OF THE CAPTURED SEA TURTLES DIED. THE VAST MAJORITY OF THE CAPTURED TURTLES WERE LOGGERHEADS, A SPECIES WHICH IS LISTED AS THREATENED UNDER THE ENDANGERED SPECIES ACT. IN AUGUST, THE GULF OF

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MEXICO FISHERY MANAGEMENT COUNCIL WENT FURTHER BY VOTING TO PUT IN
PLACE LONG-TERM PROTECTIONS INCLUDING A SIGNIFICANT REDUCTION IN
FISHING EFFORT AND A BAN ON ALL BOTTOM LONGLINE FISHING IN WATERS
SHOREWARD OF 35 FATHOMS (APPROXIMATELY 210 FEET), FROM JUNE TO AUGUST.
THIS CLOSED AN IMPORTANT SEA TURTLE FORAGING AREA AT A TIME WHEN LARGE
NUMBERS OF LOGGERHEADS WERE CAUGHT IN PREVIOUS YEARS.

III. PROMOTING RESPONSIBLE FISHING

OUR OCEANS ARE UNDER INCREDIBLE PRESSURE FROM THE FISHING INDUSTRY.
NINETY PERCENT OF THE BIG FISH - SHARKS, TUNA, AND SWORDFISH - ARE
ALREADY GONE. DESTRUCTIVE FISHING PRACTICES LIKE BOTTOM TRAWLING WASTE
AN ESTIMATED 16 BILLION POUNDS OF FISH AND KILL COUNTLESS MARINE
MAMMALS EVERY YEAR, WHILE OBLITERATING OCEAN HABITAT LIKE CORAL REEFS
AND SEAMOUNTS THAT CAN TAKE DECADES OR CENTURIES TO RECOVER. OCEANA
WORKS TO PROTECT CRITICAL HABITATS AND END THE USE OF WASTEFUL FISHING
GEAR TO ENSURE THAT HEALTHY, ABUNDANT OCEANS CAN THRIVE.

KRILL, THE FOUNDATION OF THE PACIFIC FOOD WEB, SAVED IN U.S. PACIFIC:IN
JULY, THE UNITED STATES BANNED FISHING FOR KRILL IN THE PACIFIC OMAN,
AN ACTION THAT CULMINATES YEARS OF ADVOCACY BY OCEANA AND OTHERS,
INCLUDING SCIENTISTS, FISHERMEN, CONSERVATIONISTS AND LOCAL
COMMUNITIES.

KRILL ARE AN ESSENTIAL SOURCE OF FOOD FOR WHALES, SEABIRDS, SALMON AND
COUNTLESS OTHER MARINE ANIMALS, AND PLAY A CRITICAL ROLE IN THE HEALTH

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OF PACIFIC OCEAN ECOSYSTEMS. NO KRILL FISHING CURRENTLY TAKES PLACE IN THE U.S. PACIFIC, WHICH EXTENDS FROM THREE TO 200 MILES OFF THE COASTS OF WASHINGTON, OREGON AND CALIFORNIA. RECOGNIZING THE IMPORTANCE OF KRILL TO HEALTHY PACIFIC ECOSYSTEMS, NOAA FISHERIES IMPLEMENTED THE NEW RULE TO PREVENT ANY KRILL FISHING FROM OCCURRING IN THE FUTURE. THESE TINY, SHRIMP-LIKE CRUSTACEANS ARE FOUND IN ALL THE WORLD'S OCEANS, AND ARE HEAVILY PURSUED BY COMMERCIAL FISHING VESSELS IN THE SOUTHERN OCEAN, WHERE MORE THAN 100,000 METRIC TONS OF KRILL CAUGHT EVERY YEAR, PRIMARILY TO FEED FARMED AND AQUARIUM FISH. AS KRILL ARE FISHED OUTFROM THE SOUTHERN OCEAN, THE INDUSTRY WILL BE FORCED TO MOVE INTO PREVIOUSLY UNTOUCHED WATERS. THANKS TO THE NEW MEASURES, KRILL IN THE U.S. PACIFIC WILL BE PROTECTED.

