Form **990-PF**

Department of the Treasury
Internal Revenue Service

CHANGE IN ACCOUNTING PERIOD

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: *The foundation may be able to use a copy of this return to satisfy state reporting requirements*

OMB No. 1545-0052

2009

For calendar year 2009, or tax year beginning 5/01 , 2009, and ending 12/31 , 2009

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.

NIKI CHARITABLE ART FOUNDATION
PO BOX 2784
PARK CITY, UT 84060

A Employer identification number: 47-6245971

B Telephone number (see the instructions): 760-807-6727

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 82,990,555.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	32,749.	32,749.	32,749.	
	4 Dividends and interest from securities	24,215.	24,215.	24,215.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-98,711.			
	b Gross sales price for all assets on line 6a 649,997.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			8,547.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	-166,966.	124,662.	-264,436.	
	12 **Total.** Add lines 1 through 11	-208,713.	181,626.	-198,925.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	154,628.	10,000.	10,000.	107,757.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 2	137,262.			72,026.
	b Accounting fees (attach sch) SEE ST 3	16,015.	12,011.	12,011.	
	c Other prof fees (attach sch) SEE ST 4	7,670.	7,670.	7,670.	
	17 Interest				
	18 Taxes (attach schedule)(see instr) SEE STM 5	8,963.	641.	641.	6,325.
	19 Depreciation (attach sch) and depletion	18,844.			
	20 Occupancy	4,738.			
	21 Travel, conferences, and meetings	75,556.			73,127.
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 6	108,034.	36.	36.	45,199.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	531,710.	30,358.	30,358.	304,434.
	25 Contributions, gifts, grants paid				
	26 **Total expenses and disbursements.** Add lines 24 and 25	531,710.	30,358.	30,358.	304,434.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-740,423.			
	b **Net investment income** (if negative, enter -0-)		151,268.		
	C **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	830,685.	472,298.	472,298.
	3 Accounts receivable ► 459.			
	Less. allowance for doubtful accounts ►	459.	459.	459.
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less· allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) STATEMENT 7	27,801,315.	27,518,822.	79,119,167.
	c Investments – corporate bonds (attach schedule) STATEMENT 8	986,507.	906,648.	914,536.
	11 Investments – land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule). ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) STATEMENT 9	3,000.	3,000.	3,000.
	14 Land, buildings, and equipment: basis ► 1,179,495.			
	Less. accumulated depreciation (attach schedule) SEE STMT 10 ► 71,868.	1,126,471.	1,107,627.	1,179,495.
	15 Other assets (describe ► SEE STATEMENT 11)	454,840.	454,000.	1,301,600.
	16 **Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	31,203,277.	30,462,854.	82,990,555.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	31,203,277.	30,462,854.	
	30 **Total net assets or fund balances** (see the instructions)	31,203,277.	30,462,854.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	31,203,277.	30,462,854.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,203,277.
2	Enter amount from Part I, line 27a	2	-740,423.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	30,462,854.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	30,462,854.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	PUBLICLY TRADED SECURITIES ST	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES LT	P	VARIOUS	VARIOUS
c	OTHER GAINS/LOSSES	P	VARIOUS	VARIOUS
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	98,130.		89,583.	8,547.
b	547,632.		659,125.	-111,493.
c	4,235.			4,235.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				8,547.
b				-111,493.
c				4,235.
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** -98,711.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 — **3** 8,547.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	565,357.	3,226,745.	0.175210
2007	587,579.	4,277,441.	0.137367
2006	469,733.	5,436,151.	0.086409
2005	243,860.	4,475,204.	0.054491
2004	127,500.	3,359,850.	0.037948

2	**Total** of line 1, column (d)	2	0.491425
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.098285
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,775,658.
5	Multiply line 4 by line 3	5	272,806.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,513.
7	Add lines 5 and 6	7	274,319.
8	Enter qualifying distributions from Part XII, line 4	8	304,434.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary - see instr.)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b			1	1,513.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,513.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,513.
6	Credits/Payments:				
a	2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	6,200.		
b	Exempt foreign organizations – tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	6,200.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	8.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►			9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►			10	4,679.
11	Enter the amount of line 10 to be. Credited to 2010 estimated tax ► 4,679. Refunded ►			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ► CA			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9	X	
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11	X	
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► DAVE W. STEVENSON Telephone no ► 760-807-6727

Located at ► PO BOX 2784 PARK CITY UT ZIP + 4 ► 84060

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BLOUM CARDENAS 43 RUE BEZOUT PARIS, 75014 FRANCE	TRUSTEE 10.00	50,000.	0.	0.
MARCELO ZITELLI CERVINO #3560 BUENOS AIRES, 1425 ARGENTINA	TRUSTEE 7.00	54,628.	0.	0.
DAVID STEVENSON PO BOX 2784 PARK CITY, UT 84060	TRUSTEE 10.00	50,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MARIE FRANCES PESTEL-DEBORD 61. AVENUE KLEBER PARIS, 75116 FRANCE	LEGAL	70,706.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE PURPOSE OF THE FOUNDATION IS TO PROVIDE FOR THE PRESERVATION, RESTORATION, DISPLAY, PRESENTATION, AND INTERPRETATION OF THE NIKI DE SAINT PHALLE COLLECTION AND THE CYCLOPS IN PARIS.	304,434.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	2,262,065.
b Average of monthly cash balances	1b	555,862.
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	2,817,927.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,817,927.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	42,269.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,775,658.
6 **Minimum investment return.** Enter 5% of line 5 SHORT YEAR MODIFIED PERCENTAGE 3.3562 %	6	93,157.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► [X] and do not complete this part.)

1 Minimum investment return from Part X, line 6 N/A	1	
2a Tax on investment income for 2009 from Part VI, line 5 — 2a		
b Income tax for 2009. (This does not include the tax from Part VI.) — 2b		
c Add lines 2a and 2b	2c	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	
6 Deduction from distributable amount (see instructions)	6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	304,434.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	304,434.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,513.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	302,921.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ _________				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ► 11/27/02

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	161,337.	213,872.		375,209.
b 85% of line 2a		137,136.	181,791.		318,927.
c Qualifying distributions from Part XII, line 4 for each year listed	304,434.	571,549.	598,236.	474,438.	1,948,657.
d Amounts included in line 2c not used directly for active conduct of exempt activities					0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	304,434.	571,549.	598,236.	474,438.	1,948,657.
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	62,104.	107,558.	142,581.	181,205.	493,448.
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Total			► **3a**	
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	32,749.	
4 Dividends and interest from securities			14	24,215.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property	493000	54,829.			
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-98,711.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ARCHIVE FEE INCOME			1	722.	
b NOAH'S ART INC - K-1	711130				-373,220.
c OTHER PASSTHROUGH LOSSES	211110	-16,600.	14		
d ROYALTIES			15	124,662.	
e					
12 Subtotal Add columns (b), (d), and (e)		38,229.		83,637.	-373,220.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	-251,354.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)
11B	NOAH'S ART, INC. HOLDS THE ARTWORK OF NIKI DE SAINT PHALLE, MAINTAINS THE ARTWORK AND LOANS THE ARTWORK OUT FOR DISPLAY. THUS, NOAH'S ART "CARRIES ON ACTIVITIES WHICH ARE CARRIED ON WITHIN A LARGER AGGREGATE OF SIMILAR SERVICES OR WITHIN A LARGER COMPLEX OF OTHER ENDEAVORS WHICH IS RELATED TO THE CHARITABLE, EDUCATIONAL, OR SIMILAR EXEMPT PURPOSE OF THE ORGANIZATION" AND MEETS THE DEFINITION OF A FUNCTIONALLY RELATED BUSINESS AS DEFINED IN SECTION 4942(J)(4). THE FOUNDATION HAS BEEN FORMED TO EDUCATE AND PROMOTE ART IN GENERAL, WHICH IS HELD BY NOAH'S ART, INC.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► [signature] 5/15/2010 ► TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature ► [signature] JOAN M. WOLFE, CPA | Date 5/14/10 | Check if self employed ► ☐ | Preparer's Identifying number (See Signature in the instrs) N/A

Firm's name (or yours if self-employed), address, and ZIP code ► HAMILTON THARP, LLP
323 N PACIFIC COAST HWY 101
SOLANA BEACH, CA 92075

EIN ► N/A

Phone no ► 858-481-7702

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ARCHIVE FEE INCOME	$ 722.		$ 722.
NOAH'S ART INC - K-1	-373,220.		-373,220.
OTHER PASSTHROUGH LOSSES	-16,600.		-16,600.
RENTAL INCOME - NONINVESTMENT PROPERTY	97,470.		
ROYALTIES	124,662.	$ 124,662.	124,662.
TOTAL	$ -166,966.	$ 124,662.	$ -264,436.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GENERAL CONSULTING	$ 130,472.			$ 65,236.
MUSEUM RELATED	6,790.			6,790.
TOTAL	$ 137,262.	$ 0.	$ 0.	$ 72,026.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HAMILTON THARP LLP	$ 16,015.	$ 12,011.	$ 12,011.	
TOTAL	$ 16,015.	$ 12,011.	$ 12,011.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT	$ 7,670.	$ 7,670.	$ 7,670.	
TOTAL	$ 7,670.	$ 7,670.	$ 7,670.	$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	$ 641.	$ 641.	$ 641.	
IRS FILING FEES	70.			
PAYROLL TAXES	8,252.			$ 6,325.
TOTAL	$ 8,963.	$ 641.	$ 641.	$ 6,325.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ARCHIVE FEE	$ 1,562.			$ 1,562.
ARTIST COMMISSION	25,799.			25,799.
BANK FEES	36.	$ 36.	$ 36.	
COMMUNICATIONS	5,196.			
DUES AND SUBSCRIPTIONS	360.			
GIFTS	416.			
INSURANCE	22,464.			
OFFICE EXPENSE	321.			
POSTAGE AND DELIVERY	6,501.			
RENTAL EXPENSES	23,797.			
SAFE DEPOSIT BOX	586.			
SECURITY	3,158.			
SHOW EXPENSES	17,838.			17,838.
TOTAL	$ 108,034.	$ 36.	$ 36.	$ 45,199.

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NOAH'S ART INC.	COST	$ 25,889,693.	$ 77,669,079.
STOCKS - SEE ATTACHED SCHEDULE	COST	1,629,129.	1,450,088.
	TOTAL	$ 27,518,822.	$ 79,119,167.

STATEMENT 8
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BONDS - SEE ATTACHED SCHEDULE	COST	$ 906,648.	$ 914,536.
	TOTAL	$ 906,648.	$ 914,536.

STATEMENT 9
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
WINE COLLECTION	COST	$ 3,000.	$ 3,000.
	TOTAL	$ 3,000.	$ 3,000.

STATEMENT 10
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MISCELLANEOUS	$ 1,179,495.	$ 71,868.	$ 1,107,627.	$ 1,179,495.
TOTAL	$ 1,179,495.	$ 71,868.	$ 1,107,627.	$ 1,179,495.

STATEMENT 11
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
NIKI DE SAINT PHALLE ARTWORK	$ 423,800.	$ 1,271,400.
SECURITY DEPOSIT	200.	200.
WEBSITE DESIGN	30,000.	30,000.
TOTAL	$ 454,000.	$ 1,301,600.

UBS ACCESS
December 2009

Account name BLOUM CARDENAS DAVID W
Friendly account name Marisco LCG
Account number FP 06089 88

Your Financial Advisor
RMG GROUP
801-521-8840

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	11,751 66	8,504 20	1 00	0 01%	Nov 23 to Dec 23	31

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI $218 Current yield 2 97%	Jan 28, 09	11 000	54 477	599 25	53 990	593 89	-5 36	ST
	Jan 30, 09	18 000	55 372	996 71	53 990	971 82	-24 89	ST
	Feb 9, 09	19 000	57 144	1,085 75	53 990	1,025 81	-59 94	ST
	Feb 19, 09	16 000	54 484	871 75	53 990	863 84	-7 91	ST
	Sep 9, 09	34 000	46 171	1,569 84	53 990	1,835 66	265 82	ST
	Sep 10, 09	25 000	46 720	1,168 00	53 990	1,349 75	181 75	ST
	Sep 14, 09	13 000	47 035	611 46	53 990	701 87	90 41	ST
Security total		136 000		6,902 76		7,342 64	439 88	
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Oct 16, 09	35 000	35 726	1,250 44	36 780	1,287 30	36 86	ST
	Nov 3, 09	19 000	33 037	627 70	36 780	698 82	71 12	ST
	Nov 4, 09	15 000	34 389	515 84	36 780	551 70	35 86	ST
Security total		69 000		2,393 98		2,537 82	143 84	
AMAZON COM INC								
Symbol AMZN Exchange OTC	Jul 10, 09	13 000	77 687	1,009 94	134 520	1,748 76	738 82	ST
	Oct 23, 09	8 000	116 205	929 64	134 520	1,076 16	146 52	ST
	Nov 2, 09	18 000	117 443	2,113 99	134 520	2,421 36	307 37	ST

continued next page

UBS

Friendly account name Marisco LCG
Account number FP 06089 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		39 000		4,053 57		5,246 28	1,192 71	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI $68 Current yield 1 79%	May 6, 09	37 000	26 727	988 92	40 520	1,499 24	510 32	ST
	Aug 27, 09	12 000	34 046	408 56	40 520	486 24	77 68	ST
	Oct 15, 09	22 000	34 945	768 79	40 520	891 44	122 65	ST
	Nov 13, 09	23 000	40 155	923 57	40 520	931 96	8 39	ST
Security total		94 000		3,089 84		3,808 88	719 04	
AMER TOWER CORP CL A								
Symbol AMT Exchange NYSE	Sep 9, 09	34 000	34 198	1,162 74	43 210	1,469 14	306 40	ST
	Sep 14, 09	16 000	34 961	559 38	43 210	691 36	131 98	ST
	Sep 16, 09	18 000	35 537	639 67	43 210	777 78	138 11	ST
	Oct 6, 09	13 000	37 293	484 82	43 210	561 73	76 91	ST
	Oct 12, 09	19 000	37 584	714 11	43 210	820 99	106 88	ST
Security total		100 000		3,560 72		4,321 00	760 28	
APPLE INC								
Symbol AAPL Exchange OTC	Jun 17, 08	3 000	179 488	538 47	210 732	632 20	93 73	LT
	Jun 18, 08	7 000	179 439	1,256 08	210 732	1,475 12	219 04	LT
	Jul 24, 08	15 000	161 236	2,418 55	210 732	3,160 98	742 43	LT
	Mar 13, 09	4 000	96 175	384 70	210 732	842 93	458 23	ST
	Apr 2, 09	11 000	113 470	1,248 18	210 732	2,318 05	1,069 87	ST
	Apr 2, 09	9 000	111 413	1,002 72	210 732	1,896 59	893 87	ST
	Oct 1, 09	9 000	183 376	1,650 39	210 732	1,896 59	246 20	ST
Security total		58 000		8,499 09		12,222 45	3,723 37	
BAIDU INC ADS REPSNTG CL A ORD SHS								
Symbol BIDU Exchange OTC	Sep 17, 09	3 000	401 045	1,203 14	411 230	1,233 69	30 55	ST
	Sep 24, 09	4 000	388 338	1,553 35	411 230	1,644 92	91 57	ST
	Oct 6, 09	1 000	396 418	396 42	411 230	411 23	14 81	ST
	Oct 28, 09	3 000	393 295	1,179 89	411 230	1,233 69	53 80	ST
	Nov 5, 09	1 000	396 551	396 55	411 230	411 23	14 68	ST
	Nov 6, 09	3 000	402 903	1,208 71	411 230	1,233 69	24 98	ST
Security total		15 000		5,938 06		6,168 45	230 39	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BHP BILLITON PLC NEW SPON ADR								
Symbol BBL Exchange NYSE								
EAI $161 Current yield 2 57%	Mar 12, 09	21 000	35 700	749 71	63 850	1,340 85	591 14	ST
	Mar 13, 09	24 000	38 304	919 32	63 850	1,532 40	613 08	ST
	Apr 2, 09	5 000	43 342	216 71	63 850	319 25	102 54	ST
	Apr 21, 09	10 000	40 603	406 04	63 850	638 50	232 46	ST
	Apr 23, 09	13 000	41 131	534 70	63 850	830 05	295 35	ST
	May 6, 09	10 000	47 083	470 84	63 850	638 50	167 66	ST
	Aug 6, 09	4 000	52 947	211 79	63 850	255 40	43 61	ST
	Aug 10, 09	11 000	51 476	566 24	63 850	702 35	136 11	ST
Security total		98 000		4,075 35		6,257 30	2,181 95	
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $273 Current yield 2 17%	Jun 9, 09	51 000	17 556	895 36	27 630	1,409 13	513 77	ST
	Jul 7, 09	45 000	14 821	666 97	27 630	1,243 35	576 38	ST
	Jul 8, 09	32 000	14 984	479 51	27 630	884 16	404 65	ST
	Jul 30, 09	66 000	21 579	1,424 21	27 630	1,823 58	399 37	ST
	Aug 6, 09	48 000	23 050	1,106 43	27 630	1,326 24	219 81	ST
	Sep 17, 09	34 000	26 156	889 32	27 630	939 42	50 10	ST
	Sep 23, 09	14 000	26 733	374 27	27 630	386 82	12 55	ST
	Dec 2, 09	48 000	28 466	1,366 41	27 630	1,326 24	-40 17	ST
	Dec 28, 09	117 000	28 317	3,313 14	27 630	3,232 71	-80 43	ST
Security total		455 000		10,515 62		12,571 65	2,056 03	
EOG RESOURCES INC								
Symbol EOG Exchange NYSE								
EAI $25 Current yield 0 60%	May 7, 09	14 000	75 614	1,058 60	97 300	1,362 20	303 60	ST
	Nov 16, 09	14 000	90 261	1,263 66	97 300	1,362 20	98 54	ST
	Dec 21, 09	5 000	94 547	472 74	97 300	486 50	13 76	ST
	Dec 23, 09	6 000	98 959	593 75	97 300	583 80	-9 95	ST
	Dec 28, 09	4 000	99 846	399 39	97 300	389 20	-10 19	ST
Security total		43 000		3,788 14		4,183 90	395 76	

