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## Return of Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service (77)or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning,

and ending

G Check all that apply:

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type  
See Specific  
Instructions.

Name of foundation

FRED V. &amp; DOROTHY H. HEINKEL

CHARITABLE FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

C/O CATHY ANDERSON, 2005 W BROADWAY

Room/suite

100

City or town, state, and ZIP code

COLUMBIA, MO 65203

A Employer identification number

43-1242045

B Telephone number

(573) 442-6171

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 2,462,381.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 95,015.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements

Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

N/A

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		525,123.	523,108.	523,108.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		1,600.	600.	600.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 7		1,486,772.	1,461,320.	1,931,367.
	c	Investments - corporate bonds				
<b>Liabilities</b>	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 8		12,564.	12,704.	7,306.
	14	Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	<b>Total assets (to be completed by all filers)</b>		2,026,059.	1,997,732.	2,462,381.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
<b>Net Assets or Fund Balances</b>	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	<b>Total liabilities (add lines 17 through 22)</b>		0.	0.	
<b>Net Assets or Fund Balances</b>		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		2,026,059.	1,997,732.	
30	<b>Total net assets or fund balances</b>		2,026,059.	1,997,732.		
31	<b>Total liabilities and net assets/fund balances</b>		2,026,059.	1,997,732.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,026,059.
2	Enter amount from Part I, line 27a	2	<28,327.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,997,732.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	<b>1,997,732.</b>

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a .15 SH BANK OF AMER CORP		01/12/96	01/12/09
b 1000 SH GENENTECH INC COM NEW		05/22/01	03/27/09
c .629 SH HOST HOTELS & RESORTS INC REIT		04/20/06	12/22/09
d CAPITAL GAINS DIVIDENDS			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2.		2.	0.
b 95,000.		25,450.	69,550.
c 7.		13.	<6.>
d 6.			6.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0.
b			69,550.
c			<6.>
d			6.
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	69,550.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	166,206.	2,832,474.	.058679
2007	157,000.	3,346,420.	.046916
2006	155,799.	3,174,416.	.049080
2005	148,649.	3,065,479.	.048491
2004	139,500.	3,006,739.	.046396

  

2 Total of line 1, column (d)	2	.249562
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049912
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,268,754.
5 Multiply line 4 by line 3	5	113,238.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,109.
7 Add lines 5 and 6	7	114,347.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	136,576.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,109.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,109.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,109.
6 Credits/Payments:			
6a 2009 estimated tax payments and 2008 overpayment credited to 2009	600.		
6b Exempt foreign organizations - tax withheld at source			
6c Tax paid with application for extension of time to file (Form 8868)			
6d Backup withholding erroneously withheld			
7 Total credits and payments. Add lines 6a through 6d		7	600.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	509.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MO</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>RUSSELL A. STARR, CPA</u> Telephone no. ► <u>(573) 442-6171</u> Located at ► <u>2005 W. BROADWAY, COLUMBIA, MO</u> ZIP+4 ► <u>65203</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒
**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**
**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT W. MAUPIN 1215 TORREY PINES DR. COLUMBIA, MO 65203	PRESIDENT 0.50	0.	0.	0.
STEPHEN B. SMITH 3100 WEST SOUTHERN HILLS DR. COLUMBIA, MO 65203	TREASURER/VP 0.50	0.	0.	0.
CATHY ANDERSON 1426 S. PECOS COLUMBIA, MO 65203	SECRETARY 0.50	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☒ 0

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**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

Total number of donors receiving over \$50,000 for professional services	
<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

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**FRED V. & DOROTHY H. HEINKEL  
CHARITABLE FOUNDATION**
**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,717,622.
b	Average of monthly cash balances	1b	584,749.
c	Fair market value of all other assets	1c	933.
d	Total (add lines 1a, b, and c)	1d	2,303,304.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,303,304.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	34,550.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,268,754.
6	Minimum investment return. Enter 5% of line 5	6	113,438.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	113,438.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,109.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,109.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	112,329.
4	Recoveries of amounts treated as qualifying distributions	4	4,000.
5	Add lines 3 and 4	5	116,329.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	116,329.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	136,576.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	136,576.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,109.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	135,467.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years' prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				116,329.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			136,576.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 136,576.				
a Applied to 2008, but not more than line 2a			136,576.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				116,329.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling



**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c  
Complete 3a, b, or c for the  
alternative test relied upon:

a "Assets" alternative test - enter:  
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c "Support" alternative test - enter:**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)</b>
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## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a The name, address, and telephone number of the person to whom applications should be addressed:**

CATHY M. ANDERSON, (573) 442-6171  
2005 W BROADWAY, STE. 100, COLUMBIA, MO 65203

**b The form in which applications should be submitted and information and materials they should include:**

IN WRITING

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ONLY CHARITABLE

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

**Total**



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>Cathy M. Anderson</u>		Date <u>2/10/10</u>		Title <u>SECRETARY/TRUSTEE</u>	
	Preparer's signature <u>Russell A. Stan</u>		Date <u>2/6/10</u>		Check if self-employed <input type="checkbox"/>	
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code <u>WILLIAMS-KEEPERS LLC</u> <u>2005 WEST BROADWAY, SUITE 100</u> <u>COLUMBIA, MO 65203</u>		EIN <u>                    </u>		Preparer's identifying number <u>489544710</u>	
	Phone no. <u>573-442-6171</u>					

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
INTEREST ON SAVINGS AND TEMPORARY CASH	443.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	443.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM SECURITIES	40,942.	6.	40,936.
TOTAL TO FM 990-PF, PART I, LN 4	40,942.	6.	40,936.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RETURNED GRANT REPORTED IN PART XI LINE 4	4,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	4,000.	0.	

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FORM 990-PF ACCOUNTING FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION AND ADVISORY SERVICE	5,995.	0.		0.
INVESTMENT FEE	10.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,005.	0.		0.

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FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
REGISTERED AGENT CHANGE FEE	10.	0.		0.	
TO FORM 990-PF, PG 1, LN 16C	10.	0.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	65.	65.		0.	
EXCISE TAXES	600.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	665.	65.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SEE ATTACHMENT A	1,461,320.	1,931,367.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,461,320.	1,931,367.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
612 SHS HOST HOTELS & RESORTS INC REIT	COST	12,704.	7,306.	
TOTAL TO FORM 990-PF, PART II, LINE 13		12,704.	7,306.	



FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 9

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
SUSTAINABLE FARMS & COMMUNITIES PO BOX 10012 COLUMBIA, MO 65205	NONE GENERAL	PUBLIC CHARITY	25,000.
TRIATHLETES - US DEPARTMENT OF DEFENSE C/O ENLISTED WELFARE ORGANIZATION WHITEMAN AFB, MO	NONE GENERAL	GOVERNMENT	5,000.
COUNTY OF BOONE 705 E WALNUT ST COLUMBIA, MO 65201	NONE GENERAL	GOVERNMENT	10,000.
VOLUNTARY ACTION CENTER 800 N PROVIDENCE RD SUITE 200 COLUMBIA, MO 65203	NONE GENERAL	PUBLIC CHARITY	576.
CENTRAL MO FOOD BANK 2101 VANDIVER DRIVE SUITE B COLUMBIA, MO 65202	NONE GENERAL	PUBLIC CHARITY	50,000.
ROCK BRIDGE HIGH SCHOOL 4303 S PROVIDENCE RD COLUMBIA, MO 65203	NONE GENERAL	EDUCATIONA INSTITUTIO	500.
ARROW ROCK LYCEUM THEATRE 105 HIGH STREET ARROW ROCK, MO 65320	NONE GENERAL	PUBLIC CHARITY	10,000.
FULTON STATE HOSPITAL FOUNDATION PO BOX 751 FULTON, MO 65251	NONE GENERAL	PUBLIC CHARITY	5,000.

