Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
NICHOLS COMPANY CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address) Room/suite
4706 BROADWAY AVE, SUITE 260

City or town, state, and ZIP code
KANSAS CITY, MO 64112

A Employer identification number
44-6015538

B Telephone number (see page 10 of the instructions)
(816) 561-3456

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,036,492.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	0.			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	55,415.	55,415.		ATCH 1
4	Dividends and interest from securities	33,924.	33,924.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss) ______				
6a	Net gain or (loss) from sale of assets not on line 10	45,290.			
b	Gross sales price for all assets on line 6a 2,987,764.				
7	Capital gain net income (from Part IV, line 2)		45,290.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	526.	526.		ATCH 3
12	**Total.** Add lines 1 through 11	135,155.	135,155.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 4	1,350.	0.	0.	1,350.
c	Other professional fees (attach schedule) *	22,140.	19,140.	0.	3,000.
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) **	1,417.	17.	0.	0.
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 7	100.	0.	0.	100.
24	**Total operating and administrative expenses.** Add lines 13 through 23	25,007.	19,157.	0.	4,450.
25	Contributions, gifts, grants paid	145,050.			145,050.
26	**Total expenses and disbursements** Add lines 24 and 25	170,057.	19,157.	0.	149,500.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-34,902.			
b	**Net investment income** (if negative, enter -0-)		115,998.		
c	**Adjusted net income** (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 5 JSA ** ATCH 6

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	578,529.	565,714.	565,714.
	3	Accounts receivable ▶ 0. Less. allowance for doubtful accounts ▶	0.	0.	0.
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) **	960,512.	960,628.	958,309.
	b	Investments - corporate stock (attach schedule) ATCH 9	1,522,198.	1,500,982.	1,511,644.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 10	4,500.	4,500.	825.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ATCH 11)	1,049.	0.	0.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	3,066,788.	3,031,824.	3,036,492.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	3,066,788.	3,031,824.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	3,066,788.	3,031,824.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,066,788.	3,031,824.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,066,788.
2	Enter amount from Part I, line 27a	2	-34,902.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,031,886.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 12	5	62.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,031,824.

**ATCH 8

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo., day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	45,290.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	152,028.	3,041,464.	0.049985
2007	156,903.	3,355,849.	0.046755
2006	110,775.	3,353,289.	0.033035
2005	104,400.	3,282,270.	0.031807
2004	90,150.	3,194,110.	0.028224

2 Total of line 1, column (d)	2	0.189806
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.037961
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,771,221.
5 Multiply line 4 by line 3	5	105,198.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,160.
7 Add lines 5 and 6	7	106,358.
8 Enter qualifying distributions from Part XII, line 4	8	149,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	1,160.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	1,160.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,160.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 2,029.		
b	Exempt foreign organizations-tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	2,029.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	869.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax ▶** 869. **Refunded ▶**		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DANIEL J. HOLLMANN Telephone no. ▶ 816-561-3456

Located at ▶ 4706 BROADWAY AVE, SUITE 260 KANSAS CITY, MO ZIP + 4 ▶ 64112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** No: X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Form **990-PF** (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:				
a	Average monthly fair market value of securities			1a	2,786,395.
b	Average of monthly cash balances			1b	26,202.
c	Fair market value of all other assets (see page 24 of the instructions)			1c	825.
d	**Total** (add lines 1a, b, and c)			1d	2,813,422.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,813,422.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)			4	42,201.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	2,771,221.
6	**Minimum investment return.** Enter 5% of line 5			6	138,561.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	138,561.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,160.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,160.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	137,401.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	137,401.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	137,401.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	149,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	149,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,160.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	148,340.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					137,401.
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only				149,437.	
b	Total for prior years 20 07 ,20 06 ,20 05					
3	Excess distributions carryover, if any, to 2009					
a	From 2004	0.				
b	From 2005	0.				
c	From 2006	0.				
d	From 2007	0.				
e	From 2008	0.				
f	**Total** of lines 3a through e		0.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 149,500.					
a	Applied to 2008, but not more than line 2a				149,437.	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)					
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)					
d	Applied to 2009 distributable amount					63.
e	Remaining amount distributed out of corpus		0.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0.			0.
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions					
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions					
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					137,338.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)					
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		0.			
10	Analysis of line 9					
a	Excess from 2005					
b	Excess from 2006	0.				
c	Excess from 2007	0.				
d	Excess from 2008	0.				
e	Excess from 2009	0.				

