



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.Otherwise,
print
or type.See Specific
Instructions.

Name of foundation

GENENTECH FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

1 DNA WAY, MS #24

Room/suite

City or town, state, and ZIP code

SOUTH SAN FRANCISCO, CA 94080

A Employer identification number

46-0500264

B Telephone number

650-467-0805

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

\$ 25,496,352. (Part I, column (d) must be on cash basis)

J Accounting method:

☐ Cash☒ Accrual☐ Other (specify)C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

9,845,162.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B
Interest on savings and temporary
cash investments

44,235.

44,235.

STATEMENT 1

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less: Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

9,889,397.

44,235.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

STMT 2

17,164.

0.

17,164.

b Accounting fees

STMT 3

16,500.

0.

16,500.

c Other professional fees

17 Interest

18 Taxes

STMT 4

970.

0.

85.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

5,000.

0.

5,000.

23 Other expenses

STMT 5

2,570.

0.

2,570.

24 Total operating and administrative

expenses Add lines 13 through 23

42,204.

0.

41,319.

25 Contributions, gifts, grants paid

3,922,789.

4,581,130.

26 Total expenses and disbursements.

Add lines 24 and 25

3,964,993.

0.

4,622,449.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

5,924,404.

b Net investment income (if negative, enter -0-)

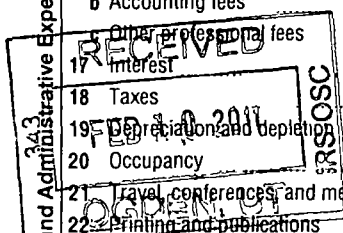
44,235.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED FEB 15 2011

Operating and Administrative Expenses



168

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	20,193,614.	25,489,934.	25,489,934.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	3,806.	6,201.	6,201.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 6)	26,769.	217.	217.
	16 Total assets (to be completed by all filers)	20,224,189.	25,496,352.	25,496,352.
	17 Accounts payable and accrued expenses	1,637,222.	984,981.	
	18 Grants payable			
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	1,637,222.	984,981.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	18,586,967.	24,511,371.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	18,586,967.	24,511,371.		
31 Total liabilities and net assets/fund balances	20,224,189.	25,496,352.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,586,967.
2 Enter amount from Part I, line 27a	2	5,924,404.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	24,511,371.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,511,371.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b NONE			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,964,075.	21,499,712.	.184378
2007	2,076,171.	17,741,163.	.117026
2006	3,198,865.	14,443,184.	.221479
2005	530,882.	3,692,301.	.143781
2004	350,000.	1,335,706.	.262034

2 Total of line 1, column (d)	2	.928698
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.185740
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	19,788,650.
5 Multiply line 4 by line 3	5	3,675,544.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	442.
7 Add lines 5 and 6	7	3,675,986.
8 Enter qualifying distributions from Part XII, line 4	8	4,622,449.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	442.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	442.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	442.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,832.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,832.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,390.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 3,390. Refunded <input checked="" type="checkbox"/> 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.GENENTECHFOUNDATION.COM</u>	13	X	
14	The books are in care of ► <u>ASHRAF HANNA</u> Telephone no. ► <u>650-225-4270</u> Located at ► <u>1 DNA WAY, SOUTH SAN FRANCISCO, CA</u> ZIP+4 ► <u>94080</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

☒**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 0.
b	Average of monthly cash balances	1b 20,090,000.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 20,090,000.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 20,090,000.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 301,350.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 19,788,650.
6	Minimum investment return. Enter 5% of line 5	6 989,433.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 989,433.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 442.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 442.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 988,991.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 988,991.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 988,991.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 4,622,449.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 4,622,449.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 442.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 4,622,007.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				988,991.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	283,430.			
b From 2005	348,414.			
c From 2006	2,490,537.			
d From 2007	1,206,870.			
e From 2008	2,900,283.			
f Total of lines 3a through e	7,229,534.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	4,622,449.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				988,991.
e Remaining amount distributed out of corpus	3,633,458.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	10,862,992.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	283,430.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	10,579,562.			
10 Analysis of line 9:				
a Excess from 2005	348,414.			
b Excess from 2006	2,490,537.			
c Excess from 2007	1,206,870.			
d Excess from 2008	2,900,283.			
e Excess from 2009	3,633,458.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT B	NONE			4,581,130.
Total			▶ 3a	4,581,130.
b Approved for future payment SEE STATEMENT C	NONE			984,981.
Total			▶ 3b	984,981.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>	<p>Yes</p>	<p>No</p>



