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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

		-	f the Tre	,			ed as a Private Fo				<u> </u>
			nue Serv	• • • •		-	to use a copy of this retur	n to satisfy state re	porting	requirements	
Fo	or c	ale	ndar y	/ear 2009, or	tax year beg	inning	, а	nd ending			
G	CI	hec	k all th	nat apply	☐ Initial	return	Initial return of a for	mer public cha	arity	Final	return
				•••	Amer	nded return	Address change		-	hange	
_	Jse	the	IRS	Name of founda	ation				_	Employer identification	number
·		abel	_			FOUNDATION,	INC			48-110	
	Oth	erw	ise,				elivered to street address)	Room/suite	ВТ		page 10 of the instructions)
		orint		P O BOX 61			ŕ			(620) 23	- ,
•		typ Sne	e. cific		ate, and ZIP code	-	···		C If	exemption application is	
		•		PITTSBURG			KS	66762-0610	1	Foreign organizations, o	· •
Н	I CI	hec	k type	of organizati	on X Section	on 501(c)(3) exe	mpt private foundati		┩	. Foreign organizations n	<u> </u>
] s	ect	on 49	47(a)(1) none	exempt charita	able trust 🔲 (Other taxable private	foundation		check here and attach of	computation >
Ī	Fa	air n	narket	value of all a	assets at end	J Accounting	method X Casl	Accrual		private foundation status nder section 507(b)(1)(A)	
	of	yea	ar (fro	m Part II, col	(c).	Other (sr	pecify)			the foundation is in a 60	
			6) 🕨	\$	1,523,747	(Part I, column (d) must be on cash bas	as)	u	nder section 507(b)(1)(B)	, check here
P	art	1	Analy	sis of Rever	nue and Expe	enses (The total of	(a) Revenue and				(d) Disbursements
=						ot necessanly equal	expenses per	(b) Net investr income	nent	(c) Adjusted net income	for charitable purposes
2010 -			the am	ounts in column (a	a) (see page 11 of	the instructions))	books				(cash basis only)
~; ~;		1	Contrib	utions, gifts, gran	ts, etc , received (attach schedule)					
es .	:			_		red to attach Sch B					
>=	1			-		ash investments	11		11		1
MAY					st from securitie	es .	49,450	4	9,450		50
_	'		Gross		(1)	0	*****		0	1	
و سًا	3 /			ntal income or	• • • • • • • • • • • • • • • • • • • •	0	0				
SCANNED Revenue	<u> </u>		_		m sale of assets assets on line 6a	0	U		····		
<u>ه</u> که	، اٍٰذٍ				ne (from Part I\						
	1 :			ort-term capita		,o <i>L</i> ,	<u> </u>				0
W)	,			e modifications							
	10	0 a	Gross s	sales less returns	and allowances	0					
		b	Less	Cost of goods	sold	0					
		C	Gross	profit or (loss)	(attach schedul	e)	0				
	11		Other	income (attach	schedule)		0		0		0
	1:	2	Total.	Add lines 1 th	rough 11		49,461	4	9,461	49,46	51
ď	1:				cers, directors,	· ·	6,000		6,000	6,00	00
ď	2 14	4	Other	employe ¢ sala	nde benefits	rea T					
ā	1					ED O					
Fxne	<u>ا</u> ا			fees (attack) sc			0		0	 	0 0
ď	2	D	Accou	nting teas atta	d let let	1 1 1 1 1	7,200		7,200 0		·
ati,	. k				es (attach sche	dule)	0				0 0
1	1 1	/ D	Interes	st	OGDEN,		16,067	1	6,067	16,06	
Ë	1				schedule) and c		10,007		0,007		0
<u> </u>	2		Occup		scricuale, and c	repletion					
Ā	2			, conferences,	and meetings		396		396	39	06
7	2			g and publicati	_						
ñ	2			expenses (atta			89		89	8	9 0
į.	24	4	Total	operating and	administrative	expenses.		-			
Onerating and Administrative	[Add lir	nes 13 through	23		29,752		9,752	29,75	
٥	2	5	Contri	butions, gifts, g	rants paid		45,056				45,056
	20	6	Total e	xpenses and dis	bursements. Add	lines 24 and 25	74,808	2	9,752	29,75	45,056
-	2	7	Subtra	ct line 26 from	line 12						
					r expenses and d		-25,347				
					me (if negative	·		1	9,709		
		С	Adjus	ted net incom	e (if negative, e	nter -0-)				19,70	9

