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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

EMIL BABINGER CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address)

P.O. BOX 807

Room/suite

City or town, state, and ZIP code

EMPORIA, KS 66801

A Employer identification number

48-1061932

B Telephone number

620-342-5527

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 3,773,770. (Part I, column (d) must be on cash basis)

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a))(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B3 Interest on savings and temporary
cash investments

123.

123.

Statement 1

4 Dividends and interest from securities

114,708.

114,708.

Statement 2

5a Gross rents

31,930.

31,930.

Statement 3

b Net rental income or (loss) 19,015.

Statement 4

6a Net gain or (loss) from sale of assets not on line 10

<219,532.>

b Gross sales price for all
assets on line 6a 972,280.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

<412.>

<412.>

Statement 5

12 Total. Add lines 1 through 11

<73,183.>

146,349.

13 Compensation of officers, directors, trustees, etc.

27,093.

3,219.

23,874.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees Stmt 6

6,407.

3,204.

0.

c Other professional fees Stmt 7

24,936.

24,936.

0.

17 Interest

18 Taxes Stmt 8

5,572.

5,572.

0.

19 Depreciation and depletion

1,230.

1,230.

20 Occupancy

21 Travel, conferences, and meetings

134.

0.

134.

22 Printing and publications

23 Other expenses Stmt 9

7,418.

7,418.

0.

24 Total operating and administrative
expenses. Add lines 13 through 23

72,790.

45,579.

24,008.

25 Contributions, gifts, grants paid

214,840.

214,840.

26 Total expenses and disbursements.

Add lines 24 and 25

287,630.

45,579.

238,848.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

<360,813.>

b Net investment income (if negative, enter -0-)

100,770.

c Adjusted net income (if negative, enter -0-)

N/A

7P

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	180,938.	102,581.	102,581.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	414.		
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶	652,577.			
Less accumulated depreciation Stmt 10 ▶	3,268.	650,539.	649,309.	1,402,709.
12 Investments - mortgage loans				
13 Investments - other Stmt 11	2,468,817.	2,188,005.	2,268,480.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	3,300,708.	2,939,895.	3,773,770.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	3,300,708.	2,939,895.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	3,300,708.	2,939,895.		
31 Total liabilities and net assets/fund balances	3,300,708.	2,939,895.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,300,708.
2 Enter amount from Part I, line 27a	2	<360,813.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,939,895.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,939,895.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED DETAIL	P	Various	Various
b SEE ATTACHED DETAIL	P	Various	Various
c SEE ATTACHED DETAIL	P	Various	Various
d CAPITAL GAIN DISTRIBUTIONS	P	Various	Various
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 467,825.		507,961.	<40,136.>
b 449,366.		628,799.	<179,433.>
c 55,052.		55,052.	0.
d 37.			37.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<40,136.>
b			<179,433.>
c			0.
d			37.
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<219,532.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	286,634.	4,059,166.	.070614
2007	157,074.	4,391,116.	.035771
2006	171,874.	4,029,585.	.042653
2005	196,758.	3,642,854.	.054012
2004	89,794.	1,629,832.	.055094

2 Total of line 1, column (d)	2	.258144
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051629
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,752,686.
5 Multiply line 4 by line 3	5	193,747.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,008.
7 Add lines 5 and 6	7	194,755.
8 Enter qualifying distributions from Part XII, line 4	8	238,848.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,008.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,008.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,008.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,798.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,798.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	790.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 790. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			X
1c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ KS			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	

Website address ► N/A

14 The books are in care of ► ESB FINANCIAL Telephone no ► 620-342-5527
 Located at ► 801 MERCHANT, EMPORIA, KS ZIP+4 ► 66801

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		27,093.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,267,322.
b	Average of monthly cash balances	1b	149,511.
c	Fair market value of all other assets	1c	1,393,000.
d	Total (add lines 1a, b, and c)	1d	3,809,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,809,833.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	57,147.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,752,686.
6	Minimum investment return. Enter 5% of line 5	6	187,634.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	187,634.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,008.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,008.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	186,626.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	186,626.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	186,626.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	238,848.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	238,848.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,008.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	237,840.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				186,626.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				2,787.
f Total of lines 3a through e	2,787.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 238,848.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				186,626.
e Remaining amount distributed out of corpus	52,222.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	55,009.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	55,009.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	2,787.			
e Excess from 2009	52,222.			

N/A

- ▶

☐ 4942(I)(3) or ☐ 4942(I)(5)

- (4) Gross investment income

[illegible]Form **990-PF** (2009)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Paid Preparer's Use Only	
---	--

Preparer's
signature

Firm's name (or yours if self-employed),
address, and ZIP code

Timothy L Wright
Pool & Wright, Chartered CPA's
505 Commercial
Emporia, KS 66801

Date	05 /
------	------

Check if self-employee	
------------------------	--

Preparer's identifying number

FIN ➤

Phone no (620) 342-7435

Emil Babinger Charitable Trust

Investment Description	12/31/2008	12/31/2009	
	Book Value	Book Value	Fair Market Value
AEGON NV	-	2,050.43	2,083.25
AETNA INCORPORATED	-	3,324.18	4,057.60
AGCO CORPORATION	-	6,143.65	7,211.82
AGL RES INC	3,969.24	3,969.24	4,704.63
ALCOA INCORPORATED	-	2,503.82	4,223.44
ALLIANZ SE SP ADR	10,689.49	-	-
ALLSTATE	1,136.45	-	-
ALTRIA GROUP	4,189.43	-	-
ALTRIA GROUP INC	10,768.87	10,768.87	9,854.26
ALUMINA LIMITED SPON ADR	-	1,397.75	2,240.10
AMEREN CORPORATION	-	3,449.87	4,136.60
AMERICAN FORK CITY UT - 5.07%	49,121.50	49,121.50	52,535.50
AMERICAN INTERNATIONAL GROUP	19,480.20	-	-
AMGEN INCORPORATED	-	4,719.39	4,865.02
ANGLOGOLD ASHANTI LIMITED	-	2,753.30	2,732.24
ANI S P A	7,497.10	-	-
APACHE	1,242.87	-	-
ARCELORMITTAL SA	11,965.43	-	-
ASTRAZENECA PLC	-	1,401.71	1,642.90
AT&T	14,171.41	18,634.23	15,780.89
AT&T	3,808.49	-	-
AU OPTRONICS	1,006.57	-	-
BANK OF AMERICA CORP	2,547.01	-	-
BARCLAYS PLC	1,428.84	-	-
BARRICK GOLD CORPORATION	-	11,899.80	12,877.26
BASF	8,972.56	-	-
BCE INCORPORATED	-	6,401.74	9,138.91
BHP BILLITON	3,566.27	-	-
BJ SVCS COMPANY	-	2,328.48	2,845.80
BLACK & DECKER	1,290.60	-	-
BNP PARIBAS	8,030.02	-	-
BP PLC	16,600.52	16,600.52	15,477.99
BP PLC	984.72	-	-
BP PLC SPONSORED ADR	-	5,322.13	6,550.61
BRISTOL MEYERS SQUIBB	19,082.78	19,082.78	16,740.75
CAMECO CORPORATION	-	7,037.71	8,492.88
CANADIAN PAC RY LIMITED	-	1,389.96	1,944.00
CANON	1,417.02	-	-
CANYON TX 5.45% 08/01/09	74,950.50	-	-
CBS CORP	9,488.19	-	-
CENTRAIS ELECTRICAS BRASILEIRAS SA SPON	-	3,424.34	5,673.21
CENTRAIS ELECTRICAS BRASILEIRAS SA SPON PFD	-	2,749.48	4,207.50
CENTURYTEL INC	4,230.07	4,230.07	4,598.67
CHESAPEAKE ENERGY CORPORATION	-	1,439.10	1,682.20
CHEVRON	9,970.05	10,461.00	12,164.42
CHINA PETE & CHEMICAL	4,647.74	-	-
CHINA UNICOM	-	3,023.79	3,146.40
CHINA UNICOM	1,965.95	-	-
CITIGROUP	1,382.80	-	-
COCA COLA COMPANY	3,474.85	8,402.27	11,172.00
COMPANHIA DE SANEAMENTO BASICO	-	1,912.35	2,386.32
COMPANHIA VALE DE RIO DOCE	5,279.79	-	-
CONAGRA FOODS	4,403.55	-	-
CONOCOPHILLIPS	10,398.05	6,211.66	6,741.24

Emil Babinger Charitable Trust

Investment Description	12/31/2008	12/31/2009	
	Book Value	Book Value	Fair Market Value
CONOCOPHILLIPS	-	4,176.26	2,553.50
CONSOL ENERGY INCORPORATED	-	1,252.40	1,543.80
CREDIT SUISSE	9,208.35	-	-
DAIWA SECS GROUP INCORPORATED	-	4,118.69	3,995.92
DEUTCHSE TELEKOM AG	-	3,217.02	4,204.20
DEUTSCHE BANK AG	8,198.96	-	-
DEUTSCHE TELEKOM AG	14,083.55	11,233.00	10,833.90
DEVON ENERGY	1,454.40	-	-
DIAGEO PLC	-	7,192.37	9,231.53
DOMINION RES INC	-	12,727.41	13,466.32
DOW CHEMICAL	6,468.33	-	-
DUKE ENERGY CORPORATION	13,131.76	13,131.76	11,737.22
E ON AG	8,503.94	-	-
EBAY INCORPORATED	-	4,008.42	5,506.02
ELECTRICITE DE FRANCE ADR	-	2,077.75	2,429.84
ELI LILLY & CO	6,542.73	13,324.79	13,605.51
EMBRAER-EMPRESA BRASILEIRA	-	2,481.16	2,586.87
ENI S P A	11,443.62	-	-
ERICSSON	2,983.50	-	-
ERICSSON LM TEL COMPANY	-	2,126.25	2,894.85
EXXON MOBIL	2,790.49	-	-
FEDERAL FARM CREDIT DEBENTURE 5.25% Federated	74,791.50	74,791.50	82,359.75
FHLMC REMIC SERIES 2628 AB 4.5% 6/15/2018	-	70,336.24	70,641.28
FHLMC REMIC SERIES 2685 ND 4.00% 10/15/2018	-	82,154.03	81,320.80
FHLMC REMIC SERIES 2936PA 5.00% 11/15/2024	50,947.77	8,261.58	8,093.66
FHLMC REMIC SERIES 2943HE 5% 03/15/2033	49,817.69	49,930.10	51,565.00
FHLMC REMIC SERIES 3151UE 5.5% 08/15/2030	51,456.02	40,128.06	40,643.24
FHLMC REMIC SERIES 3184PC 5.5% 08/15/2032	75,581.44	75,643.48	79,173.00
FLORIDA HSG FIN CORP 5.85% 12/01/2013	75,229.50	70,214.20	72,410.10
FNMA REMIC TRUST 2005-15 EC 5.00% 10/25/2033	-	75,926.10	77,103.75
FRANCE TELECOM	14,788.02	14,788.02	12,039.48
FRONTIER COMM CORP	9,768.25	-	-
FUJITSU LIMITED	2,034.00	-	-
GALLAGHER ARTHUR J & CO	5,055.96	-	-
GAZPROM O A O SPON ADR	-	1,375.55	1,555.50
GENERAL ELECTRIC	17,755.42	-	-
GLAXOSMITHKLINE	14,989.81	14,989.81	13,773.50
GLAXOSMITHKLINE	5,028.75	-	-
GOLD FIELDS LIMITED	-	5,516.27	5,584.86
GUOCO GROUP LIMITED ADR	-	2,726.47	3,408.96
HARTFORD FINL SVCS	4,338.15	-	-
HBOS PLC	17,994.08	-	-
HCP INCORPORATED REIT	-	3,650.09	3,603.72
HEALTH CARE REIT INCORPORATED	-	3,689.06	3,589.92
HEINZ HJ CO	13,222.50	13,222.50	12,272.12
HITACHI	975.19	-	-
HOME DEPOT	1,376.76	-	-
HSBC HLDGS	3,464.70	-	-
HSBC HLDGS PLC	12,803.61	-	-
ICICI BK LIMITED ADR	-	2,062.44	2,564.28
IMPALA PLATINUM HLDGS	-	2,661.75	3,225.22
INDIANA BD BK REV 5.59% 01/15/2012	68,989.90	68,989.90	75,063.80
ING	9,255.60	-	-