continued next page

UBS

Friendly account name Marisco LCG
Account number FP 06089 88

Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL DYNAMICS CORP								
Symbol GD Exchange NYSE								
EAI $184 Current yield 2 23%	Dec 26, 06	103 000	74 306	7,653 52	68 170	7,021 51	-632 01	LT
	Jan 9, 09	18 000	58 042	1,044 77	68 170	1,227 06	182 29	ST
Security total		121 000		8,698 29		8,248 57	-449 72	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Dec 22, 08	10 000	50 029	500 30	43 270	432 70	-67 60	LT
	Dec 23, 08	10 000	50 529	505 30	43 270	432 70	-72 60	LT
	Dec 23, 08	5 000	50 428	252 14	43 270	216 35	-35 79	LT
	Jan 28, 09	9 000	50 289	452 61	43 270	389 43	-63 18	ST
	Oct 22, 09	47 000	44 749	2,103 21	43 270	2,033 69	-69 52	ST
	Oct 23, 09	31 000	44 103	1,367 20	43 270	1,341 37	-25 83	ST
Security total		112 000		5,180 76		4,846 24	-334 52	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $63 Current yield 0 83%	Mar 25, 09	25 000	110 645	2,766 13	168 840	4,221 00	1,454 87	ST
	Apr 14, 09	20 000	123 199	2,463 98	168 840	3,376 80	912 82	ST
Security total		45 000		5,230 11		7,597 80	2,367 69	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Oct 30, 08	5 000	361 804	1,809 02	619 980	3,099 90	1,290 88	LT
	Oct 31, 08	2 000	358 230	716 46	619 980	1,239 96	523 50	LT
	Jan 29, 09	4 000	341 608	1,366 43	619 980	2,479 92	1,113 49	ST
	Feb 9, 09	4 000	378 850	1,515 40	619 980	2,479 92	964 52	ST
	Feb 18, 09	3 000	350 000	1,050 00	619 980	1,859 94	809 94	ST
	Aug 27, 09	4 000	466 084	1,864 34	619 980	2,479 92	615 58	ST
Security total		22 000		8,321 65		13,639 56	5,317 91	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HBC Exchange NYSE								
EAI $109 Current yield 2 98%	Aug 28, 09	31 000	55 124	1,708 85	57 090	1,769 79	60 94	ST
	Sep 1, 09	33 000	53 035	1,750 18	57 090	1,883 97	133 79	ST
Security total		64 000		3,459 03		3,653 76	194 73	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $180 Current yield 1 68%	Jan 30, 09	17 000	92 833	1,578 17	130 900	2,225 30	647 13	ST
	Feb 9, 09	6 000	96 342	578 06	130 900	785 40	207 34	ST
	Apr 2, 09	23 000	100 162	2,303 73	130 900	3,010 70	706 97	ST
	Apr 2, 09	9 000	100 815	907 34	130 900	1,178 10	270 76	ST
	Aug 7, 09	4 000	119 187	476 75	130 900	523 60	46 85	ST
	Aug 27, 09	23 000	119 386	2,745 88	130 900	3,010 70	264 82	ST
Security total		82 000		8,589 93		10,733 80	2,143 87	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $194 Current yield 3 04%	Nov 12, 09	21 000	61 239	1,286 03	64 410	1,352 61	66 58	ST
	Nov 12, 09	10 000	61 234	612 35	64 410	644 10	31 75	ST
	Nov 16, 09	20 000	62 281	1,245 62	64 410	1,288 20	42 58	ST
	Nov 16, 09	10 000	61 989	619 90	64 410	644 10	24 20	ST
	Nov 20, 09	19 000	62 456	1,186 68	64 410	1,223 79	37 11	ST
	Nov 27, 09	5 000	62 758	313 79	64 410	322 05	8 26	ST
	Dec 1, 09	14 000	63 508	889 12	64 410	901 74	12 62	ST
Security total		99 000		6,153 49		6,376 59	223 10	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $35 Current yield 0 47%	Mar 23, 09	14 000	27 622	386 72	41 670	583 38	196 66	ST
	Mar 24, 09	34 000	28 490	968 67	41 670	1,416 78	448 11	ST
	Mar 25, 09	39 000	27 178	1,059 95	41 670	1,625 13	565 18	ST
	Apr 1, 09	51 000	28 069	1,431 53	41 670	2,125 17	693 64	ST
	Apr 13, 09	22 000	32 011	704 24	41 670	916 74	212 50	ST
	Jul 13, 09	17 000	34 091	579 56	41 670	708 39	128 83	ST
Security total		177 000		5,130 67		7,375 59	2,244 92	
MASTERCARD INC CL A								
Symbol MA Exchange NYSE								
EAI $19 Current yield 0 24%	Oct 2, 07	9 000	154 202	1,387 82	255 980	2,303 82	916 00	LT
	Mar 25, 08	2 000	229 251	458 50	255 980	511 96	53 46	LT
	Oct 9, 08	8 000	156 181	1,249 45	255 980	2,047 84	798 39	LT

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Friendly account name Marisco LCG
Account number FP 06089 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 28, 09	3 000	133 920	401 76	255 980	767 94	366 18	ST
	Dec 2, 09	9 000	243 242	2,189 19	255 980	2,303 82	114 63	ST
Security total		31 000		5,686 72		7,935 38	2,248 66	
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI $378 Current yield 3 52%	May 16, 07	7 000	51 376	359 63	62 440	437 08	77 45	LT
	May 18, 07	14 000	51 980	727 73	62 440	874 16	146 43	LT
	May 21, 07	32 000	52 071	1,666 29	62 440	1,998 08	331 79	LT
	May 22, 07	31 000	52 522	1,628 19	62 440	1,935 64	307 45	LT
	Jun 26, 07	21 000	51 697	1,085 66	62 440	1,311 24	225 58	LT
	Jul 2, 07	19 000	51 251	973 78	62 440	1,186 36	212 58	LT
	Oct 4, 07	10 000	56 063	560 63	62 440	624 40	63 77	LT
	Oct 5, 07	14 000	56 044	784 62	62 440	874 16	89 54	LT
	Jan 25, 08	23 000	54 148	1,245 41	62 440	1,436 12	190 71	LT
	Mar 25, 08	1 000	56 404	56 40	62 440	62 44	6 04	LT
Security total		172 000		9,088 34		10,739 68	1,651 34	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $258 Current yield 4 15%	Sep 9, 09	36 000	31 531	1,135 14	36 540	1,315 44	180 30	ST
	Sep 11, 09	37 000	32 449	1,200 63	36 540	1,351 98	151 35	ST
	Sep 14, 09	18 000	32 671	588 08	36 540	657 72	69 64	ST
	Sep 15, 09	18 000	32 806	590 51	36 540	657 72	67 21	ST
	Oct 20, 09	23 000	33 802	777 47	36 540	840 42	62 95	ST
	Nov 27, 09	25 000	36 460	911 52	36 540	913 50	1 98	ST
	Dec 1, 09	13 000	36 926	480 04	36 540	475 02	-5 02	ST
Security total		170 000		5,683 39		6,211 80	528 41	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $104 Current yield 1 64%	Jul 10, 08	12 000	55 509	666 11	66 070	792 84	126 73	LT
	Jul 11, 08	13 000	56 364	732 73	66 070	858 91	126 18	LT
	Jul 16, 08	11 000	57 118	628 30	66 070	726 77	98 47	LT
	Jul 17, 08	13 000	58 480	760 24	66 070	858 91	98 67	LT
	Nov 26, 08	15 000	51 860	777 91	66 070	991 05	213 14	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 26, 08	2 000	52 966	105 93	66 070	132 14	26 21	LT
	Dec 8, 08	15 000	56 630	849 45	66 070	991 05	141 60	LT
	Dec 9, 08	15 000	53 811	807 17	66 070	991 05	183 88	LT
Security total		96 000		5,327 84		6,342 72	1,014 88	
NORDSTROM INC Symbol JWN Exchange NYSE EAI $24 Current yield 1 73%	Nov 16, 09	18 000	35 032	630 58	37 580	676 44	45 86	ST
	Nov 20, 09	19 000	33 700	640 30	37 580	714 02	73 72	ST
Security total		37 000		1,270 88		1,390 46	119 58	
NORFOLK STHN CORP Symbol NSC Exchange NYSE EAI $136 Current yield 2 59%	Feb 1, 08	6 000	55 311	331 87	52 420	314 52	-17 35	LT
	Feb 11, 08	13 000	54 841	712 94	52 420	681 46	-31 48	LT
	Mar 3, 08	10 000	53 239	532 39	52 420	524 20	-8 19	LT
	Mar 4, 08	5 000	53 010	265 05	52 420	262 10	-2 95	LT
	May 8, 08	8 000	61 968	495 74	52 420	419 36	-76 38	LT
	May 29, 08	9 000	65 329	587 96	52 420	471 78	-116 18	LT
	Sep 29, 08	7 000	66 022	462 16	52 420	366 94	-95 22	LT
	Oct 23, 08	15 000	53 655	804 83	52 420	786 30	-18 53	LT
	Jan 8, 09	10 000	47 487	474 87	52 420	524 20	49 33	ST
	Jan 9, 09	17 000	46 447	789 60	52 420	891 14	101 54	ST
Security total		100 000		5,457 41		5,242 00	-215 41	
PETROLEO BRASILEIRO SA SPON ADR Symbol PBR Exchange NYSE EAI $59 Current yield 0 74%	Oct 14, 08	71 000	32 458	2,304 54	47 680	3,385 28	1,080 74	LT
	Jan 29, 09	18 000	26 428	475 71	47 680	858 24	382 53	ST
	Jan 30, 09	17 000	26 816	455 88	47 680	810 56	354 68	ST
	Apr 13, 09	3 000	35 839	107 52	47 680	143 04	35 52	ST
	May 4, 09	28 000	37 295	1,044 26	47 680	1,335 04	290 78	ST
	May 6, 09	30 000	38 851	1,165 54	47 680	1,430 40	264 86	ST
Security total		167 000		5,553 45		7,962 56	2,409 11	

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Friendly account name Marisco LCG
Account number FP 06089 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
POTASH CORP SASK INC CANADA								
Symbol POT Exchange NYSE								
EAI $9 Current yield 0 36%	Mar 9, 09	1 000	68 901	68 90	108 500	108 50	39 60	ST
	Mar 10, 09	11 000	72 575	798 33	108 500	1,193 50	395 17	ST
	May 19, 09	2 000	113 967	227 94	108 500	217 00	-10 94	ST
	May 26, 09	9 000	113 464	1,021 18	108 500	976 50	-44 68	ST
Security total		23 000		2,116 35		2,495 50	379 15	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI $112 Current yield 3 68%	Aug 27, 09	9 000	55 046	495 42	58 540	526 86	31 44	ST
	Aug 28, 09	11 000	55 905	614 96	58 540	643 94	28 98	ST
	Aug 31, 09	11 000	55 289	608 18	58 540	643 94	35 76	ST
	Sep 1, 09	10 000	54 340	543 41	58 540	585 40	41 99	ST
	Sep 9, 09	11 000	56 382	620 20	58 540	643 94	23 74	ST
Security total		52 000		2,882 17		3,044 08	161 91	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $101 Current yield 2 00%	Dec 26, 06	23 000	59 870	1,377 01	80 310	1,847 13	470 12	LT
	May 18, 07	23 000	69 008	1,587 19	80 310	1,847 13	259 94	LT
	Jul 25, 07	17 000	75 803	1,288 67	80 310	1,365 27	76 60	LT
Security total		63 000		4,252 87		5,059 53	806 66	
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC	Dec 9, 09	6 000	214 963	1,289 78	218 410	1,310 46	20 68	ST
	Dec 16, 09	3 000	222 023	666 07	218 410	655 23	-10 84	ST
Security total		9 000		1,955 85		1,965 69	9 84	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $139 Current yield 1 47%	Nov 20, 07	1 000	41 304	41 30	46 260	46 26	4 96	LT
	Nov 21, 07	21 000	41 412	869 67	46 260	971 46	101 79	LT
	Mar 26, 08	14 000	40 275	563 85	46 260	647 64	83 79	LT
	Jul 24, 08	39 000	52 746	2,057 12	46 260	1,804 14	-252 98	LT
	Aug 8, 08	18 000	55 302	995 45	46 260	832 68	-162 77	LT
	Oct 30, 08	7 000	39 425	275 98	46 260	323 82	47 84	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 31, 08	22 000	38 726	851 97	46 260	1,017 72	165 75	LT
	Apr 2, 09	44 000	41 356	1,819 67	46 260	2,035 44	215 77	ST
	Sep 8, 09	25 000	45 964	1,149 11	46 260	1,156 50	7 39	ST
	Sep 9, 09	14 000	46 423	649 93	46 260	647 64	-2 29	ST
Security total		205 000		9,274 05		9,483 30	209 25	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW Symbol HOT Exchange NYSE EAI $11 Current yield 0 57%	Oct 20, 08	33 000	20 806	686 61	36 570	1,206 81	520 20	LT
	Oct 21, 08	20 000	21 467	429 34	36 570	731 40	302 06	LT
Security total		53 000		1,115 95		1,938 21	822 26	
THE DIRECTV GROUP CL A Symbol DTV Exchange OTC	Jun 30, 09	20 000	24 759	495 19	33 350	667 00	171 81	ST
	Jul 1, 09	22 000	24 892	547 64	33 350	733 70	186 06	ST
Security total		42 000		1,042 83		1,400 70	357 87	
TRANSOCEAN LTD Symbol RIG Exchange NYSE	Dec 6, 07	6 000	131 962	791 78	82 800	496 80	-294 98	LT
	Dec 7, 07	5 000	133 493	667 47	82 800	414 00	-253 47	LT
	Dec 12, 07	5 000	139 177	695 89	82 800	414 00	-281 89	LT
	Dec 24, 07	3 000	145 376	436 13	82 800	248 40	-187 73	LT
	Jan 9, 08	16 000	139 510	2,232 17	82 800	1,324 80	-907 37	LT
	Oct 10, 08	36 000	66 453	2,392 34	82 800	2,980 80	588 46	LT
	Feb 9, 09	17 000	60 744	1,032 65	82 800	1,407 60	374 95	ST
	Feb 9, 09	5 000	61 479	307 40	82 800	414 00	106 60	ST
	Feb 10, 09	29 000	58 786	1,704 81	82 800	2,401 20	696 39	ST
	Oct 30, 09	8 000	82 990	663 92	82 800	662 40	-1 52	ST
Security total		130 000		10,924 56		10,764 00	-160 56	
UNION PACIFIC CORP Symbol UNP Exchange NYSE EAI $175 Current yield 1 69%	Dec 26, 06	138 000	45 475	6,275 55	63 900	8,818 20	2,542 65	LT
	Feb 1, 08	14 000	63 181	884 54	63 900	894 60	10 06	LT
	Oct 30, 09	10 000	54 940	549 40	63 900	639 00	89 60	ST

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Friendly account name Marisco LCG
Account number FP 06089 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		162 000		7,709 49		10,351 80	2,642 31	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $42 Current yield 0 88%	Dec 3, 08	22 000	25 563	562 39	22 510	495 22	-67 17	LT
	Mar 13, 09	81 000	14 292	1,157 70	22 510	1,823 31	665 61	ST
	Mar 25, 09	87 000	15 932	1,386 13	22 510	1,958 37	572 24	ST
	Oct 30, 09	21 000	23 260	488 46	22 510	472 71	-15 75	ST
Security total		211 000		3,594 68		4,749 61	1,154 93	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI $42 Current yield 0 57%	Oct 8, 08	23 000	53 286	1,225 60	87 460	2,011 58	785 98	LT
	Oct 14, 08	17 000	57 658	980 20	87 460	1,486 82	506 62	LT
	Jan 9, 09	32 000	55 348	1,771 15	87 460	2,798 72	1,027 57	ST
	Dec 2, 09	12 000	82 982	995 79	87 460	1,049 52	53 73	ST
Security total		84 000		4,972 74		7,346 64	2,373 90	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $69 Current yield 0 74%	May 4, 09	49 000	23 163	1,135 00	26 990	1,322 51	187 51	ST
	May 5, 09	71 000	22 947	1,629 27	26 990	1,916 29	287 02	ST
	May 8, 09	147 000	26 927	3,958 40	26 990	3,967 53	9 13	ST
	Aug 6, 09	33 000	28 209	930 92	26 990	890 67	-40 25	ST
	Oct 1, 09	44 000	27 425	1,206 73	26 990	1,187 56	-19 17	ST
Security total		344 000		8,860 32		9,284 56	424 24	
YAHOO INC								
Symbol YHOO Exchange OTC	Sep 28, 09	32 000	17 384	556 31	16 780	536 96	-19 35	ST
	Sep 29, 09	35 000	17 409	609 33	16 780	587 30	-22 03	ST
	Sep 30, 09	71 000	17 388	1,234 58	16 780	1,191 38	-43 20	ST
Security total		138 000		2,400 22		2,315 64	-84 58	
Total				**$202,751.17**		**$243,156 14**	**$40,404 98**	

Total estimated annual income $3,188

Your assets (continued)

Fixed income

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL Symbol WFCPRJ Exchange NYSE EAI $142 Current yield 7 78%	Dec 30, 08	7 000	21 898	153 29	25 700	179 90	26 61	LT
	Dec 31, 08	14 000	21 948	307 28	25 700	359 80	52 52	ST
	Mar 18, 09	12 000	16 249	194 99	25 700	308 40	113 41	ST
	Aug 28, 09	38 000	24 501	931 05	25 700	976 60	45 55	ST
Security total		71 000		1,586 61		1,824 70	238 09	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**8,504 20**	**3 35%**	**8,504 20**		
Equities	**Common stock**	**243,156 14**	**95.93%**	**202,751 17**	**3,188.00**	**40,404.98**
Fixed income	**Preferred securities**	**1,824.70**	**0.72%**	**1,586.61**	**142 00**	**238 09**
Total		**$253,485.04**	**100.00%**	**$212,841.98**	**$3,330.00**	**$40,643.07**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	VISA INC CL A PAID ON 95	11 88
	Dec 1	Dividend	WELLS FARGO & CO NEW PAID ON 344	17 20
	Dec 7	Foreign Dividend	PETROLEO BRASILEIRO SA SPON ADR PAID ON 174	59 46
	Dec 8	Dividend	JOHNSON & JOHNSON COM PAID ON 61	29 89
	Dec 10	Dividend	INTL BUSINESS MACH PAID ON 82	45 10
	Dec 10	Dividend	NORFOLK STHN CORP PAID ON 100	34 00
	Dec 11	Dividend	PPG INDUSTRIES INC PAID ON 52	28 08
	Dec 15	Dividend	MCDONALDS CORP PAID ON 172	94 60

