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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

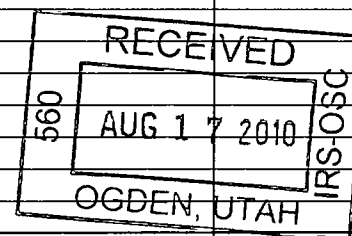
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions	Name of foundation ENGELHARDT FAMILY FOUNDATION		A Employer identification number 43-6926810
	Number and street (or P O box number if mail is not delivered to street address) 901 KENT RD	Room/suite	B Telephone number 314-997-5395
	City or town, state, and ZIP code ST. LOUIS, MO 63124		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,141,473. (Part I, column (d) must be on cash basis)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	893,574.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	53,339.	53,339.		STATEMENT 1
	4 Dividends and interest from securities	33,501.	33,501.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-517,459.			
	b Gross sales price for all assets on line 6a	2,810,186.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	462,955.	86,840.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	2,800.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes	946.	946.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	15,496.	15,496.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	19,242.	16,442.		0.
	25 Contributions, gifts, grants paid	555,400.			555,400.
26 Total expenses and disbursements. Add lines 24 and 25	574,642.	16,442.		555,400.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-111,687.				
b Net investment income (if negative, enter -0-)		70,398.			
c Adjusted net income (if negative, enter -0-)			N/A		



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	103.	88,003.	88,003.
	2 Savings and temporary cash investments	169,816.	89,498.	89,543.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	418,004.	536,786.	528,643.
	b Investments - corporate stock STMT 7	3,356,378.	3,489,547.	3,307,987.
	c Investments - corporate bonds STMT 8	466,606.	118,354.	122,473.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	27,792.	4,824.	4,824.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	4,438,699.	4,327,012.	4,141,473.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,438,699.	4,327,012.	
30 Total net assets or fund balances	4,438,699.	4,327,012.		
31 Total liabilities and net assets/fund balances	4,438,699.	4,327,012.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,438,699.
2 Enter amount from Part I, line 27a	2	-111,687.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,327,012.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,327,012.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,810,186.		3,327,645.	-517,459.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-517,459.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-517,459.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,069,140.	3,037,825.	.351943
2007	134,099.	3,926,238.	.034155
2006	529,819.	1,809,816.	.292747
2005	0.	2,112,845.	.000000
2004			

2 Total of line 1, column (d)	2	.678845
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.169711
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,987,872.
5 Multiply line 4 by line 3	5	676,786.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	704.
7 Add lines 5 and 6	7	677,490.
8 Enter qualifying distributions from Part XII, line 4	8	555,400.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,408.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,408.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	1,408.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,185.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,185.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	777.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV .	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► IRL AND SUE ENGELHARDT Telephone no. ► 314-997-5395
 Located at ► 901 KENT RD, ST. LOUIS, MO ZIP+4 ► 63124

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IRL ENGELHARDT 901 KENT RD ST LOUIS, MO 63124	TRUSTEE 5.00	0.	0.	0.
SUE ENGELHARDT 901 KENT RD ST LOUIS, MO 63124	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,959,103.
b	Average of monthly cash balances	1b	89,498.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	4,048,601.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,048,601.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	60,729.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,987,872.
6	Minimum investment return. Enter 5% of line 5	6	199,394.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	199,394.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,408.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,408.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	197,986.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	197,986.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	197,986.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	555,400.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	555,400.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	555,400.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				197,986.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006	369,700.			
d From 2007				
e From 2008	918,313.			
f Total of lines 3a through e	1,288,013.			
4 Qualifying distributions for 2009 from Part XII, line 4. ▶ \$ 555,400.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				197,986.
e Remaining amount distributed out of corpus	357,414.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,645,427.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,645,427.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	369,700.			
c Excess from 2007				
d Excess from 2008	918,313.			
e Excess from 2009	357,414.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 11				
Total				555,400.
b Approved for future payment				
NONE				
Total				0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

ENGELHARDT FAMILY FOUNDATION

43-6926810

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

ENGELHARDT FAMILY FOUNDATION

43-6926810

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	IRL F. ENGELHARDT 901 KENT ROAD ST LOUIS, MO 63124	\$ 893,574.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

43-6926810

[illegible]

ENGELHARDT FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STATEMENT A	P	VARIOUS	VARIOUS
b	STATEMENT A	P	VARIOUS	VARIOUS
c	STATEMENT B	P	VARIOUS	VARIOUS
d	STATEMENT B	P	VARIOUS	VARIOUS
e	STATEMENT C	P	VARIOUS	VARIOUS
f	STATEMENT C	P	VARIOUS	VARIOUS
g	STATEMENT D	P	VARIOUS	VARIOUS
h	STATEMENT D	P	VARIOUS	VARIOUS
i	STATEMENT E	P	VARIOUS	VARIOUS
j	STATEMENT E	P	VARIOUS	VARIOUS
k	STATEMENT F	P	VARIOUS	VARIOUS
l	STATEMENT G	P	VARIOUS	VARIOUS
m	STATEMENT G	P	VARIOUS	VARIOUS
n	STATEMENT H	P	VARIOUS	VARIOUS
o	STATEMENT H	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	685,847.	688,113.	-2,266.
b	645,975.	646,612.	-637.
c	121,160.	156,548.	-35,388.
d	38,520.	85,362.	-46,842.
e	346,837.	387,117.	-40,280.
f	280,621.	407,634.	-127,013.
g	53,283.	47,238.	6,045.
h	126,120.	156,948.	-30,828.
i	34,351.	36,475.	-2,124.
j	12,880.	13,325.	-445.
k	19,435.	16,483.	2,952.
l	3,217.	17,072.	-13,855.
m	1,787.	13,314.	-11,527.
n	4,766.	8,987.	-4,221.
o	3,112.	6,362.	-3,250.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,266.
b			-637.
c			-35,388.
d			-46,842.
e			-40,280.
f			-127,013.
g			6,045.
h			-30,828.
i			-2,124.
j			-445.
k			2,952.
l			-13,855.
m			-11,527.
n			-4,221.
o			-3,250.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	STATEMENT I	P	VARIOUS	VARIOUS
b	STATEMENT I	P	VARIOUS	VARIOUS
c	STATEMENT J	P	VARIOUS	VARIOUS
d	STATEMENT K	P	VARIOUS	VARIOUS
e	STATEMENT L	P	VARIOUS	VARIOUS
f	STATEMENT M	P	VARIOUS	VARIOUS
g	FHLMC PC	P	VARIOUS	VARIOUS
h	FHLMC REMIC SERIES R001	P	VARIOUS	VARIOUS
i	FHLMC REMIC SERIES R010	P	VARIOUS	VARIOUS
j	FHLMC PL	P	VARIOUS	12/31/09
k	FHR R001	P	VARIOUS	VARIOUS
l	FHR R010	P	VARIOUS	VARIOUS
m	CAPITAL GAINS DIVIDENDS			
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,448.	6,039.	409.
b	4,745.	5,930.	-1,185.
c	5,634.	5,031.	603.
d	130,756.	158,665.	-27,909.
e	57,066.	89,805.	-32,739.
f	223,795.	370,816.	-147,021.
g	571.	571.	0.
h	566.	566.	0.
i	340.	340.	0.
j	729.	729.	0.
k	838.	838.	0.
l	725.	725.	0.
m	62.		62.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			409.
b			-1,185.
c			603.
d			-27,909.
e			-32,739.
f			-147,021.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			62.
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-517,459.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A



Account Number MR 95449 JE
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6668/800-631-6666

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/10/10

C12G140128-XZ36

2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gain	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 634,215.54	\$ 631,916.72	\$ 2,895.26	\$ -4,994.08	\$ -2,298.82
Long-term Gain/Loss:	\$ 646,612.06	\$ 845,974.62	\$ 28,962.82	\$ 29,600.26	\$ -637.44
Unclassified Gain/Loss:	53,898.58	53,929.75			
Sub Total:	\$ 1,380,307.51	\$ 1,277,891.34	\$ 17,931.27	\$ -120,347.44	\$ -102,416.17

Activity with Gain/Loss not calculated due to missing information:

Total:	\$ 53,898.58	\$ 53,929.75	\$ 17,931.27	\$ -120,347.44	\$ -102,416.17
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Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FNMA 08.625 % DUE 11/15/10 DTD 110300 FC 05152001	Trade	37,000.000	04/30/09	08/12/09	39,715.80	40,305.95	-590.15		Y
FNMA 08.125 % DUE 03/15/12 DTD 032602 FC 09152002	Trade	62,000.000	04/30/09	05/21/09	69,884.53	69,931.04	-246.51		Y
	Trade	3,000.000	04/30/09	06/12/09	3,327.78	3,383.78	-55.98		Y
	Trade	57,000.000	04/30/09	08/12/09	63,273.99	64,291.44	-1,017.45		Y

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Corrected 03/10/10

C12G140130-X236

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FNMA NTS 04.500 % DUE 021511 DTD 012006 FC 02152006	Trade	25,000.000		04/30/09	08/12/09	26,331.23	26,550.50	-219.27		Y
FNMA NTS 04.875 % DUE 121516 DTD 111706 FC 12152006	Trade	5,000.000		04/30/09	06/12/09	5,266.85	5,504.75	-237.90		Y
	Trade	2,000.000		04/30/09	09/04/09	2,170.38	2,201.90	-31.52		Y
	Trade	25,000.000		04/30/09	11/03/09	27,270.50	27,523.75	-253.25		Y
FNMA NTS 05.125 % DUE 071309 DTD 071307 FC 01132008	Trade	13,000.000		04/30/09	05/21/09	13,089.57	13,131.95	-42.38		Y
FREDDIE MAC NTS 03.750 % DUE 032719 DTD 032709 FC 09272009	Trade	7,000.000		05/21/09	11/03/09	6,945.60	6,941.44	4.16		Y
HONEYWELL INTL INC CALL 04.250% 030113 DTD022908 CALL @ M/W + 25BP NTS	Trade	20,000.000		05/06/08	04/29/09	20,748.24	19,908.60	839.64	1	Y
	Trade	5,000.000		07/18/08	04/29/09	5,187.06	4,935.25	251.81	1	Y
MCDONALDS CORP 04.300% 030113 DTD022908 FC090108 MED TERM NTS	Trade	10,000.000		07/28/08	04/29/09	10,522.40	9,915.60	606.80	1	Y
PEPSICO INC NTS 04.650% 021513 DTD120407 FC081508	Trade	10,000.000		07/16/08	04/29/09	10,616.34	10,189.30	427.04	1	Y
US TSY BOND 9.0000% DUE 11/15/18 DTD 11/15/88 FC 05/15/89	Trade	1,000.000		04/30/09	09/04/09	1,435.90	1,470.23	-34.33		Y
	Trade	2,000.000		04/30/09	11/03/09	2,866.95	2,940.47	-73.52		Y
US TSY NOTE 02.750 % DUE 10/31/13 DTD 10/31/08 FC 04/30/09	Trade	1,000.000		05/01/09	09/04/09	1,029.69	1,037.19	-7.50		Y
	Trade	4,000.000		05/01/09	11/03/09	4,124.69	4,148.75	-24.06		Y

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Account Number MR 95449 JE
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6666/800-631-6666

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/10/10

C12G140131-X236

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US TSY NOTE 03.750 % DUE 11/15/18 DTD 11/15/08 FC 05/15/09	Trade	1,000.000		04/30/09	08/28/09	1,023.71	1,052.70	-28.99		Y
	Trade	1,000.000		04/30/09	09/04/09	1,023.75	1,052.70	-28.95		Y
	Trade	5,000.000		04/30/09	11/03/09	5,125.20	5,263.48	-138.28		Y
US TSY NOTE 01.750 % DUE 11/15/11 DTD 11/15/08 FC 05/15/09	Trade	3,000.000		06/01/09	08/28/09	3,038.32	3,040.08	-1.76		Y
	Trade	1,000.000		06/01/09	09/04/09	1,014.57	1,013.36	1.21		Y
	Trade	21,000.000		06/01/09	10/30/09	21,341.25	21,280.55	60.70		Y
	Trade	8,000.000		08/12/09	10/30/09	8,130.00	8,075.94	54.06		Y
	Trade	26,000.000		08/12/09	11/03/09	26,422.50	26,248.79	175.71		Y
US TSY NOTE 00.875 % DUE 12/31/10 DTD 12/31/08 FC 06/30/09	Trade	2,000.000		04/30/09	08/28/09	2,007.03	2,002.50	4.53		Y
	Trade	1,000.000		04/30/09	09/04/09	1,003.95	1,001.25	2.70		Y
	Trade	10,000.000		04/30/09	11/03/09	10,046.48	10,012.50	33.98		Y
US TSY NOTE 01.125 % DUE 01/15/12 DTD 01/15/09 FC 07/15/09	Trade	1,000.000		04/30/09	08/28/09	996.52	996.64	-.12		Y
	Trade	7,000.000		04/30/09	11/03/09	7,009.30	6,976.48	32.82		Y
US TSY NOTE 01.375 % DUE 04/15/12 DTD 04/15/09 FC 10/15/09	Trade	2,000.000		06/30/09	08/28/09	2,000.47	1,993.13	7.34		Y
	Trade	14,000.000		06/30/09	11/03/09	14,066.17	13,951.88	114.29		Y
US TSY NOTE 03.125 % DUE 05/15/19 DTD 05/15/09 FC 11/15/09	Trade	3,000.000		06/30/09	11/03/09	2,917.50	2,906.25	11.25		Y
US TSY NOTE 01.875 % DUE 06/15/12 DTD 06/15/09 FC 12/15/09	Trade	6,000.000		10/01/09	11/03/09	6,095.39	6,107.81	-12.42		Y
US TSY NOTE 01.125 % DUE 06/30/11 DTD 06/30/09 FC 12/31/09	Trade	1,000.000		06/30/09	08/28/09	1,003.87	1,000.08	3.79		Y
	Trade	7,000.000		06/30/09	11/03/09	7,049.77	7,000.55	49.22		Y
US TSY NOTE 03.625 % DUE 08/15/19 DTD 08/15/09 FC 02/15/10	Trade	2,000.000		10/30/09	11/03/09	2,024.69	2,039.38	-14.69		Y

Account Number MR 95449 JE Page 22 of 25 UBS Financial Services Inc.
 Your Financial Advisor or Contact
 JOEL F. ENGELHARDT
 314-721-6666/800-631-6666
 2009 Consolidated Form 1099

Corrected 03/10/10

C12G140132-X236

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US TSY NOTE 04.250 % DUE 11/15/13 DTD 11/15/03 FC 05/15/04	Trade Trade	1,000,000 10,000,000		04/30/09 04/30/09	09/04/09 11/03/09	1,090.12 10,904.69	1,104.88 11,048.83	-14.76 -144.14		Y Y
US TSY NOTE 04.375 % DUE 12/15/10 DTD 12/15/05 FC 06/15/06	Trade Trade Trade	3,000,000 16,000,000 6,000,000		04/30/09 04/30/09 04/30/09	06/12/09 10/01/09 11/03/09	3,150.70 16,759.38 6,261.09	3,174.02 16,928.13 6,348.05	-23.32 -168.75 -86.96		Y Y Y
US TSY NOTE 04.750 % DUE 03/31/11 DTD 03/31/06 FC 09/30/06	Trade Trade Trade	3,000,000 1,000,000 12,000,000		04/30/09 04/30/09 04/30/09	06/12/09 09/04/09 11/03/09	3,190.66 1,063.13 12,709.69	3,222.19 1,074.06 12,888.76	-31.53 -10.93 -179.07		Y Y Y
US TSY NOTE 04.625 % DUE 08/31/11 DTD 08/31/06 FC 02/28/07	Trade Trade	1,000,000 1,000,000		04/30/09 04/30/09	08/28/09 11/03/09	1,071.25 1,069.06	1,081.64 1,081.64	-10.39 -12.58		Y Y
US TSY NOTE 04.500 % DUE 09/30/11 DTD 09/30/06 FC 03/31/07	Trade Trade	1,000,000 14,000,000		05/04/09 05/04/09	08/28/09 08/31/09	1,070.18 14,996.95	1,079.34 15,110.75	-9.18 -113.80		Y Y
US TSY NOTE 04.500 % DUE 05/15/10 DTD 05/15/07 FC 11/15/07	Trade Trade	38,000,000 12,000,000		04/30/09 04/30/09	06/30/09 08/31/09	39,316.64 12,350.16	39,574.91 12,497.34	-258.27 -147.18		Y Y
US TSY NOTE 04.750 % DUE 08/15/17 DTD 08/15/07 FC 02/15/08	Trade Trade	2,000,000 8,000,000		04/30/09 04/30/09	08/28/09 11/03/09	2,207.50 8,850.63	2,267.97 9,071.87	-60.47 -221.24		Y Y
US TSY NOTE 04.250 % DUE 11/15/17 DTD 11/15/07 FC 05/15/08	Trade Trade Trade	25,000,000 3,000,000 10,000,000		04/30/09 04/30/09 04/30/09	05/04/09 08/28/09 11/03/09	27,384.67 3,200.27 10,696.88	27,450.20 3,294.02 10,980.08	-65.53 -93.75 -283.20		Y Y Y
US TSY NOTE 02.750 % DUE 02/28/13 DTD 02/29/08 FC 08/31/08	Trade Trade	3,000,000 14,000,000		08/31/09 08/31/09	09/04/09 11/03/09	3,111.09 14,514.06	3,107.81 14,503.13	3.28 10.93		Y Y
Total Short-Term Gain/Loss						631,916.72	634,215.54	-2,298.82		