П		Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End o	of year
Fe	rt II	should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing	27,622	20,313	20,313
	2	Savings and temporary cash investments			
	3	Accounts receivable 0			
		Less allowance for doubtful accounts	0		0
	4	Pledges receivable 0			
		Less allowance for doubtful accounts	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see page 15 of the			_
	_	instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule)			•
Assets		Less allowance for doubtful accounts	0	0	0
SS	8	Inventories for sale or use	4 005	004	
Ä	9	Prepaid expenses and deferred charges	1,205	881	881
		Investments—U S and state government obligations (attach schedule)	0	0	4.500.550
	į.	o Investments—corporate stock (attach schedule)	1,491,046	1,468,190	
		Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis			
	42	Less accumulated depreciation (attach schedule)	0	0	0
	12	Investments—mortgage loans	0	0	
	13	Investments—other (attach schedule)	U	U	0
	14	Land, buildings, and equipment basis	0		
	15	Less accumulated depreciation (attach schedule)	0	0	0
	16	Other assets (describe Total assets (to be completed by all filers—see the	U		<u> </u>
	'0	instructions Also, see page 1, item I)	1,519,873	1,489,384	1,523,747
	17	Accounts payable and accrued expenses	1,010,070	1,400,004	1,020,141
- 1	18	Grants payable			
Liabilities	19	Deferred revenue			
≣	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
abi	21	Mortgages and other notes payable (attach schedule)	0	0	
Ξ	22	Other liabilities (describe)	0	0	
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here	-		
Ś		and complete lines 24 through 26 and lines 30 and 31.			
nces	24	Unrestricted			
<u>a</u>	25	Temporarily restricted			
Ва	26	Permanently restricted			
Þ		Foundations that do not follow SFAS 117, check here			
Ξ		and complete lines 27 through 31.			
Net Assets or Fund Bala	27	Capital stock, trust principal, or current funds	1,519,873	1,489,384	
Ş	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
set	29	Retained earnings, accumulated income, endowment, or other funds			
AS	30	Total net assets or fund balances (see page 17 of the			
et.		instructions)	1,519,873	1,489,384	
Ž	31	Total liabilities and net assets/fund balances (see page 17			
	L	of the instructions) .	1,519,873	1,489,384	
Pa	t III	Analysis of Changes in Net Assets or Fund Balances			
1	Total	net assets or fund balances at beginning of year-Part II, column (a), line	30 (must agree with		
	end-of	f-year figure reported on prior year's return)		1	1,519,873
2	Enter	amount from Part I, line 27a		2	-25,347
3	Other	increases not included in line 2 (itemize)		3	0
4	Add III	nes 1, 2, and 3		4	1,494,526
5	Decre	eases not included in line 2 (itemize) CAPITAL LOSSES		5	5,142
6	Total	net assets or fund balances at end of year (line 4 minus line 5)-Part II, co	olumn (b), line 30	6	1 489 384

