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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009**Open to Public Inspection****A For the 2009 calendar year, or tax year beginning****, and ending**

- B** Check if applicable:
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization Community Foundation of the Great River Bend

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

852 Middle Rd

Room/suite

100

City or town, state or country, and ZIP + 4

Bettendorf

IA

52722

D Employer identification number

42-6122716

E Telephone number

563-326-2840

G Gross receipts \$

24,387,138

F Name and address of principal officer.

Susan S. Skora 852 Middle Rd, Ste 100, Bettendorf, IA 52722

H(a) Is this a group return for affiliates?☐ Yes ☒ No**H(b) Are all affiliates included?**☐ Yes ☐ No

If "No," attach a list (see instructions)

I Tax-exempt status ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527**J Website:** ▶ www.cfgrb.org**H(c) Group exemption number** ▶**K Form of organization** ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L Year of formation** 1964**M State of legal domicile** IA**Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: The Community Foundation is a collection of endowments and other charitable funds brought to us by a wide variety of donors. The earnings from these funds is distributed to non profits in our region. Our mission is "better our community by connecting people who care with causes that matter".	
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.	
	3	Number of voting members of the governing body (Part VI, line 1a)	15
	4	Number of independent voting members of the governing body (Part VI, line 1b)	15
	5	Total number of employees (Part V, line 2a)	14
	6	Total number of volunteers (estimate if necessary)	264
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	50,000
	7b	Net unrelated business taxable income from Form 990-T, line 34	2,597
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year: 9,382,317 Current Year: 11,295,341
	9	Program service revenue (Part VIII, line 2g)	0 0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	580,835 -648,818
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-67,833 1,897
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	9,895,319 10,648,420
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	3,136,880 4,044,409
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0 0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	457,907 526,363
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0 0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 41,606	
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24d)	851,387 837,792
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	4,446,174 5,408,564
Net Assets or Fund Balances	19	Revenue less expenses. Subtract line 18 from line 12	5,449,145 5,239,856
	20	Total assets (Part X, line 16)	Beginning of Current Year: 45,839,424 End of Year: 60,779,748
	21	Total liabilities (Part X, line 26)	1,415,819 1,543,413
	22	Net assets or fund balances. Subtract line 21 from line 20	44,423,605 59,236,335

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Signature of officer: Susan S Skora

Date: 8-9-10

Type or print name and title: Susan S Skora, President/CEO

Sign Here
Preparer's Use Only

Preparer's signature

SELF-PREPARED RETURN

Date

Check if self-employed ☐

Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4

EIN

Phone no

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

(HTA)

Form **990** (2009)

917-19

3

Part III Statement of Program Service Accomplishments**1** Briefly describe the organization's mission:

To better our community by connecting people who care with causes that matter

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 2,575,764 including grants of \$ 2,400,335) (Revenue \$ 0)

Donor Advised Grants - CFGRB operates donor advised funds to fulfill their mission of "connecting people who care with causes that matter". Donors advise staff of requested grants, staff then performs appropriate due diligence on the request. If grant is deemed appropriate by staff it is then awarded. The Board of Directors is given a list at each Board meeting for approval.

4b (Code:) (Expenses \$ 1,644,074 including grants of \$ 1,644,074) (Revenue \$ 0)

Unrestricted and Designated Grants - Grants are allocated through both responsive and proactive methods. A committee made up of both Board and community members meet twice each year to review grant applications and award responsive grants. A board committee for strategic grantmaking reviews current community issues to identify larger scale projects and partnerships. Staff provides community leadership and facilitates convening of natural stakeholders to address Board identified priorities. Larger multi-year grants are considered and awarded by the Board Committee and monitored for impact. The major issues currently being addressed are 1) increasing the high school graduation rate, 2) improving the nursing shortage, 3) reducing youth homelessness and 4) improving early childhood education.

4c (Code:) (Expenses \$ 65,924 including grants of \$ 0) (Revenue \$ 0)

Administrative Support for Grant and Scholarship Program - This support consists of processing all grant and scholarship applications including performing due diligence, supporting the grants committee, preparing the check and any required follow up. This also includes staff time for convening key community stakeholders for discussion on larger more strategic community issues.

4d Other program services (Describe in Schedule O)

(Expenses \$ 0 including grants of \$ 0) (Revenue \$ 0)

4e Total program service expenses ▶ 4,285,762

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II		X
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III		
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11 Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable	X	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI		
• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		
• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		
• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X		
12 Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII		X
12A Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional.	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20 Did the organization operate one or more hospitals? If "Yes," complete Schedule H		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	X	
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	27	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	14	
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	X	
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4b	If "Yes," enter the name of the foreign country: See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7d	If "Yes," indicate the number of Forms 8282 filed during the year		
7e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
7g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		
7h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		X
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the organization make any taxable distributions under section 4966?		X
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		X
10	Section 501(c)(7) organizations. Enter:		
10a	Initiation fees and capital contributions included on Part VIII, line 12		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter:		
11a	Gross income from members or shareholders		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	1a	1b	Yes	No
1a Enter the number of voting members of the governing body	15			
b Enter the number of voting members that are independent		15		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?				X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?				X
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?				X
5 Did the organization become aware during the year of a material diversion of the organization's assets?				X
6 Does the organization have members or stockholders?				X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?				X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?				X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a The governing body?			X	
b Each committee with authority to act on behalf of the governing body?			X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O				X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	X	
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	X	
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13 Does the organization have a written whistleblower policy?	X	
14 Does the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► IL

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply

☒ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Susan S. Skora, Community Foundation of the Great Ri 563-326-2840
852 Middle Rd, Suite 100, Bettendorf, IA 52722

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Richard G. Kleine Past Board Chair	10	X		X				0	0	0
Diane B. Harris Board Chair	10	X		X				0	0	0
Pete Wessels Secretary	5	X		X				0	0	0
Chris Wahlig Treasurer	5	X		X				0	0	0
Mike Drymiller 1st Vice Chair	5	X		X				0	0	0
Deann Thoms 2nd Vice Chair	5	X		X				0	0	0
Katie Andrios Board Member	5	X						0	0	0
John Blong Board Member	5	X						0	0	0
Edward Cervantes Board Member	5	X						0	0	0
Dennis Norling Board Member	5	X						0	0	0
Frank Nowinski Board Member	5	X						0	0	0
Jean Steffenson Board Member	5	X						0	0	0
Alan Anderson Board Member	5	X						0	0	0
Marie Ziegler Board Member	5	X						0	0	0
Susan S. Skora President/CEO	50			X	X	X		109,000	0	2,000

[illegible]

	Yes	No
3		X
4		X
5		X

Form **990** (2009)

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a	0			
	b	Membership dues	1b	0			
	c	Fundraising events	1c	0			
	d	Related organizations	1d	0			
	e	Government grants (contributions)	1e	0			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	11,295,341			
	g	Noncash contributions included in lines 1a-1f: \$		1,623,715			
	h	Total. Add lines 1a-1f		11,295,341			
Program Service Revenue			Business Code				
	2a			0			
	b			0			
	c			0			
	d			0			
	e			0			
	f	All other program service revenue		0			
	g	Total. Add lines 2a-2f		0			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		1,236,044			
	4	Income from investment of tax-exempt bond proceeds		0			
	5	Royalties		0			
			(i) Real	(ii) Personal			
	6a	Gross Rents					
	b	Less: rental expenses					
	c	Rental income or (loss)	0	0			
	d	Net rental income or (loss)		0			
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
			11,834,337	0			
	b	Less: cost or other basis and sales expenses		13,719,199	0		
	c	Gain or (loss)		-1,884,862	0		
	d	Net gain or (loss)		-1,884,862			
	8a	Gross income from fundraising events (not including \$ 15,894 of contributions reported on line 1c) See Part IV, line 18					
			a	35,842			
	b	Less: direct expenses	b	19,519			
	c	Net income or (loss) from fundraising events		16,323			
	9a	Gross income from gaming activities. See Part IV, line 19	a	0			
			b	0			
	c	Net income or (loss) from gaming activities		0			
	10a	Gross sales of inventory, less returns and allowances	a	0			
			b	0			
c	Net income or (loss) from sales of inventory		0				
Miscellaneous Revenue		Business Code					
11a	Administrative & Support Services	561300	50,000		50,000		
b	Minority int-realized loss in other Investment Po	900099	-90,622				
c			0				
d	All other revenue		26,196				
e	Total. Add lines 11a-11d		-14,426				
12	Total revenue. See instructions		10,648,420	0	50,000	0	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	3,694,013	3,694,013		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22	350,396	350,396		
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	0	0		
4	Benefits paid to or for members	0	0		
5	Compensation of current officers, directors, trustees, and key employees	108,000	0	97,400	10,600
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0
7	Other salaries and wages	360,300	48,018	291,332	20,951
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	12,956		12,956	
9	Other employee benefits	9,779	1,441	8,338	
10	Payroll taxes	35,328	3,673	31,653	
11	Fees for services (non-employees):				
a	Management				
b	Legal	150		150	
c	Accounting	15,000		15,000	
d	Lobbying	0			
e	Professional fundraising services See Part IV, line 17	0			
f	Investment management fees	248,852		248,852	
g	Other	74,409	2,709	71,700	
12	Advertising and promotion	33,749		24,514	9,235
13	Office expenses	26,335	2,174	24,161	
14	Information technology	45,921	8,364	36,737	820
15	Royalties	0			
16	Occupancy	83,012	0	83,012	
17	Travel	12,130		12,130	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	33,650		33,650	
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	22,892	0	22,892	0
23	Insurance	10,789		10,789	
24	Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a	Miscellaneous	52,713	-455	53,168	
b	UBIT Tax expense	261		261	
c	Charitable Expenses (See Schedule O)	175,429	175,429		
d	Payments to Affiliates	2,500		2,500	
e		0			
f	All other expenses	0			
25	Total functional expenses. Add lines 1 through 24f	5,408,564	4,285,762	1,081,195	41,606
26	Joint costs. Check here ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	2,133,684	1	1,844,212
	2 Savings and temporary cash investments	7,841,017	2	6,871,404
	3 Pledges and grants receivable, net	0	3	0
	4 Accounts receivable, net	0	4	0
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0	5	0
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L	0	6	0
	7 Notes and loans receivable, net	234,960	7	207,183
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	7,675	9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	152,027		
	b Less: accumulated depreciation	93,291		
	11 Investments—publicly traded securities	32,229,608	11	42,931,461
	12 Investments—other securities. See Part IV, line 11	1,361,889	12	1,724,590
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets. See Part IV, line 11	1,962,131	15	7,142,162
16 Total assets. Add lines 1 through 15 (must equal line 34)	45,839,424	16	60,779,748	
Liabilities	17 Accounts payable and accrued expenses	145,157	17	176,514
	18 Grants payable	350,312	18	413,681
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities	0	20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	0	24	0
	25 Other liabilities. Complete Part X of Schedule D	920,350	25	953,218
	26 Total liabilities. Add lines 17 through 25	1,415,819	26	1,543,413
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	41,524,189	27	55,363,005
	28 Temporarily restricted net assets	2,899,416	28	3,873,330
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	44,423,605	33	59,236,335	
34 Total liabilities and net assets/fund balances	45,839,424	34	60,779,748	

Part XI Financial Statements and Reporting

		Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
2b	Were the organization's financial statements audited by an independent accountant?	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

Community Foundation of the Great River Bend

Employer identification number

42-6122716

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? . . .
- (ii) A family member of a person described in (i) above? . . .
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? . . .

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
									0
									0
									0
									0
									0
									0
Total									0

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	7,384,791	3,962,734	10,192,421	9,382,317	11,311,664	42,233,927
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.	0	0	0	0		0
3 The value of services or facilities furnished by a governmental unit to the organization without charge.	0	0	0	0		0
4 Total. Add lines 1 through 3.	7,384,791	3,962,734	10,192,421	9,382,317	11,311,664	42,233,927
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						8,065,106
6 Public support. Subtract line 5 from line 4.						34,168,821

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4.	7,384,791	3,962,734	10,192,421	9,382,317	11,311,664	42,233,927
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources.	835,315	1,734,966	2,404,800	1,599,489	1,236,044	7,810,614
9 Net income from unrelated business activities, whether or not the business is regularly carried on.	0	2,734	520	3,815	3,597	10,666
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV).	0	0	0	0		0
11 Total support. Add lines 7 through 10.						50,055,207
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	68.26%
15 Public support percentage from 2008 Schedule A, Part II, line 14.	15	72.00%
16a 33 1/3% support test—2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization. ▶ ☒		
b 33 1/3% support test—2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here . The organization qualifies as a publicly supported organization. ▶ ☐		
17a 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ▶ ☐		
b 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ▶ ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	0	0	0	0		0
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	0	0	0	0		0
3 Gross receipts from activities that are not an unrelated trade or business under section 513						0
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	0	0	0	0		0
5 The value of services or facilities furnished by a governmental unit to the organization without charge	0	0	0	0		0
6 Total. Add lines 1 through 5	0	0	0	0	0	0
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b	0	0	0	0	0	0
8 Public support. (Subtract line 7c from line 6)						0

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6	0	0	0	0	0	0
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						0
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	0	0	0	0	0	0
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	0	0	0	0		0
13 Total support. (Add lines 9, 10c, 11, and 12)	0	0	0	0	0	0
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	0 00%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	0 00%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	0 00%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	0.00%
19a 33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization ☐		
b 33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization ☐		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐		

Part IV

Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

Area with horizontal dashed lines for supplemental information.

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

- ▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**
▶ **Attach to Form 990.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

Employer identification number

Community Foundation of the Great River Bend

42-6122716

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	148	0
2 Aggregate contributions to (during year)	2,033,613	0
3 Aggregate grants from (during year)	2,400,335	0
4 Aggregate value at end of year	12,233,137	0

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☒ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e.g., recreation or pleasure)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1	▶ \$
(ii) Assets included in Form 990, Part X	▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

a Revenues included in Form 990, Part VIII, line 1	▶ \$
b Assets included in Form 990, Part X	▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

	Amount
c Beginning balance	1c 0
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f 0

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☒ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	33,791,501	39,800,133			
b Contributions	8,069,797	6,151,338			
c Net investment earnings, gains, and losses	7,366,027	-10,129,621			
d Grants or scholarships	1,678,513	1,545,088			
e Other expenditures for facilities and programs	-7,091	36,442			
f Administrative expenses	480,295	448,819			
g End of year balance	47,075,608	33,791,501			

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ 100%

b Permanent endowment ▶ %

c Term endowment ▶ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	0		0
b Buildings	0	0	0	0
c Leasehold improvements	0	19,375	11,625	7,750
d Equipment	0	117,152	78,566	38,586
e Other	0	15,500	3,100	12,400
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				58,736

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives	0	
Closely-held equity interests	0	
Other Interest in other Community Foundation	1,724,590	F
.....	0	
.....	0	
.....	0	
.....	0	
.....	0	
.....	0	
.....	0	
.....	0	
.....	0	
Total. (Column (b) must equal Form 990, Part X, col (B) line 12) ▶	1,724,590	

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
	0	
Total (Column (b) must equal Form 990, Part X, col (B) line 13) ▶	0	

(a) Description	(b) Book value
Cash Surrender Value of Life Insurance Policy	376,592
Accrued Interest	112,719
Contributions Receivable - Estates	4,378,300
Contributions Receivable - Unitrusts	2,274,551
	0
	0
	0
	0
	0
	0
Total. (Column (b) must equal Form 990, Part X, col (B) line 15)	7,142,162

1.	(a) Description of liability	(b) Amount
	Federal income taxes	0
	Amount due under Annuity and Trust Agreements	953,218
		0
		0
		0
		0
		0
		0
		0
		0
		0
		0
	Total. (Column (b) must equal Form 990, Part X, col (B) line 25)	953,218

Schedule D (Form 990) 2009

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	10,648,420
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	5,408,564
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	5,239,856
4	Net unrealized gains (losses) on investments	4	9,580,192
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	-2,202,325
9	Total adjustments (net). Add lines 4 through 8	9	7,377,867
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	12,617,723

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	17,617,858
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	9,580,192
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	9,580,192
3	Subtract line 2e from line 1	3	8,037,666
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	248,853
b	Other (Describe in Part XIV.)	4b	2,361,901
c	Add lines 4a and 4b	4c	2,610,754
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	10,648,420

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	5,000,135
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	5,000,135
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	248,853
b	Other (Describe in Part XIV.)	4b	159,576
c	Add lines 4a and 4b	4c	408,429
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	5,408,564

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4; Part X, line 2, Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Part X Line 2 The Foundation adopted the provisions of ASC 740-10, Accounting for

Uncertainty in Income Taxes. The Foundation files a Form 990 annually. When these returns

are filed, it is highly certain that some positions taken would be sustained upon

examination by the taxing authorities, while others are subject to uncertainty about the

merits of the tax positions taken or the amount of the position that would ultimately be

sustained. Examples of tax positions common to non profit organizations include

Part X Line 2 such matters as the following, the tax exempt status of each entity and

Part XIV Supplemental Information *(continued)*

various positions relative to potential sources UBIT. UBIT is reported on 990-T as appropriate. The benefit of tax position is recognized in the financial statements in the period during which, based on all available evidence, management believes that it is more likely than not that the position will be sustained upon examination, including the resolution of appeals or litigation processes, if any. Tax positions are

Part X Line 2 not offset or aggregated with other positions. Tax positions that meet the "more likely than not" recognition threshold are measured as the largest amount of tax benefit that is more than 50% likely to be realized on settlement with the applicable taxing authority. The portion of the benefits associated with tax positions taken that exceeds the amount measured as described above is reflected as a liability for uncertain tax benefits in the accompanying statement of financial position along

Part X Line 2 any associated interest and penalties that would be payable to the taxing authorities upon examination. Upon the adoption of this guidance on January 1, 2009 and as of December 31, 2009, there were no uncertain tax benefits identified and recorded as a liability.

Part XI Line 8 Amount Held for Others (FASB 136) + CFGRB Realty Holdings, Inc. (supporting organization included in the Audit)

Part XII Line 4b Amount Held for Others (FASB 136) + CFGRB Realty Holdings, Inc. (supporting organization included in the Audit)

Part XIII Line 4b Amount Held for Others (FASB 136) + CFGRB Realty Holdings, Inc. (supporting organization included in the Audit)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

**Open To Public
Inspection**

Name of the organization

Community Foundation of the Great River Bend

Employer identification number

42-6122716

Part I

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|--|---|
| a ☐ Mail solicitations | e ☐ Solicitation of non-government grants |
| b ☐ Internet and email solicitations | f ☐ Solicitation of government grants |
| c ☐ Phone solicitations | g ☐ Special fundraising events |
| d ☐ In-person solicitations | |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

[illegible]

- 3** List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1 <u>Power of the Purse</u> (event type)	(b) Event #2 <u>Hall of Fame Dinner</u> (event type)	(c) Other events <u>3</u> (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	24,411	9,089	18,236	51,736
	2 Less. Charitable contributions	8,046	2,770	5,078	15,894
	3 Gross income (line 1 minus line 2)	16,365	6,319	13,158	35,842
Direct Expenses	4 Cash prizes	0	0	0	0
	5 Noncash prizes	0	0	0	0
	6 Rent/facility costs	0	2,586	150	2,736
	7 Food and beverages	3,665	0	1,710	5,375
	8 Entertainment	3,506	0	1,278	4,784
	9 Other direct expenses	3,677	1,576	1,371	6,624
	10 Direct expense summary. Add lines 4 through 9 in column (d)				(19,519)
	11 Net income summary. Combine line 3, column (d), and line 10				16,323

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				0
Direct Expenses	2 Cash prizes				0
	3 Noncash prizes				0
	4 Rent/facility costs				0
	5 Other direct expenses				0
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				(0)
	8 Net gaming income summary. Combine line 1, column d, and line 7				0

9 Enter the state(s) in which the organization operates gaming activities. _____

a Is the organization licensed to operate gaming activities in each of these states? _____

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? _____

b If "Yes," explain: _____

11 Does the organization operate gaming activities with nonmembers? _____

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? _____

	Yes	No
9a		
10a		
11		
12		

		Yes	No
13	Indicate the percentage of gaming activity operated in		
a	The organization's facility 13a %		
b	An outside facility 13b %		
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ►		
	Address ►		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue? 15a		
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$		
c	If "Yes," enter name and address of the third party		
	Name ►		
	Address ►		
16	Gaming manager information:		
	Name ►		
	Gaming manager compensation ► \$ 0		
	Description of services provided ►		
	☐ Director/officer ☐ Employee ☐ Independent contractor		
17	Mandatory distributions		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? 17a		
b	Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$		

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22.

► **Attach to Form 990.**

Employer identification number
42-6122716

Part I General Information on Grants and Assistance

- ☒ Yes ☐ No

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

2	Enter total number of section 501(c)(3) and government organizations	113
3	Enter total number of other organizations	2

Schedule I (Form 990) 2009

(HTA)

Part III	Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
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Use Part IV and Complete Part I Form 990 if additional space is needed						
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance	
Scholarships	128	350,396	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			
	0	0	0			

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Part I Line 2 For Committee awarded grants, grantees are required to submit a final report detailing how the funds were spent in the community.

Donor advised grants are monitored indirectly by staff involvement in the community

**SCHEDULE M
(Form 990)**

Department of the Treasury
Internal Revenue Service

Noncash Contributions

- **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
► **Attach to Form 990.**

OMB No 1545-0047

2009

**Open To Public
Inspection**

Name of the organization

Community Foundation of the Great River Bend

Employer identification number

42-6122716

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	22	1,623,715	Avg High/low on gift date
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (.)		0	0	
26 Other ► (.)		0	0	
27 Other ► (.)		0	0	
28 Other ► (.)		0	0	

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

0

30 a During the year, did the organization receive by contribution any property reported in Part I, lines 1–28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32 a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II

Yes No

30a		X
31	X	
32a	X	

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Part I Line 32b The Community Foundation uses various banks and brokers to liquidate the

marketable securities that the Community Foundation receives as contributions

**SCHEDULE O
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number

Community Foundation of the Great River Bend

42-6122716

Form 990 Part VI Section B Line 11a The Form 990 is reviewed and approved by the Foundation's Audit

Committee. After their approval it is presented to the Foundation's Full Board for their review and

approval. In both presentations key elements are highlighted.

Form 990 Part VI Section B Line 12c Board and Committee members sign a conflict of interest form

each year that lists the organizations where there is a conflict. A list is then kept of the

necessary abstentions for each vote. Those abstentions are listed in the appropriate minutes.

Because we live in a smaller community, staff is always mindful of the business relationships our

Board and Committee members have and how those relationships could be perceived as a conflict for

the Foundation.

Form 990 Part VI Section B Line 15 The Executive Committee is provided with comparative salary info

from the Council on Foundations salary survey. The survey is looked at as a whole as well as

regionally. Local non profits of like size and scope are also reviewed. This is documented in the

minutes of the Executive Committee meeting where the CEO's salary is voted on.

Form 990 Part VI Section B Line 19 Governing documents and the Conflict of Interest Policy are

available upon request. The Foundation's current audit and 990 are posted on our website. Prior year

copies are available upon request.

Form 990 Part XI Line 2c There were no changes to our policies.

Form 990 Part IX Line 24c Charitable Expenses are distributions to a non charitable entity for a

charitable purpose ie: Paying Menards for the lumber to build playground equipment rather than

paying a non profit to go buy the lumber

**SCHEDULE R
(Form 990)**

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

- ▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

Community Foundation of the Great River Bend

Employer identification number

42-6122716

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
			0	0	
			0	0	
			0	0	
			0	0	
			0	0	
			0	0	
			0	0	
			0	0	
			0	0	

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
CEGRB Realty Holdings, Inc 42-1513946 852 Middle Rd, Ste 100, Bettendorf, IA 52722	facilitating real estate gfl/A		501(c)(3)	509(a)(3) Type I	N/A

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?
							Yes	No		
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	
-----					0	0			0	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%
-----					0	0	%

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity		X
b Gift, grant, or capital contribution to other organization(s)	X	
c Gift, grant, or capital contribution from other organization(s)		X
d Loans or loan guarantees to or for other organization(s)		X
e Loans or loan guarantees by other organization(s)		X
f Sale of assets to other organization(s)		X
g Purchase of assets from other organization(s)		X
h Exchange of assets		X
i Lease of facilities, equipment, or other assets to other organization(s)		X
j Lease of facilities, equipment, or other assets from other organization(s)		X
k Performance of services or membership or fundraising solicitations for other organization(s)		X
l Performance of services or membership or fundraising solicitations by other organization(s)		X
m Sharing of facilities, equipment, mailing lists, or other assets	X	
n Sharing of paid employees	X	
o Reimbursement paid to other organization for expenses		X
p Reimbursement paid by other organization for expenses		X
q Other transfer of cash or property to other organization(s)		
r Other transfer of cash or property from other organization(s)		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	(b) Transaction type (a-r)	(c) Amount involved
(1)	CFGRB Realty Holdings, Inc	b	2,500
(2)			0
(3)			0
(4)			0
(5)			0
(6)			0

Part VIII, Lines 1a-h (990) - Contributions, Gifts, Grants, and Other Amounts

	Cash		Noncash
1 Federated Campaigns		1	
2 Membership dues		2	
3 Fundraising events		3	
4 Related organizations		4	
5 Government grants (contributions)		5	
6 All other contributions, gifts, grants, and similar amounts not included above:			
	9,671,626		1,623,715
Other contributions total	9,671,626	6	1,623,715
7 Total	9,671,626	7	1,623,715

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

[illegible]

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more,
Tax Year 2009
Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Advocate Good Shepherd Hospital 450 W Highway 22 Barrington, IL 60010	36-3297360	501(C)3	25,000				Cardiac Care Center
Alpine Lutheran Church 5001 Forest View Avenue Rockford, IL 61108	36-2280202	501(c) (3)	4,000				unrestricted
Alpine Lutheran Church 5001 Forest View Avenue Rockford, IL 61108	36-2280202	501(c) (3)	2,000				unrestricted
American Red Cross of the QCA 1100 River Dr Moline, IL 61265	36-6000114	501(c) (3)	3,000				unrestricted
American Red Cross of the QCA 1100 River Dr Moline, IL 61265	36-6000114	501(c) (3)	250				unrestricted
American Red Cross of the QCA 1100 River Dr Moline, IL 61265	36-6000114	501(c) (3)	320				unrestricted
American Red Cross of the QCA 1100 River Dr Moline, IL 61265	36-6000114	501(c) (3)	700				unrestricted
American Red Cross of the QCA 1100 River Dr Moline, IL 61265	36-6000114	501(c) (3)	3,000				Expanding CPR Training for Youth
Antique Engine & Tractor Association PO Box 267 Atkinson, IL 61235	36-3023536	501(c) (3)	15,000				Historic Preservation and Educational Objectives
Argo Community High School 7329 W 63 St Summit, IL 60501-1829	36-6004408	501(c) (5)	2,000				college scholarships
Argo Community High School 7329 W 63 St Summit, IL 60501-1829	36-6004408	501(c) (5)	12,500				college scholarships
Assumption High School 1020 West Central Park Avenue Davenport, IA 52804	42-0810207	501(c) (3)	2,500				Unrestricted
Assumption High School 1020 West Central Park Avenue Davenport, IA 52804	42-0810207	501(c) (3)	2,000				Assumption High School Foundation - Embracing Our Future Endowment Fund
Assumption High School 1020 West Central Park Avenue Davenport, IA 52804	42-0810207	501(c) (3)	500				general support

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
Tax Year 2009
Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-Cash Assistance	Purpose of Grant or Assistance
Assumption High School 1020 West Central Park Avenue Davenport, IA 52804	42-0810207	501(c)(3)	1,000				unrestricted
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				for the use of Dennis & Emily Dallege and family to cover flood related expenses
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				for the benefit of Gayle Hulber, 1710 Jefferson Street, Tipton, Iowa
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	3,000				to cover expenses related to 2008 Flooding for the following: Bill & Mindy LeGrand and family, Doug and Susan Nauman, and Ricky and Pamela Dallege and son Derek.
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	7,000				Disaster recovery assistance
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				for Daniel & Connie Wulf and family
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	34,000				\$1,000 for Curely, Axberg, Nettleton, Treimer, Williams. \$29,000 remainder of original victims as listed in grant request.
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	15,000				\$1,000 to 15 individuals for food, clothing, building supplies, furniture, etc.
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	6,119				Gritton - \$1,571; Schneekloth - \$2,399.70; L. Hill - \$1,774; C. Hill - \$274
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				for the benefit of Ralph Goodale
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	20,246				see discription
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				Fran Meis

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
Tax Year 2009
Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,000				for the benefit of Donna & Lowell Salyars
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	1,684				\$1,000 - Terry Countryman; \$683.67 = Gracie Gritton
Atalissa Betterment Committee 1638 Kelly Avenue West Liberty, IA 52776	42-1406011	501(c)(3)	15,101				Flood Relief
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	2,500				Parental Involvement via Study by Dr Debbie Bracke for the Rock Island School District
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	500				Repaint walls in fourth floor library coffee shop.
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	3,000				Authentically Augustana - Augustana Fund
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	1,600				Center for Speech, Language & Hearing - Equipment for Diagnosis & Intervention for the purchase of the Video Otoscope only
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	20,000				Amy Helpenstell Student Interns Program
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	500				unrestricted
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	1,250				for the Rhea Bollman Quail Scholarship Fund
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	6,829				Scholarships
Augustana College 639 38th Street Rock Island, IL 61201	36-2166962	501(c)(3)	2,000				unrestricted
Bentonsport Improvement Association 21909 Marion St Keosauqua, IA 52565	42-1220136	501(c)(3)	5,000				Stone House Project

Grantee 990 - Part 2 Organizations
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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Bethany for Children & Families PO Box 697 Moline, IL 61266-0697	36-2166973	501(c)(3)	500				workbooks for the Milestones Afterschool Program, located at 1202 W. Third in Davenport
Bethany for Children & Families PO Box 697 Moline, IL 61266-0697	36-2166973	501(c)(3)	500				unrestricted
Bethany for Children & Families PO Box 697 Moline, IL 61266-0697	36-2166973	501(c)(3)	600				Enhancing Learning Experiences in Behavioral Challenged Youth Programs
Bethany for Children & Families PO Box 697 Moline, IL 61266-0697	36-2166973	501(c)(3)	7,000				The Bully, The Bullied & The Bystander
Bettendorf Community School District PO Box 1150 Bettendorf, IA 52722	42-6000825	501(c)(3)	1,500				Bullying Prevention Video for Armstrong Elementary
Bettendorf Community School District PO Box 1150 Bettendorf, IA 52722	42-6000825	501(c)(3)	7,804				Middle School 9th Hour After School Program 09 - 10
Bettendorf Community School District PO Box 1150 Bettendorf, IA 52722	42-6000825	501(c)(3)	33,579				08 -09 Elementary After School Program
Bettendorf Community School District PO Box 1150 Bettendorf, IA 52722	42-6000825	501(c)(3)	825				2009 Distribution
Bettendorf Community School District PO Box 1150 Bettendorf, IA 52722	42-6000825	501(c)(3)	28,820				After School Program
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	600				for the benefit of scholarship recipient
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	1,000				scholarships
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	500				scholarships
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	400				outstanding senior accounting/business student
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	1,000				scholarships

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)		980			scholarships
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	1,970				scholarships
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	380				Science Scholarship
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	410				Scholarship
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	500				Scholarship
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	407				annual distribution
Bettendorf Community Schools Foundation PO Box 1150 Bettendorf, IA 52722	42-1251037	501(c)(3)	500				Education & Research
Big Brothers Big Sisters of the Mississippi Valley 130 West Fifth St. Davenport, IA 52801	42-1320908	501(c)(3)	10,000				Interest Cluster Mentoring
Big Brothers Big Sisters of the Mississippi Valley 130 West Fifth St. Davenport, IA 52801	42-1320908	501(c)(3)	16,548				annual distribution
Big Brothers Big Sisters of the Mississippi Valley 130 West Fifth St. Davenport, IA 52801	42-1320908	501(c)(3)	250				unrestricted
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	16,000				designated to support United Way
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	20,000				for the match program

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Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	5,000				Birdies for Charity matching fund
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	30,200				\$28,000 - United Way or the QCA; \$2,200 - Quad City Symphony Orchestra designated for the Annual Fund
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	68,250				for the Birdies for Charity Partner Program to benefit agencies designated in a recommendation letter sent in June 2009
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	1,321				for the benefit of 20 charities
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	5,000				Per grant request from Tom Thoms this is a one time grant to be used in a fund to challenge match professional golfers' contributions to Birdies for Charity.
Birdies for Charity John Deere Classic 15623 Coaltown Rd East Moline, IL 61244	93-1332421	501(c)(3)	5,100				for the benefit of the following: \$3,000 - Boys & Girls Club; Heat Association - \$1,100; and Nhab Zoological Society - \$1,000.
Bonaparte Main Street Inc PO Box 51 Bonaparte, IA 52620	42-1388115	501(c)(3)	6,000				Folk School Coordinator
Bonaparte Main Street Inc PO Box 51 Bonaparte, IA 52620	42-1388115	501(c)(3)	5,000				VanBuren Folk School 2009
Boys & Girls Clubs of the Mississippi Valley 338 6th St. Moline, IL 61265	36-3838421	501(c)(3)	250				unrestricted
Boys & Girls Clubs of the Mississippi Valley 338 6th St. Moline, IL 61265	36-3838421	501(c)(3)	300				unrestricted
Boys & Girls Clubs of the Mississippi Valley 338 6th St.	36-3838421	501(c)(3)	2,831				Jr. Staff Career Development Program - restricted to benefit the Jr. Staff only

