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EXTENSION GRANTED

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

FRED C. & MARY R. KOCH FOUNDATION, INC.

A Employer identification number

48-6113560

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

P.O. BOX 2256

(316) 828-5552

City or town, state, and ZIP code

WICHITA, KS 67201-2256

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method

☐ Cash☒ Accrual

of year (from Part II, col (c), line

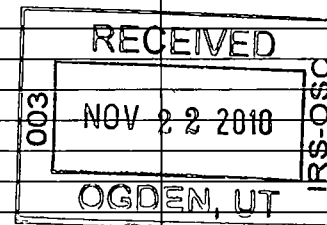
☐ Other (specify) _____

16) ▶ \$ 31,850,374.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants etc., received (attach schedule)	280,500.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	77,708.	77,708.		
4 Dividends and interest from securities	216,553.	216,553.		
5a Gross rents				
b Net rental income or (loss)	-22,724.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a 5,796,460.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total Add lines 1 through 11	552,037.	294,261.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 1	31,263.	5,725.	0.	23,435.
c Other professional fees (attach schedule) *	17,085.	16,035.		185.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	2,725.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	2,028.			1,443.
24 Total operating and administrative expenses Add lines 13 through 23	53,101.	21,760.	0.	25,063.
25 Contributions, gifts, grants paid	1,133,923.			1,485,923.
26 Total expenses and disbursements Add lines 24 and 25	1,187,024.	21,760.	0.	1,510,986.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-634,987.			
b Net investment income (if negative, enter -0-)		272,501.		
c Adjusted net income (if negative, enter -0-)			-0-	



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

* ATCH 2 JSA **

ATCH 3

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		16,327,754.	20,084,609.	20,084,609.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ 0.				
		Less: allowance for doubtful accounts ▶	4,637.	0.	0.	
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges ATCH. 5.	6,067.	4,282.	4,282.	
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH. 6.	3,718,851.	3,718,851.	3,744,445.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH. 7.	12,290,697.	7,521,293.	8,017,038.		
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	32,348,006.	31,329,035.	31,850,374.		
Liabilities	17	Accounts payable and accrued expenses	42,632.	10,648.		
	18	Grants payable	750,334.	398,334.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	792,966.	408,982.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	31,555,040.	30,920,053.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)	31,555,040.	30,920,053.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	32,348,006.	31,329,035.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,555,040.
2	Enter amount from Part I, line 27a	2	-634,987.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	30,920,053.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	30,920,053.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-22,724.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,637,628.	33,807,502.	0.048440
2007	1,627,052.	35,306,398.	0.046084
2006	1,589,777.	33,772,998.	0.047072
2005	1,410,192.	33,234,254.	0.042432
2004	1,562,357.	29,862,968.	0.052318
2 Total of line 1, column (d)			2 0.236346
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047269
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 30,901,951.
5 Multiply line 4 by line 3			5 1,460,704.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,725.
7 Add lines 5 and 6			7 1,463,429.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 1,510,986.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,725.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	2,725.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,725.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	5,654.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	5,654.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,929.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 2,929. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ KS,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.FMKFOUNDATION.ORG				
14	The books are in care of VONDA HOLLIMAN Telephone no (316) 828-5552			
Located at 4111 E. 37TH ST. N. WICHITA, KS ZIP + 4 67220				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,689,521.
b	Average of monthly cash balances	1b	17,683,018.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	31,372,539.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,372,539.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	470,588.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,901,951.
6	Minimum investment return. Enter 5% of line 5	6	1,545,098.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,545,098.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,725.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,725.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,542,373.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,542,373.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,542,373.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,510,986.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,510,986.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2,725.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,508,261.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,542,373.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			959,606.	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	0.			
b From 2005	0.			
c From 2006	0.			
d From 2007	0.			
e From 2008	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,510,986.				
a Applied to 2008, but not more than line 2a			959,606.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				551,380.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				990,993.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006	0.			
c Excess from 2007	0.			
d Excess from 2008	0.			
e Excess from 2009	0.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006
b 85% of line 2a				
c Qualifying distributions from Part XII line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 8

b The form in which applications should be submitted and information and materials they should include

NONE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 9

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 10				
Total			3a	1,485,923.
b Approved for future payment ATTACHMENT 11				
Total			3b	398,334.