Corrected 2009 1099 / MR 95449 JE



Account Number MR 95449 JE
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6666/800-631-6666

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UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/10/10

C12G140133-X236

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS NTS 05.600% 051511 DTD051206 FC111506	Trade	30,000.000		01/29/07	04/29/09	32,240.31	30,312.00	1,928.31		Y
BANK OF AMER CORP NTS 04.750% 080115 DTD072605 FC020106	Trade	35,000.000		01/17/07	04/29/09	29,702.05	33,565.00	-3,862.95		Y
CITIGROUP INC NTS B/E 05.000% 091514 DTD091604 FC031505	Trade	30,000.000		01/16/07	04/29/09	19,324.65	29,232.00	-9,907.35		Y
	Trade	5,000.000		08/23/07	04/29/09	3,220.77	4,775.00	-1,554.23		Y
FHLB BOND 03.825 % DUE 121710 DTD 120707 FC 06172008	Trade	25,000.000		12/05/07	04/29/09	25,970.75	24,951.50	1,019.25		Y
FHLMC NTS 04.125 % DUE 071210 DTD 062105 FC 01122006	Trade	30,000.000		01/10/07	04/29/09	31,092.30	29,254.20	1,838.10		Y
FHLMC PL G12484 04.5000 DUE 06/01/21 FACTOR 0.543055500000	Trade	30,000.000		01/18/07	04/29/09	21,131.09	20,133.21	997.88		Y
FHR R001 AE 04.3750 DUE 04/15/15 FACTOR 0.230037850000	Trade	30,000.000		04/12/07	04/29/09	11,132.79	10,944.82	187.97		Y
FHR R010 AB 05.5000 DUE 12/15/19 FACTOR 0.485882170000	Trade	15,000.000		02/14/07	04/29/09	10,239.05	10,413.53	-174.48		Y
FNMA NTS 04.875 % DUE 051812 DTD 051807 FC 11182007	Trade	25,000.000		08/08/07	04/29/09	27,182.75	24,729.00	2,453.75		Y
	Trade	40,000.000		01/29/08	04/29/09	43,492.40	42,471.60	1,020.80		Y

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Corrected 03/10/10

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENL ELEC CAP CORP NTS 06.000% 081512 DTD060702 FC121502	Trade	30,000.000		10/09/07	04/29/09	30,520.80	41,363.20	-10,842.40	1	Y
HOUSEHOLD FIN CORP NTS 04.750% 071513 DTD072103 FC011504	Trade	30,000.000		06/06/07	04/29/09	26,400.00	28,533.30	-2,133.30	1	Y
	Trade	5,000.000		10/15/07	04/29/09	4,400.00	4,823.55	-423.55	1	Y
J P MORGAN CHASE & CO 04.750% 050113 DTD042808 FC110108 NTS B/E	Trade	25,000.000		04/21/08	04/29/09	25,004.25	24,920.75	83.50	1	Y
MCDONALDS CORP 04.300% 030113 DTD022908 FC090108 MED TERM NTS	Trade	15,000.000		02/26/08	04/29/09	15,783.60	14,975.25	808.35	1	Y
PEPSICO INC NTS 04.650% 021513 DTD120407 FC081508	Trade	15,000.000		01/15/08	04/29/09	15,924.51	15,421.95	502.56	1	Y
TARGET CORP CALL@MW + 20BP 05.875% 030112 DTD031102 FC090102 MTN	Trade	30,000.000		01/30/07	04/29/09	32,070.90	30,699.30	1,371.60	1	Y
US TSY NOTE 04.000 % DUE 02/15/15	Trade	55,000.000		12/01/06	04/29/09	60,235.74	53,444.53	6,791.21		Y
DTD 02/15/05 FC 08/15/05	Trade	10,000.000		12/20/06	04/29/09	10,951.95	9,607.03	1,344.92	1	Y
	Trade	15,000.000		11/27/07	04/29/09	16,427.93	15,273.05	1,154.88	1	Y
	Trade	20,000.000		02/22/08	04/29/09	21,903.91	20,875.00	1,028.91	1	Y
US TSY NOTE 04.750 % DUE 01/31/12	Trade	30,000.000		05/03/07	04/29/09	32,845.31	30,201.56	2,643.75		Y
DTD 01/31/07 FC 07/31/07	Trade	30,000.000		08/14/07	04/29/09	32,845.31	30,253.13	2,592.18	1	Y
WAL MART STORES INC B/E 06.875% 081009 DTD081099 FC021000 GLOBAL BND	Trade	30,000.000		01/24/07	04/29/09	30,501.00	31,203.00	-702.00	1	Y

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Your Financial Advisor or Contact

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Corrected 03/10/10

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WELLS FARGO & CO NEW NTS 04.200% 011510 DTD120604 FC071505 B/E	Trade	35,000.000		12/20/06	04/30/09	35,430.50	34,235.60	1,194.90	1	Y
Total Long-Term Gain/Loss						645,974.62	646,612.06	-637.44		

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule." Broad-Based Index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNITED STATES TREAS BILL DUE 11/27/09	Trade	45,000.000		05/27/09	06/30/09	44,946.92	44,933.66	-Not Calculated-	Not calculated	Y
UNITED STATES TREAS BILL DUE 07/29/10	Trade	1,000.000		08/13/09	08/28/09	996.48	995.88	-Not Calculated-	Not calculated	Y
	Trade	8,000.000		08/13/09	11/03/09	7,986.35	7,967.04	-Not Calculated-	Not calculated	Y
Total Unclassified Gain/Loss						53,929.75	53,896.58			

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number ** indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net	
Short-term Gain/Loss:		\$	156,548.15	\$	121,160.35	\$	15,907.87	\$	-51,295.67	\$ -35,387.80
Long-term Gain/Loss:			85,361.95		38,520.20		108.25		-46,950.00	-46,841.75
Sub Total:		\$	241,910.10	\$	159,680.55	\$	16,016.12	\$	-98,245.67	\$ -82,229.55
Total:		\$	241,910.10	\$	159,680.55	\$	16,016.12	\$	-98,245.67	\$ -82,229.55

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AFFILIATED MANAGERS GROUP	Trade	30.000		03/30/09	04/30/09	1,746.67	1,253.04	493.63		Y
AMEDISYS INC	Trade	68.000		12/01/08	03/27/09	2,029.78	2,484.85	-455.07	1	Y
AMETEK INC (NEW)	Trade	5.000		04/18/08	03/27/09	159.20	233.75	-74.55	1	Y
AMN HEALTHCARE SERVICES INC	Trade	93.000		04/18/08	03/27/09	506.99	1,448.01	-941.02	1	Y
AMPHENOL CORP NEW CLA	Trade	29.000		03/30/09	12/09/09	1,264.73	813.45	451.28		Y

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JOEL F. ENGELHARDT
314-721-6666/800-631-6666

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
APTARGROUP INC	Trade	13,000		03/30/09	08/13/09	466.44	398.24	68.20		Y
ATC TECHNOLOGY CORP	Trade	63,000		04/18/08	03/27/09	664.80	1,464.12	-799.32	1	Y
AVID TECHNOLOGY INC	Trade	96,000		04/18/08	03/27/09	940.35	2,278.08	-1,337.73	1	Y
BLACKBAUD INC	Trade	51,000		03/30/09	10/21/09	1,210.53	531.93	678.60		Y
BORDERS GROUP INC (MICH)	Trade	123,000		03/27/08	03/27/09	82.18	730.56	-648.38	1	Y
	Trade	240,000		04/18/08	03/27/09	160.34	1,432.80	-1,272.46	1	Y
	Trade	194,000		06/02/08	03/27/09	129.61	1,166.52	-1,036.91	1	Y
BOSTON PRIVATE FINCL HLDGS INC	Trade	37,000		04/18/08	03/27/09	136.97	417.73	-280.76	1	Y
	Trade	153,000		06/19/08	03/27/09	566.40	915.93	-349.53	1	Y
	Trade	259,000		08/06/08	03/27/09	958.81	2,200.42	-1,241.61	1	Y
BROWN & BROWN INC	Trade	60,000		03/30/09	08/11/09	1,171.24	1,114.20	57.04		Y
	Trade	40,000		03/30/09	08/12/09	781.00	742.80	38.20		Y
CACI INTL INC CL A	Trade	39,000		04/18/08	03/27/09	1,437.53	1,836.12	-398.59	1	Y
CATHAY GENL BANCORP	Trade	66,000		06/18/08	03/27/09	744.01	758.17	-14.16	1	Y
	Trade	114,000		07/10/08	03/27/09	1,285.11	1,304.75	-19.64	1	Y
CENTL EUROPEAN MEDIA ENTERPRISES LTD CL A	Trade	17,000		04/18/08	03/27/09	220.19	1,559.92	-1,339.73	1	Y
	Trade	23,000		09/26/08	03/27/09	297.90	1,608.06	-1,310.16	1	Y
	Trade	69,000		11/25/08	03/27/09	893.71	832.69	61.02	1	Y
CHEMED CORP NEW	Trade	12,000		04/18/08	03/27/09	463.56	488.28	-24.72	1	Y
	Trade	35,000		04/25/08	03/27/09	1,352.04	1,125.29	226.75	1	Y
	Trade	30,000		06/27/08	03/27/09	1,158.89	1,085.68	73.23	1	Y
COOPER COMPANIES INC NEW	Trade	26,000		04/18/08	03/27/09	698.35	939.38	-241.03	1	Y
COPART INC	Trade	29,000		12/11/08	08/13/09	1,038.28	757.51	280.77	1	Y
COSTAR GROUP INC	Trade	10,000		03/30/09	07/14/09	361.47	288.10	73.37		Y
	Trade	40,000		03/30/09	07/16/09	1,489.67	1,152.40	337.27		Y

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Your Financial Advisor or Contact
JOEL F. ENGELHARDT
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COVANCE INC	Trade	40,000		11/24/08	08/11/09	2,165.45	1,451.95	713.50	1	Y
CULLEN FROST BANKERS INC	Trade	15,000		03/30/09	06/10/09	721.74	697.50	24.24		Y
CVB FINCL CORP	Trade	170,000		04/18/08	03/27/09	1,222.87	1,886.26	-643.59	1	Y
DEALERTRACK HLDGS INC	Trade	240,000		10/03/08	03/27/09	3,380.00	3,452.86	-72.86	1	Y
DONALDSON CO INC	Trade	40,000		03/30/09	06/15/09	1,423.04	1,059.20	363.84		Y
EAST WEST BANCORP INC	Trade	313,000		06/04/08	03/27/09	1,540.84	3,807.43	-2,266.79	1	Y
EL PASO ELEC CO NEW	Trade	107,000		10/09/08	03/27/09	1,491.89	1,933.39	-441.50	1	Y
	Trade	25,000		11/21/08	03/27/09	348.57	397.83	-49.26	1	Y
ELECTRONICS FOR IMAGING INC	Trade	121,000		04/18/08	03/27/09	1,205.64	1,830.10	-624.46	1	Y
EMERGENCY MEDICAL SERVICES CORP CL A	Trade	57,000		04/18/08	03/27/09	1,835.98	1,385.10	450.88	1	Y
ENERGEN CORP	Trade	51,000		03/30/09	08/24/09	2,209.27	1,453.35	755.92		Y
	Trade	35,000		03/30/09	09/23/09	1,553.11	997.40	555.71		Y
	Trade	34,000		03/30/09	09/24/09	1,463.04	868.90	494.14		Y
ENTEGRIS INC	Trade	204,000		04/18/08	03/27/09	208.45	1,517.45	-1,309.00	1	Y
	Trade	159,000		09/10/08	03/27/09	162.47	909.48	-747.01	1	Y
	Trade	1,001,000		12/23/08	03/27/09	1,022.81	1,885.38	-862.57	1	Y
EXPEDITORS INTL WASH INC	Trade	55,000		03/30/09	05/07/09	1,954.55	1,508.10	446.45		Y
FASTENAL CO	Trade	50,000		03/30/09	06/15/09	1,670.94	1,559.00	111.94		Y
FLOWERS FOODS INC	Trade	99,000		02/17/09	03/27/09	2,329.45	2,323.06	6.39	1	Y
FMC TECHNOLOGIES INC	Trade	10,000		03/30/09	10/21/09	589.81	312.60	277.21		Y
	Trade	11,000		03/30/09	11/12/09	629.54	343.86	285.68		Y
	Trade	16,000		03/30/09	12/16/09	915.97	500.16	415.81		Y

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JOEL F. ENGELHARDT

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Safe Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FOREST CITY ENTERPRISES INC CL A	Trade	53.000		03/30/09	09/16/09	636.37	184.33	452.04		Y
FORWARD AIR CORP	Trade	58.000		04/18/08	03/27/09	1,007.45	1,927.92	-920.47	1	Y
	Trade	35.000		09/24/08	03/27/09	607.95	938.49	-330.54	1	Y
GENL COMMUNICATIONS INC CL A	Trade	169.000		04/18/08	03/27/09	1,145.64	1,049.49	96.15	1	Y
GLATFELTER	Trade	120.000		04/18/08	03/27/09	842.51	1,741.20	-898.69	1	Y
GROUP 1 AUTOMOTIVE INC	Trade	72.000		04/18/08	03/27/09	1,085.14	1,784.88	-699.74	1	Y
GYMBOREE CORP	Trade	68.000		09/24/08	03/27/09	1,432.37	2,425.68	-993.31	1	Y
	Trade	32.000		12/12/08	03/27/09	674.05	744.10	-70.05	1	Y
HARTFORD FINCL SERVICES GROUP INC	Trade	94.000		11/24/08	03/27/09	878.89	573.34	305.55	1	Y
HEXCEL CORP NEW	Trade	186.000		09/29/08	03/27/09	1,330.44	2,432.62	-1,102.18	1	Y
	Trade	72.000		09/30/08	03/27/09	515.01	933.60	-418.59	1	Y
HORACE MANN EDUCATORS CORP NEW	Trade	458.000		10/10/08	03/27/09	4,051.71	2,652.09	1,399.62	1	Y
ICU MEDICAL INC	Trade	58.000		04/18/08	03/27/09	1,867.59	1,399.54	468.05	1	Y
INTL BANCSHARES CORP TEXAS	Trade	67.000		04/18/08	03/27/09	527.43	1,616.04	-1,088.61	1	Y
IPCS INC **MERGER 01/2010**	Trade	49.000		04/18/08	03/27/09	532.78	1,506.75	-973.97	1	Y
J & J SNACK FOODS CORP	Trade	53.000		04/18/08	03/27/09	1,889.96	1,529.58	360.38	1	Y
JABIL CIRCUIT INC	Trade	8.000		04/14/08	03/27/09	45.84	79.55	-33.71	1	Y
	Trade	129.000		07/16/08	03/27/09	739.23	1,982.73	-1,243.50	1	Y
	Trade	183.000		09/18/08	03/27/09	1,048.67	2,009.25	-960.58	1	Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MAGELLAN HEALTH SERVICES INC NEW	Trade	42,000		04/18/08	03/27/09	1,528.64	1,642.20	-113.56		Y
MC CORMICK & CO NV	Trade	50,000		03/30/09	08/07/09	1,587.91	1,448.50	139.41		Y
METTLER-TOLEDO INTL	Trade	13,000		03/30/09	05/20/09	964.95	650.52	314.43		Y
MOHAWK INDUSTRIES INC	Trade	17,000		03/30/09	11/12/09	771.21	507.62	263.59		Y
	Trade	23,000		03/30/09	11/17/09	1,020.31	686.78	333.53		Y
MSC.SOFTWARE CORP **MERGER**	Trade	152,000		04/18/08	03/27/09	893.76	1,938.00	-1,044.24		Y
NEENAH PAPER INC RECAPITALIZATION AS OF 3/2008	Trade	53,000		04/18/08	03/27/09	220.95	1,309.10	-1,088.15		Y
	Trade	45,000		06/30/08	03/27/09	187.60	755.08	-567.48		Y
O REILLY AUTOMOTIVE INC	Trade	40,000		03/30/09	04/14/09	1,473.12	1,355.02	118.10		Y
OCEANEERING INTL INC	Trade	4,000		04/18/08	03/27/09	156.48	277.52	-121.04		Y
PAPA JOHNS INTL INC	Trade	58,000		04/18/08	03/27/09	1,453.94	1,595.58	-141.64		Y
PIKE ELEC CORP	Trade	96,000		04/18/08	03/27/09	933.12	1,464.96	-531.84		Y
PLEXUS CORP	Trade	60,000		04/18/08	03/27/09	905.32	1,603.80	-698.48		Y
	Trade	66,000		10/07/08	03/27/09	995.85	1,117.30	-121.45		Y
QUICKSILVER RESOURCES INC	Trade	48,000		04/18/08	03/27/09	295.73	1,988.64	-1,692.91		Y
	Trade	48,000		08/08/08	03/27/09	295.73	1,202.17	-906.44		Y
	Trade	144,000		12/16/08	03/27/09	887.18	738.72	148.46		Y
RALCORP HOLDINGS INC NEW	Trade	20,000		07/25/08	03/27/09	1,094.99	1,068.86	26.13		Y
	Trade	42,000		08/06/08	03/27/09	2,299.49	2,404.87	-105.38		Y
RC2 CORP	Trade	48,000		04/18/08	03/27/09	288.31	970.08	-681.77		Y
	Trade	46,000		07/22/08	03/27/09	276.30	804.70	-528.40		Y

