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Form 990-EZ

Department of the Treasury  
Internal Revenue Service

**Short Form**  
**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code  
(except black lung benefit trust or private foundation)

OMB No 1545-1150

2009

Open to Public  
Inspection

► Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form  
► The organization may have to use a copy of this return to satisfy state reporting requirements

**A For the 2009 calendar year, or tax year beginning** \_\_\_\_\_, and ending \_\_\_\_\_**B Check if applicable.**

Address change

Name change

Initial return

Termination

Amended return

Application pending

**C Name of organization**  
**American Society for Peripheral Nerve**  
Number and street (or P O box, if mail is not delivered to street address)  
**444 East Algonquin Road**  
City or town, state or country, and ZIP + 4  
**Arlington Heights IL 60005**

**D Employer identification number****43-1746236****E Telephone number****847-228-3370****F Group Exemption Number** ►

● Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

**G Accounting method**  Cash  Accrual  
Other (specify) ►

**I Website:** ► [www.peripheralnerve.org](http://www.peripheralnerve.org)**J Tax-exempt status** (check only one) —  501(c) ( 6 ) □ (insert no.)  4947(a)(1) or  527

**H Check** ►  if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF).

**K Check** ►  if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

**L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts, if \$500,000 or more, file Form 990 instead of Form 990-EZ ► \$ **143,553******Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See the instructions for Part I.)

1 Contributions, gifts, grants, and similar amounts received	1	13,314
2 Program service revenue including government fees and contracts	2	90,988
3 Membership dues and assessments	3	39,167
4 Investment income	4	84
5a Gross amount from sale of assets other than inventory	5a	
b Less cost or other basis and sales expenses	5b	
c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
6 Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here ► <input type="checkbox"/>		
a Gross revenue (not including \$) of contributions	6a	
reported on line 1)		
b Less: direct expenses other than fundraising expenses	6b	
c Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c	
7a Gross sales of inventory, less returns and allowances	7a	
b Less: cost of goods sold	7b	
c Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	
8 Other revenue (describe ► )	8	
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8	9	<b>143,553</b>
10 Grants and similar amounts paid (attach schedule)	10	
11 Benefits paid to or for members	11	
12 Salaries, other compensation, and employee benefits	12	
13 Professional fees and other payments to independent contractors	13	38,950
14 Occupancy, rent, utilities, and maintenance	14	
15 Printing, publications, postage, and shipping	15	8,817
16 Other expenses (describe ► <b>See Statement 2</b> )	16	37,059
17 Total expenses. Add lines 10 through 16	17	84,826
18 Excess or (deficit) for the year (Subtract line 17 from line 9)	18	58,727
19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	65,230
20 Other changes in net assets or fund balances (attach explanation)	20	4,800
21 Net assets or fund balances at end of year. Combine lines 18 through 20	21	<b>128,757</b>

**Part II Balance Sheets.** If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)		<b>(A) Beginning of year</b>	<b>(B) End of year</b>
22 Cash, savings, and investments		142,968	22 182,118
23 Land and buildings			23
24 Other assets (describe ► <b>See Statement 4</b> )		32,395	24 54,066
25 Total assets		175,363	25 236,184
26 Total liabilities (describe ► <b>See Statement 5</b> )		110,133	26 107,427
27 Net assets or fund balances (line 25 of column (B) must agree with line 21)		65,230	27 128,757

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 990-EZ (2009) **American Society for****43-1746236**

Page 2

**Part III | Statement of Program Service Accomplishments (See the instructions for Part III.)**

What is the organization's primary exempt purpose?

See Statement 6

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

**Expenses**

(Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts; optional for others.)

**28** Provide a forum for the presentation of the latest research and relevant clinical information and serve as a unifying authority on all areas of neural regeneration and restorative neuroscience. 190 members

(Grants \$ ) If this amount includes foreign grants, check here ► **28a****29**(Grants \$ ) If this amount includes foreign grants, check here ► **29a****30**(Grants \$ ) If this amount includes foreign grants, check here ► **30a****31** Other program services (attach schedule)(Grants \$ ) If this amount includes foreign grants, check here ► **31a****32** Total program service expenses (add lines 28a through 31a) ► **32****Part IV | List of Officers, Directors, Trustees, and Key Employees.** List each one even if not compensated. (See the instructions for Part IV.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
Robert C. Russell, MD 444 East Algonquin Road	Arlington Heights IL 60005	Past Pres. 1.00	0	0
Paul S. Cederna, MD 444 East Algonquin Road	Arlington Heights IL 60005	Pres. Elect 1.00	0	0
Howard M. Clarke, MD, PhD 444 East Algonquin Road	Arlington Heights IL 60005	President 1.00	0	0
Ivica Dacic, MD, PhD 444 East Algonquin Road	Arlington Heights IL 60005	Vice Pres. 1.00	0	0
Allan J. Belzberg, MD 444 East Algonquin Road	Arlington Heights IL 60005	Secretary 1.00	0	0
Robert Spinner, MD 444 East Algonquin Road	Arlington Heights IL 60005	Treasurer 1.00	0	0
Thomas H.H. Tung, MD 444 East Algonquin Road	Arlington Heights IL 60005	Historian 1.00	0	0
Gregory R.D. Evans, MD, FACS 444 East Algonquin Road	Arlington Heights IL 60005	Past Pres. 1.00	0	0
Nash H. Naam, MD 444 East Algonquin Road	Arlington Heights IL 60005	Council Memb 1.00	0	0
Renata V. Weber, MD 444 East Algonquin Road	Arlington Heights IL 60005	Council Memb 1.00	0	0
Jonathan M. Winograd, MD 444 East Algonquin Road	Arlington Heights IL 60005	Council Memb 1.00	0	0