Part XIV **Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)** NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

1 ***Information Regarding Foundation Managers:***

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 14

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 15

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE ATTACHMENT 16				145,050.
Total . . . . ▶ **3a**				145,050.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	89,339.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	45,290.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b OTHER INCOME				526.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				135,155.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 135,155.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Form **990-PF** (2009)

JSA
9E1492 1 000

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

David J Hollman 11/9/10 TREASURER

Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature Anne Waller Date 11/11/10

Check if self-employed ☐

Preparer's identifying number (See Signature on page 30 of the instructions) P0F377651

Firm's name (or yours if self-employed), address, and ZIP code
JMW & ASSOCIATES, LLC
5700 BROADMOOR ST., SUITE 203
MISSION, KS 66202

EIN 57-1224592

Phone no 913-499-1920

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SHORT-TERM SEE ATTACHMENT A PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
813,275.		623,296.					189,979.	
		LONG-TERM SEE ATTACHMENT A PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
2,174,489.		2,319,178.					-144,689.	
TOTAL GAIN(LOSS)							45,290.	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust: NICHOLS COMPANY CHARITABLE TRUST

Employer identification number: 44-6015538

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	189,979.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	189,979.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-144,689.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	-144,689.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			189,979.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-144,689.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			45,290.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of.

a The loss on line 15, column (3) **or** b $3,000 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col (2) is more than zero

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) 17

18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero 18

19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) 19

20 Add lines 18 and 19 20

21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ 21

22 Subtract line 21 from line 20 If zero or less, enter -0- 22

23 Subtract line 22 from line 17 If zero or less, enter -0- 23

24 Enter the **smaller** of the amount on line 17 or $2,300 24

25 Is the amount on line 23 equal to or more than the amount on line 24?

☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box

☐ **No.** Enter the amount from line 23 25

26 Subtract line 25 from line 24 26

27 Are the amounts on lines 22 and 26 the same?

☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 27

28 Enter the amount from line 26 (If line 26 is blank, enter -0-) 28

29 Subtract line 28 from line 27 29

30 Multiply line 29 by 15% (15) 30

31 Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 31

32 Add lines 30 and 31 32

33 Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) 33

34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) 34

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust
NICHOLS COMPANY CHARITABLE TRUST

Employer identification number
44-6015538

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a SHORT-TERM SEE ATTACHMENTA	VARIOUS	VARIOUS	813,275.	623,296.	189,979.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . 189,979.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

JSA
9F1221 4 000

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a LONG-TERM SEE ATTACHMENT A	VARIOUS	VARIOUS	2,174,489.	2,319,178.	-144,689.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . -144,689.

2009 Tax Information Statement

Account Number: 611470006
Recipient's Tax ID number 44-6015538
Payer's Federal ID number. 26-0052158
Questions? (816)751-4285
☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Payer's Name and Address
COUNTRY CLUB TRUST CO
P O BOX 410889
KANSAS CITY, MO 64112

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
650 0000	013817101	ALCOA INC COM	12/03/2008	12/02/2009	8,638 28	6,057.63	2,580.65	0 00
679 0000	039483201	ARCHER DANIELS MIDLAND CO CORPORATE UNIT CONV PFD	01/30/2009	12/02/2009	29,447.61	24,747.31	4,700.30	0.00
240 0000	09067D201	BIOTECH HOLDRS TR DEPOSITARY RCPTS		11/18/2009	331.20	0.00	331.20	0.00
217 5000	999901002	CCB TRUST MONEY MARKET FUND	11/20/2009	12/31/2009	217 50	217 50	0 00	0.00
350 0000	25243Q205	DIAGEO PLC SPONSORED ADR NEW	01/06/2009	12/02/2009	24,122 40	20,192.24	3,930.16	0.00
730 0000	257867101	DONNELLEY R R & SONS CO COM	VARIOUS	12/02/2009	15,380 70	8,659 13	6,721.57	0.00
211 2000	368710406	GENENTECH INC COM NEW	06/30/2008	04/28/2009	20,064.00	18,280 80	1,783.20	0.00
805 0000	369604103	GENERAL ELEC CO COM	04/17/2009	12/02/2009	12,863 57	10,046 40	2,817.17	0.00
2280.0000	464286103	ISHARES INC MSCI AUSTRALIA INDEX FD	VARIOUS	12/02/2009	54,331 00	32,402 86	21,928 14	0 00
1220 0000	464287234	ISHARES TR MSCI EMERGING MKTS INDEX FD	VARIOUS	12/02/2009	50,897.09	34,399 10	16,497 99	0.00
950.0000	464288695	ISHARES TR S&P GLOBAL MATERIALS INDEX FD	04/13/2009	12/02/2009	60,314 89	38,730.08	21,584.81	0.00
1010 0000	524660107	LEGGETT & PLATT INC COM	04/23/2009	12/02/2009	20,159 08	14,729.92	5,429.16	0.00
1025.0000	57060U407	MARKET VECTORS ETF TR GLOBAL ALTERNATIVE ENERGY ETF	01/02/2009	12/02/2009	25,020.63	25,168 78	-148.15	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ATTACHMENT A

