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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation NELSON HARVEY J CHARITABLE TRUST		A Employer identification number 39-6307373	
	Number and street (or P O box number if mail is not delivered to street address) C/O MARSHALL ILSLEY TRUST CO PO BOX		Room/suite	B Telephone number (see page 10 of the instructions) (414) 287-7285
	City or town, state, and ZIP code MILWAUKEE, WI 532012980		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,153,461		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	29,896	29,896		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 ☐	126,580			
	b Gross sales price for all assets on line 6a _____ 344,251				
	7 Capital gain net income (from Part IV , line 2)		126,580		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	☐ 1,864			
	12 Total. Add lines 1 through 11	158,340	156,476		
	13 Compensation of officers, directors, trustees, etc	14,029	14,029		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	600			600
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) ☐	515	107		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	☐ 40	40		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	15,184	14,176		600
	25 Contributions, gifts, grants paid.	63,850			63,850
	26 Total expenses and disbursements. Add lines 24 and 25	79,034	14,176		64,450
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	79,306			
	b Net investment income (if negative, enter -0-)		142,300		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	2,092	6,643	6,643
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	98,744	260,988	810,476
	c	Investments—corporate bonds (attach schedule).	580,739	340,447	336,052
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	1,287	290	290	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	682,862	608,368	1,153,461	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	682,862	608,368	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	682,862	608,368	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	682,862	608,368	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	682,862
2	Enter amount from Part I, line 27a	2	79,306
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	762,168
5	Decreases not included in line 2 (itemize) ▶ _____	5	153,800
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	608,368

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2126,580
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }			3

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			
2	Total of line 1, column (d).		2
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.		4
5	Multiply line 4 by line 3.		5
6	Enter 1% of net investment income (1% of Part I, line 27b).		6
7	Add lines 5 and 6.		70
8	Enter qualifying distributions from Part XII, line 4.		80
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18			

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,846
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	2,846
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,846
6		Credits/Payments			
a		2009 estimated tax payments and 2008 overpayment credited to 2009	6a408		
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	408
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,438
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2010 estimated tax 0	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
If "Yes," attach a detailed description of the activities.				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
If "Yes," attach the statement required by General Instruction T.				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		No
14	The books are in care of M&I TRUST CO Telephone no (715) 845-6394 Located at PO BOX 2977 MILWAUKEE WI ZIP+4 532012980			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

5a During the year did the foundation pay or incur any amount to:			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . .	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐	5b No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☒ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b No

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARSHALL & ILSLEY TRUST CO	TRUSTEE 1 30	13,229	0	0
PO BOX 2980 MILWAUKEE, WI 53201				
JAMES LUNDBERG	DIRECTOR 1 00	400	0	0
1036 EASTHILL PL WAUSAU, WI 54403				
C DUANE PATTERSON	DIRECTOR 1 00	400	0	0
325 BROADWAY WAUSAU, WI 54403				

	(b) Title, and average		(d) Contributions to	
--	------------------------	--	----------------------	--

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.	0
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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,004,738
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,004,738
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,004,738
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	15,071
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	989,667
6	Minimum investment return. Enter 5% of line 5.	6	49,483

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	49,483
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	2,846
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,846
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	46,637
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	46,637
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	46,637

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	64,450
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	64,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	64,450
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2008			
a	Enter amount for 2008 only. 63,661			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2009			
a	From 2004.			
b	From 2005.			
c	From 2006.			
d	From 2007.			
e	From 2008.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 64,450			
a	Applied to 2008, but not more than line 2a 63,661			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2009 distributable amount. 789			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. . .			
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010. 45,848			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.			
10	Analysis of line 9			
a	Excess from 2005.			
b	Excess from 2006.			
c	Excess from 2007.			
d	Excess from 2008.			
e	Excess from 2009.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

COLLEEN GOSTISHA CO MARSHALL ILSLEY
PO BOX 209
WAUSAU, WI 54402
(715) 845-3121

b

The form in which applications should be submitted and information and materials they should include

SHORT LETTER EXPLAINING PURPOSE OF ORGANIZATION AND USE OF GRANT REQUEST IF ORGANIZATION

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CONTRIBUTIONS LIMITED TO CHARITABLE ORGS BASED IN WAUSAU AND MUST BE USED FOR THE BENEFIT OF THE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED SCHEDULE VARIOUS VARIOUS, WI 54401		N/A	General	63,850
Total			3a	63,850
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	29,896	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	126,580	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				156,476	
13 Total. Add line 12, columns (b), (d), and (e).			13	156,476	

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2010-05-13	*****
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only	Preparer's Signature  RICHARD STENDLER	Date 2010-05-14	Check if self-employed 	Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code	M & I TRUST CO NA 11270 WEST PARK PLACE SUITE 400 MILWAUKEE, WI 53224	EIN 	Phone no (414) 815-3500

Additional Data

Software ID: 09000048
Software Version: US03CV000TY2009
EIN: 39-6307373
Name: NELSON HARVEY J CHARITABLE TRUST

Part VI Line 7 - Tax Paid Original Return: 408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
870 341 SHS DAVIS NY VENTURE FD	P	2008-12-31	2009-10-22
500 000 SHS I SHARES TR IBOX USD INVT GD CORP BD FD	P	2008-12-31	2009-09-01
290 000 SHS ISHARES CSCI COMMODITY INDEX FD	P	2009-05-14	2009-12-04
520 000 SHS SPDR TR UNIT SER I	P	2009-08-21	2009-06-16
50 000 SHS SPDR GOLD TR GOLD SHS	P	2009-05-14	2009-11-11
870 000 SHS 3M CO COM	P	1979-03-22	2009-05-14
800 000 SHS 3M CO COM	P	1979-03-22	2009-11-18
569 421 VANGUARD INSTL INDEX FD	P	2008-12-31	2009-06-16
240 000 VANGUARD INTL EQUITY INDEX FD	P	2009-05-14	2009-11-09
540 000 VANGUARD INDEX FDS VANGUARD GROWTH ETF	P	2008-12-31	2009-10-22
CAPITAL GAIN DIV- VANGUARD SHRT TERM BD INDEX FD	P	2008-12-31	2009-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,859	0	20,758	6,101
52,374	0	50,745	1,629
8,993	0	8,042	951
53,427	0	48,177	5,250
5,462	0	4,550	912
50,468	0	5,249	45,219
62,103	0	4,827	57,276
47,774	0	47,000	774
9,498	0	7,080	2,418
27,280	0	21,243	6,037
13	0	0	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	6,101
0	0	0	1,629
0	0	0	951
0	0	0	5,250
0	0	0	912
0	0	0	45,219
0	0	0	57,276
0	0	0	774
0	0	0	2,418
0	0	0	6,037
0	0	0	13

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2009 Gain/Loss from Sale of Other Assets Schedule

Name: NELSON HARVEY J CHARITABLE TRUST

EIN: 39-6307373

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
870 341 SHS DAVIS NY VENTURE FD	2008-12	Purchased	2009-10		26,859	20,758			6,101	
500 000 SHS I SHARES TR IBOX X USD INVT GD CORP BD FD	2008-12	Purchased	2009-09		52,374	50,745			1,629	
290 000 SHS ISHARES CSCI COMMODITY INDEX FD	2009-05	Purchased	2009-12		8,993	8,042			951	
520 000 SHS SPDR TR UNIT SER I	2009-06	Purchased	2009-08		53,427	48,177			5,250	
50 000 SHS SPDR GOLD TR GOLD SHS	2009-05	Purchased	2009-11		5,462	4,550			912	
870 000 SHS 3M CO COM	1979-03	Purchased	2009-05		50,468	5,249			45,219	
800 000 SHS 3M CO COM	1979-03	Purchased	2009-11		62,103	4,827			57,276	
569 421 VANGUARD INSTL INDEX FD	2008-12	Purchased	2009-06		47,774	47,000			774	
240 000 VANGUARD INTL EQUITY INDEX FD	2009-05	Purchased	2009-11		9,498	7,080			2,418	
540 000 VANGUARD INDEX FDS VANGUARD GROWTH ETF	2008-12	Purchased	2009-10		27,280	21,243			6,037	
CAPITAL GAIN DIV- VANGUARD SHRT TERM BD INDEX FD	2008-12	Purchased	2009-12		13				13	

TY 2009 General Explanation Attachment**Name:** NELSON HARVEY J CHARITABLE TRUST**EIN:** 39-6307373

Identifier	Return Reference	Explanation
FORM 990-PF, PART XV, LINE 3A - PURPOSE OF GRANT OR CONTRIBUTION		GRANTS ARE TO GO TO THE CITY OF WAUSAU OR TO PUBLIC SCHOOL SYSTEMS OPERATING IN THE CITY OF WAUSAU GRANTS SHOULD BE USED FOR CIVIC IMPROVEMENTS SUCH AS FOUNTAINS, PARK IMPROVEMENTS, PLAYGROUND EQUIPMENT, SCHOLARSHIPS, LIBRARY BOOKS, EQUIPMENT AND CAPITAL ADDITIONS TO GOVERNMENTAL BODIES OR ORGANIZATIONS SERVING THE PEOPLE OF WAUSAU

**TY 2009 Investments Corporate
Bonds Schedule****Name:** NELSON HARVEY J CHARITABLE TRUST**EIN:** 39-6307373

Name of Bond	End of Year Book Value	End of Year Fair Market Value
3510.156 SHS NAKOMA ABSOLUTE RETURN FD	72,328	70,378
1670.000 SHS VANGUARD INDEX FD INTER TERM BD FD	133,405	132,047
1680.000 SHS VANGUARD INDEX FD SHORT TERM BD FD	134,714	133,627

**TY 2009 Investments Corporate
Stock Schedule****Name:** NELSON HARVEY J CHARITABLE TRUST**EIN:** 39-6307373

Name of Stock	End of Year Book Value	End of Year Fair Market Value
974.523 SHS DAVIS NY VENTURE FD	23,242	30,493
535.063 SHS HARBOR FD INTL FD	22,614	29,359
1062.631 SHS INVESCO INTL GRWTH FD	20,000	26,630
660.000 SHS ISHARS TR IBOXX USD CORP BD FD	67,658	68,739
590.000 SHS ISHARS TR RUSSELL MIDCAP GROWTH FD	18,396	26,751
760.000 SHS RUSSELL MIDCAP VALUE FD	21,239	28,082
240.000 SHS RUSSELL 2000 GROWTH INDEX FD	12,282	16,337
280.000 SHS RUSSELL 2000 VALUE INDEX FD	13,188	16,251
590.000 SHS VANGUARD GROWTH ETF FD	23,210	31,306
6490.000 SHS 3M CO COM	39,159	536,528

TY 2009 Other Decreases Schedule

Name: NELSON HARVEY J CHARITABLE TRUST

EIN: 39-6307373

Description	Amount
Sales Timing Adjustment	153,800

TY 2009 Other Expenses Schedule

Name: NELSON HARVEY J CHARITABLE TRUST

EIN: 39-6307373

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursement s for Charit able Purposes
K-1 ISHARES 51-6573369	40	40		

TY 2009 Other Income Schedule

Name: NELSON HARVEY J CHARITABLE TRUST

EIN: 39-6307373

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
TAX REFUND	1,864		

TY 2009 Taxes Schedule

Name: NELSON HARVEY J CHARITABLE TRUST

EIN: 39-6307373

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATES	408			
FOREIGN TAX WITHHELD	107	107		

1/1/09 thru 12/31/09

ORGANIZATION	NAME LINE 2	ADDRESS	CITY	STATE	ZIP	CONTRIBUTION	PURPOSE
Birch Trails Girl Scout Council		3511 Camp Phillips Rd	Weston	WI	54476	\$1,000.00	contribution
Boys & Girls Club of the Wausau Area		1710 N Second St	Wausau	WI	54403	\$1,000.00	contribution
D C Everest High School		6500 Alderson St	Schofield	WI	54476	\$200.00	Curling Club
D C Everest High School		6500 Alderson St	Schofield	WI	54476	\$5,000.00	scholarship
Junior Achievement of Wisconsin		2001 Stewart Ave	Wausau	WI	54401	\$1,500.00	contribution
Leigh Yawkey Woodson Art Museum	Marathon County District Office	700 N 12th St	Wausau	WI	54403	\$750.00	contribution
Marathon County Historical Society		410 McIndoe St	Wausau	WI	54403	\$1,000.00	contribution
Marathon County Park Dept		212 River Dr Ste 2	Wausau	WI	54403	\$10,000.00	contribution
Marathon County Public Library		300 N First St	Wausau	WI	54403	\$10,000.00	contribution
Newman Catholic High School	619 Stark St		Wausau	WI	54401	\$2,000.00	scholarship
Northcentral Technical College	Attn Kelly Fischer	1000 Campus Dr	Wausau	WI	54401	\$4,000.00	scholarship
Northland Lutheran High School		2107 Tower Rd	Mosinee	WI	54455	\$2,000.00	scholarship
Performing Arts Foundation		401 N Fourth St	Wausau	WI	54403	\$2,000.00	contribution
Samoset Council		3511 Camp Phillips Rd	Weston	WI	54476	\$1,000.00	contribution
The Neighbors Place	Boy Scouts of America	745 Scott St	Wausau	WI	54403	\$1,250.00	contribution
University of Wisconsin	Marathon Center	518 S Seventh Ave	Wausau	WI	54401	\$1,500.00	Research & Dev
University of Wisconsin	Marathon Center	518 S Seventh Ave	Wausau	WI	54401	\$750.00	College for Kids
Wausau Area Youth Soccer Assn	Marathon Center	518 S Seventh Ave	Wausau	WI	54401	\$4,000.00	scholarship
Wausau Conservatory of Music		PO Box 1783	Wausau	WI	54402	\$750.00	contribution
Wausau East High School		404 Seymour St	Wausau	WI	54403	\$1,000.00	contribution
Wausau East High School		2607 N 18th St	Wausau	WI	54403	\$200.00	Curling Club
Wausau West High School		2607 N 18th St	Wausau	WI	54403	\$5,000.00	scholarship
Wausau West High School	Attn Student Services	1200 W Wausau Ave	Wausau	WI	54401	\$200.00	Curling Club
Wausau Youth Baseball Inc		1200 W Wausau Ave	Wausau	WI	54401	\$5,000.00	scholarship
Wausau/Marathon Cty School Safety		PO Box 8050	Wausau	WI	54402	\$750.00	contribution
YMCA	Patrol Inc	515 Grand Ave	Wausau	WI	54403	\$750.00	contribution
		707 Third St	Wausau	WI	54403	\$1,250.00	Invest in Youth
Totals						\$63,850.00	