## Part V Other Information (Note the statement requirements in the instructions for Part V.)

33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity 33

34 Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes 34

35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.

a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements? 35a

b If "Yes," has it filed a tax return on Form 990-T for this year? 35b

36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N 36

37a Enter amount of political expenditures, direct or indirect, as described in the instr ► 37a 0

b Did the organization file Form 1120-POL for this year? 37b

38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return? 38a

b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b

39 Section 501(c)(7) organizations. Enter:

a Initiation fees and capital contributions included on line 9 39a

b Gross receipts, included on line 9, for public use of club facilities 39b

40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ► \_\_\_\_\_ ; section 4912 ► \_\_\_\_\_ , section 4955 ► \_\_\_\_\_

b Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I 40b

c Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 40c

d Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax on line 40c reimbursed by the organization 40d

e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T 40e

41 List the states with which a copy of this return is filed. ► **None**42a The organization's books are in care of ► **Mark A. Espinosa**  
**444 East Algonquin Road**Telephone no. ► **847-228-3341**Located at ► **Arlington Heights, IL**ZIP + 4 ► **60005**

b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?

If "Yes," enter the name of the foreign country: ► \_\_\_\_\_

See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.

c At any time during the calendar year, did the organization maintain an office outside of the U.S.?

If "Yes," enter the name of the foreign country: ► \_\_\_\_\_

43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the tax year ► 43 ► 44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ 44 45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ 45 Form **990-EZ** (2009)

**Part VI** **Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

46 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I

47 Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II

48 Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E

49a Did the organization make any transfers to an exempt non-charitable related organization?

    b If "Yes," was the related organization a section 527 organization?

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None"

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

d Total number of other independent contractors each receiving over \$100,000

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
		11/19/2010	Date	
	Signature of officer <u>Mark G. Pinosa</u>	Qunmara	Type or print name and title	
<b>Paid Preparer's Use Only</b>	Preparer's signature  <b>Dirk T. Ahlbeck</b>	Date <b>08/23/10</b>	Check if self-employed <input type="checkbox"/>	Preparer's Identifying Number (See instructions) <b>P00237637</b>
	Firm's name (or yours if self-employed), address, and ZIP + 4  <b>AHLBECK &amp; COMPANY 1665 Elk Boulevard Des Plaines, IL 60016-4776</b>	EIN <b>36-2991500</b>	Phone <b>no ► 847-824-4000</b>	
May the IRS discuss this return with the preparer shown above? See instructions <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				

May the IRS discuss this return with the preparer shown above? See instructions

**Form 990-EZ (2009)**

Form **8868**

(Rev April 2009)

**Department of the Treasury  
Internal Revenue Service**

## **Application for Extension of Time To File an Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

**Part I** Automatic 3-Month Extension of Time. Only submit original (no copies needed).

**A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete**

### Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

Type or print  File by the due date for filing your return. See instructions	Name of Exempt Organization <b>American Society for Peripheral Nerve</b>	Employer identification number <b>43-1746236</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>444 East Algonquin Road</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>Arlington Heights IL 60005</b>	

**Check type of return to be filed (file a separate application for each return):**

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input checked="" type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ► **Mark A. Espinosa**

Telephone No ► **847-228-3341**

FAX No. ► 847-981-5445

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **11/15/10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

►  calendar year **2009** or

►  tax year beginning \_\_\_\_\_ and ending \_\_\_\_\_.

2 If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b>	\$
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$
<b>c</b> <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

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Form 8868 (Rev. 4-2009)

## Federal Statements

### Statement 1 - Form 990-EZ, Part I, Line 3 - Membership Dues and Assessments

Description	Amount
Membership dues	\$ 38,967
Applications	200
Total	\$ 39,167

### Statement 2 - Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
Expenses	\$
Annual meeting	23,224
Bad debts	4,800
Banking fees	414
Credit card fees	715
Dues & subscriptions	1,000
Insurance	354
Office supplies	2,082
Telephone	2,608
Travel	1,862
Total	\$ 37,059

### Statement 3 - Form 990-EZ, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
Prior period adjustment	\$ 4,800
Total	\$ 4,800

### Statement 4 - Form 990-EZ, Part II, Line 24 - Other Assets

Description	Beginning of Year	End of Year
Prepaid Expenses and Deferred Charges	\$ 32,395	\$ 49,080
Dues receivable		4,986
	32,395	54,066

### Statement 5 - Form 990-EZ, Part II, Line 26 - Total Liabilities

Description	Beginning of Year	End of Year
Accounts Payable and Accrued Expenses	\$ 1,513	\$ 4,874
Deferred Revenue	108,620	102,553
	110,133	107,427

## Federal Statements

### **Statement 6 - Form 990-EZ, Part III - Organization's Primary Exempt Purpose**

#### Description

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To stimulate and encourage study and research in the field of neural regeneration.