FRED V. & DOROTHY H. HEINKEL CHARITABLE

43-1242045

UNIVERSITY OF MO EXTENSION                      NONE  
 3215B LEMONE BLVD, ROOM 140                    GENERAL  
 COLUMBIA, MO 65201

EDUCATIONA                      3,000.  
 INSTITUTIO

STEPHENS COLLEGE                                  NONE  
 1200 E BROADWAY COLUMBIA, MO                  GENERAL  
 65215

EDUCATIONA                      25,000.  
 INSTITUTIO

CANCER RESEARCH CENTER                          NONE  
 3501 BERRYWOOD DR COLUMBIA, MO              GENERAL  
 65201

PUBLIC                              2,500.  
 CHARITY

TOTAL TO FORM 990-PF, PART XV, LINE 3A

136,576.

# RAYMOND JAMES

November 30 to December 31, 2009

## Your Portfolio

Fred V Heinkel & Dorothy H Heinkel Account No. 52232326

### FRED V & DOROTHY H HEINKEL CHARITABLE FOUNDATION

2009 FORM 990PF EIN: 43-1242045

#### ATTACHMENT A

Page 2, Line 10b

## Equities

### Stocks

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
AT&T INCORPORATED (T)	1,300,000		\$27.230	\$35,398.00	\$28.030	\$36,439.00	5.99%	\$2,184.00	2.94%	\$1,040.00
LOT 1	800,000	10/29/1992	\$16.851	\$13,489.00	\$28.030	\$22,424.00	5.99%	\$1,344.00	66.24%	\$8,935.00
LOT 2	500,000	05/22/2001	\$43.820	\$21,910.00	\$28.030	\$14,015.00	5.99%	\$840.00	(36.03)%	\$7,895.00
ABBOTT LABS (ABT)	1,000,000		\$41.710	\$41,710.26	\$53.990	\$53,990.00	2.96%	\$1,800.00	29.44%	\$12,279.74
LOT 1	400,000		\$44.008	\$17,602.39	\$53.990	\$21,538.00	2.96%	\$640.00	22.69%	\$3,933.61
LOT 2	600,000	09/08/2003	\$40.180	\$24,107.87	\$53.990	\$32,394.00	2.96%	\$960.00	34.37%	\$8,286.13
ALLSTATE CORPORATION (ALL)	500,000	08/08/2003	\$37.378	\$18,689.00	\$30.040	\$15,020.00	2.66%	\$400.00	(19.63)%	\$3,669.00
AMERICAN INTERNATIONAL GROUP INCORPORATED COM NEW (AIG)	30,000		\$1,653.000	\$49,590.00	\$29.980	\$899.40			(98.19)%	\$48,690.60
LOT 1	10,000	05/22/2001	\$1,681.000	\$16,810.00	\$29.980	\$298.80			(98.22)%	\$16,510.20
LOT 2	20,000	11/15/2001	\$1,639.000	\$32,780.00	\$29.980	\$599.60			(98.17)%	\$32,180.40