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Boys & Girls Clubs of the Mississippi Valley 338 6th St. Moline, IL 61265	36-3838421	501(c)(3)	15,000				Serving More Youth in Tough Economic Times
Burlington Community School District Educational Foundation 1429 West Ave Burlington, IA 52601	42-1266807	501(c)(3)	5,555				Scholarship 2009
Calvary United Methodist Church 100 East James Street Walcott, IA 52773	42-1205494	501(c)(3)	10,000				four quarterly payment for 2009
Calvary United Methodist Church 100 East James Street Walcott, IA 52773	42-1205494	501(c)(3)	4,060				annual distribution
Cambridge Unit School #227 300 South West St Cambridge, IL 61238	36-6004647	501(c)(3)	5,000				Project Big Kid Playground
Canine Companions for Independence PO Box 41 Woodstock, IL 60098	94-2494324	501(c)(3)	8,795				annual distribution
Cedar County Library Association 300 N Downey St PO Box 460 West Branch, IA 52358	42-6005357	501(c)(3)	7,500				Audiovisual Material for Cedar County's Adult Library Patrons
Center for Active Seniors, Inc. 1035 W Kimberly Rd Davenport, IA 52806	42-1011267	501(c)(3)	1,000				general support
Center for Active Seniors, Inc. 1035 W Kimberly Rd Davenport, IA 52806	42-1011267	501(c)(3)	1,497				Jane's Place Music Therapy and Day Trips
Center for Active Seniors, Inc. 1035 W Kimberly Rd Davenport, IA 52806	42-1011267	501(c)(3)	16,539				annual distribution
Center for Active Seniors, Inc. 1035 W Kimberly Rd Davenport, IA 52806	42-1011267	501(c)(3)	258				annual distribution
Center for Active Seniors, Inc. 1035 W Kimberly Rd Davenport, IA 52806	42-1011267	501(c)(3)	750				St. Patrick's Day Race

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Central Community Schools PO Box 110 DeWitt, IA 52742	42-6040381	501(c)(3)	5,000				gaming grant match to build technology for classrooms
Central Community Schools PO Box 110 DeWitt, IA 52742	42-6040381	501(c)(3)	354				Stage lighting for Ekstrand Elementary School
Central High School (DeWitt) PO Box 110 DeWitt, IA 52742	42-6040381	501(c)(3)	1,500				Instrument & Supplies Replacement
Central High School (DeWitt) PO Box 110 DeWitt, IA 52742	42-6040381	501(c)(3)	4,916				DVD/VCR & Yamaha Piano for high school vocal music department
CGH Health Foundation 100 E Lefevre Rd Sterling, IL 61081	93-0688381	501(c)(3)	7,000				\$2000 - Annual Appeal; and, \$5,000 - Special Project
CGH Health Foundation 100 E Lefevre Rd Sterling, IL 61081	93-0688381	501(c)(3)	1,000				unrestricted
Children's Therapy Center of the QCA - Moline 1504 13th Ave Moline, IL 61265	36-2207922	501(c)(3)	1,500				Family Assistance Program
Children's Therapy Center of the QCA - Moline 1504 13th Ave Moline, IL 61265	36-2207922	501(c)(3)	3,000				Feeding Therapy/Children with Developmental Disabilities
Children's Therapy Center of the QCA - Moline 1504 13th Ave Moline, IL 61265	36-2207922	501(c)(3)	5,000				Sports Auction
Children's Therapy Center of the QCA - Moline 1504 13th Ave Moline, IL 61265	36-2207922	501(c)(3)	250				unrestricted
Christ Lutheran Church 425 Riverside Belvidere, IL 61108-8632	36-3025736	501(c)(3)	16,500				\$12,500 - General Fund; and, \$4,000 - Building Fund
Christian Friendliness Youth Centers 3928 12th Ave Moline, IL 61265-2103	36-2193602	501(c)(3)	5,000				unrestricted
Christian Friendliness Youth Centers 3928 12th Ave Moline, IL 61265-2103	36-2193602	501(c)(3)	250				unrestricted

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Christian Friendliness Youth Centers 3928 12th Ave Moline, IL 61265-2103	36-2193602	501(c)(3)	2,000				Emergency Grant
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	1,000				Hunger Ministry - Food Pantry
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	3,500				Winnie's Place
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	250				unrestricted
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	315				unrestricted.
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	2,500				to fund production of videos on Churches United as outlined in the request letter dated 2/5/09. Please follow up with the Harris family when the video is complete and provide a copy of the video to the family.
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	2,000				Winnie's Place
Churches United of the QCA 2535 Tech Drive Ste 205 Bettendorf, IA 52722	36-2480784	501(c)(3)	3,000				Food Pantry Activities
City of Farmington - Fire Department PO Box 533 Farmington, IA 52626	gov entity	501(c)(3)	3,100				Farmington Emergency Responders - Fire Truck Storage System
City of Farmington - Fire Department PO Box 533 Farmington, IA 52626	gov entity	501(c)(3)	5,000				EMS Building Project
City of Farmington/Wild Rose Park 701 Tremont St Farmington, IA 52626	42-6004661	501(c)(3)	5,000				Wild Rose Trail

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City of Stanwood 209 E Broadway St Stanwood, IA 52337	42-600248	501(c)(3)	5,000				Acquisition of a Powered Cot for Ambulance
Columbus Community Senior Center PO Box 111 Columbus Junction, IA 52738	42-1213695	501(c)(3)	27,225				flood repair
Columbus Community Senior Center PO Box 111 Columbus Junction, IA 52738	42-1213695	501(c)(3)	18,556				flood repair
Columbus Community Senior Center PO Box 111 Columbus Junction, IA 52738	42-1213695	501(c)(3)	41,490				reconstruction of the flood damaged Columbus Community Senior Center
Columbus Community Senior Center PO Box 111 Columbus Junction, IA 52738	42-1213695	501(c)(3)	11,615				reconstruction of the flood-damaged Columbus Community Senior Center
Columbus Community Senior Center PO Box 111 Columbus Junction, IA 52738	42-1213695	501(c)(3)	15,973				2008 Flood Damage Repair to Senior Center
Concordia Lutheran Church 316 S Oakwood Ave Geneseo, IL 61254	36-6421155	501(c)(3)	20,393				general operating expenses
Congregation of the Humility of Mary (CHM) Humility of Mary Center 820 W. Central Park Ave Davenport, IA 52804-1900	42-0681059	501(c)(3)	2,500				unrestricted
Congregation of the Humility of Mary (CHM) Humility of Mary Center 820 W. Central Park Ave Davenport, IA 52804-1900	42-0681059	501(c)(3)	1,000				unrestricted
Congregation of the Humility of Mary (CHM) Humility of Mary Center 820 W. Central Park Ave Davenport, IA 52804-1900	42-0681059	501(c)(3)	18,134				annual distribution
Congregation of the Humility of Mary (CHM) Humility of Mary Center 820 W. Central Park Ave Davenport, IA 52804-1900	42-0681059	501(c)(3)	18,134				annual distribution

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Court Street United Methodist Church 215 N Court St Rockford, IL 61103	36-2167042	501(c)(3)	5,000				General Fund
DeWitt Lutheran Fellowship 1811 Elmwood Road DeWitt, IA 52742	30-0400060	501(c)(3)	30,000				unrestricted
Douds Community Fire & EMS 14898 Clay St Douds, IA 52551	42-1457570	501(c)(3)	5,000				Building Renovation - Foam Injection System
Eastern Iowa Community College 306 W River Dr Davenport, IA 52801	42-1430209	501(c)(3)	1,500				benefit of recipient of the scholarship
Eastern Iowa Community College 306 W River Dr Davenport, IA 52801	42-1430209	501(c)(3)	19,960				for the Viewpoint Lecture Series
Families, Inc. P O Box 130 West Branch, IA 52358	42-1023208	501(c)(3)	3,000				Functional Family Therapy Training
Families, Inc. P O Box 130 West Branch, IA 52358	42-1023208	501(c)(3)	4,500				Building Family Strengths
Figge Art Museum 225 West 2nd Street Davenport, IA 52801	42-6090398	501(c)(3)	1,000				Caring Award 2009 to Tom Guidehaus
Figge Art Museum 225 West 2nd Street Davenport, IA 52801	42-6090398	501(c)(3)	5,000				unrestricted
Figge Art Museum 225 West 2nd Street Davenport, IA 52801	42-6090398	501(c)(3)	5,000				unrestricted
First Congregational Church - Moline 2201 7th Ave Moline, IL 61265	36-2167069	501(c)(3)	3,000				as designated previously
First Congregational Church - Moline 2201 7th Ave Moline, IL 61265	36-2167069	501(c)(3)	2,500				One Great Hour of Sharing
First Congregational Church - Moline 2201 7th Ave Moline, IL 61265	36-2167069	501(c)(3)	300				Easter Offering
First Congregational Church - Moline 2201 7th Ave Moline, IL 61265	36-2167069	501(c)(3)	3,000				contribution

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First Congregational Church - Moline 2201 7th Ave Moline, IL 61265	36-2167069	501(c)(3)	3,000				Neighbors in Need program
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				July 2009 offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	2,500				monthly offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				May 2009 Offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				June 2009 offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				April 2009 Offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				March 2009 Offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				February 2009 Offering
First Methodist Church of Geneseo 302 N State St. Geneseo, IL 61254		501(c)(3)	500				January 2009 Offering
First Presbyterian Church - Davenport 1702 Iowa St Davenport, IA 52803	42-0707098	501(c)(3)	11,000				annual contribution
First Presbyterian Church - Davenport 1702 Iowa St Davenport, IA 52803	42-0707098	501(c)(3)	6,600				2009 Contribution
First Presbyterian Church - Davenport 1702 Iowa St Davenport, IA 52803	42-0707098	501(c)(3)	11,000				annual contribution
Fishers of Men Ministries, Inc PO Box 2152 Davenport, IA 52809	20-0534476	501(c)(3)	20,000				unrestricted

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Fort Madison Football, Inc Fehseke & Eschman Law Offices 1023 Avenue G Fort Madison, IA 52627-4579	27-0468645		14,000				charitable donation
Friendly House 1221 Myrtle St. Davenport, IA 52804	42-0733466	501(c)(3)	3,500				Community Room Enhancements - please contact Linda Duffry (563-355-7450) regarding possible alternative vendors
Friendly House 1221 Myrtle St. Davenport, IA 52804	42-0733466	501(c)(3)	250				Friendly House Athletic Fund
Friendly House 1221 Myrtle St. Davenport, IA 52804	42-0733466	501(c)(3)	1,000				unrestricted
Friendly House 1221 Myrtle St. Davenport, IA 52804	42-0733466	501(c)(3)	1,662				camperships
Friendly House 1221 Myrtle St. Davenport, IA 52804	42-0733466	501(c)(3)	500				unrestricted
Friends of Davenport Public Library 321 Main St Davenport, IA 52805-1490	42-1204594	501(c)(3)	16,548				annual distribution
Friends of Fulton Windmill, Inc. 909 8th Ave Fulton, IL 61252	36-4290403	501(c)(3)	10,000				to support the Dutch museum
Friends of Fulton Windmill, Inc. 909 8th Ave Fulton, IL 61252	36-4290403	501(c)(3)	5,000				construction cost for Windmill Cultural Center
Friends of Fulton Windmill, Inc. 909 8th Ave Fulton, IL 61252	36-4290403	501(c)(3)	2,000				Windmill and Museum projects
Friends of Fulton Windmill, Inc. 909 8th Ave Fulton, IL 61252	36-4290403	501(c)(3)	10,000				from the Estate of Ray and Frances Rus
Friends of Fulton Windmill, Inc. 909 8th Ave Fulton, IL 61252	36-4290403	501(c)(3)	500				Volunteer Millers - deimmigrant Windmill - 3 months of tourism activities for the windmill area
Friends of Lacey-Keosauqua State Park PO Box 130 Keosauqua, IA 52565	42-1446581	501(c)(3)	12,323				Gate House Restoration/Statue

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Fuller Cemetery 3258 Morningside Dr Galesburg, IL 61401	376-030334	501(c)(3)	10,440				for maintenance & upkeep of the Cemetery
Fulton Fire Protection District 413 11 Ave Fulton, IL 61252-1727	36-3791362	501(c)(3)	5,000				Support Fulton Fire Department & Fulton Ambulance and EMT's
Fulton Fire Protection District 413 11 Ave Fulton, IL 61252-1727	36-3791362	501(c)(3)	750				EMS - purchased a new stair chair
Geneseo Endowment for Excellence P O Box 211 Geneseo, IL 61254	36-3748560	501(c)(3)	20,268				as proposed in application
Geneseo Endowment for Excellence P O Box 211 Geneseo, IL 61254	36-3748560	501(c)(3)	1,000				Education & Research
Geneseo Historical Association 205 S. State St Geneseo, IL 61254	36-3150576	501(c)(3)	15,393				Operating Expenses
Geneseo Park District 541 East North St Geneseo, IL 61254	E9996534805	501(c)(3)	114,540				annual distribution
Geneseo School Facility Enhancement Foundation PO Box 64 Geneseo, IL 61254	30-0098662	501(c)(3)	25,000				Millikin Field project
Geneseo School Facility Enhancement Foundation PO Box 64 Geneseo, IL 61254	30-0098662	501(c)(3)	1,000				Capital Campaign Contribution
Gilda's Club Quad Cities 1234 East River Drive Davenport, IA 52803	42-1446989	501(c)(3)	10,000				Gilda's Club School Youth Programs
Gilda's Club Quad Cities 1234 East River Drive Davenport, IA 52803	42-1446989	501(c)(3)	1,000				unrestricted
Gilda's Club Quad Cities 1234 East River Drive Davenport, IA 52803	42-1446989	501(c)(3)	18,134				annual distribution
Girl Scouts of Eastern Iowa/Western Illinois - Rock Island 2011 2nd Ave Rock Island, IL 61201	42-1008848	501(c)(3)	200				Follow the Reader

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Girl Scouts of Eastern Iowa/Western Illinois - Rock Island 2011 2nd Ave Rock Island, IL 61201	42-1008848	501(c)(3)	5,000				Camp Conestoga Indian Ridge Improvements
Girl Scouts of Eastern Iowa/Western Illinois - Rock Island 2011 2nd Ave Rock Island, IL 61201	42-1008848	501(c)(3)	800				distribution requested by Diane Koster on May 19, 2009
Habitat for Humanity - Louisa County PO Box 181 Oakville, IA 52646	42-1441997	501(c)(3)	20,479				flood damage reimbursement per August 11, 2009 statement
Habitat for Humanity - Louisa County PO Box 181 Oakville, IA 52646	42-1441997	501(c)(3)	29,140				Doug Marquardt - \$191.36; Tony & Tina Sill - \$4,651.31; Harriet Beeding - \$11,225.84; Marv Beeding \$13,071.82
Habitat for Humanity Quad Cities 2235 Grant St Bettendorf, IA 52722	42-1404937	501(c)(3)	3,500				support of a Habitat for Humanity home
Habitat for Humanity Quad Cities 2235 Grant St Bettendorf, IA 52722	42-1404937	501(c)(3)	5,000				to support the new office
Habitat for Humanity Quad Cities 2235 Grant St Bettendorf, IA 52722	42-1404937	501(c)(3)	1,142				emergency funding to assist with eviction
Habitat for Humanity Quad Cities 2235 Grant St Bettendorf, IA 52722	42-1404937	501(c)(3)	1,000				unrestricted
Habitat for Humanity Quad Cities 2235 Grant St Bettendorf, IA 52722	42-1404937	501(c)(3)	27,201				annual distribution
Hammond Henry Hospital Foundation 600 N College Ave Geneseo, IL 61254-1099	36-4264350	501(c)(3)	5,000				Long -term care facility
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	1,000				per Leading Ladies instructions
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	2,500				unrestricted
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	1,500				Website Overhaul

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	5,000				Brady Street Building Project
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	315				unrestricted
Handicapped Development Center 3402 Hickory Grove Rd Davenport, IA 52806	42-0947868	501(c)(3)	1,000				unrestricted
Heritage YMCA Group PO Box 838 Naperville, IL 60566-0838	36-2179781	501(c)(3)	5,000				Jericho Technology Project
Hillcrest Home 14688 IL Hwy 82 Geneseo, IL 61254	36-60011257	501(c)(3)	22,191				rooftop air conditioning units
HM Shelter, Inc. (HMSI) 1016 West 5th St. Davenport, IA 52802	01-0916973	501(c)(3)	8,859				unrestricted
HM Shelter, Inc. (HMSI) 1016 West 5th St. Davenport, IA 52802	01-0916973	501(c)(3)	30,000				unrestricted
HM Shelter, Inc. (HMSI) 1016 West 5th St. Davenport, IA 52802	01-0916973	501(c)(3)	20,000				distribution
HM Shelter, Inc. (HMSI) 1016 West 5th St. Davenport, IA 52802	01-0916973	501(c)(3)	27,201				annual distribution
HM Shelter, Inc. (HMSI) 1016 West 5th St. Davenport, IA 52802	01-0916973	501(c)(3)	1,000				unrestricted
Honor Flight of the Quad Cities Ridgecrest Village 4130 Northwest Blvd Davenport, IA 52806	26-2958726	501(c)(3)	1,100				sponsorship for two local WWII Veterans on the Honor Flight
Honor Flight of the Quad Cities Ridgecrest Village 4130 Northwest Blvd Davenport, IA 52806	26-2958726	501(c)(3)	4,000				Honor Flight #3, WWII Veterans to Visit Memorial
Hope Haven Area Development Center Corporation 1307 Broadway West Burlington, IA 52655	42-1000580	501(c)(3)	500				WII System

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Hope Haven Area Development Center 1307 Broadway West Burlington, IA 52655	42-1000580	501(c)(3)	810				Human Services
Hope Haven Area Development Center 1307 Broadway West Burlington, IA 52655	42-1000580	501(c)(3)	13,770				Human Services
Humility of Mary Housing, Inc. (HMHI) 1228 E. 12th St. Davenport, IA 52803-4138	42-1349437	501(c)(3)	1,170				annual distribution
Humility of Mary Housing, Inc. (HMHI) 1228 E. 12th St. Davenport, IA 52803-4138	42-1349437	501(c)(3)	1,000				for the Transitional and Supportive Housing Program
Humility of Mary Housing, Inc. (HMHI) 1228 E. 12th St. Davenport, IA 52803-4138	42-1349437	501(c)(3)	10,000				operating support
Humility of Mary Housing, Inc. (HMHI) 1228 E. 12th St. Davenport, IA 52803-4138	42-1349437	501(c)(3)	1,000				unrestricted
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	1,500				to benefit Junior Achievement's Free Enterprise Foundation
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	15,000				Preparing Young People for a Financially Stable Future -JA Biltown/Finance Park
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	500				Scholarship Assistance
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	5,000				for JA of Geneseo
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	500				2009 Bowl A-Thon
Junior Achievement of the Heartland 800 12th Ave Moline, IL 61265	13-1635270	501(c)(3)	1,000				JA Finance Park
Keosauqua Volunteer Fire Depart PO Box 252 Keosauqua, IA 52565	42-1184513	501(C)3	5,000				Safety of Fire Personnel

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
Tax Year 2009
Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
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Little Home Day Care PO Box 123 Lowden, IA 52255-0123	42-1406777	501(c)(3)	20,000				unrestricted
Louisa County Fair Board 209 Orchard Lane Columbus Junction, IA 52738	42-6075823	501(c)(3)	20,000				for flood damage repairs to the Louisa County Fairgrounds and Raceway
Luther College Development Office 700 College Drive Decorah, IA 52101	42-0680466	501(c)(3)	909				scholarships
Lutheran Social Services of Illinois (LSSI) - Des Plaines 1001 East Touhy Avenue Suite 50 Des Plaines, IL 60018	36-2584799	501(c)(3)	2,000				unrestricted
Lutheran Social Services of Illinois (LSSI) - Des Plaines 1001 East Touhy Avenue Suite 50 Des Plaines, IL 60018	36-2584799	501(c)(3)	5,000				2009 Spring Forward Dinner
Lutheran Social Services of Illinois (LSSI) - Des Plaines 1001 East Touhy Avenue Suite 50 Des Plaines, IL 60018	36-2584799	501(c)(3)	3,000				Seeing Stars Fundraiser
Make A Wish Foundation of Illinois 640 North LaSalle Street Suite 280 Chicago, IL 60610-3784	36-3422138	501(c)(3)	250				Stroller Strides
Make A Wish Foundation of Illinois 640 North LaSalle Street Suite 280 Chicago, IL 60610-3784	36-3422138	501(c)(3)	75,000				General Support of the Illinois Chapter
Marriage and Family Counseling Service 1800 3rd Ave Ste 512 Rock Island, IL 61201	36-2606683	501(c)(3)	250				Leadership Development
Marriage and Family Counseling Service 1800 3rd Ave Ste 512 Rock Island, IL 61201	36-2606683	501(c)(3)	1,000				Leadership Development Scholarship Fund
Marriage and Family Counseling Service 1800 3rd Ave Ste 512 Rock Island, IL 61201	36-2606683	501(c)(3)	2,000				Women Helping Women, Men Helping Men, People Helping People

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Marriage and Family Counseling Service 1800 3rd Ave Ste 512 Rock Island, IL 61201	36-2606683	501(c)(3)	10,000				General Operating Fund
Milton Heritage Society 301 N Main St Milton, IA 52570	01-0640821	501(c)(3)	5,000				Stain Glass Repairs
Muscatine Connected Education Foundation 208 W. 2nd St., Ste 215 Muscatine, IA 52761	26-3195153	501(c)(3)	5,000				Leadership Muscatine at-Risk Alternative Program
North Cedar Community School District 102 E North St Stanwood, IA 52337	42-1430236	501(c)(3)	5,000				Debbie Harms Theatre Stage Curtains
North Scott Educational Foundation PO Box 16 Eldridge, IA 52748	42-1255950	501(c)(3)	2,500				for the recipient
North Scott Educational Foundation PO Box 16 Eldridge, IA 52748	42-1255950	501(c)(3)	2,500				scholarship
North Scott Educational Foundation PO Box 16 Eldridge, IA 52748	42-1255950	501(c)(3)	980				scholarships
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	5,000				the Road Project
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	10,039				the Road Project
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	450				Road Repairs
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	5,000				Road Project
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	390				Road Repairs
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	53,414				Road Repairs
Oakdale Memorial Gardens, Inc. 2501 Eastern Ave Davenport, IA 52803	42-0445550	501(c)(3)	6,500				storm sewer repairs

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Opportunities Mentoring of Van Buren County 502 Franklin St Keosauqua, IA 52565	26-2093118	501(c) (3)	5,000				Educational Field Trips
Presbyterian Church of Fulton 311 N 9 St Fulton, IL 61252	36-3259704	501(c) (3)	1,500				for general operating expenses, mortgage, and missions
Presbyterian Church of Fulton 311 N 9 St Fulton, IL 61252	36-3259704	501(c) (3)	1,500				general operating expenses, mortgage, and missions
Presbyterian Church of Fulton 311 N 9 St Fulton, IL 61252	36-3259704	501(c) (3)	1,500				for general operating expenses, mortgage, and missions
Presbyterian Church of Fulton 311 N 9 St Fulton, IL 61252	36-3259704	501(c) (3)	1,500				general operating expenses, mortgage, and missions
Putnam Museum and IMAX Theatre 1717 W. 12th St Davenport, IA 52804	42-0680474	501(c) (3)	1,000				unrestricted
Putnam Museum and IMAX Theatre 1717 W. 12th St Davenport, IA 52804	42-0680474	501(c) (3)	1,000				as discussed with the Cameron's.
Putnam Museum and IMAX Theatre 1717 W. 12th St Davenport, IA 52804	42-0680474	501(c) (3)	3,000				Exploring Egypt
Quad City Symphony Orchestra 327 Brady St Davenport, IA 52801	42-6017663	501(c) (3)	600				Annual Fund
Quad City Symphony Orchestra 327 Brady St Davenport, IA 52801	42-6017663	501(c) (3)	360				ticket sponsorship for underprivileged children and families for Holiday Pops 2009
Quad City Symphony Orchestra 327 Brady St Davenport, IA 52801	42-6017663	501(c) (3)	1,000				To help defer costs of sending symphony personnel to the national symphony organization convention in Chicago.
Quad City Symphony Orchestra 327 Brady St Davenport, IA 52801	42-6017663	501(c) (3)	6,000				matching grant to restructure and update the box office ticketing system as outlined in a grant request dated 12/8/2008

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Quad City Symphony Orchestra 327 Brady St Davenport, IA 52801	42-6017663	501(c) (3)	4,000				Youth Symphony scholarships
River Action Inc. PO Box 964 Davenport, IA 52805	42-1267366	501(c) (3)	1,084				annual distribution
River Action Inc. PO Box 964 Davenport, IA 52805	42-1267366	501(c) (3)	3,000				Regional Grants Partnership
River Action Inc. PO Box 964 Davenport, IA 52805	42-1267366	501(c) (3)	2,000				Upper Mississippi River Grants Panel & Conference - web design only.
River Bend Educational Foundation 1110 Third St Fulton, IL 61252	36-3428777	501(c) (3)	11,000				\$6,000 to provide three \$2,000 Scholarships; and, \$5,000 to support Technology Projects
Rock Island Arsenal Golf Club 1838 Gillespie Ave Rock Island, IL 61201	36-2323220	501(c) (3)	25,000				preservation work at the club
Rock River Valley Self-Help Enterprises 2300 Lefevre Road Sterling, IL 61081	36-2541391	501(c) (3)	5,000				unrestricted
Rock River Valley Self-Help Enterprises 2300 Lefevre Road Sterling, IL 61081	36-2541391	501(c) (3)	250				unrestricted
Sacred Heart Church 1838 Avenue J Sterling, IL 61081	36-2655051	501(c) (3)	250				Diocesan Stewardship Drive
Sacred Heart Church 2224 Avenue J Sterling, IL 61081	36-2655051	501(c) (3)	5,200				unrestricted
Sacred Heart Church, West Des Moines, IA 1827 Grand Ave West Des Moines, IA 50265	42-0736180	501(c) (3)	8,000				operations
Sacred Heart Church, West Des Moines, IA 1827 Grand Ave West Des Moines, IA 50265	42-0736180	501(c) (3)	750				Diocese of Des Moines Annual Appeal
Schmalzing Memorial Public Library District PO Box 125 Fulton, IL 61252	362806494	501(c) (3)	500				expand/replace children's video collection

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
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Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Schmaling Memorial Public Library District PO Box 125 Fulton, IL 61252	362806494	501(c)(3)	5,000				operating
Schmaling Memorial Public Library District PO Box 125 Fulton, IL 61252	362806494	501(c)(3)	15,000				\$10,000 - Project Fund - money to be reserved for major library projects; and, \$5,000 Grants and Gifts Fund - for purchasing books, microfilm and computer enhancements
Schmaling Memorial Public Library District PO Box 125 Fulton, IL 61252	362806494	501(c)(3)	870				annual distribution
Schmaling Memorial Public Library District PO Box 125 Fulton, IL 61252	362806494	501(c)(3)	420				annual distribution
Scott Community College Foundation 500 Belmont Rd Bettendorf, IA 52722	42-1255106	501(c)(3)	3,000				to provide scholarships to benefit non-traditional female nursing students.
Scott Community College Foundation 500 Belmont Rd Bettendorf, IA 52722	42-1255106	501(c)(3)	2,300				Enhancing Hands-On Learning at SCC
Scott County Family Y 606 W Second St Davenport, IA 52801	42-0703278	501(c)(3)	16,548				annual distribution
Scott County Family Y 606 W Second St Davenport, IA 52801	42-0703278	501(c)(3)	1,000				Kids to Camp - Partner with Youth
Scott County Family Y 606 W Second St Davenport, IA 52801	42-0703278	501(c)(3)	1,360				per agreement
Scott County Family Y 606 W Second St Davenport, IA 52801	42-0703278	501(c)(3)	3,000				unrestricted
Sisters of Notre Dame 13000 Auburn Road Chardon, OH 44024	34-0714382	501(c)(3)	100,000				Health Care Retirement
Spring Forward Learning Center 2312 18th Ave Rock Island, IL 61201	45-0561173	501(c)(3)	15,000				Education, Enrichment & Growth Program for 70 At-Risk Youth of Rock Island/Milan Community

Grantee 990 - Part 2 Organizations
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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Spring Forward Learning Center 2312 18th Ave Rock Island, IL 61201	45-0561173	501(c)(3)	2,500				Education, Enrichment & Growth for At-Risk Youth of the Rock Island/Milan Community
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	10,000				Amy Helpenstell Scholars Program
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	1,000				Keeping Nursing Graduates in the Quad Cities
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	842				scholarships for minority students
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	8,132				scholarships
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	1,500				general support of the Athletic Department
St. Ambrose University 518 W Locust St Davenport, IA 52803	42-0703280	501(c)(3)	1,000				unrestricted
St. John's Lutheran Church, East Moline 1450 30th Ave East Moline, IL 61244	36-6094581	501(c)(3)	8,071				annual contribution
St. John's United Methodist Church 109 E 14th St Davenport, IA 52804	42-0699788	501(c)(3)	5,129				annual distribution
St. Jude Children's Research Hospital 501 St. Jude Place Memphis, TN 38105	62-0646012	501(c)(3)	8,795				annual distribution
St. Malachy's Church 595 East Ogden Ave Geneseo, IL 61254	36-2200253	501(c)(3)	1,507				\$607 - General Support; \$600 - Scholarship Endowment; \$300 - A.D.A.
St. Malachy's Church 595 East Ogden Ave Geneseo, IL 61254	36-2200253	501(c)(3)	5,000				Building Fund
St. Paul the Apostle Church 1007 E Rusholme Davenport, IA 52803	42-0794371	501(c)(3)	2,500				unrestricted
St. Paul the Apostle Church 1007 E Rusholme Davenport, IA 52803	42-0794371	501(c)(3)	4,000				unrestricted

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Sterling-Rock Falls Family YMCA 2505 YMCA Way Sterling, IL 61081	36-2225496	501(c)(3)	7,500				YMCA Camp Benson Capital Improvements
Sterling-Rock Falls Family YMCA 2505 YMCA Way Sterling, IL 61081	36-2225496	501(c)(3)	13,262				for the exclusive use of Woodlawn Arts Academy
Sterling-Rock Falls Family YMCA 2505 YMCA Way Sterling, IL 61081	36-2225496	501(c)(3)	250				unrestricted
Sterling-Rock Falls Family YMCA 2505 YMCA Way Sterling, IL 61081	36-2225496	501(c)(3)	500				unrestricted
Student Food Drive 4457 E 56th St Davenport, IA 52807	42-1399854	501(c)(3)	5,000				Bag Hunger
Temple Baptist Church 3215 E State St Rockford, IL 61108	36-2405192	501(c)(3)	3,750				General Fund Giving Feb09 - May09
Temple Baptist Church 3215 E State St Rockford, IL 61108	36-2405192	501(c)(3)	2,772				General Fund Giving Jun09 - Aug09
Temple Baptist Church 3215 E State St Rockford, IL 61108	36-2405192	501(c)(3)	2,900				General Fund Giving November 2008 - January 2009
Timothy J. Tupper, Law Firm Trust Account 1019 Mound St, #302 Davenport, Ia		501(c)(3)	5,000				Scott County Family Drug Court
Timothy J. Tupper, Law Firm Trust Account 1019 Mound St, #302 Davenport, Ia		501(c)(3)	5,000				Scott County Family Drug Court
Trinity Cathedral 121 W 12th St Davenport, IA 52803	42-0718465	501(c)(3)	5,000				unrestricted purposes
Trinity College of Nursing & Health Sciences 2122 25th Ave Rock Island, IL 61201-5317	36-2739299	501(c)(3)	1,000				scholarship for the benefit of a student of outstanding academic achievement
Trinity College of Nursing & Health Sciences 2122 25th Ave	36-2739299	501(c)(3)	3,000				Implementation of Science Courses & Labs

Grantees 990 - Part 2 Organizations
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Trinity College of Nursing & Health Sciences 2122 25th Ave Rock Island, IL 61201-5317	36-2739299	501(c)(3)	1,000				scholarship for the benefit of a student with outstanding academic achievement
Trinity Lutheran Church 1330 13th St Moline, IL 61265	36-2406268	501(c)(3)	600				unrestricted
Trinity Lutheran Church 1330 13th St Moline, IL 61265	36-2406268	501(c)(3)	500				unrestricted
Trinity Lutheran Church 1330 13th St Moline, IL 61265	36-2406268	501(c)(3)	12,000				General
Trinity Lutheran Church - W.H.O. Group 5631 W Stoney Lake Road New Era, MI 49446	38-1387155	501(c)(3)	6,000				college scholarships
Trinity Lutheran Church ELCA 18137 Criswell St Pleasant Valley, IA 52767-0023	42-0997353	501(c)(3)	5,200				\$3,000 Debt Reduction of Bldg Fund; \$1,000 In Honor of Jean Matteson & Memory of Dick Matteson for Choir Music; \$1, 200 for months of Sept - Dec 09
United Way of Muscatine PO Box 797 Muscatine, IA 52761	42-0761080	501(c)(3)	12,662				flood relief
United Way of Muscatine PO Box 797 Muscatine, IA 52761	42-0761080	501(c)(3)	14,568				Flood Relief
United Way of Muscatine PO Box 797 Muscatine, IA 52761	42-0761080	501(c)(3)	12,143				flood relief
United Way of Sterling 502 1st Ave Sterling, IL 61081	36-6009102	501(c)(3)	5,000				unrestricted
United Way of Sterling 502 1st Ave Sterling, IL 61081	36-6009102	501(c)(3)	500				unrestricted
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	18,165				flood relief