2009 Tax Information Statement

Payer's Name and Address
COUNTRY CLUB TRUST CO.
P.O. BOX 410889
KANSAS CITY, MO 64112

Account Number: 611470006
Recipient's Tax ID number: 44-6015538
Payer's Federal ID number: 26-0052158
Questions? (816)751-4285

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	*(Box 1b)*	*(Box 7)*		*(Box 1a)*	*(Box 2)*			*(Box 4)*
1150 0000	57060U100	MARKET VECTORS ETF TR GOLD MINERS ETF FD	12/16/2008	12/02/2009	62,758 83	35,940.49	26,818.34	0.00
500.0000	594918104	MICROSOFT CORP COM	04/03/2009	12/02/2009	14,874 62	9,392.73	5,481.89	0.00
30.0000	628530206	MYLAN INC PFD CONV 6 50%	03/24/2009	12/02/2009	34,285 52	26,527 54	7,757 98	0.00
430.0000	629491101	NYSE EURONEXT COM	12/03/2008	12/02/2009	10,723 92	8,866 98	1,856 94	0.00
100000 0000	742718DM8	PROCTER & GAMBLE CO SR NT 3 50% DTD 02/06/2009 DUE 02/15/2015	08/20/2009	12/02/2009	104,344 80	103,635.40	709.40	0 00
100000 0000	742718DN6	PROCTER & GAMBLE CO SR NT 4.70% DTD 02/06/2009 DUE 02/15/2019	08/13/2009	12/02/2009	104,981.00	103,379.00	1,602.00	0.00
235.0000	806605705	SCHERING PLOUGH CORP PFD CONV MANDATORY 2007	10/30/2008	03/19/2009	47,609.51	30,494 87	17,114.64	0.00
225 0000	78463V107	SPDR GOLD TR GOLD SHS	12/16/2008	12/02/2009	26,659 56	18,811.19	7,848.37	0.00
1375 0000	78464A755	SPDR SER TR S&P METALS & MNG ETF	12/16/2008	12/02/2009	69,329.70	38,260.38	31,069.32	0.00
235 0000	86764P109	SUNOCO INC COM	04/02/2009	12/02/2009	6,044 05	6,528 30	-484.25	0 00
300 0000	94106L109	WASTE MANAGEMENT INC COM	04/02/2009	12/02/2009	9,875 74	7,827.00	2,048.74	0.00
Total Short Term Sales Reported on 1099-B					**813,275 19**	**623,295 63**	**189,979.56**	**0.00**
Long Term 15% Sales Reported on 1099-B								
295 0000	88579Y101	3M CO COM	04/02/2008	12/02/2009	23,030.06	23,909 75	-879.69	0.00
345 0000	002824100	ABBOTT LABS COM	VARIOUS	12/02/2009	18,712.32	19,113.23	-400.91	0.00
890 0000	013817101	ALCOA INC COM	11/06/2008	12/02/2009	11,827 79	9,424 62	2,403.17	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported

ATTACHMENT A

2009 Tax Information Statement

Payer's Name and Address
COUNTRY CLUB TRUST CO.
P O BOX 410889
KANSAS CITY, MO 64112