# RAYMOND JAMES

November 30 to December 31, 2009

## Your Portfolio (continued)

Fred V Heinkel & Dorothy H Heinkel Account No. 52232326

### Equities (continued)

#### Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
<b>AMGEN INCORPORATED (AMGN)</b>	1,000,000		\$38.548	\$38,548.19	\$56.570	\$56,570.00			54.79%	\$20,023.81
LOT 1	400,000	02/02/1994	\$5.463	\$2,185.23	\$56.570	\$22,628.00			935.50%	\$20,442.77
LOT 2	600,000	05/10/2004	\$67.268	\$34,360.96	\$56.570	\$33,942.00			(1.22)%	\$(418.96)
<b>AUTOMATIC DATA PROCESSING INCORPORATED (ADP)</b>	1,500,000		\$25.883	\$38,826.29	\$42.820	\$64,230.00	3.18%	\$2,040.00	86.66%	\$25,680.71
LOT 1	800,000	05/25/1995	\$13.787	\$11,028.29	\$42.820	\$34,256.00	3.18%	\$1,088.00	210.59%	\$23,228.71
LOT 2	400,000	08/08/2003	\$36.618	\$14,847.01	\$42.820	\$17,128.00	3.18%	\$544.00	16.94%	\$2,480.99
LOT 3	300,000	05/10/2004	\$42.877	\$12,862.99	\$42.820	\$12,846.00	3.18%	\$408.00	(0.13)%	\$(16.99)
<b>AVERY DENNISON CORPORATION (AVY)</b>	500,000	12/15/1996	\$44.236	\$22,118.75	\$36.460	\$18,245.00	2.19%	\$400.00	(17.51)%	\$(3,873.75)
<b>BP PLC SPONSORED ADR (UNITED KINGDOM) (BP)</b>	1,000,000		\$41.943	\$41,942.94	\$57.970	\$57,970.00	5.60%	\$3,360.00	38.21%	\$16,027.06
LOT 1	124,000	01/07/1997	\$40.856	\$5,066.16	\$57.970	\$7,188.28	5.60%	\$416.64	41.89%	\$2,122.12
LOT 2	450,000	01/08/1997	\$40.884	\$18,307.72	\$57.970	\$26,098.50	5.60%	\$1,512.00	42.49%	\$7,778.78
LOT 3	426,000	09/08/2003	\$43.599	\$18,569.06	\$57.970	\$24,685.22	5.60%	\$1,431.36	32.99%	\$6,126.16
<b>BANK OF AMERICA CORPORATION (BAC)</b>	1,461,000		\$15.306	\$22,361.90	\$15.060	\$22,002.66	0.27%	\$58.44	(1.51)%	\$(359.24)
LOT 1	859,350	01/12/1998	\$14.782	\$12,702.78	\$15.060	\$12,941.81	0.27%	\$34.37	1.88%	\$239.03
LOT 2	601,650	01/19/1996	\$16.054	\$9,659.12	\$15.060	\$9,060.85	0.27%	\$24.07	(6.19)%	\$(698.27)
<b>BERKSHIRE HATHAWAY INCORPORATED DEL CLASS B (BRK.B)</b>	17,000		\$1,438.716	\$24,458.18	\$3,286.000	\$55,862.00			128.40%	\$31,403.82
LOT 1	14,900	01/18/1996	\$1,439.381	\$21,446.78	\$3,286.000	\$48,981.40			128.29%	\$27,514.62
LOT 2	2,100	02/01/1996	\$1,434.000	\$3,011.40	\$3,286.000	\$6,900.60			129.15%	\$3,889.20