[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee 		Date 2/15/2011		Title VP Commercial Finance	
Paid Preparer's Use Only	Preparer's signature 		Date NOV 12 2010		Check if self-employed <input type="checkbox"/>
	Firm's name (or yours if self-employed), address, and ZIP code HOOD & STRONG LLP, CPAS 100 FIRST STREET, 14TH FLOOR SAN FRANCISCO, CA 94105		Preparer's identifying number EIN Phone no. (415) 781-0793		

Form **990-PF** (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

GENENTECH FOUNDATION

46-0500264

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

GENENTECH FOUNDATION

46-0500264

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	GENENTECH FDN FOR BIOMEDICAL SCIENCE 1 DNA WAY SAN FRANCISCO, CA 94080	\$ 844,762.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	GENENTECH INC. 1 DNA WAY SAN FRANCISCO, CA 94080	\$ 9,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

GENENTECH FOUNDATION

46-0500264

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

GENENTECH FOUNDATION

46-0500264

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	44,235.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	44,235.

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	17,164.	0.		17,164.
TO FM 990-PF, PG 1, LN 16A	17,164.	0.		17,164.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	16,500.	0.		16,500.
TO FORM 990-PF, PG 1, LN 16B	16,500.	0.		16,500.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	885.	0.		0.
FILING FEE	85.	0.		85.
TO FORM 990-PF, PG 1, LN 18	970.	0.		85.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DUES AND SUBSCRIPTIONS	2,570.	0.		2,570.	
TO FORM 990-PF, PG 1, LN 23	2,570.	0.		2,570.	

FORM 990-PF	OTHER ASSETS			STATEMENT	6
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE		
ACCRUED INTEREST INCOME	26,769.	217.	217.		
TO FORM 990-PF, PART II, LINE 15	26,769.	217.	217.		

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
RICHARD SCHELLER 1 DNA WAY SO SAN FRANCISCO, CA 94080	CHAIRMAN & DIRECTOR 0.40	0.	0.	0.
COLLEEN WILSON 1 DNA WAY SO SAN FRANCISCO, CA 94080	EXECUTIVE DIRECTOR 5.00	0.	0.	0.
ASHRAF HANNA 1 DNA WAY SO SAN FRANCISCO, CA 94080	CFO 0.50	0.	0.	0.
JENNIFER MATTHEWS 1 DNA WAY SO SAN FRANCISCO, CA 94080	SECRETARY 0.50	0.	0.	0.
AMY GARTNER 1 DNA WAY SO SAN FRANCISCO, CA 94080	SENIOR PROGRAM OFFICER 4.00	0.	0.	0.
CYNTHIA DUNN 1 DNA WAY SO SAN FRANCISCO, CA 94080	PROGRAM ASSOCIATE 2.00	0.	0.	0.
SUZY JONES 1 DNA WAY SO SAN FRANCISCO, CA 94080	DIRECTOR 0.33	0.	0.	0.
KENT LIEGINGER 1 DNA WAY SO SAN FRANCISCO, CA 94080	DIRECTOR 0.33	0.	0.	0.
VISHVA DIXIT 1 DNA WAY SO SAN FRANCISCO, CA 94080	DIRECTOR 0.33	0.	0.	0.
MARKUS GEMUEND 1 DNA WAY SO SAN FRANCISCO, CA 94080	DIRECTOR 0.33	0.	0.	0.
TODD PIERCE 1 DNA WAY SO SAN FRANCISCO, CA 94080	DIRECTOR 0.33	0.	0.	0.