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STMT B



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RESOURCES CONNECTION INC	Trade	73.000		04/18/08	03/27/09	1,170.82	1,387.73	-216.91	1	Y
SCHAWK INC	Trade	84.000		04/18/08	03/27/09	503.14	1,317.12	-813.98	1	Y
SCHEIN HENRY INC	Trade	9.000		03/30/09	05/20/09	406.66	353.34	53.32		Y
SCHOOL SPECIALTY INC WISCONSIN	Trade	55.000		04/18/08	03/27/09	1,016.48	1,667.60	-651.12	1	Y
SEI INVESTMENTS CO	Trade	40.000		03/30/09	05/20/09	607.90	485.56	122.34		Y
	Trade	78.000		03/30/09	08/24/09	1,485.91	946.84	539.07		Y
SELECTIVE INS GROUP INC	Trade	108.000		04/18/08	03/27/09	1,372.40	2,759.24	-1,386.84	1	Y
SOLERA HOLDINGS INC	Trade	89.000		11/21/08	03/27/09	2,160.90	1,411.90	749.00	1	Y
SONIC CORP	Trade	35.000		03/30/09	06/10/09	318.32	333.82	-15.50		Y
SYNOVUS FINANCIAL CORP	Trade	342.000		07/15/08	03/27/09	1,176.64	2,583.84	-1,407.20	1	Y
TETRA TECHNOLOGIES INC	Trade	82.000		04/18/08	03/27/09	287.04	1,438.28	-1,151.24	1	Y
DEL	Trade	95.000		09/26/08	03/27/09	332.54	1,354.88	-1,022.34	1	Y
THOR INDUSTRIES INC	Trade	36.000		04/18/08	03/27/09	562.67	1,017.72	-455.05	1	Y
	Trade	40.000		09/17/08	03/27/09	625.19	1,033.91	-408.72	1	Y
TIFFANY & CO NEW	Trade	28.000		03/30/09	10/23/09	1,162.12	589.65	572.47		Y
	Trade	27.000		03/30/09	11/13/09	1,135.13	568.59	566.54		Y
UNIFIRST CORP MASS	Trade	29.000		04/18/08	03/27/09	867.12	1,365.32	-498.20	1	Y
UNISYS CORP **REVERSE SPLIT EFF:10/2009**	Trade	263.000		04/18/08	03/27/09	144.91	1,212.43	-1,067.52	1	Y
	Trade	329.000		01/16/09	03/27/09	181.28	303.17	-121.89	1	Y
UNTD STATIONERS INC	Trade	35.000		04/18/08	03/27/09	1,005.07	1,610.35	-605.28	1	Y
	Trade	28.000		07/18/08	03/27/09	804.06	977.46	-173.40	1	Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VARIAN SEMICONDUCTOR EQUIPMENT ASSOC INC	Trade	80,000		07/25/08	03/27/09	1,840.34	2,162.41	-322.07	1	Y
	Trade	44,000		09/04/08	03/27/09	1,012.19	1,308.06	-295.87	1	Y
VERIFONE HOLDINGS INC	Trade	98,000		04/08/08	03/27/09	673.06	1,275.33	-602.27	1	Y
	Trade	72,000		04/18/08	03/27/09	494.49	864.00	-369.51	1	Y
	Trade	72,000		08/20/08	03/27/09	494.49	998.61	-502.12	1	Y
	Trade	373,000		11/25/08	03/27/09	2,561.75	1,423.11	1,138.64	1	Y
VOLCOM INC	Trade	52,000		04/18/08	03/27/09	530.11	1,074.84	-544.73	1	Y
	Trade	44,000		09/05/08	03/27/09	448.55	789.67	-341.12	1	Y
WATTS WATER TECHNOLOGIES INC CL A	Trade	35,000		04/18/08	03/27/09	727.30	937.30	-210.00	1	Y
ZIONS BANCORP	Trade	110,000		07/14/08	03/27/09	1,200.09	2,373.75	-1,173.66	1	Y
Total Short-Term Gain/Loss						121,160.35	156,548.15	-35,387.80		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMETEK INC (NEW)	Trade	4,000		12/20/06	03/27/09	127.36	125.88	1.48	1	Y
	Trade	11,000		03/27/07	03/27/09	350.23	380.71	-30.48	1	Y
AMN HEALTHCARE SERVICES INC	Trade	79,000		11/16/07	03/27/09	430.66	1,371.72	-941.06	1	Y
	Trade	41,000		02/08/08	03/27/09	223.51	605.87	-382.36	1	Y
ATC TECHNOLOGY CORP	Trade	14,000		12/01/06	03/27/09	147.73	266.00	-118.27	1	Y
	Trade	15,000		12/20/08	03/27/09	158.29	311.40	-153.11	1	Y
	Trade	16,000		03/27/07	03/27/09	168.84	385.92	-217.08	1	Y
	Trade	13,000		02/13/08	03/27/09	137.18	284.56	-147.38	1	Y
	Trade	19,000		02/19/08	03/27/09	200.50	365.78	-165.28	1	Y
AVID TECHNOLOGY INC	Trade	36,000		12/01/06	03/27/09	352.63	1,413.38	-1,060.73	1	Y
	Trade	11,000		12/20/06	03/27/09	107.75	411.85	-304.20	1	Y
	Trade	15,000		02/08/07	03/27/09	146.93	493.28	-346.35	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AVID TECHNOLOGY INC	Trade	15,000		03/27/07	03/27/09	146.93	519.15	-372.22	1	Y
	Trade	9,000		04/27/07	03/27/09	88.16	308.86	-220.70	1	Y
	Trade	6,000		05/25/07	03/27/09	58.77	195.95	-137.18	1	Y
	Trade	31,000		02/01/08	03/27/09	303.65	653.01	-349.36	1	Y
BORDERS GROUP INC (MICH)	Trade	71,000		04/11/07	03/27/09	47.43	1,453.08	-1,405.63	1	Y
	Trade	41,000		06/07/07	03/27/09	27.39	833.90	-806.51	1	Y
	Trade	20,000		06/12/07	03/27/09	13.36	398.14	-384.78	1	Y
	Trade	20,000		06/20/07	03/27/09	13.36	386.40	-373.04	1	Y
	Trade	40,000		09/17/07	03/27/09	26.72	582.10	-555.38	1	Y
CACI INTL INC CL A	Trade	7,000		03/16/07	03/27/09	258.02	328.56	-70.54	1	Y
	Trade	9,000		03/29/07	03/27/09	331.74	423.45	-91.71	1	Y
CENTL EUROPEAN MEDIA ENTERPRISES LTD CL A	Trade	7,000		12/01/06	03/27/09	90.67	514.71	-424.04	1	Y
	Trade	8,000		12/20/06	03/27/09	103.62	551.60	-447.98	1	Y
	Trade	6,000		03/27/07	03/27/09	77.71	516.78	-439.07	1	Y
COOPER COMPANIES INC NEW	Trade	12,000		12/01/06	03/27/09	322.32	648.84	-326.52	1	Y
	Trade	5,000		12/13/06	03/27/09	134.30	221.20	-86.90	1	Y
	Trade	10,000		12/20/06	03/27/09	268.60	454.20	-185.60	1	Y
	Trade	7,000		12/27/06	03/27/09	188.02	314.79	-126.77	1	Y
	Trade	9,000		03/27/07	03/27/09	241.74	437.40	-195.66	1	Y
CVB FINCL CORP	Trade	83,000		12/29/06	03/27/09	596.95	1,110.84	-513.89	1	Y
	Trade	7,000		01/08/07	03/27/09	50.35	90.93	-40.58	1	Y
	Trade	42,000		01/09/07	03/27/09	302.07	541.80	-239.73	1	Y
	Trade	26,000		01/23/07	03/27/09	187.00	316.43	-129.43	1	Y
	Trade	13,000		03/16/07	03/27/09	93.50	152.47	-58.97	1	Y
	Trade	42,000		03/27/07	03/27/09	302.07	503.16	-201.09	1	Y
	Trade	36,000		10/12/07	03/27/09	258.92	427.63	-168.71	1	Y
ELECTRONICS FOR IMAGING INC	Trade	62,000		03/30/07	03/27/09	617.76	1,446.05	-828.29	1	Y
	Trade	23,000		04/24/07	03/27/09	229.17	600.52	-371.35	1	Y
	Trade	19,000		05/14/07	03/27/09	189.31	498.98	-309.67	1	Y
	Trade	40,000		01/11/08	03/27/09	398.56	522.23	-123.67	1	Y
	Trade	14,000		01/30/08	03/27/09	139.50	200.53	-61.03	1	Y

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JOEL F. ENGELHARDT
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EMERGENCY MEDICAL SERVICES CORP CL A	Trade	37,000		10/10/07	03/27/09	1,191.76	1,159.53	32.23	1	Y
ENTEGRIS INC	Trade	270,000		09/10/07	03/27/09	275.88	2,466.75	-2,190.87	1	Y
FORWARD AIR CORP	Trade	68,000		01/08/08	03/27/09	1,181.15	1,879.38	-698.23	1	Y
GENL COMMUNICATIONS INC CL A	Trade	108,000		12/01/06	03/27/09	732.13	1,626.48	-894.35	1	Y
	Trade	33,000		12/20/06	03/27/09	223.71	522.39	-298.68	1	Y
	Trade	30,000		03/27/07	03/27/09	203.37	419.10	-215.73	1	Y
	Trade	55,000		05/17/07	03/27/09	372.84	726.00	-353.16	1	Y
GLATFELTER	Trade	105,000		07/25/07	03/27/09	737.20	1,459.58	-722.38	1	Y
	Trade	56,000		07/27/07	03/27/09	393.17	752.89	-359.72	1	Y
GROUP 1 AUTOMOTIVE INC	Trade	36,000		05/03/07	03/27/09	542.57	1,494.70	-952.13	1	Y
	Trade	19,000		05/07/07	03/27/09	286.36	785.12	-498.76	1	Y
	Trade	9,000		05/08/07	03/27/09	135.64	365.30	-229.66	1	Y
	Trade	7,000		05/30/07	03/27/09	105.50	287.86	-182.36	1	Y
	Trade	19,000		01/15/08	03/27/09	286.36	390.66	-104.30	1	Y
HUTTIG BLDG PRODUCTS INC	Trade	95,000		12/01/06	03/27/09	39.89	498.75	-458.86	1	Y
	Trade	29,000		12/20/06	03/27/09	12.18	147.90	-135.72	1	Y
ICU MEDICAL INC	Trade	29,000		03/18/07	03/27/09	933.80	1,148.89	-215.09	1	Y
	Trade	17,000		03/23/07	03/27/09	547.40	663.84	-116.44	1	Y
	Trade	8,000		03/29/07	03/27/09	257.60	315.04	-57.44	1	Y
	Trade	18,000		07/19/07	03/27/09	579.60	672.13	-92.53	1	Y
INTL BANCSHARES CORP TEXAS	Trade	42,000		03/08/07	03/27/09	330.63	1,130.45	-799.82	1	Y
	Trade	54,000		04/27/07	03/27/09	425.10	1,446.66	-1,021.56	1	Y
IPCS INC **MERGER 01/2010**	Trade	68,000		07/27/07	03/27/09	739.37	2,187.93	-1,448.56	1	Y
J & J SNACK FOODS CORP	Trade	14,000		03/27/07	03/27/09	499.23	544.32	-45.09	1	Y
	Trade	20,000		11/26/07	03/27/09	713.19	638.65	74.54	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MAGELLAN HEALTH SERVICES INC NEW	Trade	25,000		04/25/07	03/27/09	909.90	1,061.70	-151.80	1	Y
MSC SOFTWARE CORP **MERGER**	Trade	90,000		12/01/06	03/27/09	529.20	1,380.60	-851.40	1	Y
	Trade	36,000		12/20/06	03/27/09	211.68	549.36	-337.68	1	Y
	Trade	31,000		03/06/07	03/27/09	182.28	393.88	-211.60	1	Y
	Trade	33,000		03/27/07	03/27/09	194.04	437.91	-243.87	1	Y
NEENAH PAPER INC RECAPITALIZATION AS OF 3/2008	Trade	37,000		12/01/06	03/27/09	154.25	1,263.55	-1,109.30	1	Y
	Trade	12,000		12/20/06	03/27/09	50.03	425.76	-375.73	1	Y
	Trade	11,000		03/27/07	03/27/09	45.86	435.60	-389.74	1	Y
OCEANEERING INTL INC	Trade	7,000		12/20/06	03/27/09	273.84	288.33	-14.49	1	Y
	Trade	9,000		03/27/07	03/27/09	352.08	378.09	-26.01	1	Y
	Trade	16,000		04/18/08	05/14/09	769.03	1,110.08	-341.05	1	Y
PAPA JOHNS INTL INC	Trade	78,000		07/24/07	03/27/09	1,955.29	2,248.31	-293.02	1	Y
PIKE ELEC CORP	Trade	49,000		12/01/06	03/27/09	476.28	768.32	-292.04	1	Y
	Trade	20,000		12/20/06	03/27/09	194.40	320.20	-125.80	1	Y
	Trade	9,000		03/06/07	03/27/09	87.48	148.47	-60.99	1	Y
	Trade	23,000		03/27/07	03/27/09	223.56	416.53	-192.97	1	Y
	Trade	46,000		11/08/07	03/27/09	447.12	688.39	-241.27	1	Y
PLEXUS CORP	Trade	5,000		03/09/07	03/27/09	75.44	79.95	-4.51	1	Y
	Trade	25,000		03/27/07	03/27/09	377.22	422.50	-45.28	1	Y
QUICKSILVER RESOURCES INC	Trade	14,000		12/01/06	03/27/09	86.25	299.60	-213.35	1	Y
	Trade	30,000		12/20/06	03/27/09	184.83	577.64	-392.81	1	Y
	Trade	22,000		03/27/07	03/27/09	135.54	446.82	-311.28	1	Y
RC2 CORP	Trade	26,000		12/01/06	03/27/09	156.17	1,081.86	-925.69	1	Y
	Trade	8,000		12/20/06	03/27/09	48.05	351.68	-303.63	1	Y
	Trade	7,000		03/27/07	03/27/09	42.05	282.59	-240.54	1	Y
	Trade	20,000		08/02/07	03/27/09	120.13	581.46	-461.33	1	Y
RESOURCES CONNECTION INC	Trade	95,000		10/08/07	03/27/09	1,523.68	2,225.37	-701.69	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SCHAWK INC										
Trade		46,000		12/01/06	03/27/09	275.53	874.46	-598.93	1	Y
Trade		14,000		12/20/06	03/27/09	83.86	266.00	-182.14	1	Y
Trade		42,000		11/09/07	03/27/09	251.57	706.30	-454.73	1	Y
Trade		16,000		01/18/08	03/27/09	95.84	220.18	-124.32	1	Y
SCHOOL SPECIALTY INC WISCONSIN										
Trade		20,000		12/01/06	03/27/09	369.63	730.40	-360.77	1	Y
Trade		12,000		12/20/06	03/27/09	221.78	446.16	-224.38	1	Y
Trade		8,000		03/19/07	03/27/09	147.85	286.16	-138.31	1	Y
Trade		14,000		03/27/07	03/27/09	258.74	509.60	-250.86	1	Y
Trade		7,000		04/19/07	03/27/09	129.37	244.51	-115.14	1	Y
Trade		8,000		04/25/07	03/27/09	147.85	266.00	-118.15	1	Y
SELECTIVE INS GROUP INC										
Trade		10,000		07/10/07	03/27/09	127.07	234.30	-107.23	1	Y
Trade		10,000		07/18/07	03/27/09	127.07	221.96	-94.89	1	Y
Trade		34,000		08/28/07	03/27/09	432.05	707.34	-275.29	1	Y
TETRA TECHNOLOGIES INC DEL										
Trade		50,000		03/13/07	03/27/09	175.02	1,143.50	-968.48	1	Y
Trade		25,000		03/14/07	03/27/09	87.51	572.34	-484.83	1	Y
Trade		14,000		03/27/07	03/27/09	49.01	343.28	-294.27	1	Y
Trade		33,000		08/07/07	03/27/09	115.52	656.99	-541.47	1	Y
THOR INDUSTRIES INC										
Trade		18,000		12/01/06	03/27/09	281.34	811.80	-530.46	1	Y
Trade		7,000		12/20/06	03/27/09	109.41	310.59	-201.18	1	Y
Trade		24,000		03/28/07	03/27/09	375.12	948.00	-572.88	1	Y
UNIFIRST CORP MASS										
Trade		19,000		12/01/06	03/27/09	568.11	777.10	-208.99	1	Y
Trade		13,000		12/20/06	03/27/09	388.71	504.27	-115.56	1	Y
Trade		10,000		03/27/07	03/27/09	299.01	396.90	-97.89	1	Y
UNISYS CORP ***REVERSE SPLIT EFF:10/2009**										
Trade		173,000		12/01/08	03/27/09	95.32	1,238.68	-1,143.36	1	Y
Trade		52,000		12/20/06	03/27/09	28.65	390.00	-361.35	1	Y
Trade		50,000		03/27/07	03/27/09	27.55	425.00	-397.45	1	Y
Trade		92,000		08/23/07	03/27/09	50.69	695.12	-644.43	1	Y
UNTD STATIONERS INC										
Trade		14,000		12/01/08	03/27/09	402.03	641.32	-239.29	1	Y
Trade		8,000		12/20/06	03/27/09	229.73	365.91	-136.18	1	Y
Trade		7,000		03/27/07	03/27/09	201.01	419.29	-218.28	1	Y
Trade		17,000		10/19/07	03/27/09	488.18	920.04	-431.86	1	Y

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JOEL F. ENGELHARDT
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VOLCOM INC	Trade	70.000		02/15/08	03/27/09	713.60	1,246.65	-533.05	1	Y
WATTS WATER TECHNOLOGIES INC CL A	Trade	29.000		12/01/06	03/27/09	602.62	1,209.01	-606.39	1	Y
	Trade	8.000		12/20/06	03/27/09	166.24	322.80	-156.56	1	Y
	Trade	9.000		03/27/07	03/27/09	187.02	345.06	-158.04	1	Y
Total Long-Term Gain/Loss							85,361.95	-46,841.75		



Account Number MR 95277 JE
Your Financial Advisor or Contact
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UBS Financial Services Inc.