# RAYMOND JAMES

November 30 to December 31, 2009

## Your Portfolio (continued)

Fred V Heinke & Dorothy H Heinke Account No. 52232326

### Equities (continued)

#### Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
BEST BUY INCORPORATED (BBY)	1,575,000	07/20/2000	\$33.356	\$52,535.00	\$39.460	\$62,149.50	1.42%	\$882.00	18.30%	\$9,614.50
BOEING COMPANY (BA)	600,000	06/12/1998	\$44.738	\$26,842.50	\$54.130	\$32,478.00	3.10%	\$1,008.00	20.89%	\$5,635.50
BROADRIDGE FINL SOLUTIONS INCORPORATED (BR)	375,000		\$11.002	\$4,125.71	\$22.560	\$8,460.00	2.48%	\$210.00	105.06%	\$4,334.29
LOT 1	200,000	05/25/1995	\$5.904	\$1,180.71	\$22.580	\$4,512.00	2.48%	\$112.00	282.14%	\$3,331.29
LOT 2	100,000	09/08/2003	\$15.680	\$1,567.99	\$22.560	\$2,256.00	2.48%	\$56.00	43.88%	\$688.01
LOT 3	75,000	05/10/2004	\$18.360	\$1,377.01	\$22.560	\$1,692.00	2.48%	\$42.00	22.87%	\$314.99
CARNIVAL CORPORATION PAIRED CTF (PANAMA) (CCL)	1,500,000	01/11/1998	\$11.908	\$17,862.00	\$31.690	\$47,535.00			168.12%	\$29,673.00
CHEVRON CORPORATION NEW (CVX)	1,000,000	07/20/2000	\$40.244	\$40,243.75	\$76.890	\$76,890.00	3.53%	\$2,720.00	91.31%	\$38,746.25
CISCO SYSTEMS INCORPORATED (CSCO)	2,400,000	12/15/1998	\$20.401	\$48,961.50	\$23.940	\$57,456.00			17.35%	\$8,494.50
CITIGROUP INCORPORATED (C)	1,500,000		\$47.110	\$70,664.68	\$3.310	\$4,965.00			(92.87)%	\$(65,699.68)
LOT 1	800,000	05/22/2001	\$48.592	\$38,873.68	\$3.310	\$2,648.00			(93.18)%	\$(38,225.68)
LOT 2	700,000	05/10/2004	\$45.418	\$31,791.00	\$3.310	\$2,317.00			(92.71)%	\$(29,474.00)
COCA COLA COMPANY (KO)	500,000		\$65.175	\$32,587.50	\$57.000	\$28,500.00	2.88%	\$820.00	(12.54)%	\$(4,087.50)
CONOCOPHILLIPS (COP)	1,800,000	07/10/1997	\$22.026	\$39,645.00	\$51.070	\$91,928.00	3.92%	\$3,600.00	131.87%	\$52,281.00
EXXON MOBIL CORPORATION (XOM)	1,500,000		\$30.784	\$46,253.90	\$68.190	\$102,104.00	2.46%	\$2,688.00	121.51%	\$55,850.10
LOT 1	1,320,000	08/12/1998	\$28.958	\$38,239.40	\$68.190	\$90,010.80	2.46%	\$2,217.60	125.90%	\$51,771.40
LOT 2	280,000	07/20/2000	\$39.338	\$11,014.50	\$68.190	\$19,093.20	2.46%	\$470.40	73.35%	\$8,078.70