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-Cash Assistance	Purpose of Grant or Assistance
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	5,000				Best Practice Development (Middle School Navigator)
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	6,284				\$1,564.02 - For Hector Duran; \$4,720.40 - For Ruth Carter
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	30,000				Intermediary Position (1st six month salary)
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	76,939				7/15/09 Embrace Iowa billing
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	1,326				to support senior citizens
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	25,000				unrestricted
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	30,000				for the benefit of Achieve Quad Cities - Navigator Grant
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	700				unrestricted
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	2,500				Imagination Library
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	2,500				Vitality Scann
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	20,700				Achieve Quad Cities Initiative
United Way of the QCA 3247 E 35th St Ct Davenport, IA 52807	36-2725960	501(c)(3)	2,926				September 2008 Board Training
University of Iowa Foundation PO Box 4550 Iowa City, IA 52244-4550	42-0796760	501(c)(3)	200				designated for the I-Club in the names of Mary Krause, AJ Krause, Morgan Krause, and Bo Krause

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Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
University of Iowa Foundation PO Box 4550 Iowa City, IA 52244-4550	42-0796760	501(c)(3)	500				to support the work Dr. David Wacker is doing in autism research in Iowa (Account #30-597-041)
University of Iowa Foundation PO Box 4550 Iowa City, IA 52244-4550	42-0796760	501(c)(3)	500				University of Iowa Children's Hospital Dance Marathon as requested by Jessica Duncan
University of Iowa Foundation PO Box 4550 Iowa City, IA 52244-4550	42-0796760	501(c)(3)	125				in support of the Dance Marathon as requested by Morgan Krause
University of Iowa Foundation PO Box 4550 Iowa City, IA 52244-4550	42-0796760	501(c)(3)	20,090				scholarships
University of Missouri - Rolla 209 Castelman Hall 1870 Minor Circle Rolla, MO 65409-0460	39-0974161	501(c)(3)	5,000				for the Mechanical & Aerospace Engineering Project
University of Notre Dame Society 1100 Grace Hall University of Notre Dame Notre Dame, IN 46556-5612	35-0868188	501(c)(3)	13,000				as indicated previously by the Molyneux family
Van Buren County 20554 Highway I PO Box 494 Keosauqua, IA 52565	42-0988485	501(c)(3)	5,000				Kingpost Bridge Preservation
Van Buren Good Samaritan Center 819 Country Lane Road Keosauqua, IA 52565	45-0228055	501(c)(3)	5,000				Heavenly Hands Food & Clothing Pantry
Vera French Community Mental Health Center 1441 West Central Park Ave Davenport, IA 52804	42-0716337	501(c)(3)	900				YMCA Memberships
Vera French Community Mental Health Center 1441 West Central Park Ave Davenport, IA 52804	42-0716337	501(c)(3)	3,000				support of school therapist
Vera French Community Mental Health Center 1441 West Central Park Ave Davenport, IA 52804	42-0716337	501(c)(3)	2,000				Security & Accessibility Improvements at Vera French
Vera French Community Mental Health Center 1441 West Central Park Ave Davenport, IA 52804	42-0716337	501(c)(3)	20,000				New School-Based Services - Therapist for Bettendorf Community Schools

Grantee 990 - Part 2 Organizations
Grantees receiving \$5000 or more.
Tax Year 2009
Region: All Regions

Name, address, and zip	EIN	IRC Code	Cash Grant	Non-Cash Grant	Valuation Method	Descr Non-cash Assistance	Purpose of Grant or Assistance
Vera French Foundation 1441 W Central Park Ave Davenport, IA 52804	42-1256448	501(c)(3)	3,000				unrestricted
Vera French Foundation 1441 W Central Park Ave Davenport, IA 52804	42-1256448	501(c)(3)	16,548				annual distribution
Vera French Foundation 1441 W Central Park Ave Davenport, IA 52804	42-1256448	501(c)(3)	1,662				camperships
Vera French Foundation 1441 W Central Park Ave Davenport, IA 52804	42-1256448	501(c)(3)	1,000				unrestricted
Totals			2,573,199	000			

Grantee 990 - Part 3 Individuals
Tax Year 2009
Region: All Regions

Type of Grant	# Recipients	Amount of Cash Grants	Amount of non-cash Assistance	Method of Valuation	Descr Non-cash Assistance
	9	20,570			
Education & Research	17	104,880			
Scholarship	41	212,746			
Totals		338,196		000	

Part IX, Line 22 (990) - Depreciation, Depletion, and Amortization

		(A)	(B)	(C)	(D)
		Total	Program services	Management and general	Fundraising
1	Depreciation	22,892		22,892	
2	Depletion	0			
3	Amortization	0			
4	Total	22,892	0	22,892	0

Part X, Line 7 (990) - Other Notes

		0	234,960	215,001	7,818	
	Borrower's name	Original amount	Net balance due beginning of year	Balance due end of year	Allowance for doubtful accounts end of year	Purpose of loan
1	Rotary Club of Davenport Student		0			
2	Loan Program		42,927	42,220	7,818	student loans
3	(28 loans \$2,000 - \$4,000)		0			
4	Nabstedt Student Loan Program		192,033	172,781		student loans
5	(36 loans \$2,600 - \$16,000)		0			
6			0			
7			0			
8			0			
9			0			
10			0			
11			0			
12			0			
13			0			
14			0			
15			0			
16			0			
17			0			
18			0			
19			0			
20			0			

Part X, Lines 10a and 10b (990) - Land, Buildings, and Equipment

Category or Item		Land	Buildings	Leasehold Improvements	Equipment	Other	Check if Investment Asset	Check if Asset Disposed	Cost/Other Basis	Beginning Accumulated Depreciation	Ending Accumulated Depreciation	Disposals/ Adjustments	Beginning Balance	Ending Balance
									152,027	74,958	93,291	0	68,460	58,736
1	Office Furniture				X				1,280	1,280	1,280		0	0
2	Affiliate Computer Equipment				X				3,368	1,030	1,589	0	1,258	1,779
3	Upgrade FIMS license				X				14,257	14,257	14,257	0	2	0
4	2 Workstations				X				1,628	3,256	1,628	0	0	0
5	Laser Printer				X				2,434	2,434	2,434	0	0	0
6	FIMS License				X				10,114	8,276	8,889		1,838	1,225
7	Fileserver				X				4,739	4,739	4,739	0	0	0
8	Office Chairs				X				959	959	959	0	0	0
9	10 workstations				X				6,233	5,653	4,128	0	3,511	2,105
10	Office & Backup Software				X				1,100	1,100	1,100	0	0	0
11	Color Laser Printer				X				4,067	3,254	4,067		813	0
12	Office furniture and signage				X				42,414	15,623	24,107		26,791	18,307
13	Office Copier				X				4,815	1,926	2,889		2,889	1,926
14	Office Alarm system				X				729	292	437		437	292
15	Leasehold Improvements			X					19,375	7,750	11,625		11,625	7,750
16	Desktop Scanner				X				663	133	265		530	398
17	Workstation				X				2,978	387	580		580	2,398
18	FIMS Donor Central and License upgrade				X				14,575	2,609	5,218	0	10,436	9,357
19	Website Programming					X			15,500	0	3,100	0	7,750	12,400
20	PIN Computer				X				799	0	0	0	0	799

Part X, Lines 11 and 12 (990) - Investments - Securities

Check one box below to indicate how securities are reported

☐ Cost☒ End of year market value (FMV)

						0	33,591,497	44,656,051
Description		Check if Publicly Traded Securities?	Check if Financial Dervatives	Check if Closely-Held Equity Interests	Number of Shares/ Face Value	Value at Time of Donation	Beginning Balance Book Value FMV	Ending Balance Book Value FMV
1	Common Stocks	X			0 00	0	7,311,928	10,750,135
2	Corporate Bonds	X			0 00	0	5,365,668	5,581,136
3	US Government Securities	X			0 00	0	1,777,358	1,131,710
4	Equity Mutual Funds	X			0 00	0	13,489,963	21,128,185
5	Fixed Income Mutual Funds	X			0 00	0	4,284,691	4,340,295
6	Interest in other Community Foundation				0.00	0	1,361,889	1,724,590
7					0 00	0	0	0
8					0 00	0	0	0
9					0 00	0	0	0
10					0 00	0	0	0
11					0 00	0	0	0
12					0 00	0	0	0
13					0 00	0	0	0
14					0 00	0	0	0
15					0 00	0	0	0
16					0 00	0	0	0
17					0 00	0	0	0
18					0 00	0	0	0
19					0 00	0	0	0
20					0 00	0	0	0

Part X, Line 15 (990) - Other Assets		1,962,131	7,142,162
	Description	Beginning	End
1	Cash Surrender Value of Life Insurance Policy	332,760	376,592
2	Accrued Interest	109,696	112,719
3	Contributions Receivable - Estates	511,108	4,378,300
4	Contributions Receivable - Unitrusts	1,008,567	2,274,551
5			
6			
7			
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Part X, Line 25 (990) - Other Liabilities

		920,350	953,218
Description		Beginning	End
1	Amount due under Annuity and Trust Agreements	920,350	953,218
2			
3			
4			
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Part II (Sch G (990/990EZ)) - Events

	Line 1	Line 2 Less (Charitable contributions)	Line 3 Gross Income (line 1 minus line 2)	Line 4 Cash Prizes	Line 5 Noncash Prizes	Line 6 Rent/Facility costs	Line 7 Food and beverages	Line 8 Entertainment	Line 9 Other direct expenses
1	Power of the Purse	24,411	8,046	16,365	0		3,665	3,506	3,677
2	Hall of Fame Dinner	9,089	2,770	6,319		2,586			1,576
3	DeWitt Fine Arts Play	5,230	0	5,230		100		639	861
4	DeWitt Fine Arts John Bloom Concert	5,768	0	5,768				639	444
5	Washington County Chef Cookoff	7,238	5,078	2,160		50	1,710		66
6				0					
7				0					
8				0					
9				0					
10				0					
11				0					
12				0					
13				0					
14				0					
15				0					
16				0					
17				0					
18				0					
19				0					
20				0					

6,624

4,784

5,375

2,736

0

0

35,842

15,894

51,736

Community Foundation of the Great River Bend

Form 990, Part VIII, Line 7a

12/31/2009

				Gain		
Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	or Loss
12/29/2008	1/2/2009	158	Abb Ltd Spons ADR	4,408	2,821	(1,587)
12/30/2008	1/2/2009	1878	Abb Ltd Spons ADR	52,395	33,334	(19,061)
1/31/2006	1/6/2009	40	Abbott Laboratories	1,590	1,776	186
2/15/2008	1/6/2009	25	Accenture Ltd Cl A	802	668	(134)
1/6/2009	1/7/2009	30	Accenture Ltd Cl A	718	837	119
1/11/2008	1/15/2009	35	Accenture Ltd Cl A	1,101	935	(166)
1/11/2008	1/15/2009	50	Accenture Ltd Cl A	1,085	1,395	310
3/3/2006	1/15/2009	55	Accenture Ltd Cl A	1,316	1,534	218
1/17/2006	1/15/2009	95	Accenture Ltd Cl A	3,462	2,538	(924)
12/31/2008	1/15/2009	100	Accenture Ltd Cl A	2,522	2,789	267
12/31/2008	1/15/2009	215	Accenture Ltd Cl A	5,544	5,997	453
1/2/2009	1/15/2009	340	Accenture Ltd Cl A	12,389	9,082	(3,307)
2/9/1999	1/15/2009	500	Acorn Energy	3,515	3,474	(41)
6/16/1999	1/15/2009	20	Adobe Systems Inc	403	674	270
9/1/2006	1/16/2009	405	Adobe Systems Inc	9,306	13,643	4,337
7/14/2006	1/16/2009	1845	Aecom Technology Corp	57,032	42,055	(14,977)
4/11/2005	1/16/2009	15	Aetna Inc	657	418	(239)
7/14/2006	1/16/2009	45	Aetna Inc	1,971	1,255	(716)
3/6/2007	1/16/2009	100	Affiliated Managers Group	9,203	5,774	(3,429)
12/12/2006	1/16/2009	60	Agrium Inc	4,644	2,369	(2,275)
12/31/2008	1/20/2009	130	Agrium Inc	10,062	5,134	(4,928)
7/26/2007	1/20/2009	15	Air Products & Chemicals Inc	1,405	1,109	(295)
7/13/2005	1/20/2009	20	Air Products & Chemicals Inc	1,873	1,479	(394)
1/6/2009	1/20/2009	75	Air Products & Chemicals Inc	4,721	6,012	1,291
6/23/2006	1/21/2009	125	Air Products & Chemicals Inc	8,242	10,020	1,778
7/13/2006	1/23/2009	800	Air Products & Chemicals Inc	50,201	64,126	13,926
7/13/2006	1/23/2009	100	Alcoa Inc	1,039	1,259	220
12/20/2007	1/23/2009	100	Alcoa Inc	1,039	1,160	121
7/13/2006	1/23/2009	100	Alcoa Inc	950	1,160	210
7/13/2006	1/23/2009	200	Alcoa Inc	5,185	2,576	(2,609)
7/13/2006	1/23/2009	200	Alcoa Inc	1,900	2,320	420
7/13/2006	1/23/2009	300	Alcoa Inc	2,850	3,777	927
12/31/2007	1/23/2009	600	Alcoa Inc	5,412	7,818	2,406
7/13/2006	1/23/2009	60	Allianz Aktiengeselsch Sp ADR 1/10	563	543	(20)
12/31/2007	1/23/2009	135	Allianz Aktiengeselsch Sp ADR 1/10	1,266	1,221	(45)
12/20/2007	1/23/2009	190	Allianz Aktiengeselsch Sp ADR 1/10	1,976	1,719	(257)
12/30/2008	1/23/2009	715	Allianz Aktiengeselsch Sp ADR 1/10	7,435	6,468	(967)
11/9/2007	1/23/2009	125	Allstate Corp	3,206	3,999	792
12/20/2006	1/23/2009	225	Allstate Corp	10,197	7,198	(2,999)
12/30/2008	1/23/2009	225	Allstate Corp	5,033	7,198	2,165
7/18/2008	1/26/2009	750	Allstate Corp	16,857	23,992	7,135
6/30/2008	1/26/2009	1500	Allstate Corp	60,285	47,984	(12,301)
7/18/2008	1/26/2009	10000	Allstate Life Global Fund Trust	10,098	10,000	(98)
6/30/2008	1/26/2009	10000	Allstate Life Global Fund Trust	10,098	10,000	(98)
7/30/2008	1/26/2009	5 029	Alncbrnstr Intl	112	70	(42)
8/19/2008	1/26/2009	55 38	Alncbrnstr Intl	1,234	772	(462)
6/10/2008	1/26/2009	539 447	Alncbrnstr Intl	11,987	7,520	(4,467)
7/8/2008	1/26/2009	748 516	Alncbrnstr Intl	16,138	10,434	(5,704)
9/17/2008	1/26/2009	3956 212	Amcent Vista Adv	40,512	41,501	989
10/10/2008	1/26/2009	176 991	Amer Cent New Opportunity II Inv	1,400	850	(550)
12/16/2008	1/26/2009	310 078	Amer Cent New Opportunity II Inv	2,400	1,488	(912)
3/30/2007	1/26/2009	467 762	Amer Cent New Opportunity II Inv	3,700	2,245	(1,455)
6/1/2007	1/26/2009	904 393	Amer Cent New Opportunity II Inv	7,000	4,341	(2,659)
1/2/2004	1/26/2009	1131 313	Amer Cent New Opportunity II Inv	5,600	5,928	328
12/7/2007	1/26/2009	2646 465	Amer Cent New Opportunity II Inv	13,100	13,867	767
2/13/2008	1/26/2009	96 471	Amer Funds Amer Bal A	1,352	1,340	(12)
7/23/2004	1/26/2009	739 887	Amer Funds Amer Bal A	13,725	10,183	(3,542)
12/8/2006	1/26/2009	5 937	Amer Funds Amer Bal C	73	82	9
2/13/2008	1/26/2009	6 645	Amer Funds Amer Bal C	90	92	2
12/8/2006	1/26/2009	8 667	Amer Funds Amer Bal C	157	120	(38)
7/23/2004	1/26/2009	9 191	Amer Funds Amer Bal C	160	127	(33)

7/23/2004	1/26/2009	771 707 Amer Funds Amer Bal C	10,039	10,673	633
12/8/2006	1/26/2009	832 558 Amer Funds Amer Bal C	15,136	11,514	(3,622)
7/23/2004	1/26/2009	43 823 Amer Funds Bond Fund A	458	482	24
12/8/2006	1/26/2009	3 22 Amer Funds Bond Fund C	42	35	(7)
7/8/2008	1/26/2009	5 075 Amer Funds Bond Fund C	68	56	(12)
3/30/2007	1/26/2009	5 125 Amer Funds Bond Fund C	68	56	(12)
6/10/2008	1/26/2009	5 297 Amer Funds Bond Fund C	68	58	(10)
6/1/2007	1/26/2009	6 576 Amer Funds Bond Fund C	86	72	(14)
12/31/2007	1/26/2009	7 65 Amer Funds Bond Fund C	82	84	2
12/31/2007	1/26/2009	7 928 Amer Funds Bond Fund C	104	87	(18)
2/19/2008	1/26/2009	8 329 Amer Funds Bond Fund C	110	91	(19)
7/8/2008	1/26/2009	8 712 Amer Funds Bond Fund C	117	95	(22)
7/30/2008	1/26/2009	8 726 Amer Funds Bond Fund C	116	96	(20)
3/30/2007	1/26/2009	8 824 Amer Funds Bond Fund C	118	97	(21)
6/10/2008	1/26/2009	8 887 Amer Funds Bond Fund C	118	97	(20)
6/1/2007	1/26/2009	8 937 Amer Funds Bond Fund C	119	98	(21)
12/20/2007	1/26/2009	9 093 Amer Funds Bond Fund C	122	100	(22)
12/20/2007	1/26/2009	9 106 Amer Funds Bond Fund C	122	100	(22)
12/20/2007	1/26/2009	9 154 Amer Funds Bond Fund C	121	100	(20)
11/9/2007	1/26/2009	12 213 Amer Funds Bond Fund C	161	134	(27)
11/9/2007	1/26/2009	12 542 Amer Funds Bond Fund C	167	137	(30)
12/31/2007	1/26/2009	12 638 Amer Funds Bond Fund C	169	139	(30)
11/9/2007	1/26/2009	12 689 Amer Funds Bond Fund C	168	139	(29)
12/31/2007	1/26/2009	12 889 Amer Funds Bond Fund C	169	141	(28)
12/17/2007	1/26/2009	13 077 Amer Funds Bond Fund C	172	143	(29)
12/17/2007	1/26/2009	13 572 Amer Funds Bond Fund C	174	149	(26)
12/17/2007	1/26/2009	13 677 Amer Funds Bond Fund C	174	150	(24)
11/9/2007	1/26/2009	13 747 Amer Funds Bond Fund C	175	151	(24)
12/31/2007	1/26/2009	13 792 Amer Funds Bond Fund C	174	151	(22)
7/30/2008	1/26/2009	13 856 Amer Funds Bond Fund C	180	152	(28)
6/10/2008	1/26/2009	13 893 Amer Funds Bond Fund C	178	152	(26)
7/8/2008	1/26/2009	14 406 Amer Funds Bond Fund C	177	158	(19)
7/30/2008	1/26/2009	14 547 Amer Funds Bond Fund C	178	159	(19)
8/19/2008	1/26/2009	15 346 Amer Funds Bond Fund C	179	168	(11)
7/8/2008	1/26/2009	16 51 Amer Funds Bond Fund C	177	181	4
6/1/2007	1/26/2009	16 879 Amer Funds Bond Fund C	183	185	2
3/30/2007	1/26/2009	17 438 Amer Funds Bond Fund C	184	191	7
12/20/2007	1/26/2009	17 617 Amer Funds Bond Fund C	186	193	7
12/20/2007	1/26/2009	18 034 Amer Funds Bond Fund C	234	198	(36)
7/18/2008	1/26/2009	18 173 Amer Funds Bond Fund C	191	199	8
6/30/2008	1/26/2009	23 939 Amer Funds Bond Fund C	255	262	7
7/18/2008	1/26/2009	27 419 Amer Funds Bond Fund C	293	301	8
6/30/2008	1/26/2009	677 642 Amer Funds Bond Fund C	8,850	7,427	(1,423)
12/12/2008	1/26/2009	677 642 Amer Funds Bond Fund C	8,850	7,427	(1,423)
12/12/2008	1/26/2009	759 878 Amer Funds Bond Fund C	10,000	8,328	(1,672)
8/1/2008	1/26/2009	1300 689 Amer Funds Bond Fund C	17,000	14,256	(2,744)
8/19/2008	1/26/2009	122 1 Amer Funds Europac F	6,000	3,454	(2,546)
7/8/2008	1/26/2009	0 328 Amer Funds Fundamen C	13	9	(4)
7/30/2008	1/26/2009	0 776 Amer Funds Fundamen C	32	20	(12)
2/5/2006	1/27/2009	0 786 Amer Funds Fundamen C	35	20	(14)
12/26/2007	1/27/2009	0 982 Amer Funds Fundamen C	40	26	(15)
3/12/2008	1/27/2009	1 095 Amer Funds Fundamen C	42	28	(14)
12/26/2007	1/27/2009	1 192 Amer Funds Fundamen C	49	31	(18)
12/28/2006	1/27/2009	1 281 Amer Funds Fundamen C	50	33	(17)
3/14/2007	1/27/2009	1 477 Amer Funds Fundamen C	55	38	(16)
3/14/2007	1/27/2009	1 597 Amer Funds Fundamen C	66	42	(24)
3/12/2008	1/27/2009	3 432 Amer Funds Fundamen C	137	89	(48)
3/29/2006	1/27/2009	4 188 Amer Funds Fundamen C	92	109	17
10/31/2008	1/27/2009	8 37 Amer Funds Fundamen C	327	218	(109)
2/22/2007	1/27/2009	8 573 Amer Funds Fundamen C	216	223	7
12/14/2007	1/27/2009	12 446 Amer Funds Fundamen C	532	324	(208)
12/14/2007	1/27/2009	39 911 Amer Funds Fundamen C	1,597	1,038	(559)
12/12/2008	1/27/2009	50 112 Amer Funds Fundamen C	2,140	1,303	(837)
12/22/2008	1/30/2009	119 107 Amer Funds Fundamen C	5,087	3,098	(1,989)
12/1/2008	2/2/2009	283 854 Amer Funds Fundamen C	10,988	7,383	(3,605)
12/9/2008	2/2/2009	297 616 Amer Funds Fundamen C	10,988	7,741	(3,247)
1/7/2009	2/2/2009	434 449 Amer Funds Fundamen C	17,000	11,300	(5,700)
6/30/2003	2/2/2009	2 234 Amer Funds Grth Fund A	56	50	(6)

1/27/2009	2/3/2009	8 585 Amer Funds Grth Fund A	203	169	(34)
11/24/2008	2/5/2009	14 13 Amer Funds Grth Fund A	303	264	(39)
4/30/2007	2/6/2009	28 052 Amer Funds Grth Fund A	605	552	(54)
5/16/2008	2/9/2009	33 912 Amer Funds Grth Fund A	662	750	88
9/17/2008	2/9/2009	44 043 Amer Funds Grth Fund A	1,082	983	(99)
8/25/2005	2/9/2009	66 11 Amer Funds Grth Fund A	1,565	1,476	(89)
1/28/2005	2/9/2009	87 85 Amer Funds Grth Fund A	1,895	1,642	(253)
1/28/2005	2/9/2009	104 524 Amer Funds Grth Fund A	3,453	1,954	(1,500)
12/31/2004	2/11/2009	110 153 Amer Funds Grth Fund A	2,211	2,059	(152)
12/26/2006	2/11/2009	115 902 Amer Funds Grth Fund A	2,743	2,279	(465)
6/25/2007	2/11/2009	121 427 Amer Funds Grth Fund A	3,050	2,711	(339)
3/20/2007	2/11/2009	123 661 Amer Funds Grth Fund A	2,654	2,311	(343)
1/17/2006	2/11/2009	149 181 Amer Funds Grth Fund A	3,665	3,331	(334)
1/17/2006	2/11/2009	153 848 Amer Funds Grth Fund A	5,140	2,875	(2,265)
9/24/2008	2/11/2009	178 897 Amer Funds Grth Fund A	3,839	3,344	(496)
6/26/2006	2/11/2009	178 897 Amer Funds Grth Fund A	5,911	3,995	(1,916)
9/1/2006	2/11/2009	179 094 Amer Funds Grth Fund A	3,770	3,347	(423)
6/23/2006	2/11/2009	187 112 Amer Funds Grth Fund A	4,429	3,679	(750)
11/27/2007	2/12/2009	204 263 Amer Funds Grth Fund A	4,383	3,818	(566)
12/18/2007	2/12/2009	292 113 Amer Funds Grth Fund A	9,759	6,523	(3,237)
2/12/2008	2/12/2009	411 015 Amer Funds Grth Fund A	8,820	7,682	(1,139)
11/27/2007	2/12/2009	411 015 Amer Funds Grth Fund A	10,000	9,178	(822)
12/18/2007	2/12/2009	562 43 Amer Funds Grth Fund A	10,000	10,512	512
2/12/2008	2/12/2009	628 507 Amer Funds Grth Fund A	13,230	11,747	(1,483)
10/22/2008	2/13/2009	650 766 Amer Funds Grth Fund A	13,061	12,163	(898)
10/21/2008	2/15/2009	931 966 Amer Funds Grth Fund A	22,060	18,322	(3,737)
5/11/1999	2/17/2009	1743 532 Amer Funds Grth Fund A	31,000	32,087	1,087
4/7/2008	2/19/2009	13 428 Amer Funds Grth Fund F	446	333	(113)
5/11/2006	2/19/2009	48 584 Amer Funds Grth Fund F	1,533	1,204	(329)
3/6/2007	2/23/2009	48 584 Amer Funds Grth Fund F	957	1,204	247
4/2/2007	2/23/2009	74 731 Amer Funds Grth Fund F	2,480	1,852	(629)
3/11/2008	2/23/2009	1131 081 Amer Funds Grth Fund F	36,500	29,487	(7,013)
12/17/2007	2/23/2009	1205 792 Amer Funds Grth Fund F	43,300	29,879	(13,422)
4/19/2007	2/23/2009	1304 61 Amer Funds Grth Fund F	43,300	32,327	(10,973)
6/25/2008	2/23/2009	1323 841 Amer Funds Grth Fund F	41,767	32,804	(8,964)
11/1/2007	2/23/2009	1416 943 Amer Funds Grth Fund F	47,000	36,940	(10,060)
8/9/2007	2/23/2009	90 America Movil Sa De Cv	4,022	2,687	(1,335)
12/5/2007	2/23/2009	245 America Movil Sa De Cv	10,948	7,314	(3,635)
5/18/2007	2/23/2009	1 898 American Bond Fund Of America F	21	22	1
2/5/2008	2/23/2009	12 563 American Bond Fund Of America F	142	144	2
1/16/2009	2/23/2009	14 996 American Bond Fund Of America F	168	172	4
6/26/2008	2/23/2009	16 622 American Bond Fund Of America F	182	191	9
12/17/2007	2/23/2009	81 986 American Bond Fund Of America F	897	942	45
6/22/2007	2/23/2009	102 52 American Bond Fund Of America F	1,122	1,178	56
12/17/2007	2/23/2009	195 444 American Bond Fund Of America F	2,138	2,246	107
12/26/2008	2/23/2009	366 266 American Bond Fund Of America F	4,007	4,080	73
1/16/2009	2/23/2009	371 921 American Bond Fund Of America F	4,110	4,273	164
12/18/2007	2/24/2009	832 869 American Bond Fund Of America F	9,112	9,570	458
12/18/2006	2/24/2009	2097 231 American Bond Fund Of America F	22,944	24,097	1,153
6/24/2003	2/24/2009	11 American Cap Income Builder A	528	535	7
7/30/2003	2/24/2009	75 American Cap Income Builder A	3,371	3,342	(29)
12/2/2002	2/24/2009	257 732 American Century Inflation Prot Bond A	2,407	2,500	93
7/30/2003	2/24/2009	3489 591 American Century Inflation Prot Bond A	32,593	36,327	3,734
7/30/2003	2/24/2009	5 219 American Europacific Growth C	235	152	(83)
6/24/2003	2/24/2009	6 858 American Europacific Growth C	309	199	(110)
7/30/2003	2/24/2009	7 011 American Europacific Growth C	358	204	(154)
7/31/2003	2/24/2009	9 615 American Europacific Growth C	255	279	25
12/2/2002	2/24/2009	24 815 American Europacific Growth C	1,117	721	(396)
7/30/2003	2/24/2009	31 966 American Europacific Growth C	846	929	83
2/27/2003	2/24/2009	40 054 American Europacific Growth C	2,045	1,164	(881)
2/27/2003	2/24/2009	114 777 American Europacific Growth C	5,111	3,335	(1,776)
12/23/2005	2/24/2009	125 98 American Europacific Growth C	5,111	3,661	(1,450)
10/28/2008	2/24/2009	285 338 American Europacific Growth C	13,000	8,292	(4,708)
10/4/2007	2/24/2009	88 339 American Europacific Growth F2	3,265	3,500	235
9/23/2008	2/24/2009	103 761 American Europacific Growth F2	2,851	4,000	1,149
9/22/2008	2/27/2009	6000 American Express Company	5,963	6,332	369
12/31/2008	3/2/2009	50000 American General Corporation	49,827	21,800	(28,027)
11/30/2007	3/2/2009	58 608 American Grw Fd Of Amer F2	1,315	1,600	285
		6 150% Due 08-28-17			
		5 375% Due 10-01-12			

