



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.Otherwise,
print
or type.See Specific
Instructions.

Name of foundation

AVIVA CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

699 WALNUT STREET

20TH FLOOR

City or town, state, and ZIP code

DES MOINES, IA 50309

A Employer identification number

42-1431745

B Telephone number (see page 10 of the instructions)

(515) 557-3910

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) ▶ \$ 1,705,273.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	250,000.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	53,327.	53,327.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-367,512.			
b	Gross sales price for all assets on line 6a 822,487.				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	984.	984.		ATCH 2
12	Total. Add lines 1 through 11	-63,201.	54,311.		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 10 of the instructions)				
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) [3]	302.	302.		
24	Total operating and administrative expenses. Add lines 13 through 23	302.	302.		
25	Contributions, gifts, grants paid	1,149,660.			1,149,660.
26	Total expenses and disbursements. Add lines 24 and 25	1,149,962.	302.		1,149,660.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-1,213,163.			
b	Net investment income (if negative, enter -0-)		54,009.		
c	Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2009)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	37,457.	14,294.	14,294.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) <u>ATCH 4</u>	3,131,408.	1,941,408.	1,690,979.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation ▶ (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis Less accumulated depreciation ▶ (attach schedule)			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,168,865.	1,955,702.	1,705,273.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,168,865.	1,955,702.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	3,168,865.	1,955,702.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,168,865.	1,955,702.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,168,865.
2 Enter amount from Part I, line 27a	2	-1,213,163.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,955,702.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,955,702.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-367,512.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3		
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. </div> </div>					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,055,818.	3,277,069.	0.322184
2007	1,131,338.	5,389,709.	0.209907
2006	869,373.	5,477,838.	0.158707
2005	664,802.	5,728,958.	0.116042
2004	649,633.	5,691,213.	0.114147
2 Total of line 1, column (d)			2 0.920987
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.184197
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 1,924,932.
5 Multiply line 4 by line 3			5 354,567.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 540.
7 Add lines 5 and 6			7 355,107.
8 Enter qualifying distributions from Part XII, line 4			8 1,149,660.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	540.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	540.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	540.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 2,159.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,159.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,619.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 1,619. Refunded		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IA,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="text"/> WWW.AVIVAUSA.COM				
14	The books are in care of <input type="text"/> KAREN LYNN	Telephone no	<input type="text"/> 515-557-3910	
	Located at <input type="text"/> 699 WALNUT STREET DES MOINES, IA	ZIP + 4	<input type="text"/> 50309	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>	and enter the amount of tax-exempt interest received or accrued during the year <input type="text"/> 15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/>	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years <input type="text"/>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) <input type="checkbox"/>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/>	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 5		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,786,392.
b	Average of monthly cash balances	1b	167,854.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,954,246.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,954,246.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	29,314.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,924,932.
6	Minimum investment return. Enter 5% of line 5	6	96,247.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	96,247.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	540.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	540.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	95,707.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	95,707.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	95,707.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,149,660.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,149,660.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	540.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,149,120.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				95,707.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004	366,632.			
b From 2005	383,760.			
c From 2006	623,133.			
d From 2007	867,837.			
e From 2008	894,047.			
f Total of lines 3a through e	3,135,409.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,149,660.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				95,707.
e Remaining amount distributed out of corpus	1,053,953.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,189,362.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	366,632.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,822,730.			
10 Analysis of line 9				
a Excess from 2005	383,760.			
b Excess from 2006	623,133.			
c Excess from 2007	867,837.			
d Excess from 2008	894,047.			
e Excess from 2009	1,053,953.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 6

b The form in which applications should be submitted and information and materials they should include

AN ORGANIZATION FACT SHEET IS AVAILABLE UPON REQUEST.