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	38 15				
RMA MONEY MKT PORTFOLIO	7,339 94	5,534 69	1 00	0 01%	Nov 23 to Dec 23	31
Total	**$7,339 94**	**$5,572.84**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLIANZ SE ADR								
Symbol AZSEY Exchange OTC								
EAI $88 Current yield 2 69%	Mar 17, 09	141 000	7 886	1,111 94	12 573	1,772 79	660 85	ST
	Mar 20, 09	119 000	8 853	1,053 60	12 573	1,496 19	442 59	ST
Security total		260 000		2,165 54		3,268 98	1,103 44	
ANHEUSER BUSCH INBEV SPON ADR								
Symbol BUD Exchange NYSE	Jul 6, 09	47 000	37 386	1,757 16	52 030	2,445 41	688 25	ST
	Nov 12, 09	65 000	47 781	3,105 80	52 030	3,381 95	276 15	ST
Security total		112 000		4,862 96		5,827 36	964 40	
BAE SYSTEMS PLC SPON ADR								
Symbol BAESY Exchange OTC								
EAI $161 Current yield 4 10%	Apr 16, 08	24 000	37 052	889 27	23 222	557 33	-331 94	LT
	May 9, 08	44 000	37 117	1,633 18	23 222	1,021 77	-611 41	LT
	Aug 5, 08	20 000	36 497	729 94	23 222	464 44	-265 50	LT
	Oct 9, 08	29 000	25 929	751 96	23 222	673 44	-78 52	LT
	Oct 15, 08	41 000	25 157	1,031 46	23 222	952 10	-79 36	LT
	Oct 31, 08	11 000	22 271	244 98	23 222	255 44	10 46	LT

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Friendly account name Lazard Int'l
Account number FP 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		169 000		5,280 79		3,924 51	-1,356 27	
BANCO BILBAO VIZCAYA ARGENTARIA S A SPON ADR Symbol BBVA Exchange NYSE EAI $119 Current yield 2 21%	Sep 29, 09	178 000	17 952	3,195 49	18 040	3,211 12	15 63	ST
	Oct 6, 09	66 000	17 763	1,172 42	18 040	1,190 64	18 22	ST
	Oct 13, 09	55 000	18 092	995 08	18 040	992 20	-2 88	ST
Security total		299 000		5,362 99		5,393 96	30 97	
BARCLAYS PLC ADR Symbol BCS Exchange NYSE EAI $18 Current yield 0 38%	Mar 27, 09	179 000	9 604	1,719 28	17 600	3,150 40	1,431 12	ST
	Apr 27, 09	93 000	13 974	1,299 58	17 600	1,636 80	337 22	ST
Security total		272 000		3,018 86		4,787 20	1,768 34	
BARRICK GOLD CORP Symbol ABX Exchange NYSE EAI $34 Current yield 1 02%	Feb 5, 09	45 000	38 650	1,739 29	39 380	1,772 10	32 81	ST
	Feb 18, 09	40 000	38 030	1,521 21	39 380	1,575 20	53 99	ST
Security total		85 000		3,260 50		3,347 30	86 80	
BG GROUP PLC SPON ADR Symbol BRGYY Exchange OTC EAI $31 Current yield 1 07%	Jul 21, 08	22 000	112 514	2,475 31	90 594	1,993 06	-482 25	LT
	Sep 26, 08	10 000	102 027	1,020 28	90 594	905 94	-114 34	LT
Security total		32 000		3,495 59		2,899 00	-596 59	
BHP BILLITON LTD SPON ADR Symbol BHP Exchange NYSE EAI $79 Current yield 2 15%	May 8, 09	33 000	53 802	1,775 49	76 580	2,527 14	751 65	ST
	Jun 2, 09	15 000	60 116	901 75	76 580	1,148 70	246 95	ST
Security total		48 000		2,677 24		3,675 84	998 60	
BNP PARIBAS SA ADR Symbol BNPQY Exchange OTC EAI $71 Current yield 1 29%	Mar 13, 09	35 000	18 887	661 06	40 101	1,403 53	742 47	ST
	Mar 17, 09	71 000	20 528	1,457 51	40 101	2,847 17	1,389 66	ST
	Nov 5, 09	31 000	40 693	1,261 51	40 101	1,243 13	-18 38	ST
Security total		137 000		3,380 08		5,493 83	2,113 75	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI $386 Current yield 5 79%	Oct 26, 04	90 000	58 500	5,265 00[1]	57 970	5,217 30	-47 70	LT
	Jan 31, 07	25 000	62 760	1,569 00	57 970	1,449 25	-119 75	LT
Security total		115 000		6,834 00		6,666 55	-167 45	
BRITISH AMER TOBACCO PLC GB SPON ADR								
Symbol BTI Exchange AMEX								
EAI $306 Current yield 4 23%	Jan 31, 07	85 000	61 120	5,195 20	64 660	5,496 10	300 90	LT
	Aug 5, 08	14 000	74 866	1,048 13	64 660	905 24	-142 89	LT
	Oct 15, 08	13 000	59 777	777 10	64 660	840 58	63 48	LT
Security total		112 000		7,020 43		7,241 92	221 49	
CANON INC ADR JAPAN SPON ADR								
Symbol CAJ Exchange NYSE								
EAI $223 Current yield 2 51%	Oct 14, 04	102 000	32 060	3,270 12[1]	42 320	4,316 64	1,046 52	LT
	Sep 12, 07	20 000	53 165	1,063 32	42 320	846 40	-216 92	LT
	Apr 17, 08	46 000	48 758	2,242 88	42 320	1,946 72	-296 16	LT
	Oct 31, 08	37 000	34 003	1,258 13	42 320	1,565 84	307 71	LT
	Feb 5, 09	5 000	27 539	137 70	42 320	211 60	73 90	ST
Security total		210 000		7,972 15		8,887 20	915 05	
CREDIT SUISSE GROUP SPON ADR								
Symbol CS Exchange NYSE								
EAI $6 Current yield 0 11%	Apr 23, 09	87 000	37 343	3,248 85	49 160	4,276 92	1,028 07	ST
	May 11, 09	21 000	41 117	863 48	49 160	1,032 36	168 88	ST
Security total		108 000		4,112 33		5,309 28	1,196 95	
CRH PLC IRELAND SPON ADR								
Symbol CRH Exchange NYSE								
EAI $78 Current yield 3 40%	Nov 19, 09	84 000	25 987	2,182 92	27 330	2,295 72	112 80	ST
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE								
EAI $154 Current yield 4 68%	Oct 14, 04	20 000	44 520	890 44[1]	50 610	1,012 20	121 76	LT
	Feb 15, 08	17 000	65 864	1,119 70	50 610	860 37	-259 33	LT
	Apr 4, 08	17 000	70 811	1,203 80	50 610	860 37	-343 43	LT

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UBS

Friendly account name Lazard Int'l
Account number FP 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 16, 08	11 000	74 708	821 79	50 610	556 71	-265 08	LT
Security total		65 000		4,035 73		3,289 65	-746 08	
ESPRIT HOLDINGS LTD SPON ADR Symbol ESPGY Exchange OTC EAI $112 Current yield 2 66%	May 4, 09	140 000	12 580	1,761 31	13 348	1,868 72	107 41	ST
	Oct 13, 09	176 000	13 870	2,441 23	13 348	2,349 24	-91 99	ST
Security total		316 000		4,202 54		4,217 96	15 42	
FANUC LTD ADR Symbol FANUY Exchange OTC EAI $20 Current yield 0 63%	May 1, 09	45 000	36 942	1,662 39	46 350	2,085 75	423 36	ST
	Jun 3, 09	24 000	41 349	992 38	46 350	1,112 40	120 02	ST
Security total		69 000		2,654 77		3,198 15	543 38	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI $271 Current yield 4 39%	Oct 19, 04	85 000	42 200	3,587 08[1]	42 250	3,591 25	4 17	LT
	Jan 31, 07	10 000	53 910	539 10	42 250	422 50	-116 60	LT
	Dec 24, 08	51 000	35 833	1,827 53	42 250	2,154 75	327 22	LT
Security total		146 000		5,953 71		6,168 50	214 79	
HEINEKEN N V ADR NETHERLANDS ADR Symbol HINKY Exchange OTC EAI $30 Current yield 1 27%	Oct 14, 04	27 000	15 760	425 76[1]	23 863	644 30	218 54	LT
	Mar 18, 09	72 000	13 715	987 50	23 863	1,718 13	730 63	ST
Security total		99 000		1,413 26		2,362 43	949 17	
HOYA CORP SPON ADR Symbol HOCPY Exchange OTC EAI $103 Current yield 2 29%	May 27, 05	60 000	28 180	1,690 82[1]	26 425	1,585 50	-105 32	LT
	Sep 29, 08	48 000	20 620	989 77	26 425	1,268 40	278 63	LT
	Oct 14, 08	40 000	20 075	803 02	26 425	1,057 00	253 98	LT
	Feb 27, 09	22 000	18 350	403 70	26 425	581 35	177 65	ST
Security total		170 000		3,887 31		4,492 25	604 94	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HSBC HOLDINGS PLC NEW GB SPON ADR Symbol HBC Exchange NYSE EAI $182 Current yield 2 98%	Jun 17, 09	107 000	43 259	4,628 81	57 090	6,108 63	1,479 82	ST
IMPERIAL TOBACCO GROUP PLC SPON ADR Symbol ITYBY Exchange OTC EAI $259 Current yield 3 82%	Sep 2, 05	13 000	57 620	749 13'	63 302	822 93	73 80	LT
	Apr 16, 08	21 000	96 609	2,028 81	63 302	1,329 34	-699 47	LT
	Jun 24, 08	11 000	75 429	829 73	63 302	696 32	-133 41	LT
	Jul 30, 08	34 000	74 371	2,528 62	63 302	2,152 27	-376 35	LT
	Sep 24, 08	17 000	68 317	1,161 39	63 302	1,076 13	-85 26	LT
	Feb 27, 09	11 000	48 258	530 85	63 302	696 32	165 47	ST
Security total		107 000		7,828 53		6,773 31	-1,055 22	
LLOYDS BANKING GROUP PLC SPON ADR Symbol LYG Exchange NYSE	Aug 7, 09	424 000	6 774	2,872 52	3 270	1,386 48	-1,486 04	ST
	Sep 4, 09	185 000	6 711	1,241 57	3 270	604 95	-636 62	ST
	Sep 21, 09	277 000	7 079	1,961 05	3 270	905 79	-1,055 26	ST
Security total		886 000		6,075 14		2,897 22	-3,177 92	
LVMH MOET HENNESSY LOUIS NEW ADR Symbol LVMUY Exchange OTC EAI $53 Current yield 1 39%	Apr 28, 09	107 000	14 570	1,559 05	22 491	2,406 53	847 48	ST
	Jun 2, 09	62 000	17 576	1,089 72	22 491	1,394 44	304 72	ST
Security total		169 000		2,648 77		3,800 97	1,152 20	
MERCK KGAA ADR Symbol MKGAY Exchange OTC EAI $27 Current yield 1 51%	Feb 27, 09	58 000	24 924	1,445 60	30 912	1,792 89	347 29	ST
MITSUBISHI ESTATE LTD ADR ADR Symbol MITEY Exchange OTC EAI $25 Current yield 0 87%	May 12, 09	11 000	150 450	1,654 95	158 763	1,746 39	91 44	ST
	Sep 9, 09	7 000	178 036	1,246 26	158 763	1,111 34	-134 92	ST
Security total		18 000		2,901 21		2,857 73	-43 48	

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UBS

Friendly account name Lazard Int'l
Account number FP 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol NSRGY Exchange OTC EAI $59 Current yield 1 64%	Oct 26, 04	42 000	23 737	997 11[1]	48 561	2,039 56	1,042 45	LT
	Jul 6, 07	32 000	39 175	1,253 62	48 561	1,553 95	300 33	LT
Security total		74 000		2,250 73		3,593 51	1,342 78	
NOKIA CORP SPONS ADR FINLAND ADR Symbol NOK Exchange NYSE EAI $55 Current yield 3 06%	Feb 4, 09	26 000	12 753	331 59	12 850	334 10	2 51	ST
	Mar 16, 09	42 000	11 551	485 15	12 850	539 70	54 55	ST
	Mar 20, 09	72 000	11 353	817 47	12 850	925 20	107 73	ST
Security total		140 000		1,634 21		1,799 00	164 79	
NOMURA HOLDINGS INC NEW SPON ADR Symbol NMR Exchange NYSE EAI $53 Current yield 1 65%	Dec 4, 09	434 000	7 930	3,441 88	7 400	3,211 60	-230 28	ST
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $128 Current yield 2 67%	Feb 6, 06	20 000	55 030	1,100 69[1]	54 430	1,088 60	-12 09	LT
	Jul 11, 06	50 000	55 610	2,780 96[1]	54 430	2,721 50	-59 46	LT
	Apr 24, 08	18 000	50 029	900 52	54 430	979 74	79 22	LT
Security total		88 000		4,782 17		4,789 84	7 67	
NOVO NORDISK ADR DENMARK ADR Symbol NVO Exchange NYSE EAI $40 Current yield 1 23%	Apr 23, 09	51 000	47 064	2,400 30	63 850	3,256 35	856 05	ST
PRUDENTIAL PLC ADR UNITED KINGDOM Symbol PUK Exchange NYSE EAI $316 Current yield 2 99%	Dec 13, 07	70 000	27 930	1,955 15	20 390	1,427 30	-527 85	LT
	Oct 16, 08	96 000	11 881	1,140 65	20 390	1,957 44	816 79	LT
	Nov 6, 08	166 000	12 056	2,001 35	20 390	3,384 74	1,383 39	LT
	Mar 20, 09	186 000	9 468	1,761 18	20 390	3,792 54	2,031 36	ST
Security total		518 000		6,858 33		10,562 02	3,703 69	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol RHHBY Exchange OTC								
EAI $87 Current yield 1 56%	May 2, 07	3 000	47 122	141 37	42 515	127 54	-13 83	LT
	Jul 20, 07	76 000	45 987	3,495 03	42 515	3,231 14	-263 89	LT
	Sep 12, 07	20 000	44 081	881 63	42 515	850 30	-31 33	LT
	Feb 27, 09	32 000	28 486	911 55	42 515	1,360 48	448 93	ST
Security total		131 000		5,429 58		5,569 46	139 88	
ROGERS COMMUNICATIONS INC NON VTG SHS CL B								
Symbol RCI Exchange NYSE	Nov 5, 09	70 000	30 058	2,104 08	31 000	2,170 00	65 92	ST
SANOFI-AVENTIS SA SPON ADR								
Symbol SNY Exchange NYSE								
EAI $260 Current yield 2 84%	Oct 19, 04	70 000	36 150	2,530 50[1]	39 270	2,748 90	218 40	LT
	May 16, 06	55 000	48 610	2,674 06[1]	39 270	2,159 85	-514 21	LT
	Dec 24, 08	45 000	31 008	1,395 36	39 270	1,767 15	371 79	LT
	Jan 14, 09	27 000	32 090	866 44	39 270	1,060 29	193 85	ST
	Feb 11, 09	36 000	30 440	1,095 87	39 270	1,413 72	317 85	ST
Security total		233 000		8,562 23		9,149 91	587 68	
SAP AG SPON ADR								
Symbol SAP Exchange NYSE								
EAI $48 Current yield 2 38%	Sep 9, 09	43 000	49 432	2,125 59	46 810	2,012 83	-112 76	ST
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol SGAPY Exchange OTC								
EAI $133 Current yield 3 98%	Aug 6, 07	48 000	22 555	1,082 67	22 152	1,063 30	-19 37	LT
	Aug 7, 07	25 000	22 682	567 06	22 152	553 80	-13 26	LT
	Mar 24, 09	78 000	16 949	1,322 05	22 152	1,727 85	405 80	ST
Security total		151 000		2,971 78		3,344 95	373 17	
SOCIETE GENERALE FRANCE SPONS ADR ADR								
Symbol SCGLY Exchange OTC								
EAI $105 Current yield 2 04%	Oct 23, 09	290 000	14 725	4,270 31	14 046	4,073 34	-196 97	ST
	Nov 11, 09	76 000	15 086	1,146 54	14 046	1,067 49	-79 05	ST

