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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

A Employer identification number

41-1563321

Number and street (or P O box number if mail is not delivered to street address)

710 SECOND STREET SOUTH

Room/suite

400

B Telephone number

612-333-4220

City or town, state, and ZIP code

MINNEAPOLIS, MN 55401

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ Accrual

from Part II, col. (c), line 16)

☐ Other (specify) _____

\$ 4,323. (Part I, column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a))(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue

Operating and Administrative Expenses

1 Contributions, gifts, grants, etc., received

4,578,000.

2 Check ☐ if the foundation is not required to attach Sch B3 Interest on savings and temporary
cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

0.

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

864.

0.

864.

STATEMENT 1

12 Total. Add lines 1 through 11

4,578,864.

0.

864.

13 Compensation of officers, directors, trustees, etc

46,000.

0.

0.

46,000.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

STMT 2

3,889.

0.

0.

3,889.

b Accounting fees

STMT 3

8,043.

0.

0.

8,043.

c Other professional fees

STMT 4

141,500.

0.

0.

141,500.

17 Interest

18 Taxes

19 Depreciation and depletion

20 Disproportionate distributions

21 Travel, conferences, and meetings

278,915.

0.

0.

278,915.

22 Printing and publications

23 Other expenses

STMT 5

28,565.

0.

0.

28,565.

24 Total operating and administrative

expenses. Add lines 13 through 23

506,912.

0.

0.

506,912.

25 Contributions, gifts, grants paid

4,100,000.

26 Total expenses and disbursements.

Add lines 24 and 25

4,606,912.

0.

0.

4,606,912.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-28,048.

b Net investment income (if negative, enter -0-)

0.

c Adjusted net income (if negative, enter -0-)

864.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	32,371.	4,323.	4,323.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment, basis ▶				
	Less: accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment, basis ▶				
	Less: accumulated depreciation ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers)	32,371.	4,323.	4,323.	
	Liabilities	17 Accounts payable and accrued expenses			
		18 Grants payable			
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	32,371.	4,323.		
30 Total net assets or fund balances	32,371.	4,323.			
31 Total liabilities and net assets/fund balances	32,371.	4,323.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,371.
2 Enter amount from Part I, line 27a	2	-28,048.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,323.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,323.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.MCKNIGHT.ORG/NEUROSCIENCE

14 The books are in care of ► RICHARD SCOTT Telephone no. ► 612-333-4220
 Located at ► 710 SECOND STREET SOUTH, MINNEAPOLIS, MN ZIP+4 ► 55401

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		46,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE MCKNIGHT FOUNDATION - 710 SECOND STREET SO., SUITE 400, MINNEAPOLIS, MN 55401	ADMINISTRATIVE SERVICES	100,000.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENT Stmt 7	
	247,833.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 0.
b	Average of monthly cash balances	1b 11,133.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 11,133.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 11,133.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 167.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 10,966.
6	Minimum investment return. Enter 5% of line 5	6 548.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1
2a	Tax on investment income for 2009 from Part VI, line 5	2a
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c
3	Distributable amount before adjustments. Subtract line 2c from line 1	3
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 4,606,912.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 4,606,912.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 4,606,912.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>N/A</u>				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
548.	0.	0.	0.	548.
466.	0.	0.	0.	466.
4,606,912.	4,478,706.	4,545,245.	4,626,036.	18256899.
4,100,000.	4,000,000.	4,000,000.	4,100,000.	16200000.
506,912.	478,706.	545,245.	526,036.	2,056,899.
4,323.	32,371.	16,411.	55,656.	108,761.
4,323.	32,371.	16,411.	55,656.	108,761.
				0.
4,578,000.	4,465,000.	4,506,000.	4,646,200.	18195200.
				0.
4,578,000.	4,465,000.	4,506,000.	4,626,200.	18175200.
0.	0.	0.	0.	0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE ATTACHMENT Stmt B

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT Stmt B

c Any submission deadlines:

SEE ATTACHMENT Stmt B

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT Stmt B

**THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE**

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<div>a Paid during the year</div> <div>SEE STATEMENT 9</div>	<div>NONE</div>		<div>TO SUPPORT RESEARCH IN NEUROSCIENCE</div>	<div>4100000.</div>
<div>b Approved for future payment</div> <div>SEE STATEMENT 9</div>	<div>NONE</div>		<div>TO SUPPORT RESEARCH IN NEUROSCIENCE</div>	<div>2200000.</div>
<div>Total</div>			<div>▶ 3a</div>	<div>4100000.</div>
			<div>▶ 3b</div>	<div>2200000.</div>

[illegible][illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <u>Kate Underly</u>		Date <u>16 Oct 2016</u>		Title <u>Director</u>	
	Preparer's signature <u>[Signature]</u>		Date <u>9/16/2016</u>		Check if self-employed <input type="checkbox"/>	
Paid Preparer's Use Only	Firm's name (or yours) <u>PARSONALITEN LLP</u>		EIN <u> </u>		Preparer's identifying number <u> </u>	
	If self-employed, address, and ZIP code <u>220 SOUTH SIXTH STREET, SUITE 300 MINNEAPOLIS, MN 55402</u>		Phone no. <u>612-376-4500</u>			

Form **990-PF** (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Employer identification number

41-1563321

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization
**THE MCKNIGHT ENDOWMENT FUND FOR
 NEUROSCIENCE**

Employer identification number

41-1563321**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THE MCKNIGHT FOUNDATION 710 SECOND STREET SO., SUITE 400 MINNEAPOLIS, MN 55401	\$ 4,578,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

FORM 990-PF	OTHER INCOME		STATEMENT	1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
RETURNED FUNDS - AWARDS	864.	0.	864.	
TOTAL TO FORM 990-PF, PART I, LINE 11	864.	0.	864.	

FORM 990-PF	LEGAL FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	3,889.	0.	0.	3,889.
TO FM 990-PF, PG 1, LN 16A	3,889.	0.	0.	3,889.

FORM 990-PF	ACCOUNTING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,043.	0.	0.	8,043.
TO FORM 990-PF, PG 1, LN 16B	8,043.	0.	0.	8,043.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE SERVICE FEES	100,000.	0.	0.	100,000.
COMMITTEE MEMBER FEES	41,500.	0.	0.	41,500.
TO FORM 990-PF, PG 1, LN 16C	141,500.	0.	0.	141,500.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PRINTING AND ADVERTISING	24,837.	0.	0.	24,837.	
POSTAGE AND SHIPPING	2,177.	0.	0.	2,177.	
COMMUNICATION EXPENSE	1,200.	0.	0.	1,200.	
MISCELLANEOUS	351.	0.	0.	351.	
TO FORM 990-PF, PG 1, LN 23	28,565.	0.	0.	28,565.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	6
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CARLA J. SHATZ, PH.D 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	PRESIDENT 1.30	12,000.	0.	0.
COREY S GOODMAN, PH. D 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	2,000.	0.	0.
LARRY SQUIRE, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	2,000.	0.	0.
ERIC J. NESTLER, M.D., PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	7,000.	0.	0.
HUDA ZOGHBI, M.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	3,500.	0.	0.
DAVID W. TANK, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	5,500.	0.	0.
THOMAS JESSELL, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	VICE PRESIDENT 1.30	12,000.	0.	0.

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSC

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PATRICIA S. BINGER 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	SECRETARY/TREASURER 0.30	0.	0.	0.
KATE WOLFORD 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 0.30	0.	0.	0.
DAVID JULIUS, PH. D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS , MN 55401	DIRECTOR 1.30	2,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		46,000.	0.	0.

The McKnight Endowment Fund for Neuroscience
Identification No. 41-1563321
Supplementary Information
Form 990-PF IX-A, Line 1
2009

The McKnight Conference on Neuroscience was held on June 5, 2009 through June 8, 2009 at the Aspen Meadows Resort Hotel and Conference Center in Aspen, Colorado. One hundred scientists attended, including six members of the board of directors of the McKnight Endowment Fund for Neuroscience, eleven members of the awards selection committees, and five guest speakers. Also attending was four McKnight board members, three Foundation staff members, two guests from the Staglin Music Festival for Mental Health and one editor from *Nature Reviews Neuroscience* (she paid her own expenses).