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a <i>Paid during the year</i> ATTACHMENT 7				
Total. ► 3a				1,149,660.
b <i>Approved for future payment</i>				
Total. ► 3b				

Form 990-PF (2009)

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust*

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-367,512.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	-367,512.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		
14 Net long-term gain or (loss):			
a Total for year	14a		-367,512.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-367,512.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	(3,000)
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23.	25		
26 Subtract line 25 from line 24.	26		
27 Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31. <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)		30	
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31	
32 Add lines 30 and 31		32	
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

Schedule D (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 600 SH ISHARES BARCLAYS AGG BD FD	02/08/2007	02/13/2009	61,073.	59,976.	1,097.
200 SH ISHARES BARCLAYS AGG BD FD	02/08/2007	10/13/2009	20,858.	19,992.	866.
700 SH ISHARES BARCLAYS AGG FD	02/08/2007	11/04/2009	72,848.	69,972.	2,876.
50 SH ISHARES BARCLAYS AGG BD FD	03/26/2007	11/04/2009	5,203.	5,033.	170.
800 SH ISHARES MSCI EAFE FUND	12/07/2006	02/13/2009	30,942.	58,965.	-28,023.
50 SH ISHARES MSCI EAFE FUND	12/07/2006	02/13/2009	1,934.	3,685.	-1,751.
650 SH ISHARES MSCI EAFE FUND	02/13/2007	02/13/2009	25,141.	48,896.	-23,755.
600 SH ISHARES MSCI EAFE FUND	02/13/2007	04/14/2009	24,204.	45,134.	-20,930.
900 SH ISHARES MSCI EAFE FUND	05/01/2007	04/14/2009	36,306.	71,417.	-35,111.
250 SH ISHRES MSCI EAFE FUND	05/01/2007	05/13/2009	10,935.	19,838.	-8,903.
400 SH ISHARES RUSSELL 1000 GR INDEX	02/27/2007	06/17/2009	16,164.	22,124.	-5,960.
225 SH ISHARES RUSSELL MIDCAP INDX FD	01/12/2007	06/17/2009	14,404.	22,881.	-8,477.
480 SH STANDARD & POORS DEP RECPTS	07/26/2007	05/13/2009	42,785.	70,556.	-27,771.
600 SH STANDARD & POORS DEP RECPTS	07/26/2007	05/21/2009	53,089.	88,195.	-35,106.
670 SH STANDARD & POORS DEP RECPT	07/26/2007	06/11/2009	63,673.	98,485.	-34,812.
130 SH STANDARD & POORS DEP RECPTS	07/27/2007	06/11/2009	12,355.	19,162.	-6,807.
1570 SH STANDARD & POORS DEP RECPTS	07/27/2007	06/17/2009	143,071.	231,420.	-88,349.
30 SH STANDARD & POORS DEP RECPT	10/29/2007	06/17/2009	2,734.	4,631.	-1,897.
225 SH STANDARD & POORS DEP RECPTS	10/29/2007	06/22/2009	20,073.	34,736.	-14,663.
200 SH STANDARD & POORS DEP RECPTS	10/29/2007	08/14/2009	19,903.	30,876.	-10,973.
220 SH STANDARD & POORS MIDCAP 400	12/22/2006	03/20/2009	18,557.	14,080.	4,477.
230 SH STANDARD & POORS MIDCAP 400	12/22/2006	06/11/2009	25,064.	14,720.	10,344.
350 SH STANDARD & POORS MIDCAP 400	12/22/2006	06/17/2009	36,298.	22,400.	13,898.
150 SH STANDARD & POORS MIDCAP 400	04/03/2008	06/17/2009	15,556.	22,134.	-6,578.
1200 SH VANGUARD INTL EQUITY INDEX FD	08/17/2007	02/13/2009	34,050.	63,112.	-29,062.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2009

Employer identification number

42-1431745

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	-367,512.
--	-----------

Schedule D-1 (Form 1041) 2009

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization AVIVA CHARITABLE FOUNDATION