9/14/2001	3/2/2009	144 989	American Grw Fd Of Amer F2	2,708	3,400	692
8/22/2007	3/2/2009	146 52	American Grw Fd Of Amer F2	3,288	4,000	712
7/13/2007	3/2/2009	281 45	American Grw Fd Of Amer F2	5,257	6,600	1,343
8/8/2008	3/2/2009	322 074	American Grw Fd Of Amer F2	7,227	8,200	973
11/13/2008	3/3/2009	457 875	American Grw Fd Of Amer F2	10,275	12,500	2,225
11/13/2008	3/3/2009	903 378	American Grw Fd Of Amer F2	20,272	23,000	2,728
6/30/2005	3/4/2009	139 252	American New World F	4,243	5,168	925
1/8/2008	3/5/2009	150	American Tower Corp Cl A	4,940	4,623	(316)
6/9/2008	3/5/2009	800	Anadarko Pete Corp	25,940	32,531	6,591
9/12/2008	3/5/2009	25	Analog Devices Inc	613	694	81
4/24/2008	3/15/2009	5	Apache Corp	562	417	(146)
7/14/2008	3/15/2009	28	Apple Inc	1,785	4,662	2,877
10/6/2008	3/15/2009	200	Apple Inc	18,736	36,395	17,659
12/22/2008	3/15/2009	40	Arch Coal Inc	610	676	66
12/31/2005	3/15/2009	50	Arch Coal Inc	763	1,092	329
12/31/2005	3/15/2009	95	Arch Coal Inc	1,450	2,076	626
12/12/2007	3/15/2009	110	Arch Coal Inc	1,679	1,684	6
12/14/2006	3/15/2009	30	Archer Daniels Midland	867	810	(57)
3/16/2006	3/15/2009	35	Archer Daniels Midland	1,011	945	(66)
3/13/2008	3/15/2009	140	Archer Daniels Midland	3,869	3,780	(89)
3/15/2007	3/15/2009	200	Archer Daniels Midland	7,527	5,230	(2,297)
6/14/2007	3/15/2009	375	Archer Daniels Midland	10,364	10,125	(239)
3/15/2007	3/15/2009	2205	At&t Inc	53,030	53,055	25
9/13/2007	3/15/2009	50	Automatic Data Processing	2,240	2,001	(239)
12/14/2006	3/15/2009	75	Automatic Data Processing	2,829	3,002	173
9/14/2006	3/15/2009	175	Automatic Data Processing	7,535	7,005	(530)
12/12/2007	3/15/2009	250	Automatic Data Processing	11,050	9,782	(1,268)
3/16/2006	3/15/2009	1200	Automatic Data Processing	51,109	46,955	(4,154)
6/15/2006	3/15/2009	0 322	Avalonbay Communities Inc	24	15	(9)
3/13/2008	3/15/2009	200	Banco Santander Adr	3,114	1,068	(2,046)
6/12/2008	3/15/2009	400	Banco Santander Adr	7,774	2,136	(5,638)
9/11/2008	3/15/2009	3000	Banco Santander Adr	62,180	16,020	(46,160)
12/12/2007	3/15/2009	5000	Bank of America Corp	4,910	5,000	90
3/16/2006	3/15/2009	500	Bank Of America Corp 5 574%	7,093	3,717	(3,375)
12/11/2008	3/15/2009	2000	Bank Of America Corp 5 574%	28,370	14,870	(13,500)
3/15/2007	3/15/2009	1608	Bank of America Corp 8 2%	30,255	12,542	(17,712)
12/14/2006	3/15/2009	1500	Barclays Plc ADR	57,909	20,588	(37,321)
3/13/2008	3/15/2009	2000	Barclays Plc ADR	86,000	27,451	(58,549)
3/11/2009	3/15/2009	35	Becton Dickinson & Co	2,197	2,352	155
3/26/2008	3/15/2009	35	Becton Dickinson & Co	2,416	2,358	(58)
9/1/2006	3/16/2009	180	Becton Dickinson & Co	11,298	12,128	830
7/14/2006	3/16/2009	76	Bhp Limited	3,222	4,800	1,578
9/1/2006	3/16/2009	100	Bhp Limited	4,240	6,317	2,077
7/14/2006	3/16/2009	125	Bhp Limited	5,804	8,565	2,761
4/2/2007	3/16/2009	250	Bhp Limited	14,813	17,130	2,317
7/14/2006	3/16/2009	1000	Bhp Limited	69,640	60,761	(8,879)
12/12/2006	3/16/2009	1000	Bhp Limited	33,360	68,377	35,017
10/17/2007	3/16/2009	1130	Bhp Limited	47,907	70,702	22,795
7/13/2007	3/16/2009	50	Biogen Idec Inc	2,008	2,347	340
12/23/2005	3/17/2009	150	Biogen Idec Inc	5,183	7,042	1,859
12/23/2005	3/17/2009	100	Black & Decker	4,188	6,112	1,924
12/23/2005	3/17/2009	100	Black & Decker	3,542	6,112	2,570
10/10/2007	3/17/2009	20 362	Blackrock Equity Dividend A	337	270	(67)
10/10/2007	3/17/2009	41 545	Blackrock Equity Dividend A	687	570	(117)
12/23/2005	3/17/2009	1 252	BlackRock Equity Dividend Fund Inst	18	20	2
10/27/2008	3/17/2009	16 807	BlackRock Equity Dividend Fund Inst	245	250	5
11/30/2007	3/17/2009	17 688	BlackRock Equity Dividend Fund Inst	257	280	23
12/31/2004	3/23/2009	1 702	Blackrock Intl Opportunit I	38	35	(3)
9/1/2006	3/24/2009	16 298	Blackrock Intl Opportunit I	611	339	(272)
9/24/2008	3/25/2009	17 158	Blackrock Intl Opportunit I	530	357	(173)
9/24/2008	3/25/2009	18 955	Blackrock Intl Opportunit I	773	394	(379)
9/11/2008	3/25/2009	34 938	Blackrock Intl Opportunit I	820	726	(94)
6/25/2007	3/25/2009	135 98	Blackrock Intl Opportunit I	5,000	2,827	(2,173)
12/23/2008	3/25/2009	148 137	Blackrock Intl Opportunit I	5,090	3,080	(2,010)
12/23/2008	3/25/2009	1225	Boeing Company	47,846	48,270	425
12/23/2008	3/25/2009	1000	Bp Plc Spons Adr	51,060	52,409	1,349
9/24/2008	3/25/2009	8 19	Brandywine Blue	150	175	25
12/19/2005	3/25/2009	26 917	Brandywine Blue	493	500	8

12/26/2006	3/25/2009	1 147 Calamos Global Grwth & Inc C	13	8	(4)
12/13/2007	3/25/2009	26 896 Calamos Global Grwth & Inc C	299	196	(102)
12/14/2006	3/25/2009	428 265 Calamos Global Grwth & Inc C	4,788	3,122	(1,666)
12/13/2007	3/25/2009	75 Carefusion Corp	2,817	1,612	(1,205)
12/13/2007	3/25/2009	100 Caterpillar Inc	6,591	5,698	(893)
12/14/2006	3/25/2009	185 Caterpillar Inc	13,488	6,144	(7,344)
12/16/2008	3/25/2009	500 Caterpillar Inc	19,425	26,894	7,469
12/16/2008	3/25/2009	200 Chesapeake Energy Corp	4,514	5,784	1,270
9/24/2008	3/25/2009	350 Chesapeake Energy Corp	7,095	10,122	3,027
12/26/2008	3/25/2009	1100 000 Chevron	77,858	75,733	(2,125)
1/20/2005	3/26/2009	25 China Mobile Ltd ADR	1,200	1,121	(79)
1/20/2005	3/26/2009	75 China Mobile Ltd ADR	3,882	3,363	(519)
12/15/2004	3/26/2009	85 China Mobile Ltd ADR	4,081	3,822	(258)
12/15/2004	3/26/2009	215 China Mobile Ltd ADR	11,128	9,669	(1,459)
6/4/2003	3/26/2009	100 Cisco Systems Inc	1,956	2,339	383
6/16/2006	3/26/2009	100 Cisco Systems Inc	1,797	2,363	566
3/5/2004	3/26/2009	180 Cisco Systems Inc	4,111	4,211	99
12/3/2004	3/26/2009	200 Cisco Systems Inc	3,854	4,678	824
6/10/2004	3/26/2009	234 Cisco Systems Inc	3,154	5,474	2,319
1/13/2006	3/30/2009	240 Cisco Systems Inc	5,785	5,671	(114)
1/13/2006	3/30/2009	260 Cisco Systems Inc	4,953	6,144	1,191
6/8/2006	3/30/2009	270 Cisco Systems Inc	9,332	6,316	(3,016)
6/30/2006	3/30/2009	300 Cisco Systems Inc	5,595	7,018	1,423
7/18/2008	3/30/2009	35000 CIT Group Inc	35,000	16,107	(18,893)
7/18/2008	3/30/2009	50000 CIT Group Inc	49,809	34,500	(15,309)
10/29/2004	3/30/2009	20000 Citigroup, Inc	20,000	12,860	(7,140)
1/11/2008	3/30/2009	300 Citigroup Inc	16,254	1,214	(15,040)
10/28/2004	3/30/2009	1966 Claymore Delta Global Ship	25,960	23,808	(2,153)
1/13/2006	3/30/2009	570 Claymore S&P Global Wat Index ET	14,336	7,045	(7,290)
1/11/2008	3/30/2009	1380 Claymore S&P Global Wat Index ET	34,707	17,057	(17,650)
1/13/2006	3/30/2009	20 CME Group Inc	3,835	4,907	1,071
6/8/2006	3/30/2009	50 CME Group Inc	9,589	12,266	2,678
6/30/2006	3/30/2009	40 Coca-Cola Company	1,941	2,102	161
6/30/2006	3/30/2009	70 Coca-Cola Company	3,790	3,679	(111)
10/28/2004	3/30/2009	240 Coca-Cola Company	12,996	12,615	(381)
12/15/2005	3/30/2009	1342 Coca-Cola Company	55,637	59,706	4,070
12/15/2005	3/30/2009	180 Cohen & Steers Qty Ry	2,295	723	(1,572)
1/12/2006	3/30/2009	250 Cohen & Steers Qty Ry	836	1,513	677
12/17/2003	3/31/2009	345 Cohen & Steers Qty Ry	7,014	1,385	(5,629)
12/17/2003	3/31/2009	350 Cohen & Steers Qty Ry	5,579	1,405	(4,174)
12/17/2003	3/31/2009	630 Cohen & Steers Qty Ry	10,042	2,529	(7,513)
7/31/2003	3/31/2009	1035 Cohen & Steers Qty Ry	3,460	5,444	1,984
12/17/2003	3/31/2009	1095 Cohen & Steers Qty Ry	3,660	4,395	735
7/3/2007	3/31/2009	1585 Cohen & Steers Qty Ry	5,298	8,337	3,039
12/18/2007	3/31/2009	2220 Cohen & Steers Qty Ry	45,066	8,911	(36,155)
12/18/2007	3/31/2009	3565 Cohen & Steers Qty Ry	11,917	14,310	2,393
1/15/2008	3/31/2009	24 386 Cohen & Steers Realsh	615	655	40
12/18/2007	3/31/2009	1443 132 Cohen & Steers Realsh	50,640	38,777	(11,863)
1/15/2008	3/31/2009	250 Columbia Greater China A	11,335	11,848	513
12/16/2008	3/31/2009	18 692 Columbia Mid Cap Value Z	164	201	36
1/2/2008	3/31/2009	70 372 Columbia Mid Cap Value Z	619	702	82
1/2/2009	3/31/2009	186 Companhia Sidurgica Nacional ADR Ord	8,031	4,981	(3,050)
6/20/2005	3/31/2009	400 Companhia Sidurgica Nacional ADR Ord	7,447	12,956	5,508
1/2/2004	3/31/2009	757 Companhia Sidurgica Nacional ADR Ord	14,094	24,511	10,417
7/13/2006	3/31/2009	1315 Companhia Sidurgica Nacional ADR Ord	56,780	42,579	(14,201)
12/20/2006	3/31/2009	800 Corning Inc	13,128	12,600	(528)
12/20/2006	3/31/2009	35 Covidien PLC	1,425	1,198	(227)
7/13/2006	3/31/2009	206 264 CRM Small Mid Cap Value Inst	2,715	1,867	(848)
12/30/2008	3/31/2009	463 Cross Country Healthcare Inc	7,116	3,639	(3,478)
5/8/2008	3/31/2009	70 Cvs Caremark Corp	2,197	2,215	18
12/31/2008	4/1/2009	105 Cvs Caremark Corp	3,475	3,322	(153)
12/23/2008	4/8/2009	370 Cvs Caremark Corp	12,246	11,707	(539)
12/31/2004	4/8/2009	50 Danaher Corp Del	2,296	3,051	756
12/23/2008	4/8/2009	3 153 Davis NY Venture A	119	74	(45)
4/2/2009	4/8/2009	4 711 Davis NY Venture A	189	110	(79)
4/9/2008	4/8/2009	5 996 Davis NY Venture A	127	140	13
1/29/2008	4/8/2009	10 525 Davis NY Venture A	396	246	(151)
10/2/2008	4/8/2009	15 723 Davis NY Venture A	632	367	(265)

7/2/2008	4/8/2009	18 048 Davis NY Venture A		384	422	38
4/2/2008	4/8/2009	144 509 Davis NY Venture A		5,000	3,376	(1,624)
4/2/2009	4/8/2009	224 801 Davis NY Venture A		7,612	5,251	(2,360)
12/23/2008	4/8/2009	875 704 Davis NY Venture A		29,826	20,456	(9,370)
4/9/2008	4/8/2009	4 Deere & Co	czach	151	130	(21)
1/29/2008	4/8/2009	420 Deere & Co	gacram	14,834	15,209	374
9/30/2008	4/8/2009	500 Deere & Co	klein	13,985	13,864	(121)
6/30/2008	4/8/2009	0 656 DFA Emerfing Markets Sm Capl		11	12	1
3/31/2008	4/8/2009	1 598 DFA Emerfing Markets Sm Capl		23	30	7
12/15/2008	4/8/2009	104 755 DFA Emerfing Markets Sm Capl		939	1,958	1,019
3/31/2009	4/8/2009	0 143 DFA Emerging Markets Small Cap		1	2	1
4/8/2005	4/9/2009	1 483 DFA Emerging Markets Small Cap		21	24	3
4/8/2005	4/9/2009	1 816 DFA Emerging Markets Small Cap		17	30	13
2/22/2005	4/9/2009	175 234 DFA Emerging Markets Small Cap		1,500	2,877	1,377
4/22/2005	4/9/2009	154 278 DFA Emerging Markets Small Capl		2,393	1,584	(808)
2/9/2005	4/9/2009	514 286 DFA Enhanced US LG Co I		5,487	3,600	(1,887)
6/9/2008	4/9/2009	4 68 DFA Int Small Co I		83	67	(16)
12/23/2008	4/9/2009	19 292 DFA Int Small Co I		352	276	(75)
3/30/2009	4/9/2009	105 791 DFA Int Small Co I		1,900	1,516	(384)
7/30/2007	4/13/2009	187 081 DFA Int Small Co I		3,431	2,681	(750)
11/20/2007	4/13/2009	224 011 DFA Int Small Co I		3,896	3,210	(685)
12/24/2007	4/13/2009	296 549 DFA Int Small Co I		5,293	4,250	(1,044)
12/14/2007	4/13/2009	378 842 DFA International Small Cap Value		6,709	5,300	(1,409)
12/31/2007	4/13/2009	3 264 DFA International Small Co I		56	32	(24)
12/31/2007	4/13/2009	9 728 DFA International Small Co I		139	96	(43)
3/19/2008	4/13/2009	11 747 DFA International Small Co I		223	116	(108)
3/19/2008	4/13/2009	15 498 DFA International Small Co I		295	153	(142)
2/21/2007	4/13/2009	16 570 DFA International Small Co I		158	145	(14)
7/20/2005	4/13/2009	22 131 DFA International Small Co I		392	218	(174)
2/24/2003	4/13/2009	54 195 DFA International Small Co I		1,031	533	(498)
11/3/2005	4/15/2009	91 527 DFA International Small Co I		1,750	901	(849)
1/25/2005	4/15/2009	200 821 DFA International Small Co I		1,914	1,755	(159)
2/17/2005	4/15/2009	251 716 DFA International Small Company		4,103	2,200	(1,903)
2/9/2005	4/15/2009	148 548 DFA International Small o		2,724	2,200	(524)
1/25/2005	4/15/2009	212 766 DFA International Value III		3,645	2,000	(1,645)
12/30/2005	4/15/2009	2 947 DFA Intl Small Cap Value I		45	45	(0)
1/9/2006	4/15/2009	5 594 DFA Intl Small Cap Value I		116	85	(30)
12/28/2007	4/15/2009	16 776 DFA Intl Small Cap Value I		347	256	(91)
8/16/2005	4/15/2009	94 622 DFA Intl Small Cap Value I		1,900	1,445	(455)
3/9/2005	4/15/2009	161 831 DFA Intl Small Cap Value I		3,186	2,471	(715)
2/9/2005	4/15/2009	504 085 DFA Intl Small Cap Value I		10,303	7,697	(2,606)
12/24/2008	4/15/2009	2 643 DFA Intl Value III		52	43	(10)
9/18/2008	4/15/2009	13 356 DFA Intl Value III		258	216	(42)
9/24/2008	4/15/2009	24 392 DFA Intl Value III		439	394	(45)
11/17/2008	4/15/2009	36 369 DFA Intl Value III		746	587	(159)
12/1/2008	4/15/2009	93 366 DFA Intl Value III		1,900	1,507	(393)
3/6/2009	4/15/2009	125 38 DFA Intl Value III		2,387	2,024	(364)
10/10/2008	4/15/2009	126 891 DFA Intl Value III		2,600	2,048	(552)
3/17/2009	4/16/2009	136 254 DFA Intl Value III		2,446	2,199	(247)
9/24/2008	4/17/2009	432 675 DFA Intl Value III		7,797	6,983	(813)
3/25/2009	4/17/2009	0 046 DFA INVT Dimensions		7	6	(1)
12/23/2008	4/17/2009	1 518 DFA INVT Dimensions		23	21	(2)
5/3/2007	4/17/2009	4 856 DFA INVT Dimensions		45	68	23
12/19/2005	4/17/2009	45 975 DFA INVT Dimensions		843	452	(391)
1/17/2006	4/17/2009	66 225 DFA INVT Dimensions		1,122	800	(322)
12/4/2007	4/17/2009	121 736 DFA INVT Dimensions		1,091	1,704	614
12/4/2006	4/17/2009	7 908 DFA Invt Dimensions - 233203736		119	104	(15)
12/2/2008	4/17/2009	19 145 DFA Invt Dimensions - 233203736		396	252	(145)
1/28/2005	4/17/2009	75 954 DFA Invt Dimensions - 233203736		1,571	998	(573)
2/9/2005	4/17/2009	111 261 DFA Invt Dimensions - 233203736		2,314	1,462	(852)
3/26/2008	4/17/2009	149 642 DFA Invt Dimensions - 233203736		2,300	1,966	(334)
12/23/2008	4/17/2009	3 017 DFA Invt Dimensions - DFELX		74	55	(19)
3/13/2008	4/21/2009	39 094 DFA Invt Dimensions - DFELX		418	238	(180)
4/11/2002	4/23/2009	478 205 DFA Invt Dimensions - DFELX		5,102	2,907	(2,195)
10/16/2008	4/23/2009	93 023 DFA Invt Dimensions - DFISX		1,706	1,200	(506)
12/9/2004	4/27/2009	15 513 DFA Invt Dimensions - DFSCX		187	146	(41)
4/1/2008	4/27/2009	531 326 DFA Invt Dimensions - DFSCX		8,714	5,000	(3,714)
1/8/2008	4/27/2009	1038 224 DFA Invt Dimensions - DFSCX		16,985	9,770	(7,216)

10/30/2007	4/28/2009	2 425 DFA TM US Marketwide Value II	35	18	(17)
4/2/2007	4/28/2009	3 527 DFA TM US Marketwide Value II	54	26	(28)
7/14/2006	4/28/2009	3 654 DFA TM US Marketwide Value II	24	27	3
9/1/2006	4/28/2009	4 263 DFA TM US Marketwide Value II	58	32	(27)
4/2/2007	4/28/2009	4 652 DFA TM US Marketwide Value II	76	35	(41)
9/1/2006	4/28/2009	10 904 DFA TM US Marketwide Value II	100	82	(18)
7/13/2007	4/28/2009	32 825 DFA TM US Marketwide Value II	533	246	(288)
12/19/2007	4/30/2009	706 491 DFA TM US Marketwide Value II	12,300	5,292	(7,008)
3/14/2007	4/30/2009	3 003 DFA US Large Cap Value III	52	39	(13)
3/14/2007	4/30/2009	7 469 DFA US Large Cap Value III	118	96	(21)
3/30/2009	5/1/2009	10 17 DFA US Large Cap Value III	176	131	(45)
1/26/2009	5/1/2009	122 4 DFA US Large Cap Value III	2,062	1,580	(482)
9/24/2008	5/4/2009	554 092 DFA US Large Cap Value III	9,547	7,153	(2,394)
4/27/2009	5/4/2009	1 066 DFA US Large Cap Value III	11	9	(2)
12/23/2008	5/4/2009	15 504 DFA US Large Cap Value III	265	130	(135)
3/25/2009	5/4/2009	18 357 DFA US Large Cap Value III	276	150	(126)
3/25/2009	5/4/2009	41 203 DFA US Large Cap Value III	413	337	(77)
12/23/2008	5/4/2009	282 910 DFA US Large Cap Value III	5,360	2,305	(3,055)
3/26/2008	5/4/2009	342 932 DFA US Large Cap Value III	5,778	2,870	(2,908)
6/17/2008	5/4/2009	9 836 DFA US LG III	176	127	(49)
12/18/2007	5/5/2009	14 631 DFA US LG III	258	189	(69)
12/18/2006	5/5/2009	85 677 DFA US LG III	1,628	1,107	(521)
7/23/2004	5/5/2009	808 342 DFA US LG III	14,017	10,444	(3,573)
9/14/2004	5/5/2009	1016 499 DFA US LG III	18,114	13,133	(4,981)
1/2/2004	5/5/2009	757 576 DFA US Micro Cap	11,348	5,000	(6,348)
1/2/2004	5/5/2009	0 54 DFA US Micro Cap I	9	5	(3)
12/17/2003	5/5/2009	1 493 DFA US Micro Cap I	23	16	(8)
9/14/2004	5/5/2009	4 419 DFA US Micro Cap I	69	46	(22)
12/23/2005	5/5/2009	149 822 DFA US Micro Cap I	2,327	1,566	(761)
12/23/2005	5/5/2009	179 194 DFA US Micro Cap I	2,772	1,873	(900)
3/18/2009	5/6/2009	364 148 DFA US Micro Cap I	5,957	3,641	(2,316)
1/6/2009	5/6/2009	526 289 DFA US Micro Cap I	8,173	5,263	(2,910)
1/22/2008	5/6/2009	1109 023 DFA US Micro Cap I	17,700	11,090	(6,610)
4/2/2008	5/6/2009	12 827 DFA US Micro Cap I	159	108	(51)
10/20/2008	5/6/2009	106 363 DFA US Micro Cap I	1,288	892	(396)
12/16/2008	5/8/2009	296 443 DFA US Small Cap Value	7,957	4,500	(3,457)
11/24/2008	5/8/2009	3 535 Diamond Hill Long SHRT I	51	58	7
6/8/2006	5/11/2009	806 452 Diamond Hill Long SHRT I	15,000	13,137	(1,863)
6/30/2006	5/11/2009	11 923 Dimensional Invt Group	199	157	(42)
4/10/2007	5/11/2009	42 470 Dimensional Invt Group	859	558	(301)
6/29/2007	5/11/2009	97 814 Dimensional Invt Group	2,136	1,285	(851)
6/8/2006	5/11/2009	267 618 Dimensional INVT Group - DFUVX	5,085	3,000	(2,085)
6/30/2006	5/11/2009	12 076 Dimensional INVT Group - DFVIX	264	169	(95)
7/13/2006	5/11/2009	480 932 Dimensional INVT Group - DFVIX	9,864	6,733	(3,131)
6/30/2006	5/11/2009	2500 Disney Walt Co	52,825	71,923	19,098
7/13/2006	5/11/2009	DIVSFD EQ INCN A	10,792	11,516	724
4/10/2007	5/11/2009	37 DJ Wilshire Intl Real Estate	2,094	1,330	(764)
2/13/2008	5/11/2009	29 83 Dodge & Cox Income	347	359	13
4/10/2007	5/11/2009	1925 676 Dodge & Cox Income	22,800	23,185	385
6/8/2006	5/11/2009	57 059 Dodge & Cox Intl Stock	1,355	1,799	444
5/11/2007	5/11/2009	65 02 Dodge & Cox Intl Stock	2,000	2,050	50
5/11/2007	5/11/2009	68 717 Dodge & Cox Intl Stock	2,042	2,167	124
6/29/2007	5/11/2009	105 49 Dodge & Cox Intl Stock	3,245	2,313	(931)
3/13/2007	5/11/2009	113 225 Dodge & Cox Intl Stock	5,015	2,291	(2,724)
6/30/2006	5/11/2009	122 508 Dodge & Cox Intl Stock	3,710	2,687	(1,023)
4/10/2007	5/11/2009	158 078 Dodge & Cox Intl Stock	5,000	4,984	(16)
3/13/2007	5/11/2009	0 139 Dodge & Cox Stock	22	11	(11)
6/8/2006	5/11/2009	0 162 Dodge & Cox Stock	23	13	(10)
3/31/2009	5/11/2009	0 174 Dodge & Cox Stock	28	14	(15)
3/31/2009	5/11/2009	1 058 Dodge & Cox Stock	147	84	(64)
11/9/2007	5/11/2009	1 426 Dodge & Cox Stock	138	111	(27)
4/10/2007	5/11/2009	3 862 Dodge & Cox Stock	638	263	(375)
5/11/2007	5/11/2009	4 437 Dodge & Cox Stock	431	345	(86)
12/31/2007	5/11/2009	5 028 Dodge & Cox Stock	500	398	(102)
4/10/2007	5/11/2009	5 86 Dodge & Cox Stock	7,306	6,000	(1,306)
2/13/2008	5/11/2009	6 681 Dodge & Cox Stock	664	520	(145)
6/30/2008	5/11/2009	8 189 Dodge & Cox Stock	1,353	649	(704)
6/30/2008	5/11/2009	9 772 Dodge & Cox Stock	948	774	(174)

12/18/2007	5/11/2009	12.144 Dodge & Cox Stock	1,007	944	(63)
12/12/2008	5/11/2009	13 911 Dodge & Cox Stock	1,383	1,102	(282)
12/12/2008	5/11/2009	14 62 Dodge & Cox Stock	1,419	1,137	(282)
5/11/2007	5/11/2009	15 188 Dodge & Cox Stock	1,474	1,181	(293)
5/11/2007	5/11/2009	15 481 Dodge & Cox Stock	1,284	1,226	(58)
6/30/2008	5/11/2009	19 362 Dodge & Cox Stock	1,879	1,506	(373)
11/9/2007	5/11/2009	30 729 Dodge & Cox Stock	2,982	2,389	(592)
12/18/2007	5/11/2009	31 133 Dodge & Cox Stock	4,804	2,117	(2,686)
7/18/2008	5/11/2009	33 21 Dodge & Cox Stock	2,754	2,582	(172)
2/13/2008	5/11/2009	38 232 Dodge & Cox Stock	3,171	2,973	(198)
6/30/2008	5/11/2009	42 199 Dodge & Cox Stock	4,196	3,281	(915)
12/18/2007	5/11/2009	58 523 Dodge & Cox Stock	5,679	4,551	(1,128)
11/9/2007	5/11/2009	93 615 Dodge & Cox Stock	7,764	7,280	(485)
2/13/2008	5/11/2009	100 Dow Chemical Corp	703	2,798	2,095
7/18/2008	5/11/2009	200 Dow Chemical Corp	3,949	1,788	(2,161)
12/12/2008	5/11/2009	300 Dow Chemical Corp	12,941	8,395	(4,546)
12/12/2008	5/11/2009	525 Dow Chemical Corp	10,367	4,695	(5,672)
7/13/2006	5/11/2009	1300 Dow Chemical Corp	45,154	33,721	(11,433)
7/18/2008	5/11/2009	1 53 DWS Dreman Small Cap Value Ins	38	37	(1)
7/13/2006	5/11/2009	3 504 DWS Dreman Small Cap Value Ins	87	108	22
6/30/2008	5/11/2009	20 076 DWS Dreman Small Cap Value Ins	498	561	63
2/13/2008	5/11/2009	50 544 DWS RREEF Real Estate Sec	506	669	163
7/18/2008	5/11/2009	96 469 DWS RREEF Real Estate Sec	967	1,148	181
6/30/2008	5/11/2009	2 277 Eaton Vance FDS - EITEX	87	84	(4)
7/13/2006	5/11/2009	39 667 Eaton Vance FDS - EITEX	2,000	1,311	(689)
7/13/2006	5/11/2009	45 027 Eaton Vance FDS - EITEX	1,774	1,489	(285)
2/13/2008	5/11/2009	134 037 Eaton Vance FDS - EITEX	5,280	4,916	(363)
7/13/2006	5/11/2009	0 147 Eaton Vance Grtr India A	3	2	(2)
12/12/2008	5/11/2009	0 734 Eaton Vance Grtr India A	19	8	(12)
12/12/2008	5/11/2009	1 52 Eaton Vance Grtr India A	35	16	(18)
6/30/2003	5/11/2009	2 001 Eaton Vance Grtr India A	46	21	(24)
7/9/2007	5/13/2009	5 068 Eaton Vance Grtr India A	131	54	(77)
6/4/2007	5/13/2009	6 127 Eaton Vance Grtr India A	219	66	(153)
5/9/2008	5/14/2009	11 28 Eaton Vance Grtr India A	299	121	(178)
3/20/2008	5/15/2009	15 935 Eaton Vance Grtr India A	569	171	(398)
7/20/2005	5/15/2009	238 436 Eaton Vance Grtr India A	5,000	2,556	(2,444)
10/17/2007	5/15/2009	15 368 Eaton Vance Large Cap Value A	318	213	(105)
9/14/2001	5/15/2009	15 368 Eaton Vance Large Cap Value A	291	213	(78)
10/28/2004	5/15/2009	20 092 Eaton Vance Large Cap Value A	439	279	(160)
4/23/2008	5/17/2009	34 531 Eaton Vance Large Cap Value A	714	479	(235)
5/27/2008	5/17/2009	34 531 Eaton Vance Large Cap Value A	664	479	(184)
8/18/2008	5/17/2009	37 932 Eaton Vance Large Cap Value A	861	527	(334)
12/19/2008	5/17/2009	37 932 Eaton Vance Large Cap Value A	852	527	(325)
2/23/2009	5/17/2009	60 129 Eaton Vance Large Cap Value A	1,364	835	(530)
3/26/2009	5/17/2009	106 257 Eaton Vance Large Cap Value A	2,011	1,475	(537)
5/3/2006	5/17/2009	161 16 Eaton Vance Large Cap Value A	2,000	2,237	237
6/26/2007	5/17/2009	193 392 Eaton Vance Large Cap Value A	2,400	2,684	284
6/13/2006	5/17/2009	198 836 Eaton Vance Large Cap Value A	4,341	2,760	(1,581)
8/1/2006	5/17/2009	222 717 Eaton Vance Large Cap Value A	4,862	3,091	(1,771)
12/26/2007	5/17/2009	234 962 Eaton Vance Large Cap Value A	5,000	3,261	(1,739)
10/26/2007	5/17/2009	355 277 Eaton Vance Large Cap Value A	6,828	4,931	(1,897)
12/26/2006	5/17/2009	367 55 Eaton Vance Large Cap Value A	6,961	5,102	(1,860)
11/26/2007	5/17/2009	383 894 Eaton Vance Large Cap Value A	7,271	5,328	(1,943)
9/26/2007	5/17/2009	630 219 Eaton Vance Large Cap Value A	14,148	8,747	(5,401)
12/26/2007	5/17/2009	687 128 Eaton Vance Large Cap Value A	15,000	9,537	(5,463)
8/27/2007	5/17/2009	687 128 Eaton Vance Large Cap Value A	13,207	9,537	(3,669)
7/26/2007	5/17/2009	987 725 Eaton Vance Large Cap Value A	18,698	13,710	(4,988)
5/27/2008	5/17/2009	1149 438 Eaton Vance Large Cap Value A	22,092	15,954	(6,138)
2/26/2008	5/17/2009	1954 569 Eaton Vance Large Cap Value A	37,000	27,129	(9,871)
3/26/2008	5/17/2009	77 473 Eaton Vance Large Cap Value I	1,078	1,300	222
4/28/2008	5/17/2009	142 653 Eaton Vance Large Cap Value I	1,986	2,020	34
6/26/2008	5/17/2009	166 865 Eaton Vance Large Cap Value I	2,323	2,800	477
3/26/2007	5/17/2009	281 076 Eaton Vance Large Cap Value I	3,913	3,980	67
2/26/2007	5/17/2009	296 56 Eaton Vance Large Cap Value I	4,128	5,000	872
4/26/2007	5/17/2009	350 989 Eaton Vance Large Cap Value I	4,886	5,500	614
12/2/2006	5/17/2009	437 158 Eaton Vance Large Cap Value I	6,085	6,190	105
5/29/2007	5/17/2009	784 593 Eaton Vance Large Cap Value I	10,922	11,110	188
9/26/2006	5/17/2009	957 243 Eaton Vance Large Cap Value I	13,325	15,000	1,675

