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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>RICHARD AND JACI LINDSTROM FOUNDATION</b>		A Employer identification number <b>41-1924685</b>
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number <b>952-885-5999</b>
	City or town, state, and ZIP code <b>WAYZATA, MN 55391</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>160,744</b> (Part I, column (d) must be on cash basis.)		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	32,475.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,534.	2,534.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<13,057.>			
	b Gross sales price for all assets on line 6a	25.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	21,952.	2,534.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	100.	0.		100.
	b Accounting fees STMT 3	2,465.	1,972.		493.
	c Other professional fees STMT 4	1,661.	1,661.		0.
	17 Interest				
	18 Taxes STMT 5	20.	20.		0.
	19 Depreciation and depletion				
	20 Conspicuity				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	41.	16.		25.
	24 Total operating and administrative expenses. Add lines 13 through 23	4,287.	3,669.		618.
	25 Contributions, gifts, grants paid	42,252.			42,252.
26 Total expenses and disbursements. Add lines 24 and 25	46,539.	3,669.		42,870.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<24,587.>				
b Net investment income (if negative, enter -0-)		0.			
c Adjusted net income (if negative, enter -0-)			N/A		

12<sup>1</sup>

7

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	48,723.	35,565.	35,565.
	2 Savings and temporary cash investments	1,235.	1,742.	1,742.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	138,675.	126,739.	123,437.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	188,633.	164,046.	160,744.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	188,633.	164,046.	
30 Total net assets or fund balances	188,633.	164,046.		
31 Total liabilities and net assets/fund balances	188,633.	164,046.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	188,633.
2 Enter amount from Part I, line 27a	2	<24,587.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	164,046.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	164,046.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIDELITY INVESTMENTS - SHORT TERM (SEE			
b ATTACHED)	P	VARIOUS	VARIOUS
c FIDELITY INVESTMENTS - LONG TERM (SEE			
d ATTACHED)	P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			<996.>
c			
d			<12,086.>
e 25.			25.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			<996.>
c			
d			<12,086.>
e			25.

  

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	<13,057.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	28,174.	201,931.	.139523
2007	18,665.	242,441.	.076988
2006	23,499.	209,332.	.112257
2005	8,998.	237,774.	.037843
2004	13,271.	186,109.	.071308

2 Total of line 1, column (d)	2	.437919
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.087584
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	149,850.
5 Multiply line 4 by line 3	5	13,124.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	13,124.
8 Enter qualifying distributions from Part XII, line 4	8	42,870.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>MN</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address ► **N/A**

14 The books are in care of ► **RICHARD & JACI LINDSTROM** Telephone no. ► **952-885-5999**  
 Located at ► **2811 WESTWOOD ROAD, WAYZATA, MN** ZIP+4 ► **55391**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ..... ► **15** **N/A**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<b>N/A</b>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	<b>N/A</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NOT APPLICABLE	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	105,944.
b Average of monthly cash balances	1b	46,188.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	152,132.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	152,132.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,282.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	149,850.
6 Minimum investment return. Enter 5% of line 5	6	7,493.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	7,493.
2a Tax on investment income for 2009 from Part VI, line 5	2a	
b Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	0.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	7,493.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	7,493.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,493.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	42,870.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,870.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,870.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				7,493.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	4,298.			
b From 2005				
c From 2006	16,047.			
d From 2007	6,828.			
e From 2008	18,077.			
f Total of lines 3a through e	45,250.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	42,870.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				7,493.
e Remaining amount distributed out of corpus	35,377.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	80,627.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	4,298.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	76,329.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	16,047.			
c Excess from 2007	6,828.			
d Excess from 2008	18,077.			
e Excess from 2009	35,377.			







**Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization of:**

(1) Cash

**(2) Other assets**

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization**

**(2) Purchases of assets from a noncharitable exempt organization**

**(3) Rental of facilities, equipment, or other assets**

#### (4) Reimbursement arrangements

**(5) Loans or loan guarantees**

(6) Performance of services or membership or fundraising solicitations

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

**d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NOT APPLICABLE		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**Title**

**Sign Here**

<b>Paid</b> <b>Preparer's</b> <b>Use Only</b>
---

Preparer's  
signature

Firm's name (or yours  
if self-employed),  
address, and ZIP code

~~RSM MCGLADREY, INC.~~

801 NICOLLET MALL, SUITE 1100  
MINNEAPOLIS, MN 55402

Date \_\_\_\_\_

5/6/10

Check if self-employed	
------------------------	--

Preparer's identifying number

P00092679

FIN ▶ 41-1944416

Phone no. 612-573-8750

Form **990-PF** (2009)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

RICHARD AND JACI LINDSTROM FOUNDATION

Employer identification number

41-1924685

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization	Employer identification number
RICHARD AND JACI LINDSTROM FOUNDATION	41-1924685

**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ADVANCED MEDICAL OFFICE INC 1700 E ST ANDREW ROAD WAYATA, MN 92705-4911	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	RICHARD AND JACI LINDSTROM 2811 WESTWOOD ROAD WAYZATA, MN 55391	\$ 22,475.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FIDELITY INVESTMENTS - DIVIDENDS	2,559.	25.	2,534.
TOTAL TO FM 990-PF, PART I, LN 4	2,559.	25.	2,534.