GENENTECH FOUNDATION

46-0500264

KENNETH HILLAN	DIRECTOR			
1 DNA WAY	0.33	0.	0.	0.
SO SAN FRANCISCO, CA 94080				
DENISE SMITH-HAMS	DIRECTOR			
1 DNA WAY	0.33	0.	0.	0.
SO SAN FRANCISCO, CA 94080				
CHRISTINE CASTRO	DIRECTOR			
1 DNA WAY	0.33	0.	0.	0.
SO SAN FRANCISCO, CA 94080				
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

GENENTECH FOUNDATION

Form 990-PF

EIN: 46-0500264

Tax Year: 2009

Form 990-PF, Part XV, Line 2

2. b. The Foundation provides grants only to 501(c)(3) organizations, (and in the case of international grants, to organizations that meet the equivalent criteria for public charity status under the laws of the country of incorporation), and which address significant unmet medical needs, foster biomedical sciences and/or provide programs that meet community needs. The Foundation will not fund indirect costs. Preference is given to programs that receive significant funding from other sources. The Foundation will not be the sole provider of funds to any organization.

Applicants are required to provide two copies of the following documentation:

- 1-2 page Executive Summary of the grant proposal, including a description of the program objectives, accomplishments of the past year (if a renewal request), the percentage of the program funding the Foundation is requested to fund and a list of other contributors.
- Detailed application:
 - (a) Describe the program in detail and the proposed objectives.
 - (b) List all major sources of funding.
 - (c) Attach a copy of the mission statement of the organization and provide a brief description of the organization's history, experience, and management activities.
 - (d) List key individuals responsible for administering the program. Attach a copy of their resumes or Curricula Vitae.
 - (e) List the mailing address and phone number of the key contact of the program.
 - (f) Include the timetable for implementation of the program.
 - (g) If the program is already in existence, discuss its success and failures.
 - (h) Provide a detailed budget for the grant period, including total cost of the program and other program contributors.
- IRS determination letter stating that the applicant is a public charity under Section 509(a)(1), (2) or (3).
- IRS public charity classification letter.
- Statement from an officer of the applicant program that the material facts supporting the above IRS letters remain unchanged.
- Audited and unaudited financial statements, if available.
- A written commitment signed by an appropriate officer, director or trustee of the applicant certifying that the applicant will (i) use the funds only for the purpose of the grant and not for any activity other than a charitable activity, (ii) repay any portion of the grant used for improper or unauthorized purposes, (iii) submit full and complete annual reports concerning the manner in which the funds were spent, (iv) make its books and records available for inspection, and (v) not use the funds for lobbying or to influence the outcome of any public election.

STATEMENT A

GENENTECH FOUNDATION

Form 990-PF

EIN: 46-0500264

Tax Year: 2009

Form 990-PF, Part XV, Line 2

2.c. Submission Deadlines:

- **January 8, 2010:** Applications received by this date will be reviewed in March 2010
- **April 2, 2010:** Applications received by this date will be reviewed in June 2010
- **July 2, 2010:** Applications received by this date will be reviewed in September 2010
- **October 1, 2010:** Applications received by this date will be reviewed in December 2010

2. d. The Genentech Foundation does not provide funding to any organizations that discriminate on the basis of age, political affiliation, race, national origin, ethnicity, gender, disability, sexual orientation or religious beliefs.

All grants must be used for the specific purpose of the grants, and shall not be used to fund:

- Advertising journals or booklets
- Alumni drives
- Capital improvement or building projects
- Chairs or professorships
- Endowments or foundations
- Individuals
- Memorial funds
- Memberships
- Political or sectarian organizations
- Professional sports events or athletes
- Religious organizations
- Scholarships
- Yearbooks

Neither the Foundation nor Genentech, Inc. will receive any preferential rights in or to any product resulting from Foundation grants.

