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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print of type See Specific Instructions	Name of foundation THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.		A Employer identification number 39-1688812
	Number and street (or P O box number if mail is not delivered to street address) 625 WALNUT RIDGE DRIVE		B Telephone number (262) 369-9978
	City or town, state, and ZIP code HARTLAND, WI 53029		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 50,741,198. (Part I, column (d) must be on cash basis)		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	190,000.			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	312,839.	312,839.		STATEMENT 1
	4 Dividends and interest from securities	716,468.	716,468.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-1,191,325.			
	b Gross sales price for all assets on line 6a	23,986,357.			
	7 Capital gain net income (from Part IV line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	13,955.	13,955.	0.	STATEMENT 3	
12 Total Add lines 1 through 11	41,937.	1,043,262.	0.		
Operating and Administrative Expenses	13 Compensation of officers directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	44,913.	0.	0.	44,913.
	b Accounting fees STMT 5	16,094.	0.	0.	16,094.
	c Other professional fees STMT 6	727,088.	642,983.	0.	84,105.
	17 Interest	1,251.	0.	0.	0.
	18 Taxes STMT 7	14,515.	22,074.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 8	994.	0.	0.	0.
	24 Total operating and administrative expenses Add lines 13 through 23	804,855.	665,057.	0.	145,112.
	25 Contributions, gifts, grants paid	2,456,479.			2,456,479.
26 Total expenses and disbursements Add lines 24 and 25	3,261,334.	665,057.	0.	2,601,591.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-3,219,397.				
b Net investment income (if negative, enter -0-)		378,205.			
c Adjusted net income (if negative, enter -0-)			0.		

P 1

**THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.**

39-1688812

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			1.	1.
	2	Savings and temporary cash investments		1,002,101.	1,532,289.	1,532,289.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 11		12,548,775.	11,167,566.	11,295,844.
	b	Investments - corporate stock STMT 12		26,802,857.	23,303,247.	25,238,906.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 13		11,035,965.	12,167,050.	12,674,158.
	14	Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers)		51,389,698.	48,170,153.	50,741,198.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		51,389,698.	48,170,153.		
30	Total net assets or fund balances		51,389,698.	48,170,153.		
31	Total liabilities and net assets/fund balances		51,389,698.	48,170,153.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	51,389,698.
2	Enter amount from Part I, line 27a	2	-3,219,397.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	340.
4	Add lines 1, 2, and 3	4	48,170,641.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	488.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	48,170,153.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 23,986,357.		25,177,682.	-1,191,325.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-1,191,325.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 -1,191,325.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,957,422.	55,893,673.	.052912
2007	3,116,593.	57,480,762.	.054220
2006	2,194,457.	53,956,038.	.040671
2005	2,519,119.	50,647,317.	.049738
2004	2,237,667.	46,880,479.	.047731

2 Total of line 1, column (d)	2	.245272
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049054
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	46,366,662.
5 Multiply line 4 by line 3	5	2,274,470.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,782.
7 Add lines 5 and 6	7	2,278,252.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,601,591.

THEODORE W. BATTERMAN

Form 990-PF (2009)

FAMILY FOUNDATION, INC.

39-1688812

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	3,782.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	3,782.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	3,782.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	14,928.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	14,928.
8	Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,146.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ Refunded ☒	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10	X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>GODFREY & KAHN, S.C.</u> Telephone no. ► <u>(414) 273-3500</u> Located at ► <u>780 NORTH WATER STREET, MILWAUKEE, WI</u> ZIP+4 ► <u>53202</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Form 990-PF (2009)

39-1688812

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FOUNDATION MANAGEMENT, LLC P.O. BOX 1783, MADISON, WI 53701	MANAGEMENT SERVICES	84,105.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	34,275,222.
b	Average of monthly cash balances	1b	1,314,836.
c	Fair market value of all other assets	1c	11,482,695.
d	Total (add lines 1a, b, and c)	1d	47,072,753.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	47,072,753.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	706,091.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	46,366,662.
6	Minimum investment return Enter 5% of line 5	6	2,318,333.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,318,333.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,782.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,782.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	2,314,551.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,314,551.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	2,314,551.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,601,591.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,601,591.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,782.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2,597,809.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,314,551.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			1,856,272.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: \$ 2,601,591.				
a Applied to 2008, but not more than line 2a			1,856,272.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				745,319.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				1,569,232.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

(e) Total

(4) Gross investment income

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
SEE STATEMENT 16					
Total				▶ 3a	2,456,479.
b Approved for future payment:					
NONE					
Total				▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	312,839.		
4 Dividends and interest from securities			14	716,468.		
5 Net rental income or (loss) from real estate.						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	13,955.		
8 Gain or (loss) from sales of assets other than inventory			18	-1,191,325.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		-148,063.		0.
13 Total Add line 12, columns (b), (d), and (e)					13	-148,063.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	CHRISTOPHER BATTERMAN 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 60,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	MARK AND LINDA JOHNSON 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 85,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	LAURA BATTERMAN WILKINS 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 45,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST COMPANY (02832)	P	VARIOUS	VARIOUS
b	NORTHERN TRUST COMPANY (02832)	P	VARIOUS	VARIOUS
c	NORTHERN TRUST COMPANY (17810)	P	VARIOUS	VARIOUS
d	NORTHERN TRUST COMPANY (17810)	P	VARIOUS	VARIOUS
e	NORTHERN TRUST COMPANY (17913)	P	VARIOUS	VARIOUS
f	NORTHERN TRUST COMPANY (17913)	P	VARIOUS	VARIOUS
g	NORTHERN TRUST COMPANY (73587)	P	VARIOUS	VARIOUS
h	NORTHERN TRUST COMPANY (73587)	P	VARIOUS	VARIOUS
i	NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-	P	VARIOUS	VARIOUS
j	NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K	P	VARIOUS	VARIOUS
k	NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K	P	VARIOUS	VARIOUS
l	NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-	P	VARIOUS	VARIOUS
m	NORTHERN TRUST COMPANY (12107)	P	VARIOUS	VARIOUS
n	NORTHERN TRUST COMPANY (12107)	P	VARIOUS	VARIOUS
o	NORTHERN TRUST COMPANY (12107)	P	VARIOUS	VARIOUS

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,079,517.		1,092,982.	-13,465.
b	1,352,114.		834,532.	517,582.
c	338,226.		412,298.	-74,072.
d	1,789,542.		2,105,197.	-315,655.
e	1,070,544.		1,111,291.	-40,747.
f	2,589,141.		3,468,207.	-879,066.
g	2,210,912.		2,564,338.	-353,426.
h	560,861.		1,015,755.	-454,894.
i	13,266.			13,266.
j			21,231.	-21,231.
k	6,272.			6,272.
l			12,112.	-12,112.
m	6,632,600.		6,450,604.	181,996.
n	6,306,421.		6,067,331.	239,090.
o	18,418.			18,418.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-13,465.
b			517,582.
c			-74,072.
d			-315,655.
e			-40,747.
f			-879,066.
g			-353,426.
h			-454,894.
i			13,266.
j			-21,231.
k			6,272.
l			-12,112.
m			181,996.
n			239,090.
o			18,418.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST COMPANY (12107)		P	VARIOUS	VARIOUS
b NORTHERN TRUST COMPANY (02906)		P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		21,804.	-21,804.
b 2,657.			2,657.
c 15,866.			15,866.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-21,804.
b			2,657.
c			15,866.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-1,191,325.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST COMPANY (12107) - OTHER INTEREST INCOME	155,306.
NORTHERN TRUST COMPANY (12107) - US GOV'T INTEREST	125,513.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	22,257.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	9,763.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	312,839.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NORTHERN TRUST COMPANY (02831) - DIVIDENDS	2,946.	2,946.	0.
NORTHERN TRUST COMPANY (02832) - DIVIDENDS	67,768.	0.	67,768.
NORTHERN TRUST COMPANY (02906) - DIVIDENDS	13,048.	12,920.	128.
NORTHERN TRUST COMPANY (12107) - DIVIDENDS	225,864.	0.	225,864.
NORTHERN TRUST COMPANY (16513) - DIVIDENDS	1.	0.	1.
NORTHERN TRUST COMPANY (17810) - DIVIDENDS	56,622.	0.	56,622.
NORTHERN TRUST COMPANY (17810) - FOREIGN DIVIDENDS	10,406.	0.	10,406.
NORTHERN TRUST COMPANY (17913) - DIVIDENDS	1,841.	0.	1,841.
NORTHERN TRUST COMPANY (17913) - FOREIGN DIVIDENDS	240,370.	0.	240,370.
NORTHERN TRUST COMPANY (73587) - DIVIDENDS	93,091.	0.	93,091.
NORTHERN TRUST COMPANY (73587) - FOREIGN DIVIDENDS	10,634.	0.	10,634.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	6,796.	0.	6,796.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	2,947.	0.	2,947.
TOTAL TO FM 990-PF, PART I, LN 4	732,334.	15,866.	716,468.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	790.	790.	0.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	2,500.	2,500.	0.
NORTHERN TRUST COMPANY (02832)	73.	73.	0.
NORTHERN TRUST COMPANY (17923)	10,592.	10,592.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	13,955.	13,955.	0.

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	44,913.	0.	0.	44,913.
TO FM 990-PF, PG 1, LN 16A	44,913.	0.	0.	44,913.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	16,094.	0.	0.	16,094.
TO FORM 990-PF, PG 1, LN 16B	16,094.	0.	0.	16,094.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	567,625.	567,625.	0.	0.
AGENCY FEES	159,463.	75,358.	0.	84,105.
TO FORM 990-PF, PG 1, LN 16C	727,088.	642,983.	0.	84,105.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	22,074.	22,074.	0.	0.
EXCISE TAX REFUND	-9,131.	0.	0.	0.
990-T TAX PAYMENTS	1,572.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	14,515.	22,074.	0.	0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	994.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	994.	0.	0.	0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
NORTHERN TRUST COMPANY (12107) - MUNICIPAL INTEREST INCOME	340.
TOTAL TO FORM 990-PF, PART III, LINE 3	340.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	10
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DESCRIPTION	AMOUNT
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1 - NON-DEDUCTIBLE EXPENSES	52.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1 - NON-DEDUCTIBLE EXPENSE	436.
TOTAL TO FORM 990-PF, PART III, LINE 5	488.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS - SEE ATTACHED STMT	X		11,167,566.	11,295,844.
TOTAL U.S. GOVERNMENT OBLIGATIONS			11,167,566.	11,295,844.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			11,167,566.	11,295,844.

FORM 990-PF	CORPORATE STOCK	STATEMENT	12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS - SEE ATTACHED STMT	23,303,247.	25,238,906.
TOTAL TO FORM 990-PF, PART II, LINE 10B	23,303,247.	25,238,906.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN PARTNERSHIP	COST	4,367,050.	4,145,237.
INVESTMENT IN HEDGE FUND	COST	7,800,000.	8,528,921.
TOTAL TO FORM 990-PF, PART II, LINE 13		12,167,050.	12,674,158.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 14
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THEODORE W. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	PRES/TRES/DIR 0.00	0.	0.	0.
MARILYN H. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	VP/SECY/DIR 0.00	0.	0.	0.
LINDA C. BATTERMAN JOHNSON 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
CHRISTOPHER T. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
LAURA G. BATTERMAN WILKINS 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
ANDREW R. LAURITZEN 780 NORTH WATER STREET MILWAUKEE, WI 53202	ASST SECY/ASST TRES/DIR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 15

NAME OF MANAGER

THEODORE W. BATTERMAN
MARILYN H. BATTERMAN

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 16

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALZHEIMER'S ASSOCIATION, SOUTHEAST WISCONSIN CHAPTER 620 S. 76TH STREET, SUITE 160 MILWAUKEE, WI 53214	N/A JEFFERSON AND DODGE COUNTIES REGIONAL SERVICES	PUBLIC CHARITY	15,000.
AMERICAN CANCER SOCIETY 1409 GREENE STREET FORT ATKINSON, WI 53538	N/A FORT RELAY FOR LIFE	PUBLIC CHARITY	10,000.
BELOIT PUBLIC LIBRARY 605 ECLIPSE BLVD. BELOIT, WI 53511	N/A FURNITURE FOR NEW LIBRARY	PUBLIC CHARITY	20,000.
ASSOCIATION FOR LUTHERAN DEVELOPMENT EXECUTIVES 1737 BEACH ROAD VERONA, WI 53593	N/A COLLEGE TO CAREER PILOT PROGRAM	PUBLIC CHARITY	26,260.
BENEDICTION LUTHERAN CHURCH 8475 WEST FOND DU LAC AVENUE MILWAUKEE, WI 53225	N/A HMONG MINISTRY	PUBLIC CHARITY	29,000.
BETHANY CHRISTIAN SERVICES OF WISCONSIN N14W23755 STONE RIDGE DRIVE, SUITE 265 WAUKESHA, WI 53188	N/A POST ADOPTION SUPPORT	PUBLIC CHARITY	40,000.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

BETHEL UNIVERSITY 3900 BETHEL DRIVE ST PAUL, MN 55112	N/A UNIVERSITY COMMONS	PUBLIC CHARITY	100,000.
BOY SCOUTS - GLACIER'S EDGE COUNCIL 2300 E. RACINE ST. JANESVILLE, WI 53545	N/A BUILDING REPAIRS	PUBLIC CHARITY	10,000.
CATHOLIC CHARITIES 702 S. HIGH POINT ROAD, P.O. BOX 46550 MADISON, WI 53744	N/A PLAY GROUPS	PUBLIC CHARITY	10,000.
COMMUNITY FOUNDATION OF SOUTHERN WISCONSIN, INC. 26 S. JACKSON ST. JANESVILLE, WI 53548	N/A SCHOLARSHIPS	PUBLIC CHARITY	90,150.
CONCORDIA UNIVERSITY - ST. PAUL, OSWALD HOFFMAN SCHOOL OF CHRISTIAN OUTREAC - 275 SYNDICATE STREET, NORTH ST PAUL, MN 55104	N/A DISCIPLING AND MISSIONS EDUCATIONAL CHAIR	PUBLIC CHARITY	29,900.
CONCORDIA UNIVERSITY IRVINE 1530 CONCORDIA WEST IRVINE, CA 92612	N/A STUDENT AFRICA MINISTRY PROJECT	PUBLIC CHARITY	4,000.
CONCORDIA UNIVERSITY WISCONSIN 12800 N. LAKE SHORE DRIVE MEQUON, WI 53097	N/A BELIZE NURSING PROGRAM	PUBLIC CHARITY	52,100.
CONCORDIA UNIVERSITY WISCONSIN 12800 N. LAKE SHORE DRIVE MEQUON, WI 53097	N/A ENVIRONMENTAL EDUCATION CENTER	PUBLIC CHARITY	250,000.
COUNTRY CHRISTIAN SCHOOL W329 N4476 LAKELAND DR. NASHOTAH, WI 53058	N/A GYMNASIUM FLOOR	PUBLIC CHARITY	40,000.
DONORS FORUM OF WISCONSIN 759 NORTH MILWAUKEE, SUITE 515 MILWAUKEE, WI 53202	N/A MEMBERSHIP	PUBLIC CHARITY	2,500.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

