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# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

6 Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>K. C. STOCK FOUNDATION, INC.</b>		A Employer identification number <b>39-1688221</b>
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number <b>920-490-8290</b>
	111 NORTH WASHINGTON ST. STE 450		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
	City or town, state, and ZIP code <b>GREEN BAY, WI 54301</b>		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>11,389,510.</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
(Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	82,808.	82,808.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<654,276.>			
	b Gross sales price for all assets on line 6a	5,064,633.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<571,468.>	82,808.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2	12,214.	12,214.	0.
	c Other professional fees	STMT 3	48,976.	48,976.	0.
	17 Interest				
	18 Taxes	STMT 4	<5,336.>	3,699.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 5	3,671.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		59,525.	64,889.	0.
	25 Contributions, gifts, grants paid		571,933.		571,933.
26 Total expenses and disbursements. Add lines 24 and 25		631,458.	64,889.	571,933.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<1,202,926.>				
b Net investment income (if negative, enter -0-)		17,919.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	100.	100.	100.
	2 Savings and temporary cash investments	205,072.	293,719.	293,719.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	8,401,895.	5,291,767.	5,131,112.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis	5,964,579.		
	Less: accumulated depreciation			
	12 Investments - mortgage loans	4,141,125.	5,964,579.	5,964,579.
	13 Investments - other			
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation			
	15 Other assets (describe )			
	16 Total assets (to be completed by all filers)	12,748,192.	11,550,165.	11,389,510.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,748,192.	11,550,165.	
30 Total net assets or fund balances	12,748,192.	11,550,165.		
31 Total liabilities and net assets/fund balances	12,748,192.	11,550,165.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,748,192.
2 Enter amount from Part I, line 27a	2	<1,202,926.>
3 Other increases not included in line 2 (itemize) SEE STATEMENT 6	3	4,899.
4 Add lines 1, 2, and 3	4	11,550,165.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,550,165.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	P		
<b>b MCVEAN TRADING &amp; INVESTMENTS</b>	P		
<b>c SHEPHERD INVESTMENTS INTERNATIONAL LTD</b>	P		
<b>d IVORY OFFSHORE FLAGSHIP FUND LTD</b>	P		
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 4,360,397.		4,918,021.	<557,624.>
<b>b</b>		12,932.	<12,932.>
<b>c</b> 504,236.		594,984.	<90,748.>
<b>d</b> 200,000.		192,972.	7,028.
<b>e</b>			

  

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<557,624.>
<b>b</b>			<12,932.>
<b>c</b>			<90,748.>
<b>d</b>			7,028.
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<654,276.>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	476,030.	13,049,741.	.036478
2007	648,275.	12,632,266.	.051319
2006	603,076.	11,906,985.	.050649
2005	480,307.	9,406,062.	.051064
2004	420,656.	7,896,641.	.053270

  

<b>2</b> Total of line 1, column (d)	2	.242780
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048556
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,233,315.
<b>5</b> Multiply line 4 by line 3	5	545,445.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	179.
<b>7</b> Add lines 5 and 6	7	545,624.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	571,933.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	179.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	179.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	179.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 12,040.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	12,040.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	11,861.
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 200. Refunded <input checked="" type="checkbox"/>		11	11,661.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SHERI PROSSER Telephone no. ▶ 920-490-8290  
 Located at ▶ 111 N WASHINGTON ST SUITE 450, GREEN BAY, WI ZIP+4 ▶ 54301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐  
 and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/AOrganizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH C. STOCK 111 N. WASHINGTON STREET SUITE 450 GREEN BAY, WI 54301	PRESIDENT 0.35	0.	0.	0.
GEORGIA STOCK 111 N. WASHINGTON STREET SUITE 450 GREEN BAY, WI 54301	VICE-PRESIDENT 0.35	0.	0.	0.
STEVEN STOCK 111 N. WASHINGTON STREET SUITE 450 GREEN BAY, WI 54301	SECRETARY/TREASURER 0.35	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> THE FOUNDATION MAKES CASH DONATIONS TO QUALIFYING PUBLIC CHARITIES.	0.
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,324,172.
b	Average of monthly cash balances	1b	342,381.
c	Fair market value of all other assets	1c	4,737,828.
d	Total (add lines 1a, b, and c)	1d	11,404,381.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,404,381.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	171,066.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,233,315.
6	Minimum investment return. Enter 5% of line 5	6	561,666.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	561,666.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	179.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	179.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	561,487.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	561,487.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	561,487.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	571,933.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	571,933.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	179.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	571,754.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				561,487.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				13,765.
d From 2007				37,434.
e From 2008				
f Total of lines 3a through e	51,199.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$				571,933.
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				561,487.
e Remaining amount distributed out of corpus	10,446.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	61,645.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	61,645.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				13,765.
c Excess from 2007				37,434.
d Excess from 2008				
e Excess from 2009				10,446.