STATEMENT A

ENENTECH FOUNDATION
EIN: 46-0500264
TAX YEAR: 2009
Form 990-PF, Part XV, Line 3a

Grant Recipient's Name	Street Address	City, State, Zip Code	Foundation Status of		Amount	Date
			Recipient	Purpose		
Jane Coffins Childs Memorial Fund	628 Barker Hall #3202	Berkeley, CA 94720-3202	Private non-op foundation	Education	46,100	7/22/2009
University of California - Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	36,700	7/8/2009
University of California - Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	13,665	7/8/2009
University of Wisconsin	445 Henry Mall	Madison, WI 53706	Public Charity	Education	13,000	8/25/2009
Princeton University	91 Prospect Ave	Princeton, NJ 08540	Public Charity	Education	13,000	12/15/2009
Second Harvest Food Bank	1051 Bing Street	San Carlos, CA 94070	Public Charity	Community	55,000	3/16/2009
Shanti	730 Polk Street	San Francisco, CA 94109	Public Charity	Patient	60,000	3/16/2009
Andre Sobel Rver of Life	8899 Beverly Blvd, Suite 111	Los Angeles, CA 90048	Public Charity	Patient	300,000	3/16/2009
Mission 4 Maureen	PO Box 21602	South Euclid, OH 44121	Public Charity	Patient	50,000	3/16/2009
Jake Owen Raborn Foundation	PO Box 3097	West Monroe, LA 71294	Public Charity	Patient	100,000	3/16/2009
University of Pennsylvania	421 Curie Blvd	Philadelphia, PA 19104-6142	Public Charity	Education	13,000	3/16/2009
University of Michigan	3003 South State St	Ann Arbor, Michigan 48109	Public Charity	Education	13,000	3/16/2009
UC Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	13,000	3/16/2009
Scripps Research Institute	10550 North Torrey Pines Road, TPC-30	La Jolla, CA 92037	Public Charity	Education	13,000	3/16/2009
California Institute of Technology	1200 E California Boulevard	Pasadena, CA 91125	Public Charity	Education	13,000	3/16/2009
University of California - San Francisco	2340 Sutter Street, N-315	San Francisco, CA 94115	Public Charity	Education	13,000	3/16/2009
Columbia University	1212 Amsterdam Ave	New York, NY 10027	Public Charity	Education	13,000	3/16/2009
UC San Diego	9500 Gilman Drive	La Jolla, CA 92093-0408	Public Charity	Education	13,000	3/16/2009
Cornell University	216 Stimson Hall	Ithaca, CA 14853	Public Charity	Education	13,000	3/16/2009
Cancer Patient Support Program	PO Box 64700	Burlington, VT 05406	Public Charity	Patient	10,000	3/16/2009
Harvard University	1350 Massachusetts Ave	Cambridge, MA 02138	Public Charity	Education	12,000	3/16/2009
The Huega Center for Multiple Sclerosis	27 Main Street	Edwards, CO 81632	Public Charity	Patient	60,000	3/31/2009
Life Sciences Research Foundation	3520 San Martin Drive	Baltimore, MD 21218	Private non-op foundation	Education	51,000	3/31/2009
The Salk Institute	10010 North Torrey Pines Road	La Jolla, CA 92037	Public Charity	Education	44,000	3/31/2009
UCSF/SF Unified School District	100 Medical Center Way	San Francisco, CA 94143-0905	Public Charity	Education	165,000	3/31/2009
Johns Hopkins University	3400 N Charles Street	Baltimore, MD 21218-2685	Public Charity	Education	13,000	4/29/2009
University of Washington	346 Loew Hall	Seattle, WA 98195	Public Charity	Education	13,000	4/29/2009
San Mateo HS Biotechnology Pathway	506 North Delaware St.	San Mateo, CA 94401	Public Charity	Education	34,000	4/29/2009
Shelter Network	1450 Chapin Avenue	Burlingame, CA 94010	Public Charity	Community	150,000	5/7/2009
Samaritan House	19 West 39th Avenue	San Mateo, CA 94403	Public Charity	Community	75,000	5/18/2009
University of Texas, Southwestern	5323 Harry Hines Boulevard	Dallas, TX 75390-7208	Public Charity	Patient	13,000	5/18/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	13,000	6/1/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	30,000	6/1/2009
University of Michigan	3003 South State St	Ann Arbor, Michigan 48109	Public Charity	Education	59,500	6/1/2009
UC Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	33,000	6/1/2009
Keck Graduate Institute	535 Watson Drive	Claremont, CA 91711	Public Charity	Education	55,800	6/1/2009
Jack & Jill Late Stage Cancer Foundation	3282 Northside Parkway NW Suite 100	Atlanta, GA 30327	Public Charity	Patient	35,000	6/1/2009
American Chemical Society Division	1155 Sixteenth St, NW	Washington, DC 20036	Public Charity	Education	26,000	6/1/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	82,500	6/23/2009
