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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.Otherwise,
print
or type.See Specific
Instructions.

Name of foundation

THE DOERING FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

79-100 CASA VIDA CIRCLE

Room/suite

City or town, state, and ZIP code

LA QUINTA, CA 92253

A Employer identification number

39-1805495

B Telephone number

(760) 777-8394

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 1,643,456. (Part I, column (d) must be on cash basis)

J Accounting method: ☐ Cash ☒ Accrual☐ Other (specify)**Part I Analysis of Revenue and Expenses**(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a))(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B3 Interest on savings and temporary
cash investments

4 Dividends and interest from securities

43,352.

43,352.

STATEMENT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a 615,803.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

-125,334.

43,352.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees STMT 2

198.

79.

119.

b Accounting fees STMT 3

9,950.

3,980.

5,970.

c Other professional fees STMT 4

11,194.

11,194.

0.

17 Interest

18 Taxes STMT 5

1,010.

736.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses STMT 6

10.

0.

0.

24 Total operating and administrative
expenses. Add lines 13 through 23

22,362.

15,989.

6,089.

25 Contributions, gifts, grants paid

88,000.

88,000.

26 Total expenses and disbursements.
Add lines 24 and 25

110,362.

15,989.

94,089.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-235,696.

b Net investment income (if negative, enter -0-)

27,363.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED JUL 09 2010

Revenue

Operating and Administrative Expenses

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	111,861.	51,730.	51,730.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	4,631.	4,357.	4,357.	
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock STMT 7	784,695.	907,740.	907,740.	
	c Investments - corporate bonds STMT 8	601,564.	675,154.	675,154.	
11 Investments - land, buildings, and equipment: basis ▶					
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment: basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶ STATEMENT 9)	5,371.	4,475.	4,475.		
16 Total assets (to be completed by all filers)	1,508,122.	1,643,456.	1,643,456.		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27 Capital stock, trust principal, or current funds	0.	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,508,122.	1,643,456.	1,643,456.	
	30 Total net assets or fund balances	1,508,122.	1,643,456.	1,643,456.	
31 Total liabilities and net assets/fund balances	1,508,122.	1,643,456.	1,643,456.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,508,122.
2 Enter amount from Part I, line 27a	2	-235,696.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON SECURITIES	3	371,030.
4 Add lines 1, 2, and 3	4	1,643,456.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,643,456.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	615,803.	784,489.	-168,686.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-168,686.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-168,686.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	98,504.	1,868,438.	.052720
2007	90,662.	2,111,248.	.042942
2006	83,010.	2,012,323.	.041251
2005	78,156.	1,973,880.	.039595
2004	97,324.	1,696,315.	.057374

2 Total of line 1, column (d)	2	.233882
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046776
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,532,951.
5 Multiply line 4 by line 3	5	71,705.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	274.
7 Add lines 5 and 6	7	71,979.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	94,089.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	274.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	274.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	274.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 4,631.	7	4,631.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	4,357.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be. Credited to 2010 estimated tax ☒ 4,357. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **► N/A**

14 The books are in care of **► ROBERT E. DOERING** Telephone no. **► (760) 777-8394**
 Located at **► 79-100 CASA VIDA CIRCLE, LAQUINTA, CA** ZIP+4 **► 92253**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **►** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **► 15** **N/A** **0.**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT E. DOERING	PRES, TREAS, DIR			
79-100 CASA VIDA CIRCLE				
LA QUINTA, CA 92253	2.00	0.	0.	0.
MARGARET P. DOERING	V.P., SECR, DIR			
79-100 CASA VIDA CIRCLE				
LA QUINTA, CA 92253	2.00	0.	0.	0.
RICK R. DOERING	DIRECTOR			
189 OLDCASTLE LN				
ALAMEDA, CA 94502	1.00	0.	0.	0.
JAYNE D. SUNGAIL	DIRECTOR			
3349 OAK KNOLL				
REDWOOD CITY, CA 94062	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,439,027.
b	Average of monthly cash balances	1b	112,345.
c	Fair market value of all other assets	1c	4,923.
d	Total (add lines 1a, b, and c)	1d	1,556,295.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,556,295.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	23,344.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,532,951.
6	Minimum investment return. Enter 5% of line 5	6	76,648.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	76,648.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	274.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	274.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	76,374.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	76,374.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	76,374.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	94,089.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	94,089.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	274.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	93,815.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				76,374.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			87,767.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 94,089.				
a Applied to 2008, but not more than line 2a			87,767.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				6,322.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				70,052.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XV **Supplementary Information** (continued)**3** **Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
SEE STATEMENT 10					
Total				► 3a	88,000.
b Approved for future payment					
NONE					
Total				► 3b	0

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED CG 01440	P	VARIOUS	VARIOUS
b	SEE ATTACHED CG 01440	P	VARIOUS	VARIOUS
c	SEE ATTACHED CG 03131	P	VARIOUS	VARIOUS
d	SEE ATTACHED CG 03131	P	VARIOUS	VARIOUS
e	SEE ATTACHED CG 03164	P	VARIOUS	VARIOUS
f	SEE ATTACHED CG 03164	P	VARIOUS	VARIOUS
g	SEE ATTACHED CG 03167	P	VARIOUS	VARIOUS
h	SEE ATTACHED CG 03167	P	VARIOUS	VARIOUS
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,643.		51,429.	-19,786.
b 184,963.		239,027.	-54,064.
c 40,446.		43,348.	-2,902.
d 111,957.		150,645.	-38,688.
e 15,779.		13,416.	2,363.
f 126,242.		131,936.	-5,694.
g 37,860.		67,550.	-29,690.
h 66,811.		87,138.	-20,327.
i 102.			102.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-19,786.
b			-54,064.
c			-2,902.
d			-38,688.
e			2,363.
f			-5,694.
g			-29,690.
h			-20,327.
i			102.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-168,686.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS CLEARY GULL	43,454.	102.	43,352.
TOTAL TO FM 990-PF, PART I, LN 4	43,454.	102.	43,352.

FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	198.	79.		119.
TO FM 990-PF, PG 1, LN 16A	198.	79.		119.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,950.	3,980.		5,970.
TO FORM 990-PF, PG 1, LN 16B	9,950.	3,980.		5,970.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	11,194.	11,194.		0.
TO FORM 990-PF, PG 1, LN 16C	11,194.	11,194.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAXES	274.	0.		0.	
FOREIGN INCOME TAXES	736.	736.		0.	
TO FORM 990-PF, PG 1, LN 18	1,010.	736.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LICENSES & FEES	10.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	10.	0.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
INVESTMENTS	907,740.	907,740.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	907,740.	907,740.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
INVESTMENTS	675,154.	675,154.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	675,154.	675,154.		

FORM 990-PF	OTHER ASSETS		STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE	
ACCRUED INTEREST RECEIVABLE	5,371.	4,475.	4,475.	
TO FORM 990-PF, PART II, LINE 15	5,371.	4,475.	4,475.	

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	10
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ACMNP 10 JUSTIN'S WAY FREEPORT, ME 04032	NONE GENERAL PURPOSE	501C-3	1,000.
ALAMEDA BOYS & GIRLS CLUB, INC. P.O. BOX 1069 ALAMEDA, CA 94501	NONE GENERAL PURPOSE	501C-3	1,000.
ALAMEDA COUNTY COMMUNITY FOOD BANK P.O. BOX 2599 OAKLAND, CA 94614	NONE GENERAL PURPOSE	501C-3	1,000.
ALAMEDA FREE LIBRARY 1550 OAK STREET ALAMEDA, CA 94501	NONE GENERAL PURPOSE	501C-3	1,000.
ALAMEDA MEALS ON WHEELS PO BOX 2534 ALAMEDA, CA 94501	NONE GENERAL PURPOSES	501C-3	2,000.
BOYS & GIRLS CLUB OF COACHELLA 42-600 COOK STREET SUITE 120 PALM DESERT, CA 92211	NONE GENERAL PURPOSES	501C-3	3,500.

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BUILDING FUTURES WITH WOMEN & CHILDREN 1395 BANCROFT AVENUE SAN LEANDRO, CA 94577	NONE GENERAL PURPOSES	501C-3	2,000.
CHILD ADVOCATES OF SILICON VALLEY 115 E. GISH RD SUITE 252 SAN JOSE, CA 95112	NONE GENERAL PURPOSES	501C-3	2,000.
CHILDREN'S HOSPITAL & RESEARCH CENTER AT OAKLAND 747 52ND STREET OAKLAND, CA 94609	NONE GENERAL PURPOSES	501C-3	2,000.
CHRONICLE SEASON OF SHARING 901 MISSION ST SAN FRANCISCO, CA 94103	NONE GENERAL PURPOSES	501C-3	1,500.
COACHELLA VALLEY RESCUE MISSION P.O. BOX 10660 INDIO, CA 92202	NONE GENERAL PURPOSE	501C-3	2,000.
COMMUNITY EMPLOYMENT SERVICES 1242 MARKET ST SAN FRANCISCO, CA 94102	NONE GENERAL PURPOSES	501C-3	3,000.
COPE FAMILY CENTER 1340 4TH ST NAPA, CA 94559	NONE GENERAL PURPOSE	501C-3	1,000.
COYOTE POINT MUSEUM 1651 COYOTE POINT DR SAN MATEO, CA 94401	NONE ENVIRONMENTAL EDUCATION	501C-3	1,000.
CROP - WISCONSIN 4408 WINNEQUAH ROAD MADISON, WI 53717	NONE GENERAL PURPOSES	501C-3	1,000.
EISENHOWER MEDICAL CENTER 39000 BOB HOPE DRIVE RANCHO MIRAGE, CA 92270	NONE GENERAL PURPOSES	501C-3	3,000.

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EPAC P.O. BOX 50542 PALO ALTO, CA 94303	NONE GENERAL PURPOSES	501C-3	1,500.
FISH P.O. BOX 116 COACHELLA, CA 92236	NONE GENERAL PURPOSES	501C-3	2,000.
GIRL SCOUTS OF SAN FRANCISCO 7700 EDGEWATER DRIVE OAKLAND, CA 94621	NONE GENERAL PURPOSE	501C-3	1,000.
GUIDE DOGS FOR THE BLIND - ALAMEDA CHAP 12 SUNNY COVE CIRCLE ALAMEDA, CA 94502	NONE GENERAL PURPOSES	501C-3	1,000.
GUIDE DOGS OF THE DESERT INTERNATIONAL P.O. BOX 1692 PALM SPRINGS, CA 92263	NONE GENERAL PURPOSE	501C-3	2,000.
HAAS SCHOOL OF BUSINESS 545 STUDENT SERVICES BERKELEY, CA 94720	NONE GENERAL PURPOSES	501C-3	3,000.
HABITAT FOR HUMANITY INTL 121 HABITAT ST. AMERICUS, GA 31709	NONE GENERAL PURPOSES	501C-3	2,000.
HIDDEN HARVEST P.O. BOX 266 COACHELLA, CA 92236	NONE GENERAL PURPOSES	501C-3	2,000.
HOSPICE OF THE EAST BAY 3470 BUSKIRK AVE PLEASANT HILL, CA 94523	NONE GENERAL PURPOSE	501C-3	1,000.
JEANNE MCCUE - BOSNIA RELIEF P.O. BOX 17438 WHITEFISH BAY, WI 53217	NONE GENERAL PURPOSES	501C-3	2,000.

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JOB TRAIN 1200 O'BRIEN DRIVE MENLO PARK, CA 94025	NONE GENERAL PURPOSES	501C-3	1,500.
JOHN MUIR HEALTH FOUNDATION 1400 TREAT BLVD THIRD FLOOR WALNUT CREEK, CA 94596	NONE GENERAL PURPOSES	501C-3	3,000.
LUCILE PACKARD CHILDREN'S FUND 400 HAMILTON AVE. SUITE 340 PALO ALTO, CA 94301	NONE GENERAL PURPOSES	501C-3	1,500.
MARINE SCIENCE INSTITUTE 500 DISCOVERY PARKWAY REDWOOD CITY, CA 94063	NONE GENERAL PURPOSES	501C-3	2,000.
MARTHA'S VILLAGE & KITCHEN 83791 DATE AVE INDIO, CA 92201	NONE GENERAL PURPOSES	501C-3	3,500.
MOURNING STAR CENTER OF VNAIC 42-600 COOK STREET , STE 205A PALM DESERT, CA 92211	NONE GENERAL PURPOSE	501C-3	2,000.
NORTH STAR ACADEMY PARENTS CLUB 400 DUANE ST REDWOOD CITY, CA 94062	NONE GENERAL PURPOSE	501C-3	1,000.
PACIFIC DIVERSIFIED SERVICES 911 R. IRWIN STREET SAN RAFAEL, CA 94901	NONE GENERAL PURPOSES	501C-3	3,000.
PACIFIC SKYLINE COUNCIL -BSA 1150 CHESS DRIVE FOSTER CITY, CA 94404	NONE GENERAL PURPOSES	501C-3	1,000.
REDWOOD CITY EDUCATION FOUNDATIOON P.O. BOX 3046 REDWOOD CITY, CA 94064	NONE GENERAL PURPOSE	501C-3	3,000.