K.C. STOCK FOUNDATION  
2009 Contributions

1/13/2009	Rawlhide	E7475 Rawlhide Road, New London, WI 54981-8082	Chevrolet Suburban Replacement Project	\$5,000
1/13/2009	Scholarships, Inc.	P.O. Box 1873, Green Bay, WI 54305	K.C. Stock Business Scholarships	10,000
1/13/2009	UW-Milwaukee	1033 McDonald Street, Green Bay, WI 54153	Cruiser's Scholarship - Amanda Farrar	1,250
1/13/2009	Notre Dame Academy	810 Maryhill Drive, Green Bay, WI 54303-2092	2009 Trifontest Sponsor	10,000
2/12/2008	American Red Cross Lakeland Chapter	2131 Dekner Avenue, Green Bay, WI 54302	Dancing with Our Stars Event Sponsor	500
2/18/2008	UW-Madison	102 Merrill Lane, Oconto, WI 54153-1852	Cruiser's Scholarship - Jill Manzatti	1,250
2/18/2008	UW-Stevens Point	8874 Old 41 Road, Oconto, WI 54153	Cruiser's Scholarship - Kimberly Pillsbury	1,250
5/4/2009	American Cancer Society	3311 South Packerland Drive, De Pere, WI 54115	Brown County Cancer Gala - Congal Sponsor	5,000
5/4/2009	Boys & Girls Club of Green Bay	311 S. Onelda Street, Green Bay, WI 54303	Packer Challenge Sponsor	7,050
5/4/2009	Children's Cancer Research Coalition	P.O. Box 51629, New Orleans, LA 70151	Pledge	20
5/4/2009	The Community Foundation	P.O. Box 25, Combined Locks, WI 54113-0025	2009 U.S. Oil Open Sponsor	2,500
5/4/2009	Trees for Tomorrow	P.O. Box 19130, Green Bay, WI 54307-8130	Scholarship Fund Sponsor	400
5/4/2009	Wisconsin Badger Camp	P.O. Box 723, Green Bay, WI 53618	Campership Program Sponsor	1,600
5/4/2009	YWCA	230 South Madison Street, Green Bay, WI 54301	Operating Support	1,000
5/4/2009	Back-To-School Store	P.O. Box 22142, Green Bay, WI 54305	Operating Support	1,000
5/4/2009	Big Brothers Big Sisters	1345 W. Mason Street, Green Bay, WI 54303	Match Program Sponsor	2,000
5/4/2009	Friends of St. Mary's Hospital	1726 Shawano Avenue, Green Bay, WI 54303-3282	Toast of the Town Sponsor	1,000
5/4/2009	Economics/Wisconsin	7635 West Bluemound Road, Ste 106 Milwaukee, WI 53213	Get Your Students Pumped Up on Personal Finance and Economics Sponsor	1,000
5/4/2009	Bay Lakes Council	P.O. Box 267, Green Bay, WI 54912-0267	Green Bay Outing for Scouting Sponsor	1,000
5/4/2009	The Green Bay Exchange Club WI	P.O. Box 267, Green Bay, WI 54912-0267	Annual Appeal	500
5/4/2009	YMCA	7308 City Rd. NN, Maribel, WI 54227	Ribs Fest 2009 Sponsor	1,000
5/4/2009	Girls Scouts	235 North Jefferson Street, Green Bay, WI 54301	2009 Strong Kids Campaign Sponsor	1,000
8/11/2009	UW-Milwaukee	P.O. Box 8427, Green Bay, WI 54308-9427	Annual Appeal	1,000
8/13/2009	UW-Madison	1033 McDonald Street, Green Bay, WI 54153	Cruiser's Scholarship - Amanda Farrar	1,250
9/14/2009	ASPIRO	102 Merrill Lane, Oconto, WI 54153-1852	Cruiser's Scholarship - Jill Manzatti	1,250
9/14/2009	Rawlhide	P.O. Box 12770, Green Bay, WI 54307-2770	2009 Jewels, Jeans & Jazz Dinner Gala Sponsor	2,500
9/14/2009	Literacy Green Bay	E7475 Rawlhide Road, New London, WI 54981-8082	Vehicle Repair Equipment Project	5,000
9/14/2009	Wisconsin Academic Decathlon	424 S. Monroe Avenue, Green Bay, WI 54301-4054	2010 Campaign for Literacy	500