ELMBROOK CHURCH 777 SOUTH BARKER RD BROOKFIELD, WI 53045	N/A WEBSITE REDESIGN	PUBLIC CHARITY	5,365.
EVERYONE COOPERATING TO HELP OTHERS 65 SOUTH HIGH STREET JANESVILLE, WI 53548	N/A BUILDING REPAIRS AND TRANSPORTATION SERVICES	PUBLIC CHARITY	50,000.
FAITH COMMUNITY CHURCH W5949 HACKBARTH ROAD FORT ATKINSON, WI 53538	N/A PRESCHOOL PLAYGROUND AREA	PUBLIC CHARITY	20,000.
FEEDING AMERICA EASTERN WISCONSIN 1700 W. FOND DU LAC AVENUE MILWAUKEE, WI 53205	N/A FOOD FOR HUNGER RELIEF PROGRAMS IN ROCK AND JEFFERSON COUNTIES	PUBLIC CHARITY	30,000.
FELLOWSHIP OF CHRISTIAN ATHLETES 135 W. BROADWAY WAUKESHA, WI 53186	N/A COACHES MINISTRY AND TECHNOLOGY UPGRADES	PUBLIC CHARITY	10,230.
FORT ATKINSON COMMUNITY FOUNDATION 244 N. MAIN STREET FORT ATKINSON, WI 53538	N/A SCHOLARSHIPS	PUBLIC CHARITY	60,000.
FORT ATKINSON COMMUNITY FOUNDATION 244 N. MAIN STREET FORT ATKINSON, WI 53538	N/A PROGRAM DIRECTOR	PUBLIC CHARITY	30,000.
FORT ATKINSON SCHOOL DISTRICT 205 PARK ST. FORT ATKINSON, WI 53538	N/A PLAYGROUND EQUIPMENT	PUBLIC CHARITY	10,000.
FORT ATKINSON SCHOOL DISTRICT 205 PARK ST. FORT ATKINSON, WI 53538	N/A SMART BOARDS	PUBLIC CHARITY	10,000.
HEADWATERS CHRISTIAN YOUTH P.O. BOX 662 RHINELANDER, WI 54501	N/A SCHOLARSHIPS	PUBLIC CHARITY	20,000.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

HEALTH NET OF JANESVILLE 23 W. MILWAUKEE ST. JANESVILLE, WI 53548	N/A PART TIME LPN AND SUPPLIES AND MEDICATIONS	PUBLIC CHARITY	20,000.
HOPE CHRISTIAN SCHOOLS 3215 N. MARTIN LUTHER KING DR. MILWAUKEE, WI 53212	N/A READING PROGRAM	PUBLIC CHARITY	75,000.
HYDROCEPHALUS ASSOCIATION 870 MARKET STREET, SUITE 705 SAN FRANCISCO, CA 94102	N/A HYDROCEPHALUS WALK AND WORKSHOPS	PUBLIC CHARITY	50,000.
HYDROCEPHALUS ASSOCIATION 870 MARKET STREET, SUITE 705 SAN FRANCISCO, CA 94102	N/A HYDROCEPHALUS RESEARCH INITIATIVE	PUBLIC CHARITY	250,000.
JANESVILLE SCHOOL DISTRICT 527 S. FRANKLIN STREET JANESVILLE, WI 53548	N/A SPOTLIGHTER OUTFITS	PUBLIC CHARITY	10,000.
JANESVILLE SCHOOL DISTRICT 527 S. FRANKLIN STREET JANESVILLE, WI 53548	N/A IMPLEMENTATION OF PROJECT LEAD THE WAY	PUBLIC CHARITY	68,870.
JANESVILLE SCHOOL DISTRICT 527 S. FRANKLIN STREET JANESVILLE, WI 53548	N/A SMART BOARDS	PUBLIC CHARITY	5,500.
LITERACY SERVICES OF WISCONSIN 2724 W. WELLS STREET MILWAUKEE, WI 53208	N/A ABE PROGRAM EXPANSION	PUBLIC CHARITY	10,000.
LIVING WORD LUTHERAN HIGH SCHOOL 2230 LIVING WORD LANE, P.O. BOX 307 JACKSON, WI 53037	N/A ATHLETIC FIELD PREPARATION	PUBLIC CHARITY	50,000.
LUTHERAN ASSOCIATION OF MISSIONARIES & PILOTS 3525 NORTH 124TH STREET BROOKFIELD, WI 53005	N/A OLYMPIC PENINSULA MINISTRY	PUBLIC CHARITY	15,000.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

LUTHERAN MUSIC PROGRAM 122 WEST FRANKLIN AVENUE, SUITE 230 MINNEAPOLIS, MN 55404	N/A SCHOLARSHIPS	PUBLIC CHARITY	10,000.
MERIT SCHOOL OF MUSIC 38 SOUTH PEORIA STREET CHICAGO, IL 60607	N/A PREPARATORY PROGRAM	PUBLIC CHARITY	25,000.
MERTON TOWN HALL LIBRARY FOUNDATION N76W31429 HIGHWAY VV NORTH LAKE, WI 53064	N/A LIBRARY EXPANSION	PUBLIC CHARITY	29,292.
MILWAUKEE SCHOOL OF ENGINEERING 1025 NORTH BROADWAY MILWAUKEE, WI 53202	N/A SCHOLARSHIPS	PUBLIC CHARITY	57,000.
NOTRE DAME MIDDLE SCHOOL 1420 WEST SCOTT ST. MILWAUKEE, WI 53204	N/A AFTER SCHOOL ENRICHMENT PROGRAM	PUBLIC CHARITY	50,000.
OUR REDEEMER LUTHERAN CHURCH 10025 WEST NORTH AVENUE WAUWATOSA, WI 53226	N/A MISSION PROJECT	PUBLIC CHARITY	12,000.
OUTDOOR OUTREACH 4661 YALE BRIDGE ROAD ROCKTON, IL 61072	N/A SUMMER DAY CAMP EXPENSES	PUBLIC CHARITY	10,000.
RISEN SAVIOR LUTHERAN CHURCH 9550 W. BROWN DEER RD. MILWAUKEE, WI 53224	N/A SCHOOL TECHNOLOGY AND LANGUAGE ARTS CURRICULUM	PUBLIC CHARITY	40,000.
ROCK COUNTY CHRISTIAN SCHOOL 916 BUSHNELL ST. BELOIT, WI 53511	N/A GYM ROOF REPLACEMENT	PUBLIC CHARITY	27,609.
ROGERS MEMORIAL HOSPITAL FOUNDATION 34700 VALLEY ROAD OCONOMOWOC, WI 53066	N/A SPIRITUAL CARE PROGRAM EXPANSION	PUBLIC CHARITY	15,000.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

RSVP OF ROCK COUNTY 2433 S. RIVERSIDE DRIVE, SUITE B BELOIT, WI 53511	N/A INTERGENERATIONAL PROGRAMS	PUBLIC CHARITY	15,000.
SALVATION ARMY OF GREATER MILWAUKEE 11315 WEST WATERTOWN PLANK ROAD WAUWATOSA, WI 53226	N/A CHILDREN'S PROGRAMS AND FEED THE KIDS	PUBLIC CHARITY	55,000.
SHARP LITERACY 750 N. LINCOLN MEMORIAL DRIVE, SUITE 311 MILWAUKEE, WI 53202	N/A SCHOOL SPONSORSHIP AND ASSESSMENT	PUBLIC CHARITY	39,500.
ST. JOHN THE BAPTIST CATHOLIC SCHOOL 333 EAST CHURCH ST. JEFFERSON, WI 53549	N/A MEDIA AND TECHNOLOGY IMPROVEMENTS	PUBLIC CHARITY	10,000.
ST. PAUL'S LUTHERAN CHURCH AND SCHOOL 309 BLUFF ST. FORT ATKINSON, WI 53538	N/A SMART BOARDS	PUBLIC CHARITY	10,000.
ST. PETER'S EVANGELICAL LUTHERAN CHURCH AND SCHOOL W3255 HWY 18 HELENVILLE, WI 53137	N/A HEAT VENTILATOR AND THERMOSTAT REPLACEMENT	PUBLIC CHARITY	10,000.
ST. VINCENT DE PAUL SOCIETY - FORT ATKINSON 1525 SUMMIT DRIVE, UNIT 3 FORT ATKINSON, WI 53538	N/A EQUIPMENT TO CREATE ADN MOVE CLOTHING BALES	PUBLIC CHARITY	20,000.
THE ESCAPE OF FORT ATKINSON 312 BLUFF STREET FORT ATKINSON, WI 53538	N/A TEEN LEADERSHIP PROJECT	PUBLIC CHARITY	30,000.
THE LUTHERAN HOME ASSOCIATION 337 SOUTH MERIDIAN STREET BELLE PLAINE, MN 56011	N/A YOUTH LEADERSHIP INITIATIVE	PUBLIC CHARITY	50,000.
TOMORROW'S HOPE 147 ROCKWELL STREET JEFFERSON, WI 53549	N/A JEFFERSON COUNTY HEALTH ORGANIZATION	PUBLIC CHARITY	40,000.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

TYME· OUT YOUTH MINISTRY CENTER W332 N6786 COUNTY ROAD C NASHOTAH, WI 53058	N/A INFRASTRUCTURE AND PROGRAMMING SUPPORT	PUBLIC CHARITY	35,800.
WISCONSIN CONSERVATORY OF MUSIC, INC. 1584 NORTH PROSPECT AVENUE MILWAUKEE, WI 53202	N/A JAZZ INSTITUTE	PUBLIC CHARITY	105,250.
WISCONSIN CONSERVATORY OF MUSIC, INC. 1584 NORTH PROSPECT AVENUE MILWAUKEE, WI 53202	N/A SCHOLARSHIPS	PUBLIC CHARITY	25,000.
WISCONSIN FOUNDATION FOR INDEPENDENT COLLEGES, INC. 4425 N. PORT WASHINGTON ROAD, SUITE 402 GLENDALE, WI 53212	N/A COLLEGE TO WORK PROGRAM	PUBLIC CHARITY	62,150.
WISCONSIN LUTHERAN COLLEGE 8800 WEST BLUEMOUND RD. MILWAUKEE, WI 53226	N/A SCHOLARSHIPS	PUBLIC CHARITY	100,000.
WISCONSIN SCHOOL MUSIC ASSOCIATION 1005 QUINN DRIVE WAUNAKEE, WI 53597	N/A REGIONAL SPONSORSHIP	PUBLIC CHARITY	3,000.
WYCLIFF BIBLE TRANSLATORS 11221 JOHN WYCLIFFE BLVD., P.O. BOX 628200 ORLANDO, FL 32862	N/A OPERATING SUPPORT	PUBLIC CHARITY	40,000.
YMCA OF NORTHERN ROCK COUNTY 221 DODGE ST JANESVILLE, WI 53548	N/A SCHOLARSHIPS	PUBLIC CHARITY	20,000.
YWCA ROCK COUNTY 1735 S. WASHINGTON ST. JANESVILLE, WI 53546	N/A PASSENGER VAN	PUBLIC CHARITY	19,280.
ZOOLOGICAL SOCIETY OF MILWAUKEE COUNTY 10005 WEST BLUE MOUND ROAD MILWAUKEE, WI 53226	N/A ANIMAL AMBASSADOR CONTINUUM	PUBLIC CHARITY	6,723.

THEODORE W. BATTERMAN FAMILY FOUNDATION,

39-1688812

DISCOVERY WORLD, LTD
500 NORTH HARBOR DRIVE
MILWAUKEE, WI 53202

N/A
NEW BUILDING

PUBLIC
CHARITY

-30,000.

CHANNEL 10/36 FRIENDS
700 WEST STATE STREET
MILWAUKEE, WI 53233

N/A
EDUCATIONAL PROGRAMS
FOR YOUTH

PUBLIC
CHARITY

-15,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

2,456,479.

2009 Tax Information Statement

Page 5 of 13
Ref: PPX

Recipient's Name and Address
T.W. BATTERMAN FAMILY
FOUNDATION, INC.
C/ MR. MRS. AND THEODORE W. BATTERMAN
BATTERMAN ENTERPRISE
625 WALNUT RIDGE DRIVE, SUITE 107
HARTLAND, WI 53029

Account Number: 26-02832
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
6000 0000	33582V108	1ST NIAGARA FINL GROUP INC NEW COM	07/28/2008	02/03/2009	75,988.37	83,816.40	-7,828.03	0.00
14000 0000	464287630	MFC ISHARES TR RUSSELL 2000 VALUE INDEX FD	12/30/2008	02/03/2009	595,989.25	655,695.60	-59,706.35	0.00
1200 0000	02916P103	AMERICAN RAILCAR INDS INC COM STK	02/03/2009	04/30/2009	11,281.02	10,629.00	652.02	0.00
1400 0000	913275103	UNITRIN INC	02/03/2009	05/04/2009	23,003.92	18,875.64	4,128.28	0.00
3500 93317W102	WALTER INV MT MGMT CORP		04/22/2009	05/04/2009	2.36	4.83	-2.47	0.00
1300 0000	02916P103	AMERICAN RAILCAR INDS INC COM STK	02/03/2009	05/11/2009	10,687.67	11,514.75	-827.08	0.00
1800 0000	194014106	COLFAX CORP COM US 01	02/03/2009	06/22/2009	14,148.17	16,830.00	-2,681.83	0.00
1460 0000	93317Q105	WALTER INDS INC	02/03/2009	07/09/2009	54,641.72	23,444.39	31,197.33	0.00
2300 0000	880779103	TEREX CORP NEW	02/03/2009	07/22/2009	31,188.34	27,738.00	3,450.34	0.00
2400 0000	109596104	BRINKS CO COM STOCK	08/19/2008	08/13/2009	63,595.24	77,554.37	-13,959.13	0.00
1200 0000	88157K101	TESCO CORP COM	02/03/2009	09/04/2009	9,186.00	10,797.12	-1,611.12	0.00
1200 0000	88157K101	TESCO CORP COM	02/03/2009	09/08/2009	9,421.19	10,797.12	-1,375.93	0.00
2320 0000	580037109	MCDERMOTT INTERNATIONAL INC	01/08/2009	09/11/2009	63,646.63	29,156.83	34,489.80	0.00
0900 337915102	FIRSTMERIT CORP		07/31/2009	09/25/2009	1.62	1.65	-0.03	0.00
2210 0000	742962103	PRIVATEBANCORP INC COM	06/03/2009	10/28/2009	20,445.51	45,303.23	-24,857.72	0.00
4500 0000	449934108	IMS HEALTH INC	01/07/2009	11/25/2009	96,290.04	70,822.80	25,467.24	0.00

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2009 Tax Information Statement

Page 6 of 13
Ref: PPX

Recipient's Name and Address
T.W. BATTERMAN FAMILY
FOUNDATION, INC.