2009 Consolidated Form 1099

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 387,116.74	\$ 346,836.93	\$ 33,596.90	\$ -73,876.71	\$ -40,279.81
Long-term Gain/Loss:	407,633.53	280,621.09		-127,012.44	-127,012.44
Sub Total:	\$ 794,750.27	\$ 627,458.02	\$ 33,596.90	\$ -200,889.15	\$ -167,292.25
Total:	\$ 794,750.27	\$ 627,458.02	\$ 33,596.90	\$ -200,889.15	\$ -167,292.25

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABB LTD SPON ADR	Trade	190.000		05/08/09	08/18/09	3,399.49	3,152.48	247.01		Y
AFLAC INC	Trade	310.000		05/08/09	06/10/09	10,459.87	11,342.90	-883.03		Y
APACHE CORP	Trade	125.000		05/08/09	10/13/09	12,154.66	10,667.50	1,487.16		Y
ASTRAZENECA PLC SPON ADR	Trade	400.000		07/10/08	05/07/09	14,412.22	18,731.96	-4,319.74	1	Y
CEMEX S.A.B. DE C.V. SPON ADR	Trade	212.000		04/18/08	03/19/09	1,316.63	5,702.80	-4,386.17	1	Y
	Trade	220.000		04/24/08	03/19/09	1,366.31	6,066.40	-4,700.09	1	Y
	Trade	28.000		06/05/08	03/19/09	173.89	788.44	-614.55	1	Y

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Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6666/800-631-6666

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UBS Financial Services Inc.
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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CHECK POINT SOFTWARE TECH LTD	Trade	290,000		05/08/09	12/22/09	9,590.08	6,506.96	3,083.12		Y
CISCO SYSTEMS INC	Trade	320,000		05/08/09	07/27/09	6,957.61	5,992.45	965.16		Y
COCA COLA CO COM	Trade	145,000		05/08/09	06/04/09	7,121.93	6,207.38	914.55		Y
COMCAST CORP NEW SPECIAL CL A	Trade	1,035,000		05/08/09	09/14/09	17,069.50	15,367.89	1,701.61		Y
	Trade	195,000		08/17/09	09/14/09	3,215.99	2,684.29	531.70		Y
COVANCE INC	Trade	50,000		05/08/09	06/19/09	2,463.47	2,004.49	458.98		Y
	Trade	85,000		05/08/09	10/28/09	4,690.80	3,407.62	1,283.18		Y
DEVON ENERGY CORP NEW	Trade	115,000		05/08/09	11/19/09	7,985.72	7,387.49	598.23		Y
	Trade	65,000		06/30/09	11/19/09	4,513.67	3,494.05	1,019.62		Y
DONALDSON CO INC	Trade	225,000		05/08/09	05/19/09	7,534.42	7,739.33	-204.91		Y
DOW CHEMICAL	Trade	220,000		04/18/08	03/19/09	1,819.46	8,764.80	-6,945.34		Y
	Trade	190,000		04/24/08	03/19/09	1,571.35	7,639.90	-6,068.55		Y
FORD MOTOR CO CAP TR II DUE 01/15/2032 CONV 6.50% PREFERRED	Trade	220,000	7,161.00	04/18/08	04/07/09	2,350.57	7,335.78	-4,985.21		Y
	Trade	140,000	5,005.00	04/24/08	04/07/09	1,495.82	5,116.22	-3,620.40		Y
FPL GROUP INC	Trade	300,000		03/19/09	05/07/09	17,090.56	15,058.07	2,032.49		Y
FRANKLIN RESOURCES INC	Trade	125,000		05/08/09	11/13/09	14,129.88	8,017.38	6,112.50		Y
GOOGLE INC CL A	Trade	11,000		05/08/09	11/19/09	6,286.37	4,494.93	1,791.44		Y
HCP INC	Trade	180,000		04/18/08	04/07/09	3,521.50	6,674.40	-3,152.90		Y
	Trade	190,000		04/24/08	04/07/09	3,717.14	7,191.50	-3,474.36		Y
HEWLETT PACKARD CO	Trade	340,000		05/11/09	08/17/09	14,863.89	11,936.65	2,727.24		Y
JPMORGAN CHASE & CO	Trade	140,000		04/18/08	03/19/09	3,737.47	6,421.80	-2,684.33		Y
	Trade	140,000		04/24/08	03/19/09	3,737.47	6,538.00	-2,800.53		Y

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Account Number MR 95277 JE

Your Financial Advisor or Contact

JOEL F. ENGELHARDT

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KRAFT FOODS INC CL A	Trade	820.000		06/03/08	05/07/09	20,671.66	26,304.45	-5,632.79	1	Y
LILLY ELI & CO	Trade	560.000		06/03/08	05/07/09	20,092.45	26,996.82	-6,904.37	1	Y
MEDTRONIC INC	Trade	375.000		05/08/09	10/06/09	13,482.03	12,843.56	638.47		Y
MICROSOFT CORP	Trade	155.000		04/21/09	06/30/09	3,701.90	2,921.08	780.82		Y
MORGAN STANLEY	Trade	340.000		03/25/09	05/07/09	9,027.51	8,777.47	250.04		Y
NOKIA CORP SPONS ADR FINLAND ADR	Trade	990.000		01/07/09	05/07/09	14,277.21	15,761.89	-1,484.68	1	Y
STAPLES INC	Trade	405.000		05/08/09	11/02/09	8,839.30	8,070.76	768.54		Y
TRAVELERS COS INC/THE	Trade	210.000		03/30/09	05/07/09	8,034.60	8,292.02	-257.42		Y
US BANCORP DEL (NEW)	Trade	820.000		06/03/08	05/07/09	16,153.58	26,910.92	-10,757.34	1	Y
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	185.000		05/08/09	11/24/09	5,590.16	4,696.82	893.34		Y
XTO ENERGY INC	Trade	325.000		10/12/09	12/17/09	15,290.30	14,113.71	1,176.59		Y
ZIMMER HOLDINGS INC	Trade	95.000		05/08/09	09/25/09	5,039.50	4,437.31	602.19		Y
	Trade	205.000		05/08/09	10/26/09	11,159.97	9,575.24	1,584.73		Y
3M CO	Trade	85.000		01/07/09	12/21/09	6,929.02	4,980.83	1,948.19	1	Y
Total Short-Term Gain/Loss						346,836.93	387,116.74	-40,279.81		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALTRIA GROUP INC	Trade	90.000		11/30/06	05/07/09	1,538.22	1,723.82	-185.60	1	Y
	Trade	30.000		12/20/06	05/07/09	512.74	585.60	-72.86	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALTRIA GROUP INC	Trade	20,000		03/27/07	05/07/09	341.83	387.25	-45.42	1	Y
	Trade	290,000		04/07/08	05/07/09	4,956.49	6,356.95	-1,400.46	1	Y
	Trade	260,000		04/18/08	05/07/09	4,443.75	5,733.00	-1,289.25	1	Y
	Trade	260,000		04/24/08	05/07/09	4,443.75	5,860.40	-1,416.65	1	Y
AT&T INC	Trade	180,000		11/30/06	05/07/09	4,611.84	6,109.20	-1,497.36	1	Y
	Trade	60,000		12/20/06	05/07/09	1,537.28	2,113.20	-575.92	1	Y
	Trade	260,000		04/18/08	05/07/09	6,661.55	9,807.20	-3,145.65	1	Y
	Trade	190,000		04/24/08	05/07/09	4,868.05	7,391.00	-2,522.95	1	Y
BP PLC SPON ADR	Trade	110,000		11/30/06	05/07/09	5,069.88	7,487.70	-2,417.82	1	Y
	Trade	50,000		12/20/06	05/07/09	2,304.49	3,351.00	-1,046.51	1	Y
	Trade	20,000		03/27/07	05/07/09	921.80	1,284.60	-362.80	1	Y
	Trade	100,000		04/18/08	05/07/09	4,608.98	6,808.00	-2,199.02	1	Y
BRISTOL MYERS SQUIBB CO	Trade	110,000		04/24/08	05/07/09	5,069.88	7,474.50	-2,404.62	1	Y
	Trade	300,000		11/30/06	05/07/09	5,982.15	7,452.00	-1,469.85	1	Y
	Trade	120,000		12/20/06	05/07/09	2,392.86	3,084.00	-691.14	1	Y
	Trade	450,000		04/18/08	05/07/09	8,973.22	9,918.00	-944.78	1	Y
CEMEX S.A.B. DE C.V. SPON ADR	Trade	330,000		04/24/08	05/07/09	6,580.36	7,266.60	-686.24	1	Y
	Trade	230,000		11/30/06	03/19/09	1,428.42	7,504.90	-6,076.48	1	Y
	Trade	90,000		12/20/06	03/19/09	558.95	2,960.10	-2,401.15	1	Y
	Trade	30,000		03/27/07	03/19/09	186.32	1,000.80	-814.48	1	Y
CHEVRON CORP	Trade	8,000		06/12/07	03/19/09	49.68	307.12	-257.44	1	Y
	Trade	110,000		11/30/06	05/07/09	7,486.62	7,972.80	-486.18	1	Y
	Trade	40,000		12/20/06	05/07/09	2,722.41	2,961.60	-239.19	1	Y
	Trade	10,000		03/27/07	05/07/09	680.60	742.90	-62.30	1	Y
DIAGEO PLC NEW GB SPON ADR	Trade	30,000		04/18/08	05/07/09	2,041.81	2,788.20	-746.39	1	Y
	Trade	80,000		04/24/08	05/07/09	5,444.82	7,440.80	-1,995.98	1	Y
	Trade	50,000		11/30/06	05/08/09	2,650.93	3,868.00	-1,217.07	1	Y
	Trade	50,000		11/30/06	12/21/09	3,404.94	3,868.00	-463.06	1	Y
DOW CHEMICAL	Trade	15,000		12/20/06	12/21/09	1,021.48	1,164.60	-143.12	1	Y
	Trade	190,000		11/30/06	03/19/09	1,571.35	7,619.00	-6,047.65	1	Y
	Trade	70,000		12/20/06	03/19/09	578.92	2,838.50	-2,259.58	1	Y



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JOEL F. ENGELHARDT
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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FORD MOTOR CO CAP TR II	Trade	110.000	3,833.50	11/30/06	04/03/09	991.22	3,915.92	-2,924.70	1	Y
DUE 01/15/2032 CONV	Trade	70.000	2,355.50	12/08/06	04/03/09	630.78	2,407.95	-1,777.17	1	Y
8.50% PREFERRED	Trade	20.000	679.80	12/20/06	04/03/09	180.22	694.79	-514.57	1	Y
	Trade	50.000	1,699.50	12/20/06	04/07/09	534.22	1,739.22	-1,205.00	1	Y
GENL ELECTRIC CO	Trade	320.000		04/18/07	05/07/09	4,458.12	11,241.54	-6,783.42	1	Y
	Trade	280.000		04/18/08	05/07/09	3,900.86	9,111.20	-5,210.34	1	Y
	Trade	240.000		04/24/08	05/07/09	3,343.59	7,915.20	-4,571.61	1	Y
GENUINE PARTS CO	Trade	150.000		11/30/06	05/07/09	4,981.92	7,032.00	-2,070.08	1	Y
	Trade	60.000		12/20/06	05/07/09	1,984.77	2,855.40	-870.63	1	Y
	Trade	10.000		03/27/07	05/07/09	330.79	492.40	-161.61	1	Y
	Trade	230.000		04/18/08	05/07/09	7,608.27	9,745.10	-2,136.83	1	Y
	Trade	190.000		04/24/08	05/07/09	6,285.09	8,037.00	-1,751.91	1	Y
HCP INC	Trade	20.000		03/27/07	04/07/09	391.28	721.00	-329.72	1	Y
	Trade	50.000		03/28/08	04/07/09	978.19	1,701.70	-723.51	1	Y
HEALTH CARE REIT INC	Trade	150.000		05/29/07	05/07/09	4,876.67	6,592.17	-1,715.50	1	Y
	Trade	120.000		04/18/08	05/07/09	3,901.34	5,852.40	-1,951.06	1	Y
	Trade	150.000		04/24/08	05/07/09	4,876.67	7,402.50	-2,525.83	1	Y
HEINZ H J CO	Trade	170.000		11/30/06	05/07/09	6,020.31	7,565.00	-1,544.69	1	Y
	Trade	70.000		12/20/06	05/07/09	2,478.95	3,185.00	-706.05	1	Y
	Trade	10.000		03/27/07	05/07/09	354.14	470.40	-116.26	1	Y
	Trade	160.000		04/18/08	05/07/09	5,666.17	7,633.60	-1,967.43	1	Y
	Trade	170.000		04/24/08	05/07/09	6,020.31	8,012.10	-1,991.79	1	Y
JPMORGAN CHASE & CO	Trade	170.000		11/30/06	03/19/09	4,538.35	7,869.30	-3,330.95	1	Y
	Trade	60.000		12/20/06	03/19/09	1,601.77	2,896.80	-1,295.03	1	Y
	Trade	20.000		03/27/07	03/19/09	533.92	971.80	-437.88	1	Y
KIMBERLY CLARK CORP	Trade	160.000		04/19/07	05/07/09	8,209.47	11,413.01	-3,203.54	1	Y
	Trade	140.000		04/18/08	05/07/09	7,183.28	8,866.20	-1,682.92	1	Y
	Trade	120.000		04/24/08	05/07/09	6,157.10	7,657.20	-1,500.10	1	Y
PETROCHINA CO LTD SPON ADR	Trade	30.000		12/08/06	05/07/09	3,017.92	3,908.19	-890.27	1	Y
	Trade	10.000		12/20/06	05/07/09	1,005.97	1,336.50	-330.53	1	Y

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Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6666/800-631-6666

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UBS Financial Services Inc.
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PHILIP MORRIS INTL INC	Trade	90,000		11/30/06	05/07/09	3,658.60	3,960.27	-301.67	1	Y
	Trade	30,000		12/20/06	05/07/09	1,219.53	1,345.33	-125.80	1	Y
	Trade	20,000		03/27/07	05/07/09	813.02	889.67	-76.65	1	Y
	Trade	40,000		04/07/08	05/07/09	1,626.05	2,029.96	-403.91	1	Y
	Trade	130,000		04/18/08	05/07/09	5,284.85	6,441.50	-1,156.65	1	Y
	Trade	100,000		04/24/08	05/07/09	4,065.11	5,224.00	-1,158.89	1	Y
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	Trade	290,000		11/30/06	05/07/09	6,533.56	7,687.90	-1,154.34	1	Y
	Trade	120,000		12/20/06	05/07/09	2,703.54	3,246.00	-542.46	1	Y
	Trade	10,000		03/27/07	05/07/09	225.30	287.80	-62.50	1	Y
	Trade	140,000		04/18/08	05/07/09	3,154.13	4,774.00	-1,619.87	1	Y
	Trade	240,000		04/24/08	05/07/09	5,407.08	7,944.00	-2,536.92	1	Y
VERIZON COMMUNICATIONS INC	Trade	210,000		11/30/06	05/07/09	6,266.30	7,296.84	-1,030.54	1	Y
	Trade	80,000		12/20/06	05/07/09	2,387.16	2,918.33	-531.17	1	Y
	Trade	20,000		03/27/07	05/07/09	596.79	755.60	-158.81	1	Y
	Trade	220,000		04/18/08	05/07/09	6,564.70	7,937.60	-1,372.90	1	Y
	Trade	200,000		04/24/08	05/07/09	5,967.91	7,282.00	-1,314.09	1	Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	430,000		04/18/07	05/07/09	7,830.87	12,061.20	-4,230.33	1	Y
	Trade	180,000		04/18/08	05/07/09	3,278.04	5,594.40	-2,316.36	1	Y
	Trade	240,000		04/24/08	05/07/09	4,370.72	7,483.20	-3,112.48	1	Y
Total Long-Term Gain/Loss						280,621.09	407,633.53	-127,012.44		



Account Number MR 95276 JE
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6668/800-631-6666

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 47,237.85	\$ 53,282.90	\$ 11,533.92	\$ -5,488.87	\$ 6,045.05
Long-term Gain/Loss:	156,948.18	126,120.45	3,534.66	-34,362.39	-30,827.73
Sub Total:	\$ 204,186.03	\$ 179,403.35	\$ 15,068.58	\$ -39,851.26	\$ -24,782.68
Total:	\$ 204,186.03	\$ 179,403.35	\$ 15,068.58	\$ -39,851.26	\$ -24,782.68