Emil Babinger Charitable Trust

Investment Description	12/31/2008	12/31/2009	
	Book Value	Book Value	Fair Market Value
INTESA	1,029.55	-	-
JOHNSON & JOHNSON	-	10,644.32	11,851.44
JOHNSTOWN PA 4 62% 08/15/2012	72,861.75	72,861.75	79,028.25
JPMORGAN CHASE	7,678.67	-	-
JSC MMC NORILSK	6,846.80	-	-
KAO CORPORATION	-	5,290.00	5,840.75
KB FINANCIAL	5,522.39	-	-
KIMBERLY CLARK CORP	20,513.70	17,782.77	17,838.80
KINROSS GOLD CORPORATION	-	3,090.04	3,146.40
KIRIN HLDGS COMPANY	-	1,978.69	2,576.81
KOREA ELECTRIC PWR	-	4,022.74	4,914.52
KRAFT FOODS INC	16,527.81	-	-
KROGER COMPANY	-	7,527.04	6,774.90
KROGER COMPANY	2,394.00	-	-
LA SALLE CTY IL 7 50% 12/01/2010	78,173.46	76,921.29	76,261.50
LIHIR GOLD LIMITED	-	9,967.31	11,187.43
LLOYDS TSB GROUP PLC	1,025.51	-	-
LOEWS CORPORATION	-	2,072.00	2,908.00
LORILLARD INCORPORATED	-	3,625.86	3,770.81
LOWES COMPANIES	1,380.40	-	-
MACYS INCORPORATED	12,625.38	-	-
MAGNA INTERNATIONAL	-	1,509.88	2,377.26
MARSH & MCLENNAN COMPANIES INCORPORATED	-	2,670.12	3,024.96
MCDONALDS CORPORATION	-	9,075.21	10,302.60
MCKESSON CORP	6,273.48	-	-
MENASHA WIS 4 25% 09/01/2011	65,721.60	65,721.60	67,967.20
MERCK & COMPANY	3,226.86	2,961.49	3,982.86
METLIFE	7,108.66	-	-
MICROSOFT CORPORATION	-	2,767.50	4,114.80
MITSUBISHI	2,070.89	-	-
MORGAN STANLEY	4,859.66	-	-
MOTOROLA	1,973.90	-	-
MUNICH RE GROUP	2,405.00	-	-
NEW YORK CMNTY BANCORP	6,164.63	-	-
NEWMONT MINING CORPORATION	-	10,885.37	11,070.54
NII HLDINGS INCORPORATED	-	2,644.89	4,398.98
NINTENDO LIMITED ADR	-	2,419.19	2,367.44
NIPPON OIL CORPORATION	-	2,475.60	2,707.50
NIPPON TELEG & TEL CORPORATION	-	11,589.71	11,133.36
NISSAN MOTORS	18,629.38	-	-
NOKIA	1,931.30	-	-
NORTHROP GRUMMAN	2,202.86	-	-
NTT DOCOMO INC	-	2,883.83	2,782.02
OIL COMPANY LUKOIL	4,891.80	-	-
ONEIDA CNTY NY 4.987% 8/1/2017	-	45,000.00	45,969.30
ORIX CORPORATION	10,506.21	-	-
PEABODY ENERGY CORPORATION	-	3,789.17	5,244.36
PETRO CDA	6,914.11	-	-
PETROCHINA COMPANY	-	2,752.32	2,855.04
PFIZER INC	9,629.74	-	-
PFIZER INC	20,349.79	-	-
PFIZER INCORPORATED	-	7,542.97	6,875.82
PHILIP MORRIS INTL	15,125.86	15,125.86	14,987.09
PHILLIP MORRIS	5,714.15	-	-

Emil Babinger Charitable Trust

Investment Description	12/31/2008	12/31/2009	
	Book Value	Book Value	Fair Market Value
PITTSBURGH PA 7 68% 05/01/2011	80,437 50	80,437 50	78,047 25
PNC FINL SVCS GROUP	7,446 24	-	-
PRIVATE EXPORT FUNDING 4.55% 05/15/2015	94,747 00	94,747 00	106,875 00
PROCTER & GAMBLE COMPANY	-	5,624 42	7,214 97
PROGRESS ENERGY INC	13,669 99	13,669.99	12,385 02
PUERTO RICO PUB FIN 6 05% 08/01/2009	77,352 75	-	-
REYNOLDS AMERICAN INC	17,742 72	7,847 07	7,256.89
ROYAL BK SCOTLAND GROUP	13,037 63	-	-
ROYAL DSM N V	5,134.80	-	-
ROYAL DUTCH SHELL	10,940 00	10,940 00	12,091 04
ROYAL DUTCH SHELL	12,878 02	-	-
ROYAL DUTCH SHELL PLC	-	2,792.92	3,022 76
SAFEWAY INC	1,970 15	-	-
SANOFI AVENTIS	11,119 80	-	-
SANOFI AVENTIS SPONSORED	-	4,965.94	6,204 66
SAP AG SPON ADR	-	1,530.18	1,544.73
SCANA CORPORATION NEW	4,218 98	4,218 98	3,994.08
SEKISUI HOUSE LIMITED SPONSORED	-	6,160 54	5,866 81
SEVEN & I HLDGS COMPANY	-	6,233 82	5,338.77
SHARP CORPORATION	11,436 40	-	-
SILVER STD RES INCORPORATED	-	3,025 84	2,864.97
SMITHFIELD FOODS INCORPORATED	-	4,654 89	5,498 78
SOUTHERN COMPANY	13,993 96	17,242.50	16,160 20
SPRINT NEXTEL CORPORATION	-	10,269.10	1,614 06
SPRINT NEXTEL CORPORATION	10,269 10	-	-
STATOIL ASA SPONSORED ADR	-	4,182.72	3,487 40
STATOILHYDRO ASA	7,128 75	-	-
STORA ENSO CORPORATION	-	2,699.52	3,192.91
SUMITOMO MITSUI	7,902 14	-	-
SUMITOMO TR & BKG LIMITED	-	4,655.29	4,432 93
SUN LIFE FINL	1,450 34	-	-
TAKEDA PHARMACEUTICAL COMPANY	-	3,268.87	3,311.77
TAT MTRS LIMITED	-	1,404.22	2,377.26
TECH DATA CORPORATION	-	2,024.75	3,032.90
TECHNIP NEW SPONSORED	-	1,680.00	2,480.66
TELECOM ITALIA SPA NEW	-	2,073.03	2,299.00
TELEFONICA SA SPON	-	5,858.27	5,762.88
TELUS CORPORATION	-	3,048 43	3,364.20
TENN VALL AUTH 6.79%	66,835 26	66,835.26	68,338 91
TESORO CORPORATION	-	3,289.22	3,143 60
TIME WARNER	6,703.92	-	-
TOTAL S A	5,288.10	9,927.45	11,591 24
Tradewinds	-	-	-
TRAVELERS COMP INC	831.01	-	-
TULARE CNTY CA 7.31% 08/15/2010	52,647.00	52,647 00	51,466.00
TURKCELL ILETISM HIZMETLERI SPON	-	1,682 09	2,361.15
TYSON FOODS INC	-	5,423 47	5,472.42
UBS AG	-	4,183 61	4,435.86
UNILEVER PLC	10,142 78	4,507.32	6,603.30
UNION PAC CORPORATION	-	7,508 95	10,543.50
UNITED MICROELECTRONICS	6,960 59	-	-
UNIV SOUTHN CAL 5.87% 01/01/2014	75,587.25	75,587.25	81,182.25
UPM KYMMENE CORPORATION	-	2,737.60	3,521.42
US BANCORP	9,120.72	-	-

Emil Babinger Charitable Trust

Investment Description	12/31/2008	12/31/2009	
	Book Value	Book Value	Fair Market Value
US TREAS BONDS 4.5% 05/15/2017	83,953.43	83,953.43	80,173.50
US TREAS NOTES 4% 08/15/2018	76,746.39	-	-
US TREAS NOTES OID 4.25% 11/15/2013	104,750.40	52,375.20	54,015.50
VERIZON COMMUNICATIONS INC	17,486.84	17,486.84	15,471.71
VODAFONE	7,466.40	-	-
VODAFONE GROUP PLC	11,124.20	11,124.20	9,882.52
VODAFONE GROUP PLC NEW	-	3,660.00	3,463.50
WAL MART STORES INCORPORATED	-	6,187.39	6,627.80
WASH ST UNIV 5.77% 10/01/2015	75,000.00	75,000.00	80,625.00
WELLS FARGO & CO	7,329.67	-	-
WESTERN DIGITAL	2,458.47	-	-
WINDSTREAM CORP	18,288.08	6,754.70	5,088.37
WYETH	7,307.47	-	-
	2,468,816.73	2,188,004.84	2,268,480.02

Emil Babinger Charitable Trust

Description	CUSIP #	Date of Acquisition	Date of Sale	Proceeds	Basis	Gain(Loss)
Short Term Gain (Loss)						
Raymond James Acct # 78435637	see attached	see attached	see attached	186,964 20	181,844 59	5,119.61
Raymond James Acct # 78657826	see attached	see attached	see attached	61,500 86	48,242 41	13,258 45
Raymond James Acct # 78435604	see attached	see attached	see attached	167,258 64	203,976 63	(36,717.99)
Raymond James Acct # 78435623	see attached	see attached	see attached	52,101 40	73,897.76	(21,796 36)
Total Short Term Gain (Loss)				467,825 10	507,961 39	(40,136 29)
Long Term Gain (Loss)						
Raymond James Acct # 78435637	see attached	see attached	see attached	233,714 54	234,064 94	(350 40)
Raymond James Acct # 78657826	see attached	see attached	see attached	3,888.68	5,096.19	(1,207.51)
Raymond James Acct # 78435604	see attached	see attached	see attached	141,467.82	280,172.01	(138,704 19)
Raymond James Acct # 78435623	see attached	see attached	see attached	70,295 22	109,465.44	(39,170.22)
Total Long Term Gain (Loss)				449,366.26	628,798 58	(179,432.32)
Return of Principal						
Raymond James Acct # 78435637						
FLHMC REMIC SERIES 2628 AB	31393VMQ1	11/18/2009		1,398 19	1,398.19	
FLHMC REMIC SERIES 2936 PA	31395LZL8	4/22/2008		42,204.50	42,204 50	
FHLMC REMIC SERIES 3151	31396NUKO	3/19/2008		11,391.59	11,391.59	
Raymond James Acct # 78657826						
LONMIN PLC SPON ADR NEW	54336Q203	6/15/2009		58.11	58.11	
Total Return of Principal				55,052.39	55,052 39	
Capital Gain Dividends	Raymond James Acct # 78435623			36.65	-	36.65
Grand Totals				972,280 40	1,191,812.36	(219,531.96)
Proceeds by Account						
Raymond James Acct # 78435637				475,673 02		
Raymond James Acct # 78657826				65,447 65		
Raymond James Acct # 78435604				308,726 46		
Raymond James Acct # 78435623				122,396 62		
				972,243 75		