6/26/2007	5/17/2009	134 499	Eaton Vance Tax -Man Em Mk -IN		3,977	4,000	23
10/26/2006	5/17/2009	360	Eaton Vance TM Emerg Mark I	Gill	15,217	14,944	(274)
7/28/2008	5/17/2009	10 857	Eaton Vance TM Emerging Mkts		353	458	105
8/26/2008	5/17/2009	15 234	Eaton Vance TM Emerging Mkts		584	642	58
9/1/2006	5/17/2009	211 044	Eaton Vance TM Emerging Mkts		6,732	8,900	2,167
1/28/2008	5/17/2009	330	Electronic Arts		16,373	5,614	(10,759)
7/26/2006	5/17/2009	90	Emerson Electric Co		3,930	2,793	(1,137)
12/26/2006	5/17/2009	260	Emerson Electric Co		11,354	9,732	(1,622)
1/26/2007	5/17/2009	530	Emerson Electric Co		14,898	19,186	4,288
9/26/2008	5/17/2009	1500	Emerson Electric Co		42,164	47,885	5,721
6/22/2006	5/17/2009	5	Energy Select Sector Spdr		249	261	12
5/22/2006	5/17/2009	70	Energy Select Sector Spdr		3,492	3,659	168
1/26/2009	5/17/2009	75	Energy Select Sector Spdr		4,452	4,295	(157)
10/27/2008	5/17/2009	155	Energy Select Sector Spdr		7,729	8,876	1,148
4/27/2009	5/17/2009	25000	EuroBank Hato Rey	0 750% Due 01-30-09	25,008	25,000	(8)
11/26/2008	5/17/2009	213 269	Evergreen Ast Alc Fund A		3,141	1,998	(1,143)
12/26/2008	5/17/2009	660	Express Scripts Inc Cl A		45,124	32,239	(12,885)
2/26/2009	5/17/2009	15	Exxon-Mobil Corporation		123	1,041	919
12/26/2008	5/17/2009	393 856	Fairholme Fund		11,989	10,000	(1,989)
3/26/2009	5/17/2009	956 25	Fed Home Loan Note	6 375% Due 06-29-22	953	956	4
5/3/2006	5/17/2009	100000	Fed Home Loan Note	5 705% Due 03-02-09	101,234	100,000	(1,234)
8/21/2006	5/17/2009	100	Federal Express Corp		4,011	8,732	4,721
6/13/2006	5/17/2009	12000	Federal National Mortgage Association		12,077	12,000	(77)
12/24/2007	5/17/2009	25000	Federal National Mortgage Association	5 250% Due 01-15-09	25,161	25,000	(161)
12/26/2007	5/17/2009	118 095	Federated Income Inst		1,201	1,240	39
12/28/2006	5/17/2009	199 134	Federated Income Inst		2,025	2,095	70
12/26/2007	5/17/2009	260 467	Federated Income Inst		2,599	2,740	141
2/19/2008	5/17/2009	20 931	Federated Intercontinental IS		1,549	625	(924)
12/28/2006	5/17/2009	304 273	Federated Intmd Corp -Instl		2,973	2,635	(338)
2/22/2007	5/17/2009	361 879	Federated Intmd Corp -Instl		3,536	3,261	(275)
5/27/2008	5/17/2009	614 814	Federated Intmd Corp -Instl		6,185	5,539	(646)
2/19/2008	5/17/2009	124 37	Federated Kaufmann A		678	393	(285)
8/18/2008	5/17/2009	271 2	Federated Kaufmann A		1,551	857	(694)
2/20/2007	5/17/2009	24 671	Federated Kaufmann Sm Cap-A		625	300	(325)
5/21/2007	5/17/2009	62 762	Federated Kaufmann Sm Cap-A		1,589	900	(689)
8/21/2006	5/17/2009	5 894	Federated M/C Growth Strat A		202	138	(64)
8/20/2007	5/17/2009	35 559	Federated M/C Growth Strat A		1,174	832	(342)
6/1/2006	5/17/2009	58 997	Federated M/C Growth Strat A		1,947	1,200	(747)
12/17/2008	5/17/2009	39 841	Federated Mid-Cap Index		759	500	(259)
2/23/2009	5/17/2009	137 138	Federated Mid-Cap Index		2,500	1,879	(621)
5/3/2006	5/17/2009	150 15	Federated Mid-Cap Index		1,500	2,057	557
9/1/2006	5/17/2009	175 747	Federated Mid-Cap Index		2,000	2,408	408
6/13/2006	5/17/2009	329 489	Federated Mid-Cap Index		4,000	4,514	514
12/13/2007	5/17/2009	432 352	Federated Mid-Cap Index		8,241	5,923	(2,317)
12/27/2006	5/17/2009	571 5	Federated Mid-Cap Index		10,893	7,830	(3,063)
12/13/2007	5/17/2009	582 751	Federated Mid-Cap Index		11,107	7,500	(3,607)
12/27/2006	5/17/2009	1222 494	Federated Mid-Cap Index		15,000	16,748	1,748
12/27/2006	5/17/2009	42 24	Federated Stock		1,337	675	(662)
12/24/2008	5/17/2009	95 368	Federated Strategic Value IN		606	350	(256)
12/24/2008	5/17/2009	92 105	Federated Tot Ret Gov In		986	1,050	64
7/10/2006	5/17/2009	456 11	Federated Tot Ret Gov In		4,885	5,300	415
6/13/2006	5/17/2009	12000	FFCB Note		11,995	12,540	545
12/20/2007	5/17/2009	25000	FFCB Note	4 300% Due 02-09-09	25,013	25,000	(13)
12/19/2006	5/17/2009	25000	FFCB Note	5 050% Due 02-01-11	24,990	26,125	1,135
12/20/2007	5/17/2009	5000	FHLMC Note	5 000% Due 06-11-21	4,716	5,000	285
12/19/2006	5/17/2009	25000	FHLMC Note	4 000% Due 12-15-09	25,298	25,000	(298)
12/19/2006	5/17/2009		FID ADV New Insights A		11,523	11,501	(21)
2/21/2007	5/17/2009		FID ADV Strat Income A		62,291	62,395	104
3/22/2007	5/17/2009	4 022	Fidelity Adv Div Intl A		91	43	(48)
12/20/2007	5/17/2009	48 921	Fidelity Adv Div Intl A		1,101	523	(578)
12/22/2008	5/17/2009	48 921	Fidelity Adv Div Intl A		772	523	(249)
12/22/2008	5/17/2009	127 835	Fidelity Adv Div Intl A		2,878	1,367	(1,511)
7/17/2006	5/17/2009	146 871	Fidelity Adv Div Intl A		2,319	1,570	(749)
6/13/2006	5/17/2009	228 871	Fidelity Adv Div Intl A		5,152	2,447	(2,705)
11/15/2007	5/17/2009	230 888	Fidelity Adv Div Intl A		4,500	2,468	(2,032)
9/26/2007	5/17/2009	267 735	Fidelity Adv Div Intl A		4,228	2,862	(1,365)
12/4/2007	5/17/2009	539 982	Fidelity Adv Div Intl A		12,166	5,772	(6,393)
11/15/2007	5/17/2009	564 392	Fidelity Adv Div Intl A		11,000	6,033	(4,967)

11/13/2006	5/17/2009	950 54 Fidelity Adv Div Intl A	15,009	10,161	(4,848)
7/2/2007	5/17/2009	1864 516 Fidelity Adv Div Intl A	29,814	19,932	(9,882)
11/13/2006	5/17/2009	30 395 Fidelity Adv Diversified Intl I	500	330	(170)
5/29/2007	5/17/2009	39 062 Fidelity Adv Diversified Intl I	750	424	(326)
4/30/2007	5/17/2009	46 542 Fidelity Adv Diversified Intl I	984	505	(478)
3/1/2007	5/17/2009	59 174 Fidelity Adv Diversified Intl I	1,380	645	(735)
3/29/2007	5/17/2009	91 785 Fidelity Adv Diversified Intl I	2,000	997	(1,003)
12/28/2007	5/17/2009	93 589 Fidelity Adv Diversified Intl I	2,000	1,016	(984)
6/26/2008	5/17/2009	94 073 Fidelity Adv Diversified Intl I	2,000	1,022	(978)
3/28/2008	5/17/2009	95 329 Fidelity Adv Diversified Intl I	2,000	1,035	(965)
9/26/2008	5/17/2009	97 025 Fidelity Adv Diversified Intl I	1,500	1,054	(446)
12/23/2008	5/17/2009	98 232 Fidelity Adv Diversified Intl I	2,000	1,067	(933)
3/27/2009	5/17/2009	101 989 Fidelity Adv Diversified Intl I	2,000	1,108	(892)
7/12/2006	5/18/2009	107 411 Fidelity Adv Diversified Intl I	2,000	1,166	(834)
6/13/2006	5/18/2009	113 02 Fidelity Adv Diversified Intl I	2,500	1,227	(1,273)
12/24/2007	5/18/2009	133 511 Fidelity Adv Diversified Intl I	3,000	1,450	(1,550)
12/26/2006	5/18/2009	168 539 Fidelity Adv Diversified Intl I	1,500	1,830	330
12/24/2007	5/18/2009	172 563 Fidelity Adv Diversified Intl I	2,000	1,874	(126)
12/26/2006	5/18/2009	187 178 Fidelity Adv Diversified Intl I	4,000	2,033	(1,967)
6/19/2006	5/18/2009	227 48 Fidelity Adv Diversified Intl I	2,500	2,470	(30)
9/8/2008	5/18/2009	261 917 Fidelity Adv Diversified Intl I	5,000	2,844	(2,156)
9/8/2008	5/18/2009	268 528 Fidelity Adv Diversified Intl I	5,000	2,916	(2,084)
6/19/2006	5/18/2009	271 003 Fidelity Adv Diversified Intl I	5,000	2,943	(2,057)
6/19/2006	5/18/2009	479 303 Fidelity Adv Diversified Intl I	8,757	5,205	(3,552)
6/18/2007	5/18/2009	771 87 Fidelity Adv Diversified Intl I	9,000	8,383	(617)
6/18/2007	5/18/2009	0 194 Fidelity Adv Levrgd Compny-I	8	3	(5)
9/8/2008	5/18/2009	0 676 Fidelity Adv Levrgd Compny-I	12	11	(1)
12/26/2006	5/18/2009	1 922 Fidelity Adv Levrgd Compny-I	68	30	(38)
12/22/2008	5/18/2009	2 182 Fidelity Adv Levrgd Compny-I	83	34	(49)
12/22/2008	5/18/2009	4 485 Fidelity Adv Levrgd Compny-I	159	70	(89)
3/26/2009	5/18/2009	5 811 Fidelity Adv Levrgd Compny-I	222	91	(131)
12/21/2007	5/18/2009	6 027 Fidelity Adv Levrgd Compny-I	101	94	(6)
12/22/2008	5/18/2009	11 004 Fidelity Adv Levrgd Compny-I	420	172	(248)
3/20/2008	5/18/2009	736 92 Fidelity Adv Levrgd Compny-I	30,000	11,525	(18,475)
4/17/2008	5/18/2009	0 895 Fidelity Adv New Insights I	20	11	(8)
10/18/2007	5/18/2009	1 15 Fidelity Adv New Insights I	22	15	(8)
5/22/2008	5/18/2009	3 389 Fidelity Adv New Insights I	75	43	(32)
11/23/2007	5/18/2009	6 635 Fidelity Adv New Insights I	93	102	9
2/21/2008	5/18/2009	19 549 Fidelity Adv New Insights I	273	336	63
12/21/2006	5/18/2009	20 707 Fidelity Adv New Insights I	394	303	(91)
10/18/2007	5/18/2009	40 507 Fidelity Adv New Insights I	565	568	3
1/17/2008	5/18/2009	48 965 Fidelity Adv New Insights I	946	717	(229)
7/19/2007	5/18/2009	57 707 Fidelity Adv New Insights I	1,174	728	(446)
4/16/2009	5/18/2009	83 639 Fidelity Adv New Insights I	1,733	1,056	(677)
9/20/2007	5/18/2009	122 638 Fidelity Adv New Insights I	2,312	1,548	(764)
7/17/2008	5/18/2009	182 246 Fidelity Adv New Insights I	3,521	2,300	(1,221)
5/17/2007	5/18/2009	177 233 Fidelity Adv Sh F/I A	1,537	1,558	21
8/21/2008	5/18/2009	7 514 Fidelity Advisor Strategic Div & Inc A	52	45	(7)
5/18/2006	5/18/2009	7 55 Fidelity Advisor Strategic Div & Inc A	80	45	(35)
6/19/2008	5/18/2009	8 098 Fidelity Advisor Strategic Div & Inc A	72	49	(23)
6/27/2007	5/18/2009	1114 781 Fidelity Advisor Strategic Div & Inc A	13,500	6,689	(6,811)
4/19/2007	5/18/2009	19 529 Fidelity Contrafund	1,250	1,124	(126)
1/16/2009	5/18/2009	46 593 Fidelity Contrafund #22	2,983	2,400	(583)
9/18/2008	5/18/2009	15790 88 Fidelity Diversified Int	688,536	435,986	(252,551)
3/22/2007	5/18/2009	145 Financial Select Sector Spdr	3,067	2,084	(983)
8/16/2007	5/18/2009	225 Financial Select Sector Spdr	4,437	3,429	(1,007)
10/16/2008	5/18/2009	445 Financial Select Sector Spdr	9,442	6,783	(2,660)
2/22/2007	5/18/2009	6 541 First Amer Intm Bd Y	66	62	(4)
11/16/2006	5/18/2009	41 588 First Amer Intm Bd Y	410	397	(14)
6/19/2006	5/18/2009	62 176 First Amer Intm Bd Y	633	629	(4)
7/20/2006	5/18/2009	83 954 First Amer Intm Bd Y	856	730	(126)
1/18/2007	5/18/2009	145 931 First Amer Intm Bd Y	1,471	1,270	(201)
8/21/2006	5/18/2009	329 229 First Amer Intm Bd Y	3,190	3,141	(49)
11/20/2008	5/18/2009	395 444 First Amer Intm Bd Y	3,970	3,773	(198)
10/19/2006	5/18/2009	540 811 First Amer Intm Bd Y	5,580	5,441	(139)
9/21/2006	5/18/2009	558 434 First Amer Intm Bd Y	5,629	5,327	(302)
3/17/2009	5/18/2009	592 904 First Amer Intm Bd Y	5,963	6,000	37
2/18/2009	5/18/2009	725 646 First Amer Intm Bd Y	7,329	7,300	(29)

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12/21/2006	5/18/2009	850 839 First Amer Intm Bd Y	8,476	8,559	83
12/20/2007	5/18/2009	863 888 First Amer Intm Bd Y	8,588	8,743	155
12/18/2008	5/18/2009	1208 305 First Amer Intm Bd Y	12,319	12,228	(91)
8/1/2006	5/18/2009	4 374 First Amer Midcap Core Y	175	103	(72)
6/13/2006	5/18/2009	23 154 First Amer Midcap Core Y	957	548	(409)
5/3/2006	5/18/2009	60 624 First Amer Midcap Core Y	1,876	2,000	124
10/22/2007	5/19/2009	91 419 First Amer Midcap Core Y	3,779	2,163	(1,616)
12/31/2008	5/21/2009	104 841 First Amer Midcap Core Y	4,200	2,481	(1,719)
12/31/2008	5/21/2009	367 928 First Amer Midcap Core Y	8,484	8,705	221
10/10/2007	5/21/2009	18 919 First American Gov't Securities Fund CI Y	194	190	(3)
9/24/2008	5/22/2009	24 68 First American Gov't Securities Fund CI Y	252	251	(2)
6/17/2008	5/22/2009	48 557 First American Gov't Securities Fund CI Y	497	475	(22)
12/23/2008	5/22/2009	57 117 First American Gov't Securities Fund CI Y	584	580	(4)
12/18/2007	5/22/2009	62 118 First American Gov't Securities Fund CI Y	635	608	(28)
9/18/2008	5/27/2009	69 376 First American Gov't Securities Fund CI Y	724	700	(24)
1/20/2005	5/27/2009	79 216 First American Gov't Securities Fund CI Y	826	796	(30)
10/22/2008	5/27/2009	98 135 First American Gov't Securities Fund CI Y	1,000	960	(40)
2/22/2008	5/27/2009	108 932 First American Gov't Securities Fund CI Y	1,145	1,065	(80)
1/20/2005	5/27/2009	117 878 First American Gov't Securities Fund CI Y	1,200	1,185	(15)
6/4/2003	5/27/2009	135 569 First American Gov't Securities Fund CI Y	1,381	1,292	(89)
6/16/2006	5/27/2009	136 852 First American Gov't Securities Fund CI Y	1,427	1,375	(52)
6/29/2007	5/27/2009	139 22 First American Gov't Securities Fund CI Y	1,402	1,399	(3)
1/20/2005	5/27/2009	147 348 First American Gov't Securities Fund CI Y	1,547	1,481	(66)
12/3/2004	5/27/2009	147 348 First American Gov't Securities Fund CI Y	1,500	1,481	(19)
1/20/2005	5/27/2009	163 993 First American Gov't Securities Fund CI Y	1,709	1,648	(61)
6/10/2004	5/27/2009	176 785 First American Gov't Securities Fund CI Y	1,858	1,685	(173)
5/11/2009	5/28/2009	179 226 First American Gov't Securities Fund CI Y	1,841	1,708	(133)
3/14/2008	5/28/2009	190 476 First American Gov't Securities Fund CI Y	2,002	1,815	(187)
12/23/2008	5/29/2009	194 516 First American Gov't Securities Fund CI Y	1,982	1,902	(80)
3/18/2009	5/29/2009	195 695 First American Gov't Securities Fund CI Y	2,055	1,967	(88)
6/16/2006	5/29/2009	195 695 First American Gov't Securities Fund CI Y	2,000	1,967	(33)
5/12/2006	6/1/2009	198 216 First American Gov't Securities Fund CI Y	2,067	2,000	(67)
4/27/2009	6/1/2009	213 479 First American Gov't Securities Fund CI Y	2,241	2,169	(72)
9/23/2002	6/2/2009	219 491 First American Gov't Securities Fund CI Y	2,289	2,206	(83)
7/25/2006	6/2/2009	228 386 First American Gov't Securities Fund CI Y	2,398	2,295	(103)
9/18/2008	6/2/2009	315 457 First American Gov't Securities Fund CI Y	3,000	3,170	170
8/28/2007	6/2/2009	315 457 First American Gov't Securities Fund CI Y	3,000	3,170	170
12/20/2006	6/2/2009	315 853 First American Gov't Securities Fund CI Y	3,294	3,174	(120)
12/20/2006	6/2/2009	342 131 First American Gov't Securities Fund CI Y	3,265	3,438	173
6/16/2006	6/2/2009	356 863 First American Gov't Securities Fund CI Y	3,636	3,490	(146)
6/16/2006	6/2/2009	384 986 First American Gov't Securities Fund CI Y	4,000	3,869	(131)
6/16/2006	6/2/2009	438 013 First American Gov't Securities Fund CI Y	4,564	4,402	(162)
6/16/2006	6/2/2009	467 457 First American Gov't Securities Fund CI Y	4,815	4,698	(117)
12/20/2005	6/2/2009	525 803 First American Gov't Securities Fund CI Y	5,484	5,284	(200)
6/16/2006	6/2/2009	673 725 First American Gov't Securities Fund CI Y	7,000	6,771	(229)
4/5/2007	6/2/2009	33 278 First American High Inc Bd-Y	279	200	(79)
12/20/2005	6/2/2009	42 998 First American High Inc Bd-Y	361	350	(11)
12/20/2005	6/2/2009	61 425 First American High Inc Bd-Y	515	500	(15)
6/16/2006	6/2/2009	70 126 First American High Inc Bd-Y	588	500	(88)
1/20/2005	6/2/2009	116 473 First American High Inc Bd-Y	977	700	(277)
5/3/2005	6/2/2009	140 252 First American High Inc Bd-Y	1,177	1,000	(177)
5/3/2005	6/2/2009	305 03 First American High Inc Bd-Y	2,044	2,500	456
1/20/2005	6/2/2009	1 096 First American Infl Pro Se-Y	11	10	(1)
1/20/2005	6/2/2009	1 214 First American Infl Pro Se-Y	12	11	(1)
2/22/2008	6/2/2009	1 31 First American Infl Pro Se-Y	13	12	(1)
6/15/1998	6/2/2009	41 973 First American Infl Pro Se-Y	425	400	(25)
1/20/2005	6/2/2009	57 299 First American Infl Pro Se-Y	547	542	(5)
6/4/2003	6/2/2009	68 332 First American Infl Pro Se-Y	692	653	(39)
6/16/2006	6/2/2009	88 736 First American Infl Pro Se-Y	898	847	(51)
4/27/2009	6/3/2009	135 688 First American Infl Pro Se-Y	1,343	1,284	(60)
3/13/2008	6/5/2009	161 004 First American Infl Pro Se-Y	1,629	1,523	(106)
5/8/2009	6/8/2009	211 154 First American Infl Pro Se-Y	2,137	2,017	(120)
10/27/2008	6/8/2009	233 84 First American Infl Pro Se-Y	2,366	2,212	(154)
8/8/2008	6/15/2009	244 249 First American Infl Pro Se-Y	2,462	2,311	(151)
4/28/2009	6/17/2009	246 413 First American Infl Pro Se-Y	2,439	2,331	(108)
4/28/2009	6/17/2009	256 837 First American Infl Pro Se-Y	2,453	2,430	(23)
9/28/2007	6/17/2009	262 646 First American Infl Pro Se-Y	2,507	2,700	193
12/31/2004	6/18/2009	283 489 First American Infl Pro Se-Y	2,866	2,682	(184)

12/23/2008	6/22/2009	322 877	First American Infl Pro Se-Y		3,268	3,083	(184)
1/17/2006	6/22/2009	438 871	First American Infl Pro Se-Y		4,200	4,152	(48)
12/18/2007	6/22/2009	0 76	First American Real Estate-Y		8	7	(1)
9/24/2008	6/22/2009	0 788	First American Real Estate-Y		20	8	(12)
12/23/2008	6/22/2009	1 111	First American Real Estate-Y		24	11	(14)
12/23/2008	6/22/2009	11 567	First American Real Estate-Y		209	113	(95)
12/23/2008	6/22/2009	14 513	First American Real Estate-Y		262	142	(119)
1/31/2007	6/22/2009	29 352	First American Real Estate-Y		682	288	(394)
7/23/2007	6/22/2009	39 88	First American Real Estate-Y		437	400	(37)
1/30/2007	6/22/2009	40 323	First American Real Estate-Y		300	413	113
12/23/2008	6/22/2009	55 432	First American Real Estate-Y		1,000	544	(456)
9/24/2008	6/22/2009	56 927	First American Real Estate-Y		624	558	(65)
1/30/2007	6/22/2009	64 516	First American Real Estate-Y		1,498	633	(865)
12/23/2008	6/22/2009	69 062	First American Real Estate-Y		1,604	678	(926)
1/30/2007	6/22/2009	75 266	First American Real Estate-Y		1,748	738	(1,009)
1/7/2009	6/22/2009	89 01	First American Real Estate-Y		2,067	873	(1,194)
1/8/2008	6/22/2009	129 032	First American Real Estate-Y		2,996	1,266	(1,730)
1/1/1950	6/22/2009	134 409	First American Real Estate-Y		1,000	1,376	376
8/31/1998	6/24/2009	159 521	First American Real Estate-Y		1,748	1,600	(148)
5/18/2009	6/25/2009	162 749	First American Real Estate-Y		2,936	1,597	(1,339)
1/27/2009	6/25/2009	208 315	First American Real Estate-Y		4,837	2,044	(2,794)
5/18/2009	6/25/2009	306 075	First American Real Estate-Y		3,355	3,134	(220)
5/18/2009	6/25/2009	695 961	First American Real Estate-Y		7,628	7,127	(501)
5/18/2009	6/25/2009	56000	First Fed Bk Alabama		56,001	56,000	(1)
5/18/2009	6/25/2009	4 402	First Midwest Fixed Income Fd		45	47	3
5/20/2009	6/25/2009	166 817	First Midwest Fixed Income Fd		1,710	1,798	88
5/18/2009	6/25/2009	173 938	First Midwest Fixed Income Fd		1,765	1,875	110
5/18/2009	6/25/2009	250 516	First Midwest Fixed Income Fd		2,556	2,700	144
5/18/2009	6/25/2009	400 722	First Midwest Fixed Income Fd		4,180	4,319	140
5/18/2009	6/25/2009	886 083	First Midwest Fixed Income Fd		9,188	9,265	77
5/18/2009	6/25/2009	8 327	First Midwest Largecap Equity Fd		175	165	(10)
5/18/2009	6/25/2009	90 36	First Midwest Largecap Equity Fd		1,902	2,035	133
5/18/2009	6/25/2009	92 709	First Midwest Largecap Equity Fd		2,007	1,839	(168)
9/7/2007	6/26/2009	405 655	First Midwest Largecap Equity Fd		11,770	8,046	(3,724)
10/19/2007	6/26/2009	1362 24	First Midwest Largecap Equity Fd		28,671	30,070	1,399
6/24/2003	6/29/2009	1	First Solar Inc		142	119	(23)
7/30/2003	6/29/2009	2	First Solar Inc		279	238	(41)
6/24/2003	6/29/2009	3	First Solar Inc		425	460	35
2/27/2003	6/29/2009	6	First Solar Inc		726	713	(14)
6/24/2003	6/29/2009	8	First Solar Inc		2,114	950	(1,163)
6/24/2003	6/29/2009	9	First Solar Inc		1,255	1,381	126
6/24/2003	6/29/2009	18	First Solar Inc		2,179	2,763	584
2/27/2003	6/29/2009	24	First Solar Inc		6,342	3,684	(2,658)
2/27/2003	6/29/2009	0 428	First Trust Capital Strength Port Series 3		5	5	1
7/30/2003	6/29/2009	0 679	First Trust Capital Strength Port Series 3		7	9	1
6/24/2003	6/29/2009	1 528	First Trust Capital Strength Port Series 3		18	19	1
2/27/2003	6/29/2009	535 572	First Trust Capital Strength Port Series 3		5,828	6,759	931
6/24/2003	6/29/2009	0 508	First Trust Energy Select 30		5	5	1
6/18/2008	6/29/2009	0 67	First Trust Energy Select 30		6	7	1
6/30/2006	6/29/2009	0 876	First Trust Energy Select 30		10	9	(1)
6/30/2006	6/29/2009	611 492	First Trust Energy Select 30		5,829	6,516	687
1/13/2006	6/29/2009	10000	First Union Corp	6 375% Due 01-15-09	10,372	10,000	(372)
6/30/2006	6/29/2009	10000	FleetBoston Financial Corp		10,220	10,000	(220)
7/13/2006	6/29/2009	12000	FleetBoston Financial Corp		11,975	12,000	25
7/13/2006	6/29/2009	15000	FNMA	6 125% Due 03-15-12	15,280	16,836	1,556
7/18/2008	6/29/2009	12000	FNMA Note		12,000	12,000	-
7/18/2008	6/29/2009	50000	FNMA Note	4 250% Due 05-15-09	51,259	50,000	(1,259)
1/11/2008	6/29/2009	100000	FNMA Note	4 250% Due 05-15-09	99,912	100,000	88
12/30/2008	6/29/2009	0 154	Franklin Global Discovery C		5	4	(1)
12/30/2008	6/29/2009	0 222	Franklin Global Discovery C		7	5	(2)
12/24/2008	6/29/2009	0 388	Franklin Global Discovery C		13	9	(4)
10/22/2008	6/29/2009	0 546	Franklin Global Discovery C		15	13	(2)
10/22/2008	6/29/2009	1 335	Franklin Global Discovery C		35	31	(4)
12/15/2008	6/29/2009	1 457	Franklin Global Discovery C		40	34	(6)
12/15/2008	6/29/2009	1 618	Franklin Global Discovery C		43	38	(5)
12/15/2008	6/29/2009	3 053	Franklin Global Discovery C		84	71	(13)
12/31/2007	6/29/2009	4 699	Franklin Global Discovery C		124	109	(15)
12/31/2007	6/29/2009	5 368	Franklin Global Discovery C		118	124	6

3/21/2006	6/29/2009	7 548	Franklin Global Discovery C		224	175	(49)
6/30/2006	6/29/2009	11 592	Franklin Global Discovery C		366	269	(97)
3/21/2006	6/29/2009	18 593	Franklin Global Discovery C		587	431	(156)
5/11/2009	6/29/2009	22 896	Franklin Global Discovery C		680	531	(149)
5/11/2009	6/29/2009	29 217	Franklin Global Discovery C		642	678	35
5/11/2009	6/29/2009	400 729	Franklin Global Discovery C		10,988	9,293	(1,695)
5/11/2009	6/29/2009	410	Franklin Global Discovery C		10,988	9,508	(1,480)
9/12/2008	7/1/2009	4802	Franklin Income Fund C		8,067	6,798	(1,269)
3/12/2008	7/1/2009	165	Franklin Resources Inc		17,901	15,209	(2,693)
2/5/2009	7/3/2009	0 289	Franklin Templeton FF All C		3	2	(1)
2/5/2009	7/3/2009	6 83	Franklin Templeton FF All C		91	50	(41)
2/5/2009	7/3/2009	9 879	Franklin Templeton FF All C		113	73	(40)
2/5/2009	7/3/2009	10 029	Franklin Templeton FF All C		134	74	(60)
2/5/2009	7/3/2009	381 067	Franklin Templeton FF All C		5,297	2,816	(2,481)
2/5/2009	7/3/2009	593 366	Franklin Templeton Ff-Aloc A		9,090	4,825	(4,265)
9/17/2008	7/6/2009	1886 792	Franklin Templeton Ff-Aloc A		28,906	13,000	(15,906)
9/18/2008	7/6/2009	1886 792	Franklin Templeton Ff-Aloc A		28,906	13,000	(15,906)
12/1/2008	7/6/2009	200	Freddie Mac		8,304	136	(8,168)
11/17/2008	7/6/2009	89	Freddie Mac 1584 L	6 500% Due 09-15-23	90	89	(1)
3/6/2009	7/6/2009	97 68	Freddie Mac 1584 L	6 500% Due 09-15-23	98	98	(1)
12/9/2008	7/6/2009	102	Freddie Mac 1584 L	6 500% Due 09-15-23	103	101	(1)
5/31/2000	7/6/2009	140	Freddie Mac 1584 L	6 500% Due 09-15-23	141	140	(1)
11/15/2002	7/6/2009	168	Freddie Mac 1584 L	6 500% Due 09-15-23	169	167	(2)
12/31/2002	7/6/2009	30	Freeport-Mcmoran Copper & Gold Cl B		1,385	1,902	518
12/23/2008	7/13/2009	212 26	Fundamental Investors Class C		5,285	6,881	1,596
4/17/2009	7/13/2009	135 04	Gabelli Small Cap Growth		2,631	2,897	266
12/23/2008	7/13/2009	25	Gamestop Corp Cl A		1,052	626	(426)
3/25/2009	7/13/2009	50	Gamestop Corp Cl A		2,183	1,252	(930)
7/13/2009	7/13/2009	80	Gamestop Corp Cl A		3,366	2,004	(1,362)
9/24/2008	7/13/2009	140	Gamestop Corp Cl A		4,807	3,181	(1,626)
12/26/2006	7/13/2009	145	Gamestop Corp Cl A		6,330	3,632	(2,698)
9/27/2006	7/13/2009	295	Gamestop Corp Cl A		10,129	6,703	(3,427)
12/11/2006	7/13/2009	300	General Cable Corp		6,987	10,698	3,711
12/11/2006	7/13/2009	2415	General Cable Corp		56,244	81,786	25,542
12/11/2006	7/13/2009	20000	General Electric Capital Corp	4 625% Due 09-15-09	20,418	20,000	(418)
3/24/2005	7/13/2009	25000	General Electric Capital Corp	4 000% Due 06-15-09	25,218	25,000	(218)
12/11/2006	7/13/2009	1000	General Mills		60,650	60,090	(560)
12/11/2006	7/13/2009	60	Genworth Financial Inc Cl A		404	551	148
12/12/2005	7/13/2009	80	Genworth Financial Inc Cl A		538	973	435
12/11/2006	7/13/2009	130	Genworth Financial Inc Cl A		875	1,581	706
12/12/2005	7/13/2009	515	Genworth Financial Inc Cl A		3,466	4,733	1,267
12/12/2005	7/13/2009	10000	Genworth Global Funding	5 200% Due 10-08-10	9,500	8,701	(799)
9/10/2008	7/14/2009	200000	Goldman Sachs Group Inc	6 650% Due 05-15-09	202,366	200,000	(2,366)
1/6/2009	7/14/2009	20 585	Goldman Sachs Growth and Income I		330	398	68
6/2/2005	7/14/2009	32 132	Goldman Sachs Growth and Income I		515	568	53
9/1/2006	7/14/2009	46 823	Goldman Sachs Growth and Income I		751	743	(8)
11/3/2005	7/15/2009	484 496	Goldman Sachs Growth Opp I		7,548	10,000	2,452
10/17/2007	7/15/2009	0 135	Goldman Sachs Growth Stra C		2	1	(1)
12/30/2008	7/16/2009	1 318	Goldman Sachs Growth Stra C		20	10	(9)
12/30/2008	7/16/2009	2 884	Goldman Sachs Growth Stra C		43	23	(20)
2/24/2009	7/16/2009	3 868	Goldman Sachs Growth Stra C		56	31	(25)
2/24/2009	7/16/2009	35 332	Goldman Sachs Growth Stra C		508	280	(229)
7/30/2003	7/16/2009	65 826	Goldman Sachs Growth Stra C		947	521	(426)
6/24/2003	7/16/2009	67 556	Goldman Sachs Growth Stra C		944	535	(409)
7/30/2003	7/16/2009	76 691	Goldman Sachs Growth Stra C		587	607	20
2/27/2003	7/16/2009	81 886	Goldman Sachs Growth Stra C		1,144	649	(495)
5/11/2009	7/16/2009	185 836	Goldman Sachs Growth Stra C		1,424	1,472	48
5/11/2009	7/16/2009	1280 412	Goldman Sachs Growth Stra C		17,388	10,141	(7,247)
5/11/2009	7/16/2009	1308 352	Goldman Sachs Growth Stra C		17,388	10,362	(7,026)
5/11/2009	7/16/2009	5 283	Goldman Sachs Midcap Value		180	155	(25)
6/20/2005	7/16/2009	6 088	Goldman Sachs Midcap Value		222	129	(94)
8/23/2005	7/16/2009	29 851	Goldman Sachs Midcap Value		1,000	874	(126)
7/13/2006	7/16/2009	46 138	Goldman Sachs Midcap Value		1,506	1,350	(156)
12/15/2005	7/16/2009	64 968	Goldman Sachs Midcap Value		2,152	1,371	(780)
6/20/2005	7/16/2009	89 552	Goldman Sachs Midcap Value		3,000	2,621	(379)
1/11/2008	7/16/2009	107 001	Goldman Sachs Midcap Value		3,494	2,341	(1,152)
1/11/2008	7/16/2009	235 778	Goldman Sachs Midcap Value		7,698	5,159	(2,539)
1/11/2008	7/16/2009	40	Graftech International Ltd		430	563	132