Duke University	011 Allen Building, Box 90051	Durham, NC 27708	Public Charity	Education	13,000	7/8/2009
Mid Peninsula Boys and Girls Club	200 North Quebec Street	San Mateo, CA 94401	Public Charity	Community	25,000	7/8/2009
Casa de Amparo	3355 Mission Ave, Suite 238	Oceanside, CA 92058	Public Charity	Community	85,000	7/22/2009
Each One Reach One	1486 Huntington Avenue #304	South San Francisco, CA 94080	Public Charity	Education	40,000	7/22/2009
College Track	117 Broadway Ave	Oakland, CA 94607	Public Charity	Education	40,000	7/22/2009
Jane Coffin Childs Memorial Fund	628 Barker Hall #3202	Berkeley, CA 94720-3202	Private non-op foundation	Education	45,100	7/22/2009
HopeLab	1991 Broadway St	Redwood City, CA 94063	Public Charity	Patient	50,000	7/28/2009
InnVision the Way Home	1900 The Alameda Suite 400	San Jose, CA 95126	Public Charity	Community	50,000	7/28/2009
Cold Spring Harbor Laboratory	One Bungtown Road	Cold Spring Harbor, NY 11724	Private non-op foundation	Education	55,017	7/28/2009
Life Sciences Research Foundation	3520 San Martin Drive	Baltimore, MD 21218	Private non-op foundation	Education	57,000	7/28/2009
Vacaville Neighborhood Boys & Girls Club	1625 Alamo Drive	Vacaville, CA 95687	Public Charity	Community	50,000	8/18/2009
The Center for Computational Biology- UC Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	75,000	8/18/2009
Marin Office of Education	1111 Las Gallinas Ave	San Rafael, CA 94903	Public Charity	Education	39,000	8/18/2009
American Chemical Society Division	1155 Sixteenth St, NW	Washington, DC 20036	Public Charity	Education	26,000	8/18/2009
Daly City Youth Health Center	2780 Junipero Serra Boulevard	Daly City, CA 94015	Public Charity	Community	25,000	8/18/2009
University of California - San Francisco	2340 Sutter Street, N-315	San Francisco, CA 94115	Public Charity	Education	150,000	8/18/2009
San Mateo County Office of Education	63 Tower Road	San Mateo, CA 94402	Public Charity	Education	200,000	8/18/2009
San Francisco State University	1600 Holloway Ave	San Francisco, CA 94132	Public Charity	Education	113,200	8/18/2009
Abraham Lincoln High School	2162 24th Avenue	San Francisco, CA 94116	Public Charity	Education	59,640	8/18/2009
UCSF Comprehensive Cancer Center	2340 Sutter Street, N-315	San Francisco, CA 94115	Public Charity	Education	54,640	8/18/2009
Multiple Sclerosis Association of America	706 Haddonfield Road	Cherry Hill, NJ 08002	Public Charity	Patient	100,000	8/18/2009
UC Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	51,019	8/18/2009
The Salk Institute	10010 North Torrey Pines Road	La Jolla, CA 92037	Public Charity	Education	50,950	8/25/2009
San Jose State Research Foundation	210 N Fourth Street, Fourth Floor	San Jose, CA 95112	Public Charity	Education	121,021	8/25/2009
UC San Diego	9500 Gilman Drive	La Jolla, CA 92093-0408	Public Charity	Education	42,000	9/9/2009
UC Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	55,601	10/20/2009
University of California - San Francisco	2340 Sutter Street, N-315	San Francisco, CA 94115	Public Charity	Education	25,000	10/20/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	57,375	10/20/2009
Eastside College Preparatory School	1041 Myrtle Street	E Palo Alto, CA 94303	Public Charity	Education	40,000	10/20/2009
Mission 4 Maureen	PO Box 21602	South Euclid, OH 44121	Public Charity	Patient	118,000	11/10/2009
YES Reading	415 Ivy Drive	Menlo Park, CA 94026	Public Charity	Education	10,000	11/10/2009
Juma Ventures	131 Stuart St	San Francisco, CA 94105	Public Charity	Education	65,000	11/10/2009
Andre Sobel Rver of Life	8899 Beverly Blvd, Suite 111	Los Angeles, CA 90048	Public Charity	Patient	456,000	11/10/2009
Jake Owen Raborn Foundation	PO Box 3097	West Monroe, LA 71294	Public Charity	Patient	125,000	11/10/2009
Peninsula Brdge	457 Kingsley Way	Palo Alto, CA 94301	Public Charity	Education	55,000	11/10/2009
CA State University East Bay Foundation	25976 Carlos Bee Boulevard	Hayward, CA 94542	Public Charity	Education	137,302	11/20/2009
Coastside Opportunity Center	99 Ave Alhambra	Half Moon Bay, CA 94019	Public Charity	Community	40,000	11/24/2009
					<u>4,581,130</u>	

