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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

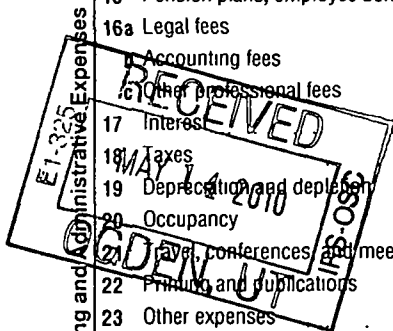
Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ATHWIN FOUNDATION		A Employer identification number 41-6021773
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number 952-915-6165
	City or town, state, and ZIP code EDINA, MN 55424		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,901,183.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		271,476.	271,476.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,135.			
b Gross sales price for all assets on line 6a		1,156,855.			
7 Capital gain net income (from Part IV, line 2)			1,135.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		272,611.	272,611.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		3,300.	1,100.		2,200.
c Other professional fees STMT 3		29,799.	29,799.		0.
17 Interest					
18 Taxes STMT 4		1,900.	909.		25.
19 Depreciation and depletion					
20 Occupancy		7,041.	0.		7,041.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		86,879.	0.		86,879.
24 Total operating and administrative expenses. Add lines 13 through 23		128,919.	31,808.		96,145.
25 Contributions, gifts, grants paid		453,000.			453,000.
26 Total expenses and disbursements. Add lines 24 and 25		581,919.	31,808.		549,145.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-309,308.			
b Net investment income (if negative, enter -0-)			240,803.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,254.	916.	916.
	2 Savings and temporary cash investments	937,159.	79,805.	79,805.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	4,215,733.	3,802,291.	4,817,789.
	c Investments - corporate bonds STMT 7	1,996,480.	2,961,993.	3,001,972.
Liabilities	11 Investments - land, buildings, and equipment, basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 8)	388.	701.	701.
	16 Total assets (to be completed by all filers)	7,155,014.	6,845,706.	7,901,183.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
and complete lines 24 through 26 and lines 30 and 31.				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	7,155,014.	6,845,706.		
30 Total net assets or fund balances	7,155,014.	6,845,706.		
31 Total liabilities and net assets/fund balances	7,155,014.	6,845,706.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,155,014.
2 Enter amount from Part I, line 27a	2	-309,308.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,845,706.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,845,706.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 300 SHS MATERIALS SELECT SECTOR SPDR	P	01/05/09	09/16/09
b US BANK - SEE ATTACHED STMT CG-1	P	VARIOUS	VARIOUS
c CLASS ACTION SETTLEMENT	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,649.		7,067.	2,582.
b 1,145,123.		1,148,653.	-3,530.
c 2,083.			2,083.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,582.
b			-3,530.
c			2,083.
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	1,135.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	659,954.	8,884,593.	.074281
2007	727,858.	10,213,041.	.071268
2006	1,081,344.	10,317,793.	.104804
2005	636,515.	10,198,571.	.062412
2004	624,363.	10,317,840.	.060513

2 Total of line 1, column (d)	2	.373278
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.074656
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	7,360,742.
5 Multiply line 4 by line 3	5	549,524.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,408.
7 Add lines 5 and 6	7	551,932.
8 Enter qualifying distributions from Part XII, line 4	8	549,145.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	4,816.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,816.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,816.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,800.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,016.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address N/A				
14	The books are in care of BRUCE BEAN	Telephone no.	952-915-6165	
	Located at 5200 WILLSON ROAD, SUITE 307, MINNEAPOLIS, MN	ZIP+4	55424	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELEANOR NOLAN	TRUSTEE			
12400 GOOCH HILL RD				
GALLATIN GATEWAY, MT 59730	0.50	0.	0.	0.
BRUCE W. BEAN	TRUSTEE			
1720 KNOX AVE S				
MINNEAPOLIS, MN 55403	2.00	0.	0.	0.
MARY F. BEAN	TRUSTEE			
1720 KNOX AVE S				
MINNEAPOLIS, MN 55403	2.00	0.	0.	0.
GLEN BEAN	TRUSTEE			
P.O BOX 1426				
MINNETONKA, MN 55345	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,999,791.
b	Average of monthly cash balances	1b	473,044.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	7,472,835.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,472,835.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	112,093.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,360,742.
6	Minimum investment return. Enter 5% of line 5	6	368,037.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	368,037.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	4,816.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,816.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	363,221.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	363,221.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	363,221.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	549,145.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	549,145.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	549,145.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				363,221.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	113,813.			
b From 2005	132,580.			
c From 2006	575,738.			
d From 2007	226,386.			
e From 2008	221,256.			
f Total of lines 3a through e	1,269,773.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 549,145.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				363,221.
e Remaining amount distributed out of corpus	185,924.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,455,697.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	113,813.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,341,884.			
10 Analysis of line 9:				
a Excess from 2005	132,580.			
b Excess from 2006	575,738.			
c Excess from 2007	226,386.			
d Excess from 2008	221,256.			
e Excess from 2009	185,924.			