Account Number: 611470006
Recipient's Tax ID number: 44-6015538
Payer's Federal ID number: 26-0052158
Questions? (816)751-4285

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
720 0000	00206R102	AT&T INC COM	VARIOUS	12/02/2009	19,619.49	27,177.60	-7,558 11	0.00
240 0000	09067D201	BIOTECH HOLDRS TR DEPOSITARY RCPTS	06/30/2008	12/02/2009	23,639 80	22,413.91	1,225 89	0.00
520 0000	055622104	BP AMOCO SPON ADR	04/02/2008	12/02/2009	30,471 22	32,203.60	-1,732 38	0.00
895 0000	110122108	BRISTOL MYERS SQUIBB CO COM	04/02/2008	12/02/2009	21,998.53	19,502 05	2,496 48	0.00
2500	156700106	CENTURYTEL INC COM	07/03/2008	07/17/2009	7 29	8 15	-0.86	0.00
719 0000	156700106	CENTURYTEL INC COM	07/03/2008	12/02/2009	26,199 69	23,444.97	2,754.72	0 00
370 0000	166764100	CHEVRON TEXACO CORP COM	VARIOUS	12/02/2009	28,962 86	31,226 10	-2,263 24	0.00
910 0000	18383M100	CLAYMORE EXCHANGE TRADED FD BNY BRAZIL RUSSIA INDIA & CHINA	VARIOUS	12/02/2009	39,983 37	45,483 55	-5,500.18	0 00
390 0000	191216100	COCA COLA CO COM	VARIOUS	12/02/2009	22,494 62	22,953.87	-459.25	0.00
985 0000	205887102	CONAGRA INC COM	04/02/2008	12/02/2009	22,220.14	23,708 95	-1,488.81	0 00
100000 0000	20825CAE4	CONOCOPHILLIPS NT 4.75% DTD 10/09/2002 DUE 10/15/2012	04/30/2008	12/02/2009	107,993 20	102,635.00	5,358 20	0 00
1035 0000	257867101	DONNELLEY R R & SONS CO COM	04/02/2008	12/02/2009	21,806 89	32,768.10	-10,961 21	0.00
505 0000	263534109	DU PONT E I DE NEMOURS & CO COM	04/02/2008	12/02/2009	17,820 99	24,391.50	-6,570 51	0.00
1285 0000	26441C105	DUKE ENERGY HLDG CORP COM	VARIOUS	12/02/2009	22,050 03	24,587 36	-2,537 33	0.00
100000 0000	31331YZ86	FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS 3.875% DTD 06/25/2008 DUE	06/24/2008	12/02/2009	105,316 00	99,989 00	5,327 00	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ATTACHMENT A

2009 Tax Information Statement

Payer's Name and Address
COUNTRY CLUB TRUST CO.
P O BOX 410889
KANSAS CITY, MO 64112

Account Number:	611470006
Recipient's Tax ID number:	44-6015538
Payer's Federal ID number:	26-0052158
Questions?	(816)751-4285
☐ **Corrected**	☐ **2nd TIN notice**