9/14/2009	Wisconsin 4-H Foundation, Inc.	524 Daley Court, Verona, WI 53593	2010 Regional Competition Sponsor	1,000
9/14/2009	Notre Dame de la Bale Academy	610 Langdon Street, Madison, WI 53703-1185	2008 Annual Appeal	1,000
9/14/2009	Junior Achievement	610 Maryhill Drive, Green Bay, WI 54303-2092	2009 Notre Dame Golf Classic Tee/Green Sponsor	800
9/14/2009	New Community Shelter, Inc.	2301 Riverside Drive, Suite B15, Green Bay, WI 54301-4257	2009-2010 Brown County Speaker Sponsor	1,000
9/14/2009	Florida Police Benevolent Assoc., Inc.	301 Mather Street, Green Bay, WI 54303	2009 15th Annual Golf Classic Sponsor	1,500
9/14/2009	Boys & Girls Club of Green Bay	P.O. Box 15589, West Palm Beach, FL 33418-8589	Pledge	25
9/14/2009	Big Brothers Big Sisters	311 S. Onelda Street, Green Bay, WI 54303	2009 Campaign for Kids	1,000
9/14/2009	WFLC	1345 W. Mason Street, Green Bay, WI 54303	2009 Taste of the Town Event Sponsor	2,500
10/8/2009	Oconto Hospital Citizens' Foundation	4425 N. Port Washington Rd., Ste 402, Milwaukee, WI 53212	College Readiness 21 Program	3,000
10/28/2009	Friends of the Brown County Library	820 Arbutus Avenue, Green Bay, WI 54153	A Night at the Bistro Event Sponsor	610
10/28/2009	Green Bay Botanical Garden	515 Pine Street, Green Bay, WI 54301	Give-A-Kid-A-Book Campaign Sponsor	1,000
10/28/2009	Paul's Pantry	P.O. Box 12644, Green Bay, WI 54307-2644	Educational Programs	1,000
10/28/2009	Twin Bridge Rescue Squad	1529 Leo Frigo Way, Green Bay, WI 54302	Annual Appeal	1,000
10/28/2009	St. Vincent Hospital	P.O. Box 745, Crivitz, WI 54114	Annual Appeal	250
10/28/2009	Green Bay Phoenix Fund	P.O. Box 13508, Green Bay, WI 54307-3508	Oncology Clinic 2009 Christmas Sponsor	3,000
10/28/2009	Cerebral Palsy, Inc.	2420 Nicolet Drive, Green Bay, WI 54311-7001	Membership Sponsor	1,000
12/21/2009	Mayor's Neighborhood Leadership Council	2801 S. Webster Avenue, Green Bay, WI 54301	Adult Day Services Kitchen Renovation Project	5,000
12/21/2009	Unity Hospice	100 N. Jefferson Street, Green Bay, WI 54301	Operating Campaign	5,000
12/21/2009	The Salvation Army	2368 Oak Ridge Circle, De Pere, WI 54115	Operating Support	1,000
12/21/2009	House of Hope	628 Union Court, Green Bay, WI 54303	Angel Fund	1,000
12/21/2009	Einstein Project	1660 Christiana Street, Green Bay, WI 54303	Operating Support	2,500
12/21/2009	Special Olympics	1255 Einstein Way, Green Bay, WI 54311	Operating Support	1,000
12/21/2009	Boys & Girls Club of Green Bay	2140 Holmgren Way, Green Bay, WI 54304	Annual Appeal	1,000
12/21/2009	Syble Hopp	311 S. Onelda Street, Green Bay, WI 54303	Program Support	10,000
12/21/2009	Bay Area Humane Society	755 Scheuring Road, De Pere, WI 54115	Pool and Renovation Expansion Project	15,000
12/21/2009	Clarity Care	1930 Radisson Street, Green Bay, WI 54302	Annual Appeal	2,000
12/21/2009	American Red Cross Lakeland Chapter	2649 Manitowoc Road, Green Bay, WI 54311	Help at Home Program	2,728
12/21/2009	Greater Green Bay Habitat for Humanity	2131 Dekner Avenue, Green Bay, WI 54302	Annual Appeal	1,000
12/21/2009		P.O. Box 10263, Green Bay, WI 54307	Annual Appeal	5,000