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	100.	0.		100.
TO FM 990-PF, PG 1, LN 16A	100.	0.		100.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,465.	1,972.		493.
TO FORM 990-PF, PG 1, LN 16B	2,465.	1,972.		493.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	1,661.	1,661.		0.
TO FORM 990-PF, PG 1, LN 16C	1,661.	1,661.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	20.	20.		0.
TO FORM 990-PF, PG 1, LN 18	20.	20.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MN REGISTRATION FEE	25.	0.		25.
BANK CHARGES AND WIRE FEES	16.	16.		0.
TO FORM 990-PF, PG 1, LN 23	41.	16.		25.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BRINKER CAPITAL - STOCK EQUITY	85,242.	82,223.
BRINKER CAPITAL - FIXED INCOME	20,366.	21,051.
BRINKER CAPITAL - OTHER	21,131.	20,163.
TOTAL TO FORM 990-PF, PART II, LINE 10B	126,739.	123,437.

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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT    8  
    TRUSTEES AND FOUNDATION MANAGERS

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
JACI LINDSTROM 2811 WESTWOOD ROAD WAYZATA, MN 55391	PRESIDENT/SECRETARY <1.00>	0.	0.      0.
RICHARD LINDSTROM 2811 WESTWOOD ROAD WAYZATA, MN 55391	TREASURER <1.00>	0.	0.      0.
BEATRICE WOLF 4625 NARCISSUS PLYMOUTH, MN 55446	DIRECTOR <1.00>	0.	0.      0.
CHARLES LINDSTROM 2754 UPLAND COURT PLYMOUTH, MN 55447	DIRECTOR <1.00>	0.	0.      0.
GREGORY WOLF 1030 AMBLE ROAD SHOREVIEW, MN 55126	DIRECTOR <1.00>	0.	0.      0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.      0.

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FORM 990-PF                      PART XV - LINE 1A                      STATEMENT    9  
    LIST OF FOUNDATION MANAGERS

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## NAME OF MANAGER

JACI LINDSTROM  
RICHARD LINDSTROM

**RICHARD & JACI LINDSTROM FOUNDATION**  
**FEIN 41-1924685**  
**FOR THE YEAR ENDED 12/31/2009**

**FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION**

**GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR:**

<b>Name and Address</b>	<b>Relationship</b>	<b>Status</b>	<b>Purpose</b>	<b>Amount</b>
Celebrations 900 Haliimaile Road Haliimaile, HI 96768	NONE	EXENOT	GENERAL EXPENSES	6,141
Inner City Tennis 4005 Nicollet Avenue South Minneapolis, MN 55409	NONE	EXEMPT	GENERAL EXPENSES	3,000
Kids Wish Network P O Box 29360 Honolulu, HI 96820	NONE	EXEMPT	GENERAL EXPENSES	20
Mau Nurses Foundation P O, Box 785 ajaoma JO 96761	NONE	EXEMPT	GENERAL EXPENSES	1,750
Minnesota Eye Foundation 710 East 24th Street Minneapolis, MN 55404	NONE	EXEMPT	GENERAL EXPENSES	1,000
Minnesota Medical -A162 200 Oak Street SE, Suite 300 Minneapolis, MN 55455-2030	NONE	EXEMPT	GENERAL EXPENSES	11,000
The Foundation of The American Academy 655 Beach Street San Francisco, CA 94109-7424	NONE	EXEMPT	GENERAL EXPENSES	600
Phillips Eye Institute Foundation 2215 Park Avenue Minneapolis, MN 55404	NONE	EXEMPT	GENERAL EXPENSES	17,840
Sigma Alpha Epsilon Foundation PO Box 1856 Evanston, IL 60204-1856	NONE	EXEMPT	GENERAL EXPENSES	250
St Jude Children's Hospital P O Box 2081 Memphis, TN 38101	NONE	EXEMPT	GENERAL, EXPENSES	50
Wailea Tennis (includes transportation for auction items) 131 Wailea Ike Place Kihei, HI 96753	NONE	EXEMPT	GENERAL EXPENSES	601
				<b><u>\$ 42,252</u></b>