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	*(Box 1b)*	*(Box 7)*		*(Box 1a)*	*(Box 2)*			*(Box 4)*
100000.0000	36962G3H5	GENERAL ELEC CAP CORP MEDIUM TERM NTS TRANCHE # TR 00800 5.625% DTD	05/08/2008	08/05/2009	99,440.00	101,986 40	-2,546 40	0.00
845 0000	369604103	GENERAL ELEC CO COM	VARIOUS	12/02/2009	13,502.75	29,805.49	-16,302.74	0.00
845 0000	437076102	HOME DEPOT INC COM	04/02/2008	12/02/2009	23,769.24	25,282.40	-1,513.16	0.00
1825 0000	458140100	INTEL CORP COM	04/02/2008	12/02/2009	35,641 33	39,858.00	-4,216.67	0.00
500.0000	464287762	ISHARES DOW JONES US HEALTHCARE SEC	08/01/2008	12/02/2009	31,564.18	32,377.10	-812.92	0.00
2045 0000	464286509	ISHARES INC MSCI CDA INDEX FD	VARIOUS	12/02/2009	54,395 59	63,520.94	-9,125.35	0.00
1075 0000	464286871	ISHARES INC MSCI HONG KONG INDEX FD	01/30/2008	09/15/2009	16,361 08	21,219 10	-4,858 02	0.00
375.0000	464287556	ISHARES NASDAQ BIOTECH INDX	08/01/2008	12/02/2009	29,961.72	33,140.63	-3,178.91	0.00
1450 0000	464287614	ISHARES TR RUSSELL 1000 GROWTH INDEX FD	VARIOUS	12/02/2009	71,294.66	86,499.80	-15,205.14	0.00
920.0000	464287572	ISHARES TR S&P GLOBAL 100 INDEX FD	VARIOUS	12/02/2009	56,744.14	73,944.67	-17,200.53	0.00
1290 0000	464287325	ISHARES TR S&P GLOBAL HEALTHCARE SECTOR INDEX FD	VARIOUS	04/13/2009	51,018 19	76,678 41	-25,660 22	0.00
1025 0000	464287390	ISHARES TR S&P LATIN AMER 40 INDEX FD	VARIOUS	12/02/2009	50,495 94	49,486 79	1,009 15	0.00
600 0000	464287606	ISHARES TR S&P MIDCAP 400/BARRA GROWTH INDEX FD	06/19/2008	12/02/2009	45,128 85	56,181.66	-11,052.81	0.00
425 0000	580135101	MCDONALDS CORP COM	VARIOUS	01/30/2009	24,568.56	22,457.45	2,111.11	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ATTACHMENT A

2009 Tax Information Statement

Payer's Name and Address
COUNTRY CLUB TRUST CO
P.O. BOX 410889
KANSAS CITY, MO 64112

Account Number: 611470006
Recipient's Tax ID number: 44-6015538
Payer's Federal ID number: 26-0052158
Questions? (816)751-4285

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc..	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)		(Box 1a)	(Box 2)			(Box 4)
100000.0000	58013MEE0	MCDONALDS CORP MEDIUM TERM NTS 5 35% DTD 02/29/2008 DUE 03/01/2018	05/16/2008	12/02/2009	109,502 90	101,296 00	8,206.90	0.00
450 0000	594918104	MICROSOFT CORP COM	04/07/2008	12/02/2009	13,387 15	13,122.00	265.15	0.00
310 0000	629491101	NYSE EURONEXT COM	09/23/2008	12/02/2009	7,731.20	12,505.40	-4,774.20	0.00
100000 0000	68402LAC8	ORACLE CORP / OZARK HLDG INC NT 5.25% DTD 01/13/2006 DUE 01/15/2016	05/23/2008	12/02/2009	109,714 70	101,653.00	8,061.70	0.00
690 0000	704326107	PAYCHEX INC COM	04/02/2008	12/02/2009	22,044.93	24,715.80	-2,670.87	0 00
1125 0000	717081103	PFIZER INC COM	VARIOUS	12/02/2009	20,845.71	26,473 74	-5,628.03	0.00
1635.0000	73935X575	POWERSHARES EXCHANGE-TRADED FD TR GLOBAL WATER PORT	08/08/2008	12/02/2009	26,584.41	36,400.33	-9,815.92	0.00
755 0000	464287374	S&P NORTH AMERICAN NATURAL RESOURCES SECTOR INDEX FUND	VARIOUS	12/02/2009	26,046.82	31,368 16	-5,321.34	0.00
100000 0000	78387GAP8	SBC COMMUNICATIONS INC GLOBAL NT 5 10% DTD 11/03/2004 DUE 09/15/2014	04/30/2008	12/02/2009	108,693 00	100,839.50	7,853.50	0.00
1070 0000	81369Y100	SECTOR SPDR TR SBI MATERIALS	VARIOUS	12/02/2009	35,704.98	43,290.37	-7,585 39	0.00
635 0000	842587107	SOUTHERN CO COM	VARIOUS	12/02/2009	20,656 02	23,632.93	-2,976.91	0.00
1610 0000	78464A102	SPDR SER TR MORGAN STANLEY TECHNOLOGY ETF	VARIOUS	12/02/2009	88,777.14	98,737 27	-9,960.13	0.00
375.0000	86764P109	SUNOCO INC COM	07/02/2008	12/02/2009	9,644 75	15,561 86	-5,917.11	0.00
815 0000	871829107	SYSCO CORP COM	04/02/2008	12/02/2009	22,835.71	24,229 95	-1,394.24	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ATTACHMENT A