1a DPL, INC	
D TARGET	-2,887 -2,255 0 0 0 us) or -2,887 -2,255
Ce Gross sales price Ce Gross sales Ce Gro	-2,255 0 0 0 0 us -) or -2,887 -2,255
Part	-2,255 0 0 0 0 us -) or -2,887 -2,255
(e) Gross sales pnce (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Gain or (loss) (e) plus (f) minus (g) a 43,187 0 46,074 b 20,281 0 22,536 c 0 0 0 d 0 0 0 e 0 0 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Gains (Col (h) gain min col (k), but not less than -0 over col (j), if any col (k), but not less than -0 over col (j), if any a 0 0 0 0 b 0 0 0 c 0 0 0 c 0 0 0 c 0 0 0 d 0 0 0 c 0 0 0 d 0 0 0 e 0 0 0 c 0 0 0 d 0	-2,255 0 0 0 0 us -) or -2,887 -2,255
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Column (k) Excess of col (i) over col (j), if any Column (k) but not less than -0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Col (k), but not less than -0 Col (k) but not less than -0 C	-2,255 0 0 0 0 us -) or -2,887 -2,255
b 20,281 0 22,536 c 0 0 0 0 0 0 d 0 0 0 0 0 e 0 0 0 0 0 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F M V as of 12/31/69 (j) Adjusted basis as of 12/31/69 (l) Excess of col (i) over col (j), if any col (k), but not less than -0 over col (j), if any col	-2,255 0 0 0 0 us -) or -2,887 -2,255
c 0 0 0 d 0 0 0 e 0 0 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Gains (Col (h) gain min col (k), but not less than -0 col (k), but not less than -0 over col (j), if any col (k), but not less than -0 col	0 0 0 0 0 0 0 0 0 0 0 -2,887 -2,255
d 0 0 0 0 e 0 0 0 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (i) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h) and owned by the foundation on 12/31/69 (ii) Gains (Col (h) gain min column (h)	0 0 or -2,887 -2,255
e 0 0 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F M V as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col (i) over col (j), if any col (k), but not less than -0 Losses (from col (h)) a 0 0 0 0 0 b 0 0 0 0 0 c 0 0 0 0 0 d 0 0 0 0 0 e 0 0 0 0 0 2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7] If (loss), enter -0- in Part I, line 7 2	0 or -2,887 -2,255
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F M V as of 12/31/69 (j) Adjusted basis as of 12/31/69 0 0 0 0 0 0 0 0 0 0 0 0 0	-2,887 -2,255
(i) F M V as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col (i) over col (j), if any (k) Excess of col (i) over col (j) over c	-2,887 -2,255 0
(i) FMV as of 12/31/69	-2,887 -2,255 0
a 0 0 0 0 b 0 0 0 0 c 0 0 0 0 d 0 0 0 0 e 0 0 0 0 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 If (loss) 2	-2,255 0
b 0 0 0 c 0 0 0 d 0 0 0 e 0 0 0 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2	-2,255 0
c 0 0 0 d 0 0 0 e 0 0 0 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 2	0
d 0 0 0 e 0 0 0 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } }	_
e 0 0 0 0 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	U
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7	
2 Capital gain net income or (net capital loss) { If (loss), enter -0- in Part I, line 7 }	
	-5,142
- · · · · · · · · · · · · · · · · · · ·	-3,142
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the	
instructions) If (loss), enter -0- in Part I, line 8	0
Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income	
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)	
If section 4940(d)(2) applies, leave this part blank	
Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes	No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part	
1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entr	ies
(a) (d)	
Base period years Adjusted qualifying distributions Net value of noncharitable-use assets Distribution ratio	
Calendar year (or tax year beginning in) (coi (b) divided by co	
2008 44,184 1,672,054	0.026425
2007 38,068 1,947,606	0 019546
2006 26,000 1,738,532 2005 21,298 1,630,618	0 014955
	0 013061
2004 9,000 1,475,108	0 006101
2 Total of line 1, column (d)	0 000000
2 Total of line 1, column (d)	0 080088
the number of years the foundation has been in existence if less than 5 years . 3	0 016018
the number of years the foundation has been in existence mess than 5 years .	0 0 100 10
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 4	1,335,685
Little the net value of horicilantable-use assets for 2009 from Part X, line 5	1,333,063
5 Multiply line 4 by line 3 . 5	21,395
5 Waltiply line 4 by line 5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	
7 Add lines 5 and 6	197
7 Add lines 5 and 6	
7 Add lines 5 and 6	197