Fourteen McKnight award recipients and five guest speakers presented the findings of their brain research projects during three conference sessions and two special lectures. One special lecture was split into two parts and covered Spatial Mapping in the Entorhinal Cortex and Memory Formation in Entorhinal-Hippocampal Networks, respectively. The other special lecture was on Axonal Self-Destruction & Neurodegenerative Disease. The sessions include:

- Award recipient scientific presentations
- The disease workshop
- The technology workshop

Following each presentation, the conference audience benefited from an open discussion of the research work presented.

The McKnight Conference on Neuroscience provides a forum for: 1) sharing of research developments by and between McKnight awardees through the formal presentations; 2) sharing of research work in informal discussions; and, 3) monitoring by the board of directors and review committee members of the research work being supported by the McKnight Endowment Fund for Neuroscience.

The next McKnight Conference on Neuroscience is scheduled to be held June 11 through June 14, 2010, at the Aspen Meadows Resort Hotel and Conference Center in Aspen, Colorado. Sixteen recipients of McKnight awards and six guest speakers will present the findings of their brain research projects.

The McKnight Endowment Fund for Neuroscience
Identification No. 41-1563321
Supplementary Information
FORM 990-PF, PART XV, LINE 2
2009

THE MCKNIGHT AWARDS IN NEUROSCIENCE

The McKnight Endowment Fund for Neuroscience is an independent charitable organization established by The McKnight Foundation to carry out the intention of its founder, William L. McKnight (1887-1979). Mr. McKnight, who led the 3M company for three decades, had a personal interest in memory and its diseases and set aside part of his legacy to bring hope to those suffering from brain injury or disease and cognitive impairment.

The Endowment Fund fulfills this mission by supporting innovative research in neuroscience through three competitive annual awards. The awards complement each other to support both young and established neuroscientists and to encourage interdisciplinary collaboration. Each in a different way, the three programs seek out investigators whose research shows promise in bringing society closer to preventions, treatments, and cures for many devastating diseases. Research supported by the Endowment Fund has furthered understanding of Alzheimer's disease, Parkinson's disease, spinal cord injuries, and many other cognitive impairments.

The Endowment Fund is led by a board of eminent neuroscientists, with administrative support from The McKnight Foundation. Each award has its own selection committee, also made up of prominent scientists. The committee recommends awardees, and the board makes the final decision.

McKnight Scholar Awards

The McKnight Scholar Awards encourage neuroscientists in the early stages of their careers to focus on disorders of learning and memory. These awards support young scientists who hold the M.D. and/or Ph.D. degree, who have completed formal postdoctoral training, and who demonstrate a commitment to neuroscience. The Endowment Fund especially seeks applicants working on problems that, if solved at the basic level, would have immediate and significant impact on clinically relevant issues. For descriptions of previous awards, see www.mcknight.org/neuroscience.

The Scholar Awards have been given annually since 1977. Each year up to six Scholars are selected to receive three years' support at \$75,000 per year. Funds may be used in any way that will facilitate development of the Scholar's research program, but not for indirect costs. Scholars must be U.S. citizens or lawful permanent residents and must be working at not-for-profit institutions within the United States. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible.

Application materials are available in September each year, and completed applications must arrive at the office of the McKnight Endowment Fund for Neuroscience (710 South Second Street, Suite 400, Minneapolis, MN 55401) no later than the first working day in January of the following year. Awards are announced in May and begin on July 1.

McKnight Technological Innovations in Neuroscience Awards

These awards support scientists working on new and unusual approaches to understanding brain function. The program seeks to advance and enlarge the range of technologies available to the neurosciences and does not support research based primarily on existing techniques. The Fund is especially interested in how technology may be used or adapted to monitor, manipulate, analyze, or model brain function at any level, from the molecular to the entire organism. For descriptions of previous awards, see www.mcknight.org/neuroscience.

The first step is to submit a two-page letter of intent describing the project and how the technology involved will enrich the neurosciences and become accessible to other research in the field. Investigators must be working at not-for-profit institutions within the United States and hold tenured or tenure-track positions at their sponsoring institution. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible. Collaborative and cross-disciplinary applications are invited.

The selection committee will invite a few applicants to send detailed proposals, from which up to four awards will be made. Each award provides \$100,000 annually for two years. Letters of intent are due in early December and should be emailed to a an email account set up for this purpose (neuro@mcknight.org) for consideration. Awards are announced in late June and begin on August 1.

McKnight Neuroscience of Brain Disorders Awards

These awards support neuroscientists who are working to apply recent discoveries about the brain and nervous system to solving the problems of neurological and psychiatric diseases. They are designed to stimulate innovative approaches that might lead to therapies and cures. Collaborative projects between basic and clinical neuroscientists are welcomed, as are proposals that help link basic with clinical neuroscience. For descriptions of previous awards, see www.mcknight.org/neuroscience.

The first step is to submit a two-page letter of intent describing the project and how the basic research will be applied to improving the understanding of a brain disorder or disease. The letter should clearly describe how the proposed research will uncover mechanisms of brain injury or disease and how it will translate to diagnosis, prevention, treatment, or cure. Funds may be used toward a variety of research activities but not the recipient's salary. Investigators must be working at not-for-profit institutions within the United States. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible.

The selection committee will invite a few applicants to submit complete proposals, from which up to six awards will be selected. Each provides \$100,000 annually for three years. Letters of intent are due in early-April and should be emailed to a an email account set up for this purpose (neuro@mcknight.org) for consideration. Awards are announced in December and begin February 1.

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/08</u>	<u>Approved 2009</u>	<u>Paid 2009</u>	<u>Unpaid Balance 12/31/09</u>
<u>Neuroscience of Brain Disorders Awards 2007-2009</u>					
Michael Bastiani University of Utah Salt Lake City, Utah	A Genetic Screen for Genes Influencing Neuronal Regeneration	100,000	0	100,000	0
Andrew Dillin Salk Institute for Biological Studies La Jolla, California	Age-associated Neuroprotection by Insulin/IGF-1 Signalling: From Worm to Mouse	100,000	0	100,000	0
Andrew Lieberman University of Michigan Dearborn, Michigan	Treatment of a Polyglutamine Neurodegenerative Disease with Synthetic Bifunctional Compounds That Target Misfolded Proteins	100,000	0	100,000	0
Janice Naegele Wesleyan University Middletown, Connecticut	Brain Grafts of GABAergic Neurons Derived From Embryonic Stem Cells for Treating Temporal Lobe Epilepsy	100,000	0	100,000	0
Lorna Role SUNY Stony Brook Stony Brook, NY	Neuregulin 1 Regulation of Nicotine-Sensitive Cortico-Limbic Circuits Affected in Schizophrenia	100,000	0	100,000	0
Charles Weitz Harvard Medical School Boston, Massachusetts	Obesity, Diabetes, and the Arcuate Nucleus Circadian Clock	100,000	0	100,000	0
<u>Neuroscience of Brain Disorders Awards 2008-2010</u>					
Ilya Bezprozvanny University of Texas Southwestern Medical Center Dallas, Texas	Deranged Calcium Signaling and Neurodegeneration in Alzheimer's Disease	200,000	0	100,000	100,000
Ming Guo University of California, Los Angeles Los Angeles, California	Drosophila Models of Parkinson Disease	200,000	0	100,000	100,000
Maria Karayiorgou Columbia University Medical Center New York, New York	A Genetic Mouse Model of Schizophrenia Implicates miRNA Biogenesis in Disease	200,000	0	100,000	100,000

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/08</u>	<u>Approved 2009</u>	<u>Paid 2009</u>	<u>Unpaid Balance 12/31/09</u>
Bingwei Lu Stanford University Stanford, California	Understanding the Molecular Basis of Synaptic Dysfunction in Alzheimer's Disease	200,000	0	100,000	100,000
Colleen McClung University of Texas Southwestern Medical Center Dallas, Texas	The Role of CLOCK and CCK in the Development and Treatment of Mania	200,000	0	100,000	100,000
Mark Tanouye University of California, Berkeley Berkeley, California	Neurological drug development using Drosophila Seizure-Suppressor Mutants	200,000	0	100,000	100,000
<u>Neuroscience of Brain Disorders Awards 2009-2011</u>					
David Corey University of Texas Southwestern Medical Center Dallas, Texas	Allele-selective Inhibition of Mutant Huntingtin and Other Triplet Repeat Proteins	300,000	0	100,000	200,000
Robert Edwards UCSF School of Medicine San Francisco, CA	The Regulation of Mitochondrial Morphology by a-Synuclein	300,000	0	100,000	200,000
Mirjana Maletic-Savatic Baylor College of Medicine Houston, TX	Metabolic Biomarkers of Autism Spectrum Disorders	300,000	0	100,000	200,000
John Wemmie/Vincent Magnotta University of Iowa Iowa City, IA	Dynamics of brain pH in psychiatric and neurological disease	300,000	0	100,000	200,000
X. William Yang UC Los Angeles Los Angeles, CA	Basal Ganglia Circuitry & Molecular Deficits in a Mouse Model of Tourette Syndrome	300,000	0	100,000	200,000
R Suzanne Zukin Albert Einstein College of Medicine New York, NY	Epigenetic Remodeling of Neuronal Genes in Global Ischemia	300,000	0	100,000	200,000

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/08</u>	<u>Approved 2009</u>	<u>Paid 2009</u>	<u>Unpaid Balance 12/31/09</u>
<u>Neuroscience of Brain Disorders Awards 2010-2012</u>					
Angelique Bordey Yale University School of Medicine New Haven, CT	Cognitive Deficits in Tuberous Sclerosis Complex	0	300,000	0	300,000 *
Rita Ballice-Gordon/Josep Dalmau U. of Pennsylvania School of Medicine Philadelphia, PA	Cellular, Synaptic and Circuit Mechanisms of Autoimmune anti-Glutamate Receptor Disorders of Memory and Cognition	0	300,000	0	300,000 *
Michael DeWeese U. of California, Berkeley Berkeley, CA	Probing the Cortical Mechanisms of Working Memory	0	300,000	0	300,000 *
<u>Technological Innovation Awards 2008-2009</u>					
Henry Lester California Institute of Technology Pasadena, CA	Ion Channels for Neuronal Engineering	100,000	0	100,000	0
Charles Lieber Harvard University Cambridge, MA	Nonelectronic Device Arrays for Electrical & Chemical Mapping of Neural Networks	100,000	0	100,000	0
Fernando Nottebohm The Rockefeller University New York, NY	Development of a Technique for Making Transgenic Songbirds	100,000	0	100,000	0
Dalibor Sames/David Sulzer Columbia University New York, NY	Development of Fluorescent False Neurotransmitters: Novel Probes for Direct Visualization of Monoamine Transmitter Release from Individual Presynaptic Terminals	100,000	0	100,000	0
<u>Technological Innovation Awards 2009-2010</u>					
Joseph Fetcho Cornell University Ithaca, NY	Mapping Patterns of Synaptic Connections In Vivo	0	200,000	100,000	100,000 *

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/08</u>	<u>Approved 2009</u>	<u>Paid 2009</u>	<u>Unpaid Balance 12/31/09</u>
Pavel Osten Cold Spring Harbor Laboratory Cold Spring Harbor, NY	Automated High-throughput anatomy for fluorescent mouse brain	0	200,000	100,000	100,000 *
Thomas Otis U. of California, School of Medicine Los Angeles, CA	Development of Optical Methods for Monitoring Voltage in Groups of Neuroanatomically Defined Neurons	0	200,000	100,000	100,000 *
Larry Young Emory University Atlanta, GA	Development of Transgenic Technologies in Prairie Voies for Dissecting the Genetics and Neural Circuitry of Social Bonding	0	200,000	100,000	100,000 *
<u>Scholar Awards 2007-2009</u>					
Stephen Baccus Stanford University School of Medicine Stanford, California	Functional Circuitry of Neural Coding in the Retina	75,000	0	75,000	0
Karl Deisseroth Stanford University Stanford, California	Multi-Channel Fast Optical Interrogation of Living Neural Circuitry	75,000	0	75,000	0
Gilbert Di Paolo Columbia University New York, New York	A Novel Approach for Rapid Chemically-Induces Modulation of Pip2 Metabolism at the Synapse	75,000	0	75,000	0
Adrienne Fairhall University of Washington Seattle, Washington	Intrinsic Contributions to Adaptive Computation and Gain Control	75,000	0	75,000	0
Maruice Smith Harvard University Cambridge, Massachusetts	A computational Model of Interacting Adaptive Processes to Explain Properties of Short & Long- Term Motor Learning	75,000	0	75,000	0
Fan Wang Duke University Medical Center Durham, North Carolina	Molecular and Genetic Analyses of Mammalian Touch Sensation	75,000	0	75,000	0
Rachel Wilson Harvard Medical School Boston, Massachusetts	The Biophysical and Molecular Basis of Central Synaptic Transmission	75,000	0	75,000	0

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<u>Scholar Awards 2008-2010</u>					
Jeremy Dasen NYU School of Medicine New York, NY	Mechanisms of Synaptic Specificity in the Vertebrate Spinal Cord	150,000	0	75,000	75,000 ¹
Wesley Grueber Columbia University New York, NY	Dendritic Field Patterning by Attractive & Repulsive Cues	150,000	0	75,000	75,000
Greg Horwitz University of Washington Seattle, WA	Magnocellular Contributions to Color Processing	150,000	0	75,000	75,000
Coleen Murphy Princeton University Princeton, NJ	Molecular Characterization of Long-Term Memory Maintenance with Age	150,000	0	75,000	75,000
Bence Olveczky Harvard University Cambridge, MA	Functional Organization of Neural Circuits Underlying Sensorimotor Learning	150,000	0	75,000	75,000
Liam Paninski Columbia University New York, NY	Using advanced Statistical Techniques to Decipher Population Codes	150,000	0	75,000	75,000
Bigan Pesaran New York University New York, NY	Deciding Where to Look and Where to Reach	150,000	0	75,000	75,000
<u>Scholar Awards 2009-2011</u>					
Diana Bautista U of California, Berkeley Berkeley, CA	Molecular and Cellular Mechanisms of Mammalian Touch and Pain	0	225,000	75,000	150,000 *
James Bisley U of California, Los Angeles Los Angeles, CA	The Role of Posterior Parietal Cortex in Guiding Attention and Eye Movements	0	225,000	75,000	150,000 *

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Nathaniel Daw New York University New York, NY	Decision Making in Structured, Sequential Tasks: Combining Computational, Behavioral, and Neuroscientific Approaches	0	225,000	75,000	150,000 *
Alakam Sampath University of Southern California Los Angeles, CA	The Role of Optimal Processing in Setting Sensory Threshold	0	225,000	75,000	150,000 *
Tatyana Sharpee Salk Institute for Biological Studies La Jolla, CA	Discrete Representation of Visual Shapes in the Brain	0	225,000	75,000	150,000 *
Kausik Si Stowers Institute for Medical Research Kansas City, MO	Role of Prion-like Molecule in Persistence of Memory	0	225,000	75,000	150,000 *
Totals		5,575,000	3,050,000	4,100,000	4,525,000

¹ Dr. Dasen will not be receiving a third payment in 2010 because he became an HHMI awardee in 2009. Award will be cancelled in 2010.

* Total approved in current year for payment in future years equals \$2,200,000