2009 Tax Information Statement

Account Number: 611470006
Recipient's Tax ID number: 44-6015538
Payer's Federal ID number: 26-0052158
Questions? (816)751-4285
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
NICHOLS CO CHARITABLE TRUST
% HIGHWOOD PROPERTIES
310 WARD PARKWAY
KANSAS CITY, MO 64112

Payer's Name and Address
COUNTRY CLUB TRUST CO
P O BOX 410889
KANSAS CITY, MO 64112

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	*(Box 1b)*	*(Box 7)*		*(Box 1a)*	*(Box 2)*			*(Box 4)*
100000 0000	913017BH1	UNITED TECHNOLOGIES CORP NT 4 875% DTD 04/29/2005 DUE 05/01/2015	05/28/2008	12/02/2009	110,423.00	99,833.00	10,590.00	0.00
150000 0000	912828HX1	US TREASURY NOTE 2.125% DTD 04/30/08 DUE 04/30/2010	VARIOUS	12/02/2009	150,700.09	149,355 47	1,344.62	0.00
100000 0000	931142BT9	WAL-MART STORES INC NT 4.55% DTD 04/29/2003 DUE 05/01/2013	05/08/2008	12/02/2009	108,342.00	102,924.80	5,417.20	0.00
450 0000	94106L109	WASTE MANAGEMENT INC COM	09/19/2008	12/02/2009	14,813 62	15,858 63	-1,045.01	0 00
Total Long Term 15% Sales Reported on 1099-B					**2,174,488.66**	**2,319,178.36**	**-144,689.70**	**0.00**
Unknown Term (or Cost) Sales Reported on 1099-B								
0000	09067D201	BIOTECH HOLDRS TR DEPOSITARY RCPTS		04/28/2009	20,058.21	0.00	0.00	0.00
0000	09067D201	BIOTECH HOLDRS TR DEPOSITARY RCPTS		05/21/2009	-20,058 21	0 00	0.00	0.00
Total Unknown Term (or Cost) Sales Reported on 1099-B					**0.00**	**0 00**	**0.00**	**0 00**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ATTACHMENT A

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME	55,415.	55,415.
TOTAL	55,415.	55,415.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS		33,924.	33,924.
	TOTAL	33,924.	33,924.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME		526.	526.
	TOTALS	526.	526.

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	1,350.	0.	0.	1,350.
TOTALS	1,350.	0.	0.	1,350.

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	3,000.	0.	0.	3,000.
INVESTMENT ADVISOR FEES	19,140.	19,140.	0.	0.
TOTALS	22,140.	19,140.	0.	3,000.

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
REAL ESTATE TAXES	17.	17.	0.	0.
FEDERAL INCOME TAXES	1,400.	0.	0.	0.
TOTALS	1,417.	17.	0.	0.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
SUPPLIES		100.	0.	0.	100.
	TOTALS	100.	0.	0.	100.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CONOCOPHILLIPS NT 4.75%	0.	0.
FED FARM CR BKS @ 3.875%	0.	0.
GENERAL ELECTRIC CAP @ 5.625%	0.	0.
MCDONALDS CORP MED @ 5.35%	0.	0.
ORACLE CORP/OZARK HLDG @ 5.25%	0.	0.
SBC COMM INC GLOBAL @ 5.10%	0.	0.
US TREASURY NOTE @ 2.125%	0.	0.
UNITED TECHNOLOGIES @ 4.875%	0.	0.
WAL-MART STORES INC @ 4.55%	0.	0.
DODGE & COX INCOME FUND	900,589.	899,201.
PIMCO REAL RETURN FUND-INST FD	60,039.	59,108.
US OBLIGATIONS TOTAL	960,628.	958,309.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GAS INVESTMENTS	0.	0.
STK INVESTMENTS - SEE ATTACH 9A	1,500,982.	1,511,644.
TOTALS	1,500,982.	1,511,644.