K.C. STOCK FOUNDATION  
2009 Contributions

12/21/2009	Notre Dame de la Salle Academy	610 Maryhill Drive, Green Bay, WI 54303-2082	2010 Trilitefest	10,000
12/21/2009	Brown County United Way	P.O. Box 1593, Green Bay, WI 54302	Tocqueville Society	10,000
12/21/2009	Ronald McDonald House Charities	8948 Watertown Plank Road, Wauwatosa, WI 53228	Operating Support	1,000
12/21/2009	Make-A-Wish Foundation	200 N. Durkee Street, Suite 80, Appleton, WI 54911	Program Support	5,000
12/21/2009	New Community Shelter, Inc.	301 Mather Street, Green Bay, WI 54303	Community Meal Program	10,000
12/21/2009	Breast Cancer Family Foundation	P.O. Box 13436, Green Bay, WI 54307	2010 Tilletown Bike Tour	1,000
12/21/2009	Emmanuel Lutheran Church	777 Mooring Line Drive, Naples FL 34102	Capital Fund Appeal	20,000
12/21/2009	Family Service	777 Mooring Line Drive, Naples FL 34102	Pledge	700
12/21/2009	The Salvation Army	P.O. Box 22308, Green Bay, WI 54305-2308	Child Advocacy Center	150,000
12/21/2009	NeighborWorks	628 Union Court, Green Bay, WI 54303	Transitional Housing Program	10,000
12/21/2009	ARCW	1701 Douglas Street, Green Bay, WI 54301	Operating Campaign	8,000
12/21/2009	Children's Hospital and Health System Found.	427 S. Jackson Street, Green Bay, WI 54303	Compassion for Kids...Support for Parents Campaign	10,000
12/21/2009	St. Norbert College	P.O. Box 510498, Milwaukee, WI 53201-1987	Medical Clinic	25,000
12/21/2009	Boys & Girls Club of Green Bay	100 Grant Street, De Pere, WI 54115	Capital Campaign	25,000
12/21/2009	Bellin College of Nursing	311 S. Onelda Street, Green Bay, WI 54303	Outdoor Athletic Facilities	50,000
		P.O. Box 23400, Green Bay, WI 54305	Christmas Family Sponsor	300
			Phase 2 Campus Development Campaign	100,000
<b>TOTAL</b>				<b>\$871,933</b>



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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CITICORP SMITH BARNEY	82,808.	0.	82,808.
TOTAL TO FM 990-PF, PART I, LN 4	82,808.	0.	82,808.

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,214.	12,214.		0.
TO FORM 990-PF, PG 1, LN 16B	12,214.	12,214.		0.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	48,976.	48,976.		0.
TO FORM 990-PF, PG 1, LN 16C	48,976.	48,976.		0.

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FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	<9,035.>	0.		0.
FOREIGN TAX	3,699.	3,699.		0.
TO FORM 990-PF, PG 1, LN 18	<5,336.>	3,699.		0.

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FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS	285.	0.		0.	
INSURANCE	3,386.	0.		0.	
TOTAL TO FORM 990-PF, PG 1, LN 23	3,671.	0.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION	AMOUNT		
PRIOR PERIOD ADJUSTMENT - TO ADJUST COST TO ACTUAL	4,899.		
TOTAL TO FORM 990-PF, PART III, LINE 3	4,899.		

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
PRIVATE CAPITAL MANAGEMENT	2,494,683.	2,133,187.		
SMITH BARNEY	2,797,084.	2,997,925.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,291,767.	5,131,112.		