



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THOMAS A PLEIN FOUNDATION LTD		A Employer identification number 39-1558684	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 3415 COMMERCE CT		B Telephone number (see page 10 of the instructions) (702) 492-1177	
	City or town, state, and ZIP code APPLETON, WI 54911		C If exemption application is pending, check here ☐	
			D 1. Foreign organizations, check here ☐	

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,536,950

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	176,932	176,932		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-383,490			
	b Gross sales price for all assets on line 6a _____ 1,454,137				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	☒ 48,288	48,288		
	12 Total. Add lines 1 through 11	-158,270	225,220		
	13 Compensation of officers, directors, trustees, etc	7,000	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	☒ 1,800	0		0
	c Other professional fees (attach schedule)	☒ 22,519	22,519		0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	☒ 5,248	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	☒ 3,337	0		0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	39,904	22,519		0
	25 Contributions, gifts, grants paid	361,100			361,100
	26 Total expenses and disbursements. Add lines 24 and 25	401,004	22,519		361,100
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-559,274			
	b Net investment income (if negative, enter -0-)		202,701		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	176,037	328,826	328,826
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	348,038	 148,238	151,532
	b	Investments—corporate stock (attach schedule)	3,391,446	 3,246,738	3,756,089
	c	Investments—corporate bonds (attach schedule)	1,307,432	 1,049,657	1,099,644
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,234,261	 1,124,481	1,199,259
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	 1,312	 1,312	 1,600
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,458,526	5,899,252	6,536,950
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	6,458,526	5,899,252	
	30	Total net assets or fund balances (see page 17 of the instructions)	6,458,526	5,899,252	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,458,526	5,899,252	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 6,458,526
2	Enter amount from Part I, line 27a	2 -559,274
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 5,899,252
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,899,252

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	WELLS FARGO ADVISORS			2009-12-31
b	WELLS FARGO ADVISORS			2009-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 193,361		226,027	-32,666
b 1,260,776		1,611,600	-350,824
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-32,666
b			-350,824
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-383,490
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	362,800	6,742,542	0 053808
2007	390,417	7,089,678	0 055068
2006	365,500	7,287,349	0 050155
2005	123,000	2,058,233	0 059760
2004	55,100	1,085,503	0 050760

2 Total of line 1, column (d).	2	0 269551
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053910
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	5,481,426
5 Multiply line 4 by line 3.	5	295,504
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,027
7 Add lines 5 and 6.	7	297,531
8 Enter qualifying distributions from Part XII, line 4.	8	361,100

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,027
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	2,027
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,027
6		Credits/Payments			
a		2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,780	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	3,780
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,753
11		Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 1,753	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ WI _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of H MATTEW FRAZIER Telephone no (702) 492-1177			
	Located at 1920 SPYGLASS DRIVE HENDERSON NV ZIP+4 89074			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?		Yes	No
	If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,481,300
b	Average of monthly cash balances.	1b	82,000
c	Fair market value of all other assets (see page 24 of the instructions).	1c	1,600
d	Total (add lines 1a, b, and c).	1d	5,564,900
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,564,900
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	83,474
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,481,426
6	Minimum investment return. Enter 5% of line 5.	6	274,071

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	274,071
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	2,027
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,027
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	272,044
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	272,044
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	272,044

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	361,100
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	361,100
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	2,027
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	359,073
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				272,044
2	Undistributed income, if any, as of the end of 2008				
a	Enter amount for 2008 only.			0	
b	Total for prior years 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2009				
a	From 2004.				1,208
b	From 2005.				28,337
c	From 2006.				7,623
d	From 2007.				50,099
e	From 2008.				30,701
f	Total of lines 3a through e.	117,968			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 361,100				
a	Applied to 2008, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d	Applied to 2009 distributable amount.				272,044
e	Remaining amount distributed out of corpus	89,056			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	207,024			
b	Prior years' undistributed income Subtract line 4b from line 2b.		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).	1,208			
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	205,816			
10	Analysis of line 9				
a	Excess from 2005.				28,337
b	Excess from 2006.				7,623
c	Excess from 2007.				50,099
d	Excess from 2008.				30,701
e	Excess from 2009.				89,056