Part XIV. Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV • **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SCHEDULE ATTACHED				453,000.
Total				▶ 3a 453,000.
b Approved for future payment NONE				
Total				▶ 3b 0.

Part XVII.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		


Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's signature 

KRISTIN A. ALINDER, CPA

Date

05/05/10

☐ Check if self-employed

Preparer's identifying number

Firm's name (or yours if self-employed),
address, and ZIP code

BAKER TILLY VIRCHOW KRAUSE, LLP
225 S. 6TH ST. STE. 2300
MINNEAPOLIS, MN 55402

EIN ►

Phone no. (612) 876-4500

Form **990-PF** (2009)

ATHWIN FOUNDATION
2009 CONTRIBUTIONS

<u>Recipient Name/Address</u>	<u>Relationship Foundation Major or Substantial Contributor</u>	<u>Foundation Status of Recipient</u>	<u>Purpose of Grant/ Contribution</u>	<u>Amount</u>
Admission Possible St. Paul, MN	NA	Public	General Operating	\$ 5,000
Aeon Minneapolis, MN	NA	Public	General Operating	\$ 15,000
American Composers Forum St Paul, MN	NA	Public	General Operating	\$ 10,000
Blake School Minneapolis, MN	NA	Public	Program Support	\$ 5,000
Bridge, The Minneapolis, MN	NA	Public	General Operating	\$ 5,000
Bridging, Inc. Bloomington, MN	NA	Public	General Operating	\$ 3,000
Bursiness, Arts & Recreation Center, Inc. Windom, MN	NA	Public	General Operating	\$ 5,000
Cantus Male Vocal Ensemble Minneapolis, MN	NA	Public	General Operating	\$ 5,000
Charities Review Council St Paul, MN	NA	Public	General Operating	\$ 7,000
Children's Dental Services Minneapolis, MN	NA	Public	General Operating	\$ 10,000
Como Friends St Paul, MN	NA	Public	General Operating	\$ 5,000
Compatible Technology International, Inc. St. Paul, MN	NA	Public	General Operating	\$ 3,500

**ATHWIN FOUNDATION
2009 CONTRIBUTIONS**

Concordia University St. Paul, MN	NA	Public	Program Support	\$	25,000
Cuyuna Range Youth Center Crosby, MN	NA	Public	Capital Support	\$	3,000
Eco Education St Paul, MN	NA	Public	General Operating	\$	2,000
Family & Children's Service Minneapolis, MN	NA	Public	General Operating	\$	10,000
Friends of the Minnesota Sinfonia Minneapolis, MN	NA	Public	Project Support	\$	5,000
Great River Greening St Paul, MN	NA	Public	General Operating	\$	4,000
Greater Minneapolis Council of Churches Minneapolis, MN	NA	Public	Project Support	\$	7,000
Greater Minneapolis Crisis Nursery Golden Valley, MN	NA	Public	General Operating	\$	5,000
Groves Academy St. Louis Park, MN	Board Member	Public	Capital Support	\$	50,000
Guthrie Theater Minneapolis, MN	NA	Public	General Operating	\$	2,000
Habitat for Humanity of South Central MN Mankato, MN	NA	Public	Project Support	\$	2,000
Hartley Nature Center Duluth, MN	NA	Public	General Operating	\$	10,000
Hearing & Service Dogs of Minnesota Minneapolis, MN	NA	Public	General Operating	\$	4,000