Pa	art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see pag	ge 18	3 of the	e inst	ructi	ons)		
1	a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1							
	Date of ruling or determination letter (attach copy of letter if necessary—see instructions)							
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check							
	here ▶ X and enter 1% of Part I, line 27b							
	c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4%							
_	of Part I, line 12, col (b)							
2								
3	7.00 11100 1 0110 2	4			197			
5								
6	Credits/Payments				197	<u> </u>		
	a 2009 estimated tax payments and 2008 overpayment credited to 2009 6a 881							
	b Exempt foreign organizations—tax withheld at source 6b	- 1				İ		
	c Tax paid with application for extension of time to file (Form 8868)	- 1				•		
	d Backup withholding erroneously withheld	1				İ		
7	, , , , , , , , , , , , , , , , , , , ,	7	-		881			
8		8			0			
9		9			197			
10	real control of the c	10			684			
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ► 684 Refunded ► 1	11			0			
Pá	nrt VII-A Statements Regarding Activities							
1	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it				Yes	No		
_	participate or intervene in any political campaign?							
	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the							
	instructions for definition)?							
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials							
	published or distributed by the foundation in connection with the activities							
	c Did the foundation file Form 1120-POL for this year?							
	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year							
	(1) On the foundation \$ (2) On foundation managers \$							
	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed							
	on foundation managers \$							
2				2		_ X_		
_	If "Yes," attach a detailed description of the activities							
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			·	-			
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X		
	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?			4a 4b	N/A	-		
5	b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5	IN/A	Х		
J	If "Yes," attach the statement required by General Instruction T			,		-^-		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either							
Ŭ	By language in the governing instrument, or							
	By state legislation that effectively amends the governing instrument so that no mandatory directions							
	that conflict with the state law remain in the governing instrument?			6	Х			
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and	d Par	t XV	7	Х			
	a Enter the states to which the foundation reports or with which it is registered (see page 19 of the							
	instructions) ► KS							
	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney							
	General (or designate) of each state as required by General Instruction G? If "No," attach explanation			8b		Χ_		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3)							
	or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)?							
	If "Yes," complete Part XIV			9		Х		
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing							
	their names and addresses							

Form 990-PF (2009)

THROCKMORTON RISER FOUNDATION, INC.

Form	990-PF (2009) THROCKMORTON RISER FOUNDATION, INC 48-110	03316	Р	age 5
Par	t VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		×
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before	40		
40	August 17, 2008?	12	X	<u> </u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		
14	Website address ► N/A The books are in care of ► WHEELER & MITCHELSON, CHARTERED Telephone no ► (620) 231-4 Located at ► P.O BOX 610 PITTSBURG KS ZIP+4 ► 66762-0610			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here			···
	and enter the amount of tax-exempt interest received or accrued during the year		,	-
Dэ	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
Га		1	V	NI-
4	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	. <u></u>			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No			'
				,
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			1
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No			4 114
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		· X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			E
а	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? X Yes No			
	If "Yes," list the years • 20 08 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
	to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	Х	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20			nada na
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation			
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved			
	by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3)			
	the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine			
	if the foundation had excess business holdings in 2009)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable			

purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?

FOILIT 990-11 (2009) THRUCKIVIOR TON RISER FI	OUNDATION, INC		4	18-1103316	Page o	
Part VII-B Statements Regarding Activiti	es for Which Forn	n 4720 May Be R	equired (continued)			
5a During the year did the foundation pay or incur any	amount to			_		
(1) Carry on propaganda, or otherwise attempt to	o influence legislation (section 4945(e))?	Yes X	No		
(2) Influence the outcome of any specific public	election (see section 4	955), or to carry				
on, directly or indirectly, any voter registration	n drive? .		Yes X	No	·	
(3) Provide a grant to an individual for travel, study, or other similar purposes?						
(4) Provide a grant to an organization other than	·	•	L les [X	J 100		
in section 509(a)(1), (2), or (3), or section 49	40(d)(2)? (see page 22	of the instructions)	Yes X] No		
(5) Provide for any purpose other than religious, educational purposes, or for the prevention of		•	Yes X] No		
b If any answer is "Yes" to 5a(1)–(5), did any of the t	transactions fail to qual	ify under the exception	ns described in			
Regulations section 53 4945 or in a current notice	regarding disaster assi	stance (see page 22	of the instructions)?	5b	N/A	
Organizations relying on a current notice regarding	disaster assistance ch	neck here	▶] [
c If the answer is "Yes" to question 5a(4), does the f	oundation claim exemp	tion from the		_		
tax because it maintained expenditure responsibiliting if "Yes," attach the statement required by Regulation	•	(d)	Yes] No		
6a Did the foundation, during the year, receive any fur	nds directly or indirectl	v to nav		1 1		
premiums on a personal benefit contract?	nas, ancony or mancon	y, to pay	Yes X	7 Νο		
b Did the foundation, during the year, pay premiums.	directly or indirectly of	in a nerconal benefit r		6b	- x '	
If "Yes" to 6b, file Form 8870	, unecity of munecity, o	in a personal belieff (contract,	60	- ^-	
			-2 Dy V	7no	'	
7a At any time during the tax year, was the foundation					 '	
b If yes, did the foundation receive any proceeds or				7b		
Part VIII Information About Officers,	Directors, Truste	es, Foundation	Managers, Highly Pai	id Employe	es,	
and Contractors					 	
1 List all officers, directors, trustees, found				instruction	s)	
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense other allo		
FRED MITCHELSON	PRES/DIRECTOR					
P O BOX 610 PITTSBURG KS 66762	VARIOUS	2,000		o	0	
DAN R FRAKER	TREAS/DIRECTOR		, <u></u>			
P O BOX 329 GARNETT KS 66032	VARIOUS	2,000	0	ol .	0	
JOHN H. MITCHELSON	SEC/DIRECTOR					
P O BOX 610 PITTSBURG KS 66762	VARIOUS	2,000	0)	0	
	,,					
	00	o	0		0	
2 Compensation of five highest-paid employ If none, enter "NONE."	yees (other than the	ose included on lii	ne 1—see page 23 of the	e instructio		
	(b) Title, and avera	100	(d) Contributions to	T		
(a) Name and address of each employee paid more than \$50,0	000 hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expense other allo		
	devoted to position	n	compensation			
None						
	-					
		-				
			1	1		