Form 990-PF (2009)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	77,708.	
4	Dividends and interest from securities			14	216,553.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-22,724.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)				271,537.	
13	Total. Add line 12, columns (b), (d), and (e)				271,537.	

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	Signature of officer or trustee Matthew D. Stone Date 11-15-10		Title Treasurer	
Paid Preparer's Use Only	Preparer's signature Amanda A. Coleman Date 11/13/2010		Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code BKD, LLP 1551 N WATERFRONT PKWY, STE 300 WICHITA, KS 67206-6601			EIN 316-265-2811

Form 990-PF (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

FRED C. & MARY R. KOCH FOUNDATION, INC.

Employer identification number

48-6113560

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

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Name of organization **FRED C. & MARY R. KOCH FOUNDATION, INC.**Employer identification number
48-6113560**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	KOCH INDUSTRIES, INC. P.O. BOX 2256 WICHITA, KS 67201-2256	\$ 280,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	22,668.			20,565.
INVESTMENT ACCOUNTING SERVICES	5,725.	5,725.		
TAX PREPARATION FEES	2,870.			2,870.
TOTALS	<u>31,263.</u>	<u>5,725.</u>	<u>0.</u>	<u>23,435.</u>

FRED C. & MARY R. KOCH FOUNDATION, INC.

48-6113560

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT FEES	16,035.	16,035.	
PROFESSIONAL FEES	1,050.		185.
TOTALS	<u>17,085.</u>	<u>16,035.</u>	<u>185.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX	2,725.
TOTALS	<u>2,725.</u>

FRED C. & MARY R. KOCH FOUNDATION, INC.

48-6113560

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
INSURANCE	875.	875.
MISCELLANEOUS	1,153.	568.
TOTALS	<u>2,028.</u>	<u>1,443.</u>

FRED C. & MARY R. KOCH FOUNDATION, INC.

48-6113560

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXCISE TAX	2,929.	2,929.
PREPAID INTEREST	1,353.	1,353.
TOTALS	<u>4,282.</u>	<u>4,282.</u>

FRED C. & MARY R. KOCH FOUNDATION, INC.
FORM 990PF, PART II - CORPORATE STOCK

48-6113560

ATTACHMENT 6		
DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
KOCH INDUSTRIES, INC. PREFERRED STOCK	3,718,851.	3,744,445.
TOTALS	3,718,851.	3,744,445.

FRED C. & MARY R. KOCH FOUNDATION, INC.

48-6113560

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIFTH AVENUE GLOBAL EQUITY FD	2,500,000.	2,733,794.
GLEACHER DIVERSIFIED FUND	2,709,410.	2,819,953.
ZAZOVE CONVERTIBLE BONDS	2,311,883.	2,463,291.
TOTALS	<u>7,521,293.</u>	<u>8,017,038.</u>

FRED C. & MARY R. KOCH FOUNDATION, INC.

48-6113560

ATTACHMENT 8

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

FRED & MARY KOCH FDN, GRANT ADM
P.O. BOX 2256
WICHITA, KS 67201
316-828-6083

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NEW SCHOLARSHIPS LIMITED TO DEPENDENTS OF FULL-TIME EMPLOYEES OF KOCH INDUSTRIES, INC. AND ITS SUBSIDIARIES. GRANTS GENERALLY LIMITED TO TAX-EXEMPT PUBLIC CHARITIES IN KANSAS.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT 13 FOR PROGRAM GRANTS

1,206,173

SEE STATEMENT 14 FOR INDIVIDUAL SCHOLARSHIPS

279,750

TOTAL CONTRIBUTIONS PAID

1,485,923

FRED C & MARY R KOCH FOUNDATION, INC

48-6113560

EOBM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

KANSAS STATE UNIVERSITY FOUNDATION
MANHATTAN, KS 66502-2911

N/A
PUBLIC

COLLEGE FOR A DAY PROGRAM

55,000

THE NATURE CONSERVANCY
TOPEKA, KS 66603

N/A
PUBLIC

TALLGRASS PRAIRIE NATIONAL PRESERVE

343,334

TOTAL CONTRIBUTIONS APPROVED

398,334

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ATTACHMENT 11

FRED C. and MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR FORM 990 (2009)

PART VIII - LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES:

STATEMENT 12

(A) Name and Address	(B) Title and average hours per week devoted to position	(C) Compensation (if not paid, enter -0-)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
Elizabeth B. Koch PO Box 2256 Wichita, KS 67201	President / Director 1 hour per week	-0-	-0-	-0-
Vonda Holliman PO Box 2256 Wichita, KS 67201	Treasurer 1 hour per week	-0-	-0-	-0-
Susan Addington PO Box 2256 Wichita, KS 67201	Secretary 5 hours per week	-0-	-0-	-0-
Charles G. Koch PO Box 2256 Wichita, KS 67201	Director Less than 1 hour per week	-0-	-0-	-0-
David H. Koch 667 Madison Avenue New York, NY 10021	Director Less than 1 hour per week	-0-	-0-	-0-
Richard Fink 1515 N. Courthouse Road #200 Arlington, VA 22201	Director Less than 1 hour per week	-0-	-0-	-0-

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 13
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>FOUNDATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
PROGRAM GRANTS:			
Bill of Rights Institute Arlington, VA 22203	Public	Kansas Education Initiative	150,000
Communities in Schools Wichita, KS 67202	Public	Financial Literacy Initiative	10,000
ESU Foundation, Inc Emporia, KS 66801	Public	Kansas Faculty Grant Program	25,200
Envision Foundation, Inc Wichita, KS 67203	Public	Beyond 20/20 Campaign	10,000
Fort Hays State University Foundation Hays, KS 67601-1060	Public	Koch Wetlands Exhibit	250,000
		Kansas Faculty Grant Program	7,000
Friends University Wichita, KS 67213	Public	Scholarship Program Support	14,000
		Kansas Faculty Grant Program	11,620
		Fine Arts Program Support	35,000
Gilder Lehrman Inst of American History New York, NY 10036-5900	Public	Professional Development Teacher Seminars	46,000
		Saturday Student Academy	20,000
		Wichita Community Forum Education	10,000
Kansas Cosmosphere Hutchinson, KS 67501-1499	Public	Education Program Support	100,000
Koch Cultural Trust Wichita, KS 67208	Private	Program & Operational Support	152,080
Kansas State University Foundation Manhattan, KS 66502-2911	Public	College for a Day Program	55,000
		Kansas Faculty Grant Program	19,256
Kansas University Endowment Association Lawrence, KS 66044-0928	Public	Economic Development Research	3,217
		Kansas Economic Research	100,000
Newman University Wichita, KS 67213	Public	Scholarship Program Support	14,000
		Investigative Science Program	20,000
Nonprofit Chamber of Service Wichita, KS 67201-7012	Public	Social Enterprise Initiative	10,200
Students in Free Enterprise Wichita, KS 67260	Public	Program Support	2,500
Wichita Center for the Arts Wichita, KS 67206	Public	National Fiber Directions Exhibit	19,800
		National All Media Craft Exhibit	19,800
		Holiday Card Support	3,000
Wichita State University Wichita, KS 67260-0072	Public	Koch Lecture Series	10,000
Wichita Symphony Wichita, KS 67202	Public	Young People's Concerts	17,250
YMCA Wichita, KS 67220	Public	Youth Job Prep Program	71,250
TOTAL PROGRAM GRANTS PAID			1,206,173