ACCOUNT STATEMENT

JANUARY 01, 2009 TO DECEMBER 31, 2009

ACCOUNT NAME: NICHOLS CTA
ACCOUNT NUMBER: 1152016563

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EQUITIES						
00078H158 ASTON OPTIMUM MID CAP FUND CL I	ABMIX	4,638 027	122,304 77 120,078 53	26 37 25 89	431 34	0 35
00078H281 ASTON MONTAG & CALDWELL GROWTH FUND CLASS I #234	MCGIX	14,663 230	330,362 57 330,215 95	22 53 22 52	1,715 60	0 52
04314H709 ARTISAN MID CAP VALUE #1464 CLD TO NEW INV EFF 7/10/2009	ARTQX	6,689 611	120,279 21 120,078 53	17 98 17.95	441 51	0 37

ATTACHMENT 9A

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EQUITIES						
256219106 DODGE & COX STOCK FUND #145	DODGX	3,420 863	328,881 77 330,215 95	96 14 96 53	4,087 93	1 24
411511306 HARBOR INTERNATIONAL FUND INST CLASS #11	HAINX	3,362 288	184,488 74 180,117.79	54 87 53 57	2,370 41	1 28
413838202 OAKMARK INTERNATIONAL FUND CL I #109	OAKIX	10,876 678	183,163 26 180,117 79	16 84 16 56	1,272 57	0 69
74160Q202 PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND # 1651	POAGX	8,663 675	121,204 81 120,078.53	13 99 13 86		
780905741 ROYCE VALUE PLUS FUND 292	RYVPX	5,384.687	60,523.88 60,039 26	11 24 11 15	910 01	1 50
875921306 TARGET SMALL CAP VALUE PT CL T	TASVX	3,597 319	60,434.96 60,039.26	16.80 16 69	701 48	1 16
* TOTAL EQUITIES			1,511,643.97 1,500,981.59		11,930.85	0 79

ATTACHMENT 9A

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 10

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VACANT LOT 9410 OAK	4,500.	825.
TOTALS	4,500.	825.

NICHOLS COMPANY CHARITABLE TRUST 44-6015538

FORM 990PF, PART II - OTHER ASSETS ATTACHMENT 11

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ACCRUED INTEREST & DIVIDENDS	0.	0.
TOTALS	0.	0.

ATTACHMENT 12

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
PRIOR PERIOD ADJUSTMENT		62.
	TOTAL	62.

NICHOLS COMPANY CHARITABLE TRUST 44-6015538

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BARRETT BRADY 4706 BROADWAY AVE, SUITE 260 KANSAS CITY, MO 64112	PRESIDENT 2.00	0.	0.	0.
DANIEL HOLLMANN 4706 BROADWAY AVE, SUITE 260 KANSAS CITY, MO 64112	TREASURER 2.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DANIEL HOLLMANN
310 WARD PARKWAY
KANSAS CITY, MO 64112
816-561-3456