C/ MR. MRS. AND THEODORE W. BATTERMAN
BATTERMAN ENTERPRISE
625 WALNUT RIDGE DRIVE, SUITE 107
HARTLAND, WI 53029

Account Number: 26-02832
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Total Short Term Sales						1,092,981.73	-13,464.68	0.00
Long Term 15% Sales								
3225.0000	171798101	CIMAREX ENERGY CO COM	02/28/2003	01/07/2009	93,095.22	64,206.52	28,888.70	0.00
5360.0000	428291108	HEXCEL CORP NEW COM	02/08/2005	01/13/2009	37,162.81	83,525.86	-46,363.05	0.00
4100.0000	00766T100	AECOM TECHNOLOGY CORP DELAWARE COM	11/30/2007	02/03/2009	101,652.77	110,549.12	-8,896.35	0.00
3600.0000	017361106	ALLEGHENY ENERGY INC	03/31/2004	02/03/2009	120,749.44	49,910.76	70,838.68	0.00
2000.0000	17273K109	CIRCOR INTL INC COM	02/24/2000	02/03/2009	42,979.75	27,870.00	15,109.75	0.00
3200.0000	22282E102	COVANTA HLDG CORP COM	06/21/2005	02/03/2009	59,597.42	19,200.00	40,397.42	0.00
2300.0000	30249U101	FMC TECHNOLOGIES INC	02/12/2002	02/03/2009	61,156.65	19,889.78	41,266.87	0.00
864.0000	477839104	JOHN BEAN TECHNOLOGIES CORP COM STK	02/12/2002	02/03/2009	8,643.23	1,691.68	6,951.55	0.00
3000.0000	726505100	PLAINS EXPL & PRODTN CO COM	02/27/2003	02/03/2009	59,948.96	27,377.40	32,571.56	0.00
2800.0000	29355X107	ENPRO INDUSTRIES INC	05/16/2003	02/06/2009	57,213.75	25,032.00	32,181.75	0.00
200.0000	465685105	ITC HLDGS CORP COM STK	09/14/2007	04/09/2009	8,632.83	9,331.97	-699.14	0.00
1000.0000	75281A109	RANGE RES CORP	09/02/2004	04/09/2009	42,535.00	10,659.73	31,875.27	0.00
910.0000	343498101	FLOWERS FOODS INC	03/27/2001	04/21/2009	21,923.61	3,646.05	18,277.56	0.00
1490.0000	913275103	UNITRIN INC	08/13/1999	05/04/2009	24,482.75	53,506.78	-29,024.03	0.00
3145.0000	884315102	THOMAS & BETTS CORP	11/19/2001	05/08/2009	99,734.19	64,286.00	35,448.19	0.00

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2009 Tax Information Statement

Page 7 of 13
Ref: PPX.

Recipient's Name and Address
T.W. BATTERMAN FAMILY
FOUNDATION, INC.

C/ MR. MRS. AND THEODORE W. BATTERMAN
BATTERMAN ENTERPRISE
625 WALNUT RIDGE DRIVE, SUITE 107
HARTLAND, WI 53029

Account Number: 26-02832
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1000.0000	371559105	GENESEE & WYO INC CL A	03/17/2006	05/29/2009	27,839.28	29,137.80	-1,298.52	0.00
1100.0000	350080109	FOSTER LB CO CL A	05/17/2006	06/01/2009	33,663.75	26,300.34	7,363.41	0.00
1000.0000	194014106	COLFAX CORP COM US .01	05/14/2008	06/17/2009	7,828.89	23,031.80	-15,202.91	0.00
500.0000	194014106	COLFAX CORP COM US .01	05/14/2008	06/22/2009	3,930.05	11,515.90	-7,585.85	0.00
1550.0000	580037109	MCDERMOTT INTERNATIONAL INC	11/04/2004	09/11/2009	42,522.53	7,435.51	35,087.02	0.00
1800.0000	465685105	ITC HLDGS CORP COM STK	09/14/2007	09/25/2009	82,142.50	83,987.70	-1,845.20	0.00
1700.0000	30249U101	FMC TECHNOLOGIES INC	02/12/2002	10/07/2009	90,731.25	14,701.14	76,030.11	0.00
550.0000	118759109	BUCYRUS INTL INC NEW COM CL A	08/02/2004	11/02/2009	24,909.57	4,517.46	20,392.11	0.00
580.0000	481165108	JOY GLOBAL INC	10/09/2001	11/02/2009	29,588.28	4,000.20	25,588.08	0.00
2000.0000	75281A109	RANGE RES CORP	09/02/2004	11/20/2009	93,899.38	21,319.47	72,579.91	0.00
3100.0000	716495106	PETROHAWK ENERGY CORP COM	05/17/2006	12/29/2009	75,549.70	37,900.91	37,648.79	0.00
Total Long Term 15% Sales					1,352,113.56	834,531.88	517,581.68	0.00

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2009 Tax Information Statement

Page 6 of 22
 Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Account Number: 26-17810
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
800.0000	912909108	UNITED STATES STL CORP NEW	02/14/2008	01/21/2009	24,209.06	80,221.35	-56,012.29	0.00
400.0000	755111507	RAYTHEON CO NEW	09/17/2008	06/04/2009	17,792.74	22,816.00	-5,023.26	0.00
600.0000	863667101	STRYKER CORP	09/17/2008	07/09/2009	22,426.70	37,516.98	-15,090.28	0.00
200.0000	12189T104	BURLINGTON NORTHN SANTA FE CORP	09/17/2008	08/25/2009	16,821.57	19,714.00	-2,892.43	0.00
400.0000	375558103	GILEAD SCIENCES INC	09/17/2008	08/25/2009	18,451.53	19,288.00	-836.47	0.00
75.0000	38141G104	GOLDMAN SACHS GROUP INC	06/04/2009	08/25/2009	12,347.68	10,987.12	1,360.56	0.00
300.0000	452308109	ILLINOIS TOOL WORKS INC COM	09/17/2008	08/25/2009	12,857.67	13,815.00	-957.33	0.00
1000.0000	458140100	INTEL CORP COM	09/17/2008	08/25/2009	19,039.51	18,919.00	120.51	0.00
200.0000	469814107	JACOBS ENGR GROUP INC	09/17/2008	08/25/2009	9,277.94	11,712.00	-2,434.06	0.00
300.0000	701094104	PARKER HANNIFIN CORP	09/17/2008	08/25/2009	15,182.61	16,952.34	-1,769.73	0.00
500.0000	747525103	QUALCOMM INC	09/17/2008	08/25/2009	23,534.40	23,135.00	399.40	0.00
100.0000	H01301102	ALCON INC	09/17/2008	08/25/2009	13,232.66	16,611.00	-3,378.34	0.00
500.0000	302445101	FLIR SYS INC	06/17/2009	12/03/2009	14,769.62	11,465.20	3,304.42	0.00
300.0000	941848103	WATERS CORP	05/14/2009	12/03/2009	17,864.54	13,119.21	4,745.33	0.00
2000.0000	00507V109	ACTIVISION BLIZZARD INC COM STK	09/02/2009	12/21/2009	21,979.43	23,425.00	-1,445.57	0.00
100.0000	38141G104	GOLDMAN SACHS GROUP INC	06/04/2009	12/21/2009	16,609.57	14,649.49	1,960.08	0.00
1600.0000	458140100	INTEL CORP COM	09/17/2009	12/21/2009	32,111.33	31,151.52	959.81	0.00

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2009 Tax Information Statement

Page 7 of 22

Recipients Name and Address

26-17810
XX-XXX8812

Account Number:
Recipient's Tax ID number:

☐ 2nd TIN notice

☐ Corrected

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

THEODORE W. BATTERMAN FAMILY
FOUNDATION
MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
400.0000	778296103	ROSS STORES INC	09/02/2009	12/21/2009	17,511.55	18,053.48	-541.93	0.00
200.0000	941848103	WATERS CORP	05/14/2009	12/21/2009	12,205.69	8,746.14	3,459.55	0.00
Total Short Term Sales						338,225.79	-74,072.04	0.00
Long Term 15% Sales								
100.0000	912909108	UNITED STATES STL CORP NEW	01/11/2008	01/21/2009	3,026.13	10,632.98	-7,606.85	0.00
2000.0000	941061109	WASTE MGMT INC DEL	01/26/2007	02/05/2009	58,710.07	74,805.00	-16,094.93	0.00
1900.0000	001055102	AFLAC INC	10/14/2003	03/12/2009	25,640.73	66,469.93	-40,849.20	0.00
900.0000	461202103	INTUIT	03/21/2007	04/22/2009	22,377.92	26,451.00	-4,073.08	0.00
1185.0000	703395103	PATTERSON COMPANIES INC	11/03/2005	04/28/2009	23,386.91	49,829.25	-26,442.34	0.00
2615.0000	703395103	PATTERSON COMPANIES INC	11/03/2005	04/29/2009	52,277.73	77,795.15	-25,517.42	0.00
1000.0000	755111507	RAYTHEON CO NEW	06/06/2007	06/04/2009	44,481.85	56,532.50	-12,050.65	0.00
300.0000	302182100	EXPRESS SCRIPTS INC CL A	11/03/2005	07/09/2009	19,594.59	11,817.27	7,777.32	0.00
1100.0000	748341100	QUEST DIAGNOSTICS INC	11/03/2005	07/09/2009	60,566.42	54,114.22	6,452.20	0.00
100.0000	863667101	STRYKER CORP	11/03/2005	07/09/2009	3,737.78	4,360.25	-622.47	0.00
400.0000	92220P105	VARIAN MED SYS INC	11/03/2005	07/09/2009	12,758.55	18,888.00	-6,129.45	0.00
400.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	08/30/2007	08/25/2009	18,263.53	19,829.00	-1,565.47	0.00
500.0000	018490102	ALLERGAN INC	05/16/2007	08/25/2009	28,024.28	30,607.28	-2,583.00	0.00
200.0000	375558103	GILEAD SCIENCES INC	07/16/2007	08/25/2009	9,225.76	7,910.20	1,315.56	0.00
300.0000	450911102	ITT INDS INC	11/20/2007	08/25/2009	15,407.60	18,628.32	-3,220.72	0.00

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2009 Tax Information Statement

Page 8 of 22
Ref: PPX

Account Number: 26-17810
Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200.0000	458140100	INTEL CORP COM	05/26/2006	08/25/2009	3,807.90	3,634.00	173.90	0.00
900.0000	469814107	JACOBS ENGR GROUP INC	01/10/2008	08/25/2009	41,750.74	79,675.56	-37,924.82	0.00
300.0000	654106103	NIKE INC CLASS B	04/11/2008	08/25/2009	16,721.57	20,312.07	-3,590.50	0.00
800.0000	681919106	OMNICOM GROUP	11/03/2005	08/25/2009	29,991.23	34,666.02	-4,674.79	0.00
300.0000	74005P104	PRAXAIR INC	06/05/2008	08/25/2009	23,216.40	29,594.49	-6,378.09	0.00
500.0000	747525103	QUALCOMM INC	11/03/2005	08/25/2009	23,534.40	21,611.10	1,923.30	0.00
400.0000	854616109	STANLEY WORKS	01/31/2007	08/25/2009	16,967.56	22,553.60	-5,586.04	0.00
400.0000	881624209	TEVA PHARMACEUTICALS INDS LTD ADR	08/11/2008	08/25/2009	20,971.46	18,787.12	2,184.34	0.00
300.0000	92220P105	VARIAN MED SYS INC	11/03/2005	08/25/2009	12,419.68	14,166.00	-1,746.32	0.00
800.0000	G1150G111	ACCENTURE LTD BERMUDA CL A	05/26/2006	08/25/2009	27,663.29	22,367.04	5,296.25	0.00
300.0000	G16962105	BUNGE LIMITED	02/01/2008	08/25/2009	19,937.49	37,269.90	-17,332.41	0.00
360.0000	12189T104	BURLINGTON NORTHN SANTA FE CORP	04/03/2008	09/02/2009	29,173.96	34,125.19	-4,951.23	0.00
640.0000	654106103	NIKE INC CLASS B	01/10/2008	09/02/2009	34,372.04	41,356.71	-6,984.67	0.00
700.0000	74834L100	QUEST DIAGNOSTICS INC	11/03/2005	11/02/2009	39,065.71	33,401.48	5,664.23	0.00
1200.0000	G1151C101	ACCENTURE PLC SHS CL A NEW	05/26/2006	11/02/2009	45,016.12	33,550.56	11,465.56	0.00
1900.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	08/30/2007	11/09/2009	105,030.05	86,597.67	18,432.38	0.00
10700.0000	984121103	XEROX CORP	04/12/2007	11/13/2009	83,634.39	189,569.20	-105,934.81	0.00
300.0000	075887109	BECTON DICKINSON & CO	07/23/2008	12/03/2009	23,098.41	25,579.74	-2,481.33	0.00
300.0000	12189T104	BURLINGTON NORTHN SANTA FE CORP	02/28/2008	12/03/2009	29,561.24	26,968.48	2,592.76	0.00

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2009 Tax Information Statement

Page 9 of 22
 Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Account Number: 26-17810
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
700.0000	291011104	EMERSON ELECTRIC CO	03/27/2008	12/03/2009	29,273.24	36,108.59	-6,835.35	0.00
400.0000	302130109	EXPEDITORS INTL WASH INC	09/17/2008	12/03/2009	13,131.66	14,648.00	-1,516.34	0.00
400.0000	302182100	EXPRESS SCRIPTS INC CL A	11/03/2005	12/03/2009	34,922.38	15,756.36	19,166.02	0.00
300.0000	452308109	ILLINOIS TOOL WORKS INC COM	02/03/2004	12/03/2009	14,579.62	11,781.60	2,798.02	0.00
500.0000	461202103	INTUIT	03/21/2007	12/03/2009	14,769.67	14,695.00	74.67	0.00
200.0000	654106103	NIKE INC CLASS B	01/10/2008	12/03/2009	12,913.66	12,379.20	534.46	0.00
300.0000	701094104	PARKER HANNIFIN CORP	09/17/2008	12/03/2009	16,358.57	16,952.34	-593.77	0.00
200.0000	74005P104	PRAXAIR INC	06/05/2008	12/03/2009	16,556.57	19,729.66	-3,173.09	0.00
300.0000	742718109	PROCTER & GAMBLE CO	03/27/2008	12/03/2009	18,938.51	21,315.00	-2,376.49	0.00
200.0000	74834L100	QUEST DIAGNOSTICS INC	11/03/2005	12/03/2009	11,657.70	9,543.28	2,114.42	0.00
300.0000	755111507	RAYTHEON CO NEW	06/06/2007	12/03/2009	15,487.61	16,959.75	-1,472.14	0.00
400.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	01/15/2008	12/03/2009	25,363.34	35,943.80	-10,580.46	0.00
400.0000	854616109	STANLEY WORKS	01/31/2007	12/03/2009	19,648.49	22,553.60	-2,905.11	0.00
300.0000	863667101	STRYKER CORP	11/03/2005	12/03/2009	15,539.60	13,080.75	2,458.85	0.00
300.0000	913017109	UNITED TECHNOLOGIES CORP	09/21/2006	12/03/2009	20,339.47	18,827.40	1,512.07	0.00
1100.0000	959802109	WESTERN UNION CO	09/17/2008	12/03/2009	20,096.48	27,566.00	-7,469.52	0.00
500.0000	98385X106	XTO ENERGY CORP	01/10/2008	12/03/2009	20,984.46	26,679.26	-5,694.80	0.00
500.0000	G1151C101	ACCENTURE PLC SHS CL A NEW	05/26/2006	12/03/2009	21,054.45	13,979.40	7,075.05	0.00
150.0000	H01301102	ALCON INC	06/13/2008	12/03/2009	23,837.38	24,295.58	-458.20	0.00

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2009 Tax Information Statement