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMAZON.COM INC	Trade	43,000		08/11/08	03/09/09	2,701.33	3,715.90	-1,014.57	1	Y
APPLE INC	Trade	3,000		09/23/08	03/09/09	255.61	404.92	-149.31	1	Y
AT&T INC	Trade	365,000		11/11/08	10/28/09	9,397.78	9,952.93	-555.15	1	Y
	Trade	7,000		03/09/09	10/26/09	180.23	157.08	23.15		Y
CATERPILLAR INC	Trade	161,000		03/09/09	07/30/09	6,988.41	3,921.96	3,066.45		Y
CISCO SYSTEMS INC	Trade	7,000		03/09/09	08/24/08	157.87	99.28	58.41		Y
EXELON CORP	Trade	17,000		03/09/09	05/28/09	807.35	758.54	48.81		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOOGLE INC CL A	Trade	1.000		02/04/09	03/09/09	298.64	349.99	-51.35	1	Y
JPMORGAN CHASE & CO	Trade	180.000		11/17/08	09/15/09	7,862.78	6,014.74	1,848.04	1	Y
	Trade	234.000		03/09/09	12/03/09	9,853.69	3,790.43	6,063.26		Y
KRAFT FOODS INC CL A	Trade	37.000		08/19/08	03/09/09	796.97	1,210.29	-413.32	1	Y
KROGER COMPANY	Trade	55.000		09/15/09	09/18/09	1,138.49	1,121.37	17.12		Y
MEDCO HEALTH SOLUTIONS INC	Trade	40.000		04/18/08	03/09/09	1,543.29	1,908.00	-364.71	1	Y
	Trade	90.000		04/24/08	03/09/09	3,472.40	4,484.70	-1,012.30	1	Y
ORACLE CORP	Trade	61.000		06/09/08	03/09/09	877.30	1,368.91	-491.61	1	Y
QUALCOMM INC	Trade	39.000		07/10/08	03/09/09	1,320.23	1,852.20	-531.97	1	Y
ST JUDE MEDICAL INC	Trade	121.000		05/20/08	03/09/09	3,993.94	4,898.52	-904.58	1	Y
XTO ENERGY INC	Trade	39.000		03/09/09	11/23/09	1,636.79	1,228.11	408.68		Y
Total Short-Term Gain/Loss						53,282.90	47,237.85	6,045.05		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACCENTURE LTD**NAME CHANGE 2009** CL A	Trade	88.000		01/24/07	03/09/09	2,423.50	3,211.05	-787.55	1	Y
AMAZON.COM INC	Trade	28.000		08/11/08	10/27/09	3,356.56	2,419.66	936.90	1	Y
APPLE INC	Trade	32.000		09/23/08	10/02/09	5,920.31	4,319.15	1,601.16	1	Y
BECTON DICKINSON & CO	Trade	34.000		12/01/06	03/09/09	2,161.02	2,440.86	-279.84	1	Y
CATERPILLAR INC	Trade	65.000		12/01/06	07/30/09	2,821.41	3,965.00	-1,143.59	1	Y
	Trade	25.000		12/20/06	07/30/09	1,085.16	1,541.25	-456.09	1	Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CATERPILLAR INC	Trade	20,000		04/18/08	07/30/09	868.12	1,699.60	-831.48	1	Y
	Trade	70,000		04/24/08	07/30/09	3,038.44	5,706.40	-2,667.96	1	Y
CISCO SYSTEMS INC	Trade	190,000		12/01/06	08/24/09	4,279.64	5,124.02	-844.38	1	Y
	Trade	50,000		12/20/06	08/24/09	1,126.22	1,372.00	-245.78	1	Y
	Trade	30,000		03/27/07	08/24/09	675.73	776.70	-100.97	1	Y
	Trade	140,000		04/18/08	08/24/09	3,153.42	3,448.07	-294.65	1	Y
	Trade	170,000		04/24/08	08/24/09	3,829.15	4,356.68	-527.53	1	Y
COLGATE PALMOLIVE CO	Trade	33,000		12/01/06	03/09/09	1,820.92	2,144.67	-323.75	1	Y
ECOLAB INC	Trade	18,000		03/27/07	03/09/09	533.51	769.68	-236.17	1	Y
EXELON CORP	Trade	90,000		01/16/08	05/28/09	4,274.22	7,342.04	-3,067.82	1	Y
	Trade	25,000		04/18/08	05/28/09	1,187.28	2,175.00	-987.72	1	Y
	Trade	55,000		04/24/08	05/28/09	2,612.02	4,723.95	-2,111.93	1	Y
GILEAD SCIENCES INC	Trade	106,000		10/30/07	03/09/09	4,669.74	4,809.84	-140.10	1	Y
JOHNSON & JOHNSON COM	Trade	43,000		05/08/07	03/09/09	2,028.81	2,732.98	-704.15	1	Y
JPMORGAN CHASE & CO	Trade	100,000		11/17/08	12/03/09	4,210.98	3,341.52	869.46	1	Y
KROGER COMPANY	Trade	157,000		12/21/07	03/09/09	3,093.13	4,168.41	-1,075.28	1	Y
	Trade	128,000		12/21/07	09/18/09	2,649.57	3,398.45	-748.88	1	Y
	Trade	115,000		04/18/08	09/18/09	2,380.47	2,862.35	-481.88	1	Y
	Trade	180,000		04/24/08	09/18/09	3,725.95	4,703.40	-977.45	1	Y
MEDCO HEALTH SOLUTIONS INC	Trade	170,000		08/24/07	03/09/09	6,559.00	7,220.54	-661.54	1	Y
MONSANTO CO NEW	Trade	5,000		12/01/06	03/09/09	366.79	239.65	127.14	1	Y
ORACLE CORP	Trade	579,000		06/09/08	10/01/09	11,665.16	12,993.46	-1,328.30	1	Y
PRAXAIR INC	Trade	12,000		12/01/06	03/09/09	653.27	747.60	-94.33	1	Y
PROCTER & GAMBLE CO	Trade	40,000		12/01/06	03/09/09	1,800.87	2,516.00	-715.13	1	Y

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 Your Financial Advisor or Contact
 JOEL F. ENGELHARDT
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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PROCTER & GAMBLE CO	Trade	40,000		12/01/06	06/16/09	2,027.52	2,516.00	-488.48 1		Y
	Trade	20,000		12/20/06	06/16/09	1,013.76	1,288.60	-274.84 1		Y
	Trade	10,000		03/27/07	06/16/09	506.88	633.80	-126.92 1		Y
	Trade	35,000		04/18/08	06/16/09	1,774.08	2,350.25	-576.17 1		Y
	Trade	80,000		04/24/08	06/16/09	4,055.04	5,360.00	-1,304.96 1		Y
ST JUDE MEDICAL INC	Trade	254,000		05/20/08	10/26/09	8,761.58	10,282.83	-1,521.25 1		Y
THERMO FISHER SCIENTIFIC INC	Trade	14,000		10/08/07	03/09/09	465.35	810.61	-345.26 1		Y
	Trade	121,000		10/08/07	05/01/09	4,388.22	7,005.98	-2,617.76 1		Y
	Trade	35,000		04/18/08	05/01/09	1,269.32	1,980.30	-710.98 1		Y
	Trade	95,000		04/24/08	05/01/09	3,445.29	5,123.35	-1,678.06 1		Y
XTO ENERGY INC	Trade	150,000		06/14/07	11/23/09	6,295.36	7,533.25	-1,237.89 1		Y
	Trade	75,000		04/24/08	11/23/09	3,147.68	4,793.25	-1,645.57 1		Y
Total Long-Term Gain/Loss						126,120.45	156,948.18	-30,827.73		

Account Number MR 95273 JE
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
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UBS Financial Services Inc.

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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

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Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

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Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 36,474.67	\$ 34,350.60	\$ 434.45	\$ -2,558.52	\$ -2,124.07
Long-term Gain/Loss:	13,324.90	12,879.83		-445.07	-445.07
Sub Total:	\$ 49,799.57	\$ 47,230.43	\$ 434.45	\$ -3,003.59	\$ -2,569.14
Total:	\$ 49,799.57	\$ 47,230.43	\$ 434.45	\$ -3,003.59	\$ -2,569.14

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US TSY NOTE 04.000 % DUE 11/15/12 DTD 11/15/02 FC 05/15/03	Trade	5,000.000		08/18/08	03/10/09	5,426.95	5,220.31	206.64	1	Y
US TSY NOTE 04.000 % DUE 03/15/10 DTD 03/15/05 FC 09/15/05	Trade	10,000.000		05/12/08	05/05/09	10,298.44	12,120.20	-1,821.76	1	Y
US TSY NOTE 03.875 % DUE 07/15/10 DTD 07/15/05 FC 01/15/08	Trade	3,000.000		08/05/09	12/23/09	3,061.75	3,096.10	-34.35		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
US TSY NOTE 04.500 % DUE 02/15/16 DTD 02/15/08 FC 08/15/08	Trade	4,000.000		05/12/08	03/10/09	4,501.56	4,273.75	227.81	1	Y
US TSY NOTE 04.250 % DUE 11/15/17 DTD 11/15/07 FC 05/15/08	Trade	5,000.000		04/21/09	07/10/09	5,375.96	5,599.63	-223.67		Y
	Trade	1,000.000		05/05/09	07/10/09	1,075.19	1,095.78	-20.59		Y
WELLS FARGO & CO NEW 04.950% 101613 DTD101603 FC041604 NOTES	Trade	5,000.000		05/07/08	04/09/09	4,610.75	5,068.90	-458.15	1	Y
Total Short-Term Gain/Loss						34,350.60	36,474.67	-2,124.07		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FNMA NTS 04.750 % DUE 121510 DTD 112305 FC 12152005	Trade	8,000.000		05/02/08	12/23/09	8,329.83	8,330.00	-.17	1	Y
INTL LEASE FIN CORP NTS 04.875% 090110 DTD082305 FC030106	Trade	5,000.000		05/07/08	08/18/09	4,550.00	4,994.90	-444.90	1	Y
Total Long-Term Gain/Loss						12,879.83	13,324.90	-445.07		

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JOEL F. ENGELHARDT
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2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

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	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 16,483.08	\$ 19,435.40	\$ 3,215.25	\$ -262.93	\$ 2,952.32
Sub Total:	\$ 16,483.08	\$ 19,435.40	\$ 3,215.25	\$ -262.93	\$ 2,952.32
Total:	\$ 16,483.08	\$ 19,435.40	\$ 3,215.25	\$ -262.93	\$ 2,952.32

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JOEL F. ENGELHARDT
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Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALCATEL-LUCENT SPON ADR	Trade	90,000		05/12/09	08/26/09	338.94	223.20	115.74		Y
	Trade	126,000		05/12/09	08/27/09	472.52	312.48	160.04		Y
AREVA SA ADR	Trade	188,000		05/13/09	06/25/09	1,076.10	1,029.15	46.95		Y
BARRICK GOLD CORP	Trade	9,000		05/12/09	10/20/09	341.05	313.36	27.69		Y
	Trade	13,000		05/12/09	11/19/09	568.93	452.62	116.31		Y
BP PLC SPON ADR	Trade	14,000		05/12/09	10/27/09	811.72	663.31	148.41		Y
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR	Trade	15,000		05/12/09	07/22/09	438.81	427.70	11.11		Y
	Trade	20,000		05/12/09	07/23/09	585.55	570.27	15.28		Y
FUJIFILM HOLDINGS CORP ADR	Trade	16,000		05/12/09	07/21/09	484.73	413.67	71.06		Y
IVANHOE MINES LTD	Trade	35,000		05/12/09	09/04/09	393.56	236.00	157.56		Y
	Trade	10,000		05/12/09	11/09/09	127.94	67.43	60.51		Y
	Trade	30,000		05/12/09	11/16/09	382.98	202.28	180.70		Y
MAGNA INTL INC CL A SUB VTG CANADA ORD	Trade	10,000		05/12/09	08/06/09	491.98	346.20	145.78		Y
	Trade	20,000		05/12/09	08/07/09	983.60	692.39	291.21		Y
	Trade	10,000		05/12/09	08/10/09	489.77	346.20	143.57		Y
	Trade	11,000		05/12/09	11/06/09	554.13	380.82	173.31		Y
NEWMONT MINING CORP (HOLDING CO)	Trade	17,000		05/12/09	07/07/09	673.41	746.30	-72.89		Y
	Trade	25,000		05/12/09	07/09/09	957.12	1,097.50	-140.38		Y
	Trade	26,000		05/12/09	10/29/09	1,105.65	1,141.40	-35.75		Y
NOVARTIS AG SPON ADR	Trade	16,000		05/12/09	12/02/09	899.89	619.35	280.54		Y
SANOFLAVENTIS SA SPON ADR	Trade	12,000		05/12/09	10/28/09	461.43	357.93	103.50		Y
SIEMENS A G SPON ADR	Trade	5,000		05/12/09	10/29/09	478.40	348.74	129.66		Y

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Account Number MR 95474 JE Page 9 of 9 UBS Financial Services Inc.
Your Financial Advisor or Contact
JOEL F. ENGELHARDT
314-721-6666/800-631-6666

2009 Consolidated Form 1099

P14L367033-X1

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	27.000		05/12/09	10/02/09	414.78	267.83	146.95		Y
STORA ENSO OYJ REPSTG SER R SHS SPON ADR	Trade	50.000		05/12/09	08/06/09	319.01	305.97	13.04		Y
	Trade	101.000		05/12/09	08/07/09	636.08	618.06	18.02		Y
	Trade	93.000		05/12/09	08/19/09	613.32	569.10	44.22		Y
	Trade	25.000		05/12/09	09/15/09	180.35	152.99	27.36		Y
	Trade	56.000		05/12/09	09/18/09	411.97	342.69	69.28		Y
SUNCOR ENERGY INC NEW	Trade	17.000		05/12/09	08/20/09	541.56	514.92	26.64		Y
	Trade	17.000		05/12/09	10/01/09	569.55	514.92	54.63		Y
SUNCOR INC **MERGER EFF: 07/2009**	Trade	14.000		05/12/09	07/15/09	410.14	424.05	-13.91		Y
TDK CORP ADR JAPAN ADR	Trade	12.000		05/12/09	08/03/09	634.60	558.02	76.58		Y
	Trade	12.000		05/12/09	08/24/09	692.05	558.02	134.03		Y
TECHNIP SA NEW SPON ADR	Trade	15.000		05/12/09	07/24/09	893.78	668.21	225.57		Y
Total Short-Term Gain/Loss						19,435.40	16,483.08	2,952.32		



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YEAR END SUMMARY

ENGELHARDT FAMILY FOUNDATION
IAS/SCHAFFER CULLEN
901 KENT RD

Page 1 of 1 Account Number G69-0125468 Period Ending 12/31/09

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
BANK OF AMERICA CORPORATION	210	04/18/2008	38.61	8,108.10	01/16/2009	7.1483	1,501.13	(6,606.97) ST
BANK OF AMERICA CORPORATION	210	04/24/2008	38.08	7,992.60	01/16/2009	7.1483	1,501.13	(6,491.47) ST
BANK OF AMERICA CORPORATION	30	10/08/2008	32.3888	971.66	01/16/2009	7.1483	214.45	(757.21) ST
SUB-TOTAL SHORT TERM				17,072.36			3,216.71	(13,855.65)
LONG TERM								
BANK OF AMERICA CORPORATION	140	11/30/2006	53.86	7,540.40	01/16/2009	7.1483	1,000.76	(6,539.64) LT
BANK OF AMERICA CORPORATION	60	12/20/2006	53.55	3,213.00	01/16/2009	7.1483	428.80	(2,784.10) LT
BANK OF AMERICA CORPORATION	30	03/27/2007	51.83	1,554.90	01/16/2009	7.1483	214.45	(1,340.45) LT
BANK OF AMERICA CORPORATION	20	09/28/2007	50.27	1,005.40	01/16/2009	7.1483	142.97	(862.43) LT
SUB-TOTAL LONG TERM				13,313.70			1,787.08	(11,526.62)
TOTAL REALIZED GAIN/(LOSS)				30,386.06			5,003.79	(25,382.27)

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ENGELHARDT FAMILY FOUNDATION
IAS/CONGRESS ASSET MGMT
901 KENT RD

Realized Gain/(Loss) Transactions

Page 1 of 1 Account Number 069-0125450 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
ADOBE SYS INC	110	04/18/2008	36.2089	3,983.09	02/03/2009	19.4518	2,139.68	(1,843.41) ST
ADOBE SYS INC	135	04/24/2008	37.0673	5,004.09	02/03/2009	19.4518	2,625.98	(2,378.11) ST
SUB-TOTAL SHORT TERM				8,987.18			4,765.66	(4,221.52)
LONG TERM								
ADOBE SYS INC	130	12/01/2008	39.2487	5,102.33	02/03/2009	19.4518	2,528.72	(2,573.61) LT
ADOBE SYS INC	30	12/20/2008	41.98	1,259.40	02/03/2009	19.4518	583.55	(575.85) LT
SUB-TOTAL LONG TERM				6,361.73			3,112.27	(3,249.46)
TOTAL REALIZED GAIN/(LOSS)				15,348.91			7,877.93	(7,470.98)