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 14
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
<u>INDIVIDUAL SCHOLARSHIPS:</u>			
Adkinson, Joshua Baton Rouge, LA 70820	None	Educational Scholarship	1,500
Agarwal, Shivani Columbia, SC 29229	None	Educational Scholarship	1,500
Agee, Dana Pine Hill, AL 36769	None	Educational Scholarship	1,500
Agrawal, Millie Kershaw, SC 29067	None	Educational Scholarship	1,500
Allexan, Sarah Englewood, CO 80111	None	Educational Scholarship	1,500
Anderson, Matthew Portland, TX 78374	None	Educational Scholarship	1,500
Bachman, Annie Wichita, KS 67212	None	Educational Scholarship	1,500
Barnett, Don Goliad, TX 77963	None	Educational Scholarship	1,500
Baukal, Christine Tulsa, OK 74137	None	Educational Scholarship	1,500
Berbert, Georgia Wilmington, DE 19803	None	Educational Scholarship	1,500
Berry, William Chadds Ford, PA 19317	None	Educational Scholarship	1,500
Bitonte, Kendall Wichita, KS 67206	None	Educational Scholarship	3,000
Blasi, Audra Bel Aire, KS 67220	None	Educational Scholarship	1,500
Bohrn, Carol-Ann Toronto, ON M5V 1P2	None	Educational Scholarship	1,500
Bose, Priyanka Plainfield, IL 60585	None	Educational Scholarship	1,500
Bouchouev, Vladislav Westfield, NJ 07090	None	Educational Scholarship	1,500
Bourland, Jeffrey Wichita, KS 67230	None	Educational Scholarship	1,500
Bromley, Chelsey Orange, TX 77630	None	Educational Scholarship	1,500
Bullman, Brittany Forest City, NC 28043	None	Educational Scholarship	1,500
Call, Laura China Grove, NC 28023	None	Educational Scholarship	1,500
Carrier, Elizabeth Victoria, TX 77904	None	Educational Scholarship	750
Clark, Benjamin Chadds Ford, PA 19317	None	Educational Scholarship	1,500
Coble, Joshua Martinsville, VA 24112	None	Educational Scholarship	1,500
Cole, Adam Wichita, KS 67205	None	Educational Scholarship	1,500
Collins, Daniel Andover, KS 67002	None	Educational Scholarship	1,500
Collins, Katherine	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 14
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Collins, Kathenne Andover, KS 67002	None	Educational Scholarship	1 500
Colven Jr, William Waxhaw NC 28173	None	Educational Scholarship	1,500
Cossel, Aaron Augusta, KS 67010	None	Educational Scholarship	1 500
Cross, Philip Columbia, SC 29209	None	Educational Scholarship	1,500
Crowder, Justin Kennesaw, GA 30182	None	Educational Scholarship	1 500
Cuthbert, Alyssa Brandon, MB R7B 3H1	None	Educational Scholarship	1,500
D'Allura, Megan Waynesboro VA 22980	None	Educational Scholarship	1 500
DeGarmo, Lydia Valley Center, KS 67147	None	Educational Scholarship	1,500
Dewitt, Katherine Andover, KS 67002	None	Educational Scholarship	1,500
Dolechek, Deborah Bel Aire, KS 67220	None	Educational Scholarship	1,500
Dolechek, Mark Bel Aire, KS 67220	None	Educational Scholarship	1,500
Duea, Sarah Hastings, MN 55033	None	Educational Scholarship	1,500
Edic, Heather Fairbanks, AK 99712	None	Educational Scholarship	1,500
Egerton, William Middletown, DE 19709	None	Educational Scholarship	1,500
Ender, Alexandra Wichita, KS 67205	None	Educational Scholarship	1,500
Finlen, Ryan Easley, SC 29642	None	Educational Scholarship	1,500
Fischer, Amanda Bixby, OK 74008	None	Educational Scholarship	1,500
Fischer, Remington Bixby, OK 74008	None	Educational Scholarship	1,500
Fisher, Miranda Derby, KS 67037	None	Educational Scholarship	1,500
Flax, Caleb Rose Hill, KS 67133	None	Educational Scholarship	1,500
Franssen, Nicholas Andover, KS 67002	None	Educational Scholarship	1,500
Fritz, Kailey Prescott, WI 54021	None	Educational Scholarship	1,500
Ginther, Elizabeth Dodge City, KS 67801	None	Educational Scholarship	1,500
Ginther, Nicholas Dodge City, KS 67801	None	Educational Scholarship	1,500
Glenn, Emily Wichita, KS 67230	None	Educational Scholarship	1,500

FRED C AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 14
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Gorcycya, Katherine Kennett Square, PA 19348	None	Educational Scholarship	1,500
Graeff, Benjamin Canton, OH 44718	None	Educational Scholarship	1,500
Gregory, Logan Victoria, TX 77905	None	Educational Scholarship	1,500
Guillemette, Shayn Benton, KS 67017	None	Educational Scholarship	1,500
Hardeman, Matthew Rock Spring, GA 30739	None	Educational Scholarship	1,500
Harrison, Nicole Boiling Springs, SC 29316	None	Educational Scholarship	1,500
Helfrich, Brianna Dodge City, KS 67801	None	Educational Scholarship	1,500
Herzog, Mary Wichita, KS 67230	None	Educational Scholarship	1,500
Howard, Michael Avondale, PA 19311	None	Educational Scholarship	1,500
Johnson, Kelly Ramona, CA 92065	None	Educational Scholarship	1,500
Juhnke, Jessica Hesston, KS 67062	None	Educational Scholarship	1,500
Kaiser, Katelyn Valley Center, KS 67147	None	Educational Scholarship	1,500
Keller, John Wichita, KS 67205	None	Educational Scholarship	1,500
Keller, Jordan Wichita, KS 67205	None	Educational Scholarship	1,500
Kilburg, Tara LaMotte, IA 52054	None	Educational Scholarship	1,500
Kimpton, Enc Seaford, DE 19973	None	Educational Scholarship	1,500
Kimpton, Kelly Seaford, DE 19973	None	Educational Scholarship	1,500
Kissick, Kyle Highland Ranch, CO 80129	None	Educational Scholarship	1,500
Kohn, Taylor Wichita, KS 67206	None	Educational Scholarship	1,500
Kopf, Kara Blythewood, SC 29016	None	Educational Scholarship	1,500
Kopf, Kevin Blythewood, SC 29016	None	Educational Scholarship	1,500
Kuipers, Jessica Acworth, GA 30101	None	Educational Scholarship	1,500
Latta, Amy Wichita, KS 67206	None	Educational Scholarship	1,500
Laundsen, Kaitlin Brockville, ON K6V6V2	None	Educational Scholarship	1,500
Lee, Jessica Houston, TX 77070	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 14
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Liao Wang Chadds Ford, PA 19317	None	Educational Scholarship	1,500
Liberatore, Anthony Wilmington, MA 01887	None	Educational Scholarship	1,500
Liddle, David Bogart, GA 30622	None	Educational Scholarship	1,500
Liddle, Peter Bogart, GA 30622	None	Educational Scholarship	1,500
Lindwall, Tyler Eagan, MN 55123	None	Educational Scholarship	1 500
Litherland, Christopher Kingwood, TX 77345	None	Educational Scholarship	1,500
Longoria, Joel Corpus Christi TX 78413	None	Educational Scholarship	1,500
Loomis, Ryan Waynesboro, VA 22980	None	Educational Scholarship	1,500
Mainz, Emile Lawrence, KS 66044	None	Educational Scholarship	1,500
Marcinkowski-Desmond, Dana Derry, NH 03038	None	Educational Scholarship	1,500
Marsh, Addie Collinsville, OK 74021	None	Educational Scholarship	1,500
Martin, Kaitlin Andale, KS 67001	None	Educational Scholarship	1,500
Martyn, Matthew Oglesby, IL 61348	None	Educational Scholarship	1,500
Mason, Jennifer Victoria, TX 77904	None	Educational Scholarship	1,500
May, Michaela Mount Hope, KS 67108	None	Educational Scholarship	1,500
McCarthy, Shelsea Lakeville MN 55044	None	Educational Scholarship	1,500
McClymont, Kaitlin Corpus Christi, TX 78418	None	Educational Scholarship	1,500
McCrary, Kathleen Longview, TX 75605	None	Educational Scholarship	1,500
McDonald, Emily Rosemount, MN 55068	None	Educational Scholarship	1,500
McIntosh, Kelsey Beaumont, TX 77702	None	Educational Scholarship	1,500
Mielke, Anna Inver Grove Heights, MN 55076	None	Educational Scholarship	1,500
Miller, Meagan Wichita, KS 67235	None	Educational Scholarship	1,500
Miller, Nathan Houston, TX 77096	None	Educational Scholarship	1,500
Minnihan, Heidi Wichita, KS 67230	None	Educational Scholarship	1,500
Mitchell, Kayla Wichita, KS 67208	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
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PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR. STATEMENT 14