Realized Capital Gains/Losses

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
532457BE7/ELI LILLY AND COMPANY							
50000.00	03/03/09	05/20/09	52,044.00	49,977.50	0.00	2,066.50	0.00
912828BR0/UNITED STATES TREASURY NOTES							
50000.00	07/17/08	05/19/09	54,917.80	0.00	52,030.29	0.00	2,887.51
98458PAB1/YALE UNIVERSITY							
80000.00	11/03/09	12/22/09	80,002.40	79,836.80	0.00	165.60	0.00
SUBTOTAL SHORT TERM GAIN LOSS							
			186,964.20	129,814.30	52,030.29	2,232.10	2,887.51
LONG TERM GAIN LOSS							
139042LC7/CANYON TX REGL WTR AUTH CONTRA							
75000.00	05/09/06	08/03/09	75,000.00	74,950.50	0.00	49.50	0.00
34073NLA6/FLORIDA HSG FIN CORP REV, HS							
5000.00	08/03/06	12/01/09	5,000.00	5,015.30	0.00	(15.30)	0.00
745291SS4/PUERTO RICO PUB FIN CORP, CM							
75000.00	05/16/06	08/03/09	76,500.00	77,352.75	0.00	(852.75)	0.00
912828JH4/UNITED STATES TREASURY NOTES							
75000.00	09/12/08	12/22/09	77,214.54	76,746.39	0.00	468.15	0.00
SUBTOTAL LONG TERM GAIN LOSS							
			233,714.54	234,064.94	0.00	(350.40)	0.00
GRAND TOTAL GAIN/LOSS							
			420,678.74 ✓	363,879.24	52,030.29	1,881.70	2,887.51



Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
22160K105/COSTCO WHOLESALE CORPORATION							
28.00	05/28/09	09/08/09	1,590.92	1,338.96	0.00	251.96	0.00
256135203/DR REDDYS LABS LIMITED ADR							
35.00	05/28/09	06/26/09	575.46	476.60	0.00	98.86	0.00
82.00	05/28/09	07/15/09	1,302.59	1,116.59	0.00	186.00	0.00
83.00	05/28/09	09/28/09	1,679.29	1,130.21	0.00	549.08	0.00
SECURITY TOTAL:			3,557.34	2,723.40	0.00	833.94	0.00
278642103/EBAY INCORPORATED							
86.00	05/28/09	07/24/09	1,828.31	1,473.18	0.00	355.13	0.00
42550U208/HENKEL AG AND CO KGAA							
60.00	05/28/09	08/28/09	2,385.19	1,845.00	0.00	540.19	0.00
6.00	05/28/09	08/31/09	236.15	184.50	0.00	51.65	0.00
SECURITY TOTAL:			2,621.34	2,029.50	0.00	591.84	0.00
45104G104/ICICI BK LIMITED ADR							
48.00	05/28/09	09/11/09	1,656.00	1,455.84	0.00	200.16	0.00
46579N103/IVANHOE MINES LIMITED							
260.00	05/28/09	11/25/09	3,312.05	1,390.82	0.00	1,921.23	0.00
532349107/LIHAI GOLD LIMITED SPONSORED							
59.00	05/28/09	10/20/09	1,735.14	1,535.43	0.00	199.71	0.00
54336Q203/LONMIN PLC SPON ADR NEW							
65.00	05/28/09	06/24/09	1,300.61	1,462.50	0.00	(161.89)	0.00
55922401/MAGNA INTERNATIONAL							
47.00	05/28/09	07/01/09	2,116.44	1,509.87	0.00	606.57	0.00
12.00	05/28/09	11/06/09	604.51	385.50	0.00	219.01	0.00
SECURITY TOTAL:			2,720.95	1,895.37	0.00	825.58	0.00



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2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
62913F201/NII HLDGS INCORPORATED CLASS B							
32.00	05/28/09	09/04/09	779.81	646.08	0.00	133.73	0.00
53.00	05/28/09	09/23/09	1,623.34	1,070.07	0.00	553.27	0.00
SECURITY TOTAL:			2,403.15	1,716.15	0.00	687.00	0.00
704549104/PEABODY ENERGY CORPORATION							
13.00	05/28/09	06/10/09	455.63	424.65	0.00	30.98	0.00
71654V101/PETROLEO BRASILEIRO SA PETROBR							
21.00	05/28/09	09/29/09	807.44	721.98	0.00	85.46	0.00
40.00	05/28/09	12/02/09	1,870.37	1,375.20	0.00	495.17	0.00
SECURITY TOTAL:			2,677.81	2,097.18	0.00	580.63	0.00
807066105/SCHOLASTIC CORPORATION							
40.00	05/28/09	12/16/09	1,138.29	770.00	0.00	368.29	0.00
31.00	05/28/09	12/18/09	877.12	596.75	0.00	280.37	0.00
SECURITY TOTAL:			2,015.41	1,366.75	0.00	648.66	0.00
83364L109/SOCIETE GENERALE FRANCE							
120.00	05/29/09	08/19/09	1,760.41	1,386.00	0.00	374.41	0.00
867229106/SUNCOR ENERGY INCORPORATED NEW							
82.00	05/28/09	06/11/09	2,918.38	2,814.24	0.00	104.14	0.00
87260W101/TNT N V SPONSORED ADR							
59.00	05/28/09	09/14/09	1,587.79	1,143.77	0.00	444.02	0.00
84.00	05/28/09	10/12/09	2,328.42	1,628.43	0.00	699.99	0.00
SECURITY TOTAL:			3,916.21	2,772.20	0.00	1,144.01	0.00
878546209/TECHNIP NEW SPONSORED ADR							
23.00	05/28/09	10/16/09	1,639.16	1,104.00	0.00	535.16	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS								
900111204/TURKCELL ILETISIM HIZMETLERI	76.00	05/28/09	08/19/09	1,254.80	946.95	0.00	307.85	0.00
92553P201/VIACOM INCORPORATED NEW CLASS								
	32.00	03/26/09	08/07/09	792.94	588.80	0.00	204.14	0.00
	28.00	05/28/09	08/12/09	694.72	623.84	0.00	70.88	0.00
	32.00	03/26/09	08/12/09	793.97	588.79	0.00	205.18	0.00
SECURITY TOTAL:				2,281.63	1,801.43	0.00	480.20	0.00
92855S200/VIVO PARTICIPACOES SA								
	69.00	05/28/09	11/02/09	1,734.35	1,382.76	0.00	351.59	0.00
SUBTOTAL SHORT TERM GAIN LOSS				61,500.86	48,242.41	0.00	13,258.45	0.00
LONG TERM GAIN LOSS								
80105N105/SANOFI AVENTIS								
	50.00	04/04/06	06/08/09	1,604.00	2,371.50	0.00	(767.50)	0.00
	15.00	04/24/07	12/22/09	590.87	685.20	0.00	(94.33)	0.00
	43.00	04/04/06	12/22/09	1,693.81	2,039.49	0.00	(345.68)	0.00
SECURITY TOTAL:				3,888.68	5,096.19	0.00	(1,207.51)	0.00
SUBTOTAL LONG TERM GAIN LOSS				3,888.68	5,096.19	0.00	(1,207.51)	0.00
GRAND TOTAL GAIN/LOSS				65,389.54	53,338.60	0.00	12,050.94	0.00



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Realized Capital Gains/Losses

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
00206R102/AT&T INCORPORATED							
157.00	10/13/08	05/22/09	3,736.50	3,808.49	0.00	(71.99)	0.00
002255107/AU OPTRONICS CORPORATION							
179.00	11/21/08	05/22/09	1,897.46	1,006.57	0.00	890.89	0.00
020002101/ALLSTATE CORPORATION							
42.00	12/08/08	05/22/09	1,112.13	1,136.45	0.00	(24.32)	0.00
02209S103/ALTRIA GROUP INCORPORATED							
30.00	06/03/08	05/22/09	500.39	659.40	0.00	(159.01)	0.00
026874107/AMERICAN INTERNATIONAL GROUP							
70.00	09/12/08	02/10/09	73.50	884.09	0.00	(810.59)	0.00
93.00	07/07/08	02/10/09	97.65	2,432.88	0.00	(2,335.23)	0.00
103.00	03/11/08	02/10/09	108.15	4,426.93	0.00	(4,318.78)	0.00
130.00	04/22/08	02/10/09	136.50	5,942.37	0.00	(5,805.87)	0.00
165.00	05/29/08	02/10/09	173.25	5,793.93	0.00	(5,620.68)	0.00
SECURITY TOTAL:				19,480.20	0.00	(18,891.15)	0.00
037411105/APACHE CORPORATION							
17.00	10/13/08	05/22/09	1,331.57	1,242.87	0.00	88.70	0.00
21.00	03/16/09	05/22/09	1,644.89	1,327.20	0.00	317.69	0.00
SECURITY TOTAL:				2,570.07	0.00	406.39	0.00
03938L104/ARCELORMITTAL SA LUXEMBOURG							
27.00	12/08/08	05/22/09	785.14	649.59	0.00	135.55	0.00
53.00	09/12/08	05/22/09	1,541.20	3,438.93	0.00	(1,897.73)	0.00
SECURITY TOTAL:				4,088.52	0.00	(1,762.18)	0.00
039483102/ARCHER DANIELS MIDLAND COMPANY							
87.00	01/30/09	05/22/09	2,378.51	2,392.43	0.00	(13.92)	0.00