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YEAR END SUMMARY

ENGELHARDT FAMILY FOUNDATION
IASMETWEST
901 KENT RD

Page 1 of 2 Account Number G69-0125476 Period Ending 12/31/09

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
HARTFORD FINL SVCS GROUP INC	87	11/24/2008	6.0594	530.65	01/08/2009	18.5957	1,617.82	1,087.17 ST
ADVANCED MEDICAL OPTICS INC	75	04/18/2008	20.02	1,501.50	01/20/2009	21.67	1,625.23	123.73 ST
NAVIGANT CONSULTING INC	134	04/18/2008	19.20	2,572.80	02/10/2009	13.8456	1,855.29	(717.51) ST
CHEMED CORP NEW	12	03/12/2008	44.8942	538.73	02/12/2009	39.7065	476.47	(62.26) ST
CHEMED CORP NEW	22	04/18/2008	40.69	895.18	02/12/2009	39.7065	873.54	(21.64) ST
SUB-TOTAL SHORT TERM				6,038.86			6,448.35	489.49
LONG TERM								
EMERGENCY MEDICAL SVCS CORP CL A	34	10/10/2007	31.3387	1,065.52	01/02/2009	37.0882	1,261.33	195.81 LT
ADVANCED MEDICAL OPTICS INC	42	12/01/2008	35.00	1,470.00	01/12/2009	21.62	908.03	(561.97) LT
ADVANCED MEDICAL OPTICS INC	12	12/20/2008	35.53	426.38	01/12/2009	21.62	259.44	(166.92) LT
ADVANCED MEDICAL OPTICS INC	8	02/08/2007	37.00	296.00	01/12/2009	21.62	172.96	(123.04) LT
ADVANCED MEDICAL OPTICS INC	13	03/27/2007	37.65	489.45	01/12/2009	21.62	281.06	(208.39) LT
ADVANCED MEDICAL OPTICS INC	10	08/24/2007	29.8052	298.05	01/12/2009	21.62	216.20	(81.85) LT
ADVANCED MEDICAL OPTICS INC	12	08/24/2007	29.8052	357.68	01/20/2009	21.67	260.04	(97.62) LT
J & J SNACK FOODS CORP	22	12/01/2006	37.96	835.12	02/02/2009	35.5392	781.86	(53.26) LT
J & J SNACK FOODS CORP	10	12/20/2006	41.99	418.90	02/02/2009	35.5392	355.39	(64.51) LT

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YEAR END
SUMMARY

ENGELHARDT FAMILY FOUNDATION
IAS/METWEST
901 KENT RD

Page 2 of 2 Account Number G69-0125478 Period Ending 12/31/09

Description	Ltd Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
J & J SNACK FOODS CORP	7	03/27/2007	38.88	272.16	02/02/2009	35.5392	248.77	(23.39) LT
SUB-TOTAL LONG TERM				5,930.22			4,745.08	(1,185.14)
TOTAL REALIZED GAIN/(LOSS)				11,969.08			11,193.43	(775.65)

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THE ENGEHARDT FAMILY FOUNDATION
IAS/MADISON TAXABLE FIXED INCM
901 KENT ROAD

Page 1 of 1 Account Number G68-0127258 Period Ending 12/31/09

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
UNITED STATES TREAS NTS B/E 4.25% DUE 11/15/17	1,000	06/16/2008	100.1757	1,001.76	01/08/2009	114.6015	1,146.02	144.26 ST
UNITED STATES TREAS NTS B/E 3.875% DUE 05/15/18	4,000	10/10/2008	100.7308	4,028.23	01/08/2009	112.2031	4,488.13	458.90 ST
SUB-TOTAL SHORT TERM				5,030.99			5,634.15	603.16
TOTAL REALIZED GAIN/(LOSS)				5,030.99			5,634.15	603.16

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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/MOODY ALDRICH SM CAP
901 KENT ROAD

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
CLEARWATERPAPER CORP	25	05/01/2008	32.5404	813.51	01/02/2009	9.2066	230.16	(583.35) ST
DRESS BARN INC	120	05/01/2008	13.4147	1,609.77	01/07/2009	10.2211	1,226.52	(383.25) ST
EAST WEST BANCORP INC	150	07/18/2008	10.5221	1,578.31	01/08/2009	12.9887	1,948.29	369.98 ST
EAST WEST BANCORP INC	70	07/18/2008	10.5221	736.55	01/09/2009	12.5027	875.18	138.63 ST
EAST WEST BANCORP INC	60	07/23/2008	12.437	746.22	01/09/2009	12.5027	750.18	3.94 ST
EAGLE MATERIALS INC	110	11/18/2008	18.3049	2,013.54	01/12/2009	19.1339	2,104.71	91.17 ST
CULLEN FROST BANKERS INC	30	05/01/2008	55.99	1,679.70	01/13/2009	44.90	1,346.99	(332.71) ST
HANCOCK HLOG CO	30	05/01/2008	41.725	1,251.75	01/13/2009	38.3654	1,150.95	(100.80) ST
VALLEY NATL BANCORP	90	05/01/2008	18.5714	1,675.63	01/13/2009	17.3365	1,560.28	(115.35) ST
ALLEGIANTE TRAVEL CO	40	10/22/2008	37.7703	1,510.81	01/15/2009	34.1651	1,366.59	(144.22) ST
GREEN BANKSHARES INC COM NEW	100	10/17/2008	20.3925	2,039.25	01/15/2009	10.2104	1,021.03	(1,018.22) ST
HARLEYSVILLE GROUP INC	20	05/01/2008	36.8531	737.06	01/15/2009	30.2207	604.40	(132.66) ST
INSTEEL INDUSTRIES INC	30	12/02/2008	8.5686	257.08	01/15/2009	9.8487	295.46	38.38 ST
BOSTON PRIVATE FINL HLDGS INC	320	07/25/2008	7.2858	2,331.46	01/21/2009	4.0238	1,287.81	(1,043.65) ST
BOSTON PRIVATE FINL HLDGS INC	100	08/05/2008	8.2619	826.19	01/21/2009	4.0238	402.38	(423.81) ST
ARBA RESOURCES INC	20	10/17/2008	26.6826	533.65	01/28/2009	25.3503	507.00	(26.65) ST
ROBBINS & MYERS INC	90	11/13/2008	19.0637	1,715.73	01/26/2009	16.7709	1,509.37	(206.36) ST

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THE ENGELHARDT FAMILY FOUNDATION
IAS/MOODY ALDRICH SM CAP
901 KENT ROAD

Page 2 of 6 Account Number 068-0127241 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
ROBBINS & MYERS INC	60	12/01/2008	20.5691	1,234.15	01/26/2009	16.7709	1,006.25	(227.90) ST
ST MARY LD & EXPL CO	10	10/17/2008	22.65	226.50	01/26/2009	20.5003	205.00	(21.50) ST
ST MARY LD & EXPL CO	10	10/20/2008	25.3253	253.25	01/26/2009	20.5003	205.00	(48.25) ST
STEINER LEISURE LTD ORD	10	05/01/2008	37.12	371.20	01/28/2009	26.34	263.40	(107.80) ST
STEINER LEISURE LTD ORD	70	05/13/2008	37.065	2,594.55	01/28/2009	26.34	1,843.79	(750.76) ST
AIRTRAN HLDGS INC	310	10/29/2008	3.4309	1,063.58	01/28/2009	4.2603	1,320.68	257.10 ST
AIRTRAN HLDGS INC	280	12/28/2008	4.5024	1,260.67	01/28/2009	4.2603	1,192.88	(67.79) ST
AIRTRAN HLDGS INC	140	01/12/2009	4.2005	588.07	01/28/2009	4.2603	596.44	8.37 ST
AMERICAN WOODMARKCORP	90	06/10/2008	24.2595	2,183.36	01/28/2009	15.4826	1,393.43	(789.93) ST
AMERICAN WOODMARKCORP	30	08/06/2008	24.1441	724.32	01/28/2009	15.4826	464.48	(259.84) ST
AMERICAN WOODMARKCORP	50	09/16/2008	24.4123	1,220.62	01/28/2009	15.4826	774.13	(446.49) ST
ARENA RESOURCES INC	40	10/17/2008	28.6828	1,067.31	01/28/2009	26.1566	1,046.26	(21.05) ST
CSG SYS INTL INC	110	10/30/2008	16.5558	1,821.14	01/28/2009	15.1901	1,670.90	(150.24) ST
CSG SYS INTL INC	80	11/03/2008	16.269	1,301.52	01/28/2009	15.1901	1,215.20	(86.32) ST
CAREER EDUCATION CORP	80	12/08/2008	17.7765	1,422.12	01/28/2009	21.2551	1,700.40	278.28 ST
CASEYS GEN STORES INC	70	11/18/2008	30.3213	2,122.49	01/28/2009	22.8702	1,600.91	(521.58) ST
CHURCH & DWIGHT INC	40	05/01/2008	56.55	2,262.00	01/28/2009	54.56	2,182.39	(79.61) ST
CHURCH & DWIGHT INC	20	08/06/2008	59.9723	1,199.45	01/28/2009	54.56	1,091.19	(108.26) ST
CORINTHIAN COLLEGES INC	80	12/08/2008	15.6383	1,251.06	01/28/2009	16.00	1,439.99	188.93 ST
CORN PRODS INTL INC	70	11/19/2008	26.9507	1,886.55	01/28/2009	25.5287	1,787.00	(99.55) ST



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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/MOODY ALDRICH SM CAP
901 KENT ROAD

Page 3 of 6 Account Number 060-0127241 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
CULLEN FROST BANKERS INC	40	05/01/2008	55.99	2,239.60	01/28/2009	46.18	1,847.18	(392.42) ST
DRESS BARN INC	70	05/01/2008	13,4147	939.03	01/28/2009	9,7101	678.70	(259.33) ST
DRESS BARN INC	100	05/15/2008	14,4174	1,441.74	01/28/2009	9,7101	971.01	(470.73) ST
DRIL-QUIP INC	70	01/26/2009	24,9172	1,744.20	01/28/2009	28,429	1,850.01	105.81 ST
EMERGENCYMEDICAL SVCS CORP CL A	40	11/13/2008	35,8207	1,432.83	01/28/2009	36,3766	1,455.06	22.23 ST
EMERGENCYMEDICAL SVCS CORP CL A	30	12/04/2008	37,3983	1,121.98	01/28/2009	36,3766	1,061.29	(30.69) ST
EMERGENCYMEDICAL SVCS CORP CL A	20	12/29/2008	36.16	723.20	01/28/2009	36,3768	727.53	4.33 ST
FIRST POTOMACRLTY TR REIT	170	09/08/2008	17,9092	3,044.56	01/28/2009	9,1467	1,554.94	(1,489.62) ST
FRANKLIN ELEC INC	50	09/30/2008	43,7863	2,189.42	01/28/2009	27.56	1,377.99	(811.43) ST
GENESEE & WYOINC CL A	70	05/01/2008	38.42	2,549.40	01/28/2009	28,6191	2,003.32	(546.08) ST
GRACE W R & CO DEL NEW	220	01/05/2009	6,7819	1,492.02	01/28/2009	6,5022	1,430.48	(61.53) ST
HAEMONETICS CORP	40	09/08/2008	64.89	2,599.60	01/28/2009	60,0485	2,401.92	(197.68) ST
HANCOCKHLDG CO	20	05/01/2008	41,725	834.50	01/28/2009	29,6045	592.09	(242.41) ST
HANCOCKHLDG CO	30	05/13/2008	43,6049	1,308.15	01/28/2009	29,6045	888.13	(420.02) ST
HARLEYSVILLE GROUP INC	50	05/01/2008	36,8531	1,842.65	01/28/2009	29,702	1,485.09	(357.56) ST
HATTERAS FINL CORP REIT	60	01/13/2009	27,0081	1,350.41	01/28/2009	26,7668	1,338.33	(12.08) ST
HEXCEL CORP NEW	150	10/30/2008	12,1413	1,821.20	01/28/2009	8.26	1,238.99	(582.21) ST
HOLLY CORP COM PAR \$0.01	100	01/16/2009	21,5748	2,157.48	01/28/2009	22,7136	2,271.34	113.86 ST

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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
JASMOODY ALDRICH SM CAP
901 KENT ROAD

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Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
ICU MED INC	40	11/13/2008	30.8312	1,233.25	01/28/2009	31.6201	1,264.79	31.54 ST
INSTEEL INDUSTRIES INC	120	12/02/2008	8.5686	1,028.23	01/28/2009	7.856	942.71	(85.52) ST
JDA SOFTWAREGROUP INC	110	11/03/2008	13.7395	1,511.35	01/28/2009	12.291	1,352.00	(159.35) ST
JDA SOFTWAREGROUP INC	80	11/17/2008	12.1896	975.17	01/28/2009	12.291	983.27	8.10 ST
JETBLUE AIRWAYS CORP	280	11/03/2008	5.8532	1,638.90	01/28/2009	6.2871	1,754.76	115.88 ST
JETBLUE AIRWAYS CORP	290	12/29/2008	6.504	1,886.16	01/28/2009	6.2671	1,817.45	(68.71) ST
KBR INC	180	10/20/2008	16.4808	2,968.34	01/28/2009	15.63	2,813.38	(154.96) ST
KNIGHT CAPITAL GROUPING CL A	200	05/01/2008	18.8148	3,762.96	01/28/2009	18.5615	3,712.28	(50.68) ST
M D C HLDGS INC	60	05/01/2008	44.43	2,665.80	01/28/2009	32.1511	1,928.05	(736.75) ST
MFA FINANCIAL INC REIT	290	01/13/2009	6.0754	1,761.87	01/28/2009	6.03	1,748.69	(13.18) ST
MFA FINANCIAL INC REIT	140	01/15/2009	6.1473	860.62	01/28/2009	6.03	844.19	(16.43) ST
MAXIMUS INC	50	12/03/2008	31.6867	1,584.34	01/28/2009	38.35	1,917.48	333.14 ST
NV ENERGY INC	170	05/01/2008	13.65	2,320.50	01/28/2009	10.9431	1,860.33	(460.17) ST
NV ENERGY INC	130	11/17/2008	8.9566	1,169.56	01/28/2009	10.9431	1,422.60	253.04 ST
OCEANEERING INTL INC	60	05/01/2008	62.95	3,777.00	01/28/2009	33.6022	2,016.11	(1,760.89) ST
OLIN CORP COM PAR \$1	130	05/01/2008	19.97	2,596.10	01/28/2009	14.2389	1,851.05	(745.05) ST
OLIN CORP COM PAR \$1	80	10/20/2008	17.0069	1,360.55	01/28/2009	14.2389	1,139.11	(221.44) ST
PAPA JOHNS INTL INC	120	05/01/2008	26.727	3,207.24	01/28/2009	17.8491	2,141.88	(1,065.36) ST

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THE ENGELHARDT FAMILY FOUNDATION
IAS/MOODY ALDRICH SM CAP
901 KENT ROAD

Page 5 of 6 Account Number 669-0127241 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
POTLATCH CORP NEW REIT	90	05/01/2008	36.531	3,287.79	01/28/2009	28.8952	2,420.55	(867.24) ST
PROSPERITY BANCSHARES INC	80	08/05/2008	32.9866	2,968.97	01/28/2009	27.336	2,460.22	(508.75) ST
RYLAND GROUP INC	90	05/01/2008	32.98	2,968.20	01/28/2009	16.2892	1,466.93	(1,501.27) ST
ST MARY LD & EXPL CO	50	10/20/2008	25.3253	1,266.27	01/28/2009	19.5764	978.81	(287.46) ST
SANDRIDGE ENERGY INC	170	11/13/2008	9.9818	1,698.61	01/28/2009	7.1472	1,215.01	(483.60) ST
SEACHANGEINTL INC	210	10/16/2008	7.2659	1,525.84	01/28/2009	6.2624	1,319.31	(206.53) ST
SEACHANGEINTL INC	130	10/20/2008	7.9907	1,038.79	01/28/2009	6.2624	816.71	(222.08) ST
SMITH A O	30	05/01/2008	31.78	953.70	01/28/2009	29.90	898.99	(56.71) ST
SMITH A O	40	05/13/2008	35.8579	1,434.32	01/28/2009	29.90	1,185.99	(238.33) ST
SMITH A O	20	08/05/2008	39.0631	781.26	01/28/2009	29.90	598.00	(183.26) ST
SPARTAN STORES INC	140	10/03/2008	25.474	3,566.36	01/28/2009	19.8131	2,773.82	(792.54) ST
TELEDYNE TECHNOLOGIES INC	70	05/01/2008	58.76	4,113.20	01/28/2009	30.45	2,131.48	(1,981.72) ST
TELEDYNE TECHNOLOGIES INC	10	08/05/2008	63.3292	633.29	01/28/2009	30.45	304.50	(328.79) ST
TOWERGROUP INC	110	12/30/2008	25.0028	2,750.31	01/28/2009	25.2885	2,782.82	32.51 ST
UIL HDG CORP	70	09/30/2008	34.1094	2,387.68	01/28/2009	27.90	1,952.99	(434.67) ST
UIL HDG CORP	30	10/07/2008	34.7321	1,041.96	01/28/2009	27.90	836.89	(204.87) ST
VALLEY NATL BANCORP	9	05/01/2008	18.5714	167.56	01/28/2009	14.02	126.18	(41.38) ST
VALLEY NATL BANCORP	100	05/01/2008	18.5714	1,861.81	01/28/2009	14.02	1,401.99	(459.82) ST
VALLEY NATL BANCORP	40	08/05/2008	20.5786	823.14	01/28/2009	14.02	560.79	(262.35) ST
WATTS WATER TECHNOLOGIES INC CL A	100	08/19/2008	31.7261	3,172.61	01/28/2009	23.8445	2,384.43	(788.18) ST

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YEAR END
SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/MOODY ALDRICH SM CAP
901 KENT ROAD

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Account Number 089-0127241
Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
WESTERNREF INC	150	01/28/2009	11.093	1,663.95	01/28/2009	11.331	1,699.65	35.70
WINN DIXIE STORES INC COM NEW	90	05/01/2008	18.2449	1,642.04	01/28/2009	14.0501	1,264.50	(377.54)
WINN DIXIE STORES INC COM NEW	90	05/13/2008	18.522	1,666.98	01/28/2009	14.0501	1,264.50	(402.48)
SUB-TOTAL SHORT TERM				158,664.63			130,755.51	(27,909.12)
TOTAL REALIZED GAIN/(LOSS)				158,664.63			130,755.51	(27,909.12)