Employer identification number
42-1431745**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ 250,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
61,073.		600 SH ISHARES BARCLAYS AGG BD FD PROPERTY TYPE: SECURITIES 59,976.				P	02/08/2007 1,097.	02/13/2009
20,858.		200 SH ISHARES BARCLAYS AGG BD FD PROPERTY TYPE: SECURITIES 19,992.				P	02/08/2007 866.	10/13/2009
72,848.		700 SH ISHARES BARCLAYS AGG FD PROPERTY TYPE: SECURITIES 69,972.				P	02/08/2007 2,876.	11/04/2009
5,203.		50 SH ISHARES BARCLAYS AGG BD FD PROPERTY TYPE: SECURITIES 5,033.				P	03/26/2007 170.	11/04/2009
30,942.		800 SH ISHARES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 58,965.				P	12/07/2006 -28,023.	02/13/2009
1,934.		50 SH ISHARES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 3,685.				P	12/07/2006 -1,751.	02/13/2009
25,141.		650 SH ISHARES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 48,896.				P	02/13/2007 -23,755.	02/13/2009
24,204.		600 SH ISHARES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 45,134.				P	02/13/2007 -20,930.	04/14/2009
36,306.		900 SH ISHARES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 71,417.				P	05/01/2007 -35,111.	04/14/2009
10,935.		250 SH ISHRES MSCI EAFE FUND PROPERTY TYPE: SECURITIES 19,838.				P	05/01/2007 -8,903.	05/13/2009
16,164.		400 SH ISHARES RUSSELL 1000 GR INDEX PROPERTY TYPE: SECURITIES 22,124.				P	02/27/2007 -5,960.	06/17/2009
14,404.		225 SH ISHARES RUSSELL MIDCAP INDX FD PROPERTY TYPE: SECURITIES 22,881.				P	01/12/2007 -8,477.	06/17/2009

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
42,785.		480 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES 70,556.				P	07/26/2007 -27,771.	05/13/2009
TOTAL GAIN(LOSS)								
53,089.		600 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES 88,195.				P	07/26/2007 -35,106.	05/21/2009
63,673.		670 SH STANDARD & POORS DEP RECPT PROPERTY TYPE: SECURITIES 98,485.				P	07/26/2007 -34,812.	06/11/2009
12,355.		130 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES 19,162.				P	07/27/2007 -6,807.	06/11/2009
143,071.		1570 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES 231,420.				P	07/27/2007 -88,349.	06/17/2009
2,734.		30 SH STANDARD & POORS DEP RECPT PROPERTY TYPE: SECURITIES 4,631.				P	10/29/2007 -1,897.	06/17/2009
		225 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES				P	10/29/2007	06/22/2009

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
20,073.		34,736.					-14,663.	
TOTAL GAIN (LOSS)								
19,903.		200 SH STANDARD & POORS DEP RECPTS PROPERTY TYPE: SECURITIES 30,876.				P	10/29/2007	08/14/2009 -10,973.
18,557.		220 SH STANDARD & POORS MIDCAP 400 PROPERTY TYPE: SECURITIES 14,080.				P	12/22/2006	03/20/2009 4,477.
25,064.		230 SH STANDARD & POORS MIDCAP 400 PROPERTY TYPE: SECURITIES 14,720.				P	12/22/2006	06/11/2009 10,344.
36,298.		350 SH STANDARD & POORS MIDCAP 400 PROPERTY TYPE: SECURITIES 22,400.				P	12/22/2006	06/17/2009 13,898.
15,556.		150 SH STANDARD & POORS MIDCAP 400 PROPERTY TYPE: SECURITIES 22,134.				P	04/03/2008	06/17/2009 -6,578.
		1200 SH VANGUARD INTL EQUITY INDEX FD PROPERTY TYPE: SECURITIES				P	08/17/2007	02/13/2009

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
34,050.		63,112.					-29,062.	
TOTAL GAIN (LOSS)								
9,160.		300 SH VANGUARD INTL EQUITY INDEX FD PROPERTY TYPE: SECURITIES 15,778.				P	08/17/2007	04/14/2009
6,107.		200 SH VANGUARD INTL EQUITY INDEX FD PROPERTY TYPE: SECURITIES 11,801.				P	09/26/2007	04/14/2009
TOTAL GAIN (LOSS)							<u>-367,512.</u>	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST	53,327.	53,327.
TOTAL	53,327.	53,327.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AOL SETTLEMENT	984.	984.
TOTALS	984.	984.