November 30 to December 31, 2009

RAYMOND JAMES

## Your Portfolio (continued)

Fred V Heinkel &amp; Dorothy H Heinkel Account No. 52232326

## Equities (continued)

## Stocks (continued)

Description	(Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
FEDERAL NATIONAL MORTGAGE ASSOCIATION (FNM)		500,000		\$89.567	\$34,783.37	\$1.180	\$590.00			(98.30)%	\$(-34,193.37)
LOT 1		250,000		\$89.237	\$17,309.37	\$1.180	\$295.00			(98.30)%	\$(-17,014.37)
LOT 2		250,000	09/08/2003	\$69.896	\$17,474.00	\$1.180	\$295.00			(98.31)%	\$(-17,179.00)
FEDEX CORPORATION (FDX)		800,000		\$47.300	\$37,840.00	\$83.450	\$66,780.00	0.53%	\$352.00	78.43%	\$28,920.00
HOME DEPOT INCORPORATED (HD)		1,000,000		\$40.858	\$40,858.17	\$28.930	\$28,930.00	3.11%	\$900.00	(29.19)%	\$(-11,928.17)
LOT 1		450,000	03/31/1999	\$42.700	\$19,215.00	\$28.930	\$13,018.50	3.11%	\$405.00	(32.25)%	\$(-6,196.50)
LOT 2		250,000	07/19/1999	\$46.117	\$11,528.17	\$28.930	\$7,232.50	3.11%	\$225.00	(37.27)%	\$(-4,296.67)
LOT 3		300,000	09/08/2003	\$33.713	\$10,114.00	\$28.930	\$8,679.00	3.11%	\$270.00	(14.19)%	\$(-1,435.00)
HONEYWELL INTERNATIONAL INCORPORATED (HON)		1,800,000		\$43.473	\$78,251.00	\$39.200	\$70,560.00	3.09%	\$2,178.00	(9.83)%	\$(-7,691.00)
LOT 1		1,000,000	07/20/2000	\$38.175	\$38,175.00	\$39.200	\$39,200.00	3.09%	\$1,210.00	8.35%	\$3,025.00
LOT 2		800,000	05/22/2001	\$52.595	\$42,078.00	\$39.200	\$31,360.00	3.09%	\$968.00	(25.47)%	\$(-10,718.00)
HOSPIRA INCORPORATED (HSP)		100,000		\$27.687	\$2,768.74	\$51.000	\$5,100.00			84.33%	\$2,333.26
LOT 1		40,000		\$29.190	\$1,167.61	\$51.000	\$2,040.00			74.72%	\$872.39
LOT 2		60,000	08/08/2003	\$28.652	\$1,599.13	\$51.000	\$3,080.00			91.35%	\$1,480.87
JPMORGAN CHASE & COMPANY (JPM)		1,350,000	01/11/1996	\$18.148	\$24,500.25	\$41.670	\$56,254.50	0.48%	\$270.00	129.61%	\$31,754.25
LILLY ELI & COMPANY (LLY)		500,000	07/19/1999	\$75.800	\$37,900.00	\$35.710	\$17,855.00	5.49%	\$980.00	(52.89)%	\$(-20,045.00)
LOWES COMPANIES INCORPORATED (LOW)		2,400,000	07/20/2000	\$11.684	\$28,042.50	\$23.390	\$56,138.00	1.54%	\$884.00	100.18%	\$28,093.50
MEDTRONIC INCORPORATED (MDT)		1,200,000	12/15/1998	\$34.088	\$40,905.00	\$43.980	\$52,778.00	1.86%	\$984.00	29.02%	\$11,871.00



52232326-24-1 05/28/2013

# RAYMOND JAMES

November 30 to December 31, 2009

## Your Portfolio (continued)

Fred V Heinkel & Dorothy H Heinkel Account No. 52232326

### Equities (continued)

#### Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
MICROSOFT CORPORATION (MSFT)	1,400,000		\$40.025	\$56,034.50	\$30.480	\$42,672.00	1.71%	\$728.00	(23.65)%	\$13,362.50
LOT 1	1,200,000	04/21/1999	\$40.906	\$49,087.50	\$30.480	\$36,576.00	1.71%	\$824.00	(25.49)%	\$12,511.50
LOT 2	200,000	05/22/2001	\$34.735	\$6,947.00	\$30.480	\$6,096.00	1.71%	\$104.00	(12.25)%	\$851.00
NEWELL RUBBERMAID INCORPORATED (NWL)	500,000	09/09/2003	\$24.418	\$12,209.00	\$15.010	\$7,505.00	1.33%	\$100.00	(38.53)%	\$4,704.00
NOKIA CORPORATION SPONSORED ADR (FINLAND) (NOK)	800,000	01/25/2000	\$45.731	\$36,585.00	\$12.850	\$10,280.00	3.08%	\$314.40	(71.90)%	\$28,305.00
ORACLE CORPORATION (ORCL)	2,000,000	07/10/1997	\$5.782	\$11,563.33	\$24.530	\$49,060.00	0.82%	\$400.00	323.54%	\$37,476.67
PATTERSON UTI ENERGY INCORPORATED (PTEN)	1,000,000	09/09/2003	\$13.904	\$13,904.00	\$15.350	\$15,350.00	1.30%	\$200.00	10.40%	\$1,446.00
PEPSICO INCORPORATED (PEP)	800,000	07/19/1999	\$38.738	\$30,990.00	\$60.800	\$48,640.00	2.96%	\$1,440.00	58.95%	\$17,650.00
PROCTER & GAMBLE COMPANY (PG)	1,600,000		\$38.735	\$58,776.16	\$80.630	\$97,008.00	2.90%	\$2,816.00	65.05%	\$38,231.84
LOT 1	900,000	12/15/1998	\$44.083	\$39,686.69	\$80.630	\$48,504.00	2.90%	\$1,408.00	37.53%	\$13,237.31
LOT 2	800,000	07/20/2000	\$28.387	\$22,709.47	\$80.630	\$48,504.00	2.90%	\$1,408.00	108.32%	\$24,994.53
QUALCOMM INCORPORATED (QCOM)	1,600,000	07/19/1999	\$19.396	\$31,033.00	\$46.280	\$74,016.00	1.47%	\$1,088.00	138.51%	\$42,983.00
RAYTHEON COMPANY COM NEW (RTN)	500,000		\$47.914	\$23,956.88	\$51.520	\$25,760.00	2.41%	\$820.00	7.53%	\$1,803.12
LOT 1	325,000	01/12/1998	\$45.945	\$14,932.13	\$51.520	\$16,744.00	2.41%	\$403.00	12.13%	\$1,811.87
LOT 2	175,000	02/22/1998	\$51.670	\$9,024.75	\$51.520	\$9,016.00	2.41%	\$217.00	(0.10)%	\$8.75
RYANAIR HLDGS PLC SPONSORED ADR (IRELAND, REPUBLIC OF) (RYAAY)	1,000,000	09/09/2003	\$22.924	\$22,924.00	\$26.810	\$26,810.00			18.95%	\$3,886.00