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THE ENGELHARDT FAMILY FOUNDATION
 IAS/DAVIS LG CAP VALUEE
 901 KENT ROAD

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
JPMORGANCHASE & CO COM	40	05/01/2008	48.00	1,920.00	01/23/2009	23.9548	958.18	(961.82) ST
TYCO INTL LTD BERMUDA	10	05/01/2008	44.60	446.00	01/28/2009	22.24	222.38	(223.61) ST
TYCO ELECTRONICS LTD COM NEW	15	05/01/2008	39.25	588.75	01/28/2009	14.41	216.14	(372.61) ST
TRANSCOCEAN LTD REG SHS	10	05/01/2008	146.07	1,460.70	01/28/2009	55.05	550.49	(900.21) ST
ACILENT TECHNOLOGIES INC	15	05/01/2008	30.51	457.65	01/28/2009	19.35	290.24	(167.41) ST
ACILENT TECHNOLOGIES INC	10	07/28/2008	35.9463	359.48	01/28/2009	19.35	193.50	(165.98) ST
AMERICAN EXPRESS CO	95	05/01/2008	48.72	4,628.40	01/28/2009	17.81	1,691.94	(2,936.46) ST
AMERIPRISE FINL INC	20	05/01/2008	47.66	953.20	01/28/2009	22.55	450.99	(502.21) ST
BANK OF NEW YORK MELLON CORP	35	05/01/2008	43.91	1,536.85	01/28/2009	26.34	921.89	(614.96) ST
BANK OF NEW YORK MELLON CORP	15	11/18/2008	26.9008	403.51	01/28/2009	26.34	395.10	(8.41) ST
BED BATH & BEYOND INC	15	05/01/2008	32.30	484.50	01/28/2009	25.25	378.74	(105.76) ST
BERKSHIRE HATHAWAY INC DEL CL B	1	05/01/2008	4,435.00	4,435.00	01/28/2009	2,929.00	2,928.98	(1,506.02) ST
BLOCK H & R INC	55	05/01/2008	22.31	1,227.05	01/28/2009	22.10	1,215.49	(11.56) ST
CVS CAREMARK CORPORATION	50	05/01/2008	39.09	1,954.50	01/28/2009	28.05	1,402.48	(552.02) ST
CVS CAREMARK CORPORATION	15	11/26/2008	27.1905	407.86	01/28/2009	28.05	420.75	12.89 ST
CANADIAN NAT RES LTD	25	05/01/2008	83.09	2,077.25	01/28/2009	37.60	939.99	(1,137.26) ST

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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/DAVIS LG CAP VALUEE
901 KENT ROAD

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Period Ending 12/31/09	Realized Gain/(Loss)
CARDINAL HEALTH INC	15	05/01/2008	54.52	817.80	01/28/2009	38.68	580.19	(237.61) ST
CISCO SYS INC	25	10/22/2008	17.41	436.25	01/28/2009	17.18	429.48	(6.76) ST
CITIGROUP INC	30	05/01/2008	25.53	765.90	01/28/2009	4.21	126.29	(639.61) ST
COMCAST CORP NEW CL A SPL	180	05/01/2008	21.0074	3,361.18	01/28/2009	14.8026	2,368.40	(992.78) ST
CONOCOPhillips	55	05/01/2008	85.73	4,715.15	01/28/2009	50.00	2,748.98	(1,965.17) ST
COSTCO WHSL CORP NEW	85	05/01/2008	70.58	5,999.30	01/28/2009	48.46	4,119.07	(1,880.23) ST
DEVON ENERGY CORP NEW	40	05/01/2008	111.04	4,441.60	01/28/2009	63.03	2,521.18	(1,920.42) ST
DIAGEO P L C SPON ADR NEW	25	05/01/2008	81.72	2,043.00	01/28/2009	54.07	1,351.74	(691.26) ST
EOG RES INC	35	05/01/2008	127.36	4,457.60	01/28/2009	67.59	2,365.63	(2,091.97) ST
EXPRESS SCRIPTS INC	5	05/01/2008	69.99	349.95	01/28/2009	55.38	276.89	(73.06) ST
GOOGLE INC CL A	1	10/23/2008	348.344	348.34	01/28/2009	338.98	338.98	(9.36) ST
GOOGLE INC CL A	1	01/15/2009	290.914	290.91	01/28/2009	338.98	338.98	48.07 ST
GRUPOTELEvisa SA DE CV SP ADR REP ORD	20	05/01/2008	24.58	491.60	01/28/2009	15.24	304.80	(186.80) ST
GRUPOTELEvisa SA DE CV SP ADR REP ORD	40	11/18/2008	13.9145	556.58	01/28/2009	15.24	609.59	53.01 ST
HARLEY DAVIDSON INC	35	05/01/2008	38.33	1,341.55	01/28/2009	12.99	454.64	(886.91) ST
HEWLETT PACKARD CO	10	05/01/2008	46.91	469.10	01/28/2009	36.58	365.80	(103.30) ST
HEWLETT PACKARD CO	15	10/24/2008	32.3988	485.98	01/28/2009	36.58	548.68	62.71 ST
IRON MTN INC	55	05/01/2008	30.18	1,659.90	01/28/2009	22.35	1,228.24	(430.66) ST



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YEAR END SUMMARY

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THE ENGELHARDT FAMILY FOUNDATION
LASTHORNBERG INTL
901 KENT ROAD

Realized Gain/(Loss) Transactions

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
SHORT TERM								
NOVO-NORDISK A S ADR	23	05/01/2008	69.00	1,587.00	01/07/2009	54.2153	1,246.94	(340.08) ST
SUNCORE ENERGY INC	33	07/25/2008	53.5453	1,766.99	01/15/2009	19.9093	657.00	(1,109.99) ST
SUNCORE ENERGY INC	35	07/29/2008	52.3994	1,833.98	01/15/2009	19.9093	696.82	(1,137.16) ST
SUNCORE ENERGY INC	20	08/20/2008	55.6398	1,112.80	01/15/2009	19.9093	398.18	(714.62) ST
CHINA MOBILE LIMITED SPONSOREDADR	12	05/01/2008	87.95	1,055.40	01/16/2009	44.4338	533.20	(522.20) ST
NOVARTIS A G SPONSOREDADR	21	05/01/2008	50.43	1,059.03	01/16/2009	47.1849	990.87	(68.16) ST
HANG LUNG PPTYS LTD SPONSOREDADR	32	05/01/2008	20.80	665.60	01/20/2009	11.056	353.78	(311.82) ST
VODAFONE GROUP PLC NEW SPONS ADR NEW	56	05/01/2008	31.75	1,778.00	01/21/2009	18.4336	1,032.27	(745.73) ST
HANG LUNG PPTYS LTD SPONSOREDADR	26	05/01/2008	20.80	540.80	01/22/2009	10.8278	281.51	(259.29) ST
HANG LUNG PPTYS LTD SPONSOREDADR	18	05/01/2008	20.80	374.40	01/23/2009	10.8625	195.52	(178.88) ST
SWISS REINS CO SPONSOREDADR	39	05/01/2008	84.20	3,283.80	01/26/2009	26.5294	1,034.64	(2,249.16) ST
SWISS REINS CO SPONSOREDADR	34	05/01/2008	84.20	2,862.80	01/27/2009	26.2376	892.07	(1,970.73) ST
TELEFONICA S A SPONSOREDADR	11	05/01/2008	87.33	960.63	01/27/2009	56.4442	620.88	(339.75) ST

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THE ENGELHARDT FAMILY FOUNDATION
145 THORNHURST INTL
901 KENT ROAD

Page 2 of 9 Account Number 069-0127217 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
LOGITECH INTL S A	359	05/01/2008	31.1455	11,181.23	01/28/2009	9.8815	3,583.34	(7,597.89) ST
LOGITECH INTL S A	12	11/21/2008	11.9761	142.51	01/28/2009	8.8815	119.78	(22.73) ST
LOGITECH INTL S A	33	01/22/2009	10.7544	354.90	01/28/2009	9.9815	329.39	(25.51) ST
AIR LIQUIDE ADR	30	05/01/2008	27.5909	827.73	01/28/2009	15.24	457.20	(370.53) ST
AIR LIQUIDE ADR	173	05/01/2008	27.5909	4,773.23	01/28/2009	15.24	2,836.60	(2,136.73) ST
AMERICA MOVIL SAB DE CV SPON ADR L SHS	150	05/01/2008	56.29	8,443.50	01/28/2008	28.608	4,291.18	(4,152.32) ST
ARM HDGS PLC SPONSOREDADR	1,176	05/01/2008	6.0885	7,160.08	01/28/2009	3.9406	4,634.12	(2,525.96) ST
AXA SPONSOREDADR	156	05/01/2008	38.08	5,940.48	01/28/2009	17.5306	2,734.76	(3,205.72) ST
BNP PARIBAS SPONSOREDADR	109	05/15/2008	54.6548	5,958.47	01/28/2009	19.21	2,093.88	(3,864.59) ST
BNP PARIBAS SPONSOREDADR	33	06/11/2008	46.855	1,539.62	01/28/2009	19.21	633.93	(905.69) ST
BNP PARIBAS SPONSOREDADR	16	10/17/2008	38.9745	623.59	01/28/2009	19.21	307.36	(316.23) ST
BNP PARIBAS SPONSOREDADR	26	10/22/2008	37.7516	981.54	01/28/2009	19.21	499.46	(482.08) ST
BNP PARIBAS SPONSOREDADR	35	01/07/2009	23.6371	827.30	01/28/2009	19.21	672.35	(154.95) ST
BAIDU INC SPON ADR REP A	21	01/18/2009	112.6291	2,365.21	01/28/2009	119.0929	2,500.94	135.73 ST
BAIDU INC SPON ADR REP A	5	01/27/2009	115.0629	575.31	01/28/2009	119.0929	595.48	20.15 ST

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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/THORNBERG INTL
901 KENT ROAD

Page 3 of 9 Account Number G68-0127217 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
BRITISH SKY BROADCASTING GROUP SPONSOREDADR	49	09/19/2008	31.9676	1,566.41	01/28/2009	26.94	1,320.05	(246.36) ST
BRITISH SKY BROADCASTING GROUP SPONSOREDADR	30	09/30/2008	29.6082	885.25	01/28/2009	26.84	808.19	(77.06) ST
CSL LTD ADR	68	01/21/2009	10.8478	737.65	01/28/2009	12.00	815.99	78.34 ST
CSL LTD ADR	35	01/22/2009	11.4332	400.16	01/28/2009	12.00	420.00	19.84 ST
CANADIAN NATL RY CO	125	05/01/2008	53.02	6,627.50	01/28/2008	36.6262	4,578.25	(2,049.25) ST
CANON INC ADR	148	05/01/2008	51.01	7,549.48	01/28/2009	28.2018	4,321.84	(3,227.64) ST
CARNIVAL CORP PAIRED CTF	215	05/01/2008	41.49	8,920.35	01/28/2008	19.6253	4,219.42	(4,700.93) ST
CARNIVAL CORP PAIRED CTF	21	11/04/2008	25.0824	528.73	01/28/2009	19.6253	412.13	(114.60) ST
CHINA LIFE INS CO LTD SPON ADR REP H	65	05/01/2008	67.44	4,383.60	01/28/2009	41.62	2,705.28	(1,678.32) ST
CHINA LIFE INS CO LTD SPON ADR REP H	22	10/24/2008	39.0422	858.93	01/28/2008	41.62	915.63	56.70 ST
CHINA LIFE INS CO LTD SPON ADR REP H	23	11/12/2008	38.3742	905.61	01/28/2009	41.62	957.25	51.64 ST
CHINA MOBILE LIMITED SPONSOREDADR	109	05/01/2008	37.95	9,588.55	01/28/2009	47.3008	5,155.75	(4,430.80) ST
CHINA MOBILE LIMITED SPONSOREDADR	13	10/06/2008	44.6636	580.63	01/28/2009	47.3008	614.91	34.28 ST
COCA COLA HELLENIC BTLG CO SA SPONSOREDADR	179	05/01/2008	45.00	8,055.00	01/28/2009	14.4938	2,594.37	(5,460.63) ST

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**YEAR END
SUMMARY**

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THE ENGELHARDT FAMILY FOUNDATION
IAS/HORNBURG INTL
901 KENT ROAD

Page 4 of 9 Account Number G68-0127217 Period Ending 12/31/09

Description	Lt Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain(Loss)
DASSAULT SYS S A SPONSOREDADR	96	05/01/2008	63.77	3,571.12	01/28/2009	36.34	2,147.02	(1,424.10) ST
DASSAULT SYS S A SPONSOREDADR	8	10/09/2008	44.936	359.49	01/28/2009	38.34	306.72	(52.77) ST
E ON AG SPONSOREDADR	110	05/01/2008	66.20	7,282.00	01/28/2009	34.31	3,774.07	(3,507.93) ST
EMBRAER-EMPRESABRASILEIRA DE SP ADR COM SHS	185	05/01/2008	42.75	7,908.75	01/28/2009	16.3837	3,030.98	(4,877.77) ST
FANUC LTD JAPAN ADR	39	12/16/2008	32.7977	1,279.11	01/28/2009	30.85	1,203.14	(75.97) ST
FANUC LTD JAPAN ADR	35	12/17/2008	34.17	1,195.95	01/28/2009	30.85	1,079.74	(116.21) ST
GAZPROM ADR REP 4 ORD RUBS REQ 'S'	192	05/01/2008	52.95	10,166.40	01/28/2009	13.65	2,620.78	(7,545.62) ST
GROUPE DANONE SPONSOREDADR	435	05/01/2008	17.85	7,764.75	01/28/2009	11.06	4,811.07	(2,953.68) ST
HANG LUNG PPTYS LTD SPONSOREDADR	227	05/01/2008	20.80	4,721.60	01/28/2009	10.90	2,474.28	(2,247.32) ST
HANG LUNG PPTYS LTD SPONSOREDADR	160	08/18/2008	15.076	2,412.16	01/28/2009	10.90	1,743.99	(668.17) ST
HENNES & MAURITZ AB ADR	517	06/10/2008	11.0738	5,725.15	01/28/2009	7.93	4,099.78	(1,625.37) ST
HENNES & MAURITZ AB ADR	174	07/28/2008	10.5644	1,838.21	01/28/2009	7.93	1,379.81	(458.40) ST
HENNES & MAURITZ AB ADR	72	09/15/2008	9.3453	672.86	01/28/2009	7.93	570.96	(101.90) ST
JULIUS BAER HLDG LTD ADR	159	10/29/2008	6.8414	1,087.76	01/28/2009	6.36	1,011.23	(76.55) ST

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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/HORNBERG INTL
901 KENT ROAD

Page 5 of 9 Account Number 669-0127217 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
JULIUS BAER HLDG LTD ADR	188	10/31/2008	7.673	1,289.06	01/28/2009	6.36	1,068.47	(220.59) ST
JULIUS BAER HLDG LTD ADR	181	11/17/2008	7.0765	1,139.32	01/28/2009	6.38	1,023.95	(115.37) ST
JULIUS BAER HLDG LTD ADR	78	01/28/2009	6.133	478.37	01/28/2009	6.36	498.08	17.71 ST
JULIUS BAER HLDG LTD ADR	98	01/27/2009	6.0722	595.08	01/28/2009	6.36	623.28	28.20 ST
KINGFISHER PLC SPON ADR PAR	1,008	05/01/2008	5.30	5,342.40	01/28/2009	3.92	3,951.33	(1,391.07) ST
KOMATSU LTD SPON ADR NEW	64	05/01/2008	125.75	8,048.00	01/28/2009	43.92	2,810.86	(5,237.14) ST
KOMATSU LTD SPON ADR NEW	20	10/21/2008	45.0478	900.96	01/28/2009	43.92	878.38	(22.57) ST
LVNH MOET HENNESSY LOU VUITTON ADR	182	11/07/2008	12.8709	2,471.21	01/28/2009	11.88	2,280.94	(190.27) ST
LVNH MOET HENNESSY LOU VUITTON ADR	87	11/12/2008	11.2389	977.78	01/28/2009	11.88	1,033.55	55.77 ST
LVNH MOET HENNESSY LOU VUITTON ADR	56	11/18/2008	10.2252	572.61	01/28/2009	11.88	665.28	92.67 ST
LVNH MOET HENNESSY LOU VUITTON ADR	56	11/20/2008	9.8603	552.18	01/28/2009	11.88	666.28	113.10 ST
LVNH MOET HENNESSY LOU VUITTON ADR	74	01/16/2009	11.043	817.18	01/28/2009	11.88	878.11	61.93 ST
NATIONAL BK GREECES A SPONSORED ADR	41	05/01/2008	10.6923	438.55	01/28/2009	3.3733	138.30	(300.25) ST
NATIONAL BK GREECES A SPONSORED ADR	1,035	05/01/2008	10.6923	11,070.67	01/28/2009	3.3733	3,491.34	(7,579.33) ST