**ATHWIN FOUNDATION
2009 CONTRIBUTIONS**

Hill Museum & Manuscript Library Collegeville, MN	NA	Public	General Operating	\$	10,000
International Institute of Minnesota St. Paul, MN	NA	Public	General Operating	\$	2,000
Jungle Theater Minneapolis, MN	NA	Public	General Operating	\$	2,000
Kinship of Aitkin County Aitkin, MN	NA	Public	General Operating	\$	3,000
Lee Carlson Center Fridley, MN	NA	Public	General Operating	\$	8,000
The Link Minneapolis, MN	NA	Public	General Operating	\$	3,000
MAP for Nonprofits St. Paul, MN	NA	Public	General Operating	\$	7,000
Minneapolis College of Art and Design Minneapolis, MN	Life Trustee	Public	General Operating	\$	18,000
Minnehaha Academy Minneapolis, MN	NA	Public	General Operating	\$	5,000
MACC Alliance of Connected Communities Minneapolis, MN	NA	Public	General Operating	\$	4,000
Minnesota Assistance Council for Veterans St. Paul, MN	NA	Public	General Operating	\$	2,500
Minnesota Chorale Minneapolis, MN	NA	Public	General Operating	\$	6,000
Minnesota Conservation Corps Maplewood, MN	NA	Public	General Operating	\$	2,500

**ATHWIN FOUNDATION
2009 CONTRIBUTIONS**

Minnesota Council on Economic Education St Paul, MN	NA	Public	General Operating	\$	3,000
Minnesota Historical Society St Paul, MN	Life Trustee	Public	Program Support	\$	8,000
Minnesota International Center Minneapolis, MN	NA	Public	General Operating	\$	3,000
Minnesota Land Trust St. Paul, MN	NA	Public	General Operating	\$	2,000
Minnesota Orchestra Association Minneapolis, MN	NA	Public	General Operating	\$	3,500
Native American Community Clinic Minneapolis, MN	NA	Public	Project Support	\$	2,000
The Nature Conservancy Minneapolis, MN	NA	Public	Program Support	\$	5,000
Neighborhood Involvement Program Minneapolis, MN	NA	Public	General Operating	\$	10,000
Oasis/Share a Meal Little Falls, MN	NA	Public	General Operating	\$	3,000
Opportunity Partners Minnetonka, MN	NA	Public	General Operating	\$	8,000
Page Education Foundation Minneapolis, MN	NA	Public	General Operating	\$	3,000
Parks & Trails Council of MN St Paul, MN	NA	Public	General Operating	\$	1,000
Planned Parenthood of MN, ND, SD Minneapolis, MN	NA	Public	Program Support	\$	5,000

**ATHWIN FOUNDATION
2009 CONTRIBUTIONS**

Plymouth Christian Youth Center Minneapolis, MN	NA	Public	General Operating	\$	4,000
Plymouth Church Neighborhood Foundation	NA	Public	General Operating	\$	3,000
Project for Pride in Living Minneapolis, MN	NA	Public	General Operating	\$	15,000
Project SUCCESS Minneapolis, MN	NA	Public	General Operating	\$	5,000
The Reading Center Rochester, MN	NA	Public	Project Support	\$	6,000
Redeemer Center for Life Minneapolis, MN	NA	Public	General Operating	\$	10,000
The Rose Ensemble St. Paul, MN	NA	Public	General Operating	\$	4,000
Safe Haven Shelter Shakopee, MN	NA	Public	General Operating	\$	5,000
St. David's Center Minnetonka, MN	NA	Public	General Operating	\$	5,000
Second Harvest Heartland St Paul, MN	NA	Public	General Operating	\$	5,000
Simpson Housing Services Minneapolis, MN	NA	Public	General Operating	\$	3,000
Stages Theatre Company Hopkins, MN	NA	Public	General Operating	\$	3,000
Standish-Ericsson Neighborhood Assoc. Minneapolis, MN	NA	Public	Project Support	\$	3,000
Sugarloaf North Shore Stewardship Assoc. Duluth, MN	NA	Public	General Operating	\$	2,000