ATTACHMENT 3

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT EXPENSES	302.	302.
TOTALS	302.	302.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 4

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ISHARES BARCLAYS AGG BD FD	483,460.	490,153.
THE FINANCIAL SEL SECT SPDR FD	120,458.	72,000.
MSCI EAFE FUND	139,690.	96,740.
RUSSELL MIDCAP INDEX FUND	140,359.	121,702.
S&P DEP RECEIPTS	512,992.	442,974.
S & P MIDCAP 400	216,320.	197,610.
VANGUARD INTL EQUITY INDEX FUN	91,849.	65,415.
RUSSELL 1000 GR INDEX	236,280.	204,385.
TOTALS	1,941,408.	1,690,979.

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

DIRECTOR

MARK V. HEITZ
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

TREASURER

BRENDA CUSHING
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

PRESIDENT

CHRISTOPHER J. LITTLEFIELD
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

VICE PRESIDENT

KAREN LYNN
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

SECRETARY

MICHAEL H. MILLER
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5 (CONT'D)

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

LINDA OLSON
699 WALNUT STREET
20TH FLOOR
DES MOINES, IA 50309

ASSISTANT SECRETARY

GRAND TOTALS

FORM 990-PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

KAREN LYNN
699 WALNUT STREET, 20TH FLOOR
DES MOINES, IA 50309
515-557-3910

FORM 990DP, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MCKINLEY SCHOOL 1610 SE 6TH DES MOINES, IA 50315	NONE EXEMPT	PROVIDE BOOTS AND COATS FOR STUDENTS	24,643
ATTACHMENT SEE ATTACHMENT 8	NONE	VARIOUS - SEE ATTACHED SCHEDULE.	1,125,017
TOTAL CONTRIBUTIONS PAID			1,149,660

AmerUs Group Charitable Foundation
Grants and Contributions Paid During the Year
EIN # 42-1431745
Form 990 PF, Part XV
For Calendar Year 2009