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THE ENGELHARDT FAMILY FOUNDATION
IAS/THORNBERG INTL
901 KENT ROAD

Description	Ld Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Period Ending	Realized Gain/(Loss)
NATIONAL BK GREECES A SPONSOREDADR	161	12/10/2008	3.4961	562.87	01/28/2009	3.3733	543.10	12/31/09	(19.77) ST
NATIONAL BK GREECES A SPONSOREDADR	138	12/30/2008	3.7158	512.78	01/28/2009	3.3733	465.51		(47.27) ST
NESTLE S A SPONSOREDADR	46	05/01/2008	47.88	2,193.28	01/28/2009	35.17	1,617.81		(575.47) ST
NESTLE S A SPONSOREDADR	141	05/01/2008	47.88	6,722.88	01/28/2009	35.17	4,958.94		(1,763.94) ST
NINTENDO LTD ADR	132	05/01/2008	68.45	9,036.40	01/28/2009	44.51	5,875.28		(3,160.12) ST
NOKIA CORP SPONSOREDADR	303	05/01/2008	30.43	9,220.29	01/28/2009	13.13	3,978.36		(5,241.93) ST
NOKIA CORP SPONSOREDADR	41	05/19/2008	29.84	1,223.44	01/28/2009	13.13	538.33		(685.11) ST
NOKIA CORP SPONSOREDADR	51	09/18/2008	20.1738	1,028.88	01/28/2009	13.13	669.63		(359.23) ST
NOKIA CORP SPONSOREDADR	40	12/09/2008	14.60	584.00	01/28/2009	13.13	526.20		(58.80) ST
NOVARTIS A G SPONSOREDADR	97	05/01/2008	50.43	4,891.71	01/28/2009	43.3213	4,202.14		(689.57) ST
NOVONORDISK A S ADR	153	05/01/2008	69.00	10,537.00	01/28/2009	51.9708	7,951.49		(2,585.51) ST
OSTERREICHISCHE ELEKTRIZITATS SPONSOREDADR	195	05/01/2008	16.15	3,148.25	01/28/2009	7.73	1,507.34		(1,641.91) ST
POTASH CORP SASK INC	21	05/01/2008	184.83	3,881.43	01/28/2009	78.19	1,641.97		(2,239.46) ST
POTASH CORP SASK INC	6	09/16/2008	157.2562	943.53	01/28/2009	78.19	469.14		(474.39) ST
RECKITT BENCKISER GROUP PLC ADR	277	10/13/2008	8.6975	2,409.21	01/28/2009	7.84	2,171.87		(237.34) ST

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OPPENHEIMER ST LOUIS

314 863 1809

P.60

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

OPPENHEIMER

YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/THORNBERG INTL
901 KENT ROAD

Page 7 of 9 Account Number 669-0127217 Period Ending 12/31/09

Description	Ltd Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
RECKITT BENCKISER GROUP PLC ADR	130	12/17/2008	7.8047	1,014.61	01/28/2009	7.84	1,019.19	4.58
ROCHE HLDG LTD SPONSORED ADR	101	05/01/2008	41.475	4,188.98	01/28/2009	35.77	3,612.74	(576.24)
ROCHE HLDG LTD SPONSORED ADR	101	05/01/2008	41.475	4,188.98	01/28/2009	35.77	3,612.74	(576.24)
ROGERS COMMUNICATIONS INC CL B	158	05/01/2008	44.17	6,978.86	01/28/2009	28.3106	4,473.05	(2,605.81)
SABMiller PLC SPONSORED ADR	248	05/01/2008	23.80	5,902.40	01/28/2009	16.74	4,151.48	(1,750.91)
SAP AG SPON ADR	78	05/19/2008	51.9887	4,055.12	01/28/2009	36.8822	2,876.80	(1,178.32)
SAP AG SPON ADR	38	05/29/2008	55.17	2,096.46	01/28/2009	35.8822	1,401.52	(694.94)
SAP AG SPON ADR	28	10/07/2008	37.4263	1,047.84	01/28/2009	36.8822	1,032.70	(15.24)
SAP AG SPON ADR	16	10/10/2008	33.4951	535.92	01/28/2009	36.8822	590.11	64.19
SAP AG SPON ADR	16	10/16/2008	34.7433	555.89	01/28/2009	36.8822	590.11	34.22
SCHLUMBERGER LTD	40	05/01/2008	98.70	3,948.00	01/28/2009	43.1653	1,726.60	(2,221.40)
SCHLUMBERGER LTD	14	12/09/2008	42.5275	595.39	01/28/2009	43.1653	604.31	8.92
SCHLUMBERGER LTD	15	12/16/2008	42.2304	633.46	01/28/2009	43.1653	647.48	14.02
SMITH & NEPHEW PLC SPON ADR NEW	33	07/10/2008	53.8404	1,776.73	01/28/2009	35.747	1,179.84	(597.08)
SMITH & NEPHEW PLC SPON ADR NEW	34	08/01/2008	54.377	1,848.82	01/28/2009	35.747	1,215.39	(633.43)

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P.61

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New York, NY 10004
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OPPENHEIMER

YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/THORNBERG INTL
901 KENT ROAD

Page 8 of 9 Account Number 089-0127217 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/Loss
SMITH & NEPHEW PLC SPON ADR NEW	12	09/24/2008	55.90	670.80	01/28/2009	35.747	428.96	(241.84) ST
SMITH & NEPHEW PLC SPON ADR NEW	16	09/30/2008	52.624	841.98	01/28/2009	35.747	571.95	(270.03) ST
SMITH & NEPHEW PLC SPON ADR NEW	20	10/24/2008	36.7476	774.95	01/28/2009	35.747	714.94	(60.01) ST
TELEFONICA S A SPONSOREDADR	49	05/01/2008	87.33	4,270.17	01/28/2009	58.09	2,846.39	(1,432.78) ST
TELEFONICA S A SPONSOREDADR	22	08/16/2008	82.1714	1,807.77	01/28/2009	58.09	1,277.97	(529.80) ST
TELEFONICA S A SPONSOREDADR	22	09/01/2008	77.7389	1,710.26	01/28/2009	58.09	1,277.97	(432.28) ST
TEVA PHARMACEUTICAL INDS LTD ADR	223	05/01/2008	47.3474	10,558.47	01/28/2009	41.7223	9,304.02	(1,254.45) ST
TOTAL S A SPONSOREDADR	70	06/16/2008	81.2768	5,689.38	01/28/2009	52.47	3,672.88	(2,016.50) ST
TOTAL S A SPONSOREDADR	19	05/24/2008	81.9023	1,556.14	01/28/2009	52.47	996.92	(559.22) ST
TOYOTA MOTOR CORP SP ADR REP200M	68	05/01/2008	102.71	6,984.28	01/28/2009	67.6144	4,590.95	(2,393.33) ST
TURKCELL ILETISIM SPON ADR NEW	133	05/14/2008	18.9428	2,606.09	01/28/2009	13.5974	1,808.44	(687.65) ST
TURKCELL ILETISIM SPON ADR NEW	142	05/15/2008	19.3034	2,741.08	01/28/2009	13.5974	1,930.82	(810.26) ST
TURKCELL ILETISIM SPON ADR NEW	95	05/20/2008	18.3573	1,800.94	01/28/2009	13.6974	1,291.75	(509.19) ST
TURKCELL ILETISIM SPON ADR NEW	51	09/16/2008	14.1767	723.01	01/28/2009	13.5974	693.46	(29.55) ST



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125 Broad Street
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YEAR END SUMMARY

THE ENGELHARDT FAMILY FOUNDATION
IAS/THORNBURG INTL
901 KENT ROAD

Page 9 of 9 Account Number 069-0127217 Period Ending 12/31/09

Description	Lot Quantity	Purchase Date	Purchase Price	Purchase Cost	Sale Date	Sale Price	Sale Proceeds	Realized Gain/(Loss)
VESTAS WIND SYS AS UTD KINGDOM UNSP ADR	44	08/08/2008	40.6249	1,787.50	01/28/2009	17.64	776.16	(1,011.34) ST
VESTAS WIND SYS AS UTD KINGDOM UNSP ADR	47	08/15/2008	40.8555	1,920.21	01/28/2009	17.64	829.08	(1,091.13) ST
VESTAS WIND SYS AS UTD KINGDOM UNSP ADR	34	09/09/2008	38.2028	1,298.80	01/28/2009	17.64	599.76	(699.14) ST
VESTAS WIND SYS AS UTD KINGDOM UNSP ADR	33	09/30/2008	28.4216	937.91	01/28/2009	17.64	582.12	(355.79) ST
VESTAS WIND SYS AS UTD KINGDOM UNSP ADR	33	01/15/2009	17.5322	678.56	01/28/2009	17.64	582.12	3.56 ST
VODAFONEGROUP PLC NEW SPONS ADR NEW	185	05/01/2008	31.75	5,873.75	01/28/2009	19.4756	3,602.98	(2,270.77) ST
VODAFONEGROUP PLC NEW SPONS ADR NEW	48	08/26/2008	27.8421	1,280.74	01/28/2009	19.4756	895.87	(384.87) ST
WAL MART DE MEXICO S A B DE CV SPON ADR REP V	91	05/01/2008	40.55	3,690.05	01/28/2009	21.90	1,992.89	(1,697.16) ST
WAL MART DE MEXICO S A B DE CV SPON ADR REP V	16	10/08/2008	24.6721	394.75	01/28/2009	21.90	350.40	(44.35) ST
WAL MART DE MEXICO S A B DE CV SPON ADR REP V	29	11/18/2008	25.009	725.26	01/28/2009	21.90	635.10	(90.16) ST
WAL MART DE MEXICO S A B DE CV SPON ADR REP V	26	01/23/2009	21.6199	562.12	01/28/2009	21.90	569.40	7.28 ST
SUB-TOTAL SHORT TERM								223,795.20 (147,020.84)
TOTAL REALIZED GAIN/(LOSS)								223,795.20 (147,020.84)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
OPPENHEIMER	10,297.
UBS	43,042.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	53,339.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
OPPENHEIMER	10,059.	62.	9,997.
UBS	23,504.	0.	23,504.
TOTAL TO FM 990-PF, PART I, LN 4	33,563.	62.	33,501.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,800.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,800.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	506.	506.		0.
ESTIMATED TAX PAYMENTS	440.	440.		0.
TO FORM 990-PF, PG 1, LN 18	946.	946.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WIRE TRANSFER FEE	585.	585.			0.
ADMINISTRATIVE FEES	14,896.	14,896.			0.
INVESTMENT FEES	15.	15.			0.
TO FORM 990-PF, PG 1, LN 23	15,496.	15,496.			0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT BONDS	X		536,786.	528,643.	
GOVERNMENT AGENCY BONDS	X		0.	0.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			536,786.	528,643.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			536,786.	528,643.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
EQUITIES			3,227,290.	3,106,375.
MUTUAL FUNDS			262,257.	201,612.
TOTAL TO FORM 990-PF, PART II, LINE 10B			3,489,547.	3,307,987.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	118,354.	122,473.
TOTAL TO FORM 990-PF, PART II, LINE 10C	118,354.	122,473.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	COST	4,824.	4,824.
DIRECT INVESTMENTS	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,824.	4,824.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

IRL ENGELHARDT
SUE ENGELHARDT

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 11

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN RED CROSS 10195 CORPORATE SQUARE DRIVE ST LOUIS, MO 63132	TO PROVIDE HELP TO COMMUNITIES IN NEED		1,000.
ARTS AND EDUCATION COUNCIL 3547 OLIVE STREET ST LOUIS, MO 63103	PROVIDING ARTS PROGRAMS FOR INDIVIDUALS		1,000.
BLUE GAVEL SCHOLARSHIP FUND 700 FOURTEENTH AVENUE SOUTH NAPLES, FL 34102	TO PROVIDE SCHOLARSHIPS FOR STUDENTS IN COLLIER COUNTY FLORIDA		1,000.
CATHOLIC APPEAL 20 ARCHBISHOP MAY DRIVE ST LOUIS, MO 63119	TO PROVIDE FOR THE CHARITABLE NEEDS OF OTHERS IN LOC		1,100.
CENTER OF CREATIVE ARTS 524 TRINITY AVE. ST LOUIS, MO 63130	PROVIDING ARTS PROGRAMS FOR INDIVIDUALS		500.
CHURCH OF THE ANNUNZIATA 9305 CLAYTON ROAD ST LOUIS, MO 63124	TO SUPPORT THE CHURCH AND ITS PROGRAMS		30,000.
CRISIS NURSERY 11710 ADMINISTRATION DRIVE #18 ST LOUIS, MO 63146	TO HELP PREVENT CHILD ABUSE		5,000.
GATEWAY TO HOPE 845 NORTH NEW BALLAS COURT, SUITE 380 ST LOUIS, MO 63141	TO PROVIDE BREAST CANCER TREATMENT FOR WOMEN WHO CAN		10,000.

ILLINOIS RURAL HERITAGE FOUNDATION 3708 WOLVERINE ROAD PINCKNEYVILLE, IL 62274	TO HELP WITH MUSEUM FUNDING	40,000.
JOHN BURROUGHS SCHOOL 755 SOUTH PRICE ROAD ST LOUIS, MO 63124	CAPITAL EXPENDITURES FOR SCHOOL	55,000.
JOHN BURROUGHS SCHOOL 755 SOUTH PRICE ROAD ST LOUIS, MO 63124	DONATION FOR RAFFLE	1,500.
LANGFORD MEMORIAL FUND P.O. BOX 31158 ST LOUIS, MO 63131	TO SUPPORT CHILDREN'S EDUCATION	5,000.
MATHEWS-DICKEY BOYS & GIRLS CLUB 4245 N. KINGSHIGHWAY BOULEVARD ST LOUIS, MO 63115	TO SUPPORT EDUCATIONAL, ATHLETIC, & CULTURAL PROGRAM	110,700.
METROPOLITAN OPERA ASSOCIATION-NATIONAL COUNCIL AUDITIONS - 3612 S. BRADEN PLACE TULSA, OK 74135	TO HELP WITH AUDITION EXPENSES FOR YOUNG SINGERS	100.
MISSOURI HISTORICAL SOCIETY P.O. BOX 11940 ST LOUIS, MO 63112	TO SUPPORT EXHIBITS AND PROGRAMS	1,000.
NAPLES HISTORICAL SOCIETY P.O. BOX 201 NAPLES, FL 34106	TO PRESERVE CITY OF NAPLES	10,000.
NATIONAL CHILDREN'S CANCER SOCIETY ONE SOUTH MEMORIAL DRIVE, SUITE 800 ST LOUIS, MO 63102	TO IMPROVE QUALITY OF LIFE FOR CHILDREN WITH CANCER	35,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 1867 LACKLAND HILL PARKWAY ST LOUIS, MO 63146	TO SUPPORT MULTIPLE SCLEROSIS RESEARCH	300.

OPERA THEATER OF ST. LOUIS P.O. BOX 191910 ST LOUIS, MO 63119	MUSIC EDUCATION FOR YOUNG PEOPLE	55,000.
PERRY COUNTY HUMANE SOCIETY 8365 ST. RT. 14 DUQUOIN, IL 62832	TO PROVIDE HUMANE SOCIETY FUNDING	200.
PINCKNEYVILLE COMMUNITY HIGH SCHOOL DISTRICT NO. 101 600 EAST WATER STREET PINCKNEYVILLE, IL 62274	TO SUPPORT ATHLETICS PROGRAMS	19,000.
SCHOLARSHIP FOUNDATION OF ST. LOUIS 8215 CLAYTON ROAD ST LOUIS, MO 63117	TO SUPPORT SCHOLARSHIP FUND	1,000.
SHELDON ARTS FOUNDATION 3648 WASHINGTON BLVD. ST LOUIS, MO 63108	MUSIC EDUCATION FOR YOUNG PEOPLE	38,500.
SHELDON ARTS FOUNDATION 3648 WASHINGTON BLVD. ST LOUIS, MO 63108	TO SUPPORT BUILDING RENOVATIONS AND IMPROVEMENTS	25,000.
SHELTER FOR ABUSED WOMEN AND CHILDREN P.O. BOX 10102 NAPLES, FL 34101	TO SUPPORT PROGRAMS AT FACILITY	5,000.
ST. LOUIS ARC 1816 LACKLAND HILL PARKWAY, SUITE 200 ST LOUIS, MO 63146	TO SUPPORT PROGRAMS FOR PEOPLE WITH DEVELOPMENTAL DI	25,000.
ST. LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63110	TO SUPPORT HOLIDAY EVENT	2,000.
UNITED WAY P.O. BOX 500280 ST. LOUIS, MO 63150	TO SUPPORT PROGRAMS FOR FAMILIES, CHILDREN, AND THE ELDERLY	10,000.

ENGELHARDT FAMILY FOUNDATION

43-6926810

UNIVERSITY OF ILLINOIS
FOUNDATION

1305 WEST GREEN STREET URBANA,
IL 61801

TO SUPPORT EDUCATIONAL
MISSION OF UNIVERSITY

50,000.

VOICES FOR CHILDREN

920 N. VANDEVENTER ST LOUIS, MO
63108

TO SUPPORT ADVOCACY
PROGRAMS FOR ST. LOUIS
FOSTER CH

16,250.

WOMEN OF ACHIEVEMENT

801 NORTH SECOND STREET, SUITE
302 ST LOUIS, MO 63102

TO SUPPORT VOLUNTEER
COMMITTMENT OF WOMEN

250.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

555,400.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	ENGELHARDT FAMILY FOUNDATION	43-6926810
	Number, street, and room or suite no. If a P.O. box, see instructions 901 KENT RD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions ST. LOUIS, MO 63124	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

IRL AND SUE ENGELHARDT

- The books are in the care of ▶ **901 KENT RD - ST. LOUIS, MO 63124**

Telephone No ▶ **314-997-5395**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☒ calendar year **2009** or
▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	2,185.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	1,185.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	1,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)