GENENTECH FOUNDATION
ENR: 46-0500264
TAX YEAR: 2009
Form 990-PF, Part XV, Line 3b

Grant Recipient's Name	Street Address	City, State, Zip Code	Foundation Status of		Amount	Date
			Recipient	Purpose		
University of California -Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley, CA 94704	Public Charity	Education	50,574	6/26/2006
University of Wisconsin Graduate	445 Henry Mall	Madison, WI 53706	Public Charity	Education	34,000	2/14/2007
University of Wisconsin Undergraduate	445 Henry Mall	Madison, WI 53706	Public Charity	Education	8,000	2/14/2007
University of Wisconsin Graduate	445 Henry Mall	Madison, WI 53706	Public Charity	Education	4,056	6/23/2008
Massachusetts Institute of Technology	77 Massachusetts Ave	Cambridge, MA 02139	Public Charity	Education	13,000	12/18/2008
Beth Israel Deaconess Medical Center	77 Avenue Louis Pasteur	Boston, MA 02115	Public Charity	Education	59,119	6/24/2009
University of California -Berkeley	2150 Shattuck Ave, Suite 313	Berkeley, CA 94704-5940	Public Charity	Education	66,600	6/24/2009
Stanford Biological Sciences Dept	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	64,706	6/24/2009
Stanford Masters of Science in Medicine	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	83,926	6/24/2009
Brother Benno	3260 Production Ave	Oceanside, CA 92058	Public Charity	Education	70,000	12/21/2009
North County Solutions for Change	722 West California Ave	Vista, CA 92083	Public Charity	Education	90,000	12/21/2009
City Team Ministries	164 6th St	San Francisco, CA 94103	Public Charity	Community	25,000	12/21/2009
Second Harvest	1051 Bung Street	San Carlos, CA 94070	Public Charity	Community	55,000	12/21/2009
SF Food Bank	900 Pennsylvania Ave	San Francisco, CA 94107	Public Charity	Community	50,000	12/21/2009
Family House	50 Irving St	San Francisco, CA 94122	Public Charity	Patient	15,000	12/21/2009
Family Reach Foundation	2001 Route 46, Suite 310	Parsippany, NJ 07054	Public Charity	Patient	25,000	12/21/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	30,000	12/21/2009
MiraCosta College Foundation	1 Barnard Dr	Oceanside, CA 92056	Public Charity	Education	40,500	12/21/2009
UC San Diego	9500 Gilman Drive	La Jolla, CA 92093-0408	Public Charity	Education	10,500	12/21/2009
University of Wisconsin	445 Henry Mall	Madison, WI 53706	Public Charity	Education	8,000	12/21/2009
Undergrad minority scholarships	see below	see below	Public Charity	Education	182,000	12/21/2009
					<u>984,981</u>	
Undergrad minority scholarships (18 total)						
California Institute of Technology	1200 E California Boulevard	Pasadena, CA 91125	Public Charity	Education	14,000	12/21/2009
Columbia University	1212 Amsterdam Ave	New York, NY 10027	Public Charity	Education	14,000	12/21/2009
Princeton University	91 Prospect Ave	Princeton, NJ 08540	Public Charity	Education	14,000	12/21/2009
University of Michigan	3003 South State St	Ann Arbor, Michigan 4810	Public Charity	Education	14,000	12/21/2009
University of Wisconsin	445 Henry Mall	Madison, WI 53706	Public Charity	Education	14,000	12/21/2009
Cornell University	216 Stinson Hall	Ithaca, CA 14853	Public Charity	Education	14,000	12/21/2009
University of California -Berkeley	2150 Shattuck Ave, Suite 313	Berkeley, CA 94704-5940	Public Charity	Education	14,000	12/21/2009
University of California -San Francisco	2340 Sutter Street, N-315	San Francisco, CA 94115	Public Charity	Education	14,000	12/21/2009
University of Washington	346 Loew Hall	Seattle, WA 98195	Public Charity	Education	14,000	12/21/2009
Johns Hopkins University	3400 N Charles Street	Baltimore, MD 21218-268	Public Charity	Education	14,000	12/21/2009
University of Pennsylvania	421 Curie Blvd	Philadelphia, PA 19104-61	Public Charity	Education	14,000	12/21/2009
Stanford University	340 Panama Street	Stanford, CA 94305-6203	Public Charity	Education	14,000	12/21/2009
The Scripps Research Institute	10550 North Torrey Pines Road, TPC-30	La Jolla, CA 92037	Public Charity	Education	14,000	12/21/2009
					<u>182,000</u>	