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REDWOOD CITY LIBRARY FOUNDATION 1044 MIDDLEFIELD RD REDWOOD CITY, CA 94063	NONE GENERAL PURPOSES	501C-3	1,000.
ROY CLOUD PTA 3790 RED OAK WAY REDWOOD CITY, CA 94061	NONE GENERAL PURPOSE	501C-3	1,000.
SECOND HARVEST FOOD BANK 750 CURTNER AVE SAN JOSE, CA 95125	NONE GENERAL PURPOSES	501C-3	2,000.
SENIOR GLEANERS, INC. 1951 BELL AVE SACRAMENTO, CA 95838	NONE GENERAL PURPOSES	501C-3	1,000.
SMILE TRAIN 41 MADISON AVE NEW YORK, NY 10010	NONE GENERAL PURPOSES	501C-3	1,500.
THE LIVING DESERT 900 PORTOLA AVE PALM DESERT, CA 92260	NONE GENERAL PURPOSES	501C-3	2,000.
THE SALVATION ARMY 30-400 LANDAU BLVD CATHEDRAL CITY, CA 92234-5182	NONE GENERAL PURPOSES	501C-3	2,500.
TRANSCEN, INC/WORKLINK 785 MARKET STREET, STE 670 SAN FRANCISCO, CA 94103	NONE GENERAL PURPOSES	501C-3	1,000.
UNITED CHURCH OF THE DESERT 43-250 WARNER TRAIL PALM DESERT, CA 92260	NONE GENERAL PURPOSES	501C-3	5,000.
UNITED WAY OF THE DESERT P.O. BOX 1990 PALM SPRINGS, CA 92263	NONE GENERAL PURPOSES	501C-3	2,000.

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WARDROBE FOR OPPORTUNITY
570 14TH STREET, SUITE 5
OAKLAND, CA 94612

NONE
GENERAL PURPOSE

501C-3

2,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

88,000.

THE DOERING FAMILY FOUNDATION
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SCHEDULE OF REALIZED GAINS AND LOSSES

	THIS YEAR
Total Realized Gain or Loss	-73,850.40
Short-term	-19,786.01
Long-term	-54,064.39

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
1,652.893	BRANDYWINE BLUE FUND INC	BLUEX	07/25/08	49,840.71	04/14/09	29,982.00	-19,858.71
7.587	BRANDYWINE BLUE FUND INC	BLUEX	11/02/09	155.30	11/09/09	159.67	4.37
15.127	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	09/29/08	148.55	07/31/09	130.46	-18.09
34.609	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	01/02/09	259.91	07/31/09	298.49	38.58
6.190	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	03/30/09	44.38	07/31/09	53.39	9.01
13.620	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	06/26/09	107.05	07/31/09	117.47	10.42
21.266	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/08	112.71	04/14/09	116.38	3.67
17.602	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/08	93.29	04/14/09	96.33	3.04
125.826	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/08	666.88	04/14/09	688.58	21.70
Short Term Subtotal							-19,786.01

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
3,322.231	BRANDYWINE BLUE FUND INC	BLUEX	07/25/08	100,177.29	11/09/09	69,914.99	-30,262.30
3,199.269	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	03/17/08	35,018.00	07/31/09	27,592.21	-7,425.79
12.145	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	03/31/08	134.32	07/31/09	104.75	-29.57



INVESTMENT ACCESS ACCOUNT STATEMENT WITH PRIVATE CLIENT GROUP 2009 ANNUAL STATEMENT



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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
15.911	LEUTHOLD FDS INC ASSET ALLOCATIO FD	LAALX	06/30/08	173.91	07/31/09	137.23	-36.68
75,000.000	PENNSYLVANIA ST TPK COMMN BANS 2007B	709229AB4	10/18/07	75,018.00	08/07/09	75,000.00	-18.00
1,924.557	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	01/22/07	25,018.00	04/14/09	10,532.11	-14,485.89
12.107	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/07	137.41	04/14/09	66.26	-71.15
42.578	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/07	483.26	04/14/09	233.01	-250.25
252.618	ROYCE FUND ROYCE OPPORTUNITY FUND	RYPNX	12/11/07	2,867.21	04/14/09	1,382.45	-1,484.76
Long Term Subtotal				239,021.40		184,963.01	-54,064.39
TOTAL REALIZED GAIN OR LOSS							-73,850.40



PRIVATE CLIENT GROUP ACCOUNT STATEMENT 2009 ANNUAL STATEMENT

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SCHEDULE OF REALIZED GAINS AND LOSSES

		THIS YEAR							

THE DOERING FAMILY FOUNDATION
PCG LAZARD



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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
153.000	NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A	NOK	03/19/09	1,862.40	08/04/09	2,029.35	166.95
24.000	NOVARTIS AG-SPONSORED ADR	NVS	02/27/09	889.06	05/12/09	922.70	33.64
136.000	PRUDENTIAL PLC SPONS ADR	PUK	10/14/08	2,168.54	06/25/09	1,781.75	-386.79
15.000	PRUDENTIAL PLC SPONS ADR	PUK	03/20/09	143.25	12/04/09	310.56	167.31
269.000	RECKITT BENCKISER GROUP PLC UNSPONSORED ADR	RBCPY	02/20/09	2,142.45	10/28/09	2,620.21	477.76
91.000	SANOI AVENTIS SPONSORED ADR	SNY	01/14/09	2,931.20	10/16/09	3,465.70	534.50
32.000	SANOI AVENTIS SPONSORED ADR	SNY	01/14/09	1,030.75	11/17/09	1,207.66	176.91
60.000	STARBUCKS CORP	SBUX	04/13/09	731.66	11/10/09	1,254.28	522.62
18.000	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	06/05/08	559.10	04/24/09	319.34	-239.76
16.000	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	10/09/08	330.69	09/29/09	359.56	28.87
Short Term Subtotal				43,347.89		40,445.99	-2,901.90
Long Term							
54.000	ADIDAS AG SPONSORED ADR	ADDY	11/09/06	1,323.59	03/27/09	893.19	-430.40
7.000	ADIDAS AG SPONSORED ADR	ADDY	11/09/06	171.58	05/06/09	112.65	-58.93
55.000	ADIDAS AG SPONSORED ADR	ADDY	01/22/08	1,598.55	05/06/09	885.11	-713.44
98.000	ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	AZSEY	06/01/07	2,227.50	11/11/09	1,210.58	-1,016.92
233.000	ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	AZSEY	10/14/08	2,746.13	11/11/09	2,878.22	132.09
1.000	BAE SYSTEMS PLC SPONSORED ADR	BAESY	03/25/08	38.58	05/01/09	21.09	-17.49
55.000	BAE SYSTEMS PLC SPONSORED ADR	BAESY	04/03/08	2,228.37	05/01/09	1,159.73	-1,068.64