THOUSANDS OF SALMON SAVED FROM INDUSTRIAL FISHING NETS: IN APRIL, THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL ENDORSED RULES TO LIMIT, FOR THE FIRST TIME, THE NUMBER OF CHINOOK, OR KING, SALMON THAT THE BERING SEA POLLOCK FISHERY ACCIDENTALLY KILLS EVERY YEAR. THE NEW RULES MANDATE AN ANNUAL CAP OF 60,000 CHINOOK SALMON THAT CAN BE CAUGHT BY THE BERING SEA POLLOCK FISHERY. A SERIES OF LETTERS AND PUBLIC TESTIMONY FROM OCEANA, ALASKA NATIVE ENTITIES, SALMON FISHERMEN AND WESTERN ALASKA COMMUNITIES CONTRIBUTED TO THIS SIGNIFICANT VICTORY TO PROTECT DECLINING WILD SALMON POPULATIONS. WHILE THE REGULATIONS WILL NOT BE FORMALLY IN PLACE UNTIL 2011, THE POLLOCK FISHERY IS ALREADY MODIFYING ITS FISHING PRACTICES. THE POLLOCK FISHERY IN ALASKA'S BERING SEA COMPRISES ONE OF THE WORLD'S LARGEST FISHERIES, WHERE HUGE FACTORY TRAWLING BOATS CATCH BILLIONS OF POLLOCK EVERY YEAR FOR FROZEN FISH

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Inspection

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OCEANA, INC.

Employer identification number
51-0401308

FILLETS AND FISH STICKS. IN HUGE NETS OFTEN DRAGGED FOR MILES THROUGH
THE OCEAN, THE FISHERY ALSO CATCHES TENS OF THOUSANDS OF CHINOOK SALMON
BEFORE THEY CAN RETURN TO ALASKAN AND PACIFIC NORTHWEST RIVERS TO
SPAWN. IN RECENT YEARS, THE AMOUNT OF SALMON BYCATCH IN THE POLLOCK
FISHERY ROSE SHARPLY FROM 46,993 SALMON IN 2003 TO A RECORD HIGH OF
121,704 IN 2007 AT THE SAME TIME THAT CHINOOK SALMON STOCKS PLUMMETED
THROUGHOUT THE PACIFIC.

OREGON BANS DEADLY DRIFT GILLNETS AND SAVES MARINE MAMMALS: AFTER
HEARING TESTIMONY FROM OCEANA, THE OREGON FISH AND WILDLIFE COMMISSION
STOPPED ISSUING COMMERCIAL FISHING PERMITS FOR DRIFT GILLNET GEAR USED
TO CATCH SWORDFISH AND THRESHER SHARKS. MILE-LONG DRIFTNETS ARE KNOWN
TO ENSNARE AND DROWN DOLPHINS, SEA LIONS, ENDANGERED SEA TURTLES AND
OTHER ANIMALS. THIS DECISION MEANS THAT OREGON WILL NO LONGER PROVIDE
NECESSARY STATE PERMITS TO OREGON-BASED FISHERMEN WISHING TO USE THIS
GEAR, EFFECTIVELY ENDING THIS INDISCRIMINATE FISHERY IN OCEAN WATERS
OFF OREGON.

INCREASING FUNDING FOR OCEAN WATCH DOGS: IN THE U.S., OCEANA SUCCEEDED
IN SECURING ADDITIONAL FUNDING FOR OBSERVERS, TRAINED SCIENTISTS WHO
COUNT EVERYTHING CAUGHT BY FISHING VESSELS - INCLUDING WASTED,
DISCARDED FISH, SEA TURTLES AND MARINE MAMMALS. THESE EYES ON THE OCEAN
PROVIDE DATA TO FISHERY MANAGERS TO INFORM MANAGEMENT DECISIONS AND
MONITOR WHETHER THE FISHING INDUSTRY STICKS TO THEIR ALLOWED CATCH. THE
U.S. CONGRESS APPROPRIATED \$41.1 MILLION FOR OBSERVERS IN 2010, A 25
PERCENT INCREASE OVER THE PREVIOUS YEAR.

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02-03-10

Schedule O (Form 990) 2009

**SCHEDULE O
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
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IV. SAFEGUARDING SHARKS AND THE TOP OF THE OCEAN FOOD CHAIN

SINCE THE MIDDLE OF THE 20TH CENTURY, SHARK POPULATIONS HAVE PLUMMETED UNDER THE STRAIN OF INTENSE COMMERCIAL FISHING. BETWEEN 50 AND 70 MILLION SHARKS ARE KILLED ANNUALLY, LARGELY FOR THEIR FINS, BUT ALSO FOR THEIR MEAT AND AS INCIDENTAL BYCATCH, AND OFTEN WITHOUT RESPECT TO SPECIES, SIZE OR AGE. WITHOUT SHARKS, THE OCEANS' TOP PREDATORS, MARINE ECOSYSTEMS WILL CHANGE IRREVOCABLY. OCEANA CONTINUES TO MAKE PROGRESS IN ITS FIGHT TO PROTECT THESE GRACEFUL PREDATORS.

ADVANCING SHARK LEGISLATION IN THE U.S.: IN 2009, LEGISLATION TO PROTECT SHARKS IN U.S. WATERS MADE IMPORTANT PROGRESS. THE HOUSE OF REPRESENTATIVES PASSED THE SHARK CONSERVATION ACT OF 2009, AND THE SENATE VERSION PASSED COMMITTEE, NOW AWAITING ACTION BY THE FULL SENATE. THE LEGISLATION REQUIRES ALL SHARKS CAUGHT IN U.S. WATERS TO BE LANDED WHOLE WITH THEIR FINS STILL ATTACHED. THIS ENDS SHARK FINING, THE WASTEFUL PROCESS OF CUTTING OFF THE FINS AND DISCARDING THE CARCASS AT SEA. LANDING SHARKS WITH THEIR FINS STILL ATTACHED ALLOWS FOR BETTER ENFORCEMENT AND DATA COLLECTION FOR STOCK ASSESSMENTS AND QUOTA MONITORING, IMPROVING OVERALL SHARK FISHERY MANAGEMENT. THE SHARK CONSERVATION ACT CLOSES A LOOPHOLE THAT ALLOWS THE TRANSFER OF FINS AT SEA AS A WAY TO GET AROUND CURRENT LAW. ADDITIONALLY, THE BILL ALLOWS THE UNITED STATES TO TAKE ACTION AGAINST COUNTRIES WHOSE SHARK FINING RESTRICTIONS ARE NOT COMPARABLE TO OURS.

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FORM 990, PART III, LINE 4B:

FISHERMEN, MOST OF WHOM FISH USING TRADITIONAL, SUSTAINABLE TECHNIQUES.

II. OCEANA EUROPE:

CAMPAIGN TO CRATE NEW PROTECTED AREAS IN SPAIN: AFTER OCEANA'S RESEARCH
CATAMARAN, RANGER, SPENT SEVERAL SUMMERS DOCUMENTING THE SEA AND ITS
WILDLIFE SURROUNDING SPAIN, THE GOVERNMENT FORMALLY AGREED TO CREATE AT
LEAST TEN NEW MARINE PROTECTED AREAS BY 2012. THIS IS A SIGNIFICANT
VALIDATION OF OCEANA'S ON-THE-WATER WORK, WHICH IS CRITICAL FOR
PRODUCING EVIDENCE SUPPORTING THE PROTECTION OF MARINE ECOSYSTEMS THAT
ARE OTHERWISE BEST KNOWN BY THE FISHING INDUSTRY. THE AGREEMENT AIDS
SPAIN'S CONTRIBUTION TO THE U.N. CONVENTION ON BIODIVERSITY, WHICH
REQUIRES AT LEAST 10 PERCENT OF THE GLOBAL MARINE ENVIRONMENT BE
PROTECTED BY 2012.

SPAIN'S CAMPAIGN TO PROTECT HAMMERHEADS AND OTHER SHARKS: THE SPANISH
GOVERNMENT, AFTER CAMPAIGNING FROM OCEANA, COMMITTED TO ADVANCING NEW
SHARK LEGISLATION THAT WOULD BAN THE CATCH OF THREATENED HAMMERHEAD AND
THRESHER SHARKS, PUT IN PLACE CATCH LIMITS FOR BLUE SHARKS AND SHORT
FIN MAKO SHARKS AND EVALUATE THE VIABILITY OF LANDING SHARKS "WHOLE"
WITH THEIR FINS ATTACHED. SPAIN IS ONE OF THE LARGEST SHARK CATCHING
AND EXPORTING COUNTRIES IN THE WORLD.

OCEANA CHILE SALMON AQUACULTURE CAMPAIGN: OCEANA'S RECOMMENDATIONS TO
CLEAN UP THE SALMON AQUACULTURE INDUSTRY IN CHILE WERE INCORPORATED

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INTO THE GOVERNMENT OF CHILE'S FIRST OFFICIAL PLAN TO ADDRESS THE
OVERUSE OF ANTIBIOTICS BY THIS TROUBLED INDUSTRY, WHICH HAD BEEN BESET
BY POOR MANAGEMENT AND DISEASE. CHILE, THE WORLD'S SECOND LARGEST
PRODUCER OF FARMED SALMON AFTER NORWAY, USES 600 TIMES THE ANTIBIOTICS
OF NORWAY IN AN ATTEMPT TO STAVE OFF BACTERIAL DISEASES IN SALMON PENS
PACKED WITH FISH AND WASTE. OCEANA CONVINCED CHILE TO SET LIMITS ON THE
DENSITY OF SALMON PENS TO ENSURE HEALTHIER FISH, BAN THE PREVENTIVE USE
OF ANTIBIOTICS, DEVELOP VACCINES TO REPLACE ANTIBIOTICS AND ESTABLISH A
PUBLIC INFORMATION SYSTEM REGARDING ANTIBIOTIC USE.

THE CHILEAN SENATE AND CHAMBER OF DEPUTIES PASSED MAJOR REFORMS TO THE
AQUACULTURE LEGISLATION THAT HAD BEEN PROMOTED BY OCEANA IN ORDER TO
PREVENT, REPORT AND REPAIR DAMAGES CAUSED BY FARMED SALMON ESCAPES, IN
ADDITION TO ESTABLISHING SANCTIONS. KEPT IN PENS IN OPEN WATER, FARMED
SALMON CAN SPREAD DISEASE AND COMPETE WITH NATIVE WILDLIFE UPON
ESCAPING. THE FULL CHILEAN CONGRESS PASSED THE LEGISLATION IN EARLY
2010.

OCEANA'S CAMPAIGN TO END THE USE OF ILLEGAL DRIFTNETS IN EUROPE: IN
2009, OCEANA'S CAMPAIGN TO END THE USE OF ILLEGAL DRIFTNETS IN EUROPE
SAW SUCCESS IN ITALY AND FRANCE, TWO NATIONS THAT CONTINUED TO USE THE
EQUIPMENT LONG AFTER IT WAS BANNED BY THE E.U. IN 2002. MADE FROM
INVISIBLE, FINE-MESHED PLASTIC, DRIFTNETS ARE SEVERAL DOZEN FEET TALL
AND CAN STRETCH FOR MILES. THE NETS ARE NOTORIOUS FOR INDISCRIMINATELY
CATCHING AND KILLING MARINE LIFE, INCLUDING MARINE MAMMALS, SHARKS AND
JUVENILE FISH. IN THE MEDITERRANEAN, DRIFTNETS ARE OFTEN USED TO

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ILLEGALLY CATCH ENDANGERED BLUEFIN TUNA.

THE E.U. COURT OF JUSTICE RULED AGAINST ITALY IN OCTOBER, CITING THE NATION'S CONTINUED USE OF DRIFTNETS EVEN AFTER MORE THAN 120 MILLION IN EUROS WAS SPENT TO CONVERT 700 VESSELS USING THE GEAR TO UPDATED EQUIPMENT THAT WAS LESS DEADLY TO MARINE WILDLIFE. THE RULING CAME ON THE HEELS OF OCEANA'S DOCUMENTATION OF 92 ITALIAN BOATS WITH DRIFTNETS ON BOARD. MEANWHILE, THE EUROPEAN COURT OF JUSTICE DENOUNCED THE USE OF DRIFTNETS BY FRENCH BOATS. THE COUNTRY HAD USED A LEGAL LOOPHOLE WHICH ALLOWED IT TO CONTINUE USING THE NETS AFTER THE BAN. THE RULING CONFIRMED THAT FRANCE WILL NOT BE ABLE TO EXPLOIT THE LOOPHOLE IN FUTURE FISHING SEASONS.

OCEANA'S CAMPAIGN TO PROTECT BLUEFIN TUNA: THE MASSIVE AND POWERFUL BLUEFIN TUNA IS AMONG THE MOST COVETED AND THREATENED SEAFOOD SPECIES IN THE WORLD. OCEANA AND OTHER CONSERVATION GROUPS HAVE CONSISTENTLY CALLED FOR A MORATORIUM ON FISHING ATLANTIC BLUEFIN UNTIL THE POPULATION CAN RECOVER. WHILE THE ENTITY THAT OVERSEES THE FISHERY, THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS, HAS YET TO FOLLOW ITS OWN SCIENTISTS' ADVICE ON PROTECTING BLUEFIN FROM EXTINCTION, OCEANA AND ITS ALLIES HAVE MADE SIGNIFICANT STRIDES TO PROTECT THESE INCREDIBLE FISH. IN 2008 AND 2009, OCEANA WON AN EARLY CLOSURE OF THE PURSE SEINE FISHING FLEET, WHICH IS RESPONSIBLE FOR CATCHING THE MAJORITY OF BLUEFIN IN THE MEDITERRANEAN. IN ADDITION, OCEANA HELPED WIN A LOWERED OVERALL QUOTA, A LIMITED FLEET CAPACITY AND A FREEZE ON THE EXPANSION OF TUNA RANCHING FACILITIES IN MOVES THAT ARE

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CRUCIAL TO PULLING BLUEFIN TUNA BACK FROM THE BRINK OF EXTINCTION.

CAMPAIGN TO END WASTEFUL DISCARD OF UNWANTED FISH: IN JANUARY, THE
EUROPEAN UNION BANNED THE USE OF "HIGH GRADING" IN THE NORTH SEA, HOME
OF ONE OF THE WORLD'S MOST-USED FISHERIES. HIGH GRADING IS AN
OPPORTUNISTIC PRACTICE THAT RESULTS IN THE THROWING OVERBOARD OF
SEAFOOD SPECIES IN ORDER TO MAKE ROOM FOR MORE VALUABLE SPECIES. THE
DISCARDED FISH ARE LEFT DEAD IN THE WATER. IN ADDITION, SEVERAL
EUROPEAN COUNTRIES INCLUDING DENMARK AND GERMANY AGREED TO DEVELOP A
PLAN TO ERADICATE DISCARDS IN THE BALTIC COD FISHERY IN 2010.

FORM 990, PART III, LINE 4C:

WAS THE WINNER. WE RECRUITED 1,800 NEW WAVEMAKERS AND RECEIVED
SUBSTANTIAL MEDIA INTEREST AS PART OF THIS EFFORT.

AS OF NOVEMBER, WE HAVE HAD 52,756 WAVEMAKERS TAKE AT LEAST ONE ACTION
TOWARD OUR GOAL OF 60,000. WE HAVE HAD 21,600 WAVEMAKERS TAKE 3 OR MORE
ACTIONS OR BECOME SUPERACTIVISTS. SOME OF THE MOST SUCCESSFUL ACTIONS
THIS YEAR HAVE BEEN FOCUSED ON SUPPORTING SHARKS, SEA TURTLES AND
ARCTIC LEGISLATION. OUR OPEN RATE FOR WAVEMAKER ALERTS HAS INCREASED
FROM 10.04% AT THE END OF LAST YEAR TO 10.26% PERCENT.

Name of the organization **OCEANA, INC.** Employer identification number **51-0401308**

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax exempt organizations during the tax year)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
OCEANA ADVOCACY RESOURCES, INC. - 31-1814181 1350 CONNECTICUT AVENUE, NW, 5TH FLOOR WASHINGTON, DC 20036	LOBBYING	DISTRICT OF COLUMBIA	501(C)(4)	N/A	N/A

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Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b Gift, grant, or capital contribution to other organization(s)
- c Gift, grant, or capital contribution from other organization(s)
- d Loans or loan guarantees to or for other organization(s)
- e Loans or loan guarantees by other organization(s)

- f Sale of assets to other organization(s)
- g Purchase of assets from other organization(s)
- h Exchange of assets
- i Lease of facilities, equipment, or other assets to other organization(s)

- j Lease of facilities, equipment, or other assets from other organization(s)
- k Performance of services or membership or fundraising solicitations for other organization(s)
- l Performance of services or membership or fundraising solicitations by other organization(s)
- m Sharing of facilities, equipment, mailing lists, or other assets
- n Sharing of paid employees

- o Reimbursement paid to other organization for expenses
- p Reimbursement paid by other organization for expenses

- q Other transfer of cash or property to other organization(s)
- r Other transfer of cash or property from other organization(s)

	Yes	No
1a		X
1b		X
1c		X
1d		X
1e		X
1f		X
1g		X
1h		X
1i		X
1j		X
1k		X
1l		X
1m	X	
1n	X	
1o		X
1p		X
1q		X
1r		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization(s)	(b) Transaction type (a-r)	(c) Amount involved
(1) OCEANA ADVOCACY RESOURCES, INC.	N	4,042.
(2)		
(3)		
(4)		
(5)		
(6)		

COPY

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	MACHINERY & EQUIPMENT											
1	OFFICE EQUIPMENT	VARIES		.000	16	871,802.			871,802.	770,334.		70,233.
2	COMPUTER EQUIPMENT	VARIES		.000	16	1017359.			1017359.	720,681.		74,876.
3	COMPUTER SOFTWARE	VARIES		.000	16	787,765.			787,765.	609,791.		47,004.
	* 990 PAGE 10 TOTAL MACHINERY & EQUIPM					2676926.		0.	2676926.	2100806.	0.	192,113.
	TRANSPORTATION EQUIPMENT											
5	VEHICLE	VARIES		.000	16	68,143.			68,143.	32,185.		4,557.
	* 990 PAGE 10 TOTAL TRANSPORTATION EQU					68,143.		0.	68,143.	32,185.	0.	4,557.
	OTHER											
4	WEBSITE DEVELOPMENT	VARIES		.000	16	616,197.			616,197.	511,903.		15,959.
	LEASEHOLD											
6	IMPROVEMENTS	VARIES		.000	16	1868517.			1868517.	290,291.		137,906.
	* 990 PAGE 10 TOTAL OTHER					2484714.		0.	2484714.	802,194.	0.	153,865.
	* GRAND TOTAL 990 PAGE 10 DEPR					5229783.		0.	5229783.	2935185.	0.	350,535.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II		Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization		Employer identification number
	OCEANA, INC.		51-0401308
	Number, street, and room or suite no. If a P.O. box, see instructions.		For IRS use only
	1350 CONNECTICUT AVE., NW, 5TH FLR		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	WASHINGTON, DC 20036		

Check type of return to be filed (File a separate application for each return).

Form 990
 Form 990-EZ
 Form 990-T (sec. 401(a) or 408(a) trust)
 Form 1041-A
 Form 5227
 Form 8870
 Form 990-BL
 Form 990-PF
 Form 990-T (trust other than above)
 Form 4720
 Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE ORGANIZATION

• The books are in the care of **1350 CONNECTICUT AVE, NW, 5TH FL - WASHINGTON, DC 20036**
 Telephone No **(202) 833-3900** FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010

5 For calendar year 2009, or other tax year beginning _____, and ending _____

6 If this tax year is for less than 12 months, check reason. Initial return Final return Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	N/A

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature [Signature] Title CPA Date 8/3/10

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