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

H MATTHEW FRAZIER
1920 SPYGLASS DRIVE
HENDERSON, NV 89074
(702) 492-1177

b

The form in which applications should be submitted and information and materials they should include

BRIEF DESCRIPTION OF CHARITABLE PURPOSE

c

Any submission deadlines

APPLICATIONS ARE ACCEPTED AT ANY TIME NOTICE OF APPROVAL, REJECTION, OR REQUESTS FOR ADDITIONAL INF

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2009)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	361,100
b Approved for future payment				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	176,932	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	48,288	
8 Gain or (loss) from sales of assets other than inventory			18	-383,490	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		-158,270	0
13 Total. Add line 12, columns (b), (d), and (e).			13	-158,270	

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
*****			2010-05-11	*****
Signature of officer or trustee			Date	Title

Paid Preparer's Use Only	Preparer's Signature	Date	Check if self-employed ☒	Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code	Buchberger Merrick & Hollmann CPA's 3415 Commerce Ct Appleton, WI 54911		EIN
			Phone no (920) 731-3190	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CATHOLIC CHARITIES OF GREEN BAY1825 RIVERSIDE DRIVE GREEN BAY,WI 54301		PUBLIC	PUBLIC GENERAL CONTRIBUTION	8,000
COLLEGE OF LAKE COUNTY19351 W WASHINGTON ST GRAYSLAKE,IL 600301198		PUBLIC	PUBLIC GENERAL CONTRIBUTION	25,000
GALESBURG UNITED METHODIST CHURCH111 W BATTLE CREEK ST GALESBURG,MI 49053		PUBLIC	PUBLIC GENERAL CONTRIBUTION	8,000
HOLY TRINITY ABBEY1250 S 9500 E HUNTSVILLE,UT 84317		PUBLIC	PUBLIC GENERAL CONTRIBUTION	3,000
HUMANE SOCIETY FOR SOUTHWEST WASH1100 NE 192ND AVE VANCOUVER,WA 98660		PUBLIC	PUBLIC GENERAL CONTRIBUTION	16,500
IMAGO DEI4925 S TORREY PINES DR LAS VEGAS,NV 89118		PUBLIC	PUBLIC GENERAL CONTRIBUTION	26,000
MARQUETTE LAW SCHOOL1250 W WISCONSIN AVE MILWAUKEE,WI 53233		PUBLIC	PUBLIC GENERAL CONTRIBUTION	26,000
MOUNT MARY COLLEGE DEVELOPMENT OFFICE2900 N MENOMINNE RIVER PARKWAY MILWAUKEE,WI 532224597		PUBLIC	PUBLIC GENERAL CONTRIBUTION	4,000
PENN STATE UNIVERSITY HARRISBURG FOUNDATION777 W HARRISBURG PIKE HARRISBURG,PA 17057		PUBLIC	PUBLIC GENERAL CONTRIBUTION	53,000
SAINT LEO UNIVERSITY33701 STATE ROAD 52 SAINT LEO,FL 335746665		PUBLIC	PUBLIC GENERAL CONTRIBUTION	16,000
SALVATION ARMY2900 PALOMINO LANE LAS VEGAS,NV 89126		PUBLIC	PUBLIC GENERAL CONTRIBUTION	3,000
STROSE DOMICAN HEALTH FOUNDATION102 E LAKE MEAD DRIVE HENDERSON,NV 89015		PUBLIC	PUBLIC GENERAL CONTRIBUTION	5,000
UNIVERSITY OF NEW MEXICO1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE,NM 871310001		PUBLIC	PUBLIC GENERAL CONTRIBUTION	13,000
UNIVERSITY OF WISCONSIN OSHKOSH842 ALGOMA BLVD OSHKOSH,WI 549013551		PUBLIC	PUBLIC GENERAL CONTRIBUTION	10,000
UNIVERSITY OF WISCONSIN STEVENS POINT2100 MAIN STREET STEVENS POINT,WI 544813897		PUBLIC	PUBLIC GENERAL CONTRIBUTION	16,000
Total  3a				361,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST PETERSBURG COLLEGE FOUNDATION INCPO BOX 13489 ST PETERSBURG,FL 33733		PUBLIC	PUBLIC GENERAL CONTRIBUTION	26,000
UNIVERSITY OF FLORIDAPO BOX 14425 GAINSVILLE,FL 32604		PUBLIC	PUBLIC GENERAL CONTRIBUTION	25,000
UNITED CATHOLIC SCHOOLS110 N SAWYER STREET OSHKOSH,WI 54902		PUBLIC	PUBLIC GENERAL CONTRIBUTION	20,000
FIRE MOUNTAIN YOGA705 SE PARKCREST AVENUE VANCOUVER,WA 98683		PUBLIC	PUBLIC GENERAL CONTRIBUTION	10,000
IMMACULATE CONCEPTION CHURCH508 GRAND AVE WAUKEGAN,IL 60085		PUBLIC	PUBLIC GENERAL CONTRIBUTION	5,000
CONVENANT HOUSEPO BOX 731 NEW YORK,NY 10108		PUBLIC	PUBLIC GENERAL CONTRIBUTION	2,000
DUCKS UNLIMITED INCONE WATERFOWL WAY MEMPHIS,TN 38120		PUBLIC	PUBLIC GENERAL CONTRIBUTION	2,000
SIMPLY CATS ADOPTION CENTER 2833 S VICTORY VIEW WAY BOISE,ID 83709		PUBLIC	PUBLIC GENERAL CONTRIBUTION	2,000
HUMANE SOCIETY OF INDIANAPOLIS7929 N MICHIGAN ROAD INDIANAPOLIS,IN 46268		PUBLIC	PUBLIC GENERAL CONTRIBUTION	32,000
ST THERESE CENTERPO BOX 90625 HENDERSON,NV 89009		PUBLIC	PUBLIC GENERAL CONTRIBUTION	4,600
Total  3a				361,100