CLEARY GULL

PRIVATE CLIENT GROUP
ACCOUNT STATEMENT
2009 ANNUAL STATEMENT

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
100.000	BANCO SANTANDER S A ADR	STD	10/19/07	2,063.36	01/20/09	689.79	-1,373.57
25.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC)	BP	04/05/04	1,311.47	09/29/09	1,322.03	10.56
33.000	CANON INC-ADR NEW REPTG 5 SHS	CAJ	12/22/03	1,012.22	09/08/09	1,260.19	247.97
18.000	CISCO SYSTEMS INC	CSCO	08/03/06	317.16	07/15/09	346.61	29.45
27.000	CISCO SYSTEMS INC	CSCO	08/03/06	475.74	08/05/09	590.27	114.53
52.000	CISCO SYSTEMS INC	CSCO	01/17/08	1,274.86	08/05/09	1,136.83	-138.03
20.000	DEERE & CO	DE	09/23/08	1,268.62	10/28/09	907.18	-361.44
20.000	DIAGEO PLC-SPONSORED ADR NEW REPTG 4 ORD SHS	DEO	05/01/98	979.52	02/12/09	997.50	17.98
1.000	DIAGEO PLC-SPONSORED ADR NEW REPTG 4 ORD SHS	DEO	08/15/02	49.58	02/12/09	49.87	0.29
17.000	DIAGEO PLC-SPONSORED ADR NEW REPTG 4 ORD SHS	DEO	08/15/02	842.81	03/19/09	721.40	-121.41
47.000	DIAGEO PLC-SPONSORED ADR NEW REPTG 4 ORD SHS	DEO	08/15/02	2,330.12	03/26/09	2,044.51	-285.61
41.000	E ON AG SPONSORED ADR	EONGY	11/14/07	2,704.21	03/16/09	1,026.97	-1,677.24
23.000	E ON AG SPONSORED ADR	EONGY	03/10/08	1,462.03	03/16/09	576.11	-885.92
29.000	E ON AG SPONSORED ADR	EONGY	03/10/08	1,843.42	07/23/09	1,038.82	-804.60
30.000	E ON AG SPONSORED ADR	EONGY	05/12/08	2,017.85	07/23/09	1,074.65	-943.20
31.000	ENI S P A SPONSORED ADR REPRESENTING 5 ORD	E	08/14/02	965.66	03/06/09	971.67	6.01
9.000	ENI S P A SPONSORED ADR REPRESENTING 5 ORD	E	08/14/02	280.35	08/04/09	412.91	132.56
23.000	ENI S P A SPONSORED ADR REPRESENTING 5 ORD	E	04/29/08	1,780.25	08/04/09	1,055.20	-725.05
17.000	ENI S P A SPONSORED ADR REPRESENTING 5 ORD	E	04/29/08	1,315.83	09/18/09	866.68	-449.15

THE DOERING FAMILY FOUNDATION
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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
39.000	ENI S P A SPONSORED ADR REPRESENTING 5 ORD	E	05/19/08	3,175.09	09/18/09	1,988.26	-1,186.83
200.000	ERICSSON L M TEL CO ADR CL B SEK 10	ERIC	03/23/06	3,921.85	04/15/09	1,779.07	-2,142.78
16.000	EXXON MOBIL CORP	XOM	05/01/98	499.52	05/05/09	1,064.90	565.38
13.909	GDF SUEZ SPONSORED ADR	GDFZY	07/24/06	605.73	06/03/09	536.97	-68.76
47.090	GDF SUEZ SPONSORED ADR	GDFZY	09/20/06	2,200.59	06/03/09	1,817.96	-382.63
33.909	GDF SUEZ SPONSORED ADR	GDFZY	09/20/06	1,584.60	08/06/09	1,261.87	-322.73
29.090	GDF SUEZ SPONSORED ADR	GDFZY	09/19/07	1,701.85	08/06/09	1,082.56	-619.29
36.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	GSK	09/16/03	1,492.38	03/27/09	1,049.99	-442.39
9.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	GSK	09/16/03	373.09	06/25/09	315.10	-57.99
33.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	GSK	03/01/04	1,410.25	06/25/09	1,155.38	-254.87
67.000	GLAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO WELL)	GSK	03/01/04	2,863.23	10/01/09	2,587.54	-275.69
30.000	GROUPE DANONE-SPONSORED ADR REPSTG 1/5TH ORD FF 10 PAR	399449107	11/21/07	540.72	03/20/09	270.55	-270.17
200.000	GROUPE DANONE-SPONSORED ADR REPSTG 1/5TH ORD FF 10 PAR	399449107	01/17/08	3,510.80	03/20/09	1,803.67	-1,707.13
120.000	HEINEKEN NV-ADR	HINKY	12/02/02	1,813.62	10/09/09	2,675.67	862.05
22.000	HONEYWELL INTL INC	HON	12/21/05	841.73	10/20/09	819.82	-21.91
41.000	HSBC HOLDINGS PLC SPONSORED ADR NEW	HBC	04/22/02	2,434.17	01/14/09	1,697.25	-736.92
42.000	JOHNSON & JOHNSON	JNJ	10/15/98	1,693.79	07/15/09	2,454.49	760.70
185.000	MICROSOFT CORP	MSFT	03/06/03	4,319.75	02/24/09	3,059.07	-1,260.68
29.000	MICROSOFT CORP	MSFT	07/12/04	810.29	02/24/09	479.53	-330.76
86.000	MICROSOFT CORP	MSFT	07/12/04	2,402.92	04/03/09	1,590.92	-812.00
39.000	MICROSOFT CORP	MSFT	11/28/06	1,148.75	04/03/09	721.47	-427.28
54.000	MICROSOFT CORP	MSFT	11/28/06	1,590.58	05/14/09	1,064.37	-526.21



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Long Term							
11.500	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	05/01/98	511.30	02/13/09	366.91	132.58
23.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	07/06/07	1022.60	02/13/09	733.82	-136.38
13.500	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	07/06/07	600.22	02/13/09	430.72	-91.40
55.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	07/06/07	2445.35	08/11/09	2,208.87	81.71
49.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	07/06/07	2178.58	10/02/09	2,031.02	135.91
175.000	NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A	NOK	07/09/03	3,118.05	07/16/09	2,329.73	-788.32
25.000	NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A	NOK	07/09/03	445.44	08/04/09	331.59	-113.85
70.000	NOVARTIS AG-SPONSORED ADR	NVS	08/07/00	2,659.58	04/20/09	2,487.00	-172.58
6.000	NOVARTIS AG-SPONSORED ADR	NVS	02/03/06	336.29	04/20/09	213.17	-123.12
32.000	NOVARTIS AG-SPONSORED ADR	NVS	02/03/06	1,793.53	04/29/09	1,192.12	-601.41
27.000	NOVARTIS AG-SPONSORED ADR	NVS	02/03/06	1,513.29	05/12/09	1,038.03	-475.26
9.000	NOVARTIS AG-SPONSORED ADR	NVS	04/24/08	455.89	05/12/09	346.01	-109.88
68.000	ORACLE CORP	ORCL	09/15/04	770.76	04/20/09	1,263.70	492.94
49.000	PROCTER & GAMBLE CO	PG	05/16/07	3,111.75	05/05/09	2,435.46	-676.29
23.000	PRUDENTIAL PLC SPONS ADR	PUK	09/26/07	691.87	02/24/09	175.79	-516.08
40.000	PRUDENTIAL PLC SPONS ADR	PUK	09/28/07	1,247.67	02/24/09	305.71	-941.96
17.000	PRUDENTIAL PLC SPONS ADR	PUK	12/11/07	507.56	02/24/09	129.93	-377.63
44.000	PRUDENTIAL PLC SPONS ADR	PUK	12/11/07	1,313.69	05/22/09	609.36	-704.33
30.000	PRUDENTIAL PLC SPONS ADR	PUK	12/11/07	895.70	06/25/09	393.03	-502.67
45.000	PRUDENTIAL PLC SPONS ADR	PUK	10/14/08	717.53	12/04/09	931.69	214.16
26.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	04/19/07	1,249.60	04/30/09	814.58	-435.02
40.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	05/02/07	1,892.09	04/30/09	1,253.20	-638.89
32.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	05/02/07	1,513.67	06/17/09	1,038.86	-474.81

THE DOERING FAMILY FOUNDATION
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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
28.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	05/02/07	1,324.46	09/09/09	1,090.53	-233.93
51.000	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	07/19/07	2,359.18	09/09/09	1,986.33	-372.85
51.000	TELUS CORPORATION NON-VOTING SHARES	TU	01/02/08	2,494.10	04/24/09	1,194.65	-1,299.45
17.000	TELUS CORPORATION NON-VOTING SHARES	TU	01/02/08	831.37	04/30/09	393.49	-437.88
44.000	TELUS CORPORATION NON-VOTING SHARES	TU	01/25/08	1,884.04	04/30/09	1,018.45	-865.59
9.000	TELUS CORPORATION NON-VOTING SHARES	TU	01/25/08	385.37	05/06/09	219.15	-166.22
20.000	TELUS CORPORATION NON-VOTING SHARES	TU	02/27/08	942.31	05/06/09	487.00	-455.31
9.000	TELUS CORPORATION NON-VOTING SHARES	TU	02/27/08	424.04	06/05/09	250.65	-173.39
40.000	TELUS CORPORATION NON-VOTING SHARES	TU	05/22/08	1,881.46	06/05/09	1,113.98	-767.48
84.000	TEXTRON INC	TXT	04/02/07	3,815.23	01/21/09	1,048.76	-2,766.47
27.000	TOTAL S.A. 1 ADR REPRESENTING 1 ORD SHS	TOT	05/01/98	602.38	06/17/09	1,442.88	840.50
21.000	TOTAL S.A. 1 ADR REPRESENTING 1 ORD SHS	TOT	05/01/98	468.52	07/23/09	1,181.20	712.68
72.000	UNILEVER PLC SPONSORED ADR NEW	UL	09/04/08	2,023.85	11/20/09	2,113.54	89.69
9.135	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	05/13/03	208.89	01/09/09	189.22	-19.67
81.865	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	07/10/03	1,832.81	01/09/09	1,695.71	-137.10
84.000	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	07/10/03	1,880.61	04/24/09	1,490.28	-390.33
101.000	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	06/05/08	3,137.20	09/29/09	2,269.72	-867.48
62.000	VODAFONE GROUP PLC SPONSORED ADR NEW	VOD	06/30/08	1,857.03	09/29/09	1,393.30	-463.73



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Long Term							
85.000	WYETH COM	983024100	11/28/06	4,064.36	04/29/09	3,579.49	-484.87
33.000	WYETH COM	983024100	12/08/06	1,668.42	04/29/09	1,389.69	-278.73
52.000	WYETH COM	983024100	12/08/06	2,629.03	04/30/09	2,197.66	-431.37
121.000	ZURICH FINANCIAL SERVICES SPONS ADR	ZFSVY	09/13/07	3,556.97	11/10/09	2,707.28	-849.69
1.000	ZURICH FINANCIAL SERVICES SPONS ADR	ZFSVY	12/11/07	31.28	11/10/09	22.37	-8.91
55.000	ZURICH FINANCIAL SERVICES SPONS ADR	ZFSVY	12/11/07	1,720.13	11/17/09	1,253.99	-466.14
72.000	ZURICH FINANCIAL SERVICES SPONS ADR	ZFSVY	09/25/08	2,065.01	11/17/09	1,641.59	-423.42
Long Term Subtotal				150,644.72		111,957.38	-37,578.21
TOTAL REALIZED GAIN OR LOSS							-40,480.11

111,957.38 - 38,687.34

THE DOERING FAMILY FOUNDATION
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SCHEDULE OF REALIZED GAINS AND LOSSES

		THIS YEAR
Total Realized Gain or Loss		-3,330.89
Short-term		2,362.91
Long-term		-5,693.80

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
71.000	ALTRIA GROUP INC	MO	07/28/09	1,265.20	09/17/09	1,271.79	6.59
58.000	AMERIPRISE FINL INC	AMP	07/01/09	1,446.35	09/17/09	1,896.99	450.64
35.000	BANK OF AMERICA CORP	BAC	07/31/09	509.95	09/17/09	605.80	95.85
19.000	CATERPILLAR INC	CAT	07/23/09	773.54	09/17/09	1,006.42	232.88
5.000	CHEVRON CORPORATION	CVX	07/31/09	348.10	09/17/09	359.54	11.44
10.000	CLOROX CO	CLX	08/03/09	598.51	09/17/09	571.16	-27.35
5.000	CONOCOPHILLIPS	COP	07/31/09	220.20	09/17/09	231.76	11.56
17.000	DUKE ENERGY CORPORATION (HOLDING COMPANY) NEW	DUK	08/04/09	266.78	09/17/09	266.65	-0.13
15.000	EATON CORP	ETN	07/23/09	756.63	09/17/09	893.48	136.85
27.000	ENERGIZER HOLDINGS INC	ENR	07/01/09	1,475.23	09/17/09	1,771.41	296.18
115.000	GENERAL ELECTRIC CO	GE	07/23/09	1,396.08	09/17/09	1,885.33	489.25
45.000	HARTFORD FINANCIAL SERVICES GROUP INC	HIG	07/31/09	760.41	09/17/09	1,206.36	445.95
25.000	HONEYWELL INTL INC	HON	07/28/09	864.67	09/17/09	994.24	129.57
5.000	KIMBERLY CLARK CORP	KMB	07/28/09	297.42	09/17/09	285.88	-11.54
5.000	LOCKHEED MARTIN CORP	LMT	07/23/09	379.94	09/17/09	391.89	11.95
5.000	NICOR INC	GAS	07/31/09	185.29	09/17/09	185.47	0.18
18.000	SPECTRA ENERGY CORP	SE	07/01/09	313.64	09/17/09	347.20	33.56
14.000	THOMAS & BETTS CORP	TNB	07/01/09	417.83	09/17/09	396.83	-21.00
40.000	WASTE MANAGEMENT INC DEL	WM	07/31/09	1,140.04	09/17/09	1,210.52	70.48
Short Term Subtotal						15,778.72	2,362.91

13,415.81



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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
20.000	ABBOTT LABORATORIES	ABT	10/22/03	780.91	09/17/09	929.42	148.51
65.000	ABBOTT LABORATORIES	ABT	11/11/03	2,555.71	09/17/09	3,020.62	464.91
100.000	AETNA INC NEW	AET	03/18/04	2,196.25	09/17/09	3,084.07	887.82
115.000	ALCOA INC	AA	03/18/04	4,006.20	09/17/09	1,611.53	-2,394.67
35.000	ALCOA INC	AA	10/27/06	1,002.88	09/17/09	490.47	-512.41
100.000	ALTRIA GROUP INC	MO	03/18/04	1,274.04	09/17/09	1,791.26	517.22
140.000	AMERICAN EXPRESS COMPANY	AXP	03/18/04	6,290.39	09/17/09	4,957.14	-1,333.25
55.000	BANK OF AMERICA CORP	BAC	03/18/04	2,201.93	09/17/09	951.97	-1,249.96
20.000	BANK OF AMERICA CORP	BAC	09/29/05	839.36	09/17/09	346.17	-493.19
100.000	BRUNSWICK CORP	BC	03/18/04	4,016.00	09/17/09	938.12	-3,077.88
25.000	BRUNSWICK CORP	BC	09/29/05	930.23	09/17/09	234.53	-695.70
100.000	BURLINGTON NORTHERN SANTA FE CORP	BNI	03/18/04	3,095.00	09/17/09	8,329.53	5,234.53
65.000	CATERPILLAR INC	CAT	03/18/04	2,512.82	09/17/09	3,443.02	930.20
62.000	CHEVRON CORPORATION	CVX	03/21/03	2,048.27	09/17/09	4,458.35	2,410.08
105.000	CIGNA CORP	CI	03/18/04	2,001.56	09/17/09	3,358.36	1,356.80
53.000	CITIGROUP INC	C	05/22/01	2,619.00	09/17/09	229.89	-2,389.11
65.000	CITIGROUP INC	C	08/23/02	2,241.00	09/17/09	281.95	-1,959.05
42.000	CITIGROUP INC	C	09/29/05	1,923.96	09/17/09	182.18	-1,741.78
60.000	CLOROX CO	CLX	03/18/04	2,895.71	09/17/09	3,426.93	531.22
30.000	CONOCOPHILLIPS	COP	03/15/01	823.11	09/17/09	1,390.58	567.47
100.000	CONOCOPHILLIPS	COP	06/28/01	2,799.60	09/17/09	4,635.27	1,835.67
80.000	DOW CHEMICAL CO.	DOW	03/18/04	3,136.92	09/17/09	2,071.94	-1,064.98
20.000	DOW CHEMICAL CO.	DOW	09/29/05	827.82	09/17/09	517.99	-309.83
130.000	DUKE ENERGY CORPORATION (HOLDING COMPANY) NEW	DUK	03/18/04	1,670.05	09/17/09	2,039.12	369.07
149.000	EASTMAN KODAK CO	EK	12/16/04	4,783.02	07/13/09	420.15	-4,362.87
25.000	EASTMAN KODAK CO	EK	10/27/06	611.80	07/13/09	70.50	-541.30
55.000	EATON CORP	ETN	03/18/04	3,127.15	09/17/09	3,276.11	148.96
10.000	GENERAL ELECTRIC CO	GE	07/30/02	308.12	09/17/09	163.94	-144.18
70.000	GENERAL ELECTRIC CO	GE	08/29/02	2,126.40	09/17/09	1,147.59	-978.81

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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
105.000	GENERAL ELECTRIC CO	GE	11/13/02	2,535.90	09/17/09	1,721.38	-814.52
40.000	GENERAL ELECTRIC CO	GE	09/29/05	1,349.05	09/17/09	655.77	-693.28
50.000	GENERAL MILLS INC	GIS	03/18/04	2,333.50	09/17/09	3,002.78	669.28
20.000	GENERAL MILLS INC	GIS	09/29/05	952.56	09/17/09	1,201.11	248.55
45.000	HARTFORD FINANCIAL SERVICES GROUP INC	HIG	03/18/04	2,891.57	09/17/09	1,206.36	-1,685.21
95.000	HONEYWELL INTL INC	HON	03/18/04	3,110.68	09/17/09	3,778.11	667.43
35.000	ITT CORPORATION	ITT	03/18/04	1,297.80	09/17/09	1,789.30	491.50
65.000	KIMBERLY CLARK CORP	KMB	03/18/04	3,923.65	09/17/09	3,716.41	-207.24
69.000	KRAFT FOODS INC CL A	KFT	03/18/04	1,315.01	09/17/09	1,821.90	506.89
45.000	LOCKHEED MARTIN CORP	LMT	03/18/04	2,002.50	09/17/09	3,527.00	1,524.50
90.000	NICOR INC	GAS	03/18/04	3,281.73	09/17/09	3,338.43	56.70
100.000	PHILIP MORRIS INTERNATIONAL INC	PM	03/18/04	2,926.95	09/17/09	4,759.92	1,832.97
115.000	PLUM CREEK TIMBER CO INC COM	PCL	03/18/04	3,710.36	09/17/09	3,653.05	-57.31
130.000	PUBLIC SERVICE ENTERPRISE GROUP INC	PEG	03/18/04	3,019.37	09/17/09	4,122.66	1,103.29
115.000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS	RDSA	03/18/04	5,460.88	09/17/09	6,715.02	1,254.14
65.000	SPECTRA ENERGY CORP	SE	03/18/04	1,203.90	09/17/09	1,253.78	49.88
10.000	TARGET CORP	TGT	10/11/02	298.76	09/17/09	485.19	186.43
75.000	TARGET CORP	TGT	12/06/02	2,595.77	09/17/09	3,638.90	1,043.13
50.000	TARGET CORP	TGT	08/27/03	1,976.90	09/17/09	2,425.93	449.03
180.000	TEXTRON INC	TXT	03/18/04	4,797.00	09/17/09	3,446.18	-1,350.82
35.000	THOMAS & BETTS CORP	TNB	03/18/04	762.37	09/17/09	992.08	229.71
85.000	WASTE MANAGEMENT INC DEL	WM	03/18/04	2,505.24	09/17/09	2,572.36	67.12
25.000	WASTE MANAGEMENT INC DEL	WM	09/29/05	718.11	09/17/09	756.58	38.47
0.932	WELLS FARGO & CO	WFC	03/18/04	222.81	01/07/09	24.89	-197.92
14.000	WELLS FARGO & CO	WFC	03/18/04	3,345.08	09/17/09	396.07	-2,949.01
70.000	3M COMPANY	MMM	03/18/04	5,548.20	09/17/09	5,209.54	-338.66



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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
30.000	3M COMPANY	MMM	09/29/05	2,205.00	09/17/09	2,232.66	27.66
Long Term Subtotal				131,935.86		126,242.06	-5,693.80
TOTAL REALIZED GAIN OR LOSS							-3,330.89

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SCHEDULE OF REALIZED GAINS AND LOSSES

		THIS YEAR
Total Realized Gain or Loss		-50,017.43
Short-term		-29,690.00
Long-term		-20,327.43

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
14.000	ALLERGAN INC	AGN	03/31/08	786.39	02/19/09	574.95	-211.44
43.000	ALLERGAN INC	AGN	03/31/08	2,415.33	02/20/09	1,704.06	-711.27
37.000	AMERICAN EXPRESS COMPANY	AXP	03/31/08	1,632.14	01/26/09	569.98	-1,062.16
46.000	AMERICAN EXPRESS COMPANY	AXP	03/31/08	2,029.15	02/19/09	620.68	-1,408.47
43.000	AMERICAN EXPRESS COMPANY	AXP	10/30/08	1,130.54	02/19/09	580.21	-550.33
28.000	AMERICAN EXPRESS COMPANY	AXP	12/18/08	564.14	02/19/09	377.81	-186.33
26.000	BECTON DICKINSON & CO	BDX	10/30/08	1,765.40	08/17/09	1,715.87	-49.53
32.000	BECTON DICKINSON & CO	BDX	10/30/08	2,172.81	10/02/09	2,142.99	-29.82
20.000	BECTON DICKINSON & CO	BDX	05/01/09	1,236.59	10/02/09	1,339.37	102.78
15.000	BOEING CO	BA	03/31/08	1,116.01	03/02/09	437.12	-678.89
34.000	CATERPILLAR INC	CAT	03/31/08	2,658.30	03/02/09	742.99	-1,915.31
6.000	CME GROUP INC	CME	03/31/08	2,800.98	01/07/09	1,180.78	-1,620.20
6.000	CME GROUP INC	CME	03/31/08	2,800.98	02/03/09	1,029.89	-1,771.09
5.000	CME GROUP INC	CME	03/31/08	2,334.15	02/19/09	920.29	-1,413.86
61.000	DAIMLER AG	DAI	03/31/08	5,214.54	02/20/09	1,559.43	-3,655.11
87.000	GENERAL ELECTRIC CO	GE	10/30/08	1,690.14	07/20/09	1,008.38	-681.76
96.000	GENERAL ELECTRIC CO	GE	03/19/09	1,004.99	07/20/09	1,112.69	107.70
55.000	HEWLETT PACKARD CO	HPQ	02/06/09	2,048.57	07/13/09	2,024.67	-23.90
124.000	INTEL CORP	INTC	10/08/09	2,475.68	12/16/09	2,395.02	-80.66
8.000	JACOBS ENGINEERING GROUP INC	JEC	03/31/08	583.27	02/19/09	290.71	-292.56
42.000	JACOBS ENGINEERING GROUP INC	JEC	03/31/08	3,062.19	03/02/09	1,268.40	-1,793.79
11.000	JACOBS ENGINEERING GROUP INC	JEC	03/31/08	802.00	03/09/09	359.45	-442.55
117.000	JOHNSON CONTROLS INC	JCI	03/31/08	3,943.88	03/02/09	1,228.32	-2,715.56