Recipient Name and Address	Address	Amount	Relationship to Contributor	Organizational Status of Recipient	Purpose of Grant or Contribution
AID Greater Des Moines	Des Moines, IA 50309	300	none	exempt	Health & Human Services
American Cancer Society	Cedar Rapids, IA 52402	25,000	none	exempt	Health & Human Services
American Heart Association	Des Moines, IA 50314-2527	5,000	none	exempt	Health & Human Services
American Red Cross-Central Iowa Chapter	Des Moines, IA 50309	1,000	none	exempt	Health & Human Services
Arthritis Foundation	Des Moines, IA 50322-4724	4,875	none	exempt	Health & Human Services
Augsburg College	Minneapolis, MN 55454-1350	500	none	exempt	Education
Ballet Des Moines	Des Moines, IA 50309-1912	2,500	none	exempt	Arts & Culture
Best Buddies Iowa	Des Moines, IA 50325	2,500	none	exempt	Health & Human Services
Big Brothers Big Sisters	Clive, IA 50325-6900	7,500	none	exempt	Health & Human Services
Blank Park Zoo	Des Moines, IA 50315-6667	5,000	none	exempt	Civic & Community
Bravo Greater Des Moines Foundation	Des Moines, IA	5,000	none	exempt	Arts & Culture
Butler University	Indianapolis, IN 46208	1,150	none	exempt	Education
Central College	Pella, IA 50219	100	none	exempt	Education
Children and Families of Iowa	Des Moines, IA 50321	100	none	exempt	Health & Human Services
Childrens Tumor Foundation	New York, NY 10005	500	none	exempt	Health & Human Services
Chrysalis Foundation	Des Moines, IA 50309	5,000	none	exempt	Education
Civic Center of Greater Des Moines	Des Moines, IA 50309	32,000	none	exempt	Arts & Culture
Civic Music Association	Des Moines, IA 50314-1676	2,000	none	exempt	Arts & Culture
College of Mount St Joseph	Cincinnati, OH 45233	50	none	exempt	Education
Colorado College	Colorado Springs, CO 80903	50	none	exempt	Education
Cornell University	Chicago, IL 60602-2711	1,000	none	exempt	Education
Dana College	Blair, NE 68008	500	none	exempt	Education
De Pauw University	Greencastle, IN 46135-0037	150	none	exempt	Education
Des Moines Art Center	Des Moines, IA 50312-2099	15,000	none	exempt	Arts & Culture
Des Moines Arts Festival	Des Moines, IA 50309	20,000	none	exempt	Arts & Culture
Des Moines Metro Opera, Inc	Indianola, IA 50125	17,500	none	exempt	Arts & Culture
Des Moines Public Library	Des Moines, IA	80,000	none	exempt	Civic & Community
Des Moines Public Schools	Des Moines, IA	9,800	none	exempt	Education
Des Moines Symphony	Des Moines, IA	12,000	none	exempt	Arts & Culture
Des Moines University	Des Moines, IA	5,000	none	exempt	Education
Doane College	Crete, NE 68333	250	none	exempt	Education
Drake University	Des Moines, IA 50311-4505	1,100	none	exempt	Education
Duke University,	Durham, NC 27708-0581	50	none	exempt	Education
Embrace Iowa	Des Moines, IA 50309	10,000	none	exempt	Education
Fairfield University	Fairfield, CT 06824	150	none	exempt	Education
Franklin College	Franklin, IN 46131-2623	350	none	exempt	Education
Grand View College	Des Moines, IA 50316	1,100	none	exempt	Education
Greater Des Moines Community Foundation	Des Moines, IA 50309	75,000	none	exempt	Civic & Community
Heart Connection	Des Moines, IA	2,500	none	exempt	Health & Human Services
Hope Ministries	Des Moines, IA 50322	5,000	none	exempt	Health & Human Services
Hospice of Central Iowa	West Des Moines, IA 50265-4730	7,500	none	exempt	Health & Human Services
Huntington University	Huntington, IN 46750	100	none	exempt	Education
Indiana State University	Terre Haute, IN 47809-1202	150	none	exempt	Education
Indiana University Foundation	Bloomington, IN 47402	350	none	exempt	Education
Iowa College Foundation	Des Moines, IA 50309-2396	7,000	none	exempt	Education
Iowa Legal Aid	Des Moines, IA 50314	2,500	none	exempt	Education
Iowa Natural Heritage Foundation	Des Moines, IA 50309-2321	2,500	none	exempt	Health & Human Services
Iowa State University Foundation	Ames, IA 50014-7164	2,475	none	exempt	Civic & Community
Ins Iowa Reading Inform	Des Moines, IA 50313	500	none	exempt	Education
Kansas State University	Manhattan, KS 66502	1,500	none	exempt	Education
Lawrence University	Appleton, WI 54911	100	none	exempt	Education
Leukemia & Lymphoma Society of	Des Moines, IA 50325	2,000	none	exempt	Health & Human Services
Living History Farms	Urbandale, IA 50322	3,000	none	exempt	Civic & Community
Luther College	Decorah, IA 52101	1,000	none	exempt	Education
Macalaster College	St Paul, MN 55105	250	none	exempt	Education
Make a Wish Iowa Chapter	Urbandale, IA 50322	7,450	none	exempt	Health & Human Services
Meals on Wheels of Shawnee & Jefferson Counties	Topeka, KS 66604	1,500	none	exempt	Health & Human Services

AmerUs Group Charitable Foundation
Grants and Contributions Paid During the Year
EIN # 42-1431745
Form 990 PF, Part XV
For Calendar Year 2009

