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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

LOIS & MAX BEREN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

100 N. MAIN, SUITE 700

City or town, state, and ZIP code

WICHITA, KS 67202-1384

A Employer identification number

48-6107224

D Telephone number

316-263-0220

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

\$ 2,037,796. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch-B3 Interest on savings and temporary
cash investments

187.

187.

STATEMENT 1

4 Dividends and interest from securities

70,980.

70,980.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

1,679.

b Gross sales price for all
assets on line 6a 1,679.

7 Capital gain net income (from Part IV, line 2)

1,679.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

29.

29.

STATEMENT 3

12 Total. Add lines 1 through 11

72,875.

72,875.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 4

6,850.

4,567.

2,283.

c Other professional fees

STMT 5

10,518.

10,518.

0.

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative
expenses. Add lines 13 through 23

17,368.

15,085.

2,283.

25 Contributions, gifts, grants paid

110,000.

110,000.

26 Total expenses and disbursements.
Add lines 24 and 25

127,368.

15,085.

112,283.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-54,493.

b Net investment income (if negative, enter -0-)

57,790.

Adjusted net income (if negative, enter -0-)

N/A

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	19,526.	13,361.	13,361.
	2 Savings and temporary cash investments	204,101.	66,795.	66,795.
	3 Accounts receivable ▶ 961.			
	Less: allowance for doubtful accounts ▶	3,303.	961.	961.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	912,567.	1,003,810.	1,935,919.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7	24,861.	24,938.	20,760.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,164,358.	1,109,865.	2,037,796.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,164,358.	1,109,865.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	1,164,358.	1,109,865.		
31 Total liabilities and net assets/fund balances	1,164,358.	1,109,865.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,164,358.
2 Enter amount from Part I, line 27a	2	-54,493.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,109,865.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,109,865.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAINS DIVIDENDS				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,679.			1,679.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			1,679.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 1,679.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	113,621.	2,268,279.	.050091
2007	89,200.	2,214,762.	.040275
2006	81,220.	1,751,353.	.046376
2005	77,180.	1,639,355.	.047079
2004	63,697.	1,528,017.	.041686
2 Total of line 1, column (d)			2 .225507
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .045101
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 1,842,206.
5 Multiply line 4 by line 3			5 83,085.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 578.
7 Add lines 5 and 6			7 83,663.
8 Enter qualifying distributions from Part XII, line 4			8 112,283.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	578.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	578.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	578.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	646.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	646.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	68.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 68. Refunded <input checked="" type="checkbox"/> 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> KS, <input checked="" type="checkbox"/> CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► LOIS & MAX BEREN FOUNDATION Telephone no. ► (316) 263-0220 Located at ► 100 N. MAIN, SUITE 700, WICHITA, KS ZIP+4 ► 67202-1384			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,750,499.
b	Average of monthly cash balances	1b	119,761.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,870,260.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,870,260.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,054.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,842,206.
6	Minimum investment return. Enter 5% of line 5	6	92,110.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	92,110.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	578.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	578.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	91,532.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	91,532.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	91,532.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	112,283.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	112,283.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	578.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	111,705.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				91,532.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			101,137.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 112,283.				
a Applied to 2008, but not more than line 2a			101,137.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				11,146.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				80,386.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

[illegible]

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)
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- ### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

CARLA BEREN GARRITY

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

CARLA BEREN GARRITY

3360 S. COLUMBINE CIRCLE, ENGLEWOOD, CO 80113

- b The form in which applications should be submitted and information and materials they should include:**

LETTER OF REQUEST FOR SCHOLARSHIP APPLICATION & SELF ADDRESSED STAMPED ENV

- c Any submission deadlines:**

BY DECEMBER 31

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SCHOLARSHIPS FOR COLLEGE EDUCATION; SCHOLARSHIPS ARE PAID DIRECTLY TO EDUCATIONAL INSTITUTIONS.

3 Grants and Contributions Paid During the Year or Approved for Future Payment

923611 02-02-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with another organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <i>Conita Beren</i>	Date <i>10-11-2010</i>	Title <i>Trustee</i>
	Preparer's Use Only		
Paid Preparer's Use Only	Preparer's signature <i>Larry W. Dean</i>	Date <i>5/5/10</i>	Check if self-employed <input type="checkbox"/>
	Firm's name (or yours if self-employed), address, and ZIP code LUCAS & ASSOCIATES, CPA'S, P.A. 100 N. MAIN, SUITE 700 WICHITA, KS 67202-1384	EIN 15-1234567	Phone no. (316) 263-0220

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	49.
CHARLES SCHWAB - MONEY MARKET	138.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	187.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB	70,852.	1,679.	69,173.
W P CAREY & CO LLC	1,807.	0.	1,807.
TOTAL TO FM 990-PF, PART I, LN 4	72,659.	1,679.	70,980.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
W P CAREY & CO LLC	29.	29.	
TOTAL TO FORM 990-PF, PART I, LINE 11	29.	29.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,850.	4,567.		2,283.
TO FORM 990-PF, PG 1, LN 16B	6,850.	4,567.		2,283.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	10,426.	10,426.			0.
W.P. CAREY & CO.-OTHER DEDUCTIONS	37.	37.			0.
MISC. ADMINISTRATIVE EXPENSES	55.	55.			0.
TO FORM 990-PF, PG 1, LN 16C	10,518.	10,518.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
2295 SHS BP PLC	20,483.	133,041.		
1735 SHS VERIZON	50,897.	57,481.		
1800 SHS AMERICAN ELECTRIC POWER	31,199.	62,622.		
2000 SHS COCA-COLA	3,250.	114,000.		
4000 SHS EXXON MOBIL CORP.	11,007.	272,760.		
1200 SHS ALTRIA GROUP	941.	23,556.		
4000 SHS SOUTHERN CO.	26,448.	133,280.		
2000 SHS AT&T INC.	31,775.	56,060.		
2000 SHS BRISTOL MYERS SQUIBB	27,643.	50,500.		
2600 SHS EMERSON ELECTRIC	46,170.	110,760.		
2590 SHS J P MORGAN CHASE & CO.	56,809.	107,925.		
1664 SHS GENERAL ELECTRIC	54,132.	25,176.		
300 SHS ZIMMER HOLDINGS	2,094.	17,733.		
1619 SHS APT INVT & MGMT (1410 SH BOY)	47,653.	25,775.		
2080 SHS FPL GROUP	66,684.	109,866.		
2300 SHS HEALTHCARE REALTY TRUST REIT	65,758.	49,358.		
800 SHS PUBLIC STORAGE	34,899.	65,160.		
3851 SECTOR SPDR UTIL SELECT	97,538.	119,458.		
200 SH DUKE ENERGY CORP	3,176.	3,442.		
600 SH ABBOT LABORATORIES	27,435.	32,394.		
700 SH BHP BILLITON LTD ADR	52,106.	53,606.		
850 SH CUMMINS INC. (425 SH BOY)	50,964.	38,981.		
830 SH KRAFT FOODS INC.	965.	22,559.		
800 SH MCDONALDS CORP.	48,749.	49,952.		
100 SH SPECTRA ENERGY CORP	2,290.	2,051.		
1500 SH WASTE MANAGEMENT INC.	51,004.	50,715.		
1200 SH PHILIP MORRIS INTL	2,144.	57,828.		
1130 SH VANGUARD ST BOND INDEX FUND	89,597.	89,880.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,003,810.	1,935,919.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
W P CAREY & CO LLC	COST	24,938.	20,760.
TOTAL TO FORM 990-PF, PART II, LINE 13		24,938.	20,760.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CARLA BEREN GARRITY 3360 S. COLUMBINE CIRCLE ENGLEWOOD, CO 80113	CO-TRUSTEE 4.50	0.	0.	0.
STANLEY D. NEELEMAN 185 S. STATE STREET, SUITE 800 SALT LAKE CITY, UT 84111	CO-TRUSTEE 1.00	0.	0.	0.
MARK GARRITY 333 N. HIBISCUS DRIVE MIAMI BEACH, FL 33139-5123	CO-TRUSTEE 1.00	0.	0.	0.
MARY JO GARRITY 333 N. HIBISCUS DRIVE MIAMI BEACH, FL 33139-5123	CO-TRUSTEE 1.00	0.	0.	0.
MEGAN BEREN 3360 S. COLUMBINE CIRCLE ENGLEWOOD, CO 80113	CO-TRUSTEE 1.00	0.	0.	0.
WILLIAM PORTER 967 FILMORE STREET DENVER, CO 80206	CO-TRUSTEE 1.00	0.	0.	0.
ROBERT JOHNSON 8 ESTATE BOETZBURG 5008 CHRISTIANSTED, ST. CROIX, VI 00820	CO-TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 9

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BRYAN LGH COLLEGE OF HEALTH SCIENCES 5035 EVERETT STREET LINCOLN, NE 68506-1398	NONE SCHOLARSHIP		5,000.
CCAC COMMUNITY COLLEGE 1000 MCKEE ROAD OAKDALE, PA 15071	NONE SCHOLARSHIP		127..
CITY UNIVERSITY LONDON NORTHAMPTON SQUARE LONDON, UNITED KINGDOM EC1V 0HB	NONE SCHOLARSHIP FUNDS RETURNED (REISSUED TO NEW SCHOOL)		-2,212.
COLLEGE AMERICA 3645 CITADEL DRIVE SOUTH COLORADO SPRINGS, CO 80909	NONE SCHOLARSHIP		4,000.
COMMUNITY COLLEGE OF AURORA 16000 E CENTRETRCH PARKWAY, A207 AURORA, CO 80011	NONE SCHOLARSHIP		4,000.
CORNELL UNIVERSITY OFFICE OF FINANCIAL AID, BOX 752 ITHACA, NY 14851	NONE SCHOLARSHIP		4,000.
DUQUESNE UNIVERSITY 600 FORBES AVE. PITTSBURGH, PA 15282	NONE SCHOLARSHIP FUNDS RETURNED (REISSUED TO NEW SCHOOLS)		-3,189.
FLORIDA INTERNATIONAL UNIVERSITY 3000 NE 151 ST. AC1-100 NORTH MIAMI, FL 33181-3600	NONE SCHOLARSHIP		4,000.

GREENVILLE COLLEGE 317 E. COLLEGE AVE. GREENVILLE, IL 62246	NONE SCHOLARSHIP	5,000.
HIGH POINT UNIVERSITY 833 MONTLIEU AVE. HIGH POINT, NC 27262-3598	NONE SCHOLARSHIP FUNDS RETURNED (REISSUED TO NEW SCHOOL)	-2,000.
INDIANA UNIVERSITY PURDUE P.O. BOX 6035 INDIANAPOLIS, IN 46207	NONE SCHOLARSHIP	5,000.
INTERMEDIATE UNIT 1 ONE INTERMEDIATE UNIT DRIVE COAL CENTER, PA 15423	NONE SCHOLARSHIP	1,700.
KANSAS STATE UNIVERSITY 104 FAIRCHILD HALL MANHATTAN, KS 66506-1104	NONE SCHOLARSHIP	9,000.
MCPHERSON COLLEGE P.O. BOX 1402 MCPHERSON, KS 67460	NONE SCHOLARSHIP	5,000.
OKLAHOMA CHRISTIAN UNIVERSITY BOX 11000 OKLAHOMA CITY, OK 73136-1100	NONE SCHOLARSHIP	4,000.
QUEENS COLLEGE, CUNY 65-30 KISSENA BLVD. FLUSHING, NY 11367-1597	NONE SCHOLARSHIP	5,000.
ROEHAMPTON UNIVERSITY ERASMUS HOUSE, ROEHAMPTON LANE LONDON, UNITED KINGDOM SW15 5PU	NONE SCHOLARSHIP	2,212.
SAINT LOUIS UNIVERSITY 221 N GRAND BLVD, ROOM 2 ST LOUIS, MO 63103	NONE SCHOLARSHIP	5,000.

SOUTHWEST TENNESSEE COMMUNITY COLLEGE 5983 MACON COVE MEMPHIS, TN 38134	NONE SCHOLARSHIP	5,000.
SPRING HILL COLLEGE 4000 DAUPHIN STREET MOBILE, AL 36608-1791	NONE SCHOLARSHIP	5,000.
SUNY PURCHASE COLLEGE 735 ANDERSON HILL ROAD PURCHASE, NY 10577-1400	NONE SCHOLARSHIP	5,000.
SYRACUSE UNIVERSITY 1216 ARCHBOLD NORTH SYRACUSE, NY 13244	NONE SCHOLARSHIP	5,000.
UNIV. OF FLORIDA P.O. BOX 11400 GAINESVILLE, FL 32611	NONE SCHOLARSHIP	5,000.
UNIV. OF MAINE/FARMINGTON 224 MAIN ST. FARMINGTON, ME 04938	NONE SCHOLARSHIP	4,000.
UNIV. OF MICHIGAN 515 E. JEFFERSON ST. ANN ARBOR, MI 48109-1316	NONE SCHOLARSHIP	4,000.
UNIV. OF PITTSBURGH G-7 THACKERAY HALL PITTSBURGH, PA 15260	NONE SCHOLARSHIP	1,362.
UNIV. OF TEXAS HEALTH SCIENCE CENTER HOUSTON P.O. BOX 20036 HOUSTON, TX 77225	NONE SCHOLARSHIP	5,000.
WASHBURN UNIVERSITY 1700 COLLEGE TOPEKA, KS 66621	NONE SCHOLARSHIP	5,000.

WESTMINSTER COLLEGE NONE
 1840 SOUTH 1300 EAST SALT LAKE SCHOLARSHIP
 CITY, UT 84105

5,000.

WILLIAMS COLLEGE NONE
 880 MAIN ST. WILLIAMSTOWN, MA SCHOLARSHIP
 01267

5,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

110,000.