PRIVATE CLIENT GROUP ACCOUNT STATEMENT 2009 ANNUAL STATEMENT

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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
36.000	PACCAR INC	PCAR	03/31/08	1,620.40	03/02/09	821.80	-798.60
31.000	PRAXAIR INC	PX	03/31/08	2,582.26	02/19/09	1,997.38	-584.88
10.000	PRAXAIR INC	PX	03/31/08	832.99	03/02/09	534.61	-298.38
15.000	PRICE T ROWE GROUP INC	TROW	03/31/08	758.71	03/02/09	323.35	-435.36
31.000	RAYTHEON CO COM NEW	RTN	03/31/08	2,000.19	03/09/09	1,044.02	-956.17
18.000	RAYTHEON CO COM NEW	RTN	10/30/08	896.05	09/17/09	836.89	-59.16
107.000	TYCO ELECTRONICS LTD	G9144PI05	03/31/08	3,631.73	02/19/09	1,267.94	-2,363.79
59.000	TYCO ELECTRONICS LTD	G9144PI05	03/31/08	2,002.54	02/20/09	629.04	-1,373.50
56.000	TYCO ELECTRONICS LTD	G9144PI05	03/31/08	1,900.72	03/02/09	496.07	-1,404.65
119.000	TYCO ELECTRONICS LTD SWITZERLAND US LISTED	TEL	10/30/08	19.04	09/21/09	19.04	0.00
119.000	TYCO ELECTRONICS LTD SWITZERLAND US LISTED	TEL	10/30/08	2,188.56	10/02/09	2,513.86	325.30
26.000	UNION PACIFIC CORP	UNP	03/31/08	1,631.43	03/09/09	892.46	-738.97
2.000	UNION PACIFIC CORP	UNP	04/25/08	141.52	03/09/09	68.65	-72.87
31.000	VARIAN MEDICAL SYSTEMS INC	VAR	02/20/09	1,075.91	10/02/09	1,231.05	155.14
Short Term Subtotal				67,550.22		37,860.22	-29,690.00
Long Term							
45.000	ALLERGAN INC	AGN	03/31/08	2,527.67	05/01/09	1,966.24	-561.43
43.000	AMAZON.COM INC	AMZN	04/25/08	3,428.69	12/11/09	5,752.17	2,323.48
13.000	APPLE INC	AAPL	02/14/08	1,662.84	08/17/09	2,057.33	394.49
9.000	APPLE INC	AAPL	02/14/08	1,151.19	12/23/09	1,794.33	643.14
91.000	AT&T INC	T	03/31/08	3,517.88	06/19/09	2,156.84	-1,361.04
90.000	AT&T INC	T	03/31/08	3,479.22	06/24/09	2,219.37	-1,259.85
38.000	BECTON DICKINSON & CO	BDX	03/31/08	3,266.32	08/17/09	2,507.81	-758.51
57.000	BOEING CO	BA	03/31/08	4,240.82	04/01/09	1,999.25	-2,241.57
61.000	EXPEDITORS INTERNATIONAL OF WASHINGTON INC	EXPD	03/23/06	2,513.91	03/02/09	1,510.18	-1,003.73

THE DOERING FAMILY FOUNDATION
PCG TRANSAMERICA



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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
67.000	EXPEDITORS INTERNATIONAL OF WASHINGTON INC	EXPD	03/23/06	2,761.18	08/17/09	2,085.50	-675.68
60.000	GENERAL ELECTRIC CO	GE	09/12/00	3,580.50	06/19/09	712.18	-2,868.32
55.000	GENERAL ELECTRIC CO	GE	09/19/00	3,160.55	06/19/09	652.83	-2,507.72
10.000	GENERAL ELECTRIC CO	GE	09/19/00	574.64	07/07/09	110.61	-464.03
35.000	GENERAL ELECTRIC CO	GE	10/31/01	1,293.40	07/07/09	387.13	-906.27
34.000	GENERAL ELECTRIC CO	GE	10/10/06	1,236.16	07/07/09	376.07	-860.09
88.000	GENERAL ELECTRIC CO	GE	10/10/06	3,199.46	07/20/09	1,019.97	-2,179.49
11.000	GENERAL ELECTRIC CO	GE	03/31/08	412.98	07/20/09	127.50	-285.48
2.000	GILEAD SCIENCES INC	GILD	02/27/08	88.20	08/17/09	87.54	-0.66
54.000	GILEAD SCIENCES INC	GILD	02/27/08	2,381.42	09/17/09	2,451.36	69.94
49.000	GILEAD SCIENCES INC	GILD	02/27/08	2,160.92	10/08/09	2,201.39	40.47
108.000	GILEAD SCIENCES INC	GILD	02/27/08	4,762.84	12/23/09	4,641.62	-121.22
23.000	PRAXAIR INC	PX	03/31/08	1,915.87	10/08/09	1,854.52	-61.35
58.000	PRAXAIR INC	PX	03/31/08	4,831.32	11/23/09	4,863.47	32.15
26.000	QUALCOMM INC	QCOM	09/28/06	968.30	10/02/09	1,067.28	98.98
80.000	QUALCOMM INC	QCOM	01/19/07	3,126.64	10/02/09	3,283.92	157.28
27.000	QUALCOMM INC	QCOM	01/19/07	1,055.24	10/08/09	1,121.67	66.43
25.000	RAYTHEON CO COM NEW	RTN	03/31/08	1,613.06	07/07/09	1,044.54	-568.52
37.000	RAYTHEON CO COM NEW	RTN	03/31/08	2,387.32	07/22/09	1,652.20	-735.12
26.000	RAYTHEON CO COM NEW	RTN	03/31/08	1,677.58	08/17/09	1,210.81	-466.77
57.000	RAYTHEON CO COM NEW	RTN	03/31/08	3,677.77	09/17/09	2,650.17	-1,027.60
47.000	SIGMA-ALDRICH CORP	SIAL	03/31/08	2,780.56	11/23/09	2,573.57	-206.99
67.000	TYCO ELECTRONICS LTD SWITZERLAND US LISTED	TEL	03/31/08	10.72	09/21/09	10.72	0.00
67.000	TYCO ELECTRONICS LTD SWITZERLAND US LISTED	TEL	03/31/08	2,263.35	10/02/09	1,415.37	-847.98
34.000	UNION PACIFIC CORP	UNP	04/25/08	2,405.76	10/02/09	1,932.76	-473.00
23.000	VARIAN MEDICAL SYSTEMS INC	VAR	10/19/05	1,010.65	03/02/09	668.53	-342.12



PRIVATE CLIENT GROUP
ACCOUNT STATEMENT
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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
45,000	VARIAN MEDICAL SYSTEMS INC	VAR	10/20/05	2,016.87	03/02/09	1,308.00	-708.87
41,000	VARIAN MEDICAL SYSTEMS INC	VAR	10/20/05	1,837.59	10/02/09	1,628.16	-209.43
43,000	VARIAN MEDICAL SYSTEMS INC	VAR	01/22/07	2,158.54	10/02/09	1,707.59	-450.95
Long Term Subtotal				87,137.93		66,810.50	-20,327.43
TOTAL REALIZED GAIN OR LOSS							-50,017.43

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization THE DOERING FAMILY FOUNDATION	Employer identification number 39-1805495
	Number, street, and room or suite no. If a P.O. box, see instructions 79-100 CASA VIDA CIRCLE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LA QUINTA, CA 92253	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|--|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

ROBERT E. DOERING

- The books are in the care of ► **79-100 CASA VIDA CIRCLE - LAQUINTA, CA 92253**
Telephone No ► **(760) 777-8394** FAX No. ► **(760) 777-1294**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2009** or
► ☐ tax year beginning _____, and ending _____.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	4,631.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	4,631.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)