Form 990-PF (2009)			<u>48-1103316</u>	Page /
Part VIII	Information About Officers, Directors, Trustees, F and Contractors (continued)	oundation Managers, Highly Paid	d Employee	s,
3 Five highes	st-paid independent contractors for professional services (see	page 23 of the instructions). If none, enter	r "NONE."	
	lame and address of each person paid more than \$50,000	(b) Type of service	(c) Comper	nsation
NONE				0
				0
				0
			- ··-	0
				0
Total number o	of others receiving over \$50,000 for professional services		▶	1
Part IX-A	Summary of Direct Charitable Activities			
	a's four largest direct charitable activities during the tax year. Include relevant si anizations and other beneficiaries served, conferences convened, research pa		Expens	ses
1 N/A				
2	······································		. <u>.</u> .	
3				
4				
Part IX-B S	ummary of Program-Related Investments (see page	24 of the instructions)		
	irgest program-related investments made by the foundation during the tax year		Amou	
1 N/A	or an including the tax years		Amou	
2				
_	elated investments See page 24 of the instructions			
3				
				0

Total. Add lines 1 through 3

0

Par	t X Minimum Investment Return (All domestic foundations must complete this part. Foreign for	oundati	ons,
	see page 24 of the instructions)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		
а	Average monthly fair market value of securities	1a	1,337,915
b	Average of monthly cash balances	1b	18,110
С	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,356,025
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,356,025
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25		
	of the instructions)	4	20,340
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,335,685
6	Minimum investment return. Enter 5% of line 5	6	66,784
Par		erating	
	foundations and certain foreign organizations check here L and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	66,784
2a	Tax on investment income for 2009 from Part VI, line 5	-	
b	Income tax for 2009 (This does not include the tax from Part VI)		
С	Add lines 2a and 2b	2c	197
3	Distributable amount before adjustments Subtract line 2c from line 1	3	66,587
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	66,587
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	66,587
Par	XII Qualifying Distributions (see page 25 of the instructions)		
ran	Qualitying distributions (see page 23 of the instructions)		<u> </u>
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	45,056
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	45,056
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	197
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	44,859
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found qualifies for the section 4940(e) reduction of tax in those years	ation	