Recipient Name and Address	Address	Amount	Relationship to Contributor	Organizational Status of Recipient	Purpose of Grant or Contribution
Mentor Iowa	Des Moines, IA 50312	100	none	exempt	Health & Human Services
Metro Arts Alliance	Des Moines, IA 50309	3,000	none	exempt	Arts & Culture
Morningside College	Sox City, IA 51106-1717	125	none	exempt	Education
Northern Illinois University	DeKalb, IL 60115	1,000	none	exempt	Education
Oakridge Neighborhood Services	Des Moines, IA 50314	1,000	none	exempt	Health & Human Services
Ogala Lakota College	Kyle, SD 57752-0490	100	none	exempt	Education
Orchard Place Foundation	Des Moines, IA 50312	10,000	none	exempt	Health & Human Services
Peru State College	Peru, NE 68421	300	none	exempt	Education
Polk County Housing Trust Fund	Des Moines, IA 50309	20,000	none	exempt	Health & Human Services
Retired & Senior Volunteer Prog	Smithtown, NY 11787	2,000	none	exempt	Health & Human Services
Ronald McDonald House	Des Moines, IA 50314	1,350	none	exempt	Education
Rutgers University Foundation	New Brunswick, NJ 08901	750	none	exempt	Education
Saint Joseph's College	Rensselaer, IN 47978	50	none	exempt	Education
Saint Mary's College	Notre Dame, IN 46556	500	none	exempt	Education
Salisbury House	Des Moines, IA 50312	15,000	none	exempt	Education
Science Center of Iowa	Des Moines, IA 50312-2499	10,000	none	exempt	Civic & Community
Simpson College	Indianola, IA 50125	5,000	none	exempt	Arts & Culture
Topeka Symphony	Topeka, KS 66601-2206	5,000	none	exempt	Arts & Culture
Truman State University	Kirkville, MO 63501	50	none	exempt	Education
United Negro College Fund	Fairfax, VA 22031-8044	250	none	exempt	Education
United Way of Central Iowa	Des Moines, IA 50314	530,303	none	exempt	Health & Human Services
United Way of Greater Topeka	Topeka, KS 66604-4057	53,578	none	exempt	Health & Human Services
United Way of Long Island	Deer Park, NY 11729-5780	6,436	none	exempt	Health & Human Services
University of Arizona Foundation	Tucson, AZ 85721-0109	1,000	none	exempt	Education
University of California Davis	Davis, CA 95616	100	none	exempt	Education
University of Indianapolis	Indianapolis, IN 46208	50	none	exempt	Education
University of Iowa	Iowa City, IA 52244-4550	1,600	none	exempt	Education
University of Iowa Foundation	Iowa City, IA 52244-4550	6,300	none	exempt	Education
University of Iowa-Belin Blank	Iowa City, IA 52244-4550	10,000	none	exempt	Education
University of Minnesota at Duluth	Duluth, MN 55812	50	none	exempt	Education
University of Northern Iowa	Cedar Falls, IA 50614-0282	2,774	none	exempt	Education
University of Notre Dame	Notre Dame, IN 46556	2,000	none	exempt	Education
University of San Diego	San Diego, CA 92110	500	none	exempt	Education
University of Toledo	Toledo, OH 43606-3390	1,000	none	exempt	Education
University of Wisconsin Madison	Madison, WI 53706	2,100	none	exempt	Education
University of Wisconsin LaCrosse	La Crosse, WI 54601-3742	50	none	exempt	Education
Utah Valley State College	Orem, UT 84058	100	none	exempt	Education
Vocal Arts Ensemble of Greater Des Moines	Urbandale, IA 50322	750	none	exempt	Arts & Culture
Wake Forest University	Winston-Salem, NC 27106	1,100	none	exempt	Education
Warburg College	Waverly, IA 50677	1,000	none	exempt	Education
Washburn Endowment Assoc	Topeka, KS 66621	1,200	none	exempt	Education
Washburn Womens Alliance	Topeka, KS 66621	1,500	none	exempt	Education
Wesley Retirement Services	Des Moines, IA 50312	1,000	none	exempt	Education
West Des Moines Community Celebration	West Des Moines, IA	25,000	none	exempt	Health & Human Services
YMCA of Greater Des Moines	Des Moines, IA 50309	2,000	none	exempt	Civic & Community
Youth Law Center	Des Moines, IA 50309	200	none	exempt	Health & Human Services
YWCA of Topeka	Topeka, KS 66612-1392	500	none	exempt	Civic & Community
Total Charitable Contributions for 2009		1,123,916			