1/11/2008	7/16/2009	85	Graftech International Ltd	915	1,265	350
12/30/2008	7/16/2009	125	Graftech International Ltd	1,345	1,860	515
12/30/2008	7/16/2009	370	Hain Food Group Inc	10,007	5,920	(4,087)
3/30/2009	7/16/2009	10	Hansen Natural Corp	307	413	106
3/30/2009	7/16/2009	75	Hansen Natural Corp	2,303	3,100	797
12/31/2007	7/16/2009	111 514	Harbor International Inv	8,300	5,305	(2,995)
12/31/2007	7/16/2009	114 215	Harbor International Inv	8,300	5,433	(2,867)
12/31/2007	7/16/2009	131 246	Harbor International Inv	8,300	6,244	(2,056)
1/11/2008	7/16/2009	266 945	Harbor International Inv	10,128	9,703	(424)
1/11/2008	7/16/2009	0 093	Harbor Intl	5	4	(1)
12/31/2007	7/16/2009	0 126	Harbor Intl	5	6	1
6/1/2007	7/17/2009	0 211	Harbor Intl	9	10	1
12/31/2007	7/17/2009	0 244	Harbor Intl	17	8	(9)
6/29/2007	7/17/2009	0 337	Harbor Intl	22	15	(7)
9/28/2007	7/17/2009	0 747	Harbor Intl	52	25	(26)
12/31/2007	7/17/2009	1 011	Harbor Intl	49	46	(3)
7/8/2008	7/17/2009	1 207	Harbor Intl	63	55	(8)
7/30/2008	7/17/2009	1 765	Harbor Intl	86	80	(6)
7/30/2008	7/17/2009	1 895	Harbor Intl	131	65	(66)
1/26/2009	7/17/2009	1 929	Harbor Intl	81	82	1
7/8/2008	7/17/2009	2 593	Harbor Intl	109	118	9
7/30/2008	7/17/2009	2 679	Harbor Intl	164	122	(42)
1/26/2009	7/17/2009	2 679	Harbor Intl	111	122	11
7/30/2008	7/17/2009	2 776	Harbor Intl	145	126	(19)
8/19/2008	7/17/2009	2 804	Harbor Intl	147	128	(19)
6/1/2007	7/17/2009	3 287	Harbor Intl	172	150	(22)
3/30/2007	7/17/2009	3 287	Harbor Intl	160	150	(11)
3/12/2009	7/20/2009	3 454	Harbor Intl	211	157	(54)
9/21/2006	7/22/2009	3 454	Harbor Intl	142	157	15
11/5/2003	7/22/2009	3 697	Harbor Intl	253	168	(84)
1/1/1950	7/22/2009	5 088	Harbor Intl	266	232	(35)
12/31/2004	7/22/2009	5 508	Harbor Intl	227	240	13
12/31/2004	7/22/2009	14 003	Harbor Intl	978	477	(501)
4/27/2009	7/23/2009	19 578	Harbor Intl	1,339	1,020	(319)
2/19/2008	7/24/2009	19 812	Harbor Intl	1,389	675	(714)
2/15/2005	7/24/2009	26 544	Harbor Intl	1,115	1,277	162
3/22/2005	7/24/2009	34 855	Harbor Intl	2,229	1,187	(1,043)
3/3/2006	7/27/2009	36 323	Harbor Intl	1,498	1,300	(198)
3/18/2009	7/30/2009	36 52	Harbor Intl	1,533	2,058	525
3/25/2009	8/3/2009	42 744	Harbor Intl	2,717	1,455	(1,261)
10/22/2008	8/4/2009	54 673	Harbor Intl	3,475	2,410	(1,065)
3/11/2009	8/4/2009	63 086	Harbor Intl	4,023	2,148	(1,875)
6/10/2009	8/4/2009	3 955	Hartford Cap Apprec A	82	99	17
12/15/2008	8/4/2009	24 752	Hartford Cap Apprec A	569	490	(79)
12/2/2008	8/4/2009	28 421	Hartford Cap Apprec A	653	690	37
12/23/2005	8/4/2009	208 379	Hartford Cap Apprec A	4,786	5,210	424
6/22/2009	8/4/2009	2 809	Hartford Capital Appreciation 2-C	42	25	(17)
3/25/2009	8/4/2009	2 918	Hartford Capital Appreciation 2-C	40	26	(15)
12/23/2008	8/4/2009	2 995	Hartford Capital Appreciation 2-C	43	26	(17)
12/23/2008	8/4/2009	3 073	Hartford Capital Appreciation 2-C	42	27	(15)
3/25/2009	8/4/2009	4 163	Hartford Capital Appreciation 2-C	54	36	(17)
7/2/2008	8/4/2009	5 677	Hartford Capital Appreciation 2-C	86	50	(36)
12/23/2008	8/4/2009	19 202	Hartford Capital Appreciation 2-C	247	168	(79)
12/23/2008	8/4/2009	21 223	Hartford Capital Appreciation 2-C	309	186	(124)
9/1/2006	8/4/2009	48 512	Hartford Capital Appreciation 2-C	765	424	(341)
12/12/2006	8/4/2009	56 049	Hartford Capital Appreciation 2-C	807	490	(316)
12/15/2006	8/4/2009	64 514	Hartford Capital Appreciation 2-C	941	564	(376)
1/3/2007	8/4/2009	485 714	Hartford Capital Appreciation 2-C	5,848	4,250	(1,598)
3/5/2007	8/4/2009	489 372	Hartford Capital Appreciation 2-C	5,848	4,282	(1,566)
12/26/2006	8/4/2009	2 699	Hartford Capital Appreciation Y	107	90	(17)
2/26/2007	8/4/2009	8 254	Hartford Capital Appreciation Y	328	273	(56)
9/22/2006	8/4/2009	13 423	Hartford Capital Appreciation Y	534	360	(174)
9/1/2006	8/4/2009	15 899	Hartford Capital Appreciation Y	632	490	(142)
3/23/2007	8/4/2009	69 113	Hartford Capital Appreciation Y	2,749	1,940	(809)
12/4/2006	8/4/2009	6 439	Hartford Dividend & Growth I	103	110	7
3/23/2007	8/4/2009	9 121	Hartford Dividend & Growth I	130	156	26
3/26/2008	8/4/2009	16 523	Hartford Dividend & Growth I	272	282	10
6/22/2009	8/4/2009	21 521	Hartford Dividend & Growth I	306	367	60

11/3/2006	8/7/2009	26 919	Hartford Dividend & Growth I	383	416	33
3/23/2007	8/10/2009	44 485	Hartford Dividend & Growth I	633	625	(8)
12/17/1999	8/10/2009	46 822	Hartford Dividend & Growth I	667	798	132
9/22/2000	8/10/2009	108 936	Hartford Dividend & Growth I	1,612	1,857	245
3/18/2009	8/12/2009	1481 471	Hartford Dividend & Growth I	21,096	25,259	4,163
3/18/2009	8/12/2009	3 316	Hartford Dividend and Growth C	64	46	(18)
4/7/2008	8/12/2009	4 311	Hartford Dividend and Growth C	77	59	(17)
4/9/2009	8/12/2009	5 708	Hartford Dividend and Growth C	109	79	(30)
5/26/2009	8/13/2009	6 322	Hartford Dividend and Growth C	81	87	6
7/27/2009	8/13/2009	9 751	Hartford Dividend and Growth C	129	134	5
6/26/2009	8/13/2009	2110 418	Hartford Dividend and Growth C	43,981	29,039	(14,942)
5/18/2009	8/13/2009	701 754	Hartford Mutual Funds Inc	7,649	8,000	351
5/18/2009	8/13/2009	35	HCP Inc	658	892	234
5/18/2009	8/13/2009	55	HCP Inc	1,035	1,402	367
5/18/2009	8/13/2009	100	HCP Inc	1,881	2,677	796
6/2/2009	8/13/2009	1 172	Henderson International Opp Fund A	27	20	(7)
5/18/2009	8/13/2009	5 232	Henderson International Opp Fund A	86	90	4
5/18/2009	8/13/2009	14 568	Henderson International Opp Fund A	341	251	(91)
5/18/2009	8/13/2009	16 489	Henderson International Opp Fund A	386	284	(103)
5/18/2009	8/13/2009	24 824	Henderson International Opp Fund A	462	427	(35)
5/18/2009	8/13/2009	43 149	Henderson International Opp Fund A	705	743	37
5/18/2009	8/13/2009	45 755	Henderson International Opp Fund A	852	787	(64)
5/18/2009	8/13/2009	65 953	Henderson International Opp Fund A	1,541	1,135	(406)
5/20/2009	8/13/2009	80 606	Henderson International Opp Fund A	1,785	1,387	(398)
5/18/2009	8/13/2009	261 04	Henderson International Opp Fund A	3,722	4,493	770
5/18/2009	8/13/2009		High Yield Bond A	17,917	18,422	506
5/18/2009	8/13/2009	110	Hologic Inc	3,277	1,331	(1,946)
5/18/2009	8/13/2009	120	Hologic Inc	3,575	1,452	(2,123)
5/18/2009	8/13/2009	205	Hologic Inc	6,477	2,480	(3,997)
5/18/2009	8/13/2009	130	Honda Motor Ltd	4,079	4,061	(18)
3/26/2002	8/15/2009	360	Honda Motor Ltd	11,297	11,247	(50)
10/23/2007	8/17/2009	212 848	Income Fund Of America F	3,793	2,750	(1,043)
10/23/2007	8/17/2009		Income Opp Fund A	20,313	20,810	497
3/10/2006	8/17/2009	130	Infosys Tech Ltd Spd Adr	6,401	4,057	(2,344)
12/23/2003	8/17/2009	60	Ing Clarion Gl R/E Income Fd	527	227	(301)
10/17/2007	8/17/2009	175	Ing Clarion Gl R/E Income Fd	2,924	662	(2,263)
11/19/1998	8/17/2009	225	Ing Clarion Gl R/E Income Fd	5,315	850	(4,464)
7/21/2003	8/17/2009	230	Ing Clarion Gl R/E Income Fd	4,827	869	(3,958)
6/30/2003	8/17/2009	240	Ing Clarion Gl R/E Income Fd	5,033	907	(4,126)
8/14/2009	8/18/2009	280	Ing Clarion Gl R/E Income Fd	4,693	1,058	(3,634)
1/6/2009	8/19/2009	290	Ing Clarion Gl R/E Income Fd	5,468	1,096	(4,372)
8/21/2009	8/21/2009	800	Ing Clarion Gl R/E Income Fd	15,085	3,024	(12,061)
3/18/2009	8/26/2009	2270	Ing Clarion Gl R/E Income Fd	38,068	8,581	(29,487)
4/9/2009	8/27/2009	1700	ING Value Choice A	20,757	22,831	2,074
4/17/2008	8/28/2009	200	Ingersoll Rand PLC (ir 7/01/09)	8,136	6,508	(1,628)
4/16/2009	8/28/2009	25	Intel Corporation	359	483	125
7/13/2005	8/28/2009	50	Intel Corporation	718	984	267
5/29/2009	8/31/2009	70	Intel Corporation	1,005	1,354	349
9/10/2008	8/31/2009	100	Intel Corporation	1,436	1,969	533
6/17/2008	9/1/2009	1578	Intel Corporation	31,749	30,969	(780)
3/26/2008	9/1/2009	4127	Intel Corporation	83,085	80,996	(2,090)
10/22/2008	9/1/2009	4195	Intel Corporation	84,403	82,330	(2,073)
12/23/2008	9/1/2009	352	International Game Technology	13,707	3,610	(10,098)
11/20/2007	9/1/2009	400	International Game Technology	7,964	8,636	672
12/18/2007	9/1/2009	600	International Game Technology	6,389	12,954	6,565
2/12/2008	9/1/2009	2000	International Game Technology	21,940	45,125	23,185
12/31/2007	9/1/2009	2000	International Game Technology	21,940	43,179	21,239
2/12/2008	9/1/2009	105	International Paper	1,235	1,469	234
12/31/2007	9/1/2009	200	International Paper	2,352	3,920	1,568
12/29/2008	9/1/2009	200	International Paper	2,352	4,372	2,020
9/24/2008	9/1/2009	11 655	Intl Growth & Income Fd A	246	250	4
12/23/2008	9/1/2009	11 655	Intl Growth & Income Fd A	246	250	4
11/2/2005	9/1/2009	2100	Iron Mountain Inc	58,534	62,389	3,855
11/2/2005	9/1/2009	98	Ishares Barclays Agg Bond Fund	9,957	10,030	73
6/22/2009	9/1/2009	167	Ishares Barclays Agg Bond Fund	16,967	16,857	(111)
9/24/2008	9/1/2009	250	Ishares Barclays Agg Bond Fund	25,400	25,304	(96)
12/23/2008	9/1/2009	200	Ishares Dj Select Dividend	8,835	5,575	(3,260)
9/24/2008	9/1/2009	1100	Ishares Dow Jones US Telecom	36,169	20,283	(15,885)

Thoms

3/25/2009	9/1/2009	1566	Ishares FTSE/Xinhua China 25		48,640	38,959	(9,681)
3/25/2009	9/1/2009	100	Ishares Iboxx	Thoms	9,373	10,420	1,047
9/24/2008	9/1/2009	48	Ishares Msci Brazil		4,100	3,300	(800)
11/20/2007	9/1/2009	177	Ishares Msci Brazil		15,119	10,643	(4,476)
12/18/2007	9/1/2009	272	Ishares Msci Brazil		11,306	18,700	7,394
2/12/2008	9/1/2009	75	Ishares MSCI Pacific Ex Japan Index		3,862	1,545	(2,316)
5/4/2009	9/1/2009	132	Ishares MSCI Pacific Ex Japan Index		3,679	4,907	1,227
1/8/2008	9/2/2009	300	Ishares MSCI Pacific Ex Japan Index		14,056	6,181	(7,875)
11/16/2007	9/2/2009	35	Ishares Msci South Africa		1,247	1,552	305
12/18/2007	9/2/2009	1054	Ishares Russell Midcap Index		56,948	74,892	17,944
2/12/2008	9/2/2009	120	Ishares S&P Latin America 40		2,318	2,835	517
1/1/1950	9/2/2009	230	Ishares S&P Latin America 40		9,775	5,434	(4,341)
1/26/1990	9/2/2009	105	Ishares S&p Midcap 400 Index		8,875	7,151	(1,724)
1/1/1950	9/2/2009	1804	Ishares S&P Pref Stock Index FN		58,162	45,070	(13,092)
1/1/1950	9/2/2009	230 000	Ishares TR	thoms	25,222	11,685	(13,537)
8/11/1983	9/2/2009	400 000	Ishares TR	thoms	35,136	15,424	(19,712)
3/21/1985	9/2/2009	800 000	Ishares TR	thoms	47,480	26,409	(21,071)
2/9/1983	9/2/2009	60	Ishares Trust	Thoms	5,875	6,193	318
11/26/2008	9/11/2009	0 341	Ivy Asset Strategy Fund - A		6	7	1
5/16/2007	9/11/2009	10 229	Ivy Asset Strategy Fund - A		179	198	19
2/5/2007	9/14/2009	28 954	Ivy Asset Strategy Fund - A		508	560	52
11/21/2007	9/14/2009	287 329	Ivy Asset Strategy Fund - A		6,108	5,557	(551)
1/16/2003	9/15/2009		J Hancock L/Cap Eqty A		6,898	6,867	(31)
3/23/2007	9/15/2009	75	Jacobs Engr Group Del		3,602	2,834	(768)
3/26/2007	9/15/2009	120	Jacobs Engr Group Del		5,414	4,605	(809)
12/31/2004	9/16/2009	250	Jacobs Engr Group Del		11,279	9,595	(1,683)
12/19/2007	9/17/2009	695	Jacobs Engr Group Del		33,375	33,936	561
3/14/2007	9/17/2009	4000	Janus Capital Group Inc		49,640	49,203	(437)
11/14/2008	9/17/2009	0 21	Janus Overseas J		5	9	4
9/21/2006	9/17/2009	1 698	Janus Overseas J		78	70	(8)
9/11/2008	9/17/2009	10 901	Janus Overseas J		580	451	(129)
11/14/2008	9/17/2009	28 522	Janus Overseas J		1,519	1,181	(338)
9/1/2009	9/17/2009	38 981	Janus Overseas J		916	1,614	697
9/11/2008	9/17/2009	141 123	Janus Overseas J		5,000	5,842	842
9/16/2009	9/17/2009	242 176	Janus Overseas J		13,000	10,026	(2,974)
9/16/2009	9/17/2009	262 564	Janus Overseas J		12,800	10,870	(1,930)
9/1/2009	9/17/2009	56	Jc Penney		3,358	1,450	(1,908)
9/1/2009	9/17/2009	225	Jc Penney		14,639	5,825	(8,814)
11/14/2008	9/17/2009	50000	John Deere Capital Corp	6 000% Due 02-15-09	50,612	50,000	(612)
9/16/2009	9/17/2009	55	Johnson & Johnson		2,014	2,972	958
4/21/2005	9/17/2009	60	Joy Global Inc	Horstman	3,317	3,097	(219)
9/21/2006	9/17/2009	5	Joy Global Inc		112	229	117
11/14/2008	9/17/2009	5	Joy Global Inc		112	228	116
1/1/1950	9/17/2009	10	Joy Global Inc		224	395	170
7/31/2006	9/17/2009	20	Joy Global Inc		449	596	148
9/1/2009	9/17/2009	35	Joy Global Inc		2,317	1,599	(717)
10/20/2006	9/17/2009	70	Joy Global Inc		4,633	3,207	(1,426)
12/23/2008	9/24/2009	110 001	Jp Morgan Capital Gr A		5,086	2,830	(2,256)
6/17/2008	9/24/2009	215 766	Jp Morgan Capital Gr A		8,909	5,552	(3,357)
6/22/2009	9/24/2009	9 204	Jp Morgan Midcap Value A		134	125	(9)
12/23/2008	9/24/2009	354 087	JPMorgan Core Bond Fund Select		3,845	3,824	(21)
9/24/2008	9/24/2009	1218 036	JPMorgan Core Bond Fund Select		13,228	13,374	146
3/25/2009	9/24/2009	222 077	JPMorgan High Yield Bond Sel		1,441	1,501	60
8/31/2009	9/25/2009	4 774	JPMorgan High Yield Sel		31	34	3
8/28/2007	9/25/2009	330 309	JPMorgan Intermediate Bond Fund A		3,392	3,481	89
2/22/2008	9/25/2009	9 937	JPMorgan US Real Estate Fund A		176	68	(108)
12/23/2008	9/25/2009	21 747	JPMorgan US Real Estate Fund A		358	150	(208)
1/20/2005	9/25/2009	22 963	JPMorgan US Real Estate Fund A		383	158	(225)
1/20/2005	9/25/2009	33 595	JPMorgan US Real Estate Fund A		213	231	18
6/4/2003	9/25/2009	173 114	JPMorgan US Real Estate Fund A		2,962	1,193	(1,769)
6/16/2006	9/25/2009	1864 064	JPMorgan US Real Estate Fund A		33,665	12,843	(20,822)
8/19/2008	9/30/2009	112 915	Keeley Small Cap Value A		1,702	1,748	46
12/22/2008	9/30/2009	375	L-1 Identity Solutions Inc		2,475	2,038	(437)
9/26/2008	9/30/2009	1565	L-1 Identity Solutions Inc		10,329	8,504	(1,825)
7/17/2009	9/30/2009	150	L-3 Communication Hldgs Inc		11,003	11,916	914
5/1/2009	9/30/2009	600	L-3 Communication Hldgs Inc		42,006	47,665	5,659
1/26/2009	9/30/2009	70	Laboratory Corp Of America Holdings Wts		4,917	4,051	(866)
12/31/2002	10/5/2009	145	Laboratory Corp Of America Holdings Wts		10,185	8,391	(1,794)

10/2/2009	10/6/2009	0 149	Laudus Intl Marketmasters	4	1	(2)
	10/6/2009	0 149	Laudus Intl Marketmasters	3	1	(2)
4/25/2006	10/7/2009	39 535	Laudus Intl Marketmasters	823	393	(430)
4/27/2009	10/12/2009	39 535	Laudus Intl Marketmasters	858	512	(346)
6/3/2009	10/12/2009	49 768	Laudus Intl Marketmasters	1,036	494	(541)
4/27/2009	10/12/2009	53 022	Laudus Intl Marketmasters	1,103	527	(577)
11/5/2003	10/12/2009	83 579	Laudus Intl Marketmasters	1,700	1,082	(618)
9/12/2008	10/12/2009	89 644	Laudus Intl Marketmasters	1,946	1,161	(785)
6/3/2009	10/12/2009	98 328	Laudus Intl Marketmasters	2,000	1,273	(727)
4/27/2009	10/12/2009	102 79	Laudus Intl Marketmasters	2,232	1,331	(900)
4/27/2009	10/12/2009	127 811	Laudus Intl Marketmasters	3,151	1,269	(1,881)
6/9/2008	10/12/2009	156 099	Laudus Intl Marketmasters	3,389	1,550	(1,839)
9/12/2008	10/12/2009	205 867	Laudus Intl Marketmasters	5,075	2,044	(3,030)
6/3/2009	10/12/2009	211 268	Laudus Intl Marketmasters	4,587	2,700	(1,887)
9/12/2008	10/12/2009	235 176	Laudus Intl Marketmasters	5,106	2,335	(2,770)
4/27/2009	10/12/2009	331 047	Laudus Intl Marketmasters	7,187	4,287	(2,900)
8/8/1977	10/12/2009	469 484	Laudus Intl Marketmasters	10,192	6,000	(4,192)
11/14/2008	10/12/2009	542 293	Laudus Intl Marketmasters	13,368	5,385	(7,983)
6/3/2009	10/12/2009	123 993	Laudus Intl Mrktmasters - Sel	1,866	2,000	134
4/27/2009	10/12/2009	7 689	Laudus Rosenberg Intl S/C Inv	157	75	(82)
7/1/2009	10/12/2009	10 149	Laudus Rosenberg Intl S/C Inv	307	120	(187)
11/14/2008	10/12/2009	13 125	Laudus Rosenberg Intl S/C Inv	266	128	(137)
11/15/1988	10/12/2009	30 259	Laudus Rosenberg Intl S/C Inv	617	296	(321)
6/9/2008	10/12/2009	32 26	Laudus Rosenberg Intl S/C Inv	670	380	(290)
9/12/2008	10/12/2009	1354 268	Lord Abbett Affiliated B	10,225	11,070	845
4/27/2009	10/12/2009	2 152	Lord Abbett Classic Stock B	42	43	1
11/14/2008	10/12/2009	981 182	Lord Abbett Classic Stock B	28,513	19,694	(8,819)
10/21/2008	10/12/2009	100	Lubrizol Corp	4,239	7,022	2,783
6/3/2009	10/12/2009	568	Manulife Financial MFC	10,218	9,976	(242)
4/27/2009	10/12/2009	250	Marathon Oil Corp	7,667	8,200	533
4/27/2009	10/12/2009	250	Marathon Oil Corp	7,513	8,200	687
4/8/2009	10/12/2009	355	Marathon Oil Corp	9,830	11,644	1,814
11/14/2008	10/12/2009	645	Marathon Oil Corp	19,402	21,155	1,754
1/8/2008	10/12/2009	2000	Marathon Oil Corp	52,360	65,598	13,238
9/11/2008	10/12/2009	2213	Marathon Oil Corp	58,614	72,583	13,969
1/1/1950	10/12/2009	200	Mbia Inc	7,917	736	(7,181)
6/9/2008	10/12/2009	200	Mcdonalds Corp	11,254	11,019	(235)
9/12/2008	10/12/2009	807	Mcdonalds Corp	45,436	43,271	(2,165)
6/3/2009	10/12/2009	20	Meadwestvaco Corp	250	416	166
4/27/2009	10/12/2009	50	Meadwestvaco Corp	625	844	219
4/27/2009	10/12/2009	100	Meadwestvaco Corp	1,251	1,703	452
6/9/2008	10/12/2009	100	Meadwestvaco Corp	1,251	2,127	877
9/12/2008	10/12/2009	130	Meadwestvaco Corp	1,626	2,703	1,077
6/3/2009	10/12/2009	145	Meadwestvaco Corp	1,814	2,448	634
6/9/2008	10/12/2009	1800	Meredith Corp	49,185	47,459	(1,726)
9/12/2008	10/12/2009	1 656	Meridian Value	62	31	(31)
2/21/2007	10/12/2009	2 943	Meridian Value	111	55	(56)
11/14/2008	10/12/2009	14 62	Meridian Value	538	300	(238)
4/27/2009	10/12/2009	15 508	Meridian Value	571	330	(241)
7/1/2009	10/12/2009	17 344	Meridian Value	639	410	(229)
4/27/2009	10/12/2009	41 464	Meridian Value	1,527	774	(753)
6/3/2009	10/12/2009	10000	Merrill Lynch & Co Inc	9,738	10,000	263
5/26/2006	10/12/2009	40	MetLife Inc	2,295	1,540	(755)
6/9/2008	10/12/2009	100	MetLife Inc	6,546	3,850	(2,696)
6/9/2008	10/12/2009	135	MetLife Inc	7,809	5,197	(2,612)
6/3/2009	10/12/2009	150	MetLife Inc	4,460	5,775	1,315
4/27/2009	10/12/2009	175	MetLife Inc	4,982	6,737	1,755
7/20/2005	10/12/2009	200	MetLife Inc	11,310	7,700	(3,610)
10/17/2007	10/15/2009	600	MetLife Inc	17,070	23,099	6,029
4/24/2009	10/19/2009	900	MetLife Inc	53,892	34,649	(19,243)
9/10/2008	10/20/2009	122	Mettler Toledo Intl	12,145	6,916	(5,229)
10/2/2008	10/20/2009	483	Mettler Toledo Intl	32,146	27,381	(4,765)
8/20/2008	10/20/2009	71 256	MFS Emerging Mkts Debt Fd A	971	823	(148)
9/9/2009	10/20/2009	217 868	MFS Emerging Mkts Debt Fd A	2,817	2,516	(301)
12/19/2008	10/20/2009	107 181	MFS Emerging Mkts Equity I	3,730	3,000	(730)
3/20/2009	10/20/2009	3 712	Mfs Intl New Discovery A	100	43	(57)
4/28/2009	10/21/2009	7 796	Mfs Intl New Discovery A	98	90	(8)
2/13/2008	10/21/2009	8 706	Mfs Intl New Discovery A	214	101	(114)

2/13/2008	10/21/2009	19 478 Mfs Intl New Discovery A		456	225	(231)
2/13/2008	10/21/2009	22 316 Mfs Intl New Discovery A		549	258	(291)
3/17/2009	10/21/2009	22 942 Mfs Intl New Discovery A		619	265	(354)
7/17/2008	10/23/2009	28 496 Mfs Intl New Discovery A		359	329	(30)
8/5/2008	10/23/2009	30 356 Mfs Intl New Discovery A		382	351	(32)
1/1/1950	10/27/2009	34 771 Mfs Intl New Discovery A		855	402	(453)
4/17/2008	10/28/2009	38 072 Mfs Intl New Discovery A		1,027	440	(587)
4/24/2009	10/28/2009	50 15 Mfs Intl New Discovery A		1,353	579	(774)
4/24/2009	10/28/2009	81 299 Mfs Intl New Discovery A		1,904	939	(965)
7/2/2008	10/29/2009	89 133 Mfs Intl New Discovery A		2,192	1,029	(1,162)
2/24/2009	10/30/2009	110 955 Mfs Intl New Discovery A		1,398	1,282	(117)
2/24/2009	10/30/2009	136 632 Mfs Intl New Discovery A		3,360	1,578	(1,782)
10/22/2008	10/30/2009	143 016 Mfs Intl New Discovery A		3,850	1,652	(2,198)
7/25/2006	10/30/2009	171 575 Mfs Intl New Discovery A		4,018	1,982	(2,037)
5/11/2009	10/30/2009	227 192 Mfs Intl New Discovery A		6,130	2,624	(3,506)
5/11/2009	10/30/2009	350 246 Mfs Intl New Discovery A		8,613	4,045	(4,567)
8/23/2005	10/30/2009	445 633 Mfs Intl New Discovery A		9,679	5,147	(4,532)
7/13/2006	10/30/2009	1007 678 MFS Research Bond A		10,500	8,757	(1,743)
6/8/2006	10/30/2009	72 Monsanto Company		7,306	5,854	(1,452)
7/13/2006	10/30/2009	253 Monsanto Company		18,964	19,716	752
7/13/2006	10/30/2009	441 Monsanto Company		27,190	34,367	7,177
7/18/2008	10/30/2009	55 Monster Worldwide		2,234	822	(1,412)
7/18/2008	10/30/2009	65 Monster Worldwide		3,040	971	(2,069)
7/30/2009	10/30/2009	70 Monster Worldwide		2,388	1,046	(1,342)
4/7/2008	10/30/2009	135 Monster Worldwide		5,453	2,017	(3,435)
4/2/2009	10/30/2009	333 Monster Worldwide		15,847	4,976	(10,871)
7/30/2008	10/30/2009	15000 Morgan Jp & Co Inc	6 000% Due 01-15-09	13,988	15,000	1,013
4/9/2009	10/30/2009	100000 Morgan Jp & Co Inc	6 000% Due 01-15-09	99,404	100,000	596
3/12/2008	11/2/2009	1298 496 Morgan Stanley Strateg B		19,023	19,135	112
12/10/2008	11/2/2009	145 296 Neuberger Ber Genesis In		4,645	4,000	(645)
12/10/2008	11/2/2009	31 875 Neuberger Berman Genesis Ins		855	1,204	349
8/9/2006	11/3/2009	54 795 Neuberger Berman Genesis Ins		2,531	2,000	(531)
11/2/2005	11/3/2009	232 956 Neuberger Berman Genesis Ins		7,048	8,796	1,748
2/9/2009	11/4/2009	0 356 Neuberger Berman Genesis-Trs		11	13	2
11/14/2008	11/4/2009	1 751 Neuberger Berman Genesis-Trs		72	70	(2)
1/15/2006	11/4/2009	2 532 Neuberger Berman Genesis-Trs		104	100	(4)
3/31/2005	11/4/2009	4 689 Neuberger Berman Genesis-Trs		145	150	5
12/31/2005	11/4/2009	5 135 Neuberger Berman Genesis-Trs		212	187	(25)
12/31/2004	11/4/2009	6 601 Neuberger Berman Genesis-Trs		205	220	15
9/30/2005	11/4/2009	28 15 Neuberger Berman Genesis-Trs		873	820	(53)
12/31/2002	11/4/2009	300 NFJ Dvd Interest & Pr Strat		6,645	3,504	(3,141)
1/27/2009	11/10/2009	605 NFJ Dvd Interest & Pr Strat		15,125	7,066	(8,059)
8/17/2007	11/10/2009	50 Noble Corp		1,294	1,717	423
12/31/2007	11/10/2009	55 Noble Corp		1,423	1,888	465
2/22/2007	11/10/2009	100 Noble Corp		4,911	3,433	(1,477)
12/17/2007	11/10/2009	205 Noble Corp		10,067	7,038	(3,029)
12/17/2007	11/10/2009	100 Nokia Corp ADR		4,006	1,298	(2,708)
12/18/2006	11/10/2009	300 Nokia Corp ADR		4,728	3,894	(834)
12/15/2008	11/10/2009	700 Nokia Corp ADR		11,032	9,086	(1,946)
12/15/2008	11/10/2009	1640 Nokia Corp ADR		28,060	21,287	(6,774)
2/5/2006	11/10/2009	65 Norfolk Southern Co		2,454	2,954	499
10/31/2008	11/10/2009	75 Novartis Ag Sponsored ADR		4,084	3,125	(959)
12/23/2005	11/10/2009	205 Novartis Ag Sponsored ADR		11,162	8,542	(2,620)
1/29/2009	11/13/2009	47 Nucor Inc		2,035	1,971	(64)
11/10/2009	11/16/2009	156 Nucor Inc		6,753	6,541	(212)
5/18/2009	11/18/2009	836 Nucor Inc		68,166	32,371	(35,796)
5/18/2009	11/18/2009	52 Nuveen Tax-Adv Total Return Strategy		969	331	(638)
5/18/2009	11/18/2009	60 Nuveen Tax-Adv Total Return Strategy		1,011	382	(629)
5/18/2009	11/18/2009	72 Nuveen Tax-Adv Total Return Strategy		848	459	(389)
5/18/2009	11/18/2009	74 Nuveen Tax-Adv Total Return Strategy		591	471	(120)
5/18/2009	11/18/2009	75 Nuveen Tax-Adv Total Return Strategy		506	566	60
5/20/2009	11/18/2009	1945 Nuveen Tax-Adv Total Return Strategy		41,225	12,386	(28,839)
9/28/2009	11/18/2009	10 NYSE Euronext		189	272	83
10/29/2009	11/18/2009	100 NYSE Euronext		1,893	2,350	457
6/26/2009	11/18/2009	130 NYSE Euronext		2,461	4,043	1,582
5/18/2009	11/18/2009	1893 939 Oakmark Global Fund - CL I		50,000	25,739	(24,261)
5/18/2009	11/18/2009	2214 349 Oakmark Global Fund - CL I		50,000	30,093	(19,907)
6/2/2009	11/18/2009	14708 73 Oakmark Global Fund - CL I		174,446	199,092	24,646