# 2009 Detail Information



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RICHARD & JACI LINDSTROM FDN  
2811 WESTWOOD RD S  
WAYZATA MN 55391-9787

Customer Service: 800-333-4573

## Additional Information

Amount

*This detail information is not reported to the IRS. It may assist you in tax return preparation.*

Short-Term Realized Gain/Loss.....995.92-  
Long-Term Realized Gain/Loss.....12,085.95-

## Detail Information

## Short-Term Realized Gain/Loss

Description / CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It may assist you in tax return preparation.						
ALLIANCEBER INTL VALUE CLASS A /018913103						
10/30/08	03/05/09	59.229	440.07	1,169.59 a	729.52-	
BLACKROCK INFLATION PRO BOND PORT INV A /091937722						
03/05/09	07/20/09	48.135	498.20	472.20 a	26.00	
BREYFUS BOND MARKET INDEX INVS /261978886						
03/05/09	11/30/09	53.343	560.64	537.70 a	22.94	
FEDERATED INTERMED CORP BOND FD CL SS /31420C506						
03/05/09	11/30/09	31.304	309.91	274.85 a	35.06	
IPMORGAN HIGHBDG STAT MK NEU SEL CL /4812A2439						
03/05/09	11/30/09	51.638	821.56	834.47 a	12.91-	
IPMORGAN HIGH YIELD BOND FUND CLASS A /4812C0779						
07/20/09	11/30/09	16.824	126.85	115.58 a	11.27	
IFS INTERNATIONAL VALUE FUND CL A /55273E301						
03/05/09	07/20/09	30.702	610.97	426.45 a	184.52	
IERGER FUND /589509108						
09/02/08	03/05/09	80.688	1,144.95	1,219.19 a	74.24-	
09/02/08	07/20/09	59.027	883.64	891.90 a	8.26-	
		USD Subtotal	2,028.59	2,111.09		
IMCO COMMODITY REAL RETURN CL D /722005550						
various	03/05/09	20.863	118.29	303.79 a	185.50-	
PRINCIPAL INVESTORS HIGH YIELD CL A /74254V661						
various	07/20/09	153.447	1,086.40	889.84 a	196.56	
LIVERNORTH CORE OPPORTUNITY FUND /76881N103						
09/02/08	03/05/09	206.204	1,363.01	1,884.70 a	521.69-	
ISGA EMERGING MARKETS /784924789						
various	07/20/09	110.114	1,756.32	1,613.26 a	143.06	
ICW TOTAL RETURN BOND CLASS N /87234N641						
various	12/08/09	42.739	452.54	432.56 a	19.98	



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RICHARD & JACI LINDSTROM FDN  
2811 WESTWOOD RD S  
WAYZATA MN 55391-9787

Customer Service: 800-333-4573

## Detail Information

## Short-Term Realized Gain/Loss

Description / CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It may assist you in tax return preparation.</i>						
WESTERN ASSET CORE PLUS FI CLASS /957663602						
various		03/05/09	45.840	370.41	473.60 a	103.19-
Short-Term Realized Gain						639.39
Short-Term Realized Loss						1,635.31-
Short-Term Realized Disallowed Loss						0.00
Total Short-Term Realized Gain/Loss						995.92-

a - Average Cost-Single Category

## Detail Information

## Long-Term Realized Gain/Loss

Description / CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It may assist you in tax return preparation.</i>						
ASTON/RIVER RD SMALL CAP VAL CL N /00078H125						
12/06/06		03/05/09	29.960	201.03	392.18 a	191.15-
12/06/06		07/20/09	38.972	378.81	510.14 a	131.33-
various		11/30/09	36.209	373.31	473.98 a	100.67-
Wash Sale Disallowed Loss					0.50-	0.50
USD Subtotal				953.15	1,375.80	
ALGER SMALL CAPITAL CLASS A /015565872						
04/19/07		07/20/09	38.827	193.36	254.71 a	61.35-
04/19/07		11/30/09	102.344	558.80	671.38 a	112.58-
USD Subtotal				752.16	926.09	
ALLIANCEBER INTL VALUE CLASS A /018913103						
various		03/05/09	438.489	3,257.97	8,658.65 a	5,400.68-
WILLIAM BLAIR INT'L GROWTH CLASS N /093001402						
08/08/06		03/05/09	101.606	1,084.14	2,688.49 a	1,604.35-
08/08/06		07/20/09	10.896	175.31	288.42 a	113.11-
USD Subtotal				1,259.45	2,976.91	
DWS RREF GLOBAL REAL ESTATE SEC CL S /23336Y649						
various		07/20/09	38.188	225.69	395.63 a	169.94-
DELAWARE VALUE FD CL A /24610C881						
08/08/06		07/20/09	46.183	369.00	550.04 a	181.04-
SPARTAN US EQTY INDX FID ADVANTAGE CLASS/315911701						
08/08/06		03/05/09	7.876	190.99	353.40 a	162.41-
Wash Sale Disallowed Loss					15.92-	15.92