e Excess from 2009

Form	1 990-PF (2009) THROCKMORTON RISER F				48-110	
Pai	t XIV Private Operating Foundations (s	ee page 27 of the	ne instructions a	nd Part VII-A, qu	estion 9)	N/A
1 a	If the foundation has received a ruling or determination foundation, and the ruling is effective for 2009, ent			•		
	Check box to indicate whether the foundation is a	private operating for	indation described ii	n section	4942(j)(3) or	4942(J)(5)
2 a	Enter the lesser of the adjusted net	Tax year		Prior 3 years		
	income from Part I or the minimum investment return from Part X for each	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
	year listed	0	0	o	0	0
b	85% of line 2a	0	0	0	0	0
С	Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d	Amounts included in line 2c not used directly for active conduct of exempt activities					0
е	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 a	Complete 3a, b, or c for the alternative test relied upon "Assets" alternative test—enter					
	(1) Value of all assets					0
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	
С		0.	0	<u> </u>		0
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
	(3) Largest amount of support from an exempt organization					0
	(4) Gross investment income					
Pa	t XV Supplementary Information (Cor	nplete this part	only if the four	ndation had \$5.	000 or more in	
	assets at any time during the ye	•	=	•		
1	Information Regarding Foundation Manag					
а	List any managers of the foundation who have conbefore the close of any tax year (but only if they ha				the foundation	
NO						
b	List any managers of the foundation who own 10% ownership of a partnership or other entity) of which				ortion of the	
<u>MOI</u>		. 0161				
2	Information Regarding Contribution, Gran		• •	•		
	Check here \blacktriangleright \boxed{X} if the foundation only makes unsolicited requests for funds. If the foundation may under other conditions, complete items 2a, b, c, and	ikes gifts, grants, etc				ions
а	The name, address, and telephone number of the	person to whom app	olications should be	addressed		
b	The form in which applications should be submitted	d and information ar	nd materials they sho	ould include		
С	Any submission deadlines					
d	Any restrictions or limitations on awards, such as b	y geographical area	s, charitable fields,	kınds of institutions,	or other	

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the		r Future Pay	rment	
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
a Paid during the year SEE ATTACHED SCHEDULE				45,056
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
				0
Total		<u></u>	> 3a	45,056
b Approved for future payment SEE ATTACHED SCHEDULE				
SEE ATTACHED SCHEDULE				40,500
				0
				0
				0
				0
				0
				0
				0
	1			0
Total .		· ·	▶ 3b	40,500

Form 990-PF (2009) THROCKMORTON RISER FOUNDA				48-110	3316 Page 1 2
Part XVI-A Analysis of Income-Producing Activ	vities				
Enter gross amounts unless otherwise indicated	Unrelated bus	iness income	Excluded by section	n 512, 513, or 514	(e)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
a		0		0	C
b		0		0	C
с		0		0	0
d		0		0	C
e		0		0	C
f		0		0	(
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					11
4 Dividends and interest from securities					49,450
5 Net rental income or (loss) from real estate				-	
a Debt-financed property					
b Not debt-financed property					<u></u>
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory		-		0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	C
b		0	+	0	
c		0		0	
d		0		0	C
е		0		0	
12 Subtotal Add columns (b), (d), and (e)		0		0	49,461
13 Total. Add line 12, columns (b), (d), and (e)				13	49,461
(See worksheet in line 13 instructions on page 28 to verify calculated	ulations)				
Part XVI-B Relationship of Activities to the Acc	complishment	of Exempt P	игроѕеѕ		
Line No. Explain below how each activity for which income				nportantly to	
the accomplishment of the foundation's exempt page 28 of the instructions)					
3 & 4 INCOME PRODUCING ASSETS THAT GO TO					
PROVIDE COLLEGE EDUCATIONAL SCHOL			RADUATES FF	ROM ANDERSO	N
COUNTY, KANSAS, AND TO FUND OTHER O	CHARITABLE EN	IDEAVORS			
				··	
			.		
					
					
	<u> </u>	=			
					
					
					
					

Part XVII	Information Regarding	Transfe	rs To and	Transactions	and Relation	nships Wi	th Noncharital	ole
	Exempt Organizations							