Page 10 of 22
 Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Account Number: 26-17810
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
400.0000	018490102	ALLERGAN INC	04/25/2007	12/21/2009	24,588.37	24,361.08	227.29	0.00
200.0000	075887109	BECTON DICKINSON & CO	07/23/2008	12/21/2009	15,201.61	17,053.16	-1,851.55	0.00
75.0000	12572Q105	CMIE GROUP INC COM STK	02/14/2008	12/21/2009	24,353.37	39,891.08	-15,537.71	0.00
400.0000	291011104	EMERSON ELECTRIC CO	02/07/2008	12/21/2009	16,907.57	20,239.60	-3,332.03	0.00
400.0000	375558103	GILEAD SCIENCES INC	07/16/2007	12/21/2009	17,315.55	15,820.40	1,495.15	0.00
400.0000	450911102	ITT INDS INC	11/20/2007	12/21/2009	20,159.48	24,837.76	-4,678.28	0.00
400.0000	461202103	INTUIT	03/21/2007	12/21/2009	12,219.69	11,756.00	463.69	0.00
200.0000	580135101	MCDONALDS CORP	11/28/2008	12/21/2009	12,539.68	11,703.50	836.18	0.00
200.0000	61166W101	MONSANTO CO COM	12/18/2008	12/21/2009	16,203.58	14,881.86	1,321.72	0.00
500.0000	681919106	OMNICOM GROUP	11/03/2005	12/21/2009	19,379.50	20,787.62	-1,408.12	0.00
200.0000	701094104	PARKER HANNIFIN CORP	09/17/2008	12/21/2009	10,863.72	11,301.56	-437.84	0.00
200.0000	74005P104	PRAXAIR INC	04/28/2008	12/21/2009	16,044.59	18,963.36	-2,918.77	0.00
300.0000	747525103	QUALCOMM INC	11/03/2005	12/21/2009	13,631.65	12,966.66	664.99	0.00
200.0000	74834L100	QUEST DIAGNOSTICS INC	11/03/2005	12/21/2009	12,297.68	9,543.28	2,754.40	0.00
200.0000	755111507	RAYTHEON CO NEW	07/10/2007	12/21/2009	10,571.73	10,699.00	-127.27	0.00
300.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	01/15/2008	12/21/2009	19,142.51	26,724.43	-7,581.92	0.00
300.0000	854616109	STANLEY WORKS	01/17/2007	12/21/2009	15,287.61	16,071.00	-783.39	0.00
400.0000	881624209	TEVA PHARMACEUTICALS INDS LTD ADR	08/11/2008	12/21/2009	21,763.44	18,787.12	2,976.32	0.00
200.0000	913017109	UNITED TECHNOLOGIES CORP	09/21/2006	12/21/2009	13,879.64	12,551.60	1,328.04	0.00

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2009 Tax Information Statement

Page 11 of 2:
 Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Account Number: 26-17810
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
400.0000	92220P105	VARIAN MED SYS INC	11/03/2005	12/21/2009	18,375.53	18,888.00	-512.47	0.00
400.0000	94106L109	WASTE MGMT INC DEL	01/26/2007	12/21/2009	13,251.66	14,961.00	-1,709.34	0.00
900.0000	959802109	WESTERN UNION CO	10/02/2006	12/21/2009	17,261.56	19,571.88	-2,310.32	0.00
500.0000	98385X106	XTO ENERGY CORP	11/03/2005	12/21/2009	23,449.40	19,368.55	4,080.85	0.00
100.0000	H01301102	ALCON INC	06/13/2008	12/21/2009	15,986.59	16,197.05	-210.46	0.00
Total Long Term 15% Sales						2,105,197.40	-315,655.34	0.00

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2009 Tax Information Statement

Page 6 of 31 -
Ref: PPX

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

Account Number: 26-17913
X~~X~~-XXX8812

Recipient's Tax ID number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
1172.0000	067901108	BARRICK GOLD CORP	04/29/2008	01/26/2009	46,100.51	44,036.14	2,064.37	0.00
717.0000	294821608	ADR ERICSSON L M TEL CO ADR CL B SEK 10 NEW ERICSSON L M TEL CO ADR	03/20/2008	01/27/2009	5,927.73	6,430.02	-502.29	0.00
1600.0000	71644E102	PETRO-CD A	03/06/2008	01/28/2009	37,877.86	76,000.64	-38,122.78	0.00
640.0000	872351408	TDK CORP ADR	07/01/2008	01/28/2009	24,370.16	39,390.91	-15,020.75	0.00
5703.0000	294821608	ADR ERICSSON L M TEL CO ADR CL B SEK 10 NEW ERICSSON L M TEL CO ADR	03/20/2008	01/29/2009	45,894.89	51,144.22	-5,249.33	0.00
2200.0000	82823L106	SILVER STD RES INC COM	08/08/2008	02/06/2009	45,080.82	57,246.56	-12,165.74	0.00
854.0000	651191108	ADR NEWCREST MNG LTD SPONSORED ADR	08/12/2008	02/12/2009	18,756.80	17,752.01	1,004.79	0.00
343.0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	03/05/2008	02/17/2009	10,916.12	12,662.70	-1,746.58	0.00
703.0000	13321L108	CAMECO CORP COM	08/11/2008	02/26/2009	10,066.13	22,378.04	-12,311.91	0.00
498.0000	404508202	ADR HACHIJUNI BK LTD ADR	05/23/2008	02/27/2009	27,615.74	33,172.03	-5,556.29	0.00
729.0000	532349107	ADR LIHIR GOLD LTD SPONSORED ADR	07/24/2008	03/06/2009	14,885.50	19,998.80	-5,113.30	0.00
3130.0000	66987E206	NOVAGOLD RES INC COM NEW	03/07/2008	03/06/2009	9,017.48	31,394.84	-22,377.36	0.00
430.0000	878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	07/24/2008	03/10/2009	15,023.43	33,345.77	-18,322.34	0.00

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2009 Tax Information Statement

Account Number: 26-17913
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
980.0000	867229106	SUNCOR ENERGY INC	07/23/2008	03/12/2009	23,675.78	52,745.27	-29,069.49	0.00
415.0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	03/14/2008	03/13/2009	14,173.37	14,400.09	-226.72	0.00
1083.0000	54336Q203	ADR LONMIN PUB LTD CO SPONSORED ADR NEW	08/01/2008	03/13/2009	20,035.28	51,253.76	-31,218.48	0.00
871.0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	05/23/2008	03/19/2009	10,811.57	12,367.15	-1,555.58	0.00
169.0000	54336Q203	ADR LONMIN PUB LTD CO SPONSORED ADR NEW	08/01/2008	04/06/2009	3,806.20	7,832.95	-4,026.75	0.00
416.0000	48288K101	KT CORPORATION SPONSORED ADR	05/13/2008	04/15/2009	5,934.21	9,040.14	-3,105.93	0.00
3624.0000	36863N109	ADR GEMALTO NV ADR	01/29/2009	04/21/2009	55,664.65	42,668.61	12,996.04	0.00
2750.0000	654902204	NOKIA CORP SPONSORED ADR	01/27/2009	04/22/2009	40,953.87	34,110.18	6,843.69	0.00
1500	87260W101	ADR TNT N V SPONSORED ADR	03/26/2009	05/11/2009	2.71	2.52	0.19	0.00
1536.0000	48268K101	KT CORPORATION SPONSORED ADR	05/13/2008	05/13/2009	22,747.42	33,378.97	-10,631.55	0.00
1551.0000	532349107	ADR LIHUR GOLD LTD SPONSORED ADR	07/24/2008	05/20/2009	36,884.15	42,548.90	-5,664.75	0.00
42.0000	65334H102	NEXEN INC COMMON	08/07/2008	06/02/2009	1,069.88	1,267.12	-197.24	0.00
466.0000	872351408	TDK CORP ADR	07/01/2008	07/01/2009	21,858.99	28,661.09	-6,802.10	0.00
623.0000	775376106	ADR ROHM CO LTD ADR	02/18/2009	07/02/2009	21,923.80	15,691.50	6,232.30	0.00
16.0000	867229106	SUNCOR ENERGY INC	01/29/2009	07/16/2009	468.67	322.22	146.45	0.00
380.0000	878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	11/21/2008	07/29/2009	22,599.27	8,828.43	13,770.84	0.00
361.0000	872351408	TDK CORP ADR	10/21/2008	08/06/2009	18,824.22	12,521.83	6,302.39	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 8 of 31
Ref: PPX

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

Account Number: 26-17913
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1513.0000	867224107	SUNCOR ENERGY INC NEW COM STK	01/29/2009	08/20/2009	48,245.74	30,469.55	17,776.19	0.00
980.0000	872351408	TDK CORP ADR	10/21/2008	08/20/2009	54,822.28	33,992.77	20,829.51	0.00
3399.0000	83364L109	ADR SOCIETE GENERALE FRANCE SPONSORED ADR	10/20/2008	10/06/2009	52,405.11	38,483.48	13,921.63	0.00
1483.0000	867224107	SUNCOR ENERGY INC NEW COM STK	01/29/2009	10/06/2009	50,672.06	29,865.39	20,806.67	0.00
705.0000	87260W101	ADR TNT N V SPONSORED ADR	03/26/2009	10/13/2009	19,226.90	11,865.01	7,361.89	0.00
1598.0000	87260W101	ADR TNT N V SPONSORED ADR	03/26/2009	10/14/2009	44,027.30	26,574.25	17,453.05	0.00
122.0000	87260W101	ADR TNT N V SPONSORED ADR	03/30/2009	10/15/2009	3,391.14	1,998.71	1,392.43	0.00
720.0000	067901108	BARRICK GOLD CORP	08/26/2009	10/20/2009	27,284.19	24,504.12	2,780.07	0.00
.0000	83364L109	ADR SOCIETE GENERALE FRANCE SPONSORED ADR	11/10/2009		5,099.70	0.00	5,099.70	0.00
1190.0000	067901108	BARRICK GOLD CORP	08/26/2009	11/18/2009	53,139.51	40,499.87	12,639.64	0.00
1409.0000	66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	02/13/2009	12/02/2009	79,262.95	60,444.83	18,818.12	0.00
Total Short Term Sales					1,070,544.09	1,111,291.39	-40,747.30	0.00
Long Term 15% Sales								
512.0000	234062206	ADR DAIWA HOUSE IND LTD ADR	10/16/2007	01/20/2009	47,584.45	62,427.21	-14,842.76	0.00
848.0000	80105N105	ADR SANOFI-AVENTIS SPONSORED ADR	01/16/2008	01/21/2009	26,250.11	39,662.23	-13,412.12	0.00
942.0000	80105N105	ADR SANOFI-AVENTIS SPONSORED ADR	10/01/2007	01/23/2009	28,641.72	41,895.41	-13,253.69	0.00
278.0000	067901108	BARRICK GOLD CORP	10/31/2005	01/26/2009	10,935.10	7,036.81	3,898.29	0.00

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2009 Tax Information Statement

Page 9 of 31

Ref: PPX

Recipient's Name and Address

THEODORE W. BATTERMAN FAMILY
FOUNDATION
MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

Account Number: 26-17913

XX-XXX8812

Recipient's Tax ID number:

☐ Corrected

☐ 2nd TIM notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
6360 0000	294821608	ADR ERICSSON L M TEL CO ADR CL B SEK 10 NEW ERICSSON L M TEL CO ADR	11/21/2007	01/27/2009	52,580.66	76,206.57	-23,625.91	0.00
1680 0000	71644E102	PETRO-CDA	01/16/2008	01/28/2009	39,771.76	83,558.16	-43,786.40	0.00
3350 0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	02/15/2007	01/29/2009	35,620.69	59,119.45	-23,498.76	0.00
3910 0000	629052101	ADR NEC ELECTRONICS CORP ADR REPSTG 1/2 COM SHS	11/04/2005	01/29/2009	12,936.94	53,725.74	-40,788.80	0.00
281 0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	05/16/2007	01/30/2009	3,029.22	4,878.72	-1,849.50	0.00
650 0000	651191108	ADR NEWCREST MNG LTD SPONSORED ADR	01/16/2008	02/12/2009	14,276.26	21,203.00	-6,926.74	0.00
307 0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	10/31/2005	02/17/2009	9,770.40	12,135.28	-2,364.88	0.00
2900 0000	66987E206	NOVAGOLD RES INC COM NEW	01/19/2007	03/06/2009	8,354.85	46,939.60	-38,584.75	0.00
1961 0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	03/02/2007	03/09/2009	21,535.77	33,663.03	-12,127.26	0.00
535 0000	878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	01/30/2008	03/10/2009	18,691.94	34,902.70	-16,210.76	0.00
749 0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	03/05/2008	03/11/2009	23,734.17	27,651.21	-3,917.04	0.00
3904 0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	03/02/2007	03/12/2009	47,772.20	65,400.76	-17,628.56	0.00
1309 0000	48268K101	KT CORPORATION SPONSORED ADR	01/16/2008	03/12/2009	17,015.85	31,361.02	-14,345.17	0.00
1008 0000	867229106	SUNCOR ENERGY INC	10/31/2005	03/12/2009	24,352.24	26,989.20	-2,636.96	0.00

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2009 Tax Information Statement

Page 10 of 31

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

Account Number: 26-17913
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1078.0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	03/05/2008	03/13/2009	36,816.62	39,797.07	-2,980.45	0.00
1452.0000	48268K101	KT CORPORATION SPONSORED ADR	01/16/2008	03/13/2009	18,737.51	34,787.02	-16,049.51	0.00
1804.0000	38059T106	ADR GOLD FIELDS LTD NEW SPONSORED ADR	01/16/2008	03/19/2009	22,392.75	30,180.02	-7,787.27	0.00
1017.0000	651639106	NEWMONT MINING CORP NEW COMMON STOCK	01/16/2008	03/19/2009	42,957.63	54,989.80	-12,032.17	0.00
6850.0000	74344G104	ADR PROMISE CO LTD ADR	11/01/2005	03/26/2009	57,545.84	167,045.27	-109,499.43	0.00
4326.0000	497350306	ADR KIRIN HOLDINGS CO LTD SPONSORED ADR	11/06/2006	04/01/2009	45,696.14	62,654.97	-16,958.83	0.00
1810.0000	54336Q203	ADR LONMIN PUB LTD CO SPONSORED ADR NEW	11/02/2005	04/06/2009	40,764.59	42,386.22	-1,621.63	0.00
5610.0000	497350306	ADR KIRIN HOLDINGS CO LTD SPONSORED ADR	11/02/2005	04/07/2009	62,448.84	65,207.02	-2,758.18	0.00
7404.0000	497350306	ADR KIRIN HOLDINGS CO LTD SPONSORED ADR	11/02/2005	04/08/2009	80,473.34	81,843.82	-1,370.48	0.00
146.0000	234062206	ADR DAIWA HOUSE IND LTD ADR	10/16/2007	04/09/2009	12,703.80	17,703.18	-4,999.38	0.00
2277.0000	48268K101	KT CORPORATION SPONSORED ADR	01/16/2008	04/14/2009	33,242.20	54,552.36	-21,310.16	0.00
1862.0000	48268K101	KT CORPORATION SPONSORED ADR	01/16/2008	04/15/2009	26,561.30	44,594.21	-18,032.91	0.00
1191.0000	15234Q207	ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG COM	01/09/2007	04/16/2009	15,428.17	15,422.44	5.73	0.00
378.0000	878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	01/30/2008	05/12/2009	17,111.73	24,660.23	-7,548.50	0.00

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2009 Tax Information Statement