November 30 to December 31, 2009

## Your Portfolio (continued)

Fred V Heinkel & Dorothy H Heinkel Account No. 52232326

### Equities (continued)

#### Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct.	Gain or (Loss)
<b>SIGMA ALDRICH CORPORATION (SIAL)</b>	2,400,000		\$12.508	\$30,019.95	\$50.550	\$121,320.00	1.15%	\$1,392.00	304.13%	\$91,300.05
LOT 1	1,400,000	11/22/1995	\$11.898	\$16,362.50	\$50.550	\$70,770.00	1.15%	\$812.00	332.51%	\$54,407.50
LOT 2	1,000,000	02/16/1996	\$13.857	\$13,657.45	\$50.550	\$50,550.00	1.15%	\$580.00	270.13%	\$36,892.55
<b>SMUCKER J M COMPANY COM NEW (SJUN)</b>	16,000		\$28.990	\$463.84	\$61.750	\$988.00	2.27%	\$22.40	113.00%	\$524.16
LOT 1	8,000	12/15/1998	\$34.789	\$278.31	\$61.750	\$494.00	2.27%	\$11.20	77.50%	\$215.69
LOT 2	8,000	07/20/2000	\$23.191	\$185.53	\$61.750	\$494.00	2.27%	\$11.20	166.26%	\$308.47
<b>STARWOOD HOTELS/RESORTS WORLDW (HOT)</b>	1,000,000	11/16/2001	\$18.410	\$18,410.00	\$36.570	\$36,570.00	0.55%	\$200.00	98.64%	\$18,160.00
<b>TARGET CORPORATION (TGT)</b>	1,000,000	07/19/1999	\$34.869	\$34,868.75	\$48.370	\$48,370.00	1.41%	\$680.00	38.72%	\$13,501.25
<b>WESTERN UN COMPANY (WU)</b>	365,000		\$35.816	\$13,072.85	\$18.850	\$6,880.25	0.32%	\$21.80	(47.37)%	\$6,192.80
<b>XLINK INCORPORATED (XLNX)</b>	2,000,000		\$9.043	\$18,085.38	\$25.060	\$50,120.00	2.55%	\$1,280.00	211.59%	\$34,034.62
LOT 1	160,000	03/07/1996	\$7.969	\$1,275.00	\$25.060	\$4,009.60	2.55%	\$102.40	214.48%	\$2,734.60
LOT 2	358,000	03/07/1996	\$8.000	\$2,848.00	\$25.060	\$8,921.36	2.55%	\$227.84	213.25%	\$6,073.36
LOT 3	358,000	03/07/1998	\$8.183	\$2,918.63	\$25.060	\$8,921.36	2.55%	\$227.84	206.89%	\$6,004.83
LOT 4	164,000	03/07/1998	\$8.216	\$1,347.42	\$25.060	\$4,109.84	2.55%	\$104.96	205.02%	\$2,762.42
LOT 5	604,000	03/08/1996	\$7.908	\$4,776.43	\$25.060	\$15,136.24	2.55%	\$386.58	218.89%	\$10,359.81
LOT 6	96,000	03/08/1998	\$8.148	\$283.24	\$25.060	\$2,405.76	2.55%	\$23.04	207.65%	\$608.92
LOT 7	324,000	03/08/1998	\$8.113	\$2,628.76	\$25.060	\$8,119.44	2.55%	\$207.36	208.87%	\$6,490.88