	ın sect	the organization directly or indirectly engage in any of the following with any other organization described section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political anizations?						Yes	No		
а	Transfe	ers from the reporting foundation to	a noncharitable e	xempt organiz	ation of						l
	(1) Ca								1a(1)		<u>x</u>
	(2) Ot	her assets							1a(2)		X
b	Other t	transactions [.]							_		
	(1) Sa	les of assets to a noncharitable ex	empt organization						1b(1)		<u>X</u>
	(2) Pu	rchases of assets from a nonchard	table exempt organ	nization					1b(2)		Х
	(3) Re	ental of facilities, equipment, or other	er assets .					•	1b(3)		Χ
	(4) Re	eimbursement arrangements							1b(4)		X
	(5) Lo	ans or loan guarantees						•	1b(5)		X
	(6) Pe	rformance of services or members	hip or fundraising s	solicitations					1b(6)		Х
С	Sharin	g of facilities, equipment, mailing li	sts, other assets, c	r paid employe	ees .	•			_1c		Χ
d	value o	nnswer to any of the above is "Yes," of the goods, other assets, or serving any transaction or sharing arrang	ces given by the re	porting founda	tion If the	he foundation red	ceived	l less thai	n fair m	arke	t d
(a)	Line no	(b) Amount involved (c) Name	of noncharitable exemp	t organization	(d) Des	scription of transfers,	transact	tions, and sh	aring arr	angem	nents
		0									
		0									
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	describ	foundation directly or indirectly affil bed in section 501(c) of the Code (i ," complete the following schedule	iated with, or relate other than section	ed to, one or m 501(c)(3)) or ir	ore tax- section	exempt organiza i 527?	tions		∕es [No	
		(a) Name of organization	(b) Type	of organization		(c) Des	cription	of relationsh	np		
										_	
						<u> </u>					
i		er penalties of perjury, I declare that I have exit, it is true, correct, and complete Declaration	of preparer (other than t	taxpayer or fiduciar		on all information of		reparer has			
5	Sin	nature of officer or trustee	2		ate	Titl		ZEIN I			
Here	, <u> </u>	2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2	-,,	··		1		Drono	lootié ::= :		
_	ω.		Date			Preparer's ide			, .	,	
Sign	Pard Preparer's Use Only	Preparer's signature		5/17/10	.	self-employed ▶	— I	page 30 of t	-		
	Pre Ust	Firm's name (or yours # WHEELER & MITCHELSON, CHTD				▶ 48-09	90233				
		self-employed), address.									
and ZIP code P O BOX 610, PITTSBURG, KS 66762 Phone r				<u>ne no</u> (620	<u> </u>	4 000					

THROCKMORTON-RISER FOUNDATION, INC. EIN 48-1103316 January 1, 2008 to December 31, 2009

Schedule No. 1 Expenses

Taxes	16,067.00
Corporate Fee	40.00
Bank Service Charges	. 49.25
Legal and Accounting	7,200.00
Director's fees	6,000.00
Travel Expense	396.00

Total Fees \$29,752.25

THROCKMORTON-RISER FOUNDATION, INC. EIN 48-1103316

January 1, 2009 to December 31, 2009

Schedule No. 2 <u>Investments</u> December 31, 2009

Security	No. of Shares		Book Value	Fair Market Value
Altria Group, Inc.	1,000	\$	11,529.80 \$	19,630.00
AT&T	1,000		25,789.51	28,030.00
Bank of America Corp.	1,000		29,750.00	15,060.00
Bristol Myers Squibb Co.	2,000		86,074.82	50,500.00
Caterpillar	500		30,787.00	28,495.00
Chevron/Texaco Corp.	2,000		79,730.36	153,980.00
Cisco Sys., Inc.	600		9,852.00	14,364.00
Deere & Co.	400		16,125.49	21,636.00
DPL, Inc.	5,000		115,184.75	138,000.00
Du Pont	500		22,794.03	16,835.00
Empire District Electric Co.	1,000		21,031.30	18,730.00
Exxon Mobil Corp.	2,000		79,032.20	136,380.00
Garmin LTD	1,000		81,062.31	30,700.00
GATX Corp	500		18,417.77	14,375.00
General Electric Co.	2,000		70,062.26	30,260.00
Great Plains Energy, Inc.	1,000		29,424.60	19,390.00
Intel Corp.	1,520		36,753.60	31,008.00
Johnson & Johnson	1100		65,948.57	70,851.00
J.P. Morgan & Chase Co.	2,500		94,101.21	104,175.00
Kraft Foods	692		11,833.15	18,808.56
Merck & Co., Inc.	1,500		77,903.67	54,810.00
Microsoft Corp.	1,000		28,107.39	30,480.00
Monsanto	500		27,835.12	40,875.00
Norfolk Southern	500		19,965.35	26,210.00
Pepsico Inc.	1,000		45,216.15	60,800.00
Pfizer Incorporated	2,000		79,746.90	36,380.00
Philip Morris	1,000		26,272.79	48,190.00
Proctor & Gamble Co.	2,000		90,403.85	121,260.00
Texas Instruments, Inc.	800		22,800.00	20,848.00
U.S. Bancorp	2,000		62,093.96	45,020.00
Westar Entergy, Inc.	2,600	_	52,560.00	56,472,00