## 2009 Detail Information



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RICHARD & JACI LINDSTROM FDN  
2811 WESTWOOD RD S  
WAYZATA MN 55391-9787

Customer Service: 800-333-4573

## Detail Information

## Long-Term Realized Gain/Loss

Description / CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It may assist you in tax return preparation.						
PARTAN US EQTY INDX FID ADVANTAGE CLASS/315911701						
08/08/06	07/20/09	2.799	94.20	125.45 a	31.25-	
Wash Sale Disallowed Loss				7.93-	7.93	
08/08/06	11/30/09	3.592	139.65	161.10 a	21.45-	
Wash Sale Disallowed Loss				3.22-	3.22	
USD Subtotal			424.84	612.88		
ANUS FORTY CLASS S /47103A633						
02/21/07	07/20/09	18.675	510.96	584.34 a	73.38-	
EUTHOLD ASSET ALLOCATION FD /527289508						
11/21/06	07/20/09	13.989	116.53	148.70 a	32.17-	
Wash Sale Disallowed Loss				4.38-	4.38	
IERGER FUND /589509108						
various	03/05/09	36.406	516.61	550.10 a	33.49-	
IMCO COMMODITY REAL RETURN CL D /722005550						
various	03/05/09	150.245	851.89	2,187.72 a	1,335.83-	
IMCO DEVELOPING LOCAL MKTS D /72201F300						
various	03/05/09	7.951	60.43	84.92 a	24.49-	
Wash Sale Disallowed Loss				1.49-	1.49	
various	11/30/09	6.045	61.24	61.24 a	0.00	
USD Subtotal			121.67	144.67		
ROWE PRICE GROWTH STOCK ADVISOR CL /741479208						
08/08/06	07/20/09	2.822	64.52	78.87 a	14.35-	
SGA EMERGING MARKETS /784924789						
various	07/20/09	36.383	580.31	533.05 a	47.26	
CW DIVIDEND FOCUSED FUND CL N /87234N518						
08/08/06	07/20/09	179.234	1,365.76	1,921.39 a	555.63-	
Wash Sale Disallowed Loss				19.71-	19.71	
CW TOTAL RETURN BOND CLASS N /87234N641						
01/29/08	03/05/09	869.182	8,239.85	8,787.43 a	547.58-	
Wash Sale Disallowed Loss				10.49-	10.49	
01/29/08	07/20/09	12.940	128.36	130.82 a	2.46-	
Wash Sale Disallowed Loss				2.29-	2.29	
01/29/08	11/30/09	62.918	666.30	636.73 a	29.57	
various	12/08/09	657.336	6,959.99	6,652.97 a	307.02	
USD Subtotal			15,994.50	16,195.17		
URNER MIDCAP GROWTH INV CL /900297409						
08/08/06	07/20/09	23.022	507.18	572.33 a	65.15-	
ICTORY DIVERSIFIED STOCK CLASS A /926464603						
08/08/06	07/20/09	9.698	118.32	154.00 a	35.68-	
Wash Sale Disallowed Loss				2.83-	2.83	

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RICHARD & JACI LINDSTROM FDN  
2811 WESTWOOD RD S  
WAYZATA MN 55391-9787

Customer Service: 800-333-4573

Detail Information		Long-Term Realized Gain/Loss				
Description / CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It may assist you in tax return preparation.</i>						
CRM MID CAP VALUE INVESTOR SHARES /92934R777						
08/08/06	03/05/09		15.969	247.20	450.49 a	203.29-
08/08/06	07/20/09		6.052	125.69	170.73 a	45.04-
08/08/06	11/30/09		5.463	126.20	154.11 a	27.91-
Wash Sale Disallowed Loss					3.51-	3.51
			USD Subtotal	499.09	771.82	
WESTERN ASSET CORE PLUS FI CLASS /957663602						
various	03/05/09		561.536	4,537.19	5,801.50 a	1,264.31-
					Long-Term Realized Gain	383.85
					Long-Term Realized Loss	12,542.07-
					Long-Term Realized Disallowed Loss	72.27
					Total Long-Term Realized Gain/Loss	12,085.95-

a - Average Cost-Single Category

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers.