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Return of Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label
Otherwise,
print
or type
See Specific
Instructions

Name of foundation

RAABE FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

1080 HAWTHORNE RIDGE DRIVE

Room/suite

City or town, state, and ZIP code

BROOKFIELD, WI 53045-4511

A Employer identification number

39-6589876

B Telephone number

(262) 797-9476

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

▶ \$ 1,095,129.

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated

under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination

under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

20,000.

N/A

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

3,499.

3,499.

STATEMENT 1

4 Dividends and interest from securities

31,082.

31,082.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

-798,614.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 2,156,887.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

-744,033.

34,581.

13 Compensation of officers, directors, trustees, etc.

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

6,886.

3,443.

0.

14,762.

14,762.

0.

17 Interest

18 Taxes

STMT 5

253.

253.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 6

32,510.

0.

0.

24 Total operating and administrative expenses. Add lines 13 through 23

54,411.

18,458.

0.

25 Contributions, gifts, grants paid

1,712,725.

1,712,725.

26 Total expenses and disbursements

Add lines 24 and 25

1,767,136.

18,458.

1,712,725.

27 Subtract line 26 from line 12

-2,511,169.

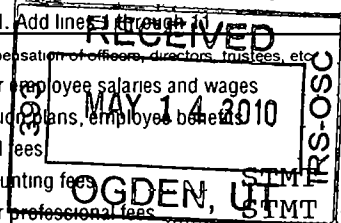
a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

16,123.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED MAY 17 2010
Revenue
Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing					
	2	Savings and temporary cash investments		312,461.	-160,563.	-160,563.	
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges		16,360.	2,000.	2,000.	
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock	STMT 8	3,163,193.	1,157,977.	1,253,692.	
	c	Investments - corporate bonds					
Liabilities	11	Investments - land, buildings, and equipment, basis ▶					
		Less: accumulated depreciation ▶					
	12	Investments - mortgage loans					
	13	Investments - other	STMT 9	423,486.	0.	0.	
	14	Land, buildings, and equipment, basis ▶					
		Less: accumulated depreciation ▶					
	15	Other assets (describe ▶)					
	16	Total assets (to be completed by all filers)		3,915,500.	999,414.	1,095,129.	
	17	Accounts payable and accrued expenses			1,937.		
	18	Grants payable					
Net Assets or Fund Balances	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
	23	Total liabilities (add lines 17 through 22)		0.	1,937.		
		Foundations that follow SFAS 117, check here ▶ ☐					
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here ▶ ☒					
	27	Capital stock, trust principal, or current funds		0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds		3,915,500.	997,477.		
	30	Total net assets or fund balances		3,915,500.	997,477.		
	31	Total liabilities and net assets/fund balances		3,915,500.	999,414.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,915,500.
2	Enter amount from Part I, line 27a	2	-2,511,169.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,404,331.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	406,854.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	997,477.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,156,887.		2,955,501.	-798,614.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			-798,614.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-798,614.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,120,163.	4,827,321.	.232047
2007	824,471.	6,231,938.	.132298
2006	139,161.	2,826,964.	.049226
2005	44,966.	4,810,620.	.009347
2004	784,124.	516,145.	1.519193

2 Total of line 1, column (d)	2	1.942111
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.388422
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,162,239.
5 Multiply line 4 by line 3	5	839,861.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	161.
7 Add lines 5 and 6	7	840,022.
8 Enter qualifying distributions from Part XII, line 4	8	1,712,725.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	161.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	161.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	161.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,839.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

STMT 10

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► KENT A. RAABE Located at ► 1080 HAWTHORNE RIDGE DRIVE, BROOKFIELD, WI Telephone no ► (262) 797-9476 ZIP+4 ► 53045-4511			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DARYL K. RAABE 10808 CORONADO COURT FRANKLIN, WI 53132	TRUSTEE 0.00	0.	0.	0.
KENT A. RAABE 1080 HAWTHORNE RIDGE DRIVE BROOKFIELD, WI 530454511	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,524,608.
b	Average of monthly cash balances	1b	458,816.
c	Fair market value of all other assets	1c	211,743.
d	Total (add lines 1a, b, and c)	1d	2,195,167.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,195,167.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	32,928.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,162,239.
6	Minimum investment return. Enter 5% of line 5	6	108,112.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	108,112.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	161.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	161.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	107,951.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	107,951.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	107,951.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,712,725.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,712,725.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	161.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,712,564.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				107,951.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	758,396.			
b From 2005				
c From 2006	5,147.			
d From 2007	529,197.			
e From 2008	878,847.			
f Total of lines 3a through e	2,171,587.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,712,725.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				107,951.
e Remaining amount distributed out of corpus	1,604,774.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,776,361.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	758,396.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,017,965.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006	5,147.			
c Excess from 2007	529,197.			
d Excess from 2008	878,847.			
e Excess from 2009	1,604,774.			

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 12				
Total			► 3a	1,712,725.
b Approved for future payment				
NONE				
Total			► 3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

▶ **Title**

signature

KATHY S. ROTTAP CPA

Date

05/07/10

☐ Check if self-employed

Preparer's identifying number

P00188817

Firm's name (or yours
if self-employed),

BAKER TILLY VIRCHOW KRAUSE, LLP
115 SOUTH 84TH STREET, SUITE 400
MILWAUKEE, WI 53214

EIN ▶ 39-0859910

Phone no (414) 777-5500

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	JP MORGAN	P	VARIOUS	VARIOUS
b	JP MORGAN	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #33091-6	P	VARIOUS	VARIOUS
d	GOLDMAN SACHS #33091-6	P	VARIOUS	VARIOUS
e	GOLDMAN SACHS #33093-2	P	VARIOUS	VARIOUS
f	GOLDMAN SACHS #33093-2	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 591,803.		754,488.	-162,685.
b 411,473.		664,971.	-253,498.
c 360,139.		398,963.	-38,824.
d 258,263.		398,323.	-140,060.
e 78,035.		85,135.	-7,100.
f 457,125.		653,621.	-196,496.
g 49.			49.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-162,685.
b			-253,498.
c			-38,824.
d			-140,060.
e			-7,100.
f			-196,496.
g			49.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-798,614.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK ONE - ACCT #5573	1.
BANK ONE - ACCT #9598	35.
FIDELITY	4.
GOLDMAN SACHS - #33091-6	32.
GOLDMAN SACHS - #33093-2	1,292.
JP MORGAN CHASE BANK	254.
JP MORGAN CHASE BANK - GOVERNMENT	1,881.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	3,499.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS - ACCT 33091-6			
DIVIDENDS	3,961.	0.	3,961.
JP MORGAN	27,170.	49.	27,121.
TOTAL TO FM 990-PF, PART I, LN 4	31,131.	49.	31,082.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,886.	3,443.		0.
TO FORM 990-PF, PG 1, LN 16B	6,886.	3,443.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	14,762.	14,762.		0.
TO FORM 990-PF, PG 1, LN 16C	14,762.	14,762.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	303.	303.		0.
FEDERAL EXCISE TAX	-50.	-50.		0.
TO FORM 990-PF, PG 1, LN 18	253.	253.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LIFE INSURANCE PREMIUMS	32,510.	0.		0.
TO FORM 990-PF, PG 1, LN 23	32,510.	0.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
DECREASE IN CSV OF LIFE INSURANCE POLICY	404,445.
CASH/ACCRUAL ADJUSTMENT	472.
DEFERRED INCOME TAXES	1,937.
TOTAL TO FORM 990-PF, PART III, LINE 5	406,854.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SECURITIES	1,157,977.	1,253,692.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,157,977.	1,253,692.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CSV OF LIFE INSURANCE POLICY	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	10
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NAME OF CONTRIBUTOR	ADDRESS
KENT A. RAABE	1080 HAWTHORNE RIDGE DRIVE BROOKFIELD, WI 53045
DARYL K. RAABE	10808 CORONADO COURT FRANKLIN, WI 53132

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	11
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NAME OF MANAGER

DARYL K. RAABE
KENT A. RAABE

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 12

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BETHESDA LUTHERAN HOME FOUNDATION 600 HOFFMANN DRIVE WATERTOWN, WI 53094	CHARITABLE CONTRIBUTION	501(C)	100,000.
CHRIST THE LORD LUTHERAN CHURCH 1650 N. BROOKFIELD ROAD BROOKFIELD, WI 53045	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	338,725.
RISEN SAVIOR LUTHERAN CHURCH 9501 W DREXEL AVENUE FRANKLIN, WI 53132	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	15,000.
TIME OF GRACE MINISTRY P.O. BOX 301 MILWAUKEE, WI 53201	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	550,000.
WELS LUTHERANS FOR LIFE 8501 WEST LINCOLN AVE WEST ALLIS, WI 53227	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	34,000.
WISCONSIN EVANGELICAL LUTHERAN SYNOD 2929 NORTH MAYFAIR ROAD MILWAUKEE, WI 53222	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	130,000.
WISCONSIN LUTHERAN CHAPEL AND STUDENT CENTER 220 WEST GILMAN STREET MADISON, WI 53703	RELIGIOUS CHARITABLE CONTRIBUTION	501(C)	20,000.
WISCONSIN LUTHERAN COLLEGE 8800 W BLUEMOUND ROAD MILWAUKEE, WI 53226	CHARITABLE CONTRIBUTION	501(C)	375,000.

RAABE FOUNDATION

39-6589876

WISCONSIN LUTHERAN HIGH SCHOOL
330 N GLENVIEW AVENUE
MILWAUKEE, WI 53213

CHARITABLE
CONTRIBUTION

501(C)

150,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

1,712,725.

RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
17. PRICELINE.COM INC	01/28/2008	01/16/2009	1,200.99	1,769.44	-568.45
122. CINCINNATI FINANCIAL CORP	08/26/2008	01/21/2009	2,963.07	3,346.62	-383.55
16 TOYOTA MOTOR CORP SPON ADR					
ISIN #US8923313071	04/14/2008	01/21/2009	1,073.11	1,530.84	-457.73
55. CINCINNATI FINANCIAL CORP	08/29/2008	01/22/2009	1,311.50	1,594.87	-283.37
5. INTERWOVEN INC	03/13/2008	01/22/2009	76.96	62.73	14.23
220. ALKERMERS INC	08/14/2008	01/23/2009	2,347.38	2,962.64	-615.26
303. ALLSCRIPTS HEALTHCARE					
SOLUTIONS INC FORMERLY ALL	11/11/2008	01/23/2009	2,408.83	3,249.42	-840.59
80. AMERICAN SOFTWARE INC CL A	03/27/2008	01/23/2009	333.59	509.22	-175.63
5. ANALOGIC CORP	03/13/2008	01/23/2009	125.54	329.72	-204.18
10. ASPEN TECHNOLOGY INC	03/13/2008	01/23/2009	61.40	126.79	-65.39
45. BALDOR ELEC CO	03/13/2008	01/23/2009	668.24	1,247.89	-579.65
241. BEBE STORES INC	10/09/2008	01/23/2009	1,376.10	2,110.04	-733.94
95. BRUSH ENGINEERED MATLS INC	06/11/2008	01/23/2009	1,150.44	2,837.34	-1,686.90
177. CAMREX CORP	12/19/2008	01/23/2009	619.49	1,450.68	-831.19
19. CHIPOTLE MEXICAN GRILL INC					
CL B	10/28/2008	01/23/2009	866.58	789.68	76.90
21. COLUMBIA SPORTSWEAR CO	06/25/2008	01/23/2009	649.74	884.92	-235.18
87. CYMER INC	10/09/2008	01/23/2009	1,799.14	2,071.49	-272.35
10. ELECTRO SCIENTIFIC					
INDUSTRIES INC	03/13/2008	01/23/2009	61.59	166.68	-105.09
87. EMCORE CORP	03/14/2008	01/23/2009	113.10	812.76	-699.66
223. ENERGYSOLUTIONS INC	01/22/2009	01/23/2009	1,086.00	1,637.77	-551.77
214. EPICOR SOFTWARE CORP	01/23/2009	01/23/2009	740.43	882.79	-142.36
30. FEI COMPANY	06/26/2008	01/23/2009	566.40	686.66	-120.26
108. FAIR ISAAC & CO INC	10/09/2008	01/23/2009	1,590.83	2,114.75	-523.92
25. GLATFELTER COMPANY	03/13/2008	01/23/2009	214.57	342.74	-128.17
309. GLOBAL INDS LTD	12/08/2008	01/23/2009	976.43	1,735.01	-758.58
55. GREATBATCH INC	06/26/2008	01/23/2009	1,219.89	926.42	293.47
134. HAIN CELESTIAL GROUP INC	12/01/2008	01/23/2009	2,098.42	3,323.05	-1,224.63
43. ICU MEDICAL INC	06/11/2008	01/23/2009	1,308.91	1,119.47	189.44
65. KOPIN CORP	03/14/2008	01/23/2009	107.90	141.75	-33.85
274. LANDEC CORP	10/07/2008	01/23/2009	1,471.37	2,152.28	-680.91
209. LIONBRIDGE TECHNOLOGIES	03/13/2008	01/23/2009	286.33	751.47	-465.14
Totals					

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STATEMENT 29

RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
5. MARTEK BIOSCIENCE CORP	03/13/2008	01/23/2009	134.40	148.55	-14.15
5. MEDICINES COMPANY	03/13/2008	01/23/2009	57.90	89.80	-31.90
57. OCEANEERING INTERNATIONAL	10/09/2008	01/23/2009	1,799.47	1,930.50	-131.03
115. 1-800-FLOWERS.COM INC	06/11/2008	01/23/2009	387.55	883.35	-495.80
110. PARAMETRIC TECHNOLOGY CORP	01/23/2009	01/23/2009	1,007.59	1,210.24	-202.65
425. PERFICIENT INC	12/02/2008	01/23/2009	1,763.74	2,591.92	-828.18
259. REAL NETWORKS INC	05/09/2008	01/23/2009	758.86	1,708.20	-949.34
15. SALIX PHARMACEUTICALS LTD	03/13/2008	01/23/2009	118.80	91.57	27.23
105. SILICON IMAGE INC	04/29/2008	01/23/2009	405.30	575.27	-169.97
10. SYMYX TECHNOLOGIES INC	03/13/2008	01/23/2009	39.10	72.23	-33.13
93. TETRA TECHNOLOGIES INC DEL	12/01/2008	01/23/2009	457.56	643.09	-185.53
10. THORATEC CORPORATION	03/13/2008	01/23/2009	301.80	141.82	159.98
240. TIBCO SOFTWARE INC	01/22/2009	01/23/2009	1,274.39	1,453.46	-179.07
69. TOYOTA MOTOR CORP SPON ADR					
ISIN #US8923313071	04/15/2008	01/23/2009	4,302.76	6,615.02	-2,312.26
31. TRACTOR SUPPLY CO	06/04/2008	01/23/2009	1,039.73	1,099.07	-59.34
109. ULTRATECH STEPPER INC	10/09/2008	01/23/2009	1,165.20	1,117.61	47.59
112. VALUECLICK INC	09/23/2008	01/23/2009	675.35	1,174.13	-498.78
63. WD-40 COMPANY	12/19/2008	01/23/2009	1,599.56	1,650.42	-50.86
43. WRIGHT MEDICAL GROUP INC	12/01/2008	01/23/2009	911.16	941.42	-30.26
55. AT&T INC	08/11/2008	02/04/2009	1,415.14	1,963.46	-548.32
27. ALLSTATE CORP	12/31/2008	02/04/2009	602.10	884.43	-282.33
25. APPLE COMPUTER INC	10/22/2008	02/04/2009	2,389.48	3,128.37	-738.89
97. COMMUNITY HEALTH SYSTEMS	01/20/2009	02/04/2009	1,903.13	1,629.12	274.01
28. CONOCOPHILLIPS	02/26/2008	02/04/2009	1,293.31	2,360.81	-1,067.50
73. CROWN CASTLE INTERNATIONAL CORP	12/16/2008	02/04/2009	1,507.44	1,874.26	-366.82
131. DELL INC	05/16/2008	02/04/2009	1,309.99	2,677.96	-1,367.97
237. DISH NETWORK CORP	12/16/2008	02/04/2009	3,140.25	3,176.40	-36.15
286. DR PEPPER SNAPPLE GROUP	09/15/2008	02/04/2009	4,658.91	7,514.08	-2,855.17
16. ENTERGY CORP	12/31/2008	02/04/2009	1,188.63	1,332.50	-143.87
617. FIFTH THIRD BANCORP	01/13/2009	02/04/2009	1,153.78	6,428.26	-5,274.48
174. FRANCE TELECOM SA	05/28/2008	02/04/2009	4,151.61	5,651.70	-1,500.09
12. GENENTECH INC	12/16/2008	02/04/2009	989.51	925.68	63.83
15. GOLDMAN SACHS GROUP INC	09/23/2008	02/04/2009	1,315.34	1,550.82	-235.48
Totals					

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
206. HARTFORD FINANCIAL					
SERVICES GROUP INC	11/14/2008	02/04/2009	3,108.52	7,053.95	-3,945.43
98. HELMERICH & PAYNE INC	12/31/2008	02/04/2009	2,208.91	2,594.81	-385.90
102. LIFE TIME FITNESS INC	10/10/2008	02/04/2009	1,474.91	3,260.29	-1,785.38
50. ELI LILLY & CO	12/31/2008	02/04/2009	1,942.99	2,223.37	-280.38
215. MARATHON OIL CORP	10/06/2008	02/04/2009	5,792.06	9,415.65	-3,623.59
80. PAYCHEX INC	03/31/2008	02/04/2009	2,055.19	2,751.70	-696.51
32. PRICELINE.COM INC	09/12/2008	02/04/2009	2,275.18	3,480.73	-1,205.55
52. RESEARCH IN MOTION ISIN	01/16/2009	02/04/2009	2,941.10	2,627.89	313.21
73. SWISS REINS CO ADR	10/22/2008	02/04/2009	1,904.56	2,948.27	-1,043.71
37. VISA INC CLASS A SHARES	01/16/2009	02/04/2009	1,844.81	1,704.16	140.65
153. AMDOCS LTD	10/08/2008	02/04/2009	2,711.14	4,062.70	-1,351.56
75. ACE LTD NEW	07/11/2008	02/04/2009	3,321.73	3,993.44	-671.71
57. TRANSOCEAN INC	12/19/2008	02/04/2009	3,182.29	4,840.70	-1,658.41
5. BANK OF NEW YORK MELLON	02/04/2009	02/05/2009	138.43	138.10	0.33
5. BRISTOL MYERS SQUIBB CO	02/04/2009	02/05/2009	113.22	117.05	-3.83
4. BARD C R INC	02/04/2009	02/06/2009	345.41	348.92	-3.51
5. MARATHON OIL CORP	12/31/2008	02/06/2009	133.27	145.91	-12.64
5. UNITED TECHNOLOGIES CORP	02/04/2009	02/06/2009	243.59	243.55	0.04
10. ECOLAB INC	02/04/2009	02/09/2009	351.25	347.50	3.75
5. PEPSICO INC	02/04/2009	02/09/2009	265.70	259.50	6.20
25. APOLLO INVESTMENT CORP	05/13/2008	02/11/2009	134.18	427.75	-293.57
215. APOLLO INVESTMENT CORP	05/13/2008	02/12/2009	1,123.29	3,678.65	-2,555.36
10. APOLLO INVESTMENT CORP	05/13/2008	02/12/2009	50.17	171.10	-120.93
5. APOLLO INVESTMENT CORP	05/13/2008	02/13/2009	24.96	85.55	-60.59
25. APOLLO INVESTMENT CORP	05/13/2008	02/13/2009	124.59	427.75	-303.16
10. BANK OF NEW YORK MELLON	02/04/2009	02/17/2009	237.21	276.20	-38.99
5. AMERIPRISE FINANCIAL INC	02/04/2009	02/18/2009	91.05	107.10	-16.05
10. BRISTOL MYERS SQUIBB CO	02/04/2009	02/18/2009	208.40	234.10	-25.70
20. MERCK & COMPANY INC	02/04/2009	02/18/2009	566.23	614.54	-48.31
4347.826 FIDELITY ADVISOR HIGH	03/05/2008	02/19/2009	23,000.00	39,565.22	-16,565.22
522. SPDR TRUST SERIES 1	07/25/2008	02/19/2009	41,561.40	65,598.48	-24,037.08
10. XTO ENERGY INC	02/04/2009	02/19/2009	320.41	373.80	-53.39
10. AFLAC INC	02/04/2009	02/23/2009	164.21	232.20	-67.99
80. NEWS CORP INC	02/04/2009	02/23/2009	481.93	551.20	-69.27
Totals					

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STATEMENT 31

RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date		Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
	Acquired					
5. ABBOTT LABORATORIES	02/04/2009		02/27/2009	239.26	284.65	-45.39
10. GENERAL ELECTRIC CO	02/04/2009		02/27/2009	86.60	116.20	-29.60
25. GENERAL ELECTRIC CO	02/04/2009		02/27/2009	225.15	290.50	-65.35
505. UNITED STATES OIL FUND LP	02/19/2009		03/02/2009	12,403.39	12,089.70	313.69
5. ADVANCE AUTO PARTS INC	02/04/2009		03/03/2009	191.93	167.70	24.23
20. APOLLO INVESTMENT CORP	05/13/2008		03/03/2009	68.83	342.20	-273.37
10. APOLLO INVESTMENT CORP	05/13/2008		03/03/2009	36.37	171.10	-134.73
172. J P MORGAN CHASE & CO	01/21/2009		03/03/2009	3,701.97	4,617.48	-915.51
10. STATE STREET CORP	02/04/2009		03/03/2009	226.57	251.50	-24.93
55. APOLLO INVESTMENT CORP	05/13/2008		03/04/2009	197.70	941.05	-743.35
5. ADVANCE AUTO PARTS INC	02/04/2009		03/10/2009	189.73	167.70	22.03
25. APOLLO INVESTMENT CORP	05/13/2008		03/11/2009	61.61	427.75	-366.14
100. APOLLO INVESTMENT CORP	05/13/2008		03/12/2009	238.40	1,711.00	-1,472.60
90. APOLLO INVESTMENT CORP	05/13/2008		03/13/2009	227.47	1,539.90	-1,312.43
90. APOLLO INVESTMENT CORP	05/13/2008		03/13/2009	222.17	1,539.90	-1,317.73
435. APOLLO INVESTMENT CORP	05/13/2008		03/13/2009	1,101.01	7,442.85	-6,341.84
95. APOLLO INVESTMENT CORP	05/13/2008		03/16/2009	239.29	1,625.45	-1,386.16
315. APOLLO INVESTMENT CORP	05/13/2008		03/16/2009	815.09	5,389.65	-4,574.56
45. APOLLO INVESTMENT CORP	05/13/2008		03/17/2009	95.70	769.95	-674.25
190. APOLLO INVESTMENT CORP	05/13/2008		03/17/2009	387.92	3,250.90	-2,862.98
15. MORGAN STANLEY	02/04/2009		03/17/2009	349.70	337.05	12.65
5. APOLLO INVESTMENT CORP	05/13/2008		03/18/2009	10.97	85.55	-74.58
195. APOLLO INVESTMENT CORP	05/13/2008		03/18/2009	416.49	3,336.45	-2,919.96
5. IBM CORP	02/04/2009		03/18/2009	454.78	472.70	-17.92
17. MARATHON OIL CORP	12/31/2008		03/18/2009	405.37	464.69	-59.32
50. APOLLO INVESTMENT CORP	05/13/2008		03/19/2009	106.37	855.50	-749.13
245. APOLLO INVESTMENT CORP	05/13/2008		03/19/2009	513.52	4,191.95	-3,678.43
125. APOLLO INVESTMENT CORP	05/13/2008		03/19/2009	260.35	2,138.75	-1,878.40
5. DANAHER CORP	02/04/2009		03/19/2009	275.14	283.95	-8.81
5. APOLLO INVESTMENT CORP	05/13/2008		03/20/2009	10.42	85.55	-75.13
255. APOLLO INVESTMENT CORP	05/13/2008		03/20/2009	519.81	4,363.05	-3,843.24
40. APOLLO INVESTMENT CORP	05/13/2008		03/23/2009	83.96	684.40	-600.44
695. APOLLO INVESTMENT CORP	05/13/2008		03/23/2009	1,548.58	11,891.45	-10,342.87
20. CISCO SYSTEMS INC	02/04/2009		03/23/2009	335.43	321.40	14.03
20. MERCK & COMPANY INC	02/04/2009		03/23/2009	551.47	614.54	-63.07
Totals						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10. APOLLO INVESTMENT CORP	05/13/2008	03/24/2009	25.65	171.10	-145.45
120. APOLLO INVESTMENT CORP	05/13/2008	03/24/2009	313.07	2,053.20	-1,740.13
405. APOLLO INVESTMENT CORP	05/13/2008	03/24/2009	1,039.90	6,929.55	-5,889.65
115. APOLLO INVESTMENT CORP	05/13/2008	03/25/2009	268.18	1,967.65	-1,699.47
245. APOLLO INVESTMENT CORP	05/13/2008	03/25/2009	587.14	4,191.95	-3,604.81
20. APOLLO INVESTMENT CORP	05/13/2008	03/26/2009	46.32	342.20	-295.88
20. APOLLO INVESTMENT CORP	05/13/2008	03/26/2009	47.50	342.20	-294.70
100. ISHARES RUSSELL MIDCAP INDEX FUND	07/25/2008	03/27/2009	5,569.22	9,217.33	-3,648.11
2846.3 JPMORGAN GROWTH ADVANTAGE FUND	10/29/2008	03/27/2009	15,000.00	15,113.85	-113.85
991.08 NUVEEN NWQ VALUE OPPORTUNITIES FUND	10/29/2008	03/27/2009	20,000.00	18,394.45	1,605.55
20. FIRST ENERGY CORP	02/04/2009	04/01/2009	772.96	1,037.80	-264.84
5. ADVANCE AUTO PARTS INC	02/04/2009	04/02/2009	201.00	167.70	33.30
15. AETNA INC NEW	02/04/2009	04/02/2009	366.90	500.85	-133.95
10. CISCO SYSTEMS INC	02/04/2009	04/02/2009	178.92	160.70	18.22
50. WELLS FARGO COMPANY NEW	02/04/2009	04/02/2009	775.04	948.50	-173.46
5. QUALCOMM INC	02/04/2009	04/03/2009	205.65	173.95	31.70
10. XILINX INC	02/04/2009	04/03/2009	199.19	175.72	23.47
10. BOEING CO	01/21/2009	04/06/2009	367.74	419.43	-51.69
20. D R HORTON INC	02/04/2009	04/08/2009	192.69	157.20	35.49
10. AETNA INC NEW	02/04/2009	04/09/2009	252.66	333.90	-81.24
5. QUALCOMM INC	02/04/2009	04/09/2009	208.19	173.95	34.24
5. SCHLUMBERGER LTD	02/04/2009	04/09/2009	223.79	213.80	9.99
.333 TIME WARNER INC	02/04/2009	04/10/2009	7.07	7.28	-0.21
.4074 TIME WARNER CABLE INC	02/04/2009	04/10/2009	10.41	11.69	-1.28
10. DANAHER CORP	02/04/2009	04/15/2009	538.85	567.90	-29.05
15. ECOLAB INC	02/04/2009	04/22/2009	560.00	521.25	38.75
885. ISHARES RUSSELL MIDCAP INDEX FUND	07/25/2008	04/27/2009	54,192.29	81,573.37	-27,381.08
269. ISHARES RUSSELL 2000 INDEX FUND	02/19/2009	04/27/2009	12,602.40	11,475.54	1,126.86
35. BANK OF AMERICA CORP NEW	02/04/2009	04/29/2009	298.90	182.35	116.55
2. JBM CORP	02/04/2009	04/29/2009	208.44	189.08	19.36
Totals					

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STATEMENT 33

RAABE FDN,D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10. WELLS FARGO COMPANY NEW	02/04/2009	04/29/2009	198.22	189.70	8.52
15. XILINX INC	02/04/2009	04/29/2009	301.90	263.57	38.33
25. AMERICAN EXPRESS CO	03/19/2009	05/05/2009	664.16	331.49	332.67
20. JOHNSON CONTROLS	02/04/2009	05/13/2009	352.84	250.40	102.44
5. SCHLUMBERGER LTD	02/04/2009	05/13/2009	265.84	213.80	52.04
20. CONSOLIDATED EDISON INC	04/01/2009	05/14/2009	699.28	788.37	-89.09
20. D R HORTON INC	02/04/2009	05/14/2009	185.03	157.20	27.83
4. IBM CORP	02/04/2009	05/22/2009	410.53	378.16	32.37
10. KOHLS CORP	02/04/2009	05/22/2009	412.83	382.80	30.03
2. MONSANTO COMPANY	02/04/2009	05/22/2009	173.61	160.42	13.19
20. SCHLUMBERGER LTD	02/04/2009	05/22/2009	1,038.50	855.20	183.30
5. METLIFE INC	02/04/2009	06/02/2009	160.03	149.10	10.93
10. MERCK & COMPANY INC	02/04/2009	06/03/2009	273.67	307.27	-33.60
15. CONOCOPHILLIPS	03/18/2009	06/04/2009	686.27	553.40	132.87
11. BARD C R INC	02/04/2009	06/08/2009	808.43	959.53	-151.10
10. DANAHER CORP	02/04/2009	06/08/2009	630.85	567.90	62.95
10. DEVON ENERGY CORPORATION	02/04/2009	06/09/2009	639.31	599.20	40.11
15. MERCK & COMPANY INC	02/04/2009	06/09/2009	385.46	460.90	-75.44
5. WELLPOINT INC	04/09/2009	06/09/2009	225.45	201.74	23.71
10. NIKE INC CL B	02/04/2009	06/18/2009	556.71	461.00	95.71
10. SAFEWAY INC NEW	02/04/2009	06/24/2009	205.60	214.50	-8.90
5. CVS CORP	02/04/2009	07/01/2009	158.87	140.05	18.82
10. EXXON MOBIL CORPORATION	02/04/2009	07/01/2009	707.00	788.30	-81.30
15. ZIMMER HOLDINGS INC	02/06/2009	07/02/2009	606.92	605.29	1.63
5. SAFEWAY INC NEW	02/04/2009	07/06/2009	99.23	107.25	-8.02
5. EXXON MOBIL CORPORATION	02/04/2009	07/07/2009	334.96	394.15	-59.19
10. HESS CORP	02/04/2009	07/08/2009	473.35	568.00	-94.65
10. ROCKWELL INTERNATIONAL CORP NEW	04/09/2009	07/08/2009	298.39	284.54	13.85
1234.568 ARTIO INTERNATIONAL EQUITY II - I	03/05/2009	07/20/2009	13,000.00	9,370.37	3,629.63
512. ISHARES RUSSELL 1000 VALUE INDEX FD	10/29/2008	07/20/2009	24,689.02	26,319.92	-1,630.90
2228.412 JPMORGAN SHORT DURATION BOND FUND	02/02/2009	07/20/2009	24,000.00	23,754.87	245.13
Totals					

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
2187.799 NUVEEN NWQ VALUE OPPORTUNITIES FUND	10/29/2008	07/20/2009	54,607.46	40,605.55	14,001.91
376. SPDR TRUST SERIES 1	07/25/2008	07/20/2009	35,554.62	47,251.02	-11,696.40
5. LAM RESEARCH CORP	02/04/2009	07/21/2009	151.54	102.10	49.44
651. ISHARES MSCI ASIAN EX	04/27/2009	07/22/2009	30,854.98	23,767.23	7,087.75
10. JUNIPER NETWORKS INC	02/09/2009	07/22/2009	252.97	159.41	93.56
5. SOUTHERN CO	05/14/2009	07/22/2009	159.08	142.66	16.42
10. JUNIPER NETWORKS INC	02/09/2009	07/23/2009	264.05	159.40	104.65
15. LAM RESEARCH CORP	02/04/2009	07/24/2009	478.54	306.30	172.24
5. WELLPOINT INC	04/09/2009	07/28/2009	269.87	201.73	68.14
40. YAHOO! INC	02/04/2009	07/29/2009	611.58	521.60	89.98
18. TIME WARNER CABLE INC	02/23/2009	07/30/2009	600.20	498.61	101.59
15. TD AMERITRADE HOLDING CORPORATION	02/04/2009	08/10/2009	289.22	183.00	106.22
30. GENERAL ELECTRIC CO	02/04/2009	08/13/2009	424.49	348.60	75.89
5. URBAN OUTFITTERS INC	02/04/2009	08/13/2009	142.27	80.70	61.57
65. GENERAL ELECTRIC CO	02/04/2009	09/01/2009	865.57	755.30	110.27
25. NATIONAL SEMICONDUCTOR	04/06/2009	09/09/2009	389.90	295.48	94.42
50. SAFEWAY INC NEW	02/27/2009	09/11/2009	1,001.03	1,040.44	-39.41
20. EXELON CORPORATION	02/04/2009	09/14/2009	965.93	1,155.60	-189.67
90. SCHERING PLOUGH CORP	02/04/2009	09/14/2009	2,577.13	1,745.10	832.03
20. WYETH	02/04/2009	09/14/2009	957.30	872.40	84.90
5. CAREFUSION CORPORATION	06/09/2009	09/17/2009	108.63	83.05	25.58
10. APACHE CORP	02/04/2009	09/18/2009	941.66	761.70	179.96
15. ALTRIA GROUP INC	02/04/2009	09/22/2009	268.33	252.15	16.18
5. PEPSICO INC	02/04/2009	09/22/2009	292.61	259.50	33.11
10. URBAN OUTFITTERS INC	02/04/2009	09/24/2009	302.60	161.40	141.20
25. EXXON MOBIL CORPORATION	02/04/2009	10/02/2009	1,662.48	1,970.75	-308.27
10. MCKESSON CORP FORMERLY MCKESSON HBOC INC	04/02/2009	10/07/2009	588.58	354.15	234.43
20. AMERIPRISE FINANCIAL INC	02/04/2009	10/13/2009	718.68	428.40	290.28
10. PRAXAIR INC	02/04/2009	10/14/2009	833.12	649.40	183.72
10. ANADARKO PETROLEUM CORP	04/09/2009	10/15/2009	654.85	431.18	223.67
10. CATERPILLAR INC	02/06/2009	10/15/2009	541.50	335.11	206.39
Totals					

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DCI753 545H 03/01/2010 721-V27547005

STATEMENT 35

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
18. ANALOGIC CORP	11/17/2006	01/06/2009	510.28	897.69	-387.41
191. JETBLUE AIRWAYS CORP	03/13/2007	01/07/2009	1,438.30	2,175.51	-737.21
11. SALIX PHARMACEUTICALS LTD	07/06/2006	01/09/2009	99.71	140.58	-40.87
32. ALLSTATE CORP	07/31/2007	01/13/2009	953.89	1,737.66	-783.77
58. ALLSTATE CORP	07/31/2007	01/21/2009	1,545.44	3,149.50	-1,604.06
100. INTERWOVEN INC	04/21/2006	01/22/2009	1,539.17	1,052.53	486.64
50. ANALOGIC CORP	11/12/2007	01/23/2009	1,255.37	2,679.77	-1,424.40
331. ASPEN TECHNOLOGY INC	09/07/2006	01/23/2009	2,032.32	2,286.66	-254.34
3. BALDOR ELEC CO	10/11/2007	01/23/2009	44.55	132.52	-87.97
27. COLUMBIA SPORTSWEAR CO	01/16/2008	01/23/2009	835.37	1,009.04	-173.67
158. ELECTRO SCIENTIFIC INDUSTRIES INC	09/20/2007	01/23/2009	973.06	3,426.66	-2,453.60
132. EMCORE CORP	01/22/2008	01/23/2009	171.59	1,233.03	-1,061.44
130. EPICOR SOFTWARE CORP	06/28/2007	01/23/2009	449.80	1,831.82	-1,382.02
131. FEI COMPANY	11/01/2007	01/23/2009	2,473.26	3,609.22	-1,135.96
255. GLATFELTER COMPANY	11/28/2007	01/23/2009	2,188.62	3,778.40	-1,589.78
55. GREATBATCH INC	12/06/2007	01/23/2009	1,219.89	1,066.99	152.90
29. HAIN CELESTIAL GROUP INC	03/16/2006	01/23/2009	454.14	722.01	-267.87
809. KOPIN CORP	05/25/2006	01/23/2009	1,342.93	3,511.74	-2,168.81
611. LIONBRIDGE TECHNOLOGIES	06/05/2006	01/23/2009	837.06	4,326.28	-3,489.22
26. MARTEK BIOSCIENCE CORP	06/07/2007	01/23/2009	698.87	581.38	117.49
163. MEDICINES COMPANY	07/10/2007	01/23/2009	1,887.52	2,853.13	-965.61
241. 1-800-FLOWERS.COM INC	12/11/2007	01/23/2009	812.16	1,961.26	-1,149.10
176. REAL NETWORKS INC	01/07/2008	01/23/2009	515.68	1,087.13	-571.45
277. SALIX PHARMACEUTICALS LTD	06/12/2007	01/23/2009	2,193.82	3,698.18	-1,504.36
371. SILICON IMAGE INC	01/14/2008	01/23/2009	1,432.04	1,718.48	-286.44
252. SYMYX TECHNOLOGIES INC	06/07/2007	01/23/2009	985.31	2,693.75	-1,708.44
206. TETRA TECHNOLOGIES INC DEL	01/22/2008	01/23/2009	1,013.51	4,131.13	-3,117.62
73. THORATEC CORPORATION	05/03/2007	01/23/2009	2,203.12	1,211.60	991.52
138. TIBCO SOFTWARE INC	01/07/2008	01/23/2009	732.77	939.15	-206.38
176. ULTRATECH STEPPER INC	12/07/2006	01/23/2009	1,881.43	2,447.58	-566.15
107. WRIGHT MEDICAL GROUP INC	04/13/2007	01/23/2009	2,267.32	2,561.29	-293.97
Totals					

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
23. GILEAD SCIENCES INC	11/05/2007	01/27/2009	1,108.46	1,067.95	40.51
142. AT&T INC	01/02/2008	01/29/2009	3,535.62	5,866.82	-2,331.20
2041.307 JPMORGAN MID CAP VALUE FD I	01/06/2005	02/02/2009	29,068.21	44,275.95	-15,207.74
321. ISHARES LEHMAN US TREASURY INFLATION	11/28/2005	02/02/2009	31,685.73	33,311.70	-1,625.97
2126.328 JPMORGAN MULTI-CAP MARKET NEUTRAL FUND	11/28/2005	02/02/2009	21,518.44	22,940.12	-1,421.68
3828.484 MANAGERS AMG FUNDS-TIMESSQUARE	01/24/2007	02/02/2009	31,776.42	50,000.00	-18,223.58
25. AT&T INC	01/02/2008	02/04/2009	643.25	1,032.89	-389.64
65. ALLSTATE CORP	08/06/2007	02/04/2009	1,449.49	3,481.69	-2,032.20
58. CHINA MOBILE HK LTD SPONS ADR ISIN #US16941M1099	01/30/2008	02/04/2009	2,709.74	3,205.27	-495.53
403. COMCAST CORP	11/08/2007	02/04/2009	5,572.60	10,056.77	-4,484.17
77. CONOCOPHILLIPS	05/16/2007	02/04/2009	3,556.61	5,180.26	-1,623.65
205. CORNING INC	06/06/2007	02/04/2009	2,230.38	5,210.20	-2,979.82
154. CROWN CASTLE INTERNATIONAL CORP	03/14/2007	02/04/2009	3,180.08	4,939.10	-1,759.02
290. DELL INC	12/03/2007	02/04/2009	2,899.98	7,092.44	-4,192.46
292. DIRECTV GROUP INC	08/28/2007	02/04/2009	6,856.12	6,490.39	365.73
162. ECLYPSYS CORP	09/11/2006	02/04/2009	1,483.93	2,876.85	-1,392.92
71. ENTERGY CORP	12/05/2007	02/04/2009	5,274.56	6,802.61	-1,528.05
40. GENENTECH INC	11/07/2007	02/04/2009	3,298.38	3,086.11	212.27
115. GILEAD SCIENCES INC	11/05/2007	02/04/2009	6,076.56	5,339.73	736.83
300. INTEL CORP	09/24/2007	02/04/2009	4,325.97	7,076.30	-2,750.33
1508. LEVEL 3 COMMUNICATIONS INC	01/14/2008	02/04/2009	1,492.91	7,483.25	-5,990.34
152. ELI LILLY & CO	01/16/2008	02/04/2009	5,906.68	8,623.55	-2,716.87
120. MICROSOFT CORP	06/16/2006	02/04/2009	2,248.78	3,204.10	-955.32
142. GAZPROM O A O SPONSORED	10/18/2007	02/04/2009	1,855.93	6,695.50	-4,839.57
385. ON SEMICONDUCTOR CORP	12/17/2007	02/04/2009	1,605.79	3,616.80	-2,011.01
9. PRICELINE.COM INC	01/28/2008	02/04/2009	639.90	952.72	-312.82
2155. RITE AID CORP	11/08/2007	02/04/2009	502.32	10,287.92	-9,785.60
66. ROCHE HOLDING LTD ADR	08/20/2007	02/04/2009	2,081.63	2,857.22	-775.59
Totals					

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Raabe Foundation Fixed Income Adv 010 33093 2 (Closed)
From 01 Jan 2009 To 31 Dec 2009

Base Currency USD

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AG2TXW6	AB SVENSK EXPORTKRED MTN 0% DUE 07/25/09 18MTH FX ASIA BULL NOTE 98% PRINCIPAL PROTECTED	11 Jan-08	25 Jul-09	160 000	156 800 00	160 000 00	-3 200 00	560	Long Term
NPVSG1	EXPORTFINANS ASA LINKED TO S&P 500 0% COUPON DUE 03/27/2009 STRUCTURED NOTE	18 Jan-08	27 Mar-09	160	160 000 00	165 059 35	5 059 35	433	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	8 Dec-05	20 Feb-09	785 617	4 595 86	14 109 68	9 513 82	1 170	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	29 Jun-06	20 Feb-09	70 659	413 36	1 419 53	1 006 18	967	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	12 Dec-06	20 Feb-09	8 957	52 4	203 05	150 65	801	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	12 Dec-06	20 Feb-09	926 122	5 417 81	20 995 18	15 577 37	801	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	13 Dec-07	20 Feb-09	4 726	27 65	75 23	47 58	435	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	29 Mar-07	20 Feb-09	59 213	346 4	1 000 11	1 000 11	694	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	28 Jun-07	20 Feb-09	62 23	364 04	1 286 91	922 86	503	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	27 Sep-07	20 Feb-09	48 899	286 06	1 031 27	745 21	512	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	13 Dec-07	20 Feb-09	2 114 32	12 368 75	33 659 91	21 291 16	435	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	2 Dec-05	20 Feb-09	3 729 12	21 815 34	71 561 79	49 746 44	1 176	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	8 Dec-05	20 Feb-09	21 253	124 33	381 7	257 37	1 170	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	8 Dec-05	20 Feb-09	24 597	143 89	441 76	297 87	1 170	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	30 Mar-06	20 Feb-09	74 529	436	1 524 11	1 088 12	1 058	Long Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	28 Sep-06	20 Feb-09	78 048	456 58	1 703 00	1 246 42	876	Long Term
EEMBUFF2	EXPORTFINANS ASA LINKED TO EEM 0% COUPON DUE 05/29/2009 STRUCTURED NOTE	14 Nov-07	29 Mar-09	70	50 671 36	70 000 00	19 328 64	561	Long Term
IRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	13 Dec-07	20 Feb-09	673 254	2 706 48	7 567 37	4 860 89	435	Long Term
IRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	28 Jun-07	20 Feb-09	65 077	261 61	811 51	549 9	603	Long Term
IRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	13 Dec-07	20 Feb-09	37 31	149 99	419 36	269 37	435	Long Term
IRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	8 Sep-06	20 Feb-09	9 787 87	39 347 25	99 053 28	59 706 03	896	Long Term
IRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	12 Dec-06	20 Feb-09	84 505	339 71	970 96	631 25	801	Long Term
			Total		457 124 87	653 621 46	(196 496 59)		
GRFAX	GOLDMAN SACHS REAL ESTATE CLASS A	29 Sep-08	20 Feb-09	75 885	443 93	1 050 25	606 32	144	Short Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	28 Mar-08	20 Feb-09	126 381	739 33	1 928 58	1 189 25	329	Short Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	27 Jun-08	20 Feb-09	89 697	524 73	1 297 92	773 19	238	Short Term
GREAX	GOLDMAN SACHS REAL ESTATE CLASS A	9 Dec-08	20 Feb-09	669 819	3 918 44	4 889 68	971 24	73	Short Term
HOMEBUF1	EXPORTFINANS ASA LINKED TO S&P HOMEBLOG SELECT 0% COUPON DUE 09/21/2010 STRUCTURED NOTE	4 Aug-08	31 Jul-09	75	71 962 50	75 000 00	3 037 50	361	Short Term
GRAX	GOLDMAN SACHS INTL REAL ESTATE SECS FUND - CL A	27 Jun-08	20 Feb-09	110 866	445 68	968 97	523 29	238	Short Term
			Total		78 034 61	85,135 40	(7 100 79)		
TOTAL					535 159 47		203 597 39		

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website, which may substantially affect your positions, transactions, gains or losses and any other information that you download.

Terminated - Rabta Foundation - LCSV 02.0 13091 6 (Closest)
from 01 Jan 2009 To 11 Dec 2009

Base Currency: USD

R - allured gains/losses
Symbol Description

	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
S	8 Nov 06	5 Jan 09	320	681.59	6,260.26	5,578.66	789	Long Term
S	7 Nov 06	5 Jan 09	20	42.6	390.38	347.78	790	Long Term
FF	23 Mar 07	5 Jan 09	3	153.45	197.826	44.38	654	Long Term
FI	5 Dec 05	5 Jan 09	7	355.04	329.98	28.06	1,127	Long Term
DUN	9 Apr 07	5 Jan 09	10	706.39	728.214	21.82	637	Long Term
HFS	24 Oct 07	5 Jan 09	4	321.52	271.946	40.43	439	Long Term
HES	24 Aug 07	5 Jan 09	6	347.27	353.97	6.7	500	Long Term
	6 Nov 07	9 Jan 09	40	3,383.00	3,011.60	371.4	430	Long Term
WMR	7 Nov 07	9 Jan 09	13	1,099.47	976.843	122.63	629	Long Term
WHR	1 Jun 07	21 Jan 09	162	2,158.41	5,170.26	3,011.86	600	Long Term
SUU	6 Oct 06	21 Jan 09	18	239.82	424.2	184.38	838	Long Term
SUU	8 Feb 07	21 Jan 09	180	3,318.06	6,895.93	3,577.87	713	Long Term
SUU	7 Feb 07	21 Jan 09	44	811.08	1,689.04	877.96	714	Long Term
SUU	16 Jan 07	21 Jan 09	92	1,695.90	3,205.97	1,510.07	736	Long Term
L	15 Mar 07	22 Jan 09	19	58.56	949.79	891.23	679	Long Term
C	23 Apr 07	22 Jan 09	145	446.92	7,700.24	7,253.32	640	Long Term
C	4 May 07	22 Jan 09	60	184.93	3,247.78	3,062.85	629	Long Term
BAC	16 Jun 06	22 Jan 09	88	503.95	4,183.97	3,680.02	951	Long Term
BAC	18 Sep 06	22 Jan 09	42	240.52	2,178.88	1,938.36	857	Long Term
HQD	9 Mar 07	26 Jan 09	70	7,507.20	2,797.69	2,900.49	668	Long Term
HQD	30 Mar 07	26 Jan 09	17	608.89	675.761	70.87	668	Long Term
HES	24 Oct 07	26 Jan 09	21	1,293.47	1,427.71	134.24	460	Long Term
HES	29 Oct 07	26 Jan 09	20	1,231.88	1,433.62	201.74	455	Long Term
HES	8 Nov 07	26 Jan 09	5	307.97	353.307	45.34	445	Long Term
HES	4 Dec 07	3 Feb 09	10	551.76	740.75	189.49	427	Long Term
HES	8 Nov 07	3 Feb 09	10	551.26	706.613	155.35	453	Long Term
HQD	13 Apr 07	9 Feb 09	18	658.57	740.565	82	668	Long Term
HQD	30 Mar 07	9 Feb 09	42	1,538.66	1,679.41	142.75	682	Long Term
NVL	4 Oct 05	11 Feb 09	21	167.07	475.63	313.56	1,226	Long Term
NVL	5 Oct 05	11 Feb 09	25	192.94	565.68	372.74	1,225	Long Term
NVL	6 Oct 05	11 Feb 09	70	540.23	1,568.22	1,027.99	1,224	Long Term
NVL	7 Oct 05	11 Feb 09	85	655.99	1,910.32	1,254.33	1,223	Long Term
NVL	5 Dec 05	11 Feb 09	100	771.75	2,329.00	1,557.25	1,164	Long Term
NVL	10 Feb 06	11 Feb 09	11	84.89	261.47	176.58	1,097	Long Term
NVL	4 Sep 07	12 Feb 09	45	346.3	1,174.82	828.52	527	Long Term
NVL	5 Sep 07	12 Feb 09	10	76.96	259.5	182.54	528	Long Term
NVL	10 Feb 06	12 Feb 09	44	338.6	1,045.88	707.28	1,098	Long Term
WMB	1 Jun 07	24 Feb 09	103	1,153.57	3,287.27	2,133.70	634	Long Term
IFI	1 Jun 07	4 Mar 09	8	89.06	291.71	202.65	642	Long Term
IFI	4 Jun 07	4 Mar 09	108	1,202.31	3,941.96	2,739.65	639	Long Term
IFI	11 Jun 07	4 Mar 09	30	331.97	1,107.95	773.98	632	Long Term
CE	20 Jun 07	4 Mar 09	106	673.86	4,186.84	3,512.98	623	Long Term
GF	25 Jun 07	4 Mar 09	115	731.07	4,422.18	3,691.11	618	Long Term
FI	26 Jun 07	4 Mar 09	70	445	2,671.13	2,226.13	617	Long Term
FI	7 Nov 07	9 Mar 09	27	2,428.52	2,028.83	400.69	487	Long Term
FI	26 Feb 07	13 Mar 09	193	1,578.69	4,104.94	2,526.25	745	Long Term
FI	9 Oct 06	13 Mar 09	141	1,153.34	2,653.15	1,499.81	886	Long Term
FI	16 Mar 07	13 Mar 09	51	417.17	993.243	575.08	728	Long Term
UIN	23 Aug 07	17 Mar 09	272	5,055.33	8,109.32	3,053.99	572	Long Term
UIN	23 Aug 07	18 Mar 09	37	686.52	1,103.11	416.59	573	Long Term
UIN	4 Dec 07	18 Mar 09	123	2,282.21	4,364.47	2,082.26	469	Long Term
UIN	24 Aug 07	18 Mar 09	60	1,113.27	1,793.74	680.47	572	Long Term
S	8 Nov 06	24 Mar 09	168	626.46	3,286.63	2,660.17	865	Long Term
S	8 Nov 06	25 Mar 09	261	986.83	5,106.02	4,119.19	867	Long Term
DUN	9 Apr 07	26 Mar 09	4	194.04	291.286	97.24	717	Long Term
DUN	4 May 07	26 Mar 09	36	1,746.37	2,759.22	1,012.85	692	Long Term
TWC	16 Mar 07	27 Mar 09	0.57	14.4	14.4	0	742	Long Term
DUN	11 May 07	27 Mar 09	17	786.61	1,456.25	488.96	686	Long Term
DUN	4 May 07	27 Mar 09	19	875.16	1,456.25	577.1	693	Long Term
TWC	16 Mar 07	8 Apr 09	2	53.21	139.829	86.62	754	Long Term
TWC	23 Apr 07	8 Apr 09	18	478.86	1,115.11	636.26	716	Long Term
TWC	25 Sep 07	8 Apr 09	24	638.47	1,291.32	652.84	561	Long Term
TWC	30 Nov 07	8 Apr 09	16	425.65	791.343	365.69	494	Long Term
TWC	19 Feb 08	8 Apr 09	8	212.87	391.087	178.26	413	Long Term

RE	EVEREST RE GROUP LTD CMN	14 Feb 07	16 Apr 09	22	1,640.05	2,131.66	491.61	791 Long Term
WMT	WAL MART STORES INC CMN	2 Apr 08	17 Apr 09	37	1,886.22	2,014.91	128.69	380 Long Term
WMT	WAL MART STORES INC CMN	4 Apr 08	17 Apr 09	32	1,631.33	1,750.74	119.41	378 Long Term
AMTD	EVEREST RE GROUP LTD CMN	14 Feb 07	17 Apr 09	11	803.02	1,065.83	262.81	792 Long Term
V	TO AMERITRADE HOLDING CORPORAT CMN	2 Apr 08	23 Apr 09	185	3,050.00	3,319.68	269.68	386 Long Term
F	VISA INC CMN CLASS A	19 Mar 08	23 Apr 09	60	3,482.86	3,580.82	97.96	400 Long Term
F	AT&T INC CMN	13 Mar 07	7 May 09	40	1,020.06	1,490.82	470.76	788 Long Term
F	AT&T INC CMN	16 Mar 07	7 May 09	55	1,402.58	2,037.03	634.45	783 Long Term
F	AT&T INC CMN	23 Apr 07	7 May 09	47	1,198.57	1,869.79	671.22	745 Long Term
WYTH CMN	WYTH CMN	19 Jan 07	8 May 09	53	2,333.56	2,760.41	426.85	839 Long Term
RAY	RAYTER INTERNATIONAL INC CMN	23 Jan 07	8 May 09	26	1,144.77	1,303.25	158.48	835 Long Term
RAY	RAYTER INTERNATIONAL INC CMN	26 Mar 06	8 May 09	43	2,173.71	1,647.76	525.95	1,078 Long Term
RAY	RAYTER INTERNATIONAL INC CMN	23 Jan 08	8 May 09	60	3,033.09	3,612.09	579	670 Long Term
RAY	RAYTER INTERNATIONAL INC CMN	11 Jan 08	8 May 09	35	1,769.30	2,228.37	459.07	482 Long Term
RAY	RAYTER INTERNATIONAL INC CMN	16 Jan 08	8 May 09	45	2,274.82	2,901.51	626.69	477 Long Term
PBF	PARTNERF LTD BERMUDA CMN	7 Mar 05	8 May 09	58	3,865.26	3,753.18	112.08	1,522 Long Term
PGC	P G & C CORPORATION CMN	30 Aug 07	8 May 09	120	4,451.34	5,317.10	865.76	617 Long Term
PBF	FIRSTENERGY CORP CMN	26 Mar 07	8 May 09	60	2,545.13	3,966.95	1,421.82	774 Long Term
PBF	PARTNERF LTD BERMUDA CMN	5 Dec 05	8 May 09	25	1,666.06	1,644.00	22.06	1,249 Long Term
FI	PARTNERF LTD BERMUDA CMN	10 Feb 06	8 May 09	10	666.42	604.7	61.72	1,182 Long Term
FI	FIRSTENERGY CORP CMN	27 Mar 07	8 May 09	10	424.19	663.65	239.46	773 Long Term
FI	FIRSTENERGY CORP CMN	29 Mar 07	8 May 09	64	2,714.81	4,282.01	1,547.20	771 Long Term
FI	FIRSTENERGY CORP CMN	23 Mar 07	8 May 09	13	551.45	857.244	305.8	777 Long Term
FI	FIRSTENERGY CORP CMN	4 Apr 08	8 May 09	25	1,060.47	1,812.21	751.74	399 Long Term
AMTD	TO AMERITRADE HOLDING CORPORAT CMN	2 Apr 08	8 May 09	20	332.6	2,817.57	711.37	563 Long Term
TRV	THE TRAVELERS COMPANIES INC CMN	24 Oct 07	8 May 09	55	2,106.20	1,044.12	278.23	561 Long Term
TRV	THE TRAVELERS COMPANIES INC CMN	25 Oct 07	8 May 09	20	765.89	2,119.90	588.12	557 Long Term
TRV	THE TRAVELERS COMPANIES INC CMN	29 Oct 07	8 May 09	40	1,531.78	6,070.37	752.01	627 Long Term
TRV	PHILIP MORRIS INTL INC CMN	20 Aug 07	8 May 09	130	5,318.36	1,544.97	396.14	535 Long Term
TRV	THE TRAVELERS COMPANIES INC CMN	19 Nov 07	8 May 09	30	1,148.84	1,256.57	134.38	1,127 Long Term
PM	PHILIP MORRIS INTL INC CMN	12 Apr 06	8 May 09	34	1,390.86	2,558.73	308.66	637 Long Term
PM	PHILIP MORRIS INTL INC CMN	10 Aug 07	8 May 09	55	2,250.07	1,800.42	550.58	414 Long Term
INZ	INVESCO LTD CMN	20 Mar 08	8 May 09	75	1,245.84	1,832.38	749.18	510 Long Term
INZ	INVESCO LTD CMN	14 Dec 07	8 May 09	65	1,083.20	1,479.98	168.79	507 Long Term
INZ	INVESCO LTD CMN	17 Dec 07	8 May 09	15	249.97	1,479.98	513.44	440 Long Term
INZ	INVESCO LTD CMN	22 Feb 08	8 May 09	58	966.54	1,427.22	343.19	549 Long Term
WMA	WASTE MANAGEMENT INC CMN	5 Nov 07	8 May 09	40	1,084.03	2,927.95	488.88	454 Long Term
WMA	WASTE MANAGEMENT INC CMN	3 Jan 07	8 May 09	90	2,419.07	3,390.48	924.31	855 Long Term
WM	WASTE MANAGEMENT INC CMN	8 Feb 08	8 May 09	91	2,466.17	3,566.65	1,277.85	515 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	5 Dec 07	8 May 09	100	2,288.80	248.384	88.17	520 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	4 Dec 07	8 May 09	7	160.22	177.4	62.96	518 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	22 Jan 08	8 May 09	5	114.44	5,876.70	1,665.31	471 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	24 Apr 08	8 May 09	20	1,448.95	1,833.73	384.78	379 Long Term
RE	EVEREST RE GROUP LTD CMN	23 Jan 08	8 May 09	35	801.08	1,058.76	257.68	470 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	33 Jan 08	8 May 09	60	1,373.28	1,839.32	465.95	451 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	11 Feb 08	8 May 09	25	1,811.19	2,422.35	611.15	813 Long Term
RE	EVEREST RE GROUP LTD CMN	14 Feb 07	8 May 09	25	1,811.19	2,303.06	491.87	381 Long Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	22 Apr 08	8 May 09	27	1,762.18	2,112.53	350.35	454 Long Term
UN	LABORATORY CORPORATION OF AMER CMN	8 Feb 08	8 May 09	20	1,305.32	1,579.51	274.19	451 Long Term
UN	LABORATORY CORPORATION OF AMER CMN	11 Feb 08	8 May 09	20	1,305.32	1,579.51	274.19	451 Long Term
UN	LABORATORY CORPORATION OF AMER CMN	25 Feb 08	8 May 09	45	2,936.97	3,564.76	627.29	437 Long Term
UN	LABORATORY CORPORATION OF AMER CMN	22 Apr 08	8 May 09	5	32.77	87.724	54.96	381 Long Term
WLP	WELLPOINT INC CMN	9 Jan 07	8 May 09	81	3,967.05	6,218.74	2,351.69	849 Long Term
WLP	WELLPOINT INC CMN	27 Apr 06	8 May 09	37	1,812.11	2,468.83	656.72	1,107 Long Term
V	VISA INC CMN CLASS A	19 Mar 08	8 May 09	17	1,141.31	1,014.57	126.74	415 Long Term
INI	JOHNSON & JOHNSON CMN	16 Aug 07	8 May 09	100	511.93	6,131.20	619.27	631 Long Term
INI	JOHNSON & JOHNSON CMN	22 Jan 08	8 May 09	70	3,858.35	4,601.62	743.27	471 Long Term
INI	JOHNSON & JOHNSON CMN	17 Dec 07	8 May 09	75	4,133.95	5,074.19	940.24	507 Long Term
HPQ	HEWLETT PACKARD CO CMN	17 Apr 07	8 May 09	29	1,002.98	1,186.89	183.91	752 Long Term
HPQ	HEWLETT PACKARD CO CMN	11 Feb 08	8 May 09	40	1,383.42	1,696.14	312.72	451 Long Term
HPQ	HEWLETT PACKARD CO CMN	13 Apr 07	8 May 09	2	69.17	82.285	13.11	756 Long Term
HPQ	HEWLETT PACKARD CO CMN	16 Apr 07	8 May 09	20	691.71	820.97	129.26	753 Long Term
HPQ	HEWLETT PACKARD CO CMN	18 Apr 07	8 May 09	15	518.78	613.05	94.27	751 Long Term
HPQ	HEWLETT PACKARD CO CMN	9 Jan 08	8 May 09	60	2,075.13	2,617.76	542.63	484 Long Term
HPQ	HEWLETT PACKARD CO CMN	14 Jan 08	8 May 09	20	691.71	932.33	240.62	479 Long Term
WMT	WAL MART STORES INC CMN	4 Apr 08	8 May 09	103	5,179.66	5,635.18	455.52	399 Long Term
WMT	WAL MART STORES INC CMN	11 Apr 08	8 May 09	29	1,458.35	1,597.07	138.72	393 Long Term
S	SPRINT NEXTEL CORPORATION CMN	16 Feb 07	8 May 09	424	2,239.06	9,251.25	5,957.19	811 Long Term
S	SPRINT NEXTEL CORPORATION CMN	26 Feb 07	8 May 09	241	1,273.89	4,677.06	3,403.17	801 Long Term
S	SPRINT NEXTEL CORPORATION CMN	10 Feb 06	8 May 09	133	703.07	2,601.92	1,898.90	911 Long Term
FT	ENTERGY CORPORATION CMN	10 Feb 06	8 May 09	30	2,255.36	2,092.08	163.28	1,182 Long Term
FT	ENTERGY CORPORATION CMN	13 Aug 07	8 May 09	95	502.16	1,856.83	1,354.67	634 Long Term
FT	ENTERGY CORPORATION CMN	5 Dec 05	8 May 09	45	3,383.04	3,137.18	245.86	1,249 Long Term
FT	ENTERGY CORPORATION CMN	15 Feb 06	8 May 09	116	8,720.72	5,092.61	628.1	1,177 Long Term

FTT	ENTERGY CORPORATION CMN	11 Apr 06	20	1,503.57	1,374.88	1,123 Long Term
T	AT&T INC CMN	23 Apr 07	43	1,088.73	1,210.66	746 Long Term
MTB	MET BANK CORPORATION CMN	26 Jul 07	20	1,095.57	2,988.23	652 Long Term
MTB	MET BANK CORPORATION CMN	7 Aug 07	15	824.68	1,632.25	639 Long Term
MTB	MET BANK CORPORATION CMN	8 May 09	27	1,484.42	2,481.93	997.51
TMX	TIME WARNER INC CMN	16 Mar 07	10	251.54	424.388	172.85
TMX	TIME WARNER INC CMN	25 Sep 07	96	2,414.80	3,919.20	591 Long Term
TMX	TIME WARNER INC CMN	19 Feb 08	32	804.94	1,186.96	443 Long Term
TMX	TIME WARNER INC CMN	23 Apr 07	72	1,811.10	3,384.41	1,573.30
TMX	TIME WARNER INC CMN	30 May 07	62	1,559.56	2,401.76	842.2
TMX	TIME WARNER INC CMN	10 Jan 08	190	1,004.31	2,442.72	1,438.41
TMX	TIME WARNER INC CMN	6 May 09	455	2,405.07	3,943.53	1,538.46
TMX	TIME WARNER INC CMN	24 Apr 08	460	2,431.50	3,629.77	1,198.27
TMX	TIME WARNER INC CMN	29 Jan 08	15	966.92	1,299.71	292.79
TMX	TIME WARNER INC CMN	20 Mar 08	17	1,312.83	1,899.14	586.31
TMX	TIME WARNER INC CMN	11 May 07	23	1,482.60	2,431.77	728 Long Term
TMX	TIME WARNER INC CMN	18 May 07	40	2,578.44	3,109.20	530.76
TMX	TIME WARNER INC CMN	19 Mar 08	15	1,158.38	1,698.96	540.58
TMX	TIME WARNER INC CMN	1 May 08	26	2,007.86	3,222.48	1,214.62
TMX	TIME WARNER INC CMN	15 Oct 07	85	3,231.12	3,935.54	704.42
TMX	TIME WARNER INC CMN	31 Oct 07	160	6,082.11	7,567.62	1,485.51
TMX	TIME WARNER INC CMN	15 Feb 06	146	5,549.93	5,834.20	384.27
TMX	TIME WARNER INC CMN	15 Jun 06	110	4,181.45	4,458.94	777.49
TMX	TIME WARNER INC CMN	24 Apr 08	80	2,652.24	4,488.97	1,832.33
TMX	TIME WARNER INC CMN	15 Feb 07	80	1,528.67	4,057.18	528.51
TMX	TIME WARNER INC CMN	31 Jul 07	25	1,107.26	2,286.11	1,178.85
TMX	TIME WARNER INC CMN	13 Mar 08	15	1,042.48	1,042.48	378.12
TMX	TIME WARNER INC CMN	29 Apr 08	15	664.36	1,137.19	472.83
TMX	TIME WARNER INC CMN	29 Dec 06	18	797.23	1,547.27	750.04
TMX	TIME WARNER INC CMN	26 Jan 07	60	2,646.50	3,072.41	425.91
TMX	TIME WARNER INC CMN	23 Jan 07	46	2,028.98	2,305.74	276.76
TMX	TIME WARNER INC CMN	8 May 09	25	258,262.90	398,323.18	835 Long Term
TMX	TIME WARNER INC CMN	8 May 09	25	258,262.90	398,323.18	835 Long Term

SM	SMITH INTERNATIONAL INC CMN	1 Oct 08	10	265.29	565.766	300.48	96 Short Term
AMGN	AMGEN INC CMN	27 Mar 08	5	294.94	215.086	79.85	223 Short Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16 Sep 08	10	552.59	848.995	296.4	111 Short Term
BA	BOEING COMPANY CMN	25 Jul 08	10	459.49	633.779	174.29	164 Short Term
MS	MORGAN STANLEY CMN	29 Dec 08	15	264.14	215.864	48.28	7 Short Term
FOG	FOG RESOURCES INC CMN	19 Mar 08	5	350.29	566.32	216.03	292 Short Term
SLM	SLM CORPORATION CMN	22 Apr 08	30	293.69	516.346	232.65	258 Short Term
EXM	EXXON MOBIL CORPORATION CMN	29 Sep 08	5	405.79	393.982	11.81	98 Short Term
SLM	SLM CORPORATION CMN	11 Mar 08	20	195.8	355.02	159.22	300 Short Term
VIA	VIAIR INC CMN CLASS B	18 Jul 08	40	801.99	1,180.92	378.93	171 Short Term
VIA	VIAIR INC CMN CLASS A	19 Mar 08	5	269.54	298.402	28.86	292 Short Term
ST	STATE STREET CORPORATION INEW CMN	4 Jun 08	32	1,409.33	2,265.02	855.69	217 Short Term
ST	STATE STREET CORPORATION INEW CMN	4 Jun 08	48	2,040.63	3,397.54	1,356.91	218 Short Term
UPS	UNITED PARCEL SERVICE INC CLASS B COMMON STOCK	17 Jul 08	85	3,976.35	5,072.53	1,096.18	188 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	76	1,066.69	2,981.08	406.77	122 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	50	714.93	2,056.74	1,341.81	153 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	121	372.94	2,398.07	2,025.13	122 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	150	462.33	3,303.99	1,225.02	275 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	55	1,659.52	1,394.54	5,973.96	267 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	160	916.26	6,890.22	1,805.93	338 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	22 Sep 08	62	2,440.83	4,246.76	1,805.93	178 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	23 Jan 09	72	1,775.24	4,073.51	2,298.28	114 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	23 Jan 09	70	1,725.92	2,430.30	704.28	79 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	23 Jan 09	64	2,494.03	2,758.04	263.96	47 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	27 Jan 09	17	662.49	735.71	73.22	48 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	3 Feb 09	10	330.76	349.955	19.2	92 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	3 Feb 09	6	551.26	946.19	394.93	337 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	9 Feb 09	24	1,343.13	2,037.59	695.46	146 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	10 Feb 09	18	973.64	1,528.19	554.55	147 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	24 Feb 09	137	1,534.36	2,713.60	1,179.24	126 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	24 Feb 09	22	1,065.36	1,867.79	798.43	161 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	24 Feb 09	16	777.72	1,176.65	398.93	153 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	24 Feb 09	92	584.86	1,852.39	1,267.53	146 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	9 Mar 09	45	2,068.41	3,309.32	1,240.91	166 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	9 Mar 09	30	2,699.46	2,720.07	20.61	172 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	10 Mar 09	10	3,075.13	3,588.10	508.97	148 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	14 Apr 09	394	5,351.09	5,960.00	708.91	74 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	7 Apr 09	162	3,040.15	4,301.00	1,260.85	186 Short Term
ST	SUNTRUST BANKS INC \$1.00 PAR CMN	7 Apr 09	25	656.9	754.353	97.45	55 Short Term

MSFT	20 Nov 08	7 Apr 09	80	1,501.31	1,470.11	31.2	237 Short Term
MS	29 Dec 08	2,227.16	93	1,338.35	888.81	98 Short Term	
CPB	10 Feb 09	3,304.39	126	3,801.94	497.55	56 Short Term	
TWC	23 May 08	266.03	10	507.525	236.49	320 Short Term	
AMGN	27 Mar 08	2,365.95	55	2,385.95	254.66	321 Short Term	
PG	13 Mar 09	5,517.25	112	5,183.63	333.62	41 Short Term	
RTX	23 Oct 08	1,533.44	24	1,658.14	124.7	182 Short Term	
OM	29 Sep 08	1,876.52	38	2,206.30	329.78	213 Short Term	
AMGN	24 Jun 08	1,690.47	35	1,609.68	80.79	311 Short Term	
AMGN	27 May 08	1,207.48	25	1,075.43	132.05	339 Short Term	
AMGN	14 Jul 08	579.59	12	611.945	31.36	291 Short Term	
AMGN	14 Jul 08	46.92	1	50.995	4.07	297 Short Term	
AMGN	15 Jul 08	1,501.55	32	1,668.37	166.82	296 Short Term	
AMGN	21 Sep 08	1,575.09	25	1,838.51	263.42	225 Short Term	
AMGN	30 Sep 08	849.15	18	938.455	89.3	297 Short Term	
AMGN	30 Sep 08	1,887.01	40	2,349.95	462.94	220 Short Term	
APD	27 Jan 09	2,612.83	42	2,301.86	320.97	100 Short Term	
APD	24 Sep 08	811.83	13	956.025	144.2	226 Short Term	
APD	25 Jul 08	4,346.38	95	6,020.90	1,674.52	287 Short Term	
APD	31 Oct 08	820.99	13	898.159	77.17	197 Short Term	
APD	31 Oct 08	757.84	12	818.79	60.95	188 Short Term	
APD	24 Oct 08	1,010.45	16	1,073.22	62.77	196 Short Term	
APD	3 Nov 08	1,705.14	27	1,859.04	153.9	185 Short Term	
APD	1 Oct 08	2,615.21	37	2,818.48	203.28	219 Short Term	
APD	29 Sep 08	3,392.70	48	3,782.23	389.53	221 Short Term	
APD	7 May 09	3,368.73	111	3,473.50	74.77	1 Short Term	
APD	13 Mar 09	5,614.98	108	4,988.50	616.48	56 Short Term	
APD	8 May 09	2,304.37	240	4,327.34	76.54	45 Short Term	
APD	24 Mar 09	2,633.36	35	3,688.6	453.05	70 Short Term	
APD	2 Jun 08	3,941.78	184	3,365.67	105.24	340 Short Term	
APD	13 Oct 08	2,058.81	45	2,030.15	576.11	21 Short Term	
APD	27 Mar 09	2,699.40	41	2,380.44	28.66	42 Short Term	
APD	8 May 09	1,316.78	20	1,250.94	318.96	336 Short Term	
APD	6 Jun 08	2,436.04	19	2,421.82	580.25	331 Short Term	
APD	11 Jun 08	915.03	37	936.53	497.01	247 Short Term	
APD	22 Sep 08	1,423.78	20	1,618.04	987.53	228 Short Term	
APD	14 Oct 08	3,325.70	25	3,520.06	600.49	206 Short Term	
APD	4 Sep 08	983.18	68	518.072	474.26	246 Short Term	
APD	7 May 09	2,348.71	36	1,268.38	5.64	1 Short Term	
APD	29 Dec 08	3,550.38	130	2,998.31	465.11	129 Short Term	
APD	30 Dec 08	778.03	86	2,007.46	1,080.33	83 Short Term	
APD	3 Jun 08	2,926.89	10	3,438.47	552.07	339 Short Term	
APD	18 Nov 08	3,938.55	176	5,588.93	353.84	339 Short Term	
APD	23 Apr 09	4,295.60	260	5,588.93	919.43	170 Short Term	
APD	20 Mar 08	1,434.59	105	1,314.95	500.08	15 Short Term	
APD	7 Apr 09	649.92	51	900.2	1,293.34	353 Short Term	
APD	18 Jul 08	1,099.86	39	1,545.76	119.64	31 Short Term	
APD	21 Jul 08	749.91	66	1,042.56	250.28	294 Short Term	
APD	22 Jul 08	1,997.18	45	1,972.43	445.9	291 Short Term	
APD	9 Apr 09	1,382.14	71	1,520.95	292.65	290 Short Term	
APD	13 Oct 08	460.71	51	517.91	24.75	29 Short Term	
APD	15 Oct 08	5,003.48	17	4,380.96	138.81	207 Short Term	
APD	18 Nov 08	352.31	108	393.53	57.2	205 Short Term	
APD	14 Oct 08	2,270.10	13	1,903.61	622.52	170 Short Term	
APD	25 Nov 08	227.97	49	366.49	41.22	206 Short Term	
APD	3 Dec 08	3,242.99	6	2,412.75	393.53	163 Short Term	
APD	31 Dec 08	3,650.44	70	4,570.62	50.41	155 Short Term	
APD	4 Dec 08	2,433.63	52	2,191.82	830.24	154 Short Term	
APD	18 Sep 08	3,951.79	105	4,944.69	463.82	127 Short Term	
APD	12 Feb 09	2,411.94	108	2,411.94	920.18	232 Short Term	
APD	4 Sep 08	1,905.05	70	1,615.45	241.81	84 Short Term	
APD	1 Jul 08	747.09	90	1,998.49	992.9	246 Short Term	
APD	23 May 08	1,615.45	35	1,998.49	311 Short Term		
APD	22 Aug 08	557.04	114	2,714.04	127.63	350 Short Term	
APD	27 May 08	1,245.15	85	1,132.87	495.97	350 Short Term	
APD	25 Aug 08	3,218.91	180	3,108.36	868.36	259 Short Term	
APD	9 Feb 09	2,253.24	25	2,208.68	1,441.45	346 Short Term	
APD	9 Apr 09	1,665.18	70	1,795.15	1,468.89	256 Short Term	
APD	10 Feb 09	4,226.73	49	3,515.09	1,674	168 Short Term	
APD	3 Sep 08	1,665.18	45	1,665.18	16.74	87 Short Term	
APD	17 Dec 08	1,665.18	67	1,665.18	110.55	29 Short Term	
APD	17 Dec 08	1,665.18	67	1,665.18	29 Short Term		
APD	17 Dec 08	1,665.18	67	1,665.18	86 Short Term		
APD	17 Dec 08	1,665.18	67	1,665.18	337 Short Term		
APD	17 Dec 08	1,665.18	67	1,665.18	247 Short Term		
APD	17 Dec 08	1,665.18	67	1,665.18	141 Short Term		

WLP	WELLPOINT INC CMN	4 Sep 08	8 May 09	25	1,224.40	1,299.71	75.31	246 Short Term
HES	HESS CORPORATION CMN	3 Dec 08	8 May 09	39	2,460.34	2,274.73	185.63	185 Short Term
HES	HESS CORPORATION CMN	23 Dec 08	8 May 09	40	2,523.42	1,868.34	655.08	146 Short Term
HES	HESS CORPORATION CMN	23 Dec 08	8 May 09	44	2,775.76	2,117.84	657.92	135 Short Term
TRV	THE TRAVELERS COMPANIES INC CMN	21 Jan 09	8 May 09	95	3,637.98	3,698.79	60.81	106 Short Term
TRV	THE TRAVELERS COMPANIES INC CMN	11 Jun 08	8 May 09	90	3,446.51	3,943.26	496.85	301 Short Term
V	VISA INC CMN CLASS A	24 Oct 08	8 May 09	56	7,595.61	2,860.68	1,078.93	136 Short Term
RIG	TRANSOCEAN LTD CMN	20 Feb 09	8 May 09	54	4,100.21	3,161.31	938.9	76 Short Term
HIG	TRANSOCEAN LTD CMN	26 Mar 09	8 May 09	32	2,429.76	2,064.70	365.06	43 Short Term
HIG	THE HOME DEPOT INC CMN	23 Dec 08	8 May 09	170	4,326.38	4,030.96	295.42	135 Short Term
JUL	JOHNSON & JOHNSON CMN	27 Oct 08	8 May 09	58	3,195.92	3,598.89	401.97	193 Short Term
CH	CHUBB CORP CMN	28 Oct 08	8 May 09	74	2,985.63	3,346.61	360.98	192 Short Term
CH	GENERAL MILLS INC CMN	12 Dec 08	8 May 09	25	1,338.66	1,513.00	174.54	146 Short Term
EM	EMERSON ELECTRIC CO CMN	19 Mar 09	8 May 09	115	4,193.98	3,187.55	1,006.43	50 Short Term
NKE	NIKE CLASS B CMN CLASS B	22 Jan 09	8 May 09	73	3,974.24	3,338.11	638.13	50 Short Term
EL	GENERAL MILLS INC CMN	15 Dec 08	8 May 09	50	2,676.93	3,014.48	337.55	143 Short Term
EL	EMERSON ELECTRIC CO CMN	21 Apr 09	8 May 09	20	729.39	636.54	92.85	17 Short Term
EL	NIFE CLASS B CMN CLASS B	17 Apr 09	8 May 09	39	2,123.23	2,090.21	33.02	21 Short Term
AF	AMERICAN ELECTRIC POWER INC CMN	7 Apr 09	8 May 09	125	3,292.02	3,274.40	17.22	31 Short Term
HPO	HEWLETT PACKARD CO CMN	7 Apr 09	8 May 09	103	3,562.31	3,411.36	150.95	31 Short Term
MSFT	MICROSOFT CORPORATION CMN	21 Jan 09	8 May 09	180	3,490.97	3,411.47	79.5	106 Short Term
MSFT	MICROSOFT CORPORATION CMN	20 Nov 08	8 May 09	50	969.71	918.819	50.89	168 Short Term
BIEN	FRANKLIN RESOURCES INC CMN	19 Nov 08	8 May 09	50	3,201.65	2,539.67	661.98	169 Short Term
BIQ	THE RMO FISHER SCIENTIFIC INC CMN	4 May 09	8 May 09	92	3,384.44	3,417.38	32.94	4 Short Term
PIV	STAPLES INC CMN	23 Apr 09	8 May 09	206	4,124.09	4,322.72	198.63	15 Short Term
REN	FRANKLIN RESOURCES INC CMN	22 Oct 08	8 May 09	59	3,777.95	3,782.32	4.37	198 Short Term
RE	EVEREST RE GROUP LTD CMN	21 Jan 09	8 May 09	35	2,535.67	2,407.30	128.37	106 Short Term
RIIB	RIOGEN INTEC INC CMN	21 Jan 09	8 May 09	64	3,129.41	3,210.08	80.67	106 Short Term
RIIB	RIOGEN INTEC INC CMN	10 Mar 09	8 May 09	67	3,276.10	3,069.44	206.66	59 Short Term
1	AT&T INC CMN	3 Mar 09	8 May 09	143	3,620.66	3,276.06	344.6	65 Short Term
VIAA	VIACOM INC CMN CLASS B	18 Jul 08	8 May 09	32	687.93	944.738	256.81	294 Short Term
VIAA	VIACOM INC CMN CLASS B	21 Jul 08	8 May 09	99	2,128.27	2,920.60	792.33	291 Short Term
VIAA	VIACOM INC CMN CLASS B	15 Oct 08	8 May 09	179	3,848.09	3,310.23	537.86	205 Short Term
VIAA	VIACOM INC CMN CLASS B	23 May 08	8 May 09	41	1,031.32	1,525.19	493.86	350 Short Term
S	SPRINT NEXTEL CORPORATION CMN	12 Jun 08	8 May 09	230	1,215.75	1,882.07	666.32	330 Short Term
ST	STATE STREET CORPORATION (NEW) CMN	3 Jun 08	8 May 09	65	343.58	631.34	287.76	339 Short Term
ST	BANK OF AMERICA CORP CMN	23 Apr 09	8 May 09	105	4,475.61	3,726.42	749.19	15 Short Term
ST	BANK OF AMERICA CORP CMN	13 Apr 09	8 May 09	410	5,804.26	4,481.71	1,322.55	25 Short Term
ST	BANK OF AMERICA CORP CMN	1 May 09	8 May 09	582	8,239.21	5,117.81	3,126.40	7 Short Term
DEV	DEVON ENERGY CORPORATION (NEW) CMN	23 Jan 09	8 May 09	39	2,513.98	2,360.83	153.15	104 Short Term
DEV	DEVON ENERGY CORPORATION (NEW) CMN	30 Jan 09	8 May 09	34	2,151.68	2,112.06	39.62	97 Short Term
LOG	LOG RESOURCES INC CMN	19 Jun 08	8 May 09	22	1,698.96	2,538.01	1,239.06	323 Short Term
LOG	LOG RESOURCES INC CMN	20 Jun 08	8 May 09	31	2,393.98	4,084.57	1,690.59	322 Short Term
FOG	FOG RESOURCES INC CMN	23 Jun 08	8 May 09	10	772.25	1,344.01	571.76	319 Short Term
FOG	FOG RESOURCES INC CMN	26 Jun 08	8 May 09	17	1,312.83	2,158.78	845.95	316 Short Term
FOG	FOG RESOURCES INC CMN	18 Sep 08	8 May 09	24	1,853.40	2,154.67	301.26	232 Short Term
FOG	FOG RESOURCES INC CMN	29 Oct 08	8 May 09	24	1,853.40	1,765.60	87.8	191 Short Term
FOG	FOG RESOURCES INC CMN	6 Oct 08	8 May 09	65	4,623.50	4,966.48	342.98	214 Short Term
TXM	TXKON MOBIL CORPORATION CMN	26 Jan 09	8 May 09	27	1,970.53	2,128.66	208.13	101 Short Term
TXM	TXKON MOBIL CORPORATION CMN	1 Oct 08	8 May 09	5	355.65	380.876	25.22	219 Short Term
TXM	TXKON MOBIL CORPORATION CMN	20 Jan 09	8 May 09	35	2,489.58	2,710.04	220.46	107 Short Term
TXM	TXKON MOBIL CORPORATION CMN	7 Apr 09	8 May 09	126	3,216.69	2,832.24	384.45	31 Short Term
WFI	KRAFT FOODS INC CMN CLASS A	14 Nov 08	8 May 09	50	1,900.66	1,770.98	129.68	174 Short Term
JPM	JPMORGAN CHASE & CO CMN	28 Jul 08	8 May 09	13	609.2	890.45	281.25	284 Short Term
ZIM	ZIMMER HILDS INC CMN	12 Sep 08	8 May 09	10	468.61	715.69	248.08	238 Short Term
ZIM	ZIMMER HILDS INC CMN	15 Sep 08	8 May 09	33	1,546.42	2,314.98	768.56	235 Short Term
CMCA	COMCAST CORPORATION CMN CLASS A VOTING	3 Mar 09	8 May 09	226	3,570.66	2,491.33	719.33	65 Short Term
CMCA	COMCAST CORPORATION CMN CLASS A VOTING	30 Mar 09	8 May 09	165	2,606.90	2,252.68	354.22	39 Short Term
CMCA	COMCAST CORPORATION CMN CLASS A VOTING	8 Apr 09	8 May 09	157	2,480.50	2,209.05	271.45	30 Short Term
	Total				360,139.41	398,962.65	38,823.25	
TOTAL					618,402.29		178,883.54	

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