Page 11 of 31
 Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

Account Number: 26-17913
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1652.0000	48268K101	KT CORPORATION SPONSORED ADR	10/31/2005	05/14/2009	23,920.51	35,898.55	-11,978.04	0.00
1939.0000	48268K101	KT CORPORATION SPONSORED ADR	10/31/2005	05/14/2009	28,423.84	42,131.37	-13,707.53	0.00
10.0000	532349107	ADR LIHIR GOLD LTD SPONSORED ADR	12/20/2007	05/20/2009	237.81	273.84	-36.03	0.00
3505.0000	816078307	ADR SEKISUI HOUSE LTD SPONSORED ADR	09/14/2007	05/21/2009	33,387.07	42,435.70	-9,048.63	0.00
724.0000	890747207	TOPPAN PRINTING CO ADR-EACH CNV INTO 5 ORD JPY50	05/09/2007	05/21/2009	30,120.93	38,677.46	-8,556.53	0.00
1080.0000	890747207	TOPPAN PRINTING CO ADR-EACH CNV INTO 5 ORD JPY50	11/21/2006	05/22/2009	44,995.93	56,336.85	-11,340.92	0.00
1890.0000	532349107	ADR LIHIR GOLD LTD SPONSORED ADR	11/02/2005	06/01/2009	49,921.74	30,179.02	19,742.72	0.00
1860.0000	65334H102	NEXEN INC COMMON	09/24/2007	06/02/2009	47,380.23	56,358.58	-8,978.35	0.00
212.0000	234062206	ADR DAIWA HOUSE IND LTD ADR	01/16/2008	06/15/2009	21,376.02	25,690.16	-4,314.14	0.00
402.0000	651639106	NEWMONT MINING CORP NEW COMMON STOCK	01/16/2008	07/01/2009	17,035.64	21,736.38	-4,700.74	0.00
704.0000	651639106	NEWMONT MINING CORP NEW COMMON STOCK	06/15/2007	07/02/2009	28,794.26	31,530.31	-2,736.05	0.00
8268.0000	890030208	TOMKINS PLC SPONSORED ADR	11/02/2005	07/02/2009	79,709.74	140,348.14	-60,638.40	0.00
726.0000	35958N107	ADR FUJIFILM HLDGS CORP ADR 2 ORD ADR	01/16/2008	07/16/2009	22,536.86	28,812.11	-6,275.25	0.00
1152.0000	867229106	SUNCOR ENERGY INC	10/31/2005	07/16/2009	33,744.32	30,844.80	2,899.52	0.00
787.0000	878546209	ADR TECHNIP SPONSORED ADR TECHNIP-COLEXIP SEC#2856204 EFF 7/14/03	08/11/2006	07/29/2009	46,804.28	42,520.27	4,284.01	0.00

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2009 Tax Information Statement

Page 12 of 31

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION
MR. & MRS. THEODORE W. BATTERMAN
BATTERMAN ENTERPRISES
625 WALNUT RIDGE DRIVE - SUITE 107
HARTLAND, WI 53029

Account Number: 26-17913
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
618.0000	872351408	TDK CORP ADR	07/23/2008	08/05/2009	32,141.78	37,950.39	-5,808.61	0.00
176.0000	872351408	TDK CORP ADR	07/23/2008	08/06/2009	9,177.46	10,807.88	-1,630.42	0.00
14475.0000	86210M106	ADR STORA ENSO CORP SPONSORED ADR REPSTGSR R SHS	11/01/2005	08/13/2009	91,217.65	196,851.10	-105,633.45	0.00
7530.0000	86210M106	ADR STORA ENSO CORP SPONSORED ADR REPSTGSR R SHS	11/01/2005	08/14/2009	49,734.37	92,647.66	-42,913.29	0.00
2048.0000	651639106	NEWMONT MINING CORP NEW COMMON STOCK	06/15/2007	08/20/2009	81,957.62	82,299.93	-342.31	0.00
7425.0000	86210M106	ADR STORA ENSO CORP SPONSORED ADR REPSTGSR R SHS	04/16/2008	08/24/2009	50,896.32	70,159.59	-19,263.27	0.00
3009.0000	46579N103	IVANHOE MINES LTD COM	01/14/2008	09/04/2009	33,700.23	31,163.95	2,536.28	0.00
720.0000	035128206	ANGLOGOLD LIMITED SPONSORED ADR	03/14/2008	09/08/2009	31,006.86	24,983.28	6,023.58	0.00
7280.0000	86210M106	ADR STORA ENSO CORP SPONSORED ADR REPSTGSR R SHS	07/31/2008	09/09/2009	54,307.34	65,615.37	-11,308.03	0.00
1296.0000	452553308	ADR IMPALA PLATINUM HLDGS LTD SPONSORED ADR REPSTG 1/4 SH	09/02/2008	09/10/2009	31,810.54	32,892.87	-1,082.33	0.00
1370.0000	46579N103	IVANHOE MINES LTD COM	01/16/2008	10/05/2009	18,052.84	13,950.57	4,102.27	0.00
1227.0000	46579N103	IVANHOE MINES LTD COM	01/16/2008	10/06/2009	16,218.56	12,494.42	3,724.14	0.00
6172.0000	890030208	TOMKINS PLC SPONSORED ADR	01/16/2008	10/09/2009	72,720.33	78,793.60	-6,073.27	0.00
497.0000	46579N103	IVANHOE MINES LTD COM	01/17/2006	10/23/2009	6,335.64	4,970.16	1,365.48	0.00
1093.0000	055622104	BP AMOCO P L C SPONSORED ADR	06/01/2007	10/28/2009	62,848.94	73,691.53	-10,842.59	0.00
2349.0000	651639106	NEWMONT MINING CORP NEW COMMON STOCK	06/15/2007	10/29/2009	101,286.04	91,470.06	9,815.98	0.00

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2009 Tax Information Statement

Page 13 of 31

Recipient's Name and Address

Account Number: 26-17913
 Recipient's Tax ID number: XX-XXX8812

Ref: PPX
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION

MR. & MRS. THEODORE W. BATTERMAN
 BATTERMAN ENTERPRISES
 625 WALNUT RIDGE DRIVE - SUITE 107
 HARTLAND, WI 53029

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1435.0000	80105N105	ADR SANOFI-AVENTIS SPONSORED ADR	09/27/2007	10/29/2009	54,185.35	60,764.62	-6,579.27	0.00
520.0000	826197501	ADR SIEMENS AG COM DM50 (NEW)	09/30/2008	10/30/2009	48,641.36	47,208.46	1,432.90	0.00
405.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	06/04/2008	11/06/2009	20,402.20	28,347.81	-7,945.61	0.00
529.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	06/04/2008	11/10/2009	26,730.26	37,018.43	-10,288.17	0.00
1820.0000	92857W209	ADR VODAFONE GROUP PLC NEW SPONSORED ADR NEW ADR	07/22/2008	11/25/2009	41,750.99	47,101.23	-5,350.24	0.00
1989.0000	48268K101	KT CORPORATION SPONSORED ADR	10/31/2005	12/01/2009	33,240.90	43,217.79	-9,976.89	0.00
3908.0000	48268K101	KT CORPORATION SPONSORED ADR	10/31/2005	12/01/2009	64,342.78	84,914.58	-20,571.80	0.00
1128.0000	890747207	TOPPAN PRINTING CO ADR-EACH CNV INTO 5 ORD JPY50	11/22/2006	12/02/2009	45,314.99	55,183.04	-9,868.05	0.00
1132.0000	890747207	TOPPAN PRINTING CO ADR-EACH CNV INTO 5 ORD JPY50	01/17/2008	12/04/2009	46,995.81	55,354.92	-8,359.11	0.00
Total Long Term 15% Sales						3,468,206.51	-879,065.38	0.00

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2009 Tax Information Statement

Page 6 of 46
Ref: PPX

Account Number: 26-73587
Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date		Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
			Acquired	Date of Sale				
Short Term Sales								
250.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/09/2009	8,973.42	10,206.38	-1,232.96	0.00
175.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	01/12/2009	8,653.77	9,177.42	-523.65	0.00
1225.0000	110122108	BRISTOL MYERS SQUIBB CO	09/10/2008	01/13/2009	27,027.01	26,478.71	548.30	0.00
250.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	01/13/2009	12,353.66	13,110.60	-756.94	0.00
1150.0000	110122108	BRISTOL MYERS SQUIBB CO	09/10/2008	01/14/2009	25,352.06	24,857.56	494.50	0.00
3600	693475105	PNC BANK CORP	09/24/2008	01/14/2009	17.25	46.24	-28.99	0.00
327.0000	680223104	OLD REPUBLIC INTERNATIONAL CORP	09/10/2008	01/15/2009	3,854.94	3,811.33	43.61	0.00
572.0000	680223104	OLD REPUBLIC INTERNATIONAL CORP	09/10/2008	01/15/2009	6,575.10	6,666.91	-91.81	0.00
3775.0000	680223104	OLD REPUBLIC INTERNATIONAL CORP	09/10/2008	01/16/2009	44,944.89	43,999.24	945.65	0.00
300.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/20/2009	11,060.18	12,247.66	-1,187.48	0.00
101.0000	680223104	OLD REPUBLIC INTERNATIONAL CORP	09/10/2008	01/20/2009	1,220.90	1,177.20	43.70	0.00
100.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/21/2009	3,710.38	4,082.55	-372.17	0.00
275.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/21/2009	10,120.84	11,227.02	-1,106.18	0.00
350.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/21/2009	12,756.27	14,288.94	-1,532.67	0.00
550.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	01/21/2009	23,076.88	24,458.71	-1,381.83	0.00
100.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/22/2009	3,744.05	4,082.55	-338.50	0.00
175.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	01/22/2009	6,526.04	7,144.47	-618.43	0.00

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2009 Tax Information Statement

Page 7 of 46
Ref: PPX

Account Number: 26-73587
Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
900.0000	12673P105	CA INC COM	09/10/2008	01/22/2009	15,599.16	19,577.66	-3,978.50	0.00
350.0000	12673P105	CA INC COM	09/10/2008	01/22/2009	6,048.87	7,613.54	-1,564.67	0.00
75.0000	12673P105	CA INC COM	09/10/2008	01/23/2009	1,315.14	1,631.47	-316.33	0.00
125.0000	12673P105	CA INC COM	09/10/2008	01/26/2009	2,205.96	2,719.12	-513.16	0.00
75.0000	12673P105	CA INC COM	09/10/2008	01/27/2009	1,323.41	1,631.47	-308.06	0.00
250.0000	12673P105	CA INC COM	09/10/2008	01/28/2009	4,458.67	5,438.24	-979.57	0.00
125.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	01/29/2009	5,683.69	5,558.80	124.89	0.00
250.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	01/30/2009	11,276.36	11,117.59	158.77	0.00
150.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	01/30/2009	6,775.84	6,670.56	105.28	0.00
900.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	02/03/2009	33,508.52	36,742.98	-3,234.46	0.00
250.0000	816851109	SEMPRA ENERGY INC	09/10/2008	02/03/2009	11,328.01	14,231.71	-2,903.70	0.00
175.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	02/17/2009	8,297.29	9,166.30	-869.01	0.00
200.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	02/18/2009	9,460.38	10,475.77	-1,015.39	0.00
200.0000	816851109	SEMPRA ENERGY INC	09/10/2008	02/18/2009	8,242.77	11,385.36	-3,142.59	0.00
50.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	02/19/2009	2,373.62	2,618.94	-245.32	0.00
1100.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	02/19/2009	39,182.00	44,908.08	-5,726.08	0.00
275.0000	816851109	SEMPRA ENERGY INC	09/10/2008	02/19/2009	11,328.56	15,654.88	-4,326.32	0.00
225.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	02/20/2009	8,019.09	9,185.74	-1,166.65	0.00
300.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	02/20/2009	12,746.14	13,341.11	-594.97	0.00
275.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	02/23/2009	11,701.29	12,229.35	-528.06	0.00

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2009 Tax Information Statement

Page 8 of 46

Ref: PPX

Recipient's Name and Address

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

Account Number: 26-73587

Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1050.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	02/24/2009	18,777.36	19,635.00	-857.64	0.00
550.0000	976657106	WISCONSIN ENERGY CORP	09/10/2008	02/24/2009	22,834.94	24,458.71	-1,623.77	0.00
175.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	02/24/2009	7,887.98	9,177.42	-1,289.44	0.00
250.0000	666807102	NORTHROP GRUMMAN CORP COM	09/10/2008	02/26/2009	10,047.44	17,598.53	-7,551.09	0.00
375.0000	617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	09/10/2008	02/27/2009	7,504.38	14,570.92	-7,066.54	0.00
50.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	02/27/2009	2,263.24	2,622.12	-358.88	0.00
675.0000	H89231338	UBS AG SHS COM	10/02/2008	02/27/2009	6,288.28	13,173.30	-6,885.02	0.00
100.0000	617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW ISIN #6174464486	09/10/2008	03/02/2009	1,824.72	3,885.58	-2,060.86	0.00
125.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	03/02/2009	5,603.64	6,555.30	-951.66	0.00
100.0000	G7496G103	RENAISSANCE HOLDINGS LTD	09/10/2008	03/02/2009	4,496.92	5,244.24	-747.32	0.00
400.0000	H89231338	UBS AG SHS COM	10/02/2008	03/02/2009	3,404.20	7,806.41	-4,402.21	0.00
1525.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	03/03/2009	25,395.06	28,517.50	-3,122.44	0.00
675.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	03/04/2009	11,308.61	12,622.50	-1,313.89	0.00
275.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	03/06/2009	12,439.94	14,404.19	-1,964.25	0.00
775.0000	12673P105	CA INC COM	09/10/2008	03/10/2009	12,601.42	16,858.54	-4,257.12	0.00
550.0000	12673P105	CA INC COM	09/10/2008	03/10/2009	9,017.19	11,964.13	-2,946.94	0.00
1100.0000	708160106	JC PENNEY CO INC COM	09/10/2008	03/17/2009	18,197.19	45,718.34	-27,521.15	0.00
1025.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	03/17/2009	23,723.17	19,167.50	4,555.67	0.00
696.0000	172967101	CITIGROUP INC	05/14/2008	03/20/2009	1,842.65	13,861.69	-12,019.04	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Account Number: 26-73587
Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
3559.0000	172967101	CITIGROUP INC	09/10/2008	03/23/2009	10,928.55	65,632.02	-54,703.47	0.00
300.0000	708160106	JC PENNEY CO INC COM	09/10/2008	03/27/2009	6,454.31	12,468.64	-6,014.33	0.00
250.0000	708160106	JC PENNEY CO INC COM	09/10/2008	03/27/2009	5,359.01	10,390.53	-5,031.52	0.00
275.0000	708160106	JC PENNEY CO INC COM	09/10/2008	03/30/2009	5,697.49	11,429.59	-5,732.10	0.00
750.0000	437076102	HOME DEPOT INC	09/10/2008	04/03/2009	18,506.37	21,803.66	-3,297.29	0.00
825.0000	708160106	JC PENNEY CO INC COM	09/10/2008	04/03/2009	18,380.31	34,288.76	-15,908.45	0.00
6225.0000	172967101	CITIGROUP INC	09/10/2008	04/14/2009	26,703.94	69,929.74	-43,225.80	0.00
225.0000	708160106	JC PENNEY CO INC COM	09/10/2008	04/16/2009	5,972.39	9,351.48	-3,379.09	0.00
425.0000	708160106	JC PENNEY CO INC COM	09/10/2008	04/16/2009	11,056.89	17,663.90	-6,607.01	0.00
750.0000	437076102	HOME DEPOT INC	09/10/2008	04/17/2009	19,697.64	21,803.66	-2,106.02	0.00
300.0000	708160106	JC PENNEY CO INC COM	09/10/2008	04/17/2009	7,854.93	12,468.64	-4,613.71	0.00
50.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	04/23/2009	2,376.83	2,618.94	-242.11	0.00
50.0000	437076102	HOME DEPOT INC	09/10/2008	04/28/2009	1,313.29	1,453.58	-140.29	0.00
250.0000	437076102	HOME DEPOT INC	09/10/2008	04/28/2009	6,537.11	7,267.88	-730.77	0.00
325.0000	437076102	HOME DEPOT INC	09/10/2008	04/28/2009	8,456.15	9,448.25	-992.10	0.00
2400.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	04/28/2009	52,995.19	44,880.00	8,115.19	0.00
1050.0000	872540109	TJX COS INC NEW	01/12/2009	04/28/2009	29,005.08	21,785.37	7,219.71	0.00
75.0000	437076102	HOME DEPOT INC	09/10/2008	04/29/2009	1,984.89	2,180.37	-195.48	0.00
50.0000	437076102	HOME DEPOT INC	09/10/2008	04/29/2009	1,313.23	1,453.58	-140.35	0.00
1175.0000	806605101	SCHERING PLOUGH CORP	09/10/2008	05/06/2009	26,953.91	21,972.50	4,981.41	0.00

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2009 Tax Information Statement

Page 10 of 46

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
750.0000	577081102	MATTEL INC	09/10/2008	05/08/2009	11,561.25	14,524.56	-2,963.31	0.00
1075.0000	577081102	MATTEL INC	09/10/2008	05/08/2009	16,492.32	20,818.54	-4,326.22	0.00
300.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/14/2009	7,384.69	13,722.37	-6,337.68	0.00
325.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/14/2009	8,021.47	14,865.90	-6,844.43	0.00
125.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/14/2009	3,094.60	5,717.65	-2,623.05	0.00
50.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/14/2009	1,233.96	2,287.06	-1,053.10	0.00
225.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/15/2009	5,655.02	10,291.77	-4,636.75	0.00
375.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/18/2009	9,465.20	17,152.96	-7,687.76	0.00
100.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	05/18/2009	2,528.30	4,574.12	-2,045.82	0.00
75.0000	872540109	TJX COS INC NEW	01/29/2009	05/19/2009	2,181.72	1,551.98	629.74	0.00
75.0000	872540109	TJX COS INC NEW	01/29/2009	05/19/2009	2,182.77	1,551.97	630.80	0.00
25.0000	872540109	TJX COS INC NEW	01/29/2009	05/19/2009	727.85	517.33	210.52	0.00
1350.0000	872540109	TJX COS INC NEW	01/12/2009	05/19/2009	39,218.25	27,976.01	11,242.24	0.00
200.0000	872540109	TJX COS INC NEW	01/12/2009	05/19/2009	5,809.63	4,146.00	1,663.63	0.00
50.0000	872540109	TJX COS INC NEW	01/27/2009	05/20/2009	1,461.65	1,013.89	447.76	0.00
50.0000	872540109	TJX COS INC NEW	01/27/2009	05/20/2009	1,456.35	1,013.89	442.46	0.00
50.0000	872540109	TJX COS INC NEW	01/29/2009	05/20/2009	1,455.93	1,034.65	421.28	0.00
50.0000	872540109	TJX COS INC NEW	01/27/2009	05/22/2009	1,410.05	1,013.89	396.16	0.00
1700.0000	053807103	AVNET, INC	10/16/2008	05/28/2009	39,679.69	28,842.35	10,837.34	0.00
225.0000	054303102	AVON PRODS INC	05/07/2009	05/28/2009	5,758.41	5,230.04	528.37	0.00

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2009 Tax Information Statement

Page 11 of 46

Ref: PPX

Recipient's Name and Address

THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587

Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200.0000	054303102	AVON PRODS INC	04/30/2009	05/29/2009	5,235.40	4,588.36	647.04	0.00
200.0000	054303102	AVON PRODS INC	04/17/2009	05/29/2009	5,248.96	4,428.49	820.47	0.00
125.0000	054303102	AVON PRODS INC	04/17/2009	05/29/2009	3,248.39	2,754.38	494.01	0.00
2700.0000	172967101	CITIGROUP INC	12/24/2008	06/16/2009	8,817.43	18,042.55	-9,225.12	0.00
10.0000	521865105	LEAR CORP COM	09/10/2008	06/16/2009	13.39	139.74	-126.35	0.00
450.0000	94973V107	WELLPOINT INC	11/05/2008	06/16/2009	21,283.24	18,875.06	2,408.18	0.00
1525.0000	G4776G101	INGERSOLL-RAND CO CL A	04/16/2009	06/16/2009	33,416.16	24,603.93	8,812.23	0.00
1300.0000	172967101	CITIGROUP INC	12/24/2008	06/17/2009	4,066.94	7,454.70	-3,387.76	0.00
500.0000	172967101	CITIGROUP INC	02/27/2009	06/17/2009	1,557.61	760.98	796.63	0.00
3250.0000	172967101	CITIGROUP INC	02/27/2009	06/17/2009	10,163.46	4,946.37	5,217.09	0.00
133.0000	521865105	LEAR CORP COM	09/10/2008	06/17/2009	177.73	1,858.51	-1,680.78	0.00
16925.0000	733174106	POPULAR INC COM	09/10/2008	06/17/2009	42,142.16	129,264.18	-87,122.02	0.00
1050.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	06/18/2009	19,279.50	21,433.41	-2,153.91	0.00
422.0000	172967101	CITIGROUP INC	02/27/2009	06/18/2009	1,314.07	642.27	671.80	0.00
450.0000	172967101	CITIGROUP INC	02/27/2009	06/18/2009	1,393.75	684.88	708.87	0.00
1326.0000	172967101	CITIGROUP INC	02/27/2009	06/18/2009	4,093.92	2,018.12	2,075.80	0.00
1350.0000	03073E105	AMERISOURCE BERGEN CORP	09/10/2008	06/19/2009	24,856.90	27,557.23	-2,700.33	0.00
665.0000	172967101	CITIGROUP INC	02/27/2009	06/19/2009	2,104.66	1,012.10	1,092.56	0.00
1500.0000	24702R101	DELL INC	10/31/2008	06/19/2009	19,881.38	18,533.48	1,347.90	0.00
19.0000	172967101	CITIGROUP INC	02/27/2009	06/22/2009	57.37	28.92	28.45	0.00

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2009 Tax Information Statement

Page 12 of 46

Account Number: 26-73587
 Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
688.0000	172967101	CITIGROUP INC	02/27/2009	06/23/2009	2,058.92	1,047.11	1,011.81	0.00
2846.0000	521865105	LEAR CORP COM	09/10/2008	06/24/2009	2,583.81	39,769.23	-37,185.42	0.00
34.0000	172967101	CITIGROUP INC	02/27/2009	06/25/2009	103.52	51.75	51.77	0.00
3461.0000	521865105	LEAR CORP COM	09/10/2008	06/25/2009	2,178.29	48,363.08	-46,184.79	0.00
1725.0000	577081102	MATTEL INC	09/10/2008	06/25/2009	27,593.93	33,406.49	-5,812.56	0.00
1550.0000	G4776G101	INGERSOLL-RAND CO CL A	04/15/2009	06/25/2009	33,457.74	24,538.86	8,918.88	0.00
100.0000	577081102	MATTEL INC	09/10/2008	06/26/2009	1,608.45	1,936.61	-328.16	0.00
425.0000	577081102	MATTEL INC	09/10/2008	06/29/2009	6,832.24	8,230.58	-1,398.34	0.00
2175.0000	594918104	MICROSOFT CORP COM	09/10/2008	06/29/2009	52,015.09	57,637.50	-5,622.41	0.00
397.0000	172967101	CITIGROUP INC	02/27/2009	07/01/2009	1,173.77	604.22	569.55	0.00
50.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/01/2009	2,268.19	2,772.08	-503.89	0.00
75.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/01/2009	3,406.87	4,158.12	-751.25	0.00
134.0000	172967101	CITIGROUP INC	02/27/2009	07/02/2009	389.92	203.94	185.98	0.00
175.0000	24702R101	DELL INC	10/31/2008	07/08/2009	2,282.74	2,133.08	149.66	0.00
550.0000	24702R101	DELL INC	10/31/2008	07/09/2009	7,231.38	6,685.96	545.42	0.00
425.0000	24702R101	DELL INC	10/31/2008	07/09/2009	5,592.51	5,160.26	432.25	0.00
575.0000	24702R101	DELL INC	10/31/2008	07/09/2009	7,506.31	7,004.59	501.72	0.00
475.0000	24702R101	DELL INC	10/31/2008	07/09/2009	6,179.41	5,774.24	405.17	0.00
187.0000	172967101	CITIGROUP INC	02/27/2009	07/10/2009	484.98	284.61	200.37	0.00
225.0000	24702R101	DELL INC	10/31/2008	07/10/2009	2,974.39	2,727.23	247.16	0.00

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2009 Tax Information Statement

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THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID number: XX-XXX8812

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1688.0000	172967101	CITIGROUP INC	03/02/2009	07/13/2009	4,542.63	2,469.93	2,072.70	0.00
1690.0000	172967101	CITIGROUP INC	02/27/2009	07/13/2009	4,628.11	2,477.44	2,150.67	0.00
50.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/13/2009	2,173.32	2,772.08	-598.76	0.00
25.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/15/2009	1,111.10	1,386.04	-274.94	0.00
25.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/17/2009	1,150.22	1,386.04	-235.82	0.00
50.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/20/2009	2,312.28	2,772.08	-459.80	0.00
200.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/20/2009	9,255.08	11,088.33	-1,833.25	0.00
75.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/20/2009	3,505.29	4,158.12	-652.83	0.00
250.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/21/2009	11,630.98	13,860.42	-2,229.44	0.00
50.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	07/21/2009	2,328.97	2,772.08	-443.11	0.00
250.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/22/2009	6,637.73	11,435.31	-4,797.58	0.00
275.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/22/2009	7,254.94	12,578.84	-5,323.90	0.00
50.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	1,331.17	2,287.06	-955.89	0.00
50.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	1,344.69	2,287.06	-942.37	0.00
75.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	2,020.67	3,430.59	-1,409.92	0.00
50.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	1,326.63	2,287.06	-960.43	0.00
50.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	1,337.77	2,287.06	-949.29	0.00
150.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	4,025.91	6,861.18	-2,835.27	0.00
175.0000	14040H105	CAPITAL ONE FINL CORP	09/10/2008	07/23/2009	4,642.99	8,004.71	-3,361.72	0.00
1350.0000	054303102	AVON PRODS INC	03/20/2009	08/04/2009	44,032.63	27,636.01	16,396.62	0.00

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2009 Tax Information Statement

Page 14 of 46

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THE NORTHERN TRUST COMPANY
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Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
850.0000	708160106	JC PENNEY CO INC COM	09/10/2008	08/06/2009	26,638.74	34,449.70	-7,810.96	0.00
1175.0000	708160106	JC PENNEY CO INC COM	10/02/2008	08/07/2009	38,510.10	37,738.64	771.46	0.00
8400	172967101	CITIGROUP INC	06/16/2009	08/12/2009	2.55	2.35	0.20	0.00
9200	172967101	CITIGROUP INC	06/16/2009	08/12/2009	2.79	2.58	0.21	0.00
75.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/27/2009	277.96	385.23	-107.27	0.00
450.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/27/2009	1,655.23	2,311.38	-656.15	0.00
425.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/27/2009	1,560.85	2,182.97	-622.12	0.00
150.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/27/2009	551.58	770.46	-218.88	0.00
275.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/28/2009	1,042.19	1,412.51	-370.32	0.00
275.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	08/31/2009	1,035.54	1,412.51	-376.97	0.00
75.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/01/2009	278.14	385.23	-107.09	0.00
275.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/01/2009	1,023.22	1,412.51	-389.29	0.00
625.0000	94973V107	WELLPOINT INC	11/05/2008	09/01/2009	32,364.60	24,541.56	7,823.04	0.00
600.0000	94973V107	WELLPOINT INC	11/05/2008	09/01/2009	30,980.62	23,559.90	7,420.72	0.00
775.0000	172967101	CITIGROUP INC	06/16/2009	09/03/2009	3,683.24	2,169.57	1,513.67	0.00

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2009 Tax Information Statement

Page 15 of 46
 Recipient's Name and Address
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525.0000	172967101	CITIGROUP INC	06/16/2009	09/03/2009	2,483.44	1,469.70	1,013.74	0.00
1050.0000	172967101	CITIGROUP INC	06/16/2009	09/03/2009	4,934.87	2,939.41	1,995.46	0.00
1225.0000	172967101	CITIGROUP INC	06/16/2009	09/03/2009	5,773.52	3,405.87	2,367.65	0.00
62.0000	577081102	MATTEL INC	09/10/2008	09/03/2009	1,122.48	1,200.70	-78.22	0.00
286.0000	577081102	MATTEL INC	09/10/2008	09/03/2009	5,186.50	5,538.70	-352.20	0.00
191.0000	577081102	MATTEL INC	09/10/2008	09/03/2009	3,443.52	3,698.92	-255.40	0.00
1225.0000	963320106	WHIRLPOOL CORP	09/10/2008	09/03/2009	74,799.02	107,364.44	-32,565.42	0.00
62.0000	577081102	MATTEL INC	09/10/2008	09/04/2009	1,147.39	1,200.70	-53.31	0.00
142.0000	577081102	MATTEL INC	09/10/2008	09/04/2009	2,620.13	2,749.98	-129.85	0.00
143.0000	577081102	MATTEL INC	09/10/2008	09/04/2009	2,630.02	2,769.35	-139.33	0.00
75.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/08/2009	277.51	385.23	-107.72	0.00
425.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/08/2009	1,568.98	2,182.97	-613.99	0.00
25.0000	577081102	MATTEL INC	09/10/2008	09/08/2009	462.63	484.15	-21.52	0.00
215.0000	577081102	MATTEL INC	09/10/2008	09/08/2009	3,964.65	4,163.71	-199.06	0.00
325.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/09/2009	1,217.93	1,669.33	-451.40	0.00
350.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/09/2009	1,304.41	1,797.74	-493.33	0.00
300.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/09/2009	1,125.72	1,540.92	-415.20	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 16 of 46

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION

Account Number:
26-73587
XX-XXX8812

Recipient's Tax ID number:

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
800.0000	12673P105	CA INC COM	09/10/2008	09/09/2009	17,639.71	17,402.37	237.34	0.00
133.0000	577081102	MATTEL INC	09/10/2008	09/09/2009	2,466.51	2,575.69	-109.18	0.00
150.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	09/10/2009	568.12	770.46	-202.34	0.00
400.0000	12673P105	CA INC COM	09/10/2008	09/10/2009	8,872.01	8,701.18	170.83	0.00
325.0000	620076109	MOTOROLA INC	10/02/2008	09/17/2009	2,924.96	2,259.76	665.20	0.00
25.0000	620076109	MOTOROLA INC	10/02/2008	09/18/2009	224.11	173.83	50.28	0.00
75.0000	94973V107	WELLPOINT INC	11/05/2008	09/21/2009	4,099.75	2,944.99	1,154.76	0.00
700.0000	94973V107	WELLPOINT INC	01/14/2009	09/22/2009	37,651.68	25,865.70	11,785.98	0.00
475.0000	94973V107	WELLPOINT INC	01/20/2009	09/22/2009	25,536.19	17,409.73	8,126.46	0.00
1325.0000	053807103	AVNET, INC.	10/16/2008	10/14/2009	35,617.06	26,430.25	9,186.81	0.00
1900.0000	055482103	BJ SVCS CO	12/11/2008	10/14/2009	39,148.49	21,288.03	17,860.46	0.00
325.0000	159864107	CHARLES RIVER LABORATORIES	05/14/2009	10/29/2009	12,089.90	9,200.33	2,889.57	0.00
75.0000	159864107	CHARLES RIVER LABORATORIES	05/14/2009	11/02/2009	2,758.55	2,123.15	635.40	0.00
125.0000	159864107	CHARLES RIVER LABORATORIES	05/14/2009	11/03/2009	4,630.24	3,538.59	1,091.65	0.00
1575.0000	620076109	MOTOROLA INC	11/11/2008	11/05/2009	14,419.38	7,024.50	7,394.88	0.00
250.0000	14170T101	CAREFUSION CORP COM	01/20/2009	11/11/2009	6,245.29	5,144.25	1,101.04	0.00
125.0000	14170T101	CAREFUSION CORP COM	02/03/2009	11/12/2009	3,126.67	2,559.32	567.35	0.00
100.0000	14170T101	CAREFUSION CORP COM	02/03/2009	11/13/2009	2,520.88	2,047.46	473.42	0.00
150.0000	14170T101	CAREFUSION CORP COM	02/03/2009	11/16/2009	3,829.33	3,071.19	758.14	0.00

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2009 Tax Information Statement

Page 17 of 46

Recipient's Name and Address

26-73587

Account Number:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Ref: PPX

XX-XXX8812

Recipient's Tax ID number:

THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
125.0000	14170T101	CAREFUSION CORP COM	01/21/2009	11/17/2009	3,180.24	2,552.50	627.74	0.00
175.0000	14170T101	CAREFUSION CORP COM	01/21/2009	11/18/2009	4,438.38	3,557.57	880.81	0.00
75.0000	14170T101	CAREFUSION CORP COM	01/21/2009	11/19/2009	1,894.54	1,519.17	375.37	0.00
125.0000	002896207	ABERCROMBIE & FITCH CO CL A	08/07/2009	11/20/2009	5,027.63	3,978.98	1,048.65	0.00
100.0000	14170T101	CAREFUSION CORP COM	01/21/2009	11/20/2009	2,517.65	2,025.50	492.15	0.00
175.0000	002896207	ABERCROMBIE & FITCH CO CL A	08/07/2009	11/23/2009	7,025.21	5,570.57	1,454.64	0.00
39.0000	14170T101	CAREFUSION CORP COM	03/19/2009	11/23/2009	1,006.93	686.13	320.80	0.00
179.0000	14170T101	CAREFUSION CORP COM	01/09/2009	11/23/2009	4,627.28	3,509.93	1,117.35	0.00
150.0000	14149Y108	CARDINAL HEALTH INC	01/20/2009	11/24/2009	4,840.21	4,035.83	804.38	0.00
351.0000	14170T101	CAREFUSION CORP COM	03/19/2009	11/24/2009	9,226.77	6,138.68	3,088.09	0.00
1850.0000	002896207	ABERCROMBIE & FITCH CO CL A	08/06/2009	11/25/2009	75,258.28	58,111.85	17,146.43	0.00
225.0000	14149Y108	CARDINAL HEALTH INC	01/20/2009	11/25/2009	7,285.28	6,015.83	1,269.45	0.00
150.0000	14149Y108	CARDINAL HEALTH INC	01/20/2009	11/25/2009	4,864.04	4,031.31	832.73	0.00
41.0000	14170T101	CAREFUSION CORP COM	03/20/2009	11/25/2009	1,093.79	707.42	386.37	0.00
46.0000	14170T101	CAREFUSION CORP COM	03/20/2009	11/25/2009	1,213.53	793.69	419.84	0.00
350.0000	14149Y108	CARDINAL HEALTH INC	02/03/2009	11/27/2009	11,212.21	9,344.13	1,868.08	0.00
25.0000	14149Y108	CARDINAL HEALTH INC	02/03/2009	11/30/2009	799.70	667.44	132.26	0.00
25.0000	14149Y108	CARDINAL HEALTH INC	02/03/2009	11/30/2009	802.34	667.44	134.90	0.00
62.0000	14170T101	CAREFUSION CORP COM	03/20/2009	11/30/2009	1,595.82	1,069.75	526.07	0.00
167.0000	14170T101	CAREFUSION CORP COM	03/20/2009	12/01/2009	4,354.70	2,872.80	1,481.90	0.00

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2009 Tax Information Statement

Page 18 of 46

Account Number: 26-73587
 Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
23.0000	14170T101	CAREFUSION CORP COM	06/30/2009	12/02/2009	599.90	393.14	206.76	0.00
62.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/16/2009	1,511.38	1,059.47	451.91	0.00
58.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/16/2009	1,413.16	991.31	421.85	0.00
79.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/18/2009	1,974.82	1,349.98	624.84	0.00
125.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/18/2009	3,075.12	2,136.04	939.08	0.00
150.0000	577081102	MATTEL INC	03/18/2009	12/18/2009	2,992.66	1,712.75	1,279.91	0.00
150.0000	577081102	MATTEL INC	03/19/2009	12/18/2009	3,004.86	1,726.87	1,277.99	0.00
100.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/21/2009	2,547.83	1,708.83	839.00	0.00
50.0000	14170T101	CAREFUSION CORP COM	06/17/2009	12/21/2009	1,274.92	854.37	420.55	0.00
150.0000	577081102	MATTEL INC	03/18/2009	12/21/2009	3,015.16	1,698.65	1,316.51	0.00
50.0000	14170T101	CAREFUSION CORP COM	06/26/2009	12/22/2009	1,297.40	854.14	443.26	0.00
50.0000	14170T101	CAREFUSION CORP COM	06/26/2009	12/23/2009	1,303.74	854.14	449.60	0.00
25.0000	14170T101	CAREFUSION CORP COM	06/26/2009	12/23/2009	653.07	427.07	226.00	0.00
25.0000	14170T101	CAREFUSION CORP COM	06/26/2009	12/24/2009	658.01	427.07	230.94	0.00
68.0000	14170T101	CAREFUSION CORP COM	06/26/2009	12/28/2009	1,790.91	1,161.62	629.29	0.00
Total Short Term Sales						2,210,911.71	-353,426.70	0.00
Long Term 15% Sales								
8467.0000	172967101	CITIGROUP INC	08/07/2002	03/19/2009	23,237.54	327,012.14	-303,774.60	0.00
378.0000	172967101	CITIGROUP INC	08/07/2002	03/20/2009	1,000.75	11,095.93	-10,095.18	0.00

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2009 Tax Information Statement

Page 19 of 46

Account Number: 26-73587
 Recipient's Tax ID number: XX-XXX8812

Recipient's Name and Address
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50.0000	620076109	MOTOROLA INC	05/16/2007	04/21/2009	278.24	909.61	-631.37	0.00
100.0000	620076109	MOTOROLA INC	05/16/2007	04/21/2009	555.24	1,819.22	-1,263.98	0.00
875.0000	620076109	MOTOROLA INC	05/16/2007	04/22/2009	4,984.22	15,918.18	-10,933.96	0.00
750.0000	620076109	MOTOROLA INC	05/16/2007	04/22/2009	4,260.79	13,644.15	-9,383.36	0.00
400.0000	620076109	MOTOROLA INC	05/16/2007	04/22/2009	2,300.38	7,276.88	-4,976.50	0.00
1525.0000	620076109	MOTOROLA INC	05/16/2007	04/22/2009	8,406.34	27,743.10	-19,336.76	0.00
150.0000	620076109	MOTOROLA INC	05/16/2007	04/23/2009	797.22	2,728.83	-1,931.61	0.00
75.0000	620076109	MOTOROLA INC	05/16/2007	04/23/2009	417.60	1,364.42	-946.82	0.00
4300.0000	620076109	MOTOROLA INC	05/16/2007	05/06/2009	26,489.89	78,226.46	-51,736.57	0.00
50.0000	46625H100	J P MORGAN CHASE & CO	01/01/1970	05/28/2009	1,808.45	1,441.96	366.49	0.00
225.0000	46625H100	J P MORGAN CHASE & CO	01/01/1970	05/28/2009	8,168.49	6,488.82	1,679.67	0.00
100.0000	46625H100	J P MORGAN CHASE & CO	01/01/1970	05/28/2009	3,591.73	2,883.92	707.81	0.00
25.0000	620076109	MOTOROLA INC	05/16/2007	08/06/2009	179.35	454.80	-275.45	0.00
850.0000	620076109	MOTOROLA INC	05/16/2007	08/07/2009	6,066.38	15,463.37	-9,396.99	0.00
575.0000	620076109	MOTOROLA INC	05/16/2007	08/07/2009	4,102.52	10,460.51	-6,357.99	0.00
825.0000	620076109	MOTOROLA INC	05/16/2007	08/07/2009	5,921.61	15,008.57	-9,086.96	0.00
200.0000	620076109	MOTOROLA INC	05/16/2007	08/10/2009	1,447.44	3,638.44	-2,191.00	0.00
25.0000	620076109	MOTOROLA INC	05/16/2007	08/11/2009	180.01	454.81	-274.80	0.00
50.0000	620076109	MOTOROLA INC	05/16/2007	08/12/2009	355.34	909.61	-554.27	0.00
150.0000	620076109	MOTOROLA INC	05/16/2007	08/12/2009	1,066.37	2,728.83	-1,662.46	0.00

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2009 Tax Information Statement

Page 20 of 46

Ref: PPX

Recipient's Name and Address

THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587

Recipient's Tax ID number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Bonds, etc.	Stocks	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1475.0000	620076109	MOTOROLA INC	05/16/2007	08/13/2009	10,640.81		26,833.49	-16,192.68	0.00
175.0000	620076109	MOTOROLA INC	05/16/2007	08/14/2009	1,267.14		2,814.05	-1,546.91	0.00
875.0000	620076109	MOTOROLA INC	05/14/2008	08/18/2009	6,225.72		8,731.63	-2,505.91	0.00
725.0000	620076109	MOTOROLA INC	05/14/2008	08/18/2009	5,211.74		7,234.77	-2,023.03	0.00
1025.0000	620076109	MOTOROLA INC	05/14/2008	08/18/2009	7,370.89		10,228.48	-2,857.59	0.00
1000.0000	12673P105	CA INC.COM	09/10/2008	09/11/2009	22,466.02		21,752.96	713.06	0.00
700.0000	620076109	MOTOROLA INC	05/14/2008	09/16/2009	6,441.30		6,985.30	-544.00	0.00
675.0000	620076109	MOTOROLA INC	05/14/2008	09/17/2009	6,074.91		6,735.82	-660.91	0.00
1.0000	577081102	MATTEL INC	09/10/2008	09/18/2009	19.13		19.37	-0.24	0.00
1575.0000	008190100	AFFILIATED COMPUTER SVCS INC CL A	09/10/2008	10/05/2009	82,059.17		82,496.71	-437.54	0.00
7475.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	10/12/2009	36,355.21		38,394.67	-2,039.46	0.00
125.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	10/13/2009	605.32		642.05	-36.73	0.00
625.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	10/14/2009	3,015.35		3,210.26	-194.91	0.00
125.0000	013904305	ADR ALCATEL ALSTHOM SPONSORED ADR ISIN #US0139043055	09/10/2008	10/14/2009	607.48		642.05	-34.57	0.00
114.0000	577081102	MATTEL INC	09/10/2008	10/14/2009	2,207.65		2,207.73	-0.08	0.00
601.0000	577081102	MATTEL INC	09/10/2008	10/16/2009	12,452.21		11,639.01	813.20	0.00
75.0000	963320106	WHIRLPOOL CORP	09/10/2008	10/26/2009	5,852.89		6,573.33	-720.44	0.00
175.0000	963320106	WHIRLPOOL CORP	09/10/2008	10/29/2009	12,904.42		15,337.78	-2,433.36	0.00

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2009 Tax Information Statement

Page 21 of 46

Recipient's Name and Address
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
400.0000	620076109	MOTOROLA INC	10/02/2008	11/02/2009	3,594.50	2,781.24	813.26	0.00
1225.0000	620076109	MOTOROLA INC	10/02/2008	11/02/2009	10,904.05	8,492.99	2,411.06	0.00
500.0000	620076109	MOTOROLA INC	10/03/2008	11/03/2009	4,541.68	3,116.82	1,424.86	0.00
1825.0000	620076109	MOTOROLA INC	10/06/2008	11/03/2009	16,492.82	10,203.15	6,289.67	0.00
1500.0000	620076109	MOTOROLA INC	10/16/2008	11/04/2009	13,623.70	7,497.66	6,126.04	0.00
400.0000	620076109	MOTOROLA INC	10/23/2008	11/05/2009	3,662.06	1,913.17	1,748.89	0.00
725.0000	091797100	BLACK & DECKER CORP	09/10/2008	11/10/2009	45,109.28	47,833.48	-2,724.20	0.00
75.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	11/11/2009	3,803.30	4,158.12	-354.82	0.00
100.0000	577081102	MATTEL INC	09/10/2008	11/11/2009	2,036.64	1,936.61	100.03	0.00
25.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	11/12/2009	1,263.72	1,386.04	-122.32	0.00
450.0000	559222401	MAGNA INTERNATIONAL CL A COMMON	09/10/2008	11/13/2009	22,446.86	24,948.75	-2,501.89	0.00
100.0000	577081102	MATTEL INC	09/10/2008	11/13/2009	2,040.56	1,936.61	103.95	0.00
500.0000	577081102	MATTEL INC	09/10/2008	11/16/2009	10,247.39	9,683.04	564.35	0.00
200.0000	577081102	MATTEL INC	09/10/2008	11/17/2009	4,138.67	3,873.21	265.46	0.00
25.0000	577081102	MATTEL INC	09/10/2008	11/18/2009	517.42	484.15	33.27	0.00
575.0000	577081102	MATTEL INC	09/10/2008	11/23/2009	11,740.62	11,135.49	605.13	0.00
25.0000	577081102	MATTEL INC	09/10/2008	12/08/2009	500.44	484.15	16.29	0.00
2575.0000	44934108	IMS HEALTH INC	09/10/2008	12/10/2009	54,923.33	54,741.59	181.74	0.00
225.0000	577081102	MATTEL INC	09/10/2008	12/10/2009	4,476.70	4,357.37	119.33	0.00
75.0000	577081102	MATTEL INC	09/10/2008	12/11/2009	1,497.87	1,452.46	45.41	0.00

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2009 Tax Information Statement

Page 22 of 46

Ref: PPX

Recipient's Name and Address

THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

26-73587

XX-XXX8812

Account Number:

Recipient's Tax ID number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
375.0000	577081102	MATTEL INC	09/10/2008	12/16/2009	7,581.25	5,407.73	2,173.52	0.00
100.0000	577081102	MATTEL INC	10/29/2008	12/18/2009	2,003.24	1,401.81	601.43	0.00
275.0000	449934108	IMS HEALTH INC	09/10/2008	12/28/2009	5,792.72	5,846.19	-53.47	0.00
25.0000	449934108	IMS HEALTH INC	09/10/2008	12/31/2009	529.36	531.47	-2.11	0.00
Total Long Term 15% Sales						1,015,755.32	-454,893.87	0.00

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30-6212756

JSA
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30-6212756

JSA
9F0970 2 000

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE BONDS
December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
1,095,620 MFB Northern Funds Bond Index Fund	<u>\$ 11,167,566</u>	<u>\$ 11,295,844</u>
Total Corporate Bonds	<u>\$ 11,167,566</u>	<u>\$ 11,295,844</u>

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
5,000 ADR Chicago Brdg & Iron Co N V N Y	\$ 16,403	\$ 101,100
2,300 Foster Wheeler Ltd (BM) Com Stk	46,447	67,712
2,700 Acuity Brands Inc Com	92,329	96,228
1,600 Allete Inc Com New	43,381	52,288
2,200 Arch Chemicals Inc Com	47,616	67,936
4,000 Bankfinancial Corp Com	42,072	39,600
7,100 Beneficial Mut Bancorp Inc Com Stk	71,062	69,864
2,400 Brinks Home Sec Hldgs Inc Com Stk	69,767	78,336
4,900 Broadridge Finl Solutions Inc Com Stk	78,836	110,544
2,750 Bucyrus Intl Inc New Com Cl A	22,587	155,018
2,390 Circor Intl Inc Com	33,305	60,180
1,100 Compass Minerals Intl Inc Com	68,672	73,909
2,800 Comstock Res Inc Com New	21,304	113,596
5,800 Covanta Hldg Corp Com	34,800	104,922
1,910 Deltic Timber Corp Com	46,167	88,204
2,000 Dineequity Inc Com Stk	45,496	48,580
3,800 Enpro Inds Inc Com	33,972	100,358
3,300 Exco Res Inc Com	55,720	70,059
2,180 Exterran Hldgs Inc Com Stk	52,605	46,761
5,318 Firstmerit Corp Com	101,775	107,105
4,790 Flowers Foods Inc Com	18,843	113,810
2,000 Flowserve Corp Com	108,120	189,060
2,600 Forestar Group Inc	55,677	57,148
2,300 Foster L B Co Cl A	54,991	68,563
3,200 Gallagher Arthur J & Co Com	76,513	72,032
3,000 Genesee & Wyo Inc Cl A	79,259	97,920
4,220 Goodrich Pete Corp Com New	82,018	102,757
5,500 Hanesbrands Inc Com Stk	48,602	132,605
2,000 Hanover Ins Group Inc Com	79,121	88,860
5,500 Hill Rom Hldgs Inc Com Stk	119,953	131,945
1,950 Iberiabank Corp Com	86,355	104,929
1,774 Invt Tech Group Inc New Com	50,834	34,948
1,710 ITC Hldgs Corp Com Stk	81,840	89,074
2,420 Joy Global Inc Com	16,690	124,848
2,400 Kaiser Alum Corp Com Par \$0 01 Com	59,424	99,888
4,910 KS CY Southn	31,329	163,454
3,700 MB Finl Inc New Com	78,070	72,964
1,950 McDermott Intl Inc Com Stk \$1 Par	9,354	46,819
10,500 Mueller WTR Prods Inc Com Ser A Stk	122,888	54,600
7,500 New Alliance Bancshares Inc Com	103,757	90,075
2,300 Patterson Cos Inc Com	52,569	64,354

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
3,200 Petrohawk Energy Corp Com	39,123	76,768
3,820 Pulte Homes Inc Com	48,849	38,200
900 Ralcorp Hldgs Inc New Com	47,199	53,739
2,900 SPDR KBW Regl Bkg ETF	70,477	64,525
1,500 Tennant Co Com	20,072	39,285
1,100 Texas Inds Inc Com	25,586	38,489
4,000 Timken Co Com	58,040	94,840
5,150 Toll Bros Inc Com	100,904	96,871
2,460 Treehouse Foods Inc Com	69,941	95,596
2,500 Vail Resorts Inc Com	59,146	94,500
3,000 Vectren Corp Com	80,256	74,040
3,900 Wabtec Corp Com	84,994	159,276
2,240 Walter Energy Inc	35,969	168,694
1,435 Walter Invst Mgmt Corp	12,963	20,564
6,300 Wendys / Arby's Group Inc Com Stk	33,747	29,547
5,170 Westar Energy Inc Com	64,039	112,292
8,000 Westfield Finl Inc New Com Stk	85,546	66,000
2,785 Willbros Group Inc Com	46,154	46,983
3,000 Wright Express Corp Com Stk	87,371	95,580
3,900 Wyndham Worldwide Corp Com Stk	22,579	78,663
5,000 1st Niagara Finl Group Inc New Com	69,847	69,550
1,900 Accenture Plc Shs Cl A New	53,122	78,850
1,700 ADR Teva Pharmaceutical Inds	78,062	95,506
550 Alcon Inc Com CHFO.20	66,843	90,393
6,140 Activision Blizzard Inc Com Stk	68,257	68,215
1,700 Akamai Technologies Inc Com Stk	42,792	43,061
1,100 Allergan Inc Com	65,480	69,311
2,100 Amphenol Corp New Cl A	61,640	96,978
900 Becton Dickinson & Co Com	75,057	70,974
1,300 Bunge Ltd	119,721	82,979
840 Burl Northn Santa Fe Corp Com	73,258	82,841
1,400 C R Bard	114,966	109,060
225 CME Group Inc Com Stk	96,370	75,589
2,500 EMC Corp Com	42,883	43,675
1,600 Emerson Electric Co Com	72,947	68,160
1,200 Expeditors Intl Wash Inc Com	41,222	41,676
900 Express Scripts Inc Com	35,452	77,805
1,400 Flir Sys Inc Com	32,103	45,808
2,400 Gilead Sciences Inc Com	91,518	103,872
425 Goldman Sachs Group Inc Com	56,938	71,757
180 Google Inc Cl A	80,259	111,596

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
1,700 Ill Tool Wks Inc Com	64,980	81,583
5,095 Intel Corp Com	93,219	103,938
700 International Business Machs Corp Com	80,621	91,630
2,400 Intuit Com	66,569	73,704
1,700 ITT Corp Inc Com	92,044	84,558
1,800 Jacobs Engr Group Inc Com	77,948	67,698
1,200 McDonalds Corp Com	70,221	74,928
900 Monsanto Co New Com	66,968	73,575
660 Nike Inc Cl B	40,601	43,606
700 Norfolk Southn Corp Com	49,834	36,694
2,400 Omnicom Group Inc Com	99,781	93,960
1,650 Parker-Hannifin Corp Com	84,936	88,902
1,300 Praxair Inc Com	116,782	104,403
1,300 Proctor & Gamble Co Com	87,074	78,819
2,400 Qualcomm Inc Com	91,382	111,024
1,200 Quest Diagnostics Inc Com	57,260	72,456
1,000 Raytheon Co Com New	53,495	51,520
1,655 Ross Stores Inc Com	68,119	70,685
1,700 Schlumberger Ltd Com Stk	134,203	110,653
1,400 Stanley Wks Com	71,243	72,114
800 Stryker Corp Com	34,882	40,296
400 United Technologies Corp Com	24,954	27,764
1,200 Varian Med Sys Inc Com Isin	55,302	56,220
2,200 Waste Mgmt Inc Del Com Stk	75,594	74,382
500 Waters Corp Com	21,865	30,980
1,600 Wells Fargo & Co New Com Stk	44,836	43,184
4,700 Western Union Co	90,966	88,595
2,350 XTO Energy Inc Com	81,559	109,346
13,480 ADR Alumina Ltd Sponsored ADR	260,600	88,294
9,700 ADR Centrais Electricas Brasileiras SA Sponsored ADR Repstg Pfd	116,758	181,390
3,119 ADR Centrais Electricas Brasileiras SA Sponsored ADR Repstg Com	33,289	65,780
2,288 ADR Embraer-Empresa Brasileira DE Aeronautica SA Sponsr ADR Repstg Pfdshs	52,969	50,588
9,342 Barrick Gold Corp	260,920	367,888
3,488 Cameco Corp Com	88,063	112,209
5,677 Ivanhoe Mines Ltd Com	39,983	82,941
2,424 Kinross Gold Corp Com NPV New	46,171	44,602
3,026 Magna Intl Inc Cl A	195,365	153,055
8,862 Nexen Inc Com	196,867	212,068
15,063 ADR Nokia Corp Sponsored ADR	190,504	193,559
55,460 ADR Alcatel-Lucent	491,363	184,127

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
22,760 ADR Carrefour SA ADR	205,699	215,765
7,831 ADR Sanofi-Aventis Sponsored ADR	282,315	307,523
6,419 ADR Societe Generale France Sponsored ADR	56,619	90,187
1,570 ADR Technip Sponsored ADR	36,475	110,292
2,067 ADR Siemens AG Com DM50	147,603	189,544
21,590 ADR Telecom Italia S P A New Sponsored ADR Repstg Svgs Shs	470,189	237,490
16,450 ADR DAI Nippon Prtg Ltd Japan Sponsored ADR	250,921	209,902
1,407 ADR Daiwa House Ind Ltd ADR	140,857	150,901
8,514 ADR Fujifilm Hldgs Corp ADR 2 Ord ADR	301,598	257,123
1,302 ADR Hachijuni Bk Ltd ADR	80,012	76,427
10,300 ADR Kao Corp Sponsored ADR Repstg 10 Shs Com	212,394	240,608
11,460 ADR Mitsui Sumitomo Ins Group Hldgs Inc ADR	198,049	146,115
4,645 ADR Nintendo Ltd	154,681	138,514
14,118 ADR Nippon Teleg & Tel Corp Sponsored ADR	317,921	278,689
9,050 ADR Panasonic Corp ADR	167,412	129,867
2,586 ADR Rohm Co Ltd ADR	64,609	85,364
55,752 ADR Sega Sammy Hldgs Inc Sponsored ADR Repstg Com Sega Sammy	161,057	170,044
14,280 ADR Sekisui House Ltd Sponsored ADR	145,528	131,662
6,152 ADR Seven & I Hldgs Co Ltd ADR	319,152	254,693
13,438 ADR Shiseido Ltd Sponsored ADR	235,080	258,950
18,730 ADR Sumitomo Tr & Bkg Ltd Sponsored ADR	120,271	92,339
1,729 ADR TDK Corp	56,187	105,728
2,506 Toppan Printing Co ADR-Each CNV Into 5 Ord Jpy50	122,544	100,240
280 Wacoal Hldgs Corp	181,907	156,058
13,351 ADR Korea Elec Pwr Corp Sponsored ADR	229,154	194,123
18,112 ADR SK Telecom Ltd Sponsored ADR	321,329	294,501
18,581 ADR Aegon N V NY Registry Shs Shs	119,891	119,104
9,870 ADR Wolters Kluwer N V Sponsored ADR	170,289	215,659
5,832 ADR Anglogold Ashanti Ltd	168,784	234,330
14,389 ADR Gold Fields Ltd New Sponsored ADR	200,670	188,640
4,011 ADR Impala Platinum Hldgs Ltd Sponsored ADR Repstg 1/4 Sh	87,210	109,500
5,497 ADR Novartis AG	218,405	299,202
7,169 GDR Swisscom Sponsored ADR	242,302	272,135
6,405 UBS AG Shs Com	211,008	99,342
4,327 ADR BP P L C Sponsored ADR	281,707	250,836
2,365 ADR Rexam PLC Sponsored ADR New 2001	51,602	56,263
5,090 ADR Royal Dutch Shell PLC Sponsored ADR Repstg B Shs	358,519	295,882
9,089 ADR United Utils Group PLC Sponsored ADR	202,945	147,424
11,344 ADR Vodafone Group PLC New Sponsored ADR	231,419	261,933
5,998 ADR Newcrest Mng Ltd Sponsored ADR	116,778	189,837
3,536 Axis Capital Holdings Ltd Com USDO.0125	106,868	100,458

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

December 31, 2009

Description	End of Year Book Value	End of Year Fair Market Value
4,275 Magna Intl Inc Cl A	157,490	216,230
45,125 ADR Alcatel-Lucent	231,781	149,815
13,450 UBS AG Shs Com	221,679	208,610
6,750 Aetna Inc	186,503	213,975
6,925 Allstate Corp Com	274,648	208,027
1,550 Apache Corp Com	106,974	159,914
8,025 Avnet Inc Com	125,253	242,034
3,900 Avon Products Inc Com USD0.25	62,491	122,850
9,275 Bank of America Corp	203,820	139,682
6,950 BJ Services	69,253	129,270
2,875 Black & Decker Corp Com	189,685	186,386
4,350 Boeing Co Com	179,168	235,466
7,475 CA Inc Com	152,063	167,889
3,350 Cap 1 Fnd Com	153,233	128,439
4,475 Cardinal Hlth Inc	106,864	144,274
2,000 Charles Riv Laboratories Intl Inc Com	53,788	67,380
43,559 Citigroup Inc Com	108,979	144,180
5,200 Comerica Inc Com	148,970	153,764
1,000 Cooper Industries Plc New Ireland Com Stk	38,615	42,640
8,775 Dell Inc Com Stk	83,002	126,009
2,000 Exxon Mobil Corp Com	140,974	136,380
1,250 Hartford Finl Svcs Group Inc Com	15,126	29,075
4,250 Home Depot Inc Com	97,310	122,953
1,675 Hospitality Pptys Tr Com Sh Ben Int	37,523	39,714
6,375 IMS Hlth Inc Com Stk	115,362	134,257
950 Johnson & Johnson Com	68,306	61,189
3,150 JPMorgan Chase & Co Com	90,843	131,260
4,650 Kraft Foods Inc Cl A	153,031	126,387
2,625 L-3 Communications Hldg Corp Com	240,480	228,244
2,175 Lam Resh Corp Com	51,176	85,282
500 Mattel Inc Com	5,662	9,990
6,025 Microsoft Corp Com	148,904	183,702
4,475 Morgan Stanley Com Stk USD0.01	150,853	132,460
7,875 Motorola Inc Com	32,440	61,110
5,300 Northrup Grumman Corp Com	317,260	296,005
5,750 Omnicom Group Inc Com	160,441	225,112
6,900 Penney J C. Co Inc Com	156,217	183,609
2,404 PNC Financial Services Group Com Stk	204,259	126,907
9,000 Portland Gen Elec Co Com New Stk	178,869	183,690
3,775 Renaissance Re Hldgs Ltd Com	195,842	200,641
3,700 Sempra Energy Inc Com Stk	193,064	207,126

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

December 31, 2009

Description	End of Year	End of Year
	Book Value	Fair Market Value
1,150 Sherwin-Williams Co Com	71,068	70,897
3,400 State Str Corp Com	144,801	148,036
5,050 Torchmark Corp Com	245,943	221,947
9,425 Tyco Electronics L Com Stk	150,559	231,384
6,625 Valero Energy Corp Com Stk New	112,914	110,969
1,850 Whirlpool Corp Com	162,142	149,221
2,750 Zimmer Hldgs Inc Com	<u>117,354</u>	<u>162,552</u>
Total Corporate Stocks	<u>\$ 23,303,247</u>	<u>\$ 25,238,906</u>