# RAYMOND JAMES

November 30 to December 31, 2009

## Your Portfolio (continued)

Fred V Heinkel & Dorothy H Heinkel Account No. 52232326

### Equities (continued)

#### Stocks (continued)

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct	Gain or (Loss)
AMDOCS LIMITED ORD (UNITED KINGDOM) (DOX)	500,000	08/09/2003	\$20.958	\$10,479.00	\$28.530	\$14,285.00			38.13%	\$3,766.00
<b>Stocks Total</b>				\$1,461,319.72		\$1,831,387.31	2.09%	\$39,801.14	32.17%	\$470,047.59

#### REITs / Tangibles †

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Est. Income Yield	Est. Annual Income	Gain or (Loss) Pct	Gain or (Loss)
HOTEL & RESORTS INCORPORATED REIT (HST)	628,000		\$20.285	\$12,704.44	\$11.670	\$7,305.42			(42.50)%	\$15,369.02
LOT 1	811,371	04/20/2008	\$20.530	\$12,551.45	\$11.670	\$7,134.70			(43.16)%	\$15,418.75
LOT 2	14,629	Reinvests	\$10.458	\$152.89	\$11.670	\$170.72			11.58%	\$17.73
<b>REITs / Tangibles Total</b>				\$12,704.44		\$7,305.42	0.00%		(42.50)%	\$15,369.02

† Please see REITs/Tangibles on the Understanding Your Statement page.

### Equities Total

\$1,474,024.16      \$1,838,672.73      2.05%      \$39,801.14      31.52%      \$464,648.57

Form **990-W**

**Estimated Tax on Unrelated Business Taxable  
Income for Tax-Exempt Organizations**

OMB No 1545-0976

(WORKSHEET)  
Department of the Treasury  
Internal Revenue Service

(and on Investment Income for Private Foundations) **FORM 990-PF**  
(Keep for your records Do not send to the Internal Revenue Service )

**2009**<sup>2010</sup>

1	Unrelated business taxable income expected in the tax year	1	
2	Tax on the amount on line 1. See instructions for tax computation	2	
3	Alternative minimum tax (see instructions)	3	
4	Total. Add lines 2 and 3	4	
5	Estimated tax credits (see instructions)	5	
6	Balance. Subtract line 5 from line 4	6	
7	Other taxes (see instructions)	7	
8	Total. Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels (see instructions)	9	
10a	Subtract line 9 from line 8. <b>Note.</b> If less than \$500, the organization is not required to make estimated tax payments. Private foundations, see instructions	10a	
b	Enter the tax shown on the 2009 return (see instructions). <b>Caution.</b> If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	1,109.
c	<b>2010 Estimated Tax</b> Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	1,120.
		<b>ADJUSTED TO</b>	
		(a)	(b)
11	Installment due dates (see instructions)	11	05/17/10
12	Required installments. Enter 25% of line 10c in columns (a) through (d) unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization" (see instructions)	12	1,120.
13	2009 Overpayment (see instructions)	13	
14	Payment due. (Subtract line 13 from line 12.)	14	1,120.

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-W** (2010)