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UBS

Friendly account name Lazard Int'l
Account number FP 06092 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		366 000		5,416 85		5,140 83	-276 02	
SUMITOMO MITSUI FINANCIAL GROUP INC ADR Symbol SMFJY Exchange OTC EAI $49 Current yield 1 78%	Jan 23, 06	128 000	10 520	1,346 79[1]	2 841	363 65	-983 14	LT
	May 9, 08	233 000	8 313	1,936 98	2 841	661 95	-1,275 03	LT
	May 19, 08	170 000	8 371	1,423 14	2 841	482 97	-940 17	LT
	May 1, 09	438 000	3 463	1,516 93	2 841	1,244 35	-272 58	ST
Security total		969 000		6,223 84		2,752 92	-3,470 92	
SUN HUNG KAI PROPS LTD SPON ADR Symbol SUHJY Exchange OTC EAI $41 Current yield 1 87%	Jun 26, 09	146 000	12 932	1,888 16	14 999	2,189 85	301 69	ST
TESCO PLC SPONS ADR UNITED KINGDOM Symbol TSCDY Exchange OTC EAI $124 Current yield 2 68%	Oct 14, 04	110 000	15 940	1,754 02[1]	20 735	2,280 85	526 83	LT
	Oct 9, 08	32 000	19 114	611 65	20 735	663 52	51 87	LT
	Oct 31, 08	81 000	16 442	1,331 85	20 735	1,679 53	347 68	LT
Security total		223 000		3,697 52		4,623 90	926 38	
TOTAL S A FRANCE SPON ADR Symbol TOT Exchange NYSE EAI $233 Current yield 4 33%	Oct 26, 04	69 000	50 830	3,507 53[1]	64 040	4,418 76	911 23	LT
	Jan 31, 07	15 000	67 370	1,010 55	64 040	960 60	-49 95	LT
Security total		84 000		4,518 08		5,379 36	861 28	
TULLOW OIL PLC ADR Symbol TUWOY Exchange OTC	Dec 7, 09	105 000	10 530	1,105 68	10 537	1,106 38	0 70	ST
UBS AG (USD) NEW 2006 ORD Symbol UBS Exchange NYSE	Oct 19, 09	235 000	18 899	4,441 31	15 510	3,644 85	-796 46	ST
UNILEVER PLC AMER SHS NEW SPON ADR Symbol UL Exchange NYSE EAI $182 Current yield 3 15%	Oct 14, 04	50 000	18 300	915 40[1]	31 900	1,595 00	679 60	LT
	Jul 10, 07	65 000	33 655	2,187 59	31 900	2,073 50	-114 09	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 26, 08	66 000	28 193	1,860 76	31 900	2,105 40	244 64	LT
Security total		181 000		4,963 75		5,773 90	810 15	
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange OTC EAI $341 Current yield 5 59%	Jun 5, 08	96 000	30 910	2,967 37	23 090	2,216 64	-750 73	LT
	Jun 30, 08	48 000	29 661	1,423 76	23 090	1,108 32	-315 44	LT
	Jul 29, 08	64 000	26 236	1,679 12	23 090	1,477 76	-201 36	LT
	Sep 26, 08	56 000	23 323	1,306 09	23 090	1,293 04	-13 05	LT
Security total		264 000		7,376 34		6,095 76	-1,280 58	
WM MORRISON SUPERMARKETS PLC ADR Symbol MRWSY Exchange OTC EAI $42 Current yield 2 10%	Nov 2, 09	90 000	23 524	2,117 17	22 230	2,000 70	-116 47	ST
ZURICH FINANCIAL SVCS SPON ADR Symbol ZFSVY Exchange OTC EAI $190 Current yield 3 61%	Dec 13, 07	50 000	30 953	1,547 68	21 911	1,095 55	-452 13	LT
	Dec 26, 07	7 000	29 150	204 05	21 911	153 38	-50 67	LT
	Sep 25, 08	71 000	28 491	2,022 88	21 911	1,555 68	-467 20	LT
	Mar 17, 09	112 000	12 954	1,450 94	21 911	2,454 03	1,003 09	ST
Security total		240 000		5,225 55		5,258 64	33 09	
Total				**$198,836.89**		**$210,404.90**	**$11,568.02**	

Total estimated annual income $5,322

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**5,572.84**	**2 58%**	**5,572 84**		
Equities	**Common stock**	**210,404 90**	**97.42%**	**198,836 89**	**5,322 00**	**11,568.02**
Total		**$215,977.74**	**100.00%**	**$204,409.73**	**$5,322.00**	**$11,568.02**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA DEP ACCT	686 93	5,384 96				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CIT GROUP INC								
Symbol CIT Exchange NYSE		545 000	---This information was unavailable---		27 610	15,047 45		

UBS

Friendly account name Niki Bond Acct
Account number FP 06150 88

Your assets (continued)

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DISCOVER BK DE US RATE 04 6500% MAT 06/11/2013 FIXED RATE CD ACCRUED INTEREST $127 39 CUSIP 25469JKL2 EAI $2,325 Current yield 4 40%	Jun 04, 08	50,000 000	100 000	50,000 00	105 597	52,798 50	2,798 50	LT
CAPITAL ONE BANK NA RATE 04 8500% MAT 06/11/2015 FIXED RATE CD ACCRUED INTEREST $119 58 CUSIP 14042ESH4 EAI $2,183 Current yield 4 54%	Jun 04, 08	45,000 000	100 000	45,000 00	106 933	48,119 85	3,119 85	LT
Total		**$95,000.000**		**$95,000 00**		**$100,918 35**	**$5,918 35**	

Total accrued interest: $246.97

Total estimated annual income $4,508

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VERIZON NEW ENGLAND INC M W+30BP RATE 06 500% MATURES 09/15/11 ACCRUED INTEREST $956 94 CUSIP 92344RAA0 Moody Baa2 S&P A EAI $3,250 Current yield 6 10%	Aug 31, 04	50,000 000	111 940	55,975 50[1]	106 586	53,293 00	-2,682 50	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DAIMLERCHRYSLER B/E FOREIGN SECURITY RATE 07 300% MATURES 01/15/12 ACCRUED INTEREST $3,029 49 CUSIP 233835AT4 Moody A3 S&P BBB+ EAI $6,570 Current yield 6 71%	Aug 31, 04	90,000 000	114 940	103,454 10[1]	108 714	97,842 60	-5,611 50	LT
GENERAL MTRS ACCEP CORP RATE 06 875% MATURES 08/28/12 ACCRUED INTEREST $2,114 06 CUSIP 370425SE1 Moody Ca S&P CCC EAI $6,188 Current yield 7 03%	Aug 31, 04	90,000 000	106 130	95,528 30[1]	97 856	88,070 40	-7,457 90	LT
GENL ELEC CAP CORP B/E FOREIGN SECURITY RATE 05 450% MATURES 01/15/13 ACCRUED INTEREST $2,261 75 CUSIP 36962GZY3 Moody Aa2 S&P AA+ EAI $4,905 Current yield 5 13%	Aug 31, 04	90,000 000	108 150	97,340 00[1]	106 309	95,678 10	-1,661 90	LT
MORGAN STANLEY DEAN WITT & CO MW@+20BP RATE 05 300% MATURES 03/01/13 ACCRUED INTEREST $618 33 CUSIP 617446HR3 Moody A2 S&P A EAI $1,855 Current yield 5 03%	Aug 31, 05	35,000 000	104 890	36,717 20[1]	105 400	36,890 00	172 80	LT
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/13 INTEREST EARNED FROM 11/04/09 1ST INTEREST PAYMENT 01/10/10 CALLABLE ACCRUED INTEREST $70 25 CUSIP 125581FT0 EAI $444 Current yield 7 51%		6,339 000	---This information was unavailable---		93 250	5,911 11		

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UBS

Friendly account name Niki Bond Acct
Account number FP 06150 88

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC NTS RATE 05 250% MATURES 10/15/13 ACCRUED INTEREST $997 49 CUSIP 38141GDQ4 Moody A1 S&P A EAI $4,725 Current yield 4 94%	Aug 31, 04	90,000 000	104 030	93,635 60[1]	106 201	95,580 90	1,945 30	LT
GOLDMAN SACHS GROUP INC UNITS RATE 05 150% MATURES 01/15/14 ACCRUED INTEREST $1,187 36 CUSIP 38143UAB7 Moody A1 S&P A EAI $2,575 Current yield 4 87%	Jun 04, 08	50,000 000	100 944	50,477 25	105 786	52,893 00	2,415 75	LT
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/14 INTEREST EARNED FROM 12/10/09 1ST INTEREST PAYMENT 01/10/10 CALLABLE ACCRUED INTEREST $38 82 CUSIP 125581FU7 EAI $666 Current yield 7 54%		9,508 000	---This information was unavailable---		92 875	8,830 55		
BANK OF AMER CORP NTS RATE 05 375% MATURES 06/15/14 ACCRUED INTEREST $215 00 CUSIP 060505BM5 Moody A2 S&P A EAI $4,838 Current yield 5 19%	Aug 31, 04	90,000 000	106 360	95,734 40[1]	103 573	93,215 70	-2,518 70	LT
GOLDMAN SACHS GROUP INC NTS RATE 05 125% MATURES 01/15/15 ACCRUED INTEREST $1,181 59 CUSIP 38141GEA8 Moody A1 S&P A EAI $2,563 Current yield 4 88%	Mar 27, 07	50,000 000	99 504	49,757 25	105 075	52,537 50	2,780 25	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/15 INTEREST EARNED FROM 12/10/09 1ST INTEREST PAYMENT 02/10/10 CALLABLE ACCRUED INTEREST $38 82 CUSIP 125581FV5 EAI $666 Current yield 7 82%		9,508 000	---This information was unavailable---		89 500	8,509 66		
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/16 INTEREST EARNED FROM 12/10/09 1ST INTEREST PAYMENT 02/10/10 CALLABLE ACCRUED INTEREST $64 71 CUSIP 125581FW3 EAI $1,109 Current yield 7 95%		15,848 000	---This information was unavailable---		88 000	13,946 24		
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/17 INTEREST EARNED FROM 12/10/09 1ST INTEREST PAYMENT 03/10/10 CALLABLE ACCRUED INTEREST $90 59 CUSIP 125581FX1 EAI $1,553 Current yield 8 07%		22,187 000	---This information was unavailable---		86 750	19,247 22		
GE CAPITAL INTERNOTES CALLABLE RATE 05 650% MATURES 09/15/19 CALLABLE ACCRUED INTEREST $415 90 CUSIP 36966RT71 Moody Aa2 S&P AA+ EAI $1,413 Current yield 5 77%	Sep 13, 07	25,000 000	100 000	25,000 00	97 887	24,471 75	-528 25	LT
Total		**$723,390 000**		**$703,619 60**		**$746,917 73**	**-$13,146 65**	

Total accrued interest $13,281 10

Total estimated annual income $43,320

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	4,191 21	4,307 41	1 00	0 01%	Nov 23 to Dec 23	31

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABAXIS INC								
Symbol ABAX Exchange OTC	Mar 5, 07	65 000	21 563	1,401 61	25 550	1,660 75	259 14	LT
	Apr 11, 07	51 000	25 607	1,305 97	25 550	1,303 05	-2 92	LT
	Jul 27, 09	96 000	20 026	1,922 51	25 550	2,452 80	530 29	ST
Security total		212 000		4,630 09		5,416 60	786 51	
ABM INDS INC								
Symbol ABM Exchange NYSE								
EAI $66 Current yield 2 62%	Jan 9, 07	122 000	23 190	2,829 18	20 660	2,520 52	-308 66	LT
ADVISORY BOARD CO								
Symbol ABCO Exchange OTC	Nov 18, 09	47 000	25 956	1,219 96	30 650	1,440 55	220 59	ST
ANSYS INC								
Symbol ANSS Exchange OTC	Jan 9, 07	96 000	21 740	2,087 04	43 460	4,172 16	2,085 12	LT
	May 10, 07	78 000	27 966	2,181 41	43 460	3,389 88	1,208 47	LT
Security total		174 000		4,268 45		7,562 04	3,293 59	
APTARGROUP INC								
Symbol ATR Exchange NYSE								
EAI $40 Current yield 1 70%	Jan 9, 07	35 000	28 920	1,012 20	35 740	1,250 90	238 70	LT
	Nov 24, 08	31 000	29 851	925 38	35 740	1,107 94	182 56	LT
Security total		66 000		1,937 58		2,358 84	421 26	

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UBS

Friendly account name Kayne MCV
Account number FP 06155 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BLACKBAUD INC								
Symbol BLKB Exchange OTC								
EAI $122 Current yield 1 69%	Jan 9, 07	181 000	24 680	4,467 08	23 630	4,277 03	-190 05	LT
	May 14, 08	41 000	24 988	1,024 54	23 630	968 83	-55 71	LT
	Feb 20, 09	84 000	10 814	908 41	23 630	1,984 92	1,076 51	ST
Security total		306 000		6,400 03		7,230 78	830 75	
BROWN & BROWN INC								
Symbol BRO Exchange NYSE								
EAI $81 Current yield 1 73%	Jun 24, 09	179 000	19 367	3,466 78	17 970	3,216 63	-250 15	ST
	Oct 27, 09	82 000	18 504	1,517 38	17 970	1,473 54	-43 84	ST
Security total		261 000		4,984 16		4,690 17	-293 99	
CARBO CERAMICS INC								
Symbol CRR Exchange NYSE								
EAI $89 Current yield 1 05%	Oct 14, 04	7 000	48 890	342 23[1]	68 170	477 19	134 96	LT
	Feb 28, 06	54 000	54 960	2,968 35[1]	68 170	3,681 18	712 83	LT
	Jun 6, 06	19 000	47 210	897 09[1]	68 170	1,295 23	398 14	LT
	Jun 22, 09	44 000	32 913	1,448 21	68 170	2,999 48	1,551 27	ST
Security total		124 000		5,655 88		8,453 08	2,797 20	
CHATTEM INC								
Symbol CHTT Exchange OTC	Jul 21, 08	63 000	59 244	3,732 37	93 300	5,877 90	2,145 53	LT
COHEN & STEERS INC								
Symbol CNS Exchange NYSE								
EAI $36 Current yield 0 88%	Oct 18, 07	82 000	36 911	3,026 75	22 840	1,872 88	-1,153 87	LT
	Oct 19, 07	1 000	35 760	35 76	22 840	22 84	-12 92	LT
	Nov 13, 07	96 000	32 517	3,121 66	22 840	2,192 64	-929 02	LT
Security total		179 000		6,184 17		4,088 36	-2,095 81	
COMPUTER PROGRAMS & SYSTEMS INC								
Symbol CPSI Exchange OTC								
EAI $124 Current yield 3 13%	Jan 9, 07	86 000	32 680	2,810 48	46 050	3,960 30	1,149 82	LT
EXPONENT INC								
Symbol EXPO Exchange OTC	Jul 13, 09	35 000	25 190	881 66	27 840	974 40	92 74	ST
	Aug 28, 09	54 000	25 484	1,376 15	27 840	1,503 36	127 21	ST
Security total		89 000		2,257 81		2,477 76	219 95	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI $78 Current yield 1 22%	Oct 14, 04	51 000	32 860	1,676 21 ¹	65 870	3,359 37	1,683 16	LT
	Sep 5, 07	46 000	58 118	2,673 44	65 870	3,030 02	356 58	LT
Security total		97 000		4,349 65		6,389 39	2,039 74	
FEDERATED INVESTORS INC PA								
CL B								
Symbol FII Exchange NYSE								
EAI $122 Current yield 3 49%	Feb 20, 09	127 000	19 755	2,508 95	27 500	3,492 50	983 55	ST
FINANCIAL FED CORP								
Symbol FIF Exchange NYSE								
EAI $95 Current yield 2 17%	Jan 9, 07	159 000	28 090	4,466 31	27 500	4,372 50	-93 81	LT
FORWARD AIR CORP								
Symbol FWRD Exchange OTC								
EAI $50 Current yield 1 12%	Jan 9, 07	178 000	30 000	5,340 00	25 030	4,455 34	-884 66	LT
HAEMONETICS CORP MASS								
Symbol HAE Exchange NYSE	Jan 22, 07	25 000	46 102	1,152 56	55 150	1,378 75	226 19	LT
	Jan 25, 07	44 000	48 157	2,118 94	55 150	2,426 60	307 66	LT
Security total		69 000		3,271 50		3,805 35	533 85	
HEICO CORP NEW CL A								
Symbol HEIA Exchange NYSE								
EAI $15 Current yield 0 34%	Oct 14, 04	68 000	14 550	989 40 ¹	35 960	2,445 28	1,455 88	LT
	Jan 9, 07	14 000	30 700	429 80	35 960	503 44	73 64	LT
	Feb 25, 09	40 000	19 231	769 27	35 960	1,438 40	669 13	ST
Security total		122 000		2,188 47		4,387 12	2,198 65	
HENRY JACK & ASSOC INC								
Symbol JKHY Exchange OTC								
EAI $70 Current yield 1 46%	Jan 9, 07	158 000	21 450	3,389 10	23 140	3,656 12	267 02	LT
	Feb 5, 09	49 000	17 129	839 34	23 140	1,133 86	294 52	ST
Security total		207 000		4,228 44		4,789 98	561 54	
LINCOLN ELEC HOLDINGS NEW								
Symbol LECO Exchange OTC								
EAI $120 Current yield 2 10%	Jan 9, 07	107 000	59 770	6,395 39	53 460	5,720 22	-675 17	LT

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Friendly account name Kayne MCV
Account number FP 06155 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
POOL CORP								
Symbol POOL Exchange OTC								
EAI $80 Current yield 2 74%	Jan 9, 07	45 000	39 560	1,780 20	19 080	858 60	-921 60	LT
	Aug 13, 08	108 000	23 579	2,546 59	19 080	2,060 64	-485 95	LT
Security total		153 000		4,326 79		2,919 24	-1,407 55	
RBC BEARINGS INC								
Symbol ROLL Exchange OTC	Oct 1, 08	72 000	33 018	2,377 30	24 330	1,751 76	-625 54	LT
	Dec 4, 08	76 000	21 371	1,624 20	24 330	1,849 08	224 88	LT
Security total		148 000		4,001 50		3,600 84	-400 66	
ROLLINS INC								
Symbol ROL Exchange NYSE								
EAI $28 Current yield 1 45%	Jan 9, 07	100 000	14 271	1,427 10	19 280	1,928 00	500 90	LT
ROPER INDS INC NEW								
Symbol ROP Exchange NYSE								
EAI $33 Current yield 0 72%	Jan 9, 07	88 000	48 650	4,281 20	52 370	4,608 56	327 36	LT
SCANSOURCE INC								
Symbol SCSC Exchange OTC	Oct 14, 04	44 000	35 310	1,553 89[1]	26 700	1,174 80	-379 09	LT
	Jan 17, 07	67 000	28 005	1,876 38	26 700	1,788 90	-87 48	LT
Security total		111 000		3,430 27		2,963 70	-466 57	
STEINER LEISURE LTD								
Symbol STNR Exchange OTC	Aug 9, 07	44 000	41 403	1,821 77	39 760	1,749 44	-72 33	LT
	Sep 4, 07	41 000	42 721	1,751 57	39 760	1,630 16	-121 41	LT
	Sep 27, 07	32 000	43 774	1,400 78	39 760	1,272 32	-128 46	LT
Security total		117 000		4,974 12		4,651 92	-322 20	
TECHNE CORP MINN								
Symbol TECH Exchange OTC								
EAI $80 Current yield 1 52%	Oct 14, 04	64 000	36 260	2,321 02[1]	68 560	4,387 84	2,066 82	LT
	Mar 6, 09	13 000	46 889	609 56	68 560	891 28	281 72	ST
Security total		77 000		2,930 58		5,279 12	2,348 54	
TEMPUR-PEDIC INTL INC								
Symbol TPX Exchange NYSE	Jan 9, 07	52 000	20 400	1,060 80	23 630	1,228 76	167 96	LT
	Mar 4, 08	35 000	16 986	594 53	23 630	827 05	232 52	LT
	Mar 7, 08	94 000	15 982	1,502 34	23 630	2,221 22	718 88	LT
Security total		181 000		3,157 67		4,277 03	1,119 36	

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	3,847 59	4,306 05	1 00	0 01%	Nov 23 to Dec 23	31

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ACE LTD								
Symbol ACE Exchange NYSE								
EAI $72 Current yield 2 46%	Jul 11, 08	26 000	49 495	1,286 87	50 400	1,310 40	23 53	LT
	Jul 15, 08	18 000	47 597	856 76	50 400	907 20	50 44	LT
	Mar 5, 09	7 000	32 244	225 71	50 400	352 80	127 09	ST
	Dec 21, 09	7 000	48 950	342 65	50 400	352 80	10 15	ST
Security total		58 000		2,711 99		2,923 20	211 21	
AEROVIRONMENT INC								
Symbol AVAV Exchange OTC	Apr 30, 09	16 000	22 947	367 17	29 080	465 28	98 11	ST
	Oct 30, 09	32 000	26 820	858 24	29 080	930 56	72 32	ST
	Dec 4, 09	13 000	28 299	367 90	29 080	378 04	10 14	ST
Security total		61 000		1,593 31		1,773 88	180 57	
AKAMAI TECHNOLOGIES INC								
Symbol AKAM Exchange OTC	Aug 28, 09	68 000	17 865	1,214 88	25 340	1,723 12	508 24	ST
	Sep 24, 09	61 000	19 004	1,159 27	25 340	1,545 74	386 47	ST
Security total		129 000		2,374 15		3,268 86	894 71	
ALLIANCE DATA SYSTEMS CORP								
Symbol ADS Exchange NYSE	Feb 12, 09	2 000	37 877	75 76	64 590	129 18	53 42	ST
	Mar 5, 09	31 000	26 519	822 10	64 590	2,002 29	1,180 19	ST

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UBS

Friendly account name TCW MCG
Account number FP 06207 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		33 000		897 86		2,131 47	1,233 61	
AMYLIN PHARMAECEUTICALS INC								
Symbol AMLN Exchange OTC	Oct 7, 09	8 000	13 560	108 48	14 190	113 52	5 04	ST
	Oct 30, 09	146 000	11 076	1,617 23	14 190	2,071 74	454 51	ST
Security total		154 000		1,725 71		2,185 26	459 55	
ANSYS INC								
Symbol ANSS Exchange OTC	Jan 25, 07	30 000	23 550	706 50	43 460	1,303 80	597 30	LT
	Feb 5, 08	22 000	36 113	794 49	43 460	956 12	161 63	LT
Security total		52 000		1,500 99		2,259 92	758 93	
AVAGO TECHNOLOGIES LTD								
Symbol AVGO Exchange OTC	Aug 10, 09	71 000	15 936	1,131 52	18 290	1,298 59	167 07	ST
	Oct 27, 09	16 000	15 492	247 88	18 290	292 64	44 76	ST
Security total		87 000		1,379 40		1,591 23	211 83	
BAIDU INC ADS REPSNTG CL A ORD SHS								
Symbol BIDU Exchange OTC	Sep 4, 08	1 000	285 266	285 27	411 230	411 23	125 96	LT
	Nov 17, 08	3 000	134 998	404 99	411 230	1,233 69	828 70	LT
	Dec 11, 08	2 000	118 349	236 70	411 230	822 46	585 76	LT
Security total		6 000		926 96		2,467 38	1,540 42	
C H ROBINSON WORLDWIDE INC NEW								
Symbol CHRW Exchange OTC								
EAI $50 Current yield 1 70%	Jul 15, 08	28 000	54 430	1,524 06	58 730	1,644 44	120 38	LT
	Jul 17, 09	16 000	52 530	840 48	58 730	939 68	99 20	ST
	Oct 19, 09	2 000	61 310	122 62	58 730	117 46	-5 16	ST
	Oct 26, 09	4 000	57 675	230 70	58 730	234 92	4 22	ST
Security total		50 000		2,717 86		2,936 50	218 64	
CAPELLA EDUCATION CO								
Symbol CPLA Exchange OTC	Mar 16, 09	8 000	49 677	397 42	75 300	602 40	204 98	ST
	Mar 17, 09	10 000	47 471	474 71	75 300	753 00	278 29	ST
	May 28, 09	14 000	50 422	705 92	75 300	1,054 20	348 28	ST
Security total		32 000		1,578 05		2,409 60	831 55	
CAVIUM NETWORKS INC								
Symbol CAVM Exchange OTC	Jun 30, 08	48 000	21 155	1,015 48	23 830	1,143 84	128 36	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 10, 08	57 000	17 614	1,004 04	23 830	1,358 31	354 27	LT
	Dec 18, 09	18 000	22 973	413 53	23 830	428 94	15 41	ST
Security total		123 000		2,433 05		2,931 09	498 04	
CF INDUSTRIES HOLDINGS INC Symbol CF Exchange NYSE EAI $10 Current yield 0 46%	Jul 10, 09	15 000	69 170	1,037 55	90 780	1,361 70	324 15	ST
	Jul 17, 09	9 000	73 444	661 00	90 780	817 02	156 02	ST
Security total		24 000		1,698 55		2,178 72	480 17	
CHINA REAL ESTATE INFORM ADR Symbol CRIC Exchange OTC	Oct 19, 09	89 000	16 191	1,441 03	10 980	977 22	-463 81	ST
	Oct 30, 09	36 000	12 730	458 28	10 980	395 28	-63 00	ST
	Nov 9, 09	8 000	14 050	112 40	10 980	87 84	-24 56	ST
	Dec 28, 09	14 000	9 893	138 51	10 980	153 72	15 21	ST
Security total		147 000		2,150 22		1,614 06	-536 16	
CHIPOTLE MEXICAN GRILL INC CL A Symbol CMG Exchange NYSE	Aug 1, 07	20 000	89 030	1,780 60	88 160	1,763 20	-17 40	LT
CLEAN HARBORS INC Symbol CLH Exchange NYSE	Oct 31, 07	26 000	48 226	1,253 89	59 610	1,549 86	295 97	LT
	Nov 20, 07	28 000	53 540	1,499 12	59 610	1,669 08	169 96	LT
Security total		54 000		2,753 01		3,218 94	465 93	
CONTANGO OIL & GAS CO Symbol MCF Exchange AMEX	Jul 7, 09	6 000	41 307	247 84	47 010	282 06	34 22	ST
	Oct 2, 09	35 000	49 121	1,719 26	47 010	1,645 35	-73 91	ST
Security total		41 000		1,967 10		1,927 41	-39 69	
CORE LABORATORIES N V Symbol CLB Exchange NYSE EAI $12 Current yield 0 35%	Feb 8, 07	12 000	83 042	996 51	118 120	1,417 44	420 93	LT
	Mar 23, 07	5 000	84 921	424 61	118 120	590 60	165 99	LT
	May 2, 07	6 000	93 069	558 42	118 120	708 72	150 30	LT
	May 22, 07	6 000	93 419	560 52	118 120	708 72	148 20	LT
Security total		29 000		2,540 06		3,425 48	885 42	

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UBS

Friendly account name TCW MCG
Account number FP 06207 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CTRIP COM INTL LTD ADS								
Symbol CTRP Exchange OTC	Jan 25, 07	18 000	35 280	635 04	71 860	1,293 48	658 44	LT
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI $42 Current yield 1 53%	Dec 8, 09	31 000	44 986	1,394 59	45 860	1,421 66	27 07	ST
	Dec 18, 09	14 000	46 987	657 83	45 860	642 04	-15 79	ST
	Dec 21, 09	15 000	47 175	707 64	45 860	687 90	-19 74	ST
Security total		60 000		2,760 06		2,751 60	-8 46	
DIGITAL RIVER INC								
Symbol DRIV Exchange OTC	Oct 9, 09	49 000	40 398	1,979 52	26 990	1,322 51	-657 01	ST
	Oct 14, 09	22 000	25 604	563 29	26 990	593 78	30 49	ST
Security total		71 000		2,542 81		1,916 29	-626 52	
DREAMWORKS ANIMATION SKG CL A								
Symbol DWA Exchange OTC	Jan 8, 09	40 000	24 996	999 86	39 950	1,598 00	598 14	ST
EDUCATION MGMT CORP NEW								
Symbol EDMC Exchange OTC	Dec 28, 09	75 000	21 848	1,638 60	22 010	1,650 75	12 15	ST
ELECTRONIC ARTS								
Symbol ERTS Exchange OTC	Jul 25, 08	34 000	47 720	1,622 48	17 750	603 50	-1,018 98	LT
	Jul 28, 08	1 000	46 670	46 67	17 750	17 75	-28 92	LT
	Aug 7, 08	18 000	46 450	836 10	17 750	319 50	-516 60	LT
	Oct 2, 08	12 000	34 500	414 00	17 750	213 00	-201 00	LT
	Oct 3, 08	9 000	33 690	303 21	17 750	159 75	-143 46	LT
	Aug 5, 09	21 000	20 502	430 55	17 750	372 75	-57 80	ST
	Aug 21, 09	39 000	19 530	761 67	17 750	692 25	-69 42	ST
	Oct 30, 09	1 000	18 236	18 24	17 750	17 75	-0 49	ST
Security total		135 000		4,432 92		2,396 25	-2,036 67	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI $31 Current yield 1 09%	Dec 11, 08	12 000	34 132	409 59	34 770	417 24	7 65	LT
	Dec 30, 08	14 000	32 009	448 14	34 770	486 78	38 64	LT
	Feb 11, 09	16 000	31 886	510 18	34 770	556 32	46 14	ST
	Mar 17, 09	22 000	27 868	613 12	34 770	764 94	151 82	ST
	Jun 29, 09	4 000	33 540	134 16	34 770	139 08	4 92	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 17, 09	6 000	31 080	186 48	34 770	208 62	22 14	ST
	Oct 27, 09	8 000	33 120	264 96	34 770	278 16	13 20	ST
Security total		82 000		2,566 63		2,851 14	284 51	
FIRST SOLAR INC								
Symbol FSLR Exchange OTC	Aug 28, 09	5 000	124 489	622 45	135 400	677 00	54 55	ST
	Oct 30, 09	6 000	127 449	764 70	135 400	812 40	47 70	ST
	Nov 5, 09	4 000	122 885	491 54	135 400	541 60	50 06	ST
Security total		15 000		1,878 69		2,031 00	152 31	
GENZYME CORP GEN DIV								
Symbol GENZ Exchange OTC	Jan 10, 08	31 000	78 634	2,437 67	49 010	1,519 31	-918 36	LT
	Jan 17, 08	8 000	80 900	647 20	49 010	392 08	-255 12	LT
	Jun 29, 09	2 000	55 615	111 23	49 010	98 02	-13 21	ST
	Jul 17, 09	2 000	54 325	108 65	49 010	98 02	-10 63	ST
	Jul 27, 09	9 000	53 241	479 17	49 010	441 09	-38 08	ST
Security total		52 000		3,783 92		2,548 52	-1,235 40	
HANSEN NAT CORP								
Symbol HANS Exchange OTC	Sep 10, 08	55 000	23 938	1,316 63	38 400	2,112 00	795 37	LT
	Jul 27, 09	8 000	29 276	234 21	38 400	307 20	72 99	ST
	Aug 21, 09	13 000	33 067	429 88	38 400	499 20	69 32	ST
	Aug 28, 09	3 000	32 876	98 63	38 400	115 20	16 57	ST
Security total		79 000		2,079 35		3,033 60	954 25	
HUMAN GENOME SCIENCES INC								
Symbol HGSI Exchange OTC	Jul 17, 09	82 000	3 360	275 52	30 580	2,507 56	2,232 04	ST
	Jul 21, 09	19 000	14 528	276 04	30 580	581 02	304 98	ST
Security total		101 000		551 56		3,088 58	2,537 02	
INTERCONTINENTALEXCHANGE INC								
Symbol ICE Exchange NYSE	Feb 4, 08	11 000	139 087	1,529 96	112 300	1,235 30	-294 66	LT
	Feb 5, 08	1 000	125 460	125 46	112 300	112 30	-13 16	LT
	Jun 30, 08	6 000	115 070	690 42	112 300	673 80	-16 62	LT
	Jul 25, 08	1 000	100 971	100 97	112 300	112 30	11 33	LT
Security total		19 000		2,446 81		2,133 70	-313 11	

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UBS

Friendly account name TCW MCG
Account number FP 06207 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTERMUNE INC								
Symbol ITMN Exchange OTC	Feb 13, 09	26 000	16 809	437 04	13 040	339 04	-98 00	ST
	May 18, 09	3 000	11 692	35 08	13 040	39 12	4 04	ST
	Jun 2, 09	22 000	11 796	259 51	13 040	286 88	27 37	ST
	Oct 27, 09	3 000	12 831	38 49	13 040	39 12	0 63	ST
Security total		54 000		770 12		704 16	-65 96	
INTUITIVE SURGICAL INC NEW								
Symbol ISRG Exchange OTC	Jan 25, 07	5 000	96 759	483 80	303 430	1,517 15	1,033 35	LT
	Jan 8, 09	3 000	111 750	335 25	303 430	910 29	575 04	ST
	Mar 5, 09	3 000	91 990	275 97	303 430	910 29	634 32	ST
	Apr 1, 09	4 000	92 176	368 71	303 430	1,213 72	845 01	ST
Security total		15 000		1,463 73		4,551 45	3,087 72	
KENNAMETAL INC								
Symbol KMT Exchange NYSE								
EAI $26 Current yield 1 86%	Dec 16, 09	54 000	26 287	1,419 54	25 920	1,399 68	-19 86	ST
K12 INC								
Symbol LRN Exchange NYSE	Jun 17, 08	38 000	23 143	879 44	20 270	770 26	-109 18	LT
	Jul 22, 08	20 000	23 570	471 41	20 270	405 40	-66 01	LT
	Jul 23, 08	7 000	24 060	168 42	20 270	141 89	-26 53	LT
	Oct 15, 08	18 000	23 382	420 88	20 270	364 86	-56 02	LT
	Dec 2, 08	16 000	18 055	288 88	20 270	324 32	35 44	LT
	Dec 23, 08	7 000	15 815	110 71	20 270	141 89	31 18	LT
	May 28, 09	17 000	17 636	299 83	20 270	344 59	44 76	ST
Security total		123 000		2,639 57		2,493 21	-146 36	
LONGTOP FINANCIAL TECHNOLOGIES LTD ADR								
Symbol LFT Exchange NYSE	Aug 11, 09	43 000	29 312	1,260 42	37 020	1,591 86	331 44	ST
	Nov 19, 09	17 000	32 267	548 55	37 020	629 34	80 79	ST
Security total		60 000		1,808 97		2,221 20	412 23	
MASIMO CORP								
Symbol MASI Exchange OTC	Apr 3, 09	27 000	29 549	797 83	30 420	821 34	23 51	ST
	Apr 22, 09	6 000	27 717	166 31	30 420	182 52	16 21	ST
	May 26, 09	1 000	23 760	23 76	30 420	30 42	6 66	ST

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 1, 09	21 000	24 480	514 09	30 420	638 82	124 73	ST
Security total		55 000		1,501 99		1,673 10	171 11	
MEDIVATION INC								
Symbol MDVN Exchange OTC	May 28, 09	21 000	20 947	439 90	37 650	790 65	350 75	ST
MERCADOLIBRE INC								
Symbol MELI Exchange OTC	Jul 9, 08	12 000	30 599	367 19	51 870	622 44	255 25	LT
	Jul 15, 08	14 000	32 534	455 49	51 870	726 18	270 69	LT
Security total		26 000		822 68		1,348 62	525 94	
MSCI INC CL A								
Symbol MXB Exchange NYSE	Dec 28, 07	35 000	37 309	1,305 84	31 800	1,113 00	-192 84	LT
	Apr 9, 08	16 000	27 475	439 61	31 800	508 80	69 19	LT
	Jun 1, 09	10 000	22 438	224 39	31 800	318 00	93 61	ST
Security total		61 000		1,969 84		1,939 80	-30 04	
MYLAN INC								
Symbol MYL Exchange OTC	Mar 31, 08	11 000	11 410	125 51	18 430	202 73	77 22	LT
	Apr 9, 08	8 000	12 454	99 64	18 430	147 44	47 80	LT
	Sep 10, 08	1 000	11 350	11 35	18 430	18 43	7 08	LT
	Oct 14, 08	55 000	8 746	481 05	18 430	1,013 65	532 60	LT
	Oct 16, 08	77 000	7 375	567 94	18 430	1,419 11	851 17	LT
Security total		152 000		1,285 49		2,801 36	1,515 87	
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE	Jan 2, 08	22 000	75 428	1,659 43	44 090	969 98	-689 45	LT
	Feb 7, 08	17 000	57 678	980 53	44 090	749 53	-231 00	LT
	Apr 1, 09	11 000	28 640	315 04	44 090	484 99	169 95	ST
	Jun 1, 09	6 000	40 055	240 33	44 090	264 54	24 21	ST
	Jun 26, 09	15 000	33 369	500 54	44 090	661 35	160 81	ST
Security total		71 000		3,695 87		3,130 39	-565 48	
NORTHWEST BANCSHARES INC MD COM Symbol NWBI Exchange OTC EAI $111 Current yield 7 82%	Dec 21, 09	126 000	11 250	1,417 50	11 270	1,420 02	2 52	ST
OCEANEERING INTL INC								
Symbol OII Exchange NYSE	May 4, 07	33 000	48 844	1,611 88	58 520	1,931 16	319 28	LT