Total Value of Investments

\$<u>1,468,189.91</u> \$<u>1,502,552.56</u>

Part VII-A, Line 8b (990-PF) - Copy of Form 990-PF to Attorney General					
Did the foundation furnish a copy of Form 990-PF to the State Attorney General?	Yes	XNo			
If "No", please provide an explanation: FILED ANNUAL REPORT WITH KANSAS SECRETARY OF STATE					

THROCKMORTON-RISER FOUNDATION, INC. EIN 48-1103316 2008

Schedule 990-PF

Part XV

Grants and Contributions Paid During the Year or Approved for Future Payment

				-
a)	Paid During the Year	Relationship	<u>Purpose</u>	Amount
	Dena Bunnel 16118 SW Maryland Road Welda, KS 66091	None I	College Scholarship	3,000.00
	Nicole Trabuc 21263 SW 500 Colony, KS 66015	None	College Scholarship	1,500.00
	Ian Oak Osler 145 West 2 nd Garnett, KS 66032	None	College Scholarship	3,000.00
	Laurel Benjamin 23967 NW Missouri Road Garnett, KS 66032	None	College Scholarship	3,000.00
	Kassidy Spring 313 Madison Garnett, KS 66032	None	College Scholarship	3,000.00
	Kelcey Jean Caudell 20564 SW 300 Road Colony, KS 66015	None	College Scholarship	3,000.00
	Carolyn Lyn Chapman 609 East 4 th La Harpe, KS 66751	None	College Scholarship	3,000.00
	Mindy Highberger 21248 SW California Road Westphalia, KS 66093	None I	College Scholarship	3,000.00
	Jordan Kruse 22964 NW Mitchell Road Garnett, KS 66032	None	College Scholarship	3,000.00

Paid During the Year	Relationship	Purpose	Amount
Adam Vaughn 32602 NE Norton Road Garnett, KS 66032	None	College Scholarship	3,000.00
Kerry Stoneking 343 Maple Colony, Kansas 66015	None	College Scholarship	1,500.00
Tanner Strickler 11495 SW Maryland Road Colony, Kansas 66015	None	College Scholarship	1,500.00
Miranda Naylor 29121 NW Mitchell Road Garnett, Kansas 66032	None	College Scholarship	1,500.00
Cynthia Brown 27461 N. Highway 59 Garnett, Kansas 66032	None	College Scholarship	1,500.00
Crest U.S.D. 470 Board of Education Colony, Kansas 66015	None	New Computer/ Projectors	2908.00
Anderson County High School 1100 West Highway 31 Garnett, KS 66032	ol None	New Computer/ Projectors	7,648.00
		Total	\$ <u>45,056.00</u>

b)	Approved for future payment	Relationship	<u>Purpose</u>	Amount
	Ian Oak Osler (address above)	None	College Scholarship	1,500.00
	Laurel Benjamin (address above)	None	College Scholarship	3,000.00
	Kassidy Spring (address above)	None	College Scholarship	3,000.00
	Kelcey Jean Caudell (address above)	None	College Scholarship	3,000.00
	Carolyn Lyn Chapman (address above)	None	College Scholarship	3,000.00
	Mindy Highberger (address above)	None	College Scholarship	3,000.00
	Jordan Kruse (address above)	None	College Scholarship	3,000.00
	Adam Vaughn (address above)	None	College Scholarship	3,000.00
	Kerry Stoneking (address above)	None	College Scholarship	3,000.00
	Tanner Strickler (address above)	None	College Scholarship	3,000.00
	Miranda Naylor (address above)	None	College Scholarship	3,000.00
	Cynthia Brown (address above)	None	College Scholarship	3,000.00
	Micah Smith 23571 SW 500 th Road Kincaid, KS 66039	None	College Scholarship	1,500.00
	Amanda Strickler 17326 SW Highway 58 Colony, KS 66015	None	College Scholarship	1,500.00
	Tristan Lutz 309 N Catalpa Garnett, KS 66032	None	College Scholarship	1,500.00

Approved for future payment	Relationship	<u>Purpose</u>	Amount
Dustin Bonzo 422 Utah Road Greeley, KS 66033	None	College Scholarship	1,500.00
Greeky, Ro 00033		Total	\$ <u>40,500.00</u>

Throck-Riser\Taxes\990-PF-PtXV-09 doc