**ATHWIN FOUNDATION
2009 CONTRIBUTIONS**

990 PF

Texas Water Mission San Antonio, TX	NA	Public	General Operating	\$	6,000
VocalEssence Minneapolis, MN	NA	Public	General Operating	\$	5,000
Voyageurs National Park Association Minneapolis, MN	NA	Public	General Operating	\$	5,000
Washburn Center for Children Minneapolis, MN	NA	Public	General Operating	\$	10,000
Westminister Counseling Center Minneapolis, MN	NA	Public	General Operating	\$	3,000
YWCA of Duluth Duluth, MN	NA	Public	General Operating	\$	4,000
YWCA of Minneapolis Minneapolis, MN	NA	Public	General Operating	\$	3,000
YouthCARE Minneapolis, MN	NA	Public	Program Support	\$	7,000
			TOTAL	\$	453,000

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
US BANK	132,259.	0.	132,259.	
US BANK	139,217.	0.	139,217.	
TOTAL TO FM 990-PF, PART I, LN 4	271,476.	0.	271,476.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	3,300.	1,100.		2,200.	
TO FORM 990-PF, PG 1, LN 16B	3,300.	1,100.		2,200.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
US BANK MANAGEMENT FEES	29,799.	29,799.		0.	
TO FORM 990-PF, PG 1, LN 16C	29,799.	29,799.		0.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAX PAYMENTS	966.	0.		0.	
STATE REGISTRATION FEE	25.	0.		25.	
FOREIGN TAXES PAID	909.	909.		0.	
TO FORM 990-PF, PG 1, LN 18	1,900.	909.		25.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COMPUTER SOFTWARE EXPENSES	2,049.	0.		2,049.	
REPAIRS AND MAINTENANCE	690.	0.		690.	
OFFICE EXPENSES	1,792.	0.		1,792.	
CONSULTING EXPENSES	80,000.	0.		80,000.	
PRINTING	173.	0.		173.	
MEMBERSHIP	2,175.	0.		2,175.	
TO FORM 990-PF, PG 1, LN 23	86,879.	0.		86,879.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
US BANK - COMMON STOCK			3,802,291.	4,817,789.
TOTAL TO FORM 990-PF, PART II, LINE 10B			3,802,291.	4,817,789.

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
US BANK - CORPORATE BONDS			2,961,993.	3,001,972.
TOTAL TO FORM 990-PF, PART II, LINE 10C			2,961,993.	3,001,972.

FORM 990-PF	OTHER ASSETS			STATEMENT	8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE		
ACCRUED INCOME RECEIVABLE	388.	701.	701.		
TO FORM 990-PF, PART II, LINE 15	388.	701.	701.		

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM STORM
5200 WILLSON ROAD, SUITE 307
MINNEAPOLIS, MN 55424

TELEPHONE NUMBER

FORM AND CONTENT OF APPLICATIONS

THE FOUNDATION REQUIRES THAT APPLICANTS PROVE THEIR 501(C)(3) STATUS. APPLICANTS ARE EXPECTED TO SUBMIT A WRITTEN OUTLINE OF THE PROJECT FOR WHICH THEY WANT A GRANT. THE OUTLINE SHOULD BE SUPPORTED BY FINANCIAL AND TIMING DETAILS WHERE POSSIBLE. AT TIMES, THOSE MATERIALS ARE FURTHER SUPPORTED BY PERSONAL INTERVIEWS WITH REPRESENTATIVES FROM THE REQUESTING ENTITY. INVITED PROPOSALS ONLY.

ANY SUBMISSION DEADLINES

LETTERS OF INQUIRY ARE DUE MARCH 1ST AND OCTOBER 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THERE ARE NO ESTABLISHED RESTRICTIONS OR LIMITATIONS ON AWARDS, ALTHOUGH SINCE THE PASSAGE OF THE REVENUE ACT OF 1969, THE FOUNDATION HAS NOT MADE ANY GRANTS TO INDIVIDUALS BECAUSE OF THE FOLLOW-UP REQUIREMENTS IN THAT LAW AND WITHIN THE STATE OF MINNESOTA, ALTHOUGH GRANTS TO ORGANIZATIONS OUTSIDE OF THE STATE AND TO NATIONAL ORGANIZATIONS HAVE BEEN MADE.