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Monroe, Alexandra Inman, SC 29349	None	Educational Scholarship	1,500
Moore, David Spartanburg, SC 29301	None	Educational Scholarship	1,500
Morakis, John Marietta, GA 30062	None	Educational Scholarship	1,500
Morgan, Tessa Ochelata, OK 74051	None	Educational Scholarship	1,500
Mortimer, Melissa Hixson, TN 37343	None	Educational Scholarship	1,500
Mortimer, Samuel Hixson, TN 37343	None	Educational Scholarship	1,500
Mullet, Matthew Winterville, GA 30683	None	Educational Scholarship	1,500
Murphy, Christina Eden, NC 27288	None	Educational Scholarship	1,500
Murray, Megan Wichita, KS 67208	None	Educational Scholarship	1,500
Niehaus, Taylor Elgin, SC 29045	None	Educational Scholarship	1,500
Nieuwoudt, Claudia Wichita, KS 67206	None	Educational Scholarship	1,500
Nieuwoudt, Stephen Wichita, KS 67206	None	Educational Scholarship	1,500
Oakes, Kathryn Ooltewah, TN 37363	None	Educational Scholarship	1,500
Oumet, Gabrielle Kingston, ON K7M5Y3	None	Educational Scholarship	1,500
Penciak, Matej Wichita, KS 67205	None	Educational Scholarship	1,500
Pinnell, Anne Wichita, KS 67226	None	Educational Scholarship	1,500
Poinsatte, Joanna Hixson, TN 37343	None	Educational Scholarship	1,500
Polasek, Sarah Taft, TX 78391	None	Educational Scholarship	1,500
Potcinske, Haley Yorktown, TX 78164	None	Educational Scholarship	1,500
Potts, Eric Columbia, SC 29223	None	Educational Scholarship	1,500
Pringle, Jennifer Lewes, DE 19958	None	Educational Scholarship	1,500
Puetz, Jacob Garden Plain, KS 67050	None	Educational Scholarship	1,500
Quinn, Molly Edna, TX 77957	None	Educational Scholarship	3,000
Rach, Kayla Corpus Christi, TX 78410	None	Educational Scholarship	1,500
Rajendran, Dhevi League City, TX 77573	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
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SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR: STATEMENT 14