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UBS

Friendly account name TCW MCG
Account number FP 06207 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 9, 07	6 000	47 992	287 96	58 520	351 12	63 16	LT
	May 22, 07	23 000	49 250	1,132 75	58 520	1,345 96	213 21	LT
Security total		62 000		3,032 59		3,628 24	595 65	
PEOPLE'S UNITED FINANCIAL INC								
Symbol PBCT Exchange OTC								
EAI $77 Current yield 3 63%	Mar 30, 09	49 000	17 762	870 34	16 700	818 30	-52 04	ST
	Apr 1, 09	28 000	18 110	507 10	16 700	467 60	-39 50	ST
	Sep 29, 09	50 000	15 807	790 37	16 700	835 00	44 63	ST
Security total		127 000		2,167 81		2,120 90	-46 91	
PERFECT WORLD CO LTD SPON ADR								
Symbol PWRD Exchange OTC	Oct 2, 09	29 000	45 676	1,324 62	39 440	1,143 76	-180 86	ST
	Dec 18, 09	9 000	40 648	365 83	39 440	354 96	-10 87	ST
Security total		38 000		1,690 45		1,498 72	-191 73	
QUANTA SERVICES INC								
Symbol PWR Exchange NYSE	Jan 8, 08	45 000	22 492	1,012 14	20 840	937 80	-74 34	LT
	Feb 12, 08	77 000	21 486	1,654 42	20 840	1,604 68	-49 74	LT
	Feb 20, 08	2 000	23 678	47 36	20 840	41 68	-5 68	LT
Security total		124 000		2,713 92		2,584 16	-129 76	
RESOURCES CONNECTION INC								
Symbol RECN Exchange OTC	Jan 25, 07	90 000	30 950	2,785 50	21 220	1,909 80	-875 70	LT
	Dec 12, 07	26 000	20 010	520 26	21 220	551 72	31 46	LT
	Feb 4, 08	4 000	20 841	83 36	21 220	84 88	1 52	LT
	Aug 24, 09	10 000	15 739	157 39	21 220	212 20	54 81	ST
Security total		130 000		3,546 51		2,758 60	-787 91	
ROBERT HALF INTL INC								
Symbol RHI Exchange NYSE								
EAI $33 Current yield 1 82%	Dec 8, 09	55 000	25 238	1,388 13	26 730	1,470 15	82 02	ST
	Dec 21, 09	13 000	26 800	348 40	26 730	347 49	-0 91	ST
Security total		68 000		1,736 53		1,817 64	81 11	
ROCKWELL AUTOMATION INC NEW								
Symbol ROK Exchange NYSE								
EAI $84 Current yield 2 48%	Mar 5, 09	43 000	17 988	773 50	46 980	2,020 14	1,246 64	ST
	Jun 30, 09	29 000	31 895	924 98	46 980	1,362 42	437 44	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		72 000		1,698 48		3,382 56	1,684 08	
SALESFORCE COM INC								
Symbol CRM Exchange NYSE	Jan 25, 07	60 000	41 960	2,517 60	73 770	4,426 20	1,908 60	LT
	Feb 27, 07	7 000	45 332	317 33	73 770	516 39	199 06	LT
Security total		67 000		2,834 93		4,942 59	2,107 66	
SIGNATURE BANK NEW YORK N Y								
Symbol SBNY Exchange OTC	Nov 25, 08	26 000	28 552	742 35	31 900	829 40	87 05	LT
	Nov 28, 08	1 000	29 759	29 76	31 900	31 90	2 14	LT
	Dec 30, 08	11 000	26 647	293 12	31 900	350 90	57 78	LT
	Feb 24, 09	12 000	24 419	293 03	31 900	382 80	89 77	ST
	Mar 5, 09	33 000	20 842	687 82	31 900	1,052 70	364 88	ST
	Jun 3, 09	6 000	26 046	156 28	31 900	191 40	35 12	ST
Security total		89 000		2,202 36		2,839 10	636 74	
SMITH INTL INC								
Symbol SII Exchange NYSE								
EAI $44 Current yield 1 78%	Jan 25, 07	61 000	39 520	2,410 72	27 170	1,657 37	-753 35	LT
	Feb 27, 07	15 000	41 560	623 40	27 170	407 55	-215 85	LT
	May 22, 07	2 000	55 740	111 48	27 170	54 34	-57 14	LT
	Jun 26, 09	13 000	25 950	337 35	27 170	353 21	15 86	ST
Security total		91 000		3,482 95		2,472 47	-1,010 48	
SPIRIT AEROSYSTEMS HOLDINGS INC CL A								
Symbol SPR Exchange NYSE	Oct 31, 07	2 000	34 942	69 89	19 860	39 72	-30 17	LT
	Nov 2, 07	13 000	36 028	468 37	19 860	258 18	-210 19	LT
	Nov 20, 07	5 000	34 814	174 07	19 860	99 30	-74 77	LT
	Feb 7, 08	8 000	24 265	194 13	19 860	158 88	-35 25	LT
	May 20, 08	13 000	29 374	381 87	19 860	258 18	-123 69	LT
	May 21, 08	1 000	28 008	28 01	19 860	19 86	-8 15	LT
	Jun 9, 08	59 000	25 337	1,494 94	19 860	1,171 74	-323 20	LT
	Jul 1, 08	19 000	19 214	365 08	19 860	377 34	12 26	LT
	Oct 20, 09	14 000	17 708	247 91	19 860	278 04	30 13	ST
Security total		134 000		3,424 27		2,661 24	-763 03	

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UBS

Friendly account name TCW MCG
Account number FP 06207 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STRAYER EDUCATION INC								
Symbol STRA Exchange OTC								
EAI $57 Current yield 1 41%	Jan 25, 07	19 000	110 670	2,102 73	212 520	4,037 88	1,935 15	LT
THORATEC CORP NEW								
Symbol THOR Exchange OTC	Jul 27, 07	25 000	19 222	480 56	26 920	673 00	192 44	LT
	Oct 26, 07	13 000	20 367	264 78	26 920	349 96	85 18	LT
	Jul 22, 09	20 000	25 029	500 59	26 920	538 40	37 81	ST
	Jul 28, 09	9 000	24 347	219 13	26 920	242 28	23 15	ST
Security total		67 000		1,465 06		1,803 64	338 58	
TOWER GROUP INC								
Symbol TWGP Exchange OTC								
EAI $23 Current yield 1 21%	Feb 25, 09	38 000	22 090	839 43	23 410	889 58	50 15	ST
	Mar 9, 09	14 000	22 524	315 34	23 410	327 74	12 40	ST
	Mar 30, 09	29 000	23 799	690 18	23 410	678 89	-11 29	ST
Security total		81 000		1,844 95		1,896 21	51 26	
ULTRA PETROLEUM CORP (CANADA) ORD								
Symbol UPL Exchange NYSE	Jun 26, 09	29 000	40 379	1,171 01	49 860	1,445 94	274 93	ST
	Sep 29, 09	12 000	48 982	587 79	49 860	598 32	10 53	ST
Security total		41 000		1,758 80		2,044 26	285 46	
VMWARE INC CL A								
Symbol VMW Exchange NYSE	Mar 4, 08	10 000	54 160	541 61	42 380	423 80	-117 81	LT
	Mar 11, 08	9 000	48 104	432 94	42 380	381 42	-51 52	LT
	Mar 18, 08	5 000	45 220	226 10	42 380	211 90	-14 20	LT
	Apr 1, 08	16 000	44 840	717 45	42 380	678 08	-39 37	LT
	Jun 30, 08	10 000	53 293	532 94	42 380	423 80	-109 14	LT
	Jul 9, 08	5 000	40 747	203 74	42 380	211 90	8 16	LT
	Jul 11, 08	3 000	39 325	117 98	42 380	127 14	9 16	LT
	Jul 18, 08	11 000	38 305	421 36	42 380	466 18	44 82	LT
	Oct 14, 08	11 000	21 515	236 67	42 380	466 18	229 51	LT
Security total		80 000		3,430 79		3,390 40	-40 39	
WABTEC INC								
Symbol WAB Exchange NYSE								
EAI $1 Current yield 0 07%	Jul 24, 09	36 000	32 877	1,183 57	40 840	1,470 24	286 67	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WYNN RESORTS LTD								
Symbol WYNN Exchange OTC	Jul 17, 09	21 000	36 366	763 69	58 230	1,222 83	459 14	ST
XENOPORT INC								
Symbol XNPT Exchange OTC	Jan 13, 09	14 000	28 241	395 38	18 550	259 70	-135 68	ST
	Mar 5, 09	6 000	18 681	112 09	18 550	111 30	-0 79	ST
	Apr 1, 09	4 000	18 100	72 40	18 550	74 20	1 80	ST
	Dec 4, 09	20 000	16 416	328 33	18 550	371 00	42 67	ST
Security total		44 000		908 20		816 20	-92 00	
Total				**$120,268 91**		**$140,160 63**	**$19,891 72**	

Total estimated annual income $673

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**4,306.05**	**2.98%**	**4,306.05**		
Equities	**Common stock**	**140,160 63**	**97.02%**	**120,268.91**	**673.00**	**19,891 72**
Total		**$144,466.68**	**100.00%**	**$124,574.96**	**$673.00**	**$19,891.72**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 3	Dividend	WYNN RESORTS LTD PAID ON 21	84 00
	Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON 72	20 88
	Dec 10	Dividend	STRAYER EDUCATION INC PAID ON 19	14 25
	Dec 15	Dividend	EXPEDITORS INTL WASH INC PAID ON 82	15 58
	Dec 16	Dividend	NATL-OILWELLVARCO INC PAID ON 71	78 10
	Dec 17	St Cap Gain	RMA MONEY MKT PORTFOLIO AS OF 12/16/09	0 46
	Dec 24	Dividend	RMA MONEY MKT PORTFOLIO AS OF 12/23/09	0 02
	Dec 28	Dividend	TOWER GROUP INC PAID ON 81	5 67
	Dec 30	Dividend	GUESS ? INC PAID ON 52	6 50
	Total taxable dividends			**$225 46**
	Total dividend and interest income			**$225 46**

UBS ACCESS December 2009

Account name BLOUM CARDENAS & DAVID W
Friendly account name: Lord Abbbet LCV
Account number: FP 06208 88

Your Financial Advisor
RMG GROUP
801-521-8840

Your assets

Some prices, income and current values shown may be approximate As a result gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	7 17				
RMA MONEY MKT PORTFOLIO	10,371 79	12,934 86	1 00	0 01%	Nov 23 to Dec 23	31
Total	**$10,371 79**	**$12,942 03**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS								
Symbol ABT Exchange NYSE								
EAI $91 Current yield 2 96%	May 2, 07	1 000	56 796	56 80	53 990	53 99	-2 81	LT
	May 10, 07	5 000	57 966	289 83	53 990	269 95	-19 88	LT
	Jun 4, 07	26 000	55 581	1,445 13	53 990	1,403 74	-41 39	LT
	Jun 13, 07	4 000	53 491	213 97	53 990	215 96	1 99	LT
	Oct 10, 07	21 000	55 113	1,157 39	53 990	1,133 79	-23 60	LT
Security total		57 000		3,163 12		3,077 43	-85 69	
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Aug 25, 08	19 000	43 773	831 69	36 780	698 82	-132 87	LT
	Sep 4, 08	16 000	43 815	701 05	36 780	588 48	-112 57	LT
Security total		35 000		1,532 74		1,287 30	-245 44	
ALLERGAN INC								
Symbol AGN Exchange NYSE								
EAI $5 Current yield 0 29%	Sep 4, 08	11 000	55 569	611 26	63 010	693 11	81 85	LT
	Feb 24, 09	10 000	40 420	404 21	63 010	630 10	225 89	ST
	Jul 28, 09	6 000	52 571	315 43	63 010	378 06	62 63	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		27 000		1,330 90		1,701 27	370 37	
AMGEN INC								
Symbol AMGN Exchange OTC	Nov 21, 07	3 000	53 399	160 20	56 570	169 71	9 51	LT
	Mar 4, 08	12 000	45 209	542 52	56 570	678 84	136 32	LT
	Mar 18, 09	11 000	50 692	557 62	56 570	622 27	64 65	ST
	Jul 13, 09	19 000	57 980	1,101 63	56 570	1,074 83	-26 80	ST
	Jul 22, 09	5 000	58 340	291 70	56 570	282 85	-8 85	ST
	Sep 10, 09	3 000	59 342	178 03	56 570	169 71	-8 32	ST
Security total		53 000		2,831 70		2,998 21	166 51	
ANNALY CAPITAL MANAGEMENT INC REIT								
Symbol NLY Exchange NYSE								
EAI $312 Current yield 17 29%	Jul 15, 08	36 000	14 323	515 65	17 350	624 60	108 95	LT
	Sep 26, 08	29 000	15 123	438 58	17 350	503 15	64 57	LT
	Feb 13, 09	39 000	15 370	599 44	17 350	676 65	77 21	ST
Security total		104 000		1,553 67		1,804 40	250 73	
ARCH COAL INC								
Symbol ACI Exchange NYSE								
EAI $20 Current yield 1 63%	Nov 19, 09	27 000	22 815	616 03	22 250	600 75	-15 28	ST
	Nov 23, 09	17 000	22 960	390 32	22 250	378 25	-12 07	ST
	Dec 7, 09	11 000	20 340	223 74	22 250	244 75	21 01	ST
Security total		55 000		1,230 09		1,223 75	-6 34	
ARCHER DANIELS MIDLAND CO								
Symbol ADM Exchange NYSE								
EAI $63 Current yield 1 80%	Dec 9, 09	79 000	30 554	2,413 77	31 310	2,473 49	59 72	ST
	Dec 14, 09	17 000	30 040	510 68	31 310	532 27	21 59	ST
	Dec 16, 09	16 000	31 382	502 12	31 310	500 96	-1 16	ST
Security total		112 000		3,426 57		3,506 72	80 15	
AT&T INC								
Symbol T Exchange NYSE								
EAI $183 Current yield 5 99%	Aug 19, 05	4 000	19 586	78 37[1]	28 030	112 12	33 75	LT
	Dec 13, 05	5 000	24 740	123 72[1]	28 030	140 15	16 43	LT
	Dec 14, 05	5 000	24 760	123 83[1]	28 030	140 15	16 32	LT
	Dec 15, 05	15 000	24 750	371 31[1]	28 030	420 45	49 14	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 20, 06	25 000	24 670	616 82[1]	28 030	700 75	83 93	LT
	Jan 23, 06	19 000	24 630	468 13[1]	28 030	532 57	64 44	LT
	Jan 25, 07	36 000	36 544	1,315 60	28 030	1,009 08	-306 52	LT
Security total		109 000		3,097 78		3,055 27	-42 51	
BANK OF NEW YORK MELLON CORP								
Symbol BK Exchange NYSE								
EAI $83 Current yield 1 28%	Mar 28, 07	15 000	43 087	646 31	27 970	419 55	-226 76	LT
	Apr 2, 07	7 000	45 887	321 21	27 970	195 79	-125 42	LT
	Apr 30, 07	30 000	35 439	1,063 18	27 970	839 10	-224 08	LT
	Aug 23, 07	39 000	41 935	1,635 47	27 970	1,090 83	-544 64	LT
	Aug 29, 07	67 000	39 628	2,655 09	27 970	1,873 99	-781 10	LT
	Jul 21, 08	43 000	36 065	1,550 80	27 970	1,202 71	-348 09	LT
	Sep 23, 08	30 000	32 659	979 77	27 970	839 10	-140 67	LT
Security total		231 000		8,851 83		6,461 07	-2,390 76	
BARRICK GOLD CORP								
Symbol ABX Exchange NYSE								
EAI $42 Current yield 1 03%	Sep 25, 09	36 000	36 154	1,301 57	39 380	1,417 68	116 11	ST
	Oct 22, 09	12 000	37 700	452 40	39 380	472 56	20 16	ST
	Nov 6, 09	21 000	41 968	881 34	39 380	826 98	-54 36	ST
	Nov 25, 09	15 000	43 723	655 86	39 380	590 70	-65 16	ST
	Dec 8, 09	20 000	41 173	823 47	39 380	787 60	-35 87	ST
Security total		104 000		4,114 64		4,095 52	-19 12	
BB&T CORP								
Symbol BBT Exchange NYSE								
EAI $55 Current yield 2 36%	Apr 11, 08	26 000	32 591	847 38	25 370	659 62	-187 76	LT
	Apr 18, 08	22 000	35 193	774 25	25 370	558 14	-216 11	LT
	Jun 13, 08	44 000	25 695	1,130 62	25 370	1,116 28	-14 34	LT
Security total		92 000		2,752 25		2,334 04	-418 21	
BEST BUY CO INC								
Symbol BBY Exchange NYSE								
EAI $13 Current yield 1 37%	Nov 25, 08	20 000	19 810	396 20	39 460	789 20	393 00	LT
	Jan 13, 09	4 000	27 359	109 44	39 460	157 84	48 40	ST
Security total		24 000		505 64		947 04	441 40	

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UBS

Friendly account name Lord Abbbet LCV
Account number: FP 06208 88

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BOSTON SCIENTIFIC CORP								
Symbol BSX Exchange NYSE	Apr 1, 08	58 000	13 300	771 42	9 000	522 00	-249 42	LT
	Jun 24, 08	14 000	12 425	173 95	9 000	126 00	-47 95	LT
	Jul 24, 08	115 000	12 325	1,417 44	9 000	1,035 00	-382 44	LT
	Sep 23, 08	2 000	12 861	25 72	9 000	18 00	-7 72	LT
	Feb 9, 09	99 000	9 153	906 22	9 000	891 00	-15 22	ST
Security total		288 000		3,294 75		2,592 00	-702 75	
CARNIVAL CORP NEW (PAIRED STOCK)								
Symbol CCL Exchange NYSE	Dec 1, 08	11 000	19 775	217 53	31 690	348 59	131 06	LT
	Mar 18, 09	48 000	21 435	1,028 88	31 690	1,521 12	492 24	ST
	Jul 8, 09	14 000	24 898	348 57	31 690	443 66	95 09	ST
Security total		73 000		1,594 98		2,313 37	718 39	
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI $84 Current yield 2 95%	Oct 15, 07	8 000	78 190	625 53	56 990	455 92	-169 61	LT
	May 20, 08	7 000	83 520	584 64	56 990	398 93	-185 71	LT
	May 14, 09	20 000	36 010	720 20	56 990	1,139 80	419 60	ST
	Jul 8, 09	15 000	30 400	456 00	56 990	854 85	398 85	ST
Security total		50 000		2,386 37		2,849 50	463 13	
CDN NATL RAILWAY CO FOREIGN STOCK								
Symbol CNI Exchange NYSE	Jun 23, 09	17 000	40 334	685 68	54 360	924 12	238 44	ST
	Jul 13, 09	10 000	38 779	387 80	54 360	543 60	155 80	ST
	Aug 21, 09	8 000	49 338	394 71	54 360	434 88	40 17	ST
Security total		35 000		1,468 19		1,902 60	434 41	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $188 Current yield 3 54%	Jul 16, 07	28 000	92 625	2,593 51	76 990	2,155 72	-437 79	LT
	Jan 7, 08	1 000	92 683	92 68	76 990	76 99	-15 69	LT
	Sep 15, 09	28 000	71 200	1,993 60	76 990	2,155 72	162 12	ST
	Sep 21, 09	7 000	71 600	501 20	76 990	538 93	37 73	ST
	Oct 6, 09	5 000	69 755	348 78	76 990	384 95	36 17	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		69 000		5,529 77		5,312 31	-217 46	
CLIFFS NAT RESOURCES INC Symbol CLF Exchange NYSE EAI $10 Current yield 0 75%	Dec 8, 09	29 000	40 980	1,188 44	46 090	1,336 61	148 17	ST
COCA COLA ENTERPRISES Symbol CCE Exchange NYSE EAI $38 Current yield 1 49%	Jan 29, 08	64 000	23 937	1,531 98	21 200	1,356 80	-175 18	LT
	Mar 28, 08	56 000	24 274	1,359 37	21 200	1,187 20	-172 17	LT
Security total		120 000		2,891 35		2,544 00	-347 35	
COMCAST CORP NEW CL A Symbol CMCSA Exchange OTC EAI $29 Current yield 2 21%	Oct 13, 09	78 000	15 400	1,201 25	16 860	1,315 08	113 83	ST
CONOCOPHILLIPS Symbol COP Exchange NYSE EAI $56 Current yield 3 92%	Sep 23, 09	28 000	46 750	1,309 00	51 070	1,429 96	120 96	ST
COVIDIEN PLC Symbol COV Exchange NYSE EAI $48 Current yield 1 52%	Apr 11, 08	26 000	45 292	1,177 61	47 890	1,245 14	67 53	LT
	Apr 24, 08	16 000	47 097	753 57	47 890	766 24	12 67	LT
	Jul 29, 08	6 000	49 580	297 48	47 890	287 34	-10 14	LT
	Feb 26, 09	18 000	34 672	624 11	47 890	862 02	237 91	ST
Security total		66 000		2,852 77		3,160 74	307 97	
DELTA AIR LINES INC DELA NEW Symbol DAL Exchange NYSE	Nov 9, 07	13 000	16 620	216 06	11 380	147 94	-68 12	LT
	Dec 12, 07	90 000	15 982	1,438 40	11 380	1,024 20	-414 20	LT
	Aug 13, 08	87 000	8 398	730 70	11 380	990 06	259 36	LT
	Oct 23, 08	105 000	9 176	963 51	11 380	1,194 90	231 39	LT
	Jan 5, 09	135 000	11 700	1,579 50	11 380	1,536 30	-43 20	ST
	Jan 7, 09	133 000	11 647	1,549 06	11 380	1,513 54	-35 52	ST
	Jan 14, 09	4 000	10 776	43 10	11 380	45 52	2 42	ST
	Jan 22, 09	83 000	10 013	831 08	11 380	944 54	113 46	ST
Security total		650 000		7,351 41		7,397 00	45 59	

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Friendly account name Lord Abbbet LCV
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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DEVON ENERGY CORP NEW								
Symbol DVN Exchange NYSE								
EAI $17 Current yield 0 86%	Aug 5, 09	16 000	63 688	1,019 02	73 500	1,176 00	156 98	ST
	Oct 23, 09	11 000	68 600	754 60	73 500	808 50	53 90	ST
Security total		27 000		1,773 62		1,984 50	210 88	
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $65 Current yield 2 18%	Jul 28, 09	47 000	20 090	944 23	27 630	1,298 61	354 38	ST
	Aug 27, 09	36 000	21 271	765 78	27 630	994 68	228 90	ST
	Oct 6, 09	8 000	25 000	200 00	27 630	221 04	21 04	ST
	Oct 26, 09	17 000	25 200	428 40	27 630	469 71	41 31	ST
Security total		108 000		2,338 41		2,984 04	645 63	
EATON CORP								
Symbol ETN Exchange NYSE								
EAI $120 Current yield 3 14%	Oct 14, 04	15 000	61 650	924 75[1]	63 620	954 30	29 55	LT
	Feb 28, 06	13 000	69 730	906 50[1]	63 620	827 06	-79 44	LT
	Jul 2, 08	32 000	84 299	2,697 59	63 620	2,035 84	-661 75	LT
Security total		60 000		4,528 84		3,817 20	-711 64	
EL PASO CORP								
Symbol EP Exchange NYSE								
EAI $10 Current yield 0 39%	Dec 16, 05	8 000	12 290	98 39[1]	9 830	78 64	-19 75	LT
	Dec 19, 05	30 000	12 290	368 96[1]	9 830	294 90	-74 06	LT
	Dec 20, 05	35 000	12 290	430 35[1]	9 830	344 05	-86 30	LT
	Dec 21, 05	10 000	12 290	122 96[1]	9 830	98 30	-24 66	LT
	Mar 1, 06	52 000	12 850	668 26[1]	9 830	511 16	-157 10	LT
	Jan 17, 07	50 000	14 750	737 50	9 830	491 50	-246 00	LT
	Feb 7, 08	1 000	16 011	16 01	9 830	9 83	-6 18	LT
	Nov 20, 09	48 000	9 620	461 76	9 830	471 84	10 08	ST
	Dec 7, 09	25 000	9 622	240 57	9 830	245 75	5 18	ST
Security total		259 000		3,144 76		2,545 97	-598 79	
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI $35 Current yield 3 16%	Aug 3, 09	26 000	36 661	953 21	42 600	1,107 60	154 39	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
EOG RESOURCES INC Symbol EOG Exchange NYSE EAI $9 Current yield 0 62%	Mar 19, 08	15 000	121 457	1 821 86	97 300	1,459 50	-362 36	LT
EXXON MOBIL CORP Symbol XOM Exchange NYSE EAI $208 Current yield 2 46%	Dec 31, 04	23 000	51 230	1,178 36[1]	68 190	1,568 37	390 01	LT
	Jan 17, 07	29 000	72 410	2,099 89	68 190	1,977 51	-122 38	LT
	Jul 29, 08	8 000	80 497	643 98	68 190	545 52	-98 46	LT
	Oct 12, 09	7 000	70 247	491 73	68 190	477 33	-14 40	ST
	Dec 7, 09	12 000	74 334	892 01	68 190	818 28	-73 73	ST
	Dec 16, 09	45 000	69 431	3,124 44	68 190	3,068 55	-55 89	ST
Security total		124 000		8,430 41		8,455 56	25 15	
FORD MOTOR CO COM NEW Symbol F Exchange NYSE	May 13, 09	113 000	4 870	550 31	10 000	1,130 00	579 69	ST
	May 20, 09	59 000	5 684	335 39	10 000	590 00	254 61	ST
	Jun 5, 09	66 000	6 410	423 06	10 000	660 00	236 94	ST
	Jul 8, 09	84 000	5 480	460 37	10 000	840 00	379 63	ST
	Oct 6, 09	85 000	7 164	609 00	10 000	850 00	241 00	ST
Security total		407 000		2,378 13		4,070 00	1,691 87	
FRANKLIN RESOURCES INC Symbol BEN Exchange NYSE EAI $39 Current yield 0 84%	Dec 2, 08	17 000	52 907	899 42	105 350	1,790 95	891 53	LT
	Dec 30, 08	8 000	59 934	479 48	105 350	842 80	363 32	LT
	Mar 18, 09	19 000	47 355	899 76	105 350	2,001 65	1,101 89	ST
Security total		44 000		2,278 66		4,635 40	2,356 74	
GENL ELECTRIC CO Symbol GE Exchange NYSE EAI $108 Current yield 2 63%	Oct 14, 04	2 000	33 570	67 14[1]	15 130	30 26	-36 88	LT
	Nov 26, 04	8 000	35 500	284 00[1]	15 130	121 04	-162 96	LT
	Nov 29, 04	33 000	35 350	1,166 82[1]	15 130	499 29	-667 53	LT
	Jun 9, 06	20 000	34 020	680 52[1]	15 130	302 60	-377 92	LT
	Jul 7, 06	15 000	33 370	500 64[1]	15 130	226 95	-273 69	LT
	Aug 25, 06	7 000	33 790	236 60[1]	15 130	105 91	-130 69	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 27, 06	19 000	35 250	669 81 [1]	15 130	287 47	-382 34	LT
	Jan 17, 07	80 000	38 040	3,043 20	15 130	1,210 40	-1,832 80	LT
	Feb 7, 07	43 000	36 241	1,558 39	15 130	650 59	-907 80	LT
	Feb 9, 07	8 000	35 560	284 48	15 130	121 04	-163 44	LT
	Sep 5, 07	33 000	38 698	1,277 04	15 130	499 29	-777 75	LT
	Dec 4, 08	3 000	17 849	53 55	15 130	45 39	-8 16	LT
Security total		271 000		9,822 19		4,100 23	-5,721 96	
GOLDMAN SACHS GROUP INC Symbol GS Exchange NYSE EAI $71 Current yield 0 82%	Dec 4, 08	35 000	68 424	2,394 87	168 840	5,909 40	3,514 53	LT
	Jan 2, 09	16 000	83 598	1,337 57	168 840	2,701 44	1,363 87	ST
Security total		51 000		3,732 44		8,610 84	4,878 40	
HALLIBURTON CO (HOLDING COMPANY) Symbol HAL Exchange NYSE EAI $48 Current yield 1 21%	May 6, 09	16 000	22 907	366 52	30 090	481 44	114 92	ST
	Jun 3, 09	30 000	22 988	689 66	30 090	902 70	213 04	ST
	Jul 8, 09	58 000	18 976	1,100 65	30 090	1,745 22	644 57	ST
	Sep 10, 09	28 000	25 006	700 19	30 090	842 52	142 33	ST
Security total		132 000		2,857 02		3,971 88	1,114 86	
HERTZ GLOBAL HOLDINGS INC Symbol HTZ Exchange NYSE	Nov 21, 07	112 000	17 495	1,959 50	11 920	1,335 04	-624 46	LT
	Jan 3, 08	50 000	15 028	751 41	11 920	596 00	-155 41	LT
	Jul 2, 08	52 000	8 265	429 82	11 920	619 84	190 02	LT
	Aug 8, 08	32 000	8 219	263 01	11 920	381 44	118 43	LT
	Mar 4, 09	190 000	3 300	627 04	11 920	2,264 80	1,637 76	ST
Security total		436 000		4,030 78		5,197 12	1,166 34	
HESS CORP Symbol HES Exchange NYSE EAI $10 Current yield 0 64%	Apr 2, 09	4 000	58 790	235 16	60 500	242 00	6 84	ST
	Jun 3, 09	22 000	58 524	1,287 53	60 500	1,331 00	43 47	ST
Security total		26 000		1,522 69		1,573 00	50 31	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI $17 Current yield 0 62%	Aug 29, 07	53 000	47 474	2,516 13	51 510	2,730 03	213 90	LT
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $40 Current yield 3 14%	Mar 18, 09	44 000	21 511	946 51	28 930	1,272 92	326 41	ST
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $51 Current yield 2 75%	Apr 8, 09	44 000	15 228	670 03	20 400	897 60	227 57	ST
	Apr 20, 09	25 000	15 120	378 00	20 400	510 00	132 00	ST
	Jul 8, 09	22 000	16 109	354 42	20 400	448 80	94 38	ST
Security total		91 000		1,402 45		1,856 40	453 95	
J CREW GROUP INC								
Symbol JCG Exchange NYSE	Jun 18, 08	6 000	33 629	201 78	44 740	268 44	66 66	LT
	Jul 7, 08	9 000	32 655	293 90	44 740	402 66	108 76	LT
	Aug 7, 08	39 000	29 077	1,134 03	44 740	1,744 86	610 83	LT
Security total		54 000		1,629 71		2,415 96	786 25	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $71 Current yield 3 06%	Aug 5, 09	22 000	60 464	1,330 22	64 410	1,417 02	86 80	ST
	Sep 15, 09	11 000	60 280	663 08	64 410	708 51	45 43	ST
	Sep 23, 09	3 000	61 070	183 21	64 410	193 23	10 02	ST
Security total		36 000		2,176 51		2,318 76	142 25	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $57 Current yield 0 48%	Oct 14, 04	48 000	38 530	1,849 44[1]	41 670	2,000 16	150 72	LT
	Oct 22, 07	33 000	45 156	1,490 18	41 670	1,375 11	-115 07	LT
	Jan 2, 08	54 000	42 364	2,287 69	41 670	2,250 18	-37 51	LT
	Mar 4, 08	22 000	39 267	863 89	41 670	916 74	52 85	LT
	Jun 19, 08	79 000	37 731	2,980 82	41 670	3,291 93	311 11	LT
	Jun 23, 08	26 000	37 182	966 74	41 670	1,083 42	116 68	LT
	Sep 16, 08	23 000	38 423	883 73	41 670	958 41	74 68	LT
Security total		285 000		11,322 49		11,875 95	553 46	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KOHLS CORP								
Symbol KSS Exchange NYSE	Oct 28, 08	3 000	28 258	84 78	53 930	161 79	77 01	LT
	Dec 12, 08	37 000	34 782	1,286 94	53 930	1,995 41	708 47	LT
Security total		40 000		1,371 72		2,157 20	785 48	
KRAFT FOODS INC CL A								
Symbol KFT Exchange NYSE								
EAI $96 Current yield 4 26%	Mar 31, 06	7 000	30 260	211 82[1]	27 180	190 26	-21 56	LT
	Apr 3, 06	7 000	30 230	211 64[1]	27 180	190 26	-21 38	LT
	Jul 21, 06	15 000	29 960	449 55[1]	27 180	407 70	-41 85	LT
	Jan 17, 07	18 000	35 290	635 22	27 180	489 24	-145 98	LT
	Nov 19, 07	17 000	32 439	551 46	27 180	462 06	-89 40	LT
	Dec 12, 07	19 000	34 268	651 11	27 180	516 42	-134 69	LT
Security total		83 000		2,710 80		2,255 94	-454 86	
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI $87 Current yield 1 84%	Dec 15, 05	1 000	18 960	18 97[1]	20 530	20 53	1 56	LT
	Dec 16, 05	10 000	18 980	189 83[1]	20 530	205 30	15 47	LT
	Apr 7, 06	7 000	20 030	140 23[1]	20 530	143 71	3 48	LT
	Jan 17, 07	55 000	23 640	1,300 20	20 530	1,129 15	-171 05	LT
	Apr 11, 08	94 000	24 428	2,296 26	20 530	1,929 82	-366 44	LT
	Nov 2, 09	14 000	23 217	325 04	20 530	287 42	-37 62	ST
	Nov 10, 09	22 000	24 000	528 00	20 530	451 66	-76 34	ST
	Dec 7, 09	27 000	22 686	612 52	20 530	554 31	-58 21	ST
Security total		230 000		5,411 05		4,721 90	-689 15	
M & T BANK CORP								
Symbol MTB Exchange NYSE								
EAI $109 Current yield 4 18%	Dec 23, 08	19 000	54 251	1,030 77	66 890	1,270 91	240 14	LT
	Jan 2, 09	8 000	56 441	451 53	66 890	535 12	83 59	ST
	Mar 19, 09	12 000	39 574	474 90	66 890	802 68	327 78	ST
Security total		39 000		1,957 20		2,608 71	651 51	
MARATHON OIL CORP								
Symbol MRO Exchange NYSE								
EAI $67 Current yield 3 07%	Sep 25, 09	32 000	32 040	1,025 28	31 220	999 04	-26 24	ST

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 6, 09	9 000	31 679	285 11	31 220	280 98	-4 13	ST
	Oct 22, 09	15 000	34 190	512 85	31 220	468 30	-44 55	ST
	Dec 7, 09	14 000	31 739	444 35	31 220	437 08	-7 27	ST
Security total		70 000		2,267 59		2,185 40	-82 19	
MARRIOTT INTL INC NEW CL A								
Symbol MAR Exchange NYSE	Mar 18, 09	85 000	15 460	1,314 14	27 250	2,316 25	1,002 11	ST
	Jul 8, 09	12 000	19 592	235 11	27 250	327 00	91 89	ST
Security total		97 000		1,549 25		2,643 25	1,094 00	
MASCO CORP								
Symbol MAS Exchange NYSE								
EAI $29 Current yield 2 21%	Sep 17, 08	43 000	18 056	776 44	13 810	593 83	-182 61	LT
	Nov 7, 08	50 000	8 928	446 41	13 810	690 50	244 09	LT
	Jan 13, 09	2 000	10 804	21 61	13 810	27 62	6 01	ST
Security total		95 000		1,244 46		1,311 95	67 49	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $81 Current yield 4 18%	Aug 5, 09	53 000	29 715	1,574 90	36 540	1,936 62	361 72	ST
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $51 Current yield 2 09%	Jun 18, 09	37 000	30 207	1,117 69	35 350	1,307 95	190 26	ST
	Jul 27, 09	32 000	34 534	1,105 11	35 350	1,131 20	26 09	ST
Security total		69 000		2,222 80		2,439 15	216 35	
MORGAN STANLEY								
Symbol MS Exchange NYSE								
EAI $30 Current yield 0 67%	Mar 20, 09	28 000	20 286	568 01	29 600	828 80	260 79	ST
	Apr 3, 09	17 000	22 688	385 70	29 600	503 20	117 50	ST
	Apr 23, 09	46 000	21 365	982 81	29 600	1,361 60	378 79	ST
	May 7, 09	21 000	26 518	556 88	29 600	621 60	64 72	ST
	May 8, 09	39 000	26 924	1,050 07	29 600	1,154 40	104 33	ST
Security total		151 000		3,543 47		4,469 60	926 13	
MOSAIC CO								
Symbol MOS Exchange NYSE								
EAI $6 Current yield 0 36%	Nov 25, 09	18 000	55 121	992 19	59 730	1,075 14	82 95	ST

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Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 9, 09	10 000	59 655	596 56	59 730	597 30	0 74	ST
Security total		28 000		1,588 75		1,672 44	83 69	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $41 Current yield 1 63%	Apr 17, 09	15 000	59 406	891 10	81 350	1,220 25	329 15	ST
	Dec 8, 09	16 000	76 786	1,228 59	81 350	1,301 60	73 01	ST
Security total		31 000		2,119 69		2,521 85	402 16	
OMNICOM GROUP INC								
Symbol OMC Exchange NYSE								
EAI $16 Current yield 1 57%	May 13, 09	12 000	31 090	373 09	39 150	469 80	96 71	ST
	Oct 6, 09	14 000	36 434	510 08	39 150	548 10	38 02	ST
Security total		26 000		883 17		1,017 90	134 73	
PENNEY J C CO INC (HLDG CO)								
Symbol JCP Exchange NYSE								
EAI $46 Current yield 3 03%	Oct 9, 08	12 000	26 547	318 57	26 610	319 32	0 75	LT
	Oct 21, 08	29 000	22 146	642 24	26 610	771 69	129 45	LT
	Oct 28, 08	16 000	18 988	303 81	26 610	425 76	121 95	LT
Security total		57 000		1,264 62		1,516 77	252 15	
PETROLEO BRASILEIRO SA SPON ADR								
Symbol PBR Exchange NYSE								
EAI $10 Current yield 0 78%	Sep 23, 09	14 000	45 874	642 25	47 680	667 52	25 27	ST
	Oct 21, 09	13 000	50 054	650 70	47 680	619 84	-30 86	ST
Security total		27 000		1,292 95		1,287 36	-5 59	
PG & E CORP (HOLDING COMPANY)								
Symbol PCG Exchange NYSE								
EAI $52 Current yield 3 76%	Oct 6, 09	31 000	40 710	1,262 02	44 650	1,384 15	122 13	ST
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI $33 Current yield 0 76%	Dec 20, 07	12 000	64 276	771 32	52 790	633 48	-137 84	LT
	Feb 20, 08	12 000	62 376	748 52	52 790	633 48	-115 04	LT
	Apr 14, 08	31 000	63 071	1,955 21	52 790	1,636 49	-318 72	LT
	Apr 21, 08	20 000	65 511	1,310 22	52 790	1,055 80	-254 42	LT
	Jun 23, 08	7 000	56 526	395 69	52 790	369 53	-26 16	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		82 000		5,180 96		4,328 78	-852 18	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $34 Current yield 2 02%	Oct 14, 04	17 000	41 630	707 71[1]	80 310	1,365 27	657 56	LT
	May 22, 08	4 000	93 826	375 30	80 310	321 24	-54 06	LT
Security total		21 000		1,083 01		1,686 51	603 50	
PROGRESS ENERGY INC								
Symbol PGN Exchange NYSE								
EAI $69 Current yield 6 01%	Oct 14, 04	19 000	41 710	792 49[1]	41 010	779 19	-13 30	LT
	Oct 10, 07	9 000	47 093	423 84	41 010	369 09	-54 75	LT
Security total		28 000		1,216 33		1,148 28	-68 05	
PULTE HOMES INC								
Symbol PHM Exchange NYSE	May 20, 09	21 000	9 750	204 75	10 000	210 00	5 25	ST
	Jun 8, 09	70 000	8 792	615 50	10 000	700 00	84 50	ST
	Aug 5, 09	36 000	11 870	427 35	10 000	360 00	-67 35	ST
	Sep 11, 09	23 000	12 515	287 85	10 000	230 00	-57 85	ST
	Sep 21, 09	15 000	12 556	188 35	10 000	150 00	-38 35	ST
	Oct 6, 09	36 000	10 745	386 84	10 000	360 00	-26 84	ST
Security total		201 000		2,110 64		2,010 00	-100 64	
REGIONS FINANCIAL CORP								
Symbol RF Exchange NYSE								
EAI $4 Current yield 0 71%	Oct 6, 09	81 000	6 093	493 61	5 290	428 49	-65 12	ST
	Oct 15, 09	26 000	5 999	155 99	5 290	137 54	-18 45	ST
Security total		107 000		649 60		566 03	-83 57	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $84 Current yield 1 29%	Jul 24, 07	52 000	95 240	4,952 48	65 090	3,384 68	-1,567 80	LT
	Dec 1, 08	26 000	44 398	1,154 36	65 090	1,692 34	537 98	LT
	May 15, 09	11 000	51 799	569 79	65 090	715 99	146 20	ST
	Jun 23, 09	11 000	52 948	582 44	65 090	715 99	133 55	ST
Security total		100 000		7,259 07		6,509 00	-750 07	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STATE STREET CORP								
Symbol STT Exchange NYSE								
EAI $2 Current yield 0 09%	Apr 20, 09	26 000	32 403	842 50	43 540	1,132 04	289 54	ST
	May 13, 09	14 000	38 419	537 87	43 540	609 56	71 69	ST
	May 28, 09	10 000	43 784	437 84	43 540	435 40	-2 44	ST
Security total		50 000		1,818 21		2,177 00	358 79	
SUNCOR ENERGY INC NEW								
Symbol SU Exchange NYSE	Oct 30, 09	36 000	33 301	1,198 84	35 310	1,271 16	72 32	ST
	Dec 8, 09	18 000	34 192	615 46	35 310	635 58	20 12	ST
Security total		54 000		1,814 30		1,906 74	92 44	
SUNTRUST BANKS INC								
Symbol STI Exchange NYSE								
EAI $8 Current yield 0 20%	Jul 10, 09	84 000	15 327	1,287 48	20 290	1,704 36	416 88	ST
	Sep 25, 09	61 000	22 570	1,376 77	20 290	1,237 69	-139 08	ST
	Oct 19, 09	17 000	21 172	359 92	20 290	344 93	-14 99	ST
	Nov 17, 09	23 000	21 132	486 04	20 290	466 67	-19 37	ST
	Dec 18, 09	15 000	20 614	309 21	20 290	304 35	-4 86	ST
Security total		200 000		3,819 42		4,058 00	238 58	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI $90 Current yield 1 40%	Oct 23, 08	9 000	33 494	301 45	48 370	435 33	133 88	LT
	Nov 7, 08	10 000	35 815	358 16	48 370	483 70	125 54	LT
	Dec 12, 08	33 000	36 087	1,190 87	48 370	1,596 21	405 34	LT
	Dec 29, 08	81 000	32 241	2,611 59	48 370	3,917 97	1,306 38	LT
Security total		133 000		4,462 07		6,433 21	1,971 14	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI $36 Current yield 0 87%	Feb 9, 07	9 000	35 000	315 00	56 180	505 62	190 62	LT
	Apr 10, 07	35 000	37 999	1,329 98	56 180	1,966 30	636 32	LT
	Apr 11, 07	5 000	37 961	189 81	56 180	280 90	91 09	LT
	May 10, 07	22 000	38 313	842 89	56 180	1,235 96	393 07	LT
	Jul 2, 07	3 000	41 650	124 95	56 180	168 54	43 59	LT
Security total		74 000		2,802 63		4,157 32	1,354 69	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TIME WARNER CABLE INC								
Symbol TWC Exchange NYSE	Jan 8, 09	8 000	30 478	243 83	41 390	331 12	87 29	ST
	Aug 10, 09	6 000	35 418	212 51	41 390	248 34	35 83	ST
	Oct 6, 09	10 000	42 970	429 71	41 390	413 90	-15 81	ST
Security total		24 000		886 05		993 36	107 31	
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI $26 Current yield 2 55%	Jan 8, 09	35 000	21 637	757 30	29 140	1,019 90	262 60*	ST
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI $3 Current yield 0 10%	Feb 25, 09	10 000	23 179	231 79	30 480	304 80	73 01	ST
	Mar 2, 09	28 000	18 864	528 19	30 480	853 44	325 25	ST
	Mar 19, 09	1 000	21 737	21 74	30 480	30 48	8 74	ST
	Mar 27, 09	21 000	21 077	442 64	30 480	640 08	197 44	ST
	Oct 6, 09	24 000	23 948	574 76	30 480	731 52	156 76	ST
	Nov 10, 09	19 000	28 880	548 72	30 480	579 12	30 40	ST
Security total		103 000		2,347 84		3,139 44	791 60	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI $141 Current yield 5 75%	May 7, 09	19 000	29 629	562 95	33 130	629 47	66 52	ST
	Jun 1, 09	19 000	29 500	560 52	33 130	629 47	68 95	ST
	Jun 22, 09	36 000	29 604	1,065 75	33 130	1,192 68	126 93	ST
Security total		74 000		2,189 22		2,451 62	262 40	
WAL MART STORES INC								
Symbol WMT Exchange NYSE								
EAI $26 Current yield 2 03%	Feb 20, 08	24 000	49 450	1,186 80	53 450	1,282 80	96 00	LT
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $70 Current yield 0 74%	Apr 15, 08	3 000	27 536	82 61	26 990	80 97	-1 64	LT
	Jun 27, 08	231 000	23 687	5,471 77	26 990	6,234 69	762 92	LT
	Nov 17, 08	88 000	26 834	2,361 42	26 990	2,375 12	13 70	LT
	Jan 6, 09	23 000	28 521	656 00	26 990	620 77	-35 23	ST
	Jan 15, 09	4 000	20 914	83 66	26 990	107 96	24 30	ST

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UBS

Friendly account name Lord Abbbet LCV
Account number. FP 06208 88

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		349 000		8,655 46		9,419 51	764 05	
XTO ENERGY INC Symbol XTO Exchange NYSE EAI $31 Current yield 1 09%	May 27, 08	32 000	63 007	2,016 24	46 530	1,488 96	-527 28	LT
	Nov 6, 09	29 000	42 700	1,238 30	46 530	1,349 37	111 07	ST
Security total		61 000		3,254 54		2,838 33	-416 21	
ZIONS BANCORP Symbol ZION Exchange OTC EAI $8 Current yield 0 31%	Oct 21, 08	53 000	34 169	1,810 96	12 830	679 99	-1,130 97	LT
	Jan 8, 09	3 000	22 648	67 95	12 830	38 49	-29 46	ST
	Apr 17, 09	69 000	15 151	1,045 46	12 830	885 27	-160 19	ST
	Sep 10, 09	30 000	15 679	470 37	12 830	384 90	-85 47	ST
	Oct 15, 09	31 000	18 615	577 07	12 830	397 73	-179 34	ST
	Nov 13, 09	13 000	12 950	168 35	12 830	166 79	-1 56	ST
Security total		199 000		4,140 16		2,553 17	-1,586 99	
Total				**$222,964.08**		**$236,437.24**	**$13,473 16**	

Total estimated annual income $3,682

[1] Indicates cost basis information has been provided by a source other than UBS Financial Services

Asterisk * indicates the calculation of unrealized gains/losses based upon a UBS Financial Services adjustment to cost basis

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**12,942 03**	**5 19%**	**12,942 03**		
Equities	**Common stock**	**236,437 24**	**94.81%**	**222,964.08**	**3,682.00**	**13,473 16**
Total		**$249,379.27**	**100.00%**	**$235,906.11**	**$3,682.00**	**$13,473.16**

UBS Business Services Account
December 2009

Account name NIKI CHARITABLE ART FOUNDATION
Friendly account name Niki Art Found
Account number VR 49142 60

Your Financial Advisor
STEPHEN SEIBER
858-454-9181/800-231-9628

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	133 884 99	134,583 90	1 00	0 01%	Nov 23 to Dec 23	31

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN INTL GROUP INC COM NEW Symbol AIG Exchange NYSE	Feb 20, 08	100 000	960 530	96,565 09	29 980	2,998 00	-93,567 09	LT
CARLISLE COS INC Symbol CSL Exchange NYSE EAI $2,720 Current yield 1 87%	May 2, 08	4,250 000	29 974	128,218 44	34 260	145,605 00	17,386 56	LT
GULFMARK OFFSHORE INC Symbol GLF Exchange NYSE	May 2, 08	2,240 000	57 035	128,495 38	28 310	63,414 40	-65,080 98	LT
HEALTHSOUTH CORP NEW Symbol HLS Exchange NYSE	Jan 16, 07	3,000 000	22 488	68,022 85	18 770	56,310 00	-11,712 85	LT
UBS AG (USD) NEW 2006 ORD Symbol UBS Exchange NYSE	Sep 16, 09	2,600 000	18 786	49,322 25	15 510	40,326 00	-8,996 25	ST
Total				**$470,624 01**		**$308,653.40**	**-$161,970.61**	

Total estimated annual income $2,720

UBS

Friendly account name Niki Art Found
Account number VR 49142 60

Your assets › Equities (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CROSSTEX ENERGY LP MLP Symbol XTEX Exchange OTC	May 2, 08	1,920 000	33 182	64,204 01	8 600	16,512 00	-47,692 01	LT
ONEOK PARTNERS LP Symbol OKS Exchange NYSE EAI $4 622 Current yield 7 00%	May 2, 08	1,060 000	60 486	64,570 04	62 300	66,038 00	1,467 96	LT
Total				**$128,774.05**		**$82,550 00**	**-$46,224.05**	

Total estimated annual income $4,622

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FIRSTBANK PR RATE 03 8500% MAT 12/10/2010 FIXED RATE CD ACCRUED INTEREST $110 75 CUSIP 337629K65 EAI $1,925 Current yield 3 76%	Dec 03, 08	50,000 000	100 000	50,000 00	102 472	51,236 00	1,236 00	LT

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**134,583 90**	**23.32%**	**134,583.90**		
Equities	Common stock	308,653 40		470,624 01	2,720 00	-161,970 61
	Other equity investments	82,550 00		128,774 05	4,622 00	-46,224 05
	Total equities	**391,203 40**	**67.78%**	**599,398.06**	**7,342.00**	**-208,194 66**
Fixed income	Certificates of deposits	51 236 00		50,000 00	1,925 00	1,236 00
	Total accrued interest	110 75				
	Total fixed income	**51,346 75**	**8.90%**	**50,000.00**	**1,925.00**	**1,236 00**
Total		**$577,134.05**	**100.00%**	**$783,981.96**	**$9,267.00**	**-$206,958 66**

WELLS FARGO INVESTMENTS

NIKI CHARITABLE ART FOUNDATION

Account number	W62258009
Financial Consultant	PAUL TAN
Phone Number.	(435) 655-4053

Activity Summary

	Amount
Total Asset Value as of November 30, 2009	**$241,821.29**
Cash/Cash Sweep/Money Mkt Fds Activity for December	
Closing Balance as of 11/30/2009	153,483.37
Income	315.21
Securities Bought	(311.30)
Closing Balance as of 12/31/2009	**$153,487.28**

Important Information About Your Account

What's Your New Year's Resolution?
Get your financial strategy on track for the new year. Now is the perfect time for a thorough review of your financial situation as there may be steps you need to take before the April 15th deadline, including contributing to an IRA. Contact a Wells Fargo investment professional today to schedule a consultation.

Change to Coverage of Excess Securities Investor Protection Corporation (SIPC) - Wells Fargo Investments provides additional insurance coverage above the SIPC limits. Our coverage is scheduled to change in February 2010 and will include an update to the aggregate limit Please watch for updates at www.wellsfargo.com/investing/why_invest/lloyds_coverage or contact us at the number on this statement

Portfolio Value

CASH/CASH SWEEP & MONEY MKT FDS 63%

Quantity	Description	Market Price	Market Value	Estimated Annual Income
	WELLS FARGO CASH SWEEP FDIC INSURED Average Yield 03%		$153,487 28	
	Cash, Cash Sweep & Money Mkt Fds Subtotal		**$153,487.28**	

MUTUAL FUNDS 37%

Quantity	Description	Symbol	Market Price	Market Value	Estimated Annual Income
3,252 230	WELLS FARGO FDS EQUITY INCOME CL C SHS	WFEEX	$13 90	$45,205 99	$523
1,029 554	WELLS FARGO FDS TR LARGE CO GROWTH FD CL C SHS	WFLCX	43 47	44,754 71	172
	Mutual Funds Subtotal			**$89,960.70**	**$695**

Line 11a—Example A
Schedule of Information Regarding Transfers To a Controlled Entity

	(A) Name and address of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	ACCRUED RENT DUE TO NCAF FOR LEASE OF SANTEE STORAGE FACILITY	$97,470
b	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	TRANSFER OF FUNDS TO COVER NCAF EXPENSES PAID BY NOAH'S ART	$250,000
c	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	PAYMENT OF NOAH ART'S ART REPAIR EXPENSES	$25,799
d	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	PAYMENT OF STORAGE FEES, PORTION ALLOCATED TO NOAH'S ART	$35,717
e	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	PAYMENT OF NOAH'S ART PORTION OF LEGAL FEES RELATED TO STORAGE	$23,569
Total				$432,555

Line 11a—Example B
Schedule of Information Regarding Transfers From a Controlled Entity

	(A) Name and address of each controlled entity	(B) Employer Identification Number	(C) Description of transfer	(D) Amount of transfer
a	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	NCAF TRUSTEE FEES AND PAYROLL TAXES PAID BY NOAH'S ART, INC.	$108,252
b	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	INCREASE INVESTMENT IN NOAH'S ART INC.	$240,627
c	NOAH'S ART, INC PO BOX 2784 PARK CITY, UT 84060	33-0663869	SHOW AND ART SALES PROCEEDS RECEIVED FOR NOAH'S ART	$83,676
d				
e				
Total				$432,555