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Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
052528304/AUSTRALIA&NEW ZEALAND BKG GRP							
41.00	01/30/09	05/22/09	493.22	349.73	0.00	143.49	0.00
120.00	01/08/09	05/22/09	1,443.56	1,312.64	0.00	130.92	0.00
SECURITY TOTAL:			1,936.78	1,662.37	0.00	274.41	0.00
055348760/BCE INCORPORATED COM NEW							
72.00	02/26/09	05/22/09	1,534.28	1,447.20	0.00	87.08	0.00
060505104/BANK OF AMERICA CORPORATION							
56.00	10/13/08	03/19/09	400.96	1,242.53	0.00	(841.57)	0.00
124.00	11/21/08	03/19/09	887.83	1,304.48	0.00	(416.65)	0.00
SECURITY TOTAL:			1,288.79	2,547.01	0.00	(1,258.22)	0.00
06738E204/BARCLAYS PLC							
178.00	11/21/08	05/22/09	3,212.83	1,428.84	0.00	1,783.99	0.00
072730302/BAYER A G SPONSORED ADR							
21.00	01/08/09	05/22/09	1,154.97	1,274.70	0.00	(119.73)	0.00
26.00	03/16/09	05/22/09	1,429.96	1,236.30	0.00	193.66	0.00
SECURITY TOTAL:			2,584.93	2,511.00	0.00	73.93	0.00
088606108/BHP BILLITON LIMITED SPONSORED							
28.00	09/03/08	02/26/09	1,067.07	1,724.33	0.00	(657.26)	0.00
11.00	09/03/08	03/26/09	530.35	677.42	0.00	(147.07)	0.00
28.00	10/13/08	03/26/09	1,349.99	1,164.52	0.00	185.47	0.00
SECURITY TOTAL:			2,947.41	3,566.27	0.00	(618.86)	0.00
110122108/BRISTOL MYERS SQUIBB COMPANY							
58.00	01/08/09	05/22/09	1,165.77	1,300.35	0.00	(134.58)	0.00
138006309/CANON INCORPORATED ADR							
38.00	11/05/08	05/22/09	1,279.04	1,417.02	0.00	(137.98)	0.00

Realized Capital Gains/Losses (continued)									
2009	CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost

SHORT TERM GAIN LOSS

14149Y108/CARDINAL HEALTH INCORPORATED	88.00	01/30/09	05/22/09		3,030.65	3,295.45	0.00	(264.80)	0.00
15100H109/CELESIO AG	246.00	02/26/09	05/22/09		1,126.65	1,084.02	0.00	42.63	0.00
15639K300/CENTRICA PLC SPON ADR NEW	135.00	02/26/09	05/22/09		2,085.69	2,111.33	0.00	(25.64)	0.00
166764100/CHEVRON CORPORATION NEW	23.00	07/21/08	05/22/09		1,488.52	1,981.91	0.00	(493.39)	0.00
	37.00	05/29/08	05/22/09		2,394.58	3,705.18	0.00	(1,310.60)	0.00
SECURITY TOTAL:					3,883.10	5,687.09	0.00	(1,803.99)	0.00
16941R108/CHINA PETE & CHEMICAL	34.00	05/29/08	05/22/09		2,781.12	3,270.42	0.00	(489.30)	0.00
172967101/CITIGROUP INCORPORATED	352.00	11/21/08	01/15/09		1,309.29	1,382.80	0.00	(73.51)	0.00
191219104/COCA COLA ENTERPRISES	90.00	03/26/09	05/22/09		1,506.56	1,186.41	0.00	320.15	0.00
219350105/CORNING INCORPORATED	120.00	01/08/09	05/22/09		1,713.57	1,312.31	0.00	401.26	0.00
225313105/CREDIT AGRICOLE S A	220.00	01/08/09	05/22/09		1,539.96	1,342.00	0.00	197.96	0.00
25157Y103/DEUTSCHE POST AG	112.00	04/24/09	05/22/09		1,495.16	1,288.00	0.00	207.16	0.00
25179M103/DEVON ENERGY CORPORATION NEW	18.00	11/05/08	05/22/09		1,081.41	1,454.40	0.00	(372.99)	0.00



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Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
268780103/E ON AG SPONSORED ADR							
36.00	09/12/08	05/22/09	1,249.17	1,862.28	0.00	(613.11)	0.00
294821608/ERICSSON L M TEL COMPANY ADR B							
111.00	10/13/08	03/26/09	946.82	749.25	0.00	197.57	0.00
16.00	10/13/08	05/22/09	139.51	108.00	0.00	31.51	0.00
SECURITY TOTAL:			1,086.33	857.25	0.00	229.08	0.00
29759W101/ETABLISSEMENTS DELHAIZE FRERES							
21.00	02/26/09	05/22/09	1,530.86	1,214.27	0.00	316.59	0.00
30231G102/EXXON MOBIL CORPORATION							
15.00	03/24/09	05/22/09	1,037.52	1,048.34	0.00	(10.82)	0.00
37.00	11/05/08	05/22/09	2,559.22	2,790.49	0.00	(231.27)	0.00
SECURITY TOTAL:			3,596.74	3,838.83	0.00	(242.09)	0.00
303901102/FAIRFAX FINL HLDGS LIMITED SUB							
5.00	03/26/09	05/22/09	1,294.76	1,275.56	0.00	19.20	0.00
35177Q105/France TELECOM							
75.00	01/08/09	05/22/09	1,736.20	2,101.99	0.00	(365.79)	0.00
359590304/FUJITSU LIMITED							
16.00	03/24/09	05/22/09	469.79	333.00	0.00	136.79	0.00
90.00	11/21/08	05/22/09	2,348.93	2,034.00	0.00	314.93	0.00
SECURITY TOTAL:			2,818.72	2,367.00	0.00	451.72	0.00
38141G104/GOLDMAN SACHS GROUP							
15.00	01/08/09	05/22/09	2,069.34	1,257.71	0.00	811.63	0.00
404280406/HSEC HLDGS PLC							
21.00	04/08/09	05/22/09	896.04	388.24	0.00	507.80	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
404280406/HSBC HLDGS PLC (Con't)							
52.00	10/16/08	05/22/09	2,218.78	3,464.70	0.00	(1,245.92)	0.00
SECURITY TOTAL:			3,114.82	3,852.94	0.00	(738.12)	0.00
404280992/HSCB HOLDINGS							
0.67	10/16/08	04/13/09	5.67	0.01	0.00	5.66	0.00
42205M106/HBOS PLC SPONSORED ADR							
271.93	03/28/08	01/22/09	116.81	2,907.05	0.00	(2,790.24)	0.00
383.78	06/03/08	01/22/09	164.85	2,618.00	0.00	(2,453.15)	0.00
404.31	06/30/08	01/22/09	173.67	2,249.74	0.00	(2,076.07)	0.00
SECURITY TOTAL:			455.33	7,774.79	0.00	(7,319.46)	0.00
433578507/HITACHI LIMITED							
22.00	11/21/08	05/22/09	726.53	975.19	0.00	(248.66)	0.00
31.00	03/16/09	05/22/09	1,023.75	851.33	0.00	172.42	0.00
SECURITY TOTAL:			1,750.28	1,826.52	0.00	(76.24)	0.00
437076102/HOME DEPOT INCORPORATED							
77.00	11/21/08	05/22/09	1,770.18	1,376.76	0.00	393.42	0.00
46115H107/INTESA SANPAOLO S P A							
62.00	11/21/08	05/22/09	1,351.56	1,029.55	0.00	322.01	0.00
46625H100/JPMORGAN CHASE & COMPANY							
34.00	06/03/08	05/22/09	1,186.91	1,418.45	0.00	(231.54)	0.00
46626D108/JSC MMC NORILSK NICKEL							
152.00	09/12/08	05/22/09	1,664.35	2,121.92	0.00	(457.57)	0.00
500467402/KONINKLIJKE AHOLD N V							
105.00	03/26/09	05/22/09	1,256.81	1,174.95	0.00	81.86	0.00



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2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
500472303/KONINKLIJKE PHILIPS ELECTRS NV							
103.00	01/30/09	05/22/09	1,981.66	1,873.35	0.00	108.31	0.00
532457108/LILLY ELI & COMPANY							
34.00	03/26/09	05/22/09	1,171.26	1,148.86	0.00	22.40	0.00
539439109/LLOYDS BANKING GROUP PLC							
0.38	11/21/08	02/05/09	1.44	2.87	0.00	(1.43)	0.00
0.65	11/21/08	05/14/09	3.34	4.82	0.00	(1.48)	0.00
5.09	01/22/09	05/22/09	28.35	14.11	0.00	14.24	0.00
42.16	01/22/09	05/22/09	234.81	116.81	0.00	118.00	0.00
59.50	01/22/09	05/22/09	331.40	164.85	0.00	166.55	0.00
62.68	01/22/09	05/22/09	349.12	173.67	0.00	175.45	0.00
88.23	01/22/09	05/22/09	491.45	244.47	0.00	246.98	0.00
137.34	11/21/08	05/22/09	764.95	1,017.82	0.00	(252.87)	0.00
SECURITY TOTAL:			2,204.86	1,739.42	0.00	465.44	0.00
544147101/LORILLARD INCORPORATED							
19.00	04/24/09	05/22/09	1,291.58	1,200.20	0.00	91.38	0.00
548661107/LOWES COMPANIES INCORPORATED							
85.00	11/21/08	05/22/09	1,653.20	1,380.40	0.00	272.80	0.00
58155Q103/MCKESSON CORPORATION							
13.00	03/28/08	01/30/09	571.16	685.10	0.00	(113.94)	0.00
27.00	03/28/08	02/26/09	1,152.63	1,422.90	0.00	(270.27)	0.00
SECURITY TOTAL:			1,723.79	2,108.00	0.00	(384.21)	0.00
589331107/MERCK & COMPANY INCORPORATED							
38.00	10/13/08	05/22/09	998.23	1,075.02	0.00	(76.79)	0.00
60.00	07/21/08	05/22/09	1,576.16	2,151.84	0.00	(575.68)	0.00
68.00	01/30/09	05/22/09	1,786.31	1,937.96	0.00	(151.65)	0.00
SECURITY TOTAL:			4,360.70	5,164.82	0.00	(804.12)	0.00

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
59410T106/MICHELIN COMPAGNIE GENERALE DE							
169.00	01/08/09	02/26/09	1,160.55	1,848.67	0.00	(688.12)	0.00
606769305/MITSUBISHI CORPORATION							
98.00	11/21/08	05/22/09	3,591.60	2,070.89	0.00	1,520.71	0.00
617446448/MORGAN STANLEY							
58.00	05/29/08	05/22/09	1,661.08	2,535.18	0.00	(874.10)	0.00
64.00	06/30/08	05/22/09	1,832.91	2,324.48	0.00	(491.57)	0.00
SECURITY TOTAL:			3,493.99	4,859.66	0.00	(1,365.67)	0.00
620076109/MOTOROLA INCORPORATED							
377.00	10/13/08	05/22/09	2,239.51	1,973.90	0.00	265.61	0.00
626188106/MUNICH RE GROUP							
45.00	03/24/09	05/22/09	582.73	573.75	0.00	8.98	0.00
125.00	01/30/09	05/22/09	1,618.71	1,687.50	0.00	(68.79)	0.00
185.00	11/21/08	05/22/09	2,395.69	2,405.00	0.00	(9.31)	0.00
SECURITY TOTAL:			4,597.13	4,666.25	0.00	(69.12)	0.00
65248E104/NEWS CORPORATION CLASS A							
317.00	01/30/09	05/22/09	2,827.56	2,104.88	0.00	722.68	0.00
654902204/NOKIA CORPORATION SPONSORED							
155.00	11/21/08	05/22/09	2,281.54	1,931.30	0.00	350.24	0.00
666807102/NORTHROP GRUMMAN CORPORATION							
10.00	02/11/08	01/08/09	477.12	795.80	0.00	(318.68)	0.00
18.00	03/28/08	01/08/09	858.82	1,407.06	0.00	(548.24)	0.00
SECURITY TOTAL:			1,335.94	2,202.86	0.00	(866.92)	0.00
686330101/ORIX CORPORATION SPONSORED ADR							
39.00	06/30/08	01/08/09	1,133.26	2,794.60	0.00	(1,661.34)	0.00



Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS								
686330101/ORIX CORPORATION SPONSORED ADR (Con't)								
99.00	12/08/08	01/08/09		2,876.74	2,272.74	0.00	604.00	0.00
SECURITY TOTAL:				4,010.00	5,067.34	0.00	(1,057.34)	0.00
713409100/PEPSI BOTTLING GROUP								
54.00	03/26/09	05/22/09		1,746.85	1,173.20	0.00	573.65	0.00
71644E102/PETRO-CDA								
82.00	12/08/08	05/22/09		3,256.13	1,783.50	0.00	1,472.63	0.00
74975E303/RWE AG SPONSORED ADR								
22.00	03/26/09	05/22/09		1,817.15	1,649.56	0.00	167.59	0.00
780097689/ROYAL BK SCOTLAND GROUP PLC								
8.82	07/21/08	01/30/09		56.95	709.41	0.00	(652.46)	0.00
17.58	07/08/08	01/30/09		113.56	1,340.99	0.00	(1,227.43)	0.00
SECURITY TOTAL:				170.51	2,050.40	0.00	(1,879.89)	0.00
780249108/ROYAL DSM N V								
339.00	05/29/08	01/08/09		2,256.94	5,134.80	0.00	(2,877.86)	0.00
780259206/ROYAL DUTCH SHELL PLC								
56.00	05/29/08	05/22/09		2,888.44	4,842.98	0.00	(1,954.54)	0.00
59.00	06/30/06	05/22/09		3,043.18	4,839.89	0.00	(1,796.71)	0.00
SECURITY TOTAL:				5,931.62	9,682.87	0.00	(3,751.25)	0.00
786514208/SAFEWAY INCORPORATED COM NEW								
91.00	11/05/08	05/22/09		1,833.60	1,970.15	0.00	(136.55)	0.00
806605101/SCHERING PLOUGH CORPORATION								
73.00	01/08/09	05/22/09		1,732.24	1,304.51	0.00	427.73	0.00
835699307/SONY CORPORATION ADR NEW								
54.00	01/08/09	05/22/09		1,402.34	1,304.62	0.00	97.72	0.00

Realized Capital Gains/Losses (continued)

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CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
866796105/SUN LIFE FINL INCORPORATED							
66.00	12/08/08	02/26/09	1,132.07	1,450.34	0.00	(318.27)	0.00
872540109/TJX COMPANIES INCORPORATED NEW							
54.00	02/26/09	05/22/09	1,531.94	1,232.27	0.00	299.67	0.00
87927Y102/TELECOM ITALIA S P A NEW							
83.00	03/26/09	05/22/09	1,139.57	1,097.50	0.00	42.07	0.00
115.00	01/08/09	05/22/09	1,578.92	1,841.02	0.00	(262.10)	0.00
SECURITY TOTAL:			2,718.49	2,938.52	0.00	(220.03)	0.00
879382208/TELEFONICA S A							
20.00	04/24/09	05/22/09	1,247.76	1,191.29	0.00	56.47	0.00
88732J207/TIME WARNER CABLE INCORPORATED							
49.00	04/24/09	05/22/09	1,591.48	1,348.44	0.00	243.04	0.00
89151E109/TOTAL S A SPONSORED ADR							
79.00	09/03/08	05/22/09	4,356.73	5,288.10	0.00	(931.37)	0.00
89417E109/TRAVELERS COMPANIES							
19.00	12/08/08	05/22/09	771.95	831.01	0.00	(59.06)	0.00
43.00	01/08/09	05/22/09	1,747.04	1,788.76	0.00	(41.72)	0.00
SECURITY TOTAL:			2,518.99	2,619.77	0.00	(100.78)	0.00
910873405/UNITED MICROELECTRONICS							
19.38	09/19/08	05/22/09	59.49	37.02	0.00	22.47	0.00
958102105/WESTERN DIGITAL CORPORATION							
97.00	09/03/08	05/22/09	2,388.07	2,458.47	0.00	(70.40)	0.00
983024100/WYETH							
34.00	01/08/09	05/22/09	1,505.14	1,305.60	0.00	199.54	0.00



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2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description		Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS								
G16962105/BUNGE LIMITED		43.00	02/26/09	05/22/09	2,688.72	2,087.74	0.00	600.98
G24182100/COOPER INDUSTRIES LIMITED		37.00	04/24/09	05/22/09	1,178.04	1,219.82	0.00	(41.78)
H0023R105/ACE LIMITED SHS		30.00	03/26/09	05/22/09	1,326.26	1,169.08	0.00	157.18
H89231338/UBS AG SHS NEW		88.00	01/08/09	04/06/09	856.43	1,319.89	0.00	(463.46)
		116.00	03/16/09	04/06/09	1,128.92	1,128.67	0.00	0.25
SECURITY TOTAL:				1,985.35	2,448.56	0.00	(463.21)	0.00
SUBTOTAL SHORT TERM GAIN LOSS				167,258.64	203,976.63	0.00	(36,717.99)	0.00
LONG TERM GAIN LOSS								
O18805101/ALLIANZ SE SP ADR 1/10 SH		57.00	03/19/07	01/08/09	520.41	1,147.59	0.00	(627.18)
		27.00	03/19/07	05/22/09	255.98	543.60	0.00	(287.62)
		35.00	08/20/07	05/22/09	331.83	748.15	0.00	(416.32)
		36.00	05/23/07	05/22/09	341.31	790.56	0.00	(449.25)
		59.00	04/24/07	05/22/09	559.36	1,312.15	0.00	(752.79)
		299.00	11/28/07	05/22/09	2,834.74	6,147.44	0.00	(3,312.70)
SECURITY TOTAL:				4,843.63	10,689.49	0.00	(5,845.86)	0.00
O2209S103/ALTRIA GROUP INCORPORATED								
		14.00	04/23/07	05/22/09	233.51	298.22	0.00	(64.71)
		24.00	03/06/08	05/22/09	400.31	544.75	0.00	(144.44)
		26.00	04/24/07	05/22/09	433.67	550.18	0.00	(116.51)
		48.00	03/11/08	05/22/09	800.62	1,098.00	0.00	(297.38)

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Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
02209S103/ALTRIA GROUP INCORPORATED (Con't)							
63.00	04/04/06	05/22/09	1,050.81	1,038.88	0.00	11.93	0.00
SECURITY TOTAL:			2,918.92	3,530.03	0.00	(611.11)	0.00
03938L104/ARCELORMITTAL SA LUXEMBOURG							
13.00	04/24/07	01/30/09	296.02	702.13	0.00	(406.11)	0.00
62.00	10/05/06	01/30/09	1,411.76	2,185.50	0.00	(773.74)	0.00
10.00	04/24/07	05/22/09	290.79	540.10	0.00	(249.31)	0.00
19.00	08/20/07	05/22/09	552.51	1,046.71	0.00	(494.20)	0.00
22.00	03/28/08	05/22/09	639.74	1,763.91	0.00	(1,124.17)	0.00
28.00	05/23/07	05/22/09	814.22	1,638.56	0.00	(824.34)	0.00
SECURITY TOTAL:			4,005.04	7,876.91	0.00	(3,871.87)	0.00
055262505/BASF SE SPONSORED ADR							
13.00	11/07/06	01/08/09	473.85	569.04	0.00	(95.19)	0.00
23.00	12/12/06	01/08/09	838.34	1,087.43	0.00	(249.09)	0.00
29.00	12/12/06	03/26/09	948.58	1,371.12	0.00	(422.54)	0.00
16.00	08/20/07	05/22/09	669.74	975.60	0.00	(305.86)	0.00
18.00	04/24/07	05/22/09	753.46	1,055.52	0.00	(302.06)	0.00
34.00	12/12/06	05/22/09	1,423.20	1,607.51	0.00	(184.31)	0.00
46.00	02/16/07	05/22/09	1,925.51	2,306.34	0.00	(380.83)	0.00
SECURITY TOTAL:			7,032.68	8,972.56	0.00	(1,939.88)	0.00
05565A202/BNP PARIBAS SPONSORED ADR							
43.00	07/06/06	04/24/09	1,091.74	2,100.28	0.00	(1,008.54)	0.00
8.00	03/06/07	05/22/09	255.59	410.80	0.00	(155.21)	0.00
15.00	07/28/06	05/22/09	479.24	745.50	0.00	(266.26)	0.00
26.00	07/06/06	05/22/09	830.68	1,269.94	0.00	(439.26)	0.00
65.00	08/30/06	05/22/09	2,076.69	3,503.50	0.00	(1,426.81)	0.00
SECURITY TOTAL:			4,733.94	8,030.02	0.00	(3,296.08)	0.00