5/18/2009	11/18/2009	3 139 Oppenheimer Capital Ap A	137	126	(11)
5/18/2009	11/18/2009	0 071 Oppenheimer Champ Inc A	1	0	(1)
5/18/2009	11/18/2009	0 57 Oppenheimer Champ Inc A	5	1	(4)
5/18/2009	11/18/2009	0 574 Oppenheimer Champ Inc A	5	1	(4)
12/30/2008	11/25/2009	0 586 Oppenheimer Champ Inc A	5	1	(4)
2/24/2009	11/25/2009	0 587 Oppenheimer Champ Inc A	6	1	(4)
7/30/2003	11/25/2009	0 616 Oppenheimer Champ Inc A	6	1	(5)
5/11/2009	11/25/2009	0 636 Oppenheimer Champ Inc A	6	1	(5)
7/13/2006	11/25/2009	0 637 Oppenheimer Champ Inc A	6	1	(5)
6/30/2006	11/25/2009	0 654 Oppenheimer Champ Inc A	6	1	(5)
7/13/2006	11/25/2009	0 687 Oppenheimer Champ Inc A	6	1	(5)
6/8/2006	11/25/2009	0 695 Oppenheimer Champ Inc A	6	1	(5)
12/30/2008	11/25/2009	0 713 Oppenheimer Champ Inc A	7	1	(5)
12/29/2006	11/25/2009	5 762 Oppenheimer Champ Inc A	56	11	(45)
7/13/2006	11/25/2009	55 65 Oppenheimer Champ Inc A	549	102	(447)
7/13/2006	11/25/2009	213 04 Oppenheimer Commodity Strategy Tot Ret Y	641	688	47
7/18/2008	11/25/2009	20 588 Oppenheimer Core Bond A	222	126	(96)
1/11/2008	11/25/2009	0 851 Oppenheimer Develop Mkta	30	18	(12)
7/16/2009	11/25/2009	4 441 Oppenheimer Develop Mkta	155	94	(61)
6/8/2006	11/25/2009	4 686 Oppenheimer Develop Mkta	188	99	(88)
3/21/2006	11/25/2009	5 562 Oppenheimer Develop Mkta	194	118	(76)
3/31/2009	11/25/2009	7 853 Oppenheimer Develop Mkta	315	167	(148)
5/11/2009	11/25/2009	9 244 Oppenheimer Develop Mkta	322	196	(126)
5/11/2009	11/25/2009	11 359 Oppenheimer Develop Mkta	287	241	(46)
7/16/2009	11/25/2009	17 369 Oppenheimer Develop Mkta	696	368	(328)
6/21/2005	11/27/2009	17 512 Oppenheimer Develop Mkta	611	454	(157)
12/28/2004	11/27/2009	17 762 Oppenheimer Develop Mkta	712	461	(251)
9/1/2004	11/27/2009	22 999 Oppenheimer Develop Mkta	802	488	(314)
12/24/2002	11/27/2009	32 657 Oppenheimer Develop Mkta	824	693	(131)
3/27/2007	11/27/2009	38 225 Oppenheimer Develop Mkta	1,333	811	(523)
6/4/2007	11/27/2009	75 648 Oppenheimer Develop Mkta	2,048	1,604	(443)
8/30/2007	11/27/2009	213 91 Oppenheimer Develop Mkta	4,319	4,537	218
2/11/2009	11/27/2009	11 403 Oppenheimer Main Opp A	151	126	(25)
2/22/2008	11/30/2009	4 429 Oppenheimer Main St A	168	126	(42)
4/21/2009	12/1/2009	31 98 Oppenheimer Str Income A	142	126	(16)
10/6/2004	12/1/2009	450 Paragon Shipping CL A	8,751	1,701	(7,050)
10/16/2000	12/7/2009	10 Pepsico Inc	380	570	190
8/22/2003	12/7/2009	30 Pepsico Inc	1,358	1,710	352
2/25/2003	12/7/2009	17 345 Perkins Mid Cap Value Inv J	249	290	41
8/25/2006	12/7/2009	554 529 Pimco fd pac invt	5,617	6,000	383
2/20/2007	12/7/2009	11 782 PIMCO Foreign BD	118	107	(12)
3/3/2006	12/7/2009	13 528 PIMCO Foreign BD	123	122	(0)
3/4/2009	12/7/2009	13 602 PIMCO Foreign BD	125	123	(2)
10/16/2000	12/7/2009	15 794 PIMCO Foreign BD	143	143	(0)
6/23/2005	12/7/2009	16 307 PIMCO Foreign BD	157	148	(10)
3/26/2003	12/7/2009	17 308 PIMCO Foreign BD	163	157	(6)
5/24/1999	12/7/2009	18 680 PIMCO Foreign BD	174	169	(5)
6/12/2001	12/7/2009	18 844 PIMCO Foreign BD	191	171	(20)
1/12/2006	12/14/2009	20 278 PIMCO Foreign BD	205	184	(21)
12/18/2007	12/14/2009	277 186 PIMCO Foreign BD	2,874	2,509	(366)
6/26/2007	12/14/2009	328 072 PIMCO Foreign BD	3,409	2,969	(440)
9/25/2007	12/14/2009	6 994 Pimco Foreign Bond Instl	73	70	(2)
3/27/2007	12/14/2009	15 458 Pimco Foreign Bond Instl	161	155	(6)
12/21/2006	12/14/2009	17 612 Pimco Foreign Bond Instl	178	177	(1)
12/18/2007	12/14/2009	17 752 Pimco Foreign Bond Instl	182	178	(4)
12/18/2007	12/14/2009	17 882 Pimco Foreign Bond Instl	185	179	(6)
9/28/2006	12/14/2009	140 498 Pimco Foreign Bond Instl	1,439	1,409	(30)
6/27/2008	12/14/2009	474 984 Pimco Foreign Bond Instl	4,926	4,764	(161)
3/28/2008	12/14/2009	704 633 Pimco Foreign Bond Instl	7,300	7,067	(233)
3/30/2006	12/14/2009	5 709 Pimco Real Ret Bd Inst	57	58	1
6/28/2006	12/14/2009	51 575 Pimco Real Ret Bd Inst	516	524	8
9/26/2008	12/14/2009	184 982 Pimco Real Ret Bd Inst	1,852	2,020	168
9/25/2009	12/14/2009	313 892 Pimco Real Ret Bd Inst	3,478	3,004	(474)
3/25/2009	12/14/2009	185 714 Pimco Real Return C	2,015	1,755	(260)
6/25/2009	12/14/2009	7 833 PIMCO Real Return FD	89	80	(9)
12/18/2008	12/14/2009	9 929 PIMCO Real Return FD	114	102	(12)
12/18/2008	12/14/2009	11 628 PIMCO Real Return FD	134	119	(15)
12/23/2003	12/15/2009	13 079 PIMCO Real Return FD	146	134	(12)

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3/3/2005	12/15/2009	13 406 PIMCO Real Return FD	151	137	(13)
11/10/2004	12/15/2009	15 405 PIMCO Real Return FD	172	158	(15)
12/20/2007	12/15/2009	15 611 PIMCO Real Return FD	174	160	(15)
7/1/2009	12/15/2009	15 837 PIMCO Real Return FD	166	162	(4)
9/25/2009	12/15/2009	532 658 PIMCO Real Return FD	6,072	5,449	(623)
7/27/2009	12/15/2009	21 302 Pimco Real Return Instl	238	233	(5)
7/1/2009	12/15/2009	429 616 Pimco Real Return Instl	4,700	4,696	(4)
10/26/2009	12/15/2009	529 726 Pimco Real Return Instl	6,039	5,790	(249)
6/30/2009	12/15/2009	1480 472 Pimco Real Return Instl	16,300	16,182	(118)
7/27/2009	12/15/2009	30 2 PIMCO Stocks Plus FD - PSTKX	316	200	(116)
6/30/2009	12/15/2009	210 124 PIMCO Stocks Plus FD - PSTKX	2,200	1,389	(811)
3/19/2007	12/15/2009	228 982 PIMCO Stocks Plus FD - PSTKX	2,393	1,514	(879)
12/4/2009	12/16/2009	1043 553 PIMCO Stocks Plus FD - PSTKX	11,114	6,898	(4,216)
12/31/2004	12/17/2009	19 Pimco Stocks PLUS Instl	188	149	(40)
4/15/2009	12/23/2009	32 144 Pimco Stocks PLUS Instl	336	251	(85)
8/31/2009	12/23/2009	59 617 Pimco Stocks PLUS Instl	591	466	(125)
2/17/2005	12/23/2009	165 755 Pimco Stocks PLUS Instl	1,732	1,296	(436)
2/9/2005	12/23/2009	203 036 Pimco Stocks PLUS Instl	1,285	1,588	303
3/9/2005	12/23/2009	799 22 Pimco Stocks PLUS Instl	8,200	6,250	(1,950)
2/9/2005	12/23/2009	24 673 PIMCO Stocksplus	281	148	(133)
7/6/2009	12/23/2009	33 245 PIMCO Stocksplus	372	199	(173)
2/9/2005	12/23/2009	35 521 PIMCO Stocksplus	396	213	(184)
1/28/2005	12/23/2009	65 347 PIMCO Stocksplus	648	391	(256)
2/9/2005	12/23/2009	82 577 PIMCO Stocksplus	893	495	(399)
2/17/2005	12/23/2009	105 332 PIMCO Stocksplus	850	631	(219)
2/22/2008	12/23/2009	271 001 PIMCO Stocksplus	2,886	1,623	(1,263)
8/29/2006	12/23/2009	551 031 Pimco Total Return A	5,687	5,725	39
6/17/2005	12/23/2009	18 291 Pimco Total Return Instl	193	200	7
1/20/2005	12/23/2009	34 716 Pimco Total Return Instl	354	360	6
2/17/2005	12/23/2009	82 301 Pimco Total Return Instl	868	865	(3)
6/16/2006	12/23/2009	100 Pimco Total Return Instl	1,020	1,080	60
12/21/2009	12/23/2009	121 315 Pimco Total Return Instl	1,288	1,275	(13)
7/25/2005	12/24/2009	138 614 Pimco Total Return Instl	1,414	1,400	(14)
7/27/2005	12/24/2009	17 427 Pioneer Fund- Class Y	505	621	116
10/11/2005	12/24/2009	19 168 Pioneer Fund- Class Y	555	615	60
5/31/2006	12/24/2009	26 893 Pioneer Fund- Class Y	779	782	2
3/31/2006	12/24/2009	78 474 Pioneer Oak S/C Growth A	1,318	1,449	131
6/30/2006	12/24/2009	50 Powershares DB Crude Oil 2X	127	192	65
11/30/2005	12/24/2009	9600 Powershares DB Crude Oil 2X	24,288	36,767	12,479
1/31/2006	12/24/2009	90 Powershares Dynamic M/C Growth	1,984	1,255	(729)
12/29/2005	12/24/2009	830 Powershares Dynamic M/C Growth	19,555	11,570	(7,985)
9/30/2005	12/24/2009	5000 Principal Life Inc Funding	5,073	5,000	(73)
4/28/2006	12/24/2009	7 Proshares Ultrashort Dow 30	364	448	84
8/31/2005	12/24/2009	9 Proshares Ultrashort Dow 30	678	577	(101)
10/31/2005	12/24/2009	12 Proshares Ultrashort Dow 30	833	769	(64)
2/28/2006	12/24/2009	26 Proshares Ultrashort Dow 30	1,352	1,665	313
7/29/2005	12/24/2009	30 Proshares Ultrashort Dow 30	2,259	1,922	(338)
7/27/2005	12/24/2009	38 Proshares Ultrashort Dow 30	2,636	2,434	(202)
6/1/2008	12/24/2009	68 Proshares Ultrashort Dow 30	4,309	3,801	(507)
7/27/2005	12/24/2009	985 Proshares Ultrashort Lehman 20+ Year	44,867	46,767	1,900
7/27/2005	12/24/2009	1402 Proshares Ultrashort Lehman 20+ Year	52,764	60,096	7,332
8/31/2009	12/29/2009	1495 Qualcomm	62,094	62,907	813
2/22/2008	12/29/2009	5 Research In Motion Ltd	349	370	21
1/20/2005	12/29/2009	35 Research In Motion Ltd	2,445	2,370	(75)
6/16/2006	12/29/2009	65 Research In Motion Ltd	4,537	4,395	(142)
6/16/2006	12/29/2009	200 Research In Motion Ltd	14,000	13,545	(455)
1/20/2005	12/29/2009	6413 647 Riversource Diversified Equity Income A	58,664	55,285	(3,379)
1/20/2005	12/29/2009	12248 06 Riversource Diversified Equity Income A	100,860	78,500	(22,360)
6/10/2004	12/29/2009	2 231 Riversource Strategic Allocation A	15	20	4
	12/30/2009	2 899 Riversource Strategic Allocation A	24	25	1
2/24/2009	12/31/2009	3 3 Riversource Strategic Allocation A	24	29	4
2/24/2009	12/31/2009	3 426 Riversource Strategic Allocation A	37	30	(7)
9/24/2008	12/31/2009	4 8 Riversource Strategic Allocation A	48	42	(6)
4/17/2009	12/31/2009	5 197 Riversource Strategic Allocation A	61	45	(16)
5/11/2009	12/31/2009	5 238 Riversource Strategic Allocation A	60	46	(15)
5/11/2009	12/31/2009	5 254 Riversource Strategic Allocation A	54	46	(8)
2/13/2008	12/31/2009	5 504 Riversource Strategic Allocation A	68	48	(20)
12/29/2006	12/31/2009	5 574 Riversource Strategic Allocation A	60	49	(11)

4/10/2007	12/31/2009	5 728	Riversource Strategic Allocation A	64	50	(14)
2/13/2008	12/31/2009	5 988	Riversource Strategic Allocation A	74	52	(21)
12/29/2006	12/31/2009	6 134	Riversource Strategic Allocation A	68	54	(14)
4/10/2007	12/31/2009	7 531	Riversource Strategic Allocation A	76	66	(10)
1/11/2008	12/31/2009	8 437	Riversource Strategic Allocation A	79	74	(6)
1/11/2008	12/31/2009	8 825	Riversource Strategic Allocation A	66	77	11
12/29/2006	12/31/2009	47 436	Riversource Strategic Allocation A	356	415	59
1/11/2008	12/31/2009	66 589	Riversource Strategic Allocation A	740	583	(157)
4/10/2007	12/31/2009	441 826	Riversource Strategic Allocation A	4,648	3,000	(1,648)
12/29/2006	12/31/2009	698 858	Riversource Strategic Allocation A	7,352	6,115	(1,237)
6/29/2007	12/31/2009	25	Rockwell Collins Industries Inc	1,499	978	(520)
12/29/2006	12/31/2009	309	Rockwell Collins Industries Inc	14,523	12,094	(2,429)
7/17/2007	12/31/2009	100	Rockwell International	4,195	4,144	(51)
7/17/2007	12/31/2009	125	Rockwell International	3,961	5,180	1,219
7/13/2006	12/31/2009	150	Rockwell International	8,153	6,216	(1,938)
12/29/2006	12/31/2009	1600	Rockwell International	42,688	71,078	28,390
1/26/2009	12/31/2009	925	Royal Dutch Shell PLC - ADR B	49,830	50,307	477
1/26/2009	12/31/2009	1 7	Royce Fd Penn Mut Inv	11	15	4
4/10/2007	12/31/2009	19 104	Royce Fd Penn Mut Inv	124	166	41
9/25/2009	12/31/2009	424 628	Royce Fd Penn Mut Inv	4,314	4,000	(314)
3/31/2009	12/31/2009	862 069	Royce Fd Penn Mut Inv	10,000	6,216	(3,784)
12/30/2008	12/31/2009	1243 68	Royce Fd Penn Mut Inv	12,300	10,795	(1,505)
6/22/2009	12/31/2009	293 375	Russell Strategic Bond C	3,167	2,785	(382)
5/11/2009	12/31/2009	645	Saic Inc	11,952	11,741	(212)
5/11/2009	12/31/2009	50	Sap Aktiengesellschaft Adr	2,627	2,567	(60)
5/11/2009	12/31/2009	150	Sap Aktiengesellschaft Adr	8,015	7,701	(314)
5/11/2009	12/31/2009	700	Sap Aktiengesellschaft Adr	24,920	35,937	11,017
5/11/2009	12/31/2009	1300	Sap Aktiengesellschaft Adr	60,281	66,740	6,459
5/11/2009	12/31/2009	10	Schlumberger Ltd	862	548	(314)
7/16/2009	12/31/2009	10	Schlumberger Ltd	862	548	(314)
7/16/2009	12/31/2009	30	Schlumberger Ltd	2,587	1,777	(810)
		55	Schlumberger Ltd	2,525	3,258	733
9/24/2008	4/17/2009	70	Schlumberger Ltd	2,729	4,146	1,417
6/17/2008	9/1/2009	130	Schlumberger Ltd	11,209	7,700	(3,508)
9/24/2008	3/25/2009	26 882	Schroder US Opport Inv	372	450	78
3/26/2008	9/1/2009	7047 436	SEI Cored Fixed Income Fund	72,373	70,545	(1,828)
12/23/2008	8/4/2009	435 074	Selected American	13,000	14,425	1,425
12/23/2008	9/1/2009	657 839	Selected American	31,300	21,811	(9,489)
12/23/2008	7/13/2009	665 533	Selected American	31,300	22,066	(9,234)
4/17/2009	7/13/2009	710 718	Selected American	31,300	23,565	(7,735)
12/23/2008	5/29/2009	29 872	Selected American Shares D	1,234	764	(470)
9/24/2008	3/25/2009	33 918	Selected American Shares D	1,546	867	(679)
6/22/2009	9/1/2009	63 967	Selected American Shares D	2,614	1,990	(624)
12/23/2008	6/22/2009	281 145	Selected American Shares D	11,488	7,189	(4,299)
12/23/2008	9/1/2009	1500	Shaw Group Inc	43,936	47,279	3,343
12/23/2008	9/24/2009	25	Simon Pty Group Inc	893	1,258	365
12/23/2008	7/13/2009	25	Simon Pty Group Inc	893	1,517	624
3/25/2009	7/13/2009	40	Simon Pty Group Inc	1,429	2,264	835
4/4/2000	3/2/2009	100	Simon Pty Group Inc	3,572	5,033	1,461
9/11/2008	3/25/2009	100	Skyworks Solutions Inc	516	988	472
7/7/2005	6/30/2009	100	Skyworks Solutions Inc	516	1,201	685
10/31/2005	6/30/2009	135	Skyworks Solutions Inc	697	1,308	612
9/24/2008	12/31/2009	200	Skyworks Solutions Inc	1,032	2,278	1,246
4/17/2009	12/31/2009	885	Skyworks Solutions Inc	4,567	7,037	2,471
1/2/2001	11/27/2009	500	Southwest Airlines Company	3,310	4,795	1,485
3/5/2001	11/27/2009	750	Southwest Airlines Company	5,280	7,192	1,912
12/17/2004	6/22/2009	750	Southwest Airlines Company	5,264	7,192	1,929
	11/13/2009	877	SPDR Biotech ETF	58,777	39,725	(19,052)
6/25/2007	3/25/2009	25	SPDR DJ Wilshire Int RE	1,647	888	(760)
12/23/2008	3/25/2009	199	SPDR DJ Wilshire Int RE	11,264	7,065	(4,199)
6/17/2008	9/24/2009	2	SPDR Dow Jones - RWX	57	67	9
6/22/2009	9/24/2009	51	SPDR Dow Jones - RWX	3,370	1,698	(1,671)
1/17/2006	6/22/2009	56	SPDR Dow Jones - RWX	2,508	1,865	(644)
12/18/2007	6/22/2009	100	SPDR Dow Jones - RWX	6,607	3,331	(3,276)
12/23/2008	6/22/2009	53	SPDR Index Shares S&P China	3,442	3,710	268
12/23/2008	9/1/2009	150	SPDR Index Shares S&P China	7,453	10,253	2,800
12/23/2008	12/31/2009	153	SPDR Index Shares S&P China	7,602	10,370	2,768
9/24/2008	7/13/2009	68	SPDR S & P China	4,416	5,014	598

6/22/2009	7/13/2009	150 Spdr Trust Series I	13,348	13,529	182
12/21/2005	4/17/2009	150 Spdr Trust Series I	12,607	13,529	922
12/4/2006	4/17/2009	175 Spdr Trust Series I	15,590	14,834	(756)
12/4/2007	4/17/2009	25 Steel Dynamics Inc	262	438	177
12/2/2008	4/17/2009	35 Steel Dynamics Inc	366	484	118
12/23/2008	3/25/2009	50 Steel Dynamics Inc	524	797	274
3/3/2006	1/15/2009	200 Steel Dynamics Inc	2,094	3,506	1,412
9/17/2008	4/7/2009	250 Steel Dynamics Inc	2,618	3,459	841
9/17/2008	7/6/2009	2 683 T Rowe Price Growth Stk Adv	50	58	8
2/5/2007	9/14/2009	11 818 T Rowe Price Growth Stk Adv	218	253	35
11/21/2005	4/15/2009	81 96 T Rowe Price Growth Stk Adv	2,674	1,757	(916)
11/29/2005	4/15/2009	133 2 T Rowe Price Growth Stk Adv	4,000	2,856	(1,144)
12/5/2005	4/15/2009	233 1 T Rowe Price Growth Stk Adv	7,000	4,998	(2,002)
12/12/2005	4/15/2009	280 992 T Rowe Price Growth Stk Adv	8,500	6,024	(2,476)
12/30/2005	4/15/2009	294 32 T Rowe Price Growth Stk Adv	9,501	6,310	(3,190)
3/18/2008	4/15/2009	389 222 T Rowe Price Growth Stk Adv	13,000	8,345	(4,655)
9/22/2008	11/27/2009	711 955 T Rowe Price Growth Stk Adv	24,000	15,264	(8,736)
8/7/2009	8/21/2009	814 608 T Rowe Price Growth Stk Adv	27,640	17,465	(10,174)
8/20/2008	11/9/2009	889 095 T Rowe Price Growth Stk Adv	28,700	19,062	(9,638)
12/19/2008	11/9/2009	0 233 T Rowe Price Mid Cap Growth	13	7	(6)
3/20/2009	11/9/2009	1 193 T Rowe Price Mid Cap Growth	67	38	(30)
9/9/2009	11/9/2009	5 342 T Rowe Price Mid Cap Growth	296	168	(128)
3/25/2009	12/1/2009	6 809 T Rowe Price Mid Cap Growth	424	214	(209)
6/22/2009	12/1/2009	11 823 T Rowe Price Mid Cap Growth	613	372	(241)
3/20/2008	5/15/2009	24 435 T Rowe Price Mid Cap Growth	1,306	940	(366)
7/8/2009	8/26/2009	99 966 T Rowe Price Mid Cap Growth	5,344	3,149	(2,195)
7/17/2008	10/23/2009	227 316 T Rowe Price Mid Cap Growth	12,000	7,160	(4,840)
8/5/2008	10/23/2009	14 568 T Rowe Price Mid Gr Adv	443	457	14
9/24/2008	5/22/2009	24 924 T Rowe Price Mid Gr Adv	758	781	23
6/22/2009	9/1/2009	101 447 T Rowe Price Mid Gr Adv	5,400	3,179	(2,221)
6/22/2009	9/24/2009	121 107 T Rowe Price Mid Gr Adv	6,300	3,795	(2,505)
4/22/2005	4/7/2009	159 684 T Rowe Price Mid Gr Adv	8,500	5,005	(3,496)
11/9/2005	4/7/2009	221 069 T Rowe Price Mid Gr Adv	11,500	6,928	(4,572)
6/22/2009	8/4/2009	6 778 T Rowe Price Mid-Cap Value-Ad	93	108	15
6/22/2009	9/25/2009	16 53 T Rowe Price Mid-Cap Value-Ad	227	264	38
9/24/2008	5/4/2009	29 172 T Rowe Price Mid-Cap Value-Ad	750	466	(284)
3/25/2009	8/4/2009	41 551 T Rowe Price Mid-Cap Value-Ad	900	664	(236)
3/25/2009	9/1/2009	48 972 T Rowe Price Mid-Cap Value-Ad	1,000	783	(217)
12/24/2003	7/13/2009	60 083 T Rowe Price Mid-Cap Value-Ad	1,330	961	(369)
11/24/2004	7/13/2009	77 791 T Rowe Price Mid-Cap Value-Ad	2,000	1,244	(756)
11/24/2004	7/13/2009	87 719 T Rowe Price Mid-Cap Value-Ad	1,900	1,403	(497)
12/2/2005	7/13/2009	97 466 T Rowe Price Mid-Cap Value-Ad	2,000	1,558	(442)
12/2/2005	7/13/2009	117 532 T Rowe Price Mid-Cap Value-Ad	2,400	1,879	(521)
4/2/2008	5/6/2009	121 317 T Rowe Price Mid-Cap Value-Ad	2,685	1,940	(745)
9/24/2008	9/1/2009	126 754 T Rowe Price Mid-Cap Value-Ad	3,493	2,027	(1,467)
1/17/2006	2/11/2009	243 665 T Rowe Price Mid-Cap Value-Ad	5,000	3,896	(1,104)
3/20/2007	2/11/2009	337 317 T Rowe Price Mid-Cap Value-Ad	9,296	5,394	(3,903)
6/25/2007	2/11/2009	0 097 T Rowe Price Small Cap Val Adv	4	2	(1)
12/23/2008	8/4/2009	1 302 T Rowe Price Small Cap Val Adv	45	31	(14)
12/23/2008	9/1/2009	3 37 T Rowe Price Small Cap Val Adv	130	80	(50)
12/23/2008	5/4/2009	6 533 T Rowe Price Small Cap Val Adv	145	155	10
12/23/2008	8/4/2009	7 346 T Rowe Price Small Cap Val Adv	283	175	(109)
12/23/2008	9/1/2009	11 584 T Rowe Price Small Cap Val Adv	400	276	(124)
12/23/2008	6/22/2009	16 044 T Rowe Price Small Cap Val Adv	357	382	25
9/25/2009	12/31/2009	28 827 T Rowe Price Small Cap Val Adv	1,000	686	(314)
3/27/2008	9/24/2009	29 061 T Rowe Price Small Cap Val Adv	1,000	692	(308)
3/27/2008	9/25/2009	32 802 T Rowe Price Small Cap Val Adv	1,266	781	(485)
12/23/2008	3/25/2009	34 752 T Rowe Price Small Cap Val Adv	1,200	827	(373)
12/23/2008	3/25/2009	65 173 T Rowe Price Small Cap Val Adv	2,515	1,551	(964)
9/24/2008	3/25/2009	69 747 T Rowe Price Small Cap Val Adv	2,400	1,660	(740)
3/25/2009	5/4/2009	1148 366 T Rowe Price Eq-Inc	31,281	23,553	(7,729)
3/25/2009	6/1/2009	1223 028 T Rowe Price Eq-Inc	36,000	25,084	(10,916)
3/25/2009	8/4/2009	2933 985 T Rowe Price Eq-Inc	90,044	60,000	(30,044)
3/25/2009	9/1/2009	5 049 T Rowe Price Gr Stk	142	140	(2)
3/24/2005	3/25/2009	15 152 T Rowe Price Gr Stk	427	330	(97)
3/24/2005	3/25/2009	22 694 T Rowe Price Gr Stk	639	620	(19)
12/19/2005	3/25/2009	26 704 T Rowe Price Gr Stk	752	670	(82)
12/19/2005	3/25/2009	36 251 T Rowe Price Gr Stk	1,020	820	(200)

12/19/2005	3/25/2009	84 06 T	Rowe Price Gr Stk	2,987	1,735	(1,252)
12/14/2006	3/25/2009	273 075 T	Rowe Price Gr Stk	9,702	5,000	(4,702)
12/14/2006	3/25/2009	38 61 T	Rowe Price Mid Value	734	800	66
12/14/2006	3/25/2009	61 162 T	Rowe Price Mid Value	982	1,000	18
12/13/2007	3/25/2009	62 741 T	Rowe Price Mid Value	1,192	1,300	108
12/13/2007	3/25/2009	133 202 T	Rowe Price Mid Value	2,531	2,700	169
12/13/2007	3/25/2009	146 789 T	Rowe Price Mid Value	2,357	2,400	43
12/16/2008	3/25/2009	2987 582 T	Rowe Price Mid Value	40,512	41,057	545
12/16/2008	3/25/2009	13 285 T	Rowe Price Real Est	259	104	(154)
10/23/2006	11/27/2009	28 513 T	Rowe Price Real Est	540	224	(316)
10/25/2006	11/27/2009	29 333 T	Rowe Price Real Est	535	230	(305)
2/23/2005	6/22/2009	58 714 T	Rowe Price Real Est	545	461	(85)
9/29/2005	6/22/2009	81 819 T	Rowe Price Real Est	589	642	53
9/24/2008	9/1/2009	1009 582 T	Rowe Price Real Est	19,364	7,925	(11,439)
3/25/2009	9/1/2009	1665 825 T	Rowe Price Real Est	33,000	13,077	(19,923)
4/17/2009	9/1/2009	655 774 T	Rowe Price S/T Bond	3,069	3,108	39
9/24/2008	6/22/2009	0 355 T	Rowe Price Sm Val	9	10	1
2/11/2009	11/27/2009	0 818 T	Rowe Price Sm Val	20	24	5
12/18/2007	3/25/2009	3 037 T	Rowe Price Sm Val	83	90	7
4/1/2008	3/25/2009	8 965 T	Rowe Price Sm Val	383	224	(159)
7/1/2008	3/25/2009	12 227 T	Rowe Price Sm Val	553	306	(247)
10/1/2008	3/25/2009	12 751 T	Rowe Price Sm Val	305	376	71
1/2/2009	3/25/2009	28 389 T	Rowe Price Sm Val	764	800	36
4/1/2009	5/4/2009	30 864 T	Rowe Price Sm Val	738	750	12
3/25/2009	5/4/2009	41 152 T	Rowe Price Sm Val	984	1,000	16
3/25/2009	6/1/2009	25	Temple Inland Inc	111	406	295
12/24/2003	7/13/2009	30	Temple Inland Inc	386	358	(29)
12/10/2004	7/13/2009	30	Temple Inland Inc	475	487	12
12/10/2004	7/13/2009	55	Temple Inland Inc	708	659	(49)
12/12/2005	7/13/2009	70	Temple Inland Inc	916	1,136	220
12/12/2005	7/13/2009	100	Temple Inland Inc	1,309	1,343	34
12/12/2005	7/13/2009	135	Temple Inland Inc	598	1,609	1,011
12/12/2005	9/24/2009	145	Temple Inland Inc	1,898	1,737	(161)
12/11/2006	9/24/2009	180	Temple Inland Inc	797	3,922	3,124
5/12/2008	9/1/2009	270	Temple Inland Inc	3,534	3,235	(300)
6/25/2007	4/17/2009	300	Temple Inland Inc	3,927	3,576	(351)
9/19/2007	4/17/2009	2 435	Templeton Global Bond Fund C	27	29	2
12/1/2008	2/2/2009	3 494	Templeton Global Bond Fund C	41	42	1
12/9/2008	2/2/2009	5 947	Templeton Global Bond Fund C	65	71	6
1/7/2009	2/2/2009	9 321	Templeton Global Bond Fund C	99	111	12
6/22/2009	9/1/2009	9 366	Templeton Global Bond Fund C	99	111	12
6/22/2009	12/31/2009	12 066	Templeton Global Bond Fund C	141	143	2
9/25/2009	12/31/2009	12 067	Templeton Global Bond Fund C	142	143	1
9/24/2008	9/1/2009	12 085	Templeton Global Bond Fund C	146	144	(2)
9/24/2008	9/24/2009	12 13	Templeton Global Bond Fund C	140	144	4
12/23/2008	9/24/2009	12 149	Templeton Global Bond Fund C	139	144	6
3/25/2009	5/4/2009	12 246	Templeton Global Bond Fund C	147	146	(2)
3/25/2009	6/1/2009	12 261	Templeton Global Bond Fund C	141	146	5
3/25/2009	9/1/2009	12 268	Templeton Global Bond Fund C	139	146	7
12/23/2008	3/25/2009	12 284	Templeton Global Bond Fund C	139	146	7
12/23/2008	5/4/2009	12 379	Templeton Global Bond Fund C	136	147	12
12/23/2008	6/1/2009	12 384	Templeton Global Bond Fund C	134	147	13
12/23/2008	8/4/2009	12 414	Templeton Global Bond Fund C	138	148	9
12/23/2008	9/1/2009	12 486	Templeton Global Bond Fund C	147	148	1
12/23/2008	5/4/2009	12 495	Templeton Global Bond Fund C	145	149	3
12/23/2008	6/1/2009	12 512	Templeton Global Bond Fund C	147	149	2
12/23/2008	8/4/2009	12 523	Templeton Global Bond Fund C	135	149	14
3/26/2008	5/4/2009	12 55	Templeton Global Bond Fund C	137	149	12
3/26/2008	5/22/2009	12 56	Templeton Global Bond Fund C	135	149	14
3/26/2008	6/1/2009	12 586	Templeton Global Bond Fund C	138	150	11
3/26/2008	8/4/2009	12 614	Templeton Global Bond Fund C	140	150	10
9/24/2008	8/4/2009	12 988	Templeton Global Bond Fund C	149	154	5
12/23/2008	12/31/2009	13 01	Templeton Global Bond Fund C	149	155	6
9/24/2008	9/1/2009	13 037	Templeton Global Bond Fund C	149	155	6
9/24/2008	7/13/2009	13 405	Templeton Global Bond Fund C	151	159	9
3/26/2008	4/17/2009	13 579	Templeton Global Bond Fund C	151	161	11
6/17/2008	4/17/2009	13 81	Templeton Global Bond Fund C	160	164	5
12/23/2008	4/17/2009	13 963	Templeton Global Bond Fund C	152	166	14