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Rajendran, Vani League City, TX 77573	None	Educational Scholarship	1,500
Ramseyer, Ryan Wichita, KS 67226	None	Educational Scholarship	1,500
Ratskoff, Benjamin Buffalo Grove, IL 60089	None	Educational Scholarship	1,500
Reese, Todd Wilmington, DE 19808	None	Educational Scholarship	1,500
Reese, Zachary Wilmington, DE 19808	None	Educational Scholarship	1,500
Reilly, Maegan Corpus Christi, TX 78410	None	Educational Scholarship	1,500
Reimer, Jay Beatrice, NE 68310	None	Educational Scholarship	1,500
Reinecke, Teneal Victoria, TX 77904	None	Educational Scholarship	1,500
Rethwisch, Samuel Lakeville, MN 55044	None	Educational Scholarship	1,500
Reynolds, Jessica Waco, TX 76798	None	Educational Scholarship	1,500
Rhoads, Caleb Tulsa, OK 74105	None	Educational Scholarship	1,500
Robertson, Blair Waynesboro, VA 22980	None	Educational Scholarship	1,500
Rockett, Alison Lewisville, NC 27023	None	Educational Scholarship	1,500
Rohleder, Joshua Wichita, KS 67212	None	Educational Scholarship	1,500
Rosenberg, Russell Wilmington, DE 19803	None	Educational Scholarship	1,500
Rudat, Alexander Woodstock, GA 30189	None	Educational Scholarship	1,500
Ruiz, Sandra Tulsa, OK 74137	None	Educational Scholarship	1,500
Rush, Cynthia Wilmington, NC 28409	None	Educational Scholarship	1,500
Salisbury, Melesa Cartersville, GA 30120	None	Educational Scholarship	1,500
Scheck, Stacie Hastings, MN 55033	None	Educational Scholarship	1,500
Schmidt, Caitlin Wichita, KS 67212	None	Educational Scholarship	1,500
Schmitt, Laura Concord, NC 28027	None	Educational Scholarship	1,500
Schroeder, Thomas DeWitt, NE 68341	None	Educational Scholarship	1,500
Schumann, Matthew Statham, GA 30666	None	Educational Scholarship	1,500
Searle, Abigail Wichita, KS 67226	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:	STATEMENT 14
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<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Searle, Paul Wichita, KS 67226	None	Educational Scholarship	1,500
Seller, Stephanie Colwich, KS 67030	None	Educational Scholarship	1,500
Selle, Christopher Sugar Land, TX 77479	None	Educational Scholarship	1,500
Shectman, Andrew Newark, DE 19711	None	Educational Scholarship	1,500
Simonsen, Kelsey Waynesboro, VA 22980	None	Educational Scholarship	1,500
Smith, Samuel Boiling Springs, SC 29316	None	Educational Scholarship	1 500
Snipes, Amanda Owen Camden, SC 29020	None	Educational Scholarship	1,500
Sommer, Amy Sapulpa, OK 74066	None	Educational Scholarship	1 500
Stevens, Francis Wilmington, MA 01887	None	Educational Scholarship	1,500
Stewart, Michael Wilmington, MA 01887	None	Educational Scholarship	1,500
Strate, Justin Fairmont, OK 73736	None	Educational Scholarship	1,500
Sun, Sharon Baltimore, MD 21218	None	Educational Scholarship	1,500
Surman, Alexandra Wilmington, IL 60481	None	Educational Scholarship	1 500
Swergart, Eric Newark, DE 19711	None	Educational Scholarship	1,500
Taylor, Robert Kingston, ON K7P 1M2	None	Educational Scholarship	1,500
Tian, Xiao Wilmington, DE 19803	None	Educational Scholarship	1,500
Trerotola, Tyler Chadds Ford, PA 19317	None	Educational Scholarship	1,500
Trisler, JoHelen Gonzales, LA 70737	None	Educational Scholarship	1,500
Van Cleave, Kelly Victoria, TX 77904	None	Educational Scholarship	1,500
Van Dyke, Courtney Pond Creek, OK 73766	None	Educational Scholarship	1,500
Vasavada, Rhuju Sugar Land, TX 77479	None	Educational Scholarship	1,500
Vorce, Leslie Midland, TX 79707	None	Educational Scholarship	1,500
Voth, Anna Wichita, KS 67235	None	Educational Scholarship	1,500
Walker, Cassi Channahon, IL 60410	None	Educational Scholarship	1,500
Werner, Thomas West St. Paul, MN 55118	None	Educational Scholarship	1,500

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
SCHEDULE OF INFORMATION FOR 2009 FORM 990-PF

PART XV, LINE 3A, GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR: STATEMENT 14