Raymond James & Associates, Inc.				Account No: 78435604		2009 Tax Information Statement		Page 38 of 46	
2009 Realized Capital Gains/Losses (continued)									
CUSIP/Security Description		Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost	
LONG TERM GAIN LOSS									
091797100/BLACK & DECKER CORPORATION		15.00	11/07/06	02/26/09	363.60	1,290.60	0.00	(927.00)	0.00
124857202/CBS CORPORATION NEW CLASS B									
		28.00	07/17/07	05/22/09	198.83	965.16	0.00	(766.33)	0.00
		52.00	05/23/07	05/22/09	369.25	1,723.80	0.00	(1,354.55)	0.00
		250.00	07/31/06	05/22/09	1,775.25	6,799.23	0.00	(5,023.98)	0.00
SECURITY TOTAL:				2,343.33	9,488.19	0.00	(7,144.86)	0.00	
166764100/CHEVRON CORPORATION NEW									
		2.00	04/04/06	01/08/09	148.84	118.06	0.00	30.78	0.00
		12.00	03/06/07	01/08/09	893.04	804.84	0.00	88.20	0.00
		8.00	04/24/07	03/16/09	508.16	618.96	0.00	(110.80)	0.00
		8.00	10/26/07	03/16/09	508.16	730.96	0.00	(222.80)	0.00
		6.00	10/26/07	03/26/09	419.75	548.22	0.00	(128.47)	0.00
		16.00	10/26/07	05/22/09	1,035.49	1,461.92	0.00	(426.43)	0.00
SECURITY TOTAL:				3,513.44	4,282.96	0.00	(769.52)	0.00	
16941R108/CHINA PETE & CHEMICAL									
		2.00	04/24/07	01/30/09	108.74	177.98	0.00	(69.24)	0.00
		10.00	03/19/07	01/30/09	543.69	754.39	0.00	(210.70)	0.00
		5.00	04/24/07	05/22/09	408.99	444.95	0.00	(35.96)	0.00
SECURITY TOTAL:				2,061.42	1,377.32	0.00	(714.10)	0.00	
16945R104/CHINA UNICOM (HONG KONG)									
		36.19	03/06/07	02/26/09	338.23	529.68	0.00	(191.45)	0.00
		39.21	02/16/07	02/26/09	366.42	681.33	0.00	(314.91)	0.00
		67.60	05/26/06	02/26/09	631.76	754.94	0.00	(123.18)	0.00
SECURITY TOTAL:				1,336.41	1,965.95	0.00	(629.54)	0.00	
204412100/COMPANHIA VALE DE RIO DOCE									
		189.00	01/10/08	02/26/09	2,190.70	5,279.79	0.00	(3,089.09)	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
20825C104/CONOCOPHILLIPS							
24.00	04/04/06	01/08/09	1,287.87	1,566.24	0.00	(278.37)	0.00
4.00	04/04/06	03/26/09	160.84	261.04	0.00	(100.20)	0.00
15.00	04/24/07	03/26/09	603.15	1,057.05	0.00	(453.90)	0.00
18.00	03/11/08	03/26/09	723.78	1,397.08	0.00	(673.30)	0.00
25.00	03/11/08	05/22/09	1,111.47	1,940.38	0.00	(828.91)	0.00
SECURITY TOTAL:				6,221.79	0.00	(2,334.68)	0.00
225401108/CREDIT SUISSE GROUP							
10.00	08/20/07	05/22/09	422.83	653.50	0.00	(230.67)	0.00
15.00	07/17/07	05/22/09	634.24	1,111.05	0.00	(476.81)	0.00
130.00	04/04/06	05/22/09	5,496.78	7,443.80	0.00	(1,947.02)	0.00
SECURITY TOTAL:				9,208.35	0.00	(2,654.50)	0.00
260543103/DOW CHEMICAL COMPANY							
21.00	07/17/07	01/08/09	329.07	984.48	0.00	(655.41)	0.00
119.00	04/12/07	01/08/09	1,864.72	5,483.85	0.00	(3,619.13)	0.00
SECURITY TOTAL:				6,468.33	0.00	(4,274.54)	0.00
26874R108/ENI S P A SPONSORED ADR							
45.00	04/04/06	01/08/09	2,210.89	2,595.15	0.00	(384.26)	0.00
85.00	04/04/06	05/22/09	3,960.89	4,901.95	0.00	(941.06)	0.00
SECURITY TOTAL:				7,497.10	0.00	(1,325.32)	0.00
268780103/E ON AG SPONSORED ADR							
12.00	04/24/07	05/22/09	416.39	575.76	0.00	(159.37)	0.00
42.00	03/28/08	05/22/09	1,457.36	2,589.30	0.00	(1,131.94)	0.00
93.00	04/04/06	05/22/09	3,227.01	3,476.60	0.00	(249.59)	0.00
SECURITY TOTAL:				6,641.66	0.00	(1,540.90)	0.00
37733W105/GLAXOSMITHKLINE PLC							
115.00	04/22/08	05/22/09	3,814.45	5,028.75	0.00	(1,214.30)	0.00



2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
416515104/HARTFORD FINL SVCS GROUP							
6.00	07/17/07	05/22/09	91.08	588.24	0.00	(497.16)	0.00
46.00	04/04/06	05/22/09	698.25	3,749.91	0.00	(3,051.66)	0.00
SECURITY TOTAL:			789.33	4,338.15	0.00	(3,548.82)	0.00
42205M106/HBOS PLC SPONSORED ADR							
32.84	07/17/07	01/22/09	14.11	670.40	0.00	(656.29)	0.00
569.14	04/04/06	01/22/09	244.47	9,548.89	0.00	(9,304.42)	0.00
SECURITY TOTAL:			258.58	10,219.29	0.00	(9,960.71)	0.00
456837103/ING GROEP N V SPONSORED ADR							
12.00	03/06/07	05/22/09	137.76	495.78	0.00	(358.02)	0.00
28.00	08/20/07	05/22/09	321.45	1,106.28	0.00	(784.83)	0.00
30.00	07/17/07	05/22/09	344.41	1,374.00	0.00	(1,029.59)	0.00
50.00	04/04/06	05/22/09	574.01	1,975.50	0.00	(1,401.49)	0.00
138.00	02/05/08	05/22/09	1,584.28	4,304.04	0.00	(2,719.76)	0.00
SECURITY TOTAL:			2,961.91	9,255.60	0.00	(6,293.69)	0.00
46625H100/JPMORGAN CHASE & COMPANY							
52.00	11/06/07	03/16/09	1,300.93	2,282.24	0.00	(981.31)	0.00
19.00	11/06/07	03/26/09	541.32	833.90	0.00	(292.58)	0.00
25.00	02/11/08	03/26/09	712.27	1,076.74	0.00	(364.47)	0.00
48.00	02/11/08	05/22/09	1,675.63	2,067.34	0.00	(391.71)	0.00
SECURITY TOTAL:			4,230.15	6,260.22	0.00	(2,030.07)	0.00
46626D108/JSC MMC NORILSK NICKEL							
109.00	03/11/08	05/11/09	1,126.05	3,322.66	0.00	(2,196.61)	0.00
46.00	03/11/08	05/22/09	503.69	1,402.22	0.00	(898.53)	0.00
SECURITY TOTAL:			1,629.74	4,724.88	0.00	(3,095.14)	0.00
48241A105/KB FINANCIAL GROUP							
7.00	04/23/07	05/22/09	257.17	634.76	0.00	(377.59)	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
48241A105/KB FINANCIAL GROUP (Con't)							
9.00	04/24/07	05/22/09	330.65	794.70	0.00	(464.05)	0.00
13.00	05/23/07	05/22/09	477.61	1,170.78	0.00	(693.17)	0.00
33.00	04/04/06	05/22/09	1,212.39	2,922.15	0.00	(1,709.76)	0.00
SECURITY TOTAL:			2,277.82	5,522.39	0.00	(3,244.57)	0.00
55616P104/MACYS INCORPORATED							
21.00	10/10/07	02/26/09	172.06	710.01	0.00	(537.95)	0.00
35.00	07/17/07	02/26/09	286.76	1,410.85	0.00	(1,124.09)	0.00
46.00	08/20/07	02/26/09	376.89	1,423.24	0.00	(1,046.35)	0.00
100.00	04/12/07	02/26/09	819.32	4,550.76	0.00	(3,731.44)	0.00
134.00	10/10/07	05/22/09	1,506.15	4,530.52	0.00	(3,024.37)	0.00
SECURITY TOTAL:			3,161.18	12,625.38	0.00	(9,464.20)	0.00
58155Q103/MCKESSON CORPORATION							
75.00	09/11/07	01/30/09	3,295.17	4,165.48	0.00	(870.31)	0.00
59156R108/METLIFE INCORPORATED							
13.00	10/10/07	05/22/09	397.04	907.27	0.00	(510.23)	0.00
22.00	07/17/07	05/22/09	671.91	1,416.14	0.00	(744.23)	0.00
98.00	04/04/06	05/22/09	2,993.07	4,785.25	0.00	(1,792.18)	0.00
SECURITY TOTAL:			4,062.02	7,108.66	0.00	(3,046.64)	0.00
654744408/NISSAN MOTORS SPONSORED ADR							
38.00	05/14/07	01/30/09	228.65	817.38	0.00	(588.73)	0.00
112.00	06/25/07	01/30/09	673.92	2,413.76	0.00	(1,739.84)	0.00
14.00	06/25/07	03/16/09	101.98	301.72	0.00	(199.74)	0.00
139.00	09/25/07	03/16/09	1,012.55	2,760.81	0.00	(1,748.26)	0.00
166.00	07/20/07	03/16/09	1,209.23	3,570.81	0.00	(2,361.58)	0.00
8.00	09/25/07	04/24/09	83.68	158.90	0.00	(75.22)	0.00
166.00	11/28/07	04/24/09	1,736.31	3,752.01	0.00	(2,015.70)	0.00



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Realized Capital Gains/Losses (continued)

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CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
654744408/NISSAN MOTORS SPONSORED ADR (Con't)							
3.00	11/28/07	05/22/09	35.85	67.81	0.00	(31.96)	0.00
275.00	04/22/08	05/22/09	3,286.16	4,786.18	0.00	(1,500.02)	0.00
SECURITY TOTAL:			8,368.33	18,629.38	0.00	(10,261.05)	0.00
677862104/OIL COMPANY LUKOIL SPONSORED							
31.00	02/05/08	05/22/09	1,543.76	2,159.15	0.00	(615.39)	0.00
31.00	10/26/07	05/22/09	1,543.76	2,732.65	0.00	(1,188.89)	0.00
SECURITY TOTAL:			3,087.52	4,891.80	0.00	(1,804.28)	0.00
686330101/ORIX CORPORATION SPONSORED ADR							
19.00	06/25/07	01/08/09	552.10	2,423.09	0.00	(1,870.99)	0.00
24.00	07/20/07	01/08/09	697.39	3,015.78	0.00	(2,318.39)	0.00
SECURITY TOTAL:			1,249.49	5,438.87	0.00	(4,189.38)	0.00
71644E102/PETRO-CDA							
35.00	01/10/08	03/24/09	969.87	1,868.84	0.00	(898.97)	0.00
13.00	01/10/08	04/21/09	382.06	694.14	0.00	(312.08)	0.00
22.00	02/11/08	04/21/09	646.56	973.93	0.00	(327.37)	0.00
19.00	02/11/08	04/24/09	609.54	841.12	0.00	(231.58)	0.00
17.00	02/11/08	05/22/09	675.05	752.58	0.00	(77.53)	0.00
SECURITY TOTAL:			3,283.08	5,130.61	0.00	(1,847.53)	0.00
717081103/PFIZER INCORPORATED							
46.00	02/16/07	03/26/09	666.07	1,217.16	0.00	(551.09)	0.00
16.00	02/16/07	05/22/09	240.31	423.36	0.00	(183.05)	0.00
110.00	10/26/07	05/22/09	1,652.16	2,667.50	0.00	(1,015.34)	0.00
SECURITY TOTAL:			2,558.54	4,308.02	0.00	(1,749.48)	0.00
718172109/PHILIP MORRIS INTERNATIONAL							
1.00	04/04/06	05/22/09	42.92	37.58	0.00	5.34	0.00
14.00	04/23/07	05/22/09	600.87	679.54	0.00	(78.67)	0.00

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
718172109/PHILIP MORRIS INTERNATIONAL(Con't)							
24.00	03/06/08	05/22/09	1,030.07	1,241.33	0.00	(211.26)	0.00
26.00	04/24/07	05/22/09	1,115.91	1,253.70	0.00	(137.79)	0.00
48.00	03/11/08	05/22/09	2,060.13	2,502.00	0.00	(441.87)	0.00
SECURITY TOTAL:			4,849.90	5,714.15	0.00	(864.25)	0.00
780097689/ROYAL BK SCOTLAND GROUP PLC							
8.72	10/26/07	01/30/09	56.31	1,851.04	0.00	(1,794.73)	0.00
15.63	01/10/08	01/30/09	100.98	2,454.91	0.00	(2,353.93)	0.00
37.26	11/28/07	01/30/09	240.70	6,681.28	0.00	(6,440.58)	0.00
SECURITY TOTAL:			397.99	10,987.23	0.00	(10,589.24)	0.00
780259206/ROYAL DUTCH SHELL PLC							
19.00	09/25/07	02/26/09	861.47	1,569.40	0.00	(707.93)	0.00
3.00	09/25/07	05/22/09	154.74	247.80	0.00	(93.06)	0.00
17.00	10/10/07	05/22/09	876.85	1,377.95	0.00	(501.10)	0.00
SECURITY TOTAL:			1,893.06	3,195.15	0.00	(1,302.09)	0.00
80105N105/SANOFI AVENTIS							
73.00	04/04/06	01/08/09	2,347.24	3,462.39	0.00	(1,115.15)	0.00
54.00	04/04/06	04/24/09	1,451.49	2,561.22	0.00	(1,109.73)	0.00
SECURITY TOTAL:			3,798.73	6,023.61	0.00	(2,224.88)	0.00
819882200/SHARP CORPORATION ADR							
130.00	08/30/06	01/30/09	961.99	2,314.00	0.00	(1,352.01)	0.00
4.00	02/16/07	03/26/09	33.92	74.80	0.00	(40.88)	0.00
20.00	10/02/06	03/26/09	169.61	352.00	0.00	(182.39)	0.00
143.00	08/30/06	03/26/09	1,212.70	2,545.40	0.00	(1,332.70)	0.00
37.00	04/24/07	05/22/09	399.59	721.50	0.00	(321.91)	0.00
38.00	07/17/07	05/22/09	410.39	716.30	0.00	(305.91)	0.00
252.00	02/16/07	05/22/09	2,721.52	4,712.40	0.00	(1,990.88)	0.00
SECURITY TOTAL:			5,909.72	11,436.40	0.00	(5,526.68)	0.00



2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
85771P102/STATOIL ASA SPONSORED ADR							
61.00	01/10/08	01/30/09	1,059.04	1,815.23	0.00	(756.19)	0.00
38.00	01/10/08	05/22/09	758.46	1,130.80	0.00	(372.34)	0.00
SECURITY TOTAL:			1,817.50	2,946.03	0.00	(1,128.53)	0.00
86562M100/SUMITOMO MITSUI FINL GROUP							
25.00	04/24/07	01/08/09	109.00	226.25	0.00	(117.25)	0.00
56.00	07/17/07	01/08/09	244.16	526.40	0.00	(282.24)	0.00
70.00	05/23/07	01/08/09	305.20	693.00	0.00	(387.80)	0.00
128.00	07/17/07	05/22/09	506.87	1,203.20	0.00	(696.33)	0.00
226.00	01/10/08	05/22/09	894.94	1,753.76	0.00	(858.82)	0.00
471.00	10/26/07	05/22/09	1,865.11	3,499.53	0.00	(1,634.42)	0.00
SECURITY TOTAL:			3,925.28	7,902.14	0.00	(3,976.86)	0.00
887317303/TIME WARNER INCORPORATED COM							
10.00	04/24/07	05/22/09	229.59	471.84	0.00	(242.25)	0.00
121.00	04/04/06	05/22/09	2,778.09	4,570.66	0.00	(1,792.57)	0.00
SECURITY TOTAL:			3,007.68	5,042.50	0.00	(2,034.82)	0.00
88732J207/TIME WARNER CABLE INCORPORATED							
0.88	04/04/06	03/12/09	24.15	43.75	0.00	(19.60)	0.00
2.51	04/24/07	05/22/09	81.53	155.46	0.00	(73.93)	0.00
29.49	04/04/06	05/22/09	957.81	1,462.21	0.00	(504.40)	0.00
SECURITY TOTAL:			1,063.49	1,661.42	0.00	(597.93)	0.00
910873405/UNITED MICROELECTRONICS							
70.13	04/24/07	01/08/09	144.34	314.29	0.00	(169.95)	0.00
191.59	05/23/07	01/08/09	394.33	893.05	0.00	(498.72)	0.00
195.20	11/28/07	01/08/09	401.76	651.36	0.00	(249.60)	0.00
450.08	10/26/07	01/08/09	926.34	1,675.24	0.00	(748.90)	0.00
589.00	11/28/07	03/26/09	1,660.62	1,965.44	0.00	(304.82)	0.00

Realized Capital Gains/Losses (continued)

2009

CUSIP/Security Description	Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS								
910873405/UNITED MICROELECTRONICS (Con't)								
0.00		02/16/07	05/22/09	0.01	0.01		0.00	0.00
134.02		11/28/07	05/22/09	411.43	447.21		(35.78)	0.00
252.60		11/28/07	05/22/09	775.45	842.89		(67.44)	0.00
SECURITY TOTAL:				4,714.28	6,789.49		(2,075.21)	0.00
92857W209/VODAFONE GROUP PLC NEW								
13.00		04/04/06	01/08/09	275.33	317.20		(41.87)	0.00
143.00		04/04/06	05/22/09	2,649.72	3,489.20		(839.48)	0.00
SECURITY TOTAL:				2,925.05	3,806.40		(881.35)	0.00
D18190898/DEUTSCHE BANK AG								
6.00		07/20/07	04/24/09	331.63	858.84		(527.21)	0.00
23.00		10/26/07	05/22/09	1,514.51	2,902.81		(1,388.30)	0.00
31.00		07/20/07	05/22/09	2,041.29	4,437.31		(2,396.02)	0.00
SECURITY TOTAL:				3,887.43	8,198.96		(4,311.53)	0.00
SUBTOTAL LONG TERM GAIN LOSS				141,467.82	280,172.01		(138,704.19)	0.00
GRAND TOTAL GAIN/LOSS				308,726.46	484,148.64		(175,422.18)	0.00



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2009

Realized Capital Gains/Losses

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
054303102/AVON PRODUCTS INCORPORATED							
170.00	03/09/09	06/05/09	4,585.61	2,588.69	0.00	1,996.92	0.00
191216100/COCA COLA COMPANY							
35.00	12/15/08	12/08/09	2,004.92	1,559.23	0.00	445.69	0.00
205887102/CONAGRA FOODS INCORPORATED							
235.00	10/09/08	07/17/09	4,448.90	4,403.55	0.00	45.35	0.00
369604103/GENERAL ELECTRIC COMPANY							
109.00	10/10/08	03/03/09	769.03	2,179.48	0.00	(1,410.45)	0.00
140.00	08/06/08	03/03/09	987.75	4,041.14	0.00	(3,053.39)	0.00
150.00	06/18/08	03/03/09	1,058.30	4,269.20	0.00	(3,210.90)	0.00
219.00	01/06/09	03/03/09	1,545.12	3,669.94	0.00	(2,124.82)	0.00
239.00	06/06/08	03/03/09	1,686.23	7,265.60	0.00	(5,579.37)	0.00
SECURITY TOTAL:			6,046.43	21,425.36	0.00	(15,378.93)	0.00
404280406/HSBC HLDGS PLC							
53.00	09/10/08	01/15/09	2,149.22	4,223.95	0.00	(2,074.73)	0.00
54.00	10/06/08	01/15/09	2,189.77	4,244.79	0.00	(2,055.02)	0.00
56.00	09/15/08	01/15/09	2,270.87	4,334.87	0.00	(2,064.00)	0.00
SECURITY TOTAL:			6,609.86	12,803.61	0.00	(6,193.75)	0.00
58933Y105/MERCK & COMPANY INCORPORATED							
86.00	02/06/09	12/08/09	3,168.49	2,619.24	0.00	549.25	0.00
693475105/PNC FINL SVCS GROUP							
55.00	10/13/08	02/06/09	1,717.75	3,690.24	0.00	(1,972.49)	0.00
60.00	10/10/08	02/06/09	1,873.91	3,756.00	0.00	(1,882.09)	0.00
SECURITY TOTAL:			3,591.66	7,446.24	0.00	(3,854.58)	0.00
742718109/PROCTER & GAMBLE COMPANY							
31.00	03/04/09	12/08/09	1,926.16	1,465.18	0.00	460.98	0.00

2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
SHORT TERM GAIN LOSS							
88579Y101/3M COMPANY							
53.00	07/17/09	10/19/09	4,070.55	3,338.21	0.00	732.34	0.00
904767704/UNILEVER PLC SPON ADR NEW							
74.00	11/17/08	10/16/09	2,196.83	1,611.31	0.00	585.52	0.00
949746101/WELLS FARGO & COMPANY NEW							
259.00	10/10/08	02/06/09	4,561.17	7,329.67	0.00	(2,768.50)	0.00
983024100/WYETH							
205.00	11/14/08	02/02/09	8,890.82	7,307.47	0.00	1,583.35	0.00
SUBTOTAL SHORT TERM GAIN LOSS				73,897.76	0.00	(21,796.36)	0.00
LONG TERM GAIN LOSS							
251566105/DEUTSCHE TELEKOM AG							
196.00	09/05/06	07/16/09	2,253.43	2,850.55	0.00	(597.12)	0.00
26874R108/ENI S P A SPONSORED ADR							
9.00	08/20/07	08/04/09	419.70	578.16	0.00	(158.46)	0.00
10.00	04/23/07	08/04/09	466.34	664.40	0.00	(198.06)	0.00
10.00	07/17/07	08/04/09	466.34	769.80	0.00	(303.46)	0.00
153.00	03/09/07	08/04/09	7,134.94	9,431.26	0.00	(2,296.32)	0.00
SECURITY TOTAL:				11,443.62	0.00	(2,956.30)	0.00
35906A108/FRONTIER COMMUNICATIONS							
710.00	08/20/07	03/09/09	3,821.69	9,768.25	0.00	(5,946.56)	0.00
363576109/GALLAGHER ARTHUR J & COMPANY							
17.00	08/20/07	02/06/09	310.38	515.10	0.00	(204.72)	0.00
20.00	07/17/07	02/06/09	365.16	559.38	0.00	(194.22)	0.00



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2009 Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
363576109/GALLAGHER ARTHUR J & COMPANY (Con't)							
20.00	04/23/07	02/06/09	365.16	588.18	0.00	(223.02)	0.00
126.00	08/09/06	02/06/09	2,300.49	3,393.30	0.00	(1,092.81)	0.00
SECURITY TOTAL:			3,341.19	5,055.96	0.00	(1,714.77)	0.00
494368103/KIMBERLY CLARK CORPORATION							
43.00	03/17/08	12/08/09	2,796.14	2,730.93	0.00	65.21	0.00
50075N104/KRAFT FOODS INCORPORATED CLASS							
78.00	11/13/07	10/09/09	2,020.14	2,578.22	0.00	(558.08)	0.00
121.00	01/09/08	10/09/09	3,133.81	3,830.38	0.00	(696.57)	0.00
307.00	11/08/07	10/09/09	7,951.06	10,119.21	0.00	(2,168.15)	0.00
SECURITY TOTAL:			13,105.01	16,527.81	0.00	(3,422.80)	0.00
649445103/NEW YORK CMNTY BANCORP							
16.00	08/20/07	03/09/09	128.73	286.56	0.00	(157.83)	0.00
20.00	04/23/07	03/09/09	160.91	355.00	0.00	(194.09)	0.00
20.00	07/17/07	03/09/09	160.91	355.80	0.00	(194.89)	0.00
75.00	04/02/07	03/09/09	603.42	1,310.24	0.00	(706.82)	0.00
218.00	04/03/07	03/09/09	1,753.95	3,857.03	0.00	(2,103.08)	0.00
SECURITY TOTAL:			2,807.92	6,164.63	0.00	(3,356.71)	0.00
717081103/PFIZER INCORPORATED							
36.00	08/20/07	02/02/09	540.93	866.88	0.00	(325.95)	0.00
40.00	04/23/07	02/02/09	601.04	1,059.20	0.00	(458.16)	0.00
45.00	07/17/07	02/02/09	676.17	1,172.70	0.00	(496.53)	0.00
82.00	01/08/07	02/02/09	1,232.13	2,134.26	0.00	(902.13)	0.00
92.00	01/09/07	02/02/09	1,382.38	2,406.44	0.00	(1,024.06)	0.00
121.00	12/13/06	02/02/09	1,818.14	3,065.21	0.00	(1,247.07)	0.00
170.00	12/11/06	02/02/09	2,554.41	4,252.04	0.00	(1,697.63)	0.00
215.00	12/12/06	02/02/09	3,230.57	5,393.06	0.00	(2,162.49)	0.00
SECURITY TOTAL:			12,035.77	20,349.79	0.00	(8,314.02)	0.00