1/17/2006	2/6/2009	13 992 Templeton Global Bond Fund C		158	166	8
3/16/2006	2/6/2009	14 731 Templeton Global Bond Fund C		160	175	15
3/16/2006	2/6/2009	14 756 Templeton Global Bond Fund C		159	175	16
3/16/2006	2/6/2009	39 526 Templeton Global Bond Fund C		436	470	34
6/15/2006	2/6/2009	87 912 Templeton Global Bond Fund C		991	1,045	55
9/13/2006	2/6/2009	139 133 Templeton Global Bond Fund C		1,540	1,654	114
12/13/2006	2/6/2009	822 491 Templeton Global Bond Fund C		8,850	9,779	929
12/13/2006	2/6/2009	824 79 Templeton Global Bond Fund C		8,850	9,807	957
12/13/2006	2/6/2009	1556 777 Templeton Global Bond Fund C		17,000	18,510	1,510
3/14/2007	2/6/2009	THDNDL INTL OPP A		22,373	24,390	2,017
3/14/2007	2/6/2009	280 The Bank of New York Mellon Corp		12,038	8,614	(3,423)
3/14/2007	2/6/2009	100 Thermo Fisher Scientific Inc		5,712	4,759	(953)
6/13/2007	2/6/2009	100 Thermo Fisher Scientific Inc		5,711	4,759	(952)
9/12/2007	2/6/2009	150 Thermo Fisher Scientific Inc		8,133	6,700	(1,432)
12/11/2007	2/6/2009	200 Thermo Fisher Scientific Inc		6,823	8,775	1,952
12/11/2007	2/6/2009	572 Thermo Fisher Scientific Inc		20,239	27,222	6,983
12/11/2007	2/6/2009	800 Thermo Fisher Scientific Inc		45,680	38,073	(7,607)
3/12/2008	2/6/2009	114 384 Thornburg Intl Value A		2,218	2,316	98
3/12/2008	2/6/2009	13 736 Thornburg Intl Value Fund-I		285	354	69
3/12/2008	2/6/2009	20 583 Thornburg Intl Value Fund-I		427	433	6
6/11/2008	2/6/2009	29 401 Thornburg Intl Value Fund-I		610	680	70
9/10/2008	2/6/2009	8 925 Thornburg Value Fund-I		224	280	56
9/24/2008	2/6/2009	20 571 Thornburg Value Fund-I		517	589	73
12/10/2008	2/6/2009	27 918 Thornburg Value Fund-I		701	716	15
12/23/2008	2/6/2009	295 953 Thrivent Moderate Allocation A		3,516	2,448	(1,068)
12/18/2007	5/22/2009	5 Transocean Ltd		620	315	(306)
3/25/2009	5/4/2009	45 Transocean Ltd		1,943	2,831	888
3/25/2009	9/1/2009	105 Transocean Ltd		13,027	7,260	(5,768)
3/26/2008	8/4/2009	131 Transocean Ltd		10,564	10,176	(389)
		3000 Trinity Industries Inc		77,370	38,347	(39,023)
04 28 06	12 29 09	118 119 Trowe Price Equity INCM Fund 71		3,169	2,476	(693)
05 05 06	12 29 09	185 95 Trowe Price Equity Income Fund #71	Krause	4,989	3,600	(1,389)
12 24 07	12 29 09	43 329 TRowe Price Equityincome #71		1,275	907	(368)
12 24 07	12 29 09	17 686 TWC Total Return Bond		163	165	1
	6/17/09	50 Tyco International LTD		1,066	1,095	29
09 01 98	10 21 09	50 Tyco International LTD		2,178	1,095	(1,082)
09 10 09	11 24 09	90 Tyco International LTD		4,044	1,972	(2,072)
06 10 09	11 24 09	215 Tyco International LTD		4,584	4,711	126
12 08 08	11 24 09	225 Tyco International LTD		10,109	4,930	(5,180)
9/5/08	1/6/09	300 Ugi Corp New		8,042	7,473	(570)
10 20 06	12 22 09	1700 Union Pacific Corp		101,932	100,489	(1,443)
10 20 06	11 24 09	40 United States Oil Fund LP		1,153	1,380	227
12 19 07	11 24 09	200 United States Oil Fund LP		5,766	6,898	1,132
10 13 06	11 24 09	200 United States Oil Fund LP		5,766	6,898	1,132
03 17 06	11 24 09	400 United States Oil Fund LP		11,531	13,796	2,265
09 08 06	11 24 09	100000 United States Treasury Note	5 500% Due 05-15-09	108,547	100,000	(8,547)
02 03 06	11 24 09	460 United Technologies Corp	mendel	31,602	31,397	(205)
12/12/08	3/17/09	92 United Technologies Corp		4,925	4,595	(330)
12/8/08	3/17/09	600 000 UPS	zeman	25,103	25,338	235
6/10/08	1/13/09	25000 US Treasury Note	6 000% Due 08-15-09	26,106	25,000	(1,106)
3/10/08	1/13/09	232 847 Van Guard Inflat Protected		2,217	2,247	30
9/9/08	1/13/09	444 930 Van Guard Inflat Protected		4,120	4,294	174
12/22/06	1/13/09	517 624 Van Guard Inflat Protected		4,767	4,995	228
12/18/06	1/13/09	564 346 Van Guard Inflat Protected		5,350	5,446	96
12/18/06	1/13/09	1163 004 Van Guard Inflat Protected		11,293	11,223	(70)
12/18/06	1/13/09	1283 272 Van Guard Inflat Protected		12,473	12,384	(90)
10 20 06	10 20 09	8624 215 Van Guard Inflat Protected		80,758	83,224	2,466
09 10 09	11 24 09	781 000 Van Guard Star Fund		12,001	10,500	(1,501)
12 18 06	11 24 09	876 000 Van Guard Star Fund		13,451	11,769	(1,683)
12 18 06	11 24 09	0 701 Van Kampen Comstock A		13	6	(7)
10 20 06	11 24 09	0 84 Van Kampen Comstock A		16	7	(8)
10 13 06	11 24 09	0 853 Van Kampen Comstock A		13	8	(6)
03 17 06	11 24 09	3 157 Van Kampen Comstock A		57	28	(29)
10 20 06	11 24 09	3 842 Van Kampen Comstock A		69	34	(35)
02 22 08	11 24 09	4 825 Van Kampen Comstock A		98	43	(55)
10 13 06	11 24 09	5 092 Van Kampen Comstock A		98	45	(53)
03 10 08	11 24 09	5 207 Van Kampen Comstock A		99	46	(53)
09 08 06	11 24 09	5 299 Van Kampen Comstock A		95	47	(48)

03 17 06	11 24 09	5 343 Van Kampen Comstock A	99	47	(51)
02 03 06	11 24 09	5 461 Van Kampen Comstock A	102	48	(54)
06 08 06	11 24 09	5 507 Van Kampen Comstock A	99	49	(50)
01 06 06	11 24 09	5 66 Van Kampen Comstock A	98	50	(48)
10/20/06	1/13/09	5 747 Van Kampen Comstock A	86	51	(35)
7/18/08	5/19/09	6 213 Van Kampen Comstock A	94	55	(39)
9/5/08	5/26/09	6 313 Van Kampen Comstock A	118	56	(62)
9/9/08	5/26/09	6 676 Van Kampen Comstock A	105	59	(46)
12/12/08	5/26/09	8 173 Van Kampen Comstock A	86	72	(14)
12/8/08	5/26/09	9 001 Van Kampen Comstock A	75	80	5
9/5/08	7/28/09	10 503 Van Kampen Comstock A	164	93	(72)
9/9/08	7/28/09	23 448 Van Kampen Comstock A	421	207	(214)
12/22/06	7/28/09	25 086 Van Kampen Comstock A	478	222	(256)
12/18/06	7/28/09	54 564 Van Kampen Comstock A	979	482	(497)
12/18/06	7/28/09	1001 2 Van Kampen Comstock A	18,312	8,851	(9,461)
9/9/08	7/28/09	2 872 Van Kampen International Growth A	68	30	(38)
9/10/07	7/28/09	6 551 Van Kampen International Growth A	155	69	(86)
10/20/06	7/28/09	20 854 Van Kampen International Growth A	235	220	(14)
10/20/06	8/11/09	690 29 Van Kampen International Growth A	15,000	7,296	(7,704)
9/9/08	7/28/09	0 431 Van Kampen Real Estate A	12	4	(8)
10/20/06	7/28/09	2 057 Van Kampen Real Estate A	65	20	(45)
3/17/06	7/28/09	3 115 Van Kampen Real Estate A	97	30	(67)
6/10/08	3/17/09	3 122 Van Kampen Real Estate A	57	30	(27)
9/9/08	3/17/09	3 232 Van Kampen Real Estate A	103	31	(71)
12/12/08	3/17/09	3 354 Van Kampen Real Estate A	104	32	(72)
11/30/07	3/17/09	3 524 Van Kampen Real Estate A	66	34	(32)
12/19/07	3/17/09	3 765 Van Kampen Real Estate A	104	36	(68)
12/19/07	3/17/09	4 392 Van Kampen Real Estate A	87	42	(45)
3/10/08	3/17/09	4 403 Van Kampen Real Estate A	137	42	(95)
3/11/09	3/17/09	4 615 Van Kampen Real Estate A	86	44	(42)
02 03 06	12 01 09	4 625 Van Kampen Real Estate A	51	45	(6)
03 08 06	12 01 09	4 671 Van Kampen Real Estate A	140	45	(95)
01 06 06	12 01 09	5 323 Van Kampen Real Estate A	140	51	(89)
02 22 08	12 01 09	6 399 Van Kampen Real Estate A	116	62	(55)
03 10 08	12 01 09	6 462 Van Kampen Real Estate A	176	62	(113)
9/9/08	3/17/09	12 621 Van Kampen Real Estate A	401	122	(279)
12/12/08	3/17/09	24 673 Van Kampen Real Estate A	449	238	(211)
12/12/08	3/17/09	36 733 Van Kampen Real Estate A	998	354	(644)
10/20/06	3/17/09	37 623 Van Kampen Real Estate A	747	362	(385)
6/10/08	2/17/09	53 577 Van Kampen Real Estate A	1,669	516	(1,154)
2/22/08	2/17/09	281 556 Van Kampen Real Estate A	5,592	2,711	(2,880)
10 20 06	11 24 09	519 919 Van Kampen Real Estate A	13,331	5,007	(8,324)
09 06 06	11 24 09	674 623 Van Kampen Real Estate A	12,008	6,497	(5,512)
03 17 06	11 24 09	1039 515 Van Kampen Real Estate A	11,092	10,011	(1,081)
06 08 06	11 24 09	2 117 Vanguard FD SH BEN INT - VINIX	267	190	(77)
02 03 06	11 24 09	3 941 Vanguard FD SH BEN INT - VINIX	510	354	(156)
03 17 06	11 24 09	4 171 Vanguard FD SH BEN INT - VINIX	461	375	(86)
02 03 06	11 24 09	14 691 Vanguard FD SH BEN INT - VINIX	1,922	1,320	(602)
03 08 06	11 24 09	34 741 Vanguard FD SH BEN INT - VINIX	4,500	3,120	(1,380)
12 22 06	11 24 09	122 926 Vanguard FD SH BEN INT - VINIX	15,389	11,041	(4,348)
12 22 06	12 22 09	17 17 Vanguard - VBSSX	17	18	1
06 08 06	12 22 09	1776 6 Vanguard - VBSSX	17,642	18,370	728
12 18 06	12 22 09	2399 633 Vanguard - VBSSX	23,780	24,812	1,032
12 18 06	12 22 09	45 723 Vanguard - VWEAX	250	229	(21)
5/23/08	1/5/09	47 415 Vanguard - VWEAX	241	238	(4)
2/22/08	1/5/09	48 562 Vanguard - VWEAX	265	243	(22)
12 17 08	11 17 09	2572 871 Vanguard - VWEAX	15,643	12,890	(2,753)
3 04 08	11 17 09	64 702 Vanguard Balanced Index Adm	1,265	1,028	(237)
6/10/08	5/26/09	65 637 Vanguard Balanced Index Adm	1,421	1,190	(231)
9/9/08	5/26/09	84 753 Vanguard Balanced Index Adm	1,835	1,535	(300)
5/23/08	5/26/09	111 503 Vanguard Balanced Index Adm	2,404	2,019	(385)
10/20/06	7/28/09	156 737 Vanguard Balanced Index Adm	3,064	2,497	(567)
5/23/08	7/28/09	165 654 Vanguard Balanced Index Adm	3,586	3,000	(586)
10/20/06	7/28/09	167 893 Vanguard Balanced Index Adm	3,432	2,626	(806)
1/19/07	8/11/09	188 118 Vanguard Balanced Index Adm	4,077	3,544	(532)
12/22/06	8/11/09	287 8 Vanguard Balanced Index Adm	5,883	4,573	(1,309)
2/22/08	6/30/09	344 791 Vanguard Balanced Index Adm	7,465	6,496	(969)
1/19/07	6/30/09	457 038 Vanguard Balanced Index Adm	10,000	8,277	(1,723)

12 16 09	12 22 09	548 19	Vanguard Balanced Index Adm	10,750	8,711	(2,039)
12 22 06	11 24 09	552 181	Vanguard Balanced Index Adm	11,287	10,000	(1,287)
03 17 06	11 24 09	591 366	Vanguard Balanced Index Adm	12,088	10,000	(2,088)
02 03 06	11 24 09	696 795	Vanguard Balanced Index Adm	14,201	12,619	(1,582)
	11 27 09	832 755	Vanguard Balanced Index Adm	17,796	15,081	(2,715)
	8/14/09	898 036	Vanguard Balanced Index Adm	19,200	16,263	(2,937)
12 31 08	11 25 09	924 214	Vanguard Balanced Index Adm	20,000	16,738	(3,262)
03 31 09	12 30 09	940 802	Vanguard Balanced Index Adm	19,945	17,038	(2,907)
03 31 09	12 30 08	2249 703	Vanguard Balanced Index Adm	48,481	40,742	(7,739)
	2/27/09	4408 819	Vanguard Balanced Index Adm	89,116	79,844	(9,273)
	2/27/09	258	Vanguard Emerging Market ETF	24,894	17,809	(7,085)
	2/27/09	3 473	Vanguard European Stk Index Instl	125	72	(53)
06 07 07	12 30 09	64 571	Vanguard European Stk Index Instl	1,955	1,344	(611)
	12 17 09	14 689	Vanguard European Stock Index	445	405	(40)
12 22 09	12 28 09	117 229	Vanguard European Stock Index	3,617	3,232	(385)
06 07 07	12 30 09	121 981	Vanguard European Stock Index		3,363	3,363
8/29/08	3/31/09	10 471	Vanguard Explorer Inv	542	600	58
7/31/08	3/31/09	24 433	Vanguard Explorer Inv	1,265	1,400	135
6/30/08	3/31/09	49 351	Vanguard Explorer Inv	2,555	2,700	145
9/30/08	3/31/09	7 549	Vanguard Funds - VESIX	259	203	(57)
10/31/08	3/31/09	29 723	Vanguard Funds - VESIX	917	797	(119)
11/28/08	3/31/09	472 144	Vanguard FXD SH Term Crp	5,057	5,000	(57)
1/30/09	3/31/09	2 673	Vanguard GNMA	28	28	(0)
12/31/08	3/31/09	40 383	Vanguard GNMA	430	425	(5)
2/27/09	3/31/09	43 713	Vanguard GNMA	467	466	(0)
12/23/05	3/31/09	44 231	Vanguard GNMA	472	472	(0)
1/27/06	3/31/09	50 062	Vanguard GNMA	528	527	(1)
11 30 09	12 22 09	52 747	Vanguard GNMA	555	555	-
12 04 09	12 22 09	287 276	Vanguard GNMA	2,887	3,062	175
10 30 09	12 22 09	908 266	Vanguard GNMA	9,128	9,564	436
09 30 09	12 22 09	59 815	Vanguard GNMA - VFIIIX	611	641	30
08 31 09	12 22 09	60 292	Vanguard GNMA - VFIIIX	619	646	28
01 27 06	12 22 09	63 471	Vanguard GNMA - VFIIIX	652	680	28
01 31 06	12 22 09	424 128	Vanguard GNMA - VFIIIX	4,262	4,500	238
05 05 06	12 22 09	935 825	Vanguard GNMA - VFIIIX	9,405	10,032	627
6/30/08	5/19/09	6 362	Vanguard GNMA Adm	67	68	1
8/29/08	5/19/09	7 013	Vanguard GNMA Adm	74	75	1
5/30/08	5/19/09	18 315	Vanguard GNMA Adm	191	195	4
7/31/08	5/19/09	21 781	Vanguard GNMA Adm	228	232	5
9/30/08	5/19/09	98 039	Vanguard GNMA Adm	1,027	1,050	23
2/29/08	5/19/09	106 005	Vanguard GNMA Adm	1,071	1,119	49
3/31/08	5/19/09	23 933	Vanguard GNMA Fund	261	258	(2)
1/31/08	5/19/09	53 982	Vanguard GNMA Fund	571	570	(1)
2/1/08	5/19/09	54 88	Vanguard GNMA Fund	573	593	20
11 30 09	12 22 09	59 549	Vanguard GNMA Fund	601	643	42
12 04 09	12 22 09	60 065	Vanguard GNMA Fund	619	649	30
12 11 09	12 22 09	72 493	Vanguard GNMA Fund	734	766	31
02 01 08	12 22 09	75 319	Vanguard GNMA Fund	766	795	29
3/17/06	7/28/09	157 947	Vanguard GNMA Fund	1,600	1,668	68
5/23/08	7/28/09	524 936	Vanguard GNMA Fund	5,354	5,543	189
9/21/06	7/28/09	542 314	Vanguard GNMA Fund	5,450	5,857	407
1/6/06	7/28/09	781 990	Vanguard GNMA Fund	7,859	8,258	399
01 06 06	11 24 09	783 582	Vanguard High Yield Corp Bond	4,764	4,200	(564)
03 23 06	11 24 09	323 625	Vanguard Inflation Protected Is	3,227	3,000	(227)
02 03 06	11 24 09	18 003	Vanguard Inflation-Protected Instl	169	164	(5)
03 20 08	11 24 09	72 716	Vanguard Inflation-Protected Instl	707	662	(44)
06 22 06	11 24 09	128 820	Vanguard Inflation-Protected Instl	1,252	1,174	(79)
06 12 09	11 24 09	0 958	Vanguard Infl-Prot Secs	11	12	1
6/19/08	5/26/09	14 955	Vanguard Infl-Prot Secs	182	187	6
9/18/08	5/26/09	77 815	Vanguard Infl-Prot Secs	940	974	34
12/27/07	5/26/09	483 6	Vanguard Infl-Prot Secs	5,750	6,055	305
12/27/06	5/26/09	490 536	Vanguard Infl-Prot Secs	6,220	6,142	(78)
3/22/07	5/26/09	774 892	Vanguard Infl-Prot Secs	8,950	9,702	752
10/20/06	5/26/09	29 429	Vanguard Instl Index	3,457	3,000	(457)
3/17/06	5/26/09	2 523	Vanguard Int Index	303	256	(47)
07 16 07	11 24 09	3 875	Vanguard Int Index	469	394	(76)
08 17 07	11 24 09	15 822	Vanguard Int Index	1,981	1,608	(373)
7/6/07	9/1/09	20 947	Vanguard Int Index	2,461	2,128	(332)

7/6/07	9/1/09	202 896	Vanguard Int Index	24,228	20,614	(3,614)
7/11/08	9/1/09	1 738	Vanguard Inter TRM Invs	15	17	2
10/31/08	9/1/09	23 035	Vanguard Inter TRM Invs	226	222	(4)
07 02 08	11 24 09	25 102	Vanguard Inter TRM Invs	241	242	1
	11 27 09	25 764	Vanguard Inter TRM Invs	249	248	(1)
	8/14/09	25 85	Vanguard Inter TRM Invs	234	249	15
	12/1/09	25 896	Vanguard Inter TRM Invs	243	250	7
	4/30/09	26 153	Vanguard Inter TRM Invs	248	252	4
12 07 09	12 08 09	26 529	Vanguard Inter TRM Invs	236	256	19
	2/28/09	597 941	Vanguard Inter TRM Invs	5,154	5,764	610
1/6/06	3/24/09	26 132	Vanguard Intm Term -Treas Adm	295	300	5
1/27/06	3/24/09	193 886	Vanguard Intm Term -Treas Adm	2,185	2,220	35
9/21/07	3/24/09	1352 494	Vanguard Int-Tm US Trs	15,670	15,970	300
5/5/06	3/24/09	0 222	Vanguard Mega Cap 300 Value	19	16	(3)
5/12/06	3/24/09	37 375	Vanguard Mega Cap 300 Value	3,400	2,636	(764)
6/23/06	3/24/09	203 435	Vanguard Mega Cap 300 Value	16,653	14,348	(2,305)
6/22/07	3/24/09	15 902	Vanguard Pacific Stock Index Instl	187	153	(35)
	2/18/09	17 962	Vanguard Pacific Stock Index Instl	222	172	(49)
	3/31/09	20 061	Vanguard Pacific Stock Index Instl	255	192	(62)
9/26/08	7/28/09	93 748	Vanguard Pacific Stock Index Instl	1,125	899	(226)
1/19/07	7/28/09	165 153	Vanguard Pacific Stock Index Instl	2,000	1,584	(416)
12/22/06	7/28/09	140 647	Vanguard REIT Index	1,475	990	(485)
12/26/06	7/28/09	799 419	Vanguard REIT Index	8,386	5,456	(2,930)
5/23/08	7/28/09	265 487	Vanguard REIT Index Fund Ins	3,512	2,400	(1,112)
10/20/06	7/28/09	1 827	Vanguard REIT Index Instl	28	17	(11)
1/6/06	7/28/09	6 7	Vanguard REIT Index Instl	93	61	(32)
12/30/05	7/28/09	10 627	Vanguard REIT Index Instl	151	97	(53)
1/27/06	7/28/09	14 719	Vanguard REIT Index Instl	136	135	(2)
7/31/08	7/21/09	16 066	Vanguard REIT Index Instl	211	147	(64)
8/29/08	7/21/09	24 146	Vanguard REIT Index Instl	176	240	65
9/30/08	7/21/09	24 702	Vanguard REIT Index Instl	332	226	(106)
9/1/06	7/21/09	38 572	Vanguard REIT Index Instl	405	384	(21)
2/22/08	1/6/09	109 729	Vanguard REIT Index Instl	1,500	1,003	(497)
9/5/08	1/6/09	127 362	Vanguard REIT Index Instl	926	1,165	239
03 17 06	11 24 09	177 504	Vanguard REIT Index Instl	2,695	1,623	(1,071)
09 05 08	11 24 09	183 206	Vanguard REIT Index Instl	2,400	1,675	(725)
???	11 24 09	201 875	Vanguard REIT Index Instl	2,800	1,846	(954)
10/20/06	9/22/09	469 945	Vanguard REIT Index Instl	4,300	4,676	376
3/17/06	9/22/09	217 007	Vanguard S/T Bond Index Signal	2,168	2,220	52
02 26 08	12 29 09	28 747	Vanguard Short Term Invest Grade Admiral	305	280	(25)
3/31/09	5/26/09	29 674	Vanguard Short Term Invest Grade Admiral	315	300	(15)
5/1/09	5/26/09	96 307	Vanguard Short Term Invest Grade Admiral	1,022	1,019	(3)
5/5/06	5/26/09	65 091	Vanguard Short-Term Bond Index	668	666	(3)
5/29/09	6/9/09	65 524	Vanguard Short-Term Bond Index	674	670	(3)
5/29/09	6/9/09	101 536	Vanguard Short-Term Bond Index	1,011	1,039	27
2/27/09	6/9/09	239 188	Vanguard Short-Term Bond Index	2,382	2,447	65
1/30/09	6/9/09	1819 618	Vanguard Short-Term Bond Index	18,069	18,615	546
5/5/06	6/9/09	2283 823	Vanguard Short-Term Bond Index	22,678	23,364	685
8/29/08	9/22/09	21 856	Vanguard Short-Term Bond Index Plus	218	225	7
6/30/08	9/22/09	871 348	Vanguard Short-Term Bond Index Plus	8,696	8,975	279
7/31/08	9/22/09	239 19	Vanguard Short-Term Fed	2,600	2,576	(24)
5/5/06	9/22/09	323 774	Vanguard Short-Term Fed	3,406	3,500	94
5/5/06	6/30/09	1105 621	Vanguard Short-Term Fed	11,631	11,908	276
12/31/08	2/24/09	534 070	Vanguard Short-Term Fed Adm	5,800	5,752	(48)
9/14/07	2/24/09	1702 128	Vanguard Short-Term Fed Adm	17,906	18,400	494
9/28/07	2/24/09	#####	Vanguard Short-Term Fed Adm	170,096	174,650	4,554
8/31/07	2/24/09	6 431	Vanguard Sh-Tm Bd Idx	65	66	1
8/31/07	2/24/09	33 416	Vanguard Sh-Tm Bd Idx	339	344	5
5/5/06	2/24/09	137 232	Vanguard Sh-Tm Bd Idx	1,392	1,412	21
11 30 09	12 15 09	1189 296	Vanguard Sh-Tm Bd Idx	12,000	12,238	238
11 28 08	12 15 09	56 402	Vanguard SHT-TRM Corp Admiral	601	598	(3)
09 30 08	12 15 09	321 221	Vanguard SHT-TRM Corp Admiral	3,411	3,408	(3)
10 31 08	12 15 09	329 257	Vanguard SHT-TRM Corp Admiral	3,500	3,493	(7)
05 05 06	12 15 09	133 249	Vanguard Small Cap - VSIIX	2,100	1,511	(589)
09 01 06	10 20 09	156 688	Vanguard Small Cap - VSIIX	2,408	1,777	(631)
7/14/02	1/6/09	236 486	Vanguard Small Cap - VSIIX	3,635	2,800	(835)
12/22/08	1/6/09	215	Vanguard Small -Cap Gr ETF	14,837	9,648	(5,189)
1/6/06	1/6/09	510	Vanguard Small -Cap Gr ETF	35,195	22,887	(12,308)

01 06 06	12 01 09	157 186	Vanguard Small Cap Value IN Instl		2,199	2,100	(99)
10 20 06	11 24 09	3 133	Vanguard Specialized - VGSNX		52	23	(29)
03 27 08	11 24 09	3 343	Vanguard Specialized - VGSNX		55	28	(28)
09 22 06	11 24 09	6 001	Vanguard Specialized - VGSNX		94	50	(44)
03 17 06	11 24 09	7 404	Vanguard Specialized - VGSNX		123	62	(61)
01 06 06	11 24 09	15 766	Vanguard Specialized - VGSNX		205	116	(89)
04 28 09	12 29 09	43 563	Vanguard Specialized - VGSNX		330	322	(8)
05 01 09	12 29 09	60 205	Vanguard Specialized - VGSNX		1,000	444	(556)
06 01 09	12 29 09	66 707	Vanguard Specialized - VGSNX		1,100	557	(543)
07 01 09	12 29 09	132 114	Vanguard Specialized - VGSNX		2,005	1,103	(902)
08 03 09	12 29 09	202 536	Vanguard Specialized - VGSNX		3,591	1,495	(2,096)
09 01 09	12 29 09	490 196	Vanguard Specialized - VGSNX		5,142	3,964	(1,178)
10 01 09	12 29 09	161 290	Vanguard Specialized Portfol		1,692	1,188	(504)
11 02 09	12 29 09	253 7	Vanguard Tot Stk Inst		9,077	4,800	(4,277)
12 01 09	12 29 09	589 932	Vanguard Total Bd Idx In		5,864	5,935	71
05 23 08	11 24 09	2 768	Vanguard Windsor		55	20	(34)
06 23 08	11 24 09	3 625	Vanguard Windsor		56	27	(30)
07 02 08	11 24 09	4 712	Vanguard Windsor		73	35	(39)
12 21 07	11 24 09	5 17	Vanguard Windsor		44	38	(6)
12 21 06	11 24 09	7 167	Vanguard Windsor		93	53	(40)
10 20 06	11 24 09	7 459	Vanguard Windsor		150	55	(95)
01 06 06	11 24 09	8 911	Vanguard Windsor		77	65	(12)
03 17 06	11 24 09	10 929	Vanguard Windsor		200	80	(120)
10/10/08	5/19/09	11 783	Vanguard Windsor		230	86	(144)
10/10/08	5/26/09	12 013	Vanguard Windsor		225	88	(137)
09 24 09	11 24 09	12 363	Vanguard Windsor		180	91	(89)
03 17 06	11 24 09	20 566	Vanguard Windsor		400	151	(249)
03 17 06	11 24 09	36 297	Vanguard Windsor		490	266	(224)
03 24 08	11 24 09	47 459	Vanguard Windsor		737	348	(389)
06 23 06	11 24 09	74 642	Vanguard Windsor		636	548	(88)
02 03 06	11 24 09	138 889	Vanguard Windsor		1,950	1,019	(931)
01 06 06	11 24 09	146 588	Vanguard Windsor		2,750	1,076	(1,674)
12 19 07	11 24 09	168 44	Vanguard Windsor		3,113	1,236	(1,876)
06 23 08	11 24 09	127 32	Vanguard-Small Cap Value Index		1,957	1,618	(339)
02 22 08	11 24 09	2075 67	Vanguard-Small Cap Value Index		29,039	26,382	(2,657)
12 05 08	11 24 09	20 179	Vangurard Index 500 Signal		1,658	1,650	(8)
10 10 08	12 22 09	115	Varian Medical Systems		3,973	4,611	638
10 31 08	12 22 09	135	Varian Medical Systems		6,375	5,413	(962)
12 05 08	12 22 09	175	Varian Medical Systems		6,515	7,017	502
12/31/08	2/24/09	2000	Varian Medical Systems		75,300	80,198	4,898
1/30/09	2/24/09	50	Verizon Communications		1,751	1,507	(244)
8/31/07	2/24/09	65	Verizon Communications		2,162	1,958	(204)
8/31/07	2/24/09	115	Verizon Communications		4,335	3,465	(870)
12/23/05	2/24/09	390 957	Virtus Opportunities	thoms	10,392	5,614	(4,778)
1/6/06	2/24/09	25	Visa Inc Class A Shares		1,307	1,763	456
9/14/07	2/17/09	45	Visa Inc Class A Shares		2,353	3,016	663
8/31/07	2/17/09	0 11	Vornado Realty Trust Com		8	5	(4)
10/27/08	3/17/09	0 209	Vornado Realty Trust Com		16	15	(1)
3/27/10	6/8/09	0 554	Vornado Realty Trust Com		42	19	(23)
10/27/08	6/8/09	0 661	Vornado Realty Trust Com		36	43	8
11 30 09	12 22 09	200000	Wachovia Bank NA	5 600% Due 03-15-16	197,806	204,000	6,194
12 11 09	12 22 09	20000	Wachovia Corp	6 150% Due 03-15-09	20,380	20,000	(380)
12 04 09	12 22 09	5000	Wal Mart Stores Inc B/e	6 875% Due 08-10-09	4,951	5,000	49
5/23/08	8/11/09	30000	Wal Mart Stores Inc B/e	6 875% Due 08-10-09	29,753	30,000	247
5/16/08	7/28/09	300	Wal-Mart		15,030	15,168	138
5/23/08	7/28/09	50	Wellpoint Inc		1	2,443	2,442
07 01 08	12 22 09	625	Wellpoint Inc		55,950	30,531	(25,419)
12/21/06	8/11/09	20	Wells Fargo & Co New		454	526	72
12/21/06	8/11/09	37	Wells Fargo & Co New		1,012	1,009	(3)
10/20/06	8/11/09	50	Wells Fargo & Co New		1,272	1,360	88
9/22/06	8/11/09	90	Wells Fargo & Co New		2,290	1,896	(393)
3/17/06	8/11/09	250	Wells Fargo & Co New		6,360	6,445	85
9/24/08	7/28/09	266	Wells Fargo & Co New		7,172	6,992	(179)
12/24/08	7/28/09	1800	Wells Fargo &Co	Molysr	53,685	53,961	276
1/19/07	7/28/09	900	Wells Fargo WFC	kuhnecg	24,984	25,460	476
12/26/06	7/28/09	19 131	Western Asset Inflation Indexed Plus bond		213	185	(28)
12/21/06	7/28/09	74 223	Western Asset Inflation Indexed Plus bond		824	718	(106)
10/10/08	9/1/09	351 320	Western Asset Inflation Indexed Plus bond		3,896	3,398	(498)

10/10/08	6/9/09	260 351	William Blair Intl Gr N	8,300	3,299	(5,001)
05 23 08	11 24 09	261 747	William Blair Intl Gr N	8,300	3,316	(4,984)
07 01 08	11 24 09	322 205	William Blair Intl Gr N	8,300	4,082	(4,218)
	9/16/09	167 415	William Blair Sm-Cp Gr N	4,100	2,031	(2,069)
	2/26/09	170 549	William Blair Sm-Cp Gr N	4,100	2,069	(2,031)
7/31/09	8/21/09	196 078	William Blair Sm-Cp Gr N	4,100	2,378	(1,722)
	10 15 2009	665 84	Wisdom Tree India Earning	666	1,494	828
11 13 09	11 18 09	135	Yahoo Inc	3,819	1,968	(1,851)
2/29/08	1/13/09	180	Yahoo Inc	2,747	2,624	(122)
1/31/08	1/13/09	1000	Yahoo Inc	28,290	14,580	(13,710)
				13,719,199	11,834,337	(1,884,862)