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RECIPIENT RELATIONSHIP</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
Wilkes, Katherine Crystal Lake, IL 60012	None	Educational Scholarship	1,500
Williams, Robert Camden, SC 29020	None	Educational Scholarship	1,500
Wilson, Amanda Waynesboro, VA 22980	None	Educational Scholarship	1,500
Wilson, Lindsey Waynesboro, VA 22980	None	Educational Scholarship	1,500
Yerkes, Jennifer Chicago, IL 60610	None	Educational Scholarship	1,500
Yerkes, John River Forest, IL 60305	None	Educational Scholarship	1,500
Yerkes, Theresa River Forest, IL 60305	None	Educational Scholarship	1,500
Young, Shannon Bridge City, TX 77611	None	Educational Scholarship	1,500
Zakrewsky, Michael Seaford, DE 19973	None	Educational Scholarship	1,500
Zuiss, Edward Andover, KS 67002	None	Educational Scholarship	1,500
TOTAL INDIVIDUAL SCHOLARSHIPS PAID			279,750

FRED C. AND MARY R. KOCH FOUNDATION
EIN 48-6113560
ATTACHMENT TO FORM 990-PF TO REPORT
EXPENDITURE RESPONSIBILITY GRANT
For the Year Ended 12/31/09

PART VII-B, Question on Line 5c:

STATEMENT 15

Expenditure Responsibility Statement for the Year 2009

Pursuant to IRC Regulation section 53.4945-5(d)(2), the FRED C. AND MARY R. KOCH FOUNDATION provides the following information

- | | |
|--------------------------------|---|
| (i) Name & Address of Grantee: | Kansas Cultural Trust
255 N. Roosevelt
Wichita, KS 67208 |
| (ii) Date and Amount of Grant: | February 15, 2008 \$145,000
March 19, 2009 \$152,080 |
| (iii) Purpose of Grant | General operating support for the Kansas Cultural Trust, an educational foundation which provides grants to young visual and performing artists to allow them to take advantage of key opportunities arising early in their careers |
| (iv) Amounts expended: | Report received from the Kansas Cultural Trust shows the following expenditures.
\$38,324 of the remaining February, 2008 grant was spent for providing enabling grants to artists
\$109,376 of the March, 2009 grant was spent for providing enabling grants to artists. |
| (v) Diversions: | To the knowledge of this grantor foundation, no funds have been diverted to any activity other than the activity for which the grant was originally made. |
| (vi) Date of Reports | On March 30, 2010, Kansas Cultural Trust submitted a full and complete report of its expenditures of the February 2008 and March 2009 operating support grant |
| (vi) Verification: | The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made. |

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,007,291.		ZAZOVE ASSOCIATES, LLC PROPERTY TYPE: SECURITIES 1,028,593.				P	VARIOUS -21,302.	VARIOUS
2,260,948.		GLEACHER DIVERSIFIED STRATEGIES FUDN LTD PROPERTY TYPE: SECURITIES 2,290,590.				P	VARIOUS -29,642.	VARIOUS
2,528,220.		ARDEN ENDOWMENT ADVISORS PROPERTY TYPE: SECURITIES 2,500,000.				P	VARIOUS 28,220.	VARIOUS
TOTAL GAIN (LOSS)							<u>-22,724.</u>	

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization FRED C. & MARY R. KOCH FOUNDATION, INC.	Employer identification number 48 6113560
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 2256	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WICHITA, KANSAS 67201-2256	

Check type of return to be filed (File a separate application for each return):

- | | | | |
|--------------------------------------|---|--------------------------------------|------------------------------------|
| ☐ Form 990 | ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 6069 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 4720 | ☐ Form 8870 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **VONDA HOLLIMAN**

Telephone No. **316 828-5552** FAX No. **()**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4** I request an additional 3-month extension of time until **NOVEMBER 15**, 20**10**.
- 5** For calendar year **2009**, or other tax year beginning , 20, and ending , 20.
- 6** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7** State in detail why you need the extension **THE TAXPAYER REQUESTS ADDITIONAL TIME IN ORDER TO GATHER THE NECESSARY INFORMATION FOR A COMPLETE AND ACCURATE RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **Amanda A. Coleman**Title **C.P.A.**Date **8/9/2010**