TY 2009 Accounting Fees Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	1,800	0		0

**TY 2009 Investments Corporate
Bonds Schedule****Name:** THOMAS A PLEIN FOUNDATION LTD**EIN:** 39-1558684

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	102,181	106,137
ASSOCIATED BANC CORP	100,000	98,623
BERKSHIRE HATHAWAY FIN	98,306	106,658
E.I. DU PONT	101,104	107,928
GANNETT CO	96,657	99,995
GENERAL ELECTRIC	104,067	107,771
KIMBERLY-CLARK	99,345	108,104
MELLON FUNDING CORP	97,382	106,582
WAL-MART	96,900	100,137
WIS ELECTRIC PWR NOTES	48,240	51,529
WIS PUBLIC SERVICE	105,475	106,180

TY 2009 Investments Corporate
Stock Schedule

Name: THOMAS A PLEIN FOUNDATION LTD
EIN: 39-1558684

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS INC	67,539	98,203
APPLE INC	57,656	105,366
ASSOCIATED BANK CORP	48,004	38,205
BEMIS	46,160	59,300
CISCO SYSTEMS	59,174	71,820
COGNIZANT TECH SOL	45,338	90,660
CYBERSOURCE CORP	45,928	60,330
DANAHER CORP	83,963	90,240
EMERSON ELECTRIC	65,781	85,200
ENRGY SELECT SECTOR	145,821	142,525
EQUIFAX INC	69,152	61,780
FIDELITY NATIONAL INFO SVCS	30,547	36,332
FORTUNE BRANDS	48,631	43,200
FRANKLIN RESOURCES	76,544	115,885
GENERAL ELECTRIC	104,978	44,028
GENZYME CORP	81,991	58,812
GLOBAL PAYMENTS INC	35,722	53,860
GOOGLE INC CL A	87,738	123,996
HENRY SCHEIN INC	38,282	52,600
HOME DEPOT	43,219	28,930
HSBC HOLDINGS	49,111	65,654
ILLINOIS TOOL WORKS	78,400	78,704
INTEL CORP	59,520	51,000
INTL BUS MACH CORP	73,002	91,630
INTUIT INC	45,241	46,095
JOHNSON & JOHNSON	65,431	64,410
JOHNSON CONTROLS	39,930	54,480
KIMBERLY-CLARK	64,571	63,710
LENDER PROCESSING	23,711	31,512
MEDTRONIC INC	70,422	65,970
MICROSOFT CORP	61,993	60,960
PEPSICO INC	50,793	48,640
PROCTOR & GAMBLE	54,812	51,536
RPM INTERNATIONAL INC	65,827	60,990
SCHLUMBERGER LTD	44,029	65,090
SCHWAB CHARLES CORP	60,835	56,460
SYSCO CORP	67,793	55,880
T ROWE PRICE GROUP	57,713	106,500
TEVA PHARMACEUTICAL	34,307	56,180
TEXAS INSTRUMENTS	29,852	26,060
UNITED PARCEL SERVICE	65,414	57,370
UNITED TECHNOLOGIES	59,290	69,410
VARIAN MEDICAL SYSTEMS	63,058	70,275
VERISIGN INC	48,088	36,360
XILINX INC	43,860	50,120
BANK NEW YORK MELLON	23,968	27,970
CAREER EDUCATION CORP	23,325	23,310
CHESAPEAKE ENERGY CORP	42,895	38,820
EXPRESS SCRIPTS INC	20,493	25,926
ITT EDUCATIONAL SERVICES	48,786	47,980
NIKE INC CLASS B	39,576	66,070
POTASH CORP OF SASKATCHEWAN INC	55,121	65,100