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

LETTER; MUST BE 501(C)3 ORGANIZATION; MISSION AND USE OF REQUESTED FUNDS

NICHOLS COMPANY CHARITABLE TRUST
CONTRIBUTIONS
YEAR-TO-DATE DECEMBER 31, 2009

	Status	Relationship		Address	Purpose
American Royal Association	501(c)(3)	NONE	1,850 00	Kansas City, Missouri	Civic
Bishop Ward High School	501(c)(3)	NONE	1,500 00	Kansas City, Kansas	Education
Boy Scouts of America-Heart of America Chapter	501(c)(3)	NONE	11,500 00	Irving, Texas	Human Service
Childeren's Mercy Hospital	501(c)(3)	NONE	2,000 00	Kansas City, Missouri	Health
Evangel Temple-First Assembly of God	Church/Syn	NONE	3,000 00	Kansas City, Missouri	Religion
Festival of Children Foundation, Inc	501(c)(3)	NONE	1,500 00	Costa Mesa, California	Human Service
Friends of the Zoo	501(c)(3)	NONE	1,500 00	Kansas City, Missouri	Civic
Harvesters	501(c)(3)	NONE	5,000 00	Kansas City, Missouri	Human Service
Jellybean Conspiracy	501(c)(3)	NONE	2,500 00	Westwood Hills, Kansas	Education
Johnson County 4-H Program	501(c)(3)	NONE	3,000 00	Olathe, Kansas	Human Service
Junior Achievement of Middle America, Inc	501(c)(3)	NONE	5,000 00	Kansas City, Missouri	Education
Juvenile Diabetes Research Foundation	501(c)(3)	NONE	1,000 00	Kansas City, Missouri	Health
Kansas City Cancer Center Charitable Fund	501(c)(3)	NONE	100 00	Kansas City, Missouri	Health
Kansas City Habitat for Humanity	501(c)(3)	NONE	3,000 00	Kansas City, Missouri	Human Service
Kansas City Hospice	501(c)(3)	NONE	100 00	Kansas City, Missouri	Health
Kehilath Israel Synagogue	Church/Syn	NONE	1,500 00	Kansas City, Missouri	Religion
Louis White Real Estate Center	501(c)(3)	NONE	10,000 00	Kansas City, Missouri	Education
Make A Wish Foundation	501(c)(3)	NONE	5,000 00	Kansas City, Missouri	Human Service
Mill Creek Park Association	501(c)(3)	NONE	2,000 00	Kansas City, Missouri	Civic
Nelson Gallery Foundation	501(c)(3)	NONE	25,000 00	Kansas City, Missouri	Culture
Ronald McDonald House Charities	501(c)(3)	NONE	2,000 00	Kansas City, Missouri	Human Service
Salvation Army	501(c)(3)	NONE	5,000 00	Kansas City, Missouri	Human Service
Sherwood Center For The Exceptional Child	501(c)(3)	NONE	1,500 00	Kansas City, Missouri	Human Service
St Luke's Hospital Foundation	501(c)(3)	NONE	11,000 00	Kansas City, Missouri	Health
St Teresa's Academy	501(c)(3)	NONE	21,000 00	Kansas City, Missouri	Education
Synergy Services, Inc	501(c)(3)	NONE	1,500 00	Parkville, Missouri	Human Service
ULI Foundation	501(c)(3)	NONE	5,000 00	Washington, D C	Civic
Unity Temple on the Plaza	Church/Syn	NONE	2,000 00	Kansas City, Missouri	Religion
University of MO-Kansas City	501(c)(3)	NONE	7,000 00	Kansas City, Missouri	Education
Wayside Waifs	501(c)(3)	NONE	1,500 00	Kansas City, Missouri	Civic
Women's Clinic of Kansas City	501(c)(3)	NONE	1,500 00	Independence, Missouri	Health
			145,050.00		

ATTACHMENT 16

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print

File by the extended due date for filing the return See instructions

Name of Exempt Organization NICHOLS COMPANY CHARITABLE TRUST		**Employer identification number** 44-6015538
Number, street, and room or suite no If a P O box, see instructions 4706 BROADWAY AVE, SUITE 260		For IRS use only
City, town or post office, state, and ZIP code For a foreign address, see instructions KANSAS CITY, MO 64112		

Check type of return to be filed (File a separate application for each return)

☐ Form 990	☒ Form 990-PF	☐ Form 1041-A	☐ Form 6069
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 4720	☐ Form 8870
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ DANIEL J. HOLLMANN

  Telephone No ▶ 816 561-3456 FAX No ▶ ________
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . ▶ ☐ If it is for part of the group, check this box . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2010

5 For calendar year 2009 , or other tax year beginning ________, and ending ________

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN ARE NOT YET AVAILABLE.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Anne W Walker Title ▶ CPA Date ▶ 7/29/10

JMW & ASSOCIATES, LLC
5700 BROADMOOR ST., SUITE 203
MISSION, KS 66202

Form **8868** (Rev 4-2009)

Form **8868**

(Rev April 2009)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (*e-file*). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print

File by the due date for filing your return See instructions

Name of Exempt Organization: NICHOLS COMPANY CHARITABLE TRUST

Employer identification number: 44-6015538

Number, street, and room or suite no If a P O box, see instructions: 310 WARD PARKWAY

City, town or post office, state, and ZIP code For a foreign address, see instructions: KANSAS CITY, MO 64112

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

The books are in the care of ▶ DANIEL J. HOLLMANN

Telephone No ▶ 816 561-3456 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . ▶ ☐ If it is for part of the group, check this box . . ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☒ calendar year 2009 or

▶ ☐ tax year beginning ______ , ____ , and ending ______ , ____

2 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ NONE
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 2,029
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ NONE

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

or Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 4-2009)

ELECTRONICALLY FILED

JSA
9F8054 2 000