Realized Capital Gains/Losses (continued)

CUSIP/Security Description Quantity	Date Acquired	Date Sold	Sale Proceeds	Original Cost Basis	Adj/Avg Cost Basis	Gain/Loss Orig. Cost	Gain/Loss Adj/Avg Cost
LONG TERM GAIN LOSS							
761713106/REYNOLDS AMERICAN INCORPORATED							
185 00	04/04/06	01/06/09	6,966.23	9,895.65	0 00	(2,929.42)	0.00
902973304/US BANCORP DEL							
4.00	12/26/06	02/06/09	61.91	147.09	0.00	(85.18)	0.00
31.00	08/20/07	02/06/09	479.81	1,005.02	0.00	(525.21)	0.00
35.00	07/17/07	02/06/09	541.72	1,153.95	0.00	(612.23)	0.00
35.00	04/23/07	02/06/09	541.72	1,204.35	0.00	(662.63)	0.00
72.00	04/30/07	02/06/09	1,114.40	2,489.44	0.00	(1,375.04)	0.00
90.00	04/02/07	02/06/09	1,393.00	3,120.87	0.00	(1,727.87)	0.00
SECURITY TOTAL:			4,132.56	9,120.72	0.00	(4,988.16)	0.00
904767704/UNILEVER PLC SPON ADR NEW							
172.00	10/09/08	10/16/09	5,106.15	4,024.15	0 00	1,082.00	0.00
97381W104/WINDSTREAM CORPORATION							
169 00	01/16/07	03/04/09	1,145.29	2,401.29	0.00	(1,256.00)	0.00
243 00	01/18/07	03/04/09	1,646.77	3,519.17	0.00	(1,872.40)	0.00
391.00	01/17/07	03/04/09	2,649.75	5,612.92	0 00	(2,963.17)	0.00
SECURITY TOTAL:			5,441.81	11,533.38	0.00	(6,091.57)	0.00
SUBTOTAL LONG TERM GAIN LOSS			70,295.22	109,465.44	0.00	(39,170.22)	0.00
GRAND TOTAL GAIN/LOSS			122,396.62	183,363.20	0.00	(60,966.58)	0.00



2009 DEPRECIATION AND AMORTIZATION REPORT

PASTURE RENT/CROP SHARE

RENT

1

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	REBUILD POND AND DAM	10/18/06	SL	15.00	16	8,190.			8,190.	1,183.		546.
2	STOCK WATER SYSTEM	09/25/07	SL	7.00	16	4,787.			4,787.	855.		684.
3	LAND	Varies	SL			639,600.			639,600.			0.
	* Total 990-PF Rental Depr					652,577.		0.	652,577.	2,038.	0.	1,230.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
NORTHERN TRUST MONEY MARKET	17.
RAYMOND JAMES - ALLIANCE	1.
RAYMOND JAMES - FEDERATED	12.
RAYMOND JAMES - THORNBURG	86.
RAYMOND JAMES - TRADEWINDS	7.
Total to Form 990-PF, Part I, line 3, Column A	123.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
RAYMOND JAMES - ALLIANCE	4,145.	0.	4,145.
RAYMOND JAMES - FEDERATED	20,327.	0.	20,327.
RAYMOND JAMES - OID	705.	0.	705.
RAYMOND JAMES - OID PURCH ACCR INTEREST	<661.>	0.	<661.>
RAYMOND JAMES - OTHER PERIODIC INTEREST	15,771.	0.	15,771.
RAYMOND JAMES - THORNBURG	55,939.	0.	55,939.
RAYMOND JAMES - THORNBURG OID	4,373.	0.	4,373.
RAYMOND JAMES - THORNBURG US OBLIGATIONS	10,651.	0.	10,651.
RAYMOND JAMES - TRADEWINDS	3,860.	0.	3,860.
RAYMOND JAMES PURCHASED ACCRUED INTEREST	<402.>	0.	<402.>
Total to Fm 990-PF, Part I, ln 4	114,708.	0.	114,708.

Form 990-PF Rental Income Statement 3

Kind and Location of Property	Activity Number	Gross Rental Income
PASTURE RENT/CROP SHARE	1	31,930.
Total to Form 990-PF, Part I, line 5a		31,930.

Form 990-PF	Rental Expenses	Statement	4
Description	Activity Number	Amount	Total
Depreciation		1,230.	
REAL ESTATE TAXES		4,267.	
FARM BUREAU DUES		35.	
INSURANCE		241.	
PASTURE CARE		5,106.	
FERTILIZER AND CHEMICALS		2,036.	
- SubTotal -	1		12,915.
Total rental expenses			12,915.
Net rental Income to Form 990-PF, Part I, line 5b			19,015.

Form 990-PF	Other Income	Statement	5
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
MISCELLANEOUS	<412.>	<412.>	
Total to Form 990-PF, Part I, line 11	<412.>	<412.>	

Form 990-PF	Accounting Fees			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
ACCOUNTING FEES	6,407.	3,204.		0.	
To Form 990-PF, Pg 1, ln 16b	6,407.	3,204.		0.	

Form 990-PF	Other Professional Fees			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
BROKER ADVISORY FEES	24,936.	24,936.			0.
To Form 990-PF, Pg 1, ln 16c	24,936.	24,936.			0.

Form 990-PF	Taxes			Statement	8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FOREIGN TAXES	1,305.	1,305.			0.
REAL ESTATE TAXES	4,267.	4,267.			0.
To Form 990-PF, Pg 1, ln 18	5,572.	5,572.			0.

Form 990-PF	Other Expenses			Statement	9
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
FARM BUREAU DUES	35.	35.			0.
INSURANCE	241.	241.			0.
PASTURE CARE	5,106.	5,106.			0.
FERTILIZER AND CHEMICALS	2,036.	2,036.			0.
To Form 990-PF, Pg 1, ln 23	7,418.	7,418.			0.

Form 990-PF	Depreciation of Assets Held for Investment	Statement 10
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Description	Cost or Other Basis	Accumulated Depreciation	Book Value
REBUILD POND AND DAM	8,190.	1,729.	6,461.
STOCK WATER SYSTEM	4,787.	1,539.	3,248.
LAND	639,600.	0.	639,600.
Total to Fm 990-PF, Part II, ln 11	652,577.	3,268.	649,309.

Form 990-PF	Other Investments	Statement 11
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Description	Valuation Method	Book Value	Fair Market Value
SEE ATTACHED	COST	2,188,005.	2,268,480.
Total to Form 990-PF, Part II, line 13		2,188,005.	2,268,480.

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 12
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
ESB FINANCIAL P.O. BOX 807 EMPORIA, KS 66801	TRUSTEE 5.00	16,093.	0.	0.
JOHN E. KUHLMANN 820 ROAD J5 OLPE, KS 66801	TRUSTEE 2.00	2,750.	0.	0.
GEORGE C. OSBORN P.O BOX 827 EMPORIA, KS 66801	TRUSTEE 2.00	2,750.	0.	0.
STEVEN L. DAVIS 417 COMMERCIAL EMPORIA, KS 66801	TRUSTEE 2.00	2,750.	0.	0.
TODD OSBORN 2113 ROAD M EMPORIA, KS 66801	TRUSTEE 2.00	2,750.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		27,093.	0.	0.

Form 990-PF

Grants and Contributions
Paid During the Year

Statement 13

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
CITY OF EMPORIA 111 EAST 6TH AVE EMPORIA, KS 66801	N/A PATH/TRAILS SYSTEM	GOVERNMENT	5,000.
EMPORIA RESCUE MISSION 1119 MERCHANT STREET EMPORIA, KS 66801	N/A FOOD KITCHEN SUPPORT	PUBLIC CHARITY	10,000.
LYON COUNTY HISTORICAL SOCIETY 118 EAST 6TH AVE EMPORIA, KS 66801	N/A COMPUTER EQUIPMENT/SOFTWARE	PUBLIC CHARITY	2,750.
EMPORIA ARTS COUNCIL 618 MECHANIC EMPORIA, KS 66801	N/A EDUCATIONAL OUTREACH/ARTMOBILE PROGRAM	PUBLIC CHARITY	35,000.
ESU FOUNDATION, INC 1500 HIGHLAND STREET EMPORIA, KS 66801	N/A SCHOLARSHIPS/INDOOR FACILITY FOR BASEBALL/SOFTBALL	PUBLIC CHARITY	103,000.
FLINT HILLS TECHNICAL COLLEGE 3301 W 18TH AVE EMPORIA, KS 66801	N/A SCHOLARSHIPS	POST-SECON EDUCATION FACIL	4,500.
HUTCHINSON COMMUNITY COLLEGE ENDOWMENT ASSOCIATION 1300 N PLUM HUTCHINSON, KS 67501	N/A ENDOWMENT ASSOCIATION ATHLETIC FUND	PUBLIC CHARITY	2,750.
CITY OF OLPE 102 WESTPHALIA ST OLPE, KS 66865	N/A FIRE STATION	GOVERNMENT	6,500.

EMIL BABINGER CHARITABLE TRUST

48-1061932

PLUMB PLACE 224 E 6TH AVE EMPORIA, KS 66801	N/A GENERAL OPERATIONS	PUBLIC CHARITY	5,000.
ST JOSEPH CATHOLIC SCHOOL 307 IOWA OLPE, KS 66865	N/A REPAIRS/PAYROLL ASSISTANCE	PUBLIC CHARITY	25,340.
LEARNING CONNECTION OF THE FLINT HILLS 702 COMMERCIAL ST EMPORIA, KS 66801	N/A FUNDING FOR FARMERS MARKET	PUBLIC CHARITY	15,000.

Total to Form 990-PF, Part XV, line 3a

214,840.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization EMIL BABINGER CHARITABLE TRUST	Employer identification number 48-1061932
	Number, street, and room or suite no. If a P.O. box, see instructions P.O. BOX 807	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions EMPORIA, KS 66801	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

ESB FINANCIAL

- The books are in the care of ► **801 MERCHANT - EMPORIA, KS 66801**

Telephone No ► **620-342-5527**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **August 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2009** or
► ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,008.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,798.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)