POWESHARES INDIA	32,663	38,623
SPDR GOLD TRUST ETF	88,159	214,620
STRYKER CORP	31,566	50,370
SYBASE INC	20,468	21,700
TNS INC	39,230	51,380
VANGUARD EMERGING	65,443	82,000
YUM BRANDS	55,874	55,952

TY 2009 Investments Government Obligations Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

**US Government Securities - End of
Year Book Value:**

148,238

**US Government Securities - End of
Year Fair Market Value:**

151,532

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2009 Investments - Other Schedule**Name:** THOMAS A PLEIN FOUNDATION LTD**EIN:** 39-1558684

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CAPITAL ONE BK CD	AT COST	100,000	103,535
DISCOVER BANK CD	AT COST	100,000	103,567
H & Q HEALTHCARE	AT COST	69,644	50,837
HARBOR INTL	AT COST	231,107	254,774
TEMPLETON DRAGON FUNDS INC	AT COST	42,108	59,678
LIMITED PARTNERSHIP INTERESTES	AT COST	223,394	223,394
EUROPACIFIC GROWTH FD CL F-1	AT COST	161,640	192,269
VANGUARD INTERMEDIATED TERM INVESMENTS	AT COST	196,588	211,205

TY 2009 Other Assets Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROOF SET OF COINS	1,312	1,312	1,600

TY 2009 Other Expenses Schedule**Name:** THOMAS A PLEIN FOUNDATION LTD**EIN:** 39-1558684

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSES	1,420	0		0
DIRECTORS MEETING EXPENSE	1,609	0		0
BANK SERVICE FEES	308	0		0

TY 2009 Other Income Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
MIDWEST RESOURCES	31,272	31,272	31,272
BECON GEORGETOWNE	17,016	17,016	17,016

TY 2009 Other Professional Fees Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT INVESTMENT FEES	22,519	22,519		0

TY 2009 Taxes Schedule

Name: THOMAS A PLEIN FOUNDATION LTD

EIN: 39-1558684

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	5,248	0		0

Additional Data

Software ID: 09000028
Software Version: 2009.03050
EIN: 39-1558684
Name: THOMAS A PLEIN FOUNDATION LTD

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
H MATTHEW FRAZIER	PRESIDENT 3 00	1,000	0	0
1920 SPYGLASS DRIVE HENDERSON, NV 89074				
JASON W KETTER	EXECUTIVE VICE PRESIDENT 0 50	1,500	0	0
40 OVERLOOK DRIVE READING, PA 19606				
TOM FOTH	DIRECTOR 0 25	1,500	0	0
703 W BENT AVE OSHKOSH, WI 54901				
JIM PAULSEN	DIRECTOR 0 25	1,500	0	0
19400 NE 288TH CIRCLE BATTLE GROUND, WA 98604				
STEVE PLEIN	DIRECTOR 0 25	1,500	0	0
5215 WELLFIELD RD NEW PORT RICHEY, FL 34655				