GENENTECH FOUNDATION

EIN: 46-0500264

TAX YEAR: 2009

Substantiation of Exercise of Expenditure Responsibility

Form 990-PF, Part VII-B, Line 5c

Grant Recipient's Name	Street Address	City, State, Zip Code	Foundation Status of Recipient	Purpose	Amount	Date	To the Grantor's Knowledge, Grantee Has Diverted a Portion of Funds from the Purpose of the Grant	Dates of Any Reports Received from the Grantee	Dates of Any Verification of the Grantee's Reports
Life Sciences Research Foundation	3520 San Martin Drive	Baltimore, MD 21218	Private non-op foundation	Education	\$51,000	03/31/09	No	04/29/10	04/29/10
Jane Coffin Childs Memorial Fund	628 Barker Hall #3202	Berkeley, CA 94720-3202	Private non-op foundation	Education	\$45,100	07/22/09	No	05/02/10	05/02/10
Cold Spring Harbor Laboratory	One Bungtown Road	Cold Spring Harbor, NY 11724	Private non-op foundation	Education	\$55,017	07/28/09	No	04/30/10	04/30/10

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization GENENTECH FOUNDATION	Employer identification number 46-0500264
	Number, street, and room or suite no. If a P.O. box, see instructions. 1 DNA WAY, MS #24	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SOUTH SAN FRANCISCO, CA 94080	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

DAVID HENDERSON

- The books are in the care of ► **1 DNA WAY - SOUTH SAN FRANCISCO, CA 94080**
Telephone No. ► **650-467-4251** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1** I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ **X** calendar year **2009** or
► ☐ tax year beginning _____, and ending _____.

- 2** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 3,832.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev 4-2009)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II		Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).	
Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization		Employer identification number
	GENENTECH FOUNDATION		46-0500264
	Number, street, and room or suite no. If a P.O. box, see instructions.		For IRS use only
	1 DNA WAY, MS #24		
	City, town or post office, state, and ZIP code For a foreign address, see instructions.		
	SOUTH SAN FRANCISCO, CA 94080		

Check type of return to be filed (File a separate application for each return):

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

DAVID HENDERSON

• The books are in the care of **1 DNA WAY - SOUTH SAN FRANCISCO, CA 94080**

Telephone No. **650-467-4251**

FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**

5 For calendar year **2009**, or other tax year beginning , and ending .

6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

THE TAXPAYER'S FINANCIAL MATTERS ARE QUITE COMPLEX. ADDITIONAL TIME IS REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,832.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **David Henderson** Title **CPA FOR CLIENT**

Date **8/6/10**

Form 8868 (Rev. 4-2009)