2009 Tax Information Statement

Page 7 of 22

Account Number: 35101880
 Recipient's Tax ID number: 41-6021773
 Payer's Federal ID number: 41-1818433
 Questions? (877)209-7529

Recipient's Name and Address
 ATHWIN FOUNDATION
 5200 WILLSON ROAD #307
 EDINA, MN 55424

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected ☐ 2nd TIN notice

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
300.0000	81369Y100	MATERIALS SELECT SECTOR S P D R	01/05/2009	09/16/2009	9,648.53	7,067.43	2,581.10	0.00
Total Short Term Sales Reported on 1099-B					9,648.53	7,067.43	2,581.10	0.00
Long Term 15% Sales Reported on 1099-B								
4000.0000	052769106	AUTODESK INC	VARIOUS	09/16/2009	94,841.45	169,098.50	-74,257.05	0.00
500000.0000	066050CV5	BANKAMERICA CORP SEN 5.875% 2/15/09	03/11/1999	02/15/2009	500,000.00	500,000.00	0.00	0.00
2000.0000	086516101	BEST BUY CO INC	VARIOUS	09/16/2009	76,498.63	28,622.78	47,875.85	0.00
1000.0000	17275R102	CISCO SYS INC	03/04/1999	09/16/2009	23,070.90	24,250.00	-1,179.10	0.00
1000.0000	278865100	ECOLAB INC	02/14/2003	09/16/2009	46,510.50	23,630.00	22,880.50	0.00
1000.0000	30231G102	EXXON MOBIL CORP	11/10/2000	09/16/2009	69,808.20	45,155.00	24,653.20	0.00
1000.0000	458140100	INTEL CORP	03/04/1999	09/16/2009	19,461.29	28,125.00	-8,663.71	0.00
1000.0000	585055106	MEDTRONIC INC	07/30/2002	09/16/2009	37,400.13	38,550.00	-1,149.87	0.00
1000.0000	747525103	QUALCOMM INC	03/14/2001	09/16/2009	45,197.21	25,384.75	19,812.46	0.00
1000.0000	806857108	SCHLUMBERGER LTD.	02/23/2001	09/16/2009	61,189.41	30,385.00	30,804.41	0.00
2340.0000	847560109	SPECTRA ENERGY CORP	02/14/2003	09/16/2009	45,630.21	39,209.04	6,421.17	0.00
2800.0000	872275102	T C F FINL CORP	VARIOUS	09/16/2009	42,418.90	45,067.25	-2,648.35	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

CG-1

2009 Tax Information Statement

Page 8 of 22

Account Number: 35101880
 Recipient's Tax ID number: 41-6021773
 Payer's Federal ID number: 41-1818433
 Questions? (877)209-7529

Payer's Name and Address
 U.S. BANK NATIONAL ASSOCIATION, TRUSTEE
 P O BOX 64713; TRUST TAX SERVICES
 ST. PAUL, MN 55164-0713

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
6800	88732J207	TIME WARNER CABLE INC	12/21/2000	04/02/2009	17.37	73.21	-55.84	0.00
334 0000	88732J207	TIME WARNER CABLE INC	VARIOUS	09/16/2009	14,018.61	30,060.57	-16,041.96	0.00
3330	887317303	TIME WARNER INC	12/21/2000	04/03/2009	7.18	27.31	-20.13	0.00
1333.0000	887317303	TIME WARNER INC	VARIOUS	09/16/2009	39,191.19	91,429.91	-52,238.72	0.00
1000.0000	94106L109	WASTE MGMT INC DEL	06/18/2004	09/16/2009	29,861.94	29,584.60	277.34	0.00
Total Long Term 15% Sales Reported on 1099-B					1,145,123.12	1,148,652.92	-3,529.80	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

CG-1